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← → (G) successfully completed/General-Rel-Inst

DASHBOARD | SNAPSHOT | LIST | TOOLS | VIEWS

View: Basic | Specialist

487 Add Hours | Act Now | Not Done, Not Due | Open to go

PLAN NAME	DPS CONSULTING 401K	KING & BULL LOW 401(K) PROFIT SHARING PLAN	GRANDT GOLF INC. RETIREMENT PLAN	EMERSON ELECTRIC INC. SHARING PLAN	ALUMINA AND ALUMINA INDUSTRIES 401(K) PLAN	MPFC 401(K) PROFIT SHARING PLAN
Documentation Required						
New account from upgraded	12/18/2016 (3)	Not Done (3)	7/31/2019 (3)	6/21/2019 (3)	2/18/2007 (3)	7/21/2019 (3)
Account 409(SIC) Sent	3/5/2019 (3)	Not Done (1)	7/5/2019 (3)	9/30/2018 (3)	5/31/2019 (3)	7/29/2019 (3)
Service Agreement updated	1/1/2019 (1)	1/1/2019 (1)	1/31/2019 (1)	3/31/2017 (1)	7/31/2019 (1)	7/31/2019 (1)
Other Required Actions						
Change 9(21) Vendor	Not Done (3)	n/a	n/a	Not Done (3)	Not Done (3)	Internal RIA Service (3)
Collect new service agreement	Not Done (3)	n/a	n/a	Not Done (3)	Not Done (3)	Not Done (3)
Services Provided Last 10 m						
Provided/Reviewed Performance Reporting	3/5/2019 (3)	6/30/2019 (3)	Not Done (1)	6/30/2019 (3)	6/30/2019 (3)	6/30/2019 (3)
Monitored/Seached Investment Managers	4/15/2016 (4)	6/30/2019 (3)	Not Done (4)	6/30/2019 (3)	6/30/2019 (3)	6/30/2019 (3)
Developed/Maintained IPS	Not Done (3)	6/30/2019 (3)	Not Done (1)	6/30/2019 (3)	6/30/2019 (3)	6/30/2019 (3)
Benchmarked Fees Reasonableness	9/31/2019 (3)	6/30/2019 (3)	Not Done (1)	6/30/2019 (3)	6/30/2019 (3)	6/30/2019 (3)
Met with Plan Committee	3/5/2019 (3)	n/a	Not Done (4)	3/30/2016 (3)	3/30/2016 (3)	3/30/2016 (3)
Provided Group Meetings	1/31/2016 (4)	n/a	Not Done (4)	n/a	n/a	n/a

\* Dates are when activity last completed