

US00RE44277E

(19) **United States**  
(12) **Reissued Patent**  
**Schena**

(10) **Patent Number:** **US RE44,277 E**  
(45) **Date of Reissued Patent:** **\*Jun. 11, 2013**

- (54) **HAPTIC DEVICE UTILIZING AN ELECTROACTIVE POLYMER**
- (75) Inventor: **Bruce M. Schena**, Menlo Park, CA (US)
- (73) Assignee: **Immersion Corporation**, San Jose, CA (US)
- (\*) Notice: This patent is subject to a terminal disclaimer.
- (21) Appl. No.: **13/423,012**
- (22) Filed: **Mar. 16, 2012**

**Related U.S. Patent Documents**

Reissue of:

- (64) Patent No.: **7,679,611**
- Issued: **Mar. 16, 2010**
- Appl. No.: **12/415,781**
- Filed: **Mar. 31, 2009**

U.S. Applications:

- (63) Continuation of application No. 12/011,349, filed on Jan. 25, 2008, now Pat. No. 7,511,706, which is a continuation of application No. 11/711,400, filed on Feb. 26, 2007, now Pat. No. 7,339,572, which is a continuation of application No. 09/866,385, filed on May 24, 2001, now Pat. No. 7,196,688.
- (60) Provisional application No. 60/206,929, filed on May 24, 2000.
- (51) **Int. Cl.**  
**G09G 5/00** (2006.01)
- (52) **U.S. Cl.**  
USPC ..... **345/184; 345/179**
- (58) **Field of Classification Search**  
USPC ..... **345/156, 157, 184; 341/34, 35**  
See application file for complete search history.

(56) **References Cited**

U.S. PATENT DOCUMENTS

3,935,485	A *	1/1976	Yoshida et al. ....	310/339
3,940,637	A *	2/1976	Ohigashi et al. ....	310/339

(Continued)

FOREIGN PATENT DOCUMENTS

EP	0 735 518	10/1996
GB	2265746	10/1993

(Continued)

OTHER PUBLICATIONS

Search Report and Search Opinion as issued for European Patent Application No. 11171398.8, dated Jan. 18, 2012.

(Continued)

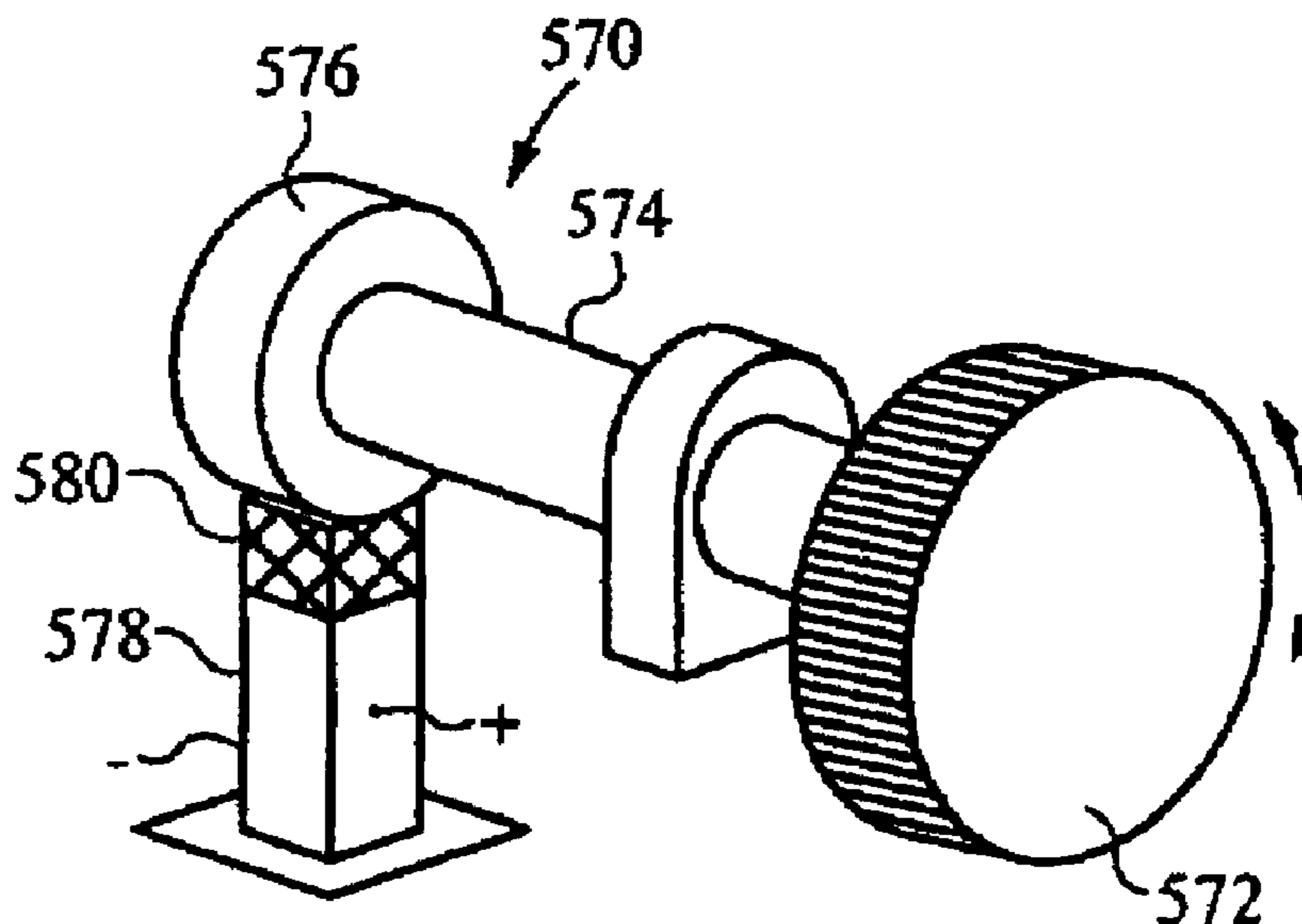
*Primary Examiner* — Ricardo L Osorio

(74) *Attorney, Agent, or Firm* — Pillsbury Winthrop Shaw Pittman LLP

(57) **ABSTRACT**

Haptic feedback interface devices using electroactive polymer (EAP) actuators to provide haptic sensations. A haptic feedback interface device is in communication with a host computer and includes a sensor device that detects the manipulation of the interface device by the user and an electroactive polymer actuator responsive to input signals and operative to output a force to the user caused by motion of the actuator. The output force provides a haptic sensation to the user. In an embodiment, a stylus including a body having a first end and a second end opposite from the first end, a moveable member coupled to the body and capable of being in contact with a user's hand; and an electro active polymer actuator coupled to the moveable member, wherein the electroactive polymer moves the moveable member from a first position to a second position with respect to the body upon being activated.

**24 Claims, 15 Drawing Sheets**



# US RE44,277 E

Page 2

## U.S. PATENT DOCUMENTS

4,543,090	A *	9/1985	McCoy	604/95.05
4,633,123	A *	12/1986	Radice	310/339
4,896,554	A	1/1990	Culver	74/471 XY
4,975,616	A *	12/1990	Park	310/339
5,072,076	A *	12/1991	Camp, Jr.	178/18.05
5,165,897	A	11/1992	Johnson	434/113
5,734,373	A	3/1998	Rosenberg et al.	345/161
5,736,978	A	4/1998	Hasser et al.	345/173
5,739,811	A	4/1998	Rosenberg et al.	345/161
6,002,184	A	12/1999	Delson et al.	310/14
6,028,593	A	2/2000	Rosenberg et al.	345/156
6,147,674	A	11/2000	Rosenberg et al.	345/157
6,323,449	B1 *	11/2001	Janniere	200/408
6,326,644	B1 *	12/2001	Lee et al.	257/91
6,379,393	B1 *	4/2002	Mavroidis et al.	623/25
6,514,237	B1 *	2/2003	Maseda	604/533
6,586,859	B2 *	7/2003	Kornbluh et al.	310/309
6,809,462	B2 *	10/2004	Pelrine et al.	310/319
6,940,211	B2 *	9/2005	Pelrine et al.	310/330
6,957,164	B2 *	10/2005	Dietz et al.	702/155
7,196,688	B2 *	3/2007	Schena	345/156
7,511,706	B2 *	3/2009	Schena	345/179
2001/0036790	A1 *	11/2001	Kornbluh et al.	446/337

## FOREIGN PATENT DOCUMENTS

JP	56101788	8/1981
JP	60263690	12/1985
JP	02103823	4/1990
JP	3069791	3/1991
JP	05-333171 A	12/1993
JP	06029587	2/1994
JP	07-074406 A	3/1995
JP	07-146751 A	6/1995
JP	8-030189	2/1996
JP	10-500516 A	1/1998
JP	11-184369 A	7/1999
JP	11-203025 A	7/1999
JP	11184369	7/1999
JP	11203025	7/1999
WO	WO 92/00559	1/1992
WO	WO9602887	2/1996
WO	WO 97/21160	6/1997
WO	WO 98/14860	4/1998

WO	WO 98/24183	6/1998
WO	WO 99/48083	9/1999
WO	WO9949443	9/1999
WO	WO9966997	12/1999

## OTHER PUBLICATIONS

'Electroactive Polymer Actuators and Devices', SPIE, vol. 3669, 1999 article Kornbluh et al: "High-field electrostriction of elastomeric polymer dielectrics for actuator".

'Electroactive Polymer Actuators and Devices', SPIE, vol. 3996, article M.Shahinpoor: 'Electromechanics of iono-elastic beams as electrically-controdes'.

'Electroactive Polymer Actuators and Devices', SPIE, vol. 3669, 1999 article K. Oguro et al.: 'Polymer electrolyte Actuator with Gold Electrodes'.

'Electroactive Polymer Actuators and devices', SPIE, vol. 3669, 1999 article R. Lumia et al.: 'Microgripper design using electro-active polymers'.

Pelrine R et al: "Electrostriction of Polymer Films for Microactuators" Micro Electro Mechanical Systems, 1997. MEMS '97, Proceedings, IEEE, Tenth Annual International Workshop on Nagoya, Japan Jan. 26-30, 1997, New York, NY, USA, IEEE, US, Jan. 26, 1997, pp. 238-243, XP010216911 ISBN: 978-0-7803-3744-2.

Shimoga K B et al: "Touch and Force Reflection for Telepresence Surgery" Engineering in Medicine and Biology Society, 1994. Engineering Advance S: New Opportunities for Biomedical Engineers., Proceedings of the 16th Annual International Conference of the IEEE Baltimore, Md, Usa Nov. 3-6, 1994, New York, Ny, Usa, IEEE, US, Nov. 3, 1994, pp. 1049-1050, Xp010145559 Isbn: 978-0-7803-2050-5.

Pfeiffer Charles et al: "Electrorheological Fluid Based Force Feedback Device" Proceedings of Spie—The International Society for Optical Engineering 1999 Society of Photo-Optical Instrumentation Engineers, vol. 3840, 1999, pp. 88-99, XP002510964.

Lee MH et al: "Review Article Tactile Sensing for Mechatronics—A State of the Art Survey" Mechatronics, Pergamon Press, Oxford, Gb, vol. 9, No. 1, Feb. 1, 1999, pp. 1-31, Xp004152577 Issn: 0957-1458.

\* cited by examiner

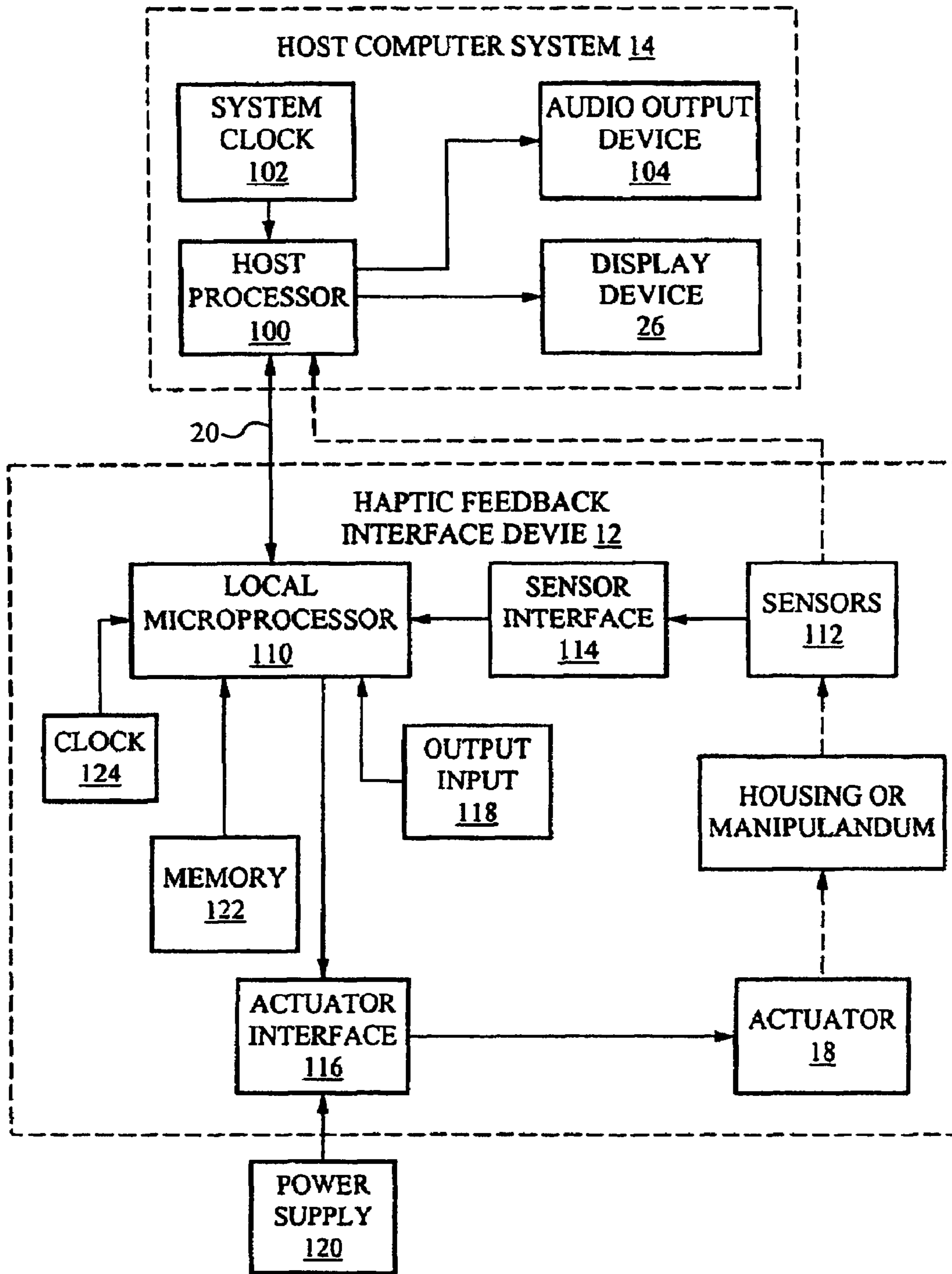


Fig. 1



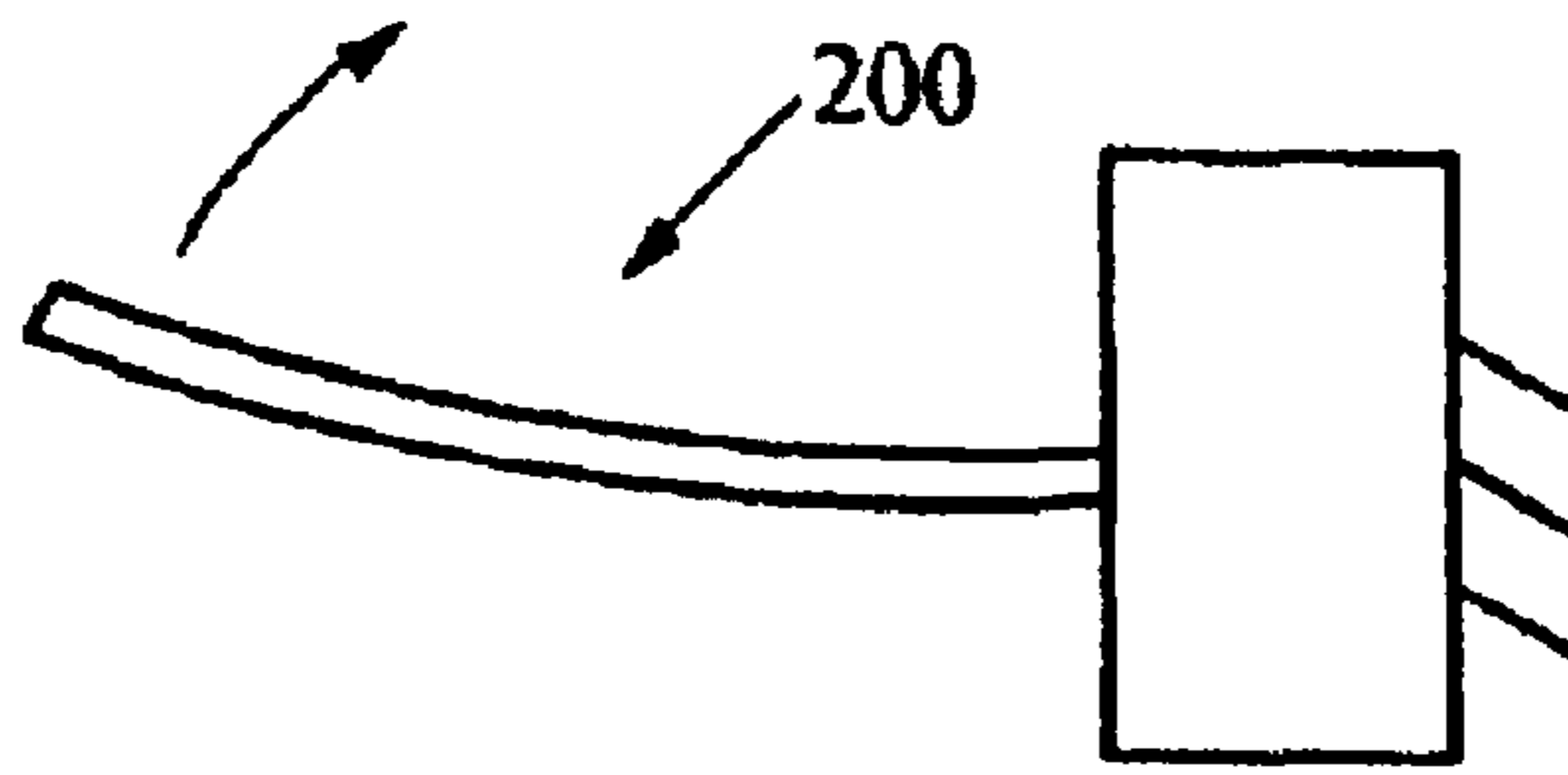


Fig. 2A

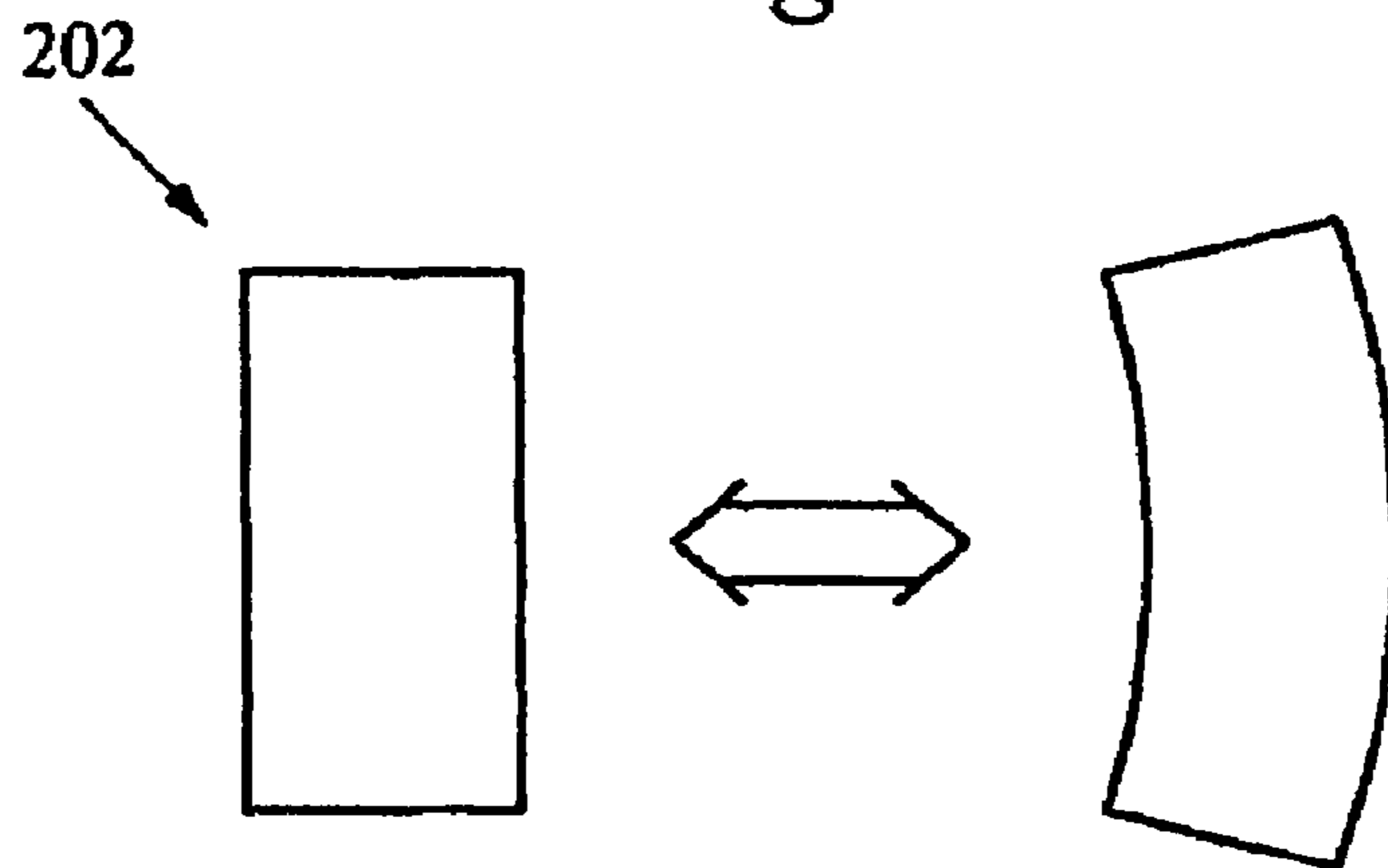


Fig. 2B

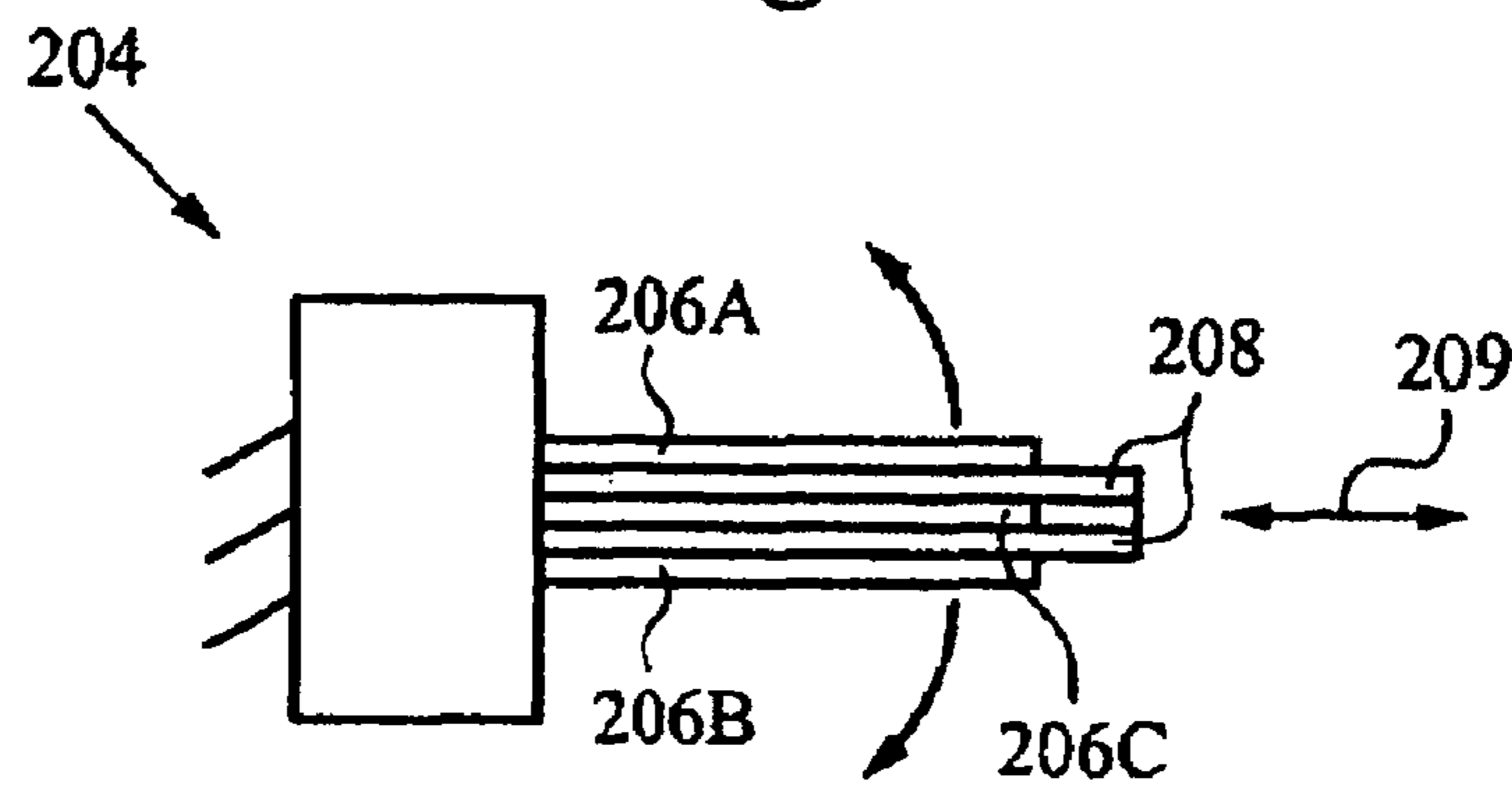


Fig. 2C

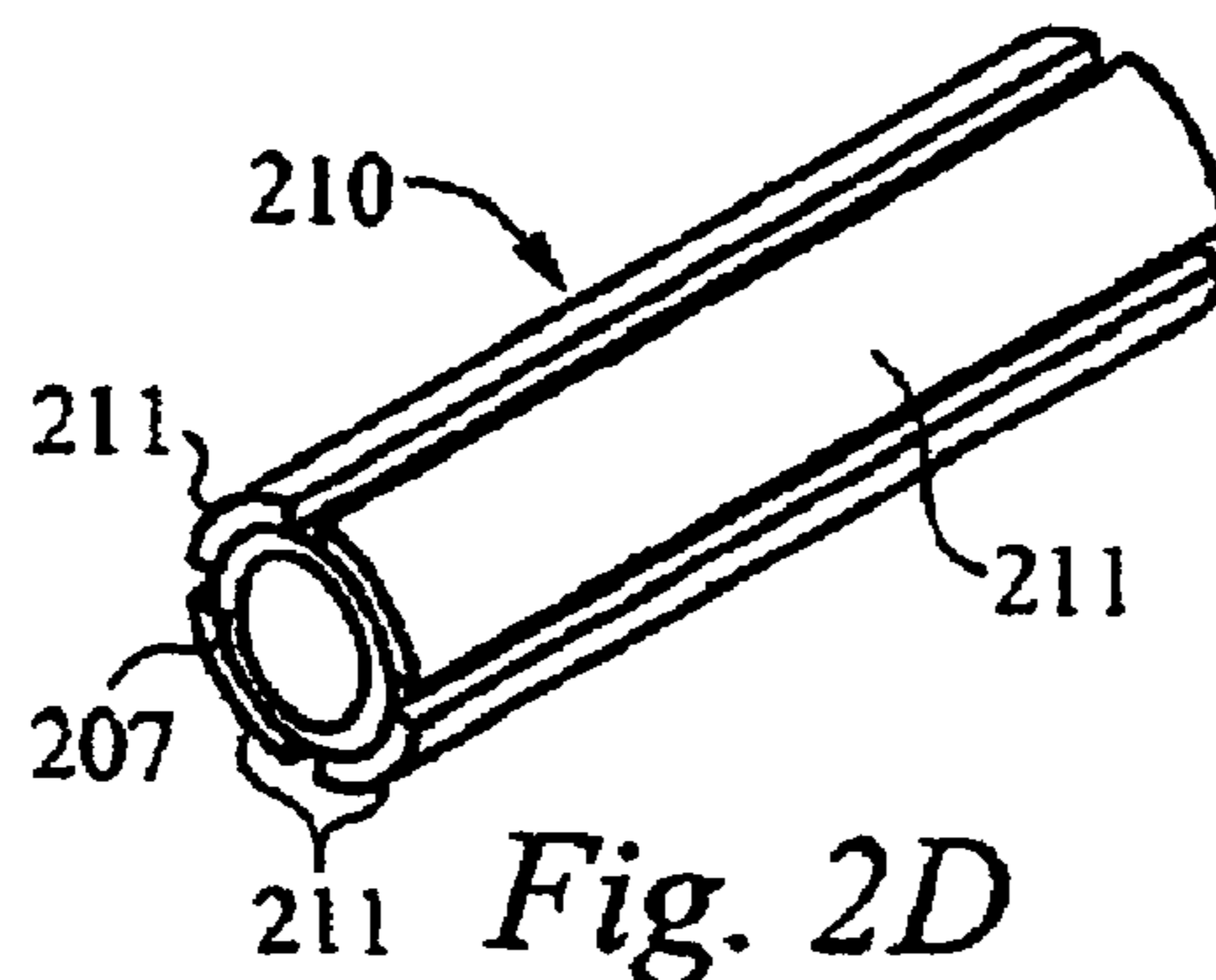
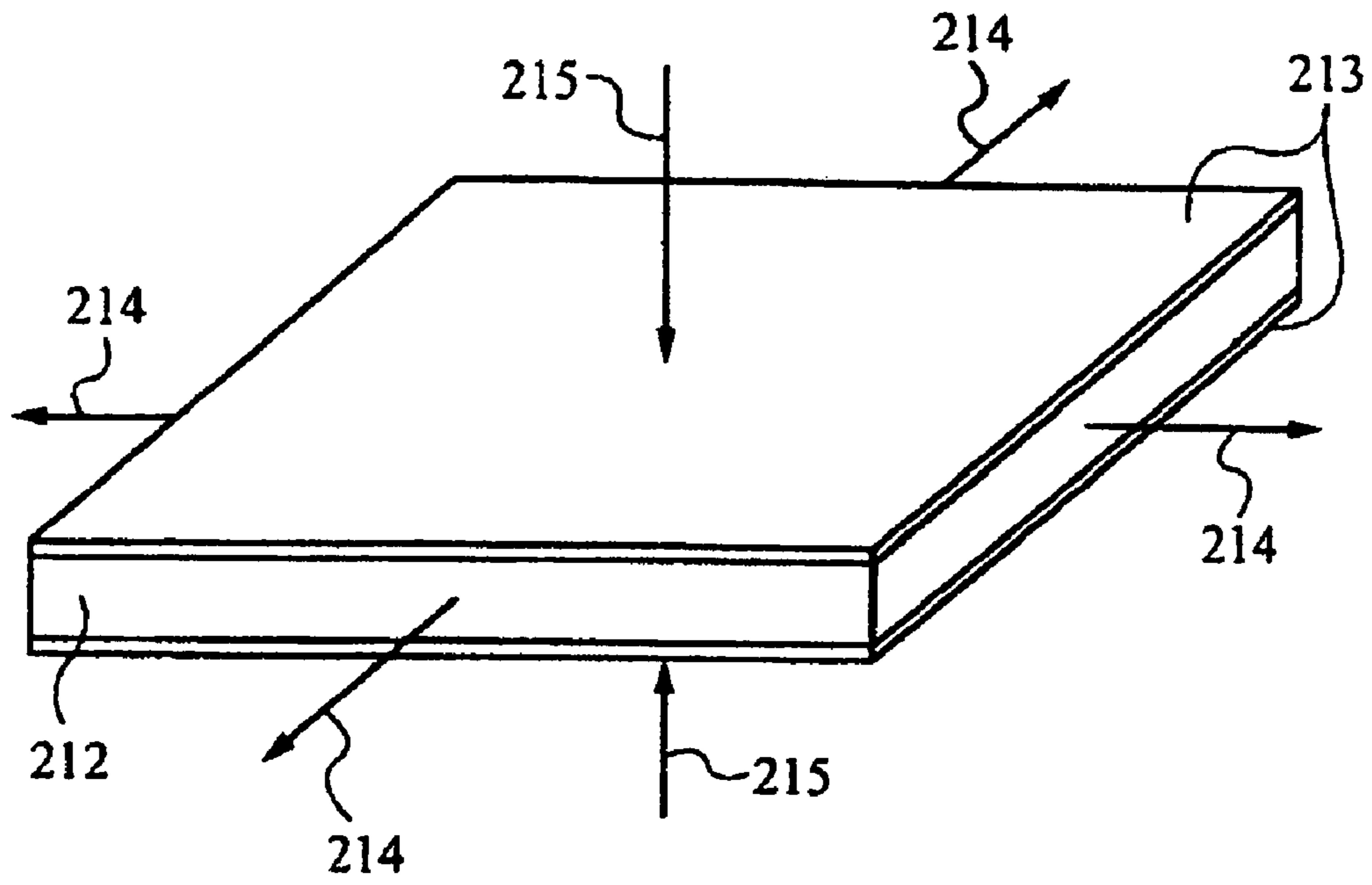
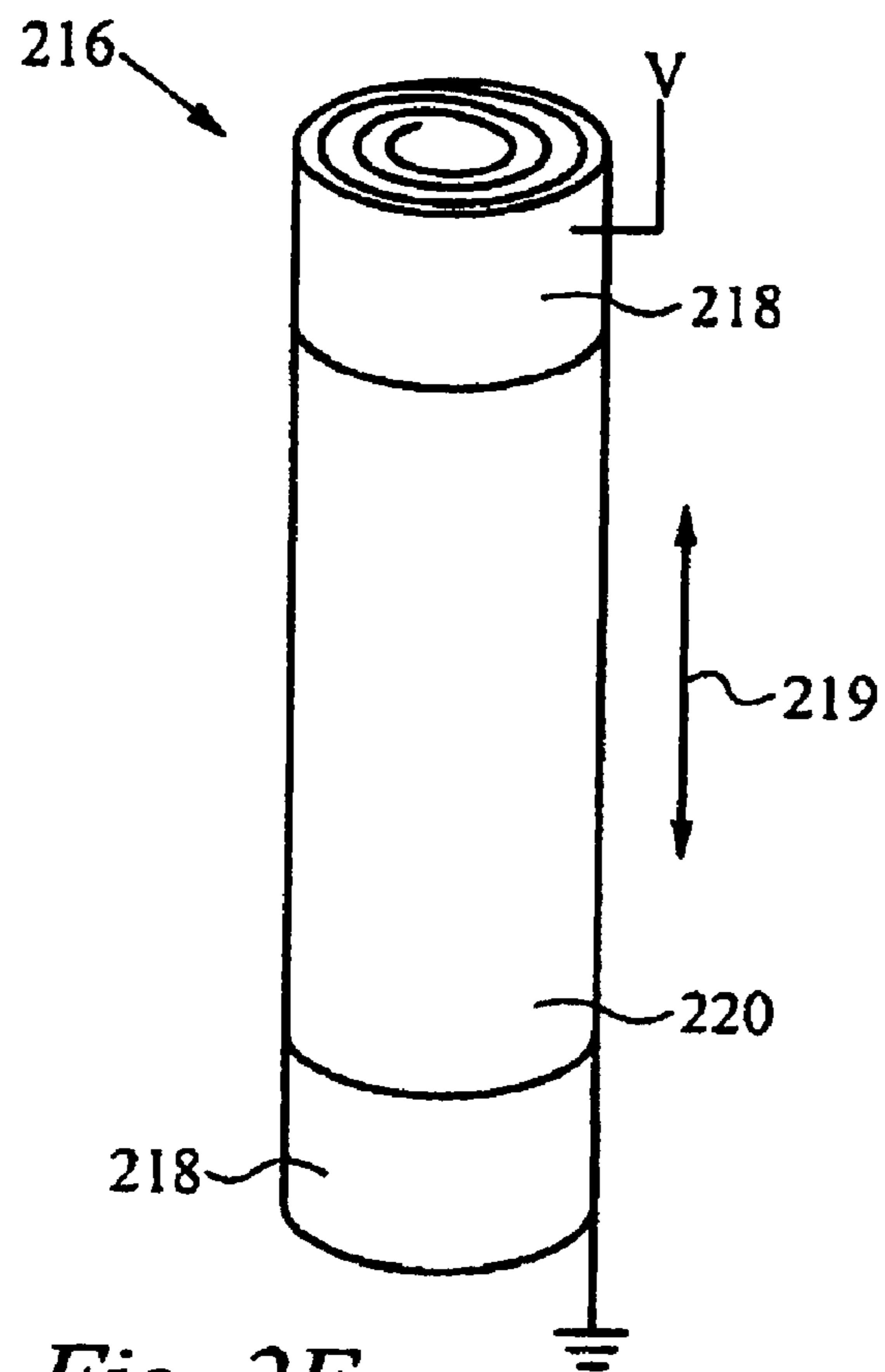


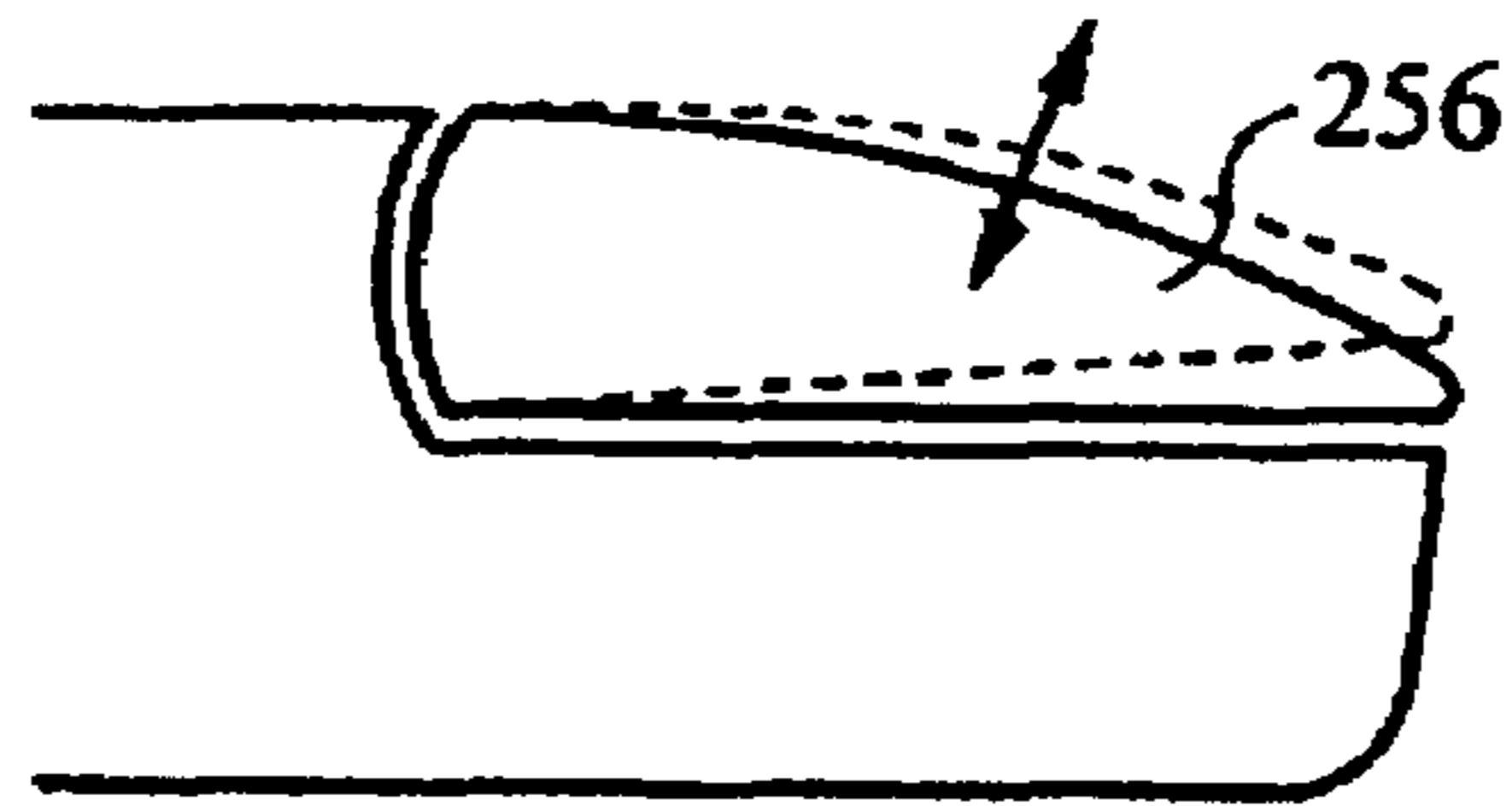
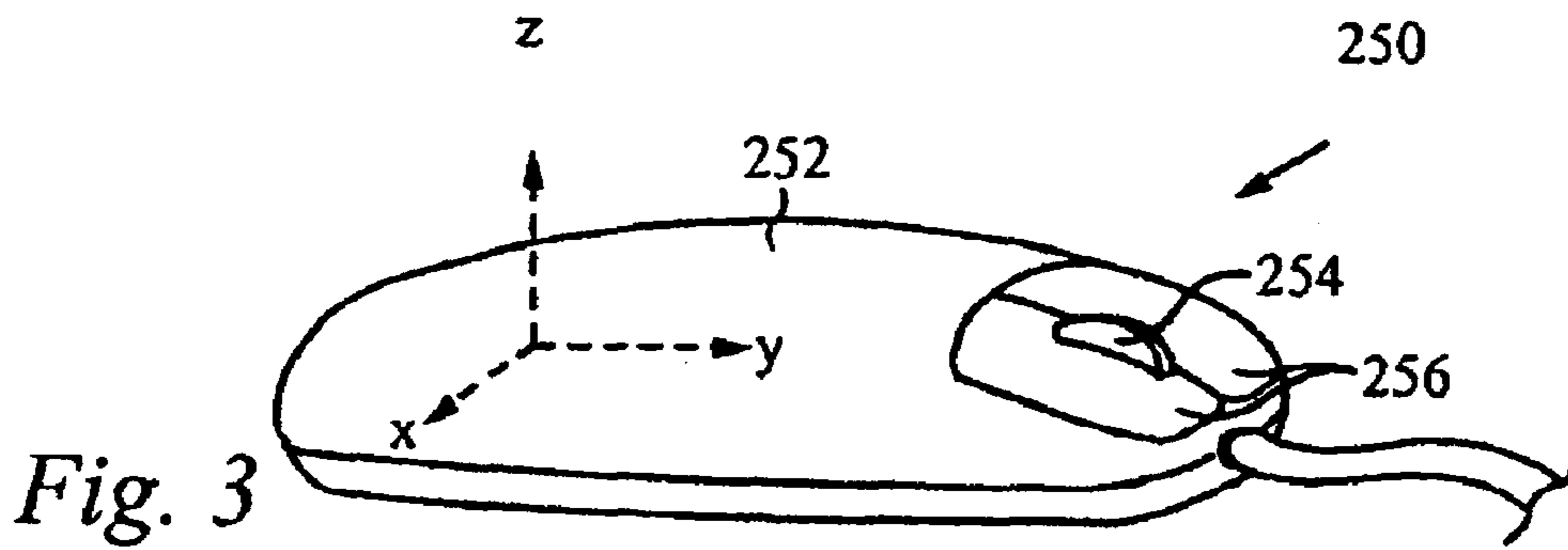
Fig. 2D



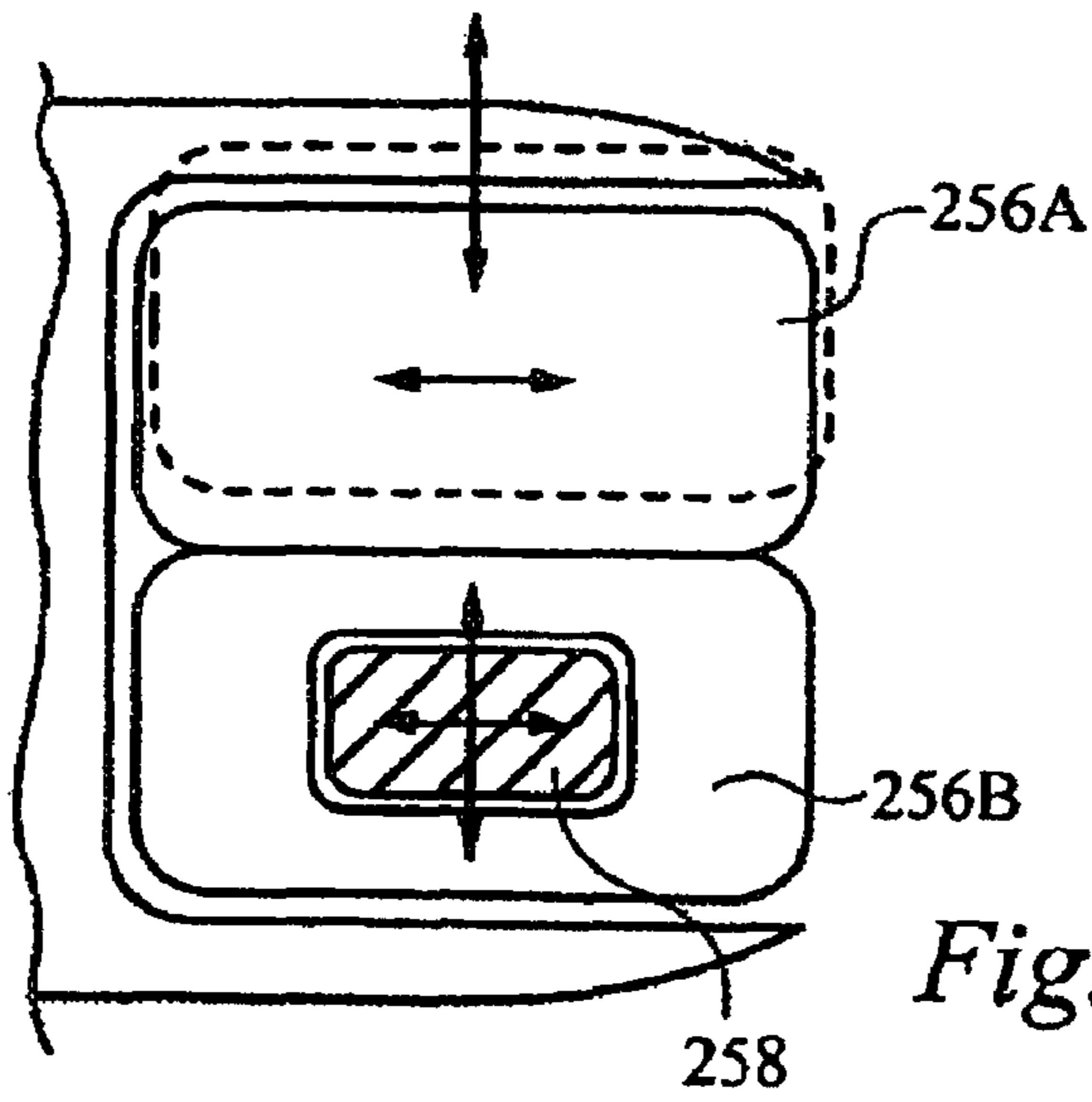
*Fig. 2E*



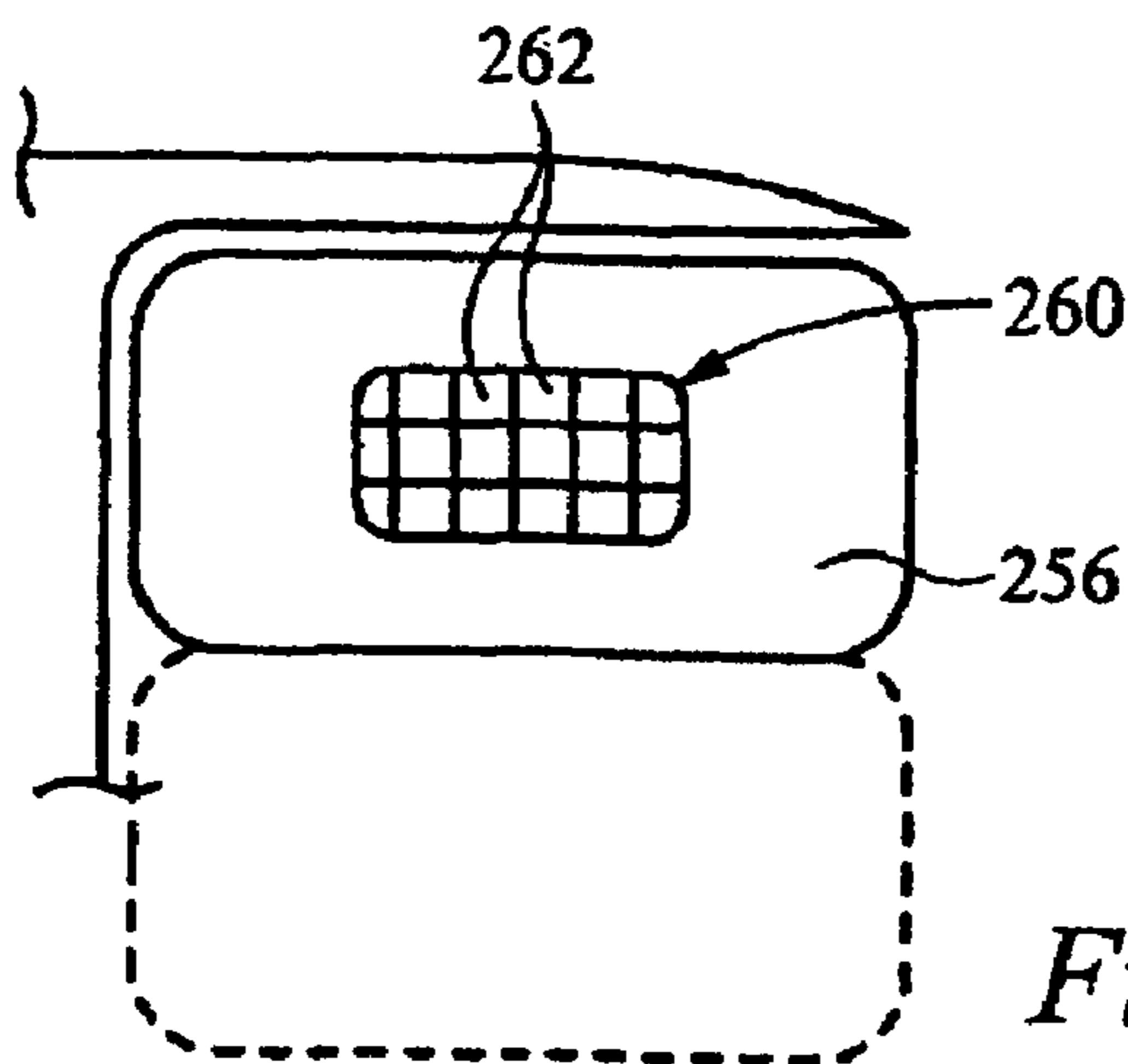
*Fig. 2F*



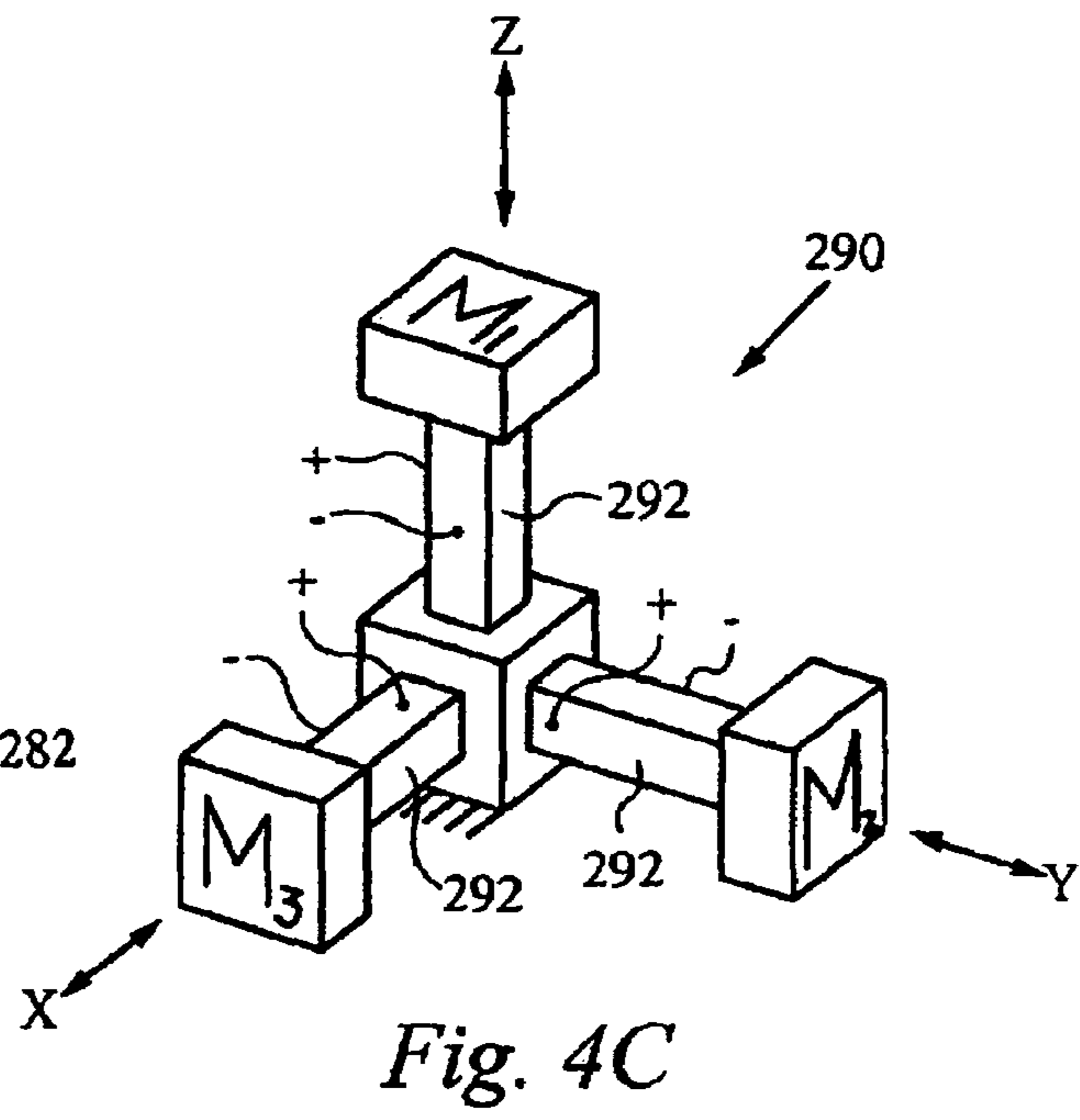
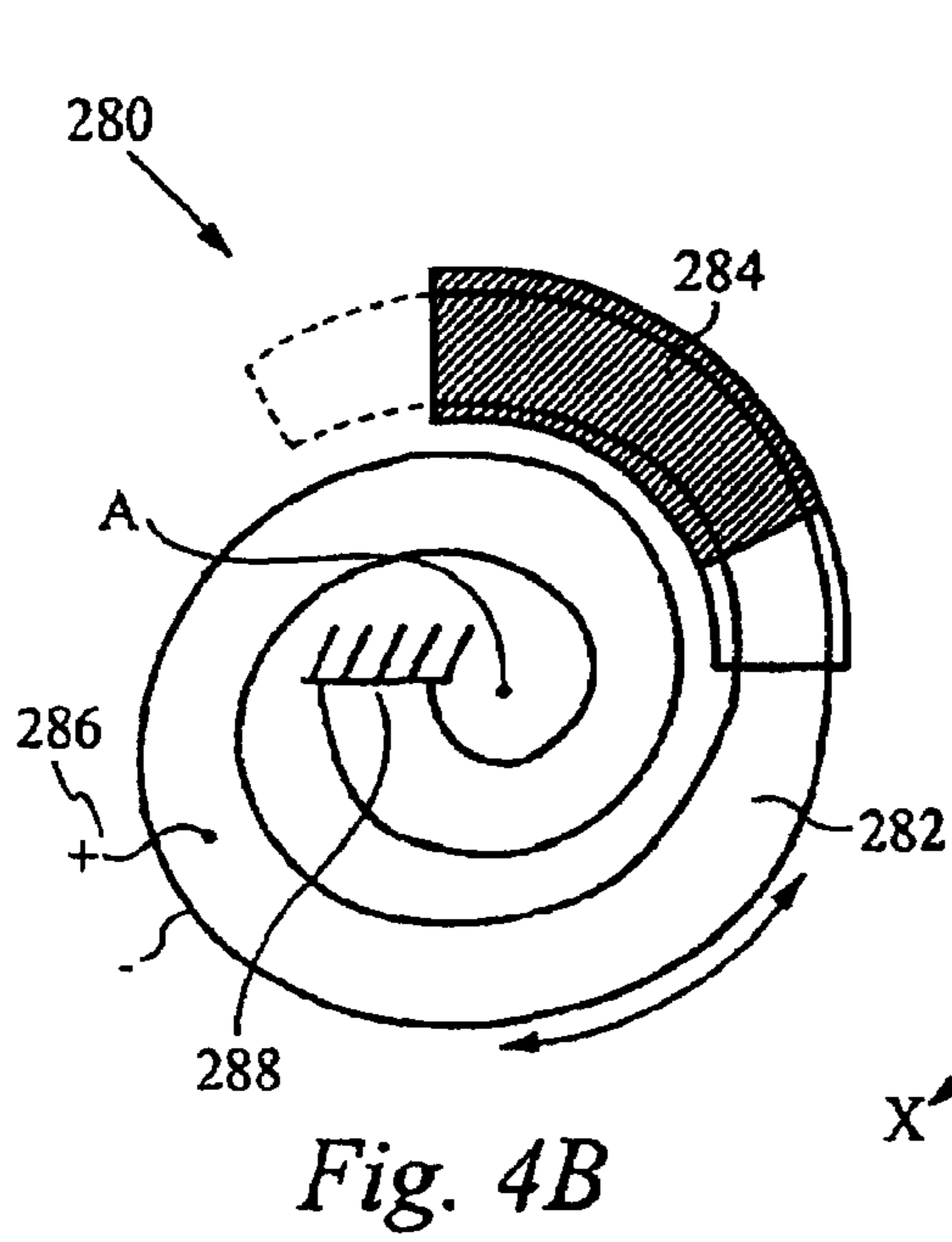
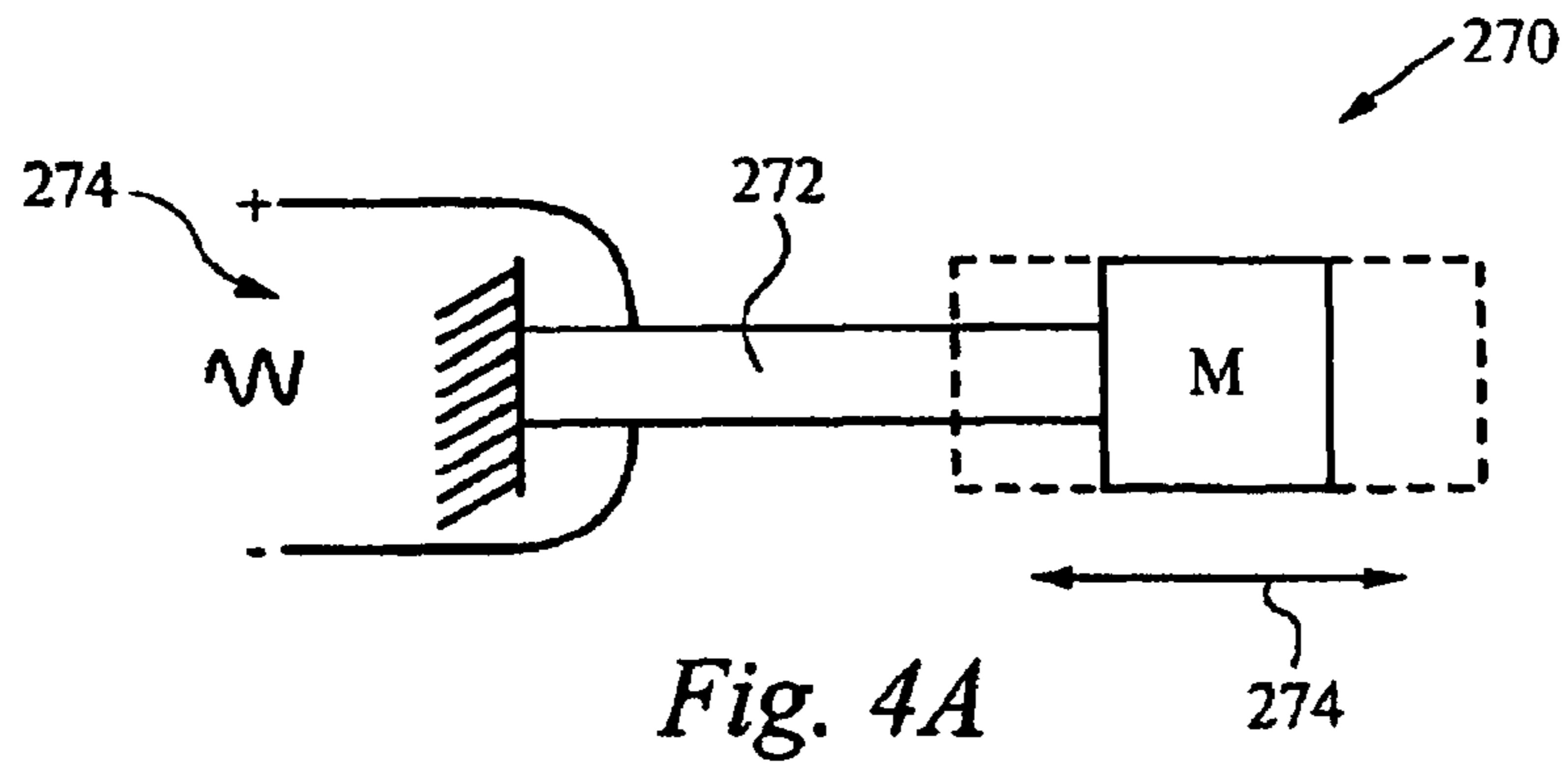
*Fig. 3A*

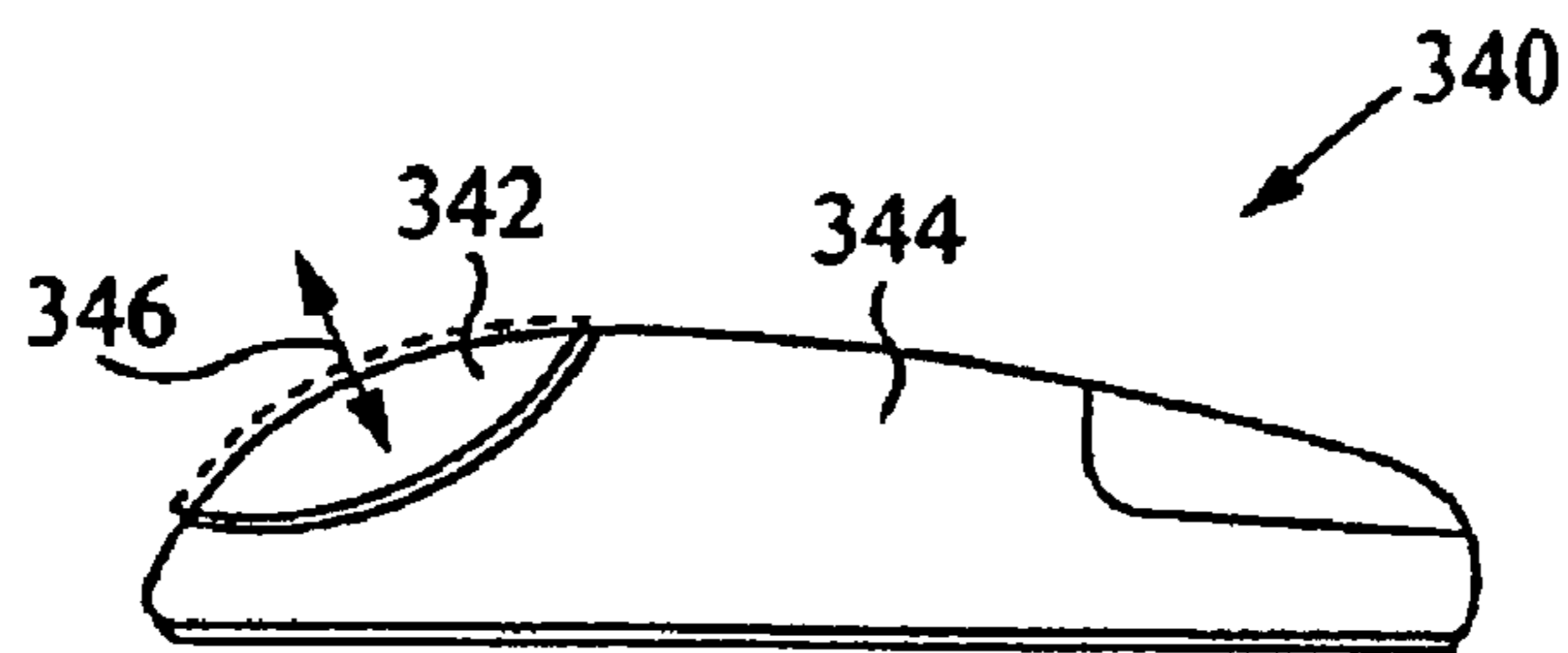
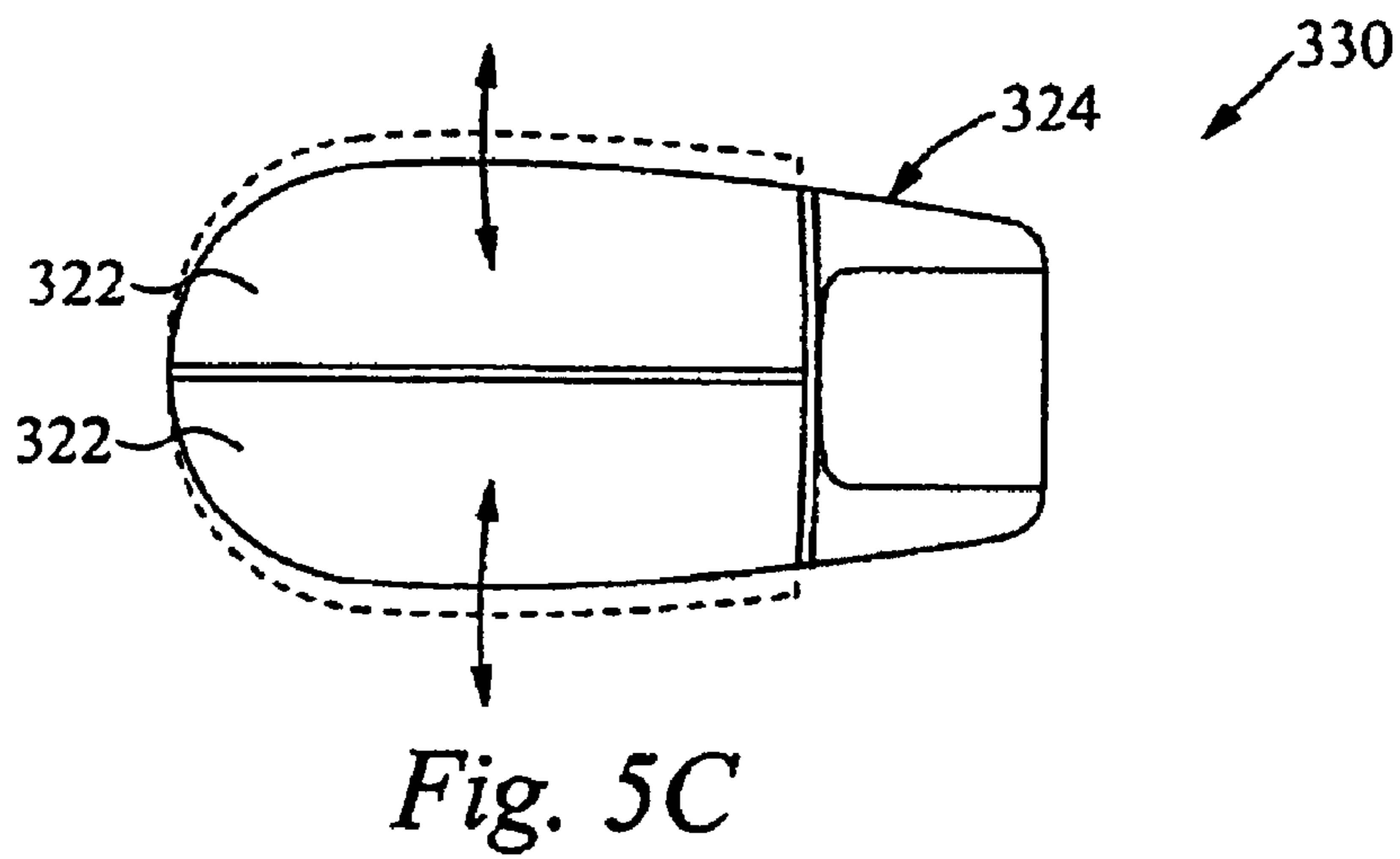
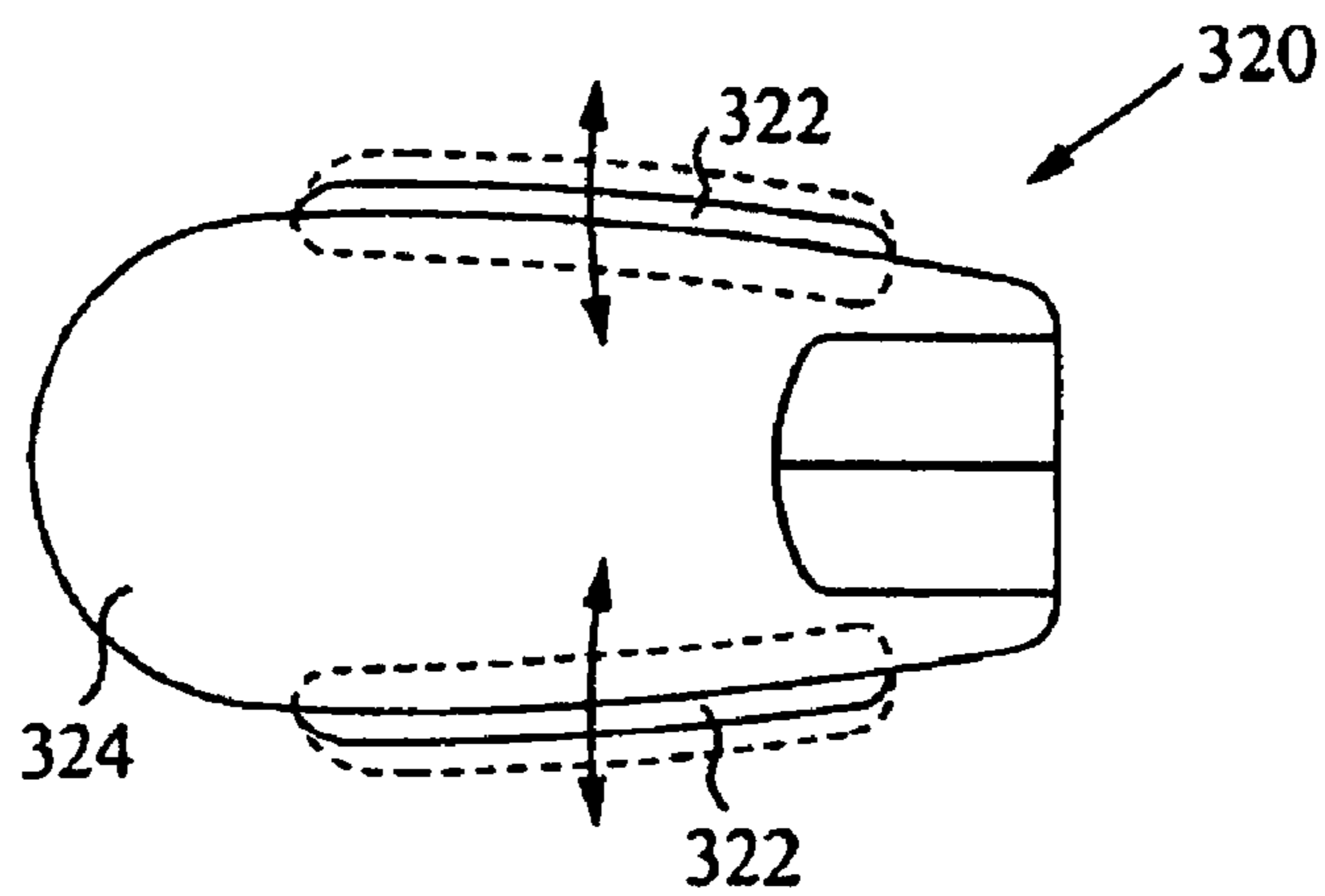
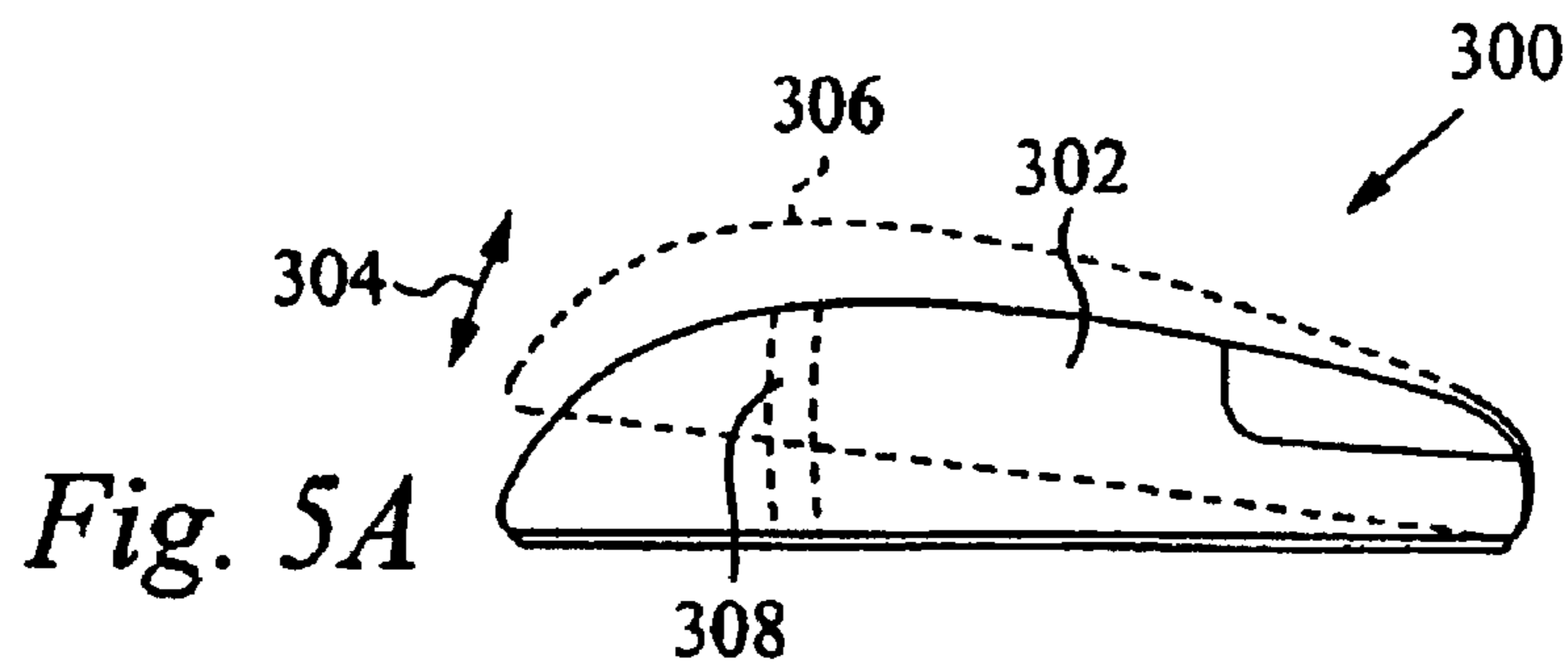


*Fig. 3B*



*Fig. 3C*







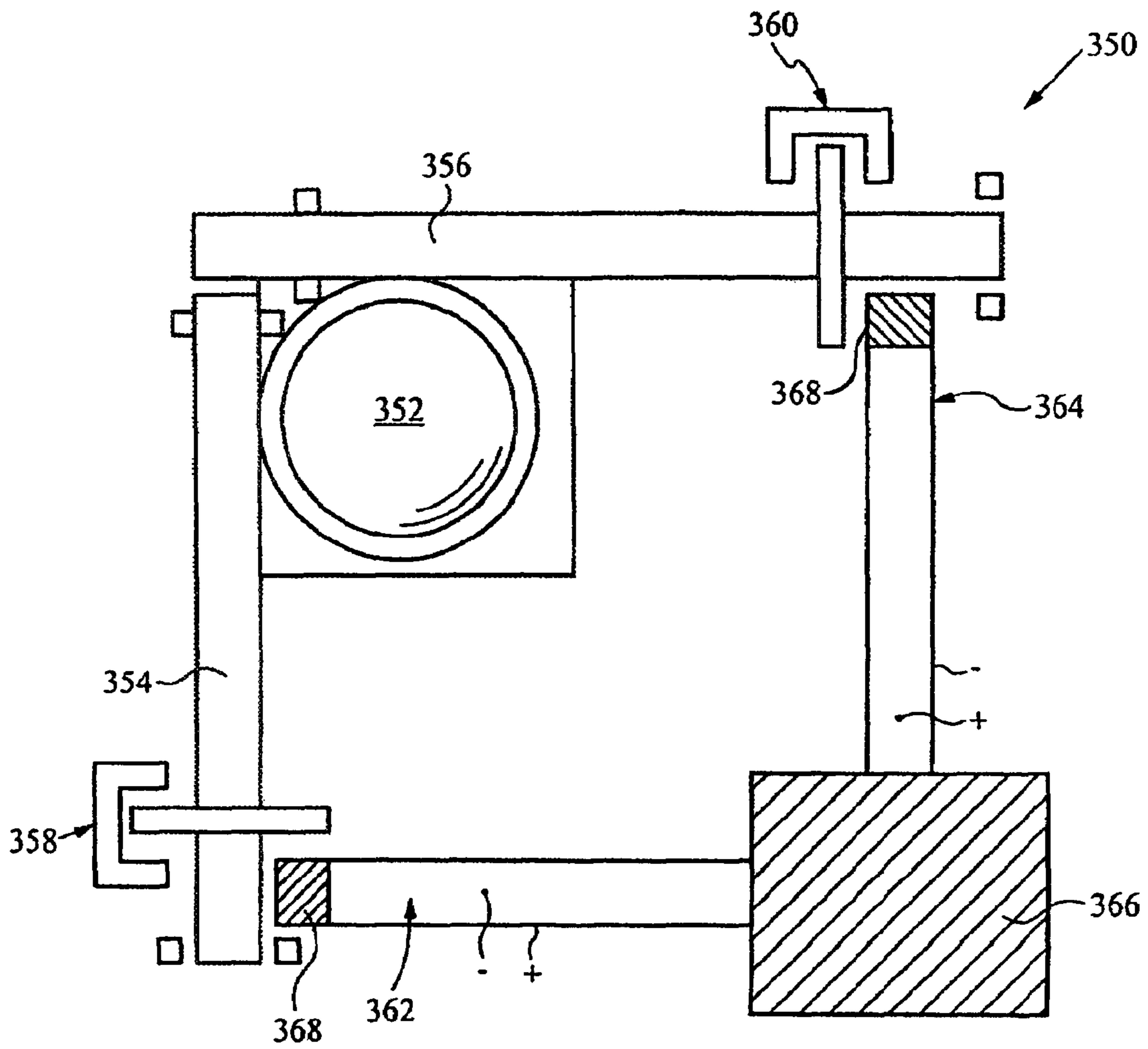


Fig. 6

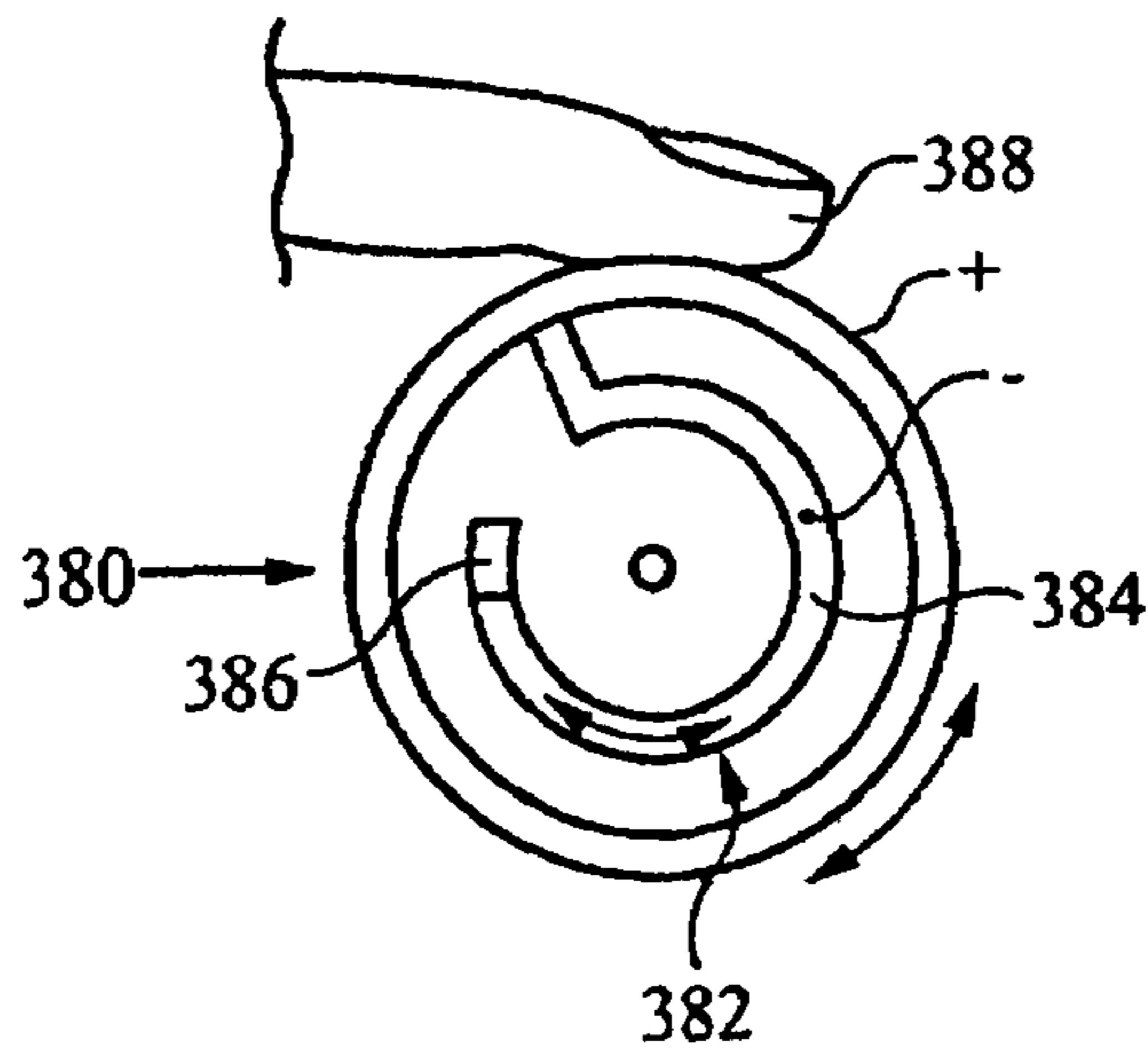


Fig. 7A

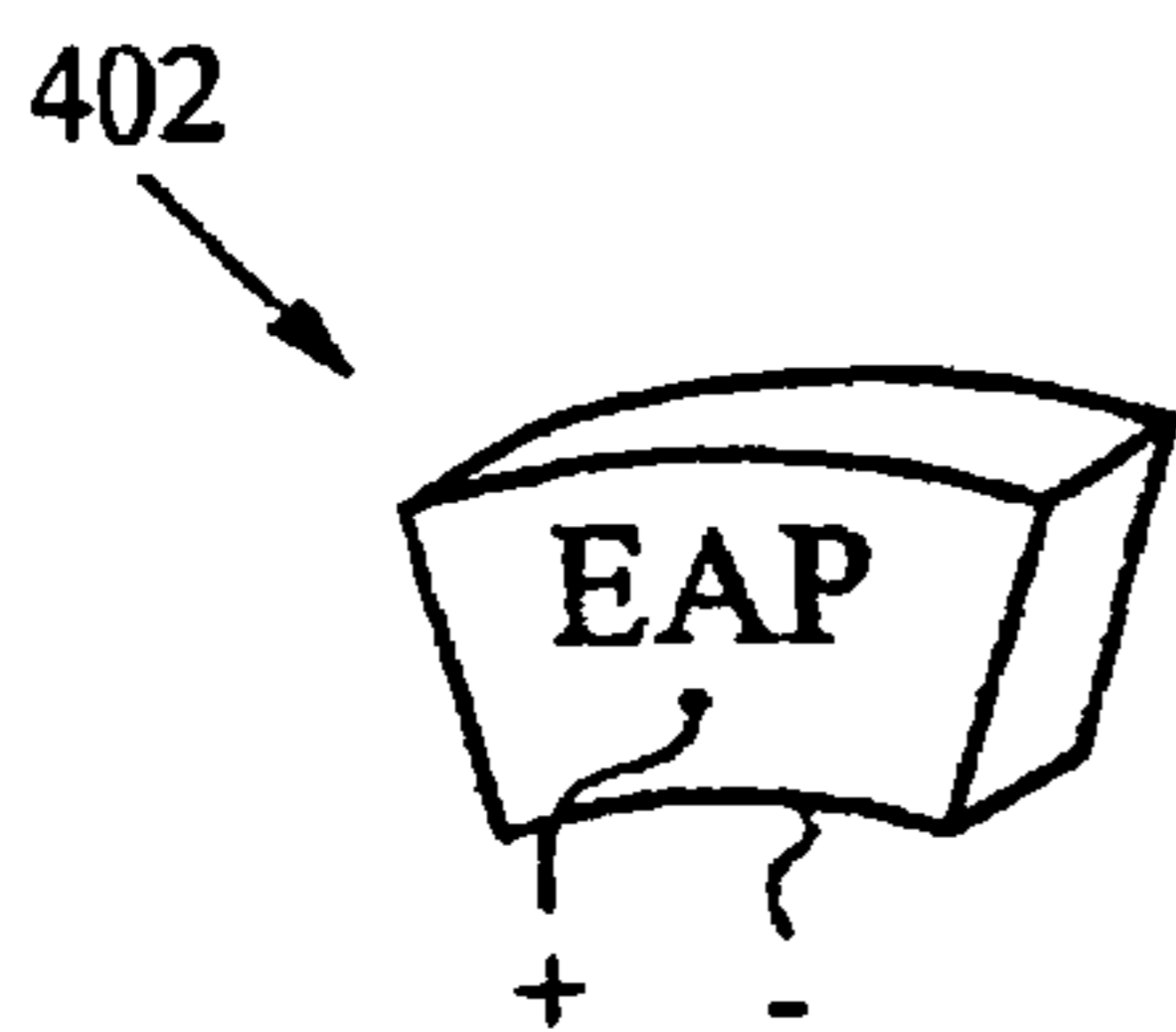


Fig. 7C

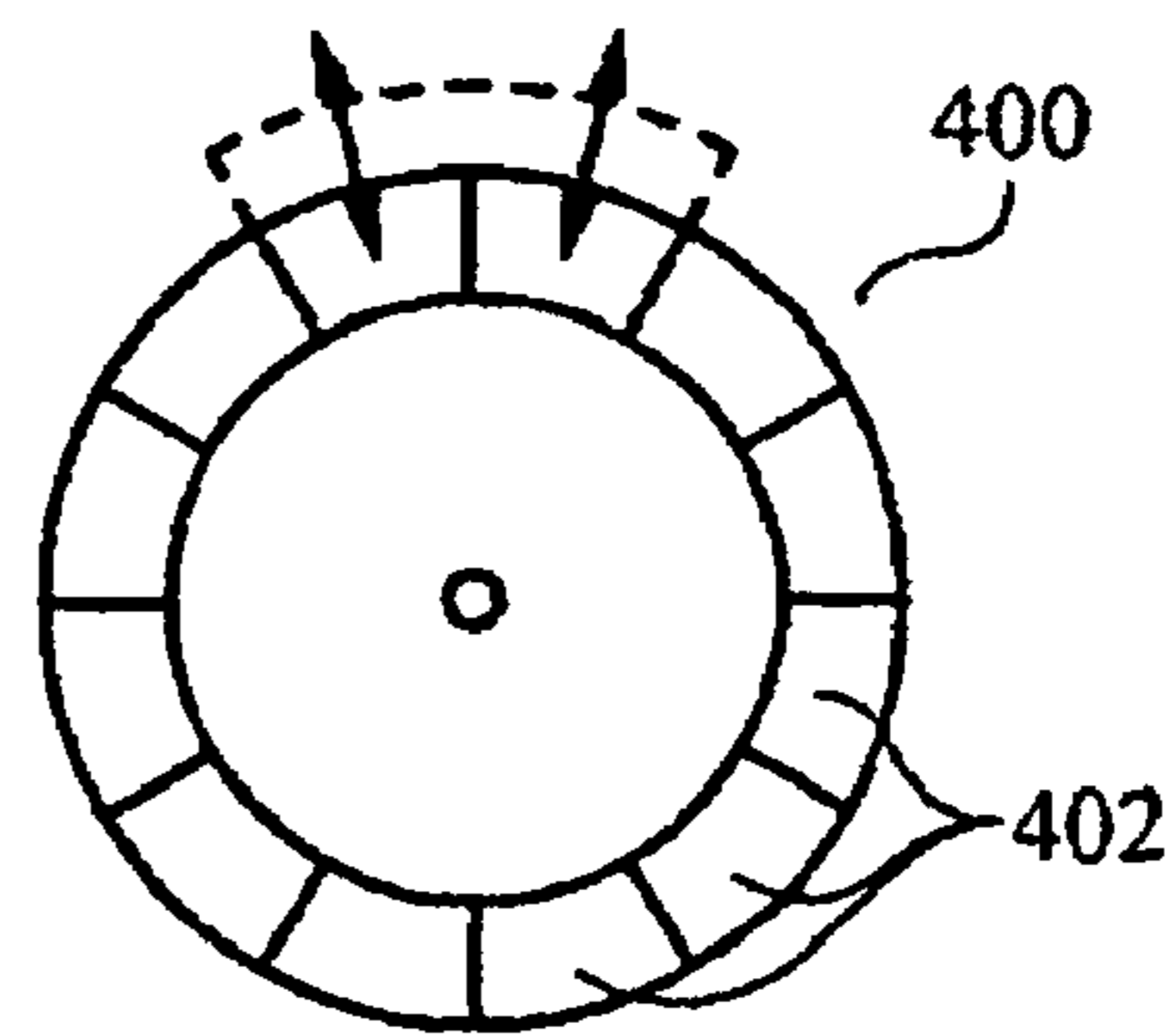


Fig. 7B

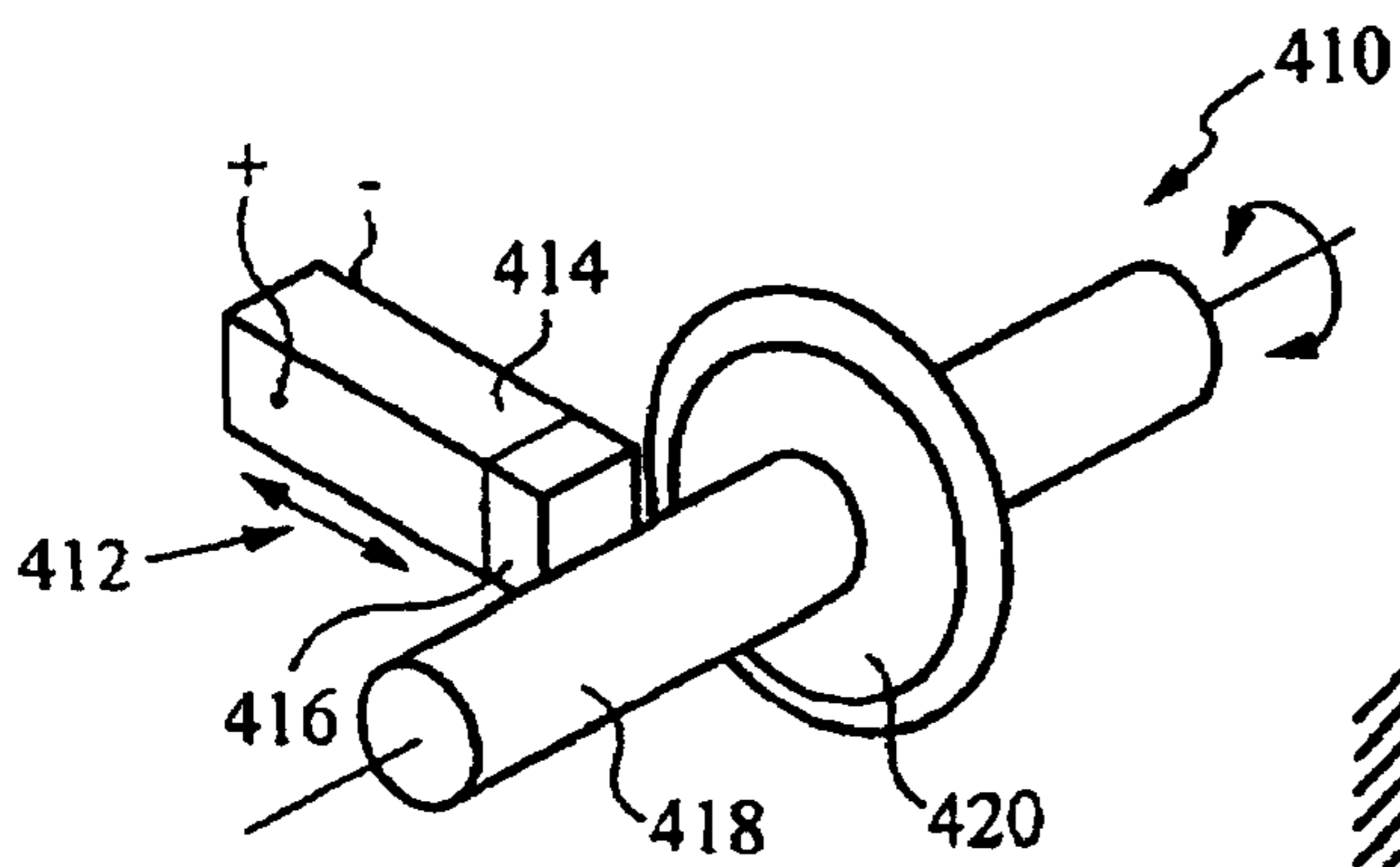


Fig. 7D

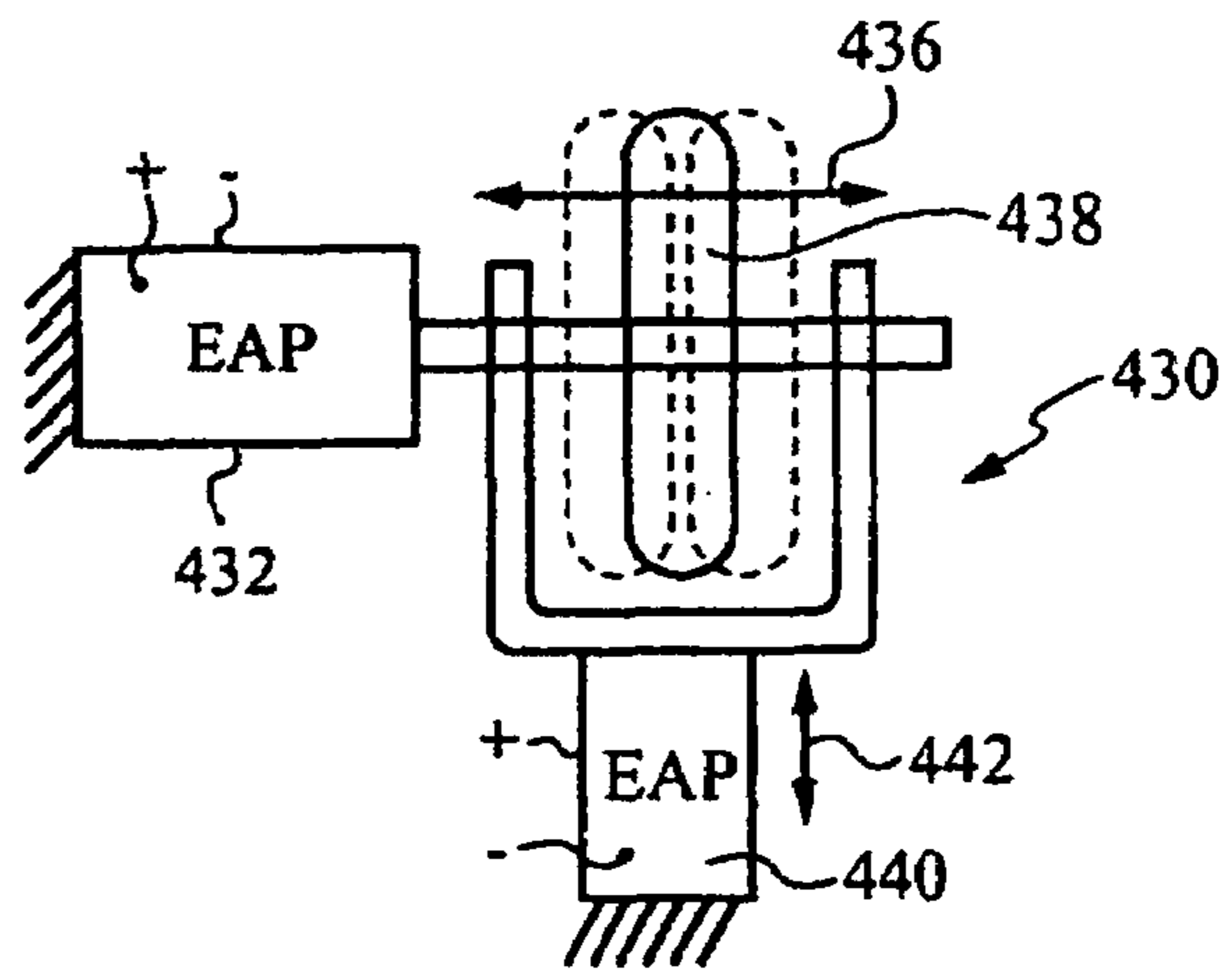


Fig. 7E

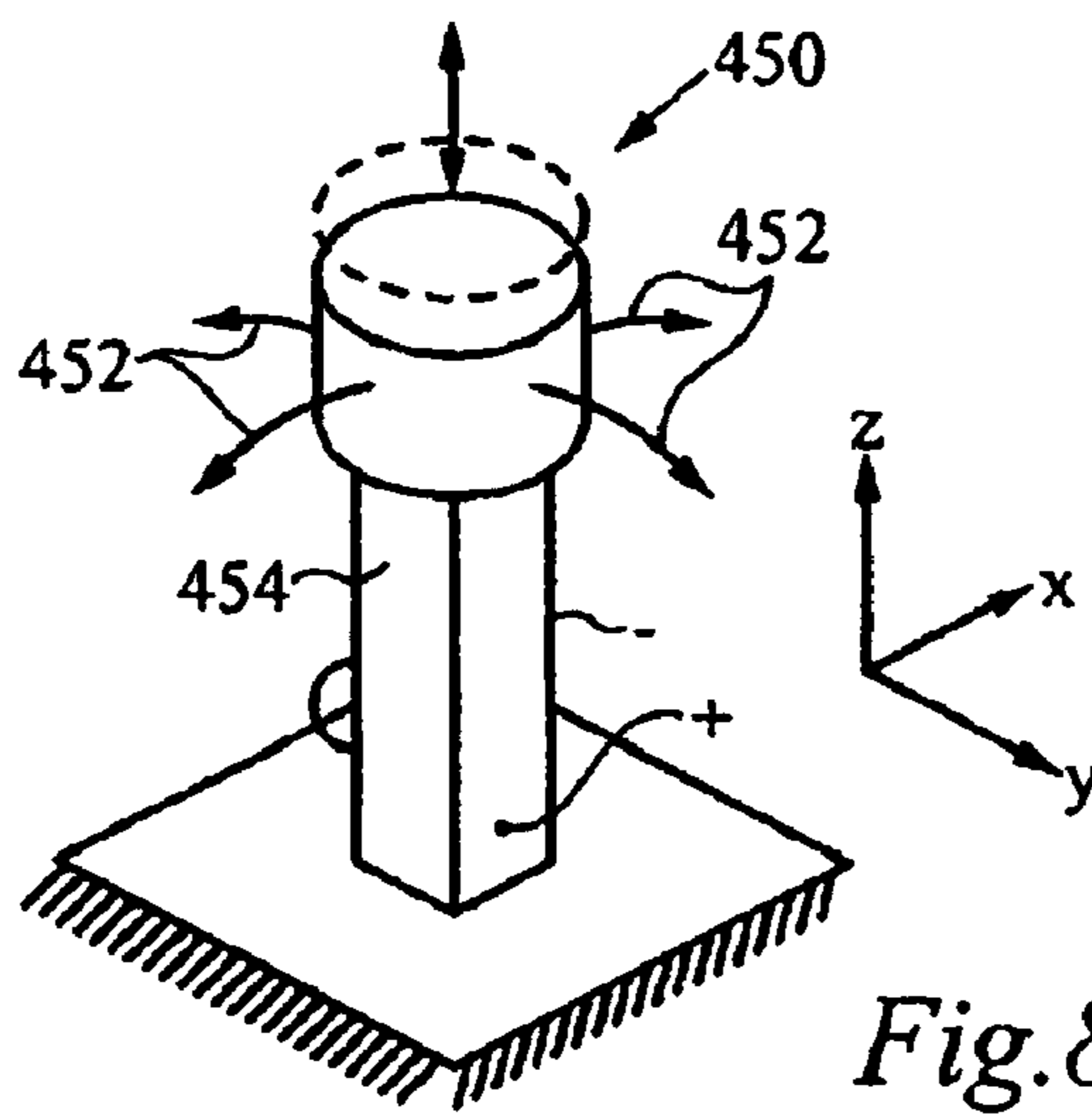


Fig. 8A

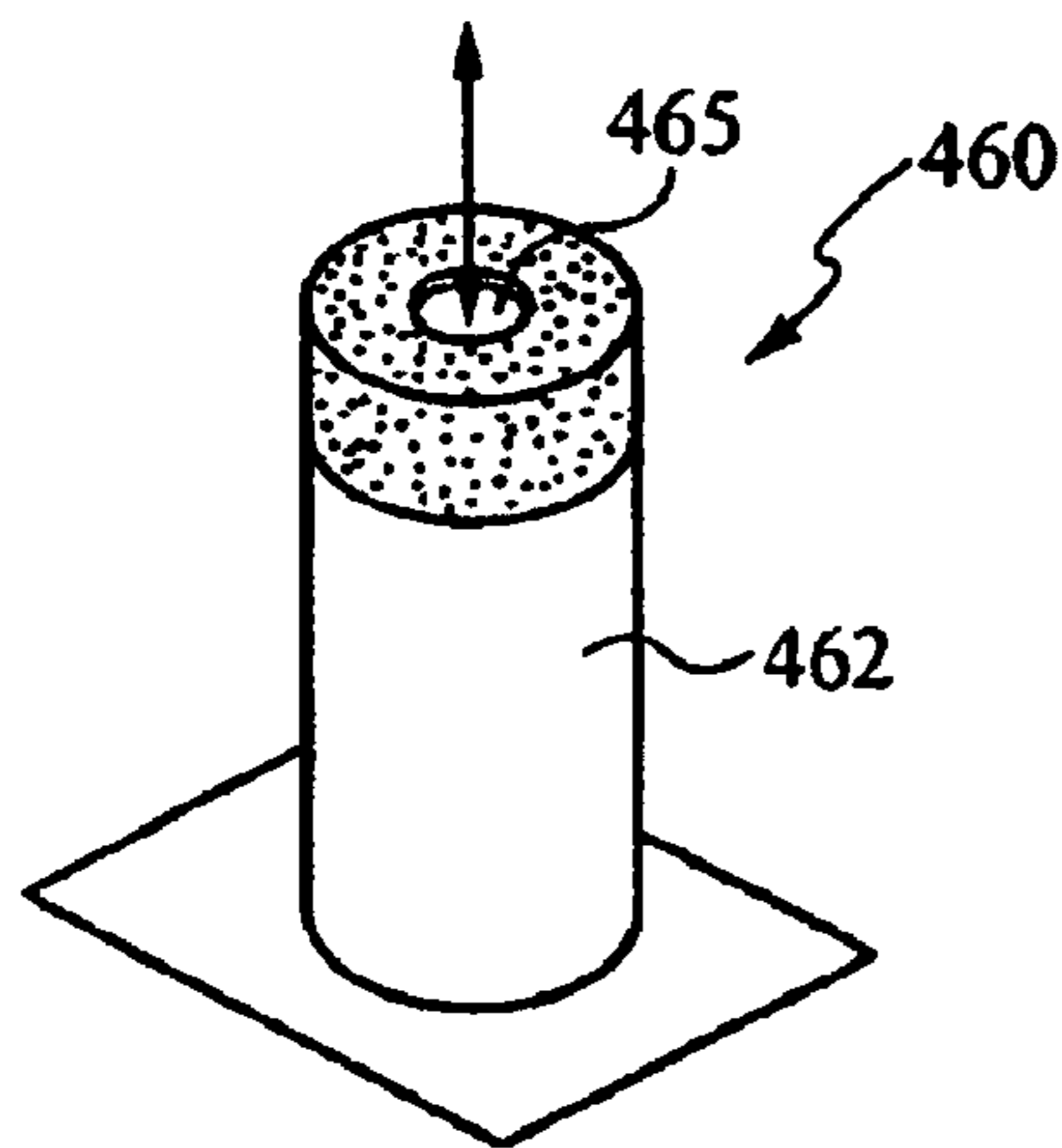


Fig. 8B

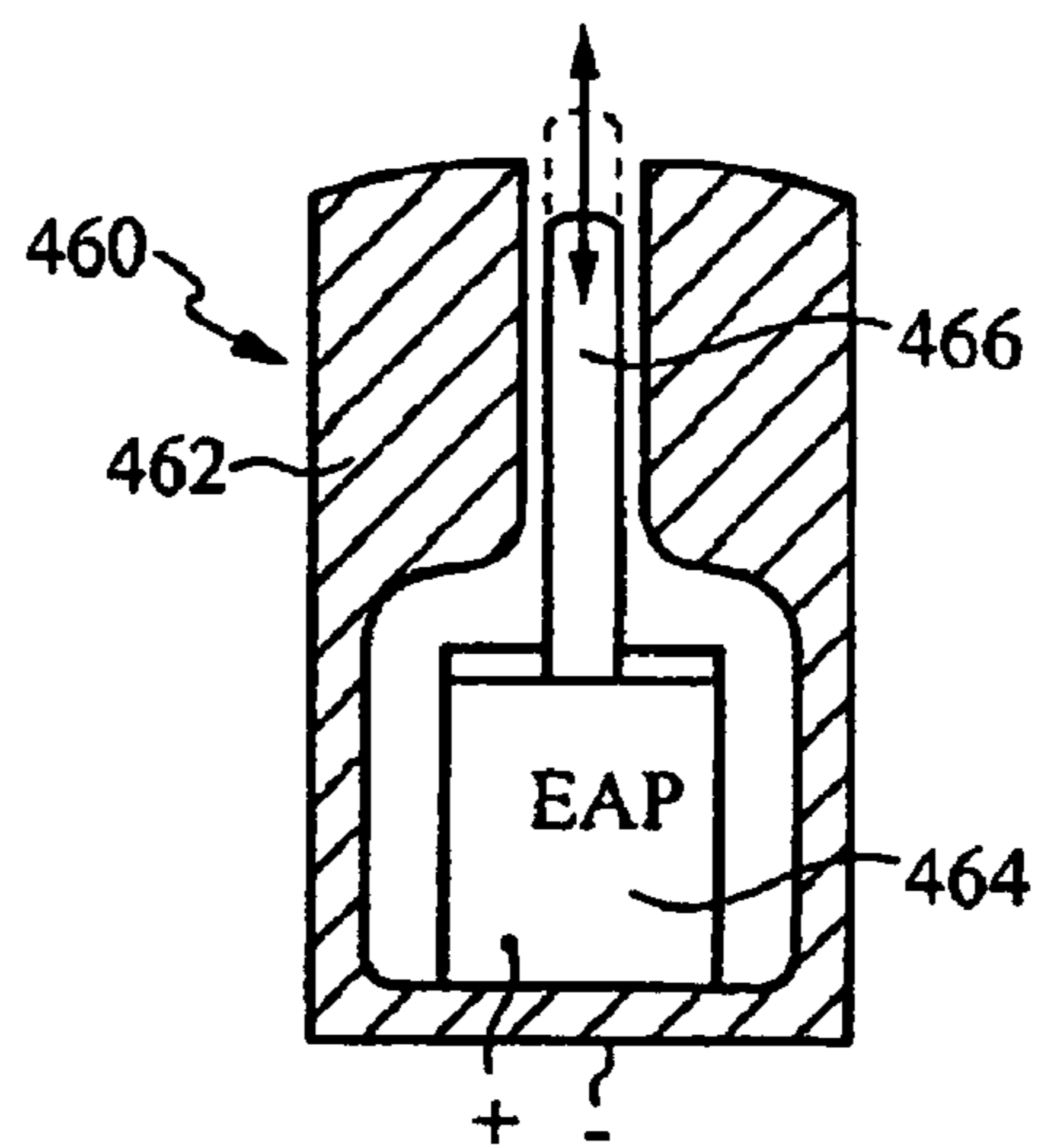


Fig. 8C

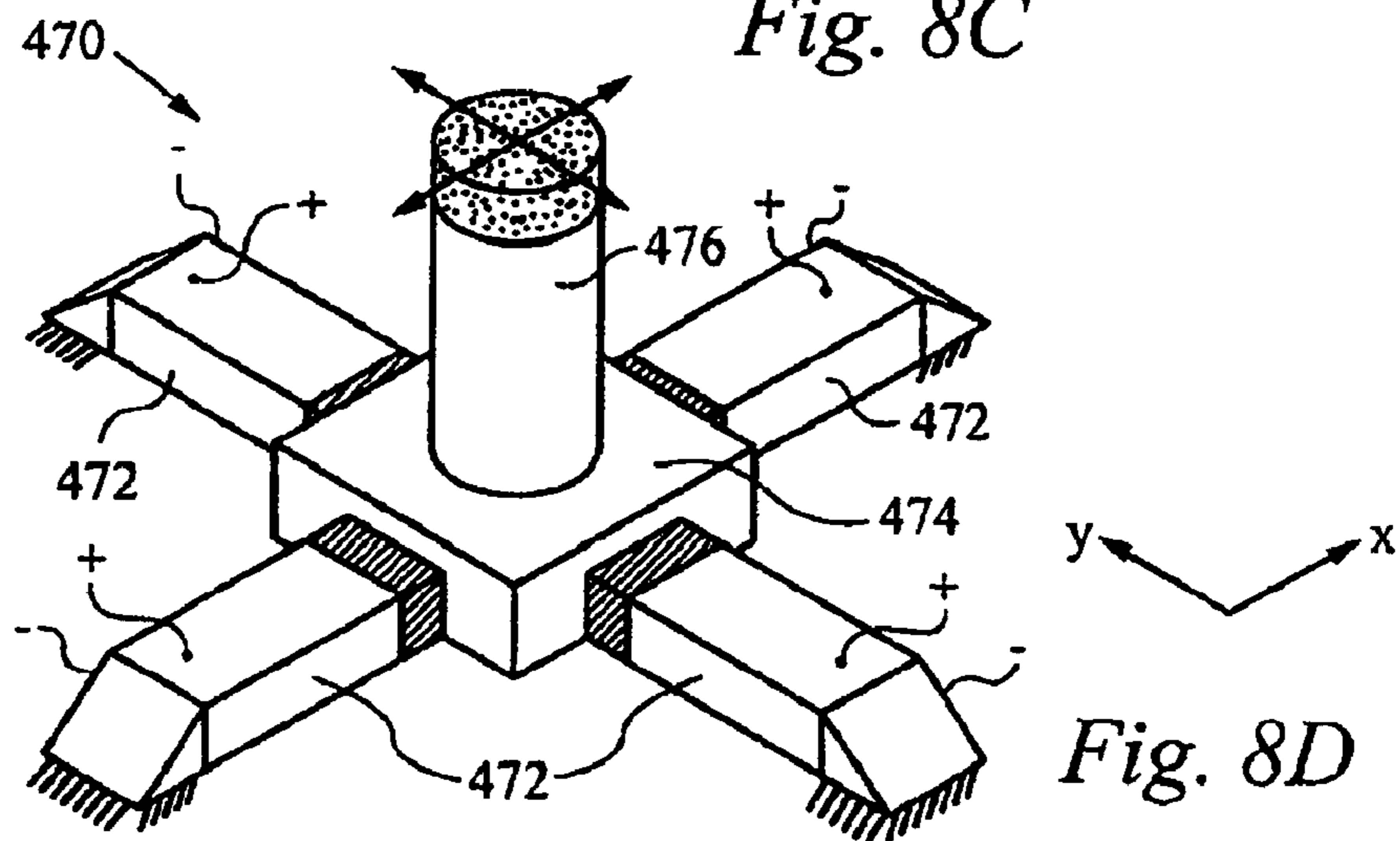


Fig. 8D

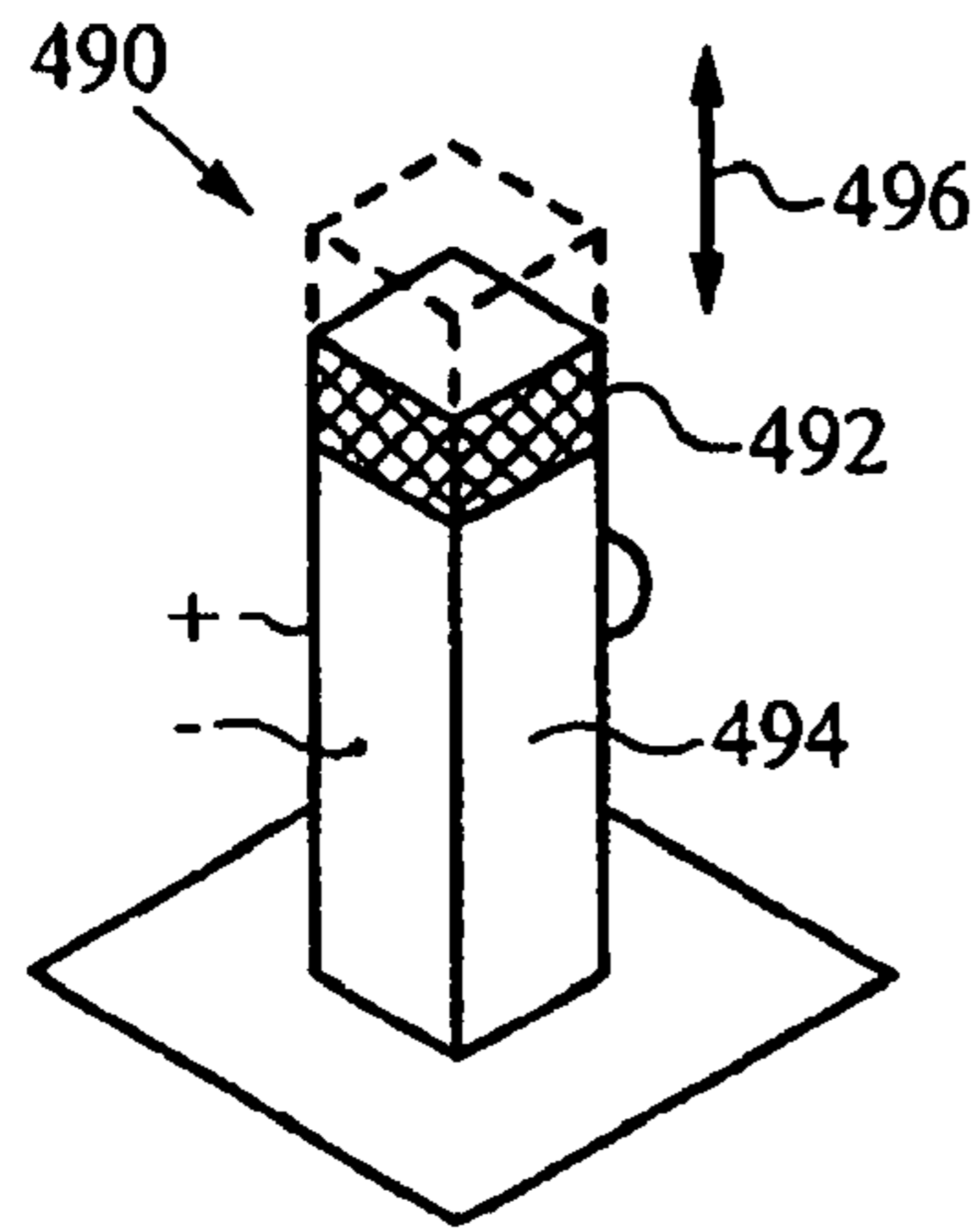


Fig. 9A

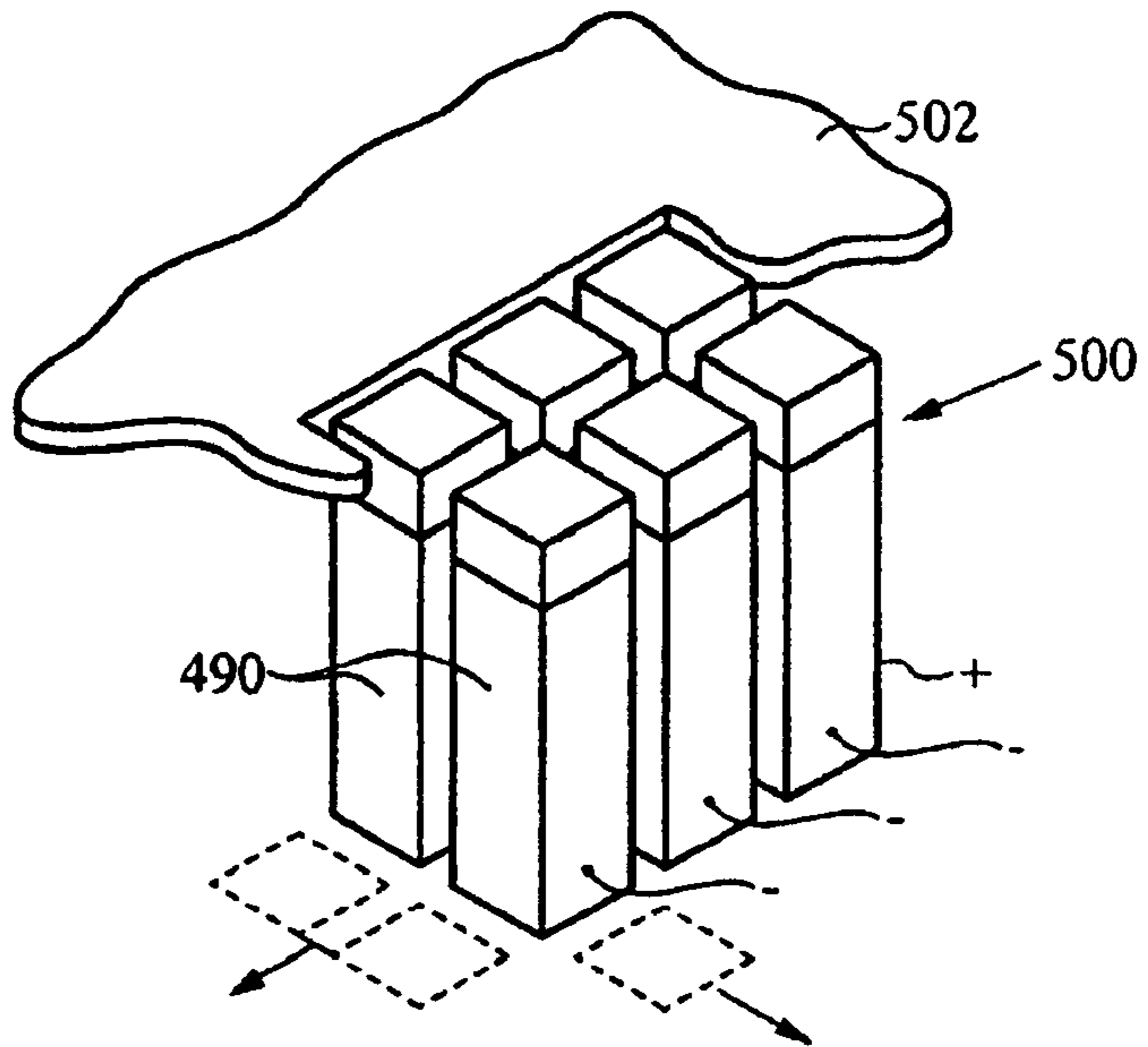


Fig. 9B

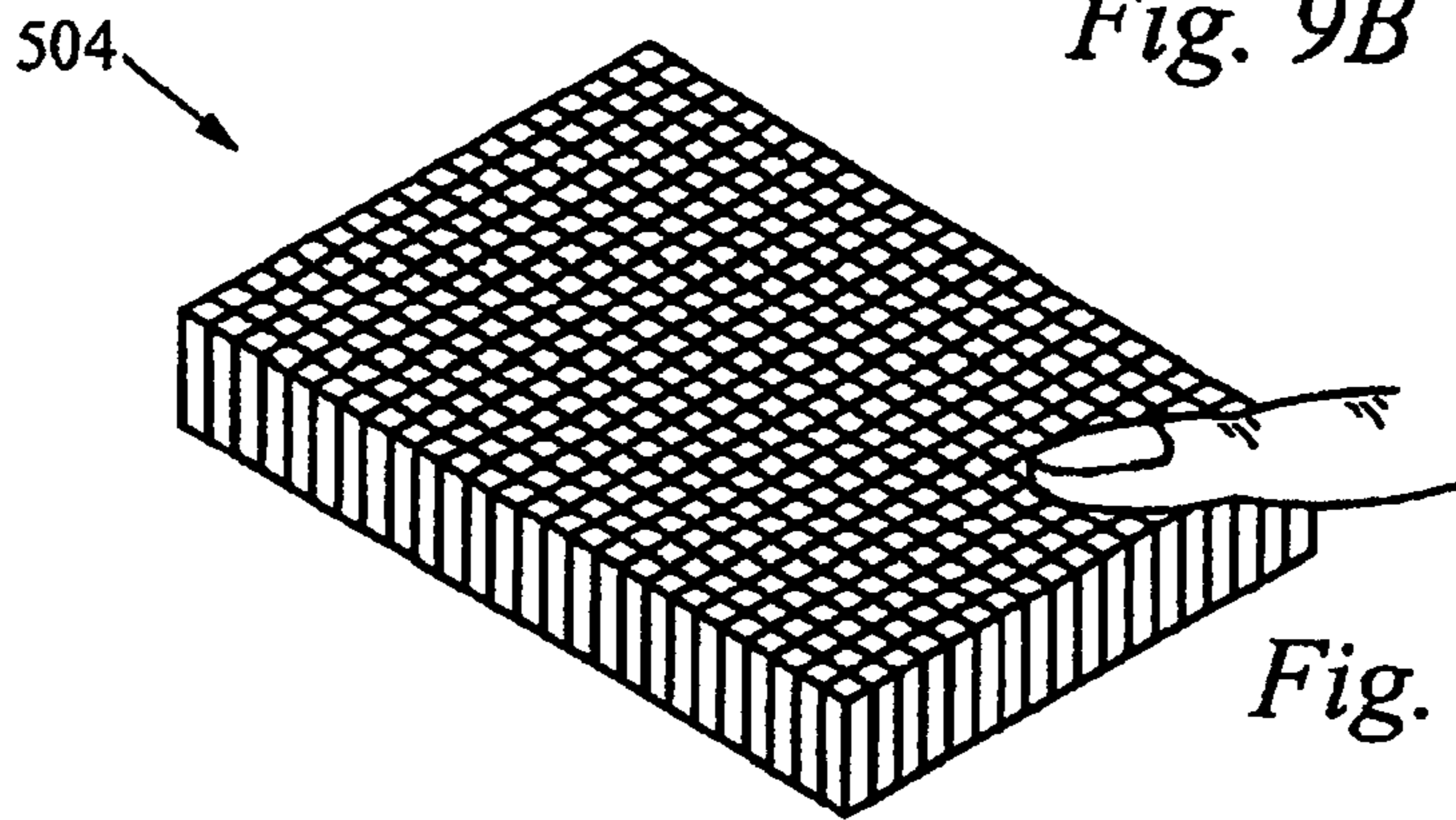


Fig. 9C

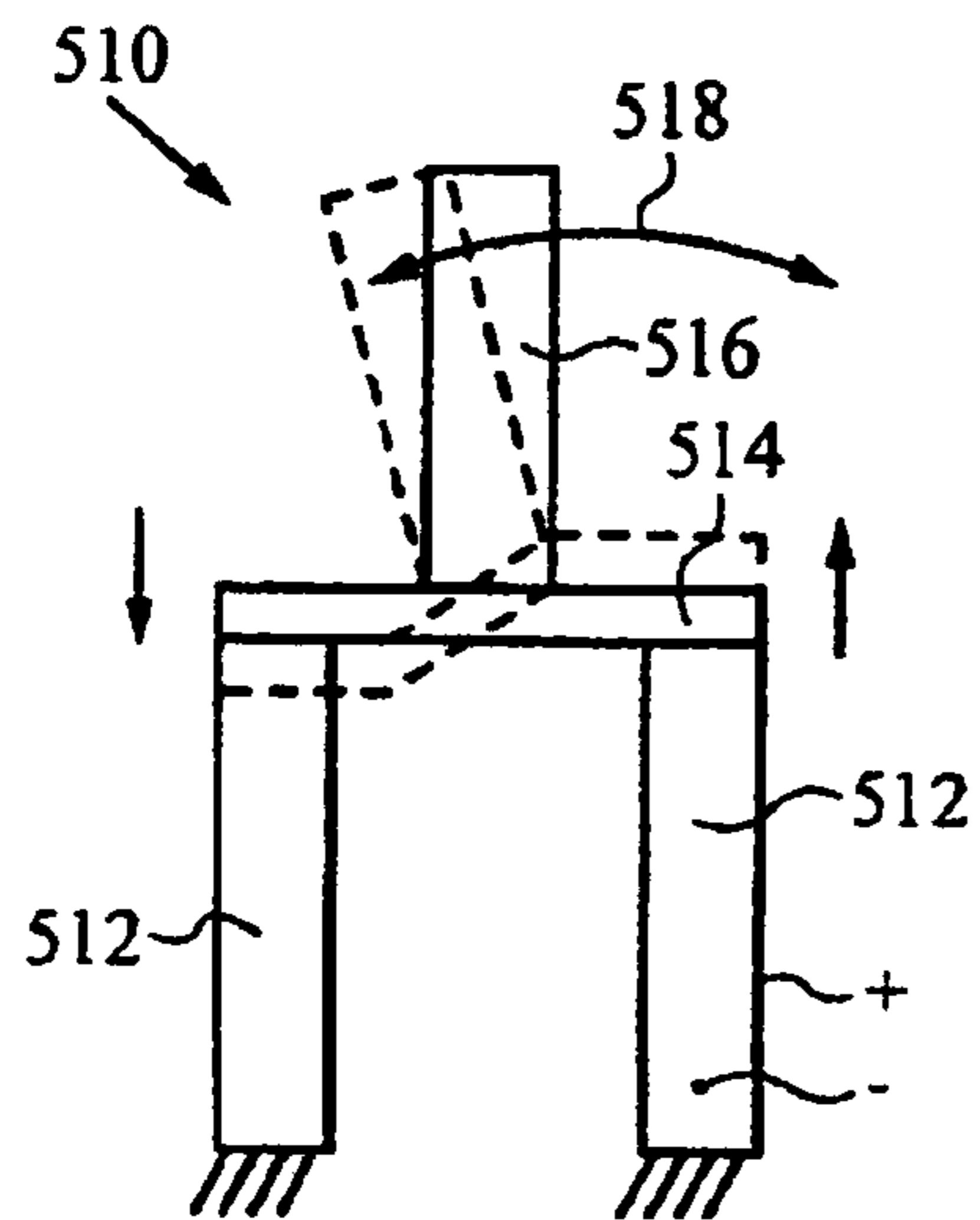


Fig. 9D

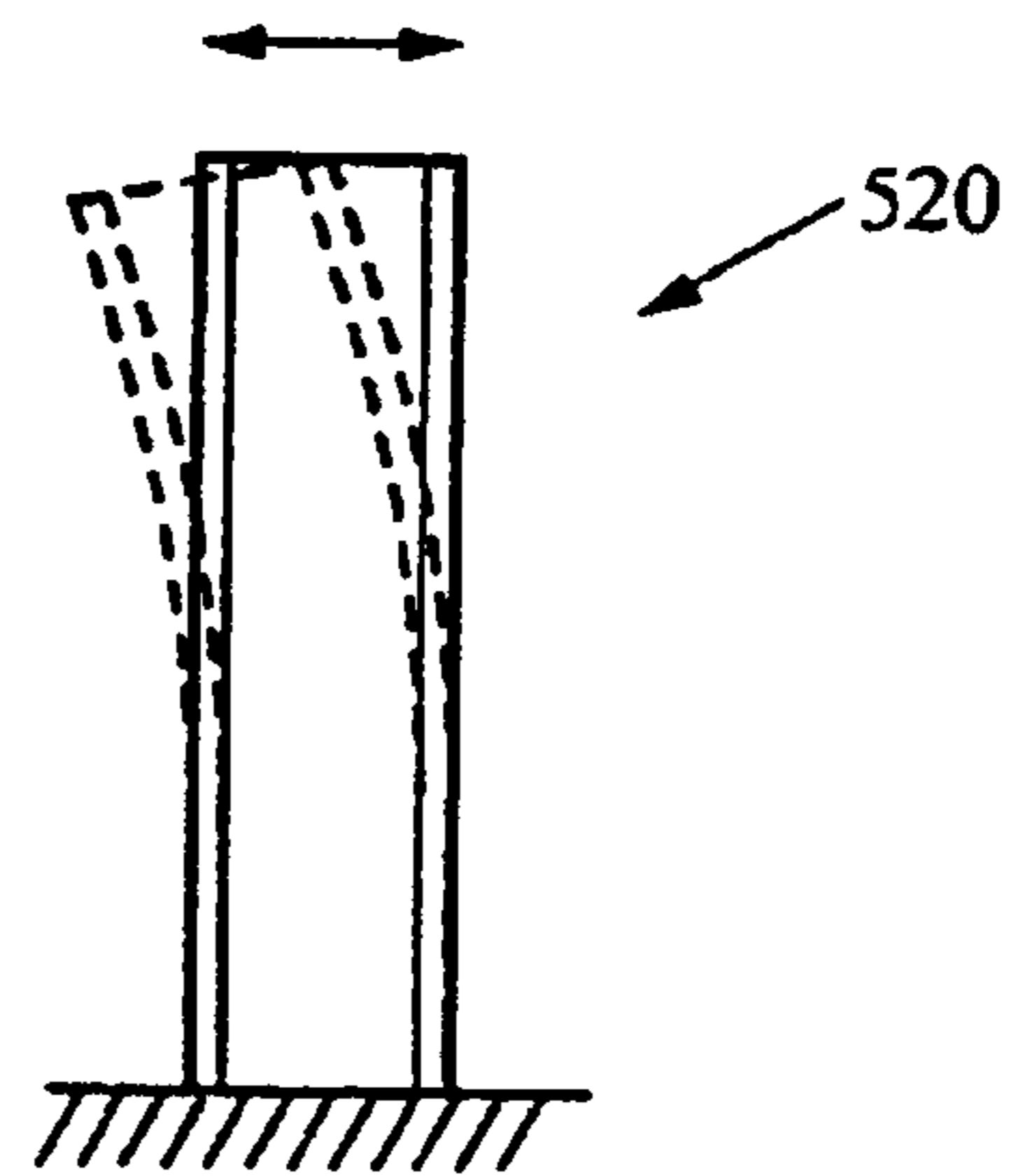


Fig. 9E

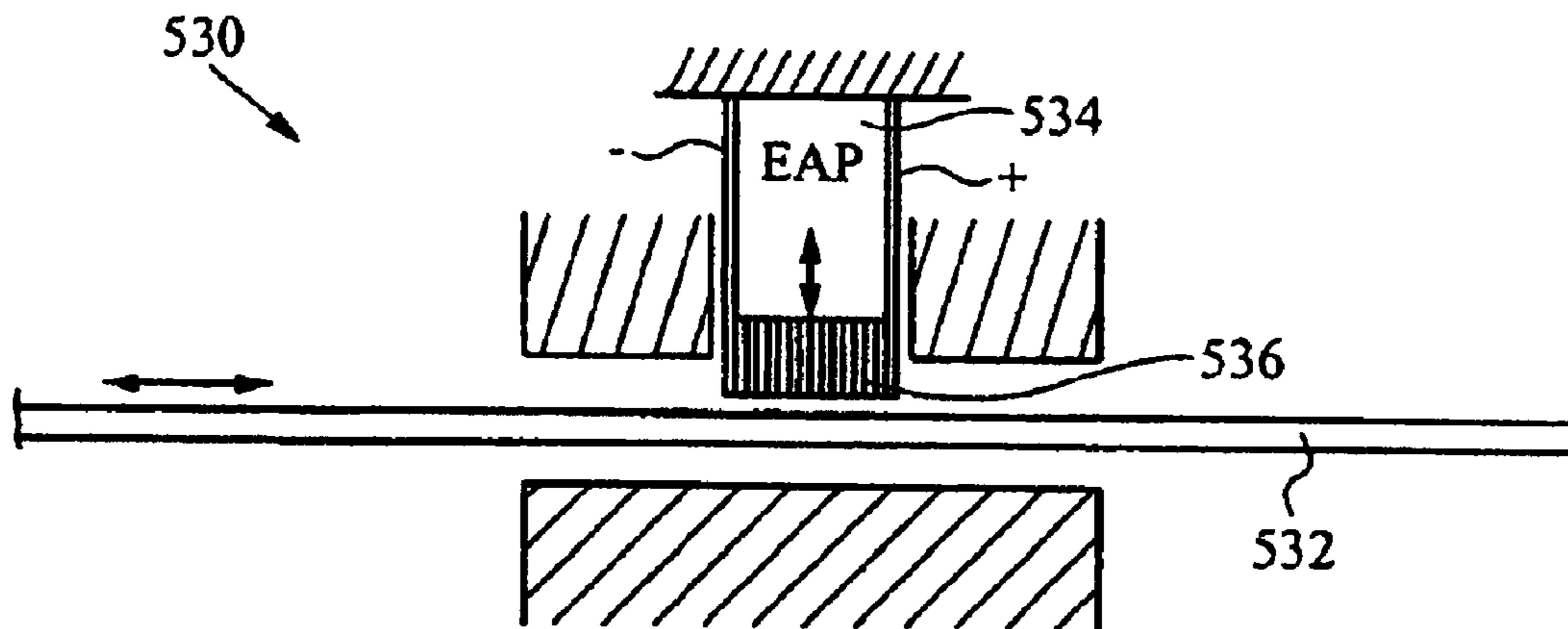


Fig. 10

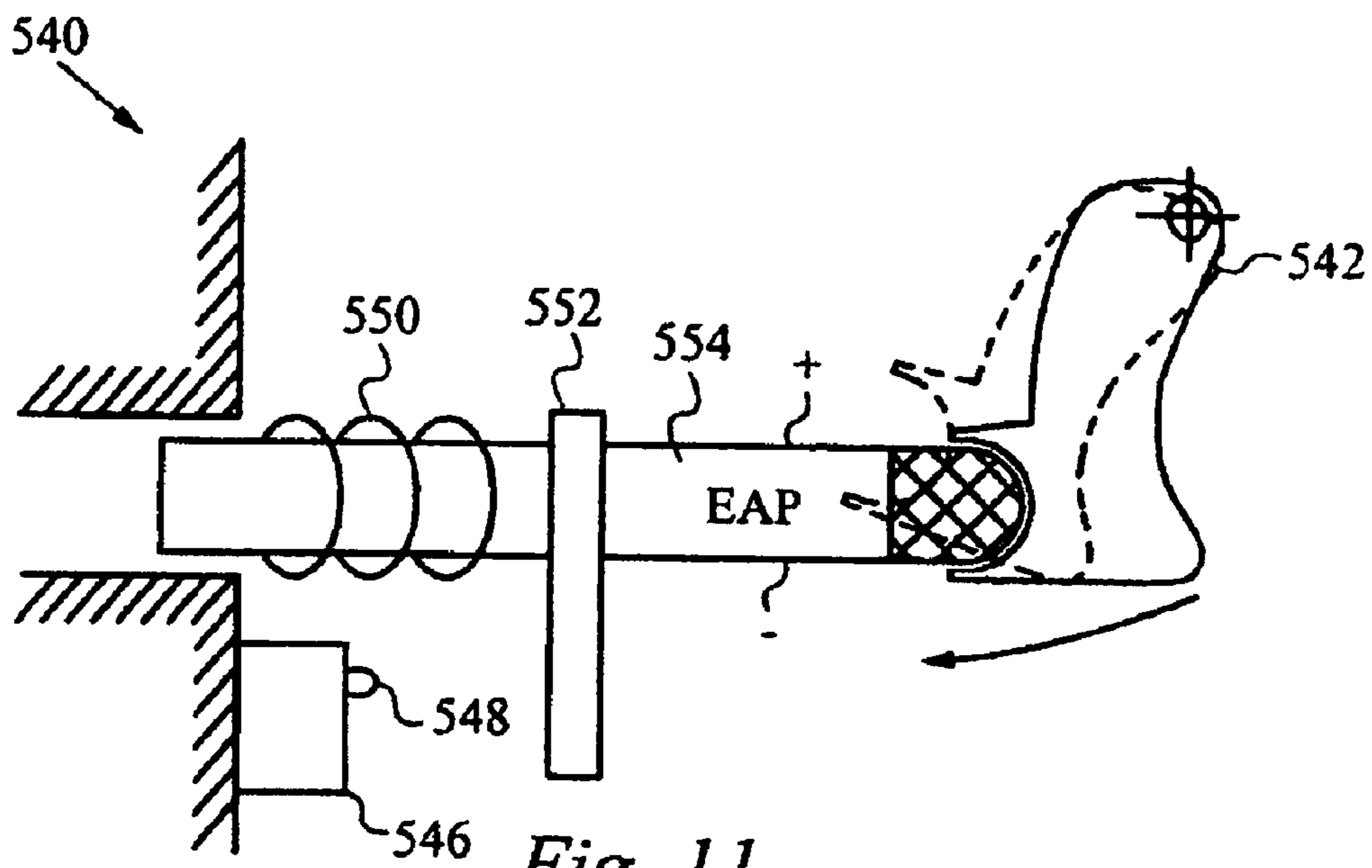
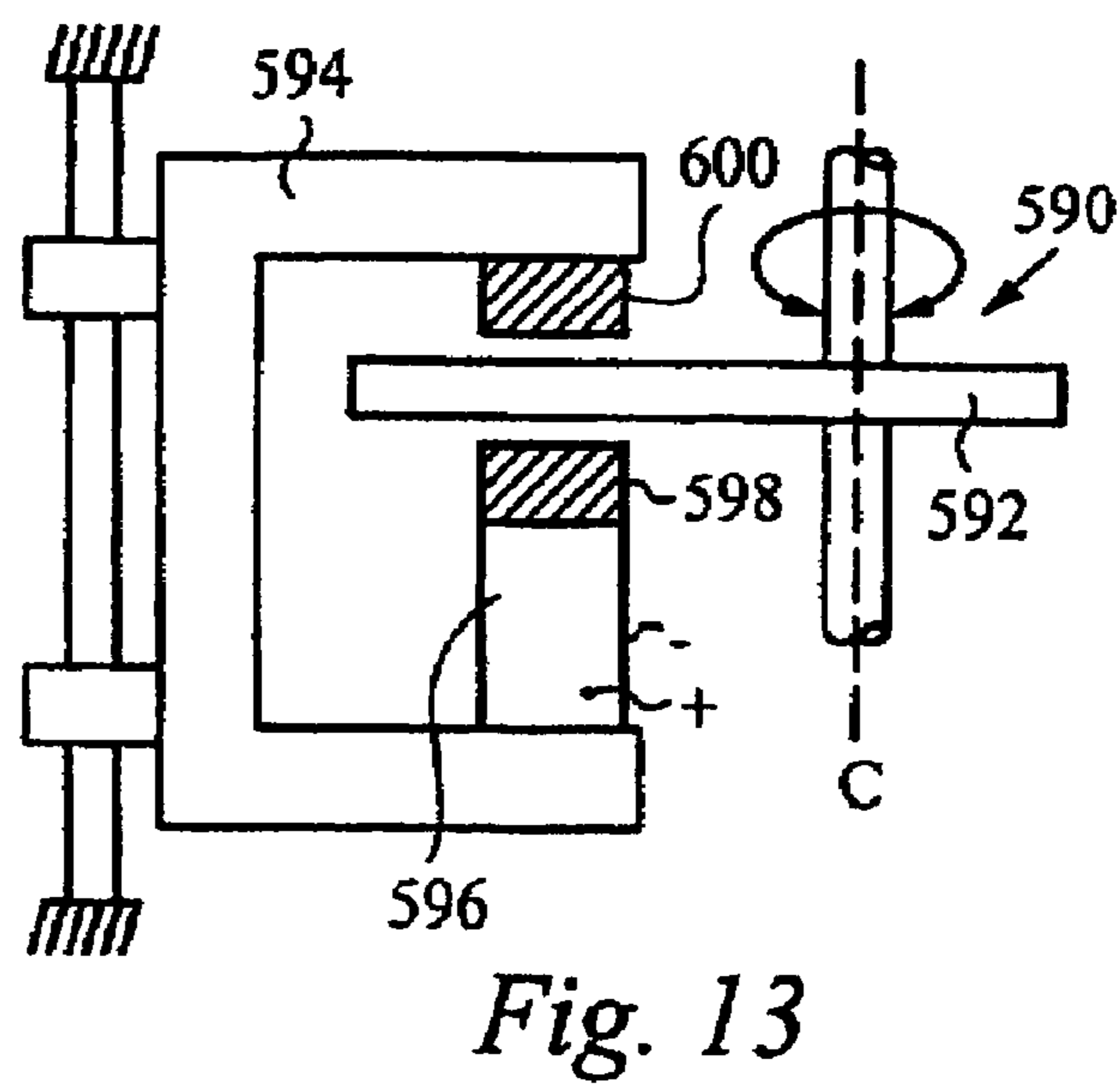
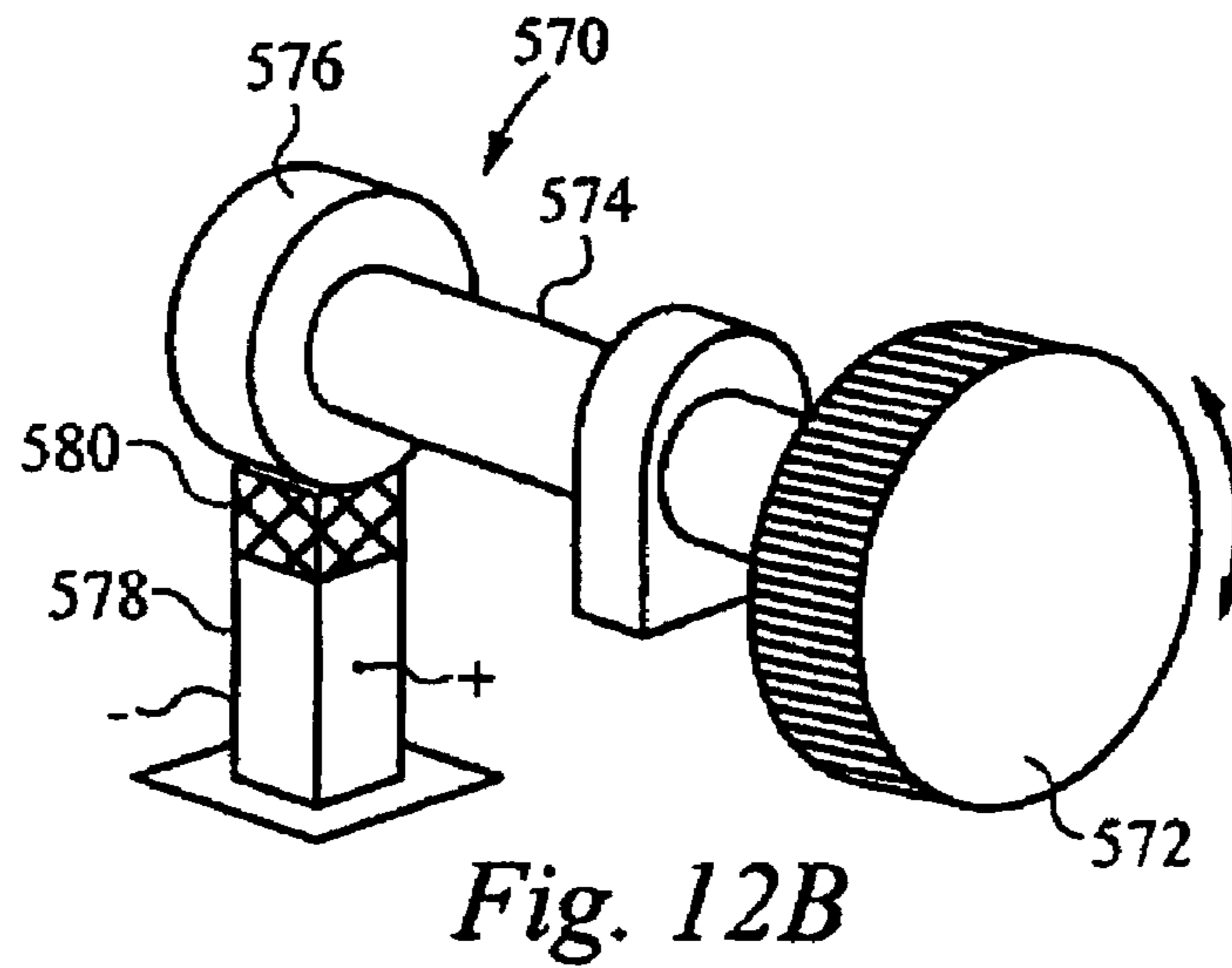
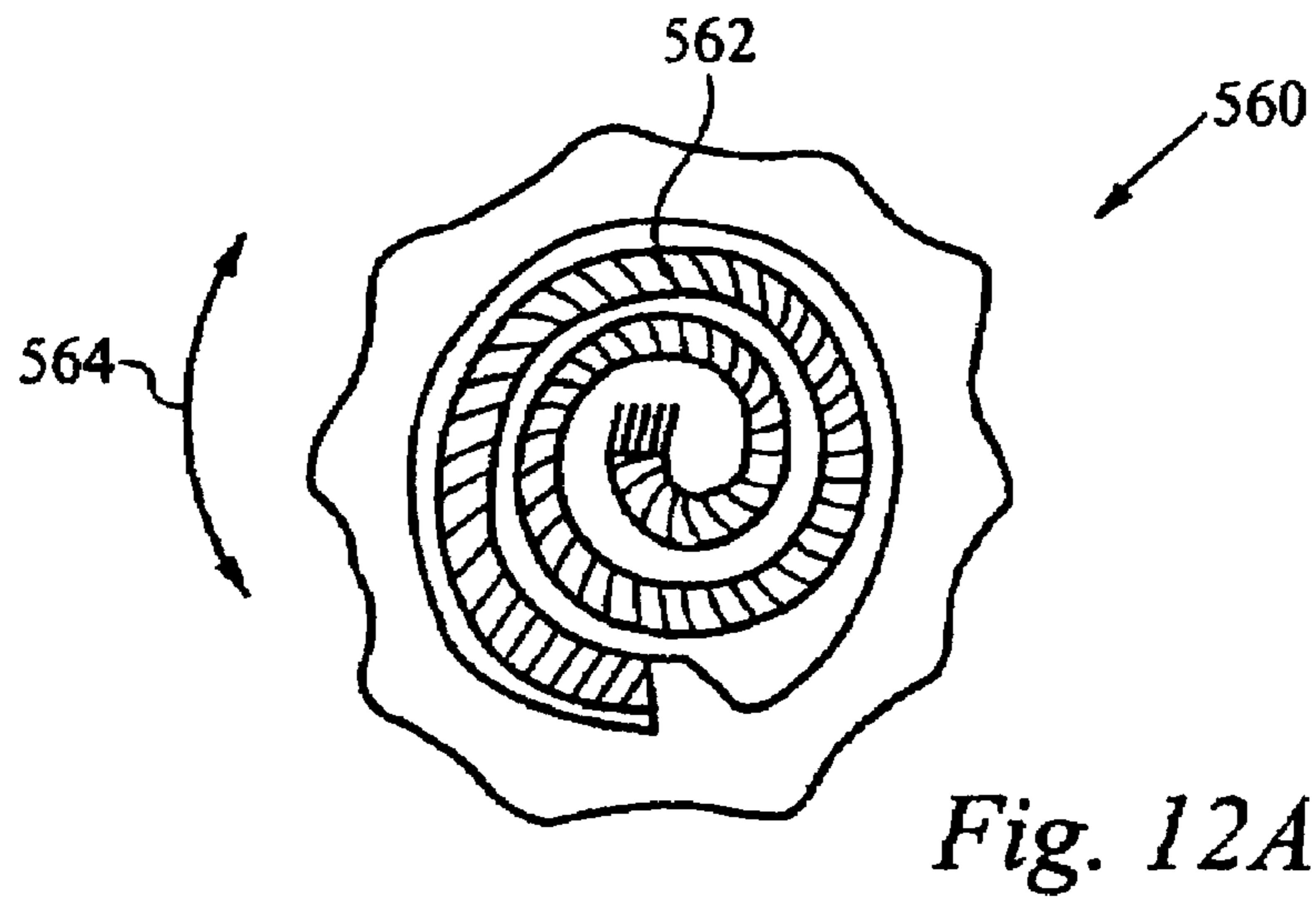
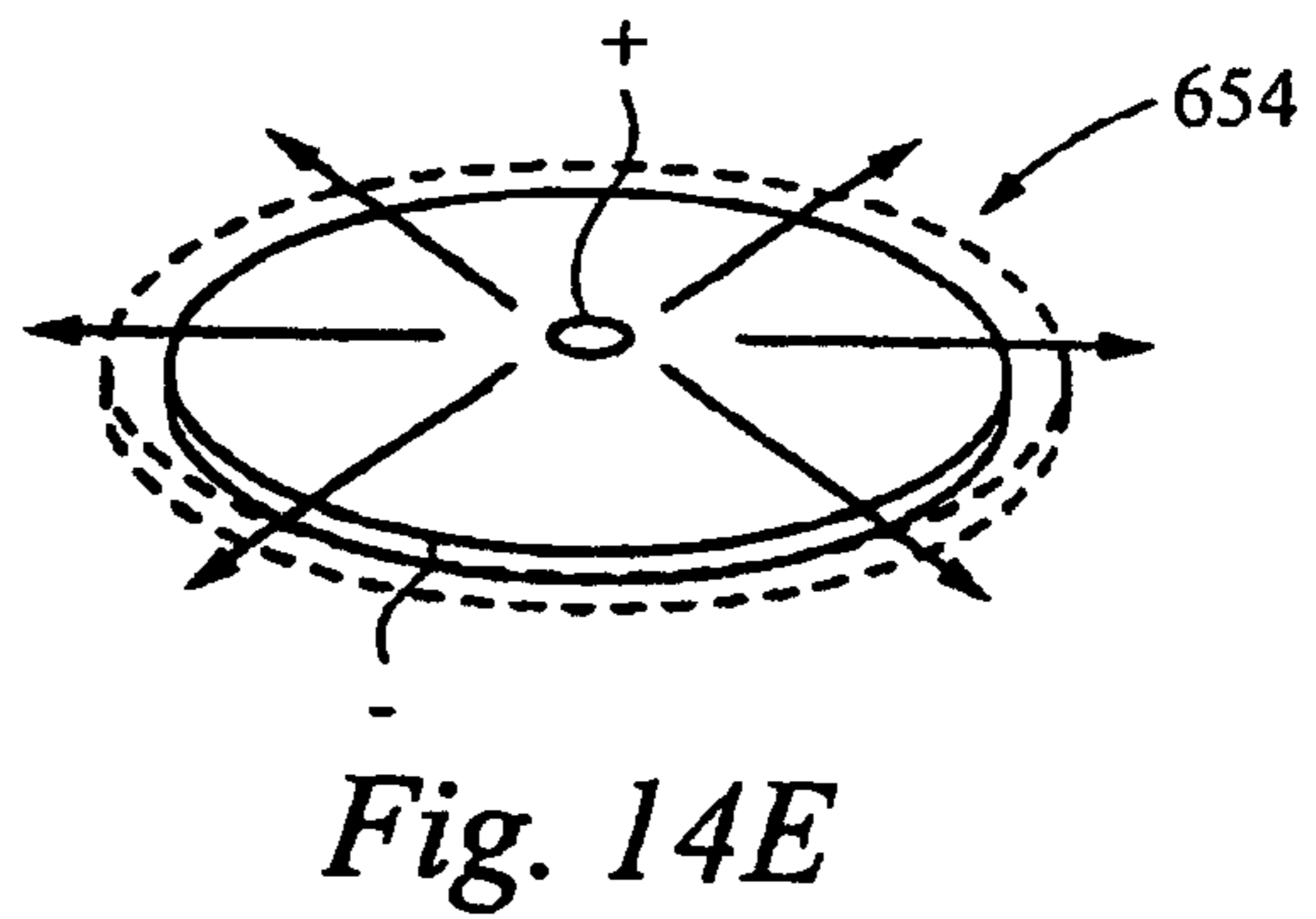
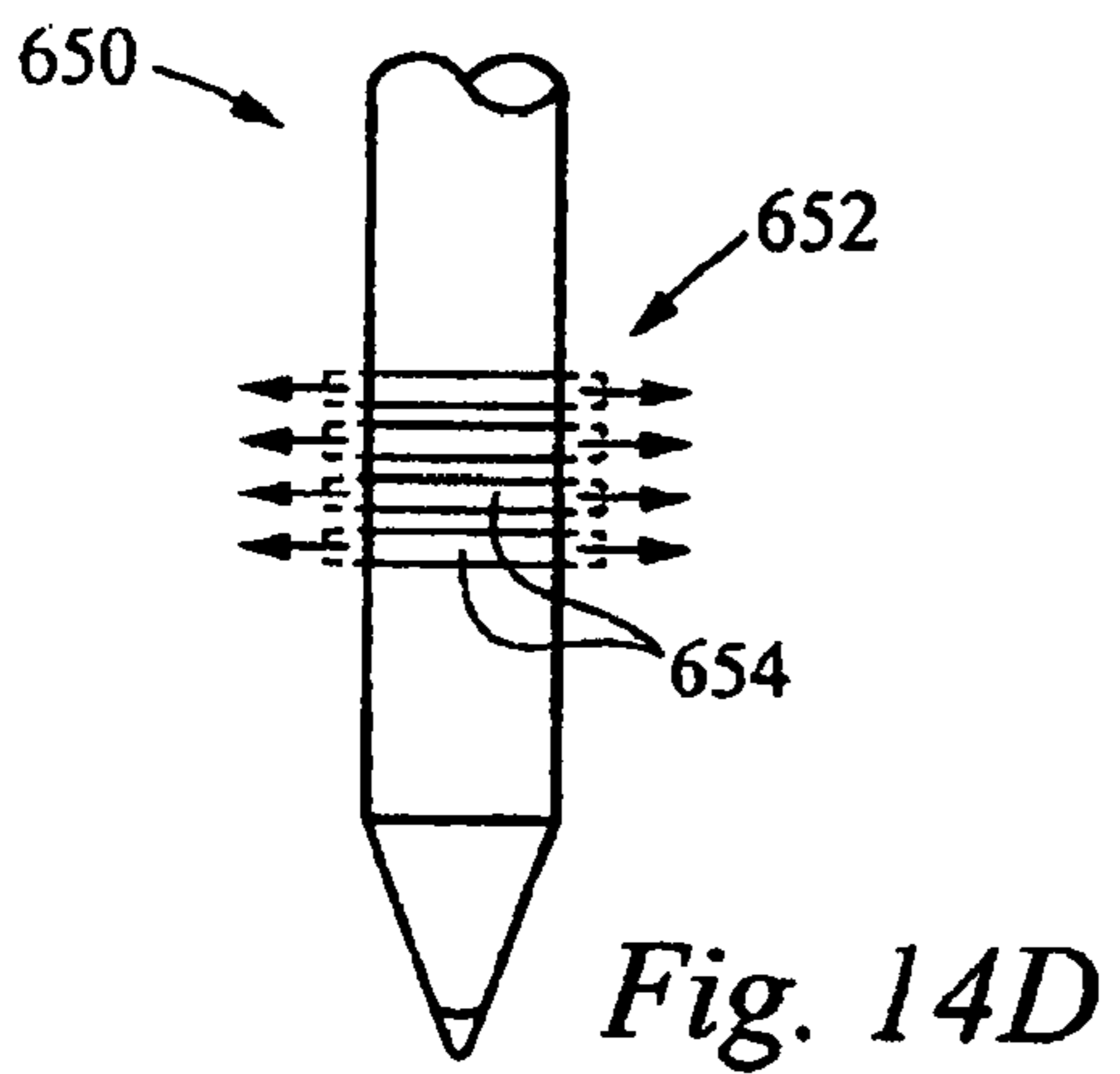
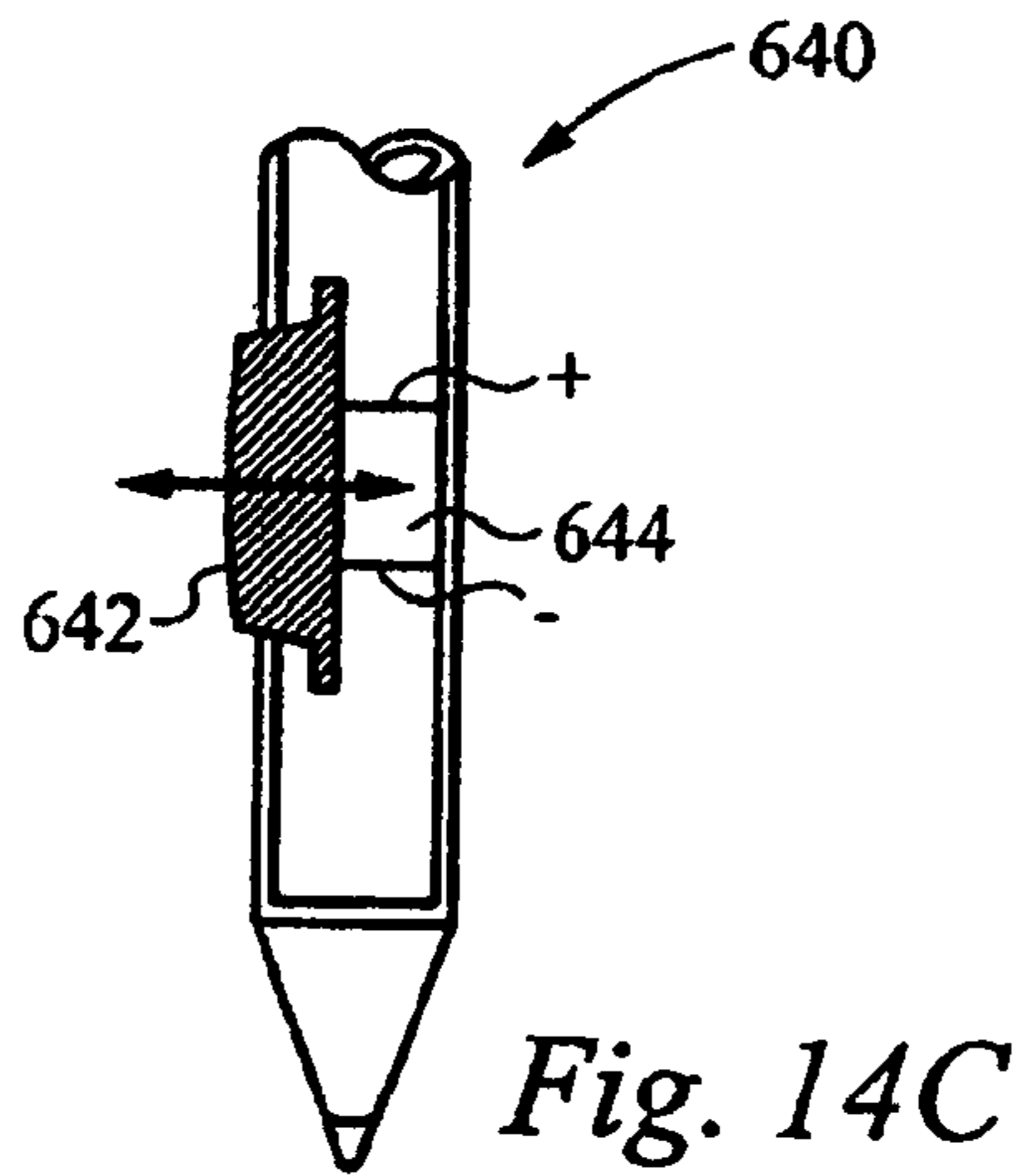
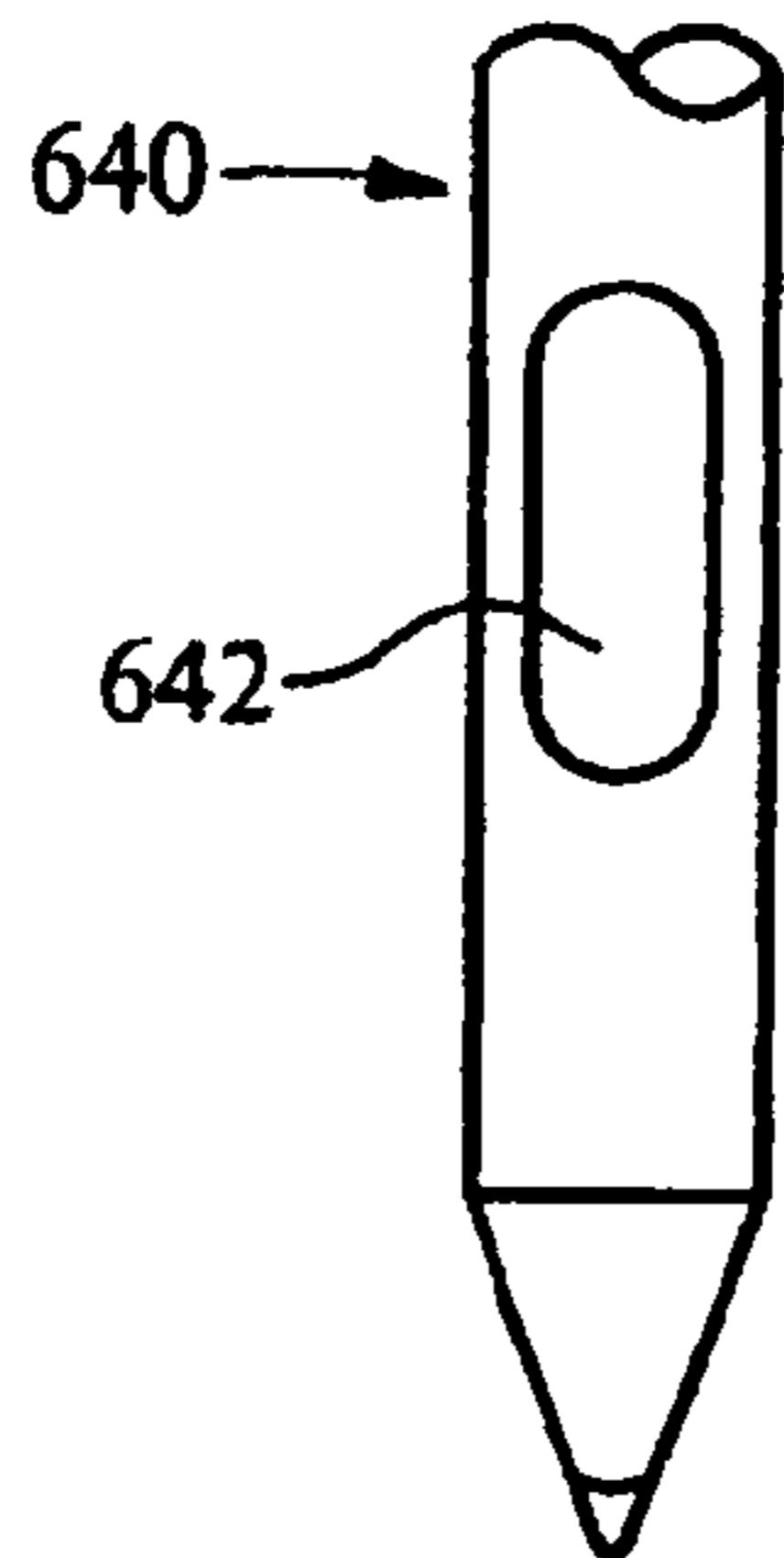
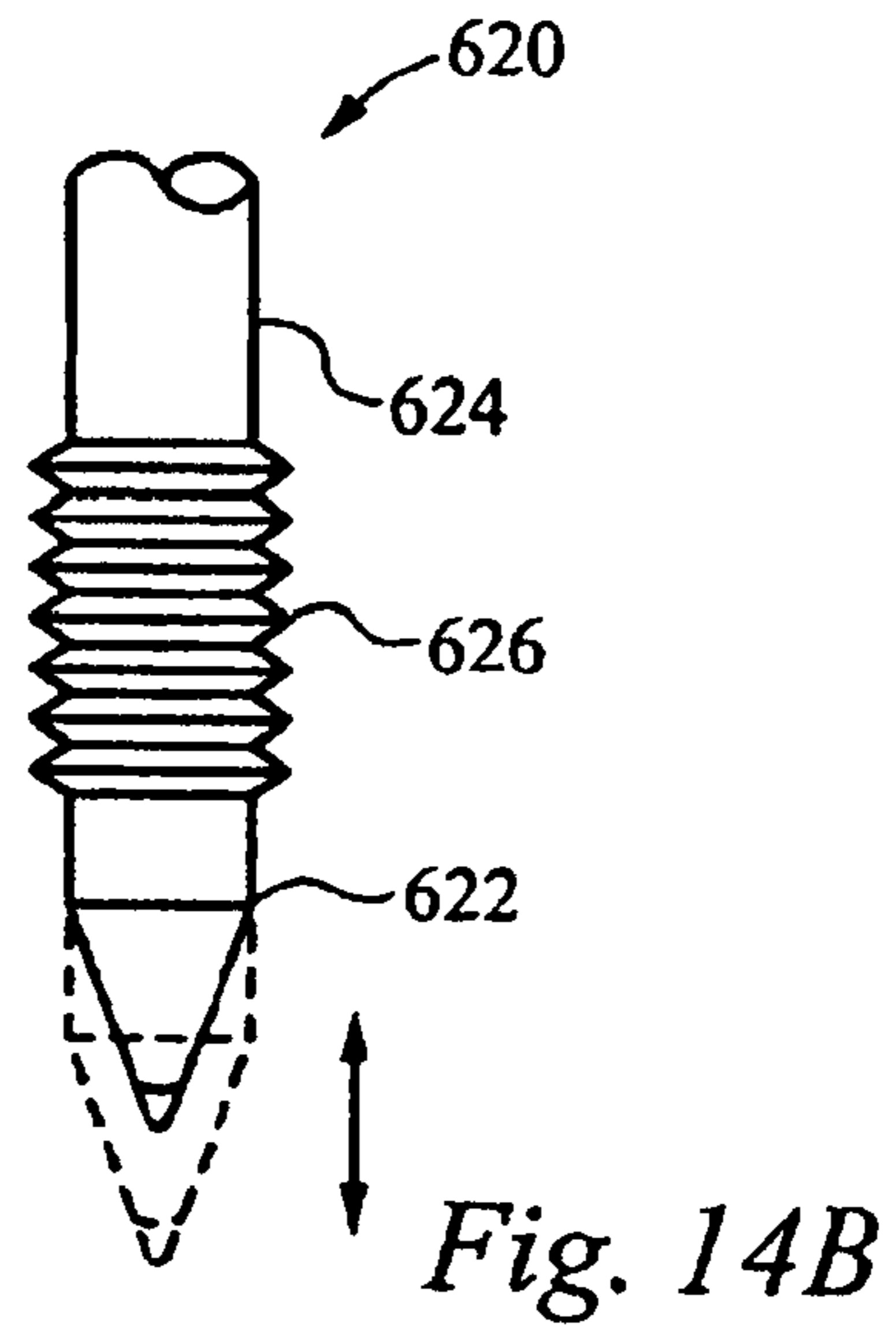
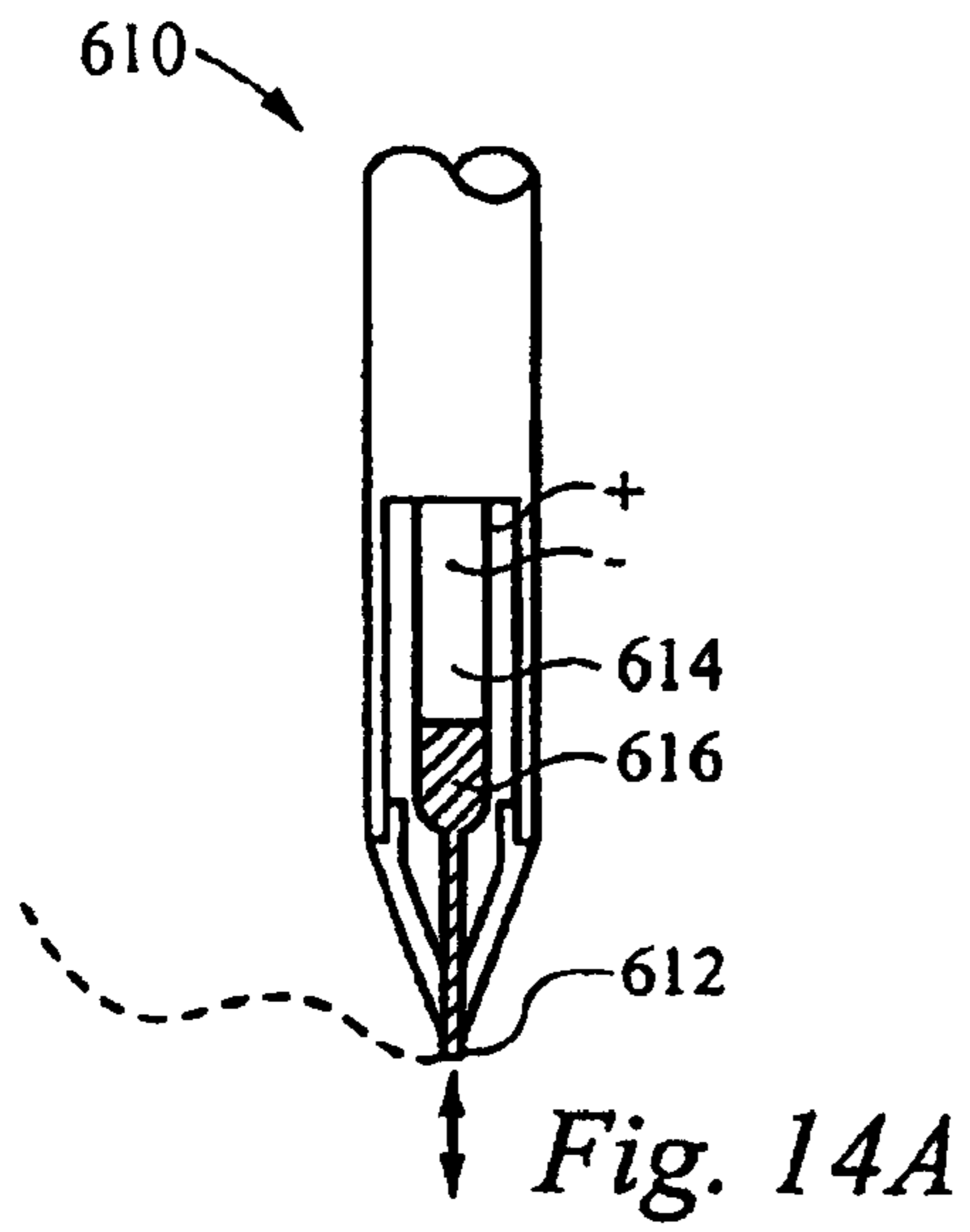


Fig. 11







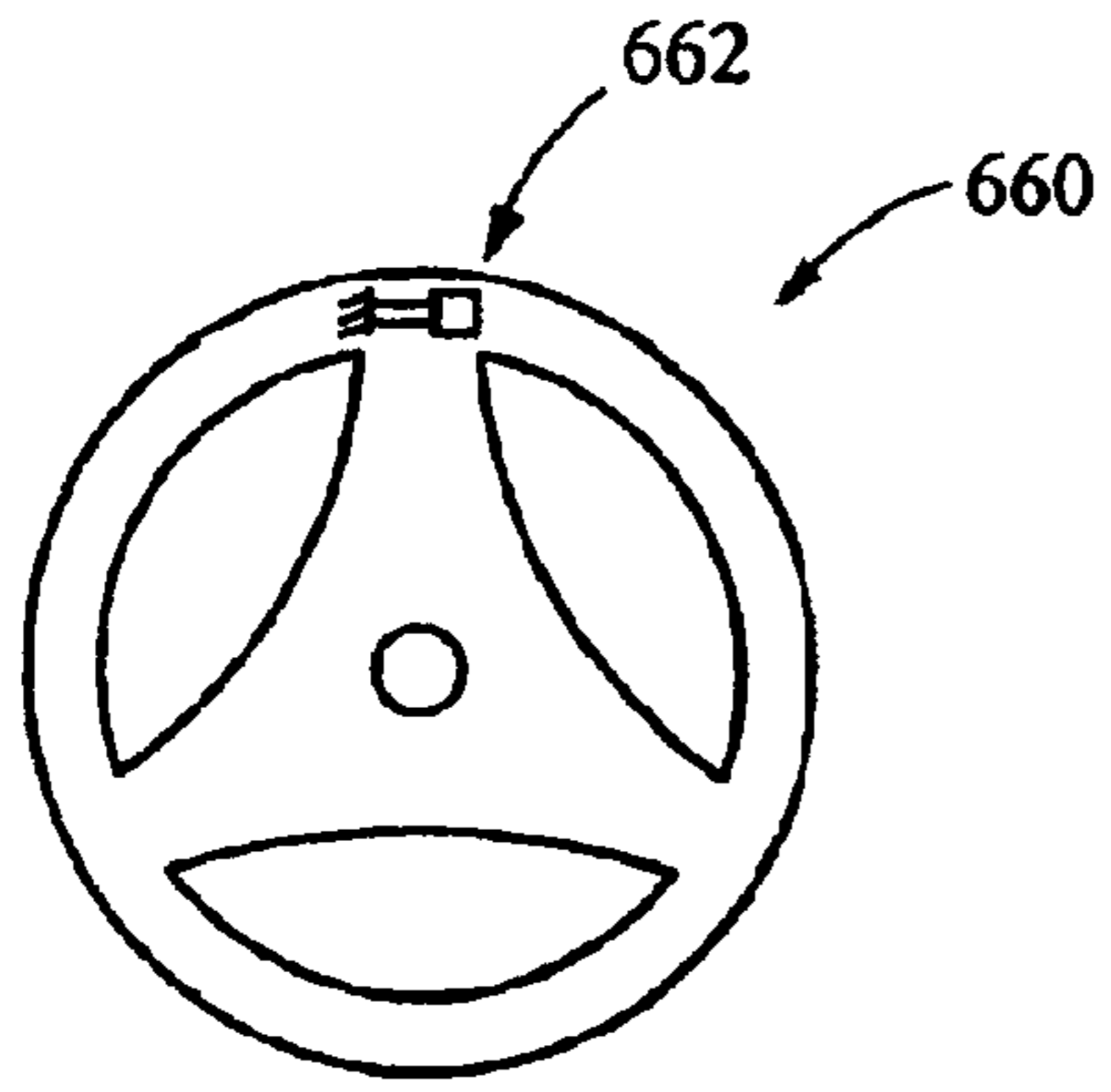


Fig. 15A

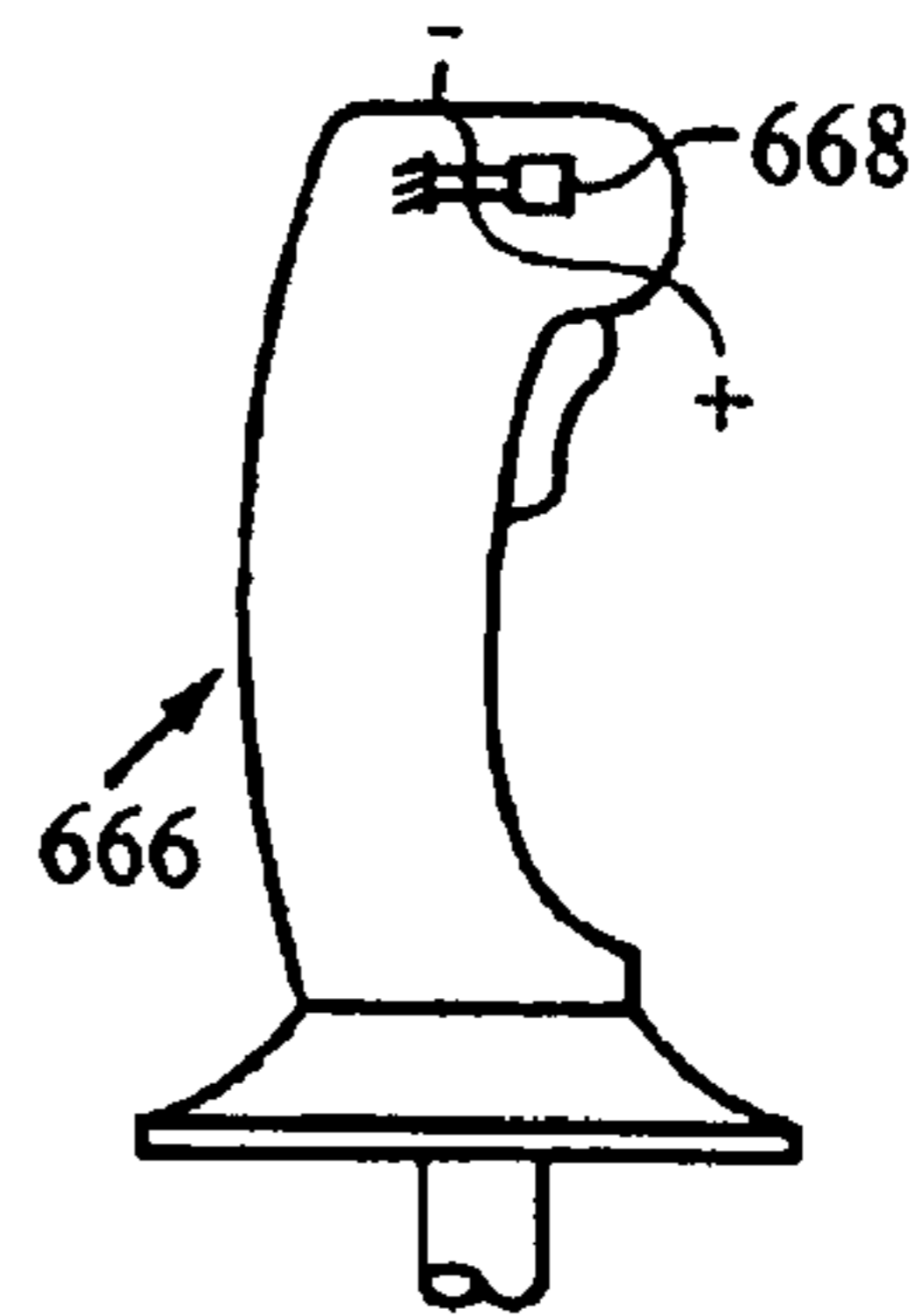


Fig. 15B

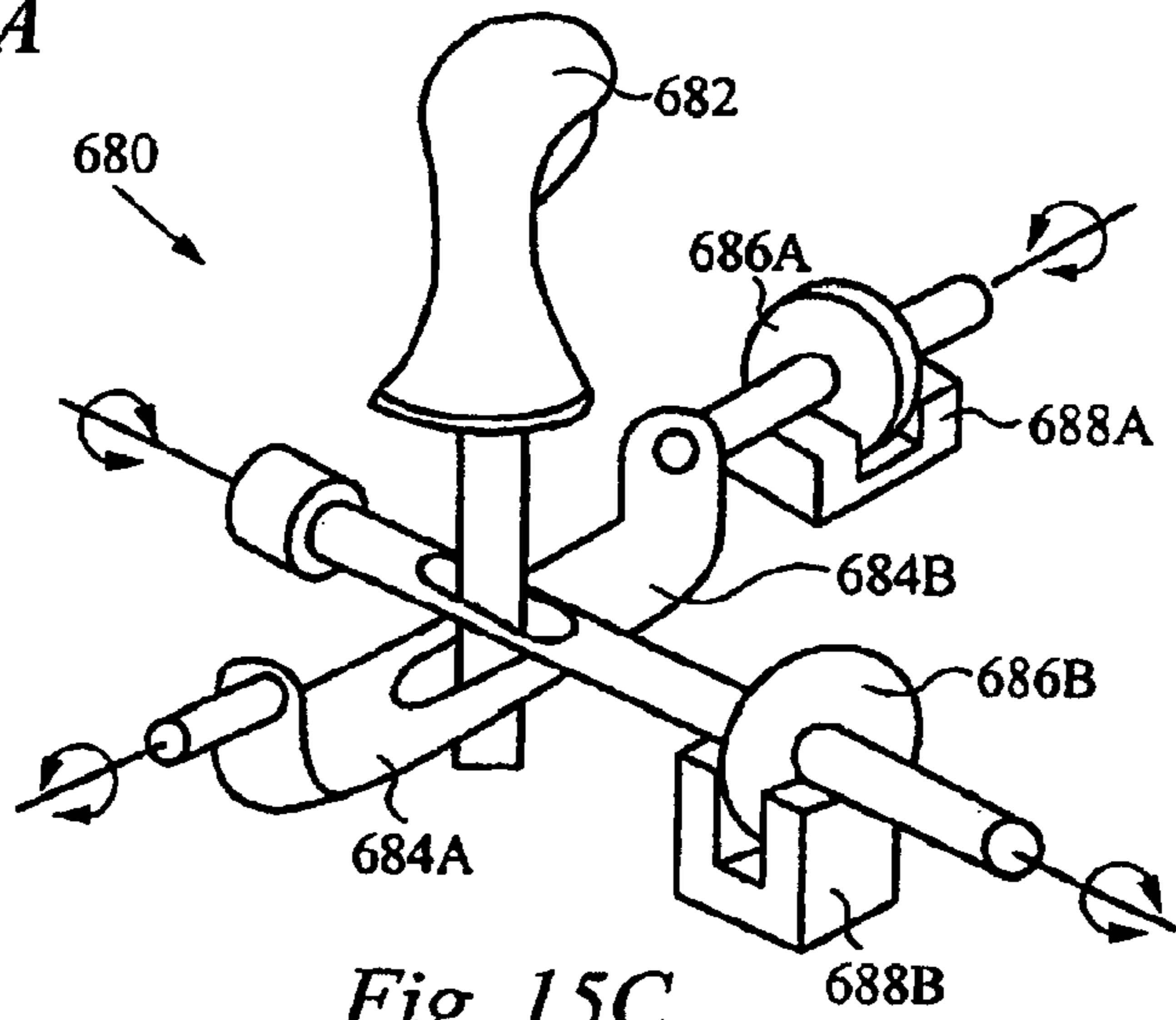


Fig. 15C

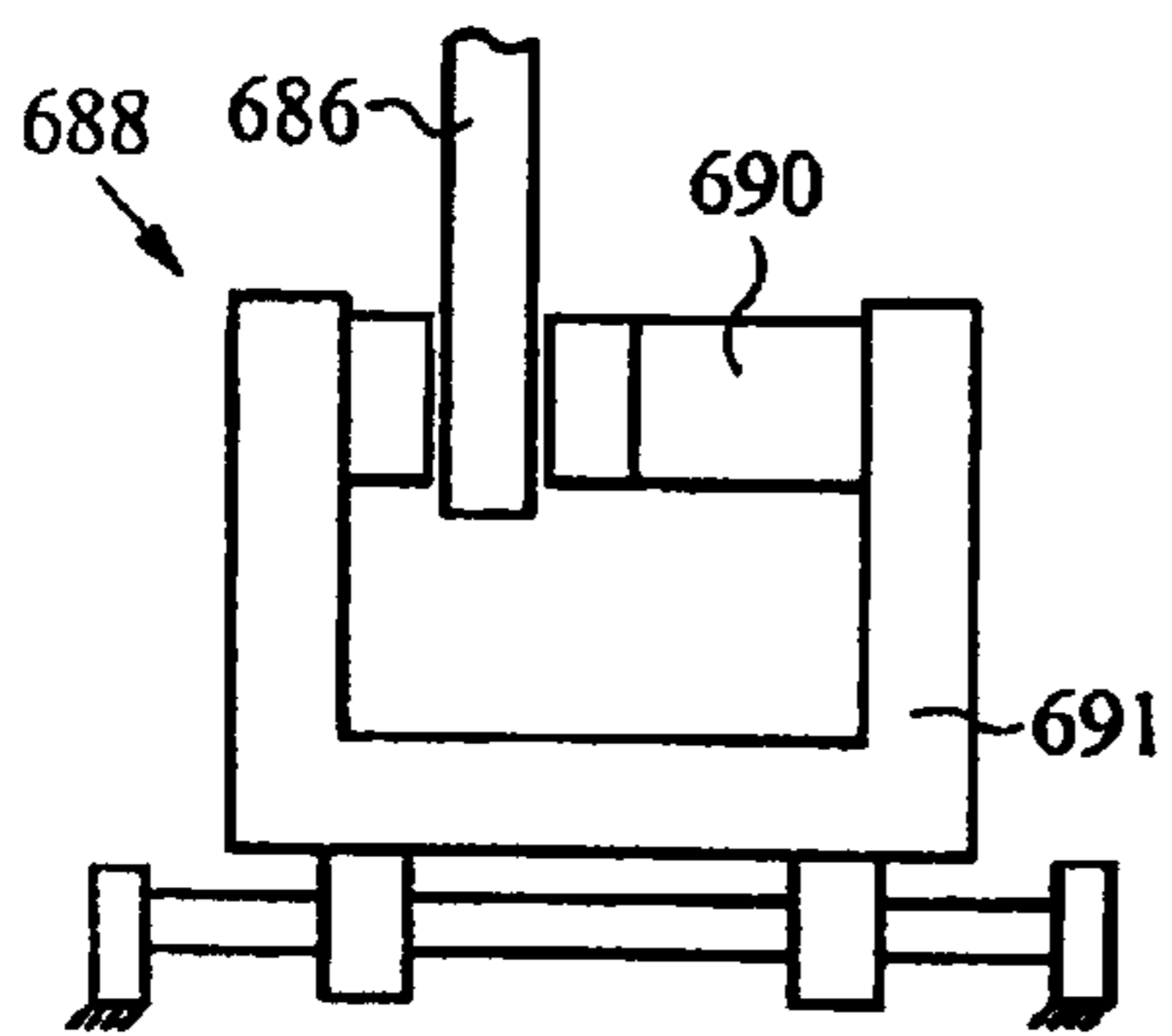


Fig. 15D

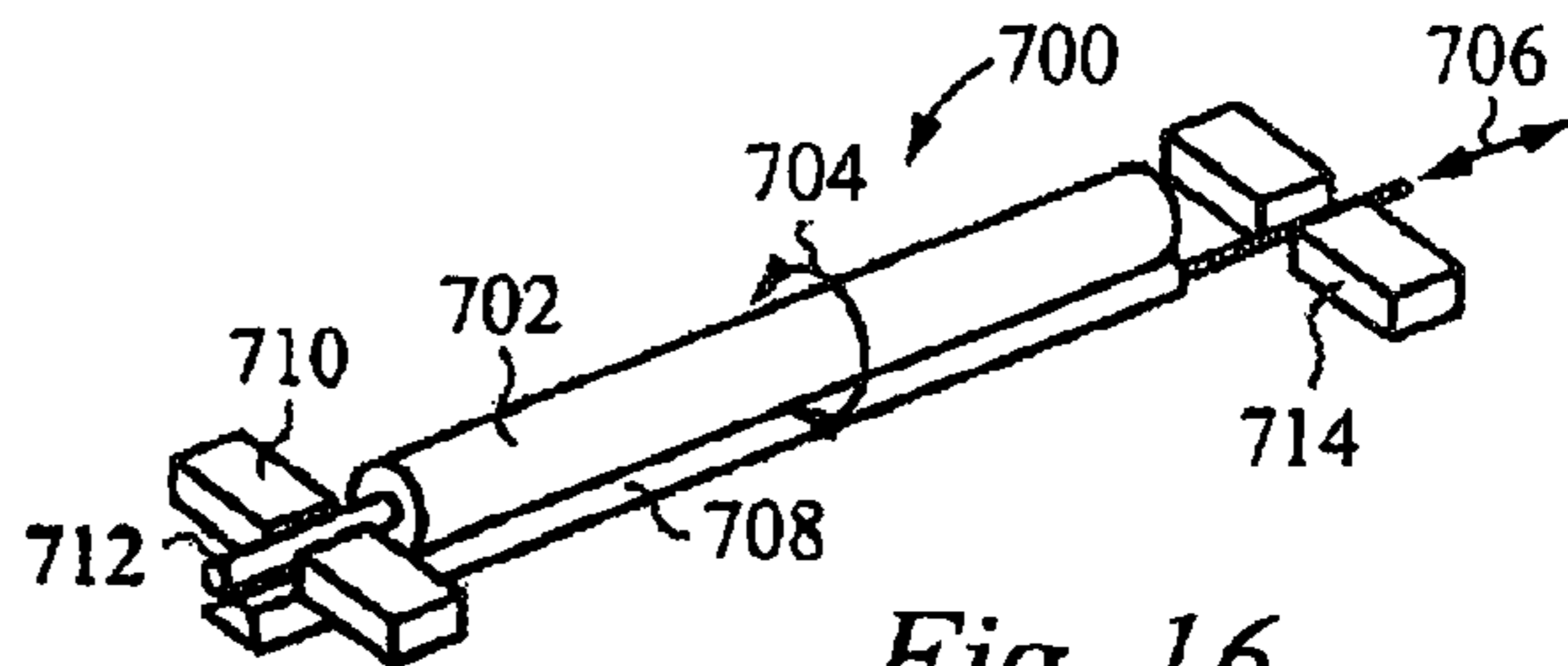
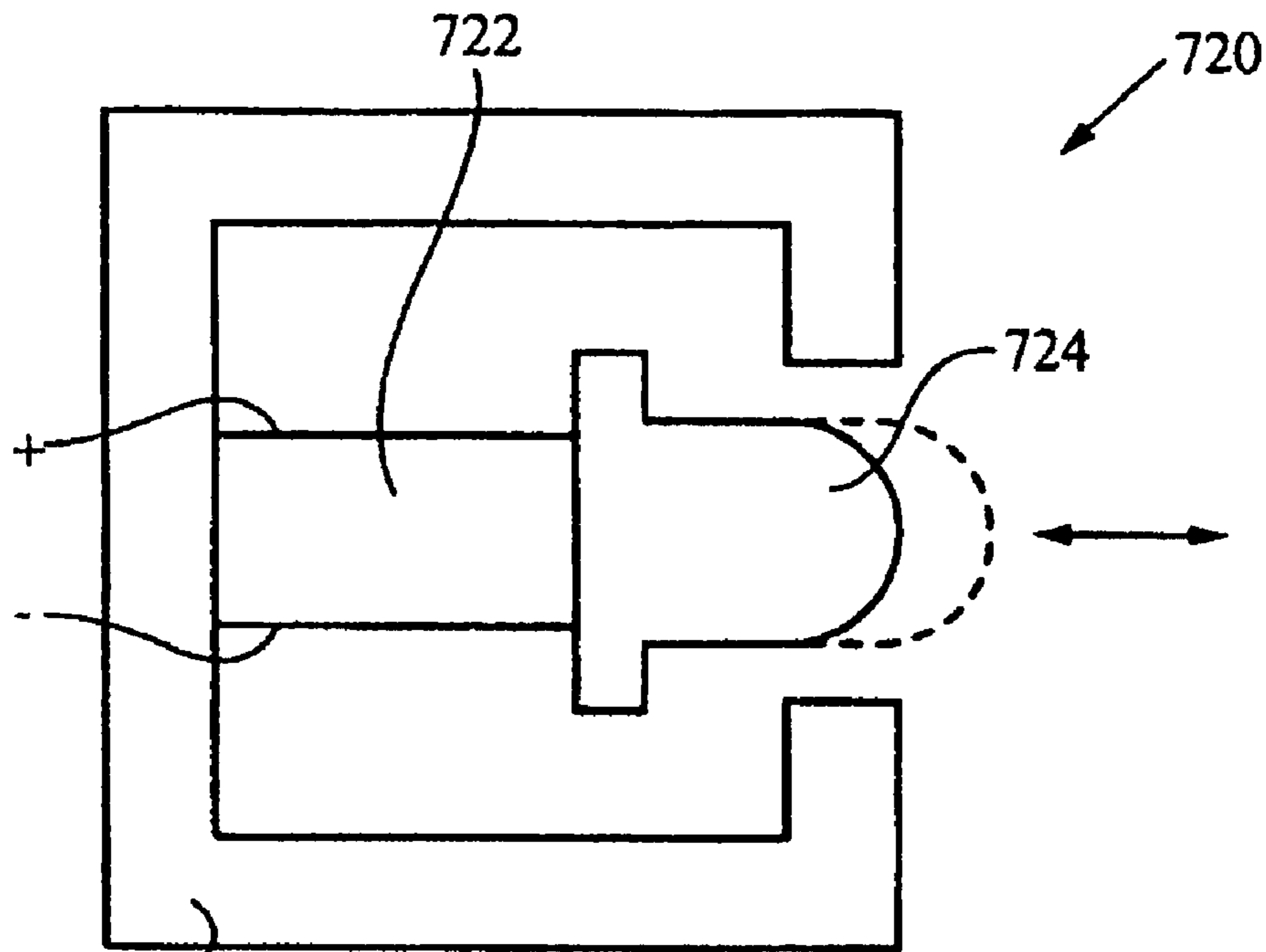
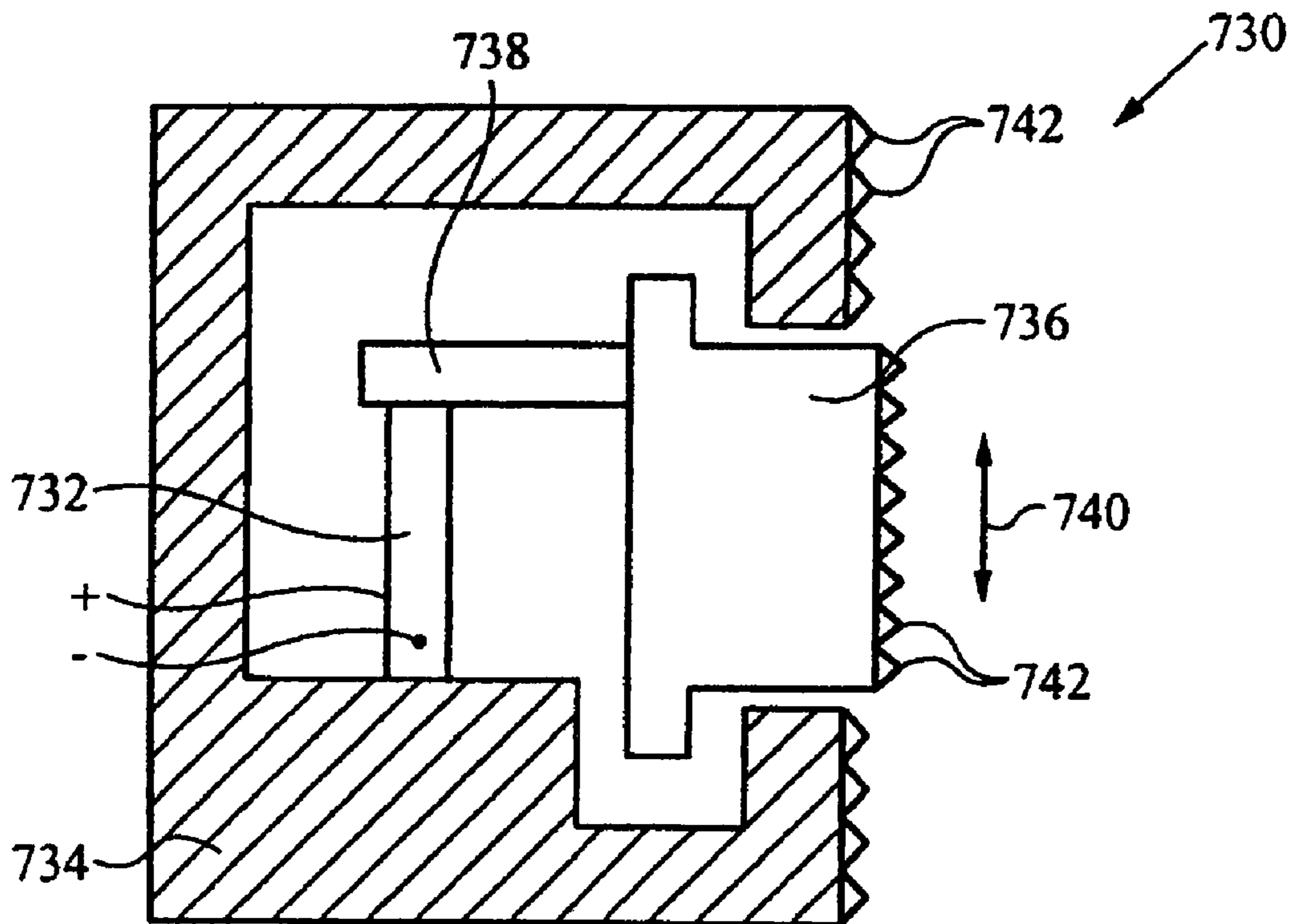


Fig. 16



726 *Fig. 17A*



*Fig. 17B*



## HAPTIC DEVICE UTILIZING AN ELECTROACTIVE POLYMER

**Matter enclosed in heavy brackets [ ] appears in the original patent but forms no part of this reissue specification; matter printed in italics indicates the additions made by reissue.**

### CROSS REFERENCE TO RELATED APPLICATIONS

The present application is a continuation of U.S. patent application Ser. No. 12/011,349, filed Jan. 25, 2008 now U.S. Pat. No. 7,511,706, in the name of inventor Bruce M. Schena and entitled "Haptic Stylus Utilizing An Electroactive Polymer", which is a continuation application of U.S. patent application Ser. No. 11/711,400 filed Feb. 26, 2007 now U.S. Pat. No. 7,339,572 by Bruce M. Schena, entitled, "Haptic Devices Using Electroactive Polymers", which is a continuation of U.S. patent application Ser. No. 09/866,385, filed May 24, 2001 by Bruce M. Schena, entitled, "Haptic Devices Using Electroactive Polymers", now U.S. Pat. No. 7,196,688 which claims the benefit of U.S. Provisional Patent Application No. 60/206,929, filed May 24, 2000 also by Bruce M. Schena, entitled, "Haptic Feedback Devices Using Electroactive Polymers".

### BACKGROUND

This description relates generally to interface devices for allowing humans to interface with computer systems, and more particularly to low-cost computer interface devices that allow the user to provide input to computer systems and allow computer systems to provide haptic feedback to the user.

A user can interact with an environment displayed by a computer to perform functions and tasks on the computer, such as playing a game, experiencing a simulation or virtual reality environment, using a computer aided design system, operating a graphical user interface (GUI), navigate web pages, etc. Common human-computer interface devices used for such interaction include a mouse, joystick, trackball, gamepad, steering wheel, stylus, tablet, pressure-sensitive sphere, or the like, that is connected to the computer system controlling the displayed environment. Typically, the computer updates the environment in response to the user's manipulation of a physical manipulandum such as a joystick handle or mouse. The computer senses the user's manipulation of the user object through sensors on the interface device that send locative signals to the computer. In other applications, interface devices such as remote controls allow a user to interface with the functions of an electronic device or appliance.

In some interface devices, force (kinesthetic) feedback and/or tactile feedback is also provided to the user, more generally known collectively herein as "haptic feedback." These types of interface devices can provide physical sensations which are felt by the user manipulating a user manipulandum of the interface device, such as a joystick handle, mouse, wheel, etc. One or more motors or other actuators are coupled to the manipulandum and are connected to the controlling computer system. The computer controls forces on the manipulandum and/or device housing in conjunction and coordinated with displayed events and interactions by sending control signals or commands to the actuators. The computer system can thus convey physical force sensations to the

user in conjunction with other supplied feedback as the user is grasping or contacting the interface device or manipulatable object of the interface device.

One problem with current haptic feedback controllers in the home consumer market is the high manufacturing cost of such devices, which makes the devices expensive for the consumer. A large part of this manufacturing expense is due to the inclusion of complex and multiple actuators and corresponding control electronics in the haptic feedback device. In addition, high quality mechanical and force transmission components such as linkages and bearings further add to the cost of the device. Some low cost haptic devices exist, but are highly limited in their ability to output haptic sensations.

A need therefore exists for a haptic feedback device that is lower in cost to manufacture yet offers the user compelling haptic feedback to enhance the interaction with computer applications.

### SUMMARY

The described subject matter is directed toward providing haptic feedback in an interface device using electroactive polymer (EAP) actuators, which can provide haptic sensations more efficiently and at lower cost than many existing technologies for haptic devices.

More particularly, a haptic feedback interface device is in communication with a host computer implementing a host application program and is manipulated by a user. The interface device includes a sensor device that detects the manipulation of the interface device by the user and outputs sensor signals representative of the manipulation, and an electroactive polymer actuator responsive to input signals and operative to output a force to the user caused by motion of the actuator. The output force provides a haptic sensation to the user. Haptic feedback interface devices using electroactive polymer (EAP) actuators to provide haptic sensations. A haptic feedback interface device is in communication with a host computer and includes a sensor device that detects the manipulation of the interface device by the user and an electroactive polymer actuator responsive to input signals and operative to output a force to the user caused by motion of the actuator. The output force provides a haptic sensation to the user. In an embodiment, a stylus including a body having a first end and a second end opposite from the first end, a moveable member coupled to the body and capable of being in contact with a user's hand; and an electro active polymer actuator coupled to the moveable member, wherein the electroactive polymer moves the moveable member from a first position to a second position with respect to the body upon being activated.

These and other advantages will become apparent to those skilled in the art upon a reading of the following specification and a study of the several figures.

### BRIEF DESCRIPTION OF THE DRAWINGS

FIG. 1 is a block diagram illustrating a haptic feedback system suitable for use with the described embodiments;

FIG. 2a is a side elevational view of an electroactive polymer element in a bending motion;

FIG. 2b is a top plan view of an electroactive polymer element in a bending motion;

FIG. 2c is a side elevational view of an electroactive polymer sandwich structure providing linear and bending motion;

FIG. 2d is a perspective view of an electroactive polymer element in a cylindrical configuration to provide motion in multiple degrees of freedom;



## 3

FIG. 2e is a perspective view of an electroactive polymer structure that provides an area expansion of the element;

FIG. 2f is a perspective view of an electroactive polymer structure in a cylindrical structure that provides axial motion of the element;

FIG. 3 is a perspective view of an example mouse interface device suitable for use with EAP actuators;

FIG. 3a is a side elevational view of a mouse embodiment in which a button is moved in its degree of freedom by an electroactive polymer actuator;

FIG. 3b is a top plan view of a mouse embodiment in which a button is moved laterally by an electroactive polymer actuator;

FIG. 3c is a top plan view of a mouse embodiment in which a button includes an array of multiple electroactive polymer actuators;

FIG. 4a is a schematic view of an embodiment in which an inertial mass is moved linearly by an electroactive polymer actuator to provide inertial sensations;

FIG. 4b is a schematic view of an embodiment in which an inertial mass is moved rotationally by an electroactive polymer actuator to provide inertial sensations;

FIG. 4c is a view of an embodiment in which multiple inertial masses are moved by an electroactive polymer actuators;

FIG. 5a is a side view of a mouse embodiment in which an entire cover portion of the mouse is moved by an electroactive polymer actuator to provide tactile sensations;

FIG. 5b is a top plan view of a mouse embodiment in which side portions of the mouse are moved by an electroactive polymer actuator to provide tactile sensations;

FIG. 5c is a top plan view of a mouse embodiment in which top portions of the mouse are moved by an electroactive polymer actuator to provide tactile sensations;

FIG. 5d is a side view of a mouse embodiment in which a rear top portion of the mouse is moved by an electroactive polymer actuator to provide tactile sensations;

FIG. 6 is a top view of an embodiment in which a sphere is braked by an electroactive polymer actuator;

FIG. 7a is a side view of a wheel embodiment in which a rotatable wheel includes an inertial mass that is rotationally moved by an electroactive polymer actuator;

FIGS. 7b and 7c illustrate a wheel embodiment including a number of electroactive polymer actuators which expand in area;

FIG. 7d is a perspective view of a wheel embodiment in which a rotatable wheel is braked by an electroactive polymer actuator;

FIG. 7e is a side elevational view of a wheel embodiment in which the entire rotatable wheel is moved laterally and vertically by electroactive polymer actuators;

FIG. 8a is a perspective view of a trackpoint controller in which an electroactive polymer actuator provides haptic feedback in its degrees of freedom;

FIGS. 8b and 8c is perspective and side sectional views of a trackpoint controller in which an electroactive polymer actuator provides haptic feedback by linearly moving a poker against the user;

FIG. 8d is a perspective view of a trackpoint controller in which electroactive polymer actuators provide haptic feedback in linear degrees of freedom;

FIG. 9a is a perspective view of a vertical pin moved linearly by an electroactive polymer actuator against a user's finger;

FIGS. 9b and 9c are perspective views of arrays of the vertical pins of FIG. 9a;

## 4

FIGS. 9d and 9e are side views of a vertical pin moved laterally by an electroactive polymer actuator against a user's finger;

FIG. 10 is a side elevational view of a device in which an electroactive polymer actuator provides braking forces on a medical tool;

FIG. 11 is a side elevational view of a device in which an electroactive polymer actuator provides forces to a trigger on an interface device;

FIG. 12a is a front view of a knob in which an electroactive polymer actuator provides direct rotary forces in the rotary degree of freedom of the knob;

FIG. 12b is a perspective view of a knob in which an electroactive polymer actuator provides braking forces in the rotary degree of freedom of the knob;

FIG. 13 is a side view of a rotating disc in which an electroactive polymer actuator provides braking forces in the rotary degree of freedom of the disc;

FIG. 14a is a side elevational view of a stylus in which an electroactive polymer actuator provides linear forces to the tip of the stylus;

FIG. 14b is a side elevational view of a stylus in which an electroactive polymer actuator provides linear forces to the front end of the stylus;

FIG. 14c is a side elevational view of a stylus in which an electroactive polymer actuator provides forces to a button on the stylus;

FIGS. 14d and 14e are side elevational and perspective views of a stylus in which electroactive polymer actuators provide outward forces from the stylus body;

FIG. 15a is a front view of a steering wheel in which an electroactive polymer actuator provides inertial forces;

FIG. 15b is a side view of a joystick handle in which an electroactive polymer actuator provides inertial forces;

FIGS. 15c and 15d are perspective and side elevational views of a joystick handle in which electroactive polymer actuators provide braking forces in the degrees of freedom of the joystick handle;

FIG. 16 is a perspective view of a rotating cylinder controller in which electroactive polymer actuators provide braking forces in the degrees of freedom of the cylinder;

FIG. 17a is a side elevational view of a tactile element in which electroactive polymer actuators provide linear motion to the element; and

FIG. 17b is a side elevational view of a tactile element in which electroactive polymer actuators provide lateral, shear motion to the element.

## DETAILED DESCRIPTION

FIG. 1 is a block diagram illustrating a haptic feedback system suitable for use with any of the described embodiments. The haptic feedback system includes a host computer system 14 and interface device 12.

Host computer system 14 preferably includes a host microprocessor 100, a clock 102, a display screen 26, and an audio output device 104. The host computer also includes other well known components, such as random access memory (RAM), read-only memory (ROM), and input/output (I/O) electronics (not shown).

Host computer 14 can be a personal computer or workstation and may operate under any well-known operating system. Alternatively, host computer system 14 can be one of a variety of home video game console systems commonly connected to a television set or other display, such as systems available from Nintendo, Sega, Sony, or Microsoft. In other embodiments, host computer system 14 can be a "set top box"



which can be used, for example, to provide interactive television functions to users, or a “network-” or “internet-computer” which allows users to interact with a local or global network using standard connections and protocols such as used for the Internet and World Wide Web. In other implementations, the host computer can be an appliance or electronic device, vehicle computer, etc.

Host computer **14** preferably implements a host application program with which a user is interacting via interface device **12** which includes haptic feedback functionality. For example, the host application program can be a video game, word processor or spreadsheet, Web page or browser that implements HTML or VRML instructions, scientific analysis program, virtual reality training program or application, or other application program that utilizes input of mouse **12** and outputs force feedback commands to the device **12**. Herein, for simplicity, operating systems such as Windows™, MS-DOS, MacOS, Linux, Be, etc. are also referred to as “application programs.” Herein, computer **14** may be referred as providing a “graphical environment,” which can be a graphical user interface, game, simulation, or other visual environment. The computer displays “graphical objects” or “computer objects,” which are not physical objects, but are logical software unit collections of data and/or procedures that may be displayed as images by computer **14** on display screen **26**, as is well known to those skilled in the art. Suitable software drivers which interface such software with computer input/output (I/O) devices are available from Immersion Corporation of San Jose, Calif.

Display device **26** can be included in host computer **14** and can be a standard display screen (LCD, CRT, flat panel, etc.), 3-D goggles, or any other visual output device. Typically, the host application provides images to be displayed on display device **26** and/or other feedback, such as auditory signals. Audio output device **104**, such as speakers, is preferably coupled to host microprocessor **100** via amplifiers, filters, and other circuitry well known to those skilled in the art and provides sound output to user when an “audio event” occurs during the implementation of the host application program. Other types of peripherals can also be coupled to host processor **100**, such as storage devices (hard disk drive, CDROM drive, floppy disk drive, etc.), printers, and other input and output devices.

Interface device **12** is coupled to the computer **14** by a bus **20**, which communicates signals between device **12** and computer **14** and may also, in some embodiments, provide power to the device **12**. In other embodiments, signals can be sent between device **12** and computer **14** by wireless transmission/reception. In some embodiments, the power for the actuator can be supplemented or solely supplied by a power storage device provided on the device, such as a capacitor or one or more batteries. The bus **20** is preferably bi-directional to send signals in either direction between host **14** and device **12**. Bus **20** can be a serial interface bus, such as an RS232 serial interface, RS-422, Universal Serial Bus (USB), MIDI, or other protocols well known to those skilled in the art; or a parallel bus or wireless link.

Device **12** can include a local microprocessor **110**. Local microprocessor **110** can optionally be included within the housing of device **12** to allow efficient communication with other components of the device. Processor **110** is considered local to device **12**, where “local” herein refers to processor **110** being a separate microprocessor from any processors in host computer system **14**. “Local” also preferably refers to processor **110** being dedicated to haptic feedback and sensor I/O of device **12**. Microprocessor **110** can be provided with software instructions (e.g., firmware) to wait for commands

or requests from computer host **14**, decode the command or request, and handle/control input and output signals according to the command or request. In addition, processor **110** can operate independently of host computer **14** by reading sensor signals and calculating appropriate forces from those sensor signals, time signals, and stored or relayed instructions selected in accordance with a host command. Suitable microprocessors for use as local microprocessor **110** include lower-end microprocessors as well as more sophisticated force feedback processors such as the Immersion Touchsense Processor. Microprocessor **110** can include one microprocessor chip, multiple processors and/or co-processor chips, and/or digital signal processor (DSP) capability.

Microprocessor **110** can receive signals from sensor **112** and provide signals to actuator **18** in accordance with instructions provided by host computer **14** over bus **20**. For example, in a local control embodiment, host computer **14** provides high level supervisory commands to microprocessor **110** over bus **20**, and microprocessor **110** decodes the commands and manages low level force control loops to sensors and the actuator in accordance with the high level commands and independently of the host computer **14**. This operation is described in greater detail in U.S. Pat. Nos. 5,739,811 and 5,734,373. In the host control loop, force commands are output from the host computer to microprocessor **110** and instruct the microprocessor to output a force or force sensation having specified characteristics. The local microprocessor **110** reports data to the host computer, such as locative data that describes the position of the device in one or more provided degrees of freedom. The data can also describe the states of buttons, switches, etc. The host computer uses the locative data to update executed programs. In the local control loop, actuator signals are provided from the microprocessor **110** to an actuator **18** and sensor signals are provided from the sensor **112** and other input devices **118** to the microprocessor **110**. Herein, the term “tactile sensation” refers to either a single force or a sequence of forces output by the actuator **18** which provide a sensation to the user. For example, vibrations, a single jolt, or a texture sensation are all considered tactile sensations. The microprocessor **110** can process inputted sensor signals to determine appropriate output actuator signals by following stored instructions. The microprocessor may use sensor signals in the local determination of forces to be output on the user object, as well as reporting locative data derived from the sensor signals to the host computer.

In yet other embodiments, other hardware can be provided locally to device **12** to provide functionality similar to microprocessor **110**. For example, a hardware state machine incorporating fixed logic can be used to provide signals to the actuator **18** and receive sensor signals from sensors **112**, and to output tactile signals.

In a different, host-controlled embodiment, host computer **14** can provide low-level force commands over bus **20**, which are directly transmitted to the actuator **18** via microprocessor **110** or other circuitry. Host computer **14** thus directly controls and processes all signals to and from the device **12**, e.g. the host computer directly controls the forces output by actuator **18** and directly receives sensor signals from sensor **112** and input devices **118**. Other embodiments may employ a “hybrid” organization where some types of forces (e.g. closed loop effects) are controlled purely by the local microprocessor, while other types of effects (e.g., open loop effects) may be controlled by the host.

Local memory **122**, such as RAM and/or ROM, is preferably coupled to microprocessor **110** in device **12** to store instructions for microprocessor **110** and store temporary and other data. In addition, a local clock **124** can be coupled to the



microprocessor **110** to provide timing data, similar to system clock **102** of host computer **14**.

Sensors **112** sense the position or motion of the device (e.g. the housing or a manipulandum) in degrees of freedom and provides signals to microprocessor **110** (or host **14**) including information representative of the position or motion. Sensors suitable for detecting motion include digital optical encoders, other optical sensor systems, linear optical encoders, potentiometers, optical sensors, velocity sensors, acceleration sensors, strain gauge, or other types of sensors can also be used, and either relative or absolute sensors can be used. Optional sensor interface **114** can be used to convert sensor signals to signals that can be interpreted by the microprocessor **110** and/or host computer system **14**, as is well known to those skilled in the art.

Actuator(s) **18** transmits forces to the housing, manipulandum, buttons, or other portion of the device in response to signals received from microprocessor **110** and/or host computer **14**. Device **12** preferably includes one or more actuators which are operative to produce forces on the device **12** (or a component thereof) and haptic sensations to the user. The actuator(s) are electroactive polymer (EAP) actuators, which are described in greater detail below, and are "computer-controlled", e.g., the force output from the actuators is ultimately controlled by signals originating from a controller such as a microprocessor, ASIC, etc. Many types of additional actuators can be used in conjunction with the electroactive polymer actuators described herein, including a rotary DC motors, voice coil actuators, moving magnet actuators, pneumatic/hydraulic actuators, solenoids, speaker voice coils, piezoelectric actuators, passive actuators (brakes), etc. Actuator interface **116** can be optionally connected between actuator **18** and microprocessor **110** to convert signals from microprocessor **110** into signals appropriate to drive actuator **18**. Interface **116** can include power amplifiers, switches, digital to analog controllers (DACs), analog to digital controllers (ADCs), and other components, as is well known to those skilled in the art.

In some of the implementations herein, the actuator has the ability to apply short duration force sensation on the housing or manipulandum of the device, or via moving an inertial mass. This short duration force sensation can be described as a "pulse." The "pulse" can be directed substantially along a particular direction in some embodiments. In some embodiments, the magnitude of the "pulse" can be controlled; the sense of the "pulse" can be controlled, either positive or negative biased; a "periodic force sensation" can be applied, where the periodic sensation can have a magnitude and a frequency, e.g. a sine wave; the periodic sensation can be selectable among a sine wave, square wave, saw-toothed-up wave, saw-toothed-down, and triangle wave; an envelope can be applied to the period signal, allowing for variation in magnitude over time. The wave forms can be "streamed" from the host to the device, as described in applicant's U.S. Pat. No. 6,411,276, or can be conveyed through high level commands that include parameters such as magnitude, frequency, and duration.

Other input devices **118** can be included in device **12** and send input signals to microprocessor **110** or to host **14** when manipulated by the user. Such input devices include buttons, dials, switches, scroll wheels, knobs, or other controls or mechanisms. Power supply **120** can optionally be included in device **12** coupled to actuator interface **116** and/or actuator **18** to provide electrical power to the actuator, or be provided as a separate component. Alternatively, power can be drawn from a power supply separate from device **12**, or power can be received across bus **20**. Also, received power can be stored

and regulated by device **12** and thus used when needed to drive actuator **18** or used in a supplementary fashion.

The interface device **12** can be any of a variety of types; some embodiments are described further below. For example, the device **12** can be a mouse device having planar degrees of freedom, in which the entire housing is moved. Alternatively, a manipulandum on the device, such as a joystick handle, a knob, a steering wheel, a trackball, etc., is moved by the user and tracked by sensors. Device **12** can also be a gamepad, joystick, steering wheel, stylus, touchpad, spherical controller, finger pad, knob, track ball, or other device, some embodiments of which are described below. Alternatively, a handheld remote control device used to select functions of a television, video cassette recorder, sound stereo, internet or network computer (e.g., Web-TV™) can be used with the haptic feedback components described herein, or a cell phone, personal digital assistant, etc. The forces from the actuator(s) **18** can be applied to the housing of the device **12**, and/or a movable manipulandum such as a joystick handle, steering wheel, knob, button, etc.

#### Electroactive Polymers in Haptic Feedback Devices

Electroactive polymers (EAP) are a class of polymers which can be formulated and/or processed to exhibit a wide range of physical, electrical, and electro-optical behaviors and properties.

When activated, such as by an applied voltage, EAP materials can undergo significant physical movement or deformations, typically referred to as electrostriction. These deformations can be along the length, width, thickness, radius, etc. of the material and in some cases can exceed 10% strain. Elastic strains of this magnitude are very unusual in common materials and even more unusual in that they can be fully controlled with the proper electronic systems. Materials in this class can be used to do useful work in a compact, easy to control, low power, fast, and potentially inexpensive package. They are often referred to as "electric muscles" because of these properties. These deformation properties can be used to provide forces to a user in a haptic feedback device.

Many of the materials can also act as high quality sensors, particularly for time-varying (i.e. AC) signals. When mechanically deformed (e.g. by bending, pulling, etc.), most EAP materials develop differential voltages which can be electrically measured. This ability to essentially generate electric potential makes them promising as force, position, velocity, acceleration, pressure, etc. sensors in haptic feedback devices. Many of these materials exhibit bi-directional behavior, and can act as either sensors or actuators, or act simultaneously as both sensors and actuators, depending on system design.

At present, there are four main classes of EAP, each with various advantages, disadvantages, and issues. The four classes, all included in the term "electroactive polymer" herein, are gels, ionic polymers (ionic polymer metal composites or IPMC), conducting polymers, and electrostrictive polymers. Any of these types of EAP can be used, although some types may be more appropriate for a particular application than other types. A variety of EAP structures are described in the papers, "High-field electrostriction of elastomeric polymer dielectrics for actuator," by Kornbluh et al., "Electro-mechanics of iono-elastic beams as electrically-controllable artificial muscles," by M. Shahinpoor, "Polymer Electrolyte Actuator with Gold Electrodes," by K. Oguro et al., and "Microgripper design using electro-active polymers," by R. Lumia et al., all SPIE Conf. on Electroactive Polymer Actuators and Devices, SPIE Vol. 3669, 1999.

In a majority of EAP materials, the actuation mechanism is based on the movement of ionic species either in or out of a



polymer network. Currently, the most commercially viable of these is the electrostrictive polymer class.

Electrostrictive polymers presently can be classified in two classes: dielectric and phase transition. Dielectric polymers are typically a sandwich construction of two electrically

conductive (and compliant) electrodes with a dielectric polymer in between. At high electric fields (e.g., 100's to 1000's of volts), the attractive force of the electrodes squeezes the intervening dielectric such that significant motion (strain) is induced. In some cases, this strain can be greater than 50%. Phase transition electrostrictive materials also exhibit high strain (deformation) in the presence of electric fields, but the mechanism is a ferroelectric-to-paraelectric transformation at the molecular chain level. One example of these materials has been developed by Q. M. Zhang et al. and is electron-irradiated polyvinylidene fluoride-trifluoroethylene (P(VDF-TrFE)) copolymer, described in the paper, "Electromechanical Behavior of Electroactive P(VDF-TrFE) Copolymers", SPIE Conf. on Electroactive Polymer Actuators and Devices, SPIE Vol. 3669, 1999. When processed, P(VDF-TrFE) exhibits exceptional strain (>10% in some cases), extreme energy density (Joules/cm<sup>3</sup>), and high physical stiffness (elastic modulus). It is proposed that this class of materials exhibits energy densities exceeding that of traditional piezoceramics (PZT) and magnetorestrictive materials. Therefore, as described, P(VDF-TrFE) may be nearly ideal actuator material, including intrinsic sensing capabilities, for haptic devices.

EAP materials are often derivatives of existing polymers and therefore share common processing steps with these existing products. This commonality makes EAP materials potentially economical to produce in large volume and provides repeatable quality standards. For haptic device applications, EAP materials (particularly P(VDF-TrFE)) have many potential advantages over conventional sensing and actuation methods. For example, the EAP materials have high energy density, rapid response time, customizability (shape and performance characteristics), compactness, easy controllability, low power consumption, high force output and deflections/amount of motion, natural stiffness, both sensing and actuation functions, relatively low raw materials cost, and relatively inexpensive manufacturing cost.

#### Configurations

EAP actuators and sensors can be configured in several different ways. Some of these configurations are described below.

**Bending:** A sandwich/layered "bimorph" structure can be provided which can generate single-axis displacements or forces in two directions. For example, FIG. 2a shows a side view of an EAP structure **200**. A bending out of the flat plane of the structure **200** can be performed, as shown in FIG. 2a. This can be accomplished with IPMC structures, or, a polymer surrounded in a sandwich structure by a gold electrode and a carbon electrode, for example. Alternatively, as shown in the top plan view of FIG. 2b, a bending within the plane of the structure **202** can be performed, e.g. using water dragging by cation. A bending beam can also be used as a sensor, such as an IEM-Pt composite sensor placed between two electrodes.

**Linear motion:** FIG. 2c shows a side view of a multiple layer bending beam **204** which is capable of both bending as well as longitudinal (lengthwise) displacements and forces. The beam **204** can include a top electrode **206a**, a bottom electrode **206b**, a middle electrode **206c**, which can be made of a standard conductive material. Two elastomer layers **208** are positioned between the electrodes. A linear motion of the beam **204**, as shown by arrow **209**, is created by actuating

both the top electrode and the bottom electrode. A bending motion can be created by actuating either the top electrode or the bottom electrode (the middle electrode is coupled to ground). Other embodiments may provide only linear, axial deflection and no bending by using a sandwich structure.

**Multiple degrees of freedom:** FIG. 2d is a perspective view of a cylinder **210** that may deflect in two degrees of freedom (four directions) using combined signals applied to four electrodes. Four electrodes **211** are shown in this example, which are positioned on an elastomer cylindrical layer **207**. In other embodiments, other three-dimensional structures of electrodes can be provided to deflect in two degrees of freedom (four directions) or additional degrees of freedom. For example, a structure having a triangular or other polygonal cross-section can be provided.

**Area expansion:** FIG. 2e shows a structure including a soft dielectric **212** squeezed between two compliant electrodes **213**. The dielectric **212** expands in area, e.g., along one or more linear directions, as shown by arrows **214**. In other embodiments, the dielectric can expand radially (in a circular dielectric), e.g. a polymer film stretched on a rigid frame between two electrodes. Other shaped dielectrics may also be used. The thickness of the dielectric compresses simultaneously, as shown by arrows **215**.

**Axial motion:** FIG. 2f illustrates a sandwich structure of two polymer layers that are rolled into a cylinder **216**, where electrical and mechanical connections can be made at regions **218** and the active, expanding region **220** is positioned between and includes overlapping electrodes. The resulting axial motion is indicated by arrow **219**. In other embodiments, a sandwich structure can be rolled into a coil to produce rotational movement (a torque).

**Diaphragm:** Thin diaphragms can use planar expansion to generate in-plane or out-of-plane deflections, closing of apertures, etc.

#### Haptic Device Embodiments

The major classes of use contemplated for EAP actuators and sensors in haptic devices are inertial vibration actuators, linear actuators, rotational actuators, brakes, and miscellaneous uses. Many of these classes are described below in the provided example embodiments of haptic devices.

It should be noted that the EAP actuators described in the below embodiments can be controlled by a local microprocessor in accordance with firmware and/or host computer commands or signals, or a host computer can directly control the actuator(s).

FIG. 3 is an illustration of one example of an interface device **12** that can be used. Mouse device **250** is a device having a housing **252** that is moved by the user in two planar degrees of freedom (x- and y-axes) to provide control signals to a host computer, e.g. to control the position of a cursor in a displayed graphical environment. As is well known to those of skill in the art, mouse device **250** includes one or more sensors to detect its x- and y position, such as a ball and roller sensor assembly, an optical sensor, or other types of sensor. A scroll wheel **254** can be provided to allow the user to provide additional input by rotating the wheel. Mouse buttons **256** can be pressed by the user to provide input signals to the host computer.

Three general types of haptic feedback are described in relation to the mouse embodiment **250**; other types are also possible, and all may be implemented with other types of haptic feedback devices (joysticks, trackballs, steering wheels, laptop sensor pads, etc.). The three general types are button haptic feedback, inertial haptic feedback, and housing motion haptic feedback.



FIGS. 3a-3c illustrate generally the output of haptic feedback on a button. Button haptic feedback can be provided in several different ways. In FIG. 3a, the EAP actuator is used to provide haptic feedback and actuate motion of a button **256** in the button degree of freedom as shown by the arrow, i.e., in the direction of clicking or moving the button, where the button can be moved to another position as indicated by the dashed lines. The EAP structure (not shown) can, for example, be directly coupled to the button or be coupled to the button via a transmission or intermediate member (spring, flexure, etc.) For example, a linearly-extending EAP actuator can push or pull the button in its degree of freedom.

In the top plan view of FIG. 3b, an EAP actuator provides haptic feedback to button **256a** in the direction of lateral button motion, i.e., motion in a direction substantially perpendicular to button motion and, in the case of a mouse embodiment, substantially parallel to mouse motion in its degree of freedom. The EAP actuator can be coupled to the button directly or through an intermediate structure. For example, a linearly-moving EAP actuator can push or pull the button from the side of the button **256a**. Furthermore, the button can be moved along the x-axis or the y-axis, or along both axes, e.g. using two EAP actuators. A haptic button can also be implemented as button **256b**, which is a standard button that may be clicked or pressed to provide an input signal, and which also includes a patch **258** provided on the button. The patch can be a separate film or member that can be moved by an EAP actuator independently of the surrounding portions of the button **256b**. For example, as shown, the patch **258** can be positioned near the center of the button **256b**; alternatively, the patch can be positioned on one side or edge of the button **256b**.

FIG. 3c is a top plan view of another button embodiment, where a tactile array **260** of haptic EAP elements **262** can be placed on or near a button **256**. Each EAP element **262** of the array can be individually moved up or down on the z-axis, allowing a variety of sensations to be conveyed to the user who is contacting the array or part of the array while resting a finger on the button. In other embodiments, a 1D array (single line of elements) can be provided instead of the 2-D array shown. EAP tactile arrays are described in greater detail below.

Another general type of haptic feedback is inertial feedback, which involves moving a mass with respect to an inertial ground such that the oscillations are conveyed to the user as vibrations or pulses. Inertial haptic feedback can be provided using EAP actuators. FIG. 4a shows a linear shaker **270** using an EAP actuator, where a mass **M** is moved linearly by the EAP structure **272** that can move axially, as indicated by arrow **274**. An oscillating control waveform **274** is input to the shaker to cause the EAP actuator to oscillate back and forth. This causes an inertial force on the housing of the device to which the EAP actuator is attached. Such feedback can be provided for a mouse, gamepad, joystick handle or base, trigger button on any device, a stylus, a tablet, a glove, a knob, a remote control, or other handheld device or structure on a device.

FIG. 4b illustrates a rotary inertial EAP actuator **280**, which includes an EAP element **282** that is configured like a coil to move a mass **284** in a rotational degree of freedom and thus provide rotary inertial forces to a housing or structure to which the actuator is coupled. The inner end **288** of the element **282** can be grounded to provide a reference for the other end of the element which oscillates. For example, the mass **284** can be oscillated approximately about the axis of rotation **A**, where examples of extreme positions are shown by the dashed lines. The positive and negative connections

**286**, as with all the embodiments shown with such connections herein, indicate that a signal or waveform can be applied to the EAP actuator to cause it to move.

FIG. 4c shows a multi-axis shaker module **290** which includes three masses **M1**, **M2**, and **M3**, each coupled to an associated EAP actuator structure **292** that is similar to the structure of FIG. 4a. Preferably, each EAP structure is oriented along a different axis (x, y, and z) to allow a mass to be linearly moved along the associated axis. When all three masses are moved simultaneously, inertial forces are provided in all three degrees of freedom, allowing more complex and realistic inertial haptic feedback to be output to the user of the haptic device. In other embodiments, masses and actuators are provided in only two degrees of freedom, or can be oriented at different angles.

Housing motion haptic feedback is another general type of haptic feedback and can also be output using one or more EAP actuators. FIG. 5a shows an up-down motion of the entire housing **302** of mouse **300** (or the entire top-sides portion of the housing, excluding the bottom plate), as indicated by arrow **304** and the dashed lines **306**. An EAP actuator **308** can be coupled directly to the moveable housing, as shown, and moved linearly. Or the EAP element can be coupled to the housing via a hinge, flexure, or other structure. In other embodiments, the EAP actuator can be made to bend to cause the up-down motion.

FIG. 5b illustrates a mouse **320** including one or more moveable sections **322** provided in or on the side housing **324** of the mouse, where an EAP actuator can be coupled to each moveable section to move it. For example, a flexible material or hinge, such as rubber or flexible plastic, can couple the moveable sections **322** to the rest of the housing **324** to allow the motion. EAP actuators that bend, move linearly, or expand in area can be used to move the sections **322**.

In FIG. 5c, a mouse **330** includes portions **332** of the housing **324** which are moveable in a split shell configuration, allowing a dedicated EAP actuator coupled to each portion **332** to drive its associated portion independently of the other portion **332**. The user's palm which contacts the moving portions **332** will feel the tactile sensations as the portions are moved, such as vibrations and the like. Alternatively, the portions **332** can be driven simultaneously or with a single EAP actuator having linkages to both sections.

FIG. 5d shows a mouse **340** including an upper portion **342** of the housing moveable with respect to the remaining housing portion **344** as shown by arrow **346** and driven by an EAP actuator, where the user's palm contacts the moveable portion to feel the haptic contact forces. A hinge or other flexure can couple the moveable portion **342** with the base portion **344**. Differently-sized portions **342** can be provided in other embodiments.

Ball haptic feedback provides haptic forces acting on a ball, such as a trackball device, a ball used in a sensor mechanism in a mouse device, or other frictional movement device, to output haptic feedback in the degrees of freedom of motion of the interface device. For example, as shown in FIG. 6, a ball actuation assembly **350** includes a sphere or ball **352**, an X roller **354**, a Y roller **356**, an X sensor **358**, a Y sensor **360**, an X EAP brake **362**, a Y EAP brake **364**, and a support **366** supporting the brakes. The ball **352** rolls against the cylindrical rollers **354** and **365** (the ball can be biased against the rollers by using, for example, a third roller that is spring biased against the ball). The encoder sensors **358** and **360** sense the position of the rollers, and thus the ball, in the x and y axes by providing an encoder wheel attached to a roller and an emitter-detector to detect slots or marks in the wheel, as is well known. The EAP brakes **362** and **364** each include a



brake shoe **368** (which can be of any suitable material) on their ends facing the rollers **354** or **356**. The EAP brakes are provided with a control electrical signal to induce linear motion in the EAP elements and thus on brake shoes **368** to cause the brake shoes to frictionally contact the rolling members **354** and/or **356**. This frictional contact causes resistance to motion of the ball **352**, which the user feels as resistance to motion and haptic feedback. The EAP brakes **362** and **364** can be moved different distances to cause different amounts of friction on the rollers, thus causing different amounts of friction on the ball. This resistance also causes resistance to the mouse in its degrees of freedom, in such embodiments.

Some embodiments of the interface device **12** can include a wheel, such as mouse wheel **254** shown in FIG. **3**. The wheel can be rotated by the user's finger(s) to provide position signals to a computer indicating a position or motion of the wheel, and which can be used to scroll documents displayed by a host computer, move a cursor and select an item in a list, or perform other functions well known to those of skill in the art. Haptic feedback can be output in the rotational degree of freedom of the wheel, and/or on the wheel itself, using an EAP actuator. For example, FIG. **7a** illustrates a wheel **380** which includes an EAP rotary inertial shaker **382**. The shaker includes a curved EAP element **384** and a mass **386** positioned at the end of the element **384**. The mass **386** can be oscillating using a periodic waveform as an input signal, similar to the shaker shown in FIG. **4b**. This causes inertial sensation on the wheel **380**, which are transferred to the user's finger **388**.

In FIG. **7b**, a wheel **400** includes number of radially expanding EAP actuators **402**. Each actuator **402**, as shown in FIG. **7c**, can be similar to the area expansion actuator shown in FIG. **2e** above to provide an expanding outer surface to the wheel **400**. Multiple EAP actuators are provided around the circumference of the wheel, where the expansion of each actuator can be controlled individually to provide tactile sensations to the user's finger based on the collective movement of those actuators in contact with the user's finger. Other types of EAP actuators, such as linear moving elements, can alternatively be used.

In FIG. **7d**, an EAP brake device **410** is shown which includes an EAP brake **412** that includes an EAP linearly-moving structure **414** coupled to a brake shoe **416**. The brake shoe **416** frictionally contacts a rotating axle **418** of the wheel **420**, similar to the EAP brake of FIG. **6**, to cause resistance in the rotational degree of freedom of the wheel.

FIG. **7e** illustrates a wheel device **430** that uses an EAP actuator to provide lateral motion or forces on the wheel, parallel to the axis of rotation of the wheel. A linearly-moving EAP actuator **432** can be coupled to the rotating axle **434** (or to a member rotatably coupled to the axle) to provide horizontal forces and motion, as indicated by arrow **436**, to wheel **438**. Also, in some embodiments, a linearly-moving EAP actuator **440** can be coupled to a member as shown to provide a vertical force or motion on the entire wheel device **430** as indicated by arrow **442**. These embodiments can also be used with a rotary control knob used in a variety of devices.

Other interface devices **12** can be provided with haptic feedback using EAP actuators. For example, in FIG. **8a**, a "trackpoint" controller **450** is shown, which is often positioned between keys on a standard computer keyboard of a laptop or other computer and used to control a cursor or other pointing function by being moved in normal displacement directions, as shown by arrows **452**. For example, the trackpoint can be translated or rotationally moved in the two degrees of freedom. The trackpoint **450** can be provided with an EAP actuator **454**, which can be controlled to move lin-

early vertically (z-axis) in both directions to provide z-axis tactile feedback to the user's finger operating the trackpoint. In some embodiments, the EAP actuator **454** can also or alternatively act as a sensor to detect when the user is contacting the trackpoint and/or the amount of z-axis pressure or displacement exerted by the user on the trackpoint. The amount z-axis pressure can be used to control a value or parameter in an application program, such as a rate control function (scrolling, panning, zooming, velocity of a virtual vehicle in a game, etc.) or the position of a cursor in a representation of a third dimension. The trackpoint controller can be considered the interface device as well as a manipulandum of the interface device.

In FIG. **8b**, a trackpoint controller **460** can include a linearly-moving EAP actuator similar to that of FIG. **8a** but positioned within a hollow interior of a vertical post **462**. The cap **461** of the trackpoint can be textured to allow a stronger user grip and includes an aperture **465**. As shown in FIG. **8c**, the EAP actuator **464** can be controlled to extend a poker **466** or other member that is coupled to the EAP actuator **464** through the aperture into the skin of the user's finger contacting the top of the trackpoint controller **460**. The poker can be withdrawn and extended to provide texture sensations to the user.

FIG. **8d** shows another embodiment of a trackpoint controller **470**, where EAP actuators are used to provide haptic feedback in the normal x-y directions of control of the trackpoint controller. Four linearly-moving EAP actuators **472** are placed at 90 degree increments around a base **474** of the controller to provide linear force and/or motion to the central vertical post **476**. The post can be moved linearly by the user in the x- and/or y-directions to control a cursor, value, etc. It should be noted that the embodiments shown in FIGS. **8a-8d** can be used with standard, larger-sized joysticks as well as trackpoint controllers, or other types of interface devices.

Tactile arrays are multiple vertical "pins" that form a plane of contact perpendicular to the orientation of the pins at the pin's contact surfaces. The contact surfaces of the pins are contacted by a user's fingers or palm. Each pin can be individually moved perpendicularly to the pin's lengthwise axis, such that collectively the pins can be moved to convey different tactile sensations to the user. FIG. **9a** shows a single "pin" **490**, which is implemented as an EAP actuator **494** that can be linearly moved as indicated by arrow **496**. A tactile cap **492** is positioned on the EAP pin **494** to be contacted by a user. In FIG. **9b**, a plurality of the pins **490** of FIG. **9a** have been positioned in a matrix to form a tactile array **500**, where each pin **490** can be individually controlled to move vertically in either direction. An adjacent surface **502** provides a reference surface for the user's fingers. In FIG. **9c**, a high density array **504** of EAP pins **490** is shown, where each EAP pin can represent a pixel-sized element. This array of pins can be used to indicate haptically to the user when certain features in a graphical environment are crossed or interacted with. For example, the array can be provided as a trackpad, where the position of the user's finger on the array determines the position of a cursor or entity in a graphical environment. The array of pins can be matrix scanned (or individually addressed) to sense where the user's finger current is on the array. When the user's finger moves over a border of a window, the EAP pins corresponding to the border location are moved upwards, giving the user's finger the sensation of crossing over a 3-D border. Other displayed features such as icons, folders, etc. can also be similarly haptically indicated. The high density array **504** can also be used to provide other tactile sensations based on interactions or events implemented in a computer environment.



FIG. 9d shows another embodiment 510 using the EAP pins described above. A lateral motion tactile element/array can be provided, where tactile sensations are provided moving pins perpendicular to their lengthwise axes (laterally). Each pin is moved laterally to provide stretching of the user's skin or shear sensations instead of indenting the skin of the user as in the embodiments of FIGS. 9a-9c. More space can be provided between the pins to allow for the lateral motion. When using EAP actuators, one way to provide such lateral motion is to place two linearly-moving EAP actuators 512 on a grounded element, and place a flexible membrane 514 (or other member) over the actuators 512, where a lateral moving element 516 is placed on the flexible membrane 514 as shown in FIG. 9d. One or both of the EAP actuators 512 is moved vertically (if both are moved, it is in opposite directions), causing the flexible membrane to flex and the lateral element 516 to rock left or right as indicated by arrow 518. Alternatively, as shown in FIG. 9e, an EAP structure 520 that can be directly moved laterally using a control signal, such as referred to above in FIG. 2b and/or an element having sandwiched layers, can be used to provide the desired lateral motion. The actuator 520 can be moved laterally in one degree of freedom, or in some embodiments can be moved in two.

EAP actuators can be used to provide specific forces in particular applications. For example, FIG. 10 is a side elevational view of an EAP brake 530 used in a medical device, where a catheter wire 532 (or laparoscopic extension, needle, or other portion of medical or other instrument) is used in a haptic feedback medical simulation that provides forces on the medical instrument to simulate a medical procedure. An EAP brake includes an EAP element 534 that is coupled to a brake shoe 536 that can be moved laterally against the catheter wire 532, causing friction in the linear degree of freedom of the wire. The amount of friction can be adjusted by moving the EAP brake different distances. Another EAP brake can be used to provide resistance in the rotary degree of freedom of the wire 532.

Trigger devices can also make use of EAP actuators. FIG. 11 is a side elevational view of a device 540 including a trigger 542 that is pressed by a user to provide a signal to a game, simulation, or other program or device. The trigger 542 can be included in an interface device such as a gamepad, joystick, mouse, etc. For example, the trigger 542 can rotate about an axis of rotation B, which can be a coupling to a housing of the interface device. An EAP actuator 544 can be positioned between the trigger and a grounded switch 546. The switch 546 sends a signal indicating activation when a portion 548 is pressed. A spring 550 normally biases a contact plate 552 away from the switch 546; when the plate 552 is moved by the EAP actuator 544, the spring is compressed and the plate hits the portion 548 of the switch 546, activating it. The spring 550, meanwhile, biases the trigger back to its origin or rest position as well as providing a spring resistance force to trigger motion. The EAP actuator can be used to move in opposition to, or in conjunction with, trigger motion to provide a haptic sensation to the user pushing the trigger (this EAP force can supplement or override the spring force from 550). The actuator can thus make it easier or more difficult for the trigger to cause the switch to change states. For example, different resistances, damping, pulses, or vibrations can be output, as in all the linear EAP actuator embodiments described herein.

FIG. 12a shows a rotary knob 560 that can be used to control functions in a wide variety of devices. A spiral or coil EAP actuator 562 can be positioned inside the knob so that the EAP actuator exerts a torque on the knob when it is activated.

Resistance or force can thus be provided in the rotary degree of freedom of the knob, as indicated by arrow 564, although a knob of limited rotational range should be used.

FIG. 12b illustrates a knob device 570 that includes an EAP actuator. Knob 572 is coupled to a rotating shaft 574, which is coupled to a cylindrical brake member 576 that can include a frictional surface. EAP actuator 578 includes a brake shoe 580 that is moved by the actuator 578 to contact the brake member 576. This engagement provides frictional forces on the shaft 574 and knob 572. This embodiment allows a knob having an unlimited (continuous) rotational range to be used. A linear EAP element can be used, as described in braking embodiments above.

FIG. 13 is a side elevational view of a braking embodiment 590 for a rotating disk. Disk 592 rotated about axis C. A caliper 594 is positioned at one end of the disk, and an EAP actuator 596 is coupled to one end of the caliper. The EAP actuator can be moved linearly to move a brake shoe 598 against the spinning cross-sectional surface of the disk, thus causing frictional resistance to the disk. A brake shoe 600 can be positioned on the other end of the caliper 594, opposite the brake shoe 598. The disk can be coupled to a variety of objects, such as a joystick handle or mouse, a rotating finger wheel or knob, or a rotating axle in a vehicle.

A stylus-shaped interface device can also be provided with an EAP actuator to produce haptic feedback to the user of the stylus. A stylus can be used to point to or select objects on a screen, or draw or write lines by contacting the stylus with a tablet or with a display screen surface, e.g. on Personal Digital Assistants (PDA's), touch screens, graphics tablets, laptop computers, etc. For example, FIG. 14a shows a stylus 610 having a moveable tip 612, where the tip is moved by an EAP actuator 614 that is coupled to the tip and positioned inside the stylus housing. The EAP actuator moves linearly and causes the tip member 616 to move linearly through an aperture in the stylus housing. The EAP actuator can be controlled to produce vibrations, pulses, or other force sensations on the tip and thus to the user holding the stylus.

FIG. 14b shows a different embodiment 620 that causes a front end portion 622 of the stylus to linearly move with respect to the back portion 624 of the stylus. A rubber bellows 626 can be positioned between the moving front portion and the back portion, and an EAP actuator (not shown) can be positioned inside the stylus housing. The EAP actuator can be a linearly-moving element that is coupled to the front end portion 622 to move that portion similarly to moving the tip member as shown in FIG. 14a. Haptic sensations can be output to the user similarly as described with respect to FIG. 14a.

Other features of a stylus can also be actuated using EAP actuators. In FIG. 14c, a stylus 640 is shown having a button 642 which can be controlled (by a host computer or other controller) to linearly move back and forth by coupling a linearly moving EAP actuator 644 to the button as shown. The button can be actuated to correspond to interactions between a controlled cursor and other displayed objects, for example.

In FIG. 14d, a stylus 650 includes an expanding grip 652 which can be implemented using EAP actuators. The cylindrical grip provides an expanding circumference that is haptically discernible to the user gripping the cylindrical grip. The grip can be expanded and contracted to provide various haptic sensations, such as pulses, vibrations, 3-D surface simulations, etc. The grip can be implemented using a plurality of EAP actuators 654 (four are shown) that are disc-shaped and which expand in circumference with the activation signal is applied, as indicated in FIG. 14e which shows a single EAP



actuator 654. These actuators can be similar to the EAP structure described above with respect to FIG. 2e.

Other devices can also be used with EAP actuators. For example, as shown in FIG. 15a, a steering wheel 660 of a steering wheel controller device can be provided with an EAP inertial shaker 662 coupled in or on the wheel to provide inertial forces to the user contacting the steering wheel and which are coordinated with displayed events or interactions. The inertial shaker can be similar to the shaker described above with reference to FIG. 4a. Brakes can also be provided to exert frictional forces in the degree of freedom of the steering wheel, similar to the knob of FIG. 12b. FIG. 15b shows a joystick handle 666 of a joystick controller, where the handle is similarly outfitted with an inertial EAP actuator 668 provided within the joystick handle to output inertial forces on the joystick handle.

FIG. 15c is a perspective view of a joystick embodiment 680 that provides passive force feedback to the joystick. Joystick handle 682 is placed in apertures of two rotating members 684a and 684b. When the handle 682 is rotated in a direction, the corresponding member 684 rotates as well. Frictional brake disks 686a and 686b are coupled to their associated rotating members 684a and 684b. EAP brakes 688a and 688b provide frictional forces on the disks 686 which causes resistance in the two degrees of freedom of the joystick handle (sensors, not shown, sense the rotational motion of the joystick handle). For example, the EAP brakes can include linearly-moving elements, similar to other brake embodiments described herein. FIG. 15d illustrates one example of an EAP brake caliper that can be used as an EAP brake 688, where a linearly-moving EAP actuator 690 coupled to a caliper support 691 can be coupled to a brake shoe 692 that frictionally contacts the disk 686.

EAP actuators as disclosed herein can also be used on other types of controllers. For example, FIG. 16 is a perspective view of a cylindrical pointer controller 700, which includes a cylinder 702 that can be rotated about its lengthwise axis as indicated by arrow 704 to provide input in one degree of freedom (e.g. move a cursor along one axis) and can be translated parallel to its axis of rotation as indicated by arrow 706 on a carriage 708 to provide input in another degree of freedom (e.g. move a cursor along the other axis). Sensors (not shown) detect the rotation and translation. Such a controller is described in greater detail in U.S. Pat. No. 4,896,554. In one embodiment, an EAP brake 710 can move a brake shoe against an axle 712 coupled to the cylinder to provide frictional braking forces in the rotational degree of freedom. That EAP brake and the cylinder can be translated linearly on carriage 708, and another EAP brake 714 can apply braking frictional forces on the carriage in the translatory degree of freedom. Other types of EAP actuators can also be used in a cylindrical controller, e.g. inertial shakers.

FIG. 17a illustrates an embodiment 720 providing skin tactors using an EAP actuator. Skin tactors are similar to the pin grid arrays described above, in which one or more moving elements contacts a user's skin to provide a tactile sensation. One or more skin tactors can be provided in a haptic glove to engage the user's fingers and palm, in arrays on a vest to engage the user's chest or other body parts, or in other areas that can contact a user's skin. In FIG. 17a, an EAP linearly-moving actuator 722 is coupled to a tactor element 724, where the tactor element is moved linearly into the user's skin through an opening in a support 726. The tactor element is preferably moved and/or oscillated with a waveform similarly to the pin grid arrays described above.

FIG. 17b illustrates another embodiment 730 having tactor elements. A linearly-moving EAP actuator 732 is coupled to

a support 734. A tactor element 736 is coupled to a member 738 that is coupled to the end of the actuator 732. When the actuator 732 is moved linearly, the tactor element is moved laterally as indicated by arrow 740. This motion stretches the user's skin instead of moving an element into the skin. The grounded surface surrounding the tactor element, as well as the tactor element itself, can include ridges 742 or bumps to engage the user's skin. The stationary ridges on the grounded surface hold an engaged portion of the user's skin in place, while the moving ridges on the tactor element 736 stretch the middle area of the engaged portion of user's skin, creating a highly effective tactile sensation.

Other types of interface devices can employ EAP actuators, such as touchpads on laptop computers, PDA and game device screens used with styluses or fingers, etc., where haptic sensations are output directly on the touchpad or screen. For example, the touchscreens and touchpads disclosed in applicant's U.S. Pat. No. 6,429,846 can be coupled to bending, inertial shaker, or linearly-moving EAP actuators as disclosed herein rather than, for example, piezoelectric actuators. Tactile computer keyboards and keypads (as disclosed in applicant's U.S. Pat. No. 6,693,626) direction pads on gamepads (as disclosed in applicant's U.S. Pat. No. 6,563,487), and other interface devices may be used with the EAP actuators.

While the subject matter has been described in terms of several preferred embodiments, it is contemplated that alterations, permutations and equivalents thereof will become apparent to those skilled in the art upon a reading of the specification and study of the drawings. For example, many different types of haptic sensations can be provided with the actuators. Furthermore, certain terminology has been used for the purposes of descriptive clarity, and not to limit the scope of the claims.

What is claimed is:

1. An apparatus comprising:

a wheel configured to be rotated about a shaft, the wheel having an outer surface adapted to be in contact with a user's finger to rotate the wheel about the shaft; and an electroactive polymer positioned within the wheel, the electroactive polymer having a mass coupled thereto, wherein the electroactive polymer is configured to move the mass between a first position and a second position to produce a haptic effect upon being activated.

2. An apparatus comprising:

a wheel configured to be rotated about a shaft; and [a plurality of electroactive polymers] *an electroactive polymer* positioned [along a circumference of] *on* the wheel and adapted to be [in contact with a user's finger to rotate the wheel] *rotated by a user* about the shaft; the electroactive polymer configured to move between a first position and a second position to produce a haptic effect [upon being activated].

3. A method comprising:

sensing rotation of a wheel about a shaft in response to a user operating the wheel on its outer surface, the wheel having an electroactive polymer positioned within the wheel and having a mass coupled thereto; and activating the electroactive polymer to move the mass between a first position and a second position to impart a haptic effect to the wheel.

4. A method comprising:

sensing rotation of a wheel about a shaft in response to a user operating the wheel [on its outer surface], the wheel having an electroactive polymer [positioned along a circumference of] *on* the wheel; and



19

activating the electroactive polymer [by applying a current thereto, wherein the electroactive polymer moves] to move the electroactive polymer between a first position and a second position to impart a haptic effect [to the user].

5. The method of claim 4, wherein the electroactive polymer is positioned along a circumference of the wheel.

6. The apparatus of claim 3, further comprising a mass coupled to the wheel.

7. The apparatus of claim 3, wherein the electroactive polymer is positioned along a circumference of the wheel.

8. A device comprising:

a processor configured to receive an input signal;

a housing; and

an electroactive polymer actuator coupled to the housing and the processor, wherein the processor is configured to activate the electroactive polymer actuator in response to the input signal.

9. The device of claim 8, wherein the processor comprises a local processor and wherein the input signal is received from a host processor.

10. The device of claim 9, wherein the host processor generates the input signal based on a sensor signal received at the host processor, wherein the sensor signal indicates a movement associated with the sensor.

11. The device of claim 9, wherein the host processor is configured to display a graphical user interface, wherein at least a portion of the graphical user interface is configured to cause the host processor to output the input signal based on the movement at a designated haptic location on the graphical user interface.

12. The device of claim 8, wherein the device further comprises a sensor coupled to the processor, the sensor configured to sense a movement associated with the sensor, and wherein the input signal is received from the sensor.

13. The device of claim 8, further comprising a touch surface coupled to the housing.

20

14. The device of claim 13, wherein the touch surface comprises a touch screen configured to receive inputs from the user to a graphical user interface via the touch surface.

15. The device of claim 8, further comprising a button, wherein the haptic effect is output via the button.

16. The device of claim 8, wherein the electroactive polymer actuator is configured to move a portion of the housing.

17. The device of claim 12, wherein the electroactive polymer actuator is configured to expand from a first position to a second position with respect to the housing upon activation.

18. A device comprising:

a touch surface;

a sensor configured to detect a movement on at least a portion of the touch surface, the sensor configured to output a sensor signal; and

an electroactive polymer actuator coupled to the touch surface and configured to output a haptic effect based on a control signal from a processor, the control signal based on the sensor signal.

19. The device of claim 18, wherein the touch surface comprises a touch screen configured to receive an input associated with a graphical user interface via the touch surface.

20. The device of claim 19, wherein the processor is of a computer system running a program displaying a graphical user interface, wherein at least a portion of the graphical user interface is configured to cause the processor to output the control signal upon the sensor output signals indicating the movement at a designated haptic location on the graphical user interface.

21. The device of claim 19, further comprising a button, the haptic effect being output via the button.

22. The device of claim 19, wherein the haptic effect is associated with an event implemented by the processor.

23. The device of claim 19, wherein the electroactive polymer actuator is configured to move a portion of the housing.

24. The device of claim 23, wherein the electroactive polymer actuator is configured to expand from a first position to a second position with respect to the housing upon activation.

\* \* \* \* \*