

(12) **United States Patent**  
**Vayser et al.**

(10) **Patent No.: US 9,610,130 B2**  
(45) **Date of Patent: Apr. 4, 2017**

(54) **ILLUMINATED AND MODULAR SOFT  
TISSUE RETRACTOR**

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(73) Assignee: **Invuite, Inc.**, San Francisco, CA (US)

(\*) Notice: Subject to any disclaimer, the term of this  
patent is extended or adjusted under 35  
U.S.C. 154(b) by 0 days.

(21) Appl. No.: **14/872,482**

(22) Filed: **Oct. 1, 2015**

(65) **Prior Publication Data**  
US 2016/0022372 A1 Jan. 28, 2016

**Related U.S. Application Data**

(60) Continuation of application No. 14/500,605, filed on  
Sep. 29, 2014, now Pat. No. 9,173,648, which is a  
(Continued)

(51) **Int. Cl.**  
**A61B 1/32** (2006.01)  
**A61B 19/00** (2006.01)  
(Continued)

(52) **U.S. Cl.**  
CPC ..... **A61B 19/5202** (2013.01); **A61B 1/06**  
(2013.01); **A61B 1/32** (2013.01); **A61B 17/02**  
(2013.01);  
(Continued)

(58) **Field of Classification Search**  
None  
See application file for complete search history.

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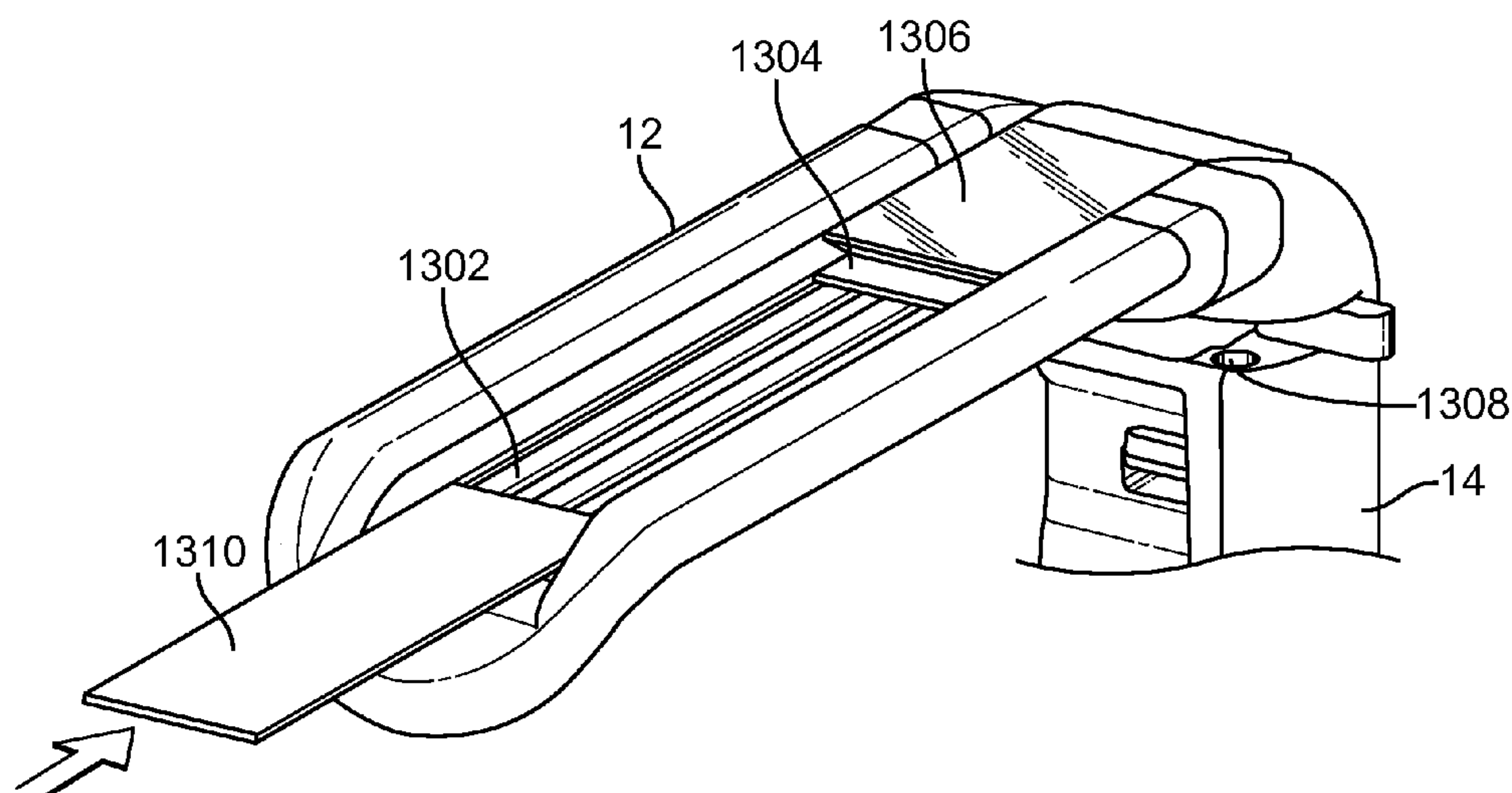
*Primary Examiner* — Sameh Boles

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& Rosati

(57) **ABSTRACT**

A surgical retractor for illuminating a surgical field includes  
an ergonomic handle, a retractor blade coupled with the  
handle, a quick release mechanism, and an illuminator  
blade. The retractor blade is adapted to engage and retract  
tissue, and the quick release mechanism is adapted to couple  
the handle with the retractor blade. The illuminator blade  
acts as a waveguide to transmit light by total internal  
reflection. Light is extracted from the illuminator to illumi-  
nate the surgical field. The retractor blade is releasable from  
the handle without requiring uncoupling of the illuminator  
blade from the handle and also without requiring optical  
uncoupling of the illuminator blade from a light source. The  
retractor may also be adapted to evacuate smoke from the  
surgical field.

**22 Claims, 52 Drawing Sheets**



Related U.S. Application Data

division of application No. 13/624,622, filed on Sep. 21, 2012, now Pat. No. 8,876,709.

(60) Provisional application No. 61/538,675, filed on Sep. 23, 2011.

(51) Int. Cl.

A61B 17/02 (2006.01)

A61B 1/06 (2006.01)

A61B 90/30 (2016.01)

A61B 17/00 (2006.01)

(52) U.S. Cl.

CPC ..... A61B 17/0218 (2013.01); A61B 90/30 (2016.02); A61B 2017/00473 (2013.01); A61B 2017/00858 (2013.01); A61B 2017/00929 (2013.01); A61B 2090/306 (2016.02); A61B 2217/005 (2013.01)

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Office action dated Feb. 3, 2014 for U.S. Appl. No. 13/624,622.  
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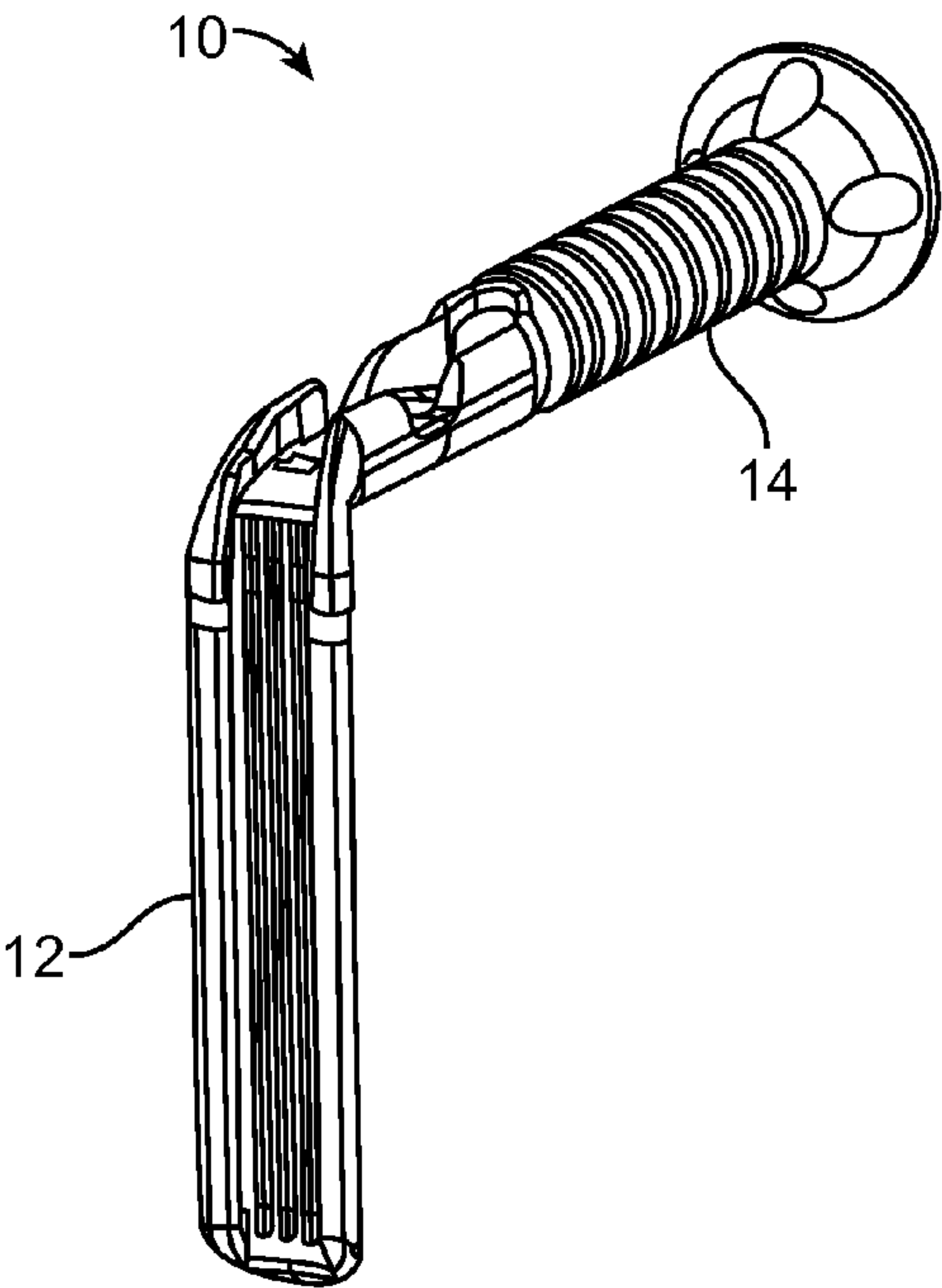


FIG. 1

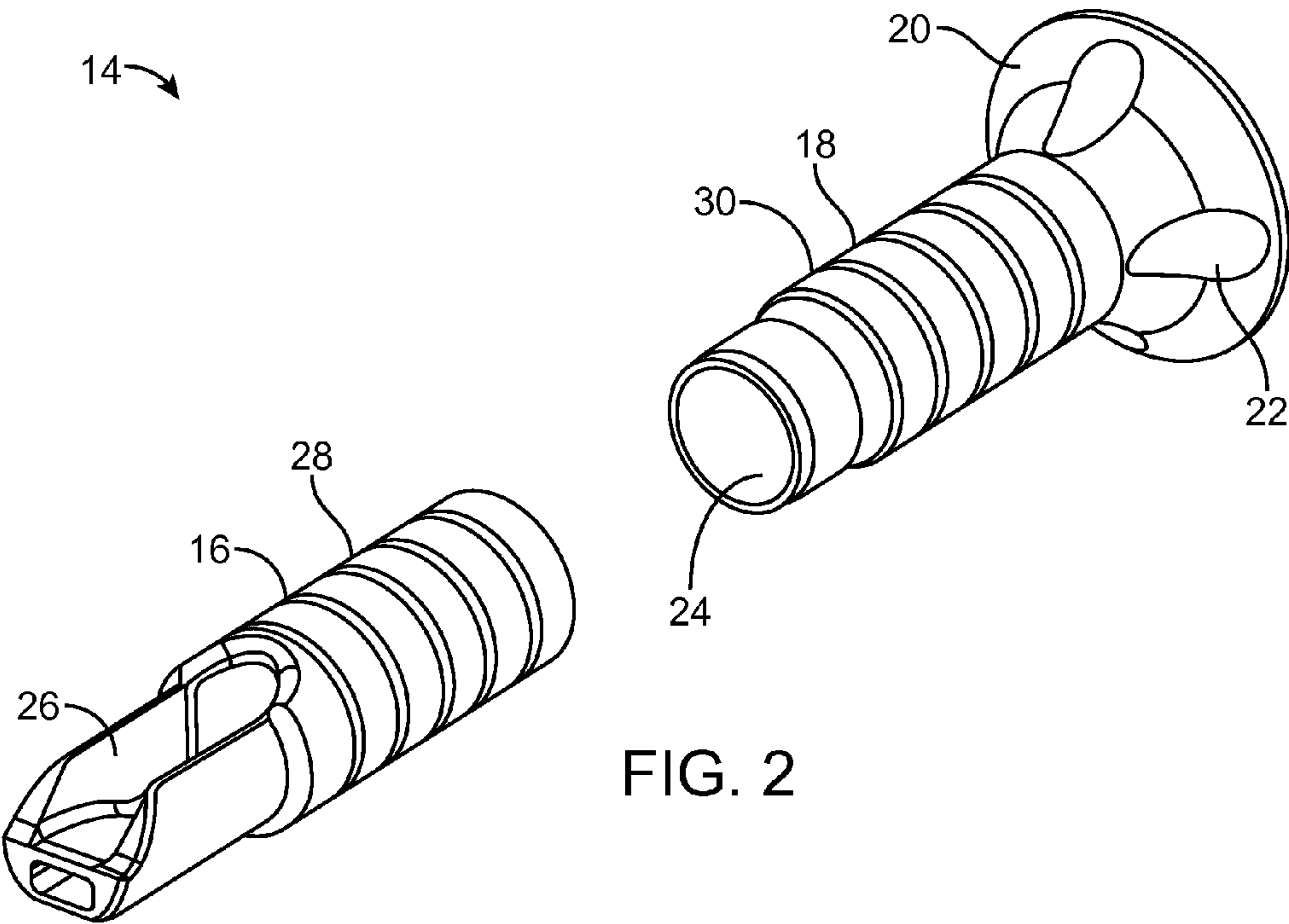


FIG. 2



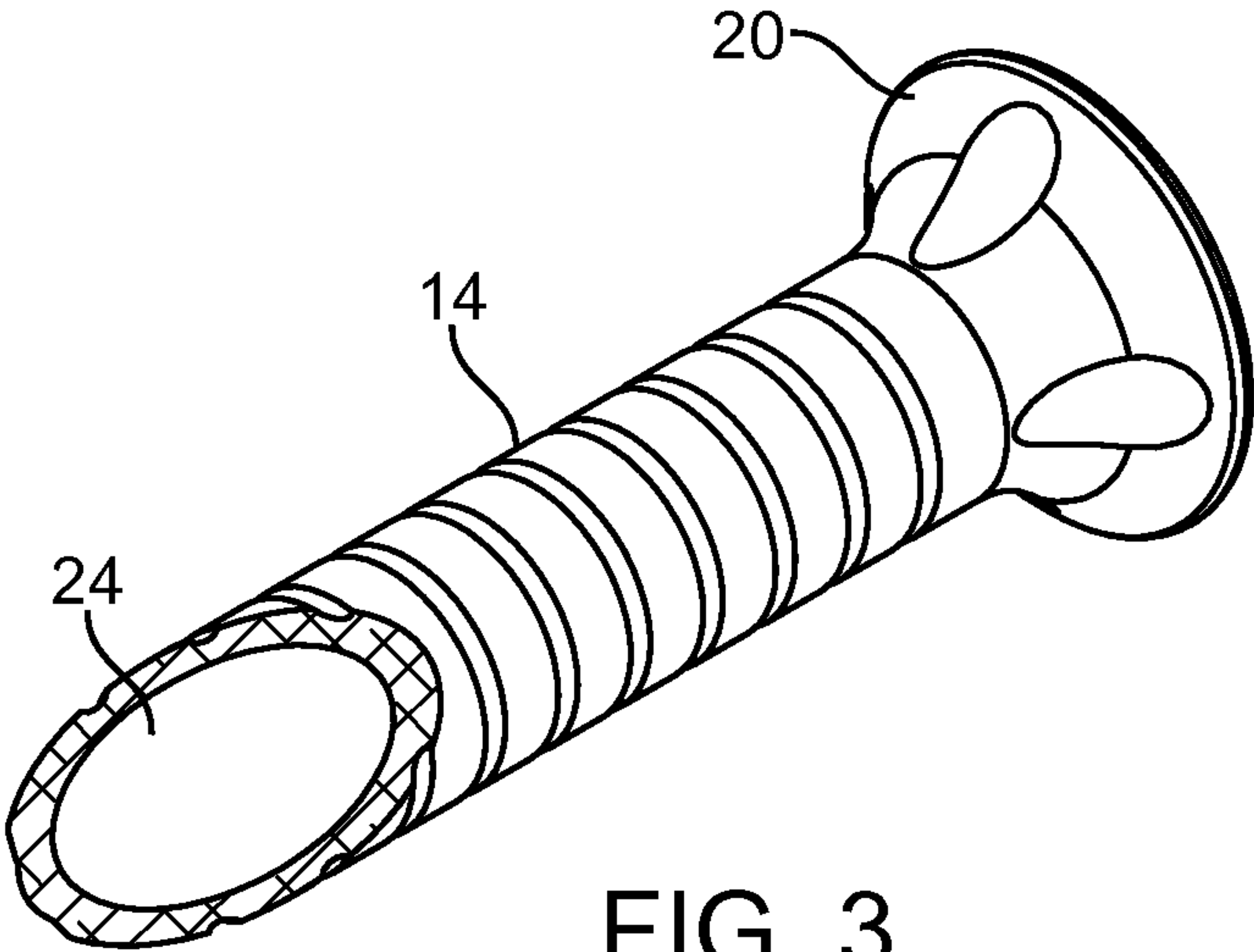


FIG. 3

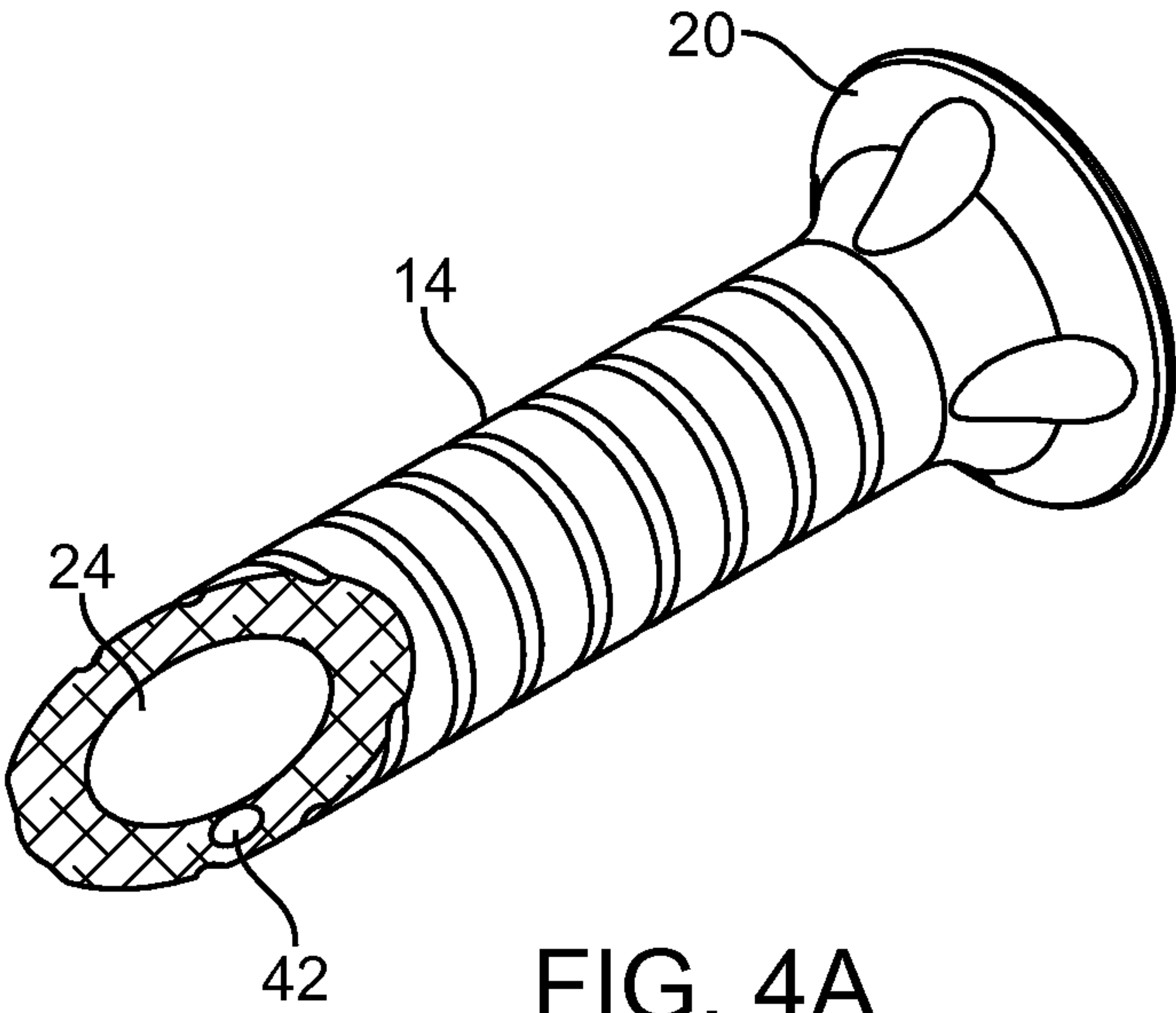


FIG. 4A

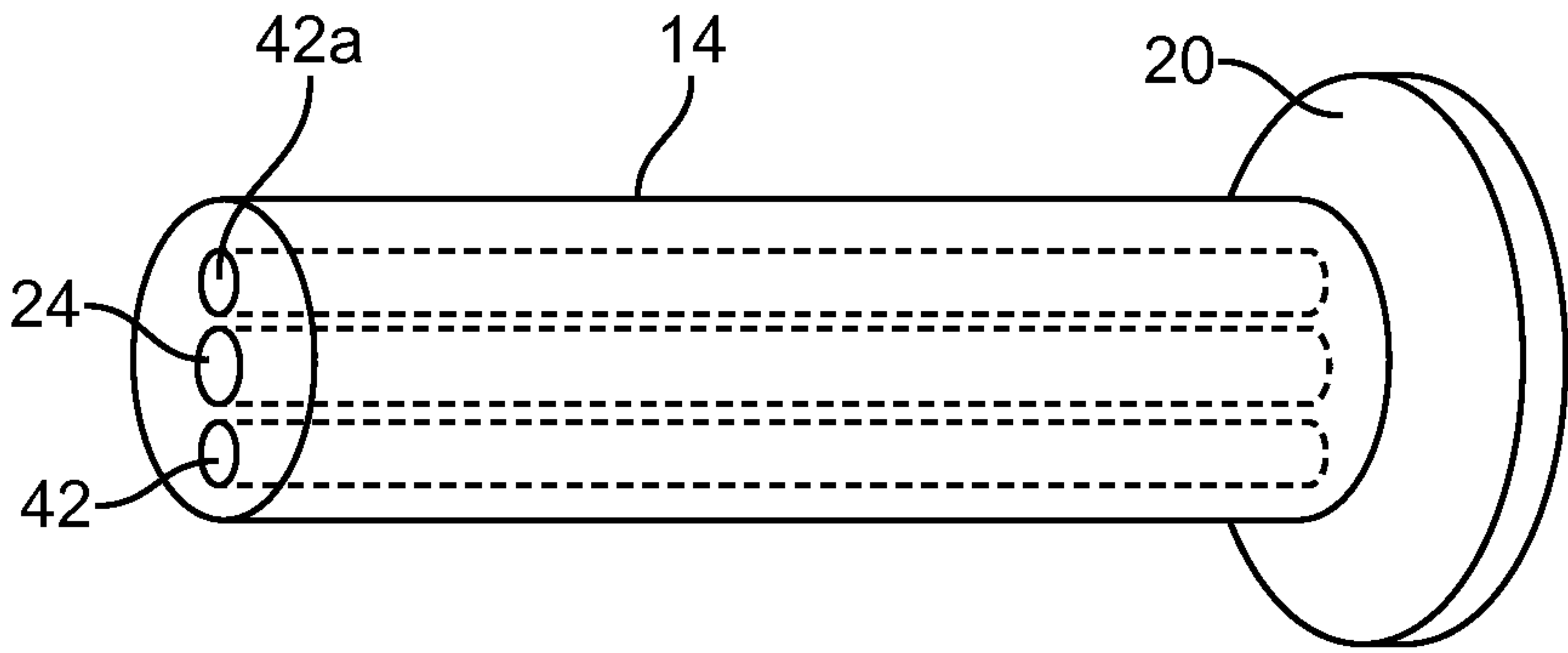


FIG. 4B

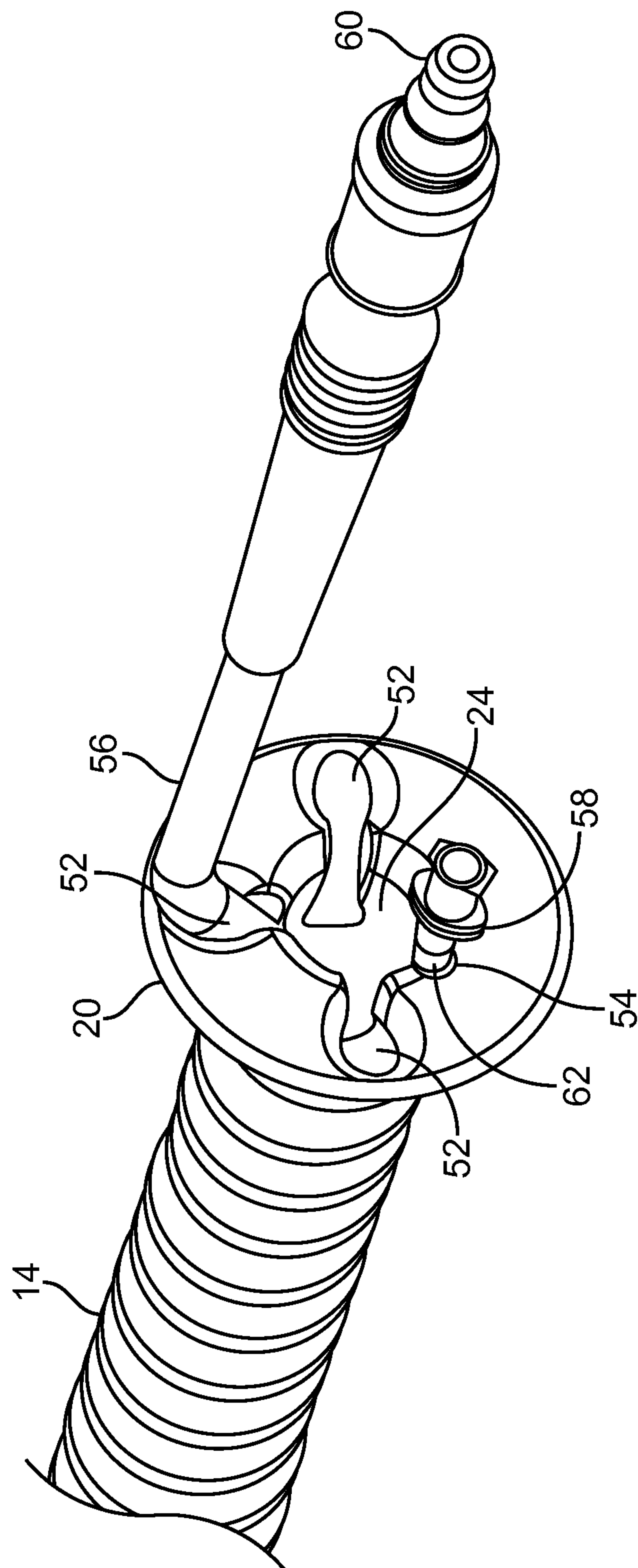


FIG. 5

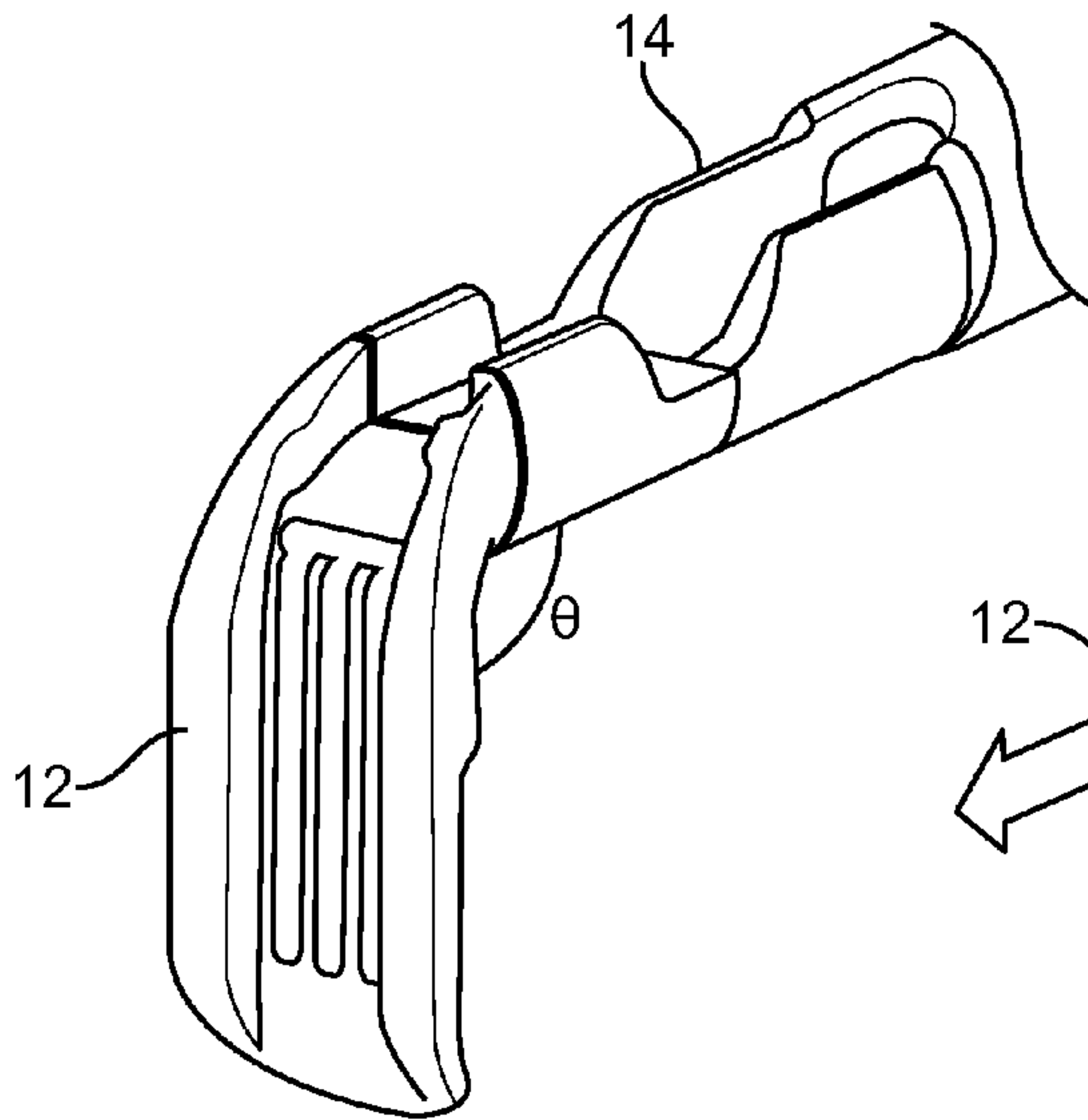


FIG. 6A

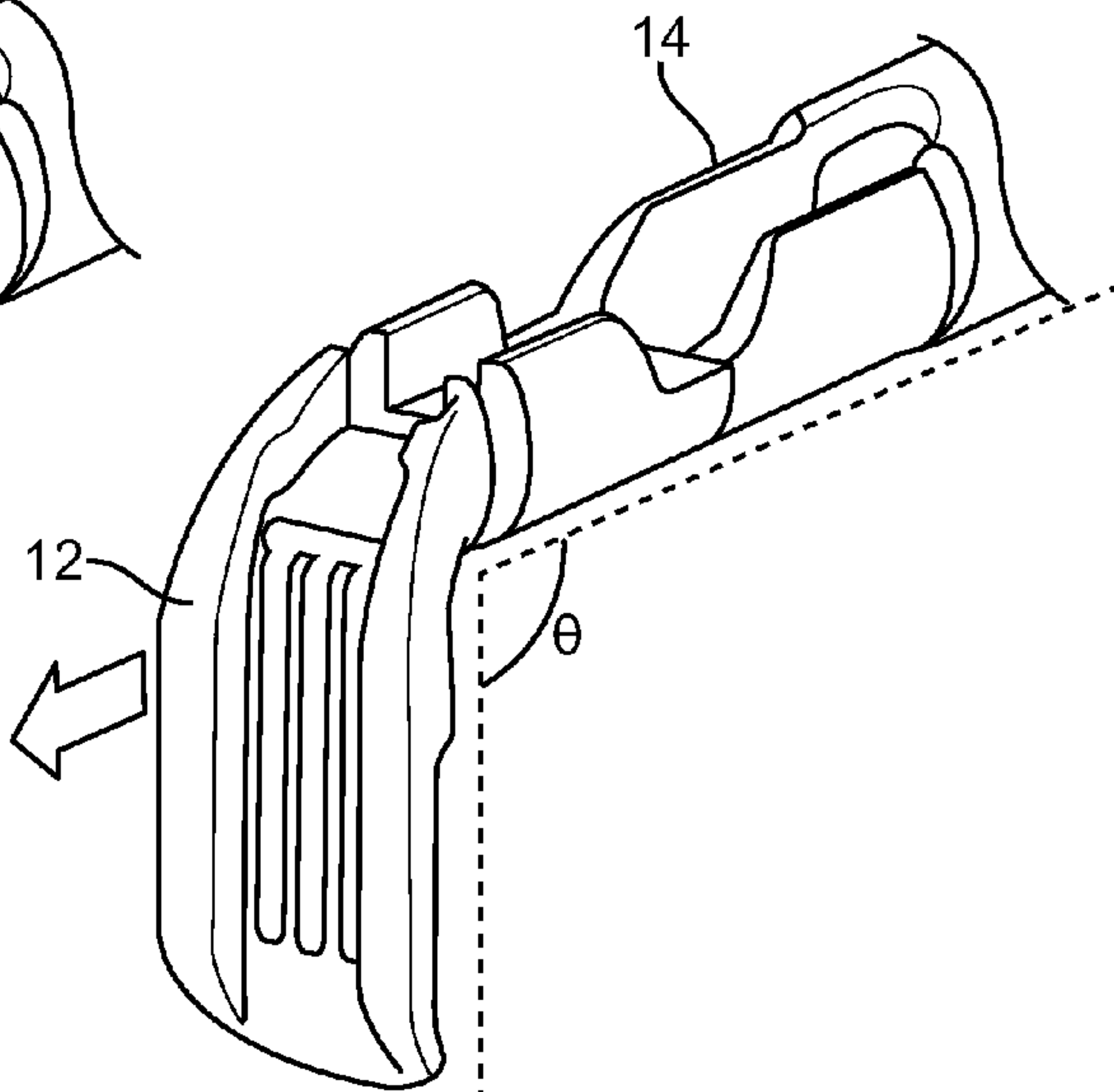


FIG. 6B

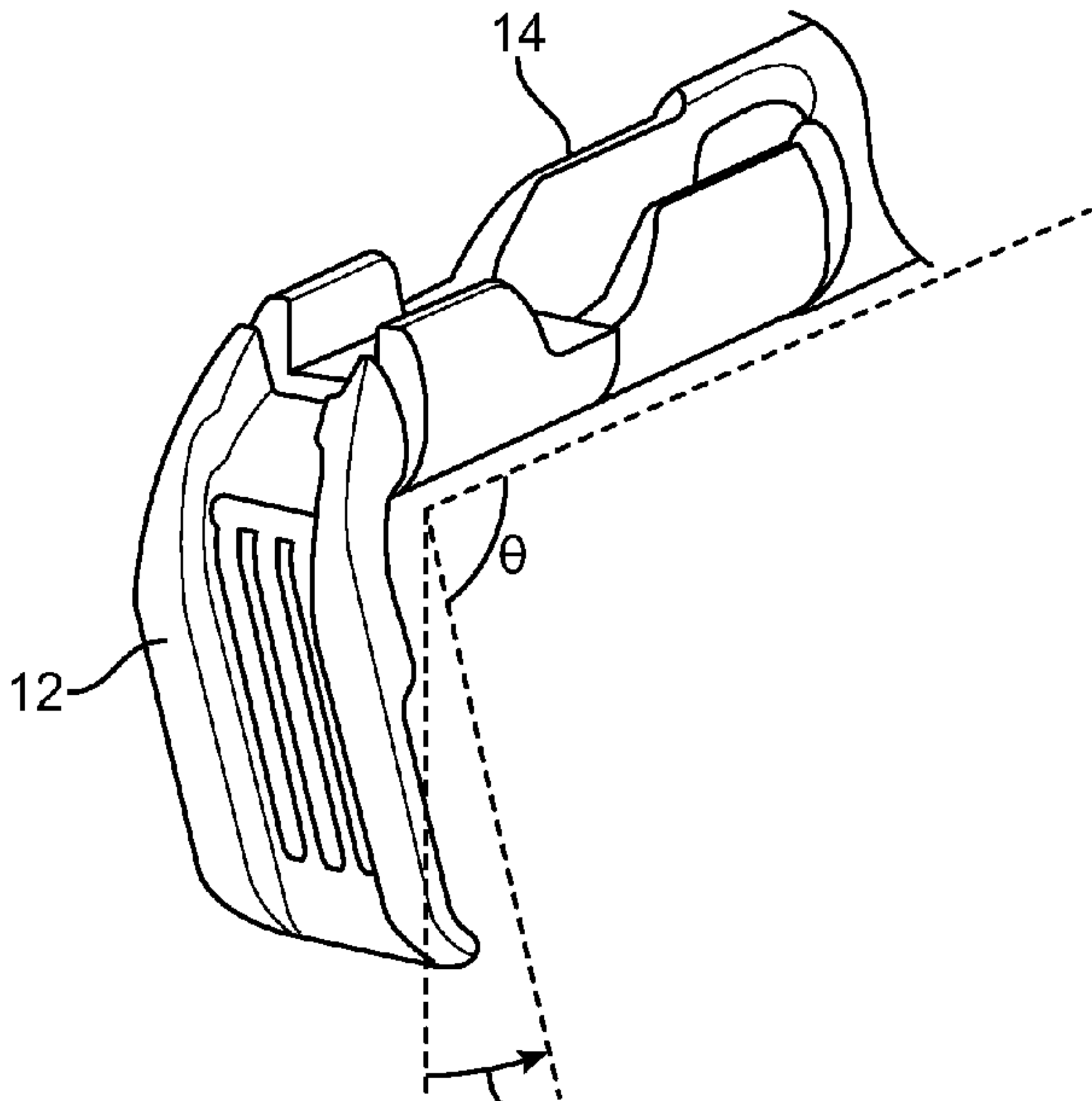


FIG. 6C

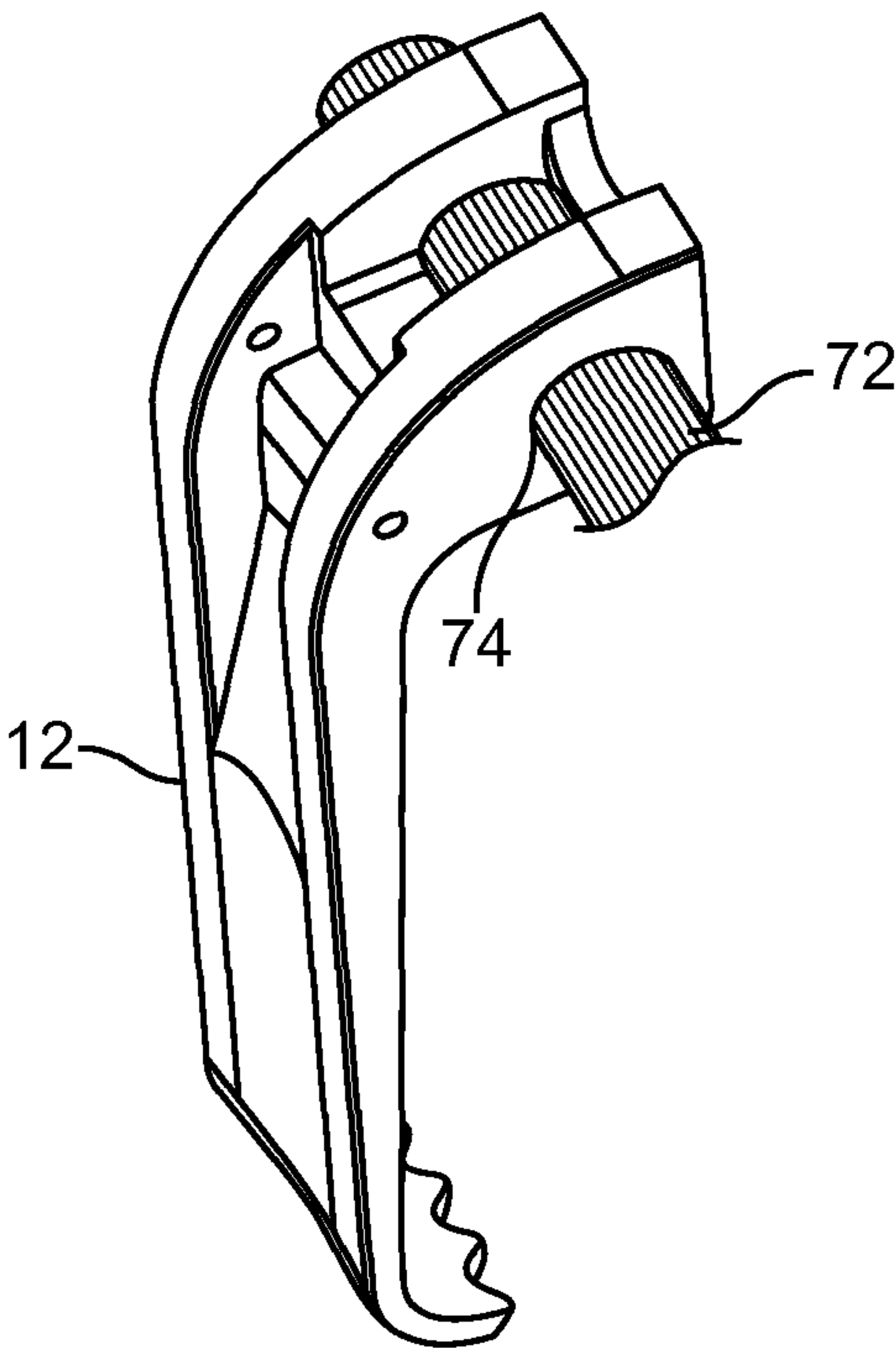


FIG. 7A

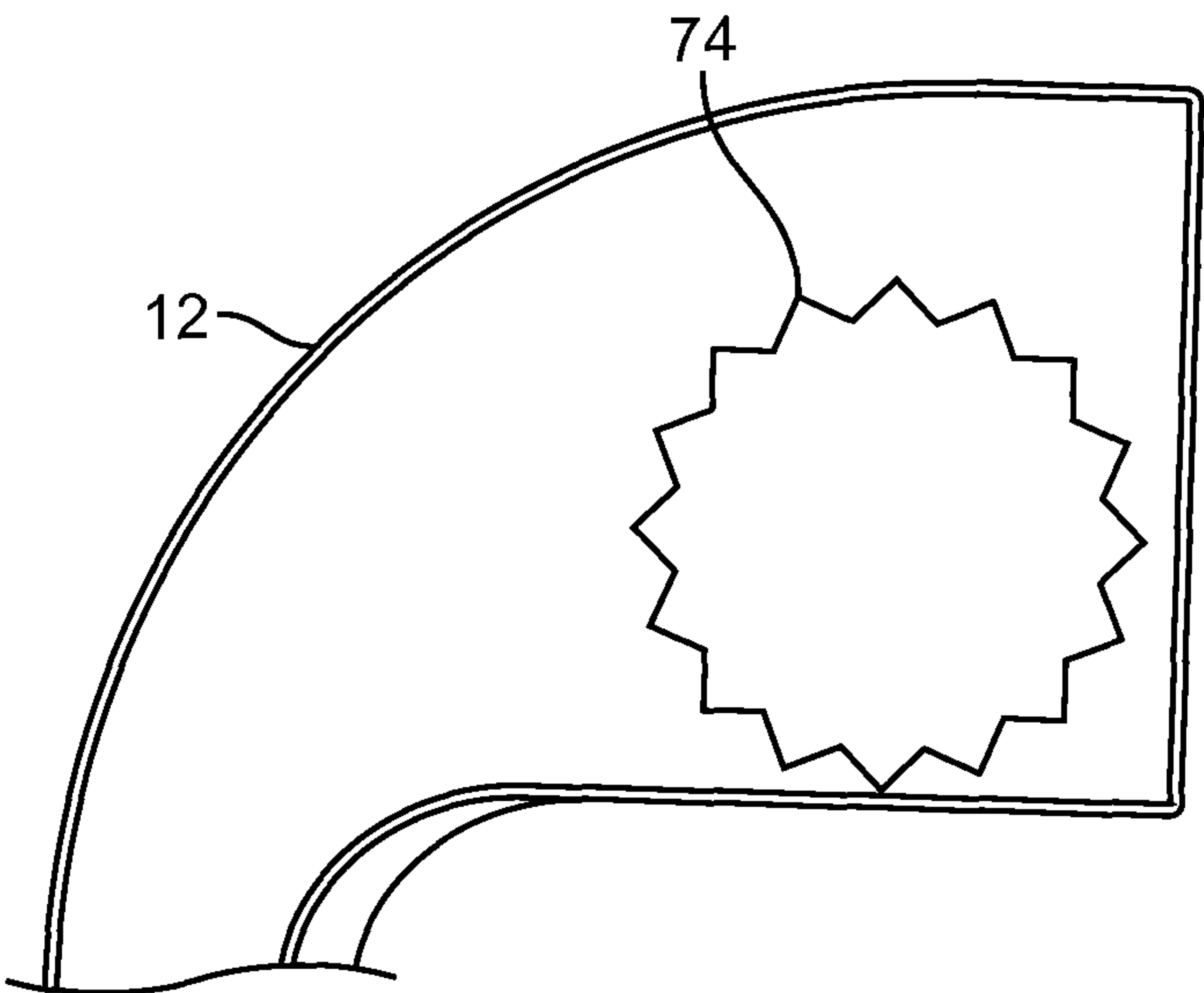


FIG. 7B



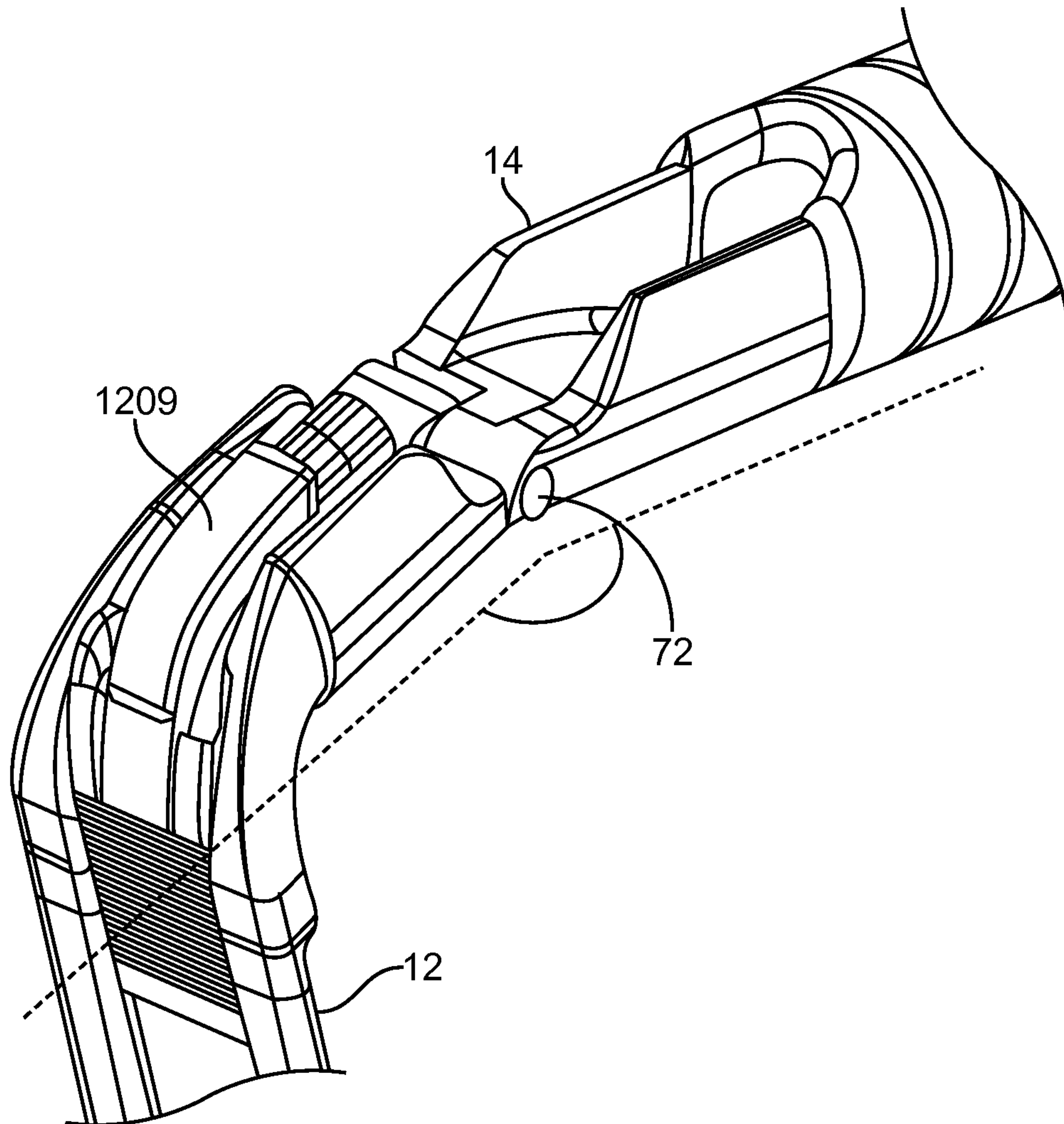


FIG. 7C

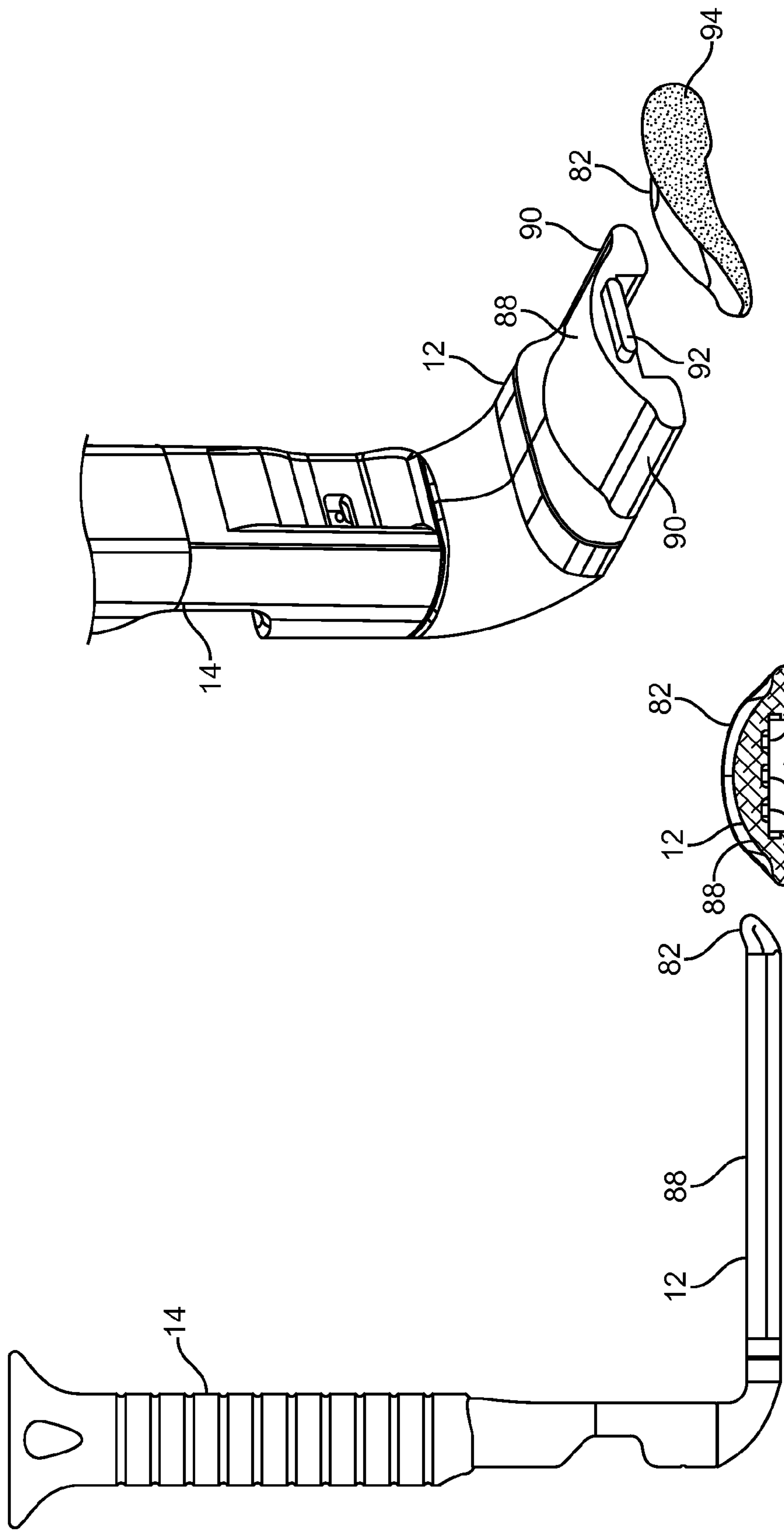


FIG. 9

FIG. 8B

FIG. 8A

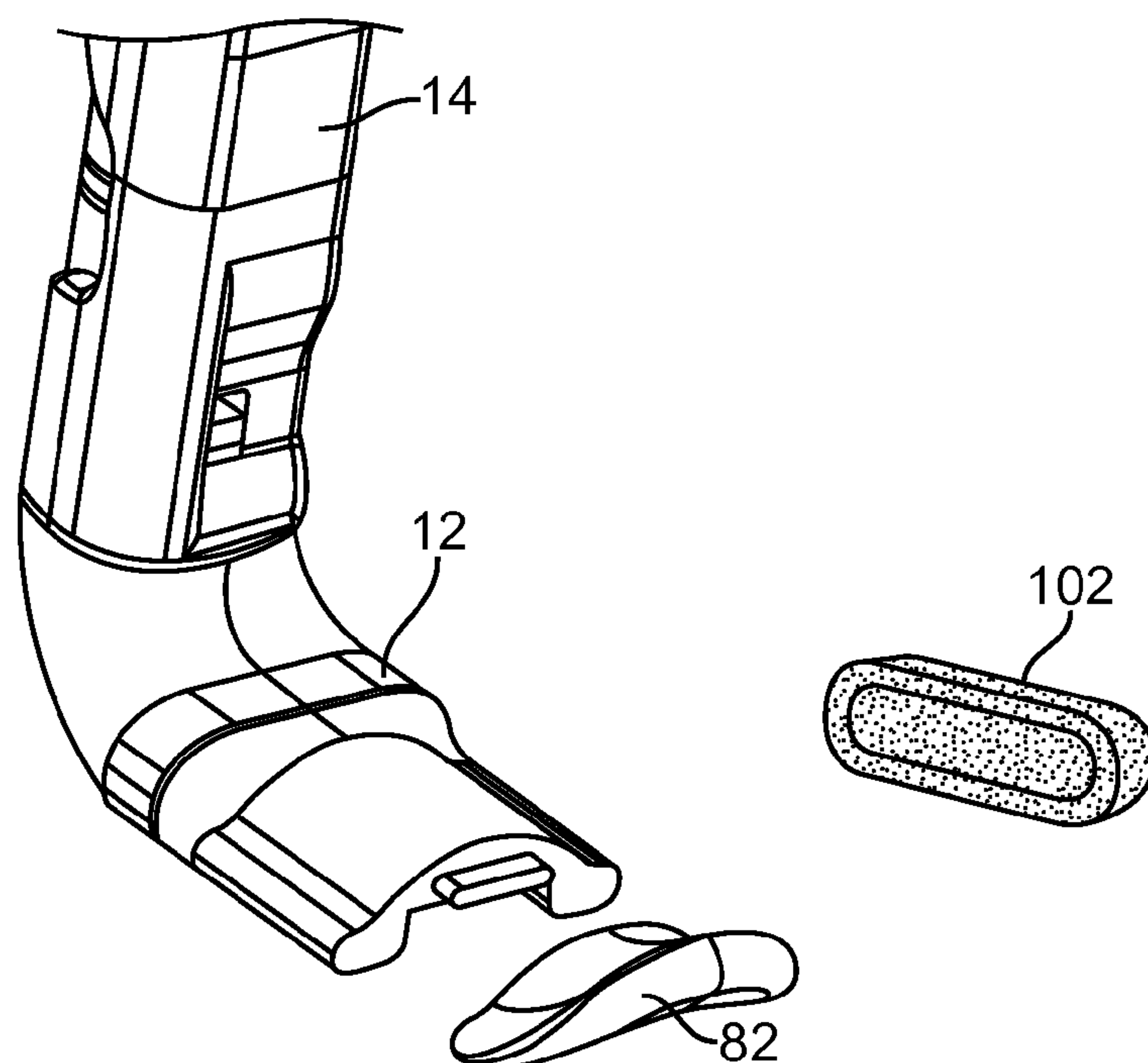


FIG. 10A

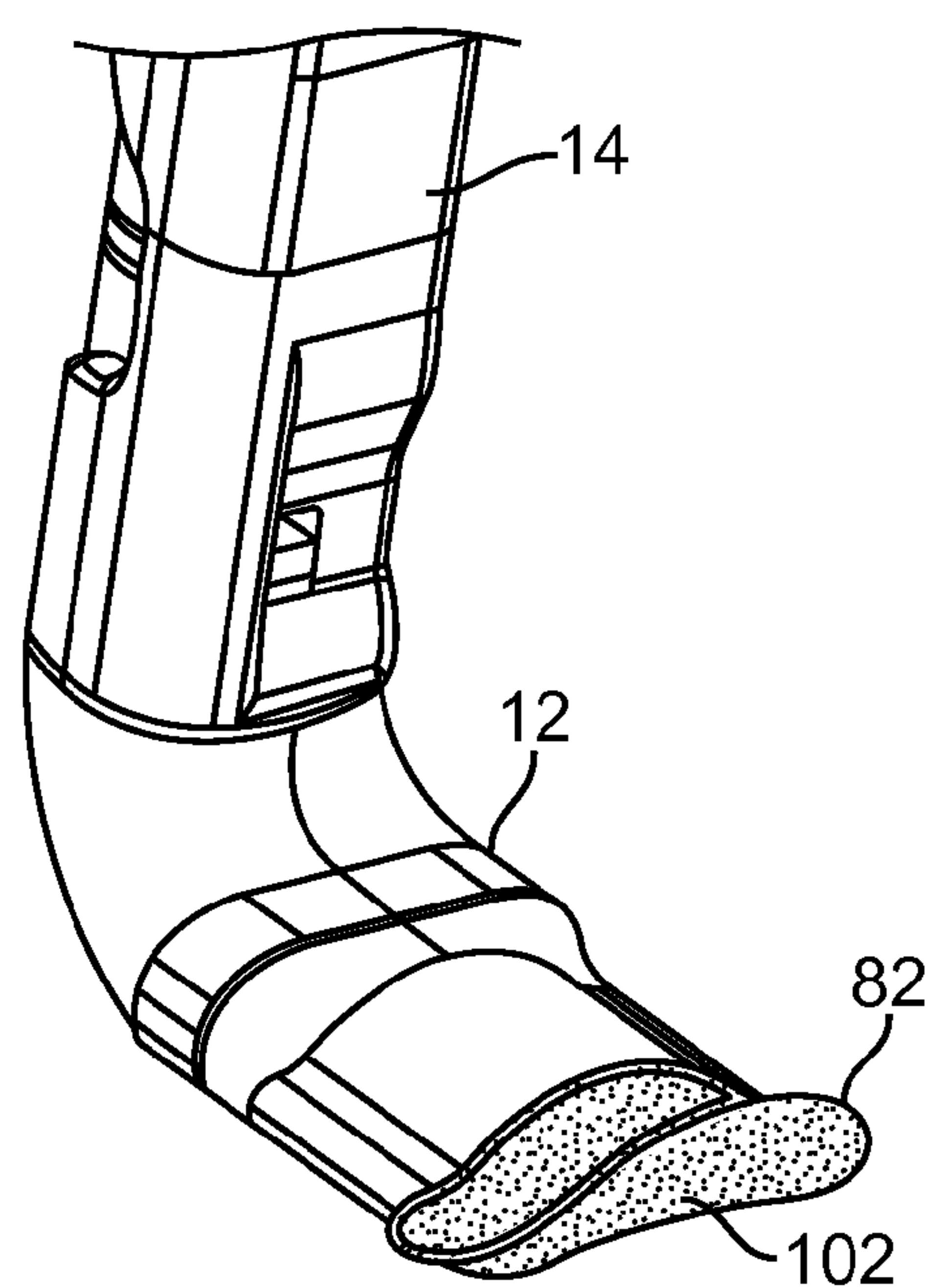


FIG. 10B

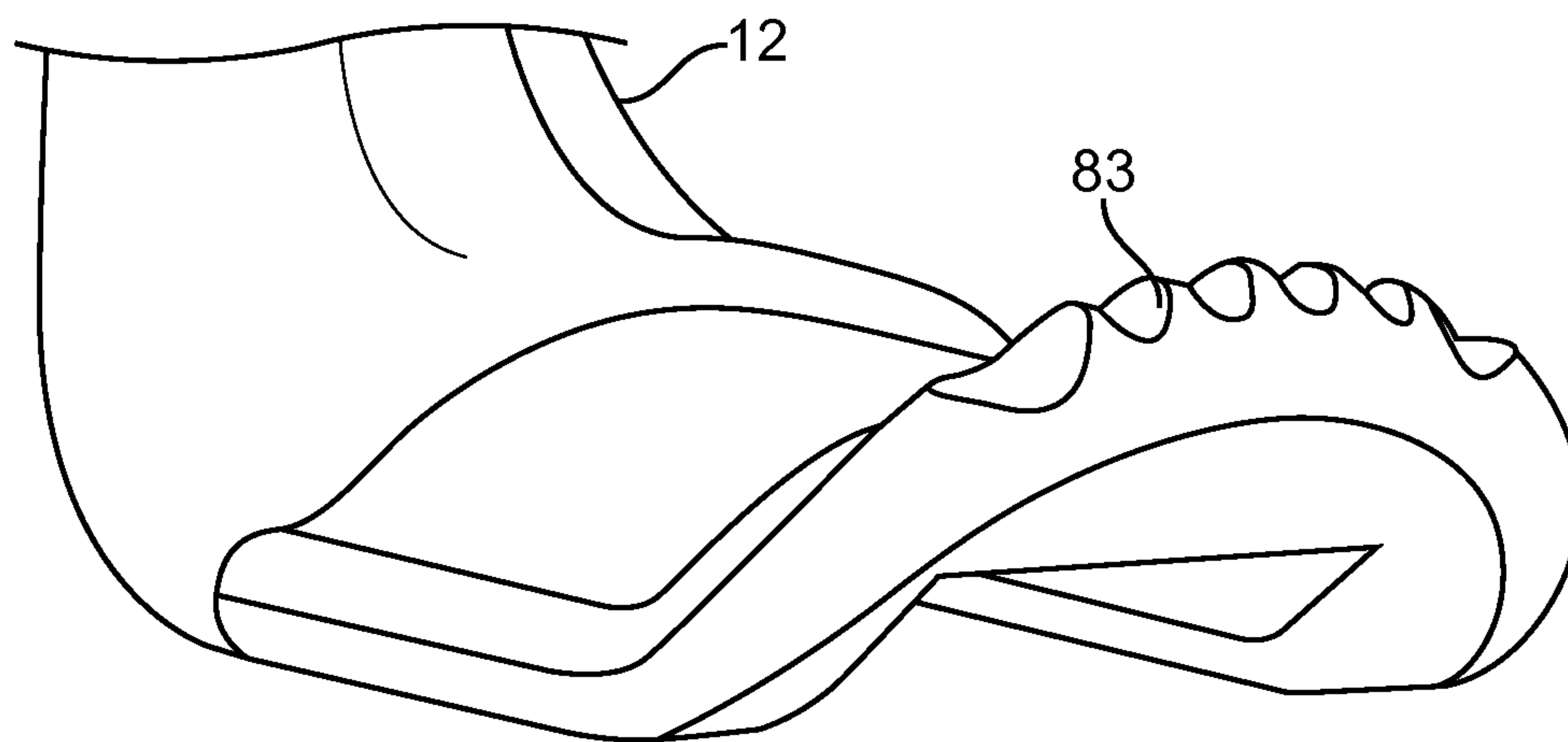


FIG. 10C

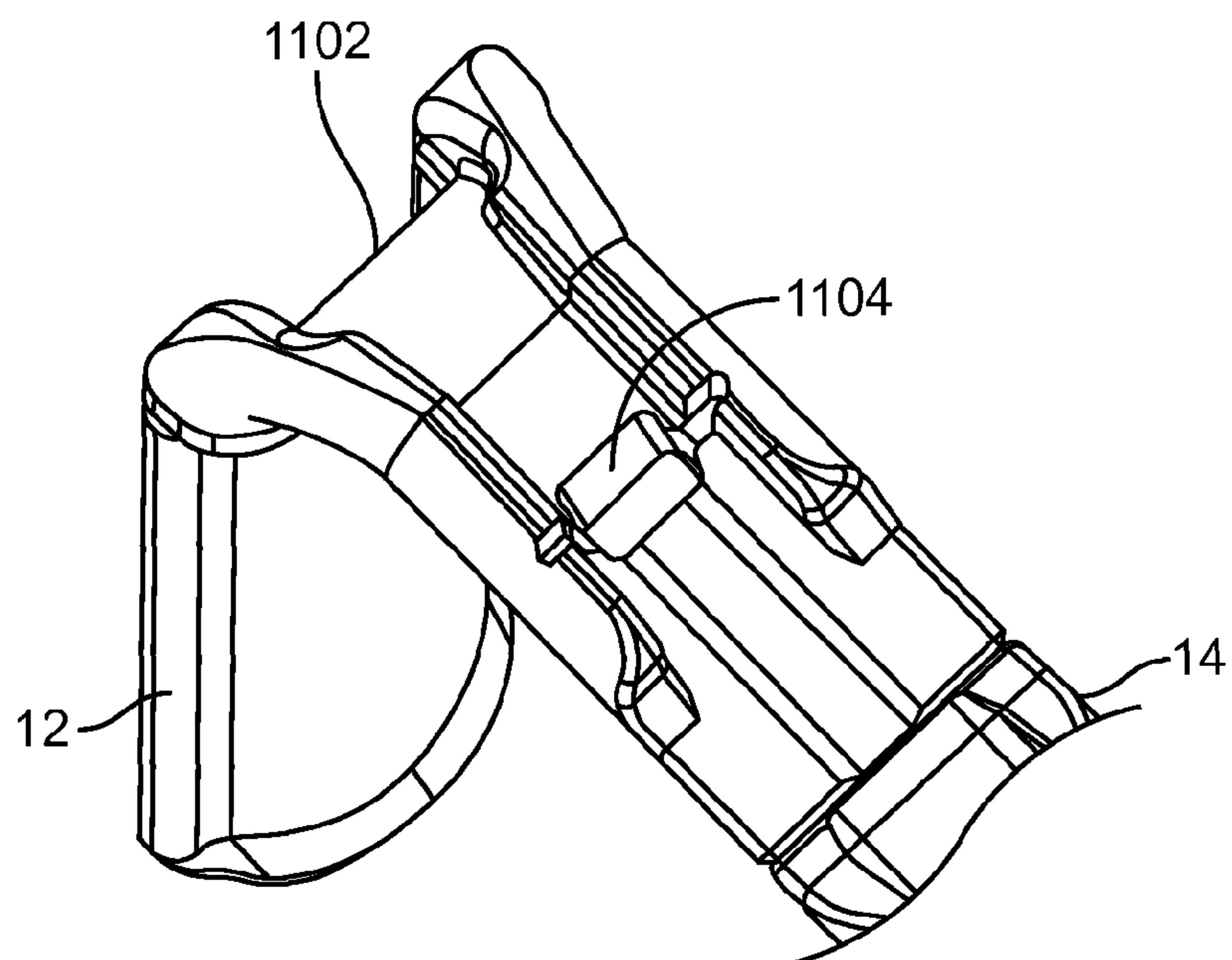


FIG. 11A

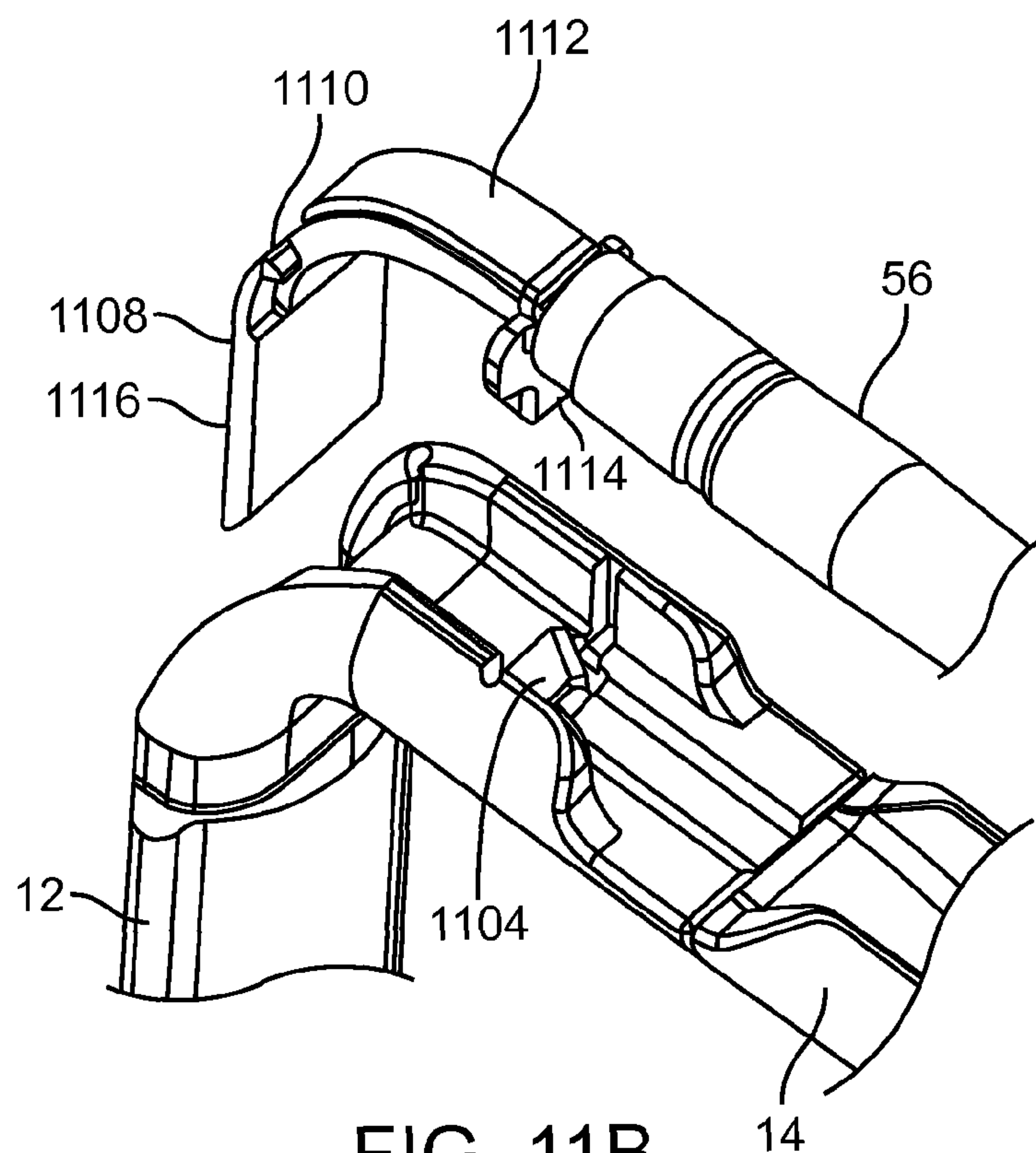


FIG. 11B



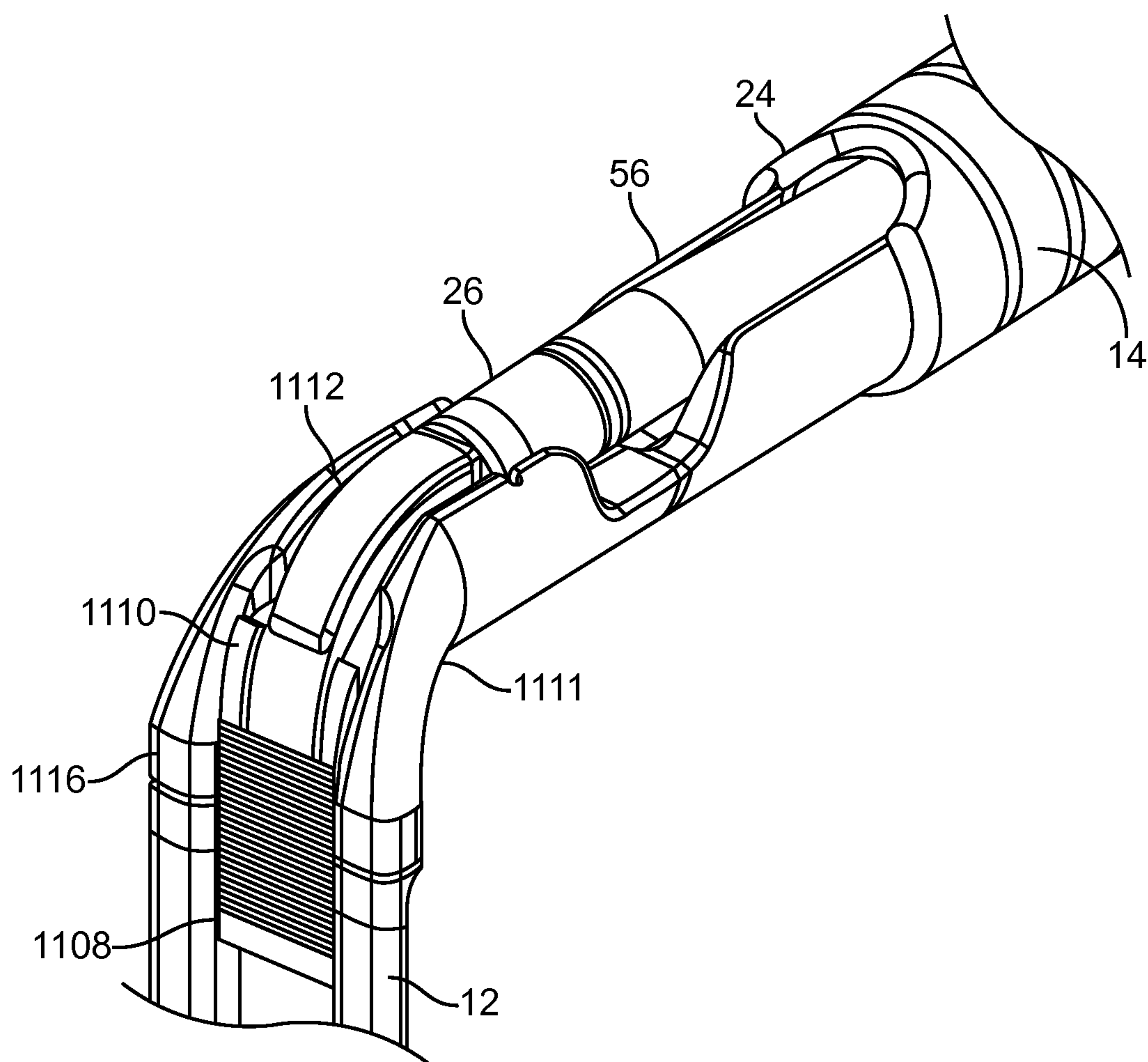


FIG. 11C

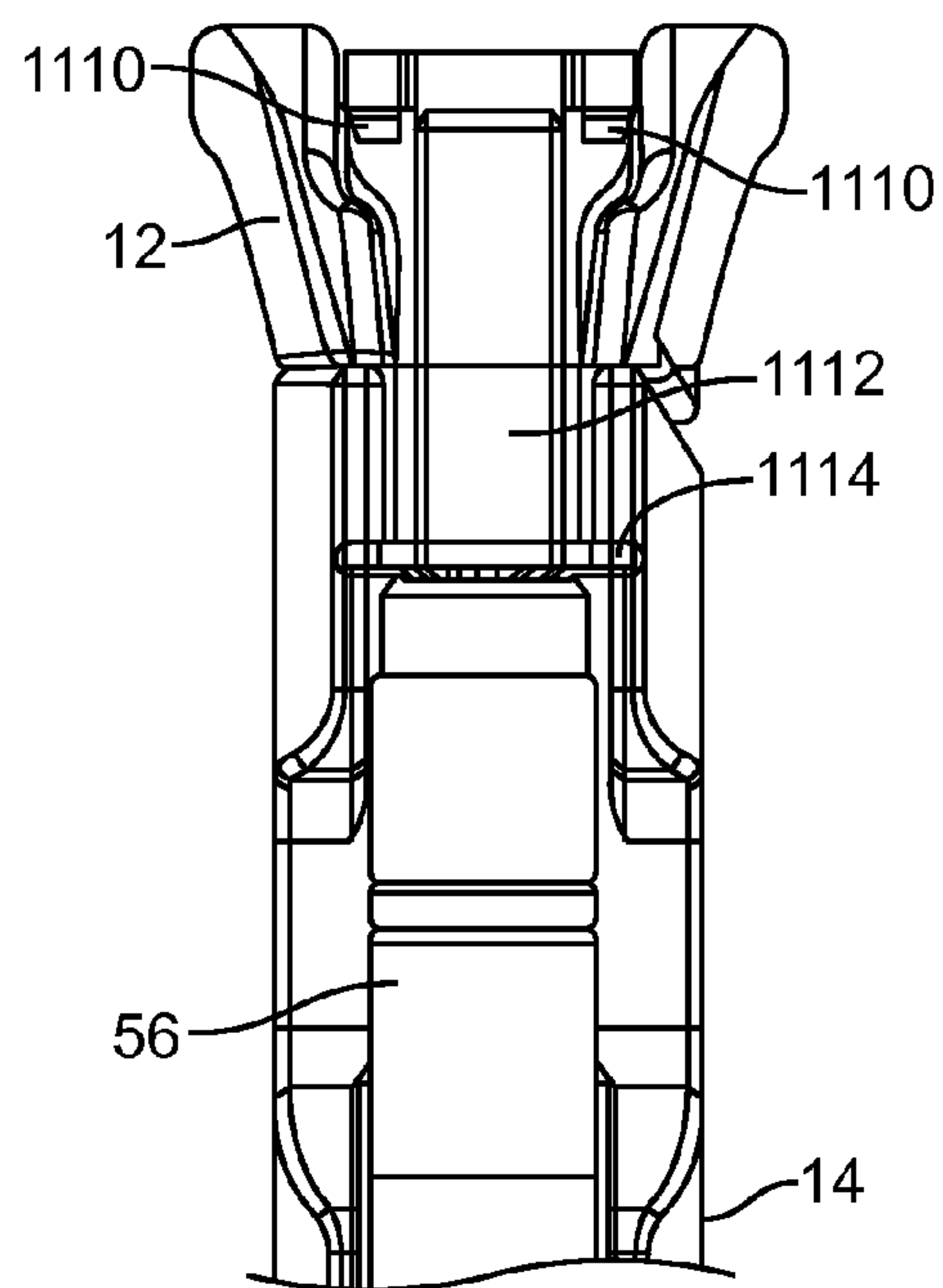


FIG. 11D

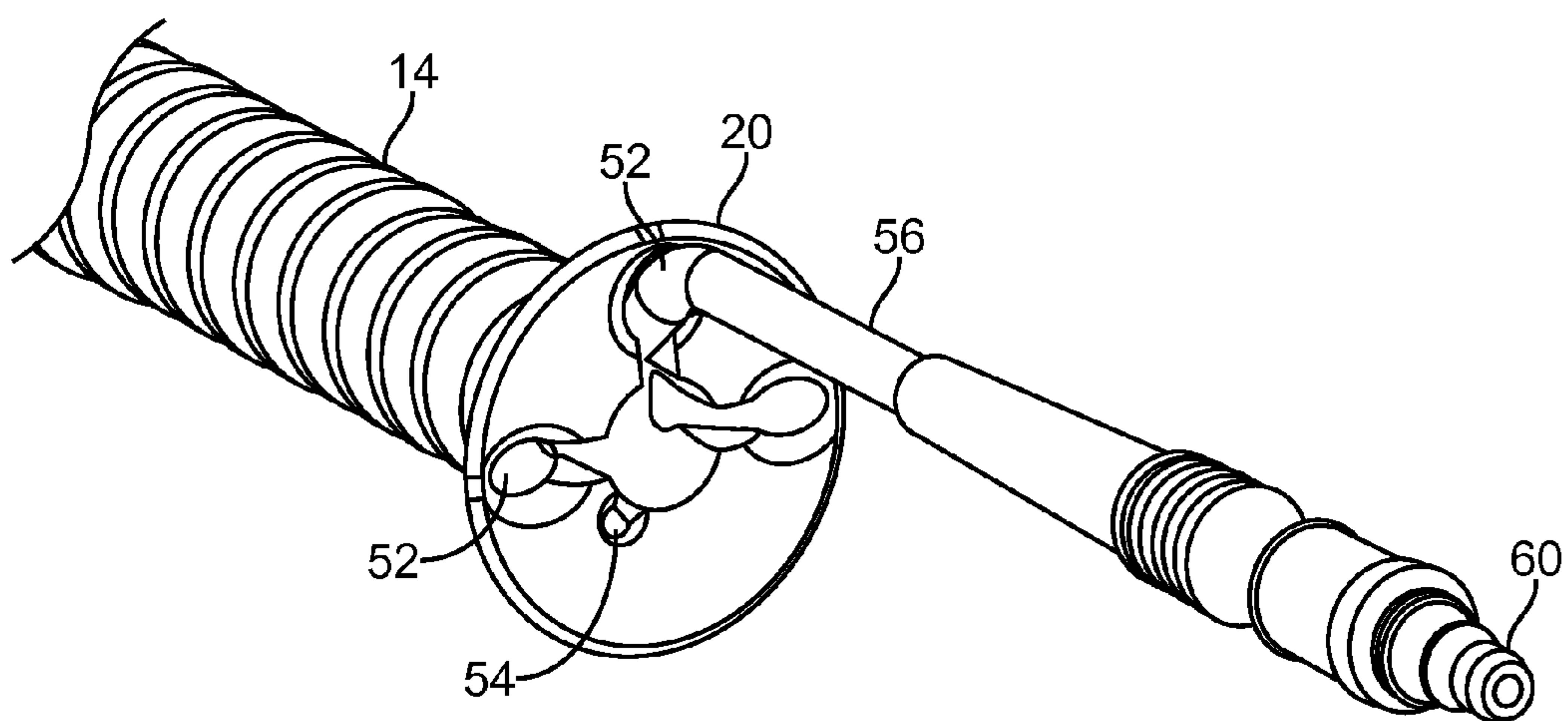


FIG. 11E

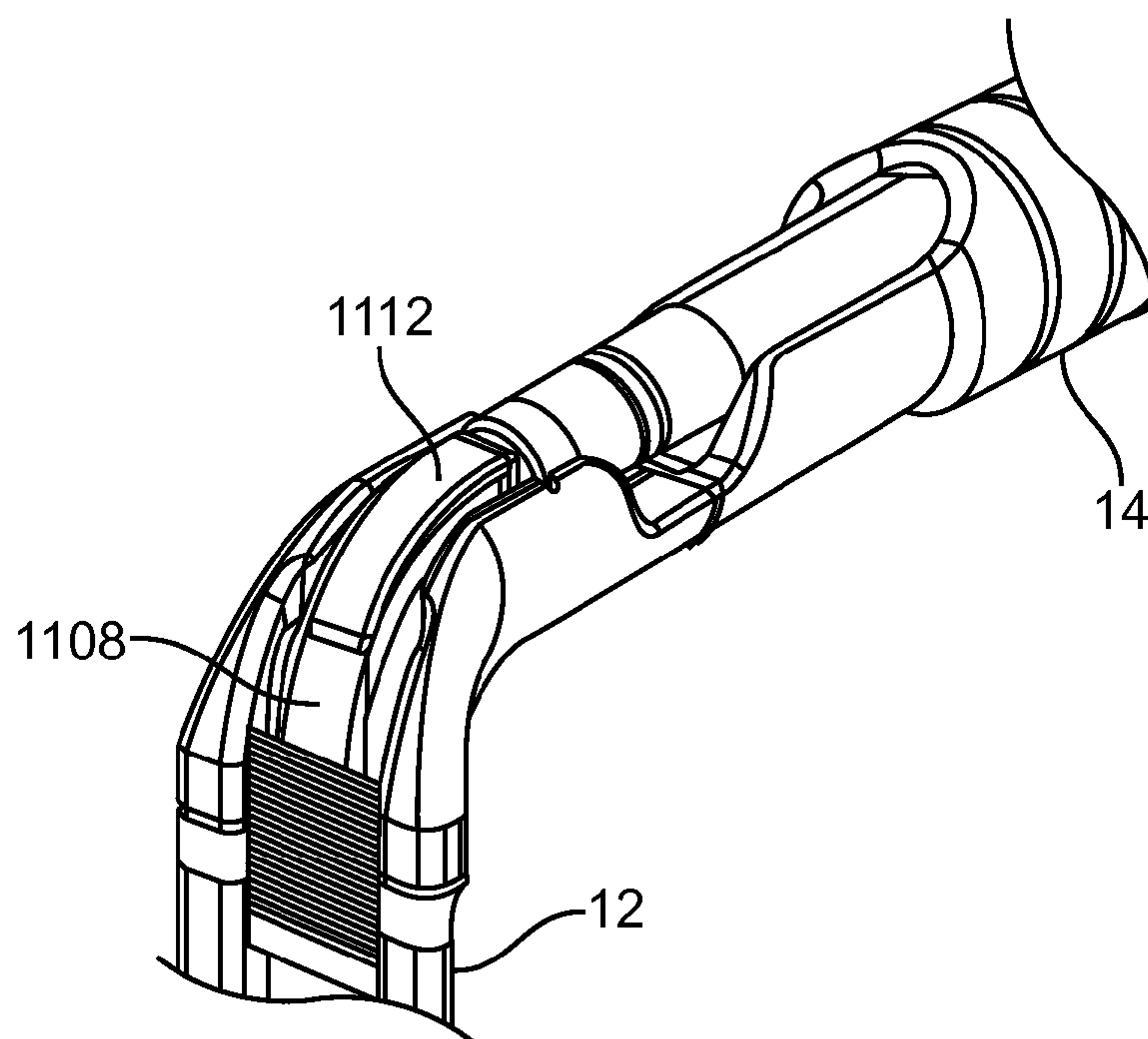


FIG. 11F

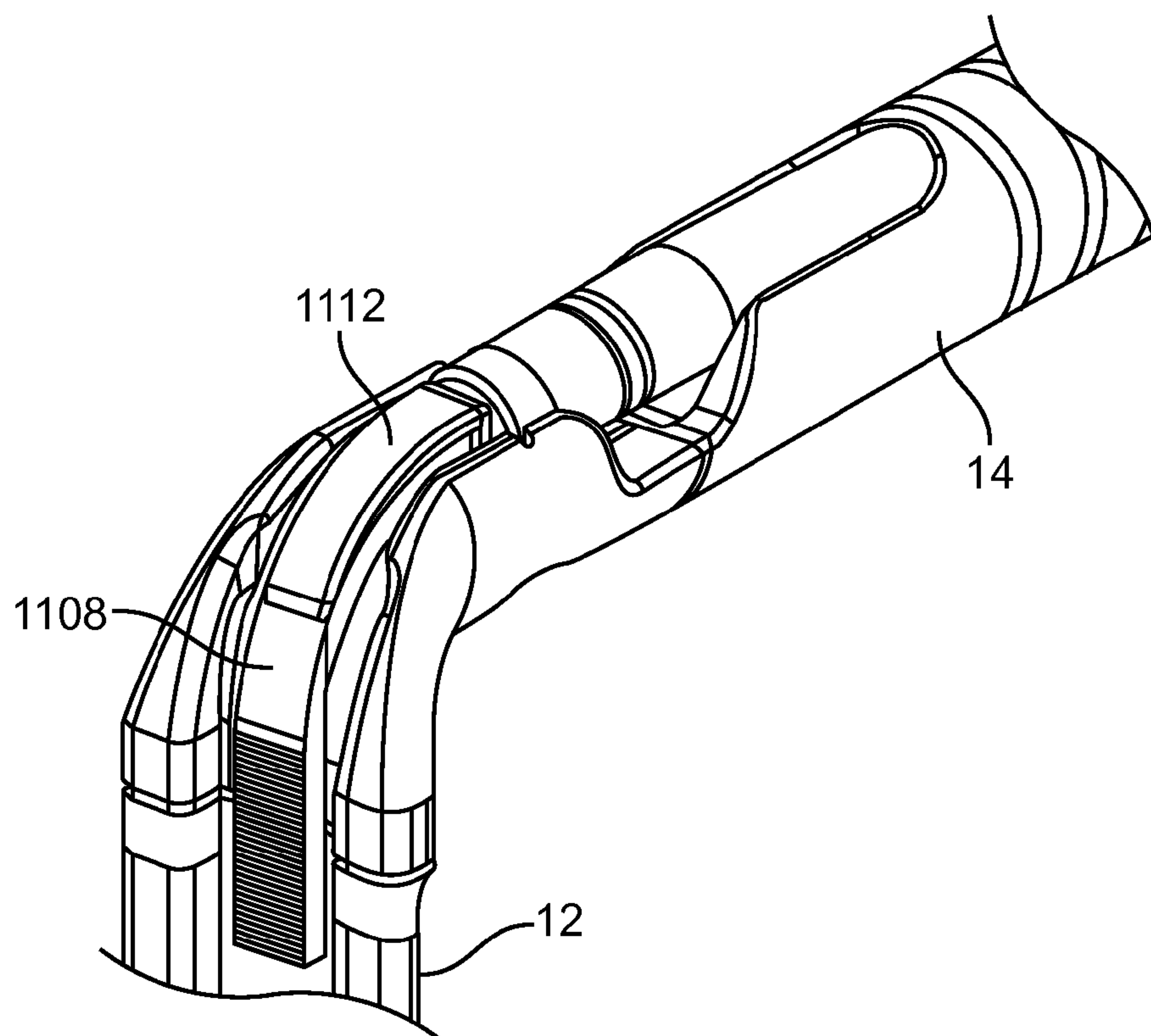
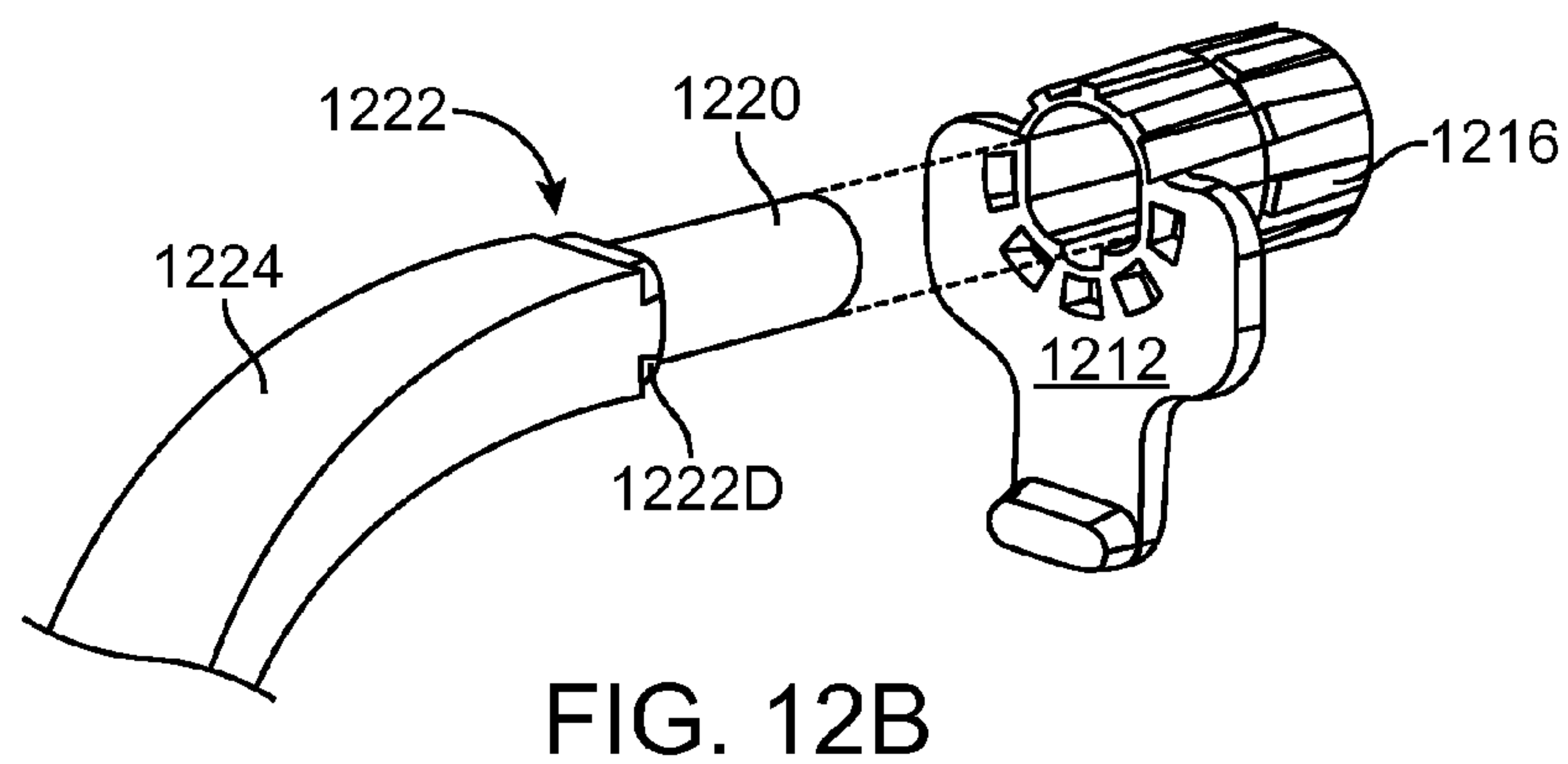
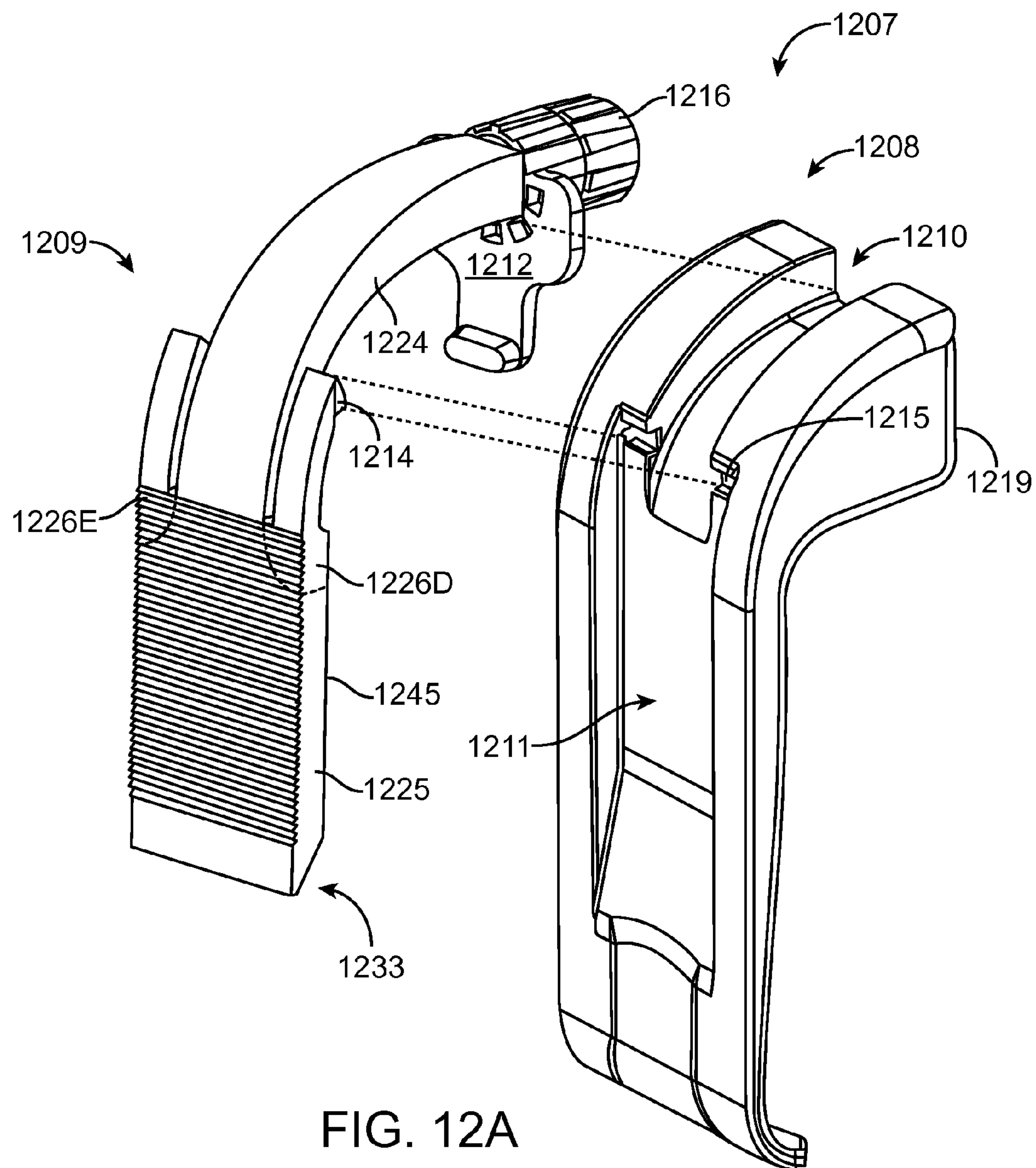


FIG. 11G



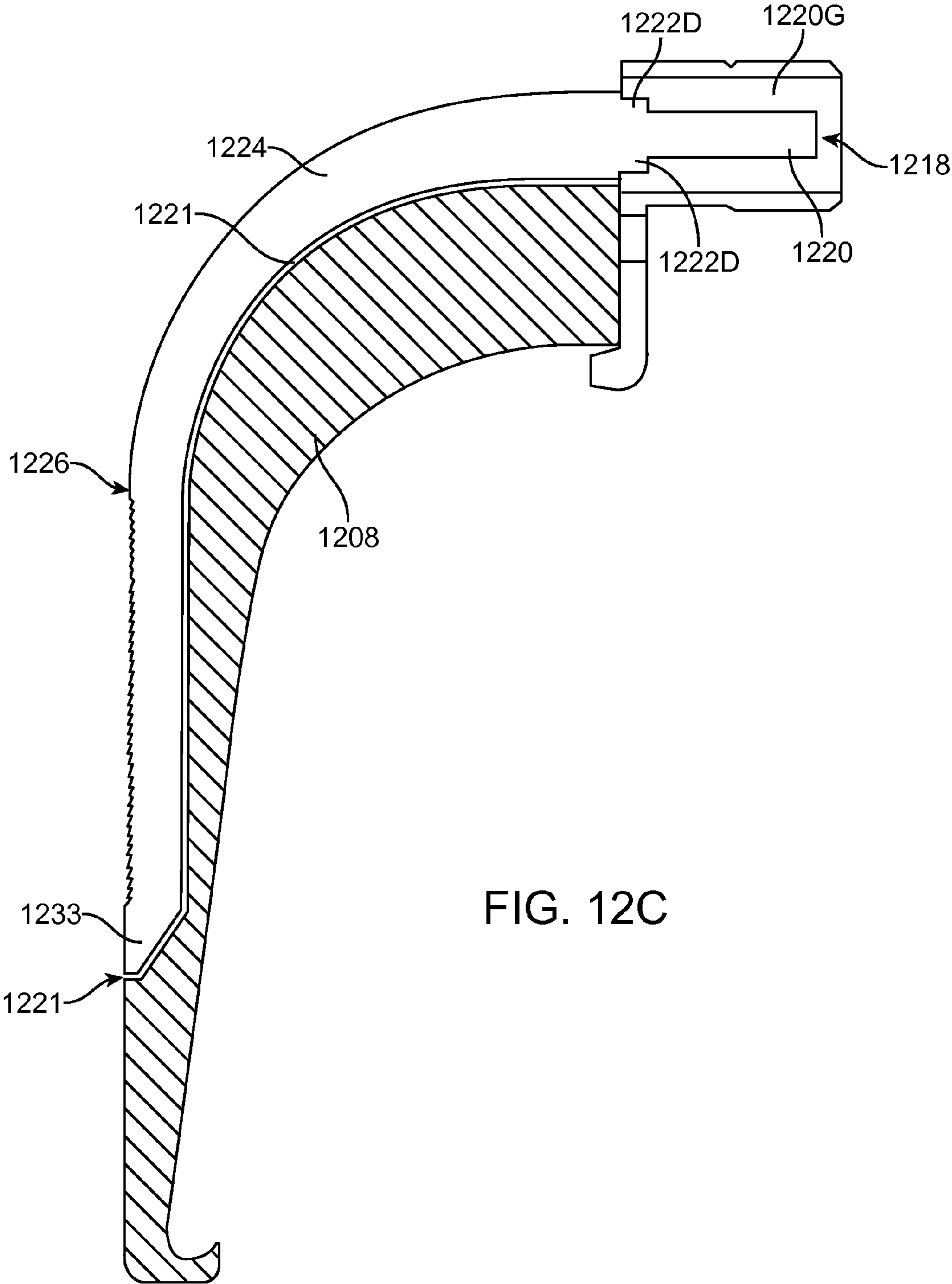


FIG. 12C



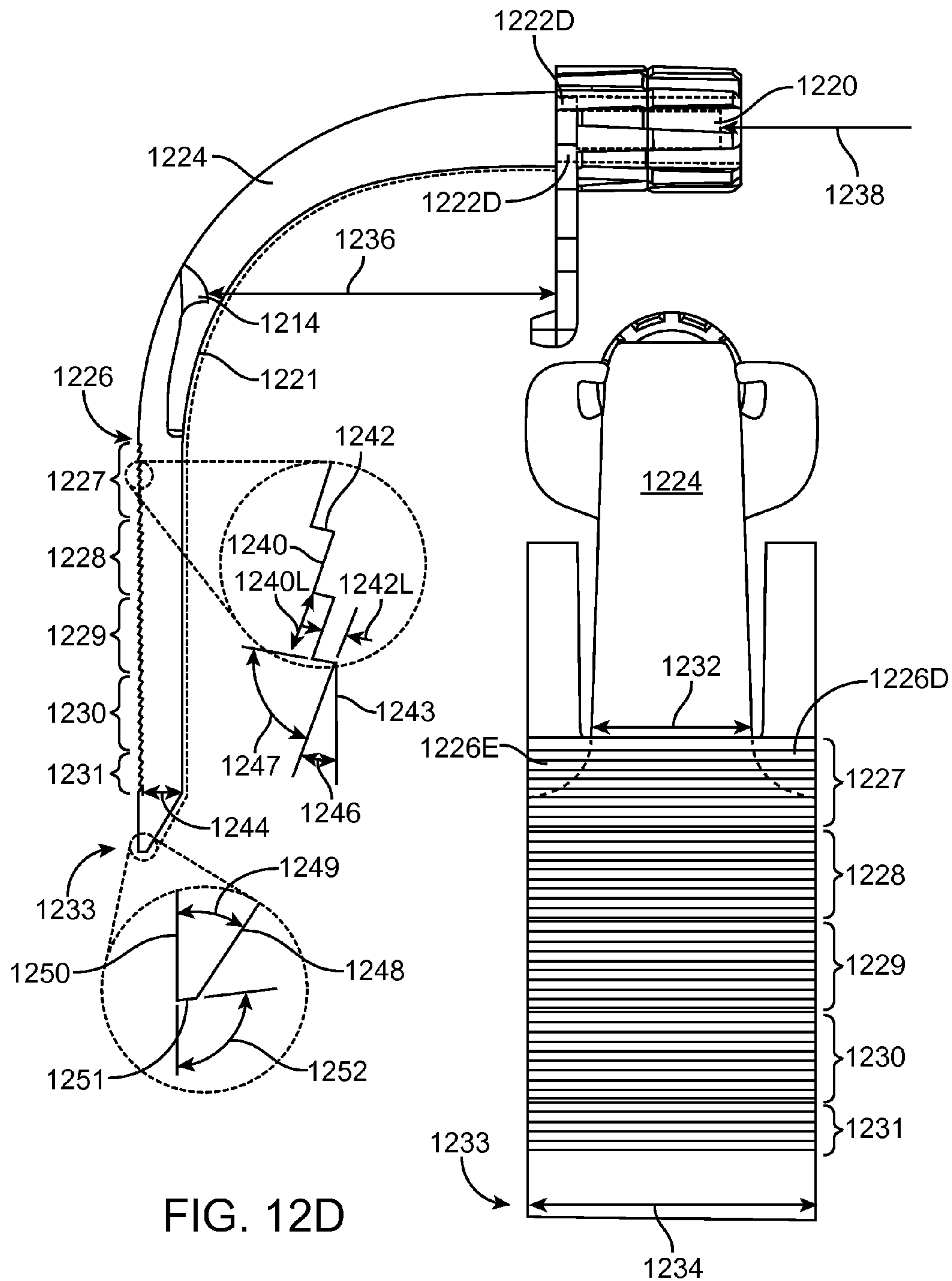


FIG. 12D

FIG. 12E

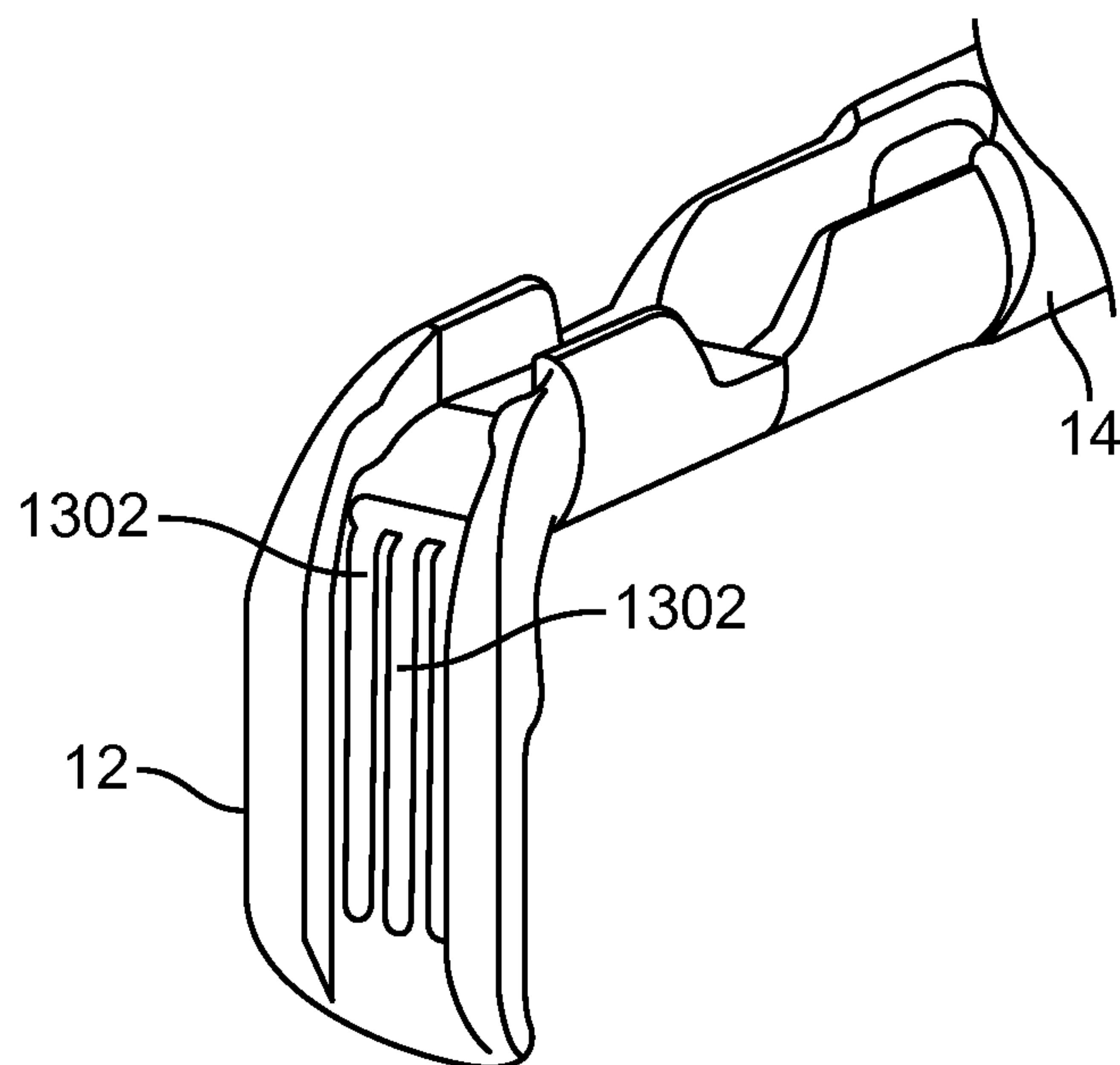


FIG. 13A

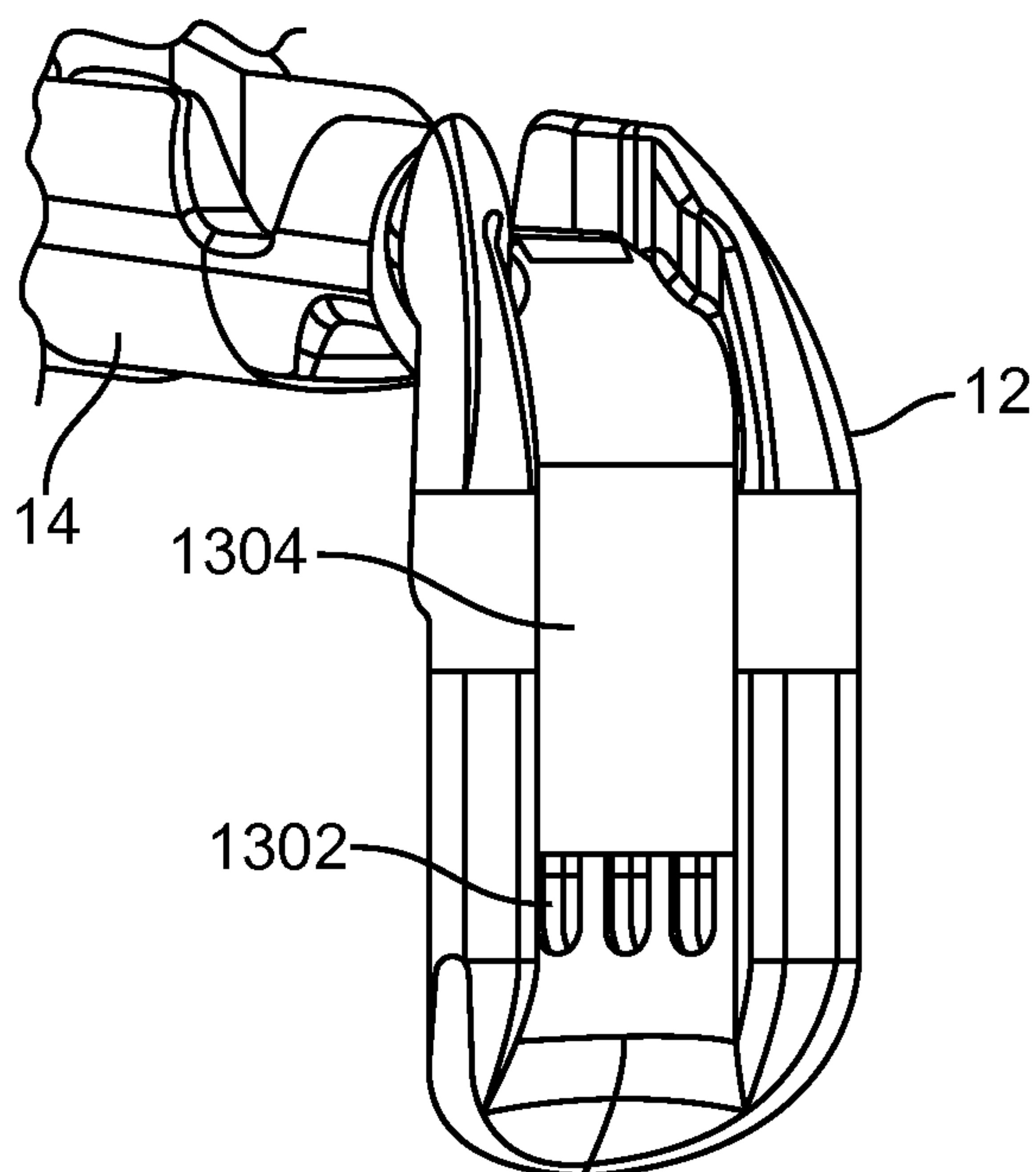


FIG. 13B

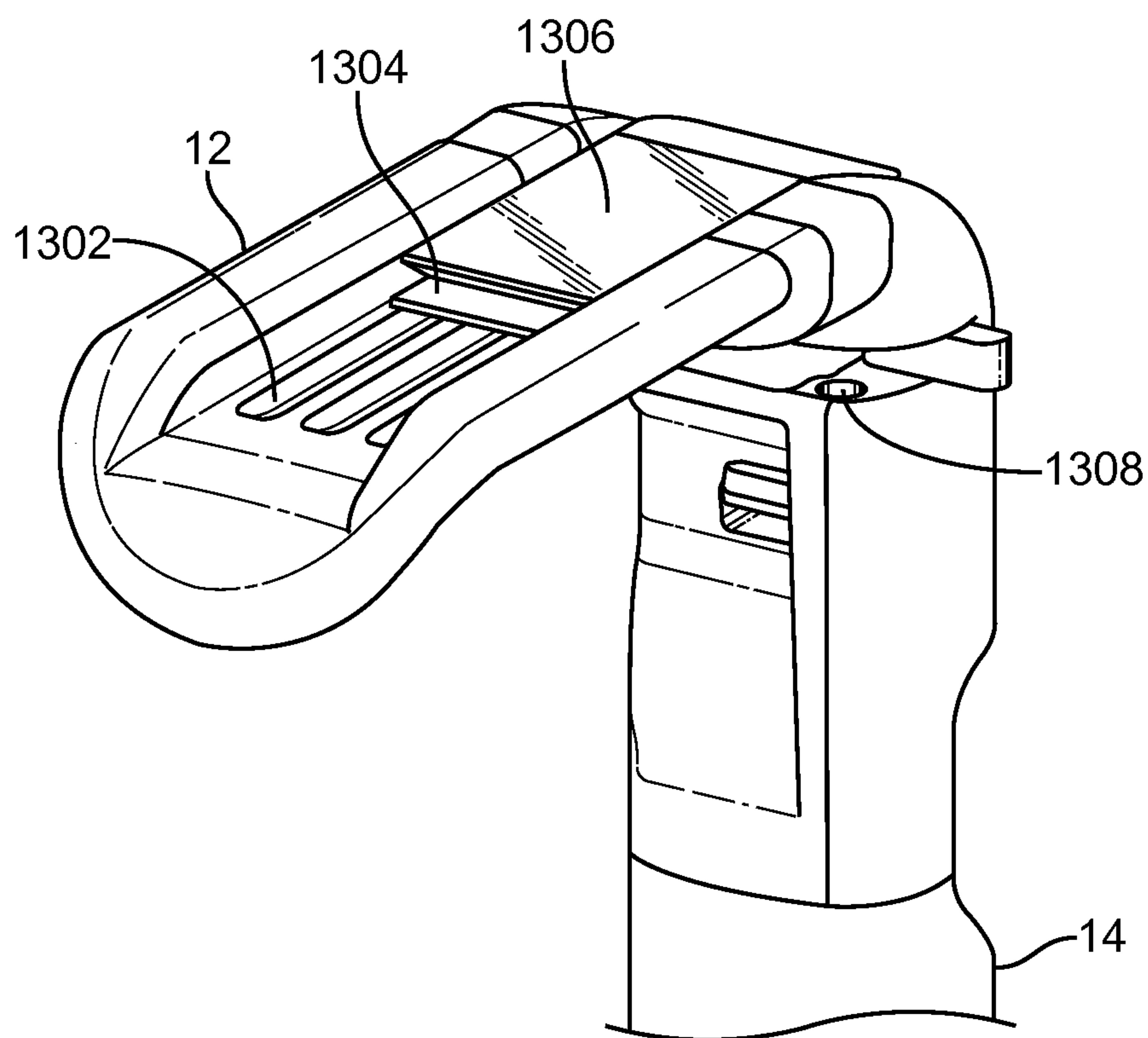
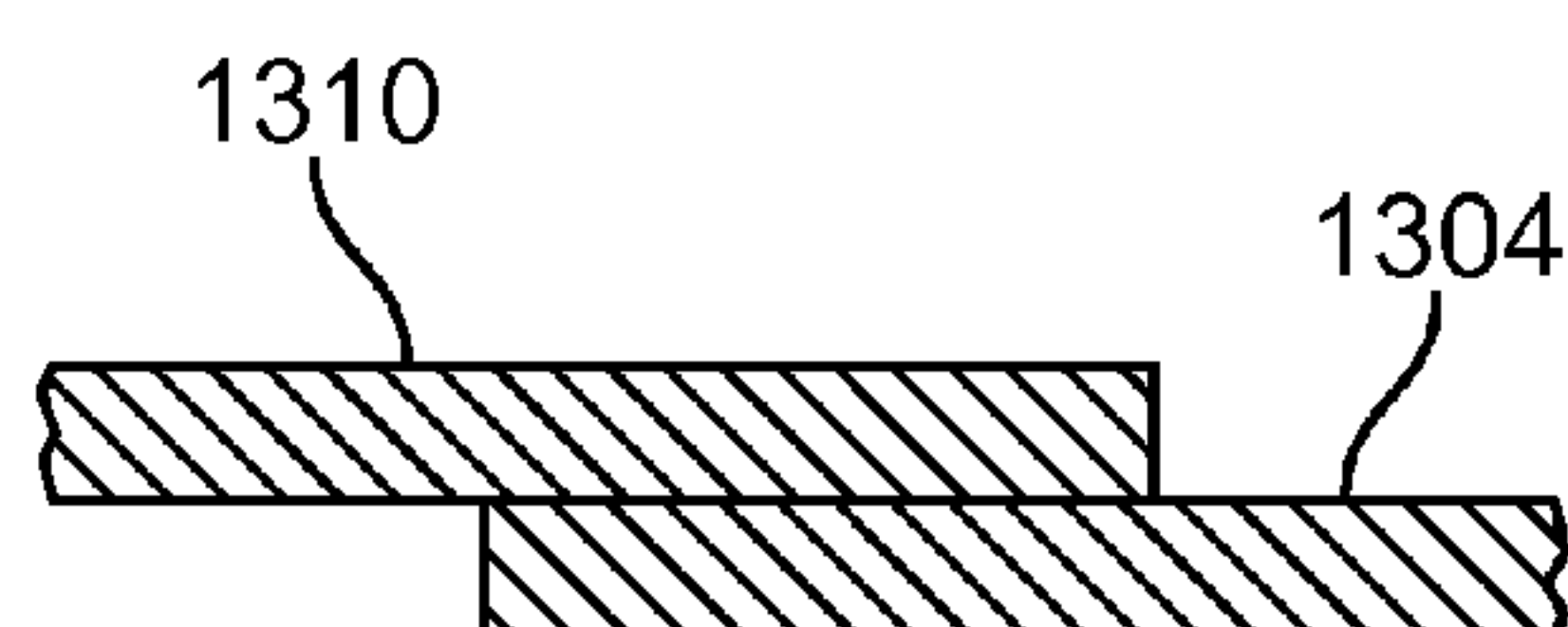
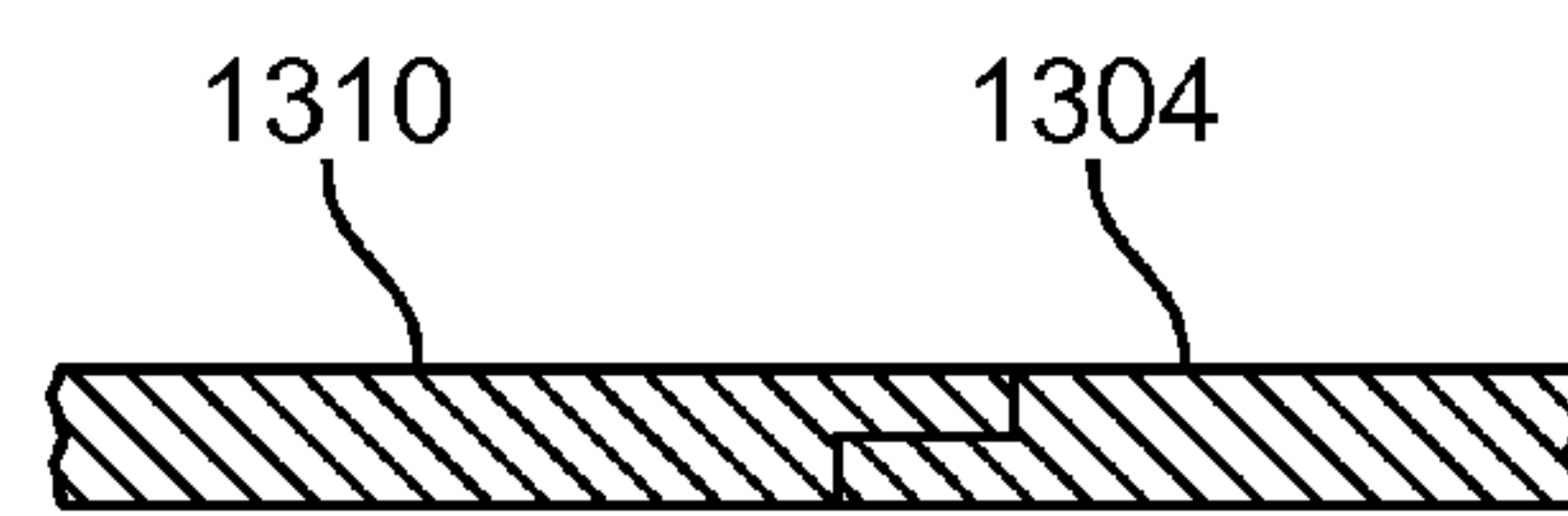
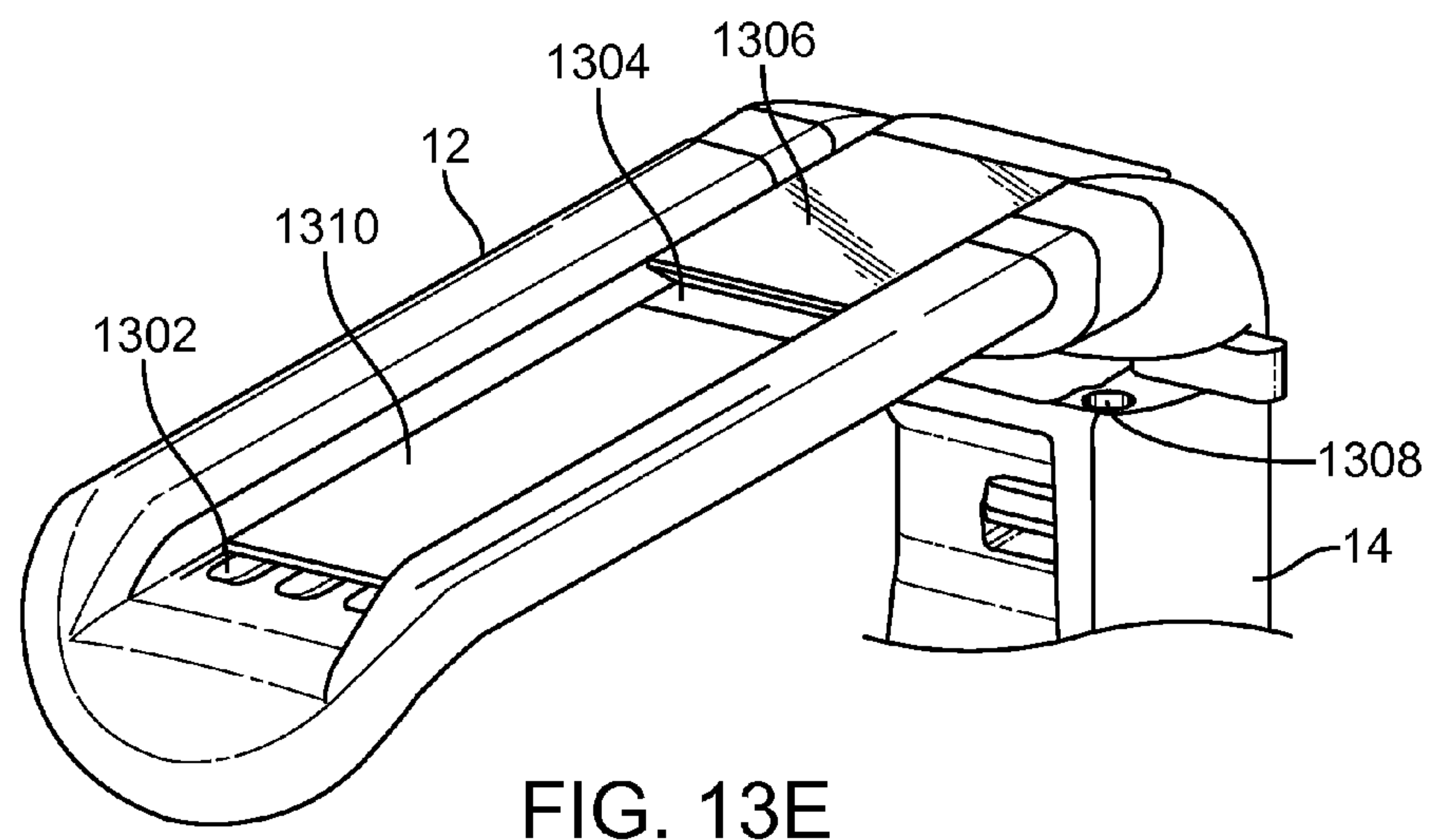
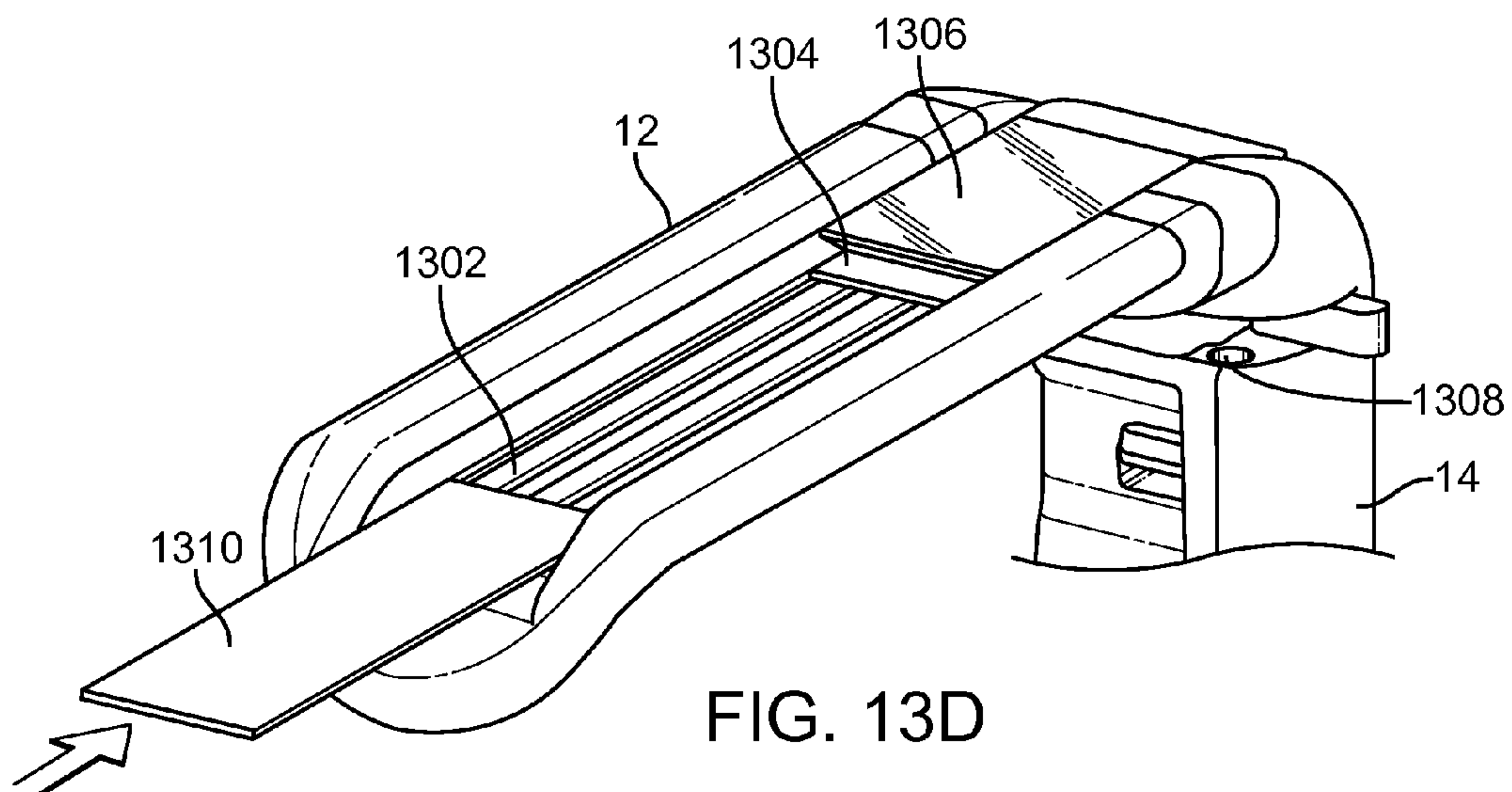


FIG. 13C



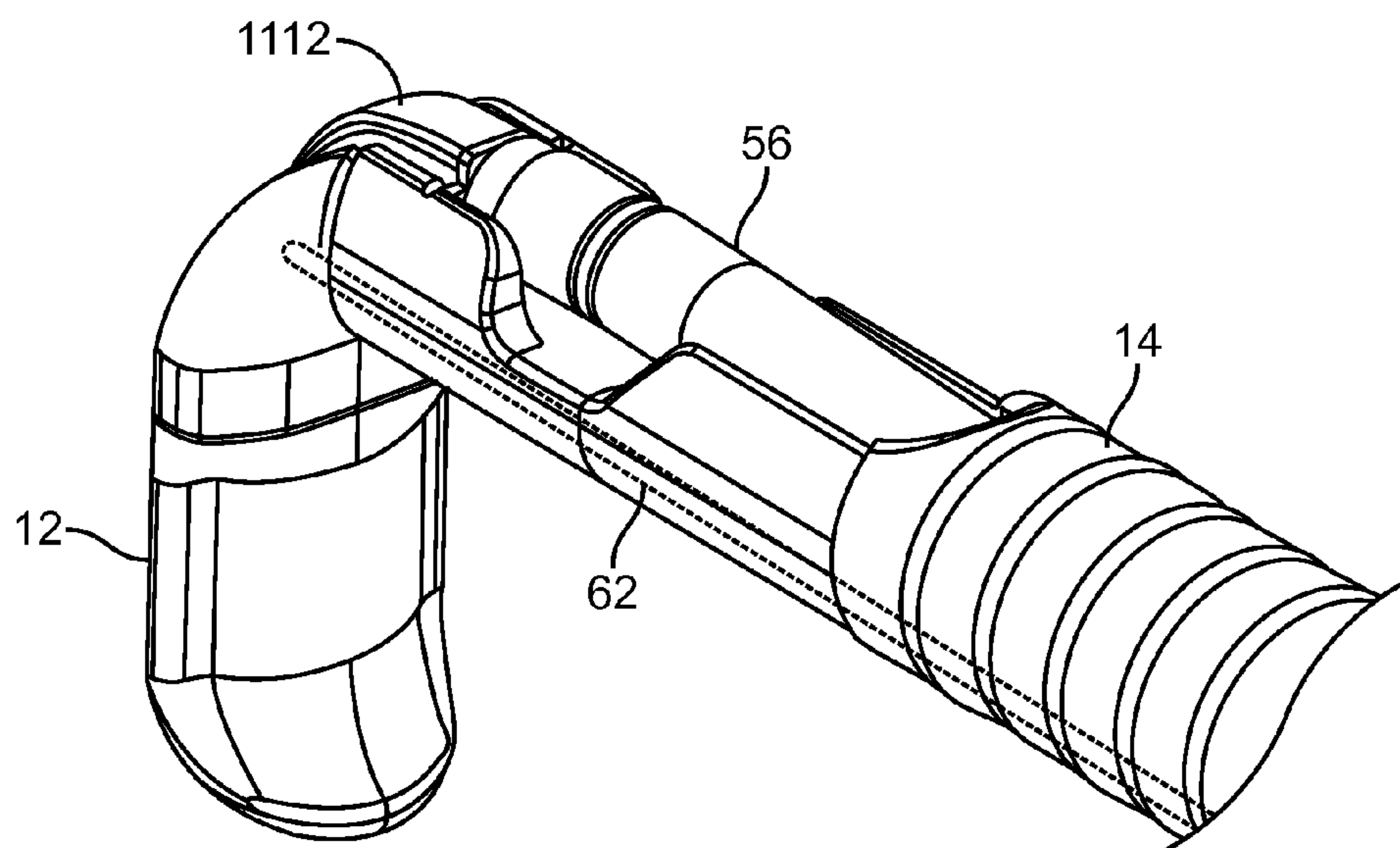


FIG. 13I

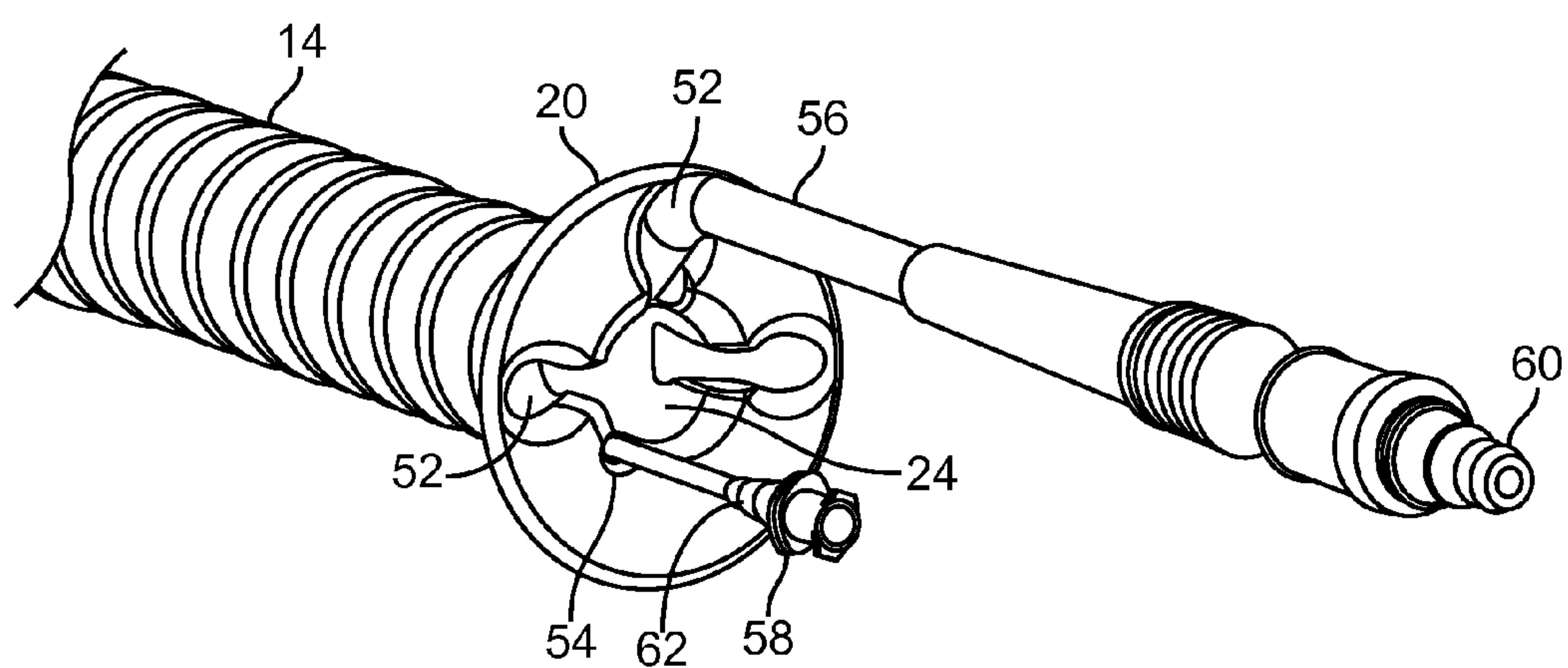


FIG. 13J



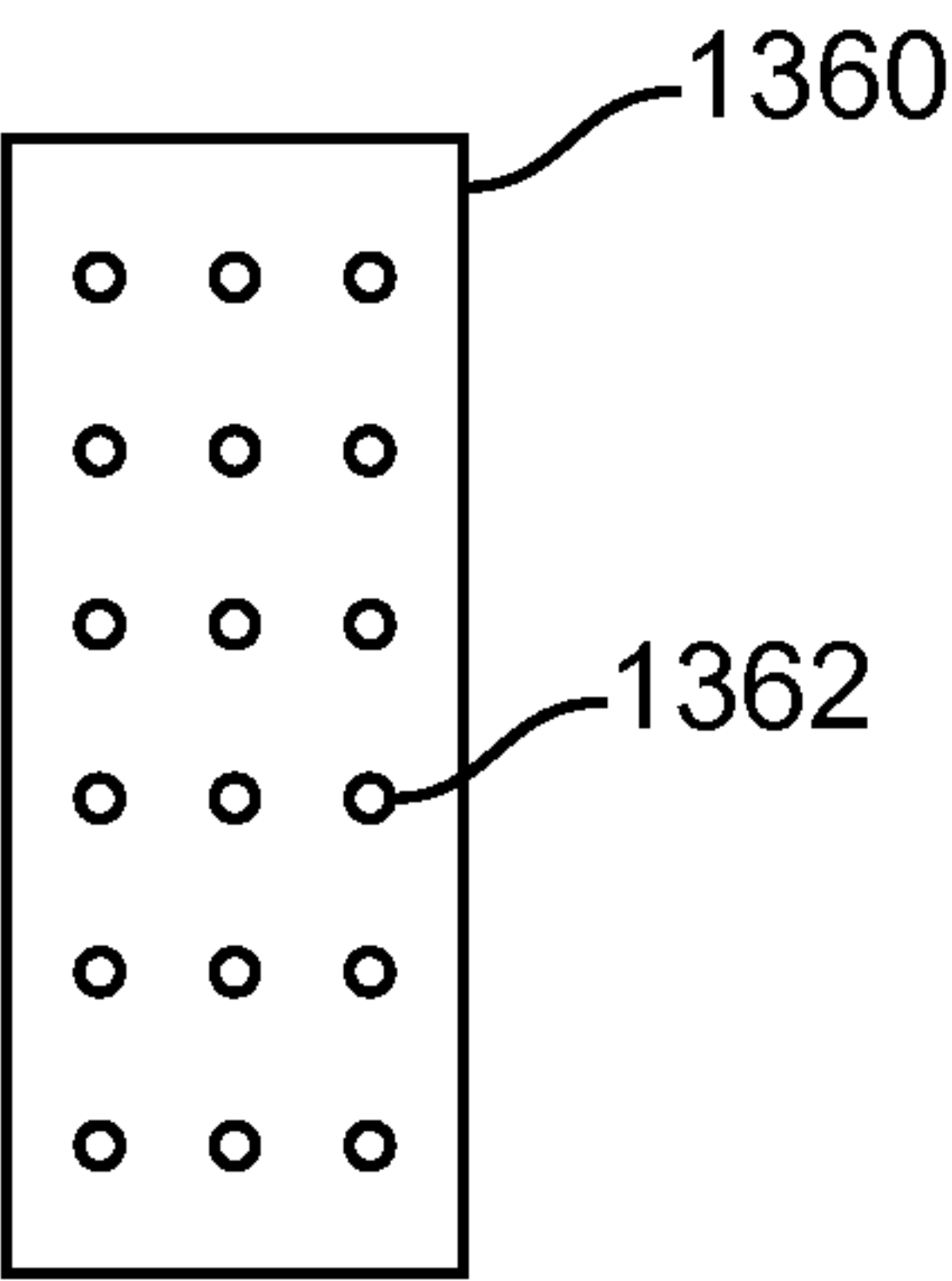


FIG. 13K

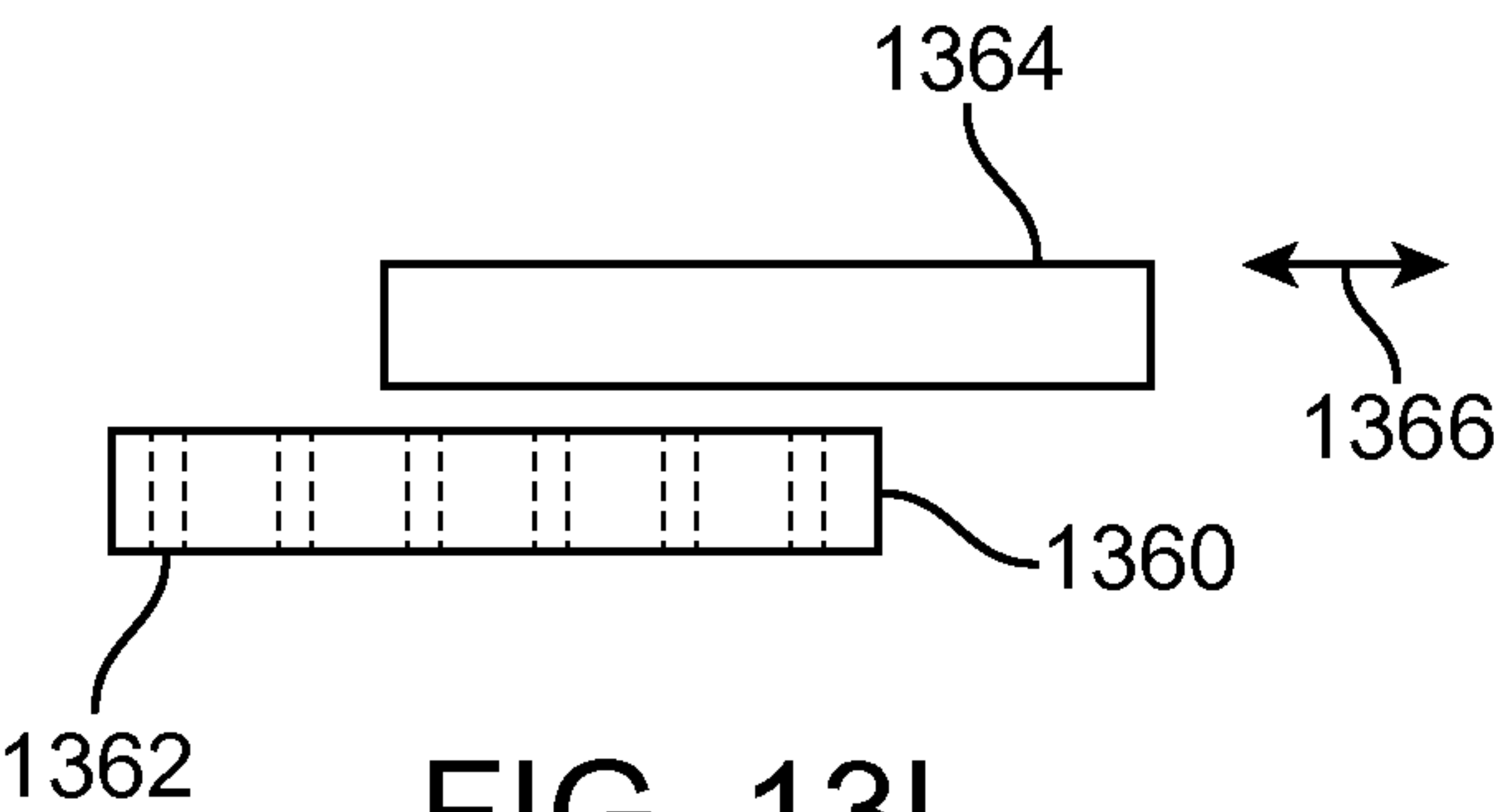


FIG. 13L

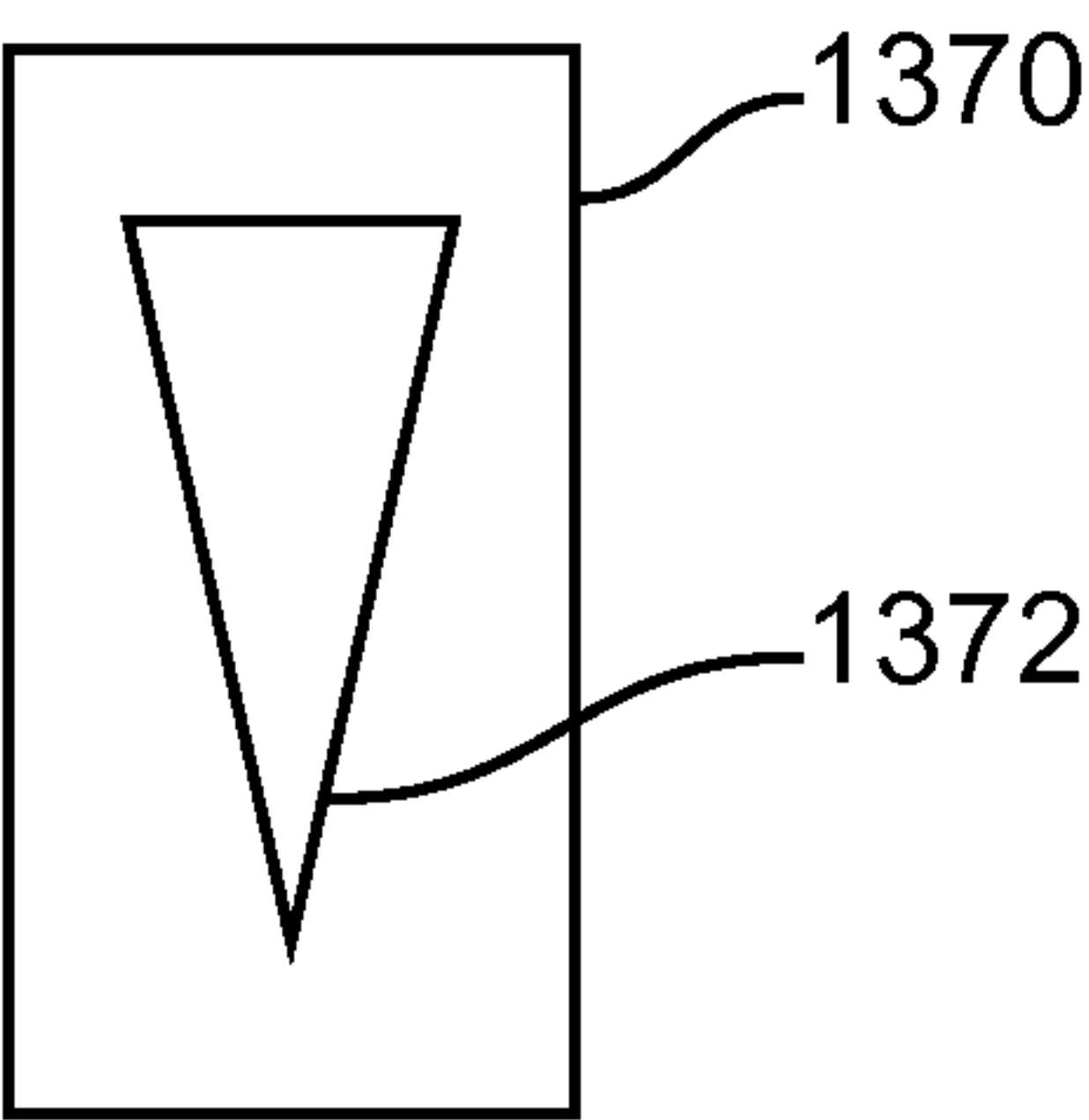


FIG. 13M

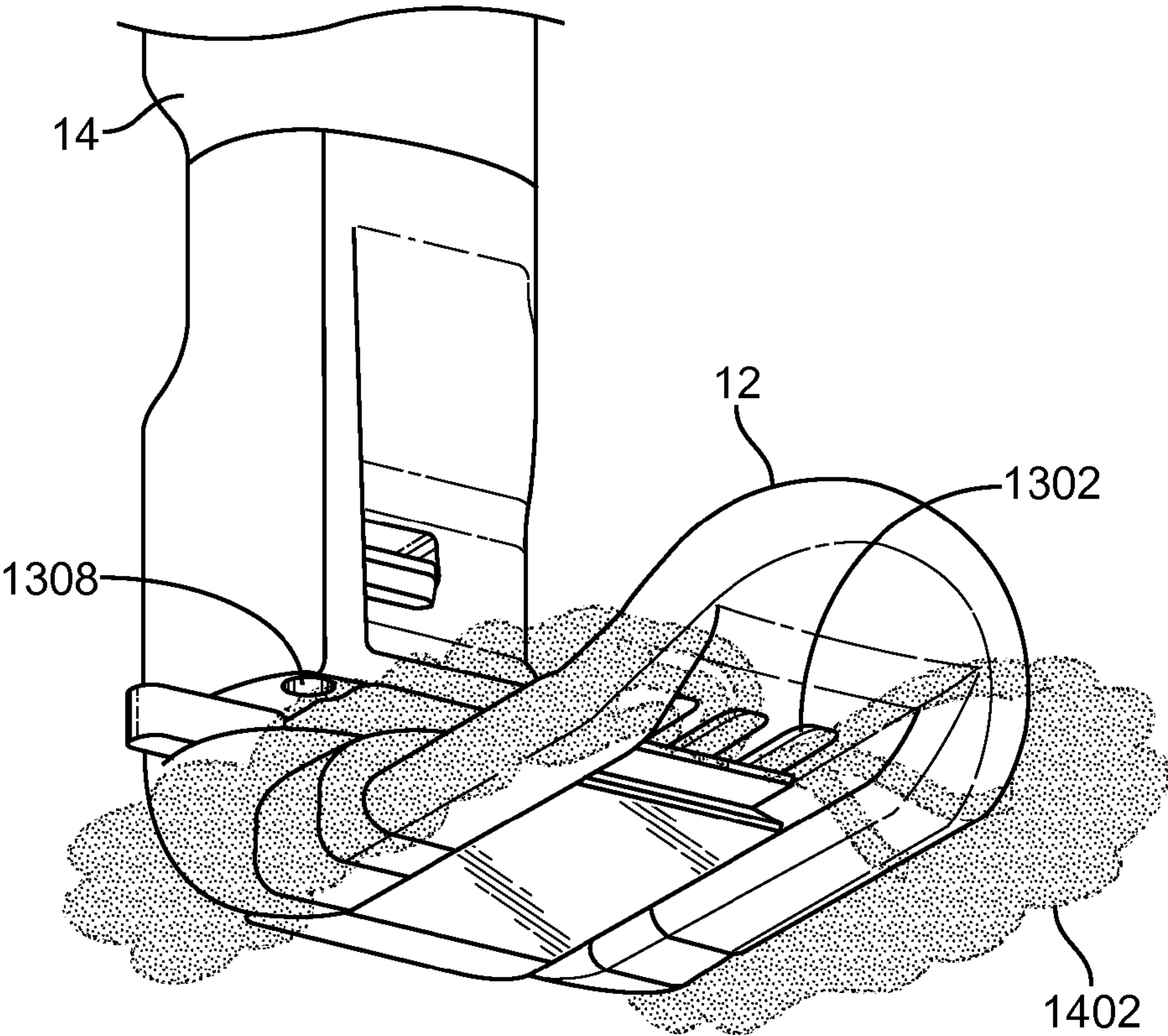


FIG. 14A

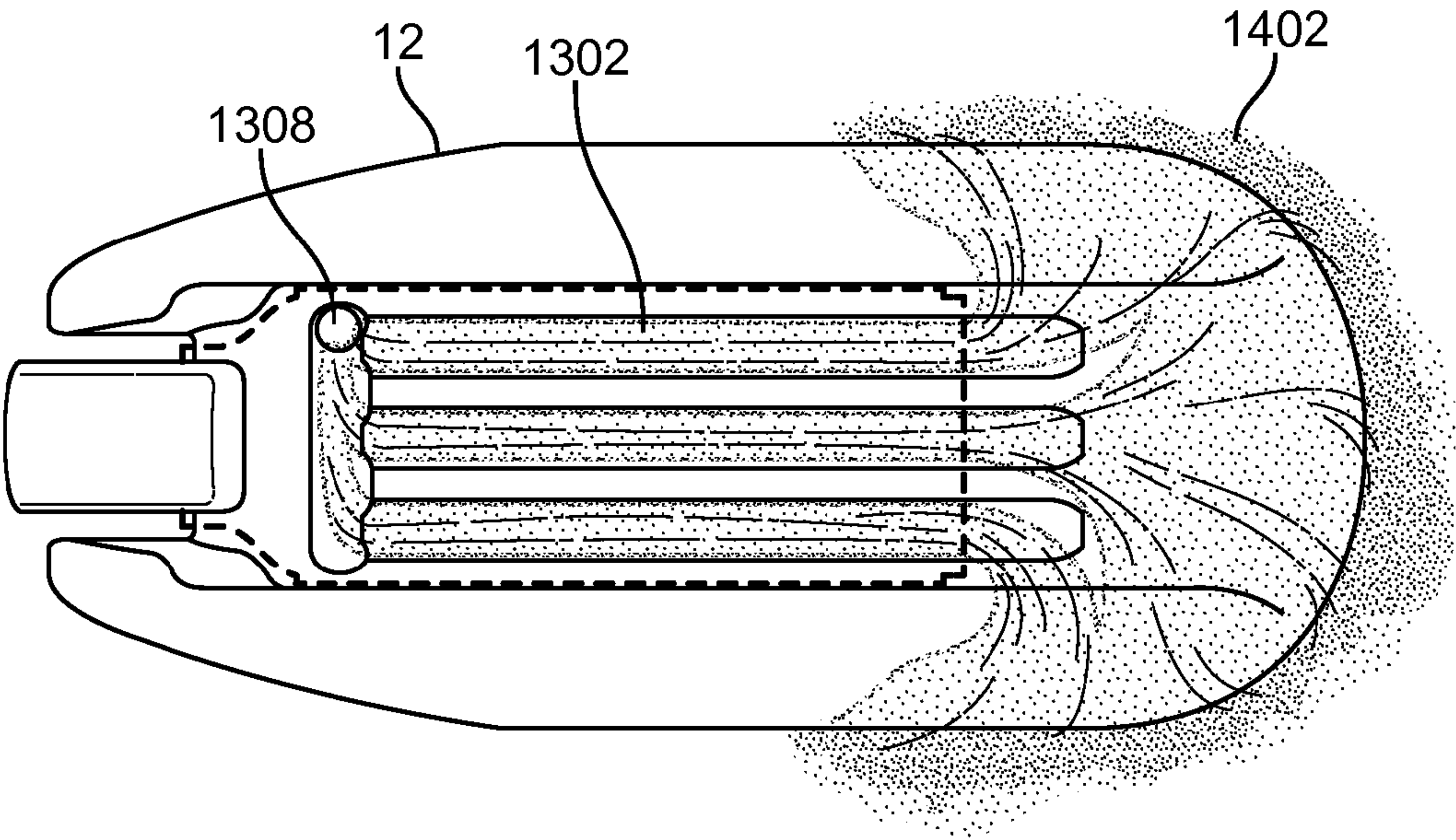


FIG. 14B

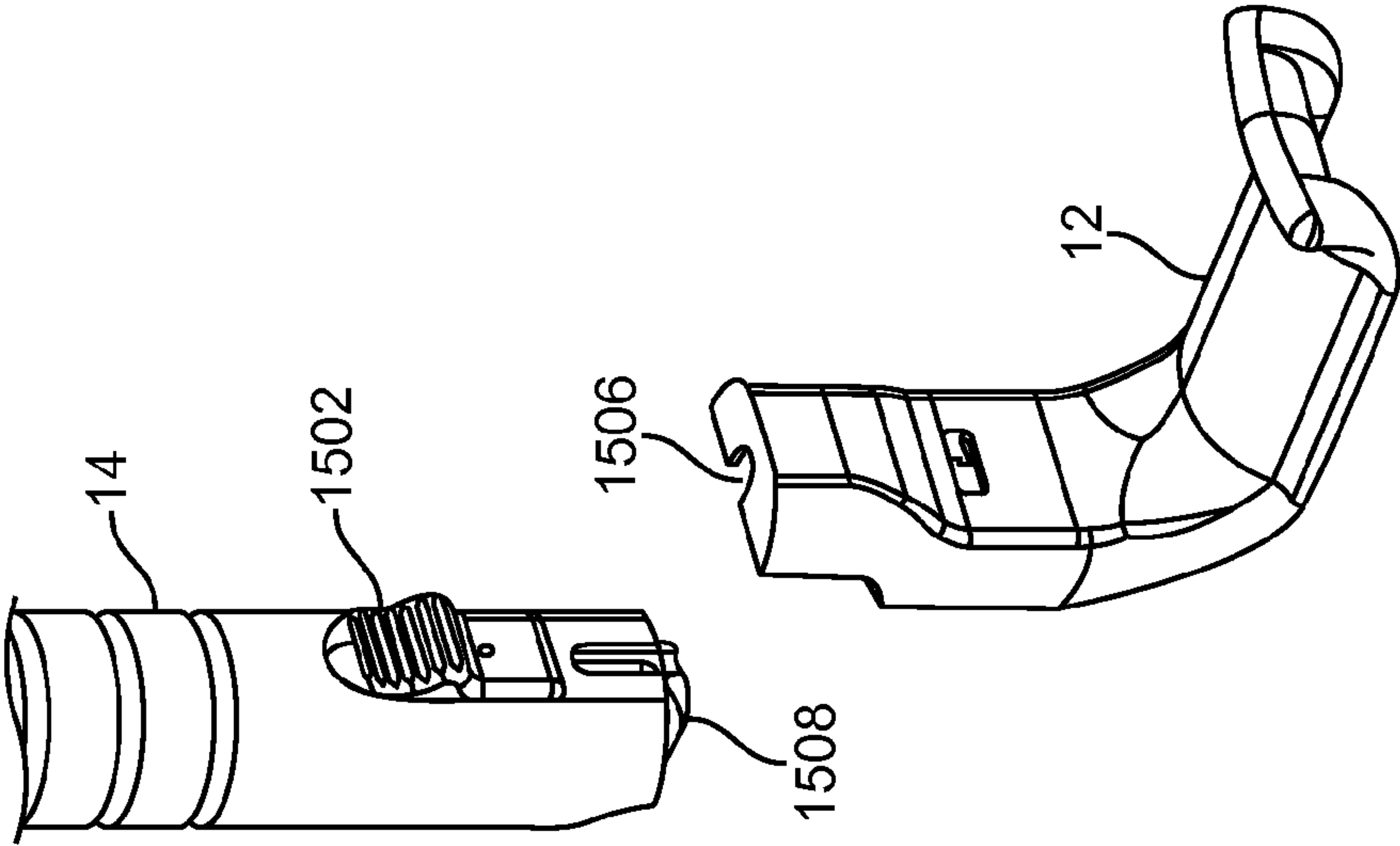


FIG. 15B

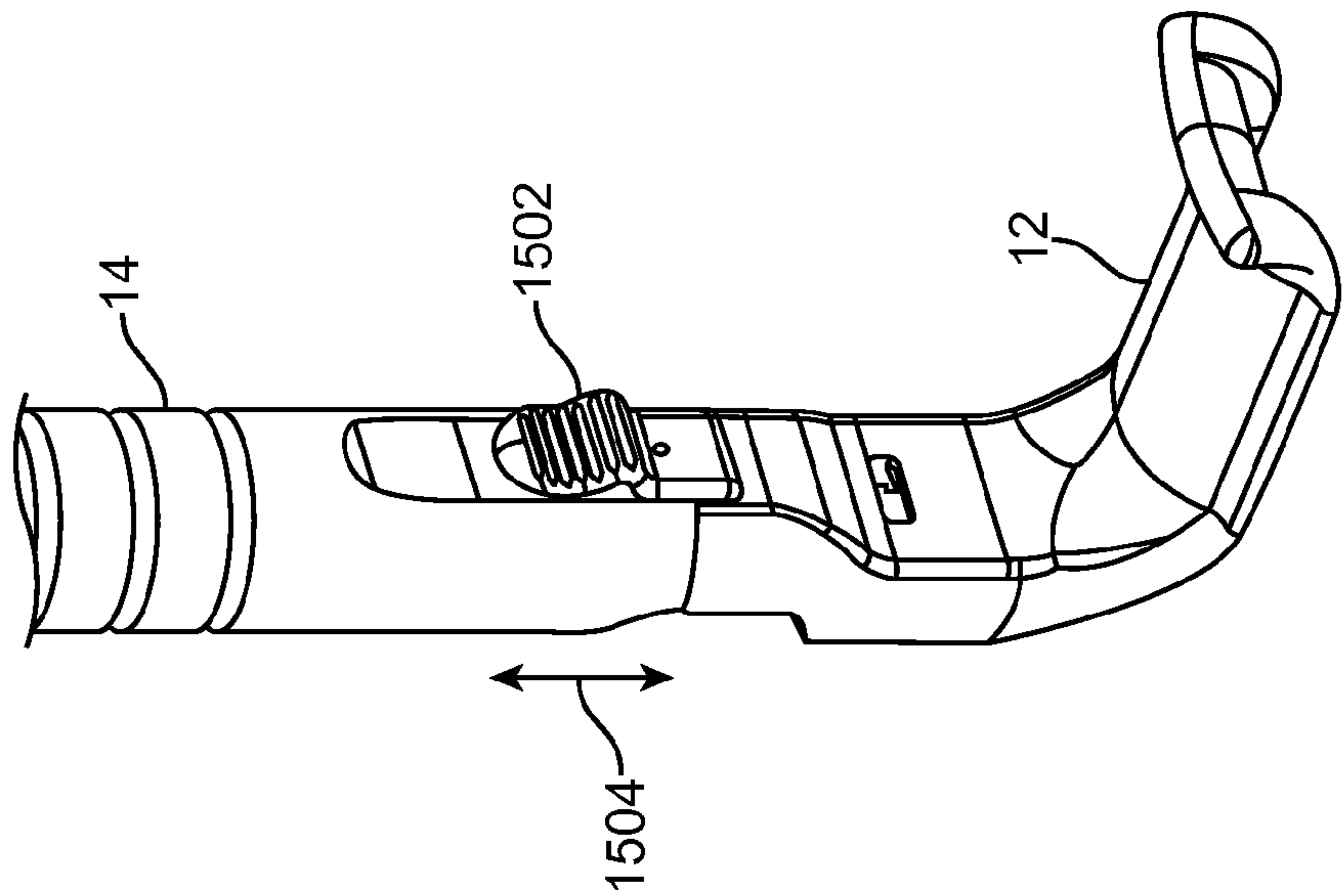


FIG. 15A

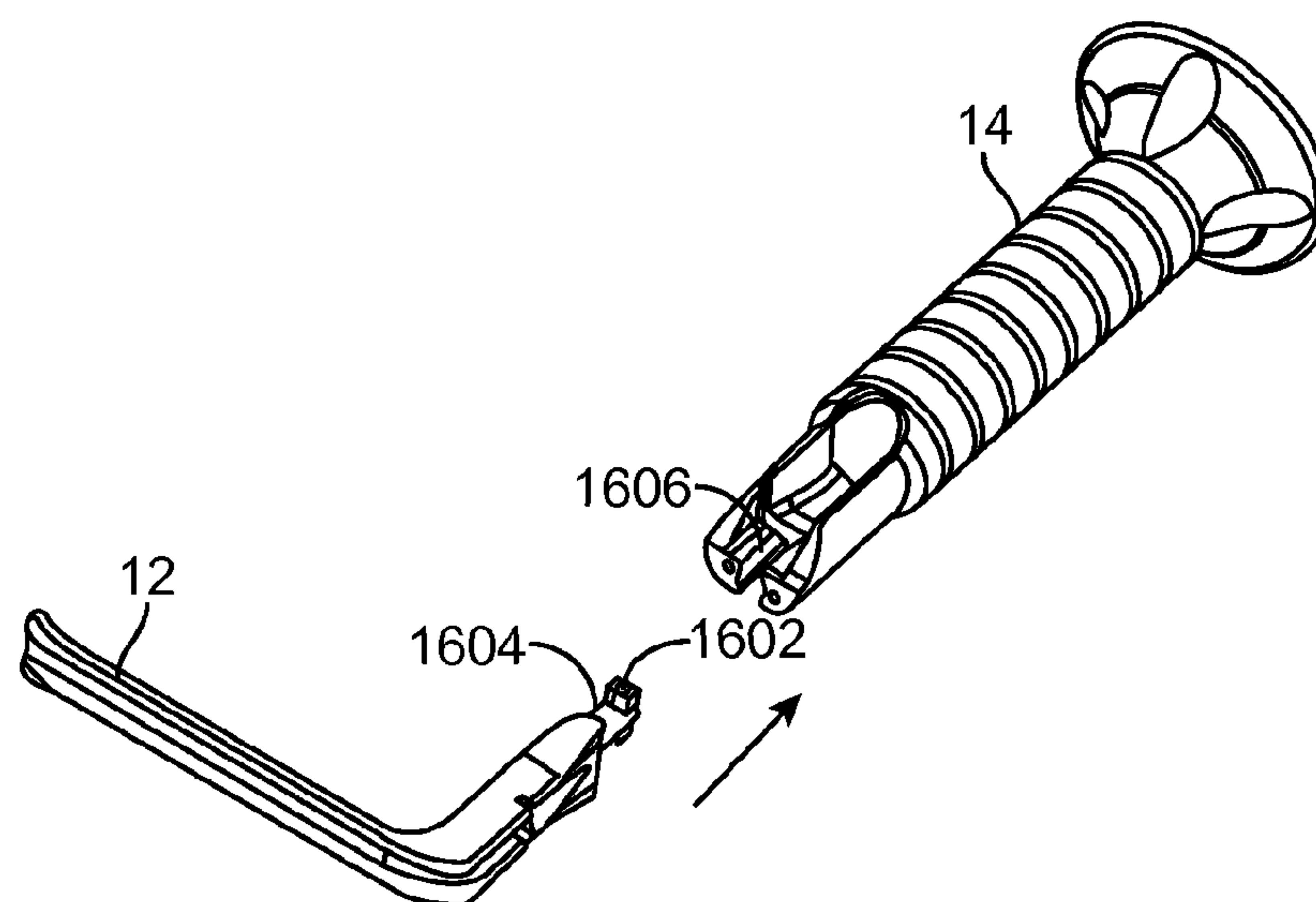


FIG. 16A

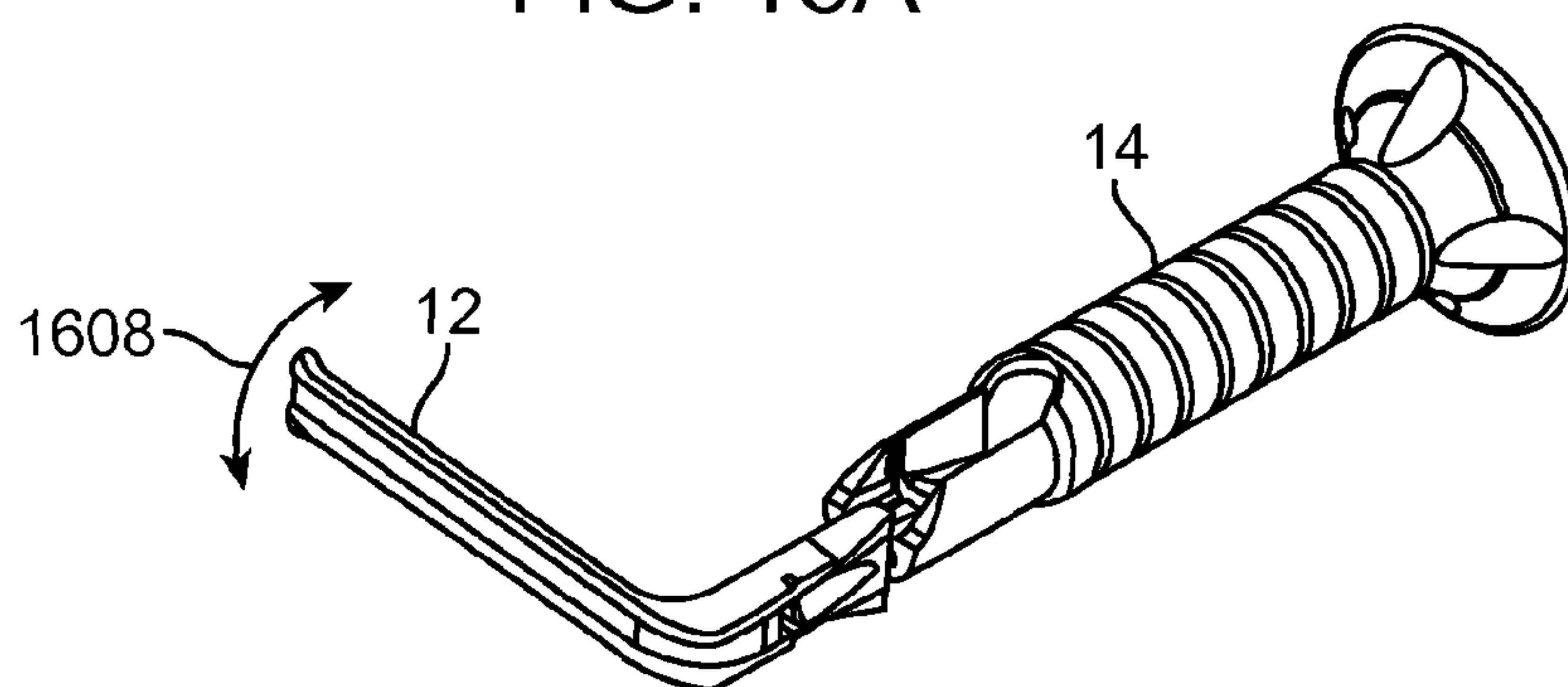


FIG. 16B

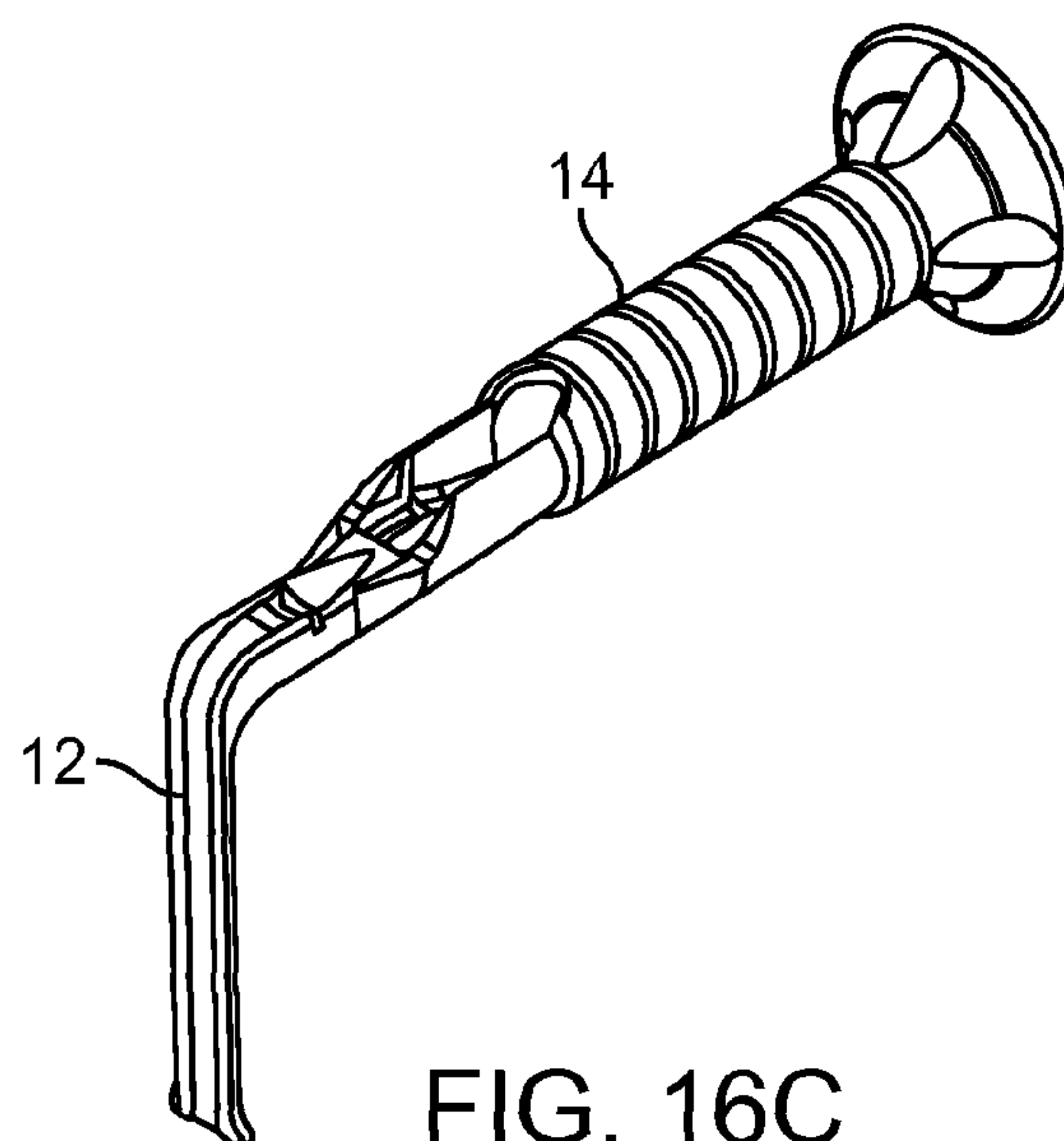
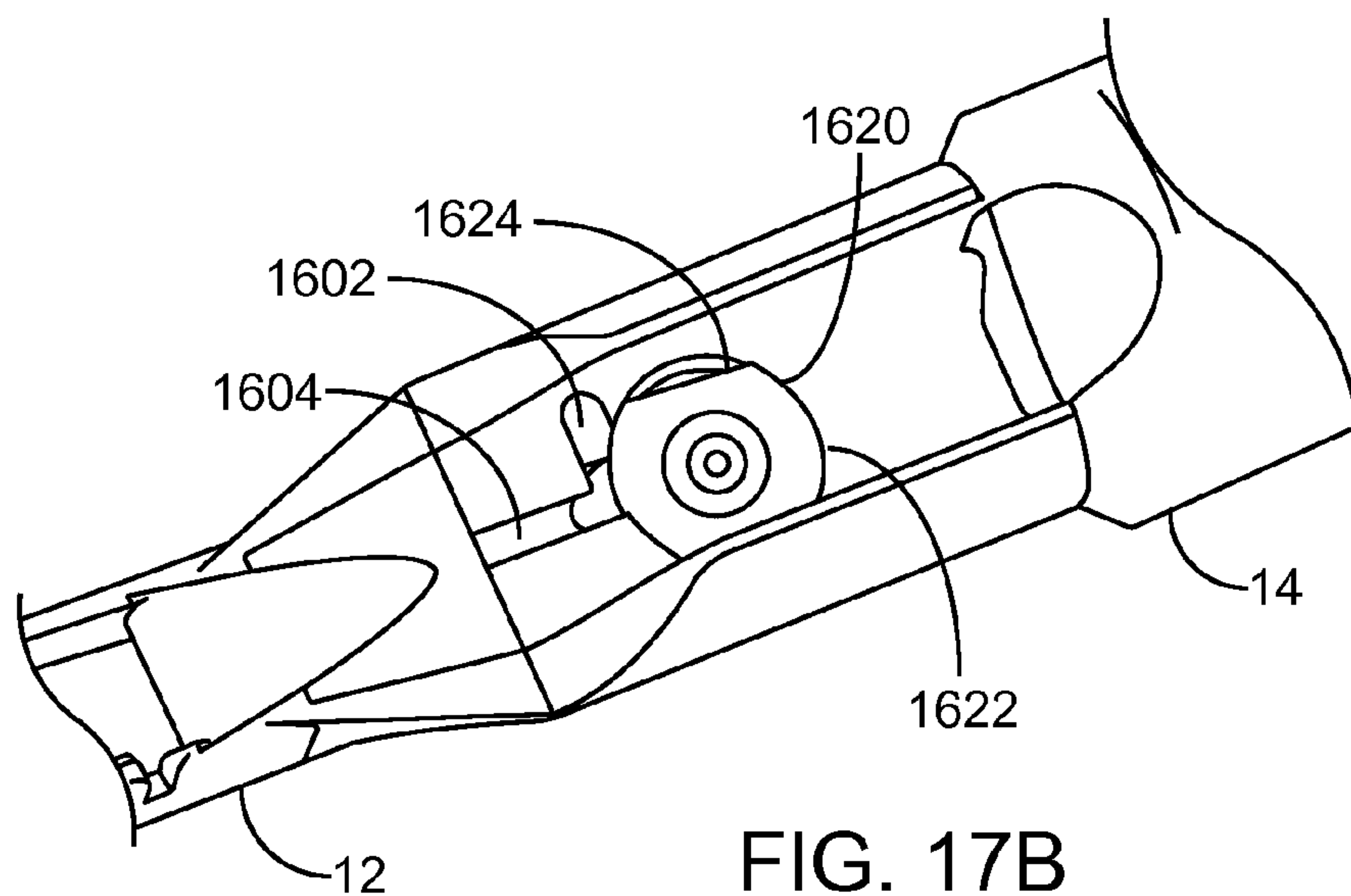
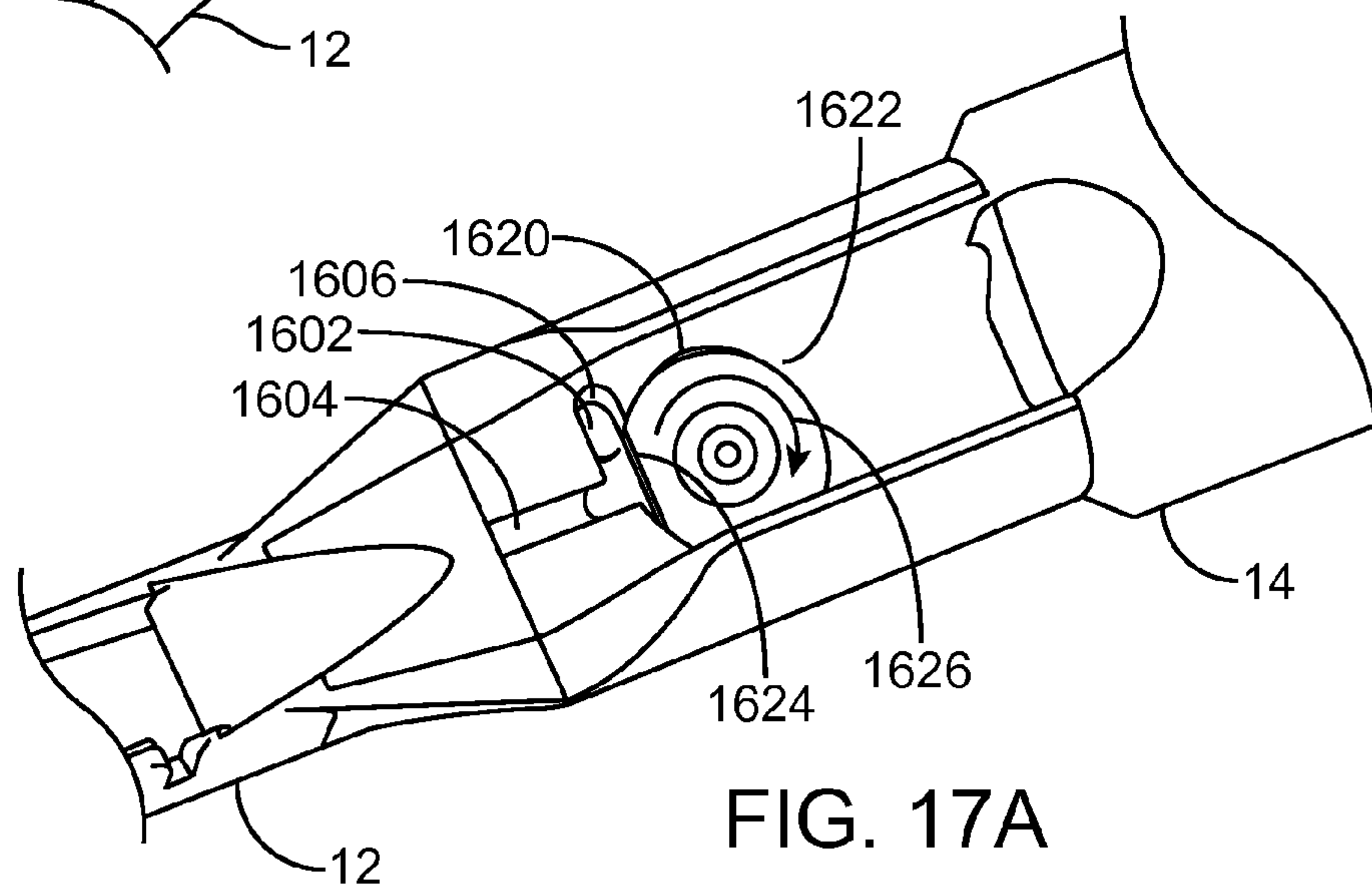
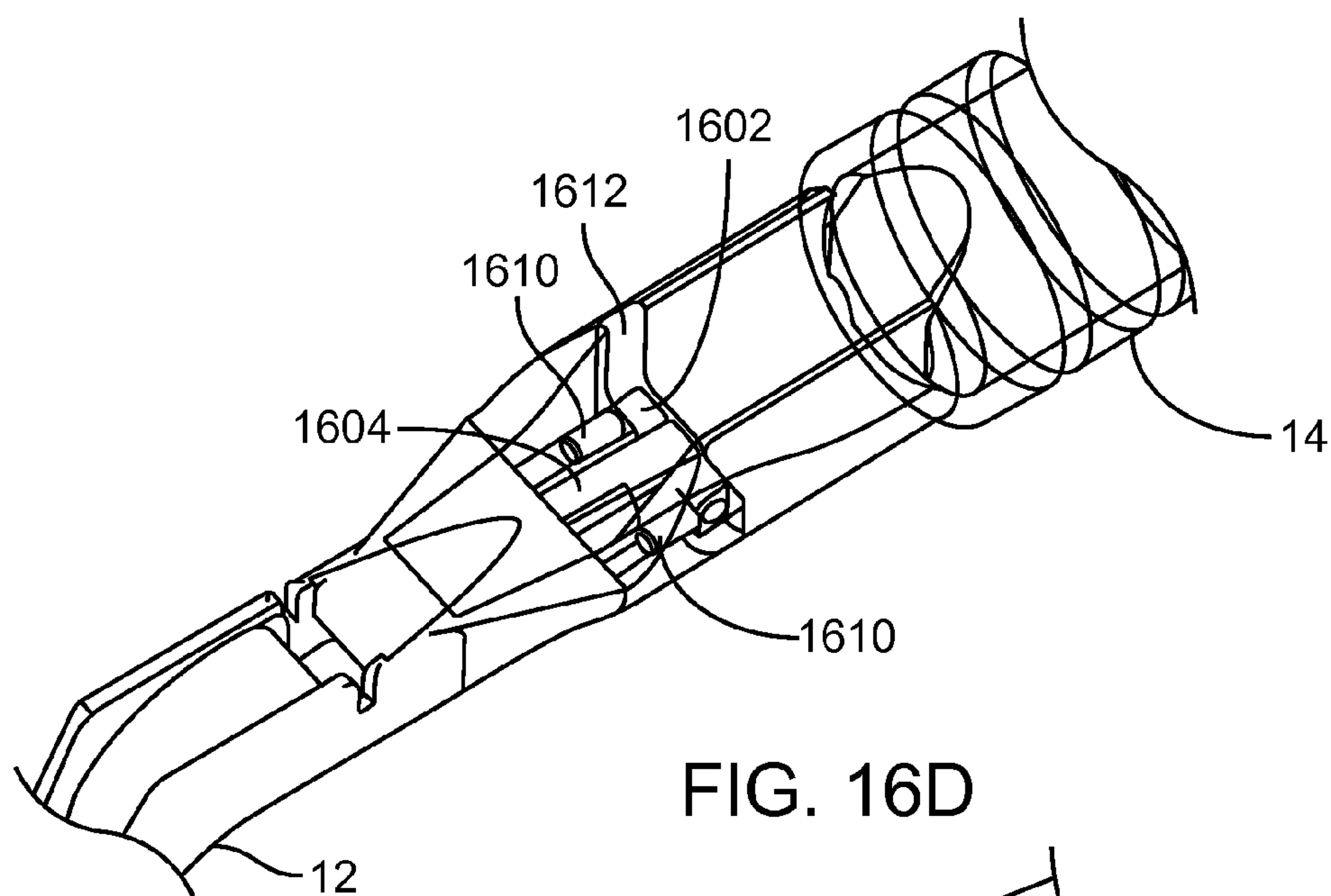
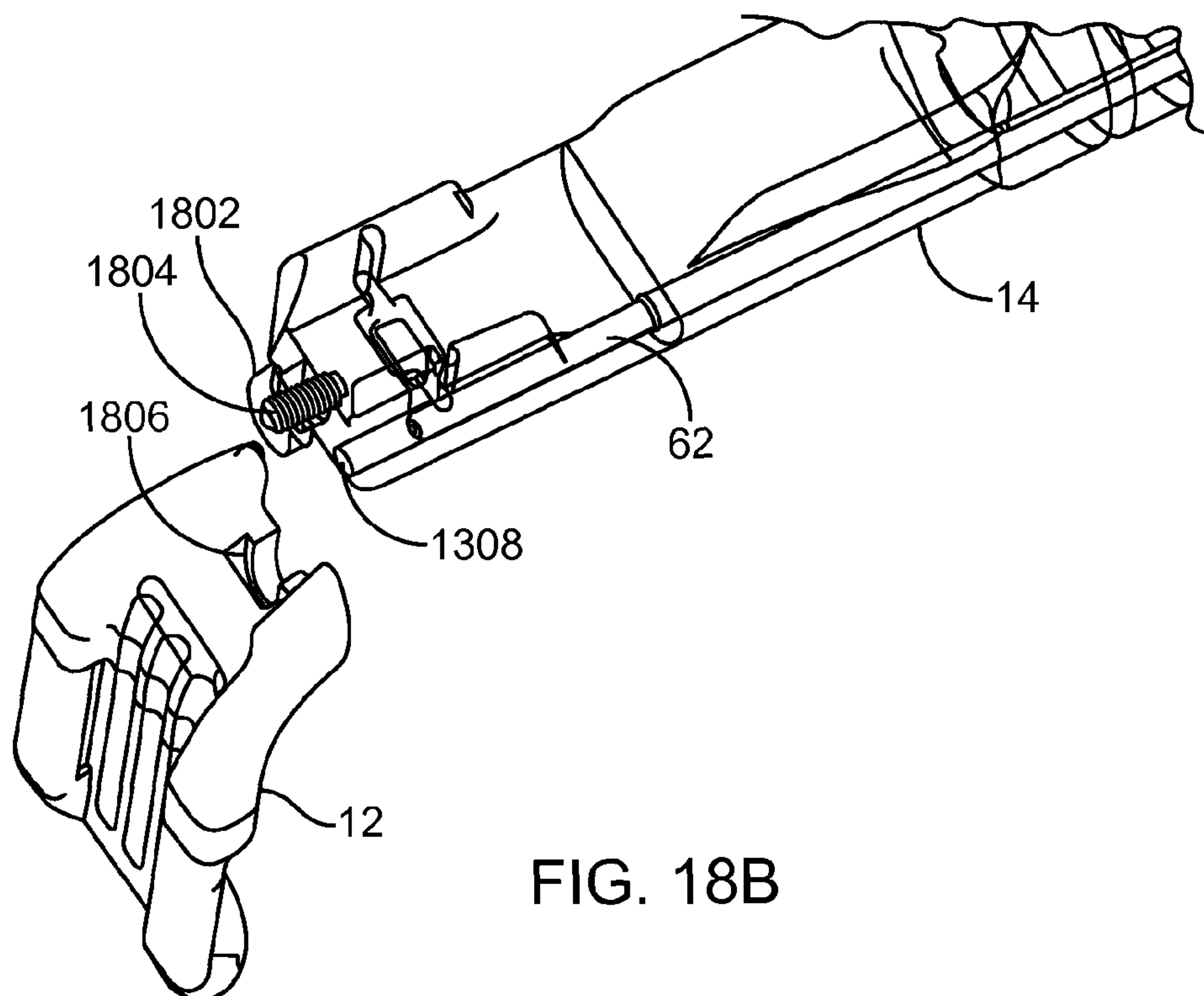
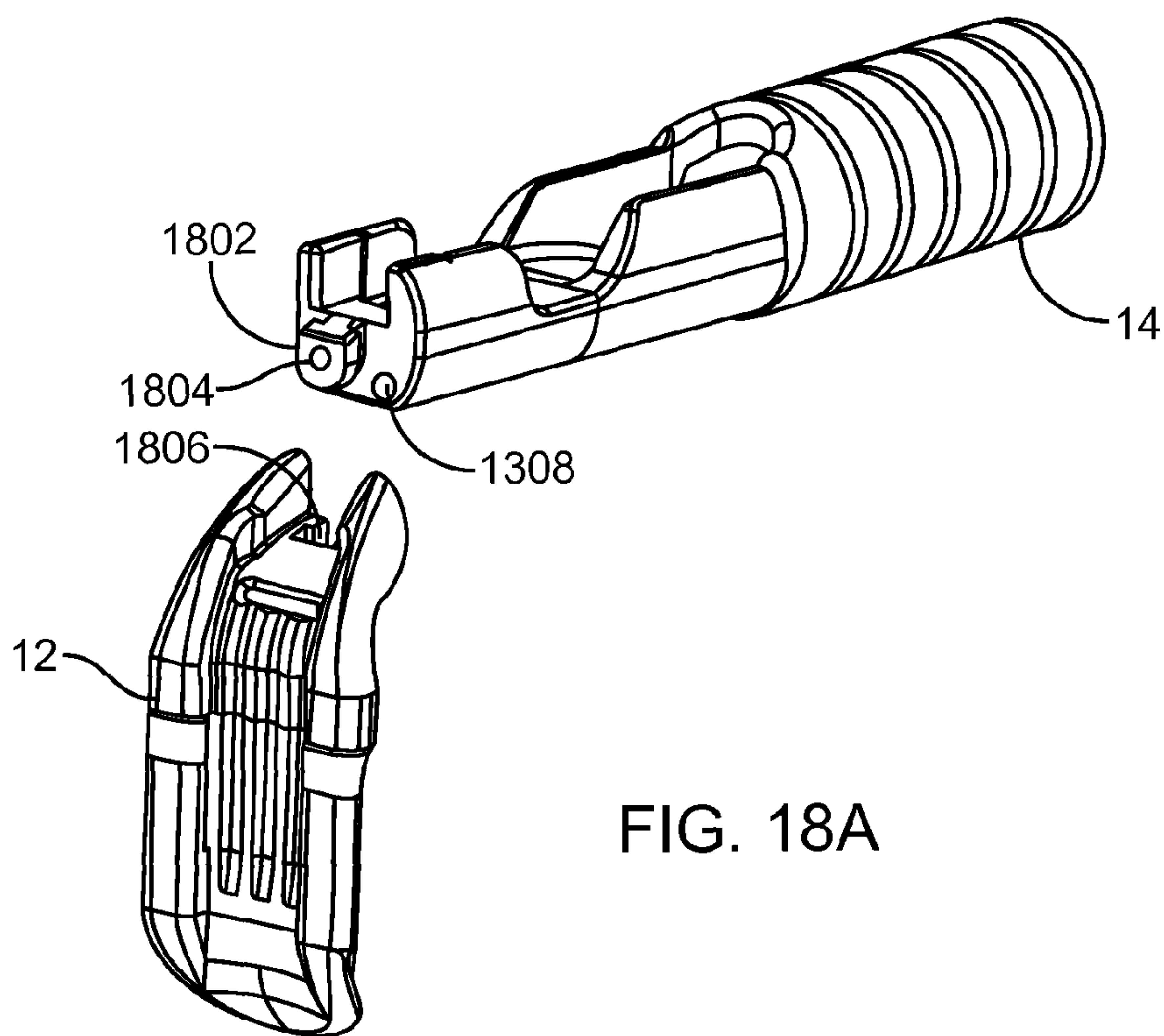


FIG. 16C







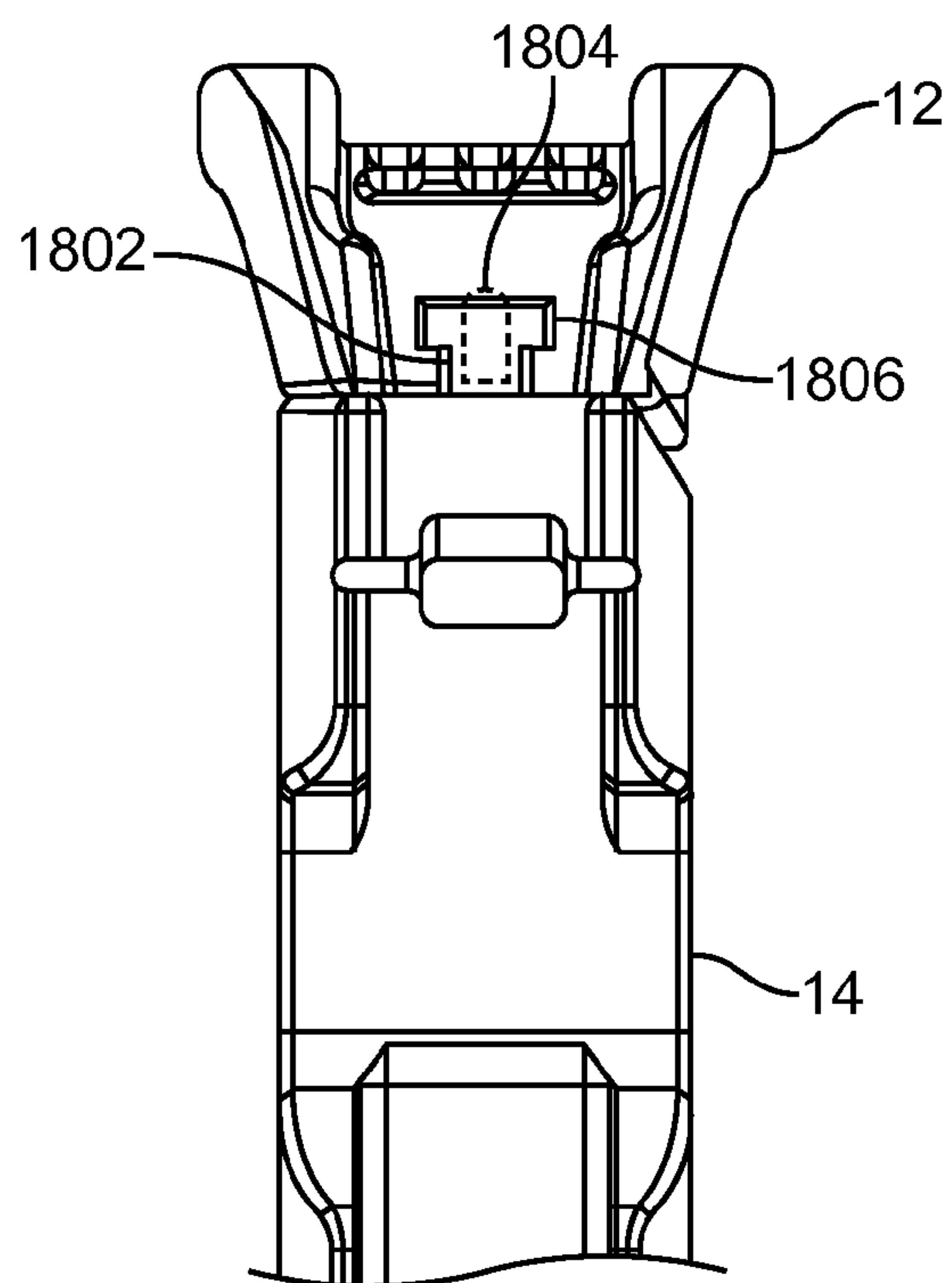


FIG. 18C

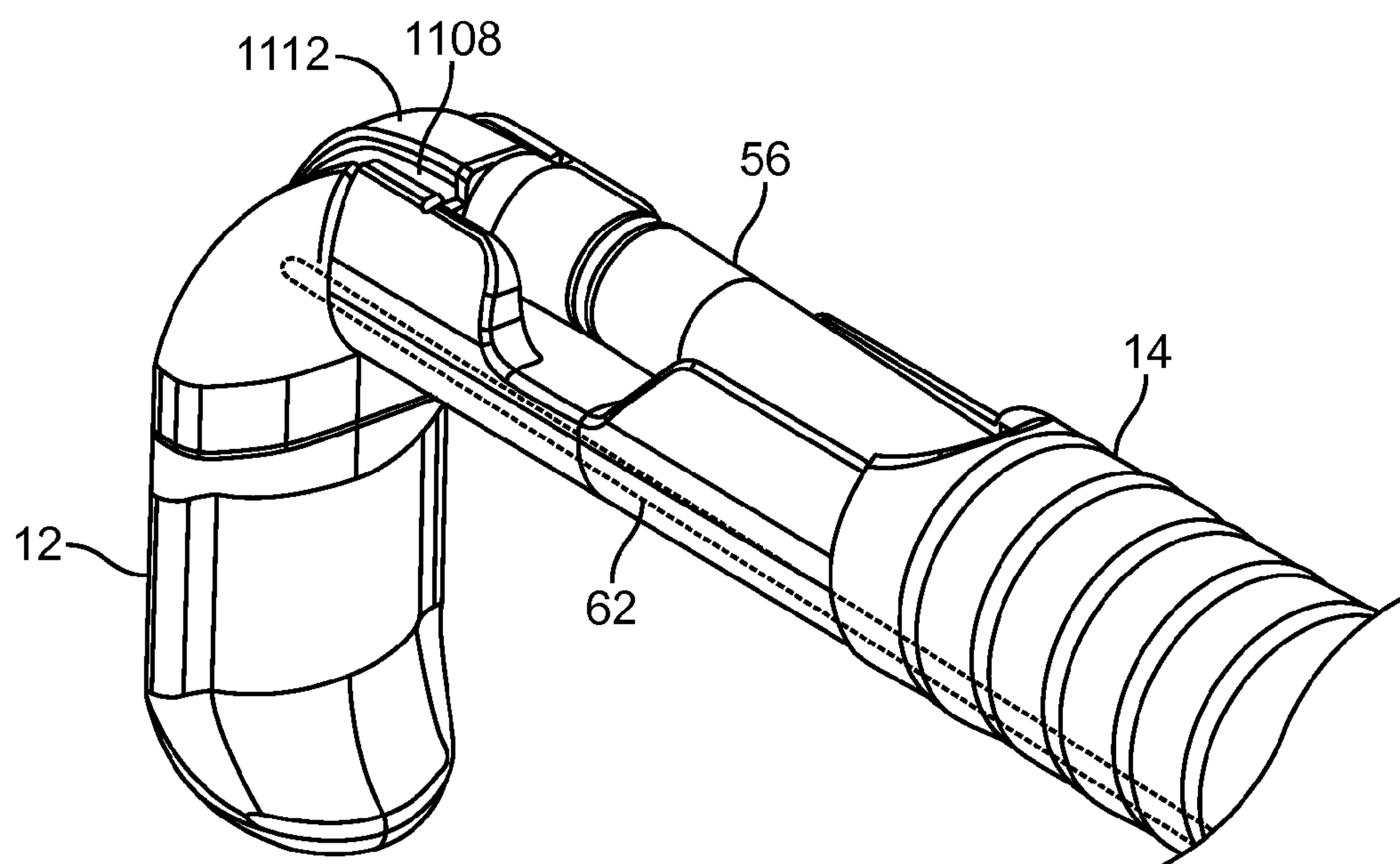
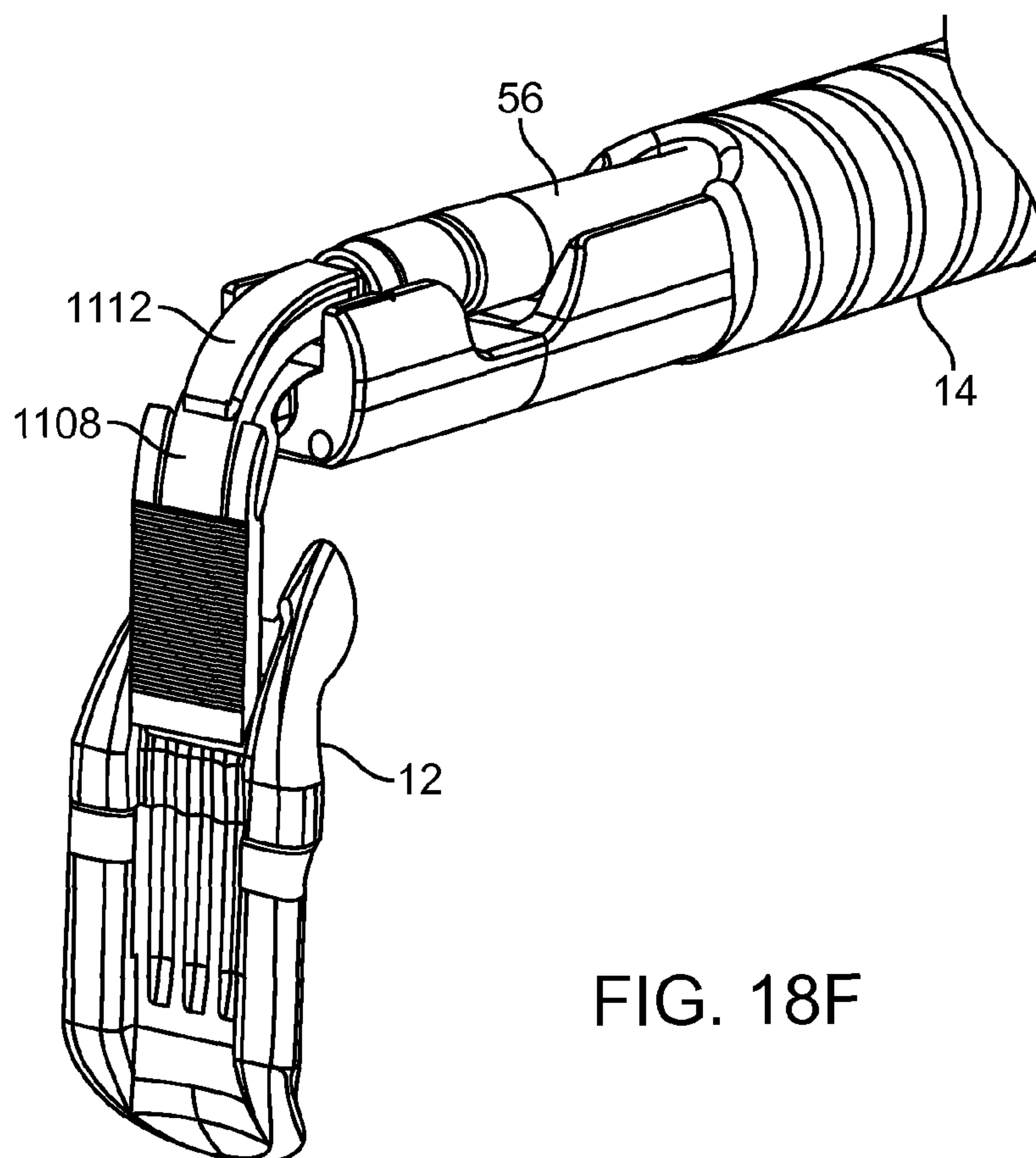
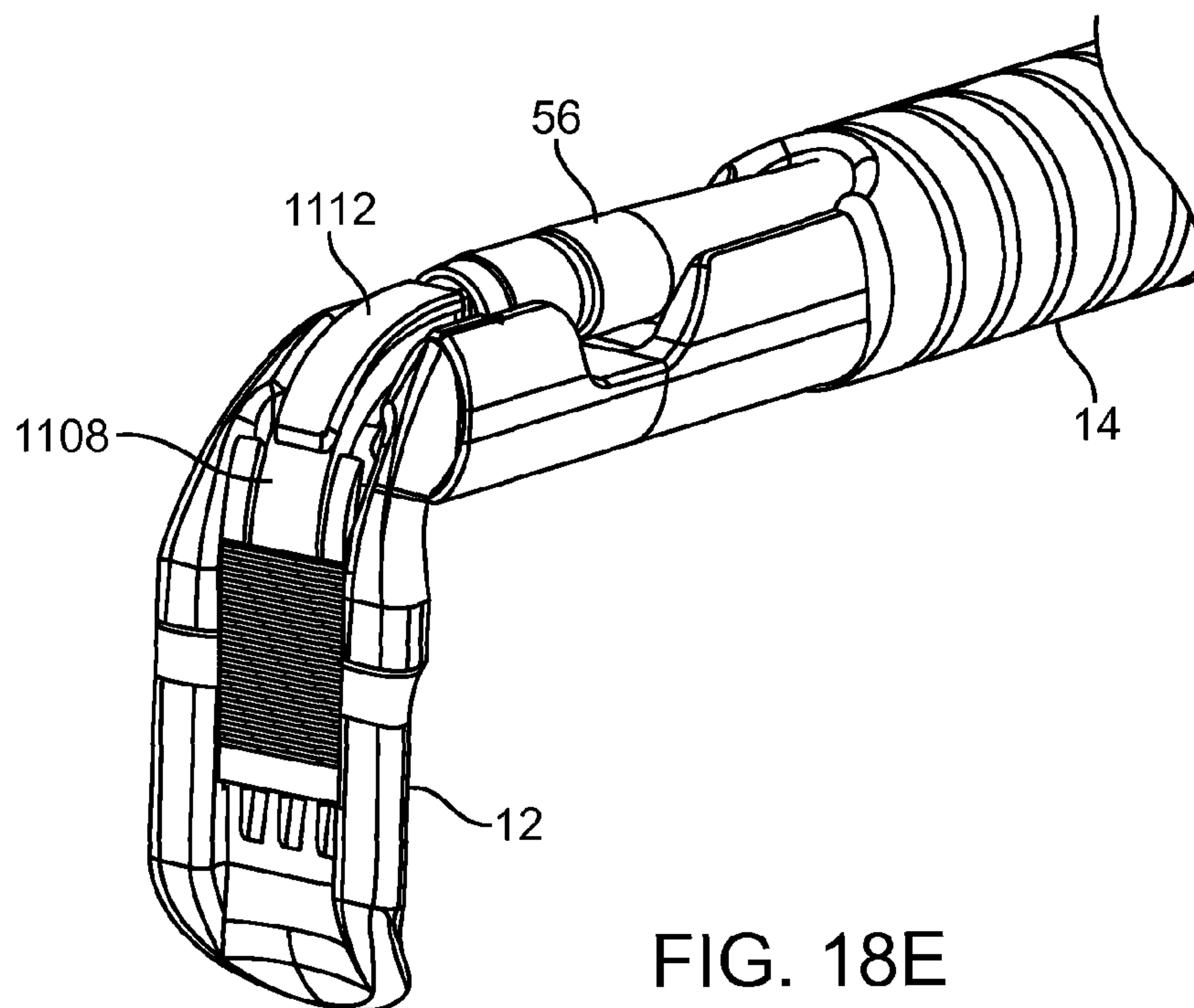


FIG. 18D



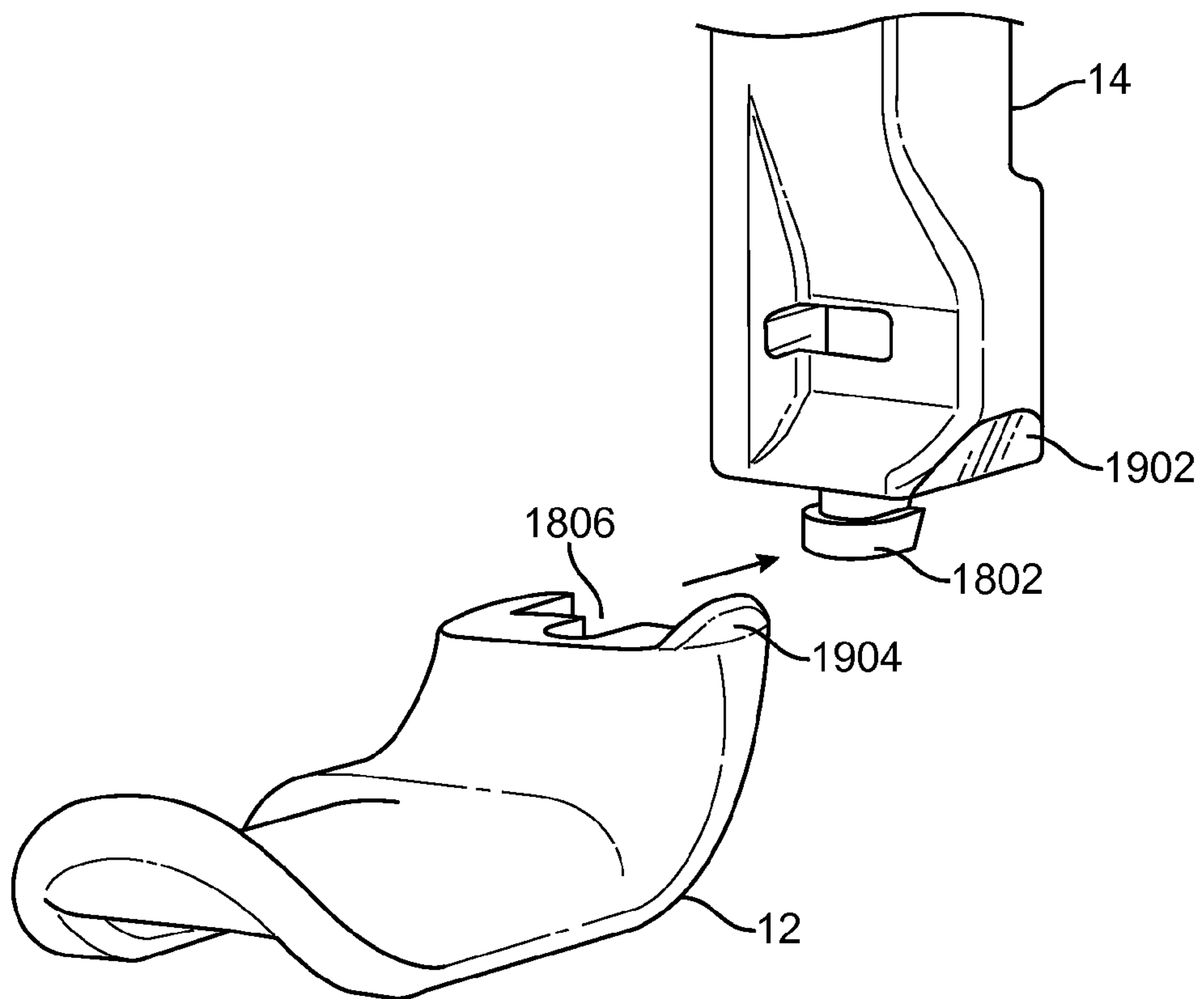


FIG. 19A

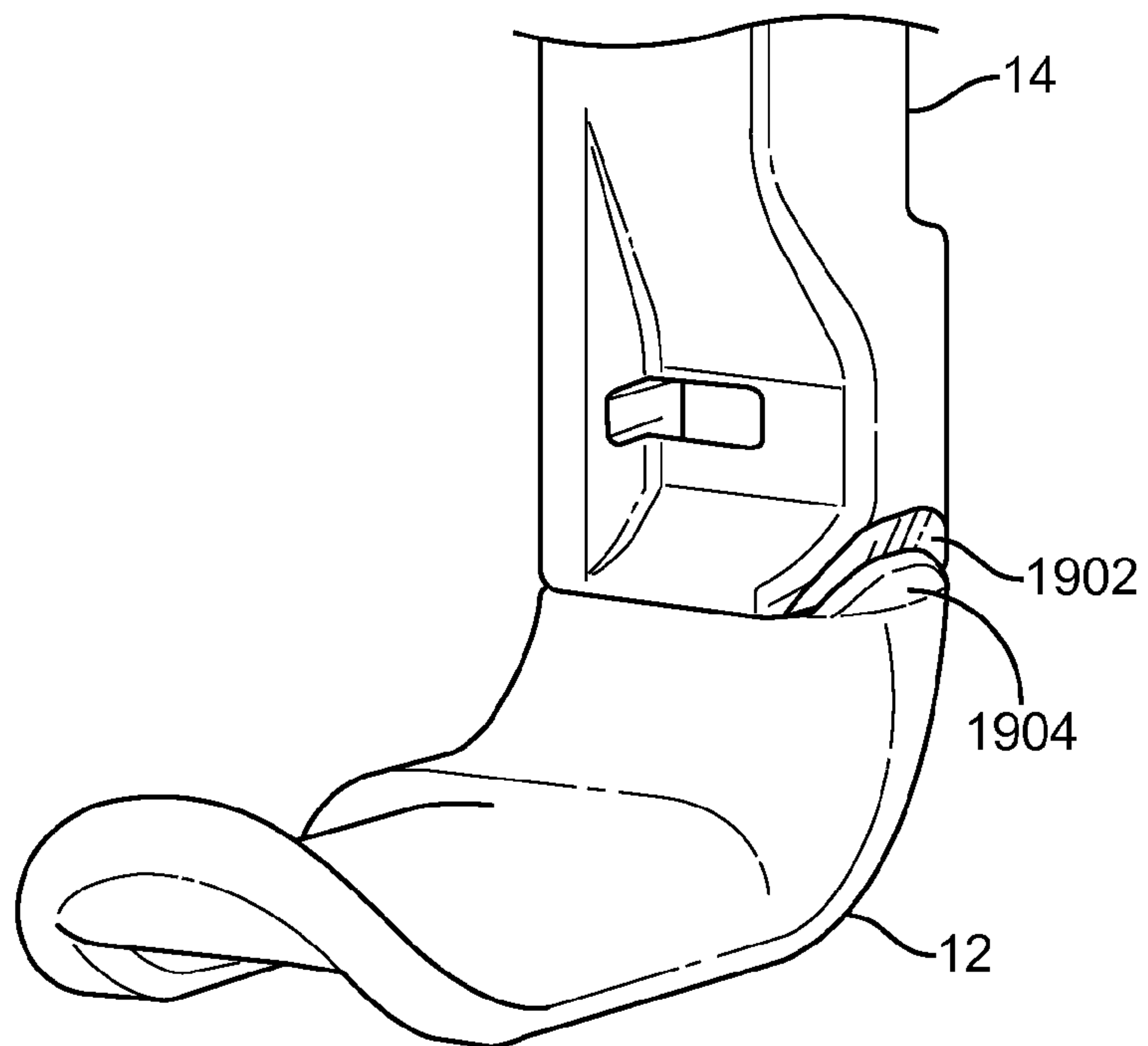


FIG. 19B

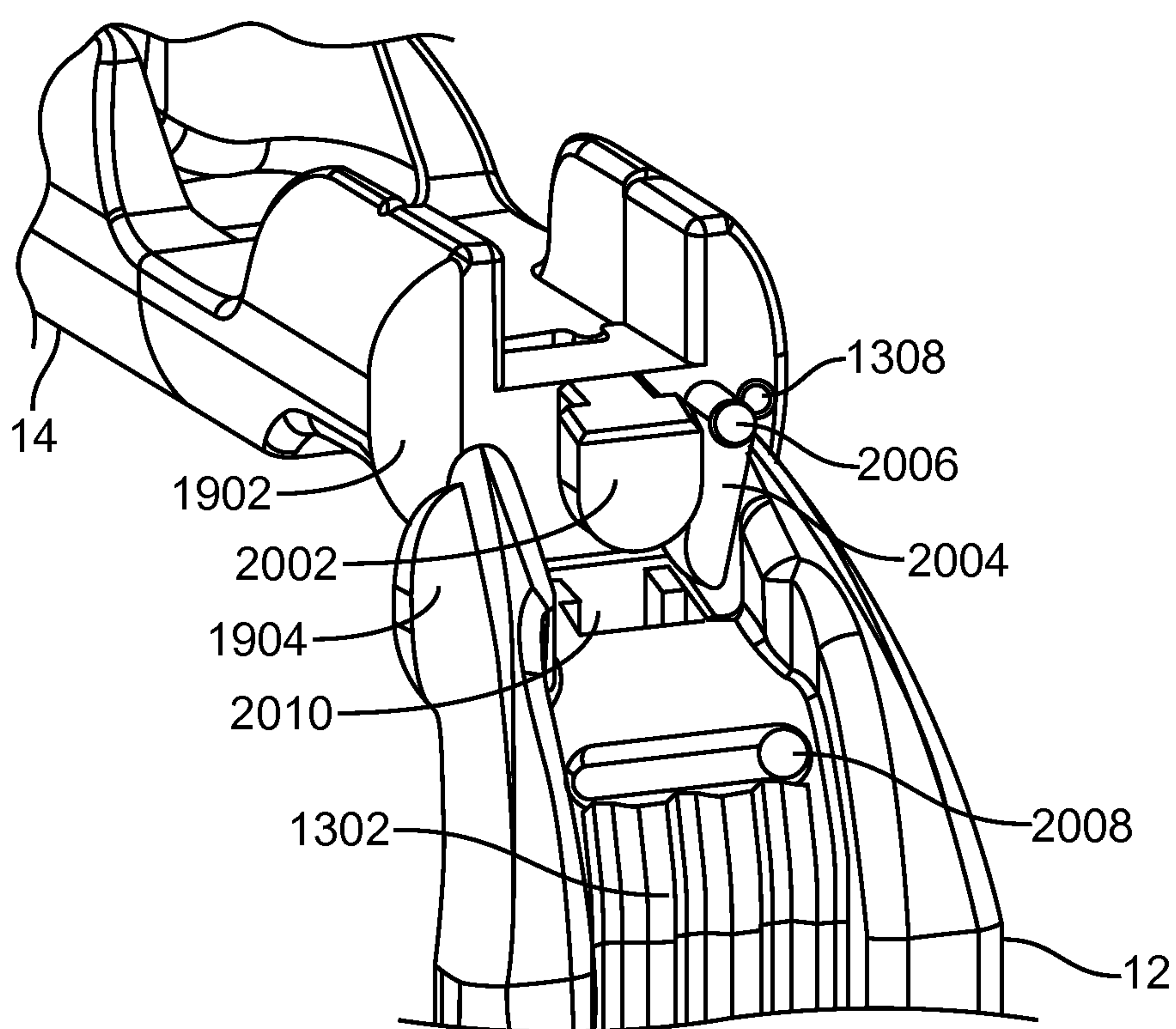


FIG. 20



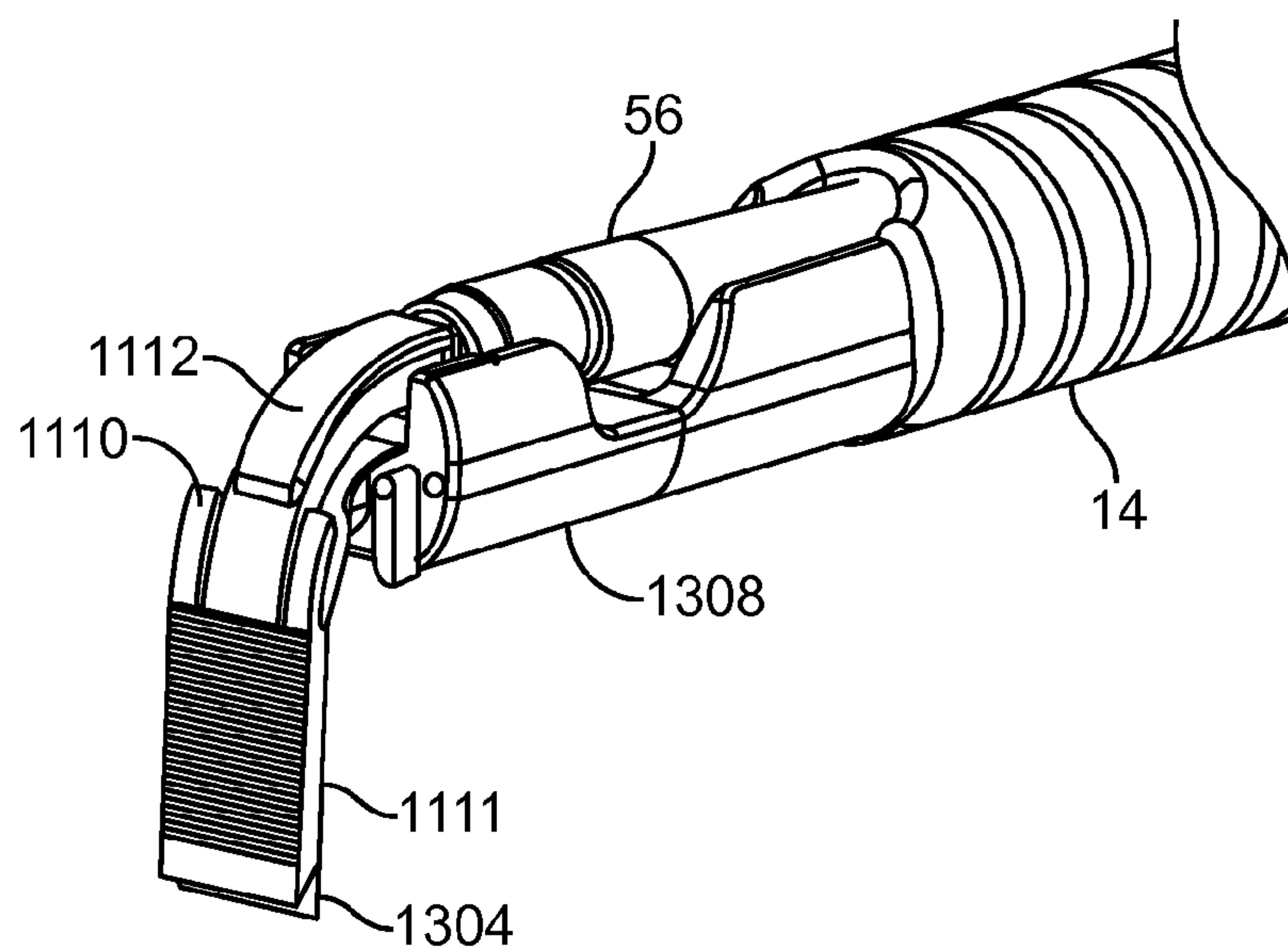


FIG. 21A

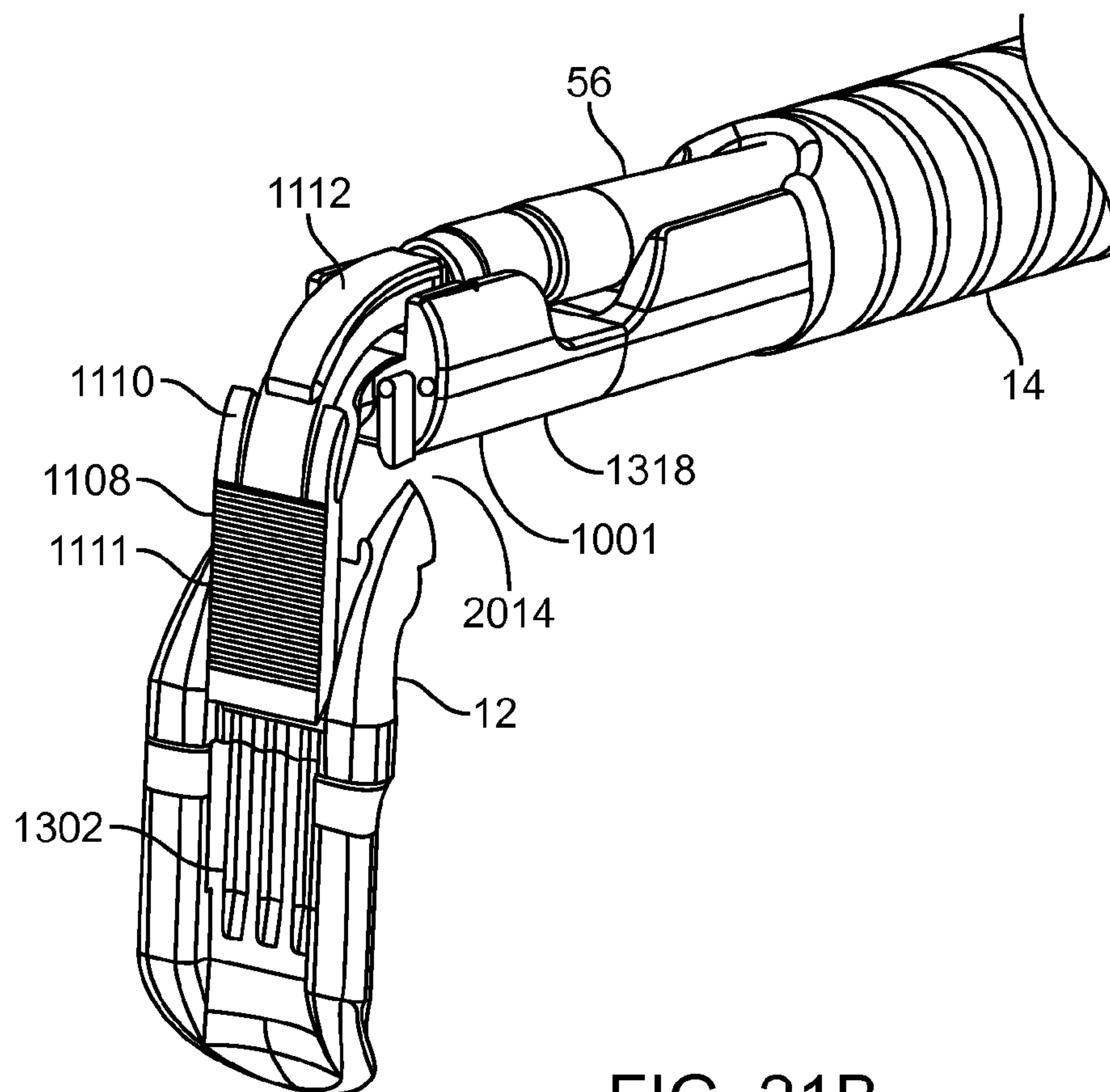


FIG. 21B

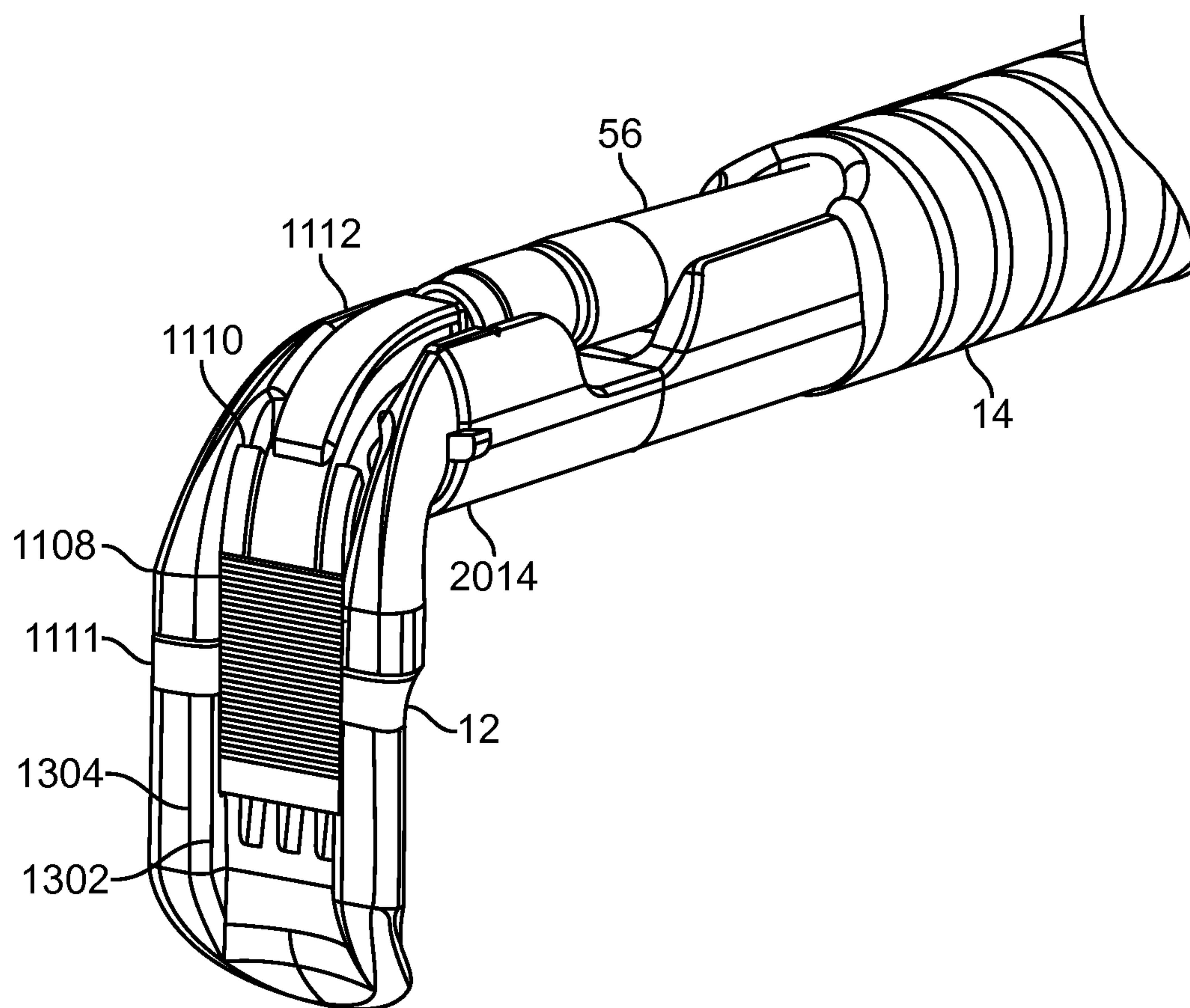


FIG. 21C

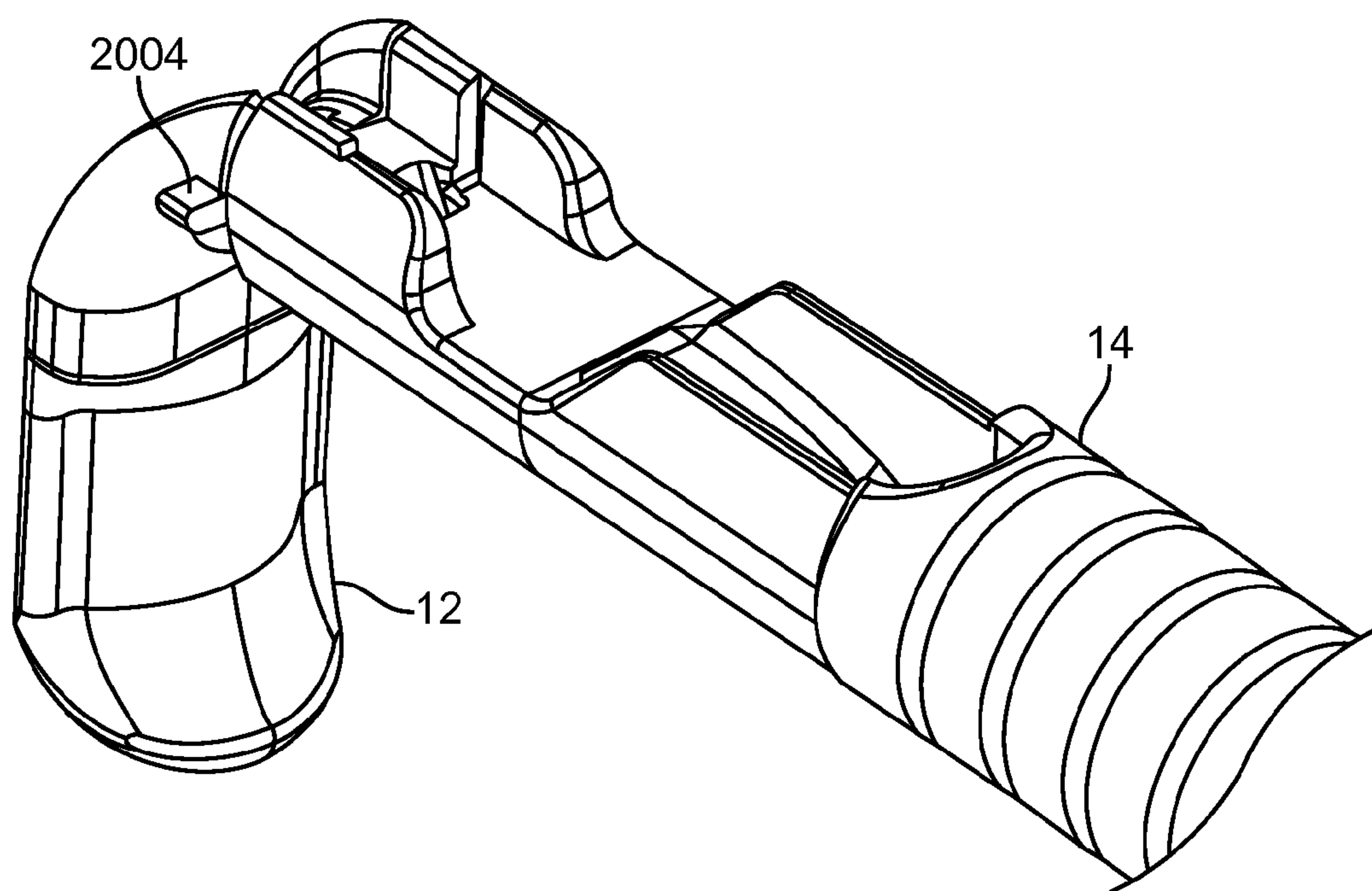


FIG. 22A

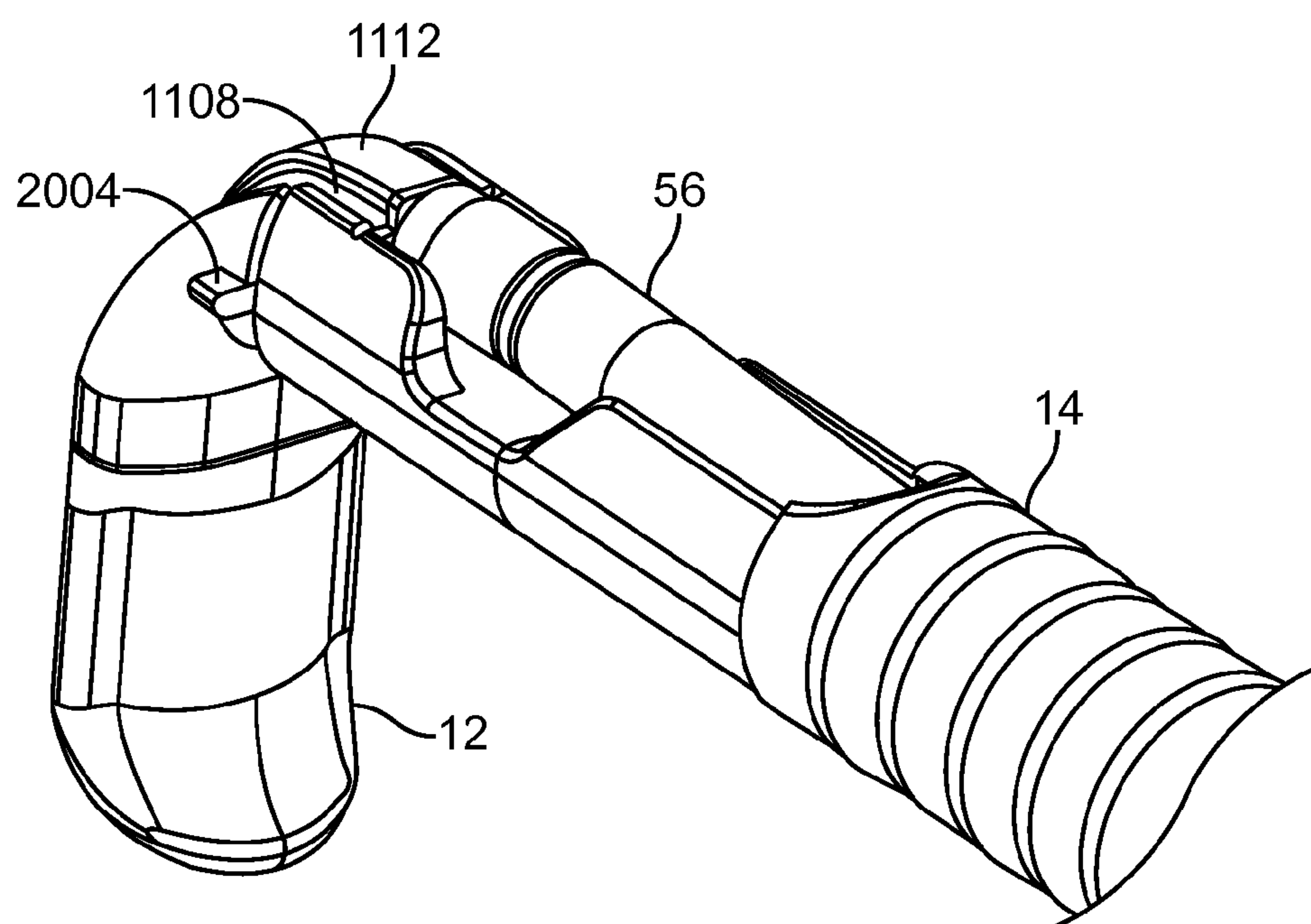
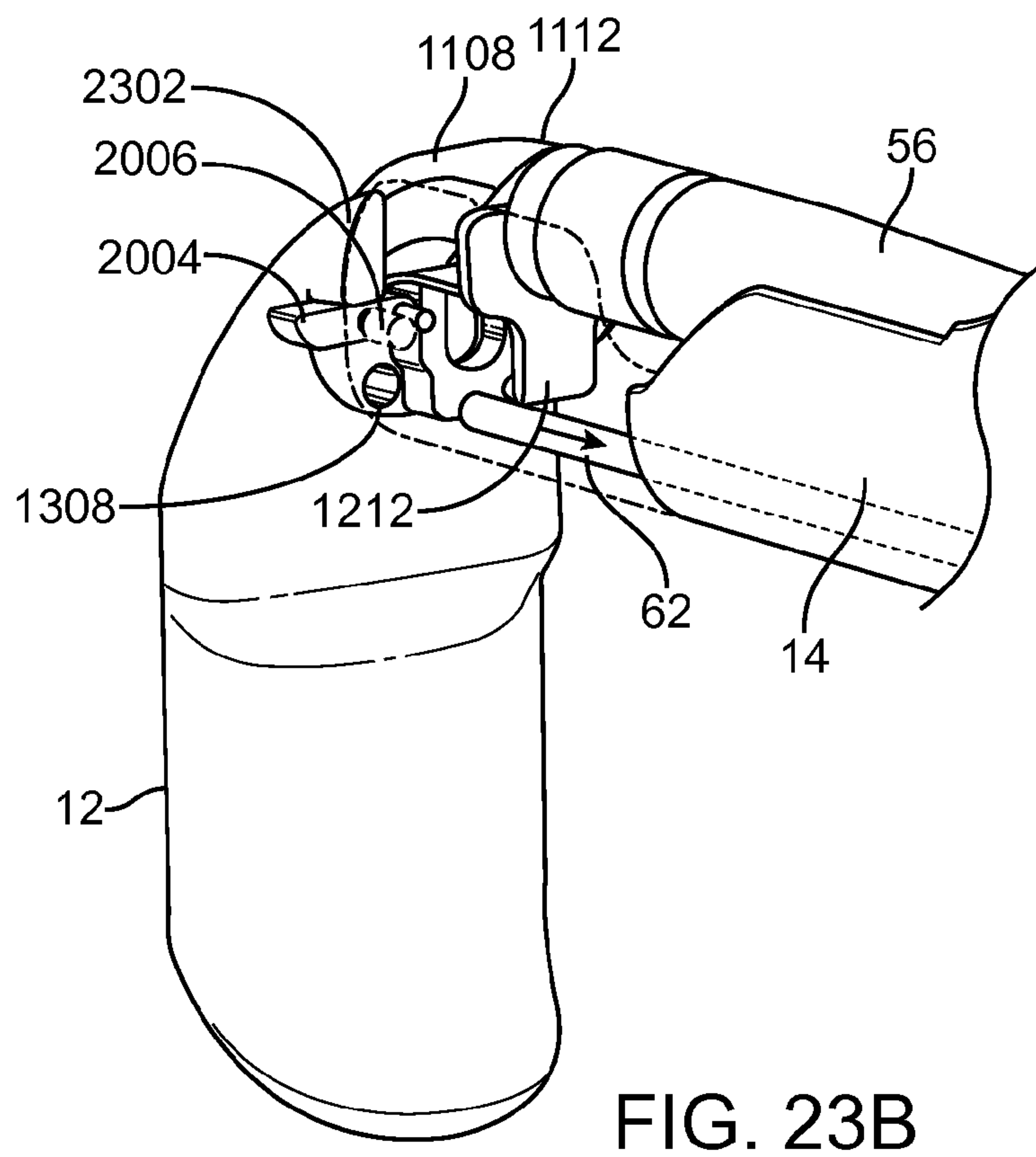
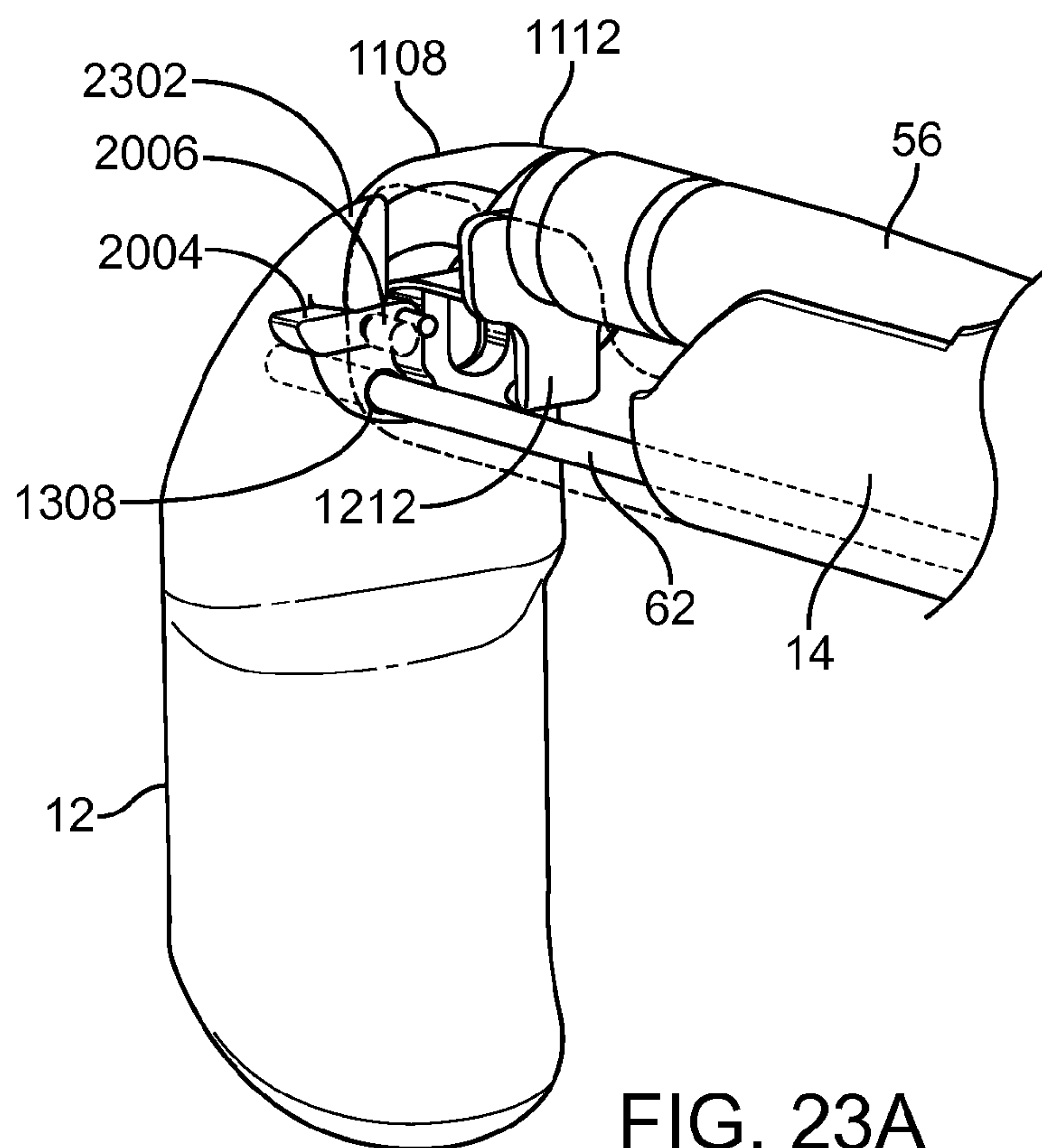


FIG. 22B



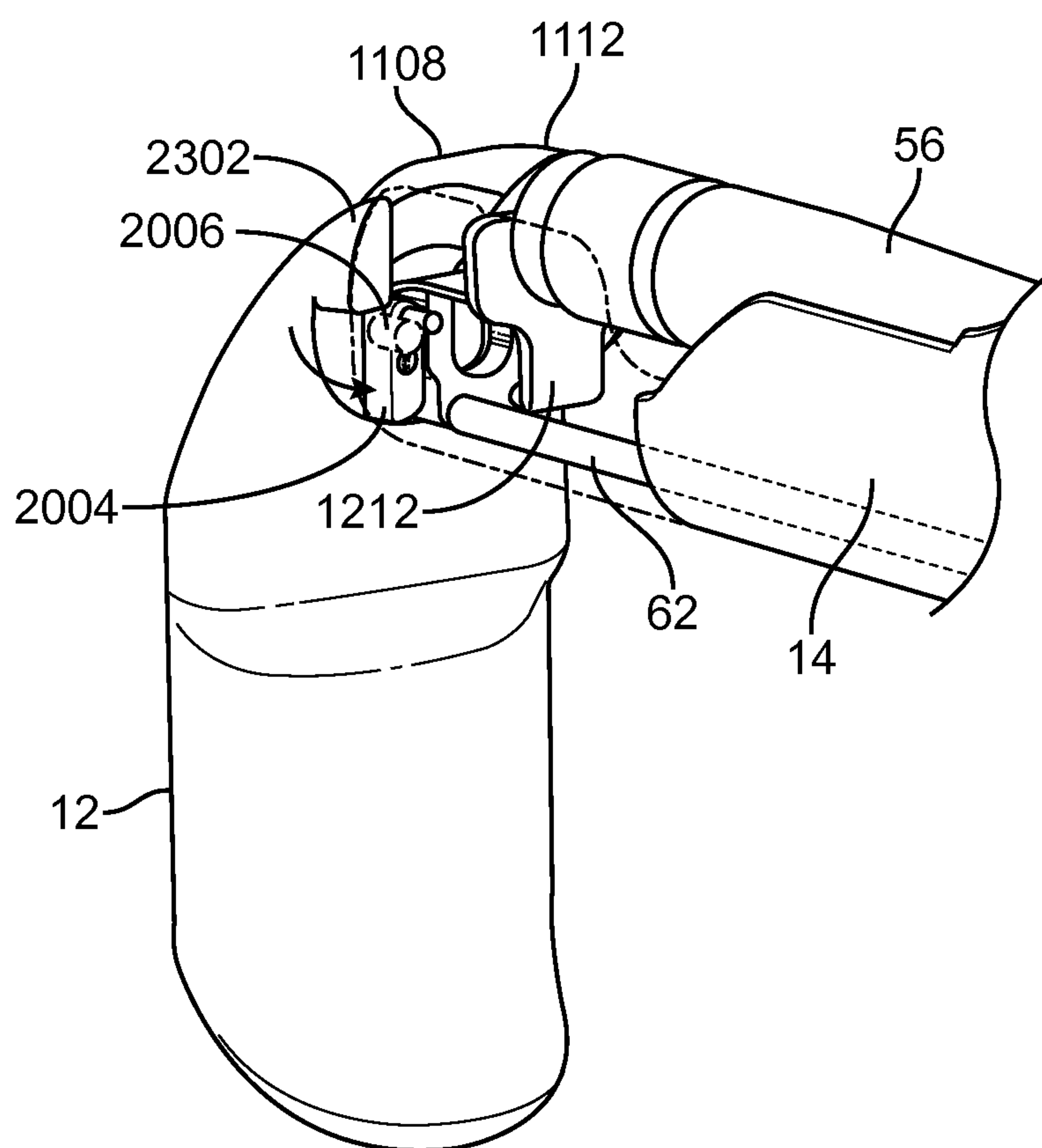


FIG. 23C



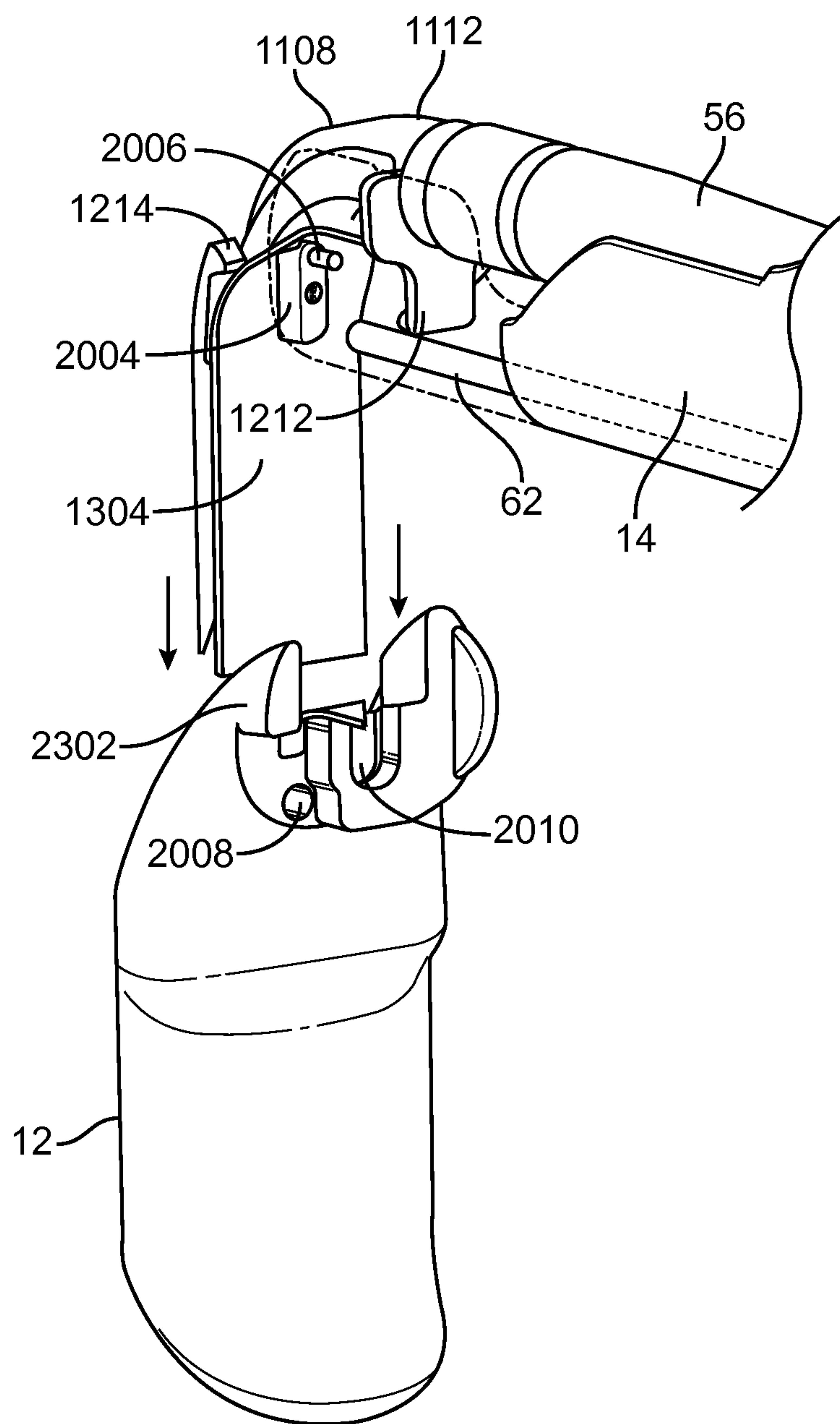


FIG. 23D

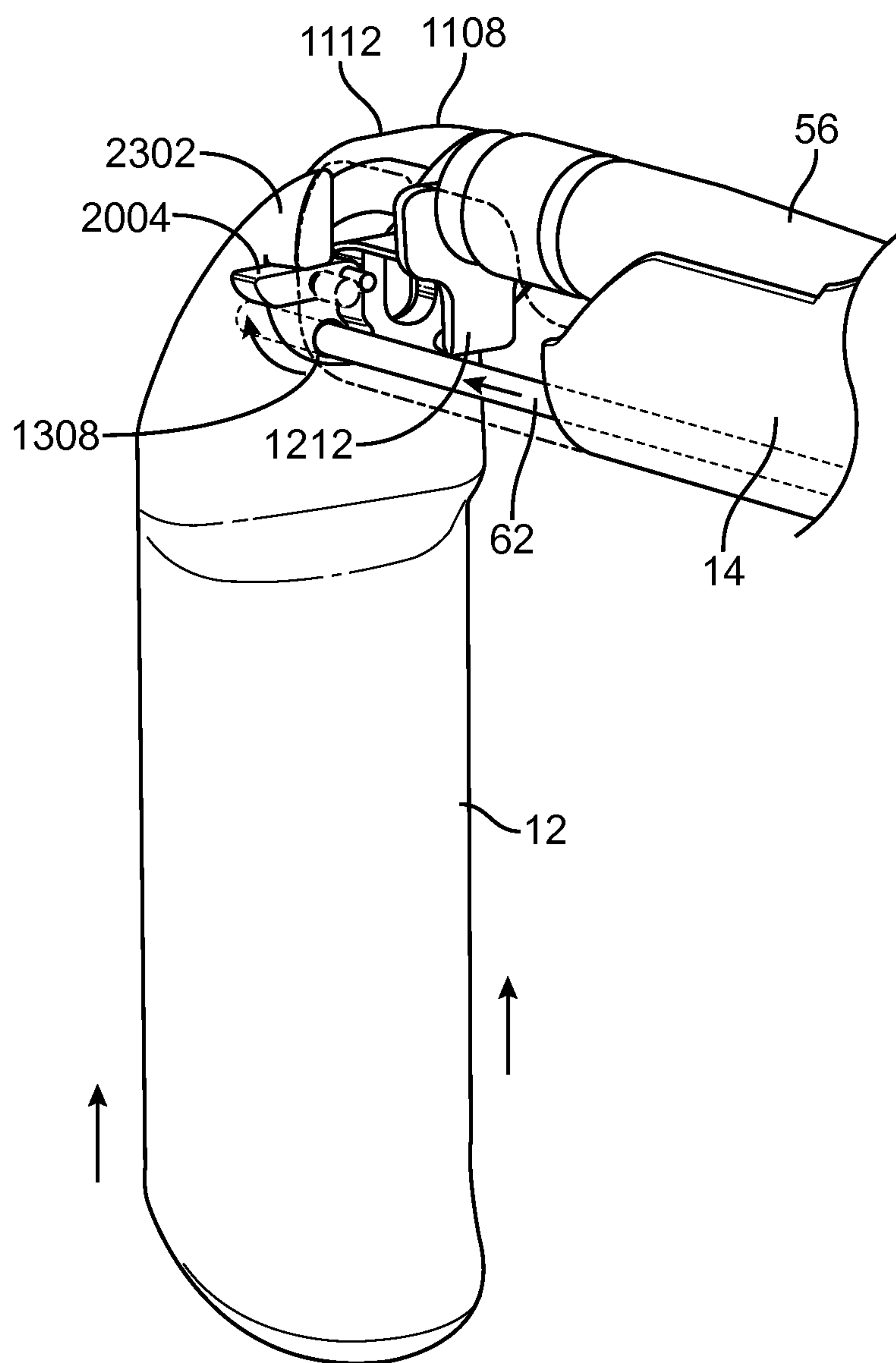


FIG. 23E

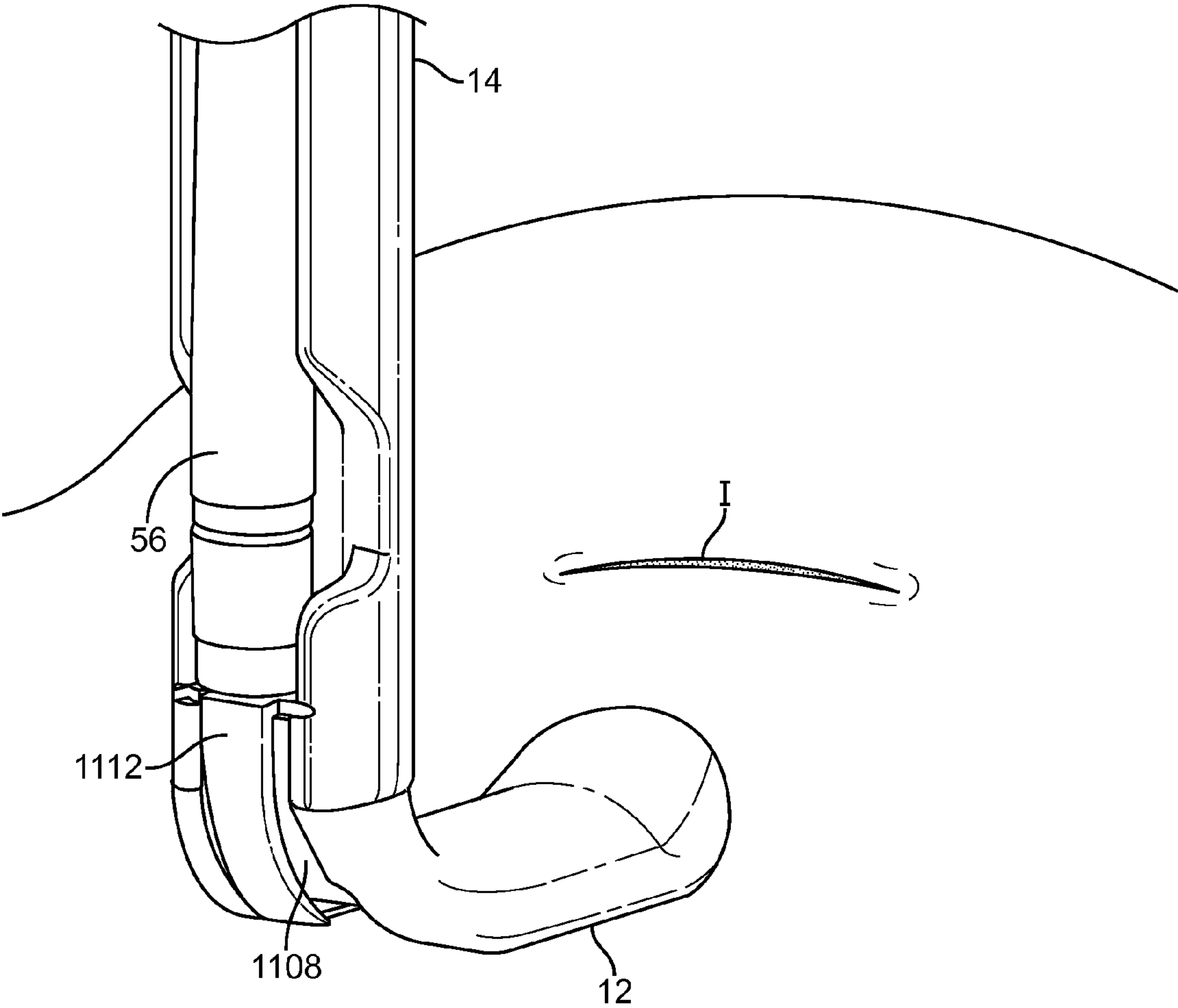


FIG. 24A

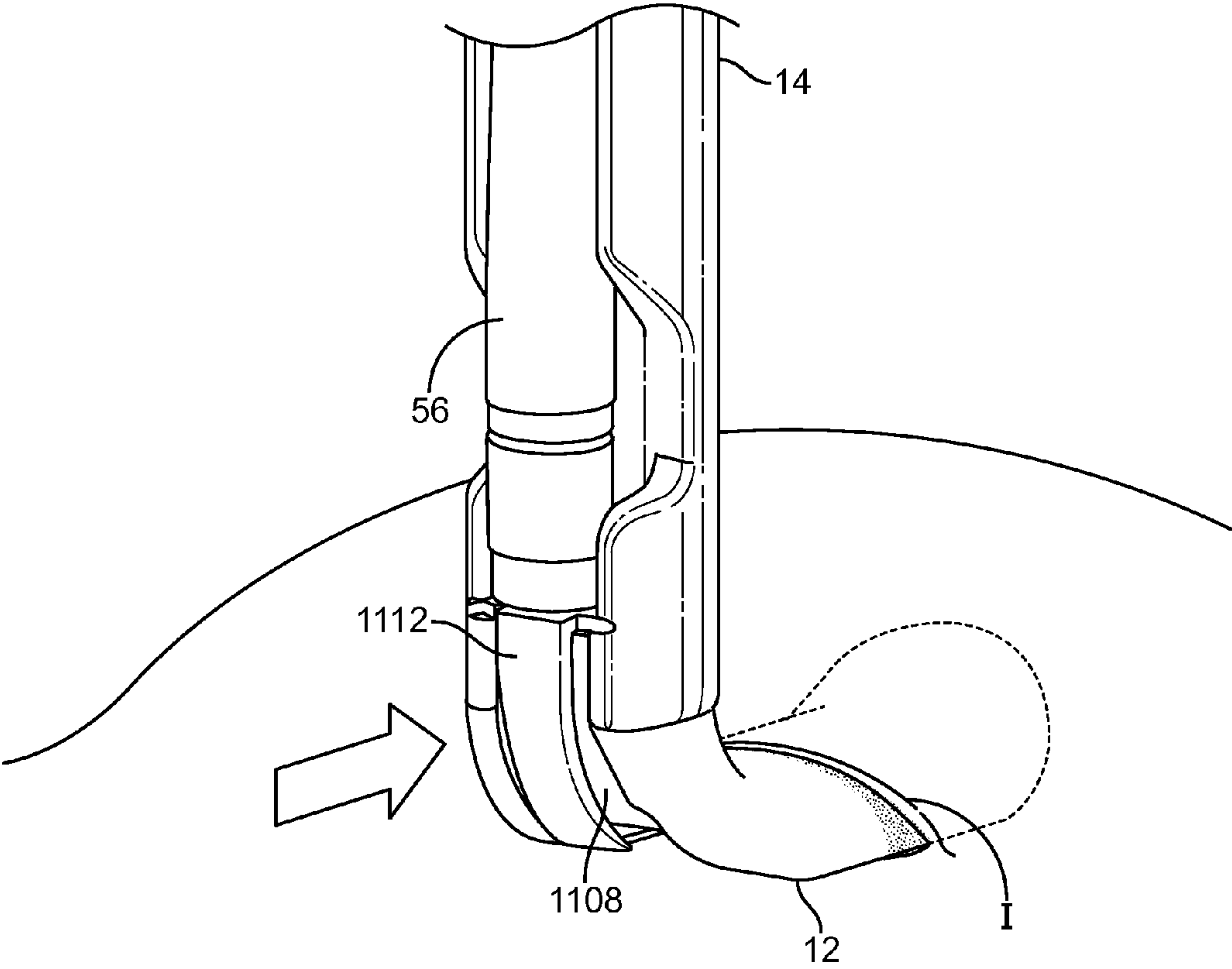


FIG. 24B

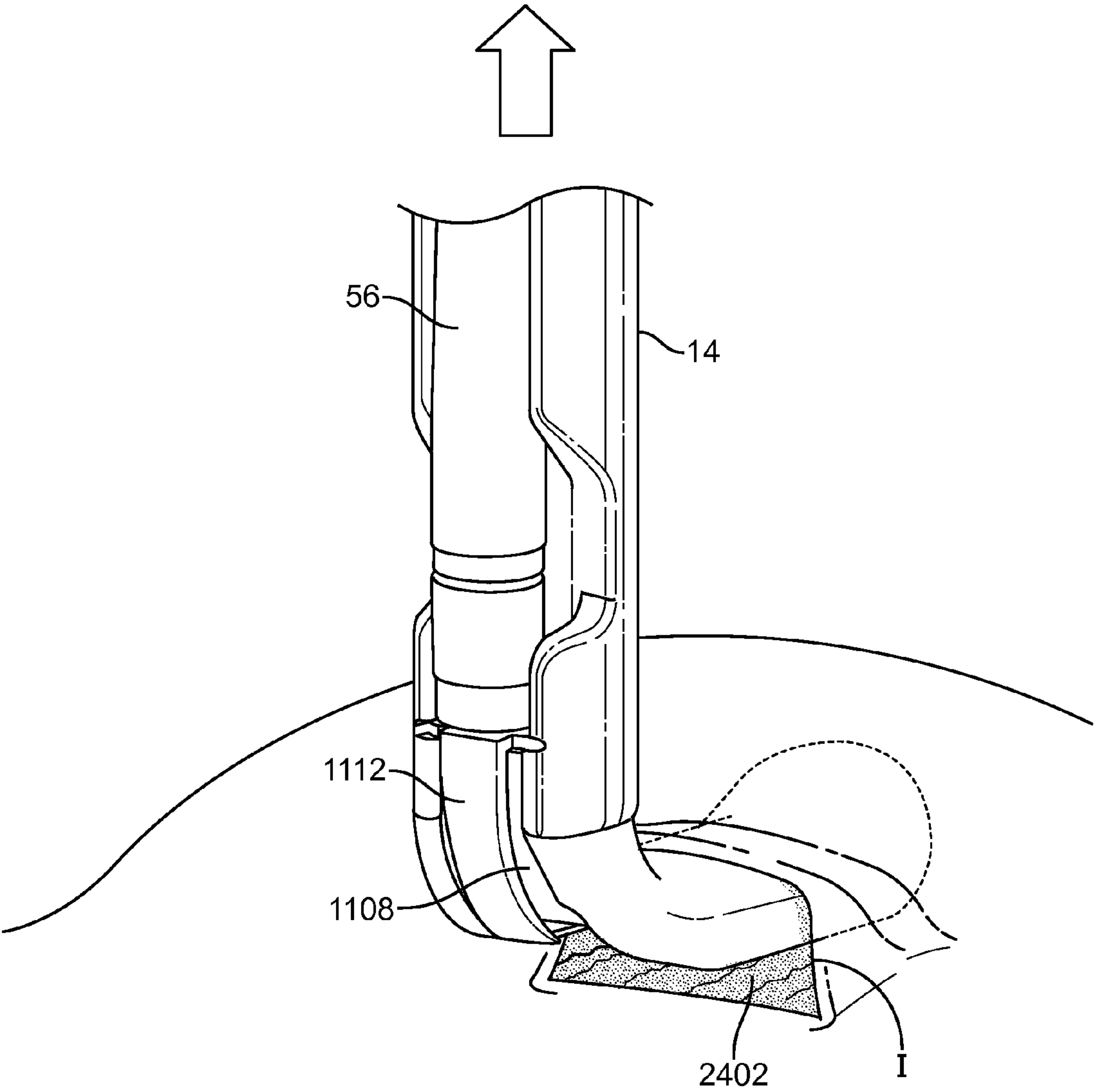


FIG. 24C



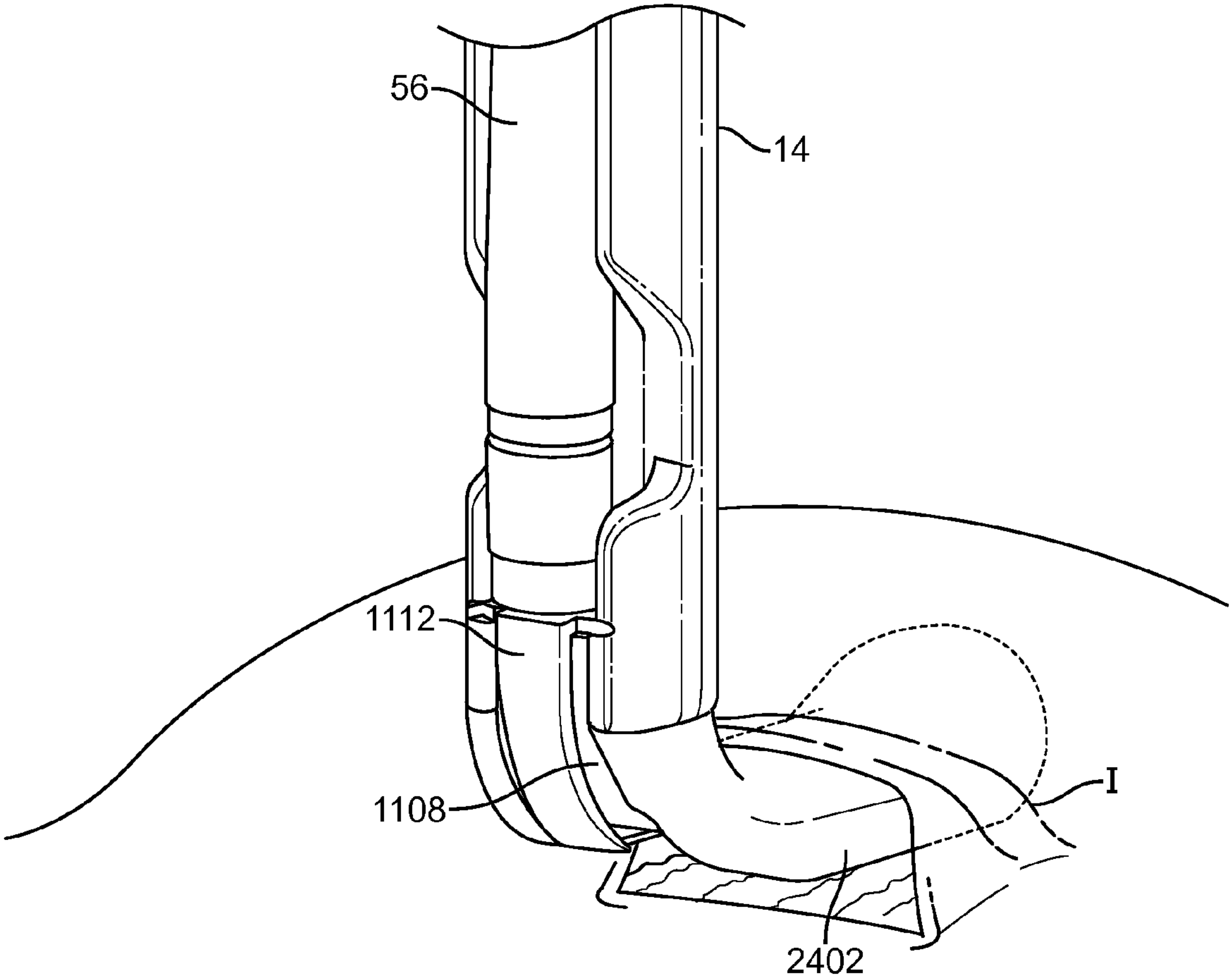


FIG. 24D

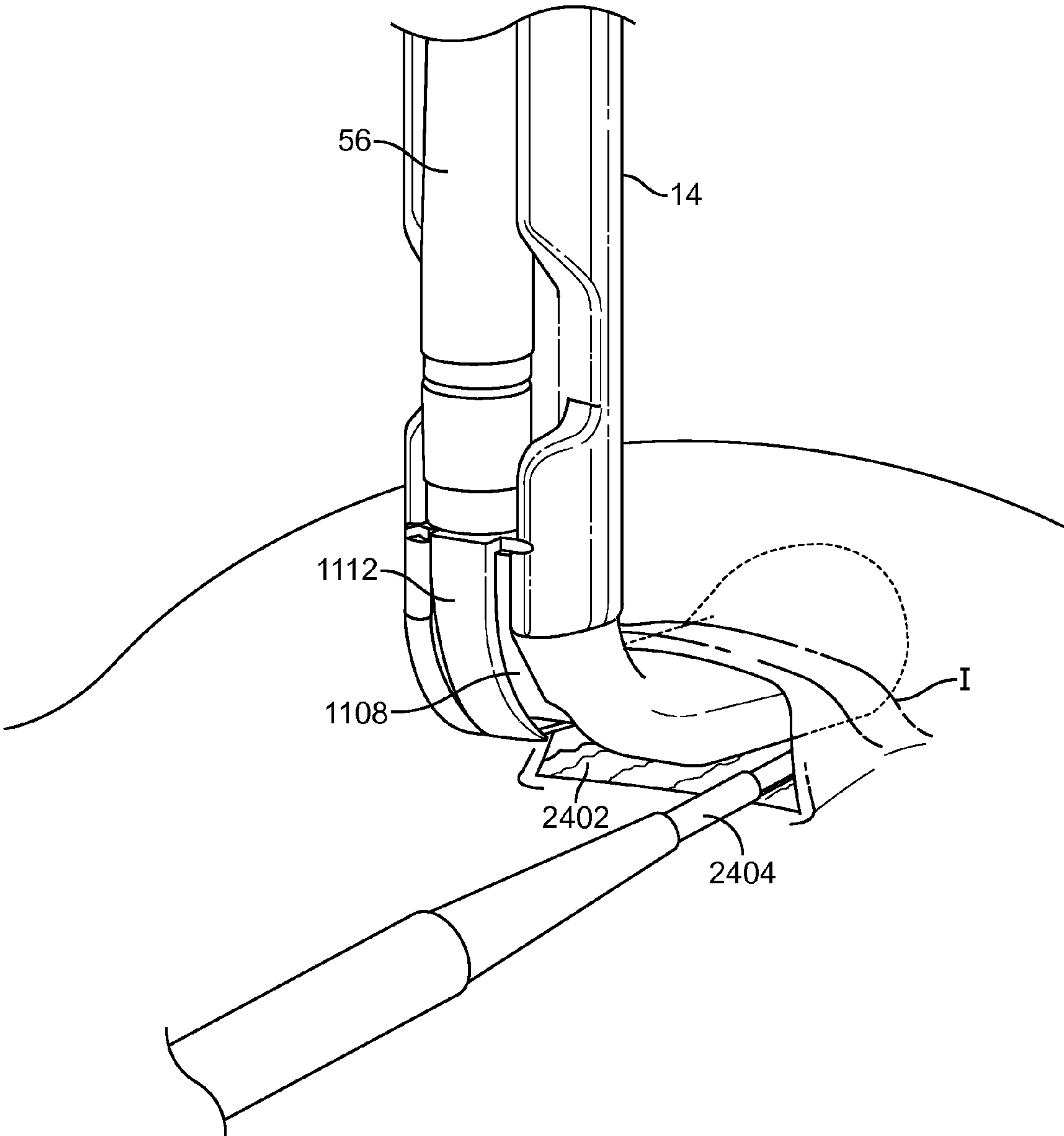


FIG. 24E

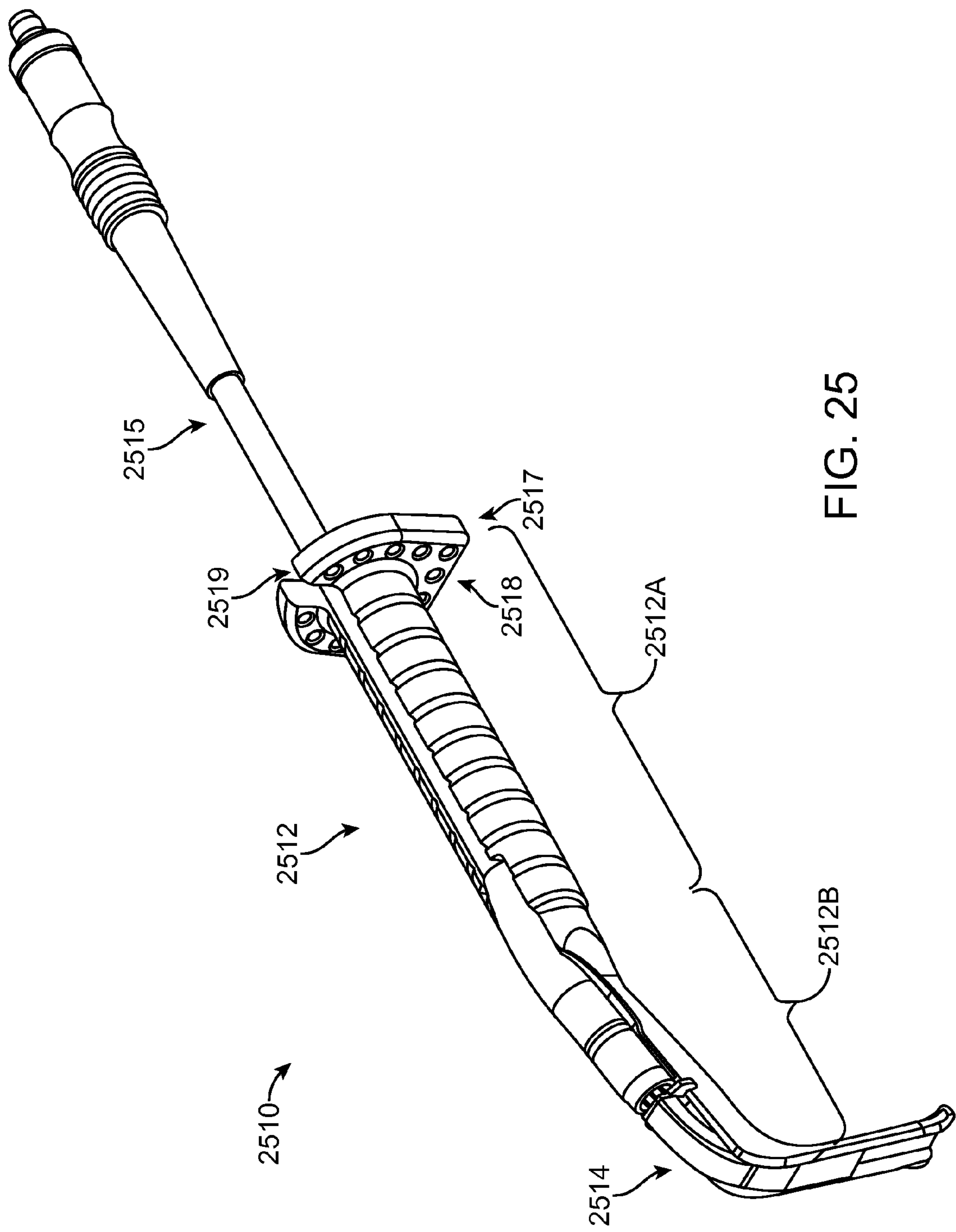
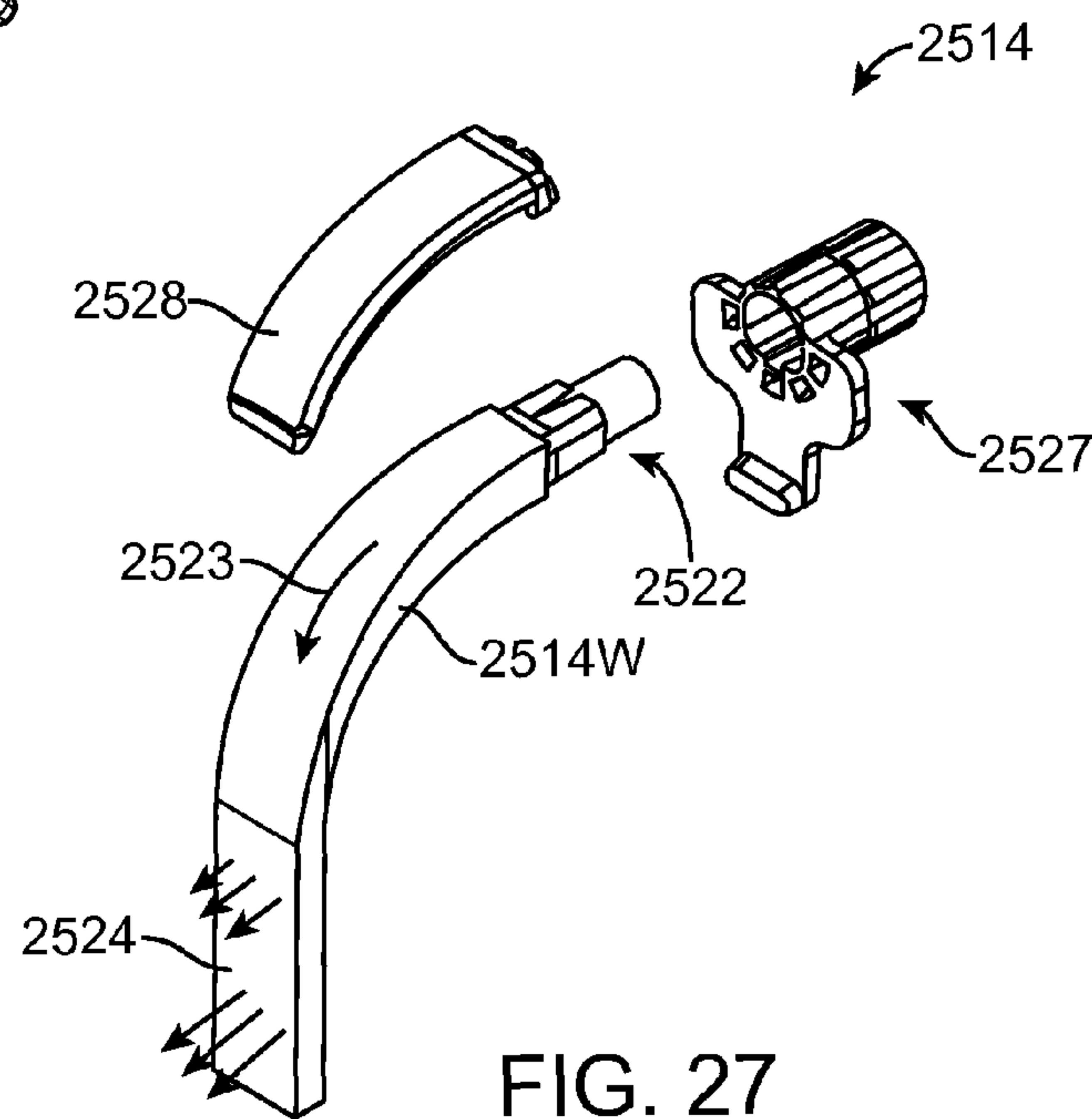
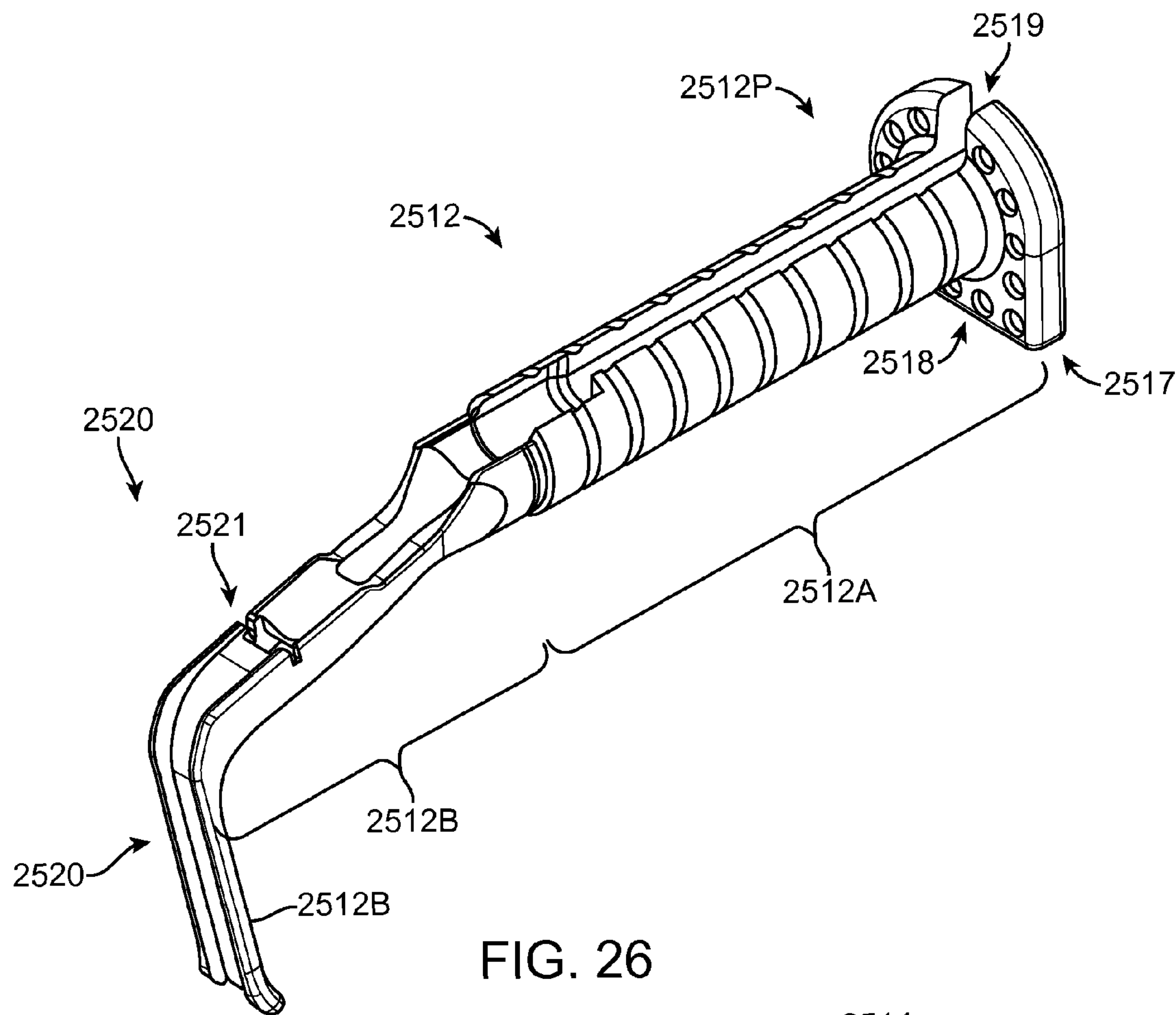


FIG. 25



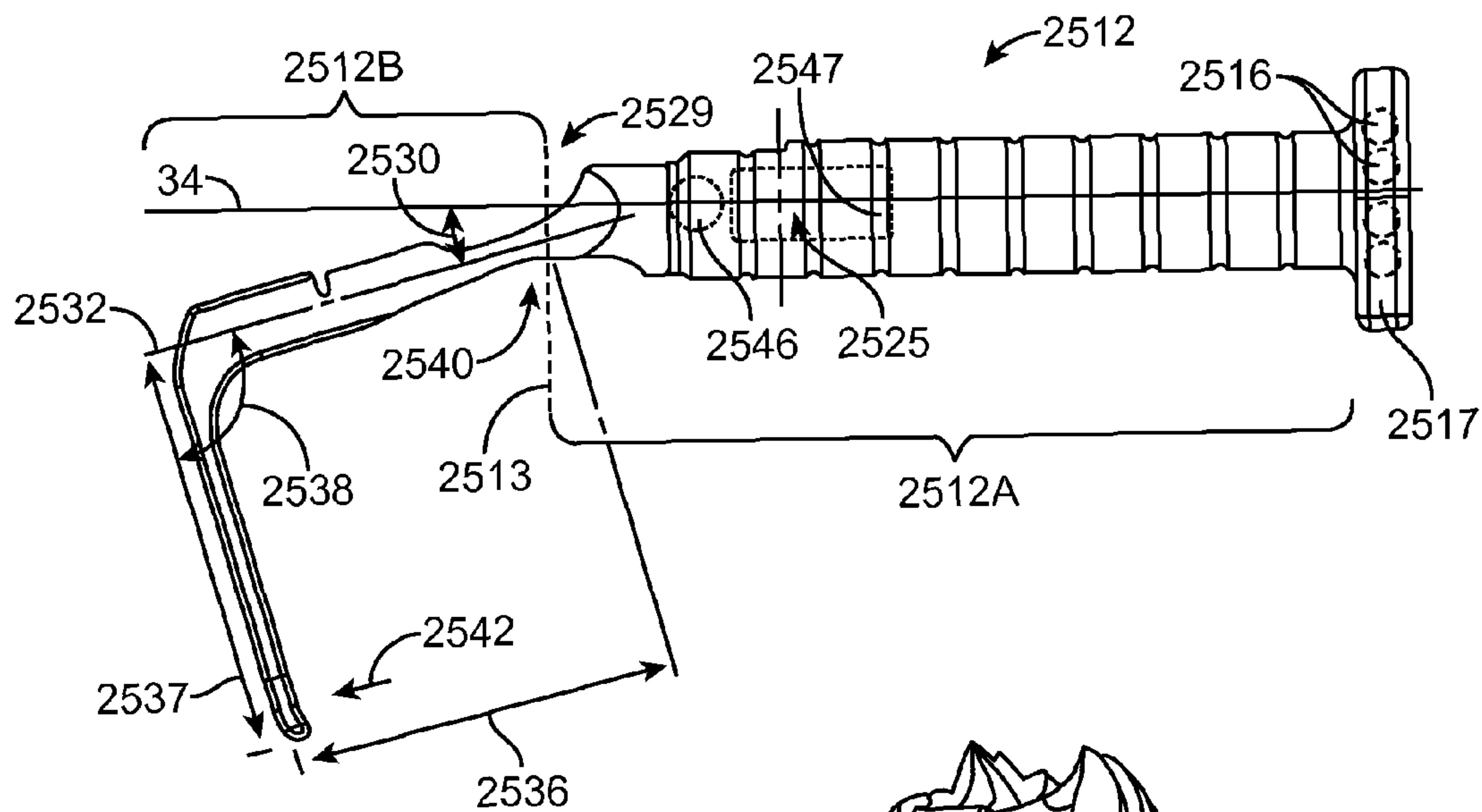


FIG. 28

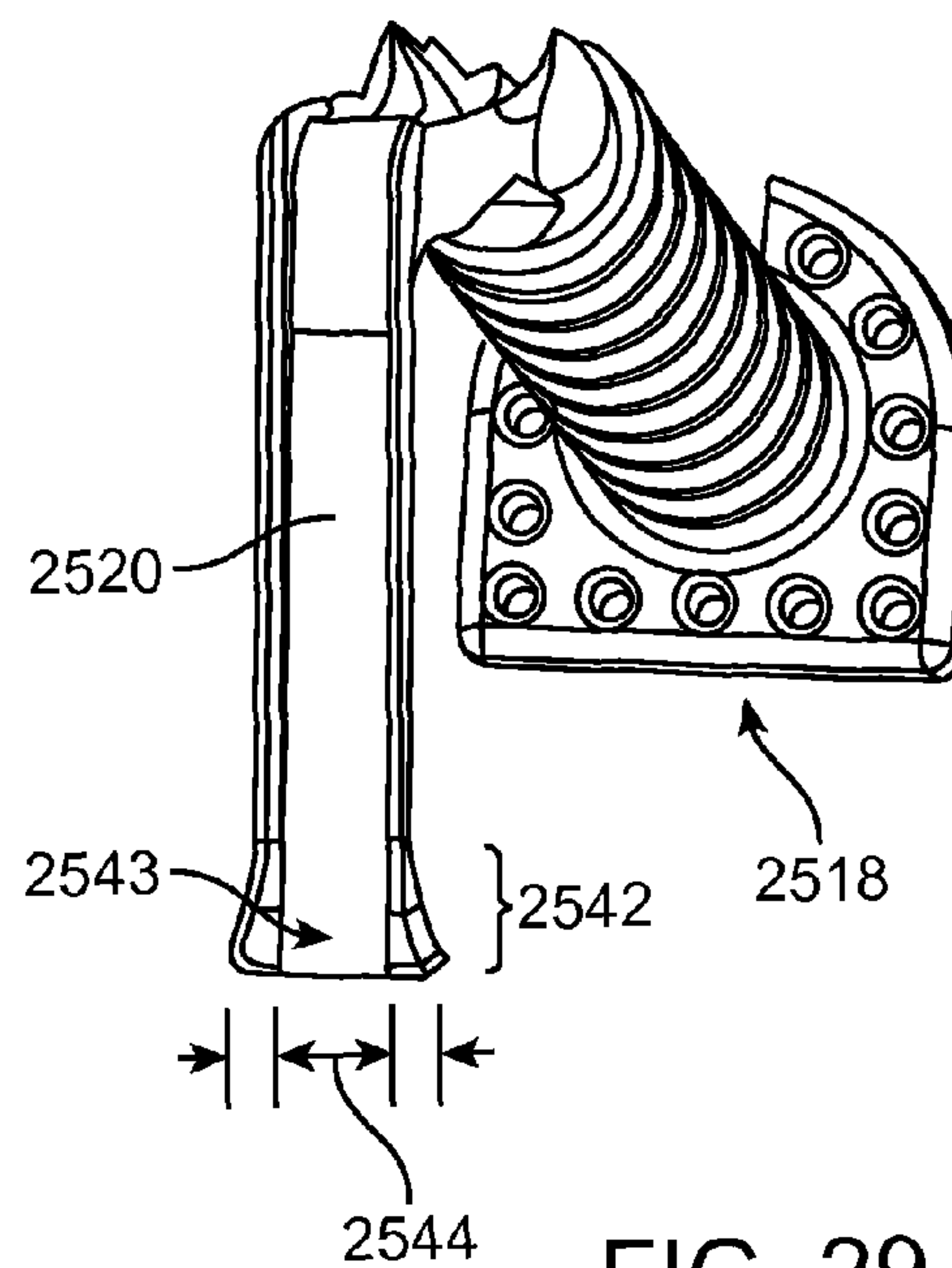


FIG. 29

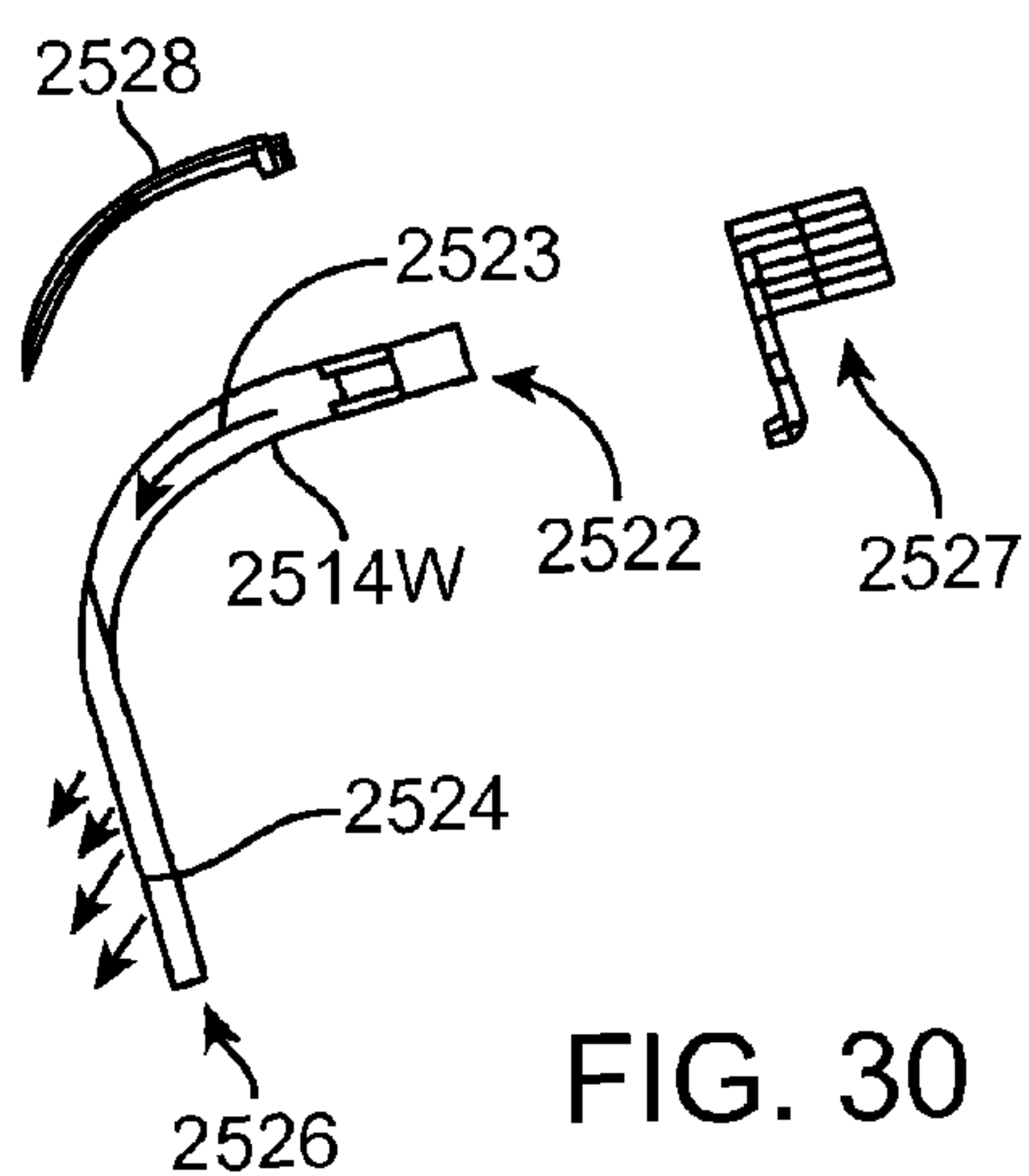
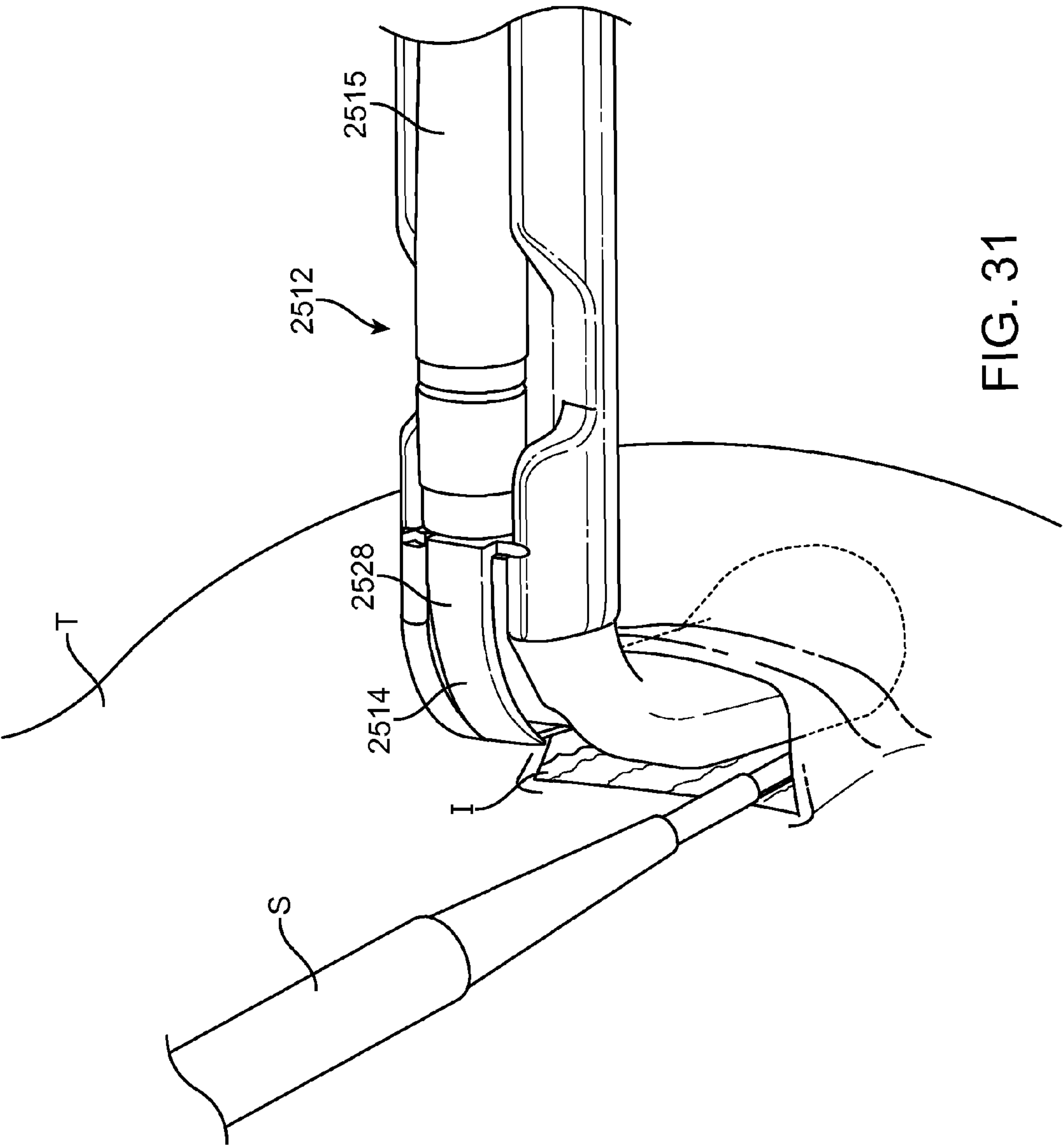


FIG. 30





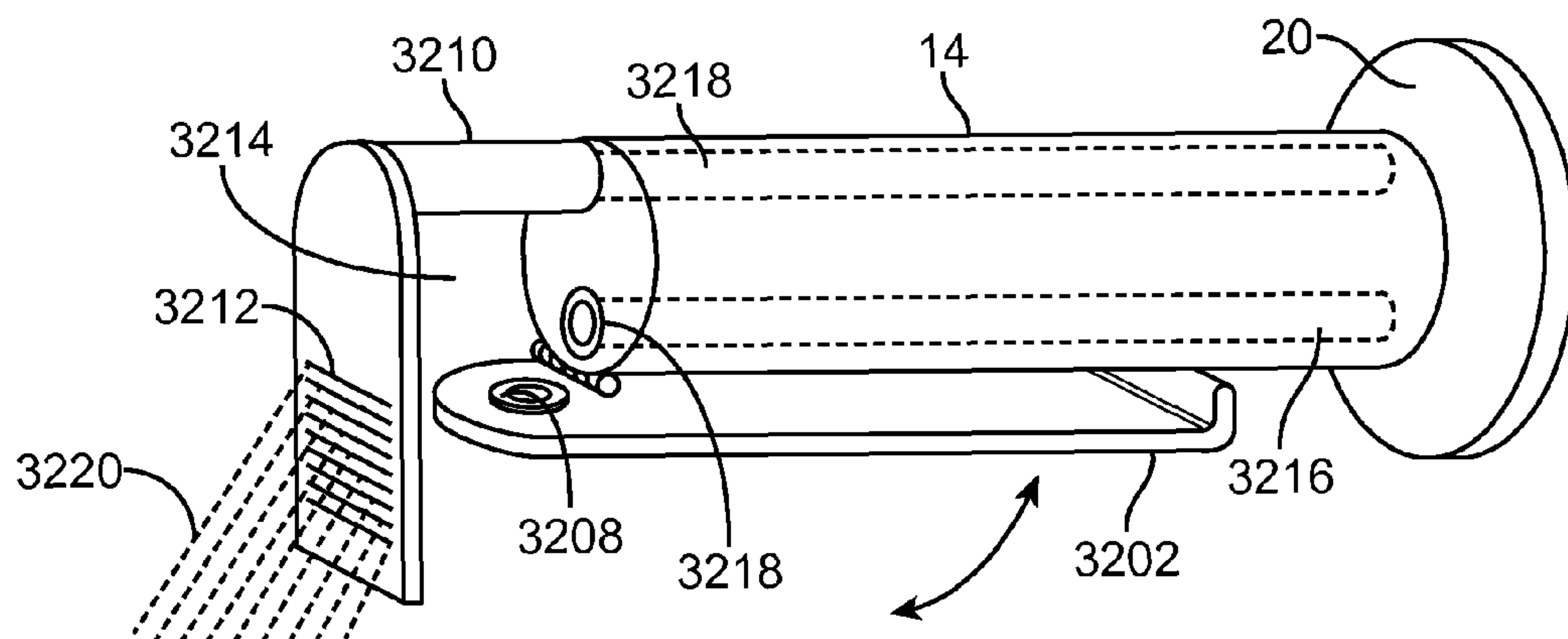


FIG. 32A

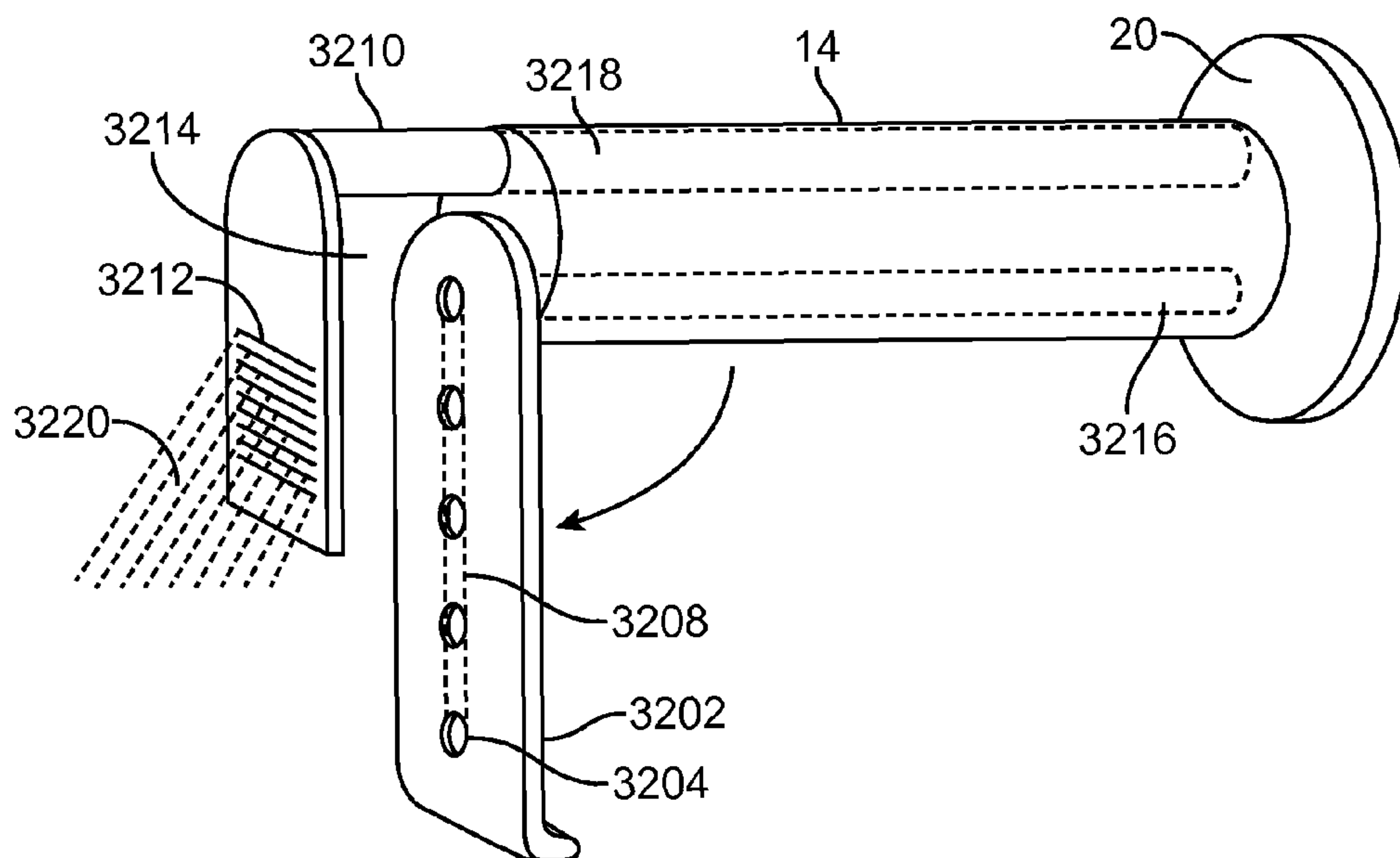


FIG. 32B

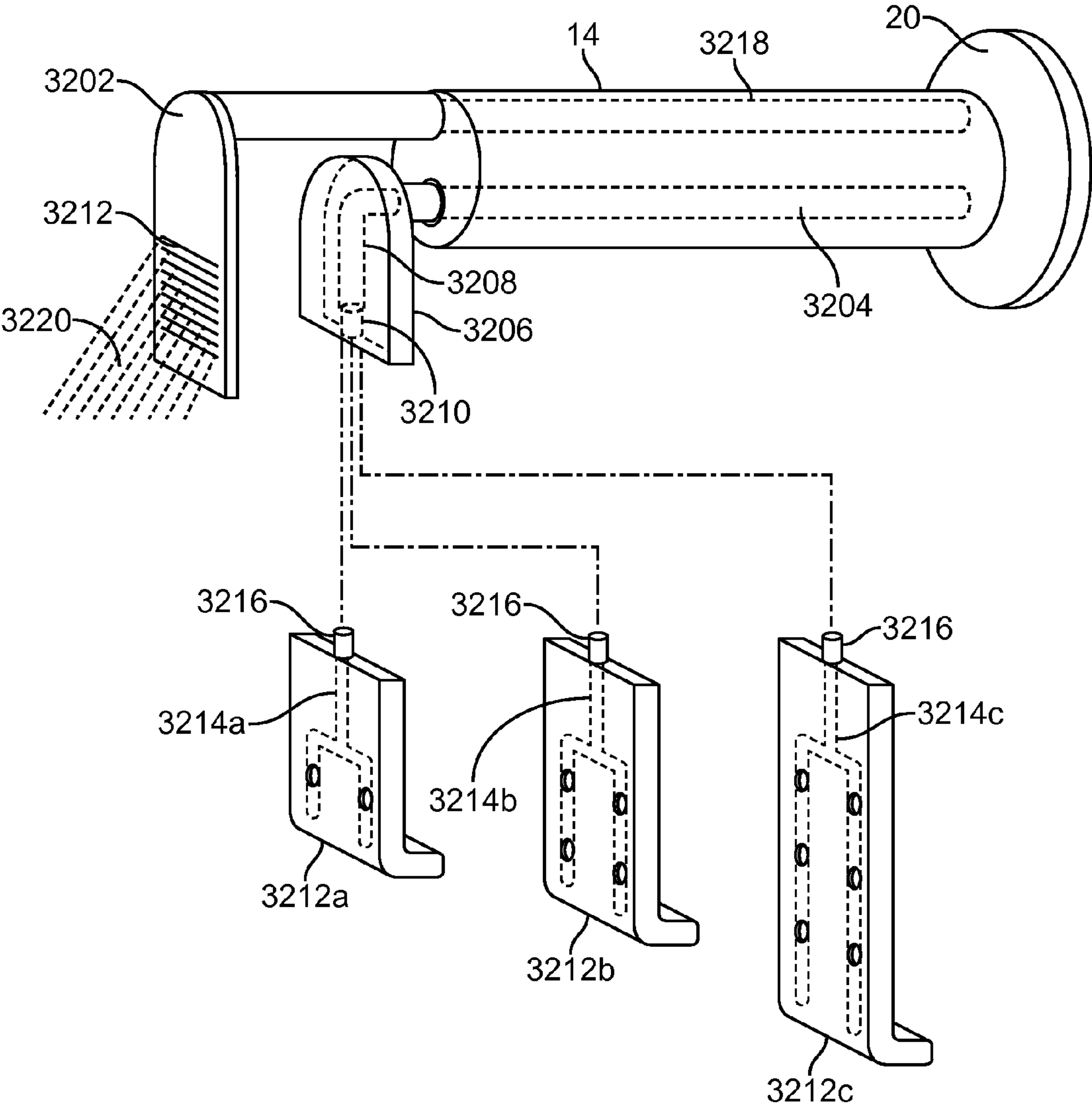


FIG. 33

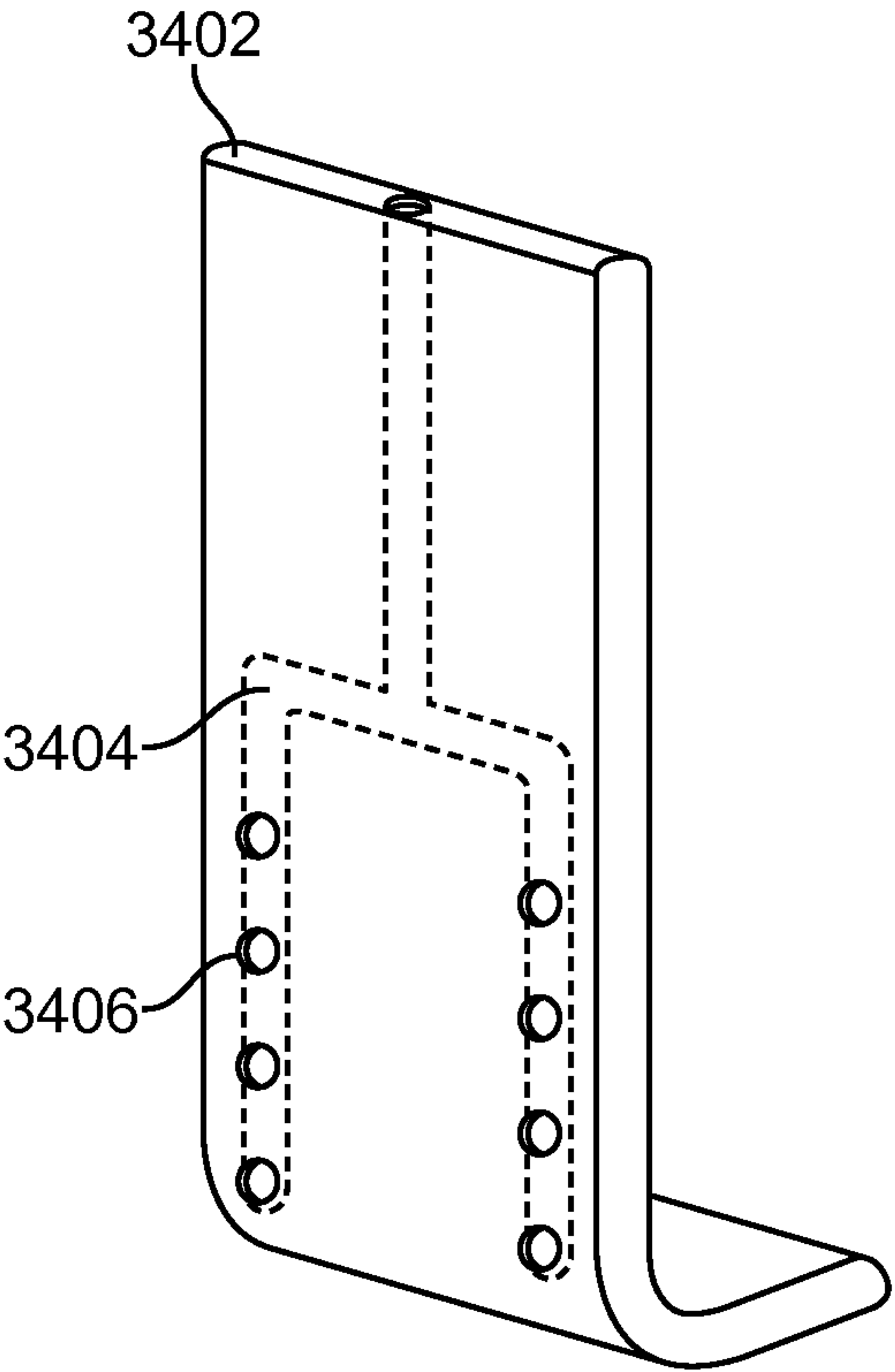


FIG. 34

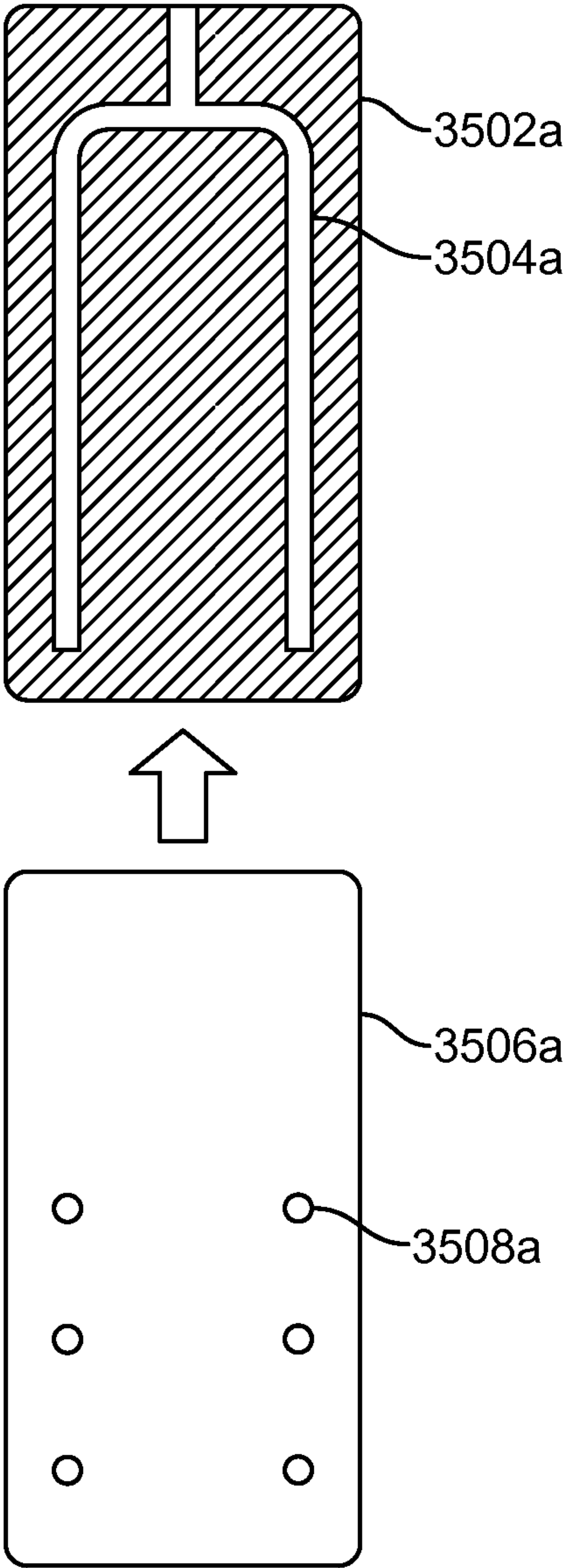


FIG. 35A

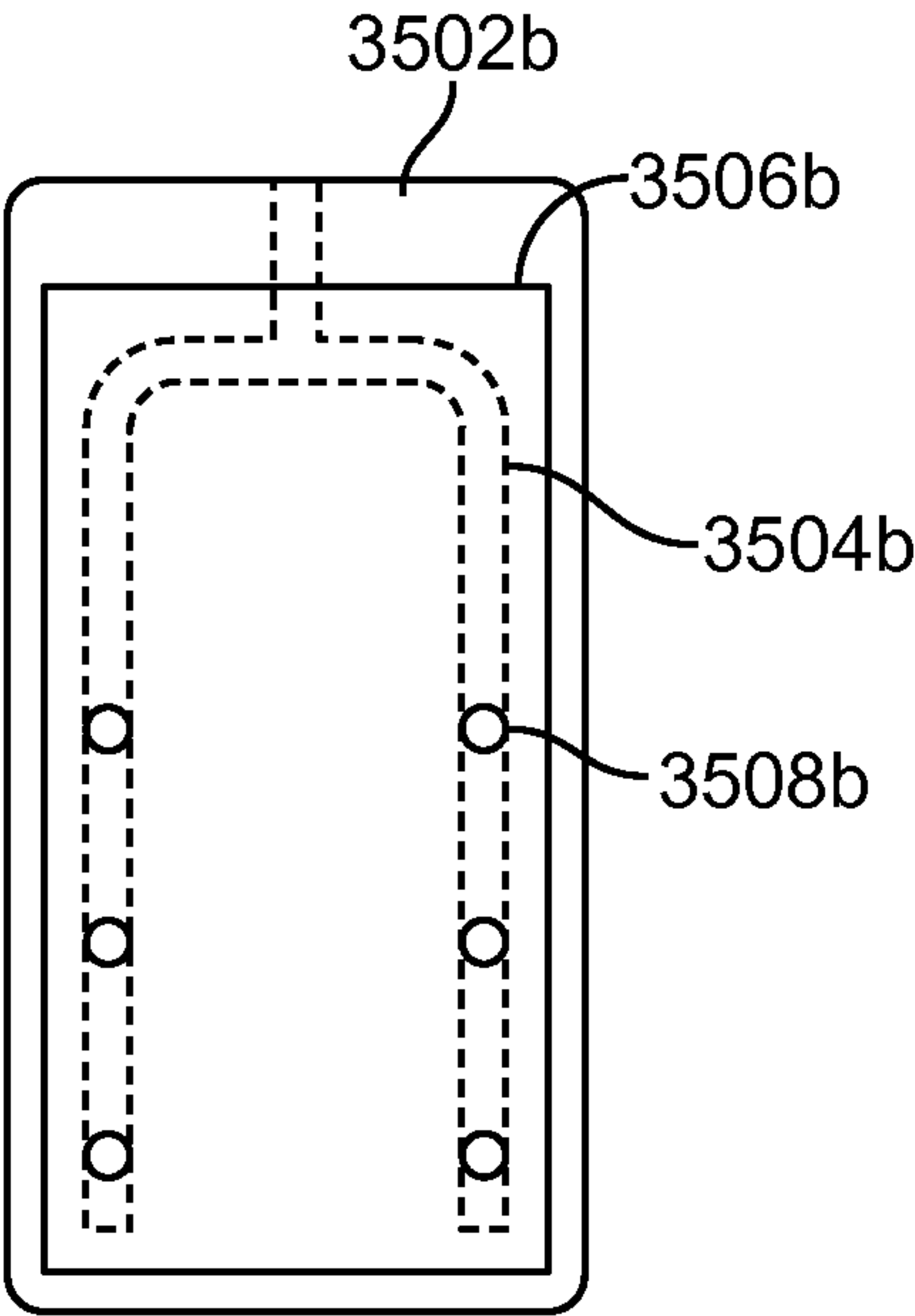


FIG. 35B

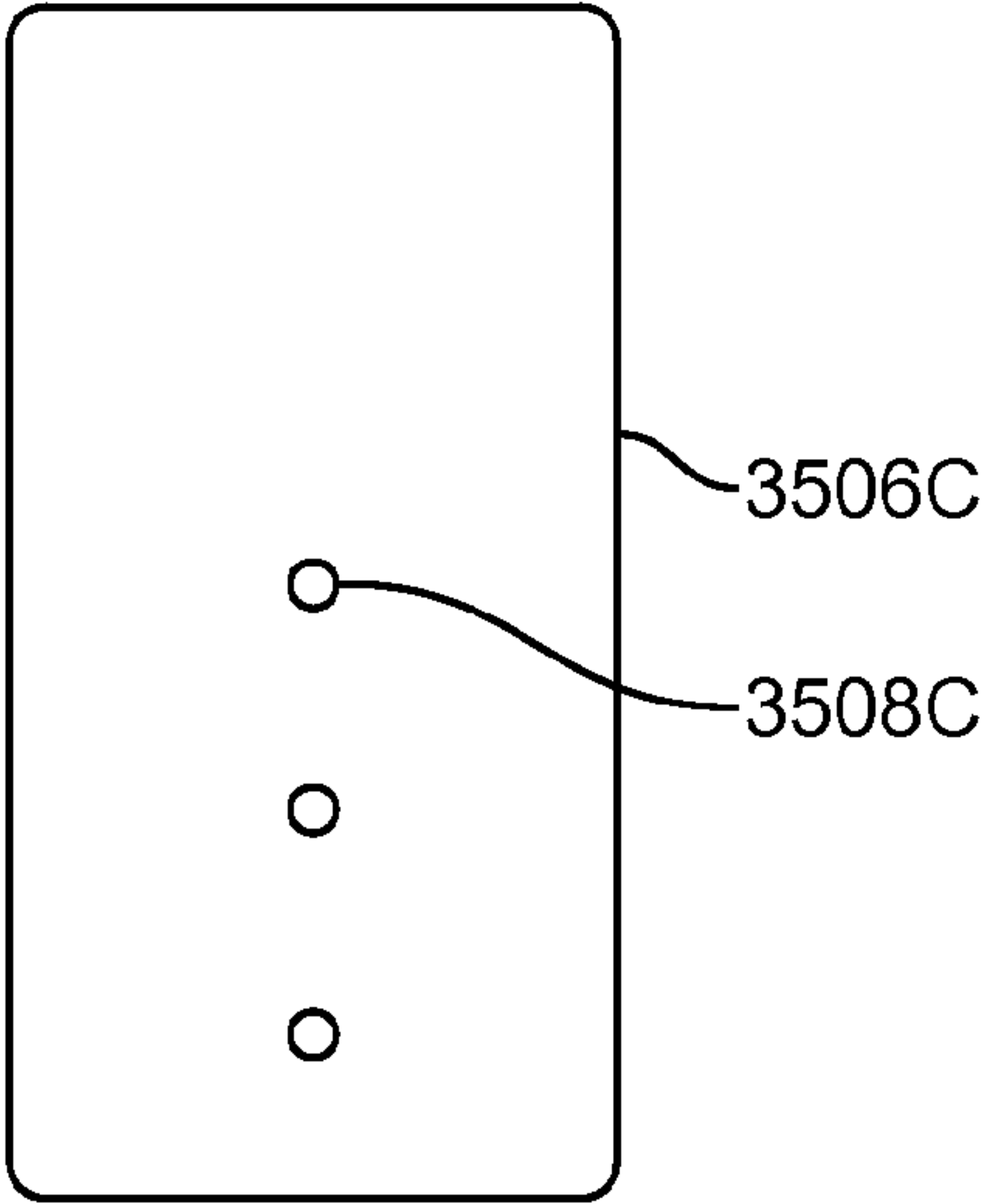
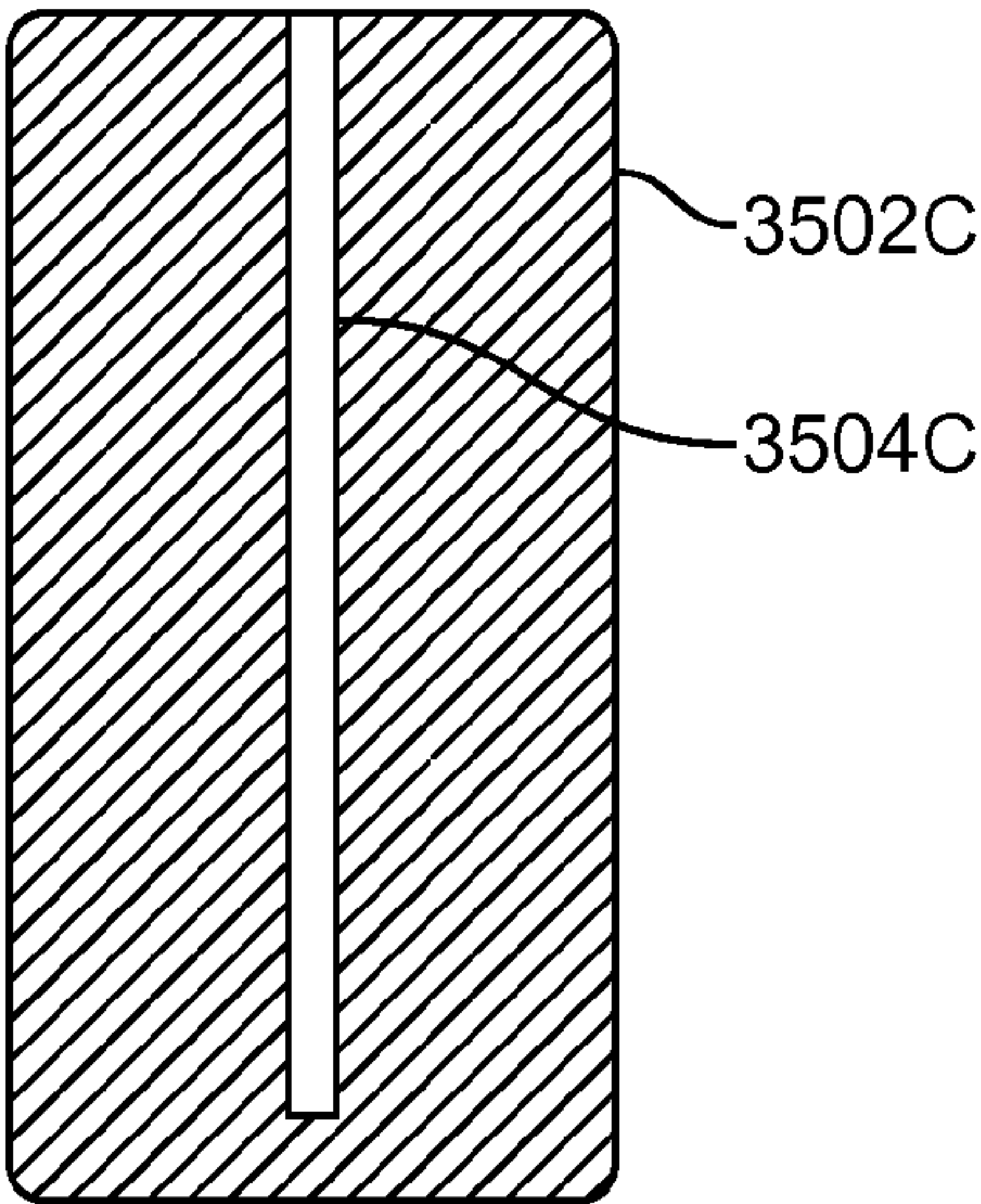


FIG. 35C

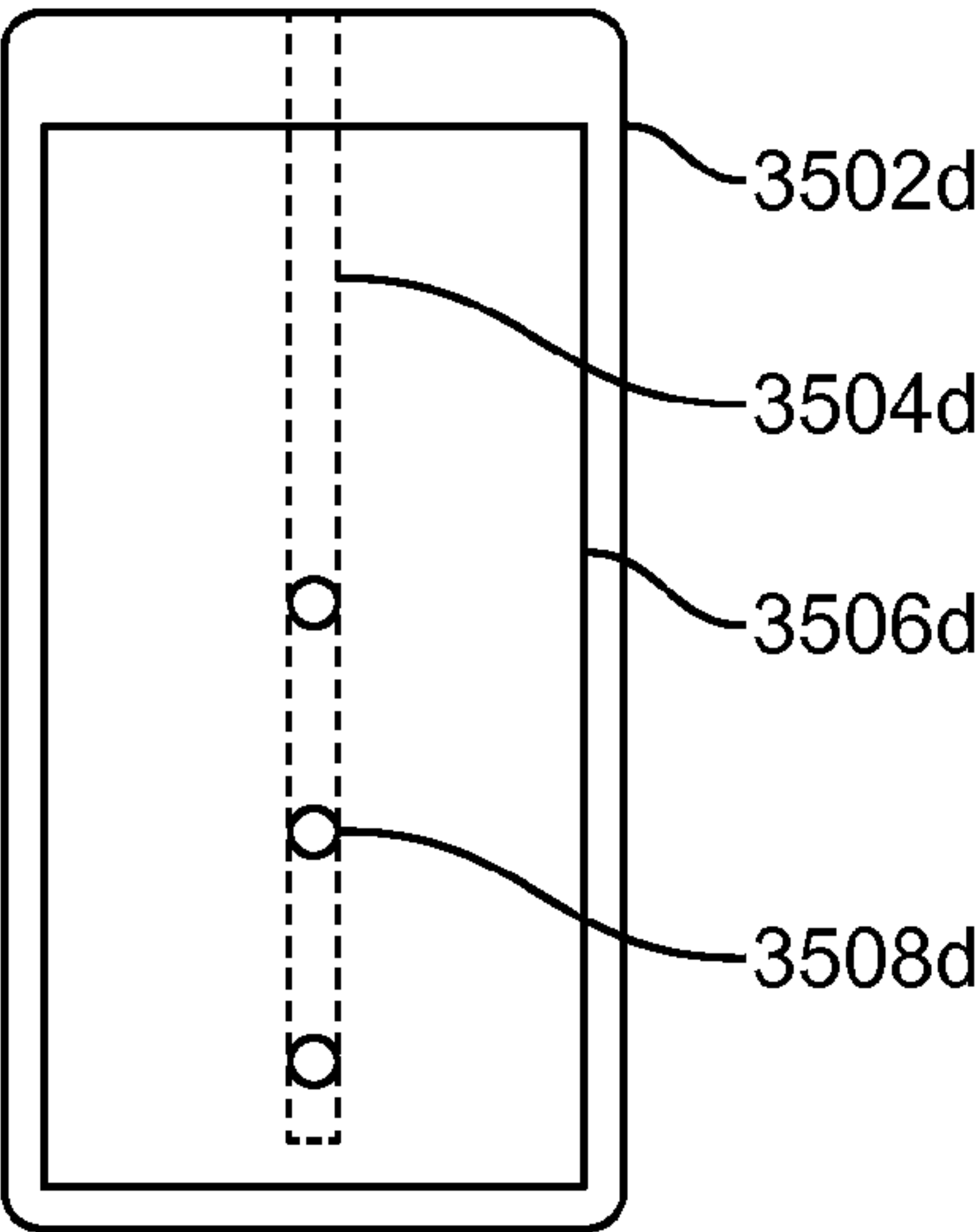


FIG. 35D



# ILLUMINATED AND MODULAR SOFT TISSUE RETRACTOR

## CROSS-REFERENCE

The present application is a continuation of U.S. patent application Ser. No. 14/500,605 now U.S. Pat. No. 9,173,648 filed on Sep. 29, 2014, which is a divisional of, and claims the benefit of U.S. patent application Ser. No. 13/624,622 now U.S. Pat. No. 8,876,709 filed on Sep. 21, 2012, which is a non-provisional of, and claims the benefit of U.S. Provisional Patent Application No. 61/538,675 filed on Sep. 23, 2011, the entire contents of which are incorporated herein by reference.

This application is related to U.S. patent application Ser. Nos. 11/654,874; 11/432,898; 11/818,090; 12/750,581; 11/805,682; 11/923,483; 12/191,164; 13/026,910; and 13/253,785; the entire contents of each of which is incorporated herein by reference.

## BACKGROUND OF THE INVENTION

Illumination of body cavities for diagnosis and or therapy is typically provided by overhead lighting or by headlamps. These forms of illumination can be challenging to use under certain situations. For example, overhead lighting must constantly be adjusted as the physician's position changes relative to the patient, as well as to illuminate different parts of the surgical field. Also, overhead lighting devices may require sterile handles to be attached to the lights in order for the physician to make adjustments without breaching the sterile field. Even then, the light provided by the overhead lamp may not illuminate the work space adequately. Head lamps can be heavy and uncomfortable to use, may require an assistant to help a physician put the headlamp on, and they often generate considerable amounts of heat during use which further limits comfort and can cause burns if an operator accidentally mishandles the head lamp. Head lamps also require the physician to constantly adjust head position in order to illuminate the work space, and this can be uncomfortable to the physician.

In an attempt to address some of these issues, surgical instruments such as retractors have been coupled with light pipes such as fiber optics to conduct light from a light source such as a halogen light or a LED light source in order to illuminate a surgical field. For example, some conventional illuminated soft tissue retractors utilize a fiber optic light bundle attached to a retractor handle. The fiber optic bundle provides a very focused light with a significant amount of heat. The fiber optics tube is also typically in the line of sight of the user, thereby obstructing a surgeon's view in use. Also, the fiber optic bundle only provides a narrow spot of light and must be constantly adjusted to illuminate the surgical field and minimize glare or shadows. Additionally, the fiber optic bundle requires precision manufacturing and polishing, and the fibers are fragile and can be easily scratched, occluded by blood or other debris, or otherwise damaged in use. Thus fiber optic bundles can also be challenging to use in illuminated surgical systems.

Other materials may be used as waveguides that overcome some of the challenges associated with fiber optic bundles. Exemplary materials such as acrylic or polycarbonate have also been used as waveguides, but these materials have unstable light transmission characteristics under extended use, and the transmission characteristics may change after sterilization using conventional techniques. For example, many polymers cross-link and turn yellow or

become brittle after terminal sterilization with radiation. Heat from autoclaving or ethylene oxide sterilization can deform the waveguide. Additionally, precision optical polymers have limited mechanical properties which can limit their use in medical and surgical procedures. For example, some polymers are brittle and can easily shatter during use, or are difficult to process during manufacturing (e.g. hard to injection mold).

In addition to some of the challenges with illumination of a surgical field, surgical instruments such as retractor blades do not always accommodate the anatomy being treated, and the handles are not always ergonomically shaped for operator comfort in various positions. Conventional retractors also can interfere with electrosurgical devices and result in unwanted electrical arcing. Also smoke or other fumes created during electrosurgery can be toxic, and/or unpleasant and distracting for a physician. Current smoke evacuation devices can be cumbersome and obstruct visualization of the surgical process.

Therefore, it would be desirable to provide improved illuminated medical devices that provide better illumination of a work space and that reduce or eliminate some of the weight and heat constraints of traditional headlamps and overhead lighting. Such devices avoid interfering with electrosurgical devices and can evacuate smoke or noxious fumes generated by the electrosurgical device while maintaining a very low profile so as not to obscure visualization of the surgical procedure. Such devices preferably provide superior lighting to allow visualization of the surgical field, including adjacent tissues such as nerves or blood vessels. Additionally, it would also be desirable to provide improved illuminated medical instruments that are easy to manufacture (e.g. do not require optical polishing, can be injection molded), sterilizable, and have desired mechanical properties in service. It would also be desirable to provide illuminated medical devices that are ergonomically designed for operator comfort, and that can easily be adjusted or changed out with other attachments that accommodate various anatomies and operator positions. Such devices preferably include interchangeable handles and attachments such as retractor blades that can accommodate various waveguide illuminators. It would also be desirable to interchange handles and retractor blades with an easily actuated release mechanism that facilitates reliable interchangeability with minimal operator effort in slippery conditions which are typically encountered in surgery. Such instruments also have low profiles so the instrument can fit through small incisions or be positioned in small surgical fields which reduce scarring, improve healing time, and reduce hospital stay. At least some of these objectives will be addressed by the embodiments disclosed herein.

## SUMMARY OF THE INVENTION

The present invention generally relates to medical devices and methods, and more particularly relates to illuminated medical devices and methods. These devices are preferably modular and can be interchanged with different handles and blades and may have other features such as the ability to evacuate smoke.

In a first embodiment, an illuminated and modular surgical retractor for illuminating a surgical field comprises a handle ergonomically designed to fit in a surgeon's hand, a retractor blade releasably coupled with the handle, a quick release mechanism coupled with the handle and retractor blade, and an illuminator blade releasably coupled with the handle and disposed adjacent the retractor blade. The retractor



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tor blade is adapted to engage tissue and retract the tissue in a retraction direction, and the quick release mechanism is adapted to couple the handle with the retractor blade. The illuminator blade has a light input portion, a light conducting portion, and a light output portion, and acts as a waveguide to transmit light from the light input portion through the light conducting portion to the light output portion by total internal reflection. Light is extracted from the light output portion to illuminate the surgical field. The retractor blade is releasable from the handle in a direction transverse to the retraction direction, and also without requiring uncoupling of the illuminator blade from the handle. The retractor blade is also releasable from the handle without requiring optical uncoupling of the illuminator blade from a light source. The light source may be an external light source such as a halogen light, or the light source may be an LED that may be coupled to or integrated into the handle. Rechargeable or disposable batteries may be disposed in the handle for energizing the light source. The light source may also be programmable to provide different lighting.

The handle may comprise a proximal end and a distal end, and the handle may further comprise a flared region adjacent the proximal end to facilitate handling by the surgeon. The handle may also comprise other ergonomic features such as scalloped regions adjacent the proximal end, a hub disposed adjacent the proximal end thereof that is releasably coupled to the handle, or a textured outer surface. The textured surface may comprise a plurality of finger grooves disposed circumferentially around the handle that are adapted to facilitate handling of the handle by a physician. The handle may comprise a substantially cylindrical body, and also may have a first channel extending between the proximal and distal ends thereof that are sized to receive a cable for optically coupling the light input portion of the illuminator blade with the light source. The handle may comprise a plurality of cable positioning apertures disposed adjacent the proximal end of the handle, and the apertures may be sized to slidably receive the cable for optically coupling the illuminator blade with the light source. The handle may also have a second channel that extends between the proximal and distal ends thereof, and that is sized to receive a suction tube that fluidly coupled the retractor blade with a source of vacuum. The cable positioning apertures may communicate with the first channel and dispose the cable laterally to a side of the handle. The retractor blade may also be pivotably coupled with the handle. The handle may also be modular such that different proximal, distal, or middle portions may be connected together to form a custom handle that ergonomically fits in an operator's hand, has the appropriate length or shape to fit the anatomy being treated, and has the appropriate mechanical and electrical elements for coupling with other retractor blades or illuminator blades.

The retractor blade may be formed from a metal such as stainless steel or aluminum, or it may be injection molded from a polymer or composite material. The blade may comprise a plurality of vacuum channels disposed therealong, and the handle may have a second channel extending between the proximal and distal ends thereof, sized to receive a suction tube for fluidly coupling the plurality of vacuum channels with a vacuum source. The retractor blade may comprise at least one vacuum channel disposed therein. The illuminator blade may be disposed in a channel in the retractor blade and may be sealingly engaged with the retractor blade to prevent vacuum leakage along seal. The retractor blade may comprise one or more channels therein for delivering a vacuum, and a cover may be disposed thereover in sealing engagement. The cover may be slidably

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engaged with the retractor blade or it may be fixedly coupled thereto. The retractor blade may have a constant cross-sectional geometry or it may change from proximal to distal ends. For example, the thickness may decrease distally, and the width may increase or decrease distally. The retractor blade may have a channel for receiving the illuminator blade and the channel depth may decrease until the channel disappears and becomes flush with the retractor blade surface on a distal portion of the retractor blade.

The illuminator blade may be an optical waveguide that transmits light therethrough via total internal reflection. The optical waveguide may be a non-fiber optic waveguide that may be injection molded and therefore is a single integral component fabricated from a single homogenous material such as polycarbonate, polymethyl methacrylate, cyclo olefin polymer or cyclo olefin copolymer.

The retractor blade may comprise a distal tip that is releasably coupled to a distal portion of the retractor blade and that is adapted to engage and grasp tissue during retraction. The retractor blade may comprise an extension blade that is releasably coupled to a distal portion of the retractor blade. The distal tip may comprise a textured surface and may be curved upwards in the retraction direction. The distal tip may have a covering disposed thereover, and the covering may have a textured surface adapted to engage and grasp tissue during retraction. The retractor blade may comprise an alignment feature disposed on the retractor blade or on the handle, and this alignment feature is adapted to linearly align the retractor blade with the handle during engagement therebetween. The alignment feature may comprise a rail disposed on the retractor blade or the handle. The retractor blade may have a proximal region and a distal region, and the proximal region may be disposed in a first plane substantially parallel with the handle, and the second region in a second plane transverse to the first plane. A portion of the retractor blade may be electrically insulated.

The quick release mechanism may comprise an engagement element disposed on either the handle or the retractor blade, and the mechanism may also have a receptacle on the other of the handle or the retractor blade. The receptacle may be sized to receive the engagement element. The engagement element may be slidably received in the receptacle or it may be rotatably engageable with the receptacle. The engagement element may comprise a T-shaped bar rotationally engageable with the receptacle, or it may comprise an enlarged head, and the receptacle may have a flanged portion sized to receive the enlarged head. The quick release mechanism may comprise an actuator mechanism for sliding or otherwise moving the engagement element between engaged and disengaged positions. The engaged or disengaged positions may comprise a switch or lever or other actuation mechanism that is retracted or advanced into position. In the advanced position the engagement element may be coupled with the receptacle. The engagement element may be biased to return to the retracted position. The quick release mechanism may comprise a detent on either the handle or the retractor blade, and the mechanism may also comprise a receptacle for receiving the detent on the other of the handle or retractor blade. The quick release mechanism may further comprise a locking mechanism for locking the quick release mechanism to prevent disengagement of the retractor blade from the handle. The locking mechanism may have a rotatable cam having a first position and a second position. In the first position the rotatable cam prevents actuation of the quick release mechanism thereby preventing disengagement of the retractor blade from the



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handle, and in the second position, the rotatable cam allows actuation of the quick release mechanism thereby permitting disengagement of the retractor blade from the handle. The quick release mechanism may comprise a rotatable lever disposed on either the handle or the retractor blade, and the rotatable lever may have a first position and a second position. In the first position the lever prevents slidable movement between the retractor blade and the handle, and in the second position the lever permits slidable movement between the retractor blade and the handle. The surgical retractor may further comprise a suction tube that is fluidly coupled with the retractor blade, and the quick release mechanism may comprise an aperture disposed in the retractor blade for receiving the suction tube.

The retractor blade may comprise a channel extending from a proximal end thereof toward a distal end thereof, and the illuminator blade may be disposed in the channel. The illuminator blade may have active zones and dead zones. Light passes through the active zones by total internal reflection, and substantially no light passes through the dead zones by total internal reflection. The illuminator blade may have engagement elements in the dead zones that allow the illuminator blade to be disposed against the retractor blade while maintaining an air gap between active zones of the illuminator blade and the retractor blade. The light input portion of the blade illuminator may also comprise active zones and dead zones. Light passes through the active zones by total internal reflection, and substantially no light passes through the dead zones by total internal reflection. The light input portion may comprise a cylindrical proximal portion adapted to be coupled with a light source, and a rectangular distal portion optically coupled with the light conducting portion. A shield having a collar may be disposed over the cylindrical proximal portion such that an air gap is maintained therebetween. The shield may be disposed over the light conducting portion. The shield preferably protects the blade illuminator from damage caused by other surgical instruments in the surgical field and also preferably shields a physician from glare emitted from the blade illuminator. The shield may comprise a tab that is adapted to releasably couple the blade illuminator with the handle. The light output portion may comprise a plurality of surface features for extracting light from the blade illuminator and for directing the extracted light laterally and/or distally toward the surgical field. Some of the surface features may comprise parallel prism shapes with a primary facet and a secondary facet. The light input portion may comprise a generally cylindrical input zone transitioning to a generally rectangular neck. The blade illuminator may have a width and a thickness, and the width may be generally greater than the thickness. The light input portion may be disposed in a plane substantially parallel with the handle, and the light output portion may be in a plane transverse thereto. The surgical retractor may further comprise a light input cable optically and releasably coupled with the light input portion of the blade illuminator. The light input cable optically couples the blade illuminator with the light source.

The surgical retractor may further comprise a vacuum channel for extracting smoke from the surgical field. The vacuum channel may comprise a plurality of channels disposed in the retractor blade that are also fluidly coupled with a vacuum source. A first cover or vane which may be integrated into the waveguide may be disposed over the channels thereby forming a plenum therebetween for extracting the smoke while maintaining the minimal profile. A second cover or vane may be disposed over the channels. The first cover may be disposed end-to-end with the second

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cover, or it may be disposed on top of the second cover, or the two covers may have a joint connecting them together. The first cover or the second cover may be linearly slidable relative to the channels thereby adjusting vacuum strength. The first cover may comprise a plurality of apertures extending therethrough, and the second cover may be slidably disposed over the first cover such that vacuum strength may be adjusted by sliding the second cover relative to the first cover to adjust exposure of the apertures. The retractor blade may comprise an elongate channel, and the first cover and the second cover may be disposed in the channel. The first and second covers may be slidably disposed in a slot in the retractor blade. A vacuum hose may be disposed in the handle and it may be coupled with the retractor blade so that the vacuum hose fluidly couples the plurality of channels with the vacuum source. The vacuum hose may need to be uncoupled from the retractor blade prior to disengagement of the retractor blade from the handle. The surgical retractor may also have a pivot mechanism coupled with the handle. The pivot mechanism allows adjustment of an angle between the retractor blade and the handle.

In another aspect of the present invention, a surgical method for retracting soft tissue comprises providing a handle with an illuminator blade coupled thereto, selecting a retractor blade from a plurality of retractor blades, and releasably coupling the retractor blade with the handle. The method also includes the steps of positioning the retractor blade in a surgical field, illuminating the surgical field with light extracted from a light output portion of the illuminator blade, wherein light is transmitted from a proximal end of the illuminator blade to the light output portion by total internal reflection, retracting the soft tissue with the retractor blade in a retraction direction, and releasing the retractor blade from the handle in a direction transverse to the retraction direction. The retractor blade is released from the handle without requiring uncoupling of the illuminator blade from the handle, and the retractor blade is released from the handle without requiring optical decoupling of the illuminator blade from a light source.

Releasing the retractor blade may comprise actuating an actuator mechanism, releasing a detent mechanism, rotating a lever, or disengaging the retractor blade in a direction toward a distal end of the retractor blade. The cable may optically couple the light source with the blade illuminator, and releasing the retractor blade from the handle may not require decoupling of the cable from the blade illuminator. The retractor blade may also be released from the handle by rotating the retractor blade relative to the handle. A suction tube may be uncoupled from the retractor blade and retracted through the handle in order to release the retractor blade from the handle. Coupling the retractor blade with the handle may comprise sliding an enlarged head into a receptacle, and the enlarged head may be disposed on the retractor blade or the handle with the receptacle being disposed on the other of the retractor blade or the handle. The method may further comprise locking the retractor blade with the handle after coupling therebetween, or unlocking the retractor blade from the handle prior to release therebetween.

The method may also include releasably coupling a hub with a proximal portion of the handle. Other aspects of the method may include pivoting the retractor blade relative to the handle, and extracting smoke from the surgical field. The smoke may be evacuated from the surgical field by applying suction through a plurality of channels in the retractor blade. Suction strength may be adjusted by moving a plate over the plurality of channels to control exposure thereof. The method may also comprise coupling or decoupling a distal



retractor tip with the retractor blade. A cover may be applied to a distal portion of the retractor blade and the cover may have surface features that are adapted to grasp tissue. The cable may be positioned laterally to one side of the handle by positioning the cable in one of a plurality of cable positioning apertures disposed adjacent a proximal end of the handle.

These and other aspects and advantages of the invention are evident in the description which follows and in the accompanying drawings.

#### INCORPORATION BY REFERENCE

All publications, patents, and patent applications mentioned in this specification are herein incorporated by reference to the same extent as if each individual publication, patent, or patent application was specifically and individually indicated to be incorporated by reference.

#### BRIEF DESCRIPTION OF THE DRAWINGS

The novel features of the invention are set forth with particularity in the appended claims. A better understanding of the features and advantages of the present invention will be obtained by reference to the following detailed description that sets forth illustrative embodiments, in which the principles of the invention are utilized, and the accompanying drawings of which:

FIG. 1 illustrates a perspective view of a soft tissue retractor.

FIG. 2 illustrates a perspective view of a handle.

FIG. 3 illustrates a cross-section of a handle.

FIGS. 4A-4B illustrate alternative cross-sections of a handle.

FIG. 5 illustrates a perspective view of the proximal end of a handle.

FIGS. 6A-6C illustrate adjustment of the retractor blade relative to the handle.

FIG. 7A is a perspective view of a retractor blade that allows adjustment of toe-in and toe-out.

FIG. 7B is a side view of the retractor blade in FIG. 7A.

FIG. 7C illustrates the retractor of FIG. 7A coupled to a handle, and with an illumination blade device.

FIG. 8A illustrates a side view of a surgical retractor.

FIG. 8B illustrates an end view of the surgical retractor in FIG. 8A.

FIG. 9 illustrates a perspective view of a retractor blade.

FIGS. 10A-10B illustrate a cover disposed over the distal tip of the retractor blade.

FIG. 10C illustrates teeth on the distal tip of the retractor blade.

FIGS. 11A-11E illustrate coupling of an illuminator blade with the retractor blade and handle.

FIGS. 11F-11G illustrate various sizes of illuminator blades.

FIG. 12A is a perspective view of an illuminated retractor blade.

FIG. 12B is an exploded view of the input collar and the illumination blade input of the illuminated retractor blade in FIG. 12A.

FIG. 12C is a cross-sectional view of the illuminator blade and retractor blade of FIG. 12A.

FIG. 12D is a side view of the illuminator blade in FIG. 12A.

FIG. 12E is a front view of the illuminator blade in FIG. 12A.

FIGS. 13A-13J illustrate a retractor blade having channels for smoke evacuation.

FIGS. 13K-13M illustrate alternative embodiments of vanes used to control suction.

FIGS. 14A-14B illustrate evacuation of smoke using a retractor with channels.

FIGS. 15A-15B illustrate an exemplary embodiment of an engagement mechanism for coupling a retractor blade with a handle.

FIGS. 16A-16D illustrate another exemplary embodiment of an engagement mechanism for coupling a retractor blade with a handle.

FIGS. 17A-17B illustrate a locking mechanism.

FIGS. 18A-18F illustrate another exemplary embodiment of an engagement mechanism for coupling a retractor blade with a handle.

FIGS. 19A-19B illustrate an alignment feature for facilitating engagement of a retractor blade with a handle.

FIG. 20 illustrates still another exemplary embodiment of an engagement mechanism for coupling a retractor blade with a handle.

FIGS. 21A-21C illustrate coupling of a retractor blade with a handle using the engagement mechanism in FIG. 20.

FIGS. 22A-22B illustrate another exemplary method of coupling a retractor blade and handle together using the engagement mechanism of FIG. 20.

FIGS. 23A-23E illustrate disengagement and re-engagement of a retractor blade with a handle using the mechanism of FIG. 20.

FIGS. 24A-24E illustrate exemplary use of an illuminated surgical retractor to retract tissue, illuminate the surgical field, and evacuate smoke.

FIG. 25 is a perspective view of another illuminated soft tissue retractor.

FIG. 26 is a perspective view of the illuminated soft tissue retractor seen in FIG. 25.

FIG. 27 is an exploded perspective view of the illuminated soft tissue retractor of FIG. 25.

FIG. 28 is a side view of the illuminated soft tissue retractor of FIG. 25.

FIG. 29 is an end view of the illuminated soft tissue retractor of FIG. 25.

FIG. 30 is an exploded side view of the illumination waveguide assembly of FIG. 27.

FIG. 31 illustrates use of the retractor in FIG. 25 to retract tissue.

FIGS. 32A-32B illustrate an alternative embodiment of an illuminated retractor with releasable blade.

FIG. 33 illustrates another exemplary embodiment of an illuminated retractor with releasable blade.

FIG. 34 illustrates an exemplary embodiment of a suction channel in a retractor blade.

FIGS. 35A-35D illustrate still other exemplary embodiments of a suction channel in a retractor blade.

#### DETAILED DESCRIPTION OF THE INVENTION

##### Modular Soft Tissue Retractor

FIG. 1 illustrates a perspective view of a soft tissue retractor 10. The retractor 10 includes a handle 14 and a retractor blade 12 releasably coupled to the handle 14. The retractor may be used to retract any tissue, but is preferably used to retract tissue during breast surgery or thyroid surgery. Various retractor blades 12 may be coupled with the handle 14 in order to accommodate variety of situations including different tissues, anatomies, and physician posi-



tion. The soft tissue retractor may also include lighting elements for illuminating the surgical field, a suction mechanism for evacuating smoke or other noxious fumes, as well as any of the other features discussed herein. Any of the components of the soft tissue retractor (e.g. retractor blade, handle, blade illumination device, etc.) may be single-use disposable, or they may be easily cleaned and re-sterilized for multiple use.

#### Handle

The handle **14** may be a single piece of unitary construction or it may have several modular sections that are fixedly joined together using techniques known to those of skill in the art such as by welding, using fasteners like screws, adhesively bonding, press-fitting, etc. In other embodiments, the handle includes modular sections which the physician or operator selects based on preference, and then the modular sections are releasably coupled together. For example, FIG. **2** illustrates an exemplary embodiment of a modular handle having a proximal hub section **18** and a distal section **28**. Various hub and distal section geometries may be provided. In an exemplary embodiment, the proximal hub section **18** preferably includes a generally cylindrical body **30** sized to comfortably fit in an operator's hand, and having a flared proximal end **20** so that the handle may be firmly grasped when retracting in a proximal direction, thereby helping to prevent the handle from slipping out of the operator's hand. Other handle shapes are also contemplated including oval cross-sections, or flat surfaces. Scallops **22** on the proximal portion of the hub section **18** further help an operator grasp the handle. Finger grooves **16** may be disposed on an outer surface of either or both of the proximal hub section **18** and the distal section **28**. In preferred embodiments, the finger grooves **16** are grooves circumferentially disposed around the handle. The handle may also have thumb grips. The handle may also have a central channel **24** extending either partially between the proximal and distal ends of the handle, or entirely therebetween. The central channel allows cables or other tubing to run through the central channel in order to prevent the cables or tubing from becoming damaged, tangled or otherwise interfering with the surgical procedure being performed. The central channel **24** may open up into an open channel **26** near the distal end of the handle to allow coupling with an illumination blade device as will be described below. The distal section **28** may also have a cylindrical body that is sized to fit in an operator's hand. In alternative embodiments, the hub section **18** and distal section **28** are manufactured separately and then fixedly coupled together. The handle may be fabricated out of metals such as stainless steel, cast, or it may be injection molded with a polymer. Some handles may be composite materials or may include ceramics. Handles may be re-sterilizable using ethylene oxide, gamma or e-beam irradiation, plasma, or autoclave sterilization. The handles may also be for single-use and disposable afterwards.

FIG. **3** illustrates a cross-section of handle **14** highlighting the central channel **24** extending therethrough. FIG. **4A** illustrates a cross-section of an alternative embodiment of handle **14** having central channel **24** as well as a second channel **42** in a wall of the handle and extending through the handle from proximal to distal ends of the handle. The second channel **42** be used for other cables, tubes, wires, etc. that may be required in the surgical retractor. In preferred embodiments, the second channel **42** is used to hold a vacuum tube (also referred to herein as a suction tube or vacuum line) that can be coupled with the retractor blade to provide suction for evacuation of noxious fumes or smoke, especially during electrosurgery. One of skill in the art will

appreciate that any of the handle features disclosed herein may be used in combination with one another. A fiber optic cable may also be disposed in the second channel in order to deliver light from a light source to the illumination blade device. Multiple channels in the wall are also contemplated for suction tubes, fiber optics, electrical wires, or any other cables that may be used such as in FIG. **4B** where a fiber optic cable is disposed in channel **42a** and a suction line is disposed in channel **42**, both channels in a sidewall of the handle **14**.

FIG. **5** illustrates the proximal end of exemplary handle **14**. The proximal end includes a plurality of apertures **52** through which cables such as a light input cable **56** may be passed. Light input cable **56** includes a standard optical fitting **60** such as an ACMI coupling for connecting the cable with a light source. The apertures **52** are sized to accommodate various cables, and allow the cables to be press fit and held in position. This laterally displaces the cables to one side of the handle, thereby assisting with cable management and keeping the cables out of the way. The apertures **52** preferably are angled inward and communicate with the central channel **24**, thus the cable can be slid into the central channel **24** to the distal end of the handle where it may be optically coupled to an illumination blade device. Similarly, smaller apertures **54** may also be disposed on the proximal end of the handle **14** in order to accommodate other tubing or cables, such as a suction tube **62**. A connector **58** such as a Luer connector allows the suction tubing to be fluidly coupled with a vacuum source for smoke or fume evacuation. The suction tube may be advanced into the aperture **54** until the connector **58** is press fit into the aperture, thereby holding the tubing in position. The smaller apertures **54** may also angle inward and merge with the central channel **24**, or they may remain a separate channel all the way through the handle, such as channel **42** seen in FIG. **4**.

Additionally, the handle may be coupled with a strong arm or other rigid coupling that can hold the retractor in a desired position thereby freeing the surgeon's or assistant's hands. The strong arm may be attached to the operating table, a wall in the operating room, or may be on a separate cart or table. Typically the strong arm is also adjustable in order to hold the retractor in various positions. Weight may also be attached to the handle in order to hold the retractor in a desired position.

#### Retractor Blade Adjustment

FIG. **6A** illustrates a typical retractor blade **12** coupled to a handle **14**. The blade **12** forms an angle  $\theta$  relative to the handle. In FIG. **6A**, the retractor blade is substantially perpendicular relative to handle **14**, thus  $\theta=90^\circ$ . However, in certain circumstances, it is advantageous to adjust  $\theta$  to a different angle. Thus, any of the retractors disclosed herein may have an adjustment mechanism that allows angle  $\theta$  to be adjusted. This is commonly referred to as adjusting the toe-in or toe-out of the blade. FIG. **6B** illustrates how the blade may be moved outward or distally **66** so that  $\theta$  is an obtuse angle, while FIG. **6C** illustrates actuation of the retractor blade **12** inward or proximally **68** so that  $\theta$  is acute.

One of skill in the art will appreciate that any number of mechanisms may be used to allow adjustment of  $\theta$ . However, in a preferred embodiment of the surgical retractor, an illumination blade device is coupled with the handle and disposed over of the retractor blade, a suction tube is coupled with the retractor blade for smoke evacuation, and an optical input cable is coupled with the illumination blade device. Thus, the pivoting mechanism that allows adjustment of toe-in or toe-out must accommodate the suction tube



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and optical cable, as well as maintaining the position of the illumination blade device relative to the retractor blade. Thus the adjustment mechanism allows the retractor blade to be pivoted without changing the relative position of the illumination blade and retractor blade. Also, the mechanism allows the movement without unnecessarily straining the suction tube and illumination cable.

In one exemplary embodiment, the adjustment mechanism may comprise a splined pin disposed laterally in a distal portion of the handle **14**. FIG. 7A illustrates retractor blade **12** having a splined channel **74** extending laterally through the retractor blade and splined pin **72** passing therethrough. The splined pin **72** also is disposed in a distal portion of handle **14**. FIG. 7B is a side view of retractor blade **12** highlighting the splined channel **74** in the retractor blade. When the splined pin **72** is retracted from the splined channel **74**, the retractor blade **12** may be pivoted to adjust  $\theta$ . Once the desired angle is set, the pin may be placed back in the splined hole **74**, thereby locking the retractor blade in position. The splines may be adjusted to any pitch, but in preferred embodiments, the splines are spaced apart so that the retractor blade may be adjusted in increments of every  $5^\circ$ , more preferably every  $3^\circ$ , and most preferably every  $2^\circ$ . One of skill in the art appreciates that any pitch may be used, and thus the exemplary pitches are not intended to be limiting. The splined pin **72** may also be spring loaded so that an operator may push it out of the way to allow retractor blade adjustment, and the spring may be biased to push the splined pin back into engagement with the splined hole **74** to lock the retractor blade into the desired angle. FIG. 7C illustrates the retractor blade **12** coupled with handle **14**, and with blade illumination device **1209** coupled to the retractor blade. Splined pin **72** is more clearly illustrated in this view.

## Retractor Blade

FIG. 8A illustrates a side view of surgical retractor having a handle **14** and a retractor blade **12**. In this exemplary embodiment, the retractor blade **14** is disposed in a plane that is transverse to the plane in which the handle **14** lies. In the embodiment of FIG. 8A, the retractor blade is perpendicular to the handle. The retractor blade **12** includes a distal tip **82** that may be curved upward towards the proximal end of the handle. Any of the surfaces of the retractor blade and/or the distal tip may be textured in order to facilitate grasping of tissue during retraction. FIG. 8B illustrates an end view of the retractor blade in FIG. 8A. An upper surface **88** of the retractor blade may be concave, and a slotted region or channel **86** may extend along the length of the retractor blade. This slotted region accommodates the lighting elements that are described herein. Additionally, a plurality of channels **84** may run along the length of the retractor blade **12** and the channels **84** can be used to suction smoke or fumes from the surgical field as will be described in greater detail below. The length of the retractor blade, width and thickness can be any dimension suitable for the target anatomy. Preferably, several different retractor blades are provided so that the operator may select the retractor blade most suited for the procedure. Additionally, the retractor blade may also include wings **90** on either side of the retractor blade **12**, such as in FIG. 9. The wings **90** help increase the area of the retractor blade, thereby allowing more tissue to be retracted, as well as helping to keep tissue from slipping off the retractor blade during retraction. FIG. 9 also illustrates how in some embodiments, the distal tip **82** of the retractor blade may be removable so that it may be replaced with a distal tip better suited for the surgical procedure being performed. These tips may be provided sterile. The distal tip may be press fit, snap fit, or otherwise

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mechanically coupled to the retractor blade. In other embodiments, the distal tip is fixedly attached to the retractor blade. The distal tip may have any number of geometries that help retract tissue. For example, the distal tip may curve upwards or it may be flat and planar. The distal tip may also include a textured surface **94** to help with grasping tissue during retraction. The texturing may be machined directly into the distal tip **82**, or in other embodiments, the texturing may be removably attached to the distal tip. Exemplary texturing may include knurling, teeth, or roughened surfaces. A rubberized surface may also be used to help tissue retention. Additionally, the anti-slip features may be removably applied to the retractor blade using a textured tape, plastic sleeve, fabric sock, or polymer tip. In still other embodiments, the retractor blade may include fenestrations including holes or slots that help to catch tissue that is disposed in the fenestrations during retraction. The retractor blade may also have a hole or slot therethrough that exposes the rear surface of the blade illuminator. The blade illuminator may have protuberances that are integral with the blade illuminator or that are attached thereto and that protrude through the retractor blade hole or slot to help secure the blade illuminator to the retractor blade.

FIG. 10A illustrates a surgical retractor having a handle **14** with retractor blade **12** and a removable distal tip **82**. A cover **102** may be placed over the distal tip **82** in order to allow different surface textures to be applied to the distal tip. The cover may be removed after the surgical procedure and discarded in order to facilitate cleaning and re-sterilization of the retractor if re-useable. In some embodiments, some or all components of the surgical retractor may be single-use and disposed of after use. FIG. 10B illustrates the distal tip **82** of the retractor blade **12** once the cover **102** has been disposed thereover. In still other embodiments, the retractor blade may have fixed or retractable barbs that help grasp tissue. FIG. 10C illustrates another embodiment where the distal tip of the retractor blade **12** includes teeth **83** for helping to grasp tissue, or to facilitate dissection of tissue with the retractor blade.

Retractors are often used in conjunction with electrosurgical equipment. Because the retractor blades are in close proximity to the electrosurgical probe, unwanted arcing can occur between the retractor blade and electrosurgical probe. It is therefore desirable to insulate all or a portion of the retractor blade. This may be accomplished by fabricating the retractor blade from a non-conductive material such as a polymer or a ceramic, or the blade may be made from a metal and then covered with a non-conductive coating such as a polymer like parylene or anodized. Any of the features of the retractor blade disclosed herein may be used with any of the other embodiments of retractor blades described elsewhere.

Illumination Blade Device FIGS. 11A-11E illustrate coupling of an illumination blade device with the retractor and the handle. In FIG. 11A, the retractor blade **12** is already coupled with the handle **14**, although the retractor blade may be coupled after the illumination device has been coupled with the handle. A distal portion of handle **14** includes a slot **1104** for releasably attaching the illumination device with the handle **14**. A channel or slot **1102** in retractor blade **12** allows the illumination blade device to be disposed therein. In FIG. 11B, the illumination blade device **1108** is coupled to cable **56** thereby optically coupling the illumination blade device **1108** with a light source (not shown). In other embodiments, a light pipe or optical fibers may be fixedly coupled to the handle and the illumination blade device may be coupled to a distal end of the light pipe or optical fibers,



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and the proximal end of the handle is then coupled with a light source. Thus, the cable need not be fed all the way through the handle.

The illumination blade device **1108** preferably includes a light output zone **1116** where light is extracted from the illumination blade and directed toward the surgical field. Additionally, the engagement elements such as tabs **1110** in dead zones of the illumination blade device allow the blade illuminator **1108** to be disposed against the retractor blade while maintaining an air gap between the active zones of the illuminator blade and the retractor blade, as will be discussed in greater detail below. Additionally a shield **1112** disposed over a portion of the blade illumination device prevents it from being scratched or damaged by other surgical instruments being used, as well as preventing glare from shining back into an operator's face. A plate **1114** allows the blade illuminator to be snapped or otherwise releasably coupled with the handle by placing the plate **1114** into slot **1104**. FIG. **11C** is a perspective view of the surgical retractor after the illumination blade device has been coupled with the handle. Cable **56** is exposed near a distal portion of the handle in an open channel **26**, but eventually runs through the channel **24** in handle **14** until the cable exits the proximal end of the handle through a positioning aperture **52** as seen in FIG. **11E**. The cable **56** can then be optically coupled with a light source. FIG. **11D** is a top view of the surgical retractor showing the illumination blade device coupled with the handle and engaging the retractor blade.

FIGS. **11F-11G** illustrate various sizes of illuminator blades **1108** disposed in a channel of the retractor blade **12**. The illuminator blades **1108** may have a width that extends across the width of the retractor blade channel as seen in FIG. **11F**, or the illuminator blade **1108** may be narrower than the channel as seen in FIG. **11G**. Additionally, the illuminator blade length may be any length—from longer than the retractor blade, to shorter than the retractor blade, or it may the same length as the retractor blade.

FIG. **12A** more clearly illustrates engagement of the illuminator blade device (also referred to herein as an illuminator blade) with the retractor blade. Illuminated retractor **1207** is composed of retractor blade **1208** and illumination blade **1209**. Retractor blade **1208** may be used with any of the embodiments disclosed herein, or it may be used with other retractor systems such as a McCulloch retraction system. Retractor blade **1208** includes one or more mechanical connectors and may be releasably coupled with any of the handles described in this specification. Any of the coupling mechanisms disclosed herein may be used. Neck slot or channel **1210** accommodates neck zone **1224** of the illuminator blade **1209** and blade slot **1211** accommodates output blade **1225** of the illuminator blade **1209** while maintaining an air gap between active zones of the illumination blade and the retractor. Two or more engagement elements such as blade or plate **1212** and tabs **1214** secure illumination blade **1209** to retractor blade **1208**. Each tab **1214** engages one or more engagement receptacles such as receptacles or recesses **1215**. Plate **1212** is joined to collar **1216**, and when collar **1216** removably engages input dead zone **1222D**, the collar surrounds illumination blade input **1218** as seen in FIG. **12C**. The removable engagement of collar **1216** to input dead zone **1222D** also brings plate **1212** into contact with end surface **1219** of the retractor blade. Collar **1216** securely engages dead zone **1222D** and surrounds cylindrical input zone **1220** and forms input air gap **1220G**. Engagement at dead zones minimizes interference with the light path by engagement elements such as a plate

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**1212** and tabs **1214**. Plate **1212** engages end surface **1219** and tabs **1214** resiliently engage recesses **1215** to hold illumination blade **1209** fixed to retractor blade **1208** without contact between active zones of illumination blade **1209** and any part of retractor blade **1208**.

Illumination blade **1209** is configured to form a series of active zones to control and conduct light from illumination blade input **1218** of the cylindrical input zone **1220** to one or more output zones such as output zones **1227** through **1231** and output end **1233** as illustrated in FIGS. **12D-12E**. Illumination blade **1209** also includes one or more dead zones such as zones **1222D**, **1226D** and **1226E**. Dead zones are oriented to minimize light entering the dead zone and thus potentially exiting in an unintended direction. As there is minimal light in or transiting dead zones by total internal reflection they are ideal locations for engagement elements to secure the illumination blade to the retractor.

Light is delivered to illumination blade input **1218** using any conventional mechanism such as a standard ACMI connector having a 0.5 mm gap between the end of the fiber bundle and illumination blade input **1218**, which is 4.2 mm diameter to gather the light from a 3.5 mm fiber bundle with 0.5 NA. Light incident to illumination blade input **1218** enters the illumination blade through generally cylindrical, active input zone **1220** and travels through active input transition **1222** to a generally rectangular active retractor neck **1224** and through output transition **1226** to output blade **1225** which contains active output zones **1227** through **1231** and active output end **1233**. Neck **1224** is generally rectangular and is generally square near input transition **1222** and the neck configuration varies to a rectangular cross section near output transition **1226**. Output blade **1225** has a generally high aspect ratio rectangular cross-section resulting in a generally wide and thin blade. Each zone is arranged to have an output surface area larger than the input surface area, thereby reducing the temperature per unit output area.

In the illustrated configuration illumination blade **1209** includes at least one dead zone, dead zone **1222D**, generally surrounding input transition **1222**. One or more dead zones at or near the output of the illumination blade provide locations to for engagement elements such as tabs to permit stable engagement of the illumination blade to the retractor. This stable engagement supports the maintenance of an air gap such as air gap **1221** adjacent to all active zones of the illumination blade as illustrated in FIG. **12C**. Neck zone **1224** ends with dimension **1232** adjacent to output transition **1226** which extends to dimension **1234** at the output zones. The changing dimensions result in dead zones **1226D** and **1226E** adjacent to output transition **1226**. These dead zones are suitable locations for mounting tabs **1214** to minimize any effects of the engagement elements on the light path.

To minimize stresses on the light input and or stresses exerted by the light input on the illumination blade, the engagement elements are aligned to form an engagement axis such as engagement axis **1236** which is parallel to light input axis **1238**.

Output zones **1227**, **1228**, **1229**, **1230** and **1231** have similar configurations with different dimensions. Referring to the detailed view of FIG. **12D**, the characteristics of output zone **1227** are illustrated. Each output zone is formed of parallel prism shapes with a primary surface or facet such as a primary facet **1240** with a length **1240L** and a secondary surface or facet such as secondary facet **1242** having a length **1242L**. The facets are oriented relative to plane **1243** which is parallel to and maintained at a thickness or depth **1244**



from rear surface **1245**. In the illustrated configuration, all output zones have the same depth **1244** from the rear surface.

The primary facets of each output zone are formed at a primary angle **1246** from plane **1243**. Secondary facets such as facet **1242** form a secondary angle **1247** relative to primary facets such as primary facet **1240**. In the illustrated configuration, output zone **1227** has primary facet **1240** with a length **1240L** of 0.45 mm at primary angle of 27 degrees and secondary facet **1242** with a length **1242L** of 0.23 mm at secondary angle 88 degrees. Output zone **1228** has primary facet **1240** with a length **1240L** of 0.55 mm at primary angle of 26 degrees and secondary facet **1242** with a length **1242L** of 0.24 mm at secondary angle 66 degrees. Output zone **1229** has primary facet **1240** with a length **1240L** of 0.53 mm at primary angle of 20 degrees and secondary facet **1242** with a length **1242L** of 0.18 mm at secondary angle 72 degrees. Output zone **1230** has primary facet **1240** with a length **1240L** of 0.55 mm at primary angle of 26 degrees and secondary facet **1242** with a length **1242L** of 0.24 mm at secondary angle 66 degrees. Output zone **1231** has primary facet **1240** with a length **1240L** of 0.54 mm at primary angle of 27 degrees and secondary facet **1242** with a length **1242L** of 0.24 mm at secondary angle 68 degrees. Thus, the primary facet **1240** in preferred embodiments forms an acute angle relative to the plane in which the rear surface **1245** lies, and the secondary facet **1242** in preferred embodiments forms an obtuse angle relative to the plane in which the rear surface **1245** lies. These preferred angles allow light to be extracted from the illuminator blade so that light exits laterally and distally toward the surgical field in an efficient manner, and the illuminator blade to be injection molded and easily ejected from the mold. Other angles are possible, as will be appreciated by one of skill in the art.

Output end **1233** is the final active zone in the illumination blade and is illustrated in detail in FIG. **12D**. Rear reflector **1248** forms angle **1249** relative to front surface **1250**. Front surface **1250** is parallel to rear surface **1245**. Terminal facet **1251** forms angle **1252** relative to front surface **1250**. In the illustrated configuration, angle **1249** is preferably 32 degrees and angle **1252** is preferably 95 degrees. This distal tip geometry helps to prevent light from reflecting back proximally toward the physician, thereby helping to prevent glare.

Other suitable configurations of output structures may be adopted in one or more output zones. For example, output zones **1227** and **1228** might adopt a concave curve down and output zone **1229** might remain generally horizontal and output zones **1230** and **1231** might adopt a concave curve up. Alternatively, the plane at the inside of the output structures, plane **1243** might be a spherical section with a large radius of curvature. Plane **1243** may also adopt sinusoidal or other complex geometries. The geometries may be applied in both the horizontal and the vertical direction to form compound surfaces.

In other configurations, output zones may provide illumination at two or more levels throughout a surgical site. For example, output zones **1227** and **1228** might cooperate to illuminate a first surgical area and output zones **1229** and **1230** may cooperatively illuminate a second surgical area and output zone **1231** and output end **1233** may illuminate a third surgical area. This configuration eliminates the need to reorient the illumination elements during a surgical procedure.

#### Smoke Evacuation

Many surgical retractors are used in conjunction with electrosurgical instruments such as RF probes for cautery. Electrosurgical instruments often generate smoke or other noxious fumes that can obstruct the field of view or be unpleasant. Therefore, surgical retractors may also include a feature for smoke evacuation. Often, smoke or noxious fumes are evacuated with a vacuum tube that is either separate from the retractor, or coupled with the retractor. A vacuum line is coupled to the vacuum tube, and the smoke or fumes may be evacuated. The disadvantage of these systems is that the separate vacuum tube takes up precious space in the already crowded surgical field. With incisions becoming smaller and smaller, it is becoming more important to reduce the volume of surgical instruments. Therefore it would be advantageous to provide a surgical retractor that can evacuate smoke or fumes without taking up additional space.

FIGS. **13A-13C** illustrate an exemplary embodiment of a retractor having an integral smoke evacuation system. In FIG. **13A**, the retractor includes handle **14** and retractor blade **12**. The handle **14** and blade **12** may be any of the handles or blades disclosed herein. The retractor blade **12** includes a plurality of longitudinal channels **1302** running along the length of the blade. While only one channel is required, preferred embodiments have multiple channels. When a single wide channel is included, it is possible for other surgical instruments to get caught in the channel, between the retractor blade and any vanes or illumination blades that are disposed thereover. Thus, it can be advantageous to use multiple narrow channels to minimize the opportunity for the instruments to catch. The channels may be parallel with one another, or other geometries are also possible. The illumination blade device, (also referred to as a blade illuminator or illumination blade) may then be sealingly disposed over the channels and coupled with the retractor blade to form a gap or plenum between the channels and the bottom surface of the illuminator blade. A vacuum tube may then be coupled with the retractor blade so that fumes are drawn out of the surgical field along the plenum. Thus, smoke is evacuated without requiring an additional tube that occupies space in the surgical field. Depending on the size and length of the illuminator blade being used to provide light to the surgical field, the blade may not cover the channels enough to allow adequate vacuum to be created for effective smoke evacuation. Thus, in some cases, as seen in FIG. **13B**, a cover or vane **1304** may be disposed over the channels to accommodate different illuminator blades, as well as to control the amount of vacuum created. The cover or vane **1304** may be press fit into the retractor blade and disposed over the channels **1302** to form the plenum, or the vane **1304** may be slidably advanced along slots in the retractor blade. In still other embodiments, the vane **1304** may be coupled with the blade illuminator. The blade illuminator and vane is then coupled with the retractor blade such that the vane covers enough area of the channels to create adequate vacuum for smoke evacuation while maintaining an air gap between an upper surface of the vane and a lower surface of active zones of the illuminator blade in order to minimize light loss. FIG. **13C** illustrates the blade illuminator **1306** disposed over the vane **1304** which is then positioned over the plurality of channels **1302**. The bottom surface of the vane may fit flush against the top surface of the channels to prevent surgical instruments from catching. Similarly, in embodiments where the blade illuminator is disposed directly over the channels without a vane, the tip of the blade illuminator may also fit



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flush against the top surface of the channels to prevent other surgical instruments from catching. Thus the plenum is formed by assembly of the vane(s) and/or illuminator blade with the retractor blade. A channel such as channel **42** (seen in FIG. **4**) may run through the handle wall and exit at a distal aperture **1308** of the handle **14**. A vacuum tube may be slidably disposed in the channel **42** and exit aperture **1308** and be coupled to retractor blade **12** so that the plurality of channels **1302** are fluidly coupled with the vacuum tube. In this or other embodiments, the vacuum tube may automatically fluidly connect with the retractor blade when the retractor blade is engaged with the handle. Thus separate coupling and uncoupling of the vacuum tube may not be required.

In situations where a long retractor blade **12** is used, the vane **1304** may not be long enough to cover the channels **1302** in the retractor blade **12**. This prevents adequate vacuum from being generated. Thus, in some embodiments, a second vane **1310** may be disposed against the retractor blade **12** to control the area of the channels **1302** which are covered and form the plenum. The second vane may be slidably engaged with slots along the retractor blade sides as seen in FIG. **13D**, or the second vane may be simply snap fit or otherwise disposed against the retractor blade. A gap is maintained between the bottom of the second vane and the channels so that smoke or fumes may be evacuated. FIG. **13E** illustrates the second vane **1302** positioned against the first vane **1304**. The two vanes may abut one another end-to-end as seen in FIG. **13F**, or a joint such as a scarf joint may be used to couple the ends as seen in FIG. **13G**. Many other joints may also be used. In some embodiments, the two vanes may be slidably disposed over one another as seen in FIG. **13H**.

In either embodiment with one or two vanes, the vanes may be slidably moved along the longitudinal axis of the retractor blade. Thus some portions of the fume channels will be covered and others will be uncovered. The uncovered portions will allow fume extraction from that position. Thus, by sliding the vanes, the location of fume extraction may be controlled. This is advantageous in deep pockets where procedures are performed at multiple levels. Thus it may be advantageous to extract smoke from a first level and then smoke may be extracted from a second level.

Once the blade illuminator and vanes have been positioned against the retractor blade **12**, the light source cable **56** may be coupled to the blade illuminator, and suction tube **62** coupled to the retractor blade as seen in FIG. **13I**. FIG. **13J** illustrates the proximal end of handle **14** with the light input cable **56** and suction tube **62** extending through the handle as previously described above. One of skill in the art will appreciate that the illuminator blade, handle, retractor blade, light input cable, suction tube, etc. in the embodiment of FIGS. **13A-13J** may be substituted for any of the other embodiments of illuminator blade, handle, retractor blade, light input cable, suction tube, etc. disclosed herein.

In an alternative embodiment, a first vane **1360** may have a plurality of through holes **1362**, as seen in FIG. **13K**. The first vane **1360** is disposed against the retractor blade and also against the plurality of channels. A second vane **1364** is slidably disposed over the first vane **1360** as seen in FIG. **13L**. The second vane **1364** may be slidably advanced or retracted relative to the first vane as indicated by arrow **1366** in order to control how many of the apertures are exposed, thereby controlling the amount of suction provided by the vacuum. FIG. **13M** illustrates an alternative embodiment of the first vane **1370** having a tapered slot **1372** passing through the vane. As the second vane is advanced or

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retracted, the amount of the slot exposed varies, thereby controlling the suction provided by the vacuum.

FIGS. **34** and **35A-35D** illustrate alternative embodiments of the vacuum channels which may be used in any of the embodiments of illuminated retractors with smoke evacuation disclosed in this specification. The channels may be machined into the part, or they may be injection molded if the blade is molded. FIG. **34** illustrates the retractor blade **3402** having internal channels **3404** (as opposed to the open channels in the embodiment of FIG. **13A-13M**). Suction holes are formed through an outer surface of the retractor blade until they are fluidly coupled with the internal channels **3404**. The internal channels **3404** may be a single channel or a plurality of channels. Preferably the channels merge into a single channel near the proximal portion of the retractor blade so that the suction may be applied to the retractor blade at a single point. FIGS. **35A-35B** illustrate a retractor blade with multiple open channels. For example in FIG. **35A**, an open channel **3504a** is disposed in the retractor blade **3502a**. Two channels merge into a single channel near the proximal end of the retractor blade. A sliding cover or vane **3506a** slides over the open channel to allow vacuum to be created and so that suction can be applied through suction holes **3508a** in the cover **3506a**. FIG. **35B** illustrates a similar embodiment with the major exception being that the cover or vane **3506b** is fixedly coupled to the retractor blade **3502b**. Multiple vacuum channels are internal to the retractor blade. Vacuum is then drawn through suction holes **3508b** in the cover. FIGS. **35C** and **35D** illustrate embodiments of retractor blades with a single open vacuum channel. In FIG. **35C** a single open vacuum channel **3504** is disposed in the retractor blade **3502c**. A slidable cover or vane **3506c** may be placed over the channel into engagement with the retractor blade to seal the vacuum channel and allow vacuum to be drawn through suction holes **3508c** in the cover. FIG. **35D** illustrates a similar embodiment except that the cover **3506d** is fixed to the retractor blade **3502d**. Vacuum is applied through the single open channel **3504d** and through suction holes **3508d** in the cover. Additionally, as disclosed in greater detail in this specification, the blade illuminator may seal against the retractor blade to create the vacuum. Any of these embodiments may be used in the illuminated retractors with smoke evacuation features disclosed herein.

FIG. **14A** illustrates a perspective view of the retractor in FIGS. **13A-13J**. Smoke **1402** generated by electrosurgery or other noxious fumes is drawn into channels **1302** and then evacuated via a suction tube disposed in aperture **1308** in the handle **14**. FIG. **14B** illustrates a bottom view of the retractor blade **12** evacuating smoke **1302**.

In alternative embodiments, the smoke evacuation channels may be integrated into the blade illumination device rather than in the retractor blade, or in still other embodiments the evacuation channels may be disposed in both the blade illumination device and the retractor blade. Other embodiments may rely on a gap between the vane and a bottom surface of the blade illumination device to create a plenum that allows smoke evacuation.

#### Retractor Blade and Handle Engagement

Any number of quick release mechanisms for engaging the retractor blade with the handle may be used. The quick release mechanism, or engagement mechanism should be easy to actuate, and in some embodiments allows one handed actuation for one handed engagement or disengagement of the retractor blade from the handle. The mechanism preferably still permits the handle and retractor blade to be easily cleaned and re-sterilized after use. In still other



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embodiments, the mechanism along with other parts of the retractor including the handle, retractor blade and illuminator blade are single use disposable. The engagement mechanism preferably allows release of the retractor blade from the handle without requiring that any cables (e.g. light input cables) or tubes (e.g. suction tubes) be disconnected from the handle. Additionally, the mechanism preferably allows the retractor blade to be disengaged from the handle without requiring the blade illuminator to be disconnected from the handle. Several embodiments of quick release mechanisms are disclosed herein for exemplary purposes, and they are not intended to be limiting. Any of the quick release mechanisms described herein may be used with any of the other components or features described herein. For example, any of the quick release mechanisms described herein may be used with any of the handle, retractor blade, illuminator blade, or smoke evacuation embodiments disclosed herein.

FIGS. 15A-15B illustrate an exemplary embodiment of a quick release mechanism for coupling the handle 14 with the retractor blade 12. In this exemplary embodiment, the quick release mechanism includes an actuator switch 1502 that is slidably actuated as indicated by arrow 1504. The switch 1502 has two positions, an engaged position and a disengaged position. FIG. 15A illustrates the switch in the engaged position wherein the handle 14 is locked with the retractor blade 12. FIG. 15B illustrates the switch in the disengaged position which allows the handle 14 to be released from the retractor blade 12. The actuator mechanism advances and retracts an engagement element 1508 such as a central post having an enlarged head or flanged region that is received in a slot 1506 on the retractor blade 12. When the switch is actuated into the engaged position, the enlarged head is pressed further into the receiving slot 1506 creating a friction fit preventing separation of the two components. Actuating the switch into the disengaged position slightly retracts the head from the receiving slot 1506 relieving the friction fit and allowing separation of the two components. The retractor blade is then released from the handle by advancing the retractor blade in a plane transverse to the handle, and in the direction of the distal end of the retractor blade. One of skill in the art will appreciate that the switch may also work in the opposite direction.

FIGS. 16A-16D illustrate another exemplary embodiment of a quick release mechanism for engaging the handle and retractor blade. FIG. 16A shows the retractor blade 12 disengaged from the handle 14. The engagement mechanism includes a post 1604 having lateral projections forming a T-shaped head 1602 or an enlarged head on the proximal end of the retractor blade. The T-shaped head 1602 is advanced toward a receptacle 1606 with the lateral portions vertically aligned so that the T-shaped head 1602 is received in slot 1606. Once the enlarged head is received in the slot, retractor blade 12 may be rotated 1608 so that the lateral projections of the T-shaped head 1602 become captured in the receptacle 1606. In preferred embodiments, only a quarter turn is required to engage the retractor blade and handle as seen in FIG. 16C. FIG. 16D more clearly illustrates how the lateral projections of the T-shaped head 1602 are captured in slot 1612. Ball detents 1610 disposed on the receptacle press against the lateral projections, thereby holding them in place. Release of the retractor blade from the handle follows the reverse procedure. A quarter turn rotation of the retractor blade relative to the handle releases the lateral projects from the ball detents and disposes them vertically so that the retractor blade may be retracted through the slots in the receptacle and separated from the handle. This embodiment preferably only requires a quarter

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turn for engagement or disengagement, however other geometries allow more or less rotation of the retractor blade relative to the handle.

In the embodiment of FIGS. 16A-16D, an optional locking mechanism may also be used to lock the engagement mechanism and prevent inadvertent separation of the retractor blade from the handle. For example, in FIG. 17A a rotating cam 1620 is disposed adjacent the T-shaped head 162 and receptacle 1606. Once the T-shaped head has engaged the receptacle 1606, the cam 1620 may be rotated 1626 to lock the engagement mechanism. The cam has a round portion 1622 and a flat portion 1624. When the flat portion 1624 is adjacent the receptacle 1606 as seen in FIG. 17A, the flat portion does not obstruct the slot and thus the enlarged head may be placed in or removed from the receptacle. However, when the cam is rotated such that the round portion is adjacent the receptacle, the round portion obstructs the slot, thereby preventing the enlarged head from sliding out of the receptacle, thereby ensuring that it is locked. FIG. 17B illustrates the cam in the locked position.

FIGS. 18A-18F illustrate another exemplary embodiment of an engagement mechanism for coupling a retractor blade with a handle. FIG. 18A shows the handle 14 having an engagement element 1802 extending from the distal end of the handle. The engagement element preferably has a central post with an enlarged head or flanged region attached to the central post. A spring loaded ball detent 1804 is disposed on the engagement element 1802. The retractor blade 12 includes a slotted region 1806 that has a geometry that is sized and shaped to receive the central post and enlarged head. A receptacle (not illustrated) in the slotted region 1806 is sized to receive the ball detent 1804. FIG. 18B more clearly illustrates the engagement mechanism. Thus, in operation, the retractor blade is advanced toward the handle and the proximal end of the retractor blade is slidably loaded over the central post and enlarged head so that they are received in the slot on the retractor blade. A slight upward force is applied to the retractor blade so that the ball detent then snaps into its corresponding receptacle, thereby engaging the retractor blade and handle together. The retractor blade in this embodiment is raised in a plane that is transverse to, and preferably substantially parallel to the handle plane for engagement. FIG. 18C illustrates engagement of the handle and retractor blade and also shows the central post 1802 and enlarged head 1804 disposed in the receiving slot 1806. Either before engagement of the retractor blade and handle, or after, the blade illumination device 1108 with or without shield 1112 may be coupled with light input cable 56 and then coupled with the handle 14 and retractor blade 12. Also suction tube 62 may be slidably disposed in the handle and coupled with the retractor blade as previously discussed. The retractor blade may be removed using the reverse procedure. By sliding the retractor blade downward in a plane transverse and preferably substantially perpendicular to the plane of the handle, the ball detent will disengage from its corresponding receptacle, and then the retractor blade may be dropped downward away from the handle and disengaged. Thus, the retractor blade may be disengaged without requiring any cables (e.g. light input cable) or blade illumination devices to be removed. The suction tube 56 helps prevent disengagement of the retractor blade from the handle and therefore must be retracted proximally to disconnect it from the retractor blade. Another advantage of this mechanism as well the others disclosed herein is that the retractor blade can also be removed from the handle without touching the blade illumination device. This mechanism is advantageous because it allows the



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retractor blade to be separated from the handle easily, without disconnecting cables (such as light input cable **56**), nor does the blade illumination device **1108** or shield **1112** need to be uncoupled from the handle, as seen in FIGS. **18E-18F** where the retractor blade is released away from the blade illumination device and cable **56**.

An alternative embodiment of that in FIGS. **18A-18F** includes handle **14** having an engagement element **1802** extending from the distal end of the handle. The engagement element preferably has a central post with an enlarged head or flanged region attached to the central post. It may include spring loaded ball detent **1804** which is disposed on the engagement element **1802**. The retractor blade **12** includes a slotted region **1806** that has a geometry that is sized and shaped to receive the central post and enlarged head. A receptacle (not illustrated) in the slotted region **1806** is sized to receive the ball detent **1804**. Once the retractor blade is engaged with the handle, suction tube **62** may be slidably advanced into engagement with the retractor blade thereby coupling the handle with the retractor blade and preventing unwanted separation. Removal of the suction tube allows the retractor blade to be separated from the handle in a similar manner as previously described.

FIGS. **19A-19B** illustrate an alignment feature that may be used with the embodiment in FIGS. **18A-18D** or with any of the other embodiments disclosed herein. One side of the distal end of the handle **14** may include a rail **1902**, and a mating rail **1904** may also be included one side of the proximal end of the corresponding retractor blade **12**. Thus, as the retractor blade is advanced toward and engaged with the handle, the two rails **1902**, **1904** will contact one another and slide relative to one another. This helps ensure proper alignment of the retractor blade and handle. It also provides a key mechanism that ensures that the retractor blade is inserted in the proper orientation, and not backwards. FIG. **19A** shows the retractor blade disengaged from the handle, and FIG. **19B** shows the two components engaged, with the alignment rails engaged with one another.

FIG. **20** illustrates another exemplary embodiment of an engagement mechanism for coupling any of the retractor blades and handles disclosed herein together. Additionally, any of the other features such as blade illumination devices, suction, etc. may also be used with this embodiment. Handle **14** includes an engagement element **2002** extending distally from the distal portion of the handle. The engagement element **2002** includes a central post and an enlarged head or flanged area similar to that described above. A slot **2010** on the proximal end of the retractor blade **12** is sized and shaped to receive the engagement element. Unlike the embodiment described previously, this embodiment does not have a spring loaded ball detent on the engagement mechanism, but alternative embodiments may include it. A rotatable lever **2004** is coupled to the distal end of the handle, and a spring loaded ball detent is included on a portion of the lever. Other features such as the smoke evacuation channels **1302**, alignment rails **1902**, **1904**, vacuum port **1308** generally take the same form as previously described. FIG. **20** also illustrates an aperture **2008** in the retractor blade which is aligned with vacuum portion **1308** when assembled so that the channels **1302** are fluidly coupled with the vacuum. In use, the retractor blade may be raised into engagement with the handle such that the alignment element **2002** is received in slot **2010**. The lever **2004** is then rotated from an unlocked position (pointing down in this embodiment) to a locked position (rotated outward) to lock the retractor blade into engagement with the handle. The ball detent **2006** snaps into a receptacle (not illustrated) on the retractor blade and

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provides adequate force to prevent accidental disengagement of the lever. Additional details on the engagement mechanism are disclosed in greater detail below.

The engagement mechanism of FIG. **20** allows a retractor blade to be coupled with the handle either before or after a blade illumination device has been coupled with the handle. The engagement mechanism allows the attachment and detachment of the retractor blade without requiring the operator to touch the blade illumination device, and cables such as the light input cable need not be disconnected. FIGS. **21A-21C** illustrate an embodiment where the blade illumination device is coupled with the handle before the retractor blade is coupled with the handle. In FIG. **21A**, the blade illumination device **1108** is attached to the handle **14** and light input cable **56** is also optically coupled with the blade illumination device. The blade illumination device generally takes the same form as the embodiment in FIG. **12A-12E**, and may be attached to the handle in the same manner as described above in FIGS. **11A-11E**. The embodiment in FIG. **21A** also includes vane **1304** attached to a portion of shield **1112**. The vane **1304** may be any of the embodiments of previously described above and used to create a plenum for smoke evacuation. The lever **2004** is in the disengaged position, and then the retractor blade **12** is advanced toward the handle as illustrated in FIG. **21B**. The blade illumination device **1108** and vane **1304** are slidably disposed in a central channel of the retractor blade, and the retractor blade is raised vertically relative to the handle. The retractor blade is raised in a plane transverse to the plane of the handle, and preferably substantially perpendicular to the handle. Once the retractor blade is aligned with the handle and the blade illumination device is properly disposed adjacent the retractor blade, the lever **2004** may be rotated into the engaged position as seen in FIG. **21C** thereby locking the retractor blade with the handle.

In alternative embodiments, the retractor blade may be attached to the handle first, then the blade illumination device may be coupled with the handle as seen in FIGS. **22A-22B**. In FIG. **22A**, retractor blade **12** is engaged with handle **14** and the lever **2004** is rotated into the locked position, similarly as described above. The blade illumination device **1108** with shield **1112** is then coupled with the handle and disposed against the retractor blade. Cable **56** then optically couples the blade illumination device **1108** with a light source.

FIGS. **23A-23E** illustrate more clearly how the mechanism in FIG. **20** allows quick release of a retractor blade with a handle. In FIG. **23A**, retractor blade **12** is already engaged with handle **14**. Lever **2004** is in the engaged position which forms a horizontal surface against which shoulder **2302** rests, preventing the retractor blade from slidably disengaging from the handle. The blade illumination device **1108** with shield **1112** is snapped into the handle and disposed against the retractor blade. Cable **56** optically couples the blade illuminator device with the retractor blade. Suction tube **62** is in fluid communication with the retractor blade via aperture **1308** to allow smoke or fumes to be evacuated from the surgical field. Suction tube **62** prevents the rotation of the lever thereby locking the lever into the engaged position. When the operator desires to change the retractor blade, the suction tube **62** is proximally retracted as seen in FIG. **23B** so that it is released from aperture **1308**. Engagement lever **2004** is then rotated in FIG. **23C** into the release position, here rotated counter clockwise into a six o'clock position so that the lever is no longer engaged with shoulder **2302** of the retractor blade. The lever is also out of the path of the shoulder **2302** so that retractor blade slides downward away



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from the handle **14**, and the engagement element **2002** is released from slot **2010**. The retractor blade is disengaged in a plane transverse to the plane of the handle, and preferably substantially parallel thereto. This is also in a distal direction toward the distal end of the retractor blade. Light from the illumination blade device is also extracted and directed in this direction. The retractor blade may be disengaged from the handle without touching the blade illumination device **1108** and without requiring cables such as light input cable **56** to be disconnected from the blade illumination device. Also, the blade illumination device **1108** with vane **1304** does not have to be disconnected from the handle during retractor blade change out. FIG. **23D** shows disengagement of the retractor blade from the handle with the blade illumination device remaining coupled to the handle. Once the original retractor blade is removed, a second retractor blade may be slid back into position and engaged with the handle as seen in FIG. **23E** using the opposite procedure. Once engaged, the lever **2004** may be rotated to lock the retractor blade with the handle by forming a shelf that prevents shoulder **2302** from moving. The suction tube **62** is then re-advanced into apertures **1308** and coupled with the retractor blade. Again, this process is performed without touching the blade illumination device or requiring disconnection of any cables as discussed above, and the blade illumination device may remain coupled to the handle during the engagement.

Other engagement mechanisms may be used to releasably couple the retractor blade with the handle. For example, spring clasps with or without latches, sliding prongs, and threaded fasteners may also be used. FIGS. **32A-32B** illustrate another exemplary embodiment of an illuminated retractor with a releasably blade. The retractor includes a handle **14**, a blade **3202**, and a blade illuminator **3210**. The handle **14** includes a flanged portion **20** to help the physician retract tissue and channels **3216**, **3218** for a suction tube and a fiber optic respectively in the wall of the handle **14**. A blade illuminator **3210** is either fixedly or releasably coupled to the handle **14** and optically coupled with a fiber optic cable disposed in channel **3218**. The retractor blade **3202** includes a vacuum channel **3206** in the blade and vacuum holes **3204** which allow noxious fumes and smoke to be drawn into the vacuum channel **3206**. The retractor blade **3202** is pivotably coupled to the handle **14** so that the retractor blade has a retracted position (seen in FIG. **32A**) and an extended position (seen in FIG. **32B**). In the retracted position, the retractor blade lies substantially parallel with the handle body **14**. When the retractor blade is pivoted outward away from the handle, the retractor blade is extended preferably into a position that is orthogonal to the handle plane where it locks into position with detents or other locking mechanisms well known in the art. Once the retractor blade locks into the extended position, the blade is substantially parallel to the blade illuminator. Additionally a vacuum fitting **3208** on the retractor blade couples with a port **3218** on the handle that is coupled with the suction lumen **3216**. Thus, when the retractor blade is extended, the suction automatically is coupled with the handle. Additionally, the retractor blade may retract into engagement with the blade illuminator and the two elements may snap together. In an alternative embodiment, the vacuum channel is an open channel, and the retractor blade sealingly engages the illuminator blade thereby sealing the channel so that suction may be applied to the vacuum holes **3204**. Retractor blade fits in the space **3214** created between the blade illuminator and the distal end of the handle. Once the retractor blade is extended, it may be inserted into a surgical field for retraction of tissue.

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Light **3220** is extracted from the blade illuminator via surface features **3212** and directed toward the surgical field. The retractor blade may also be releasably coupled with the handle so that it may be replaced with a retractor blade having a different configuration. Any of the features of previously embodiments may be combined with or substituted with features of the embodiment in FIGS. **32A-32B**. Similarly, features of the embodiment in FIGS. **32A-32B** may be used in any of the embodiments disclosed elsewhere in this disclosure.

FIG. **33** illustrates still another exemplary embodiment of an illuminated retractor with a releasable retractor blade. The handle **14** and flange **20** generally take the same form as previous embodiments. A blade illuminator **3202** is also fixedly or releasably coupled to the handle **14** along with a short section **3206** of a retractor blade having a vacuum channel **3208** and a fitting **3210**. The short section **3206** may be shorter than, the same length as, or longer than the blade illuminator **3202**. Various length and geometry retractor blade extensions **3212a**, **3212b**, **3212c** can then be coupled with the short section **3206** depending on the anatomy being treated. Fittings **3216** on the various retractor blade extensions **3212a**, **3212b**, **3212c** allow the retractor blade to be releasably coupled with fitting **3210** and with the short section **3206** of the retractor blade. The suction lumen **3208** in the short section **3206** may be coupled with the suction lumen **3214a**, **3214b**, **3214c** in the extensions. A suction line in the handle **3204** is coupled with the suction lumen **3208** in the short section and may be coupled to an external source of vacuum. Any of the features of this embodiment may be substituted with or combined with features of any of the other embodiments disclosed herein. Similarly, any of the features in this embodiment may be used in any of the other embodiments disclosed herein.

#### Surgical Method

Once a preferred retractor blade **12** and handle **14** have been selected and engaged using any of the engagement mechanisms described herein, and preferably a blade illumination device **1108** is coupled to the handle and a light input cable **56** optically couples the blade illumination device with a light source, the retractor may be used to retract tissue, illuminate the surgical field, and evacuate smoke or fumes therefrom as seen in FIGS. **24A-24E**.

FIG. **24A** illustrates the assembled retractor being positioned adjacent an incision **I**. The retractor may be used to retract any tissue, but preferably is used to retract soft tissue such as in breast or thyroid surgery. In FIG. **24B**, the distal tip of the retractor blade is advanced into the incision, and in FIG. **24C**, the retractor blade is retracted proximally, here in a vertical direction to retract tissue and create a pocket **2402**. Surgical instruments, equipment, as well as the surgeon's hands may be placed in the pocket **2402** to perform diagnostic or therapeutic procedures. Since it will be difficult to see in the pocket, the blade illumination device **1108** is also advanced into the pocket as the retractor blade is inserted into the pocket, thereby illuminating the pocket as seen in FIG. **24D**. Additionally, electro surgical instruments **2404** such as cautery devices may be used during the procedure as seen in FIG. **24E**, and this may generate smoke or other noxious fumes which can be evacuated using the smoke features previously described above. The physician may change retractor blades at any time during the procedure in order to accommodate various anatomies, retraction direction, as well as physician position. As mentioned before, any of the features previously described herein may



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be used in this exemplary method, and thus one of skill in the art will appreciate that any number of combinations or substitutions are possible.

#### Thyroid Retractor

The surgical retractor embodiments described above are preferably used for retraction of soft tissue during procedures such as breast surgery. The following alternative embodiments are similar to those previously described, but have modifications that are preferable for accommodating soft tissue retraction in other anatomies and procedures, such as during thyroid surgery. The following embodiments may be combined with or substituted with any of the features previously described above. For example, any of the handle, retractor blade or blade adjustment features may easily be incorporated into the embodiments described below. Additionally, the illumination blade features, smoke evacuation, and blade-handle engagement mechanisms may also be used in the embodiments described below. Thus, one of skill in the art will appreciate that any combination of the features described above may be used with or substituted for any of the features described herein. Similarly, any of the features described below may be used with or substituted with the embodiments previously described above.

Referring to FIG. 25, illuminated soft tissue retractor **2510** includes retractor assembly **2512**, illumination waveguide assembly **2514** and illumination assembly **2515**. Proximal projection **2517** extends generally perpendicular from retractor body **2512A**. Retractor blade **2512B** is coupled with a distal portion of the retractor body **2512A** and may include a proximal portion that generally lies in the same plane as the retractor body **2512A**, and a distal portion which is transverse thereto. In some embodiments, the distal portion of the retractor blade is orthogonal to the proximal portion of the retractor blade, although other angles may be used. Proximal projection **2517** optimizes application of counter traction without the need for squeezing retractor body **2512A** which often leads to fatigue. Proximal projection **2517** may be weighted to balance the instrument as well as enabling the retractor to provide counter traction by itself. Proximal projection **2517** may be formed of heavier material than retractor body **2512A** or retractor blade **2512B**. Alternatively, one or more weights may be secured within proximal projection **2517** such as weights **2516** (best seen in FIG. 28) to control the location of center of mass **2525** as shown in FIG. 28. The weights **2516** may be releasably connected to the proximal projection **2517** by disposing the weights in a plurality of apertures. The weights may be threadably engaged, press fit, or otherwise coupled with the proximal projection. The apertures may also be machined or otherwise formed into the proximal projection for proper weighting of the assembly.

The configuration of proximal projection **2517** further enables self-retraction by including a generally flat foot or surface **2518** to prevent rolling and sliding of the retractor when it is providing self-retraction. Retractor body **2512A** includes channel **2519** to accommodate and engage illumination assembly **2515** within the general profile of retractor body **2512A**. The illumination assembly **2515** preferably includes a cable for optically coupling the waveguide assembly **2514** with a light source (not illustrated). A proximal end of the illumination assembly **2515** optically may include a standard optical connector such as an ACMI connector for coupling the cable with the light source.

Referring now to FIG. 26, retractor assembly **2512** has a distal end **2512D** and a proximal end **2512P**. Proximal end **2512P** includes proximal projection **2517**, and distal end **2512D** includes retractor blade **2512B**. Retractor blade

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**2512B** includes waveguide socket **2520** for engaging illumination waveguide assembly **2514**. One of more additional waveguide securing elements may also be included such as clip socket **2521** for further engaging illumination waveguide assembly **2514** and maintaining total internal reflection (TIR) of the light conducted through the waveguide by minimizing contact between retractor blade **2512B** and waveguide assembly **2514**. When contact between retractor blade **2512B** and waveguide assembly **2514** cannot be eliminated, transmission efficiency is maintained by controlling where contact is made and minimizing the possibility of light escaping at the point(s) of contact. The waveguide may have active zones where light is transmitted through the waveguide by total internal reflection, and dead zones where substantially no light is transmitted by total internal reflection. Contact between the waveguide and the retractor blade is preferably limited to the dead zones of the waveguide in order to minimize light loss. Additionally, in preferred embodiments, an air gap is maintained between the active zones of the waveguide and the retractor blade, again to minimize light loss.

Referring now to FIG. 27, waveguide assembly **2514** includes waveguide **2514W** which is configured to provide optimal light conduction using total internal reflection (TIR) of the incident light introduced through light input **2522**. Light input **2522** preferably has a round or cylindrical input transitioning into a square or rectangular section that is then coupled with the remainder of the waveguide. This transition zone creates dead zones in the square or rectangular portion of the light input **2522** where substantially no light is transmitted by TIR, and thus this portion of the waveguide may be coupled to the clip **2527** in order to minimize light loss due to contact between the waveguide and the clip. The use of TIR provides optimal efficiency and enables maximum light available and optimal direction of light **2523** at first output surface **2524** and second output surface **2526** (best seen in FIG. 30). Light from the first output surface **2524** preferably is directed distally and laterally from the waveguide to illuminate the surgical field as indicated by the arrows emanating from output surface **2524**. Configured for use, waveguide **2514W** may engage a clip such as clip **2527** for securing waveguide **2514W** to the retractor blade connections such as clip socket **2521**. The clip also allows a light input cable (not illustrated) to be releasably coupled with the light input **2522**, and the clip also maintains an air gap around the cylindrical or round portion of the input **2522** to maximum light transmission efficiency. One or more shields such as light shield **2528** may also be included in waveguide assembly **2514**. The shield may be coupled with the clip **2527** to prevent direct contact with the waveguide, and the shield helps to protect the waveguide from damaged caused by other instruments in the surgical field, as well as shielding the operator from glare which may shine back into his/her eyes. FIG. 30 illustrates a side view of the waveguide assembly **2514** seen in FIG. 37.

Referring now to FIG. 28, retractor assembly **2512** includes retractor body **2512A** and retractor blade **2512B**. Retractor blade **2512B** is joined to retractor body **2512A** at transition zone **2529** along interface **2513**. Transition zone **2529** is configured to create drop angle **2530** between blade axis **2532** and retractor axis **2534**. Drop angle **2530** is ideally between 5 and 35 degrees although any other suitable angle may be used. For thyroid surgery, drop angle **30** is about 15 degrees. Retractor blade length **2536** and retractor blade depth **2537** may adopt any suitable dimensions depending on the type of surgery anticipated. For thyroid surgery, blade length of 30 to 50 mm and blade depth of 25 to 60 mm are



currently preferred. Of course, any dimensions may be used, and the exemplary ranges are not intended to be limiting. The inclination angle, angle **2538**, of the retractor blade may adopt any suitable angle. For thyroid surgery, blade inclination angle **38** of 90 degrees is currently preferred.

Retractor blade **2512B** has a proximal end **2540** which is secured to retractor body **2512A** at interface **2513**. Distal end **2542** of the retractor blade is configured for optimal utility in minimally invasive surgery. Retractor blade **2512B** is generally narrow along depth **2537**. In minimally invasive procedures it becomes important to enable tools to perform more than one function to save time and minimize movements of the surgical team. Distal end **2542** is configured with a trapezoidal tip **2543**. In the procedure outlined below and in other procedures, an illuminated soft tissue retractor such as retractor **2510** may be used for blunt dissection as well as tissue retraction. Around delicate structures it is necessary to control the amount of force applied to the tissues being dissected and extending tip width **2544** expands the area of contact with the tissue being retracted and lessens the force per unit area applied to the tissue being retracted.

Retractor body **2512A** may also include a source of illumination such as light **2546** and a portable source of energy such batteries **2547** to generate illumination. FIG. **29** illustrates a front perspective view of the retractor in FIG. **28**.

Illuminated soft tissue retractor **2510** may be used to perform many different minimally invasive and open surgical procedures. The following example of a thyroid procedure is by way of example and is not limiting. In practice, the illuminated soft tissue retractor is used to perform a minimally invasive thyroidectomy as described below.

FIG. **31** illustrates an illuminated retractor such as the embodiment described in FIGS. **25-30** above used in a thyroid procedure. The retractor **2512** is inserted into an incision **I** and is used to retract tissue **T** as described in greater detail below. This creates space for the surgeon to work and also allows surgical instruments **S** to be inserted into the surgical field such as an electrocautery device.

The patient is placed the supine position. Arms padded and tucked at the patient's side. A shoulder roll is placed to extend the neck and a foam donut placed to provide head support. A pillow is placed under the patient's knee and thigh high sequential hose applied. The head of the O.R. table is raised about 10 degrees and the foot lowered 10 degrees. The patient is then prepped and draped. Drapes are placed allowing access from the suprasternal notch to the chin and laterally to the margins of the sternocleidomastoid muscles.

After draping the cricoid cartilage is located by palpation. A skin marker is used to mark the incision no more than 1 cm below the cricoid cartilage and 3-4 cm long. If the incision is made lower than 1 cm the thyroid superior poles will be more difficult to dissect. The incision is made with a #15 blade through the skin and underlying platysma muscle. Double prong skin hooks are used to retract and lift the superior skin flap. A Kelly clamp is used to dissect the subplatysma plane. The inferior platysma plane is dissected in the same fashion. Grasping the proximal projection, the illuminated thyroid retractor is now used to retract the superior skin flap and illuminate the surgical site. The connective tissue between the strap muscles may be readily identified due to the improved illumination in the surgical site. Dissection is performed through the connective tissue with a Kelly clamp and electrocautery. The strap muscles are dissected both superiorly and inferiorly. Blunt dissection is

utilized along with traction-counter traction to mobilize the strap muscles from the thyroid. A peanut sponge is used for blunt dissection. Similarly, the distal end of the illuminated soft-tissue retractor may be used for blunt dissection with improved visualization of adjacent structures owing to the illumination from the TIR waveguide. The blade of the illuminated thyroid retractor is placed under the strap muscles and the proximal projection is pulled laterally to provide the necessary counter traction.

The proximal projection provides a suitable location for application of counter traction without requiring the fatiguing tension that must often be applied to conventional retractors. At this point the overhead surgical lights do not provide adequate light. The illuminated soft tissue retractor provides the light necessary to continue the procedure in the surgical cavity. Careful blunt dissection is continued with counter traction to sweep the adherent connective tissue from the thyroid lobe. This dissection is done medially to far lateral thus mobilizing the thyroid from the adjacent structures including the carotid artery.

Dissection of the thyroid superior pole is now performed with a peanut sponge and counter traction with the illuminated thyroid retractor. Once the connective tissue is dissected the thyroid lobe is retracted inferiorly and medially. The space between the thyroid gland and cricothyroid muscles is identified. A Kelly clamp and peanut sponge is used to free the thyroid gland from the cricothyroid muscle. A Babcock clamp is placed on the gland to aid retraction and place tension on the superior pole. A Kelly clamp is used to identify and dissect the superior pole vessels. The superior parathyroid gland is also identified and dissected at this time. Counter traction and illumination is maintained with the illuminated thyroid retractor while the superior poles vessels are ligated.

Once the superior pole vessels are ligated the thyroid lobe is reflected medially and superiorly. The illuminated thyroid retractor is repositioned laterally to expose the lateral and inferior structures of the thyroid gland. Peanut sponges are used to dissect the remaining connective tissue. A Mosquito clamp is used to dissect and identify the inferior parathyroid gland, thyroid vessels, and the recurrent laryngeal nerve. Meticulous dissection is required to avoid injury to the recurrent laryngeal nerve. Remaining thyroid vessels are ligated. The connective tissue between the thyroid gland and trachea are dissected with a Mosquito clamp and peanut sponges. The dissection is continued medially to the Ligament of Berry. A Mosquito clamp is used to dissect and clamp the Ligament of Berry. Sharp dissection with a #15 blade and the remaining tissue is ligated. (The same technique is then performed in the same order on the opposite lobe). Once the thyroid resection is completed hemostasis is obtained. The strap muscles are re-approximated with 3-0 absorbable suture. The dermis is closed with 5-0 absorbable suture. A 5-0 subcuticular suture is used to close the skin. Any suitable op-site dressing is used to dress the wound.

Traction-countertraction is a technique used to provide tissue dissection and visualization of the recurrent laryngeal nerves and parathyroids in a minimally invasive thyroid surgery as described above. It is critical that these structures are preserved and not injured during the thyroidectomy surgery. The traction-countertraction technique is conventionally accomplished by using a USA or Army-Navy retractor to pull the strap muscles and carotid artery sheath away from the thyroid gland and at the same time retracting the thyroid gland in the opposite direction.

In order to see into the surgical site a headlight may be used. The headlight provides a unidirectional beam of light



that is aimed by the surgeon. As the thyroid is dissected, the surgeon has to constantly change the position of his head, neck, and upper body in order to shine the light beam onto the different areas being dissected. Constantly having to change positions adds stress to the surgeon and in some instances he is unable to aim the light where it is needed. Therefore the illuminated retractors described herein may be used with the headlight or alone to illuminate the surgical field.

Illuminated soft tissue retractor **2510** has a longer and narrower retractor blade than conventional thyroid surgery retractors. The trapezoidal tip flares out providing increased surface area for retraction and dissection. The proximal projection easily engages the surgeon's hand lessening fatigue. The drop angle of 15 degrees allows the surgeon to retain his arm and shoulder in a more neutral position compared to conventional retractors. The inclusion of the TIR waveguide optimizes tissue visualization in deep surgical sites without the use of fatiguing headlamps.

In an alternate configuration, retractor assembly **2512** may be formed of separable elements. Retractor blade **2512B** may be replaceable and may be separated from retractor body **2512A** at interface **2513**.

While preferred embodiments of the present invention have been shown and described herein, it will be obvious to those skilled in the art that such embodiments are provided by way of example only. Numerous variations, changes, and substitutions will now occur to those skilled in the art without departing from the invention. It should be understood that various alternatives to the embodiments of the invention described herein may be employed in practicing the invention. It is intended that the following claims define the scope of the invention and that methods and structures within the scope of these claims and their equivalents be covered thereby.

What is claimed is:

1. A surgical retractor, said retractor comprising: a handle having a proximal portion and a distal portion, and a vacuum line disposed alongside or within the handle; an elongate retractor blade coupled to the handle having a proximal portion and a distal portion; at least one smoke evacuation channel disposed along a length of the elongate retractor blade; and at least one vacuum regulating element adjacent the elongate retractor blade, wherein the at least one vacuum regulating element comprises at least one vane, wherein the at least one vane comprises a first vane and a second vane adjacent the elongate retractor blade, wherein the first vane and the second vane are slidably disposed over one another, wherein the first vane comprises a plurality of through holes, and wherein the second vane is slidably disposed over the first vane such that movement of the second vane relative to the first vane controls a number of through holes that are exposed.

2. The retractor of claim 1, wherein the vacuum line is fluidly connected to a vacuum source adjacent the proximal portion of the handle.

3. The retractor of claim 1, wherein the vacuum line and the at least one smoke evacuation channel are fluidly connected adjacent the distal portion of the handle.

4. The retractor of claim 3, wherein the elongate retractor blade is disposed in a plane that is transverse to a plane in which the handle lies.

5. The retractor of claim 1, wherein the at least one smoke evacuation channel comprises a plurality of smoke evacu-

ation channels, and wherein the plurality of smoke evacuation channels are parallel along a length of the elongate retractor blade.

6. The retractor of claim 1, wherein the at least one smoke evacuation channel comprises a plurality of smoke evacuation channels, and wherein two or more of the plurality merge into a single channel.

7. The retractor of claim 1, wherein the distal portion of the handle is releaseably coupled to the proximal portion of the elongate retractor blade.

8. The retractor of claim 1, further comprising an illumination element adjacent the elongate retractor blade.

9. The retractor of claim 8, wherein the elongate retractor blade comprises at least one receptacle, and wherein the illumination element has one or more protuberances that extend therefrom and that protrude into the retractor blade receptacle to help secure the illumination element to the elongate retractor blade.

10. The retractor of claim 8, wherein the illumination element is disposed over the at least one smoke evacuation channel, thereby sealing the channel.

11. The retractor of claim 1, wherein the vacuum regulating element comprises an illumination element adjacent the elongate retractor blade, and wherein the illumination element is disposed over the at least one smoke evacuation channel, thereby sealing the channel.

12. The retractor of claim 11, wherein the elongate retractor blade comprises at least one receptacle, and wherein the illumination element has one or more protuberances that extend therefrom and that protrude into the retractor blade receptacle to help secure the illumination element to the elongate retractor blade.

13. The retractor of any one of claim 1, wherein the at least one vane is disposed over the at least one smoke evacuation channel, thereby sealing the at least one smoke evacuation channel.

14. The retractor of claim 13, wherein the at least one vane is press fit over the at least one smoke evacuation channel, thereby sealing the at least one smoke evacuation channel.

15. The retractor of claim 13, wherein the elongate retractor blade comprises slots disposed along a length of the elongate retractor blade, and wherein the vane is slidably advanced along the slots and over the at least one smoke evacuation channel, thereby sealing the at least one smoke evacuation channel.

16. The retractor of claim 1, wherein one vane has at least one through hole.

17. The retractor of claim 16, wherein the shape of the at least one through hole is tapered.

18. The retractor of claim 16, wherein the at least one through hole is disposed over the at least one smoke evacuation channel.

19. The retractor of claim 1, wherein the first vane and second vane abut one another end-to-end.

20. The retractor of claim 1, wherein the first vane and the second vane are coupled together using a scarf joint.

21. The retractor of claim 1, wherein the at least one smoke evacuation channel is disposed within the elongate retractor blade, and wherein at least one through hole fluidly connects an outer side of the elongate retractor blade to the at least one smoke evacuation channel.

22. The retractor of claim 1, wherein the handle further comprises a flared region, a scalloped region, an ergonomically-shaped region, or a textured region to facilitate handling by the surgeon.