

(19) **United States**
 (12) **Reissued Patent**
Anderson et al.

(10) **Patent Number:** **US RE43,365 E**
 (45) **Date of Reissued Patent:** ***May 8, 2012**

(54) **APPARATUS FOR CHEMICAL
 AMPLIFICATION BASED ON FLUID
 PARTITIONING IN AN IMMISCIBLE LIQUID**

(75) Inventors: **Brian L. Anderson**, Lodi, CA (US); **Bill
 W. Colston**, San Ramon, CA (US);
Christopher J. Elkin, San Ramon, CA
 (US)

(73) Assignee: **Lawrence Livermore National
 Security, LLC**, Livermore, CA (US)

(*) Notice: This patent is subject to a terminal dis-
 claimer.

(21) Appl. No.: **12/891,733**

(22) Filed: **Sep. 27, 2010**

Related U.S. Patent Documents

Reissue of:

(64) Patent No.: **Re. 41,780**
 Issued: **Sep. 28, 2010**
 Appl. No.: **12/118,418**
 Filed: **May 9, 2008**

Which is a Reissue of:

(64) Patent No.: **7,041,481**
 Issued: **May 9, 2006**
 Appl. No.: **10/389,130**
 Filed: **Mar. 14, 2003**

(51) **Int. Cl.**
C12Q 1/68 (2006.01)
C12P 19/34 (2006.01)
C12M 1/34 (2006.01)

(52) **U.S. Cl.** **435/6.12; 435/91.2; 435/287.2**

(58) **Field of Classification Search** **435/287.2,**
435/6.12, 91.2

See application file for complete search history.

(56) **References Cited**

U.S. PATENT DOCUMENTS

| | | |
|-------------|---------|------------------|
| 3,575,220 A | 4/1971 | Davis et al. |
| 4,283,262 A | 8/1981 | Cormier et al. |
| 4,801,529 A | 1/1989 | Perlman |
| 4,948,961 A | 8/1990 | Hillman et al. |
| 5,176,203 A | 1/1993 | Larzul |
| 5,376,252 A | 12/1994 | Ekstrom et al. |
| 5,422,277 A | 6/1995 | Connelly et al. |
| 5,585,069 A | 12/1996 | Zanzucchi et al. |
| 5,587,128 A | 12/1996 | Wilding et al. |
| 5,602,756 A | 2/1997 | Atwood et al. |

(Continued)

FOREIGN PATENT DOCUMENTS

EP 0672834 A1 9/1995

(Continued)

OTHER PUBLICATIONS

3M Fluorinert™ Electronic Liquid FC-3283, 3M product informa-
 tion, 2001.

(Continued)

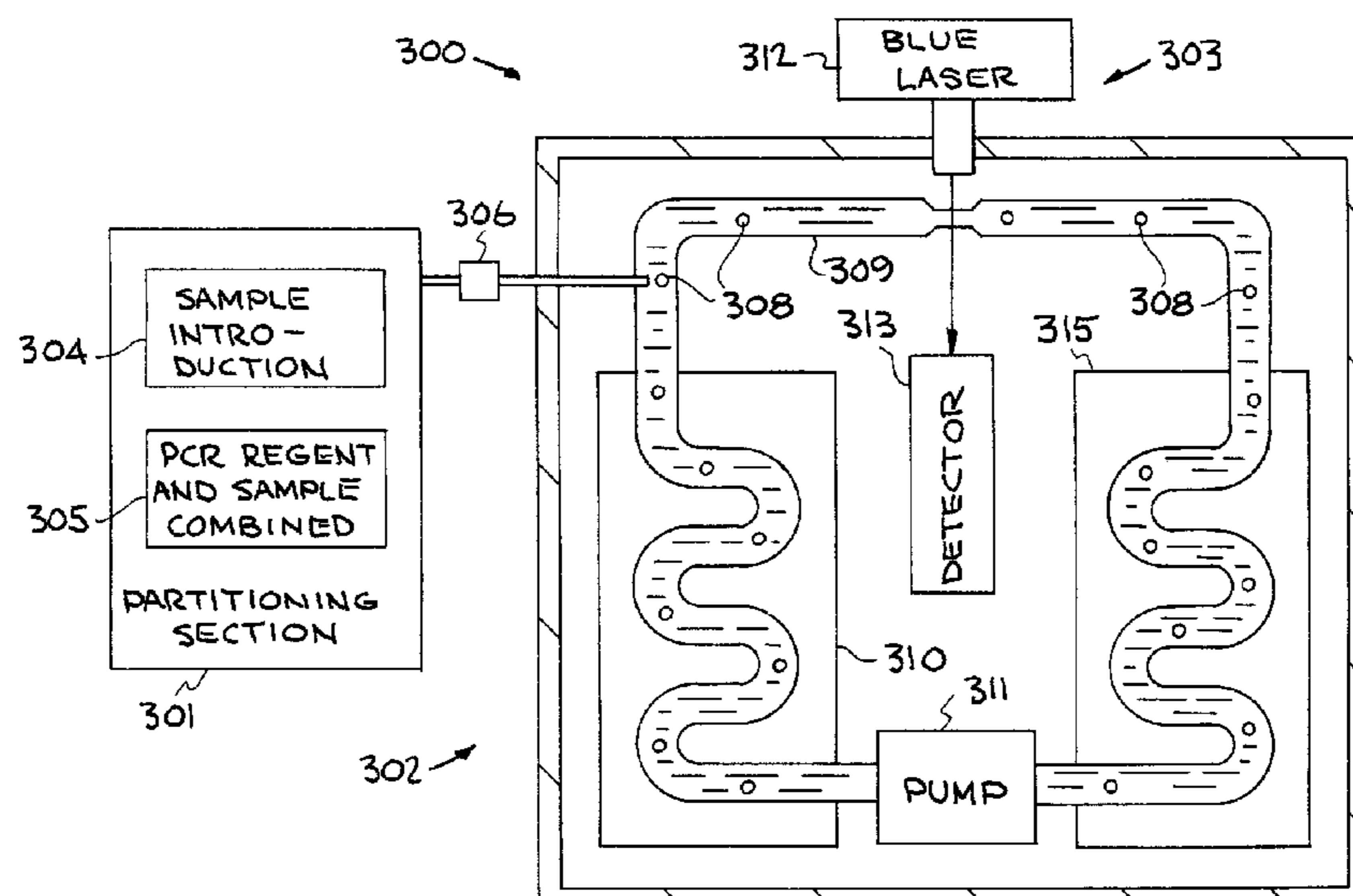
Primary Examiner — Kenneth R. Horlick

(74) *Attorney, Agent, or Firm* — Fenwick & West LLP

(57) **ABSTRACT**

A system for nucleic acid amplification of a sample com-
 prises partitioning the sample into partitioned sections and
 performing PCR on the partitioned sections of the sample.
 Another embodiment of the invention provides a system for
 nucleic acid amplification and detection of a sample compris-
 ing partitioning the sample into partitioned sections, perform-
 ing PCR on the partitioned sections of the sample, and detect-
 ing and analyzing the partitioned sections of the sample.

48 Claims, 3 Drawing Sheets



US RE43,365 E

Page 2

U.S. PATENT DOCUMENTS

5,736,314 A 4/1998 Hayes et al.
 5,827,480 A 10/1998 Haff et al.
 5,842,787 A 12/1998 Kopf-Sill et al.
 5,856,174 A 1/1999 Lipshutz et al.
 5,858,187 A 1/1999 Ramsey et al.
 5,912,945 A 6/1999 Da Silva et al.
 5,928,907 A 7/1999 Woudenberg et al.
 5,945,334 A 8/1999 Besemer et al.
 5,972,716 A 10/1999 Ragusa et al.
 6,057,149 A 5/2000 Burns et al.
 6,126,899 A 10/2000 Woudenberg et al.
 6,130,098 A 10/2000 Handique et al.
 6,143,496 A 11/2000 Brown et al.
 6,146,103 A 11/2000 Lee et al.
 6,156,181 A 12/2000 Parce et al.
 6,174,673 B1 1/2001 Short et al.
 6,175,669 B1 1/2001 Colston et al.
 6,176,609 B1 1/2001 Cleveland et al.
 6,177,479 B1 1/2001 Nakajima et al.
 6,221,654 B1 4/2001 Quake et al.
 6,281,254 B1 8/2001 Nakajima et al.
 6,337,740 B1 1/2002 Parce
 6,344,325 B1 2/2002 Quake et al.
 6,357,907 B1 3/2002 Cleveland et al.
 6,384,915 B1 5/2002 Everett et al.
 6,391,559 B1 5/2002 Brown et al.
 6,403,338 B1 6/2002 Knapp et al.
 6,429,025 B1 8/2002 Parce et al.
 6,440,706 B1 8/2002 Vogelstein et al.
 6,466,713 B2 10/2002 Everett et al.
 6,479,299 B1 11/2002 Parce et al.
 6,488,895 B1 12/2002 Kennedy
 6,494,104 B2 12/2002 Kawakita et al.
 6,509,085 B1 1/2003 Kennedy
 6,521,427 B1 2/2003 Evans
 6,522,407 B2 2/2003 Everett et al.
 6,524,456 B1 2/2003 Ramsey et al.
 6,540,895 B1 4/2003 Spence et al.
 6,551,841 B1 4/2003 Wilding et al.
 6,558,916 B2 5/2003 Veerapandian et al.
 6,575,188 B2 6/2003 Parunak
 6,602,472 B1 8/2003 Zimmermann et al.
 6,637,463 B1 10/2003 Lei et al.
 6,660,367 B1 12/2003 Yang et al.
 6,663,619 B2 12/2003 Odrich et al.
 6,664,044 B1 12/2003 Sato
 6,670,153 B2 12/2003 Stern
 6,767,706 B2 7/2004 Quake et al.
 6,773,566 B2 8/2004 Shenderov
 6,833,242 B2 12/2004 Quake et al.
 6,900,021 B1 5/2005 Harrison et al.
 6,905,885 B2 6/2005 Colston et al.
 6,960,437 B2 11/2005 Enzelberger et al.
 6,964,846 B1 11/2005 Shuber
 7,010,391 B2 3/2006 Handique et al.
 7,041,481 B2 5/2006 Anderson et al.
 7,052,244 B2 5/2006 Fouillet et al.
 7,081,336 B2 7/2006 Bao et al.
 7,091,048 B2 8/2006 Parce et al.
 7,094,379 B2 8/2006 Fouillet et al.
 7,118,910 B2 10/2006 Unger et al.
 7,129,091 B2 10/2006 Ismagilov et al.
 7,188,731 B2 3/2007 Brown et al.
 7,192,557 B2 3/2007 Wu et al.
 7,198,897 B2 4/2007 Wangh et al.
 7,238,268 B2 7/2007 Ramsey et al.
 7,244,567 B2 7/2007 Chen et al.
 7,252,943 B2 8/2007 Griffiths et al.
 7,268,167 B2 9/2007 Higuchi et al.
 7,268,179 B2 9/2007 Brown
 7,270,786 B2 9/2007 Parunak et al.
 7,279,146 B2 10/2007 Nassef et al.
 7,294,503 B2 11/2007 Quake et al.
 7,312,085 B2 12/2007 Chou et al.
 7,323,305 B2 1/2008 Leamon et al.
 7,368,233 B2 5/2008 Shuber et al.
 7,459,315 B2 12/2008 Brown
 7,595,195 B2 9/2009 Lee et al.

7,622,280 B2 11/2009 Holliger et al.
 7,682,565 B2 3/2010 Linton et al.
 7,833,708 B2 11/2010 Enzelberger et al.
 7,842,457 B2 11/2010 Berka et al.
 7,927,797 B2 4/2011 Nobile et al.
 2001/0039014 A1 11/2001 Bass et al.
 2001/0046701 A1 11/2001 Schulte et al.
 2002/0021866 A1 2/2002 Everett et al.
 2002/0058332 A1 5/2002 Quake et al.
 2002/0093655 A1 7/2002 Everett et al.
 2002/0141903 A1 * 10/2002 Parunak et al.
 2002/0164820 A1 11/2002 Brown
 2003/0003441 A1 1/2003 Colston et al.
 2003/0027244 A1 2/2003 Colston et al.
 2003/0032172 A1 2/2003 Colston, Jr. et al.
 2003/0170698 A1 9/2003 Gascoyne et al.
 2003/0204130 A1 10/2003 Colston, Jr. et al.
 2004/0038385 A1 2/2004 Langlois et al.
 2004/0074849 A1 4/2004 Brown et al.
 2004/0171055 A1 9/2004 Brown
 2004/0180346 A1 9/2004 Anderson et al.
 2004/0185484 A1 9/2004 Costa et al.
 2004/0208792 A1 10/2004 Linton et al.
 2004/0224325 A1 11/2004 Knapp et al.
 2005/0032240 A1 2/2005 Lee et al.
 2005/0042639 A1 2/2005 Knapp et al.
 2005/0042684 A1 2/2005 Ahle et al.
 2005/0064460 A1 3/2005 Holliger et al.
 2005/0079510 A1 4/2005 Berka et al.
 2005/0221279 A1 10/2005 Carter et al.
 2005/0221373 A1 10/2005 Enzelberger et al.
 2005/0227264 A1 10/2005 Nobile et al.
 2005/0239192 A1 10/2005 Nasarabadi et al.
 2006/0057599 A1 3/2006 Dzenitis et al.
 2006/0094108 A1 5/2006 Yoder et al.
 2006/0172336 A1 8/2006 Higuchi et al.
 2006/0263264 A1 11/2006 Bohm et al.
 2007/0227890 A1 10/2007 Ramsey et al.
 2008/0138815 A1 6/2008 Brown et al.
 2008/0145923 A1 6/2008 Hahn et al.
 2008/0153091 A1 6/2008 Brown et al.
 2008/0160525 A1 7/2008 Brown et al.
 2008/0161420 A1 7/2008 Shuber
 2008/0166793 A1 7/2008 Beer et al.
 2008/0169184 A1 7/2008 Brown et al.
 2008/0171324 A1 7/2008 Brown et al.
 2008/0171325 A1 7/2008 Brown et al.
 2008/0171326 A1 7/2008 Brown et al.
 2008/0171327 A1 7/2008 Brown et al.
 2008/0171380 A1 7/2008 Brown et al.
 2008/0171382 A1 7/2008 Brown et al.
 2008/0213766 A1 9/2008 Brown et al.
 2009/0035838 A1 2/2009 Quake et al.
 2009/0325236 A1 12/2009 Griffiths et al.

FOREIGN PATENT DOCUMENTS

EP 0843589 A1 5/1998
 EP 1522582 B1 7/2007
 WO WO 84/02000 5/1984
 WO WO 92/01812 2/1992
 WO WO 94/05414 3/1994
 WO WO 98/41869 9/1998
 WO WO 98/41869 A1 9/1998
 WO WO 96/47003 10/1998
 WO WO 01/07159 2/2001
 WO WO 01/07159 A2 2/2001
 WO WO 01/57263 8/2001
 WO WO 01/57263 A1 8/2001
 WO WO 02/23163 3/2002
 WO WO 02/23163 A1 3/2002
 WO WO 02/081490 10/2002
 WO WO 02/081490 A2 10/2002
 WO WO 02/081729 10/2002
 WO WO 02/081729 A2 10/2002
 WO WO 03/016558 2/2003
 WO WO 03/016558 A1 2/2003
 WO WO 03/072258 9/2003
 WO WO 03/072258 A1 9/2003
 WO WO 03/106678 12/2003

| | | | |
|----|----------------|----|---------|
| WO | WO 03/106678 | A1 | 12/2003 |
| WO | WO 2005/010145 | | 2/2005 |
| WO | WO 2005/010145 | A1 | 2/2005 |
| WO | WO 2005/075683 | | 8/2005 |
| WO | WO 2005/075683 | A1 | 8/2005 |
| WO | WO 2008/109878 | | 9/2008 |
| WO | WO 2008/109878 | A2 | 9/2008 |

OTHER PUBLICATIONS

Abdelgawad, M. et al., "All-terrain droplet actuation," *Lab on a Chip*, 2008, pp. 672-677, vol. 8.

Abil® EM 90, Goldschmidt Personal Care product literature, 2003, seven pages.

Baroud, C. et al., "Thermocapillary Valve for Droplet Production and Sorting," *Physical Review E*, 2007, pp. 046302-1 to 046302-5, vol. 75.

Beer, N. et al., "On-Chip Single-Copy Real-Time Reverse-Transcription PCR in Isolated Picoliter Droplets," *Anal. Chem.*, 2008, pp. 1854-1858, vol. 80 No. 6.

Beer, N. et al., *On-Chip, Real-Time, Single-Copy Polymerase Chain Reaction in Picoliter Droplets*. *Anal. Chem.*, 2007, pp. 8471-8475, vol. 79, No. 22.

Bransky, A. et al., "A Microfluidic Droplet Generator Based on a Piezoelectric Actuator," *Lab Chip*, 2009, pp. 516-520, vol. 9.

Carroll, N. et al., "Droplet-Based Microfluidics for Emulsion and Solvent Evaporation Synthesis of Monodisperse Mesoporous Silica Microspheres," *Langmuir*, 2008, pp. 658-661, vol. 24.

Chabert et al., "Droplet fusion by alternating current (AC) field electrocoalescence in microchannels," *Electrophoresis*, 2005, vol. 26, pp. 3706-3715.

Chen et al., "Using Three-Phase Flow of Immiscible Liquids To Prevent Coalescence of Droplets in Microfluidic Channels: Criteria To Identify the Third Liquid and Validation with Protein Crystallization," *Langmuir*, 2007, vol. 23, pp. 2255-2260.

Clausell-Tormos et al., "Droplet-Based Microfluidic Platforms for the Encapsulation and Screening and Mammalian Cells and Multicellular Organisms," *Chemistry and Biology*, 2008, pp. 427-437, vol. 15.

Diehl et al., "Digital quantification of mutant DNA in cancer patients," *Current Opinion in Oncology*, 2007, pp. 36-42, vol. 19.

Diekema et al., "Look before You Leap: Active Surveillance for Multidrug-Resistant Organisms," *Healthcare Epidemiology*, 2007, pp. 1101-1107, vol. 44.

Dressman et al., "Transforming single DNA molecules into fluorescent magnetic particles for detection and enumeration of genetic variations," *PNAS*, 2003, pp. 8817-8822, vol. 100 No. 15.

Fan et al., "Highly parallel genomic assays," *Nature Reviews, Genetics*, 2006, pp. 632-644, vol. 7.

Fidalgo et al., "Coupling Microdroplet Microreactors with Mass Spectrometry: Reading the Contents of Single Droplets Online," *Angew. Chem. Int. Ed.*, 2009, pp. 3665-3668, vol. 48.

Halloran, P.J., Letter to John H. Lee, Assistant Laboratory Counsel, Lawrence Livermore National Laboratory, re U.S. Appl. No. 12/118,418, filed Jun. 4, 2010, 5 pages.

Higuchi et al., "Kinetic PCR Analysis: Real-time Monitoring of DNA Amplification Reactions," *Bio/Technology*, 1993, pp. 1026-1030, vol. 11.

Jarvis et al., "Digital quantification using amplified single-molecule detection," *Nature Methods*, 2006, pp. 725-727, vol. 3, No. 9; includes supplementary information from www.nature.com website.

Kalinina et al., "Nanoliter scale PCR with TaqMan detection," *Nucleic Acids Res.*, 1997, pp. 1999-2004, vol. 25, No. 10.

Katsura, S. et al., "Indirect Micromanipulation of Single Molecules in Water-In-Oil Emulsion," 2001, *Electrophoresis*, vol. 22, pp. 289-293.

Kiss et al., "High-Throughput Quantitative Polymerase Chain Reaction in Picoliter Droplets," *Anal. Chem.*, DOI: 10.1021/ac801276c, Nov. 17, 2008 <http://pubs.acs.org>.

Kojima et al., "PCR amplification from single DNA molecules on magnetic beads in emulsion: application for high-throughput screening of transcription factor targets," *Nucleic Acids Res.*, 2005, p. e150, vol. 33 No. 17.

Kopp, M., et al., "Chemical Amplification: Continuous-Flow PCR on a Chip," *Science*, vol. 280, May 15, 1998, pp. 1046-1048 [Online] [Retrieved on Sep. 22, 2009] Retrieved from the internet URL <http://www.sciencemag.org/cgi/content/full/280/5366/1046>.

Kumaresan et al., "High-Throughput Single Copy DNA Amplification and Cell Analysis in Engineered Nanoliter Droplets," *Anal. Chem.*, DOI: 10.1021/ac800327d, Apr. 15, 2008 <http://pubs.acs.org>, plus supporting information.

Leamon et al., "Overview: methods and applications for droplet compartmentalization of biology," *Nature Methods*, 2006, pp. 541-543, vol. 3, No. 7.

Lin et al., "Droplet Formation Utilizing Controllable Moving-Wall Structures for Double-Emulsion Applications," *Journal of Microelectromechanical Systems*, 2008, pp. 573-581, vol. 17 No. 3.

Link et al., "Electric Control of Droplets in Microfluidic Devices," *Angew. Chem. Int. Ed.*, 2006, pp. 2556-2560, vol. 45.

Liu et al., "Droplet-based synthetic method using microflow focusing and droplet fusion," *Microfluid Nanofluid*, 2007, pp. 239-243, vol. 3.

Lo et al., "Digital PCR for the molecular detection of fetal chromosomal aneuploidy," *PNAS*, 2007, pp. 13116-13121, vol. 104 No. 32.

Margulies et al., "Genome sequencing in microfabricated high-density picolitre reactors," *Nature*, 2005, pp. 376-380, vol. 437; includes supplementary information from www.nature.com website.

Margulies et al., Supplementary figures from JM Rothberg, *Nature*, May 2005, twelve pages.

Margulies et al., Supplementary methods from JM Rothbert, *Nature*, May 2005, thirty-four pages.

Musyanovych et al., "Miniemulsion Droplets as Single Molecule Nanoreactors for Polymerase Chain Reaction," *Biomacromolecules*, 2005, pp. 1824-1828, vol. 6.

Nagai et al., "Development of A Microchamber Array for Picoliter PCR," *Anal. Chem.*, Mar. 1, 2001, pp. 1043-1047, vol. 73, No. 5.

Pamme, "Continuous flow separations in microfluidic devices," *Lab Chip*, 2007, pp. 1644-1659, vol. 7.

Pohl et al., "Principle and applications of digital PCR," *Expert Rev. Mol. Diagn.*, 2004, pp. 41-47, vol. 4, No. 1.

Price, "Regular review: Point of care testing," *BMJ*, 2001, pp. 1285-1288, vol. 322.

Roach et al., "Controlling Nonspecific Protein Adsorption in a Plug-Based Microfluidic System by Controlling Interfacial Chemistry Using Fluorous-Phase Surfactants," *Anal. Chem.*, 2005, pp. 785-796, vol. 77 No. 3.

Rutledge et al., "Mathematics of quantitative kinetic PCR and the application of standard curves," *Nucleic Acids Res.*, 2003, p. e93, vol. 31 No. 16.

Rutledge, "Sigmoidal curve-fitting redefines quantitative real-time PCR with the prospective of developing automated high-throughput applications," *Nucleic Acids Res.*, 2004, p. e178, vol. 32 No. 22.

Scheegaß, I., "Miniaturized Flow-through PCR with Different Template Types in a Silicon Chip Thermocycler," *Lab on a Chip*, 2001, pp. 42-49, vol. 1.

U.S. Appl. No. 60/443,471, filed Jan. 29, 2003, sixty-eight pages.

Vogelstein et al., "Digital PCR," *PNAS*, 1999, pp. 9236-9241, vol. 96.

Williams et al., "Amplification of complex gene libraries by emulsion PCR," *Nature Methods*, 2006, pp. 545-550, vol. 3, No. 7.

Zhang et al., "Behavioral Modeling and Performance Evaluation of Microelectrofluidics-Based PCR Systems Using SystemC," *IEEE Transactions on Computer-Aided Design of Integrated Circuits and Systems*, 2004, pp. 843-858, vol. 23 No. 6.

Zhang et al., "Miniaturized PCR chips for nucleic acid amplification and analysis: latest advances and future trends," *Nucleic Acids Res.*, 2007, pp. 4223-4237, vol. 35.

Zhao et al., "Microparticle Concentration and Separation by Traveling-Wave Dielectrophoresis (twDEP) for Digital Microfluidics," *Journal of Microelectromechanical Systems*, 2007, pp. 1472-1481, vol. 16, No. 6.

Zhelev et al., "Heat Integration in Micro-Fluidic Devices," *16th European Symposium on Computer Aided Process Engineering and 9th International Symposium on Process Systems Engineering*, 2006, pp. 1863-1868.

Nagai et al., *Anal. Chem.* 73, 1043-1047 (2001).*

Nagai et al., Development of A Microchamber Array for Picoliter PCR, *Anal. Chem.*, Mar. 1, 2001, pp. 1043-1047, 7 pages, vol. 73, No. 5.

U.S. Appl. No. 60/443,471, filed Jan. 29, 2003, 68 pages, 3M Fluorinert™ Electronic Liquid FC-3283, 3M product information, 2001.

Abdelgawad et al., *All-terrain droplet actuation*, *Lab Chip* (2008) vol. 8, pp. 672-677.

Abil® EM 90, Goldschmidt Personal Care product literature, 2003.

Baroud et al., *Thermocapillary valve for droplet production and sorting*. *Physical Review* (2007) E 75, 046302, pp. 1-5.

Beer et al., *On-Chip Single-Copy Real-Time Reverse-Transcription PCR in Isolated Picoliter Droplets*. *Anal Chem.*, (2008) vol. 80 No. 6, pp. 1854-1858.

Beer et al., *On-Chip, Real-Time, Single-Copy Polymerase Chain Reaction in Picoliter Droplets*, *Anal. Chem.*, (2007) vol. 79 No. 22, pp. 8471-8475.

Bransky et al., *A microfluidic droplet generator based on a piezo-electric actuator*, *Lab Chip* (2009) vol. 9, pp. 516-520.

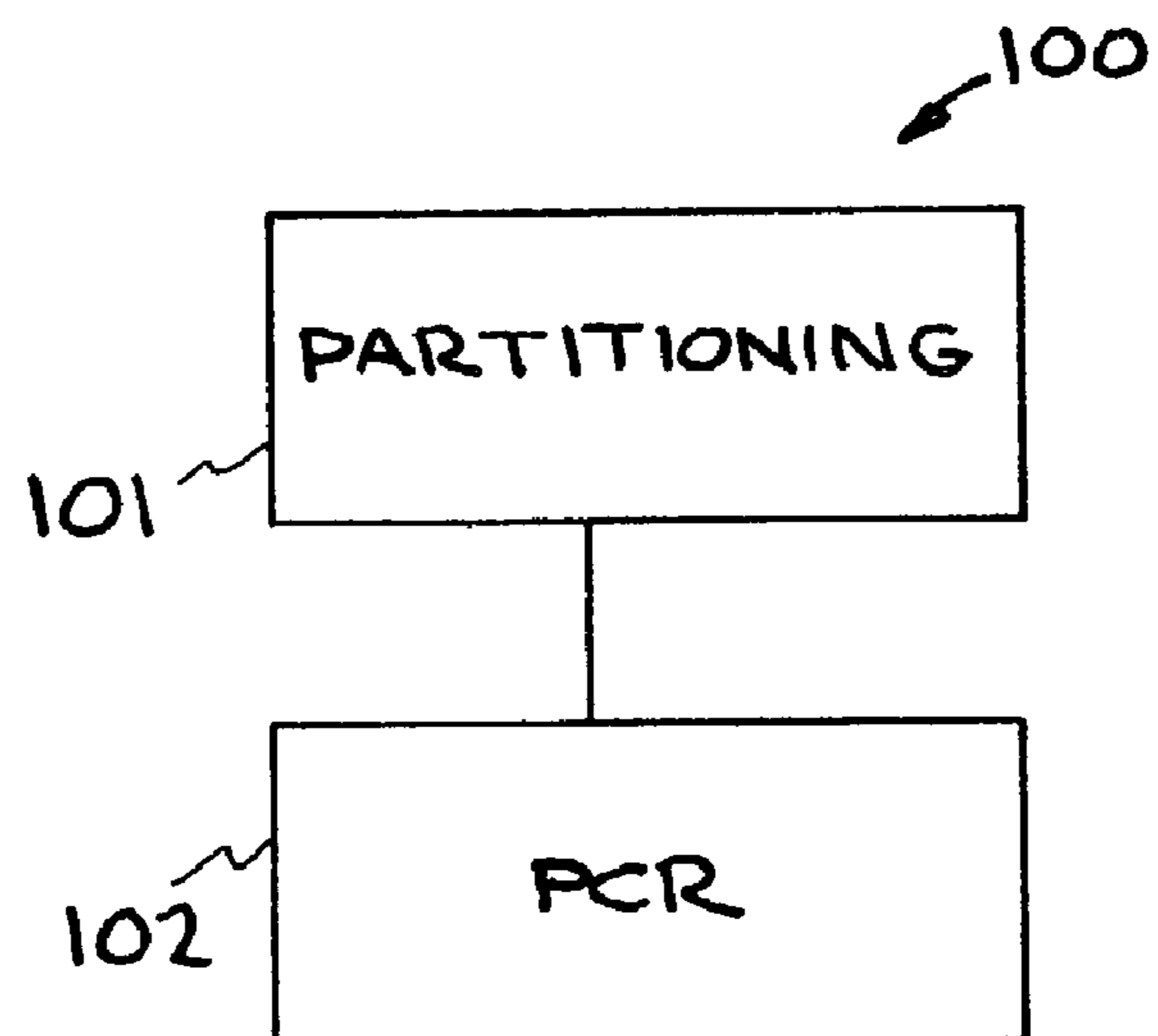
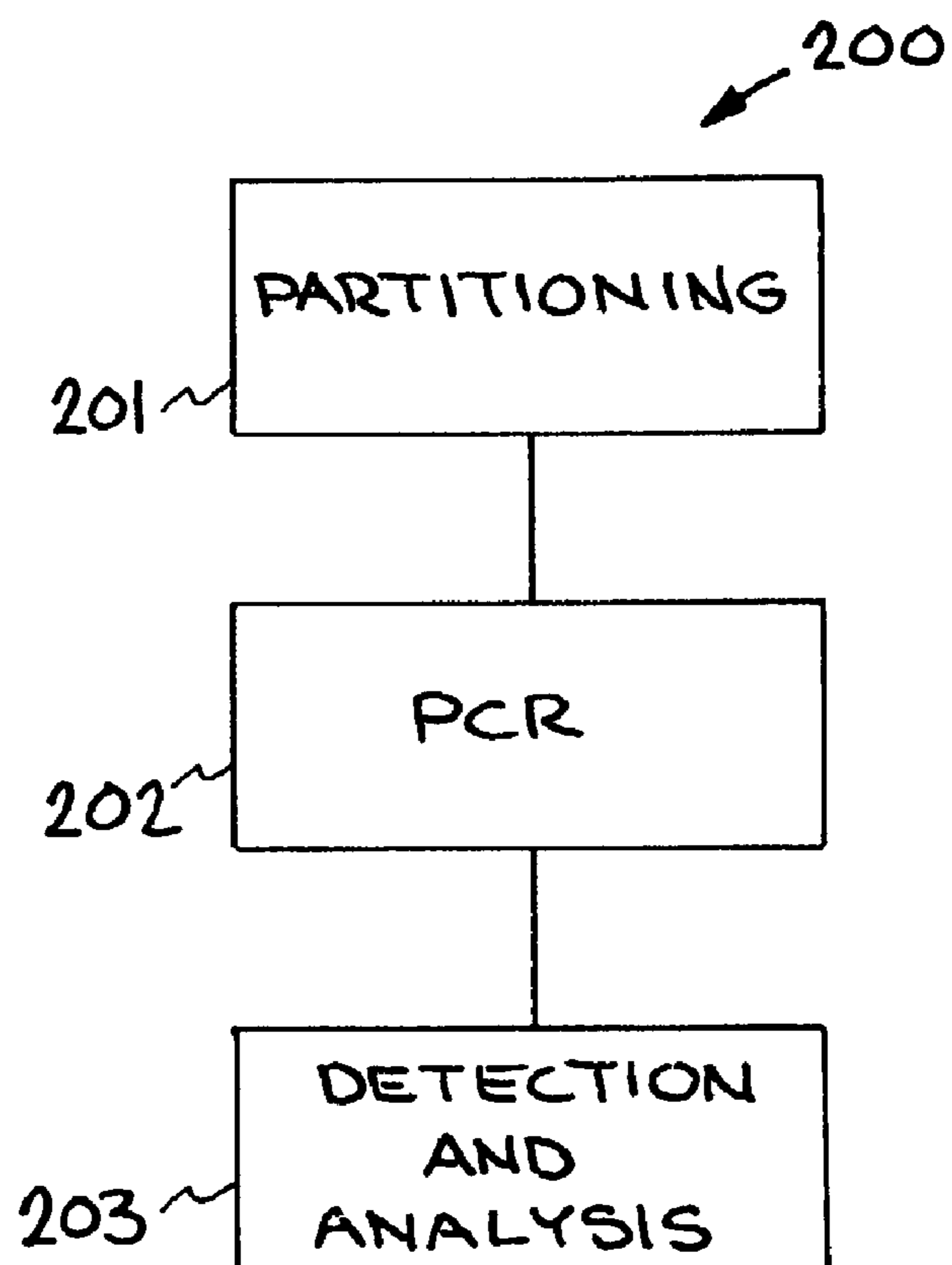
Carroll et al., *Droplet-Based Microfluidics for Emulsion and Solvent Evaporation Synthesis of Monodisperse Mesoporous Silica Microspheres*, *Langmuir* (2008) vol. 24, pp. 658-661.

Chabert et al., *Droplet fusion by alternating current (AC) field electrocoalescence in microchannels*, *Electrophoresis* (2005) vol. 26, pp. 3706-3715.

Chen et al., *Using Three-Phase Flow of Immiscible Liquids To Prevent Coalescence of Droplets in Microfluidic Channels; Criteria To Identify the Third Liquid and Validation with Protein Crystallization*, *Langmuir* (2007) vol. 23, pp. 2255-2260.

Clausell-Tormos et al., *Droplet-Based Microfluidic Platforms for the Encapsulation and Screening of Mammalian Cells and Multicellular Organisms*, *Chemistry & Biology*, (2008), vol. 15, pp. 427-437.

* cited by examiner

**FIG. 1****FIG. 2**

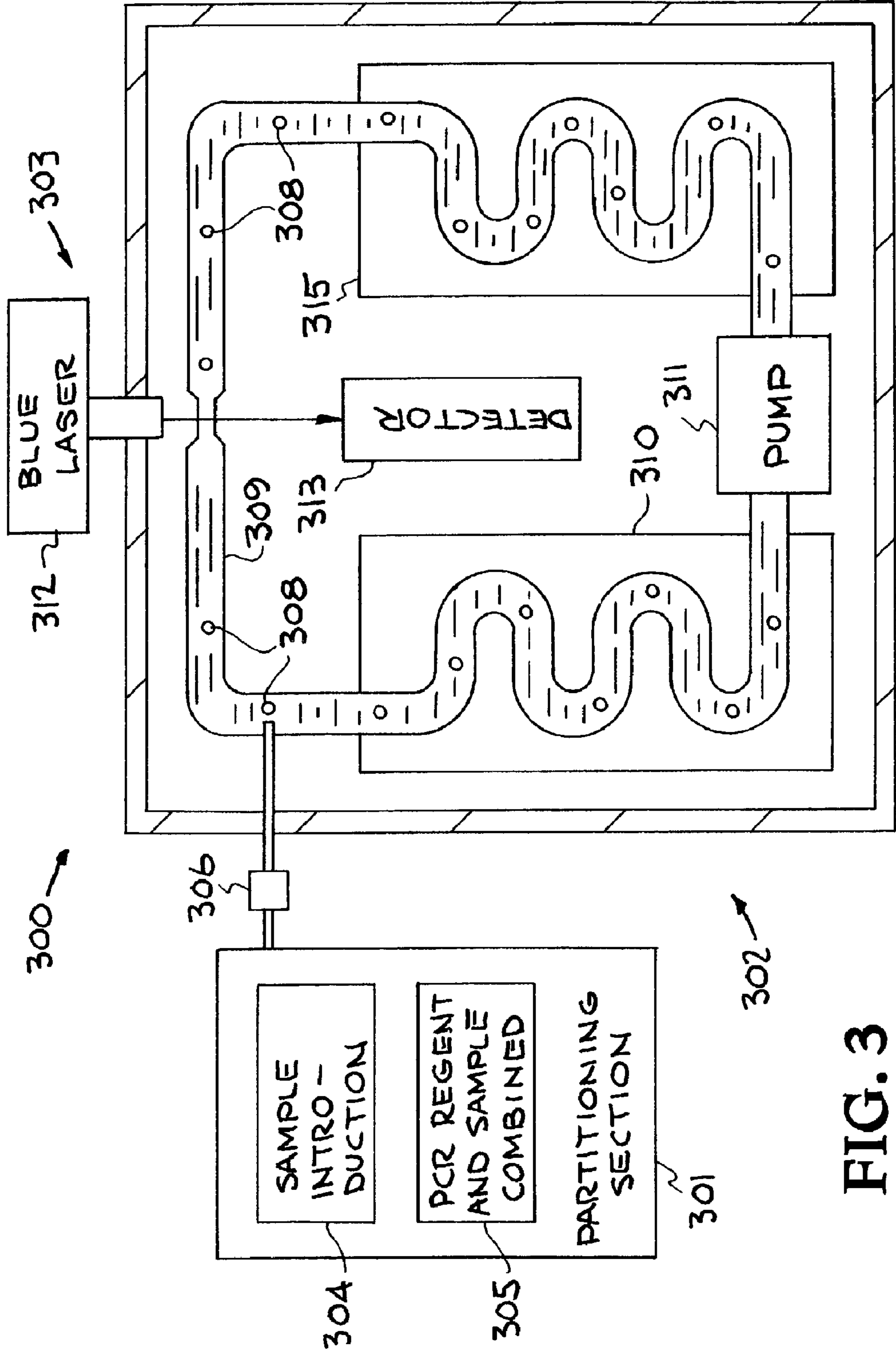


FIG. 3

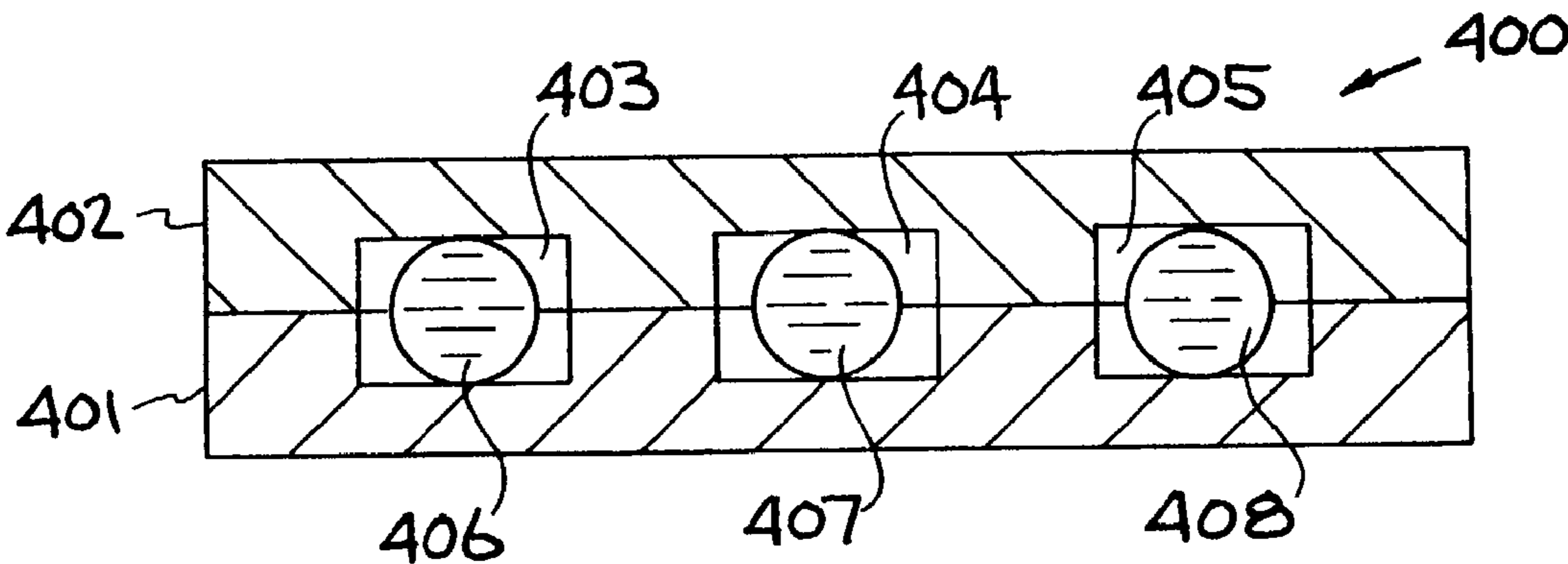


FIG. 4

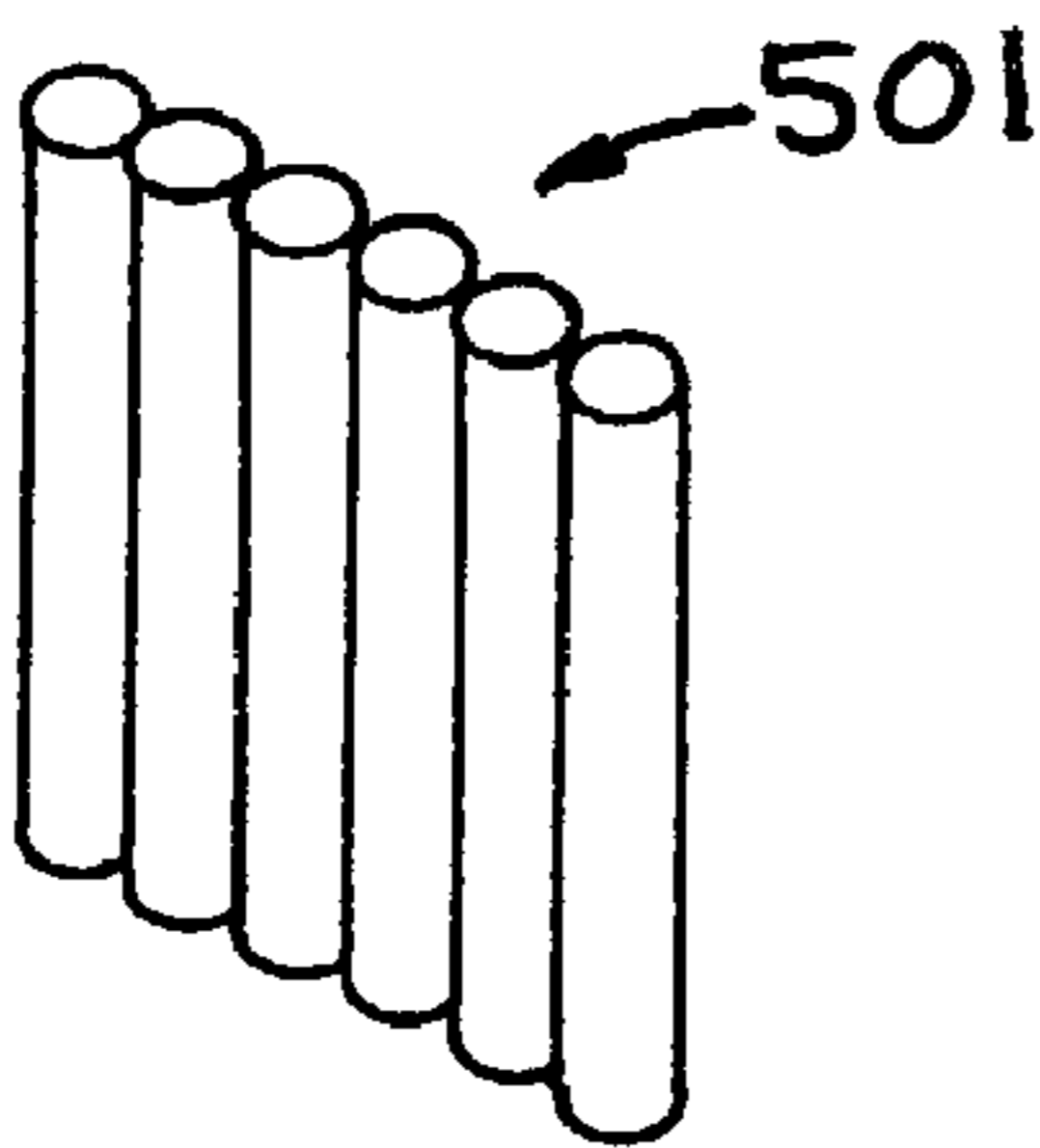


FIG. 5A

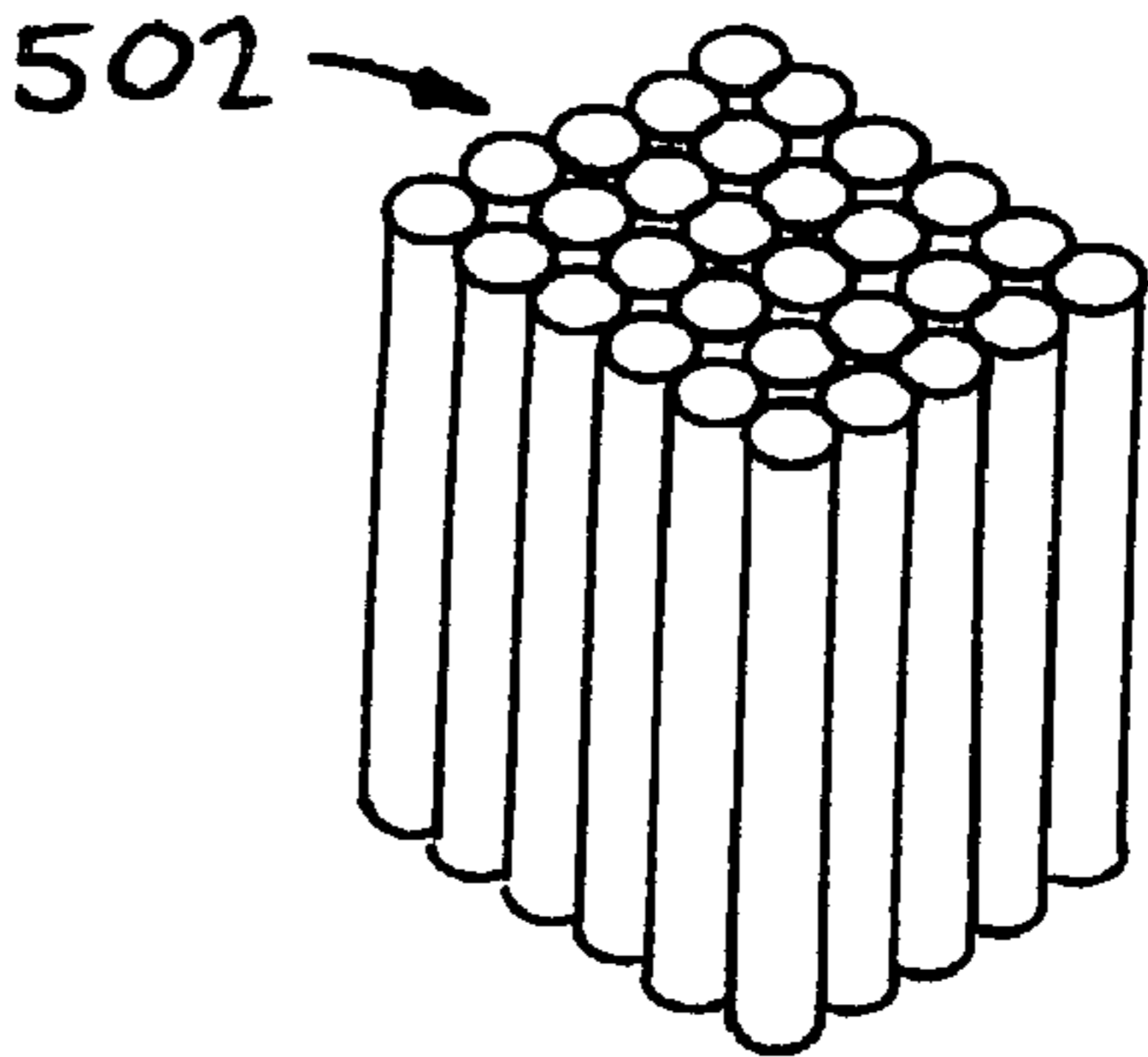


FIG. 5B

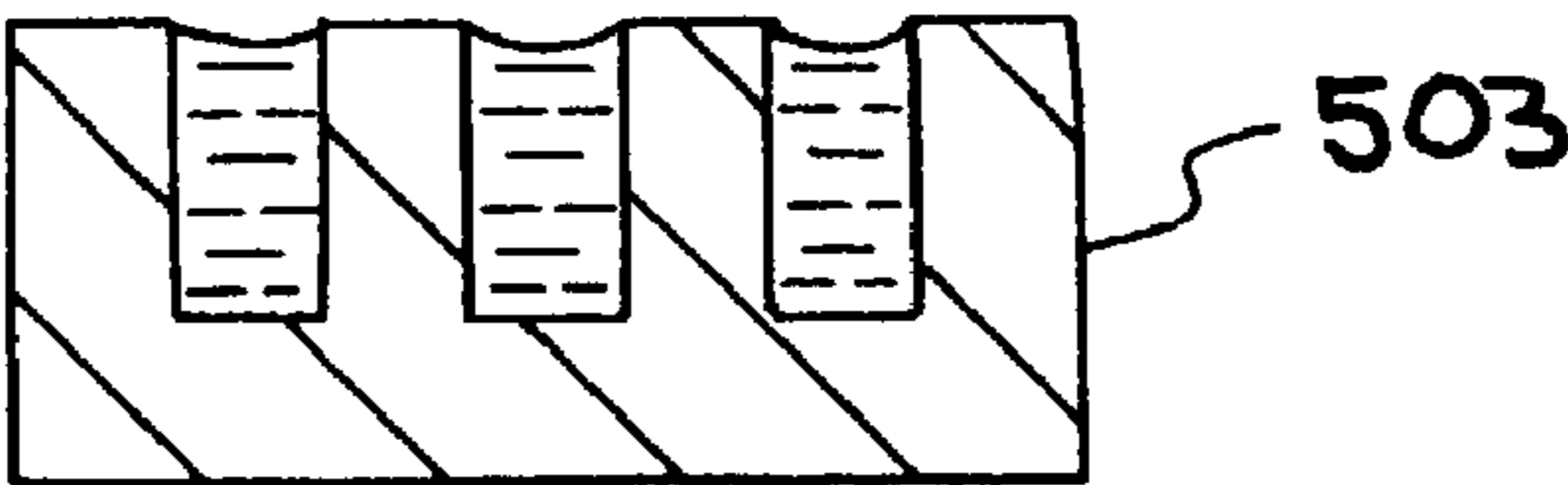


FIG. 5C

APPARATUS FOR CHEMICAL AMPLIFICATION BASED ON FLUID PARTITIONING IN AN IMMISCIBLE LIQUID

Matter enclosed in heavy brackets [] appears in the original patent but forms no part of the first and this reissue specification; matter printed in italics indicates the additions made by the first reissue. Matter enclosed in double heavy brackets [[]] appears in the first reissue patent but forms no part of this reissue specification; matter printed in bold face indicates the additions made by this reissue.

This application is a Reissue of application Ser. No. 10/389,130, filed Mar. 14, 2003, issued as U.S. Pat. No. 7,041,481 on May 9, 2006.

The United States Government has rights in this invention pursuant to Contract No. W-7405-ENG-48 between the United States Department of Energy and the University of California for the operation of Lawrence Livermore National Laboratory.

Notice: More than one reissue application has been filed for the reissue of U.S. Pat. No. 7,041,481, which claims the benefit of U.S. patent application Ser. No. 10/389,130, filed Mar. 14, 2003. The reissue applications are application Ser. Nos. 12/891,733 (the present application), and 12/118,418, filed May 9, 2008 and issued as U.S. Pat. No. Re. 41,780. The present application is a continuation reissue application of U.S. Pat. No. Re. 41,780, and adds new claims relative to U.S. Pat. No. 7,041,481.

BACKGROUND

1. Field of Endeavor

The present invention relates to chemical amplification and more particularly to chemical amplification based on fluid partitioning.

2. State of Technology

U.S. Pat. No. 4,683,202 issued Jul. 28, 1987; U.S. Pat. No. 4,683,195 issued Jul. 28, 1987; and U.S. Pat. No. 4,800,159 issued Jan. 24, 1989 to Kary B. Mullis et al provide background information. The patents describe processes for producing any particular nucleic acid sequence from a given sequence of DNA or RNA in amounts which are large compared to the amount initially present. The DNA or RNA may be single-or-double-stranded, and may be a relatively pure species or a component of a mixture of nucleic acids. The process utilizes a repetitive reaction to accomplish the amplification of the desired nucleic acid sequence. The extension product of one primer when hybridized to the other becomes a template for the production of the desired specific nucleic acid sequence, and vice versa, and the process is repeated as often as is necessary to produce the desired amount of the sequence.

U.S. Pat. No. 6,503,715 for a nucleic acid ligand diagnostic biochip issued Jan. 7, 2003 provides the following background information, "Methods are provided in the instant invention for obtaining diagnostic and prognostic Nucleic acid ligands, attaching said ligands to a Biochip, and detecting binding of target molecules in a Bodily to said Biochip-bound Nucleic acid ligands." In one embodiment of the instant invention, one or more Nucleic acid ligands are chosen that bind to molecules known to be diagnostic or prognostic of a disease; these ligands are then attached to the Biochip.

Particular methods for attaching the Nucleic acid ligands to the Biochip are described below in the section entitled "Fabrication of the Nucleic Acid Biochip." The Biochip may comprise either (i) Nucleic acid ligands selected against a single target molecule; or more preferably, (ii) Nucleic acid ligands selected against multiple target molecules.

U.S. Patent Application No. 2002/0197623 for nucleic acid detection assays published Dec. 26, 2002 provides the following background information, "means for the detection and characterization of nucleic acid sequences, as well as variations in nucleic acid sequences . . . methods for forming a nucleic acid cleavage structure on a target sequence and cleaving the nucleic acid cleavage structure in a site-specific manner. The structure-specific nuclease activity of a variety of enzymes is used to cleave the target-dependent cleavage structure, thereby indicating the presence of specific nucleic acid sequences or specific variations thereof."

SUMMARY

Features and advantages of the present invention will become apparent from the following description. Applicants are providing this description, which includes drawings and examples of specific embodiments, to give a broad representation of the invention. Various changes and modifications within the spirit and scope of the invention will become apparent to those skilled in the art from this description and by practice of the invention. The scope of the invention is not intended to be limited to the particular forms disclosed and the invention covers all modifications, equivalents, and alternatives falling within the spirit and scope of the invention as defined by the claims.

The present invention provides an apparatus for nucleic acid amplification of a sample comprising means for partitioning the sample into partitioned sections and means for performing PCR on the partitioned sections of the sample. Another embodiment of the invention provides an apparatus for nucleic acid amplification and detection of a sample comprising means for partitioning the sample into partitioned sections, means for performing PCR on the partitioned sections of the sample, and means for detection and analysis of the partitioned sections of the sample. The present invention also provides a method of nucleic acid amplification of a sample comprising the steps of partitioning the sample into partitioned sections and subjecting the partitioned sections of the sample to PCR. Another embodiment of a method of the present invention provides a method of nucleic acid amplification and detection of a sample comprising the steps of partitioning the sample into partitioned sections, subjecting the partitioned sections of the sample to PCR, and detecting and analyzing the partitioned sections of the sample.

The invention is susceptible to modifications and alternative forms. Specific embodiments are shown by way of example. It is to be understood that the invention is not limited to the particular forms disclosed. The invention covers all modifications, equivalents, and alternatives falling within the spirit and scope of the invention as defined by the claims.

BRIEF DESCRIPTION OF THE DRAWINGS

The accompanying drawings, which are incorporated into and constitute a part of the specification, illustrate specific embodiments of the invention and, together with the general description of the invention given above, and the detailed description of the specific embodiments, serve to explain the principles of the invention.

FIG. 1 is a flow diagram illustrating one embodiment of a system constructed in accordance with the present invention.

FIG. 2 is a flow diagram illustrating another embodiment of a system constructed in accordance with the present invention.

FIG. 3 is a diagram of another embodiment of a system constructed in accordance with the present invention.

FIG. 4 is a diagram of another embodiment of a system constructed in accordance with the present invention.

FIG. 5 is a diagram of another embodiment of a system constructed in accordance with the present invention.

DETAILED DESCRIPTION OF THE INVENTION

Referring now to the drawings, to the following detailed description, and to incorporated materials; detailed information about the invention is provided including the description of specific embodiments. The detailed description serves to explain the principles of the invention. The invention is susceptible to modifications and alternative forms. The invention is not limited to the particular forms disclosed. The invention covers all modifications, equivalents, and alternatives falling within the spirit and scope of the invention as defined by the claims.

Referring now to the drawings, and in particular to FIG. 1, a flow diagram of one embodiment of a system constructed in accordance with the present invention is illustrated. The system is designated generally by the reference numeral 100. The system 100 provides a method and apparatus for performing extremely rapid nucleic acid amplification. The flow diagram illustrating system 100 shows block 101 "partitioning" the sample and block 102 performing "CR" on the sample. The system 100 provides an apparatus for nucleic acid amplification of a sample comprising means for partitioning the sample and means for performing PCR on the sample. The system 100 also provides a method of nucleic acid amplification of a sample comprising the steps of partitioning the sample and subjecting the sample to PCR. The system 100 has application wherever current PCR-type systems exist.

In block 101 a chemical reagent and an input sample are "partitioned" into a large number of microdroplets or other forms of fluid partitions prior to amplification in block 102. The partitioning 101 involves dispersing the DNA-containing solution. For example the partitioning 101 can be accomplished by dispersing the DNA-containing solution in an immiscible carrier liquid. The DNA-containing solution is dispersed in the immiscible carrier fluid as microdroplets. The DNA-containing solution can be partitioned in other ways, for example, by being dispersed as liquid slugs separated by the carrier fluid, as an emulsion with the carrier fluid, or by using a gelling agent that prevents transfer of DNA between partitioned regions. The DNA-containing solution can also be partitioned mechanically by partitioning the fluid into micro-tubes or capillaries, or into micro-wells.

With the system 100, each partitioned DNA-containing fluid volume contains the necessary biochemical constituents for selectively amplifying a specified portion of a sample DNA via polymerase chain reaction (PCR). The target DNA can be detected by monitoring for the colorimetric indicator (e.g., fluorescence or optical absorption) generated with each DNA template duplication sequence.

In block 102 selected portions of each nucleic acid sample are amplified using polymerase chain reaction (PCR), with the product contained in each partitioned fluid volume. This results in much more concentrated amplification product, since the volume containing the reaction is so small.

The polymerase chain reaction (PCR), is a cyclic process whereby a large quantity of identical DNA strands can be produced from one original template. The procedure was developed in 1985 by Kerry Mullis, who was awarded the 1993 Nobel prize in chemistry for his work. In PCR, DNA is immersed in a solution containing the enzyme DNA polymerase, unattached nucleotide bases, and primers, which are short sequences of nucleotides designed to bind with an end of the desired DNA segment. Two primers are used in the process: one primer binds at one end of the desired segment on one of the two paired DNA strands, and the other primer binds at the opposite end on the other strand. The solution is heated to break the bonds between the strands of the DNA, then when the solution cools, the primers bind to the separated strands, and DNA polymerase quickly builds a new strand by joining the free nucleotide bases to the primers in the 5'-3' direction. When this process is repeated, a strand that was formed with one primer binds to the other primer, resulting in a new strand that is restricted solely to the desired segment. Thus the region of DNA between the primers is selectively replicated. Further repetitions of the process can produce a geometric increase in the number of copies, (theoretically 2^n if 100% efficient whereby n equals the number of cycles), in effect billions of copies of a small piece of DNA can be replicated in several hours.

A PCR reaction is comprised of (a) a double-stranded DNA molecule, which is the "template" that contains the sequence to be amplified, (b) primer(s), which is a single-stranded DNA molecule that can anneal (bind) to a complimentary DNA sequence in the template DNA; (c) dNTPs, which is a mixture of dATP, dTTP, dGTP, and dCTP which are the nucleotide subunits that will be put together to form new DNA molecules in the PCR amplification procedure; and (d) Taq DNA polymerase, the enzyme which synthesizes the new DNA molecules using dNTPs.

Current amplification systems are limited in practice to half hour type amplification and detection windows (~30 cycles, 1 minute/cycle). The system 100 provides faster amplification. This has many applications, for example, in Homeland Defense applications, faster detection methods (a few minutes) can push the deployment of these sensors from "detect to treat" to "detect to protect," having a serious impact on the number of casualties from a massive bioagent release.

The system 100 has significant advantages over typical bulk DNA detection techniques (even microscale bulk solution approaches), including (1) much faster detection time through a reduction in the total number of temperature cycles required, (2) a reduction in the time for each cycle, and (3) removing interference from competing DNA templates. The system 100 achieves a reduction in the total number of cycles by limiting the dilution of the optically generated signal (e.g., fluorescence or absorption). The formation of partitioned fluid volumes of the DNA-containing solution effectively isolates the fluid volumes which contain the target DNA from the fluid volumes that do not contain the target DNA. Therefore, the dilution of the optical signal is largely eliminated, allowing much earlier detection. This effect is directly related to the number of fluid partitions formed from the initial sample/reagent pool.

The system 100 achieves a reduction in the total number of cycles that are needed by limiting the dilution of the optically generated signal (e.g., fluorescence or absorption). The formation of partitioned fluid volumes of the DNA-containing solution effectively isolates the fluid volumes which contain the target DNA from the fluid volumes that do not contain the target DNA. Therefore, the dilution of the optical signal is largely eliminated, allowing much earlier detection. This

5

effect is directly related to the number of fluid partitions formed from the initial sample/reagent pool. The effect of the number of fluid partitions on the number of cycles required for detection can be described by the following Equation E1:

$$N = \frac{\ln\left[D_L A_N \left(\frac{V}{X}\right)\right]}{\ln(2)}$$

where: N=number of cycles; D_L =detection limit for optical signal [moles/liter]; X=initial number of DNA molecules; V=volume containing DNA molecules [liters]; A_N =Avagadro's number [6.023×10^{23} molecules/mole]. From Equation E1 it is clear that N, the number of cycles until detection, decreases as V, the partitioned fluid volume, decreases.

The system 100 reduces the duration of each temperature cycle by effectively increasing the concentration of reactants by enclosing them in picoliter type volumes. Since reaction rates depend on the concentration of the reactants, the efficiency of a partitioned fluid volume or droplet should be higher than in an ordinary vessel (such as a test tube) where the reactant quantity (DNA quantity) is extremely low. It is estimated that through the reduction in the number of cycles and the reduction in the time required for each cycles that the FPDD technique can reduce the detection time by an order of magnitude as compared to bulk solution DNA detection techniques.

The system 100 facilitates removal of interference from competing DNA templates. Given the extremely small volumes involved with Fluid-Partitioned DNA Detection (FPDD), it is possible to isolate a single template of the target DNA in a given partitioned volume or microdroplet. For example, the formation of 2000 partitioned fluid volumes or microdroplets (each with a volume of 5×10^{-9} liters) made by dividing a bulk solution of 10 microliters containing [200] 2000 DNA molecules, would result in one DNA molecule per microdroplet on average. This makes it possible to amplify only one template in mixtures containing many kinds of templates without interference. This is extremely important in processing of real world aerosol samples containing complex mixtures of DNA from many sources, and has direct application in screening of cDNA libraries.

Referring now to FIG. 2, a flow diagram of another embodiment of a system constructed in accordance with the present invention is illustrated. The system is designated generally by the reference numeral 200. The flow diagram illustrating system 200 shows block 201 "partitioning" the sample, block 202 performing "PCR" on the sample, and block 203 "detection and analysis." The system 200 provides a method and apparatus for performing extremely rapid nucleic acid amplification and detection. The system 200 provides an apparatus for nucleic acid amplification of a sample comprising means for partitioning the sample into partitioned sections, means for performing PCR on the partitioned sections, and means for detection and analysis of the partitioned sections. The system 200 also provides a method of nucleic acid amplification of a sample comprising the steps of partitioning the sample into partitioned sections, subjecting the partitioned sections to PCR, and detecting and analyzing the partitioned sections of the sample.

In block 201 a chemical reagent and an input sample are "partitioned" into a large number of microdroplets or other forms of fluid partitions prior to amplification. The system 200 achieves a reduction in the total number of cycles by

6

limiting the dilution of the optically generated signal (e.g., fluorescence or absorption). The formation of partitioned fluid volumes of the DNA-containing solution effectively isolates the fluid volumes which contain the target DNA from the fluid volumes that do not contain the target DNA. Therefore, the dilution of the optical signal is largely eliminated, allowing much earlier detection. This effect is directly related to the number of fluid partitions formed from the initial sample/reagent pool.

In block 202 selected portions of each nucleic acid sample are then amplified using polymerase chain reaction (PCR), with the product contained in each partitioned fluid volume. This results in much more concentrated amplification product, since the volume containing the reaction is so small. If a Taqman type detection approach is used, fluorescent dye molecules unquenched by the PCF amplification are also more concentrated, making possible earlier optical based detection. Since it is possible to contain very amounts of the starting target DNA in each partition fluid volume, inhibitory competition from near-neighbor DNA templates is less allowing screening of very dilute samples.

In block 203 partitioned portions of the sample are detected by monitoring for the calorimetric indicator (e.g., fluorescence or optical absorption) generated with each DNA template duplication sequence. The partitioned portions of the sample are optically probed to detect the colorimetric indicator which signals the presence of the target DNA. The partitioned portions of the sample can also be scanned optically to detect the colorimetric indicator signaling the presence of the target DNA. In one embodiment, fluorescence, generated by degradation of the dye/quencher pair on the primer, is detected using a confocal imaging system such as that employed in conventional flow cytometers. Scattering profiles from individual microdroplets, as in conventional flow cytometers, can be used to eliminate background signal from other particles.

The system 200 has application wherever current PCR-type systems exist, including medical, drug-discovery, bio-warfare detection, and other related fields. Biowarfare detection applications include identifying, detecting, and monitoring bio-threat agents that contain nucleic acid signatures, such as spores, bacteria, etc. Biomedical applications include tracking, identifying, and monitoring outbreaks of infectious disease. The system 200 provides rapid, high throughput detection of biological pathogens (viruses, bacteria, DNA in biological fluids, blood, saliva, etc.) for medical applications. Forensic applications include rapid, high throughput detection of DNA in biological fluids for forensic purposes. Food and beverage safety applications include automated food testing for bacterial contamination.

Referring now to FIG. 3, a diagram of another embodiment of a system constructed in accordance with the present invention is illustrated. The system is designated generally by the reference numeral 300. The system 300 provides an instrument for performing Fluid-Partitioned DNA Detection (FPDD) with PCR based detection and amplification. The system 300 includes a partitioning section 301, a PCR section 302, and a detection and analysis section 303.

The partitioning section 301 includes a sample introduction unit 304 and a unit 305 where the sample and a PCR reagent are combined. The sample and a PCR reagent are injected through a small orifice 306. The injection of the sample through the small orifice 306 produces microdroplets 308.

The PCR section 302 includes a continuous tube 309 for circulating the microdroplets 308 and suspended in an immiscible carrier fluid 314. The microdroplets 308 suspended in an

immiscible carrier fluid 314 are pumped through the continuous tube 309 by pump 311. The microdroplets 308 suspended in an immiscible carrier fluid 314 are cycled through heater 310 and cooler 315 to perform PCR.

The detection and analysis section 303 includes a blue laser 312 and a detector 313. The laser 312 is projected upon the droplets 308 as they pass through tube 308 between the laser 312 and the detector 313.

In the system 300, the DNA-containing solution is partitioned into many microdroplets 308 and suspended in an immiscible carrier fluid 314. The microdroplets 308 are formed by forcing the PCR mix (sample and reagent) through the small orifice or microjet 306. These microdroplets 308 are then captured in the immiscible fluid 314, such as mineral oil, and flowed past the heating element 310 and cooler 315. An optical signal (e.g., fluorescence or optical absorption), generated by degradation of the dye/quencher pair on the primer, is detected using a confocal imaging system such as that employed in conventional flow cytometers. Scattering profiles from individual microdroplets, as in conventional flow cytometers, can be used to eliminate background signal from other particles. Once exposed to multiple heating cycles, the microdroplets can be identified and probed for an optical signal at rates of several thousand per second.

The FPDD system achieves a reduction in the total number of cycles by limiting the dilution of the optically generated signal (e.g., fluorescence or absorption). The formation of partitioned fluid volumes of the DNA-containing solution effectively isolates the fluid volumes which contain the target DNA from the fluid volumes that do not contain the target DNA. Therefore, the dilution of the optical signal is largely eliminated, allowing much earlier detection. This effect is directly related to the number of fluid partitions formed from the initial sample/reagent pool. The effect of the number of fluid partitions on the number of cycles required for detection is described by the Equation E1 set out earlier.

The FPDD technique reduces the duration of each temperature cycle by effectively increasing the concentration of reactants by enclosing them in picoliter type volumes. Since reaction rates depend on the concentration of the reactants, the efficiency of a partitioned fluid volume or droplet should be higher than in an ordinary vessel (such as a test tube) where the reactant quantity (DNA quantity) is extremely low. It is estimated that through the reduction in the number of cycles and the reduction in the time required for each cycles that the FPDD technique can reduce the detection time by an order of magnitude as compared to bulk solution DNA detection techniques.

The FPDD technique facilitates removal of interference from competing DNA templates. Given the extremely small volumes involved with FPDD, it is possible to isolate a single template of the target DNA in a given partitioned volume or microdroplet. For example, the formation of 2000 partitioned fluid volumes or microdroplets (each with a volume of 5×10^{-9} liters) made by dividing a bulk solution of 10 microliters containing 2000 DNA molecules, would result in one DNA molecule per microdroplet on average. This makes it possible to amplify only one template in mixtures containing many kinds of templates without interference. This is extremely important in processing of real world aerosol samples containing complex mixtures of DNA from many sources, and has direct application in screening of cDNA libraries.

With this new bioassay technique, each partitioned DNA-containing fluid volume contains the necessary biochemical constituents for selectively amplifying a specified portion of a sample DNA via polymerase chain reaction (PCR). The

target DNA is detected by monitoring for the colorimetric indicator (e.g., fluorescence or optical absorption) generated with each DNA template duplication sequence.

The system 300 provides a fast, flexible and inexpensive high throughput, bioassay technology based on creation and suspension of microdroplets in an immiscible carrier stream. Each microdroplet contains the necessary biochemical constituents for selectively amplifying and fluorescently detecting a specified portion of a sample DNA via polymerase chain reaction (PCR). Once exposed to multiple heating cooling cycles, the microdroplets can be identified and probed for fluorescent signal at rates of several thousand per second.

Isolating the PCR reaction in such small (picoliter) volumes provides an order of magnitude reduction in overall detection time by:

- (1) reducing the duration of each temperature cycle—the concentration of reactants increases by enclosing them in picoliter type volumes. Since reaction kinetics depend on the concentration of the reactant, the efficiency of a microdroplet should be higher than in an ordinary vessel (such as a test tube) where the reactant quantity is infinitesimal
- (2) reducing the total number of cycles—dilution of the fluorescently generated signal is largely eliminated in such a small volume, allowing much earlier detection. This effect is directly related to the number of microdroplets formed from the initial sample/reagent pool. Since PCR is an exponential process, for example, 1000 microdroplets would produce a signal 10 cycles faster than typical processing with bulk solutions.
- (3) removing interference from competing DNA templates—given the extremely small volumes involved, it is possible to isolate a single template of the target DNA in a given microdroplet. A pL microdroplet filled with a 1 pM solution, for example, will be occupied by only one molecule on average. This makes it possible to amplify only one template in mixtures containing many kinds of templates without interference. This is extremely important in processing of real world aerosol samples containing complex mixtures of DNA from many sources, and has direct application in screening of precious cDNA libraries.

Referring now to FIG. 4, an illustration of another embodiment of a system constructed in accordance with the present invention is illustrated. The system is designated generally by the reference numeral 400. The system 300 provides system for nucleic acid amplification of a sample. The system 400 includes means for partitioning the sample into partitioned sections and means for performing PCR on the partitioned sections of the sample.

The sample is separated into immiscible slugs 406, 407, and 408. The immiscible slugs 406, 407, and 408 are formed through a system of microfluidics. Background information on microfluidics is contained in U.S. Pat. No. 5,876,187 for micropumps with fixed valves to Fred K. Forster et al., patented Mar. 2, 1999. As stated in U.S. Pat. No. 5,876,187, "Miniature pumps, hereafter referred to as micropumps, can be constructed using fabrication techniques adapted from those applied to integrated circuits. Such fabrication techniques are often referred to as micromachining. Micropumps are in great demand for environmental, biomedical, medical, biotechnical, printing, analytical instrumentation, and miniature cooling applications." Microchannels 403, 404, and 405 are formed in substrates 401 and 402. The disclosures of U.S. Pat. Nos. 5,876,187 and 5,876,187 are incorporated herein by reference.

The immiscible slugs 406, 407, and 408 can be moved through the microchannels using magnetohydrodynamics. Background information on magnetohydrodynamics is contained in U.S. Pat. No. 6,146,103 for micromachined magnetohydrodynamic actuators and sensors to Abraham P. Lee and Asuncion V. Lemoff, patented Nov. 14, 2000. As stated in U.S. Pat. No. 6,146,103, "Microfluidics is the field for manipulating fluid samples and reagents in minute quantities, such as in micromachined channels, to enable hand-held bio-instrumentation and diagnostic tools with quicker process speeds. The ultimate goal is to integrate pumping, valving, mixing, reaction, and detection on a chip for biotechnological, chemical, environmental, and health care applications. Most micropumps developed thus far have been complicated, both in fabrication and design, and often are difficult to reduce in size, negating many integrated fluidic applications. Most pumps have a moving component to indirectly pump the fluid, generating pulsatile flow instead of continuous flow. With moving parts involved, dead volume is often a serious problem, causing cross-contamination in biological sensitive processes. The present invention utilizes MHDs for microfluid propulsion and fluid sensing, the microfabrication methods for such a pump, and the integration of multiple pumps for a microfluidic system. MHDs is the application of Lorentz force law on fluids to propel or pump fluids. Under the Lorentz force law, charged particles moving in a uniform magnetic field feel a force perpendicular to both the motion and the magnetic field. It has thus been recognized that in the microscale, the MHD forces are substantial for propulsion of fluids through microchannels as actuators, such as a micropump, micromixer, or microvalve, or as sensors, such as a microflow meter, or viscosity meter. This advantageous scaling phenomenon also lends itself to micromachining by integrating microchannels with micro-electrodes." The disclosure of U.S. Pat. No. 6,146,103 is incorporated herein by reference.

The means for performing PCR on the partitioned sections of the sample can be a system for alternately heating and cooling the immiscible slugs 406, 407, and 408. Alternatively, the means for performing PCR on the partitioned sections of the sample can be a system for alternately heating and cooling the immiscible slugs 406, 407, and 408 can be a system for moving the immiscible slugs 406, 407, and 408 through zones for heating and cooling. An example of such a system is shown in U.S. patent application No. 2002/0127152 published Sep. 12, 2002 for a convectively driven PCR thermal-cycling system described as follows: "A polymerase chain reaction system provides an upper temperature zone and a lower temperature zone in a fluid sample. Channels set up convection cells in the fluid sample and move the fluid sample repeatedly through the upper and lower temperature zone creating thermal cycling." The disclosure of U.S. Patent Application No. 2002/0127152 is incorporated herein by reference.

In another embodiment of the invention, the DNA-containing solution is partitioned by adding a gelling agent to the solution to form cells of partitioned volumes of fluid separated by the gelling agent. Using this approach for fluid partitioning, the DNA-containing solution is gelled in a tube or as a very thin layer. For example, it can be in a thin layer between flat plates and the surface of the thin film can be optically probed spatially in directions parallel to the film surface to detect micro-regions in the film where the colorimetric indicator suggests the presence of the target DNA.

Another embodiment of the invention is to partition the DNA-containing solution as microdroplets in an immiscible fluid where the droplets are arranged in a two-dimensional

array such that the array of microdroplets can be optically probed to detect the colorimetric indicator which signals the presence of the target DNA. In this approach a solid hydrophobic substrate supports the microdroplets. For example, in small indentations, and the immiscible "partitioning" fluid is less dense than the aqueous DNA-containing solution.

In another embodiment of the invention the DNA-containing solution is partitioned using mechanical means. For example, the DNA-containing solution can be partitioned into an array of capillaries, microtubes, or wells. In this approach, the micro vessels holding each partitioned fluid volume can be scanned optically to detect the colorimetric indicator signaling the presence of the target DNA.

Referring now to FIGS. 5A, 5B, and 5C example representations of the mechanical partitioning approach for DNA detection using fluid partitioning are shown. In FIG. 5A a line of capillaries or micro-tubes 501 are used for partitioning and holding the DNA containing solution. In FIG. 5B an array 502 of capillaries or micro-tubes are used for partitioning the DNA-containing solution. In FIG. 5C a micro-wells or micro-vessels unit 503 is used for partitioning and holding the DNA-containing solution.

While the invention may be susceptible to various modifications and alternative forms, specific embodiments have been shown by way of example in the drawings and have been described in detail herein. However, it should be understood that the invention is not intended to be limited to the particular forms disclosed. Rather, the invention is to cover all modifications, equivalents, and alternatives falling within the spirit and scope of the invention as defined by the following appended claims.

The invention claimed is:

[1. An apparatus for nucleic acid amplification of a sample, comprising:

means for partitioning said sample into partitioned sections, wherein said means for partitioning said sample into partitioned sections comprises an injection orifice, and
means for performing PCR on said partitioned sections of said sample.]

[2. The apparatus for nucleic acid amplification of a sample of claim 1 wherein said injection orifice is an injection orifice that produces microdroplets.]

[3. The apparatus for nucleic acid amplification of a sample of claim 1 wherein said injection orifice is an injection orifice that injects said sample and a PCR reagent.]

[4. The apparatus for nucleic acid amplification of a sample of claim 1 wherein said means for performing PCR on said partitioned sections of said sample comprises a continuous tube for circulating said partitioned sections of said sample through a heater to perform PCR.]

[5. The apparatus for nucleic acid amplification of a sample of claim 1 wherein said means for performing PCR on said partitioned sections of said sample comprises a continuous tube for circulating said partitioned sections of said sample through a heater and cooler to perform PCR.]

[6. The apparatus for nucleic acid amplification of a sample of claim 1 wherein said means for performing PCR on said partitioned sections of said sample comprises a pump, a continuous tube, and a heater.]

[7. The apparatus for nucleic acid amplification of a sample of claim 1 including means for detection and analysis of said partitioned sections of said sample comprising a laser and a detector.]

11

[8. The apparatus for nucleic acid amplification of a sample of claim 1 including means for detection and analysis of said partitioned sections of said sample comprising a blue laser and a detector.]

[9. The apparatus for nucleic acid amplification of a sample of claim 1 wherein said means for partitioning said sample into partitioned sections comprises means for separating said sample into immiscible slugs.]

[10. A method of nucleic acid amplification of a sample, comprising the steps of:

partitioning said sample into partitioned sections, wherein said step of partitioning said sample into partitioned sections comprises flowing said sample through an injection orifice, and

subjecting said partitioned sections of said sample to PCR.]

11. The method of claim 10 wherein the nucleic acid amplification of a sample comprises PCR amplification of a DNA target.

12. The method of claim 11 wherein said partitioned sections contain, on average, a single template of a DNA target, and wherein said single template is amplified within said partitioned sections.

13. The method of claim 12 wherein said sample comprises multiple DNA targets, and wherein multiple partitioned sections have a single template of a different DNA target such that said single template is amplified within said multiple partitioned sections.

14. The method of claim 10, wherein the partitioned sections are passed by a detector to detect the amount of amplification.

15. The method of claim 14 wherein the detector is a light detector.

16. The method of claim 15 wherein an amount of amplification is indicated by fluorescence.

17. The method of claim 16 where a fluorophore dye is used.

18. The method of claim 15 wherein a laser is projected upon the partitioned sections as they pass between the laser and detector.

19. The method of claim 15 wherein the detector comprises a confocal imaging system.

20. The method of claim 15 wherein scattering profiles from the partitioned sections are used to eliminate background signals.

21. The method of claim 16 wherein the partitioned sections are probed for fluorescent signal at a rate of several thousand per second.

22. A nucleic acid amplification apparatus comprising a microdroplet generator comprising an orifice, wherein said orifice connects a sample flow pathway to a channel or tube comprising an immiscible fluid, and wherein said channel or tube passes through a heating element.

23. The apparatus of claim 22 further comprising a cooler.

24. The apparatus of claim 22 wherein said microdroplet generator is capable of producing microdroplets with volumes in the picoliter range.

25. The apparatus of claim 22 wherein said microdroplet generator is capable of producing microdroplets having volumes of about 5×10^{-9} liters to 1×10^{-12} liters.

26. The apparatus of claim 22 wherein the immiscible fluid is mineral oil.

27. The apparatus of claim 22, further comprising a pump for moving generated microdroplets in said immiscible fluid through the channel or tube.

28. The apparatus of claim 27 further comprising a pump for moving the microdroplets through the channel or tube.

12

29. The apparatus of claim 27 wherein the tube is a continuous tube.

30. The apparatus of claim 27 wherein the channel is a micromachined channel.

31. The apparatus of claim 28 wherein the pump for moving the microdroplets comprises a magnetohydrodynamic (MHD) element.

32. The apparatus of claim 27 wherein the channel or tube is heated and cooled.

33. The apparatus of claim 27 wherein the channel or tube extends through a heater and a cooler.

34. A nucleic acid amplification apparatus comprising: a microdroplet generator comprising an orifice wherein said orifice connects a sample flow pathway to a channel or tube comprising an immiscible fluid, wherein said channel or tube passes through a heating element; and wherein said apparatus further comprises a detector capable of detecting microdroplets in said immiscible fluid.

35. The apparatus of claim 34 wherein the detector is positioned such that generated microdroplets suspended in said immiscible fluid pass by the detector as they are moved through the channel or tube.

36. A method for nucleic acid amplification comprising: producing microdroplets within an immiscible fluid in a channel or tube; wherein the microdroplets comprise nucleic acids and components for performing nucleic acid amplification;

moving the microdroplets through the channel or tube; and thermal cycling the microdroplets in the channel or tube to amplify the nucleic acids.

37. The method of claim 36 wherein the nucleic acid amplification comprises PCR.

38. The method of claim 36 wherein the thermal cycling of the microdroplets comprises passing the microdroplets through a heater and a cooler.

39. The method of claim 36 wherein the thermal cycling of the microdroplets comprises heating and cooling the channel or tube comprising the microdroplets.

40. The method of claim 36 further comprising passing the microdroplets by a detector to detect an amount of amplification.

41. The method of claim 40 wherein the detector is a light detector.

42. The method of claim 41 wherein the amount of amplification is indicated by fluorescence.

43. The method of claim 42 where a fluorophore dye is used.

44. The method of claim 41 wherein a laser is projected upon the microdroplets as they pass between the laser and detector.

45. The method of claim 41 wherein the detector comprises a confocal imaging system.

46. The method of claim 41 wherein scattering profiles from the microdroplets are used to eliminate background signals.

47. A method comprising: diluting a sample comprising a plurality of DNA targets and PCR reagents;

partitioning the sample into microdroplets in an immiscible fluid in a tube or channel of a microfluidic device, wherein a plurality of microdroplets containing a single template of the target DNA are formed; and amplifying the target DNA in the microdroplets by heating and cooling such that a plurality of single templates within the microdroplets are amplified.

13

48. A method comprising:

- a. performing PCR on a microdroplet suspended in an immiscible fluid in a microchannel, wherein said PCR comprises a plurality of cycles;
- b. passing said microdroplet through said microchannel 5 past a detector; and
- c. detecting a PCR amplification product in said microdroplet.

49. The method of claim 48, wherein said microdroplet is isolated from a bulk solution, and whereby the number of PCR 10 cycles needed to detect said amplification product in said microdroplet is less than the number of PCR cycles needed to detect amplification product in said bulk solution.

50. The method of claim 48, wherein said microdroplet is isolated from a bulk solution, and whereby the time needed for 15 each cycle of PCR on said microdroplet is less than the time needed for each cycle of PCR in said bulk solution.

51. The method of claim 48 wherein the volume of said microdroplet is about 5×10^{-9} liters to 1×10^{-12} liters.

52. A nucleic acid amplification apparatus comprising: a microdroplet generator comprising an orifice wherein said orifice connects a sample flow pathway to a channel

14

or tube comprising an immiscible fluid, wherein said channel or tube passes through a heating element; and wherein said apparatus further comprises a detector capable of detecting microdroplets in said immiscible fluid and a pump for moving said microdroplets through the channel or tube.

53. The apparatus of claim 52 wherein the detector is positioned such that generated microdroplets suspended in said immiscible fluid pass by the detector as they are 10 moved through the channel or tube.

54. The apparatus of claim 52 wherein the immiscible fluid is mineral oil.

55. The apparatus of claim 52 wherein the tube is a continuous tube.

56. The apparatus of claim 52 wherein the channel is a micromachined channel. 15

57. The apparatus of claim 52 wherein the pump for moving the microdroplets comprises a magnetohydrodynamic (MHD) element.

58. The apparatus of claim 52 wherein the channel or tube extends through a heater and a cooler. 20

* * * * *

UNITED STATES PATENT AND TRADEMARK OFFICE
CERTIFICATE OF CORRECTION

PATENT NO. : RE43,365 E
APPLICATION NO. : 12/891733
DATED : May 8, 2012
INVENTOR(S) : Brian L. Anderson, Bill W. Colston and Christopher K. Elkin

Page 1 of 1

It is certified that error appears in the above-identified patent and that said Letters Patent is hereby corrected as shown below:

On Title page one, column one, item (64), under the “Related U.S. Patent Documents” section delete
“Reissue of: Patent No.: Re. 41,780” and insert --Continuation of Patent No.: Re. 41,780--

Signed and Sealed this
Seventeenth Day of September, 2013



Teresa Stanek Rea
Deputy Director of the United States Patent and Trademark Office

UNITED STATES PATENT AND TRADEMARK OFFICE
CERTIFICATE OF CORRECTION

PATENT NO. : RE43,365 E
APPLICATION NO. : 12/891733
DATED : May 8, 2012
INVENTOR(S) : Brian L. Anderson et al.

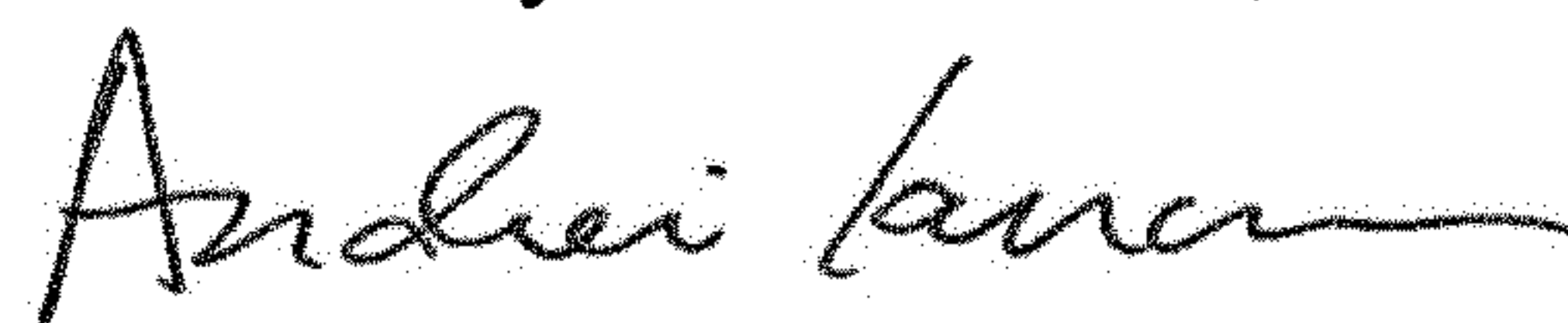
Page 1 of 1

It is certified that error appears in the above-identified patent and that said Letters Patent is hereby corrected as shown below:

In the Specification

At Column 1, Line 25, "More than one reissue application has been filed for the reissue of U.S. Pat. No. 7,041,481, the reissue applications are Application Ser. No. 15/421,141, filed January 31, 2017, which is a continuation reissue application of reissue Application Ser. No. 14/701,392, filed April 30, 2015, now U.S. Pat. No. Re. 46,322, issued February 28, 2017, which is a continuation reissue application of application Ser. No. 13/436,693, filed Mar. 30, 2012, now U.S. Pat. No. Re. 45,539, issued Jun. 2, 2015, which is a continuation reissue application of application Ser. No. 12/891,733, filed Sep. 27, 2010, issued as U.S. Pat. No. Re. 43,365, which is a continuation reissue application of reissue application Ser. No. 12/118,418, filed May 9, 2008, issued as U.S. Pat. No. Re. 41,780, which is a reissue application of U.S. Pat. No. 7,041,481. The present application is a Reissue of application Ser. No. 10/389,130, filed May 14, 2003, issued as U.S. Pat. No. 7,041,481 on May 9, 2006 and adds new claims relative to U.S. Pat. No. 7,041,481." should read --More than one reissue application has been filed for the reissue of U.S. Pat. No. 7,041,481, the reissue applications are: Application Ser. No. 16/115,187, filed August 28, 2018, which is a continuation reissue application of reissue Application Ser. No. 15/421,141, filed January 31, 2017, now U.S. Pat. No. Re. 47,080, issued Oct. 9, 2018, which is a continuation reissue application of reissue Application Ser. No. 14/701,392, filed April 30, 2015, now U.S. Pat. No. Re. 46,322, issued February 28, 2017, which is a continuation reissue application of application Ser. No. 13/436,693, filed Mar. 30, 2012, now U.S. Pat. No. Re. 45,539, issued Jun. 2, 2015, which is a continuation reissue application of application Ser. No. 12/891,733, filed Sep. 27, 2010, issued as U.S. Pat. No. Re. 43,365, which is a continuation reissue application of reissue application Ser. No. 12/118,418, filed May 9, 2008, issued as U.S. Pat. No. Re. 41,780, which is a reissue application of U.S. Pat. No. 7,041,481. The present application is a Reissue of application Ser. No. 10/389,130, filed May 14, 2003, issued as U.S. Pat. No. 7,041,481 on May 9, 2006 and adds new claims relative to U.S. Pat. No. 7,041,481.--

Signed and Sealed this
Third Day of November, 2020



Andrei Iancu
Director of the United States Patent and Trademark Office

UNITED STATES PATENT AND TRADEMARK OFFICE
CERTIFICATE OF CORRECTION

PATENT NO. : RE43,365 E
APPLICATION NO. : 12/891733
DATED : May 8, 2012
INVENTOR(S) : Brian L. Anderson et al.

Page 1 of 2

It is certified that error appears in the above-identified patent and that said Letters Patent is hereby corrected as shown below:

On Title page one, column one, under the “Related U.S. Patent Documents” section, replace the text with the following:

Reissue of:

--(64) Patent No. 7,041,481
Issued: May 9, 2006
Appl. No.: 10/389,130
Filed: Mar. 14, 2003

Continuation of:

(64) Patent No. RE:41,780
Issued: Sep. 28, 2010
Appl. No.: 12/118,418
Filed: May 9, 2008

Which is a Reissue of:

(64) Patent No. 7,041,481
Issued: May 9, 2006
Appl. No.: 10/389,130
Filed: Mar. 14, 2003--

On the title page, under abstract “48 Claims, 3 Drawing Sheets” should read --17 Claims, 3 Drawing Sheets--

In the Specification

Please replace column 1, lines 6-33 with the following:

--Matter enclosed in heavy brackets [] appears in the original patent but forms no part of and this reissue specification; matter printed in italics indicates the additions made in this reissue.

Signed and Sealed this
Sixteenth Day of June, 2015



Michelle K. Lee
Director of the United States Patent and Trademark Office

The United States Government has rights in this invention pursuant to Contract No. W-7405-ENG-48 between the United States Department of Energy and the University of California for the operation of Lawrence Livermore National Laboratory.

Notice: More than one reissue application has been filed for the reissue of U.S. Pat. No. 7,041,481, which claims the benefit of U.S. patent application Ser. No. 10/389,130, filed Mar. 14, 2003. The reissue applications are application Ser. Nos. 12/891,733 (the present application), and 12/118,418, filed May 9, 2008 and issued as U.S. Pat. No. Re. 41,780. The present application is a continuation reissue application of U.S. Pat. No. Re. 41,780, and adds new claims relative to U.S. Pat. No. 7,041,481.--

In the Claims

On Column 11, line 17 through Column 14, line 21 replace claims 11-58 with the following claims 11-17 in italics to indicate claims added with this Reissue:

- 11. *A nucleic acid amplification apparatus comprising: a microdroplet generator comprising an orifice wherein said orifice connects a sample flow pathway to a channel or tube comprising an immiscible fluid, wherein said channel or tube passes through a heating element; and wherein said apparatus further comprises a detector capable of detecting microdroplets in said immiscible fluid and a pump for moving said microdroplets through the channel or tube.*
- 12. *The apparatus of claim 11 wherein the detector is positioned such that generated microdroplets suspended in said immiscible fluid pass by the detector as they are moved through the channel or tube.*
- 13. *The apparatus of claim 11 wherein the immiscible fluid is mineral oil.*
- 14. *The apparatus of claim 11 wherein the tube is a continuous tube.*
- 15. *The apparatus of claim 11 wherein the channel is a micromachined channel.*
- 16. *The apparatus of claim 11 wherein the pump for moving the microdroplets comprises a magnetohydrodynamic (MHD) element.*
- 17. *The apparatus of claim 11 wherein the channel or tube extends through a heater and a cooler.--*

UNITED STATES PATENT AND TRADEMARK OFFICE
CERTIFICATE OF CORRECTION

PATENT NO. : RE43,365 E
APPLICATION NO. : 12/891733
DATED : May 8, 2012
INVENTOR(S) : Brian L. Anderson et al.

Page 1 of 1

It is certified that error appears in the above-identified patent and that said Letters Patent is hereby corrected as shown below:

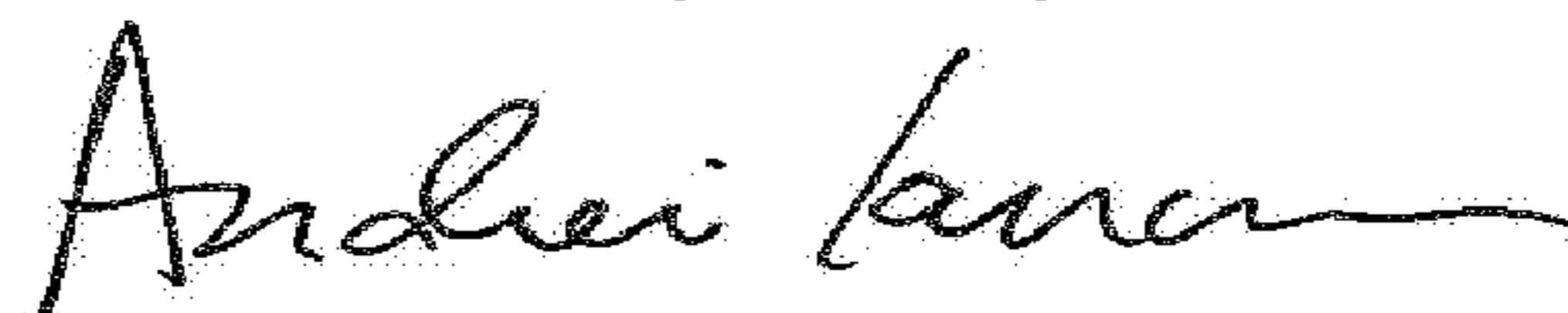
In the Specification

Replace Column 1, Line 15 with:

--More than one reissue application has been filed for the reissue of U.S. Pat. No. 7,041,481, the reissue applications are Application Ser. No. 15/421,141, filed January 31, 2017, which is a continuation reissue application of reissue Application Ser. No. 14/701,392, filed April 30, 2015, now U.S. Pat. No. Re. 46,322, issued February 28, 2017, which is a continuation reissue application of application Ser. No. 13/436,693, filed Mar. 30, 2012, now U.S. Pat. No. Re. 45,539, issued Jun. 2, 2015, which is a continuation reissue application of application Ser. No. 12/891,733, filed Sep. 27, 2010, issued as U.S. Pat. No. Re. 43,365, which is a continuation reissue application of reissue application Ser. No. 12/118,418, filed May 9, 2008, issued as U.S. Pat. No. Re. 41,780, which is a reissue application of U.S. Pat. No. 7,041,481. The present application is a Reissue of application Ser. No. 10/389,130, filed May 14, 2003, issued as U.S. Pat. No. 7,041,481 on May 9, 2006 and adds new claims relative to U.S. Pat. No. 7,041,481.

The United States Government has rights in this invention pursuant to Contract No. W-7405-ENG-48 between the United States Department of Energy and the University of California for the operation of Lawrence Livermore National Laboratory.--

Signed and Sealed this
Third Day of July, 2018



Andrei Iancu
Director of the United States Patent and Trademark Office