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# (54) SELECTIVE NOISE/CHANNEL/CODING MODELS AND RECOGNIZERS FOR AUTOMATIC SPEECH RECOGNITION

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(51) **Int. Cl.** 

*G10L 15/20* (2006.01) *G10L 15/26* (2006.01)

(52) U.S. Cl.

# (58) Field of Classification Search

USPC ...... 704/226–228, 231, 233, 255, 256, 270 See application file for complete search history.

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## (57) ABSTRACT

An apparatus and method for the robust recognition of speech during a call in a noisy environment is presented. Specific background noise models are created to model various background noises which may interfere in the error free recognition of speech. These background noise models are then used to determine which noise characteristics a particular call has. Once a determination has been made of the background noise in any given call, speech recognition is carried out using the appropriate background noise model.

#### 42 Claims, 4 Drawing Sheets

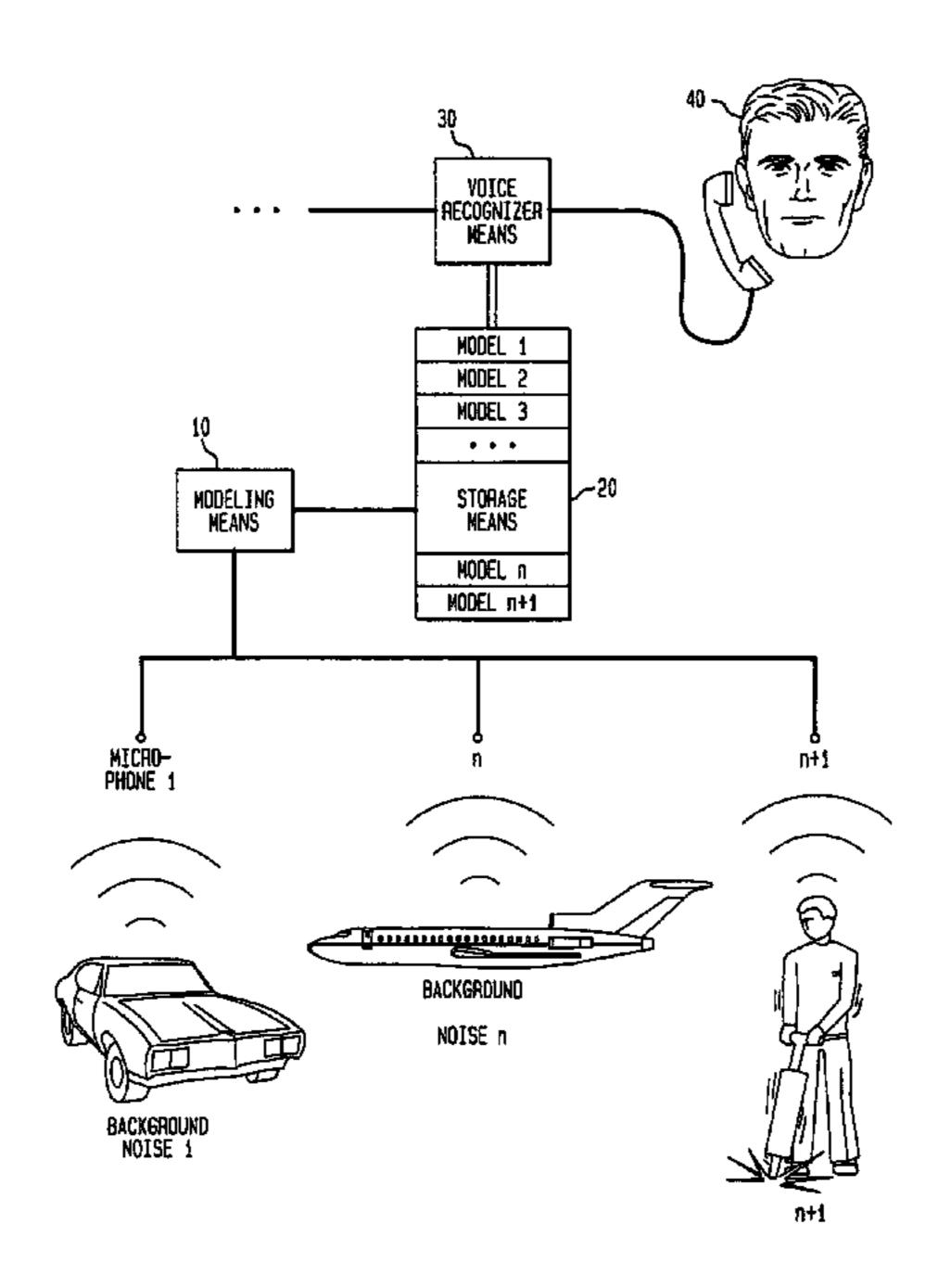


FIG. 1 VOICE RECOGNIZER MEANS MODEL 1 MODEL 2 MODEL 3 . . . 20 STORAGE MEANS MODELING MEANS MODEL n MODEL n+1 MICRO-PHONE 1 n+i BACKGROUND NOISE n BACKGROUND NOISE 1

FIG. 2

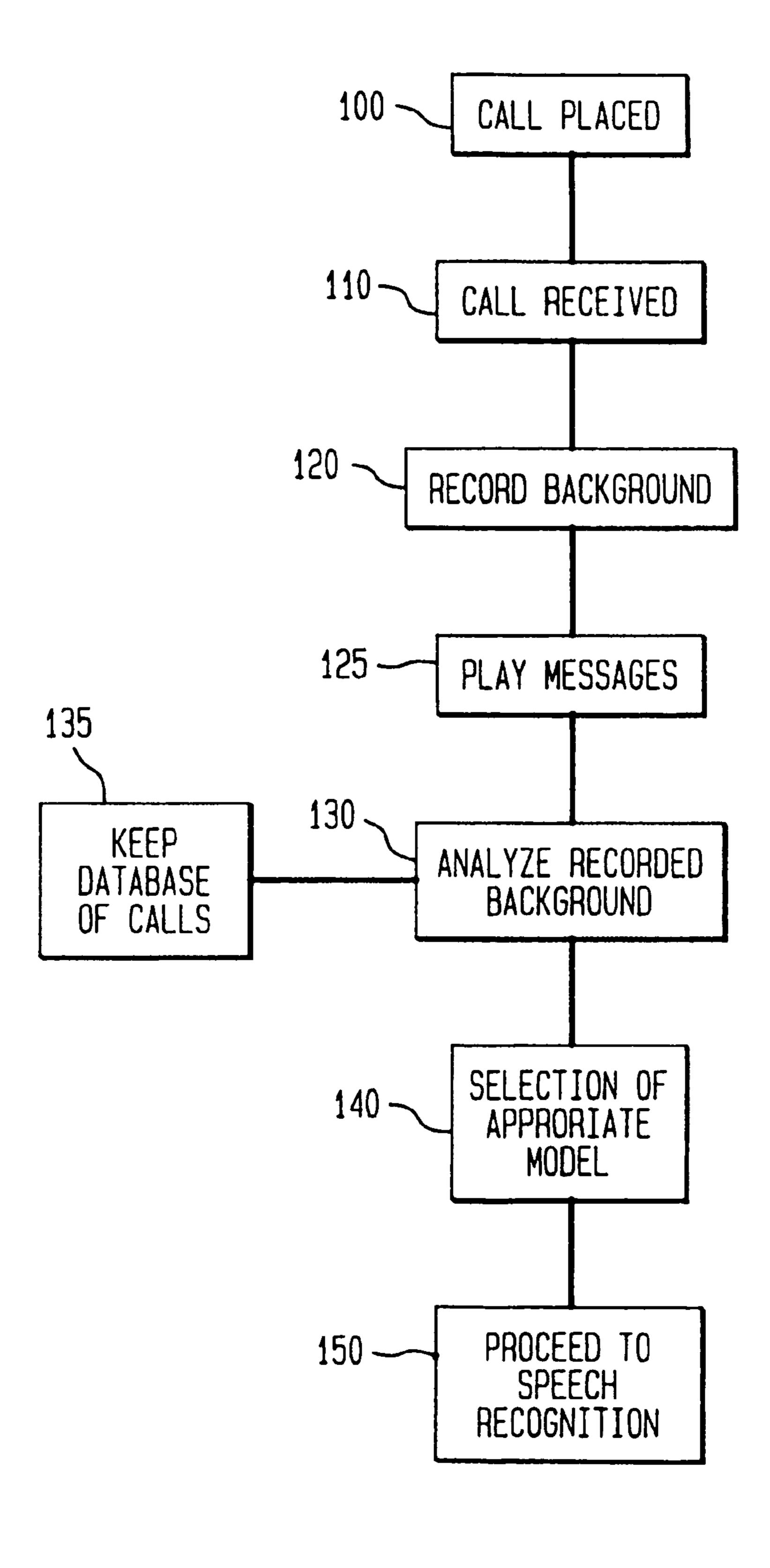
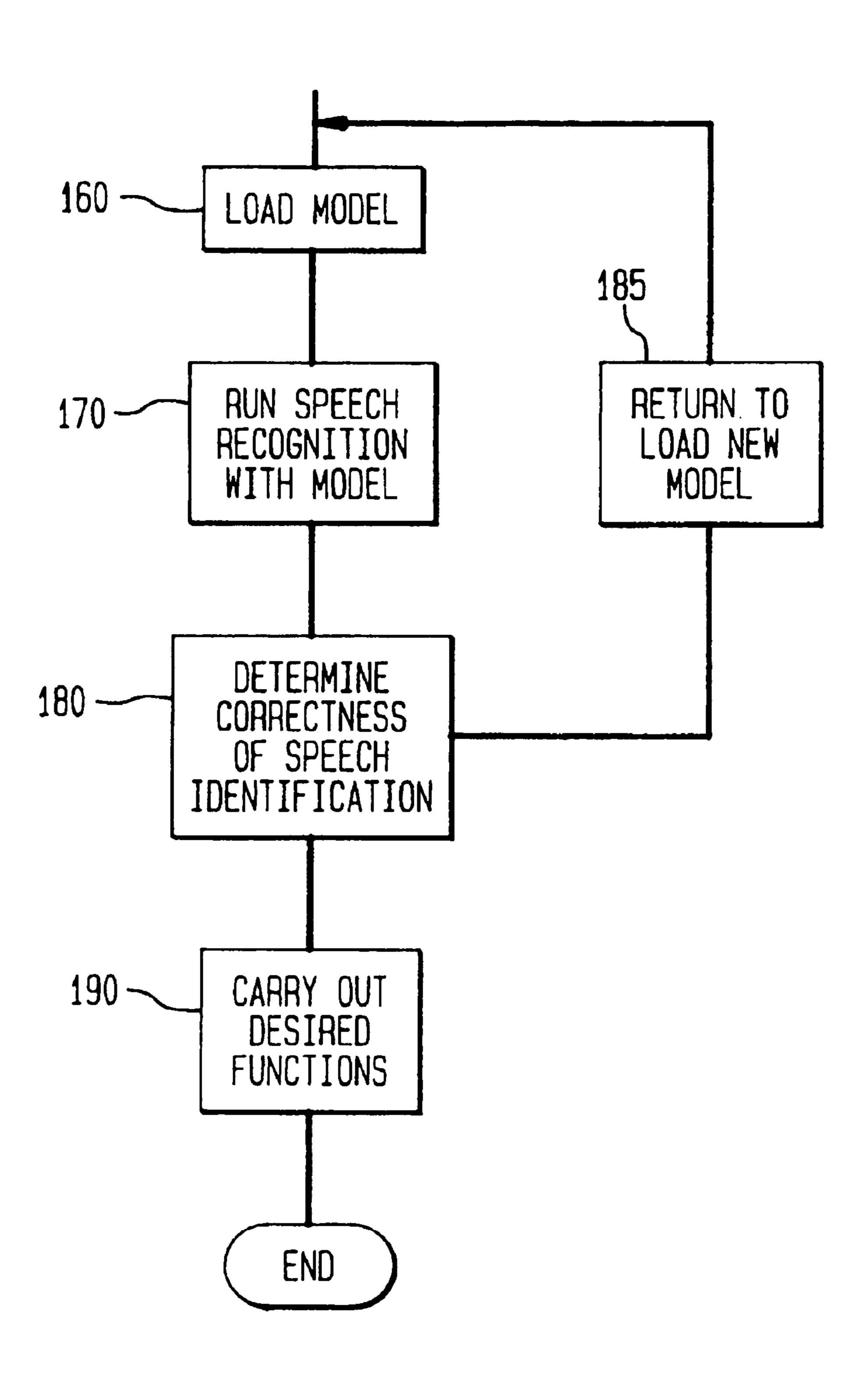


FIG. 3



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FIG. 4

	SYSTEM INSTRUCTS CALLER TO SAY:							
		"NS437W"	"BOSTON"	"JULY 1ST"				
	SYSTEM AN	SYSTEM ANALYZES RESPONSES USING BACKGROUND NOISE MODELS:						
	MODEL #	RESULTS:						
	1	MS437V		JULY 1ST				
	2	NS437W	BOSTON					
	3	JS521V	<u> </u>					
•	4	NS437W	BALTIMORE	<del></del>				
	5	PS581W	BALTIMORE	JUNE 15				
	•			•				
	n	NS437W	BOSTON	JULY 1ST				
	<b>n+</b> 1	NV536W	<del></del>					

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# SELECTIVE NOISE/CHANNEL/CODING MODELS AND RECOGNIZERS FOR AUTOMATIC SPEECH RECOGNITION

Matter enclosed in heavy brackets [] appears in the original patent but forms no part of this reissue specification; matter printed in italics indicates the additions made by reissue.

#### FIELD OF THE INVENTION

The present invention relates to the robust recognition of speech in noisy environments using specific noise environment models and recognizers, and more particularly, to selective noise/channel/coding models and recognizers for automatic speech recognition.

#### BACKGROUND INFORMATION

Many of the speech recognition applications in current use today often have difficulty properly recognizing speech in a noisy background environment. Or, if speech recognition applications work well in one noisy background environment, they may not work well in another. That is, when a 25 speaker is speaking into a pick-up microphone/telephone with a background that is filled with extraneous noise, the speech recognition application may incorrectly recognize the speech and is thus prone to error. Thus time and effort is wasted by the speaker and the goals of the speech recognition applications are often not achieved. In telephone applications it is often necessary for a human operator to then again have the speaker repeat what has been previously spoken or attempt to decipher what has been recorded.

Thus, there has been a need for speech recognition applications to be able to correctly assess what has been spoken in a noisy background environment. U.S. Pat. No. 5,148,489, issued Sep. 15, 1992 to Erell et al., relates to the preprocessing of noisy speech to minimize the likelihood of errors. The speech is preprocessed by calculating for each vector of 40 speech in the presence of noise an estimate of clean speech. Calculations are accomplished by what is called minimummean-log-spectral distance estimations using mixture models and Markov models. However, the preprocessing calculations rely on the basic assumptions that the clean speech can be 45 modeled because the speech and noise are uncorrelated. As this basic assumption may not be true in all cases, errors may still occur.

U.S. Pat. No. 4,933,973, issued Jun. 12, 1990 to Porter, relates to the recognition of incoming speech signals in noise. 50 Pre-stored templates of noise-free speech are modified to have the estimated spectral values of noise and the same signal-to-noise ratio as the incoming signal. Once modified, the templates are compared within a processor by a recognition algorithm. Thus recognition is dependent upon proper 55 modification of the noise-free templates. If modification is incorrectly carried out, errors may still be present in the speech recognition.

U.S. Pat. No. 4,720,802, issued Jan. 19, 1988 to Damoulakis et al., relates to a noise compensation arrangement. 60 Speech recognition is carried out by extracting an estimate of the background noise during unknown speech input. The noise estimate is then used to modify pre-stored noiseless speech reference signals for comparison with the unknown speech input. The comparison is accomplished by averaging 65 values and generating sets of probability density signals. Correct recognition of the unknown speech thus relies upon the

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proper estimation of the background noise and proper selection of the speech reference signals. Improper estimation and selection may cause errors to occur in the speech recognition.

Thus, as can be seen, the industry has not yet provided a system of robust speech recognition which can function effectively in various noisy backgrounds.

#### SUMMARY OF THE INVENTION

In response to the above noted and other deficiencies, the present invention provides a method and an apparatus for robust speech recognition in various noisy environments. Thus the speech recognition system of the present invention is capable of higher performance than currently known methods in both noisy and other environments. Additionally, the present invention provides noise models, created to handle specific background noises, which can quickly be determined to relate to the background noise of a specific call.

To achieve the foregoing, and in accordance with the purposes of the present invention, as embodied and broadly described herein, the present invention is directed to the robust recognition of speech in noisy environments using specific noise environment models and recognizers. Thus models of various noise environments are created to handle specific background noises. A real-time system then analyzes the background noise of an incoming call, loads the appropriate noise model and performs the speech recognition task with the model.

The background noise models, themselves, are created for each set of background noise which may be used. Examples of the background noises to be sampled as models would be: city noise, motor vehicle noise, truck noise, airport noise, subway train noise, cellular interference noise, etc. Obviously, the models need not only be limited to simple background noise. For instance, various models may model different channel conditions, different telephone microphone characteristics, various different cellular coding techniques, Internet connections, and other noises associated with the placement of a call wherein speech recognition is to be used. Further, a complete set of sub-word models can be created for each characteristic by mixing different background noise characteristics.

Actual creation and collection of the models can be accomplished in any known manner, or any manner heretofore to be known, as long as the noise sampled can be loaded into a speech recognizer. For instance, models can be created by recording background noise and clean speech separately and later combining the two. Or, models can be created by recording speech with the various background noise environments present. Or even further, for example, the models can be created using signal processing of recorded speech to alter it as if it had been recorded in the noisy background.

Determination of which model to use is determined by the speech recognition apparatus. At the beginning of a call, a sample of the surrounding background environment from where the call is being placed is recorded. As introductory prompts, or other such messages are being played to the caller, the system analyzes the recorded background noise. Different methods of analysis may be used. Once the appropriate noise model has been chosen on the basis of the analysis, speech recognition is performed with the model. The system can also constantly monitor the speech recognition function, and if it is determined that speech recognition is not at an acceptable level, the system can replace the chosen model with another.

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The present invention and its features and advantages will become more apparent from the following detailed description with reference to the accompanying drawings.

#### BRIEF DESCRIPTION OF THE DRAWINGS

FIG. 1 illustrates a speech recognition apparatus for the creation, storage and use of various background noise models, according to an embodiment of the present invention.

FIG. 2 illustrates a flow chart for determination of the proper noise model to use, according to an embodiment of the present invention.

FIG. 3 illustrates a flow chart for robust speech recognition and, if necessary, model replacement, according to an embodiment of the present invention.

FIG. 4 illustrates a chart of an example of the selection of an appropriate background noise model to be used in the speech recognition application, according to an embodiment of the present invention.

#### DETAILED DESCRIPTION

FIGS. 1 to 4 show a speech recognition apparatus and method for robust speech recognition in noisy environments according to an embodiment of the present invention. A hidden Markov model is created to model a specific background noise. When a call is placed, background noise is recorded and analyzed to determine which Markov model is most appropriate to use. Speech recognition is then carried out using the appropriately determined model. If speech recognition is not being performed at an acceptable level, the model may be replaced by another.

Referring to FIG. 1, various background noises 1, . . . , n, n+1 are recorded using known sound collection devices, such as pick-up microphones  $1, \ldots, n, n+1$ . It is to be understood, of course, that any collection technique, whether known or heretofore to be known, may be used. The various background noises which can be recorded are sounds such as: city noise, traffic noise, airport noise, subway train noise, cellular interference noise, different channel characteristics noise, 40 various different cellular coding techniques noise, Internet connection noise, etc. Of course, the various individual background characteristics may also be mixed in infinite variations. For example, cellular channel characteristics noise may be mixed with background traffic noise. It is to be understood, 45 of course, that other more various background noise may also be recorded, what is to be recorded is not to be limited and that any means sufficient for the recordation and/or storage of sound may be used.

The recorded background noise is then modeled to create 50 hidden Markov models for use in speech recognizers. Modeling is performed in the modeling device 10 using known modeling techniques. In this embodiment, the recorded background noise and pre-labeled speech data are put through algorithms which pick out phonemes creating, in essence, 55 statistical background noise models. As described in this embodiment then, the models are thus created by recording background noise and clean speech separately and later combining the two.

Of course, it is to be recognized that any method capable of 60 creating noises models which can be uploaded into a speech recognizer can be used in the present invention. For instance, models can be created by recording speech with the various background noise environments present. Or, for example, the models can be created using signal processing of the recorded 65 speech to alter it as if it had been recorded in the noisy background.

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The modeled background noise is then stored in an appropriate storage device 20. The storage device 20 itself may be located at a central network hub, or it may be reproduced and distributed locally. The various stored background noise models 1,..., n, n+1 are then appropriately accessed from the storage device 20 by a speech recognition unit 30 when a call is placed by the telephone user 40. There may, of course, be more than one speech recognition unit 30 used for any given call. Further, the present invention will work equally well with any technique of speech recognition using the background noise models.

Referring to FIG. 2, a call is placed by a user and received by the telephone company in steps 100 and 110, respectively. It is to be recognized, of course, that although the preferred 15 embodiment described herein is in the context of the receipt a simple telephone call, the present invention will work equally well with any speech transmission technique used and thus is not to be limited to the one embodiment. Once the connection has been made, in step 120, approximately 2 seconds worth of 20 background noise at the caller's location is recorded and/or monitored. Of course, various lengths of time may be used based upon adequate reception and other factors. Introductory messages, instructions or the like are then played in step 125. While these messages are being played, the background noise recorded in step 120 is analyzed by the system in step 130. Even while the messages are being played to the caller, the known technique of echoing cancellation may be used to record and/or monitor further background noise. In explanation, the system will effectively cancel out the messages being played in the recording and/or monitoring of the background noise.

Analysis of the background noise may be accomplished by one or more ways. Signal information, such as the type of signals (ANI, DNIS, SS7 signals, etc.), channel port number, or trunk line number may be used to help restrict what the background noise is, and thus what background noise model would be most suitable. For example, the system may determine that a call received over a particular trunk line number may more likely than not be from India, as that trunk line number is the designated trunk for receiving calls from India. Further, the location of the call may be recognized by the caller's account number, time the call is placed or other known information about the caller and/or the call. Such information could be used as a preliminary indicator of the existence and type of background noise.

Alternatively, or in conjunction with the preceding method, a series of questions or instructions to be posed to the caller with corresponding answers to be made by the caller may be used. These answers may then be analyzed using each model (or a pre-determined maximum number of models) to determine which models have a higher correct match percentage. For example, the system may carry on a dialog with the caller and instruct the caller to say "NS437W", "Boston", and "July 1st". The system will then analyze each response using the various background noise models. The model(s) with the correct match for each response by the caller can then be used in the speech recognition application. An illustration of the above analysis method is found in FIG. 4. As can be seen, the analysis of the first response "NS437W" is correctly matched by models 2, 4 and n. However, only models 2 and n correctly matched the second response, and only model n matched all three responses correctly. Thus model n would be chosen for the following speech recognition application.

Also, if the system is unable to definitively decide which model and/or models yield the best performance in the speech recognition application, the system may either guess, use more than one model by using more than one speech recog-

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nizer, or compare parameters of the call's recorded background noise to parameters contained in each background noise model.

Once a call from a particular location has been matched to a background noise model, the system can store that information in a database. Thus in step 135, a database of which background noise models are most successful in the proper analysis of the call's background noise can be created and stored. This database can later be accessed when another incoming call is received from the same location. For 10 example, it has previously been determined, and stored in the database, that a call from a particular location should use the city noise background noise model in the speech recognition application, because that model results in the highest percentage of correct speech recognitions. Thus the most appropriate 15 model is used. Of course, the system can dynamically update itself by constantly re-analyzing the call's recorded background noise to detect potential changes in the background noise environment.

Once the call's recorded background noise has been analyzed, or the database has been accessed to determine where the call is coming from and which model is most appropriate, in step 140 the most appropriate background noise model is selected and recalled from the storage means 20. Further, alternative background noise models may be ordered on a 25 standby basis in case speech recognition fails with the selected model. With the most appropriate background noise model having been selected, and other models ordered on standby, the system proceeds in step 150 to the speech recognition application using the selected model.

Referring to FIG. 3, in step 160 the selected background noise model is loaded into the speech recognition unit 30. Here speech recognition is performed using the chosen model. There is more than one method by which the speech recognition can be performed using the background noise 35 model. The speech utterance by the caller can be routed to a preset recognizer with the specific model(s) needed, or the necessary model(s) may be loaded into the speech recognition means 30. In step 180 the correctness of the speech recognition is determined. In this manner then, constant 40 monitoring and adjustment can take place while the call is in progress if necessary.

Correctness of the speech recognition in step 180 may be accomplished in several ways. If more than one speech recognizer means 30 is being used, the correct recognition of the 45 speech utterance may be determined by using a voter scheme. That is, each speech recognizer unit 30, using a set of models with different background noise characteristics, will analyze the speech utterance. A vote determines what analysis is correct. For example, if fifty recognizers determine that "Bos- 50 [the step of]: ton" has been said by the caller, and twenty recognizers determine that "Baltimore" has been said, than the system determines in step 180 that "Boston" must be the correct speech utterance. Alternatively, or in conjunction with the above method, the system can ask the caller to validate the 55 determined speech utterance. For example, the system can prompt the caller by asking "Is this correct?". A determination of correctness in step 180 can thus be made on a basis of most correct validations by the user and/or lowest rejections (rejections could be set high).

If the minimal criteria of correctness is not met, and thus the most appropriate background noise model loaded in step 160 is determined to be an unsuitable choice, a new model can be loaded. Thus in step 185, the system returns to step 160 to load a new model, perhaps the model which was previously 65 determined in step 140 to be the next in order. The minimal criteria of correctness may be set at any level deemed appro-

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priate and most often will be experimentally determined on the basis of each individual system and its own separate characteristics.

If the determination in step 180 is that speech recognition is proceeding at an acceptable level, then the system can proceed to carry out the caller's desired functions, as shown in step 190.

As such, the present invention has many advantageous uses. For instance, the system is able to provide robust speech recognition in a variety of noisy environments. In other words, the present invention works well over a gamut of different noisy environments and is thus easy to implement. Not only that, but the speech recognition system is capable of a higher performance and a lower error rate than current systems. Even when the error rate begins to approach an unacceptable level, the present system automatically corrects itself by switching to a different model(s).

It is to be understood and expected that variations in the principles of construction and methodology herein disclosed in an embodiment may be made by one skilled in the art and it is intended that such modifications, changes, and substitutions are to be included within the scope of the present invention.

What is claimed is:

1. A method [for the robust recognition of speech in a noisy environment,] comprising [the steps of]:

receiving [the] speech;

recording an amount of data related to [the] a noisy environment, to yield recorded data;

analyzing the recorded data;

selecting [at least one appropriate] a background noise model [on the basis of] based on the recorded data, to yield a selected background noise model; and

performing speech recognition with the [at least one] selected background noise model.

2. The method [according to] of claim 1, further comprising [the step of]:

modeling [at least one] a background noise in [a] the noisy environment to create [at least one] the background noise model.

3. The method [according to] of claim 1, further comprising [the step of]:

determining [the] a correctness of the [at least one] selected background noise model, wherein [if] when the [at least one] selected background noise model is determined to be incorrect, the method comprises loading [at least one other] another background noise model for use in [the step of] performing speech recognition.

4. The method [according to] of claim 1, further comprising the step of]:

constructing a background noise database for use in analyzing the recorded data [on the noisy environment].

- 5. The method [according to] of claim 4, wherein the background noise database is [dynamically] updated for each location from which data is recorded.
- 6. The method [according to] of claim 1, wherein [the step of] analyzing the recorded data is accomplished by using [at least one of a plurality of] signal information.
- 7. The method [according to] of claim 1, wherein [the step of] analyzing the recorded data is accomplished by using a [correct] match percentage for a plurality of background noise models determined by an input response.
  - 8. The method [according to] of claim 1, wherein [the step of] performing speech recognition is accomplished by [at least one] a recognizer.
  - 9. A method [for improving recognition of speech subjected to noise, the method] comprising [the steps of]:

sampling a connection noise to yield sampled connection noise;

searching a database for a noise model most closely matching *that matches* the sampled connection noise to yield a matching noise model; and

applying the [most closely] matching noise model to a speech recognition process.

- 10. The method [according to] of claim 9, wherein the connection noise [includes at least] comprises one of city noise, motor vehicle noise, truck noise, traffic noise, airport 10 noise, subway train noise, cellular interference noise, channel condition noise, telephone microphone characteristics noise, cellular coding noise, and [Internet] network connection noise.
- 11. The method [according to] of claim 9, wherein the noise 15 model is constructed by modeling [at least one] the connection noise.
- **12**. The method [according to] of claim **9**, wherein when a speech recognition error rate is determined to be above a [predetermined] level, the [system substitutes the applied] 20 method further comprises substituting the matching noise model by applying [at least one other] a second noise model.
- 13. The method [according to] of claim 9, wherein [at least one a speech recognition unit is used when applying the matching noise model.
  - 14. A speech recognition apparatus comprising: a speech recognizer;
  - a database having stored thereon templates of a plurality of background noises; and
  - an identifier that identifies, via a processor, a background 30 noise template from the plurality of background noise templates, the background noise template matching a background noise from an input signal, to yield a matching background noise template, wherein the speech recognizer recognizes speech from the input signal with 35 reference to the matching background noise template.
- 15. The speech recognition apparatus of claim 14, wherein the identifier compares hidden Markov models of the plurality of background noise templates to a hidden Markov model of the background noise from the input signal.
- 16. The speech recognition apparatus of claim 14, wherein the identifier identifies a portion of the input signal that is unlikely to contain speech, to yield an identified portion, wherein the identified portion is used as the background noise.
- 17. The speech recognition apparatus of claim 14, wherein the identifier, when a plurality of background noise templates match the background noise, selects a template selected in a prior iteration as the matching background noise template.
- 18. The speech recognition apparatus of claim 14, further 50 prior iteration. comprising:
  - a restrictor that restricts a number of candidate templates based on geographic information associated with the input signal;
  - a comparer that compares the background noise to the 55 restricted candidate templates to yield a comparison; and
  - a selector that selects the matching background noise template based on the comparison.
- 19. The speech recognition apparatus of claim 14, further 60 comprising:
  - a restrictor that restricts a number of candidate templates based on time of day information associated with the input signal to yield restricted candidate templates;
  - a comparer that compares the background noise to the 65 restricted candidate templates to yield a comparison; and

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- a selector that selects the matching background noise template based on the comparison.
- 20. The speech recognition apparatus of claim 14, further comprising:
  - a restrictor that restricts a number of candidate templates based on an identifier of a user at a location from which the input signal is captured to yield restricted candidate templates;
  - a comparer that compares the background noise to the restricted candidate templates to yield a comparison; and
  - a selector that selects the matching background noise template based on the comparison.
- 21. The speech recognition apparatus of claim 14, further comprising a microphone to capture the input signal.
- 22. The speech recognition apparatus of claim 14, further comprising a telephone to capture the input signal.
  - 23. A speech recognition apparatus comprising:
  - a database having stored thereon templates of a plurality of background noises; and
  - a controller that identifies a background noise template, from the templates of the plurality of background noise templates, that matches background noise from a received input signal, to yield a matching background noise template, and supplies the matching background noise template to a speech recognizer.
- 24. The speech recognition apparatus of claim 23, further comprising the speech recognizer.
- 25. The speech recognition apparatus of claim 23, further comprising a microphone to capture the input signal.
- 26. The speech recognition apparatus of claim 23, further comprising a telephone to capture the input signal.
  - 27. A method comprising:
  - sampling a noise signal to yield a sampled noise signal; searching a database for a noise model matching the sampled noise signal to yield a matching noise model; and
  - applying the matching noise model to a speech recognition process.
- 28. The method of claim 27, wherein the searching comprises comparing hidden Markov models in the database to a hidden Markov model of the sampled noise signal.
- 29. The method of claim 27, further comprising, prior to the 45 sampling, isolating the noise signal from an input signal.
  - 30. The method of claim 27, further comprising, when a plurality of stored noise models match the sampled noise signal, selecting one of the plurality of stored noise models as the matching noise model according to a selection made in a
  - 31. The method of claim 27, wherein the searching comprises:
    - restricting a set of candidate noise models based on geographic information associated with the sampled noise signal, to yield a restricted set of candidate noise mod-
    - comparing the sampled noise signal to the restricted set of candidate noise models, to yield a comparison; and selecting the matching noise model based on the comparison.
  - 32. The method of claim 27, wherein the searching comprises:
    - restricting a set of candidate noise models based on time of day information associated with the sampled noise signal, to yield a restricted set of candidate noise models; comparing the sampled noise signal to the restricted set of candidate noise models, to yield a comparison; and

- selecting the matching noise model based on the comparison.
- 33. The method of claim 27, wherein the searching comprises:
  - restricting a set of candidate noise models based on an identifier of a user at a location from which the sampled noise signal is captured, to yield a restricted set of candidate noise models;
  - comparing the sampled noise signal to the restricted set of candidate noise models, to yield a comparison; and
  - selecting the matching noise model based on the comparison.
  - 34. A speech recognition method, comprising:
  - identifying a background noise component from an input signal;
  - comparing the background noise component to a plurality of previously-stored noise models, to yield a comparison;
  - selecting a noise model from the plurality of previouslystored noise models based on the comparison, to yield a selected noise model; and
  - performing speech recognition on the input signal with reference to the selected noise model.
- 35. The speech recognition method of claim 34, further comprising:
  - identifying a subsequent background noise component from the input signal;
  - comparing the subsequent background noise component to the plurality of previously-stored noise models, to yield a second comparison;
  - selecting a second noise model from the plurality of previously-stored noise models based on the second comparison, to yield a second selected noise model; and
  - performing speech recognition on the input signal with reference to second selected noise model.
- 36. The speech recognition method of claim 34, further comprising:
  - when speech recognition fails, selecting a second noise model from the plurality of previously-stored noise modelels based on the second comparison, to yield a second selected noise model; and
  - performing speech recognition on the input signal with reference to the second selected noise model.
- 37. The speech recognition method of claim 34, further comprising, wherein the identifying occurs while prompting a user with an introductory message.

- 38. The speech recognition method of claim 34, wherein the comparing uses hidden Markov models of the plurality of previously-stored noise models and a hidden Markov model of the background noise component.
- 39. The speech recognition method of claim 34, further comprising, when a plurality of noise models from the plurality of previously-stored noise models match the background noise component, selecting one of the plurality of previously-stored noise models as a most closely matching noise model according to a selection made in a prior iteration.
- 40. The speech recognition method of claim 34, wherein the comparing and selecting comprise:
  - restricting a set of candidate noise models based on geographic information associated with the background noise component, to yield a restricted set of candidate noise models;
  - comparing the background noise component to the restricted set of candidate noise models, to yield a second comparison; and
  - selecting the matching noise model based on the second comparison.
- 41. The speech recognition method of claim 34, wherein the comparing and selecting comprise:
- restricting a set of candidate noise models based on time of day information associated with the background noise component, to yield a restricted set of candidate noise models;
- comparing the background noise component to the restricted set of candidate noise models, to yield a second comparison; and
- selecting the matching noise model based on the second comparison.
- 42. The speech recognition method of claim 34, wherein the comparing and selection comprise:
  - restricting a set of candidate noise models based on an identifier of a user at a location from which the input signal is captured, to yield a restricted set of candidate noise models;
  - comparing the background noise component to the restricted set of candidate noise models, to yield a second comparison; and
  - selecting a closely matching noise model based on the second comparison.

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