

[54] **METHOD AND APPARATUS FOR DETECTING OPTICAL PULSES**

2089999 6/1982 United Kingdom .

OTHER PUBLICATIONS

[75] Inventors: **James E. Corenman**, Oakland; **Robert T. Stone**, Sunnyvale; **Andras Boross**, Fremont; **Deborah A. Briggs**, San Ramon; **David E. Goodman**, San Francisco, all of Calif.

Goodlin et al., "Systolic Time Intervals in the Fetus and Neonate *Obstetrics and Gynecology*", vol. 34, p. 295 (Feb. 1972).

Goodlin, *Care For The Fetus*, p. 101 (Masson, 1979).

Schotz et al., "The Ear Oximeter as a Circulating Monitor," *Anesthesiology*, vol. 19, p. 386 (1958).

Cohen et al., "Self-Balancing System for Medical and Physiological Instrumentation," *IEEE Trans. Bio-Med. Eng.*, vol. BME-18, p. 66, (1971).

Goodlin, "Interpartum Fetal Heart Rate Responses and Plethysmographic Pulse", *Amer. J. Obstet. Gynec.*, vol. 110, p. 210 (1971).

[73] Assignee: **Nellcor Incorporated**, Pleasanton, Calif.

[21] Appl. No.: **840,437**

[22] Filed: **Feb. 24, 1992**

Related U.S. Patent Documents

Reissue of:

[64] Patent No.: **4,911,167**
 Issued: **Mar. 27, 1990**
 Appl. No.: **175,152**
 Filed: **Mar. 30, 1988**

U.S. Applications:

[63] Continuation-in-part of Ser. No. 742,720, Jun. 7, 1985, Pat. No. 4,802,486, which is a continuation of Ser. No. 718,525, Apr. 1, 1985, abandoned.

[51] Int. Cl.⁶ **A61B 5/00**

[52] U.S. Cl. **128/633; 128/665; 356/41**

[58] Field of Search 128/632-633, 128/637, 667-668, 670, 671, 687-690, 696, 700, 706, 708

[56] **References Cited**

U.S. PATENT DOCUMENTS

Re. 27,042 1/1971 Jorgensen et al. 127/700
 2,827,040 3/1958 Gilford .
 2,933,081 4/1960 Passannante .

(List continued on next page.)

FOREIGN PATENT DOCUMENTS

102816 3/1984 European Pat. Off. .
 104722 4/1984 European Pat. Off. .
 104771 4/1984 European Pat. Off. .
 0104771 4/1984 European Pat. Off. .

Primary Examiner—Lee S. Cohen

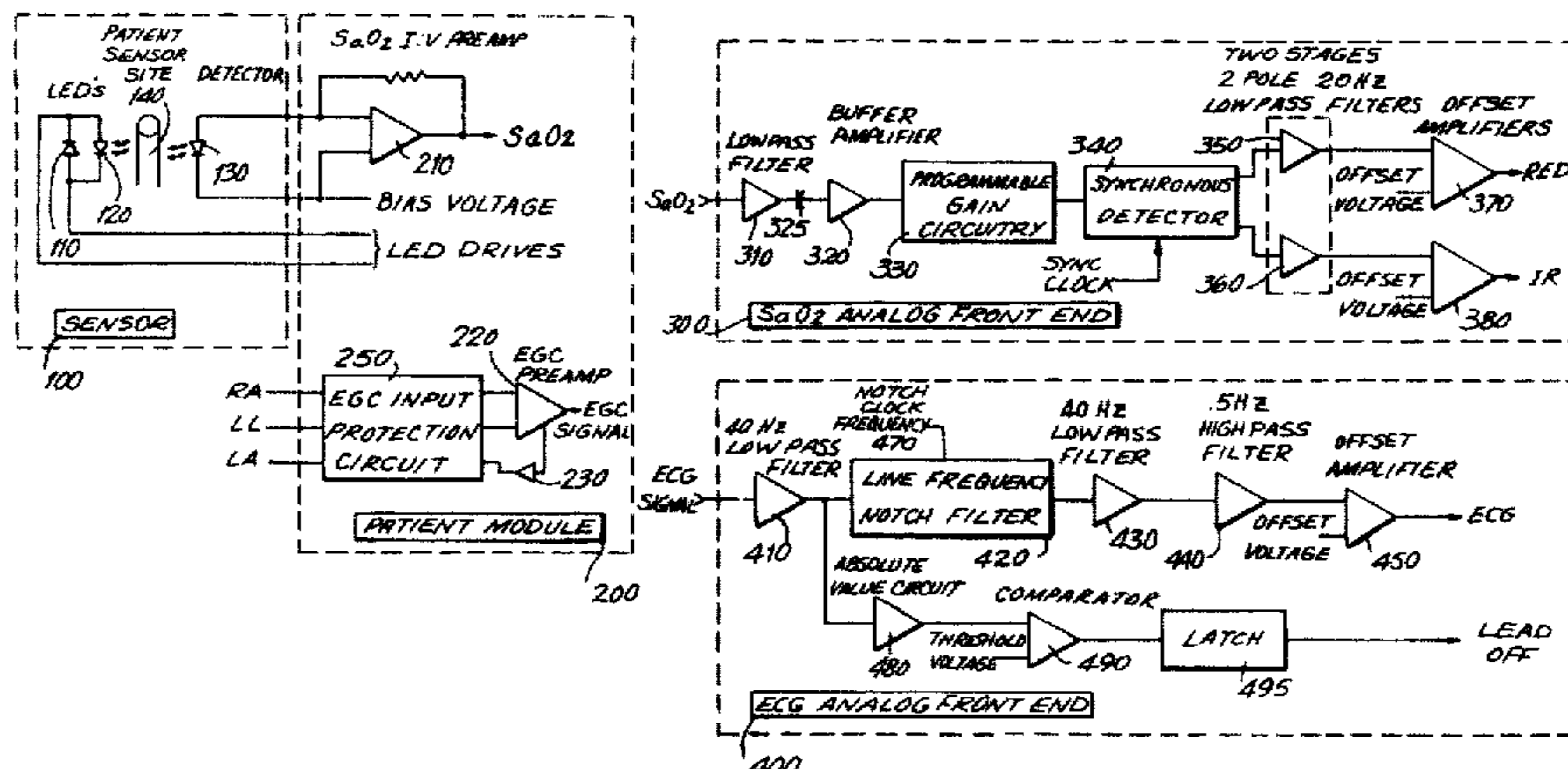
Assistant Examiner—Robert L. Nasser, Jr.

Attorney, Agent, or Firm—Townsend and Townsend and Crew

[57] **ABSTRACT**

A method and apparatus for improving the calculation of oxygen saturation and other blood constituents by non-invasive pulse oximeters. The method and apparatus permit more accurate determination of blood flow by collecting time-measures of the absorption signal at two or more wavelengths and processing the collected time-measure to obtain composite pulsatile flow data from which artifacts have been filtered. The processing may occur in the time domain or in the frequency domain. In the preferred time domain embodiment, successive portions of periodic information are weighted and added together in synchrony to obtain the composite pulse information. In the preferred frequency domain embodiment, the time-measure is Fourier transformed into its spectral components to form the composite information. A new method and apparatus for correlating the heartbeat and optical pulse is provided whereby a product of the ECG R-wave and optical pulse signals corresponding to the same heartbeat is obtained, and one signal is time shifted relative to the other until a maximum waveform product corresponding to the heartbeat is determined.

34 Claims, 22 Drawing Sheets



U.S. PATENT DOCUMENTS			
3,318,303	5/1967	Hammacher	128/687 X
3,412,729	11/1968	Smith, Jr. .	
3,520,295	7/1970	Kelly .	
3,590,811	7/1971	Harris .	
3,608,545	9/1971	Novack et al.	128/700
3,618,592	11/1971	Stewart .	
3,651,806	3/1972	Hirshberg .	
3,658,060	4/1972	Eklof .	
3,704,706	12/1972	Herczfeld et al. .	
3,734,086	5/1973	Phelps, Sr. .	
3,742,938	7/1973	Stern	128/687
3,773,033	11/1973	Rodbard et al. .	
3,948,248	4/1976	Zuckerman et al. .	
3,994,284	11/1976	Voelker .	
3,994,285	11/1976	Doll .	
3,998,550	12/1976	Konishi et al.	356/39
4,000,461	12/1976	Barber et al. .	
4,023,563	5/1977	Reynolds et al. .	
4,023,564	5/1977	Valiquette et al. .	
4,030,485	6/1977	Warner .	
4,036,211	7/1977	Veth et al. .	
4,053,911	10/1977	Hudspeth et al.	364/415
4,063,551	12/1977	Sweeney .	
4,086,915	5/1978	Kofsky	128/22
4,154,230	5/1979	Lee	128/661
4,181,134	1/1980	Mason et al.	128/689
4,216,779	8/1980	Squires et al.	128/680
4,266,554	5/1981	Hamaguri	128/633
4,325,384	4/1982	Blaser et al.	128/696
4,353,998	12/1982	Sawa .	
4,402,325	6/1983	Sawa .	
4,406,658	9/1983	Lattin et al.	128/802 X
4,407,290	10/1983	Wilber	128/633
4,422,458	12/1983	Kravath	128/671
4,425,921	1/1984	Fujisaki et al.	128/700
4,425,922	1/1984	Conti et al.	128/691
4,440,176	4/1984	Miodownik	128/708
4,446,868	5/1984	Aronson	128/708
4,446,871	5/1984	Imura .	
4,450,838	5/1984	Miodownik	128/204.23
4,545,387	10/1985	Balione .	
4,573,478	3/1986	Arnold et al. .	
4,577,639	3/1986	Simon et al. .	
4,586,513	6/1986	Hamaguri .	
4,589,420	5/1986	Adams et al. .	
4,617,937	10/1986	Peel et al. .	
4,653,498	3/1987	New, Jr. et al.	128/633
4,802,486	2/1989	Goodman et al.	128/666 X
4,824,242	4/1989	Frick et al. .	

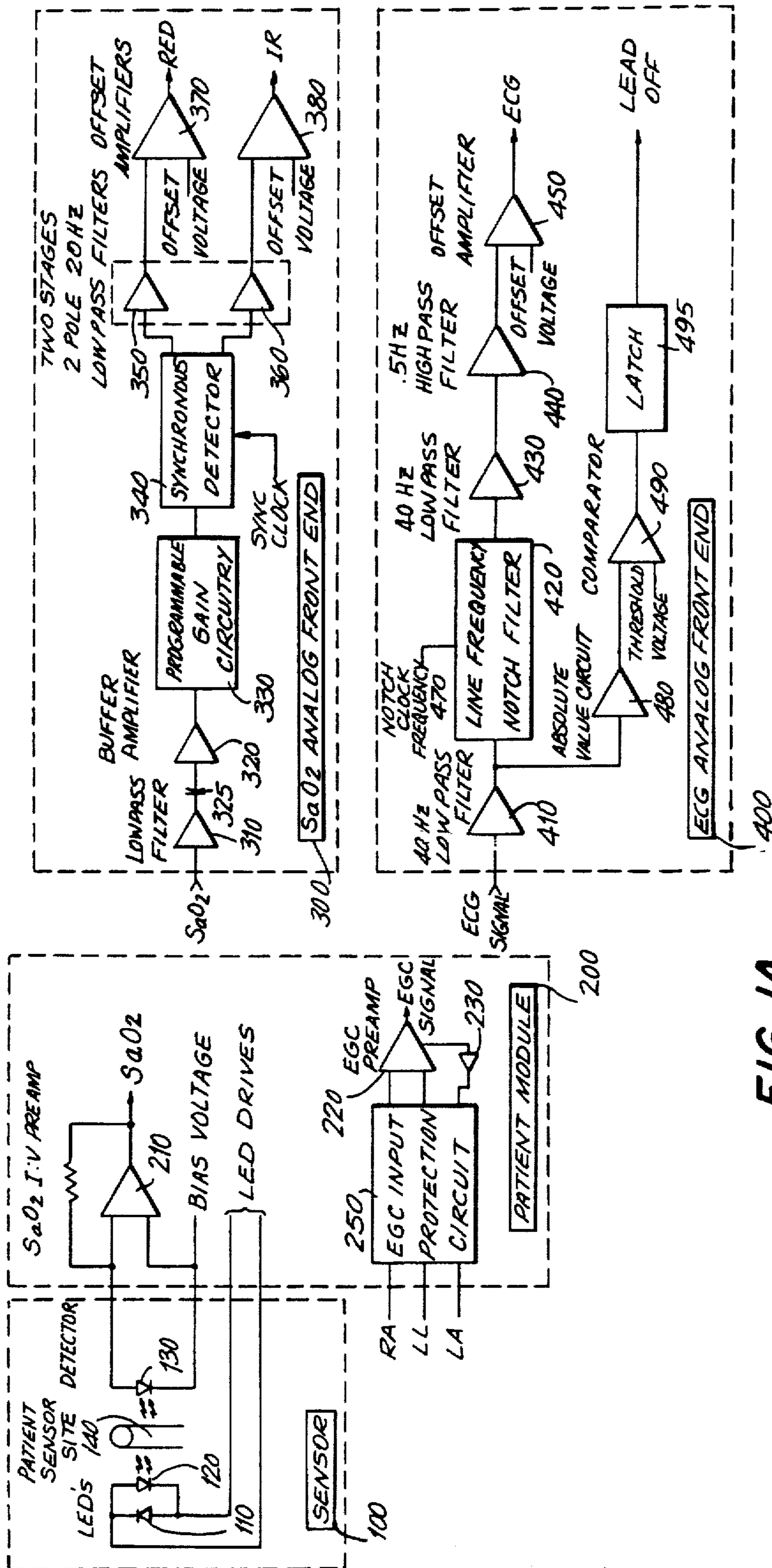


FIG. 1A

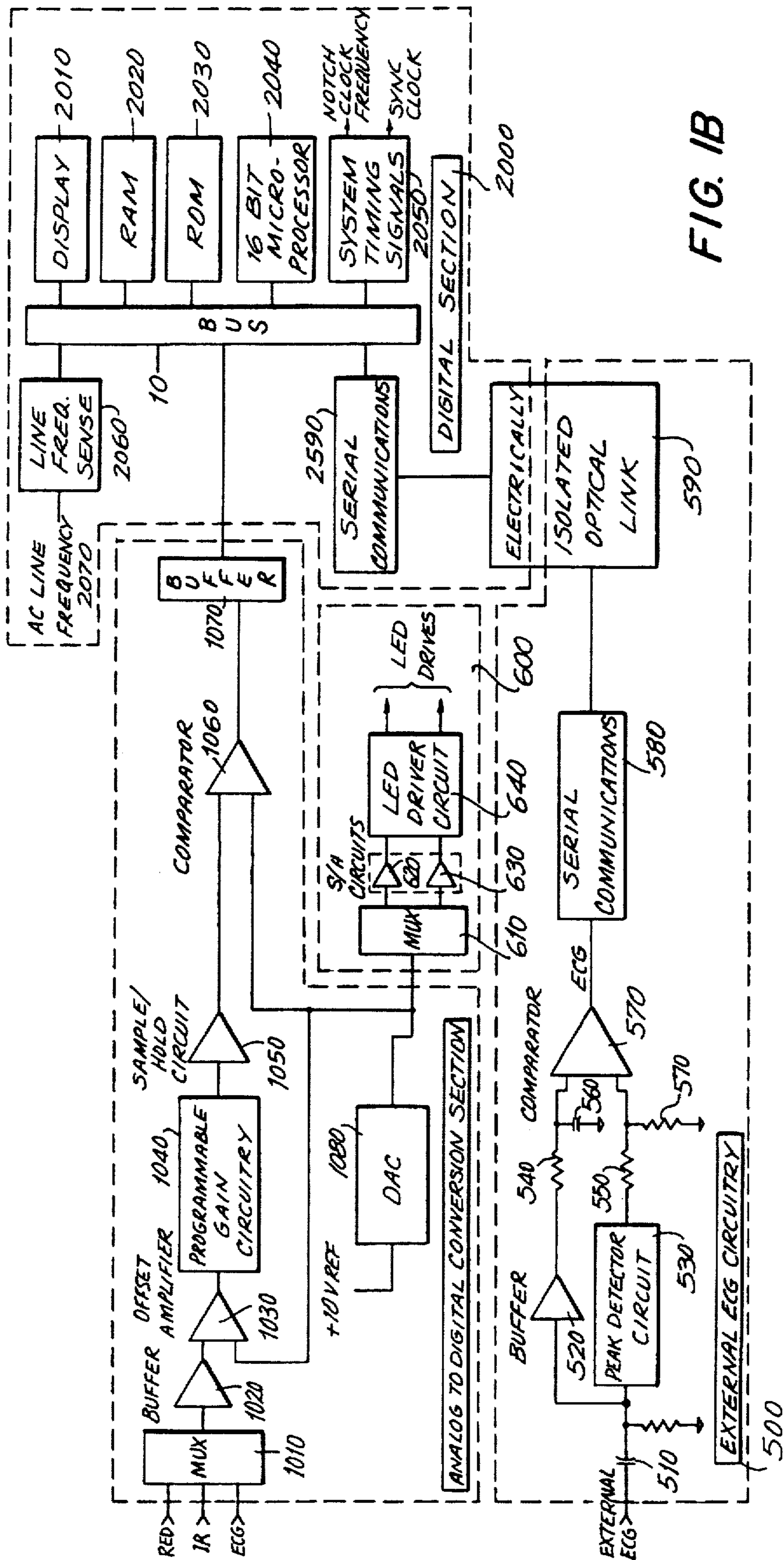
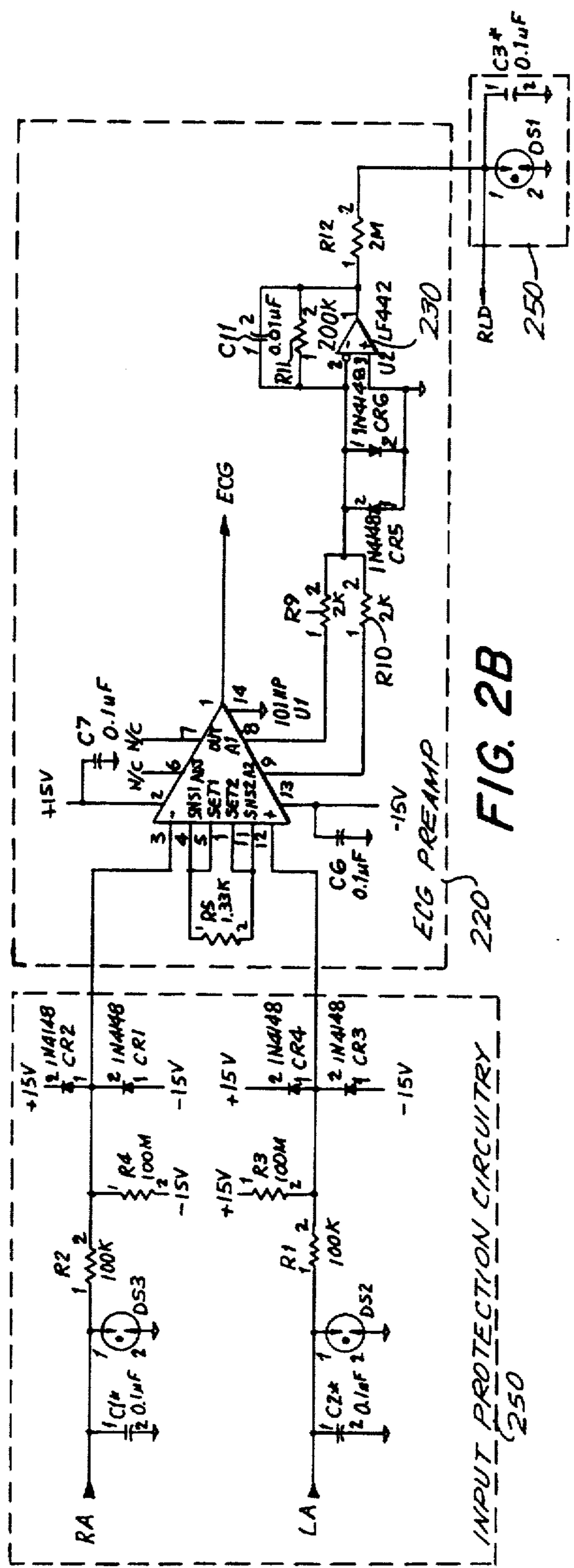
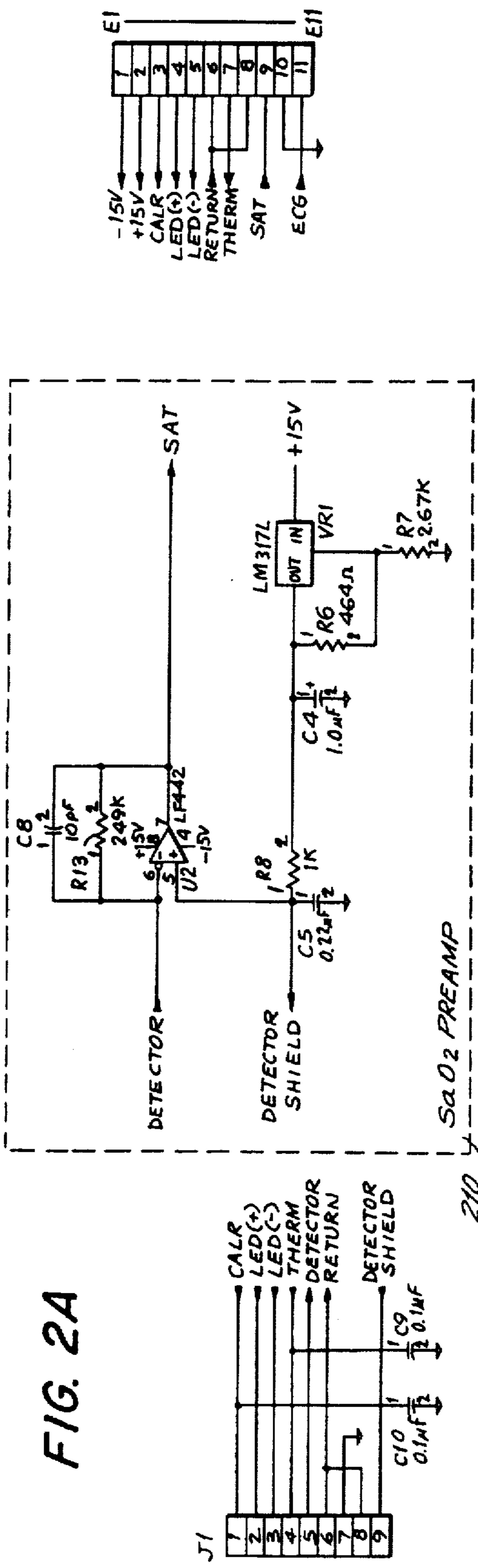
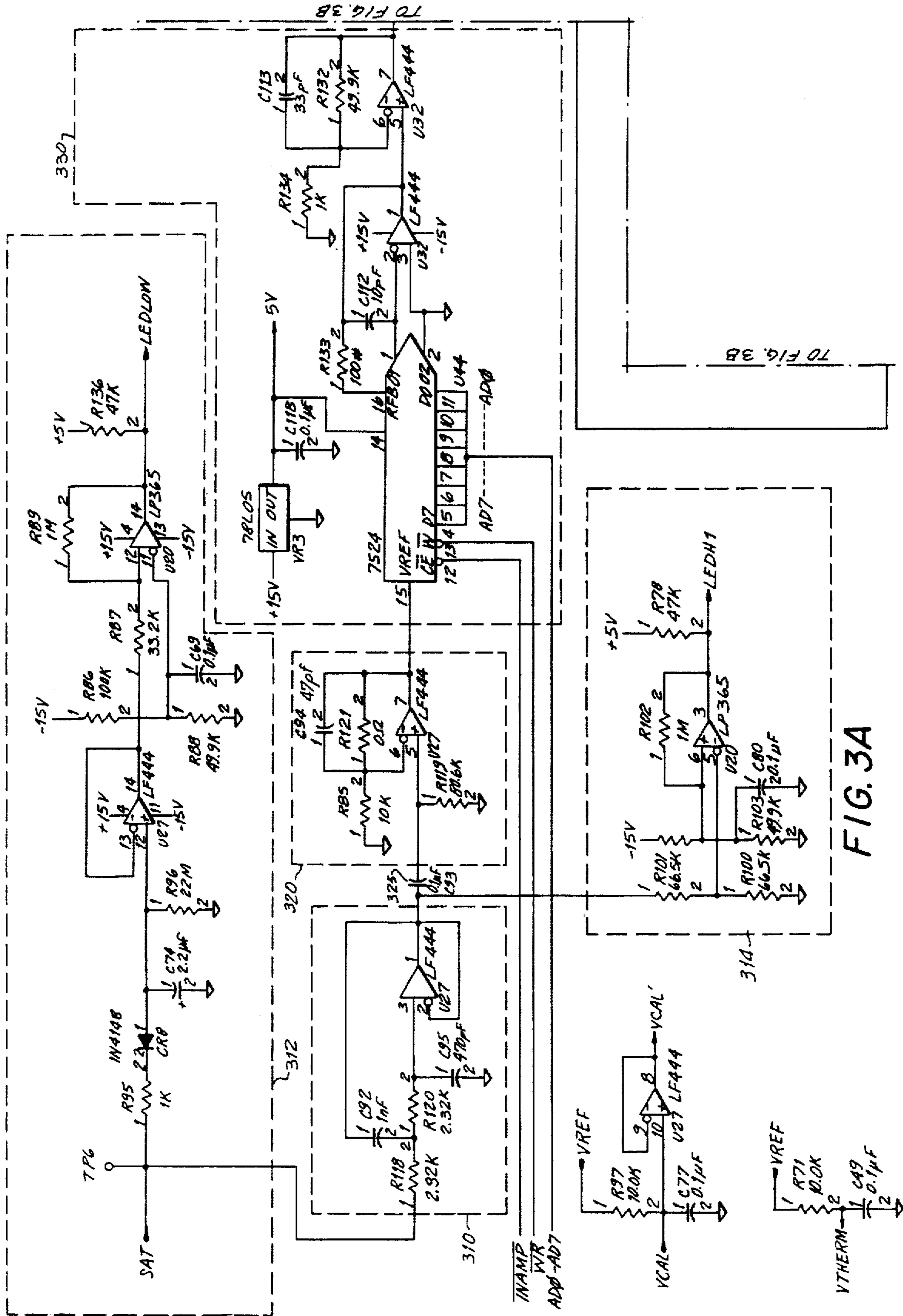
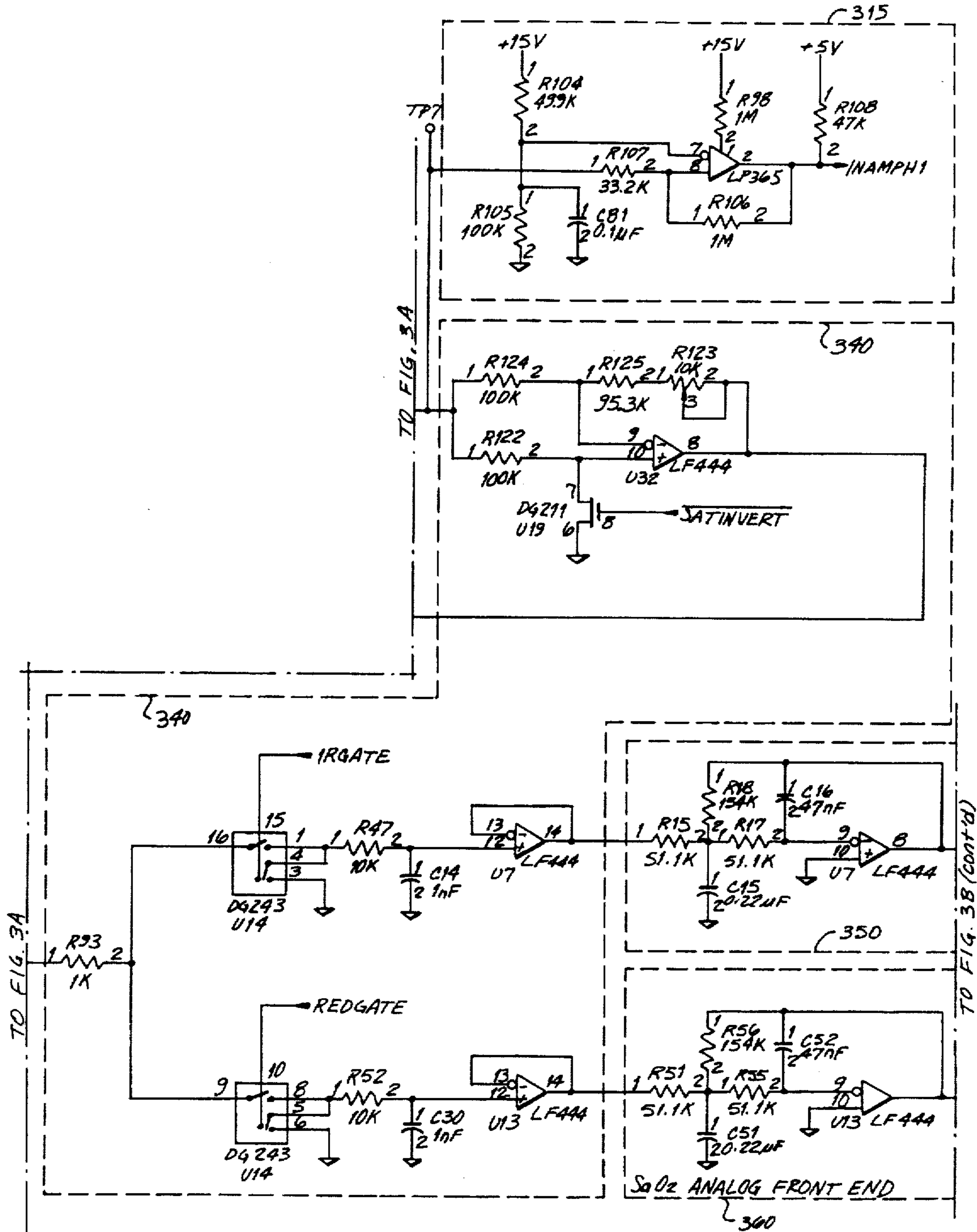


FIG. 1B







	+15	-15	+5	AGND	DGND
LP365	4	13			16
LF444	4	11			
D6211	13	4	12	5	
D6243	11	14	12	13	
7524				3	

FIG. 3B

FIG. 3B(cont'd)

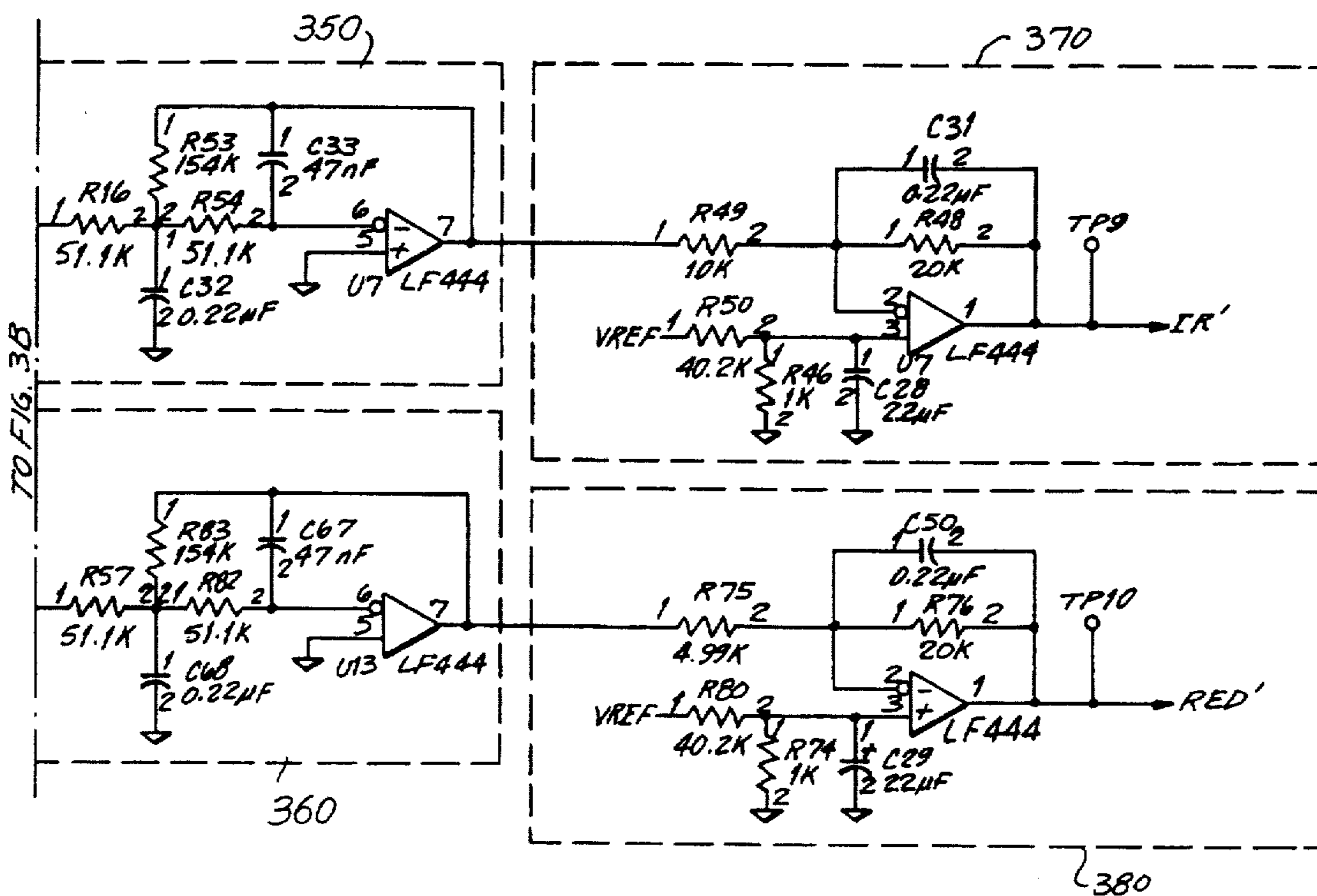


FIG. 4

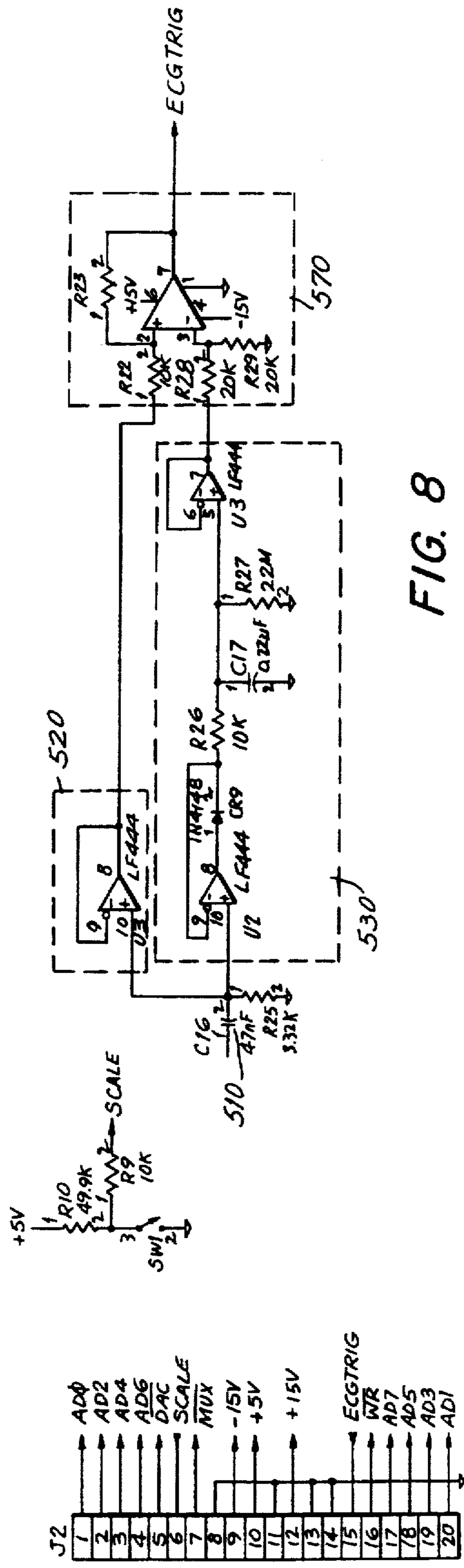
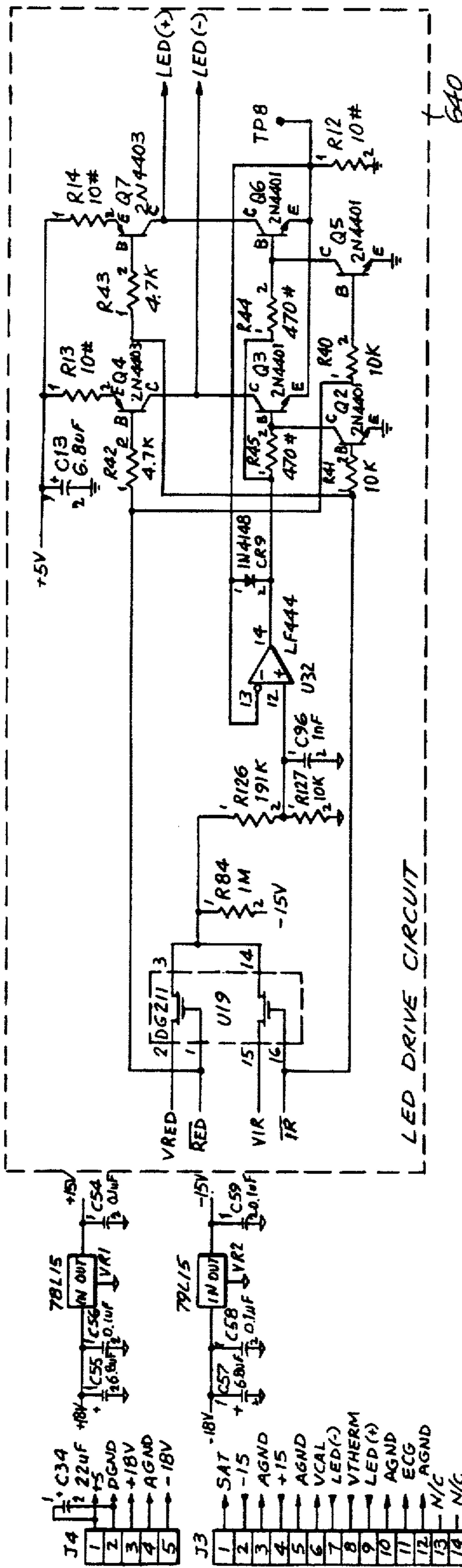


FIG. 8

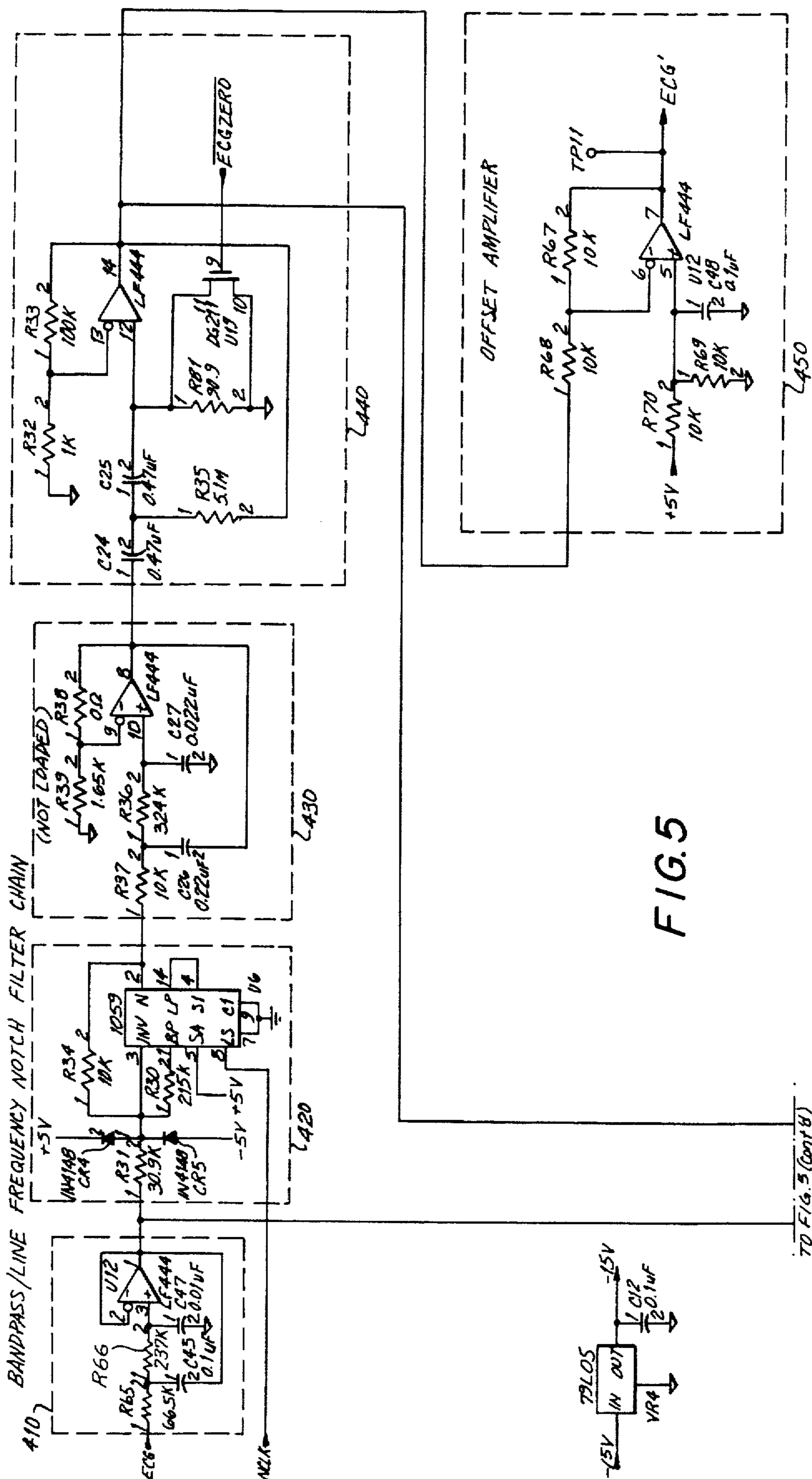


FIG. 5

TO FIG. 5 (CONT'D)

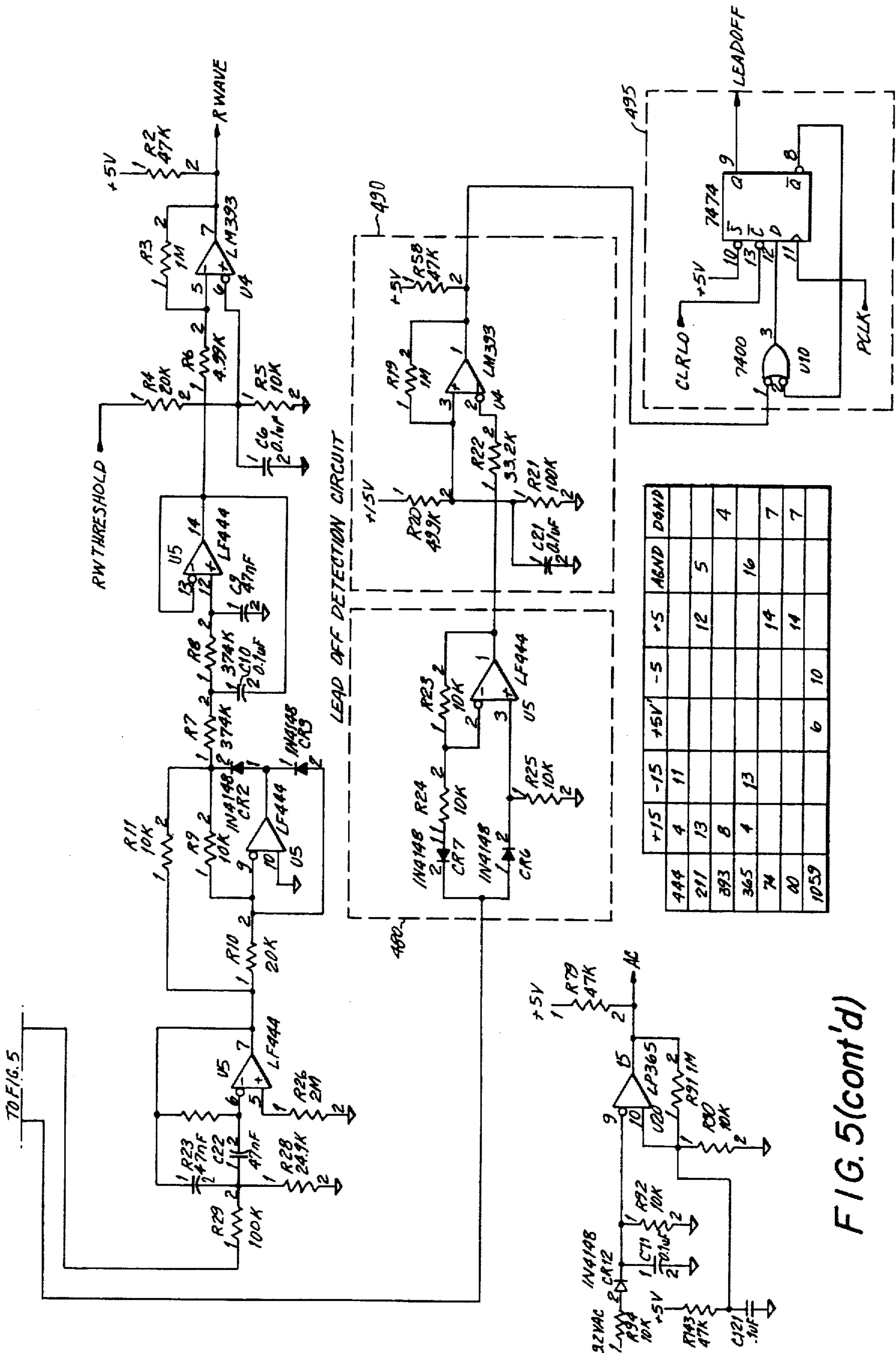


FIG. 5(cont'd)

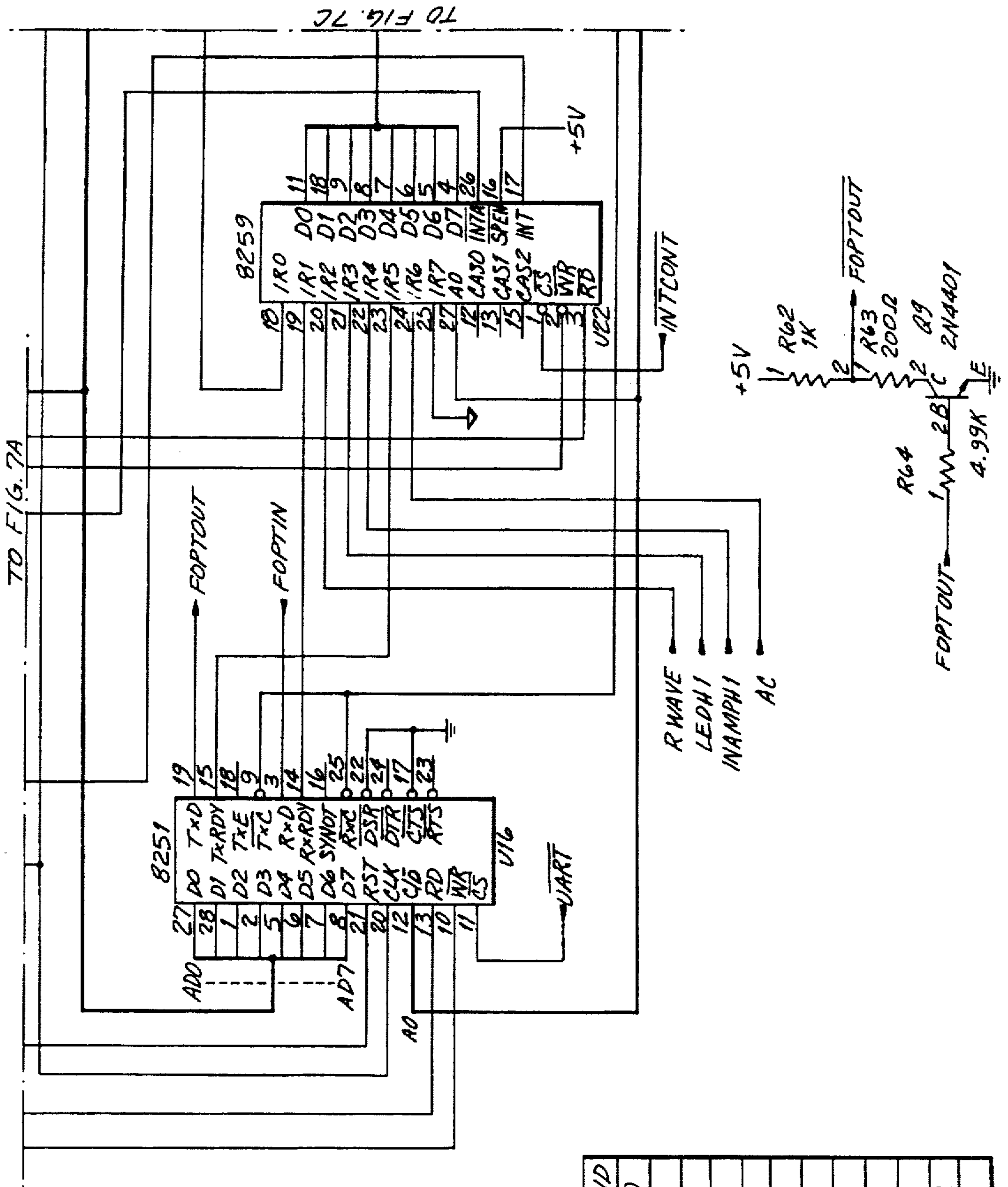


FIG. 7A(cont'd)

8088	+5	DGND
8251	40	20
8253	26	4
8259	24	12
8284	28	14
138	18	9
374	16	8
00	20	10
04	14	7
393	14	7
2732	24	12
27256	28	14

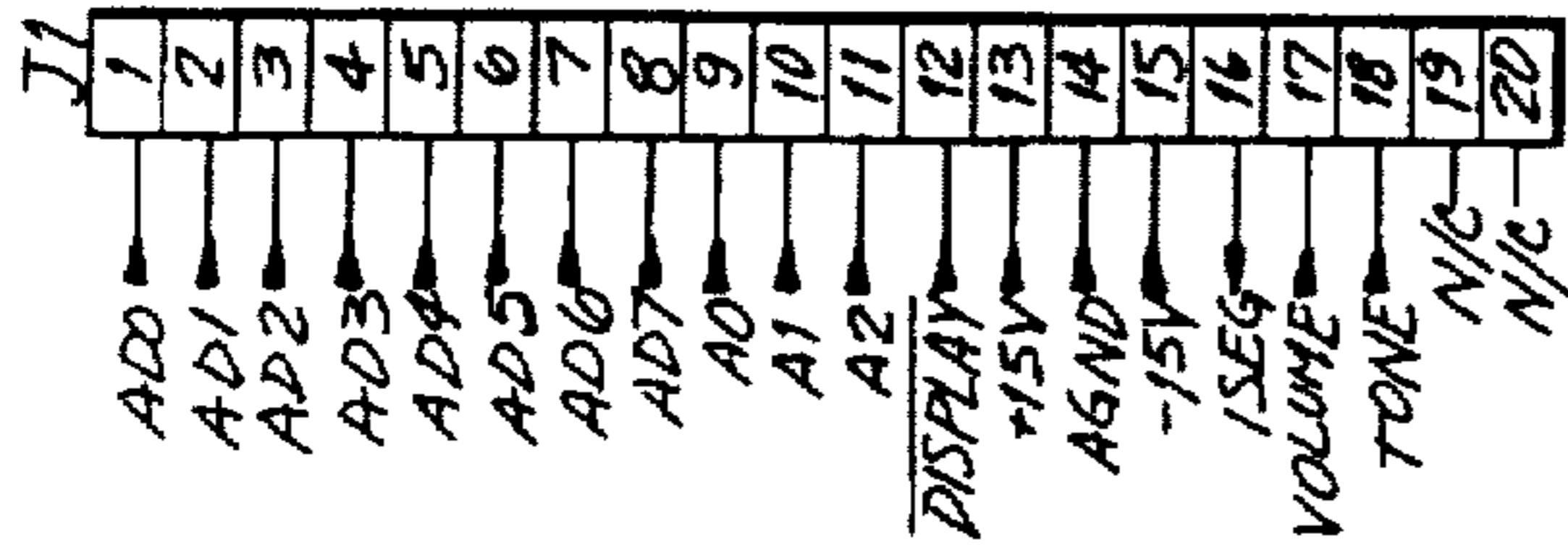
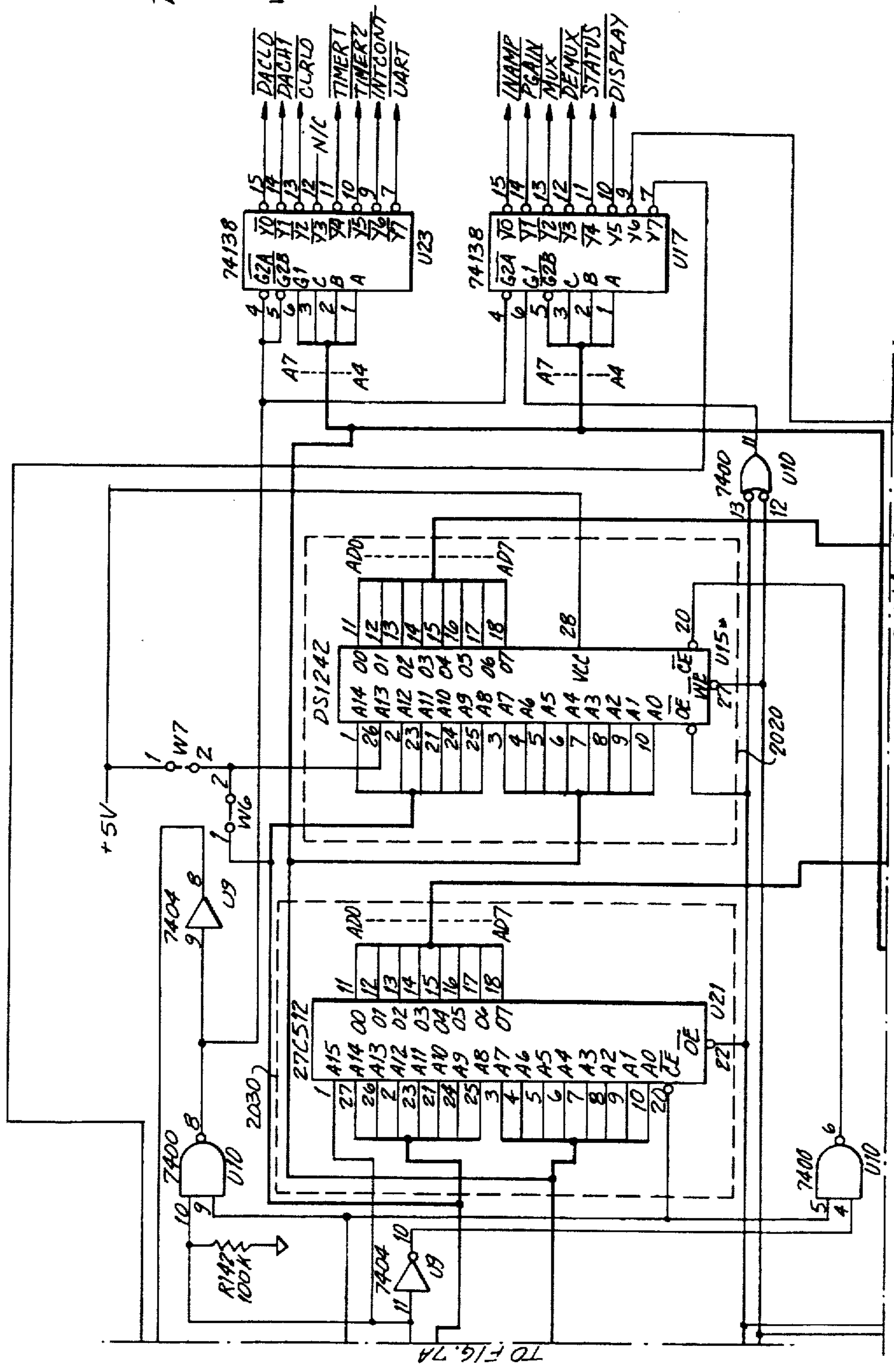


FIG. 7B



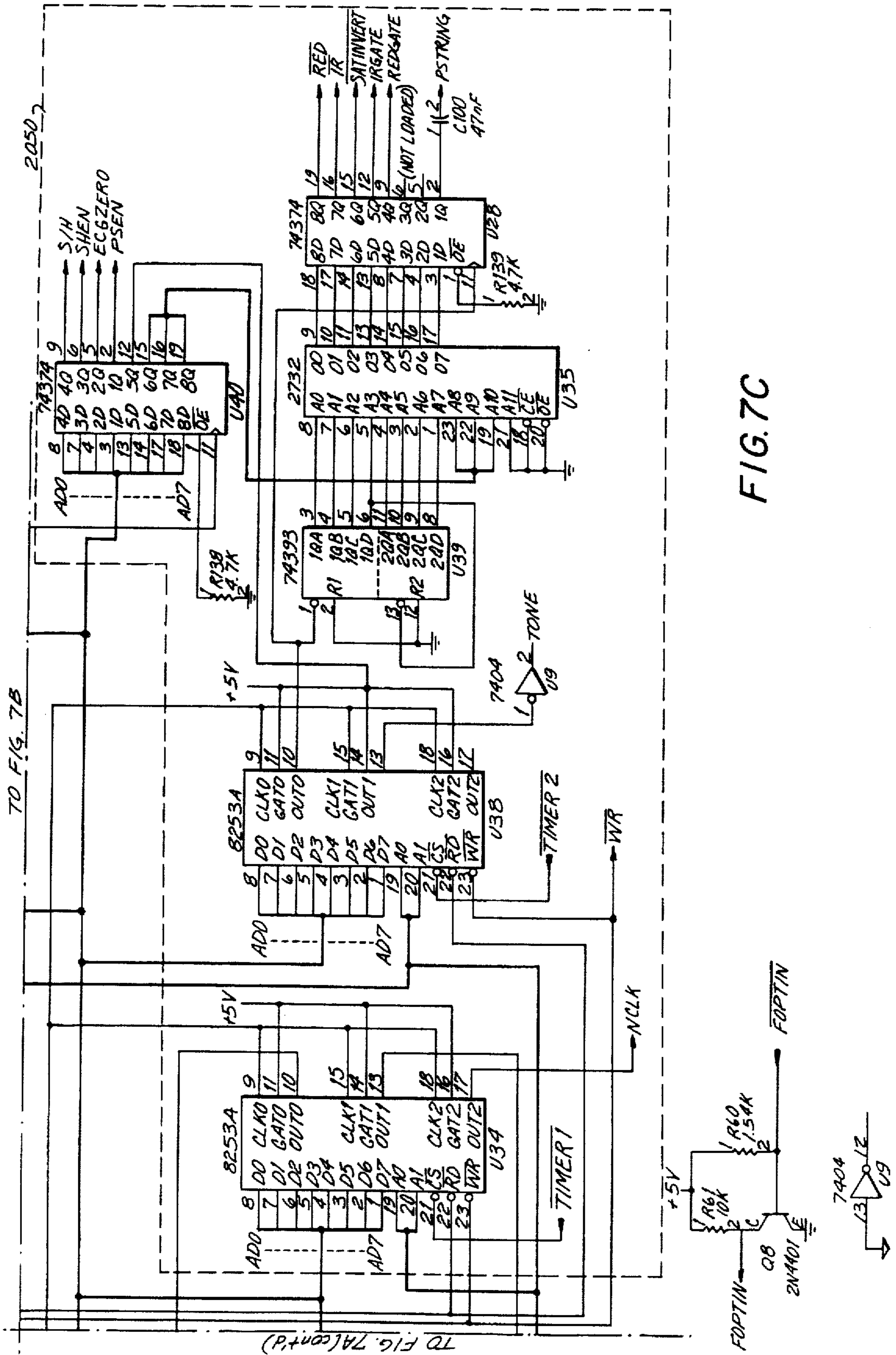


FIG. 7C

TO FIG. 7B

TO FIG. 7A (CONT'D)

FIG. 9a

SLIDER

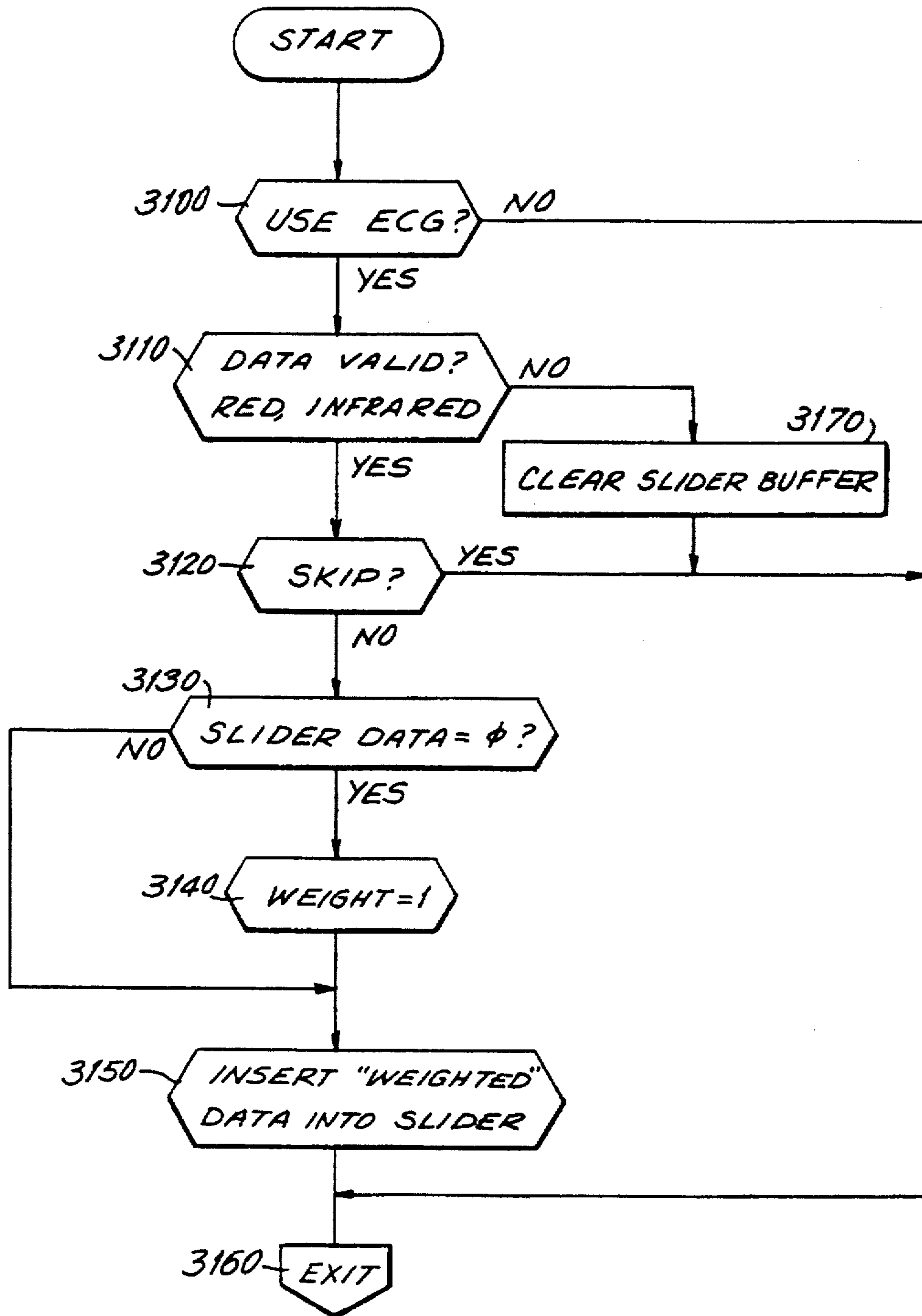
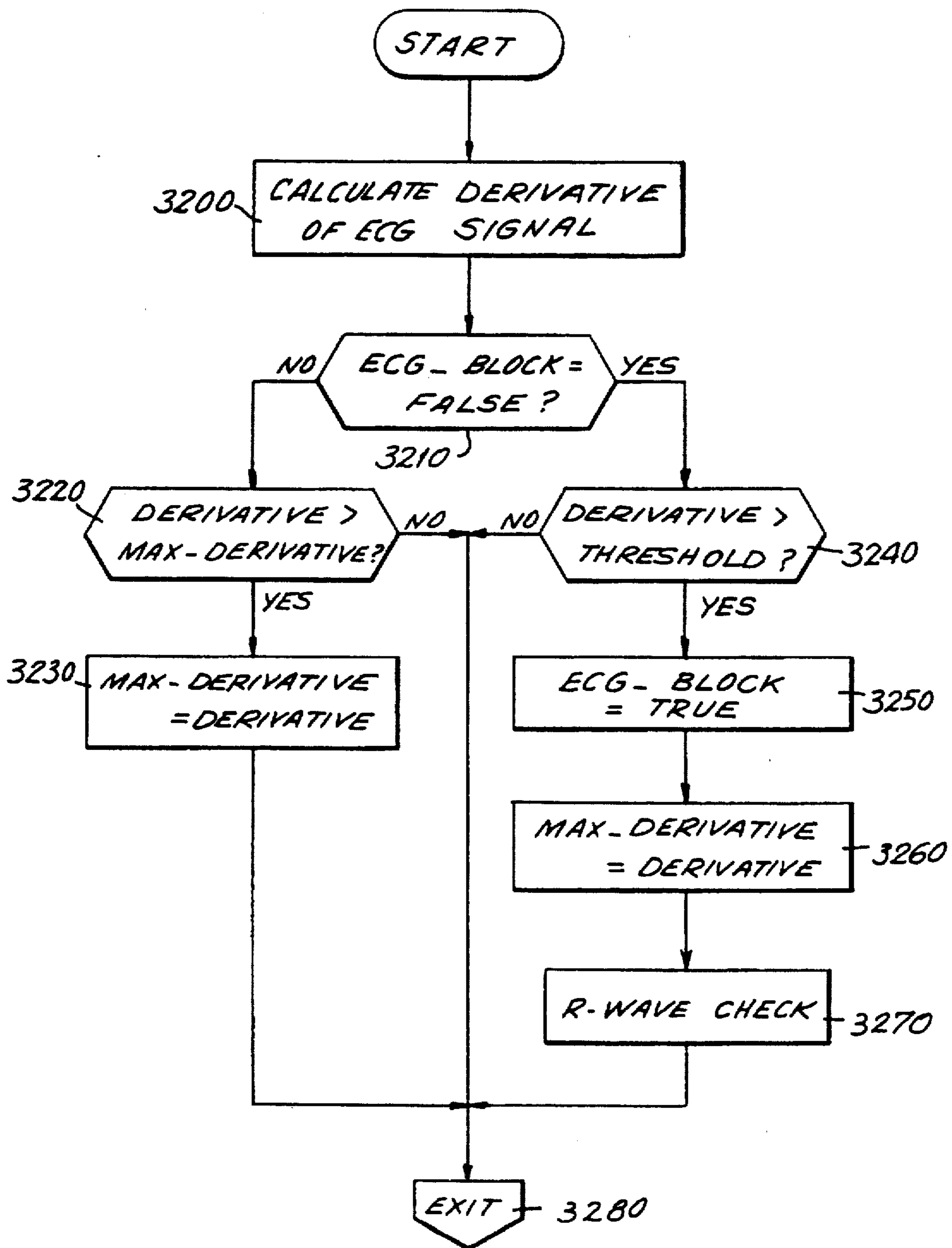


FIG. 9b

ECG-BOX



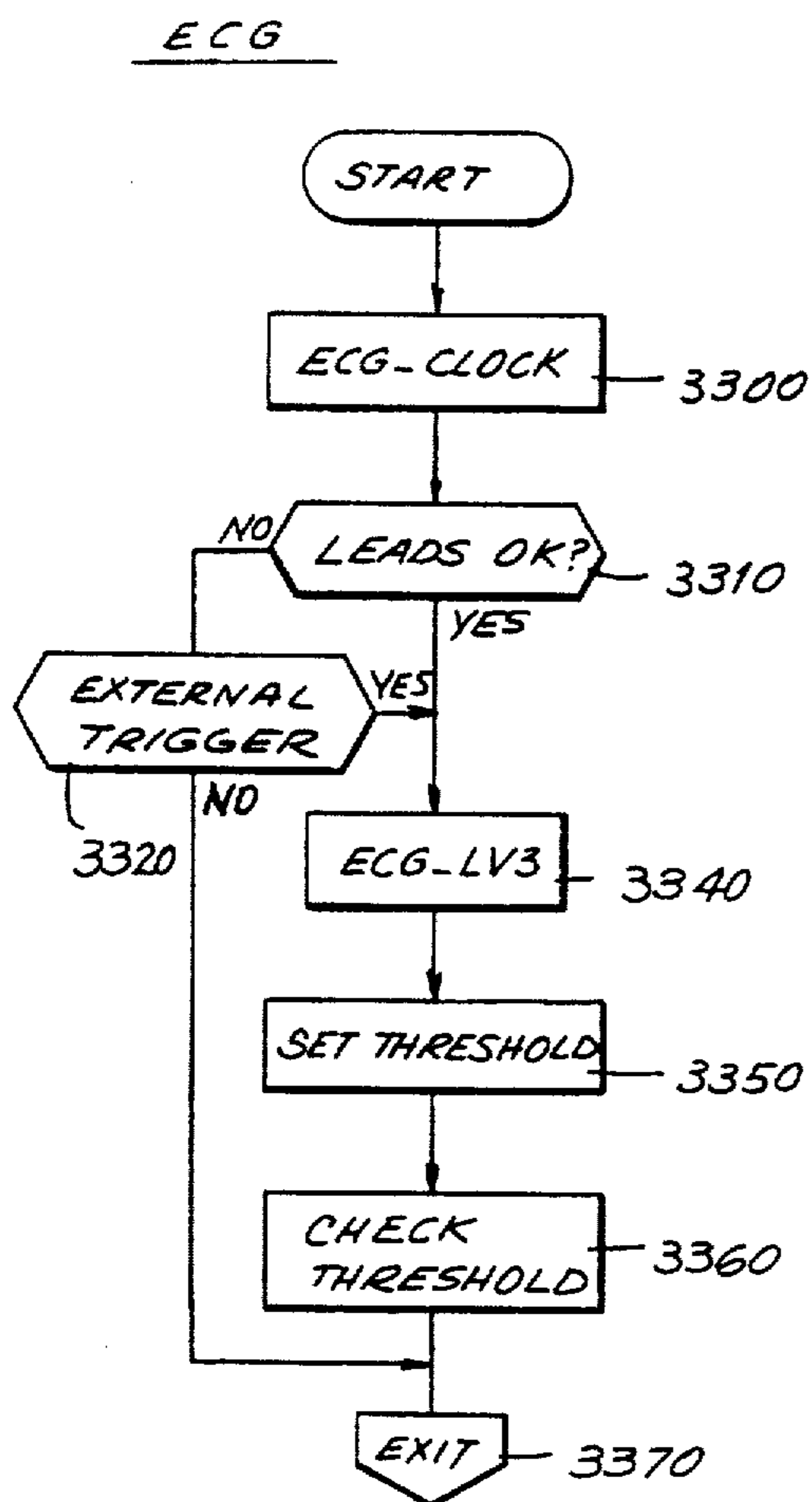


FIG. 9c

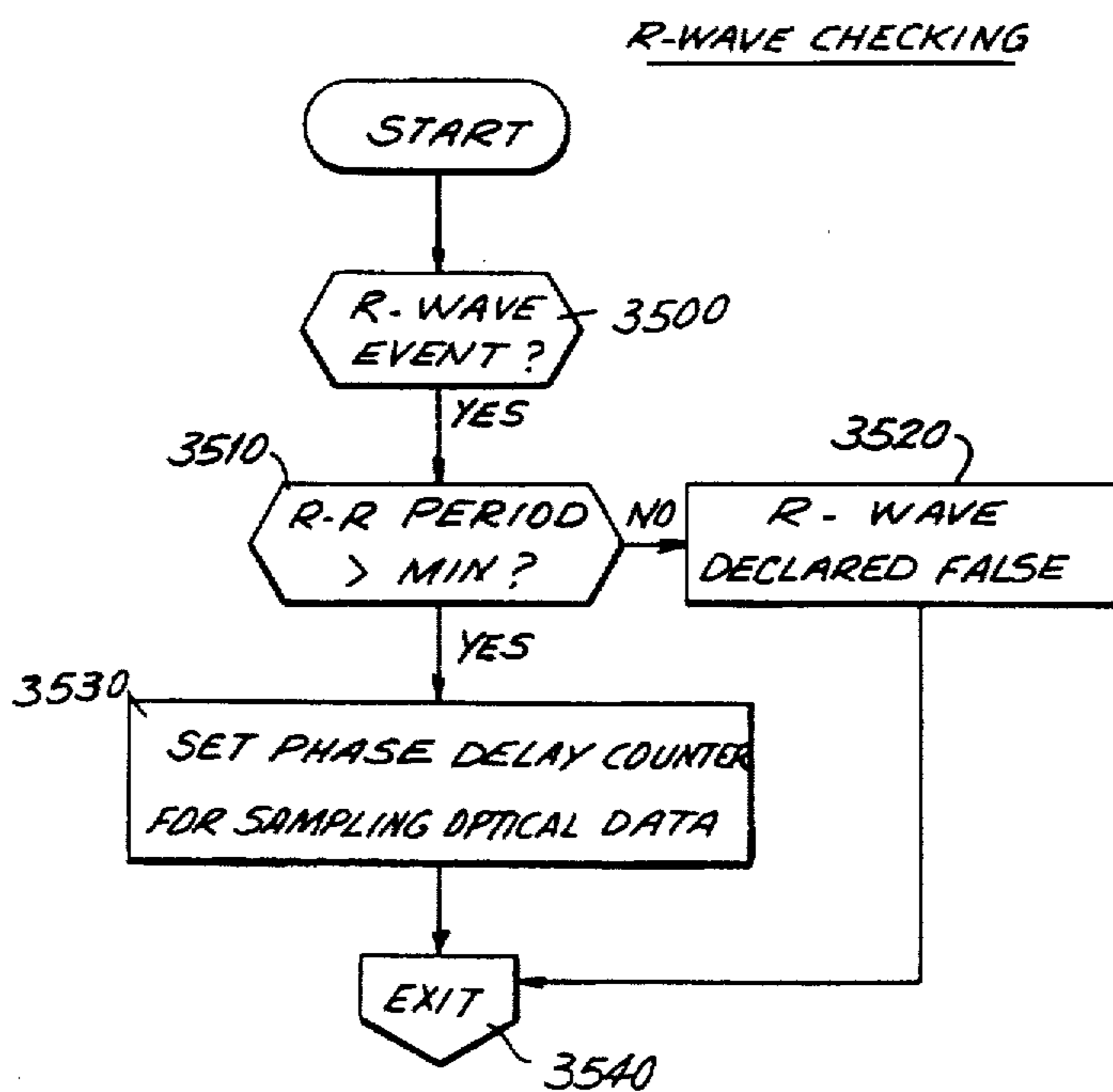


FIG. 9e

ECG - LV3

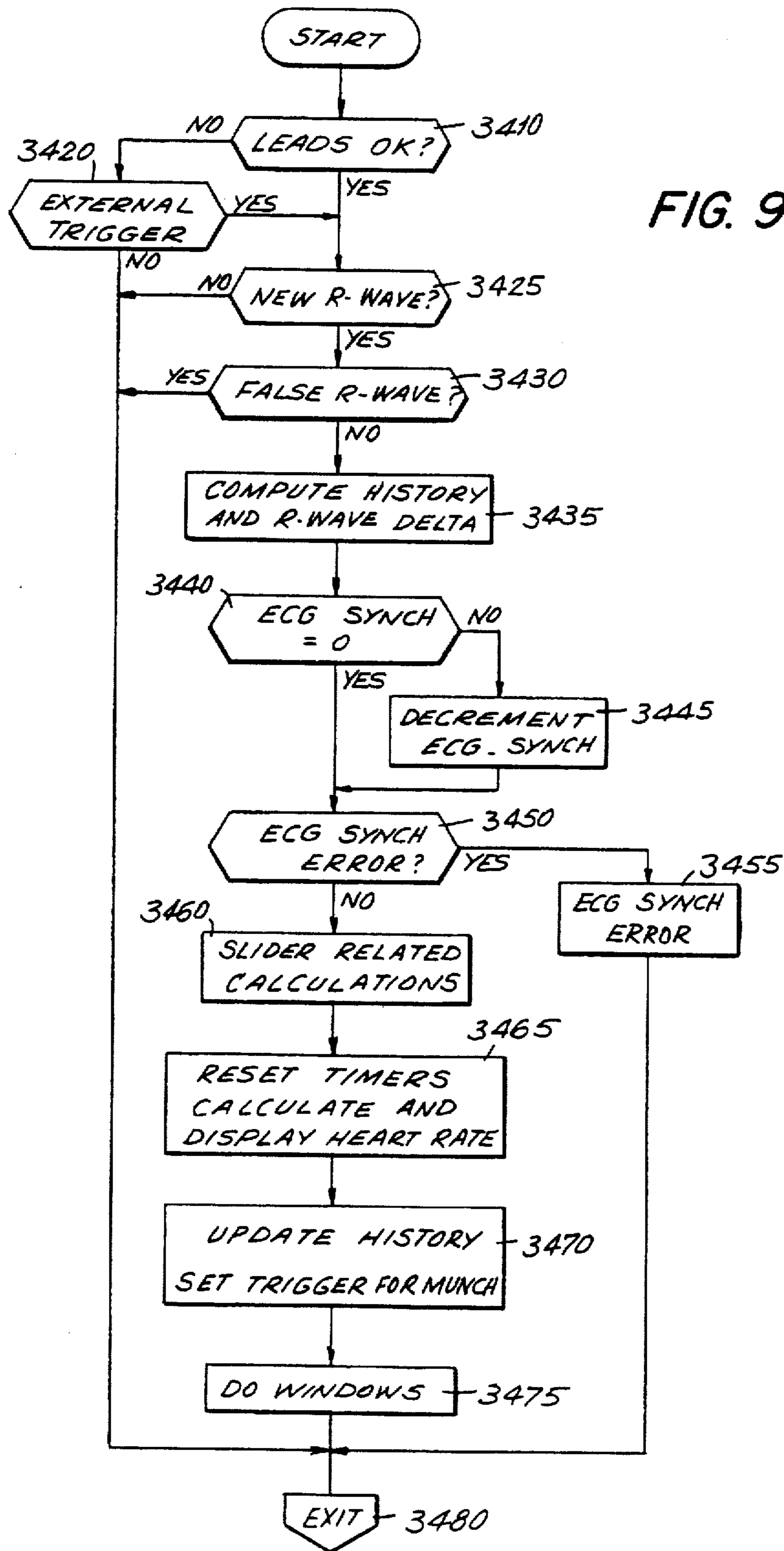
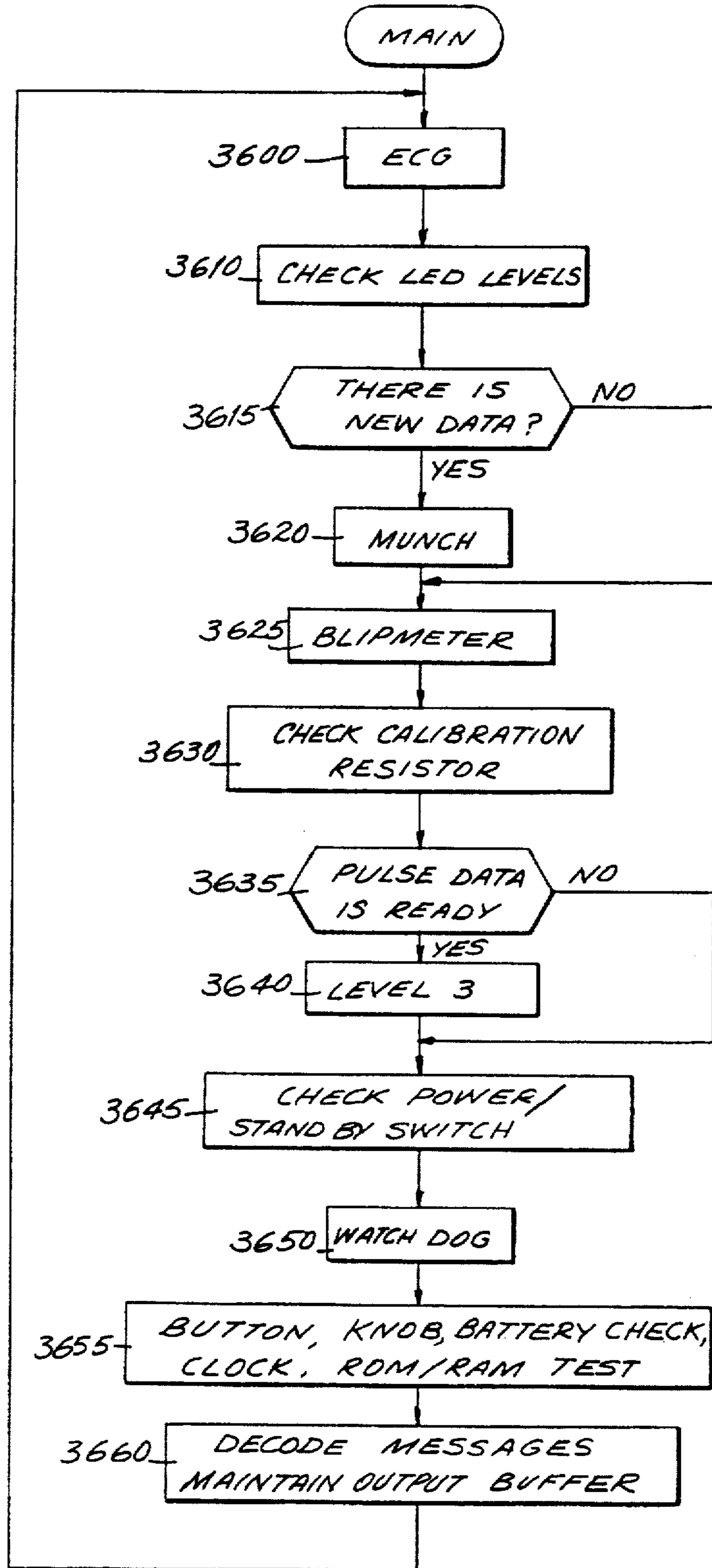


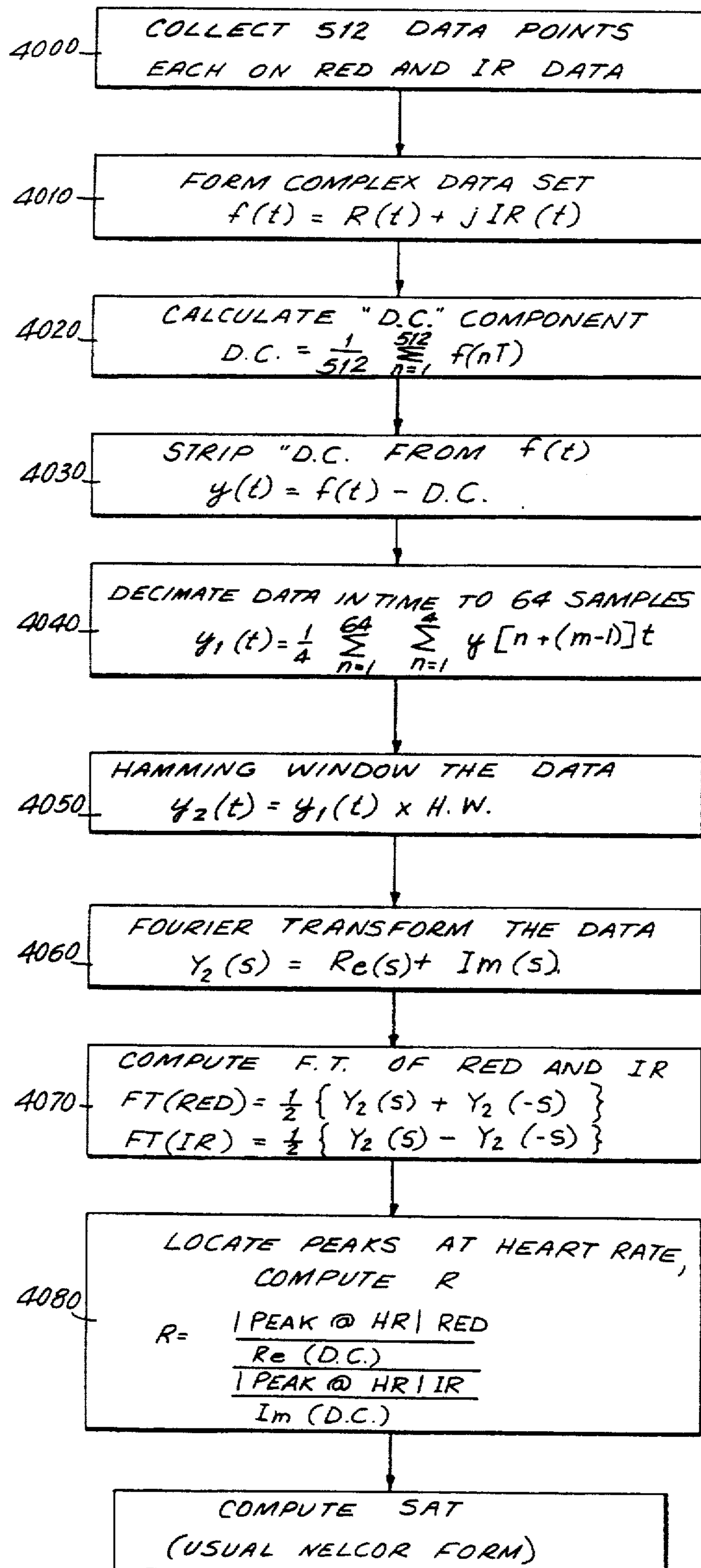
FIG. 9d

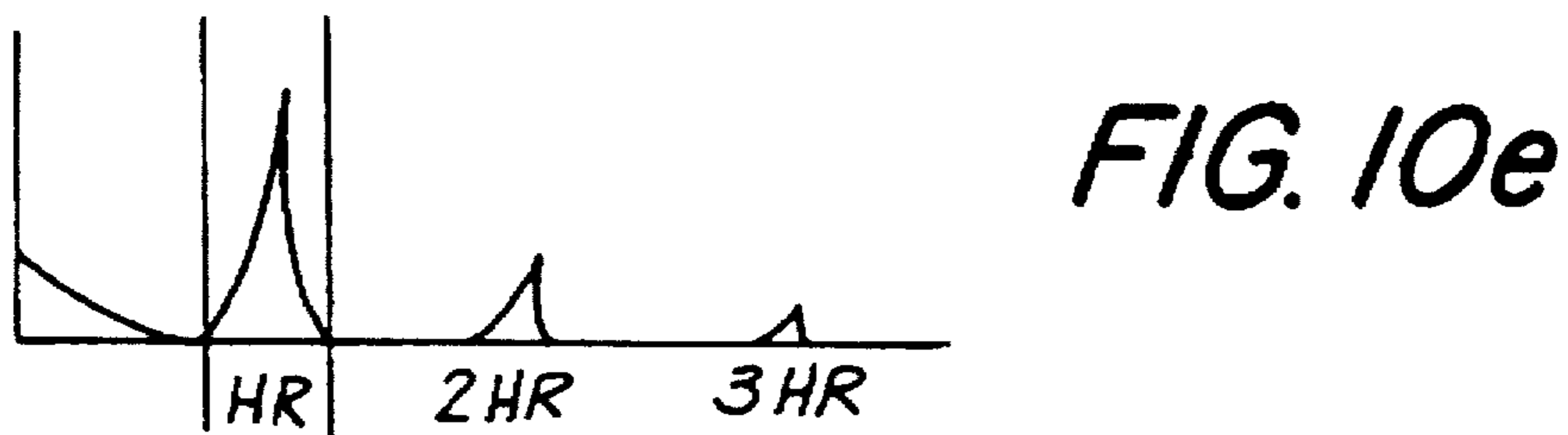
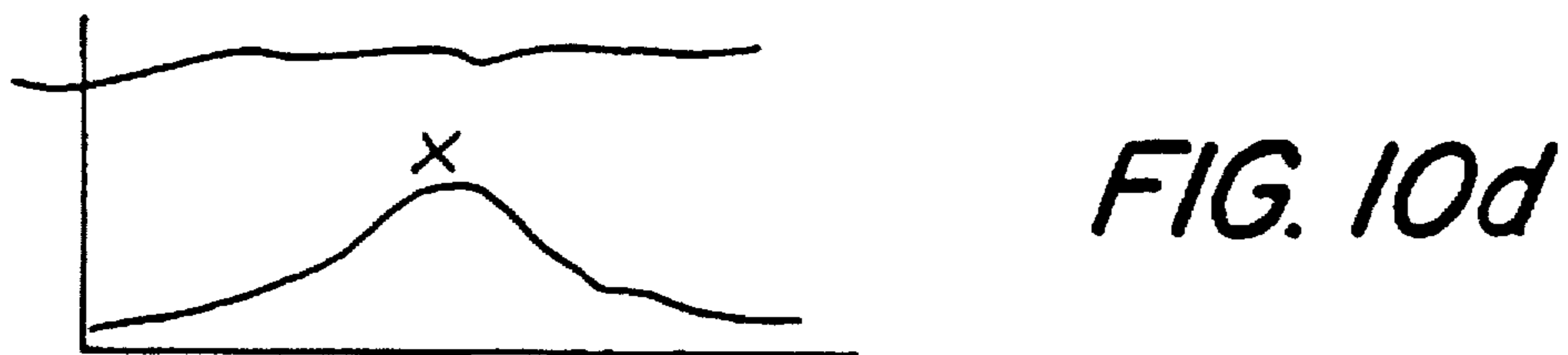
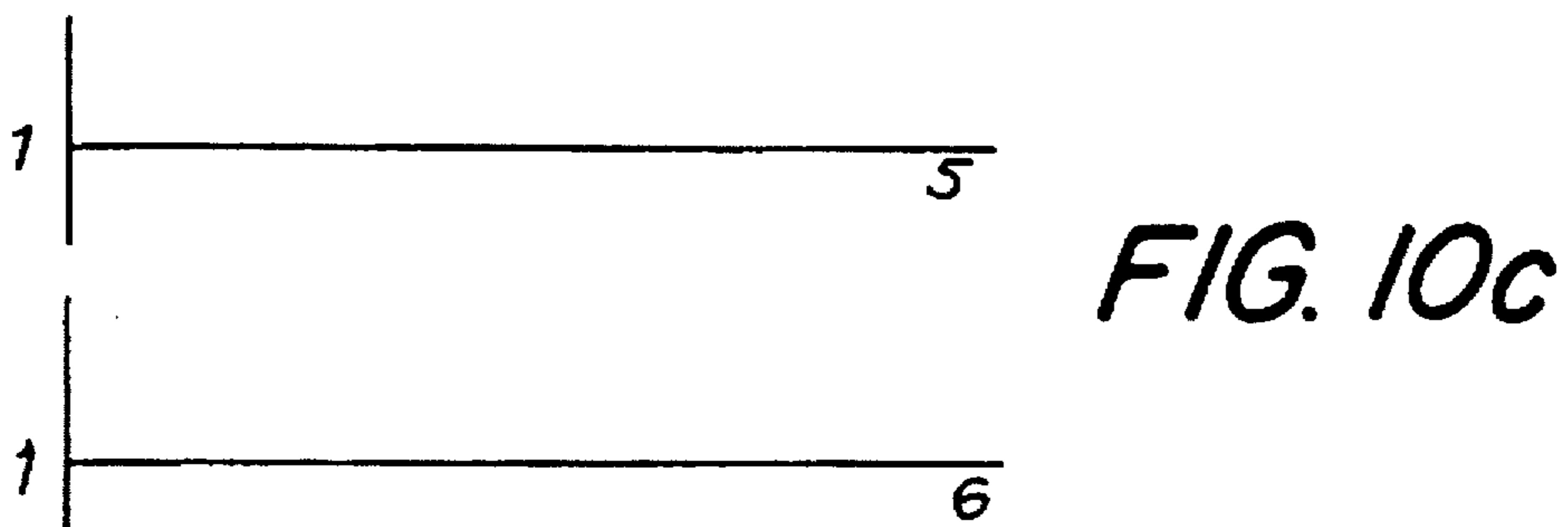
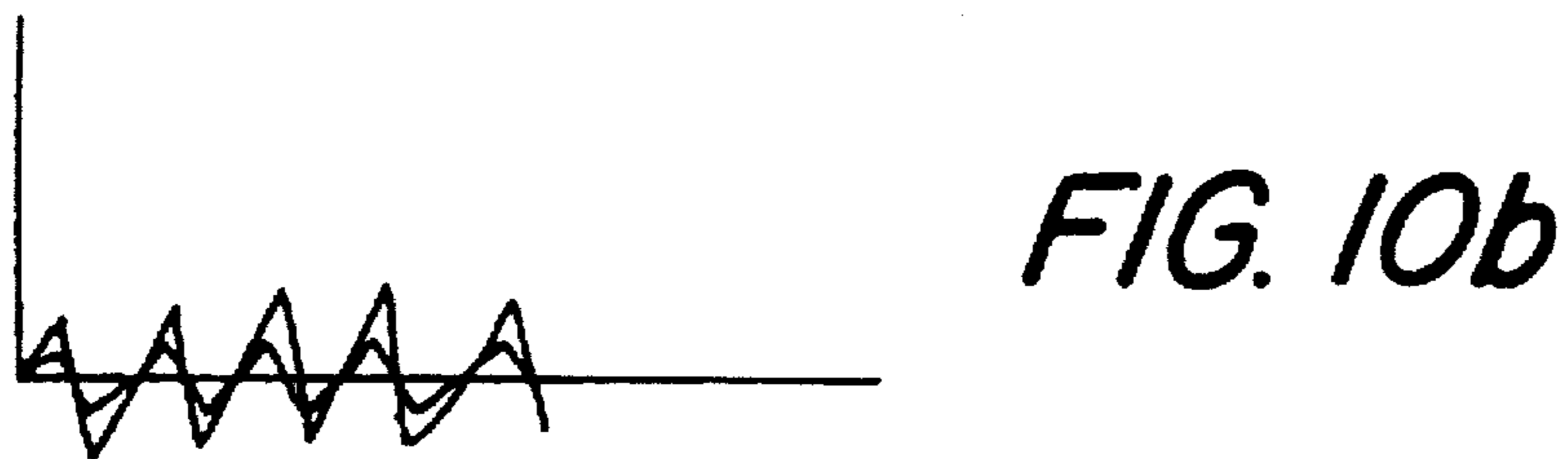
FIG. 9f

MAIN LOOP PROCESSING



FOURIER OXIMETER FLOWCHART FIG. 10





METHOD AND APPARATUS FOR DETECTING OPTICAL PULSES

Matter enclosed in heavy brackets [] appears in the original patent but forms no part of this reissue specification; matter printed in italics indicates the additions made by reissue.

CROSS REFERENCE TO RELATED APPLICATIONS

This application is a continuation-in-part application of and commonly assigned U.S. application Ser. No. 742,720, now U.S. Pat. No. 4,802,486, entitled Improved Method and Apparatus For Detecting Optical Pulses, filed Jun. 7, 1985 in the names of James E. Corenman and David E. Goodman, which is a continuation of U.S. application Ser. No. 718,525, entitled Improved Method and Apparatus For Detecting Optical Pulses, filed Apr. 1, 1985 in the names of James E. Corenman and David E. Goodman, now abandoned.

This invention relates to non-invasive pulse oximetry and specifically to an improvement on the method and apparatus for photoelectric determination of blood constituents disclosed in U.S. applications Ser. No. 742,720 and 718,525. This specification is accompanied by software appendices A and B.

BACKGROUND OF THE INVENTION

Non-invasive photoelectric pulse oximetry has been previously described in U.S. Pat. Nos. 4,407,290, 4,266,554, 4,086,915, 3,998,550, 3,704,706, European patent application No. 102,816 published Mar. 13, 1984, European patent application No. 104,772 published Apr. 4, 1984, and European patent application No. 104,771 published Apr. 4, 1984. Pulse oximeters are commercially available from Nellcor Incorporated, Hayward, Calif., U.S.A., and are known as, for example, Pulse Oximeter Model N-100 (herein "N-100 oximeter").

Pulse oximeters typically measure and display various blood flow characteristics including but not limited to blood oxygen saturation of hemoglobin in arterial blood, volume of individual blood pulsations supplying the flesh, and the rate of blood pulsations corresponding to each heartbeat of the patient. The oximeters pass light through human or animal body tissue where blood perfuses the tissue such as a finger, an ear, the nasal septum or the scalp, and photoelectrically sense the absorption of light in the tissue. The amount of light absorbed is then used to calculate the amount of blood constituent being measured.

The light passed through the tissue is selected to be of one or more wavelengths that is absorbed by the blood in an amount representative of the amount of the blood constituent present in the blood. The amount of transmitted light passed through the tissue will vary in accordance with the changing amount of blood constituent in the tissue and the related light absorption.

For example, the N-100 oximeter is a microprocessor controlled device that measures oxygen saturation of hemoglobin using light from two light emitting diodes ("LED's"), one having a discrete frequency of about 660 nanometers in the red light range and the other having a discrete frequency of about 925 nanometers in the infrared range. The N-100 oximeter microprocessor uses a four-state clock to provide a bipolar drive current for the two LED's so that a positive current pulse drives the infrared LED and a negative current

pulse drives the red LED to illuminate alternately the two LED's so that the incident light will pass through, e.g., a fingertip, and the detected or transmitted light will be detected by a single photodetector. The clock uses a high strobing rate, e.g., one thousand five hundred cycles per second, to be easily distinguished from other light sources. The photodetector current changes in response to the red and infrared light transmitted in sequence and is converted to a voltage signal, amplified, and separated by a two-channel synchronous detector—one channel for processing the red light waveform and the other channel for processing the infrared light waveform. The separated signals are filtered to remove the strobing frequency, electrical noise, and ambient noise and then digitized by an analog to digital converter ("ADC"). As used herein, incident light and transmitted light refers to light generated by the LED or other light source, as distinguished from ambient or environmental light.

The light source intensity may be adjusted to accommodate variations among patients' skin color, flesh thickness, hair, blood, and other variants. The light transmitted is thus modulated by the absorption of light in the variants, particularly the arterial blood pulse or pulsatile component, and is referred to as the plethysmograph waveform, or the optical signal. The digital representation of the optical signal is referred to as the digital optical signal. The portion of the digital optical signal that refers to the pulsatile component is labeled the optical pulse.

The detected digital optical signal is processed by the microprocessor the N-100 oximeter to analyze and identify arterial pulses and to develop a history as to pulse periodicity, pulse shape, and determined oxygen saturation. The N-100 oximeter microprocessor decides whether or not to accept a detected pulse as corresponding to an arterial pulse by comparing the detected pulse against the pulse history. To be accepted, a detected pulse must meet certain predetermined criteria, for example, the expected size of the pulse, when the pulse is expected to occur, and the expected ratio of the red light to infrared light of the detected optical pulse in accordance with a desired degree of confidence. Identified individual optical pulses accepted for processing are used to compute the oxygen saturation from the ratio of maximum and minimum pulse levels as seen by the red wavelength compared to the maximum and minimum pulse levels as seen by the infrared wavelength.

Several alternate methods of processing and interpreting optical signal data have been disclosed in the patents and references cited above.

A problem with non-invasive pulse oximeters is that the plethysmograph signal and the optically derived pulse rate may be subject to irregular variants in the blood flow, including but not limited to motion artifact, that interfere with the detection of the blood flow characteristics. Motion artifact is caused by the patient's muscle movement proximate to the oximeter sensor, for example, the patient's finger, ear or other body part to which the oximeter sensor is attached, and may cause spurious pulses that are similar to pulses caused by arterial blood flow. These spurious pulses, in turn, may cause the oximeter to process the artifact waveform and provide erroneous data. This problem is particularly significant with infants, fetuses, or patients that do not remain still during monitoring.

A second problem exists in circumstances where the patient is in poor condition and the pulse strength is very weak. In continuously processing the optical data, it can be difficult to separate the true pulsatile component from artifact pulses and noise because of a low signal to noise ratio. Inability to reliably detect the pulsatile component in the

optical signal may result in a lack of the information needed to calculate blood constituents.

It is well known that electrical heart activity occurs simultaneously with the heartbeat and can be monitored externally and characterized by the electrocardiogram ("ECG") waveform. The ECG waveform, as is known to one skilled in the art, comprises a complex waveform having several components that correspond to electrical heart activity. The QRS component relates to ventricular heart contraction. The R wave portion of the QRS component is typically the steepest wave therein, having the largest amplitude and slope, and may be used for indicating the onset of cardiovascular activity. The arterial blood pulse flows mechanically and its appearance in any part of the body typically follows the R wave of the electrical heart activity by a determinable period of time that remains essentially constant for a given patient. See, e.g., Goodlin et al., "Systolic Time Intervals in the Fetus and Neonate", *Obstetrics and Gynecology*, Vol. 39, No. 2 February 1972, where it is shown that the scalp pulse of fetuses lag behind the ECG "R" wave by 0.03–0.04 second, and U.S. Pat. NO. 3,734, 086.

In prior U.S. application Ser. No. 742,720, copending and commonly assigned, the disclosure (including the software appendix, of which is hereby expressly incorporated by reference, and in corresponding International PCT Application publication No. WO 86/05674 published Oct. 9, 1986, also commonly assigned, there is disclosed an invention for measuring the patient's heart activity and correlating it with the patient's detected blood flow signal to calculate more accurately the patient's oxygen saturation and pulse rate. The correlation includes auto- and cross correlation techniques to enhance the periodic information contained in each individual waveform as well as determine that time relationship of one waveform to another.

Correlating the occurrence of cardiovascular activity with the detection of arterial pulses occurs by measuring an ECG signal, detecting the occurrence of the R-wave portion of the ECG signal, determining the time delay by which an optical pulse in the detected optical signal follows the R-wave, and using the determined time delay between an R-wave, and using the determined time delay between an R-wave and the following optical pulse so as to evaluate arterial blood flow only when it is likely to present a true blood pulse for waveform analysis. The measured time delay is used to determine a time window when, following the occurrence of an R-wave, the probability of finding an optical pulse corresponding to a true arterial pulse is high. The time window provides an additional criterion to be used in accepting or rejecting a detected pulse as an optical pulse. Any spurious pulses caused by motion artifact or noise occurring outside of that time window are typically rejected and are not used to calculate the amount of blood constituent. Correlating the ECG with the detected optical pulses thereby provided for more reliable measurement of oxygen saturation.

That application and publication refers to a modified N-100 oximeter (the "enhanced N-100 oximeter") whereby the device is provided with an additional heart activity parameter in the form of a detected R-wave from the patient's ECG waveform, in addition to the N-100 pulse oximeter functions, and the microprocessor is modified to include software and memory for controlling and processing the optical signal and heart activity information.

The additional heart activity parameter is independent of the detection of peripheral arterial pulses, e.g., ECG signals, ultrasound, ballistocardiogram, and maybe, accelerometers, nuclear magnetic resonators, electrical impedance techniques, and the like, and provides an identifiable and detectable signal to response to each heartbeat for use by the signal

processing of the oximeter.

It is an object of this invention to provide for improved processing of the detected optical signal containing periodic information corresponding to arterial pulsatile blood flow and aperiodic information corresponding to noise, spurious signals, and motion artifact unrelated to the beating heart and arterial pulsatile blood flow, to improve further the reliability and accuracy of the determination of blood constituent, particularly oxygen saturation of hemoglobin by a non-invasive oximeter device.

It is another object of this invention to provide an improved method and apparatus for collecting successive portions of detected optical signals encompassing periodic information for more than one heartbeat and processing the collected portions to attenuate and filter therefrom aperiodic signal waveforms to provide enhanced periodic information from which the patient's blood constituent can be accurately determined.

It is another object to maintain the enhanced periodic information updated by continuing to add new portions of detected optical signals as they are obtained. It is another object of this invention to create enhanced periodic information by collecting and processing successive portions of detected optical signals wherein the periodic information corresponding to the optical pulses have been added together in phase, synchronized to the occurrence of the patient's ECG and preferably the R-wave signal.

It is another object of this invention to add synchronized periodic information in a weighted fashion so that the most recent portion of detected optical signal is accorded a greater weight in the collected sum than any one prior portion of periodic information data.

It is another object of this invention to create the enhanced periodic information by adding together a predetermined number of the most recent successive portions of detected optical signal, whereby each portion corresponds to a heartbeat event and is given a weight according to its relative age so as to emphasize the newest information in the resultant weighted collective sum.

It is another object of this invention to correlate the periodic information with the ECG R-wave by using a waveform product technique to identify the occurrence of the heartbeat and the optical pulse corresponding to that heartbeat.

It is another object of this invention to evaluate the collected periodic information for a predetermined number of successive portions of the detected optical signal corresponding to a predetermined number of heartbeats in the frequency domain to obtain enhanced periodic information.

It is another object of this invention to Fourier transform a time-measure of detected optical signals including periodic information for N heartbeats to determine the relative maxima at the fundamental frequency N and the minima at the zero frequency for use in determining the light modulation ratio for the amount of blood constituents.

It is another object of this invention to correlate the Fourier Transform of the time-measure of detected optical signals with the Fourier Transform of a time-measure of the ECG signal, and more particularly the R-wave events of the ECG signal, to determine the maxima at the fundamental heart frequency.

It is another object of this invention to correlate the periodic information in a time-measure of the detected optical signal with a time-measure of the detected heart activity, preferably in the form of the ECG signal and more preferably in the form of the R-wave of the ECG signal, to define a predetermined number of samples in a data set and

use frequency domain analysis techniques to evaluate the collected predetermined number of sample data sets to determine the relative maxima at the fundamental frequency.

SUMMARY OF THE INVENTION

This invention provides enhanced periodic information with improved rejection of noise, spurious pulses, motion artifact, and other undesired aperiodic waveforms and thereby improves the ability of oximeters to accurately determine amounts of blood constituents.

The present invention provides methods and apparatus for collecting a time-measure of the detected optical signal waveform containing a plurality of periodic information corresponding to arterial pulses caused by the patient's heartbeat and aperiodic information unrelated to pulsatile flow, and processing the collected time-measure of information to obtain enhanced periodic information that is closely related to the most recent arterial pulsatile blood flow. The time-measure may comprise a continuous portion of detected optical signals including a plurality of periodic information from successive heartbeats, or a plurality of discrete portions of detected optical signals including a corresponding plurality of periodic information.

By updating the time-measure of information to include the most recently detected periodic information, and processing the updated measure collectively, an updated enhanced periodic information is obtained (including the new and historical data) from which aperiodic information (including any new aperiodic information) is attenuated. In some embodiments, the updating process includes subtracting detected signals older than a certain relative time from the collected time-measure. Applicants have discovered that by collectively processing a time-measure including successive periodic information to obtain the enhanced periodic information, and using the enhanced periodic information as the basis for making oxygen saturation calculations, the accuracy and reliability of oxygen saturation determinations can be significantly increased. Applicants also have discovered that the time-measures may be collectively processed in either the time domain or the frequency domain.

The amount of a blood constituent, for example, oxygen saturation, can be then determined from this enhanced periodic information (also referred to as composite signal information) by determining the relative maxima and minima in the enhanced periodic information for the respective wavelengths for use in determining the modulation ratios of the known Lambert-Beers equations.

In the preferred embodiment, the detected optical signals are conventionally obtained by passing red (660 nanometers) and infrared (910 nanometers) light through a patient's blood perfused tissue, detecting the transmitted light which is modulated by the blood flow, and providing red and infrared detected optical signals that are preferably separately processed and optionally converted from analog to digital signals, for example, as described above for the Nellcor N-100 oximeter. Portions of the corresponding red and infrared digital signals are then collectively processed in accordance with the present invention and the light modulation ratios are determined based on the resulting enhanced periodic information and used to calculate oxygen saturation.

In the time domain analysis embodiment, the invention provides a method and apparatus for adding together a plurality of successive portions of the detected optical signal waveform whereby one portion of the detected optical signal waveform is added to the following selected portion so that their respective periodic information is added in synchrony,

i.e., in phase. The synchronized sum thus forms a composite portion of detected optical signal information having enhanced periodic information. The following portion is then added to the composite portion so that the new periodic information is added to the prior composite periodic information in synchrony, forming an updated composite portion with update enhanced periodic information. Thereafter, subsequent successive portions of detected optical signal are added to the prior updated composite portion, one at a time, so that the composite and enhanced periodic information are update with each new portion and corresponding heartbeat event.

Weighting functions are applied to the two portions before they are added each other. This provides a scaled or weighted sum that can be adjusted, by selection of the respective weighting functions, to more closely reflect the patient's current condition, rather than the historical condition. In the preferred embodiment, the weighting functions are fractional multipliers which sum to one to provide a stable filter, and are discussed in greater detail below.

The periodic information (optical pulse) generally has the same pulse shape, height, and duration from heartbeat to heartbeat and, as is described in U.S. Ser. No. 742,720, follows heart activity by a determinable period of time.

Applicants have discovered that by synchronizing the occurrence of successive R-waves, it becomes possible to add the corresponding successive portions of the detected optical signal together so that the periodic information (optical pulses) corresponding to the arterial pulse in each portion will add in phase. The weighted magnitude of the new periodic information is reinforced by the existence of the weighted enhanced periodic information at the same time location in accordance with the degree of synchrony. If the new optical pulse is identical to the composite pulse, then the updated result is a composite optical pulse having the same magnitude. If the magnitudes differ, the additive result will differ according to the relative weights.

As a result of the collected, synchronized additive process, any aperiodic information that may be present in the portions of the detected optical signals also are weighted and added to the weighted composite portion waveform. However, because aperiodic signals differ in pulse shape, duration, height-, and relative time of occurrence within each portion, and are not synchronous with heart activity, they do not add in phase. Rather, they add in a cancelling manner whereby their weighted sum is spread across the relative time frame of the composite portion.

Applicants have discovered that by processing portions including the periodic information collectively, aperiodic information is attenuated by the absence of any corresponding historical aperiodic signal in the prior composite portion or any subsequent aperiodic at that relative time following heart activity. Further, because the new information can be given a small weight when compared to the absolute weight given the prior composite (as distinguished from the effective lesser weight given to any single prior portion of optical signals as explained below) new aperiodic information is quickly and effectively attenuated, and thus filtered out of the resultant additive portions.

To the extent that any aperiodic information would overlap and thereby obscure some periodic information in a portion, then that aperiodic information would be reinforced by the existing periodic information in the prior composite portion; but only to the extent there was overlap. Thus, the collective processing does not lose optical pulse information hidden by an artifact. Subsequent periodic information lack-

ing "identical" aperiodic information would attenuate any overlapping aperiodic pulse over time.

The collective additive sum having synchronized periodic information waveform thus presents enhanced periodic information that is a composite data set that corresponds to a composite optical pulse from which noise, spurious signals, and motion artifact, have been filtered out. By weighting the collective additive process to favor the most recent information and processing this weighted composite portion as it is update, an accurate estimated optical pulse (enhanced periodic information) that closely reflects the actual conditions is maintained. Basing oxygen saturation determinations on this enhanced optical pulse as it is updated thus provides a more accurate measure than was available by conventional and prior processing techniques.

As discussed in application Ser. No. 742,720, the determinable time period between the R-wave and the optical pulse makes it possible to determine a time window whose time length is long enough to include any likely periodic information, and short enough to exclude detected optical signals that are not of any significant or clinical use in making the determination of the selected blood constituent. A time window can be used in the present invention, following the occurrence of heart activity, to select a portion of detected optical signals for processing in accordance with this invention to reduce the amount of detected optical signal information that must be processed, to improve the rejection of aperiodic signals not proximate to the optical pulse, and to improve the resolution of the oximeter. The timing of the portion can be selected empirically, by considering the time length of the heartbeat pulse and how long it takes for the pulse to travel to the optical detection site so that the window is opened before the optical pulse maximum occurs at the optical detection site. In the preferred embodiment, the portion of signal is portion that begins 40 ms after the detection of an R-wave event, based on experimentation, and ends after the relative minimum of the optical pulse is detected, which ending time can vary from portion to portion, and may be, for example, about 230 ms after the R-wave event.

The time domain processing of collective weighted portions of the detected optical signal waveforms synchronized by the R-wave of the ECG waveform provides the equivalent of an optimal filter in the frequency domain, whose band-pass elements are those of an ideal heartbeat for the patient under examination. All frequencies which are found in a normal heartbeat are passed with weights of one, and all nonsynchronous frequencies are rejected with attenuation depending on the degree of asynchrony, and the time length of the filter (the effective number of portions processed collectively). As the weight of the periodic information corresponding to the current heartbeat is decreased, greater rejection of the low-frequency aperiodic artifacts occurs, but the delay in reporting the most accurate arterial pulsatile flow increases.

The weighting functions also assure that the new periodic information is not absorbed into the time and amplitude average of the old data. Using fractional weights provides scaling of the new and old composite information sum, and when the fractional weights add to one, stable performance of the filter is assured. Repeated multiplication of the old data by weights less than one accomplish the effective removal of older data, thus limiting in effect the number of periods processed collectively.

In the preferred embodiment, the detected optical signal information is processed in digitized form. Because the successive digitized information is weighted and added, the amount of digital computer memory required to contain the historical and updated composite periodic information only need be as long as the time period for a relative typical heartbeat, so that it can contain the entire time for a selected portion including an optical pulse. This simplified oximeter operation.

In the preferred embodiment, applicants have found that optimal performance occurs when the most recent information is accorded a weight of $\frac{1}{6}$ and the historical weight-averaged composite information is accorded a weight of $\frac{5}{6}$. Weights which are in powers of 2, e.g., $\frac{1}{2}$, $\frac{1}{4}$, $\frac{1}{8}$, etc., are attractive to use with binary digital computers because they require simpler mathematical operations, however, they do not necessarily provide the optimal time and noise attenuation tradeoff in selecting weighting functions.

The resultant enhanced periodic information is a weighted composite optical pulse that is evaluated in the same manner that prior oximeters evaluated individual pulses they determined were appropriate optical pulses for determining blood constituents, whether or not the criteria included use of a time window. The relative maxima and minima for each of the red and infrared composite optical pulses are separately determined and used in the modulation ratios for determining amounts of blood constituents, e.g., in the modulation ratio R of the Lambert-Beers equations that are commonly used to determine oxygen saturation of arterial hemoglobins as described below. As additional data sets are taken, the collective set of periodic information is updated. Consequently, the most recent waveform data representing the actual amount of blood constituent is included in the updated composite optical pulse form which the updated oxygen saturation can be determined and displayed. Although the foregoing and following discussions generally discuss only a detected optical signal, it should be understood that both the red and infrared signal are separately obtained and processed by these techniques, except as indicated.

In another embodiment of the time domain embodiment, the time-measure of the detected optical signal is collected in a different manner. The digitized portions of information that are to be weighted, synchronously added together, and processed collectively are accumulated in a memory device having sufficient memory locations for storing separately the raw data for a predetermined number of portions of the detected optical signal. The time of occurrence of the R-wave also may be stored in memory as a pointer for the raw data. This filter embodiment permits assigning a different weighting function to each raw data set corresponding to a different heartbeat in the memory, to improve the attenuation of artifacts and reduce the time needed to estimate the actual arterial pulsatile flow in the detected optical signal.

In this embodiment, for N predetermined heartbeats, the average value of the detected optical signal for those N heartbeats is computed by assigning a weight to each data set and adding the weighted data for each heartbeat synchronously into a buffer with a weight of 1, then dividing by N. After each computation, the data set from the oldest stored heartbeat is subtracted from the buffer. As a new R-wave is detected, the incoming data is added to the buffer, and the result is divided by N for computation of relative amplitudes of the two wavelength (red and infrared) periodic information. Thus, the equivalent delay in determining the arterial oxygen saturation is N/2 times the heartbeat interval. The stored R-wave pointer may be used to correlate the weight-

ing function with the raw data so that the oldest data is given the smallest weight and the most recent data is given the greatest weight, and after each composite heartbeat computation, the oldest data set can be subtracted from the buffer before the following newest data is added.

In an alternate embodiment of the time domain analysis techniques, the ECG and periodic information can be correlated by using a waveform product of the ECG signal and the detected optical signal to determine the location of the optical pulse from which oxygen saturation and heart rate values may be computed. The R-wave of the ECG has the largest slope component within the ECG waveform. In the optical pulse waveform in the portion of detected optical signal, the largest slope is created when the heart contracts to expell blood and thereby produce the arterial pulse. Thus, because of the determinable time interval between the ECG R-wave and the appearance of the optical pulse at the detection site, the detected optical signal can be moved backwards in time, relative to the ECG waveform, an amount equal to the determined time interval so that the portions of maximum slope in the two signals will be aligned, and their product will be at a maximum.

A periodic signals, such as motion artifact, having high slopes will not occur synchronously on the ECG and the detected optical signals. Therefore, once the two periodic waveforms are aligned, the largest slope product of the two will occur at the heart rate interval. Detection of the maximum slope product can be used to pinpoint the occurrence of a heartbeat, and the portion of the detected optical signal that is associated with that maximum slope product can be used for calculating oxygen saturation.

In this embodiment, the time interval between the R-wave and the optical pulse can be determined by collecting a predetermined time measure history of optical and ECG waveform data comprising n seconds. The time interval must be long enough for the samples to include at least one R-wave and one pule respectively, given that the heartbeat may vary from 20-30 beats per minute at the slowest rates. A measure of six seconds is acceptable. The samples are conventionally digitized and stored in memory. An array of sample-to-sample slopes is obtained for each n second sample of the ECG waveform and for the second half of each optical pulse waveform sample. The first half of the optical pulse sample is discarded so that when the first optical pulse in the second half is slid backwards, the first R-wave peak it will come upon will be its corresponding R-wave, and also so that the most recent heartbeat data is detected. An optical pulse in the first half of the sample could miss its R-wave.

The number of slope values in the second half of the optical waveform, i.e. the number of data points minus 1 at the given sampling rate of 57 samples per second (every 17.5 msec), is taken as m , which corresponds to $n/2$ seconds of data.

A slope product is obtained by multiplying each element of the optical slope array by its corresponding three and one-half points in the ECG slope array (the ECG signal is sampled every 5 msec) and summing the products. This process is repeated for each of the m optical sample points as the optical waveform slope array is moved backwards relative to the ECG slope array, one optical waveform sample at a time. The backwards slide terminates when the first sample of the ECG waveform is aligned with the first sample of the second half of the optical waveform.

The maximum slope product is found to occur after the optical waveform slop array has been slid x optical sample points backwards. This establishes the time interval t between the detection of the ECG R-wave and the detection of the optical pulse produced by the same heart contraction. This time interval t is expressed in terms of a number of

waveform samples, and is used in the determination of heartbeat occurrence.

Computation of the aligned waveform slope product will yield a slope product value for each optical waveform sample. A percentage of the maximum slope product produced during the establishment of the time interval component can be used to compute a maximum product threshold. For example, a percentage of 75% of the maximum slope product may be used. Thus, when the ECG and optical signal waveform slope product exceeds the maximum product threshold, it is likely that a true pulse has been located.

The "true" pulse, as it appears the detected optical signal, can then be validated and processed using known techniques for calculating oxygen saturation from detected optical signals. For example, the slope product could be used to synchronize the R-wave events so that the corresponding periodic information can be added in phase in accordance with the preferred embodiment of this invention, or the slope product could be used as an additional criterion for accepting the corresponding optical pulse as valid, and the oxygen saturation determination could be based only on the corresponding optical pulse. Alternately, the waveform product for the maximum and minimum values for the red and infrared waveforms could be used as the maximum and minimum values in calculating saturation. Also, one could integrate some portion of the selected waveform product waveform and compare the area of the change to the area of the total signal to obtain the relative transmittance for use in determining saturation. For example one could integrate the portion above a selected threshold and compare that area to the integral of the entire pulse.

Qualified "true" pulses are then used to update the slope product threshold value so that it will change as the patient's condition changes or as the quality of the received signals changes.

Applicants also have discovered that a time-measure of detected optical signals containing a plurality of periodic information corresponding to successive heartbeats can be collectively processed and analyzed using frequency domain techniques. These frequency domain techniques utilize the synchronous nature of the heartbeat and the asynchronous characteristics of noise, spurious signals, and motion artifacts.

In the frequency domain, the optical signals for a given wavelength corresponding to the pulsatile arterial blood flow have spectral components including a zero frequency at the background intensity level, a fundamental frequency at the frequency of the beating heart, and additional harmonic frequencies at multiples of the fundamental frequency. Noise, spurious signals, and motion artifact that appear in the detected optical signal have frequencies that spread across the spectrum. Transient changes in the average background intensity level have frequencies that appear spread out between the zero frequency and the fundamental frequency.

The frequency domain embodiment of the present invention provides a method and apparatus for collecting a time-measure of detected optical signals including a predetermined number of optical pulses, converting the collected detected optical signals into the frequency domain, and analyzing the spectral components of the frequency spectrum thereby to determine the red and infrared relative maxima intensity at the fundamental frequency, and relative minima at the background intensity zero frequency, for use as maxima and minima in the percentage modulation ratio for calculating oxygen saturation.

Applicants have discovered that if the digitized values of the time domain detected optical signals are stored in memory for a period of N heartbeats, and the stored data set is transformed into the frequency domain using Fourier Transforms, the amplitude of the fundamental heartrate is summed for the N heartbeats and appears in the frequency spectrum at a location of N cycles. In contrast, the amplitude of asynchronous signals is $1/m$ where m is the number of data pints in the digitized stored data set, and appear spread across the frequency domain spectrum. The average intensity of the detected optical signal background intensity appears at the spectral line corresponding to zero cycles and corresponds to the average background intensity for that wavelength.

If the detected optical signal for the red and infrared signals is considered as a single complex data set, i.e., having real and imaginary components, only a single Fourier transform is required to analyze the spectral contents of the collective time-measure of the two signals. If $F(s)$ represents the Fourier Transform of the complex data set $f(t)=\text{Red}(t)+j\text{IR}(t)$ (for $\text{Red}(t)$ being the red detected optical signal and $\text{IR}(t)$ being the infrared detected optical signal), the Fourier Transform of the real component of $f(t)$ is found by

$$F\{\text{Re}[f(t)]\}=\frac{1}{2}\{F(s)+F^*(-s)\}.$$

Similarly, the Fourier transform of the imaginary component of $f(t)$ is found by

$$F\{\text{Im}[f(t)]\}=\frac{1}{2j}\{F(s)-F^*(-s)\}.$$

$F^*(-s)$ is the complex conjugate of $F(s)$ with the indexes reversed.

The relative amplitudes of the red and infrared fundamentals at the heartrate has been found to be equivalent to the foregoing time domain techniques for computation of arterial oxygen saturation. The amplitude data may be found by searching the frequency spectrum in the region of expected heart rates for a relative maximum and insuring that this is the fundamental by determining the existence of another relative maximum at twice this rate. This provides a technique for obtaining relative modulation data to calculate arterial oxygen saturation without the need to identify the heart rate independently, e.g., by detecting the ECG. Alternately, the amplitude data at the fundamental may be found by the use of independent heart rate determining mechanism such as ECG or phonoplethysmography or the like to determine a heart rate. However, unlike the time domain techniques, the precise time of occurrence of each heartbeat need not be determined and the optical signal and a heart rate parameter need no be correlated to obtain accurate saturation values. Rather, it is sufficient to obtain an approximate indicator of heart rate, which will facilitate identification of the fundamental frequency and improve saturation reliability.

The number of spectral lines computed is preferably optimized to include the expected range of clinically applicable heartbeats (from 20–250 beats per minute), while the length of the data set is selected by the allowable equivalent delay in displaying measured arterial oxygen saturaton. A time-measure of data of, for example, 9–10 seconds represent delays of only 4–5 seconds in the display of computed saturations, and, depending upon the computational speed of the oximeter microprocessor, the time-measure can be updated in a timely fashion every 1 to 2 seconds.

In the preferred embodiment, the optical signal is digitized at 57 samples per second for each red and infrared signal. When 512 data points are accumulated, the data is Fourier transformed, and the red and infrared fundamental maxima are located. The percentage modulation ratio (red/infrared) is computed by dividing the energy at each maxima by the zero cycle background intensity for that wavelength, then dividing the red modulation by the infrared modulation. The resultant ratio, R , is the used in the manner set forth in the Lamber-Beers equations for calculating arterial saturation of hemoglobin. The collective data can be updated so that new data points replace the oldest data points by using a push down stack memory or equivalent so that the transform, evaluation and saturation calculation could be made after each new data set was obtained.

An alternative embodiment of the frequency domain analysis technique includes sampling the real tim ECG waveform and the real time detected optical signal at high rates, e.g., 1000 samples per second. By examining the ECG wave, the time of occurrence for each heartbeat and the appropriate sample rate to obtain m samples during that heartbeat could be determined. Thus, the data set for each heartbeat can be selected to contain the same number of m samples, where each sample is a fraction of the heartbeat period and N heartbeats contains mxN samples. Taking the Fourier transform of this mxN data set and processing the spectral components of the transform in the same manner as described previously, results in a spectral analysis having several additional advantages. First, the fundamental maximum would always occur at the spectral line for N cycles in "heartbeat" space. Second, any signal present in the data set which did not remain synchronous with the heart, including noise, artifact and transient background intensity changes, would be spread over the heartbeat spectrum. Third, the enhancement in signal-to-noise would be the same for all heart rates. Fourth, because only two spectral lines are of interest, the zero spectral line corresponding to the zero frequency background intensity and the N spectral line corresponding to the number of heartbeats for the data set, the Fourier Transform need only be made at the two frequency components and not of the entire spectrum, and the computation efforts required by the microprocessor are significantly diminished.

The apparatus of the present invention can be used for either time domain or frequency domain analyses, and includes inputs for the plethysmographic detected optical signals and ECG signals of a patient, an analog to digital converter for converting the analog plethysmographic signal to the digital optical signals and for converting the analog ECG signals into digital ECG signals (unless the plethysmographic or ECG signals are provided in digital form), and a digital signal processing section for receiving the digital signals and processing the digital detected optical signal in accordance with one of the foregoing analysis techniques of the present invention, including a microprocessor, memory devices, buffers, software for controlling the microprocessor, and display devices.

In its context, the apparatus of the present invention is a part of an oximeter device which has the capability to detect the red and infrared light absorption, and receive at ECG signal from the patient. In the preferred embodiment, the apparatus of this invention is a part of the Nellcor N-200 Pulse Oximeter (herein the "N-200 oximeter"), a commercially available noninvasive pulse oximeter device manufactured and sold by Nellcor, Incorporated, Hayward, Calif. U.S.A.

The N-200 oximeter is an improved version of the enhanced N-100 oximeter described above and in the prior application Ser. No. 742,720. The N-200 includes circuits that perform many of the same functions as in the N-100 device, but includes some changes, for example, to expand the dynamic range of the device over the N-100 device and to include a 16 bit microprocessor manufactured by Intel Corporation, Model No. 8088. The N-100 oximeter uses an 8 bit microprocessor manufactured by Intel Corporation, Model 8085. The N-200 oximeter includes software for controlling the microprocessor to perform the operations of the preferred embodiment of the time domain analysis techniques of present invention in addition to the conventional oximeter functions, and has some structure and processing methods that are unrelated to the present invention, and therefore are not discussed herein. The software could be modified to perform any of the other time domain or frequency domain analysis techniques of the present invention.

BRIEF DESCRIPTION OF THE DRAWINGS

FIGS. 1A and 1B are a block diagram of the apparatus of this invention and the apparatus associated with the present invention.

FIG. 2A is a detailed circuit schematic of the saturation preamplifier in the patient module of FIG. 1.

FIG. 2B is a detailed circuit schematic of the ECG preamplifier and input protection circuit in the patient module of FIG. 1.

FIGS. 3A and 3B are a detailed circuit schematic of the saturation analog front end circuit of FIG. 1.

FIG. 4 is a detailed circuit schematic of the LED drive circuit of FIG. 1.

FIG. 5 is a detailed circuit schematic of the ECG analog front end circuit of FIG. 1.

FIGS. 6A and 6B are a detailed circuit schematic of the analog to digital converter section of FIG. 1.

FIGS. 7A, 7B, and 7C are a detailed circuit schematic of the digital signal processing section of FIG. 1.

FIG. 8 is a detailed circuit schematic of the external ECG circuitry of FIG. 1.

FIGS. 9A, 9B, 9C, 9D, 9E and 9F are flow charts for the time domain ECG and optical signal processing of this invention.

FIG. 10 is a flow chart for the frequency domain optical pulse processing of this invention.

FIGS. 10A, 10B, 10C, 10D and 10E are a series of waveforms corresponding to the flow chart of FIG. 10.

DETAILED DESCRIPTION OF THE PREFERRED EMBODIMENT

Referring to FIGS. 1A and 1B, the preferred embodiment of the present invention relates to the apparatus for processing the detected analog optical signal and the analog ECG signal and comprises portions of analog to digital conversion section ("ADC converter") 1000 and digital signal processing section ("DSP") 2000, including the software for driving microprocessor 2040, which processes the digitized optical signals and ECG signals to determine the oxygen saturation of hemoglobin in arterial blood. Associated with the invention, but not forming a part of the invention, is the apparatus for obtaining the detected analog optical signals and the analog ECG signals from the patient that is part of or is

associated with the commercially available Nellcor N-200 Pulse Oximeter. Such apparatus include plethysmograph sensor 100 for detecting optical signals including periodic optical pulses, patient module 200 for interfacing plethysmograph sensor 100 and the conventional ECG electrodes with saturation analog front end circuit 300 and ECG analog front end circuit 400 respectively, saturation analog circuit 300 for processing the detected optical signals into separate red and infrared channels that can be digitized, and ECG analog front end circuit 400 for processing the ECG signal so that it can be digitized. The N-200 oximeter also includes external ECG input circuit 500 for receiving an external ECG signal and processing the signal so that it is compatible with the N-200 processing techniques (as explained below), LED drive circuit 600 for strobing the red and infrared LEDs in plethysmograph sensor 100 at the proper intensity to obtain a detected optical signal that is acceptable for processing, and various regulated power supplies (not shown) for driving or biasing the associated circuits, as well as ADC 1000 and DSP 2000, from line current or storage batteries.

The associated elements are straightforward circuits providing specified functions which are within the skill of the ordinary engineer to design and build. The associated elements are briefly described here, and reference is made to the corresponding detailed schematics in the Figures and circuit element tables set forth below, to place the apparatus for using the present invention in its operating context in the preferred embodiment.

In the preferred embodiment, the invention requires three input signals, the two plethysmograph or detected optical signals (e.g., red and infrared) and the ECG signal of the patient. If analog signals are provided, they must be within or be adjusted by, for example, offset amplifiers, to be within the voltage input range for the ADC. In circumstances where the signals have been digitized already, they must be bit compatible with the digital signal processing devices, DSP.

The plethysmograph signal is obtained in a conventional manner for a non-invasive oximeter, typically by illuminating the patients tissue with red and infrared light in an alternating fashion, in the manner described above for the N-100 oximeter. Referring to FIGS. 1A and 1B, sensor circuit 100 has red LED 110 and infrared LED 120 connected in parallel, anode to cathode, so that the LED drive current alternately illuminates one LED and then the other LED. Circuit 100 also includes photodetector 130, preferably a photodiode, which detects the level of light transmitted through the patient's tissue, e.g., finger 140, as a single, analog optical signal containing both the red and infrared light plethysmographic, detected optical signal waveforms.

Referring to FIGS. 1A, 1B, 2A, and 2B, patient module 200 includes preamplifier 210 for preamplifying the analog detected optical signal of photodetector 130, ECG preamplifier 220 for preamplifying the analog ECG signal detected from the ECG electrodes that would be attached to the patient in a conventional manner, and protection circuitry 250 interposed between instrumentation amplifier 220 and inverter 230 and the three ECG signal leads, to prevent high voltage transients from damaging the ECG preamplifier electronics.

Preamplifier 210 may be an operational amplifier configured as a current to voltage converter, biased by a positive voltage to extend the dynamic range of the system, thereby converting the photocurrent of photo-diode 130 into a usable voltage signal. ECG preamplifier 220 is preferably a high quality instrumentation amplifier which amplifies the differential signal present on the two ECG signal electrodes. The

common-mode signal present on the two signal electrodes is inverted by inverter 230 and returned to the patient by the third ECG lead, effectively nulling the common-mode signals. A biasing network on tee two ECG signal leads is provided to aid in the detection of when an ECG electrode lead becomes disconnected from patient module 200 or the patient. Patient module 200 also includes leads for passing the LED drive voltages to LEDs 110 and 120.

Referring to FIGS. 1A, 1B, 3A and 3B, saturation analog front end circuit 300 receives the analog optical signal from patient module 200 and filters and processes the detected signal to provide separate red and infrared analog voltage signals corresponding to the detected red and infrared optical pulses. The voltage signal is passed through low pass filter 310 to remove unwanted high frequency components above, for example, 100 khz, AC coupled through capacitor 325 to remove the DC component, passed through high pass filter 320 to remove any unwanted low frequencies below, for example, 20 hertz, and passed through programmable gain stage 330 to amplify and optimize the signal level presented to synchronous detector 340.

Synchronous detector 340 removes any common mode signals present and splits the time multiplexed optical signal into two channels, one representing the red voltage signals and the other representing the infrared voltage signals. Each signal is then passed through respective filter chains having two 2-pole 20 hertz low pass filters 350 and 360, and offset amplifier 370 and 380. The filtered voltage signals now contain the signal information corresponding to the red and infrared detected optical signals. Additionally, circuits for use in preventing overdriving the amplifiers in saturation circuit 300 may be applied, for example, level-sensing circuits 312 and 314 (located after low pass filter 310) for indicating unacceptable LED drive current, and level sensing circuit 315 (located after programmable gain amplifier 330) for indicating unacceptable input amplifier gain setting.

Referring to FIGS. 1A, 1B, and 8, ECG analog front end circuit 4000 receives the preamplified ECG signal from patient module 200 and processes it for use with the present invention. The analog ECG signal is passed through 2-pole 40 hertz low pass filter 410 for removing unwanted frequencies above 40 hertz, and programmable notch filter 420 for removing unwanted line frequency components. Optionally, circuitry may be provided to measure the line frequency and to select an appropriate clock frequency for the notch filter. The ECG signal is then passed through low pass filter 430, preferably configured to remove further unwanted components above about 40 hertz, and in particular any frequency components that may have been generated by notch filter 420. Thereafter, the ECG signal is passed through 2-pole 0.5 hertz high pass filter 440 to remove any low-frequency baseline shifts present in the original ECG signal, and then passed through offset amplifier 450 to add an offset voltage that the voltage is within the input signal specifications of the analog to digital converter device and the complete waveform will be properly digitized.

It also is desirable to pass the signal output from low pass filter 410 into a circuit that detects whether or not the ECG signal is being detected to identify a "leads-off" condition. The signal voltage is passed through absolute value circuit 480 to take the absolute value of the low pass filter output voltage and sends the value to comparator 490. Comparator 490 compares the absolute value voltage to a reference threshold or range and, when the absolute value voltage is not within the acceptable range, comparator 490 changes state which change is input to latch 495, to indicate this condition to, for example, the microprocessor.

Referring to FIGS. 1A, 1B and 8, the Nellcor N-200 device also is equipped with external ECG circuit 500 for receiving the ECG signal of a stand alone ECG detector device and processing the ECG signal so that it can be used with the N-200 oximeter and the present invention. Circuit 500 receives the external analog ECG signal, passes it across capacitor 510 to remove any DC offset voltage and then passes the signal through peak detection circuit 530. A portion of the AC coupled signal also is passed through buffer amplifier 520 and input to comparator 570. The held peak voltage is used as the reference threshold voltage that is fed to the other input of comparator 570 so that subsequent QRS complexes in the ECG signal that rise above the threshold generate a trigger signal that is transferred to DSP 2000 by an electrically isolated optical serial communication link comprising serial driving opto-isolator 580, electrically isolated optical link 590, and corresponding serial driving opto-isolator 2590 in DSP 2000.

Referring to FIGS. 1A, 1B, 6A and 6B, ADC 1000 provides the analog to digital conversions required by the N-200 oximeter. The aforementioned three voltage signals, the red detected optical signal, the infrared detected optical signal, and the ECG signal (preferably the ECG signal from patient module 200), are input to ADC 1000. These three signals are conventionally multiplexed and digitized by an expanded range 12-bit analog to digital conversion technique, yielding 16-bit resolution. The input signals are passed through multiplexor 1010 and buffer amplifier 1020. The converter stage includes offset amplifier 1030, programmable gain circuitry 1040 which allows a portion of the signal to be removed and the remainder to be further amplified for greater resolution, sample and hold circuit 1050, comparator 1060, and 12-bit digital to analog converter 1080. The buffered signal is passed through offset amplifier 1030 to add a DC bias to the signal wherein a portion of the signal is removed and the balance is amplified by being passed through programmable gain circuitry 1040 to improve the resolution. The amplified signal is then passed through sample and hold circuit 1050, the output of which is fed to one input of comparator 1060. The other input of comparator 1060 is the output of digital to analog ("DAC") converter 1080 so that when the inputs to comparator 1060 are the same, the analog voltage at the sample and hold circuit is given the corresponding digital word in DAC converter 1080 which is then stored in an appropriate memory device as the digitized data for the sample, and the next sample is sent to sample and hold circuit 1050 to be digitized.

Referring to FIGS. 1A, 1B, 4, 6A, 6B, 7A, 7B, and 7C, DAC 1080 also generates the sensor LED drive voltages, under the control of microprocessor 2040, using analog multiplexor 610, which separates the incoming analog signal into one of two channels for respectively driving the red and infrared LEDs, having respective sample and hold circuits 620 and 630, and LED driver circuit 640 for converting the respective analog voltage signal into the respective positive and negative bipolar current signals for driving LEDs 110 and 120.

Alternate techniques of converting the analog signals to digital signals could be used, for example, a 16-bit analog to digital converter.

Referring to FIGS. 1, 7A, 7B and 7C, DSP 2000 controls all aspects of the signal processing operation including the signal input and output and intermediate processing. The apparatus includes 16-bit microprocessor 2040 and its associated support circuitry including data bus 10, random access memory (RAM) 2020, read only memory (ROM)

2030, a conventional LED display- device 2010 (not shown in detail), system timing circuit 2050 for providing the necessary clock synchronizing and notch filter frequency signals. In the preferred embodiment, microprocessor 2040 is a model 8088 microprocessor, manufactured by Intel Corporation, Santa Clara, Calif. Alternate microprocessors may be used, such as any of model nos. 8086, 80186, and 80286, also made by Intel Corporation.

Referring to FIGS. 9A, 9B, 9C, 9D, 9E, and 9F and software Appendix A, the flowcharts for the software operation of the preferred embodiment are shown and described. Software appendix A is written in the standard programming language for Intel Model 8088 microprocessor devices.

Similar to the enhanced N-100 oximeter described in U.S. application Ser. No. 742,720, the N-200 oximeter incorporating the present invention is designed to determine the oxygen saturation in one of two modes, an unintegrated mode wherein the oxygen saturation determination is made on the basis of pulses detected in the optical pulse signal that are determined to be optical pulses in accordance with conventional pulse detection techniques, and in an ECG synchronization mode wherein the determination is based on the synchronized additive, composite optical signal information in accordance with the preferred embodiment of the present invention. In an alternate embodiment of the present invention, the determination of saturation in the unintegrated mode may be based on the frequency domain analysis techniques in accordance with this invention with or without the ECG synchronization feature of the time domain analysis techniques.

Referring to FIG. 9F, interrupt programs control the collection and digitization of incoming optical and ECG data. As particular events occur, various software flags are raised which transfer operation to various routines that are called from the Main Loop Processing routine. For example, Main Loop Processing calls the ECG routine at 3600, calls a routine that checks the LED levels at 3610 to make sure that there is enough and not too much light being transmitted, looks for the presence of new data at 3615, and if there is new data, calls the MUNCH routine at 3620, looks for processed pulse data at 3635 and passes such data to the Level13 routine that calculates saturation at 3640, and also runs various maintenance routines related to the oximeter functions which are not pertinent to the present invention, e.g., at 3625, 3630, 3645, 3650, 3655, and 3660 and are not discussed herein. The routines pertinent to the present invention are discussed here. Examples of similar and peripheral other routines may be found in the software appendix to application Ser. No. 742,720.

The detected optical signal waveform is sampled at a rate of 57 samples per second. When the digitized red and infrared signals for a given portion of detected optical signals are obtained, they are stored in a buffer called DATBUF and a software flag indicating the presence of data is set at 3615. This set flag calls a routine referred to as MUNCH as 3620, which processes each new digitized optical signal waveform sample. The MUNCH routine is called once per data point and determines pairs of maximum and minimum amplitudes in the detected signal data and presents the pairs to the Level13 routine. The Level13 routine evaluates the pair of maximum and minimum amplitudes determined by MUNCH, preferably utilizing conventional techniques for evaluating whether a detected pulse is acceptable for processing as an arterial pulse and performs the saturation calculation based on accepted pulses. The MUNCH routine first queries whether or not there is ECG synchronization. If there is ECG synchronization, then the

MUNCH routine obtains from the SLIDER routine the enhanced pulse data on which the ECG synchronized saturation calculation will be made. If there is not synchronization, MUNCH obtains the sample stored in DATBUF on which the unintegrated saturation calculation will be made.

Referring to FIG. 9A, the SLIDER routine processes each new digitized sample portion of detected optical signal containing the optical pulse to create and maintain the enhanced composite red and infrared optical pulse waveforms, synchronized with the occurrence of successive ECG R-wave events.

The SLIDER routine first inquires whether there is an ECG signal at 3100. If there is not, then the routine aborts to exit at 3160 to main line operation. If there is an ECG signal, then the SLIDER routine continues and checks the validity of the optical signal at 3110. If the digitized sample in the buffer DATBUF for either of the red or infrared channels contains an invalid datapoint, the full content of the slider buffer (SLIDEBUF) is erased and the routine exited. The validity of the data is checked by looking for zeros placed in the buffer. Zeros are placed in the buffer when the signal level of the LEDs changes to permit the 20Hz filters to settle, or if the signal exceeds the voltage limits of the processing electronics. This prevents processing of data known to be bad.

If the data are determined to be valid, then the SLIDER routine queries whether or not the data should be skipped at 3120. The optical signal sampling and data collection and processing of the sampled data are asynchronous processes. On occasion, the data buffer will have several unprocessed samples of data by the time the ECG R-wave event trigger occurs (described below). The R-wave event resets the slider buffer pointer to the beginning of the slider buffer and marks the R-wave data sample in DATBUF. SLIDER will not process a data point if the slider buffer pointer is reset already to the beginning of the slider buffer and if the incoming data point was digitized in DATBUF before the data point marked by the R-wave event. Data in the DATBUF buffer prior to the R-wave event are to be skipped. If the data are to be skipped, SLIDER is exited.

If the data are to be processed, SLIDER calculates the updated value for the composite portion waveform sample as "slider data" using the following formula:

$$\text{slider_data} = \frac{(\text{WEIGHT} - 1)}{\text{WEIGHT}} \times \text{slider_data} + \frac{1}{\text{WEIGHT}} \times \text{new-data}$$

wherein "WEIGHT" is the aforementioned fractional weighting fraction; "new data" is the data point taken from the incoming sample in DATBUF, and "slider data" is the pre-existing data point in the composite waveform in the slider buffer (SLIDEBUF) before the new data point is added and becomes the updated data point after the computation.

The computation is performed for each data point in DATBUF and any corresponding pre-existing data in the slider buffer. The occurrence of an R-wave event indicates the beginning of the heartbeat sample.

Before making the computation, SLIDER checks the slider buffer to see if there is any existing data at 3130. If there are data, then at 3150 SLIDER calculates the new value for the composite optical signal. If, however, the slider buffer is empty, then the WEIGHT value is assigned a numerical value of 1 at 3140, and subsequent new data points

will be weighted 100% and the routine continues to calculate a new value for the composite optical signal at 3150 until the occurrence of the next R-wave event corresponding to the following heartbeat and portion of detected optical signal.

The SLIDER routine also performs other housekeeping chores for the processing associated with the slider buffer. First, in the preferred embodiment, the slider buffer is given a specific length and is able to store about three seconds worth of data. If, for whatever reason, the microprocessor does not receive or recognize an R-wave for more than three seconds, the pointer of the slider buffer is set to point to the last location and does not get incremented beyond that location. Subsequently processed samples are each placed in the last location of the buffer until the next accepted R-wave occurs or a time-out condition occurs. Time-out occurs when no further R-wave events are accepted for a predetermined time of, e.g., five seconds. After time out has occurred, MUNCH is notified that ECG synchronization is lost so that saturation calculations will be based only on the optical signals in DATBUF in the unintegrated mode.

Second, SLIDER continuously compares the updated composite waveform in the slider buffer to the previous composite waveform. If there is a large discrepancy, for example, during electromechanical disassociation, SLIDER takes immediate action to disregard the slider buffer data.

Third, to avoid corrupting the integrity of the waveform data in the slider buffer whenever the apparatus hardware or software triggers a change that influences the signal level of the detected optical signal or the optical pulse waveform, the content of the slider buffer is erased.

Referring to FIG. 9B, the ECG BOX routine processes the ECG signal obtained through patient module 200 and analog ECG front end circuit to detect ECG R-wave events. The ECG signal is digitized every 5 msec and the digitized values are maintained in a circular buffer. The R-wave event is detected by observing the derivative of the ECG signal. The derivative is obtained at 3200 by application of the following algorithm:

$$\text{derivative_ecg_data}[n] = \text{abs}[-0.5 * \text{ecg_data}[n - 3] + 0.5 * \text{ecg_data}[n - 2] + 0.5 * \text{ecg_data}[n - 1] - 0.5 * \text{ecg_data}[n]]$$

where "ecg data[n]" is the digitized value for the ECG signal at sample location n and "ab []" is the absolute value of the bracketed quantity.

The largest magnitude spike in the derivative buffer marks the R-wave. Because the algorithm generates the absolute value of the derivative, the derivative buffer contains two spikes very close to each other, one for the positive-going portion and the other for the negative-going portion of the R-wave. After the first spike is recognized, a timer ecg block is set at 3250 to case ECG BOX to ignore the second spike.

Once the derivative value is obtained, and if the ecg block timer is not active, then the derivative value is compared to the ECG threshold at 3240. The ECG threshold is a value that is set at about 75% of the previous maximum derivative value. If the derivative is greater than the threshold, ECG BOX starts the ecg block timer by setting ecg block equal to true at 3250, and it replaces the maximum derivative value with the current derivative value at 3260, and calls the R-WAVE CHECKING routine at 3270. After the R-WAVE CHECKING routine is completed (as discussed below), ECG BOX is exited at 3280.

If the derivative is not greater than the threshold, then ECG BOX is exited at 3280. Once the ecg block timer is activated, ECG BOX will continue to calculate the derivative and compare the derivative to the prior maximum derivative value at 3220. If the calculated derivative is greater, then the maximum derivative value is set equal to the current derivative ecg data[n] at 3230 and the routine is exited. Otherwise the routine is exited.

Referring to FIG. 9E, the R-WAVE CHECKING routine receives the detected R-wave event at 3500 and checks the elapsed time since the last R-wave at 3510. If the elapsed time is less than the minimum internal time limit, preferably set at about 200 msec, the R-wave event is marked as a false R-wave event at 3520. If the elapsed time is greater than the minimum limit, then the routine starts a phasedelay timer/counter at 3530. The purpose of the phase-delay counter is to ensure that the optical data is placed into the beginning of the slider buffer after the optical signal minimum from the preceding pulse, but before the signal maximum of the next pulse. The preferred phase-delay period is 40 msec, based on the results of experimentation, and corresponds to the opening of the time window. It may be desirable to have a phase delay period that can be adjusted to accommodate varying optical signal detection conditions for different patients.

The Nellcor N-200 device is equipped with an external ECG input circuit as described above. The main line operating system controlling the operation of the N-200 device receives an interrupt when the external circuit 500 detects an R-wave. On receipt of the interrupt, a message is sent across isolated optical data transmission path 580-590-2590 (FIGS. 1A and 1B) to microprocessor 2040. The microprocessor then indicates to the ECG processing routines that an externally detected R-wave event has occurred, and the R-wave event is passed to the R-WAVE CHECKING routine. The external ECG analog circuit 500 thus performs the same function as the ECG BOX routine, i.e., determination of an R-wave event followed by the R-WAVE CHECKING routine. The ECG BOX routine is given priority over external ECG circuit 500 in passing signals to R-WAVE CHECKING.

Referring to FIG. 9C, the ECG routine provides for ECG synchronization, the initialization for slider buffer use, and various other tasks associated with ECG enhancement of the detected optical signal. The ECG routine is entered from the Main Loop Processing system (FIG. 9F, at 3600). Its first task is to maintain the ECG related counters/timers, such as ecg block and phase-delay, at 3300. Next, at 3310, it checks whether or not the ECG leads from patient module 200 are present, and if not, it checks at 3320 for the presence of an external R-wave event trigger from external ECG circuit 500. If no R-wave event is detected, then the ECG routine is exited at 3370.

At this point in the processing, the main line processing system is receiving R-wave events, either from external circuits 500 or from patient module 200 and ECG BOX. Regardless of the source of the R-wave event, the subsequent processing of the R-wave event is the same.

When an external R-wave event is detected or the ECG leads are present, the ECG routine calls the ECG LV3 routine, shown in FIG. 9D. ECG LV3 runs through a similar patient module 200 lead checking at 3410 or external circuit 500 trigger at 3420 at the ECG routine and if no R-wave event has occurred the routine is exited at 3480. If an

R-wave event is detected, it is first checked at 3425 to determine whether or not it is a new R-wave event, and if it is not, the ECG LV3 routine is exited. If it is a new R-wave event, 3430 uses the false R-wave flag (set by the R-WAVE CHECKING routine) to determine whether or not it was a true or false R-wave event. False R-waves will cause the routine to be exited at this point.

If the R-wave event is determined not to be a false R-wave, then the ECG-LV3 routine builds up a history of R-wave events based on the R-wave to R-wave interval at 3435. The criteria for accepting an R-wave includes the R-R period and the amplitude of the R-wave. For external ECG circuit 500 triggers, the R-wave even is a uniform pulse resulting from a comparison of the R-wave amplitude to a determined threshold signal.

After computing the R-R interval (or R-R delta) and history, the ECG LV3 routine checks to see if the ECG is synchronized at 3440. The ECG is synchronized after receiving the predetermined number, preferably five, acceptable R-wave triggers. For example, the ECG synch counter is initialized at five. The routine tests the ECG synch counter 3440 so that if it is greater than zero, the ECG is determined to be not synched, and then the ECG synch counter is decreased by one at 3455. Thus, when the ECG synch counter is at zero at 3440, indicating that the required prior five acceptable R-wave event have successively occurred, then it is determined that there is ECG synchronization and the device will proceed through MUNCH to calculate oxygen saturation based on the enhanced composite slider buffer calculations. Whether or not there is EC synchronization, any R-wave event is checked again at 3450 against the history and R-R interval, if any, to determine whether there is an error in synchronization. If there is an error, the ECG LV3 routine is exited. If there is no synchronization error, a routine is called at 3460 to compute the maximum length of time after which data in the slider buffer (SLIDEBUF) is disregarded. For example, if there is no prior R-R interval or history, then there will be no error for the first R-wave event. Subsequent true R-wave events will be compared to the prior R-R interval and history and if it appears to be a valid true pulse, then a routine is called to reset slider buffer pointers. However, the saturation calculation will be based upon the slider buffer data only after five R-waves have passed in synch and the synchronization flag is raised. Loss of synchronization resets the ECG synch counter to five.

The ECG LV3 routine also calculates the maximum length of the slider buffer based on the heart rate, which length is preferably 3 seconds or 2.5 times the determined R-R interval, whichever is the smaller. The ECG LV3 routine also maintains the slider pointers and counters, resetting them or clearing them as necessary, resets the ecg timeout and bad R-wave counter, computes and displays heart rate based on the R-R interval at 3465, updates the history buffers and sets the trigger for the MUNCH routine to calculate pulse data for determining oxygen saturation based on the updated slider buffer data at 3470, sets and computes windows for selecting the portion of detected optical signal to be processed for each heartbeat, based on the history and the most recent data at 3475. In the preferred embodiment, the windows are set to open by the R-WAVE CHECKING routine phase-delay counter/timer 40 ms after

the R-wave occurs and before the maximum optical pulse wave has occurred at the detection site, and set to close by the MUNCH routine after a maximum and minimum pair has been found.

Upon exiting ECG LV3, the program returns to the ECG routine and checks the threshold of the derivative buffer of the ECG BOX. If the maximum derivative value is changes substantially, which indicates that the R-wave slope is changing, then the threshold is adjusted.

Referring to FIGS. 10, 10A, 10B, 10C, 10D, 10E and the software appendix B, the flow chart for the software operation of the frequency domain embodiment of the present invention are shown. Software appendix B is written in the Asyst computer language which is a commercially available language.

The routine begins at 4000 with the acquisition of 512 data points for each of the digitized red and infrared optical signals, which are shown graphically at FIG. 10A. At 4010, the complex data set, $f(t)=\text{Red}(t)+j\text{IR}(t)$, is formed. At 4020, the "D.C." component is formed by summing all of the data points, and the "D.C." component is then removed from the complex data set by subtraction at 4030, which is graphically shown at FIG. 10B. The resulting data is then decimated in time to 64 samples at 4040, which is illustrated in FIG. 10C, and the time decimated data is then processed by the Hamming Window function at 4050, which result is illustrated in FIG. 10D. Thereafter, the Fourier Transform is taken at 4060. The spectral components of the transform are shown in FIG. 10E. The Fourier Transforms of the red and infrared components are then calculated at 4070 in accordance with the aforementioned equations, and at 4080 the maximum value at the fundamental heart rate and the minimum value at the zero frequency are determined for each of the red and infrared transforms. The saturation ratio R is calculated as:

$$R = \frac{\text{peak at heartrate for red}}{\text{Re("D.C.")}} \div \frac{\text{peak at heartrate for infrared}}{\text{Im("D.C.")}}$$

The minimum values for the red and infrared waveforms are taken from the respective real and imaginary components of the "D.C." component. Thereafter, the pulse data is declared ready and saturation is calculated in accordance with the foregoing saturation formula. With each occurrence of the heartbeat, new data is acquired, the 512 data point set is updated and the routine operates to determine the saturation ratio R.

In the preferred embodiment, the blood constituent measured is the oxygen saturation of the blood of a patient. The calculation of the oxygen saturation is made based on the ratio of the pulse seen by the red light compared to the pulse seen by the infrared light in accordance with the following equation:

$$\text{Saturation} = 100\% \times \frac{\text{BR2} - \text{R}(\text{BR1})}{\text{R}(\text{BO1} - \text{BR1}) + \text{BR2} - \text{BO2}}$$

wherein

BO1 is the extinction coefficient for oxygenated hemoglobin at light wavelength 1 (Infrared)

23

BO2 is the extinction efficient for oxygenated hemoglobin at light wavelengths **2** (red)
 BR1 is the extinction coefficient for reduced hemoglobin at light wavelength **1**
 BR2 is the extinction coefficient for reduced hemoglobin at light wavelength **2**
 light wavelength **1** is infrared light
 light wavelength **2** is red light
 and R is the ratio of the optical density of wavelength **2** to wavelength **1** and is calculated as:

$$R = \frac{\ln [I_{max2}/I_{min2}]}{\ln [I_{max1}/I_{min1}]}$$

24

wherein

I_{max2} is the maximum light transmitted at light wavelength **2**

I_{min2} is the minimum light transmitted at light wavelength **2**

I_{max1} is the maximum light transmitted at light wavelength **1**

I_{min1} is the minimum light transmitted at light waveguide **1**

The various extinction coefficients are determinable by empirical study as is well known to those of skill in the art. For convenience of calculation, the natural log of the ratios may be calculated by use of the Taylor expansion series for the natural log.

Circuit Tables

<u>REF #</u>	<u>CHIP</u>	<u>MFR PART #</u>	<u>Manufacturer</u>	<u>DESCRIPTION OF CHIP</u>
FIG. 2				
210	U2	LF442	NATIONAL SEMICONDUCTOR	DUAL LOW POWER OP AMP
220	U1	INA101HP	BURR BROWN	INSTRUMENTATION AMP
230	U2	LF442	NATIONAL SEMICONDUCTOR	DUAL LOW POWER OP AMP
FIG. 3.				
312	U27	LF444	NATIONAL SEMICONDUCTOR	QUAD JFET OP AMP
312	U28	LP365N	NATIONAL SEMICONDUCTOR	QUAD VOLTAGE COMPARATOR
310	U27	LF444	NATIONAL SEMICONDUCTOR	QUAD JFET OP AMP

320	U27	LF444	NATIONAL SEMICONDUCTOR	QUAD JFET OP AMP
330	U44	MP7524LN	MICROPOWER	8-BIT DAC
330	U32	LF444	NATIONAL SEMICONDUCTOR	QUAD JFET OP AMP
330	U32	LF444	NATIONAL SEMICONDUCTOR	QUAD JFET OP AMP
315	U20	LP365N	NATIONAL SEMICONDUCTOR	QUAD VOLTAGE COMPARATOR
340	U32	LF444	NATIONAL SEMICONDUCTOR	QUAD JFET OP AMP
340	U14	DG243CJ	SILICONIX INCORPORATED	ANALOG SWITCH
340	U7	LF444	NATIONAL SEMICONDUCTOR	QUAD JFET OP AMP
340	U13	LF444	NATIONAL SEMICONDUCTOR	QUAD JFET OP AMP
350	U7	LF444	NATIONAL SEMICONDUCTOR	QUAD JFET OP AMP
360	U13	LF444	NATIONAL SEMICONDUCTOR	QUAD JFET OP AMP
370	U7	LF444	NATIONAL SEMICONDUCTOR	QUAD JFET OP AMP
380	U13	LF444	NATIONAL SEMICONDUCTOR	QUAD JFET OP AMP
340	U19	DG211CJ	SILICONIX INCORPORATED	CMOS ANALOG SWITCH
FIG. 4				
640	U19	DG211CJ	SILICONIX INCORPORATED	CMOS ANALOG SWITCH
640	U32	LF444	NATIONAL SEMICONDUCTOR	QUAD JFET OP AMP
FIG. 5				
410	U12	LF444	NATIONAL SEMICONDUCTOR	QUAD JFET OP AMP
420	U6	LTC1059CN	LINEAR TECHNOLOGY	SWITCHED CAPACITOR FILTER
430	U12	LF444	NATIONAL SEMICONDUCTOR	QUAD JFET OP AMP
440	U12	LF444	NATIONAL SEMICONDUCTOR	QUAD JFET OP AMP
440	U19	DG211CJ	SILICONIX INCORPORATED	CMOS ANALOG SWITCH
450	U12	LF444	NATIONAL SEMICONDUCTOR	QUAD JFET OP AMP
480	U5	LF444	NATIONAL SEMICONDUCTOR	QUAD JFET OP AMP
490	U4	LM393N	NATIONAL SEMICONDUCTOR	VOLTAGE COMPARATOR
495	U10	74HC00	TEXAS INSTRUMENTS	HIGH SPEED CMOS
495	U3	74HC74	TEXAS INSTRUMENTS	HIGH SPEED CMOS

FIG. 6

1010	U24	DG528CK	SILICONIX INCORPORATED	OCTAL ANALOG SWITCH
1020	U25	LF444	NATIONAL SEMICONDUCTOR	QUAD JFET OP AMP
1030	U25	LF444	NATIONAL SEMICONDUCTOR	QUAD JFET OP AMP
1040	U38	AD7524LN	ANALOG DEVICES	DAC
1040	U42	74HC374	TEXAS INSTRUMENTS	HIGH SPEED CMOS
1040	U37	LF442N	NATIONAL SEMICONDUCTOR	LOW POWER OP AMP
1050	U36	LF398N	NATIONAL SEMICONDUCTOR	SAMPLE & HOLD OP AMP
1060	U29	LM211P	TEXAS INSTRUMENTS	LOW OFFSET VOLTAGE COMPARATOR
1080	U43	AD7548KN	ANALOG DEVICES	CMOS 12-BIT DAC
1080	U31	LF411ACN	NATIONAL SEMICONDUCTOR	LOW OFFSET OP AMP
1080	U25	LF444	NATIONAL SEMICONDUCTOR	QUAD JFET OP AMP
610	U18	DG528CK	SILICONIX INCORPORATED	OCTAL ANALOG SWITCH
620	U11	LF444	NATIONAL SEMICONDUCTOR	QUAD JFET OP AMP
630	U11	LF444	NATIONAL SEMICONDUCTOR	QUAD JFET OP AMP

FIG. 7

	U2	82C84A-2	NEC	CMOS 8 MHZ CLOCK GENERATOR
	U1	74HC74	TEXAS INSTRUMENTS	HIGH SPEED CMOS
	U1	74HC74	TEXAS INSTRUMENTS	HIGH SPEED CMOS
2040	U8	MSM80C88RS-2	OKI ELECTRIC	CPU 8MHZ, 125ns
	U3	74HC74	TEXAS INSTRUMENTS	HIGH SPEED CMOS
	U33	74HC374	TEXAS INSTRUMENTS	HIGH SPEED CMOS
	U9	74HC04	TEXAS INSTRUMENTS	HIGH SPEED CMOS
	U3	74HC74	TEXAS INSTRUMENTS	HIGH SPEED CMOS
	U9	74HC04	TEXAS INSTRUMENTS	HIGH SPEED CMOS
	U19	74HC00	TEXAS INSTRUMENTS	HIGH SPEED CMOS
	U9	74HC04	TEXAS INSTRUMENTS	HIGH SPEED CMOS
2030	U21	MBM27C512-25	FUJITSU LIMITED	CMOS 64K X 8 ROM
2020	U15	DS1242	DALLAS SEMICONDUCTOR	CMOS 32K X 8 RAM
	U23	74HC138	TEXAS	HIGH SPEED CMOS

		INSTRUMENTS		
	U17	74HC138	TEXAS	HIGH SPEED CMOS
	U19	74HC00	INSTRUMENTS TEXAS	HIGH SPEED CMOS
	U19	74HC00	INSTRUMENTS TEXAS	HIGH SPEED CMOS
	U16	82C51A	INSTRUMENTS OKI ELECTRIC	CMOS UART
	U22	MSM82C59A-2RS	OKI ELECTRIC	CMOS INTERRUPT CONTROLLER
2050	U34	MSM82C53-2	OKI ELECTRIC	CMOS TRIPLE TIMER
2050	U38	MSM82C53-2	OKI ELECTRIC	CMOS TRIPLE TIMER
2050	U9	74HC04	TEXAS	HIGH SPEED CMOS
			INSTRUMENTS	
2050	U39	74HC393	TEXAS	HIGH SPEED CMOS
			INSTRUMENTS	
2050	U35	D2732A	INTEL	4096 X 8 ROM
			CORPORATION	
2050	U40	74HC374	TEXAS	HIGH SPEED CMOS
			INSTRUMENTS	
2050	U28	74HC374	TEXAS	HIGH SPEED CMOS
			INSTRUMENTS	
FIG. 8				
520	U3	LF444	NATIONAL	QUAD JFET OP AMP
			SEMICONDUCTOR	
530	U2	LF444	NATIONAL	QUAD JFET OP AMP
			SEMICONDUCTOR	
530	U3	LF444	NATIONAL	QUAD JFET OP AMP
			SEMICONDUCTOR	
570	U7	LM311N	NATIONAL	VOLTAGE COMPARATOR W/STROBE
			SEMICONDUCTOR	

```

ECG:
: ECG MAIN "ECG"
ecg_00:
    mov    al,ecg_sw      ;check if to do any ECG
    or     al,al
    jnz    short ecg4

    call   ecg_clock     ;can't do anything if leads off
    call   LEADS_CHK
    jnc    SHORT_ECG1
    TEST  ECG_MODE,EXT_TRIG
    jnz   SHORT_ECG1     ;USING EXTERNAL TRIGGER IF SET
    cmp    ecg_moflg.1
    je     short ecg0
    cmp    ecg_dly.0
    jne   short ecg0
    call   ECG_TMO
    mov    ecg_moflg.1

ecg0:
    RET

ECG1:
    CALL   ecg_lv3
    call   set_thresh    ;initial threshold adjustment
    call   chk_thresh    ;check and adjust threshold

ECG3:
    MOV    AX,ecddid:
    ADD    AX,2
    AND    AX,ECG_B_MSK
    MOV    ecddid,AX
    RET

ecg4:
    cmp    ecg_sync.1
    je     short ecg5
    call   ecg_init

ecg5:
    ret

: SUBTTL ECG LEVEL 3
    
```

ECG LV3:
: COMPUTE HEART RATE FROM ECG R WAVE THIS ROUTINE FIGURES OUT WHETHER EKG
: AND/OR OXIMETER ARE SYNCED. IF EKG ONLY, THEN DO CONFIDENCE CHECKING ON
: PERIOD AND DISPLAY AND ALARM CHECK FOR THE HEART RATE IF OXIMETER IS SYNCED.
: THEN DETERMINE Heart Rate BY THE R-WAVE PERIOD, IF THE CONFIDENCE IS GOOD.
:


```

      cmp     dx, ecgmax      ;if a new dc max is found
      jbe    atod_1b        ;
      mov     ecgmax, dx     ; update ecgmax
      jmp     atod_1c
atod_1b:
      cmp     dx, ecgmin     ;else if a new dc min is found
      jae    atod_1c        ;
      mov     ecgmin, dx     ; update ecgmin
      jmp     atod_1c
atod_1a:
      mov     ecgmax, dx     ;if we are in a initializing mode
      mov     ecgmin, dx     ; load the new max
      mov     init_max_min, 0 ; and min
      ; clear the request to initialize
atod_1c:
      inc     bx              ;point ecddidx
      inc     bx              ; to the next element
      and     bx, ECG_B_MSK   ; in the ecg_buf
      mov     ecddidx, bx    ; ring buffer
      inc     FIVE_MS        ;count one more time running this routine
      mov     ax, ecgzv      ;get the absolute ecg signal
      add     ax, gndv       ; i.e. Vecg = ecg noise gate + ground voltage
      sub     ax, dx         ; delta is Vecg - Vecgzero
      jns    atod11
      neg     ax
atod11:
      cmp     ax, 41          ;is the ecg signal less than 100 mV
      jb     atod12          ;yes, then it's inside noise range
      mov     front_ecg_tmout, TM10SEC ;no, re-trig front panel ecg timeout
      jmp     short atod13   ; and go send out the data
atod12:
      cmp     front_ecg_tmout, 0 ;ecg signal inside noise
      je     atod_15        ;any front panel ecg input ?
      ;no, then nothing to send out
atod13:
      mov     AX, DX          ;send ecg data
      call    SEND_ECG       ;TO POWER BASE
atod_15:
      pop     bx              ;retrieve index of value just converted
      ;that pointed to ecg_buf
      mov     dx, OFFSET DGROUP: ECG_BUF [BX] ;if ecg value
      cmp     dx, max_ecg_lev ; is not within
      jae    short atod_15a ; the max and min
      cmp     dx, min_ecg_lev ; of the allowable
      jbe    short atod_15a ; ecg levels
atod_15a:
      or     ecg_mode, ignore_rnw ; next rwave
      mov     ignore_rnw_far, ignore_rnw_time ; for a limited time
atod_15b:
      call    ecgbox         ;make entry into parallel buffer ///
      call    chktars
      ret
ECG_INIT:
      mov     ext_ecg_blk, 0   ;init extern. rwave block counter
      mov     ECGSDLY, 4
ecgin@a:
      mov     ECGPGN, 1
      mov     ECGOFV, 0
      mov     ECGCTR, 2        ;sampling intrv=3 ms.
      mov     ECGFQ, 2
      and     SH_PAT_SAV, ECGDSABL
      mov     DECTAV, DELTA_MIN
      mov     front_ecg_tmout, TM15SEC ;init front panel timeout
      ;counter with 15 sec
ecg_init1:
      mov     ecg_SYNC, 1
-----
; TITLE: ECG_TMO
; FUNCTION: ecg time out
ECG_TMO:
ECG_TMO_2:
      cmp     ecg_SYNC, 1
      je     SHORT_TMO_3
      test    ECG_MODE, TMO_FLG
      jz     SHORT_TMO_4
      jmp     TMO_1
TMO_4:
      or     ECG_MODE, TMO_FLG
TMO_3:
      mov     bl, ecgsdly
      cmp     ecgsdly, 0
      jne    short tmo_3a
      mov     ecgisecal, 0
tmo_3a:
      mov     ECGSDLY, 4
tmo_3a@:
      mov     WINDFAC, 3        ;START OUT AT 12.5%
      mov     MCH_FLG, 1       ;ENABLE MUNCH ON TIMEOUT
      mov     ecg_syndly, 4    ;dab ///
      ;since chktars will increment winter1 and 2 as long as winflg1 and 2 are
      ;zero, and since you don't want winter1 or 2 to begin counting
      ;until you're synced on ecg, initialize these variables to something
      ;non zero, dab ///
      mov     winflg1, 2
      mov     winflg2, 2
      mov     winter1, 0
      mov     winter2, 0
      call    clrpdbfrs
      call    clr_rr_hist
      mov     first_fwv_seen, 1 ;for ecg lv3 dab ///
      cmp     p1star, 40       ;check pulse timeout counter
      jbe    tmo_33
      mov     p1star, 40

```

```

tmo_j3:
MOV     AL, ECG_MODE
AND     AL, NOT (EXT_TRIG)
OR      AL, MODE_1           !SET IDLE MODE
MOV     ECG_MODE, AL
XOR     AX, AX
MOV     ECGPERIOD, AX
MOV     RRPER, AX
MOV     ecg_tar, al
MOV     AL, ecg_SYNC
OR      AL, AL
JNZ     SHORT TMO_1
CMP     SYNFLG, 0
JE      SHORT TMO_0
XOR     AX, AX
MOV     FRATE, AL

tmo_j3a:
MOV     RATE, AL
MOV     VRATE, AX
CALL    send_sat           !update analog output //anb

TMO_0:
CALL    DSPSR
OR      AL, AL
AND     AL, AL
INC     AL
CALL    EDGALMCHK
CALL    ALMCHK
DEC     AL
MOV     CL, LOWRATE OR HIRATE
CALL    CLRIT2
CMP     AL, ICTR, 0
JE      SHORT TMO_1
MOV     AL, MDLY, 1

TMO_1:
MOV     al, slide_mode     !for slider - if use_ecg, ///
JZ     al, use_ecg         !use_ecg (= false, and ///
AND     al, not (use_ecg)  !clear munch's parameters ///
MOV     slide_mode, al    !
MOV     perctr, 0         !
MOV     al, 0             !just for fun, reset perctr ///
MOV     BX, OFFSET MCHMOD !RESET MUNCH'S PARAMETERS ///
MOV     CX, 11            !

tmo_1b:
MOV     BYTE PTR [BX], AL  !
INC     BX                 !
LOOP   tmo_1b             !

tmo_1a:
CALL    rwv_lost
RET

rwv_lost:
MOV     runsum, 0          !for ecgbox ///
MOV     ecgboxcnt, 4      !ditto ///
MOV     ax, thresh_min
MOV     max_thresh, ax    !set threshold for min. temp.
MOV     max_deriv, ax
MOV     first_rvw_flg, 1 !wait for first r-wave
MOV     init_max_min, 1  !next call to ecgdatd should
MOV     least_rvw_lv3, 255 !initialize ecgmax, ecgmin
RET                                     !the next call to ecg_lv3 should
                                       !update this value

LEADS_CHK:
!CHECK FOR ECG LEADS OFF...SET CARRY IF LEADS ARE OFF
XOR     AX, AX
TEST    STATUS, LEADS_BIT
MOV     CLR_LEADS, AL     !CLEAR LEADS BIT FOR NEXT TIME
JZ     SHORT LCL_1       !IF 1, LEADS ARE OK
OR      ECG_MODE, LEADS_OFF !SET LEADS OFF FLAG
OR      COSTA, ECGOFF
AND     SH_PAT_SAV, ECGDSABL !DISABLE ECG INPUT TO COMPARATOR
STC
RET

LCL_1:
LCL_2:
AND     ECG_MODE, NOT (LEADS_OFF); CLEAR BIT
OR      COSTA, NOT ECGOFF
OR      SH_PAT_SAV, NOT ECGDSABL
CLC
RET

! Checking and adjusting threshold for ecgboxcar modul
chk_thresh:
TEST    ecg_block, 0ffffh !wait til 50 ms is over
JNZ     ct_ref
TEST    block_flg, 1      !adjust just one time after every r-wave
JZ     ct_ref
DEC     block_flg         !clear block active flag
MOV     ax, old_deriv     !take 75% of old max
MOV     bx, ax
MOV     cx, 2
SHR    bx, cx
SUB     ax, bx
MOV     bx, max_deriv    !and 25% of new max
SHR    bx, cx
ADD     ax, bx           !new average max value
MOV     old_deriv, ax
MOV     bx, ax           !and take 75% of this value
SHR    bx, cx
SUB     ax, bx
JMP     ct21             !jump! ignore the rest

```



```

ct2i:    cmp     ax,thresh_min    !if threshold ( min
        ja     ct3
ct3:     mov     ax,thresh_min    !set min value
ct_ret:  mov     max_thresh,ax    !set threshold
        ret

set_thresh:
        test    find_max_req,0fffh
        jz     st1              !return if no max deriv search mode
        test    find_cnt,0ffffh
        jnz    st_ret          !return if inside 2 sec time interval
        mov     find_max_req,0    !reset flag
        mov     ax,max_deriv     !calculate 75% of max deriv value
        mov     old_deriv,ax     !save average max_deriv
        mov     bx,ax
        mov     cx,2
        shr     bx,cx
        sub     ax,bx
        mov     max_thresh,ax    !new threshold value
        inc     set_flg         !check if any r-wave in the next 2 sec
        mov     set_flg_cnt,TIME2000+5
        jmp     min_chk

st1:     test    set_flg,0fffh    !inside the next 2 sec interval
        jz     st_ret          !jump if no
        test    set_flg_cnt,0ffffh
        jnz    st_ret          !jump if ( 2 sec
        mov     set_flg,0        !reset flag
        cmp     ecgdiy,0
        je     st_ret          !jump ecg is synced

        mov     ax,max_thresh
        mov     bx,ax
        shr     bx,1
        shr     bx,1
        sub     ax,bx
        mov     max_thresh,ax    !load min value
        inc     set_flg         !look for max again
        mov     find_cnt,TIME1000+5

min_chk:
        mov     ax,thresh_min    !check threshold value against min_thresh
        cmp     ax,max_thresh
        jbe    st_ret
        mov     max_thresh,ax    !load minimum threshold value

st_ret:  ret

!calc_slide_tmo calculates how long an interval may elapse since
!the last good R wave before the slider buffer should be cleared.
!it uses 2 and 1/2 times the average R-R period. ///
calc_slide_tmo:
        mov     ax,ravg
        mov     bx,ax
        shl     ax,1
        jc     calc1           !overflow
        shr     bx,1
        add     ax,bx
        jc     calc1           !overflow
        jmp     calc2

calc1:   mov     ax,0ffffh     !set to maximum
calc2:   mov     slide_tmo,ax
        ret

!clr_rr_hist clears the r-rr history buffer dab ///
clr_rr_hist:
        mov     cx,8
        mov     di,offset ds:rrper    !clear 8 words from es:di
        push    ds
        pop     es
        xor     ax,ax
        cld
        rep    stosw
        ret

!Ecg boxcar filter.
ecgbox:
        mov     dx,bx
        mov     di,offset ds:ecg_buf    !save ecgdiidx
        cmp     word ptr [bx+di],0    !@ data?
        jne    ecgb0
        mov     runsum,0
        mov     ecgboxcnt,4
        mov     cx,0
        jmp     ecgb1
        !yes, initialize the sum, set countdown

ecgb0:   sub     bx,8
        and     bx,ecgmask
        mov     AX,runsum
        cmp     ecgboxcnt,0
        jne    ecgb0b
        sub     AX,WORD PTR [BX + DI]
        !have bx index ecg_buf[ecgdiidx-4]
        !safe to subtract oldest value?
        !yes

ecgb0b: mov     bx,dx
        add     ax,WORD PTR [BX + DI]    !retrieve ecgdiidx
        mov     runsum,AX
        cmp     ecgboxcnt,0
        je     ecgb2
        dec     ecgboxcnt
        !AX contains runsum
        !sums valid yet?
        !yes
        !no

```

```

    cap      ecgboxcnt, 0          |sums valid yet to compute entry?
    ja      ecgb2
    mov      cx, 0
    jmp     short ecgb1
ecgb2:
    shr     AX, 1                  |get 1/2 running sum
    sub     bx, 1
    and     bx, ecgmask           |bx indexes ecgbuf[ecgdidx-1]
    mov     cx, word ptr [bx + di] |cx contains ecgbuf[ecgdidx-1]
    sub     bx, 2
    and     bx, ecgmask           |bx indexes ecgbuf[ecgdidx-2]
    add     cx, WORD PTR [bx + DI] |cx contains sum of middle two points
|following code determines whether aidxsum ) 1/2 running sum
|cx to point to larger value, ax to smaller.
|Purpose is to compute aidxsum - 1/2 running sum!
|On entry ax contains 1/2 running sum.
    cap      cx, ax
    jae     ecgb1a
    xchg    cx, ax
ecgb1a:
    sub     cx, ax
ecgb1:
    mov     bx, dx
    mov     di, offset ds:ecgboxbuf |retrieve ecgdidx
    mov     word ptr [bx + di], cx |enter answer
    cap     ecg_block, 0
    ja     ecgb1b
    cap     cx, max_deriv
    jbe    ecgb12
    mov     max_deriv, cx
    jmp     ecgb12
ecgb1b:
    cap     cx, max_thresh
    jbe    short ecgb12
    cap     ecg200ms, 0
    jne    short ecgb1c
    mov     ecg_block, TIME100
ecgb1c:
    test    ecg_mode, ignore_rvw
    jz     short ecgb1d
    jmp     short ecgb12
ecgb1d:
    cap     first_rvw_flg, 1
    ja     short ecgb1ca
    mov     max_deriv, cx
ecgb1ca:
    call    rwave_chk
ecgb12:
    ret

slide:
    push    bx
    mov     al, slide_mode
    test    al, use_ecg
    jnz    slide0
    jmp     no_ecg
slide0:
    xor     al, al
    cap     mode, 2
    ja     slide1
    mov     al, weight
slide1:
    mov     this_weight, al
    lea    bx, datbuf
    mov     al, dopidx
    xor     ah, ah
    add     bx, ax
    mov     cx, [bx]
    mov     ax, [bx+2]
    or     cx, ax
    jnz    short slide4
    mov     al, slide_mode
    and    al, not (use_ecg)
    or     al, skip_next_rvw
    mov     slide_mode, al
    cap    metermode, 4
    jne    short slide2
    mov     metermode, 3
    jmp     short slide3
slide2:
    cap    metermode, 6
    jne    short slide3
    mov     metermode, 5
slide3:
    mov     ax, 0
    call    clr_newbuf
    mov     newidx2_on, 0
    jmp     slide4
slide4:
    mov     al, dopidx
    cap    al, datrig
    mov     al, slide_mode
    jnz    short slide5
    and    al, not (shld_skip)
    mov     slide_mode, al
    mov     schmod, 0
    jmp     short slide7
slide5:
    test   al, shld_skip
    jz     short slide7
    mov     al, newidx2_on
    or     al, al
    jnz    slide6
    jmp     slide4
slide6:
    mov     al, slide_mode
    test    al, use_ecg
    jnz    slide0
    jmp     no_ecg
slide7:
    mov     al, slide_mode
    test    al, use_ecg
    jnz    slide0
    jmp     no_ecg
slide8:
    mov     al, slide_mode
    test    al, use_ecg
    jnz    slide0
    jmp     no_ecg
slide9:
    mov     al, slide_mode
    test    al, use_ecg
    jnz    slide0
    jmp     no_ecg
slide10:
    mov     al, slide_mode
    test    al, use_ecg
    jnz    slide0
    jmp     no_ecg
slide11:
    mov     al, slide_mode
    test    al, use_ecg
    jnz    slide0
    jmp     no_ecg
slide12:
    mov     al, slide_mode
    test    al, use_ecg
    jnz    slide0
    jmp     no_ecg
slide13:
    mov     al, slide_mode
    test    al, use_ecg
    jnz    slide0
    jmp     no_ecg
slide14:
    mov     al, slide_mode
    test    al, use_ecg
    jnz    slide0
    jmp     no_ecg
slide15:
    mov     al, slide_mode
    test    al, use_ecg
    jnz    slide0
    jmp     no_ecg
slide16:
    mov     al, slide_mode
    test    al, use_ecg
    jnz    slide0
    jmp     no_ecg
slide17:
    mov     al, slide_mode
    test    al, use_ecg
    jnz    slide0
    jmp     no_ecg
slide18:
    mov     al, slide_mode
    test    al, use_ecg
    jnz    slide0
    jmp     no_ecg
slide19:
    mov     al, slide_mode
    test    al, use_ecg
    jnz    slide0
    jmp     no_ecg
slide20:
    mov     al, slide_mode
    test    al, use_ecg
    jnz    slide0
    jmp     no_ecg
slide21:
    mov     al, slide_mode
    test    al, use_ecg
    jnz    slide0
    jmp     no_ecg
slide22:
    mov     al, slide_mode
    test    al, use_ecg
    jnz    slide0
    jmp     no_ecg
slide23:
    mov     al, slide_mode
    test    al, use_ecg
    jnz    slide0
    jmp     no_ecg
slide24:
    mov     al, slide_mode
    test    al, use_ecg
    jnz    slide0
    jmp     no_ecg
slide25:
    mov     al, slide_mode
    test    al, use_ecg
    jnz    slide0
    jmp     no_ecg
slide26:
    mov     al, slide_mode
    test    al, use_ecg
    jnz    slide0
    jmp     no_ecg
slide27:
    mov     al, slide_mode
    test    al, use_ecg
    jnz    slide0
    jmp     no_ecg
slide28:
    mov     al, slide_mode
    test    al, use_ecg
    jnz    slide0
    jmp     no_ecg
slide29:
    mov     al, slide_mode
    test    al, use_ecg
    jnz    slide0
    jmp     no_ecg
slide30:
    mov     al, slide_mode
    test    al, use_ecg
    jnz    slide0
    jmp     no_ecg
slide31:
    mov     al, slide_mode
    test    al, use_ecg
    jnz    slide0
    jmp     no_ecg
slide32:
    mov     al, slide_mode
    test    al, use_ecg
    jnz    slide0
    jmp     no_ecg
slide33:
    mov     al, slide_mode
    test    al, use_ecg
    jnz    slide0
    jmp     no_ecg
slide34:
    mov     al, slide_mode
    test    al, use_ecg
    jnz    slide0
    jmp     no_ecg
slide35:
    mov     al, slide_mode
    test    al, use_ecg
    jnz    slide0
    jmp     no_ecg
slide36:
    mov     al, slide_mode
    test    al, use_ecg
    jnz    slide0
    jmp     no_ecg
slide37:
    mov     al, slide_mode
    test    al, use_ecg
    jnz    slide0
    jmp     no_ecg
slide38:
    mov     al, slide_mode
    test    al, use_ecg
    jnz    slide0
    jmp     no_ecg
slide39:
    mov     al, slide_mode
    test    al, use_ecg
    jnz    slide0
    jmp     no_ecg
slide40:
    mov     al, slide_mode
    test    al, use_ecg
    jnz    slide0
    jmp     no_ecg
slide41:
    mov     al, slide_mode
    test    al, use_ecg
    jnz    slide0
    jmp     no_ecg
slide42:
    mov     al, slide_mode
    test    al, use_ecg
    jnz    slide0
    jmp     no_ecg
slide43:
    mov     al, slide_mode
    test    al, use_ecg
    jnz    slide0
    jmp     no_ecg
slide44:
    mov     al, slide_mode
    test    al, use_ecg
    jnz    slide0
    jmp     no_ecg
slide45:
    mov     al, slide_mode
    test    al, use_ecg
    jnz    slide0
    jmp     no_ecg
slide46:
    mov     al, slide_mode
    test    al, use_ecg
    jnz    slide0
    jmp     no_ecg
slide47:
    mov     al, slide_mode
    test    al, use_ecg
    jnz    slide0
    jmp     no_ecg
slide48:
    mov     al, slide_mode
    test    al, use_ecg
    jnz    slide0
    jmp     no_ecg
slide49:
    mov     al, slide_mode
    test    al, use_ecg
    jnz    slide0
    jmp     no_ecg
slide50:
    mov     al, slide_mode
    test    al, use_ecg
    jnz    slide0
    jmp     no_ecg
slide51:
    mov     al, slide_mode
    test    al, use_ecg
    jnz    slide0
    jmp     no_ecg
slide52:
    mov     al, slide_mode
    test    al, use_ecg
    jnz    slide0
    jmp     no_ecg
slide53:
    mov     al, slide_mode
    test    al, use_ecg
    jnz    slide0
    jmp     no_ecg
slide54:
    mov     al, slide_mode
    test    al, use_ecg
    jnz    slide0
    jmp     no_ecg
slide55:
    mov     al, slide_mode
    test    al, use_ecg
    jnz    slide0
    jmp     no_ecg
slide56:
    mov     al, slide_mode
    test    al, use_ecg
    jnz    slide0
    jmp     no_ecg
slide57:
    mov     al, slide_mode
    test    al, use_ecg
    jnz    slide0
    jmp     no_ecg
slide58:
    mov     al, slide_mode
    test    al, use_ecg
    jnz    slide0
    jmp     no_ecg
slide59:
    mov     al, slide_mode
    test    al, use_ecg
    jnz    slide0
    jmp     no_ecg
slide60:
    mov     al, slide_mode
    test    al, use_ecg
    jnz    slide0
    jmp     no_ecg
slide61:
    mov     al, slide_mode
    test    al, use_ecg
    jnz    slide0
    jmp     no_ecg
slide62:
    mov     al, slide_mode
    test    al, use_ecg
    jnz    slide0
    jmp     no_ecg
slide63:
    mov     al, slide_mode
    test    al, use_ecg
    jnz    slide0
    jmp     no_ecg
slide64:
    mov     al, slide_mode
    test    al, use_ecg
    jnz    slide0
    jmp     no_ecg
slide65:
    mov     al, slide_mode
    test    al, use_ecg
    jnz    slide0
    jmp     no_ecg
slide66:
    mov     al, slide_mode
    test    al, use_ecg
    jnz    slide0
    jmp     no_ecg
slide67:
    mov     al, slide_mode
    test    al, use_ecg
    jnz    slide0
    jmp     no_ecg
slide68:
    mov     al, slide_mode
    test    al, use_ecg
    jnz    slide0
    jmp     no_ecg
slide69:
    mov     al, slide_mode
    test    al, use_ecg
    jnz    slide0
    jmp     no_ecg
slide70:
    mov     al, slide_mode
    test    al, use_ecg
    jnz    slide0
    jmp     no_ecg
slide71:
    mov     al, slide_mode
    test    al, use_ecg
    jnz    slide0
    jmp     no_ecg
slide72:
    mov     al, slide_mode
    test    al, use_ecg
    jnz    slide0
    jmp     no_ecg
slide73:
    mov     al, slide_mode
    test    al, use_ecg
    jnz    slide0
    jmp     no_ecg
slide74:
    mov     al, slide_mode
    test    al, use_ecg
    jnz    slide0
    jmp     no_ecg
slide75:
    mov     al, slide_mode
    test    al, use_ecg
    jnz    slide0
    jmp     no_ecg
slide76:
    mov     al, slide_mode
    test    al, use_ecg
    jnz    slide0
    jmp     no_ecg
slide77:
    mov     al, slide_mode
    test    al, use_ecg
    jnz    slide0
    jmp     no_ecg
slide78:
    mov     al, slide_mode
    test    al, use_ecg
    jnz    slide0
    jmp     no_ecg
slide79:
    mov     al, slide_mode
    test    al, use_ecg
    jnz    slide0
    jmp     no_ecg
slide80:
    mov     al, slide_mode
    test    al, use_ecg
    jnz    slide0
    jmp     no_ecg
slide81:
    mov     al, slide_mode
    test    al, use_ecg
    jnz    slide0
    jmp     no_ecg
slide82:
    mov     al, slide_mode
    test    al, use_ecg
    jnz    slide0
    jmp     no_ecg
slide83:
    mov     al, slide_mode
    test    al, use_ecg
    jnz    slide0
    jmp     no_ecg
slide84:
    mov     al, slide_mode
    test    al, use_ecg
    jnz    slide0
    jmp     no_ecg
slide85:
    mov     al, slide_mode
    test    al, use_ecg
    jnz    slide0
    jmp     no_ecg
slide86:
    mov     al, slide_mode
    test    al, use_ecg
    jnz    slide0
    jmp     no_ecg
slide87:
    mov     al, slide_mode
    test    al, use_ecg
    jnz    slide0
    jmp     no_ecg
slide88:
    mov     al, slide_mode
    test    al, use_ecg
    jnz    slide0
    jmp     no_ecg
slide89:
    mov     al, slide_mode
    test    al, use_ecg
    jnz    slide0
    jmp     no_ecg
slide90:
    mov     al, slide_mode
    test    al, use_ecg
    jnz    slide0
    jmp     no_ecg
slide91:
    mov     al, slide_mode
    test    al, use_ecg
    jnz    slide0
    jmp     no_ecg
slide92:
    mov     al, slide_mode
    test    al, use_ecg
    jnz    slide0
    jmp     no_ecg
slide93:
    mov     al, slide_mode
    test    al, use_ecg
    jnz    slide0
    jmp     no_ecg
slide94:
    mov     al, slide_mode
    test    al, use_ecg
    jnz    slide0
    jmp     no_ecg
slide95:
    mov     al, slide_mode
    test    al, use_ecg
    jnz    slide0
    jmp     no_ecg
slide96:
    mov     al, slide_mode
    test    al, use_ecg
    jnz    slide0
    jmp     no_ecg
slide97:
    mov     al, slide_mode
    test    al, use_ecg
    jnz    slide0
    jmp     no_ecg
slide98:
    mov     al, slide_mode
    test    al, use_ecg
    jnz    slide0
    jmp     no_ecg
slide99:
    mov     al, slide_mode
    test    al, use_ecg
    jnz    slide0
    jmp     no_ecg
slide100:
    mov     al, slide_mode
    test    al, use_ecg
    jnz    slide0
    jmp     no_ecg

```



```

slide6:
    lea    bx, datbuf
    mov    al, dopidx
    xor    ah, ah
    add    bx, ax
    mov    ax, [bx]
    push  bx
    lea    bx, newbuf
    add    bx, newidx2
    mov    cx, [bx]
    push  bx
    call  new_avg
    pop    bx
    mov    [bx], ax

    inc    bx
    inc    bx
    mov    cx, [bx]
    pop    dx
    push  bx
    mov    bx, dx
    inc    bx
    inc    bx
    mov    ax, [bx]
    call  new_avg
    pop    bx
    mov    [bx], ax
    jmp    slidx

slide7:
    lea    bx, newbuf
    mov    ax, newidx
    add    bx, ax
    push  bx
    mov    cx, [bx]
    or     cx, cx
    jnz   short slide8
    xor    al, al
    mov    this_weight, al

slide8:
    lea    bx, datbuf
    mov    al, dopidx
    xor    ah, ah
    add    bx, ax
    pop    cx
    lea    dx, newbuf
    mov    ax, newidx2
    add    dx, ax
    call  put_newbf

    jmp    short slidx

no_ecg:
    mov    al, slide_mode
    test  al, first_time
    jnz   short slidx
    mov    ax, elapse_sec
    cap   ax, slide_tmo
    jae   short set_flg
    mov    al, elapse_hb
    cap   al, 5
    jae   short set_flg
    jmp    short slidx

set_flg:
    mov    al, slide_mode
    or     al, first_time
    mov    slide_mode, al

slidx:
    pop    bx
    ret

;skip mode - we advance slider's ptr2 alone
;
;
;get ir data from datbuf
;save datbuf pointer
;
;get ir data at slider's 2nd pointer
;and save ptr2
;average the two ir values and
;return new weighted ave in ax
;restore slider's 2nd ptr
;and store new ir average
;now get weighted average for the red
;retrieve the red
;value from
;slider's 2nd pointer
;
;save slider's 2nd pointer
;put datbuf pointer in bx
;retrieve the red
;value from
;datbuf
;average the two red values
;and store the weighted ave
;at slider's 2nd pointer
;and we're done
;
;not in skip mode - both slider ptrs advanced
;find slider's 1st pointer
;
;
;leave it for later
;get data it points to
;is it zero?
;no, leave weight alone
;yes,
;clear weight
;
;point to data in datbuf
;
;
;restore slider's 1st ptr
;get slider's 2nd pointer
;
;add new data to slider
;bx points to datbuf[dopidx],
;cx points to newbuf[newidx]
;dx points to newbuf[newidx2]
;we're done
;
;
;first_time flag already set
;get number of seconds since time out
;eight seconds or more have elapsed, set flag
;get number of heart beats since time out
;5 or more heart beats since time out
;everything's ok
;
;set the first_time

;put_newbf moves entries from datbuf to newbuf using the following
;formula:
;newbuf[newidx] := ((this_weight-1)/this_weight)newbuf[newidx] + (
;1/this_weight)datbuf[dopidx]
;
;This procedure assumes bx points to datbuf[dopidx], cx points to
;newbuf[newidx]. Remember, both IR and red values must be placed.
;dx points to newbuf[newidx2]
;put_newbf:
    push  dx
    push  bx
    push  cx
    mov    ax, [bx]
    pop    bx
    push  bx
    mov    cx, [bx]
    call  new_avg
    pop    bx
    mov    [bx], ax
    inc    bx
    inc    bx
    push  bx
    mov    cx, [bx]
    pop    dx
    pop    bx
    push  dx
    inc    bx
    inc    bx
    mov    ax, [bx]
    call  new_avg
    pop    bx
    mov    [bx], ax
;axp///+
    mov    dl, newidx2_on
;bx = newidx for the red data
;is 2nd newbuf pointer active?

```

```

or      di,di
pop     dx
je      short putnew0
dec     bx
dec     bx
mov     cx,(bx)
mov     bx,dx
inc     [bx],cx
inc     bx
mov     [bx],ax
utnew0:
ret

new_avg - if weight is 0, return datbuf[dopidx] in ax.
          else, return (weight-1)/weight newbuf[newidx] + 1/weight datbuf[dopidx] in ax.
Routine assumes ax = datbuf[dopidx], cx = newbuf[either newidx or newidx2]
Improvement - round division returns rather than truncating
ew_avg:
cmp     cx,0
je      short newk
mov     bh,0
mov     bi,this_weight
or      bx,bx
jz      short newk
mov     dx,0
div     bx
push    ax
mov     ax,cx
mov     dx,0
div     bx
dec     bx
mul     bx
or      dx,dx
jz      newl
pop     ax
mov     ax,@ffffh
jmp     newk
newl:
mov     bx,ax
pop     ax
add     ax,bx
jnc     newk
mov     ax,@ffffh
newk:
ret

clr_newbuf - routine to clear newbuf. Assume ax contains the index
into newbuf from which to clear it! i.e., clear newbuf from where
ax points to til the end.
lr_newbuf:
lea     bx,newbuf
add     bx,ax
mov     cx,newlen
sub     cx,ax
or      cx,cx
jz      short clr2
js      short clr2
cmp     cx,600
ja      clr2
shr     cx,1
mov     di,bx
push    ds
pop     es
xor     ax,ax
cld
rep     stosw
lr2:
ret

```

Software Appendix B

0 D/A.TEMPLATE CHNL0

2 A/D.TEMPLATE CHNLS.23
2 A/D.TEMPLATE CHNLS.CAL

4 STRING FILE.NAME

INTEGER DIM[512 , 2] ARRAY RAW.DAT.1
DIM[512 , 2] ARRAY RAW.DAT.2
DIM[1] ARRAY ISAT
DIM[2048 , 8] ARRAY DAT.BUF

SCALAR PTR SCALAR CTR SCALAR HOW.LONG SCALAR PTR.MAX
SCALAR PTR.MIN SCALAR RCAL SCALAR MINI SCALAR MIN2

COMPLEX

```

DIM[ 128 ] ARRAY SHORT.DAT
DIM[ 128 ] ARRAY SCONJ.DAT
DIM[ 512 ] ARRAY FOR.DAT
SCALAR FOR.DC

```

REAL

```

DIM[ 512 ] ARRAY HAMMING.DAT
DIM[ 1024 ] ARRAY SAT.DAT
SCALAR RED.MAX SCALAR IR.MAX SCALAR RNEW
SCALAR SAT SCALAR BO1 SCALAR BO2 SCALAR BR1 SCALAR BR2 SCALAR SLOPE
SCALAR INTERCEPT SCALAR QUAL

```

: set.up

```

HAMMING.DAT [IRAMP
HAMMING.DAT 2. * PI * 512. / COS -.46 * .54 + HAMMING.DAT :=
0 MINI := 0 MINZ := 4095 ISAT :=
1 set.$optima
5 set.$points

```

: SET.TEMPLATE

```

CHNLS.23
RAW.DAT.1 RAW.DAT.2 CYCLIC DOUBLE.TEMPLATE.BUFFERS
.6 CONVERSION.DELAY
CHNL0 ISAT CYCLIC TEMPLATE.BUFFER

```

: WAIT.FOR.USER

```

SCREEN.CLEAR
10 10 GOTO.XY
INTEN.ON
.* SET LOCATION 24 TO 0 ... THEN: *
.* STRIKE ANY KEY TO BEGIN. * CR
INTEN.OFF
PCKEY ?DROD DROP

```

: OPEN.DATA.FILE

```

NORMAL.DISPLAY
.* HOW LONG A DATA SET IN MINUTES ? * $INPUT 7 * HOW.LONG :=
CR
.* ENTER THE FILE NAME XXXXXXXX.DAT * $INPUT FILE.NAME :=
CR .* PLEASE WAIT WHILE THE DATA FILE IS CREATED... *

```

```

FILE.CREATE
COMPLEX DIM[ 512 ] SUBFILE
HOW.LONG TIMES
END
FILE.NAME DEFER> FILE.CREATE

```

: START.ACQ

```

OAS.INIT
CLEAR.TASKS
CHNL0 1 TASK ARRAY>D/A.OUT
CHNLS.23 2 TASK A/D.IN>ARRAY
17 TASK.PERIOD
PRIME.TASKS
TRIGGER.TASKS

```

```

: STOP.ACQ
  STOP.TASKS
  CLEAR.TASKS
  NORMAL.DISPLAY

```

```

: FIND.BETAS          \ GET BETAS FOR SAT CALC
  RCAL                \ PLACE RCAL ON THE STACK

```

```

  CASE
\ RCAL      801      BR1      802      BR2      SLOPE      INTERCEPT
  OF        10563    27960    5069    51763    -15743      9237      ENDOF
  ENDCASE

```

```

INTERCEPT :=
SLOPE :=
BR2 :=
802 :=
BR1 :=
801 :=

```

```

: SHORT.FLIP
  128 2 00
  130 I - PTR :=
  SHORT.DAT [ I ] SCONJ.DAT [ PTR ] :=
  LOOP
  SHORT.DAT [ I ] SCONJ.DAT [ I ] :=
  SCONJ.DAT CONJ SCONJ.DAT :=
\ ..... COMPUTE SATURATI...
  QUAL .2 > IF
  BR2 RNEW BR1 * - 801 BR1 - RNEW * 802 - BR2 + / 100. *
  SAT :=
  SAT 70. < 1f
  RNEW 4. / SLOPE * INTERCEPT + 4. * 256. /
  SAT :=
  else
  THEN
  SAT 102. > IF 102. SAT := ELSE THEN
  SAT 0. < IF 0. SAT := ELSE THEN
  SAT SAT.DAT [ CTR ] :=
  SAT 100. > IF 100. SAT := ELSE THEN
  SAT 40.95 * FIX ISAT :=
  CR ." SAT = " CR SAT . CR CTR .
  1 CTR + CTR :=
  .25 .51 VUPOINT.ORIG
  .75 .50 VUPOINT.SIZE
  SHORT.DAT ZMAG SUB[ 1 , 40 ] Y.AUTO.PLOT PTR.MIN 5 + CR .
  STACK.CLEAR
  ELSE
  THEN

```



```

: SHORT.PROCESS
home screen.clear
FOR.DAT [ISUM S12. / DUP FOR.DC := FOR.DAT SWAP - FOR.DAT :=
FOR.DC ZREAL 200. > IF
FOR.DAT ZREAL [IMAX FOR.DAT ZREAL [ ]min - for.dc zreal /
dup .2 < IF
0. > IF
FOR.DAT HAMMING.DAT * FOR.DAT :=
FOR.DAT SUB[ 1 , 128 , 4 ] FOR.DAT SUB[ 2 , 128 , 4 ]
FOR.DAT SUB[ 3 , 128 , 4 ] FOR.DAT SUB[ 4 , 128 , 4 ] + + + SHORT.DAT :=
SHORT.DAT FFT SHORT.DAT :=
SHORT.FLIP
SHORT.DAT SCONJ.DAT + ZMAG
SUB[ 4 , 30 ] DUP LOCAL.MAXIMA DROP 1 - PTR.MIN :=
SUB[ PTR.MIN , 3 ] [ISUM IR.MAX :=
SHORT.DAT SCONJ.DAT - ZMAG
SUB[ 4 , 30 ] SUB[ PTR.MIN , 3 ] [ISUM RED.MAX :=
RED.MAX FOR.DC ZIMAG / dup 256. / . cr
IR.MAX FOR.DC ZREAL / dup 256. / . cr DUP QUAL := /
." R= " ? cr RNEW :=
sat.comp
THEN
THEN
ELSE ." TOO SMALL "
THEN
STACK.CLEAR
: GET.IJ
HOW.LONG 1 + 1 DO
BEGIN
?BUFFER.SWITCH
UNTIL
?BUFFER.A/B
IF
RAW.DAT.1 XSECT[ 1 , 1 ] MINI -
.25 .01 VUPORT.ORIG
.75 .50 VUPORT.SIZE
DUP Y.AUTO.PLOT
RAW.DAT.1 XSECT[ 1 , 2 ] MIN2 -
ELSE
RAW.DAT.2 XSECT[ 1 , 1 ] MINI -
.25 .01 VUPORT.ORIG
.75 .50 VUPORT.SIZE
NO.LABELS
DUP Y.AUTO.PLOT
RAW.DAT.2 XSECT[ 1 , 2 ] MIN2 -
THEN
Z=X+IY FOR.DAT :=
FOR.DAT CTR SUBFILE ARRAY>FILE
SHORT.PROCESS
LOOP
1
: IDLE.IT
1 CTR :=
BEGIN
BEGIN
?BUFFER.SWITCH

```

```

UNTIL
?BUFFER.A/B
IF
RAW.DAT.1 XSECT( 1 , 1 ) MIN1 -
.25 .01 VUPOINT.ORIG
.75 .50 VUPOINT.SIZE
DUP Y.AUTO.PLOT
RAW.DAT.1 XSECT( 1 , 2 ) MIN2 -
ELSE
RAW.DAT.2 XSECT( 1 , 1 ) MIN1 -
.25 .01 VUPOINT.ORIG
.75 .50 VUPOINT.SIZE
DUP Y.AUTO.PLOT
RAW.DAT.2 XSECT( 1 , 2 ) MIN2 -
THEN
Z=X+IY FOR.DAT :=
SHORT.PROCESS
?KEY
UNTIL
PCKEY DROP

```

```

: SHOW.IT
STOP.ACQ
NORMAL.DISPLAY
SCREEN.CLEAR
home ." 1 STARTS A DISPLAY ONLY OXIMETER."
CR CR ." 2 DOES OXIMETRY AND STORES DATA FILES."
CR CR ." 3 CHECKS OFFSET CALIBRATION."
CR CR ." 4 READS RED AND IR VOLTAGES."
CR CR ." 5 REPLAYS RECORDED DATA FILES."
CR CR ." 6 RETURNS TO DOS."
CR CR ." 7 CLOSES DATA FILES ON ERRORS."
CR CR ." 8 PRINT INSTRUCTIONS ON SCREEN."
CR CR ." ENTER A SELECTION."
SET.TEMPLATE
1 CTR :=
OPEN.DATA.FILE CR
FILE.NAME DEFER> FILE.OPEN
." ENTER RCAL VALUE CODE ( 63 - 84 ) " $INPUT RCAL :=
FIND.BETAS
START.ACQ
GRAPHICS.DISPLAY
GRID.OFF
GET.IT
STOP.ACQ
FILE.CLOSE
NORMAL.DISPLAY
SHOW.IT
CR

: IDLE
SET.TEMPLATE
1 CTR :=
." ENTER RCAL VALUE CODE ( 63 - 84 ) " $INPUT RCAL :=

```



```

FIND.BETAS
START.ACQ
GRAPHICS.DISPLAY
GRID.OFF
TOLE.IT
STOP.ACQ
NORMAL.DISPLAY
SHOW.IT
CR

```

: CAL.CHECK

```

SET.TEMPLATE
NORMAL.DISPLAY
SCREEN.CLEAR
WAIT.FOR.USER
START.ACQ
BEGIN
?BUFFER.SWITCH
UNTIL
STOP.ACQ
RAW.DAT.1 XSECT[ 1 , 1 ] (JMIN DUP MINI := FLOAT 405.5 /
." IR zero is " . cr
RAW.DAT.1 XSECT[ 1 , 2 ] (JMIN DUP MINZ := FLOAT 409.5 /
." Red zero is " .
3000 MSEC.DELAY
SHOW.IT

```

: READ.VOLTS

```

cr
CHNLS.CAL
A/D.INIT
A/D.IN 409.5 / ." red volts = " . 409.5 / ." IR volts = " .
CHNL0 D/A.INIT
I. CTR :=
NORMAL.DISPLAY DIR *.DAT
CR ." ENTER THE FILE TO REPLAY " "INPUT FILE.NAME " :=
CR FILE.NAME DEFER> ?FILE
CR ." ENTER RCAL VALUE " $INPUT RCAL :=
FIND.BETAS
CR ." WHICH FILE TO BEGIN REPLAY ? " $INPUT
DUP 1 + CR ." HOW MANY FILES TO REPLAY " $INPUT + SWAP
graphics.display DO
I.SUBFILE FOR.DAT FILE>ARRAY
.25 .01 VUPORT.ORIG
.75 .50 VUPORT.SIZE
for.dat zreal y.auto.plot
SHORT.PROCESS
ISAT [ 1 ] D/A.OUT
2000 msec.delay
LOOP
FILE.CLOSE
SHOW.IT

```

```

: main.loop
set.up
show.it
begin
  BEGIN
  $input
  ?dup not if
  cr ." Invalid number, re-enter: "
  then
  UNTIL
    case
    1 of idle, endof
    2 of doit endof
    3 of cal.check endof
    4 of read.volts endof
    5 of replay.dat endof
    6 of bye endof
    7 of file.close endof
    8 of print.inst endof
    endcase

again
ONERR:

```

```

key-drop
MYSELF

```

```

;
banner: my.banner
CR
."
CR CR CR
."
CR CR CR
."
CP CR
."
CR CR
."
CR CR
."
_BANNER

```

```

NELLCOR PULSE OXIMETER"
FOR EXPERIMENTAL USE ONLY"
COPYRIGHT 1988"
PROPRIETARY INFORMATION"
ALL RIGHTS RESERVED"
Written by: R. T. Stone, Ph.D."

```


We claim:

1. A method for calculating [the] *an* amount of a blood constituent from the blood flow characteristics of a patient comprising:

detecting an absorption signal corresponding to [the] 5
absorption of light measured at two or more wave-
lengths in the patient's tissue including periodic
changes caused by periodic arterial pulses in the blood
flow characteristics and aperiodic changes unrelated to
the patient's heartbeat; 10

detecting an ECG signal corresponding to the patient's
ECG waveform corresponding to the periodic electrical
heart activity of the patient;

selecting a first time-measure of the absorption signal
including a maximum and minimum amplitude change 15
in absorption;

selecting a second time-measure of the ECG signal
including components corresponding to the occurrence
of the heartbeat;

correlating the ECG signal and the absorption signal by 20
multiplying the first time-measure of the absorption
signal and the second time-measure of the ECG signal
together to form a waveform product and thereafter
shifting the time-measure of the absorption signal back-
wards in time relative to the time-measure of the ECG 25
signal and multiplying the shifted and unshifted wave-
forms together to identify a maximum waveform prod-
uct corresponding to the product of the ECG signal
corresponding to the occurrence of the heartbeat and
the maximum amplitude of the absorption signal; 30

processing the absorption signal and the determined cor-
relation to identify the periodic changes in the absorp-
tion signal associated with the determined maximum
waveform product likely to correspond to arterial
pulses in the patient's blood flow characteristics; and 35

calculating the amount of the blood constituent from the
identified periodic changes in the absorption signal.

2. The method of claim 1 wherein the ECG signal further
comprises the R-wave component of the ECG signal so that 40
the maximum waveform product corresponds to the product
of the R-wave component and the maximum of the absorp-
tion signal.

3. The method of claim 2 wherein the first time-measure
is shorter in length than the second time-measure so that as 45
the absorption signal time-measure is shifted backward
relative to the ECG time-measure the latest maximum
waveform product corresponds to the most recent heartbeat
activity in the first and second time-measures.

4. The method of claim 3 wherein the first time-measure 50
includes a first plurality of maximum amplitudes and the
second time-measure includes a second plurality of R-wave
signals so that the maximum waveform product further
comprises an array of maximum waveform product.

5. Apparatus for calculating [the] *an* amount of a blood 55
constituent from the blood flow characteristics of a patient
comprising:

means for photoelectrically detecting an absorption signal
corresponding to [the] absorption of light measured at
two or more wavelengths in the patient's tissue includ- 60
ing periodic changes caused by periodic arterial pulses
in the blood flow characteristics and aperiodic changes
unrelated to the patient's heartbeat;

means for electrically detecting an ECG signal corre- 65
sponding to the patient's ECG waveform correspond-
ing to the periodic electrical heart activity of the
patient;

means for selecting a first time-measure of the absorption
signal including a maximum and minimum amplitude
change in absorption;

means for selecting a second time-measuring of the ECG
signal including components corresponding to the
occurrence of the heartbeat;

means for correlating the ECG signal and the absorption
signal by multiplying the first time-measure of the
absorption and the second time-measure of the ECG
signal together to form a waveform product and there-
after shifting the time-measure of the absorption signal
backwards in time relative to the time-measure of the
ECG signal and multiplying the shifted and unshifted
waveforms together to identify a maximum waveform
product corresponding to the product of the ECG signal
corresponding to the occurrence of the heartbeat and
the maximum amplitude of the absorption signal;

means for processing the absorption signal and the deter-
mined correlation to identify the periodic changes in
the absorption signal associated with the determined
maximum waveform product likely to correspond to
arterial pulses in the patient's blood flow charac-
teristics; and

means for calculating the amount of the blood constituent
from the identified periodic changes in the absorption
signal.

6. The apparatus of claim 5 wherein the means for
electrically detecting an ECG signal further comprises
means for detecting the R-wave component of the ECG
signal so that the maximum waveform product corresponds
to the product of the R-wave component and the maximum
of the absorption signal.

7. The apparatus of claim 6 wherein the first time-measure
is shorter in length and later in time than the second
time-measure so that as the absorption signal time-measure
is shifted backwards relative to the ECG time-measure, the
latest maximum waveform product corresponds to the most
recent heartbeat activity in the first and second time-me-
asures.

8. The apparatus of claim 7 wherein the first time-measure
includes a first plurality of maximum amplitudes and the
second time-measure includes a second plurality of R-wave
signals so that the maximum waveform product further
comprises an array of maximum waveform products.

9. A method for calculating [the] *an* amount of a blood
constituent from a patient's blood flow characteristics
including aperiodic information corresponding to artifacts
and periodic information corresponding to arterial pulses,
comprising:

*directing light of two or more wavelengths toward the
patient's tissue;*

detecting a set of first signals representing [the] absorp-
tion of light measured at *said* two or more wavelengths
[of light] in the patient's tissue including detected
information corresponding to changes in absorption as
a result of changes in the blood flow characteristics;

detecting a second signal representing the occurrences of
a selected portion of [the] *an* ECG waveform of the
patient;

processing the first and second signals to enhance [the]
periodic information contained in each individual sig-
nal whereby the first signals are processed in a repeti-
tive manner, one discrete portion at a time, and the
occurrence of a selected portion of the patient's ECG
waveform in the second signal initiates processing of a
discrete portion of the first signals; and

calculating the amount of *said* blood constituent using the enhanced periodic information in the processed portions of the first signals.

10. The method of claim 9 wherein processing the first and second signals further comprises correlating the discrete portions of the first signals and the occurrences of [a] *said* selected portion of the patient's ECG waveform in the second signals.

11. The method of claim 10 wherein detecting the second signal further comprises detecting a signal representing the occurrences of the R wave portion of the ECG waveform of the patient.

12. The method of claim 11 wherein processing the first and second signals further comprises determining whether or not the detected information in the *discrete* portions of the first signals are likely to be arterial pulses by using the determined correlation and preferentially processing detected information determined likely to be arterial pulses for use in calculating *the amount of said* blood [constituents] *constituent*.

13. The method of claim 12 wherein preferentially processing detected information further comprises rejecting detected information determined not likely to be arterial pulses so that rejected information is not used in calculating the blood constituent.

14. The method of claim 12 wherein processing the first and second signals further comprises determining whether or not detected information in a discrete portion of the first signals is likely to be an arterial pulse by using the determined correlation after each occurrence of an R wave portion in the second signal.

15. The method of claim 11 wherein correlating the first and second signals further comprises:

determining a period of time after the occurrence of [an] *said* R wave in which detected information in the *discrete* portions of the first signals corresponding to blood flow characteristic changes caused by arterial pulses are likely to be detected;

determining that detected signal information in the *discrete* portions of the first signals are likely to be arterial pulses when detected in the determined period of time after the occurrence of [an] *said* R wave; and

calculating the *amount of said* blood [constituents] *constituent* further comprises preferentially processing any determined detected information for use in calculating *the amount of said* blood [constituents] *constituent*.

16. The method of claim 15 wherein preferentially processing detected information further comprises rejecting detected information detected other than in the determined period of time after the R wave occurs so that rejected information is not used in the calculation of *the amount of said* blood [constituents] *constituent*.

17. The method of claim 15 wherein determining whether or not detected information in a discrete portion of the first signals is acceptable for preferential processing as corresponding to an arterial pulse by using the determined correlation after each occurrence of an R wave portion in the second signal.

18. The method of claim 11 wherein calculating the amount of *said* blood constituent further comprises calculating oxygen saturation of hemoglobin in arterial blood.

19. The method of claim 10 wherein correlating the first and second signals further comprises:

synchronizing the occurrence of a plurality of discrete portions of the first signals;

synchronizing the occurrence of a plurality of occurrences of the R wave portion in the second signal; and

correlating the synchronized discrete portions of the [first] *signals* and the R wave portions.

20. Apparatus for calculating [the] *an* amount of a blood constituent from a patient's blood flow characteristics including arterial pulses and artifacts comprising:

means for photoelectrically detecting a set of first signals representing the absorption of light measured at two or more wavelengths in the patient's tissue including detected information corresponding to changes in absorption as a result of changes in the blood flow characteristics;

means for detecting a second signal representing the occurrences of a selected portion of [the patient's] *an* ECG waveform *of the patient*;

means for processing the first and second signals to enhance the periodic information contained in each individual signal whereby each occurrence of [a] *said* selected portion in the second signal initiates processing of [a] discrete portions of the first signals so that the information in the first signals are processed in a repetitive manner; and

means for calculating the amount of *said* blood constituent using the enhanced information in the processed portions of the first signals.

21. The apparatus of claim 20 wherein the means for processing the first and second signals further comprises means for correlating the discrete portions of the first signals with the occurrences of [a] *said* selected portion of the patient's ECG waveform in the second signal.

22. The apparatus of claim 21 wherein the means for detecting a second signal further comprises means for detecting a signal corresponding to the occurrences of the R wave portion of the patient's ECG waveform.

23. The apparatus of claim 21 wherein the processing means further comprises:

means for determining whether or not the detected information in the *discrete* portions of the first signals are likely to be arterial pulses using the determined correlation; and

means for preferentially processing detected information determined likely to be arterial pulses for use in calculating *the amount of said* blood [constituents] *constituent*.

24. The apparatus of claim 23 wherein the means for preferentially processing detected information further comprises means for rejecting detected information determined not likely to be arterial pulses so that rejected information is not used in calculating *the amount of said* blood [constituents] *constituent*.

25. The apparatus of claim 23 wherein the processing means further determines whether or not detected information in a discrete portion of the first signals is likely to be an arterial pulse using the determined correlation after each occurrence of an R wave portion in the second signal.

26. The apparatus of claim 21 wherein the means for correlating the first and second signals further comprises:

first determining means for determining a time period after the occurrence of an R wave in which detected information in the first signals corresponding to blood flow characteristic changes caused by arterial pulses are likely to be detected;

second determining means for determining that detected information in the *discrete* portions of the first signals are likely to be arterial pulses when detected in the determined period of time after the occurrence of [an] *said* R wave; and

67

wherein the means for calculating *the amount of said blood constituent* further comprises means for preferentially processing detected information for use in calculating *the amount of said blood [constituents] constituent.*

27. The apparatus of claim 26 wherein the second determining means further comprises means for rejecting detected information detected other than in the determined period of time after the R wave occurs so that rejected information is not used in calculating *the amount of said blood [constituents] constituent.*

28. The apparatus of claim 26 wherein the second determining means further comprises means for determining whether or not detected information in a discrete portion of the first signals is likely to be an arterial pulse using the determined correlation after each occurrence of an R wave.

29. The apparatus of claim 21 wherein the means for calculating further comprises calculating the amount of oxygen saturation of hemoglobin in arterial blood.

30. A *method of calculating an amount of a blood constituent from the blood flow characteristics of a patient comprising:*

subjecting the patient's tissue to electromagnetic energy, thereby facilitating the transformation of arterial blood flow in the patient's tissue into a blood flow signal, the blood flow signal corresponding to the arterial blood flow including periodic changes caused by periodic arterial pulses in the blood flow characteristics and changes caused by artifact;

detecting the blood flow signal;

detecting the occurrence of a heartbeat of the patient;

correlating the detected blood flow signal and the occurrence of a heartbeat;

processing the blood flow signal and the determined correlation to identify the periodic changes in the blood flow signal likely to correspond to arterial pulses in the

68

patient's blood flow characteristics; and

calculating the amount of the blood constituent from the identified periodic changes in the blood flow signal.

31. *The method of claim 30 wherein said blood constituent is the oxygen saturation of hemoglobin in arterial blood.*

32. *The method of claim 31 wherein said step of detecting the blood flow signal comprises detecting an absorption signal corresponding to the absorption of the electromagnetic energy measured at two or more wavelengths in the patient's tissue.*

33. *The method of claim 31 wherein said correlating step comprises the steps of:*

synchronizing the occurrence of a plurality of changes in the blood flow signal;

synchronizing the occurrences of a plurality of selected portions of the heartbeat; and

correlating the synchronized changes in the blood flow signal with the synchronized portions of the heartbeat.

34. *The method of claim 30 wherein said blood constituent is the oxygen saturation of hemoglobin in arterial blood;*

said step of detecting the blood flow signal comprises detecting an absorption signal corresponding to the absorption of the electromagnetic energy measured at two or more wavelengths in the patient's tissue; and

said correlating step comprises the steps of synchronizing the occurrence of a plurality of changes in the blood flow signal,

synchronizing the occurrences of a plurality of selected portions of the heartbeat, and

correlating the synchronized changes in the blood flow signal with the synchronized portions of the heartbeat.

* * * * *