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(54) OPTICAL DETECTION SYSTEM

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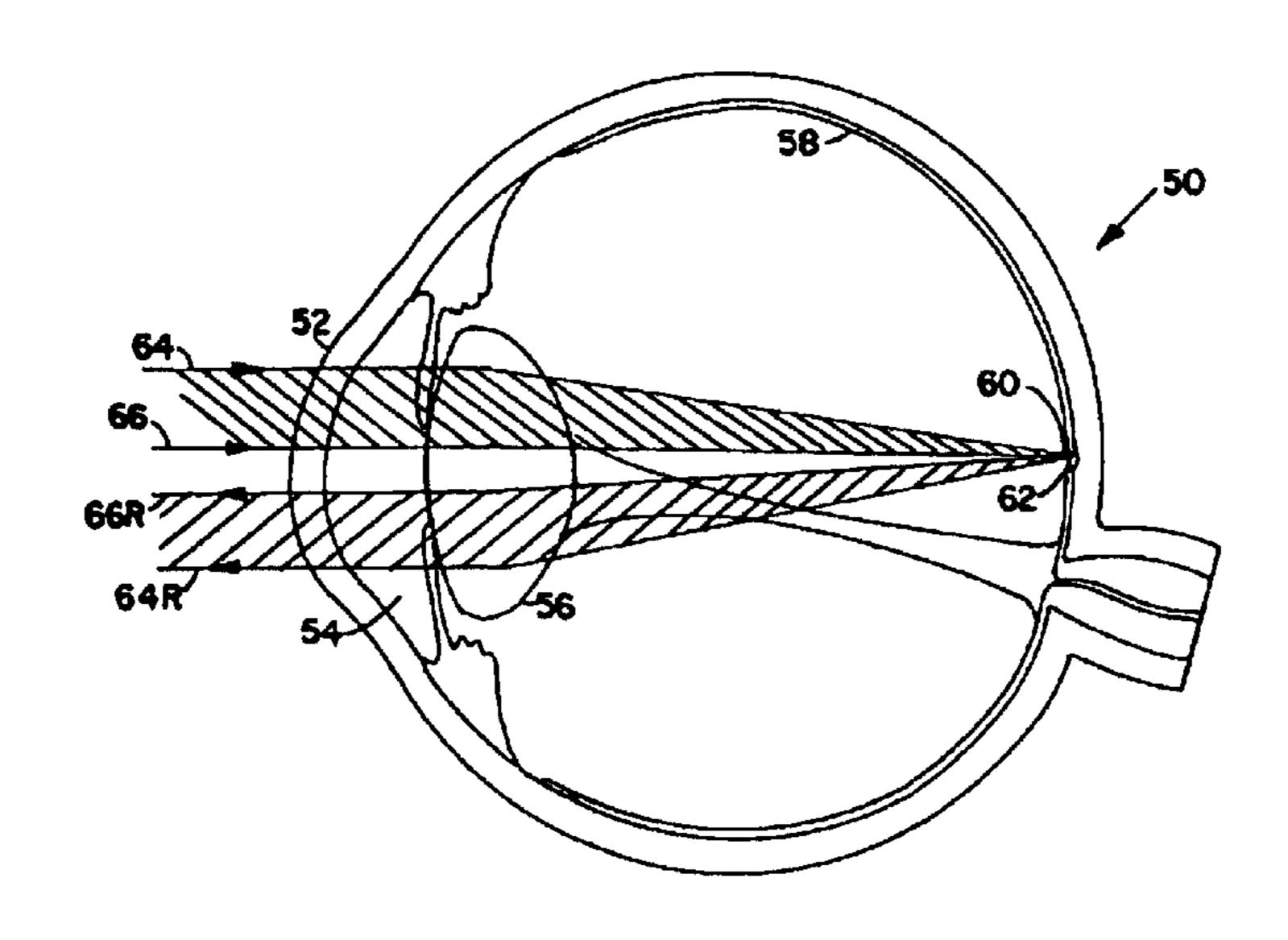
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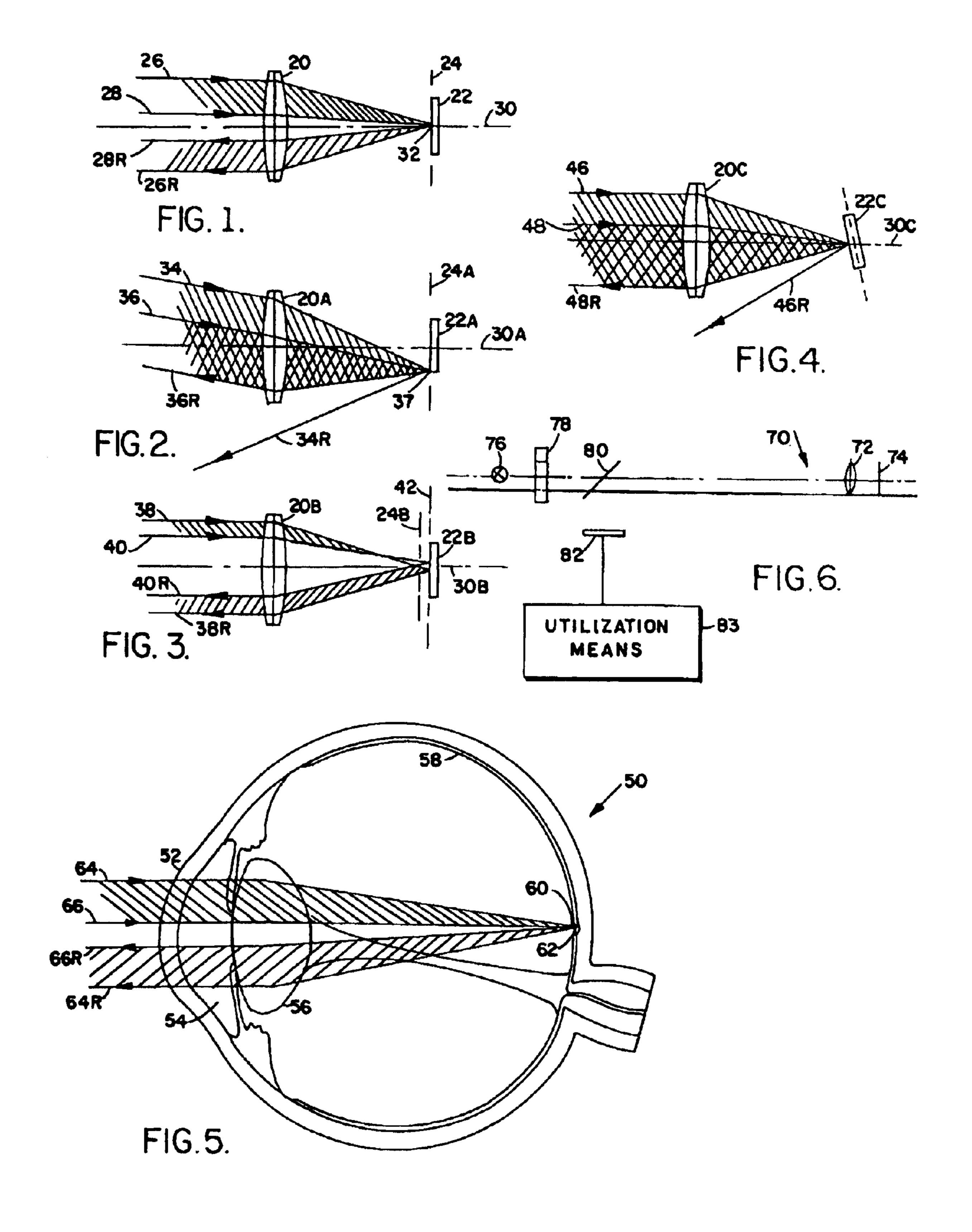
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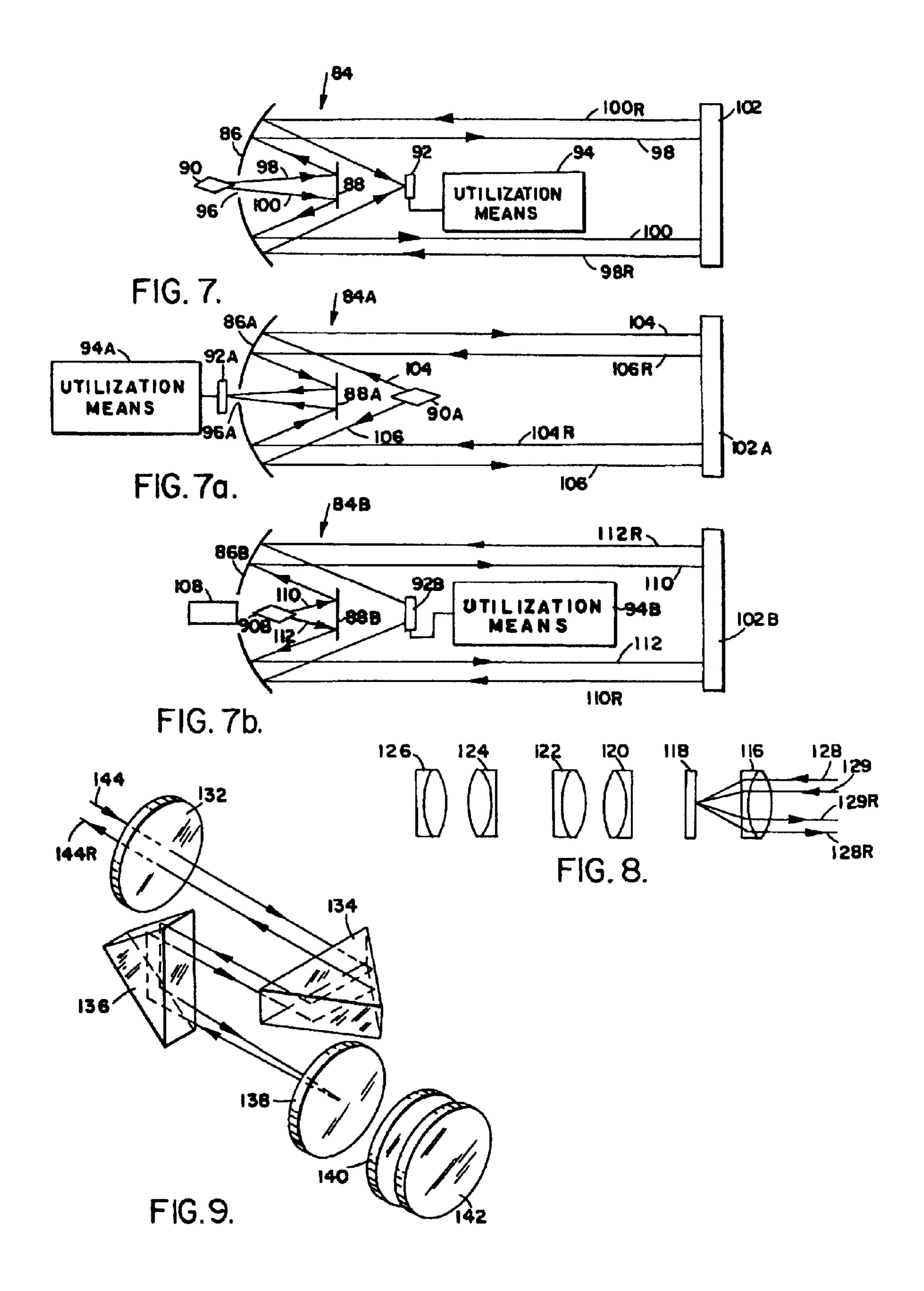
(57) ABSTRACT

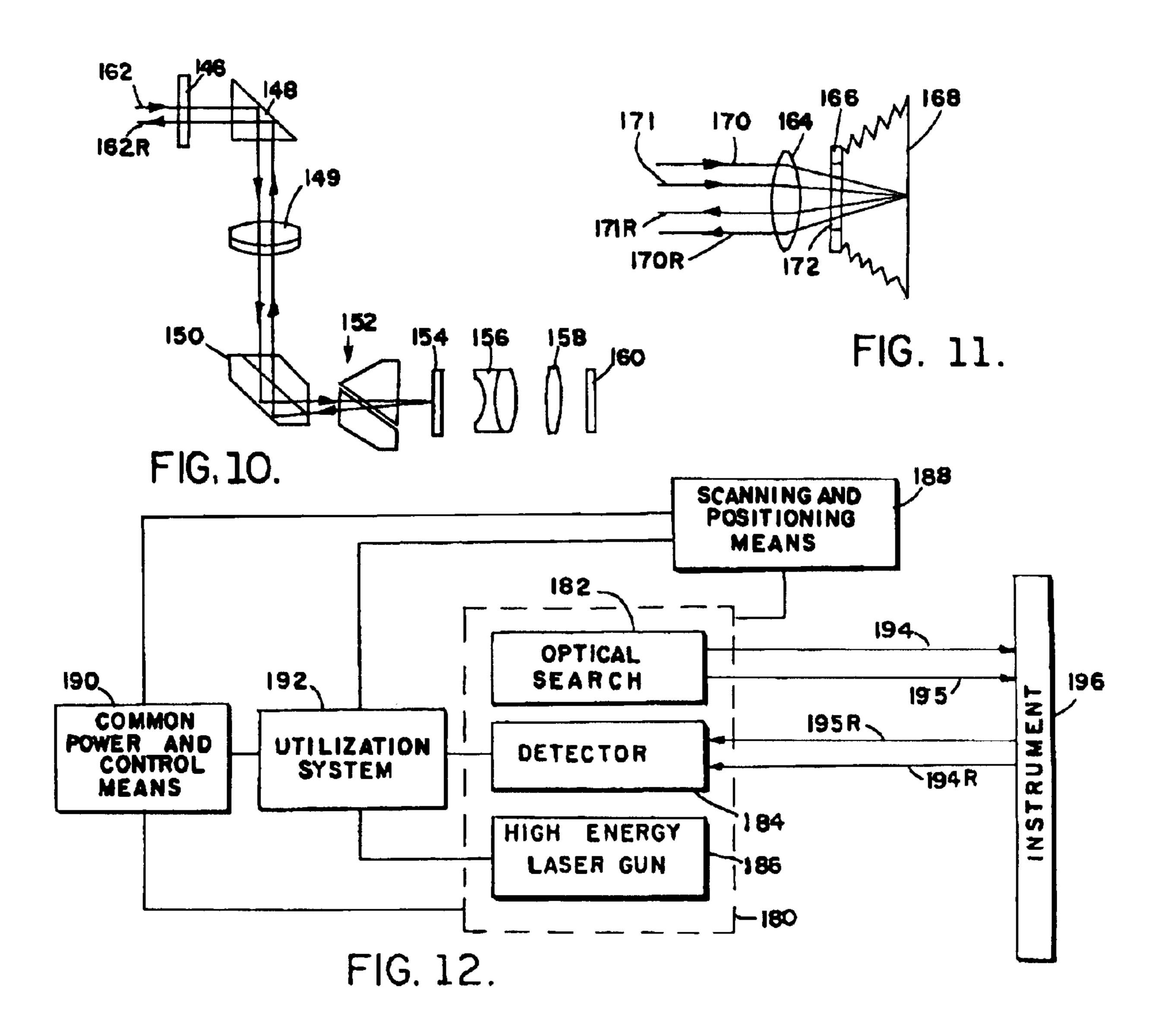
The present invention pertains to radiant energy systems and more particularly to systems exhibiting the retroreflection principle wherein the system comprises a focusing means and a surface exhibiting some degree of reflectivity positioned near the focal plane of the device, and wherein incident radiation falling within the field-of-view of said system is reflected back in a direction which is parallel to the incident radiation. The present invention has great applicability in military optical system applications for detecting the presence of an enemy employing surveillance equipment and for neutralizing this surveillance capability.

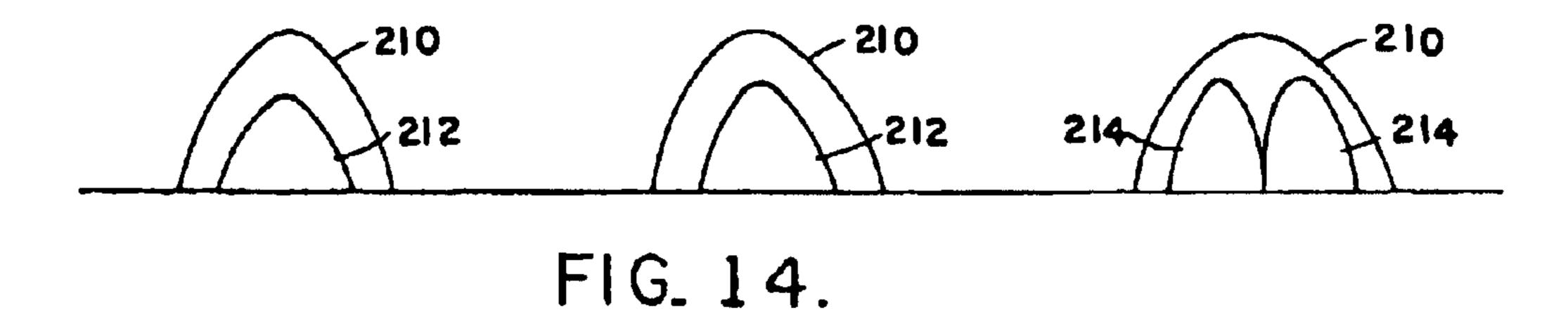
70 Claims, 3 Drawing Sheets











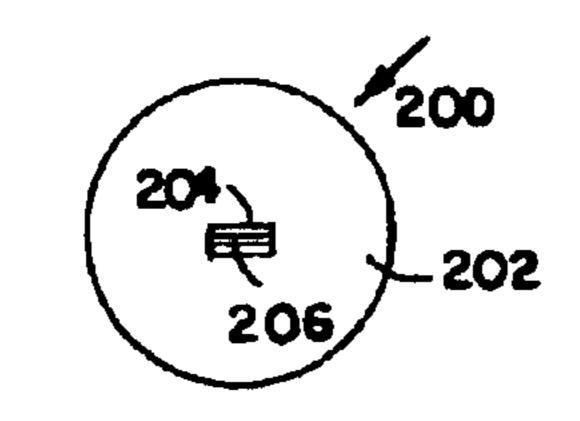


FIG. 13.

OPTICAL DETECTION SYSTEM

Matter enclosed in heavy brackets [] appears in the original patent but forms no part of this reissue specification; matter printed in italics indicates the additions 5 made by reissue.

Applicants herein have made the discovery that any type of focusing device in combination with a surface, exhibiting any degree of reflectivity and positioned near the focal plane of the device, acts as a retro-reflector. A retroreflector is 10 defined as a reflector wherein incident rays or radiant energy and reflected rays are parallel for any angle of incidence within the field-of-view. A characteristic of a retroreflector is that the energy impinging thereon is reflected in a very narrow beam, herein referred to as the retroreflected beam. This 15 phenomenon is termed retroreflection.

It is herein to be noted that the term radiant energy includes light energy, radio frequency, microwave energy, acoustical energy, X-ray energy, heat energy and any other types of energy which are part of the energy spectrum and which are capable of being retroreflected by the device, instrument or system sought to be detected.

It is herein to be noted that the term radiant energy ant energy, FIG tric options.

One type of optical device which exhibits this phenomenon, and thus is a particular type of retroreflector, is a corner reflector consisting of three mutually perpendicular 25 reflecting planes, However, this type of retroreflector is both difficult and expensive to fabricate.

Due to the applicants discovery, it has now become possible to accomplish a great many feats heretofore considered impossible, as will become more apparent from the discussion to follow hereinafter. In this context it should be noted that the eyes of human beings, as well as those of animals, operate as retroreflectors. Also, any optical instrument which includes a focusing lens and a surface having some degree of reflectivity, no matter how small, positioned near the focal 35 point of the lens, act as a retroreflector, whereby any radiant energy from a radiant energy source directed at these instruments is reflected back towards the source in a substantially collimated narrow beam.

It is therefore the primary object of the present invention 40 to provide a method and apparatus for detecting objects exhibiting retroreflection characteristics.

It is another object of the present invention to provide a method and apparatus to detect objects having retroreflection characteristics by illuminating the same with a radiant 45 energy source.

It is a more particular object of the present invention to provide a method and apparatus for scanning an area to detect the presence of optical instruments such as binoculars, telescopes, periscopes, range finders, cameras, 50 and the like.

It is a further object of the present invention to provide means and apparatus for determining the characteristics of a device exhibiting retroreflection characteristics from a remote location.

It is a further object of the present invention to provide a method and apparatus for detecting optical instruments for rendering the instruments ineffective and for neutralizing humans utilizing said instruments by employing lasers or similar high energy sources.

It is yet another object of the present invention to provide a method and apparatus for transmitting and receiving radiant energy utilizing concentric optics.

These and other objects, features and advantages of the present invention will become more apparent from the following detailed discussion considered in conjunction with the accompanying drawings, wherein:

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FIG. 1 is a diagram showing a retroreflection system consisting of a lens and a reflector wherein the source radiation is parallel to the optical axis of the lens.

FIG. 2 is a diagram of a retroreflection system similar to that of FIG. 1, wherein the source radiation is not parallel to the optical axis of the lens.

FIG. 3 is a diagram of a retroreflection system similar to FIG. 1 wherein the lens is imperfect so as to form an image rather than focusing at a single point.

FIG. 4 is a diagram of a retroreflection system wherein the reflector is obliquely positioned with respect to the optical axis of the lens.

FIG. 5 is a diagram of a human eye, wherein there is depicted the retroreflection characteristics thereof.

FIG. 6 is a schematic representation depicting a beam splitting optical system for transmitting and receiving radiant energy.

FIG. 7 is a schematic representation depicting a concentric optical system for transmitting and receiving radiant energy.

FIG. 7a is a schematic representation of another embodiment of the concentric optical system depicted in FIG. 7.

FIG. 7b is a schematic representation of still another embodiment of the concentric optical system depicted in FIG. 7.

FIG. **8** is a schematic representation depicting an ordinary telescope as an image forming system having retroreflection characteristics.

FIG. 9 is a schematic representation depicting one half of an ordinary binocular as an image forming system having retroreflection.

FIG. 10 is a schematic representation depicting an ordinary periscope as an image system having retroreflection characteristics.

FIG. 11 is a schematic representation depicting an ordinary camera as an image forming system having retroreflection characteristics.

FIG. 12 depicts a system for scanning an area to detect the presence of optical instruments by utilizing the retroreflection characteristics thereof and for neutralizing observers using said optical instruments, and/or rendering the instruments ineffective.

FIG. 13 is a diagram of a radar system, and more particularly of a radar antenna which is to be detected in accordance with the principles of the present invention.

FIG. 14 depicts the waveforms obtained during the detection of the radar system shown in FIG. 13.

In accordance with the general principles of the present invention an optical system consisting of a focusing lens and a reflective surface positioned near the focal plane of said lens has radiant energy rays supplied thereto by a radiant energy transmitter. The radiant energy rays reflected by the optical system due to its retroreflection characteristics are recovered by a radiant energy receiver to thereby detect the presence and relative position of said optical system. The output of the radiant energy receiver may be applied to a utilization means for determining the characteristics of the retroreflector or for directing a weapon means.

Referring now to the drawings and more particularly to FIG. 1 thereof, there is shown an optical system consisting of a lens 20 and a reflective surface 22, which herein is a mirror, positioned in the focal plane 24 of the lens 20. Rays of radiation 26 and 28, respectively, are directed towards the system, and more particularly towards the lens 20, from a radiation source (not shown); the incident rays in the present illustration being parallel to the optical axis 30 of the lens. It is herein to be noted that for the purpose of clarity the inci-

dent rays are herein shown as being confined to the top half of the lens 20. The incident rays 26 and 28 are refracted by the lens 20 and focused at the focal point 32 of the lens, which focal point lies on the mirror 22. The rays are then reflected by the mirror so that the angle of reflection equals the angle of incidence, and are returned to the lower half of the lens where they are again refracted and emerge therefrom as retroreflected rays 26R and 28R. The rays 26R and 28R are returned to the radiation source parallel to the incident rays 26 and 28 thereof. However, as shown in the drawing, the relative positions of the rays 26 and 28 are inverted so that the image returned to the radiation source is also inverted.

In the optical system depicted in FIG. 2, similar parts are de-noted by similar reference numerals. In this system the rays 34 and 36 are not parallel to the optical axis 30A of both the lens 20A and the mirror 22A, the mirror 22A being positioned in the focal plane 24A of the lens. The rays 34 and 36 are refracted by the lens 20A and focused at a point 37 removed from the optical axis but still on the focal plane. The rays 34 and 36 are reflected by the mirror. Both of the 20 rays 34 and 36 would normally emerge from the lens as retroreflected rays 34R and 36R, after refraction by the lens, and would be returned to the source of the rays 34 and 36 in a direction parallel thereto. However, since the lens 20A is of finite size, the reflected ray 34R will miss the lens and will 25 not be retroreflected. The loss of reflected rays in this manner is called "vignetting".

In the system depicted in FIG. 3 wherein similar parts are de-noted by similar reference numerals, the lens 20B is assumed to be imperfect; i.e., it has aberrations. In this case 30 the rays 38 and 40 are parallel to the optical axis 30B but are not focused at a single point on the focal plane 24B, and instead form an image on the mirror 22B, which image is referred to as the circle of confusion. In most practical optical systems there are circles of confusion and the mirror is 35 normally positioned at the plane of least circle of confusion, herein depicted by the reference numeral 42. Thus, the image formed on the mirror by means of the rays 38 and 40 can be considered to be a radiant source, and the retroreflected rays 38R and 40R exit from the lens 20B substan- 40 tially parallel to each other. This is possible since each emerging ray can be paired with a parallel incident ray which radiates from a common point of the image source located at the mirror 22B.

In the system depicted in FIG. 4, the reflecting surface or 45 mirror 22C, and its axis 44, is tilted with respect to the optical axis 30C of lens 20C. However, the ray 48 is again retroreflected by the system and the retroreflected ray 48R is returned parallel to the incident ray 48. The retroreflected ray 46R, due to the ray 46, is lost because of vignetting.

The concept set forth herein above in conjunction with FIG. 3, that the retroreflected rays be considered as radiating from a source on the image plane, is highly significant. With this concept in mind, it will be readily apparent that even if the retroreflecting surface is dispersive, curved, or tilted, (as shown in FIG. 4), the system will still exhibit retroreflective properties for any and all rays which are returned to the lens by the reflecting surface.

The rays retroreflected by the optical systems depicted in FIGS. 1 to 4 are in the form of a narrow, substantially collimated beam having a high radiant flux density. It is to be noted that there is an actual increase in the radiant flux density of the retroreflected beam due to the narrowing thereof. This increase in radiant flux density is herein termed optical gain.

For example, if the irradiance produced by the radiating source at the collecting lens in FIG. 3 is 100 watts/cm² and

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the area of the lens is 100 cm², then the radiant flux at the image or focal plane (circle of confusion) is

$$\frac{100 \text{ watts}}{\text{cm}^2} \times 100 \text{ cm}^2$$
. or 10^4 watts.

It is a characteristic of a retroreflector to return the retroreflected energy or rays in a very narrow beam. The dimensions of the retroreflected beam is a function of the angular resolution of the retroreflector which includes the lens and the reflecting surface.

The solid angle into which the incident radiant flux will be retroreflected is determined by the square of the angular resolution of the retroreflector. If, for example, the resoltuion of the optical system is 10^{-4} radians, the solid angle into which the retroreflected beam will be returned is 10^{-8} steradians. One steradian being the solid angle subtended at the center of a sphere by a portion of the surface of area equal to the square of the radius of the sphere. Thus at a distance of 10^4 cm from the focal plane the area of the retroreflected beam is only 1.0 cm^2 . The retroreflector, by radiating into such a small solid angle, has radiant intensity of

$$\frac{10^4 \text{ watts}}{10^{-4} \text{ steradian}}$$
, or $10^{12} \text{ watts/steradian}$.

In order to obtain a measure of the optical gain we must compare the retroreflector to a standard or reference. This reference has been taken to be a diffuse surface known in the art as a Lambertian radiator. If the 10^4 watts of incident radiant flux were simply re-radiated in a Lambertian manner; i.e., into a solid angle of 3.14 (π) steradians, the radiant intensity would be

$$\frac{10^4 \text{ watts}}{3.14 \text{ steradians}}$$
, or $3.1 \times 10^3 \text{ watts/steradian}$.

Thus, the retroreflector has an overall optical gain equal to

$$\frac{10^{12} \text{ watts/steradian}}{3.1 \times 10^3 \text{ watts/steradian}}$$
, or 3.14×10^8

Although there is no actual increase in radiant flux, the retroreflector has a radiant intensity which is 3.14×10^8 greater than that of a Lambertain radiator which emits the same radiant flux. Thus, for example, a telescope having a collecting area of 100 cm^2 and an angular resolution of 0.1 milliradian would appear similar in size to about $3.5\times10^8 \text{ cm}^2$ of a diffuse or Lambertain radiator.

It should be noted that in almost all cases, the retroreflector will be disposed within an environment that produces background radiation in a Lambertain manner. Thus, the radiant intensity of the retroreflector is so much greater than that of a Lambertain radiator that it is easily discernible from the background, even when, (as shown in FIG. 2) a large percentage of the retroreflected radiant flux is lost due to vignetting.

It is herein to be noted that the radiant intensity of the retroreflected beam is dependent upon the characteristics of the optical system employed. If an optical system of the type shown in FIGS. 1, 2, and 4 were possible and there were no loss of energy (power) entering the system, then the radiant intensity gain would be almost infinite since the energy would be retroreflected in an almost perfectly collimated beam, i.e. a retroreflected beam whose divergence angle is

almost zero. However, almost all optical systems resemble that shown in FIG. **3** and the factor which determined the divergence angle of the retroreflected beam is the size of the circle of confusion and more particularly, the least circle of confusion. The size of the least circle of confusion is dependent upon the resolution of the system and in particular upon the resolution of focusing lens. Thus, the less aberrations in the lens, the better the resolution, the smaller the circle of least confusion, the smaller the divergence angle of the retroreflected beam, and thus the greater the optical gain.

Referring to FIG. 5, there is shown a magnified crosssectional view of a human eye denoted generally by the reference numeral 50. The eye includes a cornea 52, an anterior chamber 54, a lens 56, and a retina 58. The retina has a small portion or point 60 thereon termed the yellow spot or macula 15 lutea, which is approximately 2 mm in diameter. At the center of the macula lutea is the fovea centralis **62** whose diameter is approximately 0.25 m. The acuity of vision is greatest at the macula lutea and more particularly at the fovea centralis. Thus, the eye is always rotated so that the image being 20 examined or the rays entering thereon fall on the fovea 62. As seen in FIG. 5, rays 64 and 66 enter the eye and pass through the cornea 52 and the anterior chamber 54 and are refracted by the lens 56 and focused on the fovea centralis portion **62** of the retina **58**. The rays are then reflected, passing through the lens 56, anterior chamber 54 and cornea 52 and emerge therefrom as retroreflected rays 64R and 66R which are parallel to the rays **64** and **66**. Thus, it is seen that even the human eye acts as a retroreflector.

Referring now to FIG. **6**, there is shown an optical system 30 for transmitting and receiving radiant energy, the more particularly a beam splitter for transmitting radiant energy and for receiving or recovering a portion of said radiant energy.

The beam splitter includes an optical bench 70 having an optical system consisting of a lens 72 and a rotating pattern 35 or reticle 74, which may also be a modulator, said system being placed on said bench. The beam splitter also includes a radiant energy source 76, a collimator 78, a thin plate of glass 80 having a semi-reflective coating thereon, a detector **82**. In the operation of the beam splitter, the radiant energy 40 from the source 76 is collimated to form a beam by the collimator 78 and the beam is directed upon the glass plate 80, a portion of the energy in the beam being reflected and a portion of the energy in the beam being transmitted by the glass plate. The energy is then transmitted down the optical 45 bench 70 where the lens refracts the transmitted energy and focuses the beam upon the reticle 74 from whence is is retroreflected back to the glass plate. A portion of the retroreflected energy passes through the glass plate and is lost, and a portion thereof is reflected by the glass plate and detected 50 by means of the detector and the output thereof is then fed to the utilization means 83. The detector 82 is thus effectively positioned within or concentric with the retroreflected energy beam without affecting the transmission of radiant energy from the source to the optical system. The energy 55 obtained by the utilization means can be used to obtain the spectral and temporal characteristics of the retroreflected beam, and may the be compared with the transmitted beam to determine various characteristics of the optical system being investigated. It will be apparent that the use of this test 60 instrument makes possible the investigation and characterization of optical systems in terms of recording the retroreflective characteristics thereof.

The rotating pattern or reticle 74 can be replaced with a reflective surface and a modulator placed on the light inci-65 dent side of the lens 72. The modulator can then be tilted so that none of the light reflected from its surface returns to the

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beam splitter 80 to be reflected to the detector 82. The only light then returning to the detector 82 will be that modulated by the modulator and reflected back from the reflective surface replacing the reticle 74.

FIG. 7 depicts a folded concentric optical system for transmitting and receiving radiant energy—also known as an optical transceiver. The optical transceiver 84 includes a primary mirror 86 having a substantially parabolic shape, a secondary mirror 88 having a planar configuration, a radiant energy source 90, a detector 92 and a utilization means 94. The primary mirror has an aperture 96 concentric with its principal axis and the principal axis of the secondary mirror is aligned so as to be coaxial therewith. The light source and detector are also aligned with the mirrors so that all of the aforesaid elements are concentrically disposed with respect to each other. The light source is positioned adjacent to the nonreflecting surface of the primary mirror while the detector is positioned adjacent to the nonreflecting surface of the secondary mirror.

In the operation of the transceiver 84, rays 98 and 100 are emitted by the radiant energy source 90, and impinge upon the secondary mirror 88, from whence they are reflected and impinge upon the primary mirror 86. The rays are then reflected by the primary mirror and directed towards an optical instrument 102 which exhibits retroreflective characteristics. The incident rays are retroreflected by the optical instrument 102 and are returned as retroreflected rays 98R and 100R. The rays 98R and 100R return in a direction parallel to the rays 98 and 100 and impinge upon the primary mirror 86 and are reflected thereby towards the detector 92 where they are detected, and the detector output signal is then fed to the utilization means 94.

As discussed previously, the term optical instruments exhibiting retroreflective characteristics include the eyes of animals and humans.

A second embodiment of a folded concentric optical transceiver is shown in FIG. 7a, wherein similar parts are denoted by similar reference numerals.

In this embodiment the light source 90A is positioned adjacent to the nonreflecting surface of the secondary mirror 88A and the detector 92A is positioned adjacent to the non-reflecting surface of the primary mirror 86A.

In the operation of the transceiver 84A, rays 104 and 106 are emitted by the radiant energy source 90A, and impinge upon the primary mirror 86A, from whence they are reflected towards the optical instrument 102A. The rays are retroreflected by the optical instrument and are returned as retroreflected rays 104R and 106R. The rays 104R and 106R return in a direction parallel to the rays 104 and 106 and impinge upon the primary mirror and are reflected thereby towards the secondary mirror through the aperture 96A to the detector 92A, and the output signal of the detector is then fed to the utilization means 94A.

A third embodiment of a folded concentric optical transceiver is depicted in FIG. 7b, wherein similar parts are denoted by similar reference numerals.

In this embodiment, the detector 92B is once more positioned adjacent to the nonreflecting surface of the secondary mirror 88B and the radiant energy source 90B is positioned between the reflecting surfaces of the primary mirror 86B and the secondary mirror 88B. There is also included a collector 108, which may be an elliptically shaped mirror for collecting the spurious radiation rays from the source 90B and reflecting back upon the source, wherefrom they are directed upon the secondary mirror and ultimatel directed toward the optical instrument 102B.

In the operation of the transceiver **84**B, energy from the radiant energy source **90**B impinges upon the secondary mir-

ror 88B, and more particularly rays 110 and 112 so impinge. These rays are reflected by the secondary mirror towards the primary mirror, from where they are once more reflected towards the optical instrument 102B. The incident rays 110 and 112 are then retroreflected by the optical instrument and 5 returned as retroreflected rays 110R and 112R. The rays 110R and 112R return in a direction parallel to the rays 110 and 112 and impinge upon the primary mirror and are reflected thereby towards the detector 92B where they are detected and the output thereof is then fed to the utilization 10 means 94B.

It is herein to be noted that although the folded optical transceivers depicted in FIGS. 7, 7a, and 7b have been shown as being concentric, it is also possible to employ the above type of transceivers wherein their optical characteris- 15 tics are not concentric. However, it has been found from the view-point of efficiency and efficacy that the concentric optical transceivers are more desireable.

FIG. 8 is an optical schematic representation of a telescope having an objective lens 116, a reticle 118, a pair of 20 erector lenses 120 and 122, a field lens 124, and an eyelens **126**.

Thus, when rays 128 and 129 are directed towards the objective 20 lens 116, they are focused on the reticle 118 and retroreflected thereby to produce retroreflected rays 128R 25 and 129R respectively, whose direction is opposite and parallel to that of the incident rays 128 and 129. Thus, the combination of the objective lens 116, and the reticle 118 form a retroreflective optical instrument, in and of themselves.

It is herein to be noted that even if the reticle 118 is merely plain glass, as in most cases it is, it still exhibits some degree of reflectivity, which reflectivity gives rise to the retroreflected rays 128R and 129R.

through the telescope to the eye of the observer are also retroreflected by the eye of the observer. Thus, there is in effect, two retroreflective optical systems and thus two retroreflective signals.

FIG. 9 is an optical schematic representation of one half 40 of a binocular and comprises an objective lens 132, a first porro prism 134, a second porro prism 136, a reticle 138, a field lens 140, and an eyelens 142. When a ray such as 144 is incident on the objective lens 132, it is focused thereby on the reticle 138, after passing through the porro prisms 134 45 and 136. It is herein to be noted that although the ray 144 is directed along a path which is not straight; i.e., there are several right angle bends therein, the entire path is still part of the focal path of the instrument. Thus, the ray **144** is focused on the reticle 138, causing the same to be retrore- 50 objects. flected as ray 144R which then goes through a path similar to that of ray 144 and emerges from the objective lens 132 in a direction which is opposite and parallel to that of the incident ray 144. It is to be noted that the description herein above describing a single ray is for purposes of simplicity of 55 explanation.

FIG. 10 is an optical schematic representation of a periscope. The periscope includes a window 146, an objective prism 148, an objective lens 149, an amici prism 150, an erecting prism assembly 152, a reticle 154, a field lens 156, 60 an eyelens 158, and a filter 160. An incident ray 162 enters the periscope through the window 146, then passes through the prism 148, objective lens 149, amici prism 150, and erecting prism assembly 152 to the reticle 154 whereon the incident ray is reflected and emerges from the periscope as 65 retroreflected ray 162R whose direction is opposite and parallel to the incident ray 162. Again it is to be noted that the

description above describing a single ray is merely for the purpose of simplicity of explanation.

FIG. 11 is an optical schematic representation of a camera. The camera includes a lens 164, a shutter 166, and film **168**. In the operation of the camera when a picture is taken the shutter opens and incident rays 170 and 171 are focused on the film 168 through an aperture 172 in the shutter, by means of the lens 164. These rays are then reflected by the film and emerge from the lens as retroreflected rays 170R and **171**R.

It is to be noted that most, if not all, optical systems will have a reflecting surface such as a reticle, a lens, or a prism in the focal plane, and the incident radiation will be retroreflected by any such surface.

Referring now to FIG. 12, there is shown one embodiment of a system for detecting the presence of an optical instrument, for tracking said instrument, and for neutralizing observers utilizing said instrument and/or rendering the instrument ineffective.

The system includes a scanner 180, including an optical searching means 182, such as a source of infrared light, a detector 184, and a laser 186. It is herein to be noted that the search means 182 and the detector 184 may be combined in the form of a transceiver as described hereinbefore in conjunction with FIGS. 7, 7a, and 7b. The scanner 182 is controlled by a scanning and positioning means 188, which includes a servo motor (not shown). The scanning and positioning means 188 is powered by a power and control means 190, which means also supplies power for the scanner 180, and a utilization system **192**.

In the operation of the system, the scanner **180** is caused to scan a preselected area by means of the scanning and positioning means 188, the means 188 being programmed by the utilization system **192**. The optical searching means It is herein also to be noted that incident rays passing 35 emits rays 194 and 195, when these rays impinge upon an optical instrument 196 exhibiting retroreflective characteristics, as hereinbefore described, they are retroreflected as retroreflected rays 194R and 195R respectively, and detected by the detector 184 and the detector output is then fed to the utilization system **192**. The utilization system may be programmed to merely track the instrument 196, in which case, this information would be fed to the scanning and positioning means 188 and thence to the scanner 180 causing it to track said instrument. However, if it is desired to neutralize the observer using the instrument, or to render the instrument ineffective, then the utilization system 192 will feed a signal to the laser 186 activating the same and causing a high intensity laser beam to be directed at the instrument, thereby accomplishing the aforementioned

> It is herein to be noted that although the present system has been described as employing a laser, it is also possible to use any other high energy system, weapon, or weapon system.

> With the present system, it will be readily apparent to those skilled in the art, that a hostile satellite orbiting the earth and employing optical surveillance equipment to monitor a country's activities can be detected and its surveillance capability destroyed.

It is herein again to be noted that the aberrations in almost all optical instruments cause a small divergence of the retroreflected rays, the amount of said divergence being governed by the resolution of the retroreflector. As a practical matter the angular resolution of optical systems such as binoculars, periscopes, telescopes, cameras, and optical systems carried by missiles will be between about 10^{-3} and 10⁻⁵ radians which produce retroreflected beams of 10⁻⁶ to

 10^{-10} steradians. At a range of 1,000 feet the area of these beams would be 1.0 and 10^{-4} ft² respectively. This divergence is so small so that the retroreflected rays are substantially collimated.

It is herein to be noted that in microwave application corner reflectors have been utilized for retroreflecting purposes. However, the present invention enables the detection of microwave apparatus, such as antennas and the like which do not have a corner reflector as an integral part thereof, by utilizing the inherent retroreflection characteristics of the apparatus as hereinbefore discussed. Thus, this apparatus and systems exhibiting the retroreflection phenomenon can be similarly detected by the use of radio frequency, microwave, X-ray, acoustical or any similar types of energy directed thereat.

In many microwave antenna systems microwave lenses are utilized in place of reflectors for the purposes of obtaining wide angle scanning as compared with the system bandwidth. These microwave lenses exhibit characteristics which are equivalent to the optical lenses hereinbefore discussed, 20 and thus a detailed explanation of the retroreflection of microwave and similar types of energy by these lenses, in conjunction with a reflective surface, will be readily apparent to those skilled in the art.

In this connection, FIG. 13 is an illustration of a radar 25 system which is to be detected by means of the retroreflection principles of the present invention. The radar system is generally indicated by the reference numeral 200 and includes a parabolic disk antenna 202 having a feed 204 whose impedance mismatch is lowest at the operating frequency of the radar system 200.

When the radar system **200** is in an off condition, the resonant frequency of the antenna feed **206** can be detected by beaming swept frequency microwave energy at the system such as by utilizing a variable frequency klystron (not 35 shown) or the like.

The pulses produced by the klystron are indicated as **210** in the waveforms shown in FIG. 14. The wave energy 210 is retroreflected by the parabolic disk antenna 202 because the parabola focuses the energy at the feed horn which in turn is 40 mismatched. Hence, the energy reflected from it is recollimated by the parabola similar to the optical system described heretofore. The energy is detected in a suitable manner and produces the waveforms indicated at **212** in FIG. **14**, until such time that the frequency of the klystron is equal 45 to the operating frequency of the feed **206**. When this occurs, the energy beamed to the radar system is focused on the feed horn, absorbed by the feed 206 and is therefore not retroreflected. This results in the waveform indicated as **214** in FIG. [15] 14. The dip or drop in power level indicates absorption 50 of the beamed energy and thus the frequency of the operation of the radar system is now known. By further analysis of the retroreflected waves it is possible to obtain even more information concerning the electrical and mechanical characteristics of the radar system 200, such as the type of 55 antenna system being utilized, its scan angle, its beamwidth, its gain, etc.

It will be apparent to those skilled in the art that if the antenna were a sonar disk and acoustical energy were directed threat, the acoustical energy would be retroreflected 60 and the retroreflected acoustical energy would be capable of detection.

It is thus again reiterated that although only a few types of radiant energy have herein been discussed, any type of energy which can be retroreflected may be employed.

While we have shown and described various embodiments of our invention, there are many modifications,

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changes, and alterations which may be made therein by a person skilled in the art without departing from the spirit and scope thereof as defined in the appended claims.

What is claimed is:

1. The method of detecting an uncooperative optical system including a focusing means and a surface exhibiting some degree of reflectivity disposed substantially in the focal plane of said focusing means, said method comprising

the step of directing optical energy at said optical system whereby that portion of said energy incident upon said optical system is retroreflected with an optical gain to thereby form a beam of retroreflected optical energy, and

the step of detecting said retroreflected optical energy having a radiant flux density in excess of a preselected value to thereby indicate the presence of said optical system.

2. The method of claim 1, including

the step of scanning a predetermined geographical area to detect the presence of an optical system therein.

3. The method of claim 2, including

the step of tracking said optical system after the presence thereof has been detected.

- 4. The method of claim 3, including the step of directing a weapon at the position of said optical system after the detection thereof.
 - 5. The method of claim 1, wherein

the radiant energy directed at said optical system is in the nonvisible region.

6. The method of claim 1, wherein

the radiant energy directed at said optical system is light energy in the nonvisible region.

7. The method of claim 6, wherein

the light energy in the nonvisible region is infrared.

- 8. The method of claim 4, wherein
- said weapon is a laser.

 9. The method of claim 1, wherein

the radiant energy is in the ultraviolet portion of the electromagnetic spectrum.

10. The method of claim 1, wherein

the radiant energy is X-ray energy.

11. The method of claim 1, wherein

the radiant energy comprises high energy particles related to quantum mechanics.

[12. The method of claim 1, wherein

the radiant energy is acoustical energy.

- 13. The method recited in claim 1 wherein said optical system is a telescope.
- 14. The method recited in claim 1 wherein said optical system is a binocular.
- 15. The method recited in claim 1 wherein said optical system is a periscope.
- 16. The method recited in claim 1 wherein said optical system is a human eye.
- 17. Apparatus for detecting the presence of an uncooperative optical system including a focusing means and a surface exhibiting some degree of reflectivity disposed substantially in the focal plane of said focusing means, said apparatus comprising

means for producing radiant energy,

means for directing said energy toward said optical system whereby said energy is retroreflected with an optical gain by said optical system, and

means for detecting said retroreflected energy having a radiant flux density in excess of a preselected value to thereby indicate the presence of said optical system.

- 18. Apparatus in accordance with claim 17 wherein said means for producing radiant energy is a radiant energy source operative in the nonvisible region.
- 19. Apparatus in accordance with claim 17, wherein said means for producing radiant energy is a radiant energy light 5 source.
- 20. Apparatus in accordance with claim 19, wherein said radiant energy light source is an infrared source.
- 21. Apparatus in accordance with claim 17, wherein said means for producing radiant energy, said means for directing said energy toward said optical system, and said means for detecting the energy retroreflected by said optical system, form an optical transceiver.
- 22. Apparatus in accordance with claim 21, wherein said means for producing [rays of] radiant energy,
 - said means for directing said [rays] energy toward said optical [instrument] system, and
 - said means for detecting the [rays] *energy* retroreflected by said optical [instrument] *system* are concentrically disposed with respect to one another.
- 23. Apparatus in accordance with claim 22, wherein said means for producing radiant energy, said means for directing said energy toward said optical system, and said means for detecting said energy retroreflected by said optical system are concentrically disposed with respect to one another.
 - 24. Apparatus in accordance with claim 22, wherein
 - said means for producing radiant energy comprises a radiant energy source
 - said means for directing said energy toward said optical 30 system comprises a primary mirror having a substantially parabolic configuration, and
 - said means for detecting said retroreflected energy comprising

a detector

said primary mirror, and

- a secondary mirror having a substantially planar configuration
- said primary mirror having an aperture concentric with the principal axis thereof,
- said radiant energy source being positioned
 - adjacent the non-reflecting surface of said secondary mirror,
 - in the focal plane of said primary mirror,

said secondary mirror being positioned

adjacent said primary mirror, and

having the reflecting surface of said secondary mirror facing the reflecting surface of said primary mirror, and

said detector

being positioned adjacent the non-reflecting surface of said primary mirror,

being in axial alignment with the aperture thereof, being positioned in the focal plane of said detection 55 means.

- 25. Apparatus in accordance with claim 22, wherein
- said means for producing radiant energy comprises a radiant energy source,
- said means for directing said energy toward said optical 60 system comprises
 - a collecting mirror having a substantially elliptical configuration
 - a primary mirror having a substantially parabolic configuration, and
 - a secondary mirror having a substantially planar configuration,

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said means for detecting said retorreflected energy comprising

a detector, and

said primary mirror,

- said primary mirror having an aperture concentric with the principal axis thereof,
- said secondary mirror being positioned with the reflecting surface thereof facing the reflecting surface of said primary mirror,

said radiant energy source

being positioned between the reflecting surfaces of said primary and secondary mirrors, and

in axial alignment with said mirrors,

- said collecting mirror being positioned adjacent the non-reflecting surface of said primary mirror,
 - in axial alignment with the aperture thereof, and said detector being positioned in the focal plane of said direction means adjacent the non-reflecting surface of said secondary mirror in the focal plane of said primary mirror.
- 26. Apparatus in accordance with claim 21, wherein
- said means for producing incident radiant energy is a radiant energy light source operative in the nonvisible region.
- 27. Apparatus in accordance with claim 23, wherein said radiant energy light source is an infrared source.
- 28. Apparatus in accordance with claim 17, wherein
- said means for directing said incident energy towards said optical system having scanning means operatively associated therewith to cause said [rays] *energy* to scan a predetermined geographical area to detect and locate said optical system.
- 29. Apparatus in accordance with claim 28, including tracking means operatively associated with said scanning

means operatively associated with said scanning means to thereby track the movement of said optical system after detection thereof.

- 30. Apparatus in accordance with claim 28, including weapon means operatively associated with said tracking means for use against said optical system after detection thereof.
- 31. Apparatus in accordance with claim 30, wherein said weapon means is high energy source.
- 32. Apparatus in accordance with claim 31, wherein said high energy source is a laser.
- 33. The apparatus recited in claim 17 wherein said optical system is a telescope.
 - 34. The apparatus recited in claim 17 wherein said optical system is a binocular.
 - 35. The apparatus recited in claim 17 wherein said optical system is a periscope.
 - **36**. The apparatus recited in claim **17** wherein said optical system is a human eye.
- 37. Apparatus for measuring the retroreflective characteristics of an optical system consisting of at least a focusing means and a surface exhibiting some degree of reflectivity disposed substantially in the focal plane of said focusing means, said apparatus comprising

a radiant energy source,

detection means,

measuring means connected to said detection means, and means for directing said radiant energy produced by said source at said optical system,

whereby said radiant energy is retroreflected with an optical gain by said optical system and detected by said

detecting means and the output thereof is coupled to said measuring means.

38. An optical system accordance with claim 37, including means disposed between said radiant energy source and said optical system

for transmitting a portion of the radiant energy produced by said radiant energy source toward said optical system, and

for transmitting a portion of said energy retroreflected by said optical system toward said detecting means.

- 39. An optical system in accordance with claim 38, wherein said directing means and said detecting means are substantially concentric.
- 40. The method of detecting the presence of devices which exhibit the phenomenon of retroreflection, said 15 method comprising

the step of directing radiant energy at said devices whereby said radiant energy is retroreflected with an optical gain by said devices, and

the step of detecting said retroreflected radiant energy 20 which is in excess of a preselected radiant flux density level to thereby indicate the presence of said devices.

- 41. The method of claim 40, including the step of analyzing said retroreflected radiant energy to thereby determine the spatial and temporal characteristics of said devices.
- 42. Apparatus for detecting the presence of devices which exhibit the phenomenon of retroreflection, said apparatus comprising

means for producing radiant energy,

means for directing said energy toward said devices whereby said energy is retroreflected with an optical gain by said devices, and

means for detecting said retroreflected energy which is in excess of a preselected radiant flux density level to thereby indicate the presence of said devices.

43. apparatus for measuring the retroreflective characteristics of devices which exhibit the phenomenon of retroreflection, said apparatus comprising

means for producing radiant energy,

means for directing said energy toward said devices whereby said energy is retroreflected with an optical gain by said devices,

means for detecting said retroreflected energy which is in excess of a preselected radiant flux density level to thereby indicate the presence of said devices, and

means for analyzing said detected energy to thereby determine the characteristics of said devices.

44. The method of detecting an uncooperative and nonradiating microwave antenna system consisting of at least a 50 microwave focusing means and a microwave feed horn disposed substantially at the focal point of said focusing means, said method comprising

the step of directing swept frequency microwave energy at said antenna system whereby substantially all energy at 55 the operating frequency of said antenna system which is impingent thereon is focused by said focusing means and absorbed by said feed horn and energy of any other frequency is retroreflected by said antenna system with an energy density gain to thereby form a beam of retroreflected microwave energy, and

the step of detecting said retroreflected energy having an energy density in excess of a preselected value to thereby indicate the presence of said antenna system.

45. The method recited in claim 44 further including the step of determining the frequency at which the energy density of said retroreflected energy is of a minimum 14

level to thereby determine the operating frequency of said antenna system.

46. The method recited in claim **44** further including

the step of analyzing any temporal characteristics of said energy retroreflected by said antenna system.

47. The method recited in claim 44 further including

the step of analyzing any spatial characteristics of said beam of energy retroreflected by said antenna system.

48. A method of detecting characteristics or properties of an optical system based on a retroreflected beam, comprising:

transmitting radiant energy at an optical system having retroreflective characteristics;

receiving reflected radiant energy after retroreflection of the radiant energy;

detecting the reflected radiant energy after retroreflection to determine at least one characteristic or property of the optical system.

49. The method of claim 48, wherein the at least one characteristic or property is determined based on temporal properties of the reflected radiant energy.

50. The method of claim 48, wherein the at least one characteristic or property is determined based on spectral prop-25 erties of the reflected radiant energy.

51. The method of claim 48, wherein one of the at least one property or characteristic is a relative position of the retroreflective portion of the optical system.

52. The method of claim 48, further comprising redirect-30 ing the reflected radiant energy using a beam splitter.

53. The method of claim 52, wherein the beam splitter passes the radiant energy toward the retroreflective portion of the optical system.

54. The method of claim 48, further comprising:

comparing the reflected radiant energy with the transmitted radiant energy as part of the detecting.

55. The method of claim 48, wherein the transmitting includes directing the radiant energy to scan a preselected area.

56. The method of claim 48, wherein the transmitting and receiving are performed by portions of a concentric optical system.

57. The method of claim 48, wherein the radiant energy is generated by a laser.

58. The method of claim 48, wherein the reflected radiant energy has an optical gain.

59. The method of claim 48, wherein the at least one property or characteristic includes at least one property or characteristic of a reticle within the optical system.

60. The method of claim 48, wherein the optical system includes a retroreflector having a lens positioned in front of a surface having at least some reflectivity.

61. An apparatus for detecting characteristics or properties of an optical system based on a retroreflected beam, comprising:

a source of radiant energy;

a detector; and

an optical system that directs radiant energy from the source toward a retroreflective surface and returns reflected radiant energy to the detector;

wherein the detector determines at least one characteristic or property of the optical system.

62. The apparatus of claim 61, wherein the optical system 65 further comprises a beam splitter that directs the radiant energy to the detector and redirects the reflected radiant energy to the detector.

- 63. The apparatus of claim 61, further comprising a collimator that collimates the radiant energy from the source.
- 64. The apparatus of claim 61, wherein the at least one characteristic or property is determined based on at least one temporal property of the reflected radiant energy.
- 65. The apparatus of claim 61, wherein the at least one characteristic or property is determined based on at least one spectral characteristic of the optical system.
- 66. The apparatus of claim 61, wherein the detector compares the reflected radiant energy with the transmitted radiant energy as part of the detecting.
- 67. The apparatus of claim 61, wherein the at least one characteristic or property includes a relative position of the retroreflective portion of the optical system.

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- 68. The apparatus of claim 61, wherein the source of radiant energy is a laser.
- 69. The apparatus of claim 61, wherein the reflected radiant energy has an optical gain.
- 70. The apparatus of claim 61, wherein the at least one characteristic or property includes at least one characteristic or property associated with a reticle within the optical system.
- 71. The apparatus of claim 61, wherein the optical system includes a retroreflector having a lens positioned in front of a surface having at least some reflectivity.

* * * *



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(12) EX PARTE REEXAMINATION CERTIFICATE (11107th)

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(54) OPTICAL DETECTION SYSTEM

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(56) References Cited

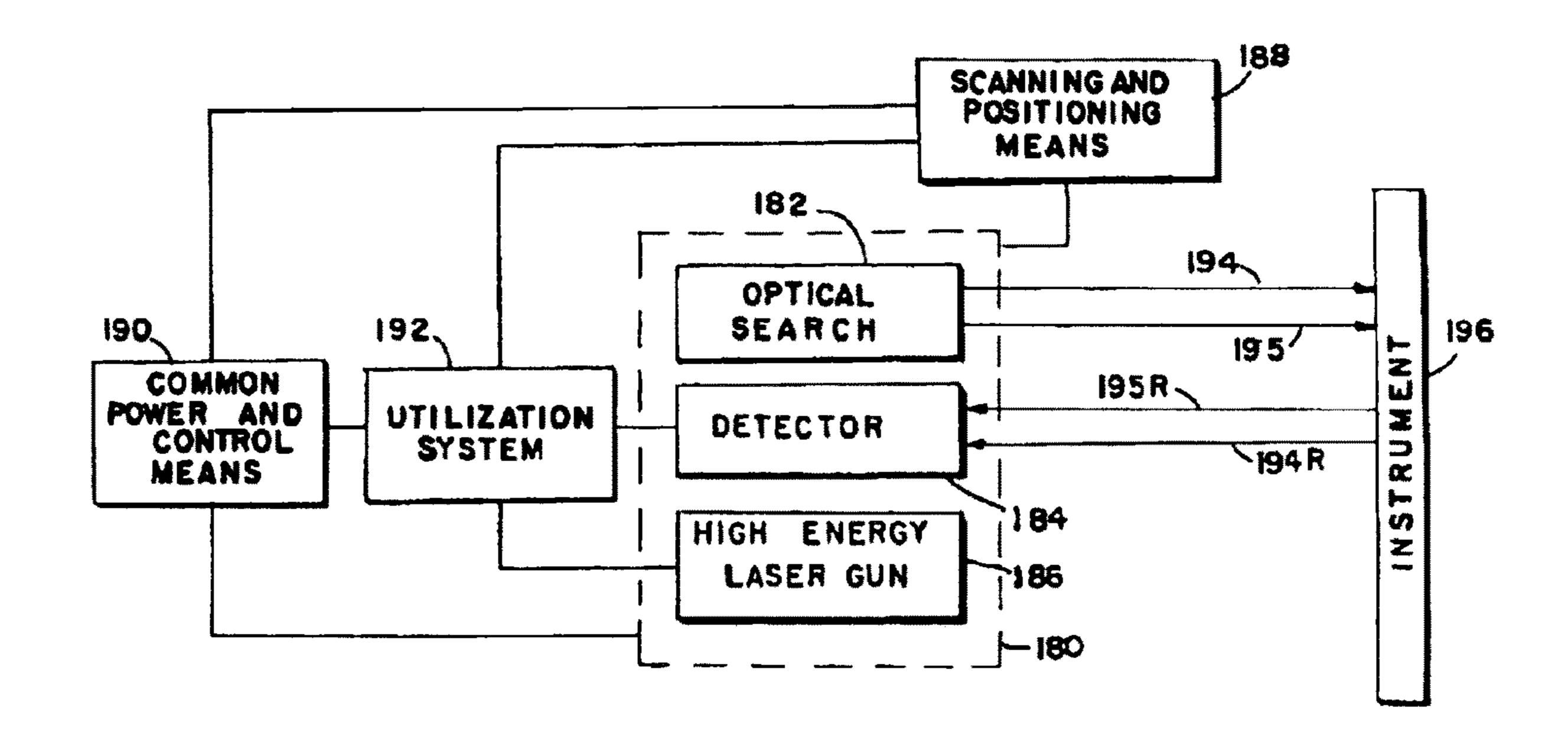
To view the complete listing of prior art documents cited during the proceeding for Reexamination Control Number 90/013,766, please refer to the USPTO's public Patent Application Information Retrieval (PAIR) system under the Display References tab.

See application file for complete search history.

Primary Examiner — Christina Y Leung

(57) ABSTRACT

The present invention pertains to radiant energy systems and more particularly to systems exhibiting the retroreflection principle wherein the system comprises a focusing means and a surface exhibiting some degree of reflectivity positioned near the focal plane of the device, and wherein incident radiation falling within the field-of-view of said system is reflected back in a direction which is parallel to the incident radiation. The present invention has great applicability in military optical system applications for detecting the presence of an enemy employing surveillance equipment and for neutralizing this surveillance capability.



EX PARTE REEXAMINATION CERTIFICATE

THE PATENT IS HEREBY AMENDED AS INDICATED BELOW.

Matter enclosed in heavy brackets [] appeared in the patent, but was deleted by the reissue patent; matter printed in italics was added by the reissue patent. Matter enclosed in heavy double brackets [[]] appeared in the reissue patent but is deleted by this reexamination certificate; matter printed in boldface is added by this reexamination certificate.

AS A RESULT OF REEXAMINATION, IT HAS BEEN DETERMINED THAT:

Claim 12 was previously cancelled.

Claims 23, 37-39, 48, 49, 51-53, 55, 57, 58, 60, 61, 63, 64, 20 67-69 and 71 are cancelled.

Claims 40, 42, 43, 50, 54, 56, 59, 62, 65, 66 and 70 are determined to be patentable as amended.

Claim 41, dependent on an amended claim, is determined to be patentable.

New claims 72-95 are added and determined to be patentable.

Claims 1-11, 13-22, 24-36 and 44-47 were not reexamined.

- 40. [The] A method of detecting the presence of devices which exhibit the phenomenon of retroreflection, said method comprising [the step of]:
 - directing radiant energy at said devices, whereby said radiant energy is retroreflected with an optical gain by 35 said devices, [[and the step of]] wherein the optical gain represents an increase in radiant flux density of the retroreflected radiant energy;
 - detecting said retroreflected radiant energy which is in excess of a preselected radiant flux density level to 40 thereby indicate the presence of said devices;
 - determining a change in the reflected radiant energy caused by the increase in radiant flux density resulting independently of any corresponding change of intensity of the directed radiant energy incident on 45 the devices; and
 - determining at least one characteristic of the devices from the determined change in the retroreflected radiant energy.
- **42**. **[**[Apparatus]] **An apparatus** for detecting the presence of devices which exhibit the phenomenon of retroreflection, said apparatus comprising:

means for producing radiant energy,

- means for directing said radiant energy toward said devices, whereby said energy is retroreflected with an 55 optical gain by said devices, [[and]] wherein the optical gain represents an increase in radiant flux density of the retroreflected radiant energy;
- means for detecting said retroreflected **radiant** energy which is in excess of a preselected radiant flux density 60 level to thereby indicate the presence of said devices; and
- a utilization system configured to determine a change in the retroreflected radiant energy caused by the increase in radiant flux density resulting indepen- 65 dently of any corresponding change of intensity of the directed radiant energy incident on the devices

and that determines at least one characteristic of the devices from the determined change in the retroreflected radiant energy.

43. An apparatus for measuring the retroreflective characteristics of devices which exhibit the phenomenon of retroreflection, said apparatus comprising:

means for producing radiant energy,

- means for directing said radiant energy toward said devices, whereby said energy is retroreflected with an optical gain by said devices, wherein the optical gain represents an increase in radiant flux density of the retroreflected radiant energy;
- means for detecting said retroreflected **radiant** energy which is in excess of a preselected radiant flux density level to thereby indicate the presence of said devices [[,]]; and [[means for analyzing]]
- a utilization system configured to analyze said detected energy to thereby determine a change in the retroreflected radiant energy caused by the increase in radiant flux density resulting independently of any corresponding change of intensity of the directed radiant energy incident on the devices and to determine the characteristics of said devices from the determined change in the retroreflected radiant energy.

50. [[The method of claim 48]] A method of detecting characteristics or properties of an optical system based on a retroreflected beam, comprising:

- transmitting radiant energy at an optical system having retroreflective characteristics, wherein the optical system includes a lens and an object that includes a surface exhibiting some degree of reflectivity disposed substantially in a focal plane of the lens;
- receiving reflected radiant energy with an optical gain after retroreflection of the radiant energy by the optical system, wherein the optical gain represents an increase in radiant flux density of the reflected radiant energy;
- detecting the reflected radiant energy received after retroreflection; and
- determining a change in the reflected radiant energy caused by the increase in radiant flux density and at least one characteristic or property of the optical system from the determined change in the reflected radiant energy, wherein the at least one characteristic or property is determined based on spectral properties of the reflected radiant energy.
- 54. [[The method of claim 48, further comprising:]] A method of detecting characteristics or properties of an optical system based on a retroreflected beam, comprising:
 - transmitting radiant energy at an optical system having retroreflective characteristics, wherein the optical system includes a lens and an object that includes a surface exhibiting some degree of reflectivity disposed substantially in a focal plane of the lens;
 - receiving reflected radiant energy with an optical gain after retroreflection of the radiant energy by the optical system, wherein the optical gain represents an increase in radiant flux density of the reflected radiant energy;
 - detecting the reflected radiant energy received after retroreflection; and
 - determining a change in the reflected radiant energy caused by the increase in radiant flux density and at least one characteristic or property of the optical

system from the determined change in the reflected radiant energy, including comparing the reflected radiant energy with the transmitted radiant energy [[as part of the detecting]].

56. The method of claim [[48]] 72, wherein the trans- 5 mitting and receiving are performed by portions of a concentric optical system.

59. [[The method of claim 48]] A method of detecting characteristics or properties of an optical system based on a retroreflected beam, comprising:

transmitting radiant energy at an optical system having retroreflective characteristics, wherein the optical system includes a lens and an object that includes a surface exhibiting some degree of reflectivity disposed substantially in a focal plane of the 15 lens;

receiving reflected radiant energy with an optical gain after retroreflection of the radiant energy by the optical system, wherein the optical gain represents an increase in radiant flux density of the reflected 20 radiant energy;

detecting the reflected radiant energy received after retroreflection; and

caused by the increase in radiant flux density and at least one characteristic or property of the optical system from the determined change in the reflected radiant energy, wherein the at least one property or characteristic includes at least one property or characteristic includes at least one property or characteristic of a reticle within the optical system.

62. The apparatus of claim **[[61]]84**, wherein the optical system further comprises a beam splitter that directs the radiant energy to the detector and redirects the reflected radiant energy to the detector.

65. [[The apparatus of claim 61]] An apparatus for 35 detecting characteristics or properties of an optical system based on a retroreflected beam, comprising:

- a source of radiant energy;
- a detector;
- a utilization system; and

an optical system having a lens that directs radiant energy from the source toward an object that includes a reflective surface disposed substantially in a focal plane of the lens, the optical system returning reflected radiant energy with an optical 45 gain after retroreflection to the detector, wherein the optical gain represents an increase in radiant flux density of the reflected radiant energy;

wherein the detector detects the reflected radiant energy returning from the optical system after retroreflection and provides the detected reflected radiant energy to the utilization system to determine a change in the reflected radiant energy caused by the increase in radiant flux density and at least one characteristic or property of the optical system from the determined change in the reflected radiant energy, wherein the at least one characteristic or property is determined based on at least one spectral characteristic of the optical system.

66. [[The apparatus of claim 61]] An apparatus for 60 detecting characteristics or properties of an optical system based on a retroreflected beam, comprising:

- a source of radiant energy;
- a detector;
- a utilization system; and

an optical system having a lens that directs radiant energy from the source toward an object that

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includes a reflective surface disposed substantially in a focal plane of the lens, the optical system returning reflected radiant energy with an optical gain after retroreflection to the detector, wherein the optical gain represents an increase in radiant flux density of the reflected radiant energy;

wherein the detector detects the reflected radiant energy returning from the optical system after retroreflection and provides the detected reflected radiant energy to the utilization system to determine a change in the reflected radiant energy caused by the increase in radiant flux density and at least one characteristic or property of the optical system from the determined change in the reflected radiant energy, wherein the detector compares the reflected radiant energy with the transmitted radiant energy as part of the detecting.

70. [[The apparatus of claim 61]] An apparatus for detecting characteristics or properties of an optical system based on a retroreflected beam, comprising:

- a source of radiant energy;
- a detector;
- a utilization system; and

an optical system having a lens that directs radiant energy from the source toward an object that includes a reflective surface disposed substantially in a focal plane of the lens, the optical system returning reflected radiant energy with an optical gain after retroreflection to the detector, wherein the optical gain represents an increase in radiant flux density of the reflected radiant energy;

wherein the detector detects the reflected radiant energy returning from the optical system after retroreflection and provides the detected reflected radiant energy to the utilization system to determine a change in the reflected radiant energy caused by the increase in radiant flux density and at least one characteristic or property of the optical system from the determined change in the reflected radiant energy, wherein the at least one characteristic or property includes at least one characteristic or property associated with a reticle within the optical system.

72. A method of detecting characteristics or properties of an optical system based on a retroreflected beam, comprising:

transmitting radiant energy at an optical system having retroreflective characteristics, wherein the optical system includes a lens and an object that includes a surface exhibiting some degree of reflectivity disposed substantially in a focal plane of the lens;

receiving reflected radiant energy with an optical gain after retroreflection of the radiant energy by the optical system, wherein the optical gain represents an increase in radiant flux density of the reflected radiant energy;

detecting the reflected radiant energy received after retroreflection; and

determining a change in the reflected radiant energy caused by the increase in radiant flux density resulting independently of any corresponding change of intensity of the transmitted radiant energy incident on the optical system; and

determining at least one characteristic or property of the optical system from the determined change in the reflected radiant energy. 74. The method of claim 72 comprising tracking the object based on the determined at least one characteristic or property of the optical system.

75. The method of claim 74 wherein tracking the object includes controlling a servo motor.

76. The method of claim 72 comprising directing automatically a laser beam based on the determined at least one characteristic or property of the optical system.

77. A method of detecting characteristics or properties of an optical system based on a retroreflected beam, comprising:

transmitting radiant energy at an optical system having retroreflective characteristics, wherein the optical system includes a lens and an object that includes a surface exhibiting some degree of reflectivity disposed substantially in a focal plane of the 20 lens;

receiving reflected radiant energy with an optical gain after retroreflection of the radiant energy by the optical system, wherein the optical gain represents an increase in radiant flux density of the reflected 25 radiant energy;

detecting the reflected radiant energy received after retroreflection;

determining a change in the reflected radiant energy caused by the increase in radiant flux density and at least one characteristic or property of the optical system from the determined change in the reflected radiant energy; and

directing automatically a laser beam based on the determined at least one characteristic or property of the optical system, wherein the laser has a higher intensity than the radiant energy.

78. A method of detecting characteristics or properties of an optical system based on a retroreflected beam, 40 comprising:

transmitting radiant energy at an optical system having retroreflective characteristics, wherein the optical system includes a lens and an object that includes a surface exhibiting some degree of reflectivity disposed substantially in a focal plane of the lens;

receiving reflected radiant energy with an optical gain after retroreflection of the radiant energy by the optical system, wherein the optical gain represents 50 an increase in radiant flux density of the reflected radiant energy;

detecting the reflected radiant energy received after retroreflection;

determining a change in the reflected radiant energy 55 caused by the increase in radiant flux density and at least one characteristic or property of the optical system from the determined change in the reflected radiant energy;

directing automatically a laser beam based on the 60 determined at least one characteristic or property of the optical system; and

causing the laser beam to alter the object.

79. The method of claim 72, wherein the detected change in the reflected radiant energy caused by the 65 increase in radiant flux density is a change in detectable radiant flux.

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80. The method of claim 72, wherein one of the at least one property or characteristic is a relative position of the object within the optical system.

81. The method of claim 72, further comprising redirecting the reflected radiant energy using a beam splitter.

82. The method of claim 72, wherein the transmitted radiant energy is generated by a laser.

83. The method of claim 82, comprising directing automatically a laser beam based on the determined at least one characteristic or property of the optical system, wherein the laser beam has a higher intensity than the radiant energy generated by the laser.

84. An apparatus for detecting characteristics or properties of an optical system based on a retroreflected beam, comprising:

a source of radiant energy;

a detector;

a utilization system; and

an optical system having a lens that directs radiant energy from the source toward an object that includes a reflective surface disposed substantially in a focal plane of the lens, the optical system returning reflected radiant energy with an optical gain after retroreflection to the detector, wherein the optical gain represents an increase in radiant flux density of the reflected radiant energy;

wherein the detector is configured to detect the reflected radiant energy returning from the optical system after retroreflection and to provide the detected reflected radiant energy to the utilization system and the utilization system is configured to determine a change in the reflected radiant energy caused by the increase in radiant flux density resulting independently of any corresponding change of intensity of the transmitted radiant energy incident on the optical system and to determine at least one characteristic or property of the optical system from the determined change in the reflected radiant energy.

85. The apparatus of claim 84, comprising a scanner and a scanning and positioning means for controlling the scanner to scan an area with the radiant energy.

86. The apparatus of claim 84 comprising a scanner and a scanning and positioning means that tracks the object under the control of the utilization system based on the determined at least one characteristic or property of the optical system.

87. The apparatus of claim 86 wherein the scanning and positioning means controls a servo motor to track the object.

88. The apparatus of claim 84 comprising a laser, wherein the utilization system directs a laser beam from the laser based on the determined at least one characteristic or property of the optical system.

89. An apparatus for detecting characteristics or properties of an optical system based on a retroreflected beam, comprising:

a source of radiant energy;

a detector;

a utilization system;

a laser; and

an optical system having a lens that directs radiant energy from the source toward an object that includes a reflective surface disposed substantially in a focal plane of the lens, the optical system returning reflected radiant energy with an optical gain after retroreflection to the detector, wherein the

optical gain represents an increase in radiant flux density of the reflected radiant energy;

wherein the detector detects the reflected radiant energy returning from the optical system after retroreflection and provides the detected reflected 5 radiant energy to the utilization system to determine a change in the reflected radiant energy caused by the increase in radiant flux density and at least one characteristic or property of the optical system from the determined change in the reflected radiant 10 energy

wherein the utilization system directs a laser beam from the laser based on the determined at least one characteristic or property of the optical system, the laser beam having a higher intensity than the radi- 15 ant energy.

90. An apparatus for detecting characteristics or properties of an optical system based on a retroreflected beam, comprising:

a source of radiant energy;

a detector;

a utilization system;

a laser; and

an optical system having a lens that directs radiant energy from the source toward an object that 25 includes a reflective surface disposed substantially in a focal plane of the lens, the optical system returning reflected radiant energy with an optical gain after retroreflection to the detector, wherein the optical gain represents an increase in radiant flux density of the reflected radiant energy;

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wherein the detector detects the reflected radiant energy returning from the optical system after retroreflection and provides the detected reflected radiant energy to the utilization system to determine a change in the reflected radiant energy caused by the increase in radiant flux density and at least one characteristic or property of the optical system from the determined change in the reflected radiant energy

wherein the utilization system directs a laser beam from the laser based on the determined at least one characteristic or property of the optical system and causes the laser beam to alter the object.

91. The apparatus of claim 84, wherein the change in the reflected radiant energy caused by the increase in radiant flux density is caused by a change in detectable radiant flux.

92. The apparatus of claim 84, wherein one of the at least one property or characteristic is a relative position of the object within the optical system.

93. The apparatus of claim 84, comprising a beam splitter that redirects the reflected radiant energy.

94. The apparatus of claim 84, wherein the source of radiant energy is a laser.

95. The apparatus of claim 94, wherein the utilization system directs a laser beam based on the determined at least one characteristic or property of the optical system, wherein the laser beam has a higher intensity than the radiant energy generated by the laser.

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(12) INTER PARTES REVIEW CERTIFICATE (492nd)

United States Patent Wild et al.

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(54) OPTICAL DETECTION SYSTEM

(75) Inventors: Norman R. Wild; Paul M. Leavy, Jr.

(73) Assignee: OPTICAL DEVICES, LLC

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The results of IPR2014-01443 are reflected in this interpartes review certificate under 35 U.S.C. 318(b).

INTER PARTES REVIEW CERTIFICATE U.S. Patent RE40,927 K1 Trial No. IPR2014-01443 Certificate Issued Feb. 9, 2018

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AS A RESULT OF THE INTER PARTES REVIEW PROCEEDING, IT HAS BEEN DETERMINED THAT:

Claims 37, 38, 48, 49, 51-53, 55, 57, 58, 60, 61, 63, 64, 67-69 and 71 are cancelled.

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