

(12) United States Patent Silvestro

(10) Patent No.: US 9,808,276 B2 (45) Date of Patent: Nov. 7, 2017

- (54) ANGIOPLASTY BALLOON HAVING SELECTIVELY DEPLOYABLE CUTTING OR SCORING ELEMENT AND RELATED METHODS
- (71) Applicant: Invatec S.p.A., Roncadelle (IT)
- (72) Inventor: Claudio Silvestro, Roncadelle (IT)
- (73) Assignee: Invatec S.p.A., Roncadelle (IT)
- 6/1992 Guglielmi et al. 5,122,136 A 5,196,024 A * 3/1993 Barath A61B 17/320725 604/103.07 9/1994 Palermo et al. 5,350,397 A 5/1995 Stillabower A61M 25/104 5,411,478 A * 604/103.08 5,697,944 A * 12/1997 Lary A61B 17/320725 604/22 5,713,913 A * 2/1998 Lary A61B 17/320725 604/103.1 6/1998 Zacca A61B 17/320725 5,766,192 A *
- (*) Notice: Subject to any disclaimer, the term of this patent is extended or adjusted under 35 U.S.C. 154(b) by 1012 days.
- (21) Appl. No.: 13/870,613
- (22) Filed: Apr. 25, 2013
- (65) **Prior Publication Data**

US 2014/0324079 A1 Oct. 30, 2014

(51) **Int. Cl.**

- A61B 17/22(2006.01)A61D 1/02(2006.01)A61B 17/3207(2006.01)A61M 25/10(2013.01)
- (52) U.S. Cl.

CPC *A61B 17/32075* (2013.01); *A61M 25/104* (2013.01); *A61M 2025/109* (2013.01)

(58) Field of Classification Search CPC A61B 17/32075; A61B 17/320725; A61M 606/159 5,792,158 A * 8/1998 Lary A61B 17/320725 604/22

(Continued)

FOREIGN PATENT DOCUMENTS

 WO
 WO2005/076833
 8/2005

 WO
 WO2006/124398
 11/2006

 (Continued)
 (Continued)

OTHER PUBLICATIONS

PCT/US2014/032080, PCT International Search Report and The Written Opinion of the International Searching Authority, dated Aug. 21, 2014.

(Continued)

Primary Examiner — Amy R Weisberg

(57) **ABSTRACT**

(56) **References Cited**

U.S. PATENT DOCUMENTS

4,745,919 A *	5/1988	Bundy	A61B 17/3207
			600/564
5,108,407 A	4/1992	Geremia et al.	

Balloon angioplasty catheters having cutting or scoring members that are moveable in at least one direction between a stowed position (away from the angioplasty balloon) and an operative position (on the angioplasty balloon). A balloon catheter of the present invention alternately useable to perform balloon dilation of a body lumen with and without concurrent cutting or scoring.

22 Claims, 7 Drawing Sheets



Page 2

References Cited		2007/0073329 A1*	3/2007	Hardert A61M 25/104 606/192
U.S. PATEN	T DOCUMENTS	2007/0093781 A1*	4/2007	Kugler A61B 17/221 604/510
5,797,935 A * 8/199	8 Barath A61B 17/320725 606/159	2009/0138031 A1*	5/2009	Tsukernik A61B 17/320758 606/159
5,873,852 A * 2/199	9 Vigil A61M 25/10 604/103	2011/0264133 A1*	10/2011	Hanlon A61M 25/007 606/194
6,113,622 A 9/200	0 Hieshima	2012/0022536 A1	1/2012	Lualdi
6,203,547 B1 3/200	1 Nguyen et al.	2012/0089163 A1*	4/2012	Schur A61B 17/320725
6,280,414 B1 * 8/200	1 Shah A61M 25/1002			606/159
	604/104	2012/0283624 A1*	11/2012	Shirley A61M 25/104
7,131,981 B2 11/200	6 Appling et al.			604/22
7,396,358 B2 7/200	8 Appling et al.	2014/0296888 A1*	10/2014	Schur A61B 17/320725

7,686,824 B2 3/2010 Konstantino et al. 7,708,755 B2 5/2010 Davis, III et al. 4/2011 Farnan et al. 7,931,663 B2 11/2011 Cox A61B 17/320725 8,052,701 B1* 606/159 2002/0029015 A1* 3/2002 Camenzind A61B 17/22 604/97.02 11/2002 Ramzipoor et al. 2002/0165569 A1 2/2004 Wilson et al. 2004/0034363 A1 9/2004 Appling A61B 17/320725 2004/0193196 A1* 606/167 1/2005 Feld A61B 17/320725 2005/0021070 A1* 606/194 2005/0021071 A1* 1/2005 Konstantino .. A61B 17/320725 606/194 2006/0074442 A1* 4/2006 Noriega A61B 17/32002 606/159 7/2006 Melsheimer ... A61B 17/320725 2006/0149308 A1* 606/192 2006/0259005 A1* 11/2006 Konstantino A61B 17/22032 604/500 12/2006 Mitelberg et al. 2006/0276823 A1

(56)

606/159 2015/0100079 A1* 4/2015 Moffarah A61M 25/104 606/194 2016/0095619 A1* 4/2016 McMahon A61B 17/320725 606/159 2017/0105759 A1* 4/2017 Schur A61B 17/320725 2017/0150988 A1* 6/2017 Konstantino .. A61B 17/320725 FOREIGN PATENT DOCUMENTS

WO	WO2009/046206	4/2009
WO	WO2012/009409	1/2012
WO	WO2012/009412	1/2012

OTHER PUBLICATIONS

International Preliminary Report on Patentability from International Application No. PCT/US2014/032080, dated Nov. 5, 2015, 8 pp.

* cited by examiner

U.S. Patent US 9,808,276 B2 Nov. 7, 2017 Sheet 1 of 7





FIG. 1A



FIG. 18

U.S. Patent US 9,808,276 B2 Nov. 7, 2017 Sheet 2 of 7







U.S. Patent Nov. 7, 2017 Sheet 3 of 7 US 9,808,276 B2



103 233 *****



U.S. Patent US 9,808,276 B2 Nov. 7, 2017 Sheet 4 of 7







FIG. 38



U.S. Patent US 9,808,276 B2 Nov. 7, 2017 Sheet 5 of 7









U.S. Patent Nov. 7, 2017 Sheet 6 of 7 US 9,808,276 B2





U.S. Patent Nov. 7, 2017 Sheet 7 of 7 US 9,808,276 B2



FIG. SC



FIG. 50

1

ANGIOPLASTY BALLOON HAVING SELECTIVELY DEPLOYABLE CUTTING OR SCORING ELEMENT AND RELATED METHODS

FIELD OF THE INVENTION

The present invention relates to the fields of biomedical engineering and medicine and more particularly to devices and methods for treating atherosclerotic disease.

BACKGROUND OF THE INVENTION

Chronic occlusion of blood vessels caused by atherosclerotic plaque affects millions of patients worldwide. Percu- 15 taneous Transluminal Angioplasty (PTA) is the endovascular treatment of election for treating atherosclerotic plaque causing reduction of the vessel lumen patency, thus hindering physiological blood flow. PTA is performed by means of inflating a balloon that has been transluminally advanced 20 into the lesion site by means of catheters. The inflated angioplasty balloon applies a radial pressure to the inner wall of the vessel in the area of the atherosclerotic lesion. This results in redistribution of the plaque to a more favorable configuration (i.e., one in which the stenosed region of 25 the vessel lumen is widened thus allowing blood flow to be restored). PTA alone may, in some cases, bring about the desired stenosis reduction and restoration of physiological blood flow through the treated vessel. However, in many cases, PTA performed using just a 30 standard dilation balloon is not sufficient for achieving long-term patency of the diseased blood vessel. Sometimes the standard dilation balloon is unable to redistribute the plaque in the desired manner due to consistency of the plaque or other factors. Also, even in cases where the 35 balloon dilation may initially bring about the desired redistribution of the plaque, subsequent restensis or reocclusion can occur. To deal with this, balloon dilation is often accompanied by stenting of the lesion using bare metal or drug coated stents. One or more stents, when properly 40 positioned, can provide physical scaffolding to hold the redistributed plaque in place and/or to otherwise deter subsequent narrowing of the vessel lumen. Drug coated stents additionally elute drugs which deter local inflammatory or other physiological processes which may contribute 45 to restenosis or reocclusion. Another clinical option emerged in the last years is represented by the use of drug-coated balloons (Drug-Eluting Balloons, DEB) according to which the outer surface of the angioplasty balloon is coated with drugs char- 50 acterized by antiproliferative properties, inhibiting restenosis of the vessel. Stenting and DEB themselves, though, are not perfect. Reocclusion still happens at a much too high rate. Both stenting and drug-eluting balloon treatment generally need an adequate pre-treatment of the vessel 55 lumen. The preliminary plaque dilatation provided by balloon angioplasty has to be properly managed, in order to best prepare the lesion for the following treatment (DEB and/or stenting). An adequate preliminary erosion of the plaque can reduce the risk of restenosis after stenting or DEB treatment. 60 Therefore, lesion pre-treatment by means of balloon angioplasty can be the key for long-term clinical success. Also, in some cases, PTA using a standard balloon can cause unintended dissections, perforations or other types of damage to the blood vessel wall. This damage sometimes 65 occurs due to poor elasticity of the diseased blood vessel wall. Such damage to the vessel wall can also result from

2

mispositioning of the balloon during the procedure. Such mispositioning of the balloon may occur when a standard balloon is inflated within an atherosclerotic lesion that is fibrotic or of an angular shape, resulting in slippage of the 5 balloon from the intended site of dilation.

Also, in some cases, PTA using a standard balloon can sometimes create non-uniform or uncontrolled tears in tissues of the vessel wall and/or vasospasm of the vessel wall. At least some of the above-summarized problems may be 10 overcome by the use of a cutting or scoring balloon as opposed to a standard angioplasty balloon. Generally, the presence of a cutting or scoring element on the outer surface of the balloon during the dilation causes specific, controlled scoring or cutting of the atherosclerotic plaque in combination with the balloon dilation. This may help to cause the plaque to be permanently redistributed in the desired manner and may avoid other problems such as balloon slippage, vessel dissection or perforation, non-uniform or uncontrolled tears and/or the occurrence of vasospasm due to excessive stretching or over-dilation of the vessel wall. Cutting and scoring balloons of the prior art have had cutting blades (known as atherotomes) or scoring member(s) formed or affixed on the outer surface of the balloon. Thus, if a standard balloon is initially advanced to the intended site of a PTA intervention and the operator then makes a decision to use a cutting or scoring balloon for some or all of the procedure, it is then necessary for the operator to remove the PTA catheter having the standard balloon and replace it with another PTA catheter that has a cutting or scoring balloon. On the other hand, if the operator initially advances a cutting or scoring balloon to the site of the lesion but subsequently decides that a standard balloon would be a better option, the operator must then remove the PTA catheter having the cutting or scoring balloon and replace it with another PTA catheter that has a standard balloon.

SUMMARY OF THE INVENTION

The present invention provides a PTA balloon catheter and methods whereby a cutting or scoring element may alternately be placed in accordance with the present invention.

Further in accordance with the present invention, there is provided a balloon catheter device comprising an elongate shaft, an inflatable balloon positioned on the catheter shaft and a cutting or scoring element that is alternately moveable between a first position wherein it does not cause the balloon to perform a cutting or scoring function and a second position wherein it does cause the balloon to perform a cutting or scoring function. The cutting or scoring element may be moveable between a stowed position in which the cutting or scoring element does not extend over the outer surface of the balloon in a manner that would cause the cutting or scoring element to perform a scoring function upon inflation of the balloon and an operative position in which the cutting or scoring element does extend over at least a portion of the outer surface of the balloon in a manner that will cause the cutting or scoring element to perform a cutting or scoring function upon inflation of the balloon. In some embodiments, the cutting or scoring element may comprise an elongate member that is retractable to the stowed position and advanceable to the operative position. As it advances over the outer surface of the balloon the elongate member may assume a desired configuration such as a helical shape. In other embodiments, the cutting or scoring element may be of a substantially fixed shape (e.g., a solid or perforated tube having scoring or cutting projec-

3

tion(s) formed on its outer surface or elongate member(s) that have been wound, coiled or braided into a generally tubular construct having an outer surface that performs the cutting or scoring function) that moves between the stowed position and the operative position. In such embodiments, 5 the catheter shaft may include pushing and/or pulling members which move the cutting or scoring element from the stowed position to the operative position and/or from the operative position to the stowed position.

Further in accordance with the present invention, there is 10 provided a method for using a device of the foregoing character to treat a stenotic region of a body lumen. In such method, the catheter shaft is advanced to a position where the balloon is within the stenotic region of the body lumen and a determination is made whether it is desired to perform 15 a particular balloon dilation with or without cutting or scoring. If it is desired to perform the particular balloon dilation with cutting or scoring, the method further comprises causing the cutting or scoring member to be positioned in the operative position over the balloon in the 20 inflated condition. On the other hand, if it is desired to perform that particular balloon dilation without cutting or scoring, the method further comprises keeping the scoring member in the stowed position while inflating the balloon. Some procedures may involve only a single balloon inflation 25 with or without cutting or scoring. In other procedures the balloon may be inflated/deflated a plurality of times and an operator may elect to cause or not cause cutting or scoring some, all or none of the inflations of the balloon. Still further aspects and details of the present invention ³⁰ will be understood upon reading of the detailed description and examples set forth herebelow.

4

FIG. 4B shows the device of FIG. 4A during the process of advancing the cutting or scoring element from the stowed position to an operative position while the balloon remains in a deflated configuration.

FIG. 4C shows the device of FIG. 4A with its cutting or scoring element fully advanced to the operative position while the balloon remains in a deflated configuration.

FIG. 4D shows the device of FIG. 4A with its cutting or scoring element fully advanced to the operative position and its balloon in an inflated configuration.

FIG. 4E shows the device of FIG. 4A during the process of retracting the cutting or scoring element from the operative position to the stowed position with the balloon in a deflated configuration.
FIG. 5A is a partial perspective of another embodiment of a PTA catheter of the present invention with its cutting or scoring element in a stowed position and its balloon in a deflated configuration.
FIG. 5B shows the device of FIG. 5A with its cutting or scoring element in a stowed position and its balloon in an inflated configuration.
FIG. 5C shows the device of FIG. 5A with its cutting or scoring element moved to the operative position while the balloon is in a partially deflated configuration.

BRIEF DESCRIPTION OF THE DRAWINGS

FIG. **5**D shows the device of FIG. **5**A with its cutting or scoring element in the operative position and its balloon in a fully inflated configuration.

DETAILED DESCRIPTION AND EXAMPLES

The following detailed description and the accompanying drawings to which it refers are intended to describe some, but not necessarily all, examples or embodiments of the invention. The described embodiments are to be considered 35 in all respects only as illustrative and not restrictive. The contents of this detailed description and the accompanying drawings do not limit the scope of the invention in any way. The present invention relates to a PTA balloon catheter having a cutting or scoring element that is moveable in at 40 least one direction between a stowed position wherein the balloon is useable as a standard PTA balloon and an operative position wherein the PTA balloon is useable as a cutting or scoring balloon. In the past, several disadvantages have been associated 45 with conventional cutting or scoring balloons having fixed cutting or scoring elements on the outer surface of the balloon. For example, the presence of the cutting or scoring element can require special apparatus or techniques to avoid damaging the walls of healthy vessels through which the 50 catheter is advanced and withdrawn. In many cases, the decision to use a cutting or scoring balloon is only made after traditional angioplasty using a standard balloon has failed. In such cases, it has heretofore been necessary to remove the standard PTA catheter and thereafter insert and 55 advance into position another PTA catheter that has a cutting or scoring balloon. The examples shown in the accompanying drawings and described below illustrate how the present invention minimizes or overcomes these problems associated with the use of cutting or scoring balloons of the FIGS. 1 through 2C show a first embodiment of a PTA catheter device 10 of the present invention. This device 10 comprises an elongate, flexible catheter shaft 14 having a balloon 18 mounted on a distal portion thereof. In this example, the catheter shaft 14 comprises an outer tube 12 having a lumen 26 and an inner wall 16 that defines a second lumen 24. The second lumen 24 extends through the lumen

The following detailed description and examples are provided for the purpose of non-exhaustively describing some, but not necessarily all, examples or embodiments of the invention, and shall not limit the scope of the invention in any way.

FIG. 1 is a perspective view of a distal portion of one embodiment of a PTA catheter of the present invention.
FIG. 1A is an enlarged view of Region 1A of FIG. 1.
FIG. 1B is a cross-sectional view through line 1B of FIG.
1.

FIG. 2A shows the device of FIG. 1A with the cutting or scoring member in an operative position.

FIG. 2B shows the device of FIG. 2A with the cutting or scoring member in the process of being retracted from the operative position to a stowed position.

FIG. 2C shows the device of FIG. 2A after the cutting or scoring member has been fully retracted to its stowed position.

FIG. **3** is a perspective view of a distal portion of another embodiment of a PTA catheter of the present invention.

FIG. **3**A shows the device of FIG. **3** with the cutting or scoring member on a stowed position and the balloon in a deflated configuration.

FIG. 3B shows the device of FIG. 3 with the cutting or
scoring member fully advanced to its operative positionassociated
prior art.while the balloon remains in a deflated configuration.60prior art.FIG. 3C shows the device of FIG. 3 with the cutting or
scoring member fully advanced to its operative position and
the balloon in an inflated configuration.FIGS.Comprise
balloon 1Sociated
prior art.Sociated
prior art.

FIG. 4A shows another embodiment of a PTA catheter of 65 the present invention with its cutting or scoring element in a stowed position and its balloon in a deflated configuration.

5

26 of outer tube 12 to an opening 20 formed in the side wall of the outer tube 12, proximal to the balloon 18. The inner wall 16 may comprise a second tube that is inserted through the lumen 26 of the outer tube 12 (i.e., tube within a tube construction) or, alternatively, the outer tube 12 may be a 5dual lumen tube (e.g. a dual lumen extrusion) such that the inner wall 16 comprises a bulkhead that separates lumen 26 from second lumen 24.

The cutting or scoring member 22 is formed of an elastic or superelastic material, such as nickel-titanium alloy (Niti- 10) nol) and a distal portion of the cutting or scoring member 22 is preformed to a helically coiled configuration whereby it coils around the outer surface of the balloon 18 when the cutting or scoring member 22 is in an operative position as shown in FIGS. 1, 1A and 2A. In its stowed position, the 15 cutting or scoring member 22 assumes a substantially straight configuration and is positioned within the second lumen 24. The cutting or scoring member 22 may have any suitable cross sectional configuration. In the particular example shown in the drawings, the cutting or scoring 20 member 22 comprises a substantially round nickel-titanium alloy wire which, when in the operative position (FIGS. 1, 1A and 2A), causes helical scoring of atherosclerotic plaque in conjunction with inflation of the balloon 18 to dilate the lesion. Other alternative cross-sectional shapes (e.g., non- 25) round wire, ribbon, etc.) and/or other alternative coiled configurations (other than the simple helix shown) may be employed. Also, more than one inner wall 16 and more than one cutting or scoring element 22 may be provided at differing radial locations on the main catheter shaft 14. In one mode of use, the cutting or scoring member 22 may be initially placed in its operative position over the deflated balloon 18 and the distal tip of the scoring member 22 may be held in place or attached at the distal end DE by a releasable (e.g., breakable or separable) connection. The 35 catheter shaft 12 is then advanced to the intended treatment site such that the deflated balloon is positioned within an atherosclerotic obstruction. The balloon **18** is then inflated to dilate the obstruction with a helical cut or score in the obstructive matter being concurrently formed by the opera- 40 tively positioned cutting or scoring member 22 (FIG. 2A). By inflating and deflating the balloon 18 two or more consecutive times, it is possible to perform multiple plaque cutting or scoring treatments, if necessary. After the desired cutting or scoring treatments have been 45 performed, the balloon 18 may be deflated and any releasable distal attachment of the cutting or scoring member 22 is released. The cutting or scoring member 22 is then retracted proximally while the balloon 18 is in a deflated state (FIG. 2B) until it is fully in its stowed position within 50 the secondary lumen 24 and away from the balloon 18 (FIG. **2**C). Thereafter further inflation(s) of the balloon **18** may be performed at the original treatment site and/or other treatment sites, without cutting or scoring. In this manner, the same PTA catheter device 10 may be used to perform 55 multiple PTA treatments with and/or without cutting or scoring of the obstructive matter. Use of this device 10 gives the operator the option, but not the necessity, of using the cutting or scoring member 22. catheter device 10a of the present invention. In this embodiment, the device 10a comprises an elongate catheter 12ahaving a balloon 18*a* mounted on a distal segment of the catheter shaft 12a near its distal end DE. An advanceable/ retractable outer sheath 30 is mounted around the catheter 65 12*a* and a cutting or scoring element 22*a* as described above extends along the exterior of the catheter shaft 12a, inside of

0

the sheath 30. In the particular non-limiting example shown, a distal portion of the cutting or scoring member 22*a* is in the form of a linear helix having an inner diameter sized to allow it to advance over the balloon 18 when deflated. The cutting or scoring member 22a is initially in a stowed position on the catheter shaft 12*a* proximal to the balloon 18*a* and inside the outer sheath 30, as seen in FIG. 3A. In this manner, the outer sheath 30 covers and prevents the cutting or scoring member 22a from damaging blood vessel walls as the balloon 18 is advanced through the vasculature to the treatment site. With the cutting or scoring member 22a in this stowed position, the balloon 18a may be used to perform single/multiple traditional balloon angioplasty inflations at one or more treatment sites since it has no cutting or scoring element over it. Thereafter, if it is desired to perform one or more balloon inflations with concurrent cutting or scoring, the sheath 30 may be advanced in the distal direction over the deflated balloon 18a, bringing the cutting or scoring member 22*a* along with it, causing the cutting or scoring member 22a to be positioned on the deflated balloon 18a. Thereafter, the sheath 30 may be retracted proximally to expose the deflated balloon 18 with the cutting or scoring member 22*a* on it, as seen in FIG. 3B. Then, the balloon 18*a* may be inflated which causes the cutting or scoring member 22*a* to radially expand along with the balloon 18*a*, as shown in FIG. 3C. In this manner, balloon dilation with cutting or scoring of the obstructive matter may be accomplished. When the procedure is completed, the sheath 30 may be re-advanced over the deflated balloon 18a and the cutting or 30 scoring member 22*a*, and the entire device 10a is then removed from the vasculature. Alternatively, when the procedure is completed, the outer sheath 30 may be re-advanced over the deflated balloon 18a and the cutting or scoring member 22a. Thanks to mechanical interference, the outer sheath 30 is able to capture the cutting or scoring member 22*a* so that the outer sheath 30 with the associated cutting or scoring member 22a may be removed proximally. In so doing, after the cutting/scoring process step, the balloon 18a may be used for successive dilatations of the stenotic lesion. Alternatively, the scoring member retrieval may also be supported by pulling the scoring member from its operative position, back inside the outer sheath 30. Additional components and/or design attributes may be added to the device 10*a* to facilitate the desired movement and deposition of the cutting or scoring member 22a on the balloon. FIGS. 4A through 4E show another embodiment of a PTA device 10b of the present invention. This device 10b comprises an elongate inner catheter shaft 12b, a tubular outer catheter shaft 34 positioned around the inner shaft 12b and an outer sheath 36 positioned around the outer catheter shaft **34**. An angioplasty balloon **18***b* is mounted on a distal portion of the inner catheter shaft 12b near its distal end DE. A cutting or scoring member 22b is initially positioned in a stowed position on the outer catheter shaft 34 between a proximal positioning balloon 38 and a distal positioning balloon 40. In this non-limiting example, the cutting or scoring element 22b comprises a wire helix with ring members 23 at either end. Such ring members 23 may also optionally be present on the ends of the cutting or scoring FIGS. 3 through 3C show a second embodiment of a PTA 60 member 22 of the second embodiment of the device 10ashown in FIGS. 3 through 3D to deter the ends of the helical wire from damaging or perforating the balloon 18a. A control wire 41 is attached to the proximal ring member 23 of the cutting or scoring element 22b. Such control wire 41 extends along side the outer catheter shaft 34 through the lumen of the outer sheath 36, as may be appreciated from the showing of FIG. **4**A.

7

With the cutting or scoring member 22b in the stowed position shown in FIG. 4A, the angioplasty balloon 18b may initially be used to perform (or attempt to perform) one or more standard balloon dilations without cutting or scoring. Thereafter, if it is desired to perform further balloon 5 dilation(s) with concurrent cutting or scoring, the cutting or scoring member 22b may be moved from the stowed position (FIG. 4A) to an operative position on the angioplasty balloon 18b (FIGS. 4C and 4D). To accomplish this, the outer catheter shaft 34 may be advanced distally with the 10 proximal positioning balloon 38 inflated and the distal positioning balloon 40 deflated as shown in FIG. 4B. The outer catheter shaft 34 is advanced in this manner to a position where the cutting or scoring member 22b is positioned over the deflated angioplasty balloon **18**b. The control 15 wire 41 is sufficiently rigid that, when the control wire 41 is held stationary, it deters not only distal movement, but also proximal movement, of the cutting or scoring member 22b. Thus, with the control wire **41** held stationary and the distal positioning balloon 40 deflated, the outer catheter shaft 34 is 20 then retracted proximally, leaving the cutting or scoring member 22b on the deflated balloon 18b as shown in FIG. 4C. At a lesion to be treated, the angioplasty balloon 18b may then be inflated causing the cutting or scoring member 22b to radially expand along with radial enlargement of the 25 inflating angioplasty balloon 18b, in the manner seen in FIG. 4D. This results in cutting or scoring of the obstructive matter concurrently with the exertion of outward dilating force by the inflated angioplasty balloon 18b. The angioplasty balloon 18b may then be deflated and re-inflated a 30 desired number of times at a desire number of locations where cutting of scoring treatment is desired. After the desired numbers of cutting or scoring treatments have been accomplished, if no further treatment is to be performed, the device 10b may be removed from the vas- 35 member 22c will radially contract and/or be pulled tight on culature. Therefore, the balloon 18b is deflated and the cutting or scoring member 22b may be moved back to its stowed position on the outer catheter shaft 34. This may be accomplished by inflating the distal positioning balloon 40 and thereafter proximally advancing the outer catheter shaft 40 34 and/or proximally retracting the control wire 41 to cause the cutting or scoring member 22b to move to its stowed position. FIGS. 5A through 5D show another embodiment of the present invention. In this embodiment, the device 10c com- 45prises an elongate catheter shaft 14 and balloon 18 substantially as described above with respect to FIGS. 1A-2C. A distal portion 100 extends distal to the balloon 18. This distal portion 100 comprises a distal tube 108 having a lumen 106 that terminates in a distal end opening. The distal tube 108 50 may comprise a distal portion of the balloon 18. The lumen 106 may be connected to and continuous with the main lumen 26 of the catheter shaft 14 to allow passage of a guidewire and/or infusion/withdrawal of fluids. In such embodiments, it will be appreciated that the catheter shaft 14 55 may include a third lumen (not shown) for inflation/deflation of the balloon 18. Optionally, a radiopaque marker band 104 may be provided on the distal tip, as shown. A cutting or scoring member 22c, substantially as described above, is biased to a helical configuration and is initially disposed in 60 a stowed position around the outer surface of the distal tube 108, as seen in FIG. 5A. A distal region of the cutting or scoring member 22c may be connected to the distal portion 100 of the device 10c. As described more fully below, in embodiments where a distal region of the cutting or scoring 65 member 22c may be connected to the distal portion 100 of the device 10*c*, such connection may optionally be a releas-

8

able connection which allows an operator to disconnect the cutting or scoring member 22c from the distal portion 100 while the device 10c is inserted in a patient's body.

Optionally, an outer sheath 110 covers the distal tube 108 and stowed cutting or scoring member 22c. A pull member 102, such as a wire of filament, is attached to a proximal end of the cutting or scoring member 22c. This pull member 102 extends through opening 20 and through the second lumen 24 of the catheter shaft 14 (see FIG. 1B). The pull member 102 runs along side the deflated balloon 18, as shown. With the cutting or scoring member 22c in the stowed position, the balloon 18 may be inflated one or more times, as seen in FIG. 5B, to perform one or more balloon angioplasty dilations without cutting or scoring. The pull member 102 is preferably small in diameter and substantially smooth so as not to cause cutting or scoring of the blood vessel wall when pressed against the blood vessel wall by the expanded balloon **18**. If and when balloon dilation with cutting or scoring is desired, the pull member 102 may be retracted in the proximal direction so as to pull the helical cutting or scoring member 22c from the stowed position, seen in FIGS. 5A-5B, to an operative position wherein it extends in convolutions around the balloon 18. The balloon 18 may be fully or partially deflated as the cutting or scoring member 22c is moved over the outer surface of the balloon 18 and into the operative position, as shown in FIG. 5C. Once the cutting or scoring member 22c is in the operative position, the balloon 18 may be fully inflated causing the convoluted cutting or scoring member to radially expand along with the balloon 18 and resulting in cutting or scoring of the blood vessel wall as desired, as shown in FIG. **5**D. When it is subsequently desired to remove the device 10c, the balloon 18 is fully deflated and the cutting of scoring the deflated balloon so that the device 10c may be withdrawn through the vasculature without causing trauma to the blood vessel walls. In some embodiments, a sheath (not shown) may be advanced over the deflated balloon 18 and radially collapsed on cutting or scoring member 22c to deter trauma to blood vessels as the device 10c is withdrawn. In other embodiments, a guide catheter may be positioned near the balloon 18 and the deflated balloon 18 and radially collapsed cutting or scoring member 22c may be retracted into the guide catheter and then removed along with the guide catheter thereby preventing the cutting or scoring member from causing trauma during withdrawal. In other embodiments, if the cutting or scoring member 22c is not attached to the distal portion 100 of the device 10c or if such attachment is releasable, the entire cutting or scoring member 22c may be retracted through opening 20 and into the catheter shaft 14 prior to removal of the device 10c. In embodiments where there is an option releasable connection between a distal portion of the cutting or scoring member 22c and the distal portion 100 of the device 10c, an operator may volitionally release such connection to allow the cutting or scoring member 22c to be fully retracted through opening 20 and into the catheter shaft 14. In such embodiments, any suitable type of frangible, severable or releasable connection may be used, such as those which are separated by introduction of pressurized fluid, melting, thermally degrading, deadhering, dissolving, rotating, mechanically releasing or otherwise. Examples of such releasable connections are found in a number of embolic coil implantation systems of the type commonly used to treat cerebral aneurysms and other vascular defects in the brain, including but not limited to those described in U.S. Pat. Nos. 5,122,136; 5,108,407;

9

5,350,397; 6,113,622; 6,203,547 and 7,708,755 as well as United States Patent Application Publication Nos. 2002/0165569; 2004/0034363 and 2006/0276823, the entire disclosure of each such patent and patent application being expressly incorporated herein by reference.

The present invention is based on a deployable and retrievable cutting or scoring element, which may be a metallic element, that allows for a selective scoring of the lesion, given the deployable-retrievable unique configuration of the cutting or scoring element. This accounts for the 10 possibility to selectively deliver scoring balloons to the lesion site, having the opportunity to choose a non-scoring traditional PTA balloon configuration as an alternative. This invention allows for a dual use of the balloon object of the present invention: in fact the plaque is dilated thanks to the 15 help of the scoring elements on the balloon and then the lesion can be easily treated with the bare balloon, completing the lesion treatment. Alternatively, the balloon is a drug coated balloon and/or the cutting or scoring member comprises a drug coating so that a suitable amount of drug can 20 be provided at the lesion site, e.g. to avoid or at least to remarkably reduce undesired restenosis effects. It is to be appreciated that this invention enables a single balloon catheter to be used for initial cutting or scoring of a lesion (in case it is heavily calcified or fibrotic) followed by 25 standard balloon-alone PTA or, conversely, initial balloononly dilation (or attempted balloon-only dilation) followed by cutting or scoring of the lesion. By using the PTA catheter devices of the present invention, physicians may elect to apply cutting or scoring treatment to a lesion only when this 30 is believed to be strictly necessary for limiting in-vessel restenosis without the need for use of a second balloon catheter. On the other hand, after cutting or scoring of a lesion has been performed, the physician may use the same PTA catheter to deliver conventional balloon-only angio- 35

10

lents of the described examples and embodiments and are to be included within the scope of the following claims.

What is claimed is:

1. A balloon catheter device comprising: an elongate catheter shaft;

an inflatable balloon positioned on the catheter shaft; and at least one cutting or scoring element that is axially moveable relative to the catheter shaft along a longitudinal axis of the catheter shaft between a first position wherein it does not cause the balloon to perform a cutting or scoring function and a second position wherein it does cause the balloon to perform a cutting or scoring function; wherein the at least one cutting or scoring element comprises a single elongate member that forms loops or convolutions when the at least one cutting or scoring element is in the first position, and forms loops or convolutions around the balloon when the at least one cutting or scoring element is in the second position and the balloon is in an inflated configuration. 2. The balloon catheter device according to claim 1, wherein the at least one cutting or scoring element comprises a single elongate member that forms helical convolutions around the balloon when in the second position and the balloon is in the inflated configuration. 3. The balloon catheter device according to claim 1, wherein an inflation-deflation lumen extends through the catheter shaft and is useable for inflation and deflation of the balloon.

4. The balloon catheter device according to claim 1, wherein the balloon has an outer surface and wherein:

i) when the at least one cutting or scoring element is in the first position, the at least one cutting or scoring element does not extend over the outer surface of the balloon and does not cause the at least one cutting or scoring element to perform a cutting or scoring function upon inflation of the balloon; and

plasty, thus highly customizing the treatment configuration to patient specific needs and clinical status.

In any embodiment of the invention, the cutting or scoring member 22, 22*a*, 22*b* may be connected to a generator or other energy source to provide for active cutting or scoring 40 in which radiofrequency, thermal or other energy is delivered to the vessel wall tissues which come into contact with the cutting or scoring member 22, 22*a*, 22*b*. Such a device may be used, for instance, for renal denervation treatments.

It is to be appreciated that, although the invention has 45 been described hereabove with reference to certain examples or embodiments of the invention, various additions, deletions, alterations and modifications may be made to those described examples and embodiments without departing from the intended spirit and scope of the invention. For 50 example, any elements, steps, members, components, compositions, reactants, parts or portions of one embodiment or example may be incorporated into or used with another embodiment or example, unless otherwise specified or unless doing so would render that embodiment or example 55 unsuitable for its intended use. Also, where the steps of a method or process have been described or listed in a particular order, the order of such steps may be changed unless otherwise specified or unless doing so would render the method or process unsuitable for its intended purpose. 60 member. Additionally, the elements, steps, members, components, compositions, reactants, parts or portions of any invention or example described herein may optionally exist or be utilized in the substantial absence of other elements, steps, members, components, compositions, reactants, parts or portions 65 unless otherwise noted. All reasonable additions, deletions, modifications and alterations are to be considered equiva-

ii) when the at least one cutting or scoring element is in the second position, the at least one cutting or scoring element does extend over at least a portion of the outer surface of the balloon and does cause the at least one cutting or scoring element to perform a cutting or scoring function upon inflation of the balloon.

5. The balloon catheter device according to claim 1, wherein the at least one cutting or scoring element comprising the elongate member is positioned within the catheter shaft proximal to the balloon when in the first position.

6. The balloon catheter device according to claim 1, wherein the device further comprises a distal portion located distal to the balloon and wherein the elongate member is positioned within the distal portion when in the first position.

7. The balloon catheter device according to claim 6, wherein the device further comprises a pull member attached to a proximal end of the at least one cutting or scoring element and wherein the at least one cutting or scoring element advances in helical convolutions around the balloon when pulled in the proximal direction by the pull member.

8. The balloon catheter device according to claim **7**, wherein the pull member extends alongside the balloon when the at least one cutting or scoring element is in the first position.

9. The balloon catheter device according to claim 8, wherein the pull member is sized and configured so that it does not cause the balloon to perform cutting or scoring of

11

a blood vessel wall if the balloon is inflated within a blood vessel while the at least one cutting or scoring element is in the first position.

10. The balloon catheter device according to claim 7, wherein a distal region of the at least one cutting or scoring 5 element is attached to the distal portion of the device.

11. The balloon catheter device according to claim 10, wherein the attachment of the distal region of the at least one cutting or scoring element to the distal portion of the device is releasable so as to allow the at least one cutting or scoring 10 element to be pulled into the catheter shaft when desired.

12. The balloon catheter device according to claim 1, wherein the at least one cutting or scoring element com-

12

20. The device according to claim 1, wherein the cutting or scoring element comprises a single elongate member that forms loops or convolutions that all are distal to the balloon when the cutting or scoring element is in the first position.

21. A method of using the balloon catheter device of claim 1 to treat a stenotic region of a body lumen, the method comprising:

advancing the catheter shaft to a position wherein the balloon is positioned within the stenotic region of the body lumen wherein obstructive matter is present;

determining whether it is desired to dilate the stenotic region with or without concurrent cutting or scoring of the obstructive matter; and

prises a wire.

13. The balloon catheter device according to claim 12, 15 wherein the at least one cutting or scoring element comprises nickel titanium wire.

14. The balloon catheter device according to 1, wherein the at least one cutting or scoring element has a blunt distal tip that does not damage the balloon as it moves between the 20 first position and the second position.

15. The balloon catheter device according to claim 1, wherein the at least one cutting or scoring element comprises a generally tubular structure and is retractable to a position proximal to the balloon when in the first position 25 and advanceable to a position wherein it surrounds at least a portion of the balloon when in the second position.

16. The balloon catheter device according to claim 15, wherein the at least one cutting or scoring element comprises a helical wire coil that forms a generally tubular 30 structure.

17. The balloon catheter device according to claim 15, further comprising a moveable sheath that facilitates advancement and retraction of the at least one cutting or scoring element back and forth between the first position and 35 the second position. 18. The balloon catheter device according to claim 17, wherein the catheter shaft comprises an inner catheter shaft on which the balloon is mounted and an outer catheter shaft through which the inner catheter shaft extends, the moveable 40 sheath being positioned around the outer catheter shaft, proximal and distal positioning members being formed at spaced-apart locations on the outer catheter shaft, the at least one cutting or scoring element being positionable about the outer catheter shaft and between the proximal and distal 45 positioning members when in the first position, the proximal and distal positioning members being independently expandable and contractable to facilitate movement of the at least one cutting or scoring element back and forth between the first and second positions. 50

- a) if it is desired to dilate the stenotic region without cutting or scoring of the obstructive matter, then causing the at least one cutting or scoring member to be positioned in the first position while inflating the balloon to thereby dilate the stenotic region without causing cutting or scoring of the obstructive matter; and
- b) if it is desired to dilate the stenotic region with cutting or scoring of the obstructive matter, then causing the at least one cutting or scoring member to be positioned in the second position while inflating the balloon to thereby dilate the stenotic region while concurrently causing cutting or scoring of the obstructive matter.

22. A method for using the balloon catheter device of claim 1 to perform staged treatment of a stenotic region of a body lumen, the method comprising:

- advancing the catheter shaft to a position wherein the balloon is positioned within the stenotic region of the body lumen wherein obstructive matter is present, the balloon being positioned on the catheter shaft;
- axially moving the at least one cutting or scoring member

19. The balloon catheter device according to claim 18, wherein the proximal and distal positioning members comprise balloons.

relative to the catheter shaft along the longitudinal axis of the catheter shaft from the first position to the second position;

inflating the balloon to a first diameter while the at least one cutting or scoring member is in the second position to thereby cause an initial stage of dilation of the stenotic region with concurrent cutting or scoring of the obstructive matter;

deflating the balloon;

- moving the at least one cutting or scoring member to the first position; and
- inflating the balloon to a second diameter while the at least one cutting or scoring member is in the first position to thereby cause a second stage of dilation of the stenotic region wherein the obstructive matter was previously cut or scored.