



US009104600B2

(12) **United States Patent**  
**King et al.**

(10) **Patent No.:** **US 9,104,600 B2**  
(45) **Date of Patent:** **Aug. 11, 2015**

(54) **MERGING DIRECT MEMORY ACCESS WINDOWS**

(71) Applicant: **International Business Machines Corporation**, Armonk, NY (US)

(72) Inventors: **Justin K. King**, Rochester, MN (US);  
**John R. Oberly, III**, Rochester, MN (US);  
**Travis J. Pizel**, Rochester, MN (US)

(73) Assignee: **International Business Machines Corporation**, Armonk, NY (US)

(\*) Notice: Subject to any disclaimer, the term of this patent is extended or adjusted under 35 U.S.C. 154(b) by 146 days.

6,629,162	B1	9/2003	Arndt et al.	
7,783,858	B2	8/2010	Chiang et al.	
7,868,897	B2 *	1/2011	Vembu et al.	..... 345/531
8,082,400	B1	12/2011	Chang et al.	
8,286,177	B2	10/2012	Hollingsworth	
8,312,230	B2	11/2012	Jacobs et al.	
8,327,085	B2	12/2012	Anand et al.	
8,327,370	B2	12/2012	Atyam et al.	
8,413,143	B2	4/2013	Cardona et al.	
8,806,098	B1 *	8/2014	Mandapuram et al.	..... 710/104
2006/0212870	A1	9/2006	Arndt et al.	
2012/0151471	A1	6/2012	Brownlow et al.	
2013/0055277	A1	2/2013	Ashish et al.	
2013/0091321	A1	4/2013	Nishtala et al.	
2013/0198439	A1	8/2013	Kurotsuchi et al.	
2014/0281056	A1	9/2014	Davda et al.	
2015/0058594	A1	2/2015	King et al.	
2015/0058596	A1	2/2015	King et al.	
2015/0058597	A1	2/2015	King et al.	

\* cited by examiner

(21) Appl. No.: **13/973,621**

(22) Filed: **Aug. 22, 2013**

(65) **Prior Publication Data**

US 2015/0058593 A1 Feb. 26, 2015

(51) **Int. Cl.**

**G06F 13/00** (2006.01)  
**G06F 13/28** (2006.01)  
**G06F 12/10** (2006.01)

(52) **U.S. Cl.**

CPC ..... **G06F 12/1081** (2013.01)

(58) **Field of Classification Search**

CPC ..... G06F 12/1081; G06F 12/10  
See application file for complete search history.

(56) **References Cited**

**U.S. PATENT DOCUMENTS**

6,061,773 A 5/2000 Harvey et al.  
6,308,247 B1 10/2001 Ackerman et al.

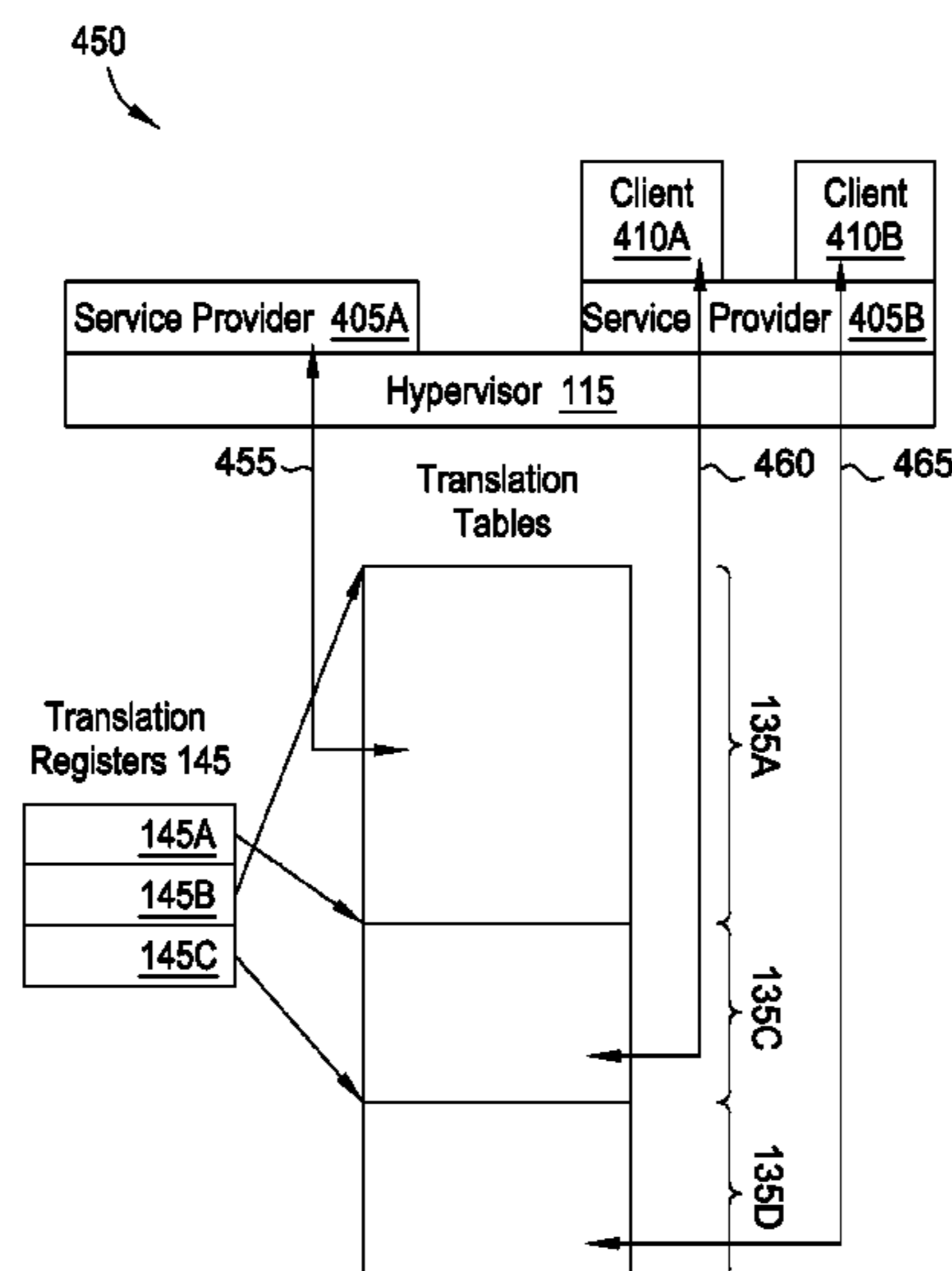
*Primary Examiner* — Eric S Cardwell

(74) *Attorney, Agent, or Firm* — Patterson & Sheridan, LLP

(57) **ABSTRACT**

A computing device may merge two translation tables used when performing a DMA operation into a single, combined translation table. To merge the translation tables, the computing device may update a register in the IOMMU to include a pointer to the combined translation table. In addition, the IOMMU may clear one of the registers from having a pointer to one of the merged translation table. Doing so means the entries in this translation table are now no longer assigned. The IOMMU may update the register with the pointer to the combined translation table to include the unassigned entries in the combined translation table. In this manner, the entries from the two translation tables are merged into the single, combined table. The combined translation table may be owned or assigned to a service provider that originally owned one of the merged translation tables or to a completely different service provider.

**16 Claims, 7 Drawing Sheets**



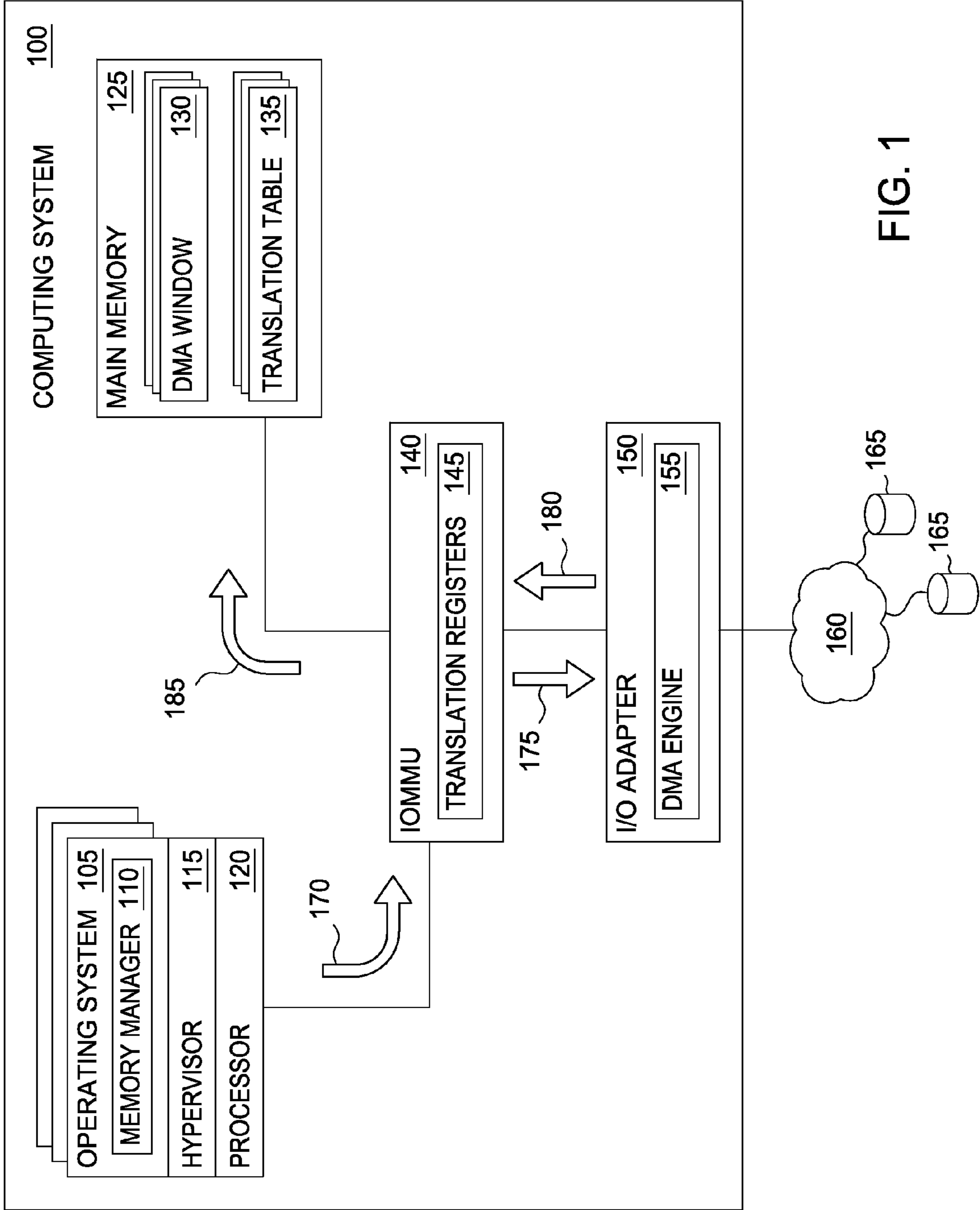
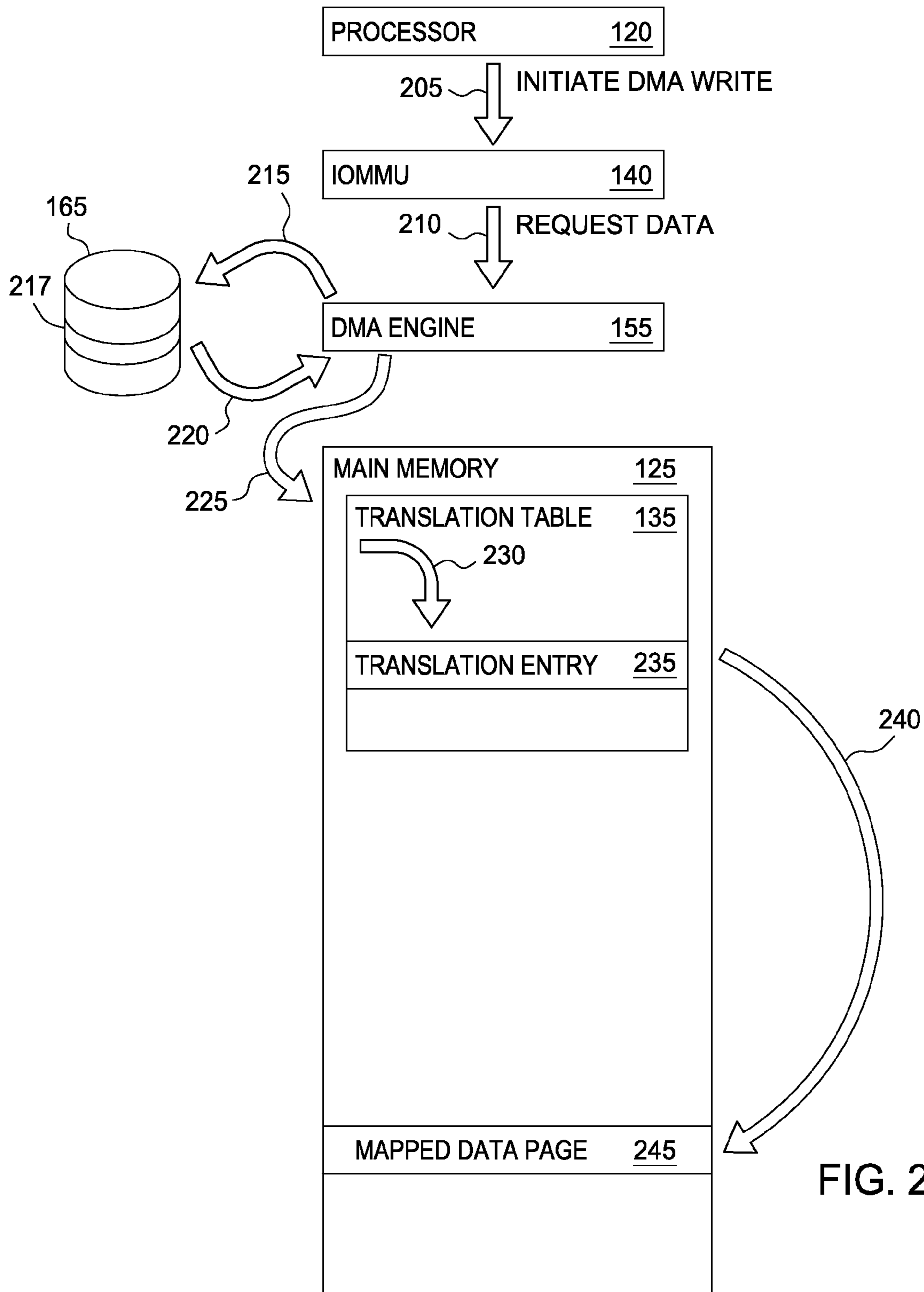


FIG. 1



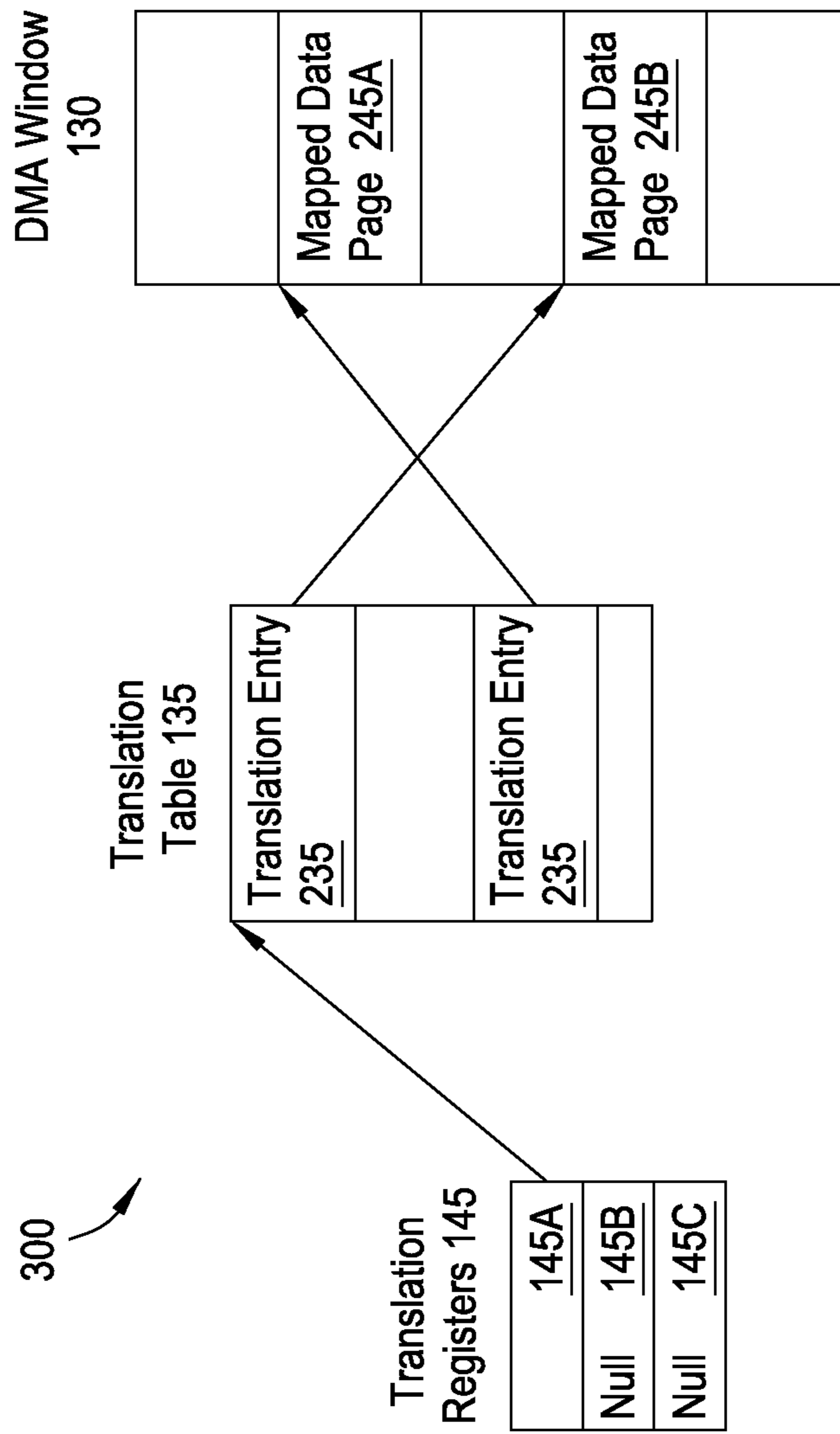


FIG. 3

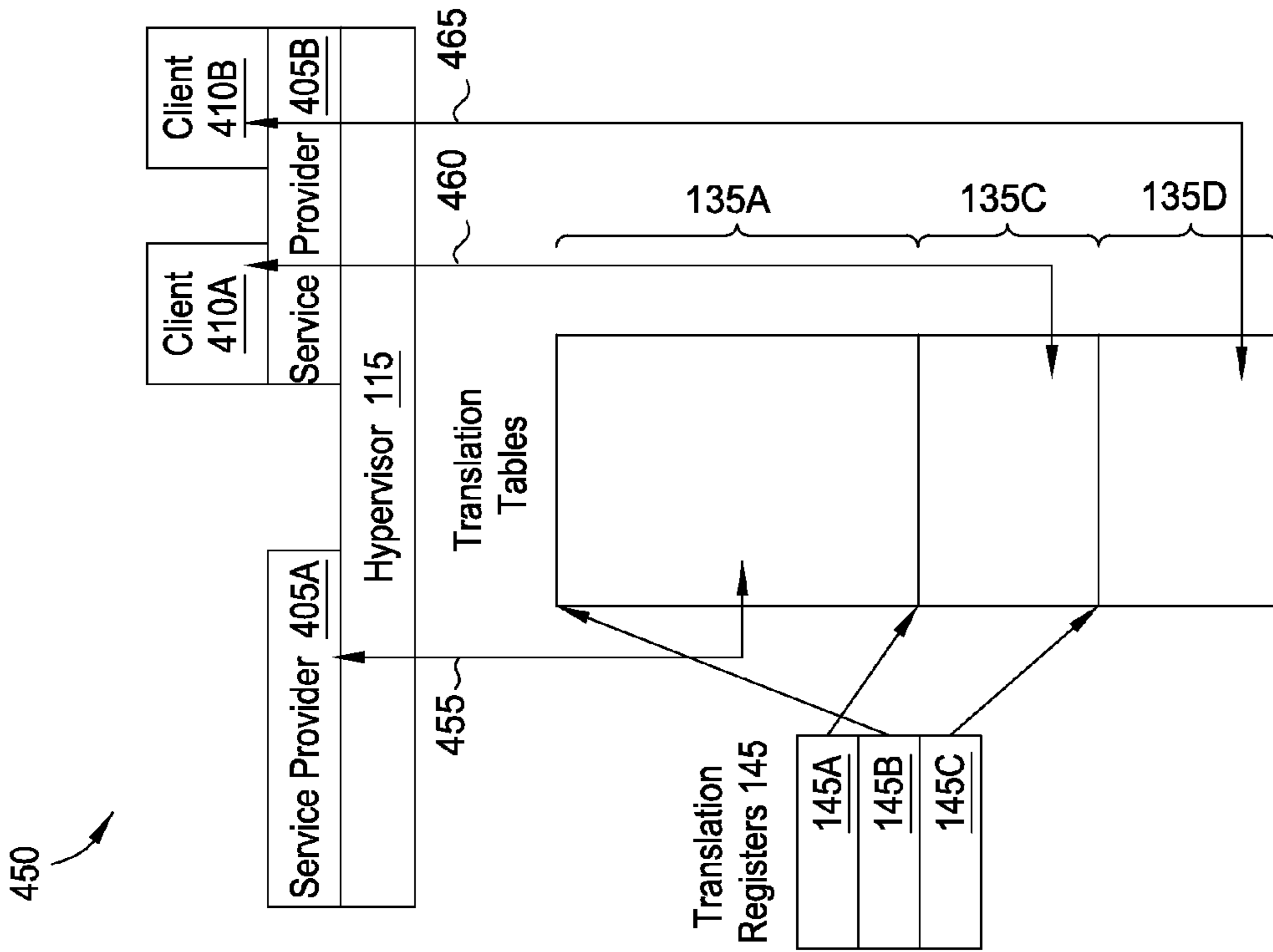


FIG. 4B

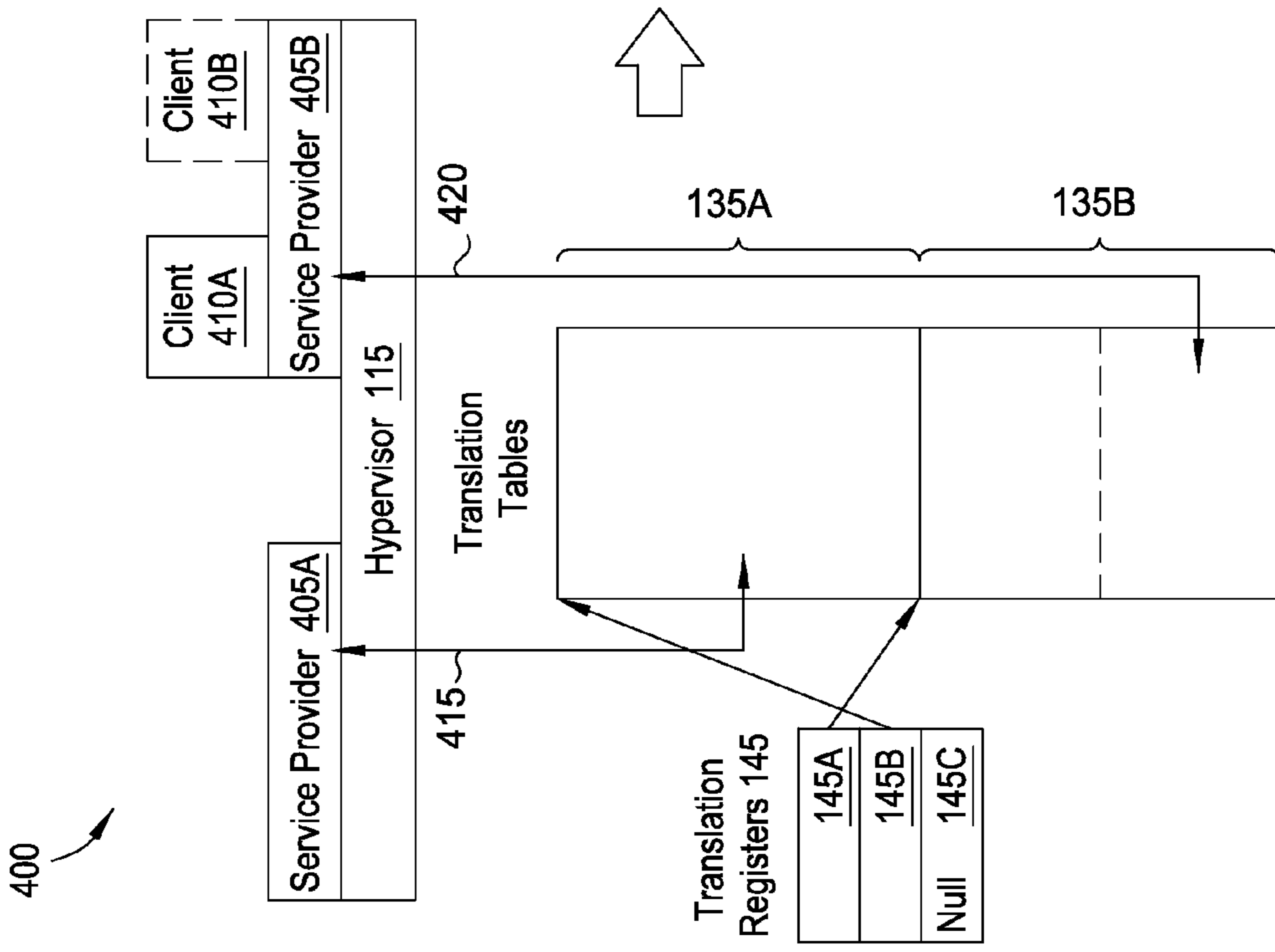


FIG. 4A

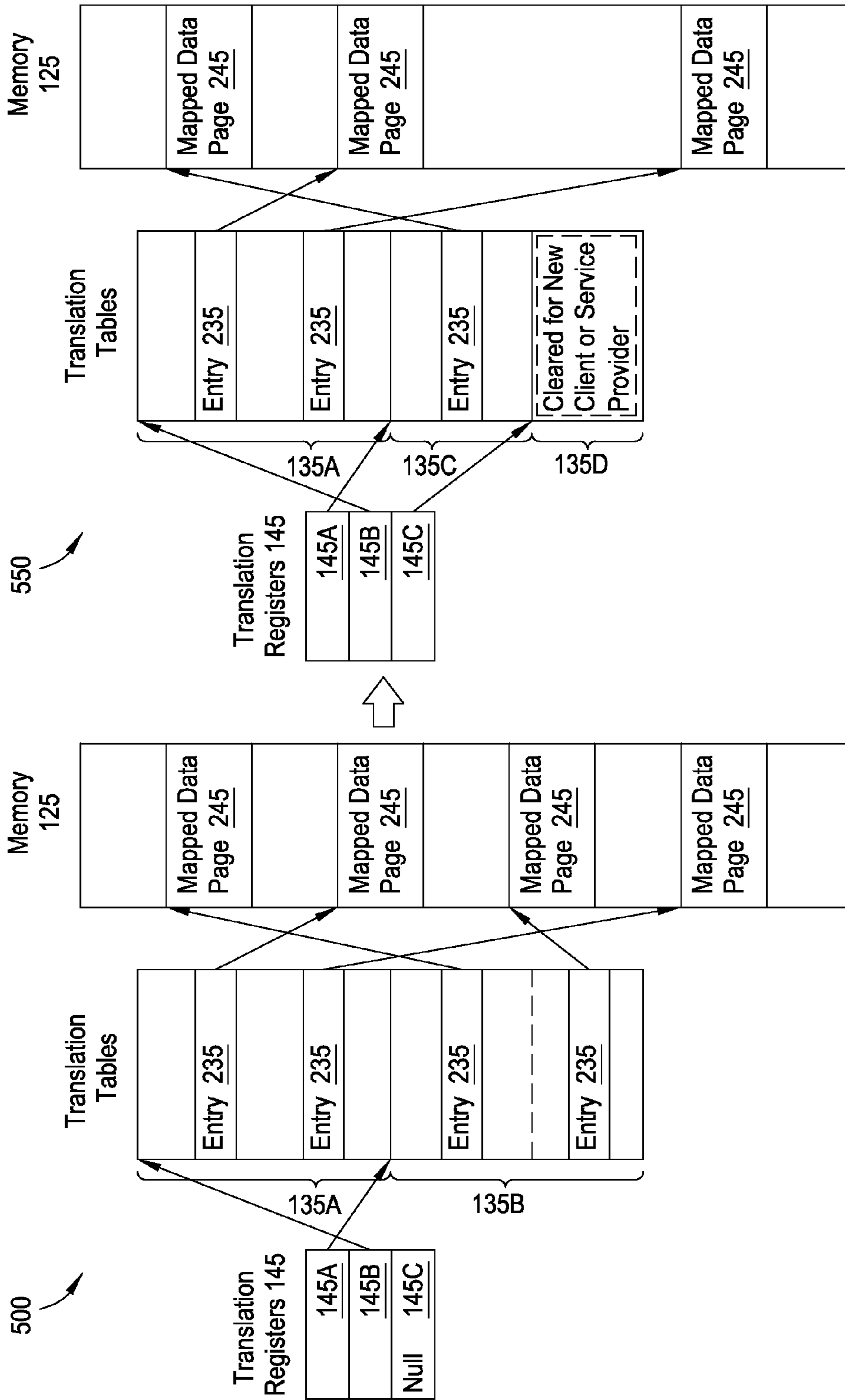


FIG. 5B

FIG. 5A

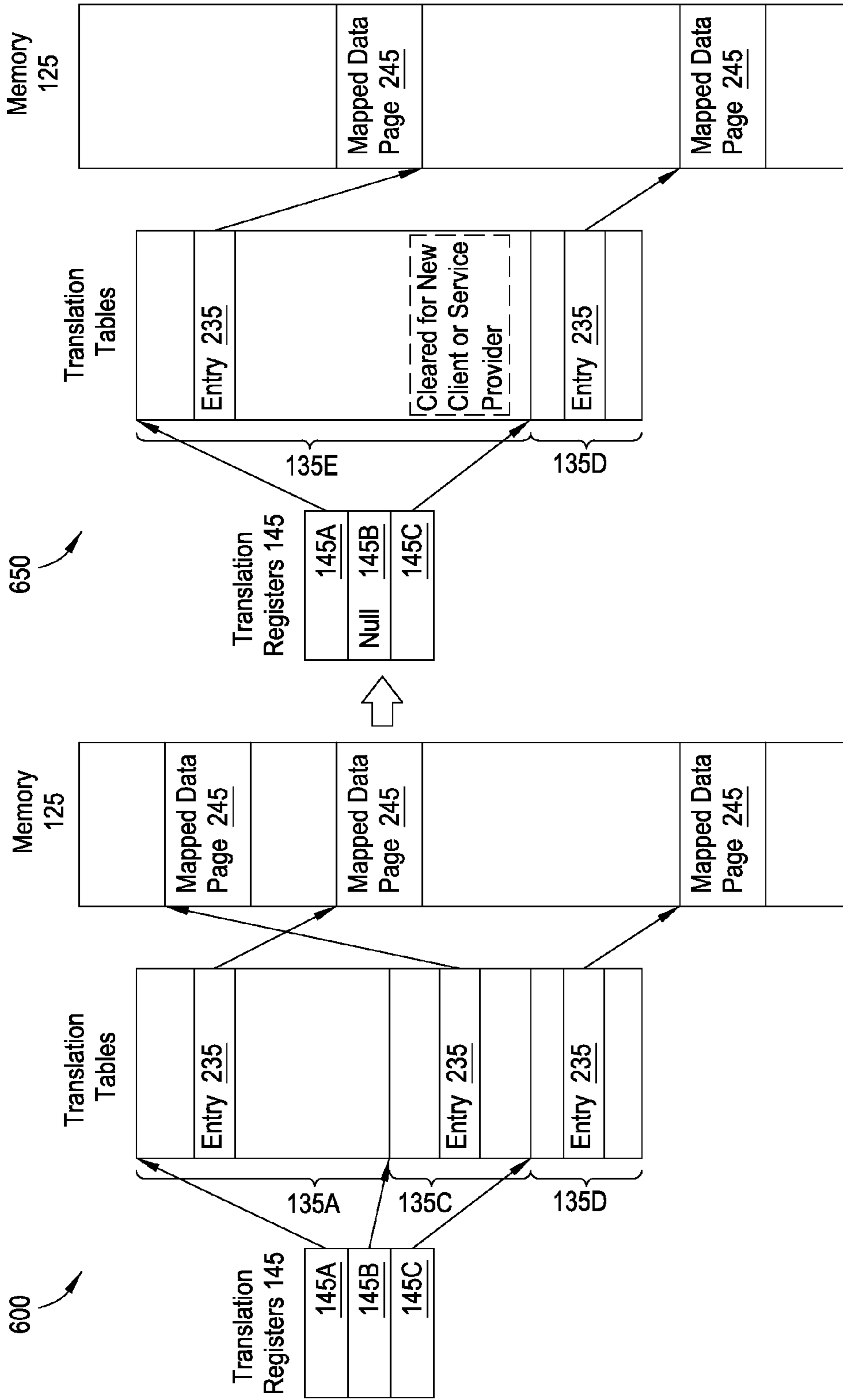


FIG. 6A

FIG. 6B

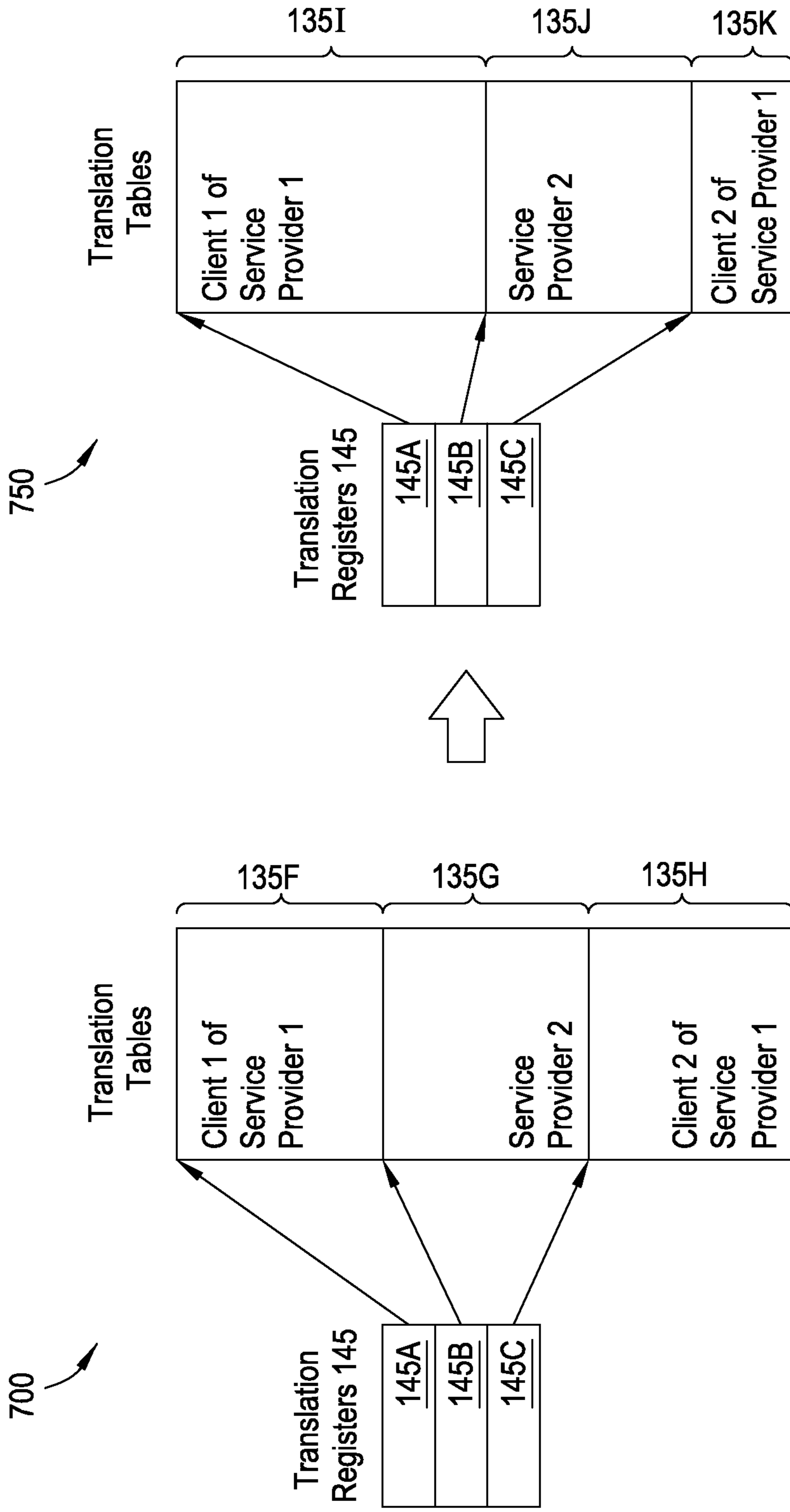


FIG. 7B

FIG. 7A



**1****MERGING DIRECT MEMORY ACCESS  
WINDOWS**

## BACKGROUND

Computing systems often include I/O adapters that are configured to communicate over a network or connect to periphery devices that enhance the capability of the computing system, such as providing additional storage. If the I/O adapter is coupled to an Ethernet network, for example, packets of data are sent from computer to computer according to one or more communication protocols, such as Transmission Control Protocol (TCP) and Internet Protocol (IP). Each computer in the network, for example, may include an I/O Ethernet adapter configured to facilitate communications between an operating system (OS) running on each of the respective computing systems. The operating systems may include a device driver configured to interact with the I/O adapter of the respective computer.

## SUMMARY

Embodiments of the present disclosure include a method and a computer program product. The method and program product determine to merge a first direct memory access (DMA) translation table assigned to a first service provider in a computing system and a second DMA translation table assigned to a second service provider in the computing system into a combined translation table where the combined translation table performs a virtual address to physical address translation for accessing data stored in respective DMA windows. The DMA windows define respective portions of memory in the computing system. The method and program product update a pointer in a first register of a plurality of registers that previously referenced the first translation table to reference the combined translation table and clear a pointer in a second register of the plurality of registers that previously referenced the second translation table. The method and program product assign the combined translation table to the first service provider where the first service provider is configured to use the combined translation table for performing a DMA operation between an I/O adapter and one of the DMA windows.

Another embodiment of the present disclosure includes a computer system. The computer system includes a hypervisor configured to determine when to merge a first direct memory access (DMA) translation table assigned to a first service provider in a computing system and a second DMA translation table assigned to a second service provider in the computing system into a combined translation table, the combined translation table performing a virtual address to physical address translation for accessing data stored in respective DMA windows. The DMA windows define respective portions of memory in the computing system. The computer system also includes an I/O adapter and an I/O memory management unit configured to update a pointer in a first register of a plurality of registers that previously referenced the first translation table to reference the combined translation table and clear a pointer in a second register of the plurality of registers that previously referenced the second translation table. Furthermore, the combined translation table is assigned to the first service provider where the first service provider is configured to use the combined translation table for performing a DMA operation between an I/O adapter and one of the DMA windows.

**2****BRIEF DESCRIPTION OF THE SEVERAL  
VIEWS OF THE DRAWINGS**

FIG. 1 illustrates a computing system for performing a direct memory access operation, according to one embodiment described herein.

FIG. 2 is a flow diagram for performing a direct memory access write operation, according to one embodiment described herein.

FIG. 3 illustrates a system for using a translation register and table to access a DMA window, according to one embodiment described herein.

FIGS. 4A-4B illustrate splitting a translation table, according to embodiments described herein.

FIGS. 5A-5B illustrate modifying translation registers and tables when splitting a translation table, according to embodiments described herein.

FIGS. 6A-6B illustrate modifying translation registers and tables when merging two translation tables, according to embodiments described herein.

FIGS. 7A-7B illustrate modifying translation registers and tables when swapping space in the translation tables between service provider, according to embodiments described herein.

To facilitate understanding, identical reference numerals have been used, where possible, to designate identical elements that are common to the figures. It is contemplated that elements disclosed in one embodiment may be beneficially utilized on other embodiments without specific recitation.

## DETAILED DESCRIPTION

Embodiments described herein manage address translation tables by merging or splitting the tables in order to change the size of corresponding direct-memory access (DMA) windows. As used herein, a DMA window is a portion of memory (which may include contiguous or discontinuous chunks of memory) in a computing device that is mapped by entries within a translation table—e.g., each entry in the translation table may map to one of the chunks of the DMA window. Each DMA window and its corresponding translation table may be assigned to a specific service provider in the computing system such as a virtual machine, operating system, an I/O adapter, and the like. During a DMA read or write, the translation table converts a virtual address used by the I/O adapter to a physical address of memory in the DMA window. To do so, the translation table may include a plurality of different entries that map to separate chunks or data pages in the DMA window. Changing the size of the translation table (i.e., the number of entries containing in the table) also alters the number of data pages in the DMA window that can be mapped to the translation table.

In one embodiment, the computing device may split a translation table into two different translation tables. The two translation tables may be owned by the same service provider or one of the tables may be assigned to a different service provider. For example, a service provider may be servicing two different clients (e.g., applications). Instead of the clients sharing the same DMA window that is associated with the service provider, the computing device may split the translation table and assign the one of the translation tables to each of the clients. In this manner, each client is assigned an individual DMA window in memory that is protected from the other client. Alternatively, the service provide may not be efficiently utilizing its DMA window. Thus, to more efficiently use the system memory, the provider's translation table may be split where one of the new translation tables is assigned to a different service provider that may benefit (e.g.,

experience increased performance) from the addition of the new translation table and its associated DMA window.

In another embodiment, two or more translation tables may be merged into a signal translation table. For example, if a service provider owns two translation tables that are assigned to respective clients, if one of the clients is no longer executing, the computing device may merge the translation tables into a single translation table and DMA window. When splitting or merging translation tables, in one embodiment, the computing device may clear the entries in the translation table before the translation table is reassigned to a new service provider or client.

The descriptions of the various embodiments of the present invention have been presented for purposes of illustration, but are not intended to be exhaustive or limited to the embodiments disclosed. Many modifications and variations will be apparent to those of ordinary skill in the art without departing from the scope and spirit of the described embodiments. The terminology used herein was chosen to best explain the principles of the embodiments, the practical application or technical improvement over technologies found in the marketplace, or to enable others of ordinary skill in the art to understand the embodiments disclosed herein.

As will be appreciated by one skilled in the art, aspects of the present invention may be embodied as a system, method or computer program product. Accordingly, aspects of the present invention may take the form of an entirely hardware embodiment, an entirely software embodiment (including firmware, resident software, micro-code, etc.) or an embodiment combining software and hardware aspects that may all generally be referred to herein as a "circuit," "module" or "system." Furthermore, aspects of the present invention may take the form of a computer program product embodied in one or more computer readable medium(s) having computer readable program code embodied thereon.

Any combination of one or more computer readable medium(s) may be utilized. The computer readable medium may be a computer readable signal medium or a computer readable storage medium. A computer readable storage medium may be, for example, but not limited to, an electronic, magnetic, optical, electromagnetic, infrared, or semiconductor system, apparatus, or device, or any suitable combination of the foregoing. More specific examples (a non-exhaustive list) of the computer readable storage medium would include the following: an electrical connection having one or more wires, a portable computer diskette, a hard disk, a random access memory (RAM), a read-only memory (ROM), an erasable programmable read-only memory (EPROM or Flash memory), an optical fiber, a portable compact disc read-only memory (CD-ROM), an optical storage device, a magnetic storage device, or any suitable combination of the foregoing. In the context of this document, a computer readable storage medium may be any tangible medium that can contain, or store a program for use by or in connection with an instruction execution system, apparatus, or device.

A computer readable signal medium may include a propagated data signal with computer readable program code embodied therein, for example, in baseband or as part of a carrier wave. Such a propagated signal may take any of a variety of forms, including, but not limited to, electro-magnetic, optical, or any suitable combination thereof. A computer readable signal medium may be any computer readable medium that is not a computer readable storage medium and that can communicate, propagate, or transport a program for use by or in connection with an instruction execution system, apparatus, or device.

Program code embodied on a computer readable medium may be transmitted using any appropriate medium, including but not limited to wireless, wireline, optical fiber cable, RF, etc., or any suitable combination of the foregoing.

Computer program code for carrying out operations for aspects of the present invention may be written in any combination of one or more programming languages, including an object oriented programming language such as Java, Smalltalk, C++ or the like and conventional procedural programming languages, such as the "C" programming language or similar programming languages. The program code may execute entirely on the user's computer, partly on the user's computer, as a stand-alone software package, partly on the user's computer and partly on a remote computer or entirely on the remote computer or server. In the latter scenario, the remote computer may be connected to the user's computer through any type of network, including a local area network (LAN) or a wide area network (WAN), or the connection may be made to an external computer (for example, through the Internet using an Internet Service Provider).

Aspects of the present invention are described below with reference to flowchart illustrations and/or block diagrams of methods, apparatus (systems) and computer program products according to embodiments of the invention. It will be understood that each block of the flowchart illustrations and/or block diagrams, and combinations of blocks in the flowchart illustrations and/or block diagrams, can be implemented by computer program instructions. These computer program instructions may be provided to a processor of a general purpose computer, special purpose computer, or other programmable data processing apparatus to produce a machine, such that the instructions, which execute via the processor of the computer or other programmable data processing apparatus, create means for implementing the functions/acts specified in the flowchart and/or block diagram block or blocks.

These computer program instructions may also be stored in a computer readable medium that can direct a computer, other programmable data processing apparatus, or other devices to function in a particular manner, such that the instructions stored in the computer readable medium produce an article of manufacture including instructions which implement the function/act specified in the flowchart and/or block diagram block or blocks.

The computer program instructions may also be loaded onto a computer, other programmable data processing apparatus, or other devices to cause a series of operational steps to be performed on the computer, other programmable apparatus or other devices to produce a computer implemented process such that the instructions which execute on the computer or other programmable apparatus provide processes for implementing the functions/acts specified in the flowchart and/or block diagram block or blocks.

FIG. 1 is a computing system **100** for performing a DMA operation, according to one embodiment described herein. The computing system **100** includes an operating system (OS) **105**, processor **120**, main memory **125**, input/output memory management unit (IOMMU) **140**, and input/output (I/O) adapter **150**. In one embodiment, the computing system **100** uses the I/O adapter **150** to transfer data to, and receive data from, a network **160** that includes one or more external storage elements or I/O devices **165**. Specifically, the computing system **100** may use the different hardware, firmware, or software components shown in FIG. 1 to perform DMA operations between the I/O adapter **150** and the main memory **125**.

## 5

A DMA operation is a feature that permits the computing system **100** to access memory independently of the processor **120** (e.g., a central processing unit that may include multiple cores or multiple processing elements). Without DMA, when the processor **120** uses programmed input/output, the processor **120** may be occupied for the entire duration of the read or write operation, and thus, is unavailable to perform other tasks. With DMA, the processor **120** initiates the transfer, may perform other tasks, and receives an interrupt or notification from a DMA controller—e.g., IOMMU **140**—when the DMA operation is complete.

Arrow **170** illustrates that the processor **120** transmits an instruction to the IOMMU to perform a DMA operation. For example, the processor **120** may initiate the DMA in response to a cache miss or a data request from a service provider (e.g., OS **105** or I/O adapter **150**). The IOMMU **140** instructs the I/O adapter **150** (as shown by arrow **175**) to retrieve one or more chunks of data (e.g., data pages) from a connected device. As shown in FIG. 1, the I/O adapter **150** (e.g., a network card) is coupled to a network **160** which communicatively couples the adapter **150** to the I/O devices **165** or data repositories. However, in other embodiments, the I/O adapter **150** may be a disk drive controller, graphics card, sound card or other adapter that permits a connection to a peripheral device—e.g., Fibre Channel or InfiniBand® connections (InfiniBand is a registered trademark of the InfiniBand Trade Association). In these embodiments, the network **160** may be omitted.

If the DMA access is a write operation, a DMA engine **155**, which may be firmware, hardware, or some mixture of both, transmits the DMA request to the I/O devices **165** using, for example, an Ethernet network **160**. The I/O devices **165** then return the requested data chunks to the DMA engine **155**. As shown by arrow **180**, the DMA engine **155** transfers the retrieved data chunks to the IOMMU **140** which maps the device-specific virtual address (also called I/O bus addresses) associated with the data chunks to physical memory address of the computer system **100**. In one embodiment, the IOMMU **140** uses the registers **145** which store pointers to the translation tables **135** to select which tables **135** is used when performing the memory address translation. I/O adapter **150**, DMA Engine **155**, and the IOMMU **140** retrieve and store data in the I/O devices **165** using virtual addresses to avoid having to allocate a large portion of contiguous physical memory of the main memory **125** to the I/O devices **165**. Instead, the IOMMU **140** uses the translation table **135** to map these contiguous virtual addresses to physical addresses (i.e., different chunks of a DMA window **130**) that may be fragmented—e.g., located in different memory modules in main memory **125**. Moreover, using the translation registers **145** and tables **135** allow the memory **125** to be divided into DMA windows **130** that are assigned to specific service providers (e.g., a virtual machine, OS **105**, I/O adapter **150**, and the like) which may prevent a service provider from corrupting data associated with other service providers.

Once the IOMMU **140** identifies the physical addresses corresponding to the retrieved data, as shown by arrow **185**, the IOMMU **140** transfers the data to the memory **125** which stores the retrieved data as, for example, data pages in the corresponding DMA window **130**. The processor **120** may then retrieve these data pages using the IOMMU **140** or a different communication path not shown in computing system **100**. The processor **120** may initiate a DMA read in a similar manner except that the IOMMU **140** retrieves data from the main memory **125**, uses the translation registers **145** and table **135** to map the physical addresses to device-specific

## 6

virtual addresses, and transmits the data to the I/O adapter **150** and DMA engine **155** which store the data in a connected device.

The computing system **100** also includes a hypervisor **115** which permits multiple operating systems to run concurrently on the system **100** in multiple virtual machines. Specifically, the hypervisor **115** enables the different operating systems to access and share the hardware resources of the computing system **100**. Of course, the hypervisor **115** may be optional if, for example, the computing system **100** does not use multiple operating systems **105**.

In one embodiment, the main memory **125** may be any memory that is external to the processor **120** in the computing system **100**—i.e., is not built into the integrated circuit of the processor **120**. For example, the main memory **125** may include one or more levels of cache memory as well as random access memory but may, in one embodiment, exclude memory coupled to I/O adapters **150** such as external storage networks or disk drives.

FIG. 2 is a flow diagram for performing a DMA write operation, according to one embodiment described herein. As shown by arrow **205**, the processor **120** initiates a DMA write operation by transmitting one or more instructions to the IOMMU **140**. In one embodiment, the processor **120** provides a specific I/O port or bus number (e.g., a virtual address) to use for the DMA operation, the direction of the I/O transfer (a read or write), and the number of bytes to transfer from the I/O device. The IOMMU **140** forwards the data request to the DMA engine **155** as shown by arrow **210**. In one embodiment, the IOMMU **140** is a hardware element fabricated within the processor **120**; however, in other embodiments the IOMMU **140** may either be a separate hardware element or firmware operating on a hardware component in the computing system other than processor **120**.

In one embodiment, the computing system may have a plurality of I/O adapters that each has a DMA engine **155**. Accordingly, the IOMMU **140** may determine which DMA engine should receive the request based on the I/O port specified by the processor **120**. The DMA engine **155** sends a request **215** to a coupled I/O device **165** using any one of a number of communication protocols or standards—e.g., Ethernet, Fibre Channel, Infiniband, etc. The I/O device **165** responds by transmitting the requested data **217** back to the DMA engine **155** as shown by arrow **220**. The DMA engine **155** or the IOMMU **140** may increment a byte count until it has retrieved all the bytes specified by the instructions received from the processor **120**.

Arrow **225** represents forwarding the retrieved data from the DMA engine **155** to the IOMMU **140** either one data word at a time or in a burst mode using, for example, a PCI or PCIe type connection. As shown by arrow **230**, the IOMMU **140** may use the translation registers (not shown) and the translation table **135** to translate the virtual address associated with the retrieved data (e.g., an I/O bus address) to a physical address in memory **125**. The translation table **135** includes a plurality of translation entries **235** that map one or more virtual addresses associated with the retrieved data to a physical memory addresses in a computing system. In one embodiment, each entry **235** in the translation table **135** may map to a specific mapped data page **245** in memory **125**. For example, an entry **235** may be an eight byte data structure that points to a four kilobyte data page **245** in main memory. Thus, if the retrieved data has a virtual address matching an entry **235**, the physical address indicated in the entry **235** is used to store the retrieved data in memory **125**. Of course, a plurality of virtual addresses may be associated with data retrieved from the data repository **165**, and thus, the IOMMU **140** may

use a plurality of translation entries **235** for translating the virtual addresses into physical addresses to complete the DMA operation.

After identifying the correct physical address as shown by arrow **230**, the IOMMU **140** forwards the retrieved data to the main memory **125** which may store the data as one or more mapped data pages **245** based on the physical address in the DMA window. After completing the DMA operation, the IOMMU **140** may transmit a notification to the processor to indicate that the requested data is now stored in memory **125**. The processor **120** may then retrieve the data page **245** from the main memory **125** in response to, for example, a request from a service provider.

FIG. **3** illustrates a system **300** for using a translation register **145** and table **135** to access a DMA window **130**, according to one embodiment described herein. For example, system **300** may illustrate the state of a computing device upon boot up where all of the memory is assigned to one service provider. The translation registers **145** may include a plurality of registers **145A-C** that may store a data structure that points to a translation table **135**. Here, because there is only one translation table **135**, only register **145A** has a non-null value. In one embodiment, the data structure in register **145A** may point to the beginning address of the translation table **135** as well as indicate the size or length of the translation table **135** (e.g., the number of entries in the table **135** or the last address of the table **135**). As shown in FIGS. **1** and **2**, the translation table **135** may be stored in the main memory **125** but this is not a requirement. In other embodiments, the translation tables **135** may be stored in the IOMMU or in specialized memory element (e.g., a ternary content-addressable memory). As will be discussed later, registers **145B** and **145C** provide memory storage elements for adding new translation tables **135** to the system **300**. Although the present disclosure describes using the registers **145** to point to respective translation tables, it is equally accurate to state that there is only one translation table in the computing system that may be sub-divided into different portions where each register **145** points to one of the translation table portions.

Translation table **135** includes one or more entries **235** that point to mapped data pages **245A** and **245B** in the DMA window **130** associated with the table **135**. In one embodiment, the total number of possible entries **235** in the translation table defines the maximum size of the DMA window **130**. If portions of the DMA window **130** are unused, then there may not be a corresponding entry in the translation table **135**. However, assuming that the DMA window **130** is full (i.e., stores the maximum number of data pages **245**), in one embodiment, the translation table **135** contains the maximum number of entries **235** where each entry **235** points to one of the mapped data pages **245** in the window **130**. However, in other embodiments, it may be desirable to have additional space in the DMA window **130** or the translation table **135** such that the number of entries **235** and mapped data pages **245** is not one-to-one—e.g., the DMA window **130** may contain additional memory that is not mapped by an entry **235** in table **135**.

As shown in FIG. **3**, the entries **245** and mapped data pages **245** do not need to be stored sequentially in the table **135** or window **130**. That is, there may be empty (or null) memory locations between valid translation entries **235** and data pages **245** that are currently unused. For example, at initialization, all the memory in tables **135** and DMA window **130** may be unused. However, as data is read from, or written to, the DMA window **130** during DMA read and writes, the system **300** may begin to generate that entries **235** and corresponding mapped data pages **245**.

### Splitting a Translation Table

FIGS. **4A-4B** illustrate splitting a translation table, according to embodiments described herein. Specifically, system **400** of FIG. **4A** illustrates a state before splitting translation table **135A**. As shown, system **400** includes two translation tables where translation table **135A** is assigned to service provider **405A** while translation table **135B** is assigned to service provider **405B**. In one embodiment, the data paths **415** and **420** illustrate that service provider **405A** may only access translation table **135A** in order to store, retrieve, or change data in its accompanying DMA window (not shown) and only service provider **405B** may access translation table **135B**. The IOMMU may be tasked with ensuring that only the service provider **405** assigned to the translation table **135** is permitted to read and write to the physical memory (e.g., the DMA window) mapped by the entries in the table **135**.

As discussed above, service provider **405A** may be an I/O adapter that retrieves and stores data in external data repositories. In one embodiment, the I/O adapter may be a PCIe device that is virtualized using the single root I/O virtualization protocol to generate a SR-IOV physical function (SR-IOV PF) which is used to configure and manage one or more SR-IOV virtual functions (SR-IOV-VF). In this example, the SR-IOV PF may be a service provider **405A** while the SR-IOV VFs are (virtualized) instances of the PCIe device. Generally, SR-IOV PFs are full-featured PCIe functions that can be discovered, managed, and manipulated like any other PCIe device. Furthermore, the SR-IOV PFs may have full configuration resources, meaning that the SR-IOV PF can configure or control the coupled PCIe device and move data in and out of the PCIe device. The SR-IOV VFs, in contrast, may be able to only move data in and out of the PCIe device. SR-IOV is also referred to as hardware virtualization since a hardware device—e.g., a PCIe device—is divided into multiple instances which can be assigned to various resources in the computing devices. Each SR-IOV VF may be assigned to a different OS or virtual machine executing in the client device. In addition the computing system may assign a DMA window and a corresponding translation table to the SR-IOV VFs. Thus, although FIGS. **4A-4B** associate only one translation tables **135A** with service provider **405A**, if the service provider **405A** is a SR-IOV PF, the system **400** may further divide the translation table **135A** and assign the resulting tables to each SR-IOV VF managed by the SR-IOV PF. Further still, in another embodiment, each SR-IOV VF may be a service provider where the associated translated tables may be split and assigned to other service providers—e.g., another SR-IOV VF.

Service provider **405B** may be a virtual machine, operating system, another I/O adapter and the like. For simplicity, assume that service provider **405B** is an operating system that services one or more clients **410** (e.g., applications). Currently service provider **405B** includes client **410A** which is permitted to access translation table **135B** for performing DMA read and writes. The ghosted lines indicate that the service provider **405B** is loading a new client **410B**. Although the clients **410** may access the same translation table **135B** when requesting DMA operations, in one embodiment, the service provider **405B** may have to provide data protection schemes to prevent one client **410** from accessing and corrupting the data associated with the other client **410**. Instead, by splitting the translation table **135B** into two different translation tables, this data protection may be provided by the hardware or firmware in the computing system (e.g., the IOMMU) rather than the service provider **405B**.

FIG. **4B** illustrates the system **450** after splitting the translation table **135B** into translation tables **135C** and **135D**. To

do so, the IOMMU stores a data structure in register **145C** (which was previously null) that points to the translation table **135D**. Moreover, the data structure in **145B** may be updated to reflect that translation table **135C** is smaller than translation table **135B** in FIG. 4A. That is, both translation table **135B** and **135C** start at the same physical address but new translation table **135C** include fewer entries. Arrow **460** illustrates that client **410A** is permitted to access the data mapped by translation table **135C** while arrow **465** illustrates that client **410B** is permitted to access the data mapped by translation tables **135D**. In one embodiment, the IOMMU serves as a gate keeper such that only the client **410** assigned to the translation table **135** is permitted to access the mapped data when, for example, requesting a DMA read or write.

In one embodiment, a translation table **135** may be split in manner desired. Using the example shown in FIGS. 4A and 4B, the size of translation table **135C** may be 90 percent the size of translation table **135B** while the other 10 percent is assigned to translation table **135D**. The ratio at which a translation table **135** is split may be selectable based on, e.g., the expected number of DMA requests the clients **410** will make. Namely, one client **410** may use DMA operations to read or write data in main memory from external data repositories more frequently than the other client **410**, and thus, may be assigned a greater portion of the split translation table. Alternatively, the computing system may split the translation tables **135** in a predetermined ratio (e.g., in half) regardless of the expected number of DMA operations a service provider or client will perform. For example, the addressing scheme used by the system **450** may stipulate that any acceptable size of the translation tables is based on a power of two which means splitting a translation table results in two equally sized translation tables.

In one embodiment, instead of splitting a translation table in order to assign two translation tables **135** to two clients **410**, the hypervisor **115** may instruct the IOMMU to update the registers **145** to split translation table **135B** in order to assign one of the new translation tables (e.g., translation table **135C** or **135D**) to a different service provider **405**. For example, after the split, service provider **405B** may still be assigned translation table **135C** but translation table **135D** may be reassigned to service provider **405A** or a newly loaded service provider **405**. This reassignment may be performed in response to the hypervisor **115** determining that one service provider **405** uses most or all of its DMA window while another service provider **405** does not. Thus, splitting the translation table assigned to the latter service provider **405** and assigning one of the two new DMA windows to the former service provider **405** may increase the overall performing of the computing system **450**.

FIGS. 5A-5B illustrate modifying translation registers **145** and tables **135** when splitting a translation table, according to embodiments described herein. Specifically, FIGS. 5A-5B include a more detailed illustration of the registers **145** and tables **135** when performing the translation table split shown in FIGS. 4A-4B. In system **500**, two translation registers **145A** and **145B** point to the two translation tables **135A** and **135B**, respectively. In turn, translation tables **135A** and **135B** include one or more translation entries **235** that point to respective mapped data pages **245** stored in memory **125**. Even though the mapped data pages **245** for the translation tables **135** are not stored in contiguous memory, in one embodiment, the pages **245** in the same translation table **135** are still in the same DMA window. That is, each data page **245** that is mapped by an entry **245** in translation table **135A** is within the DMA window associated with table **135A** even though these data pages **245** may be scattered at various

physical addresses within memory **125**. Assuming there are no other translation tables **135** in system **500** than the ones shown, the memory **125** may be divided primarily into two DMA windows: one that is associated with translation table **135A** and another associated with table **135B**.

System **550** of FIG. 5B illustrates splitting translation table **135B** into translation tables **135C** and **135D**. As shown here, the register **145A** and the translation table **135A** are unaffected by the split of translation table **135B**. That is, after the split, the entries **245** in table **135A** continue to point to the same mapped data pages **245** as they did before the split. To form the two new translations tables, the hypervisor may instruct the IOMMU to update the translation registers **145**. In one embodiment, the IOMMU stores in unused register **145C** a data structure defining one of the new translation tables **135**. As discussed above, the registers **145C** may store a pointer to the starting physical address of translation tables **135D** in main memory **125** that is apportioned for storing the translation tables **135**. The data in register **145C** may also store the length or ending physical address of the translation table **135D**. Similarly, the IOMMU may update the data in register **145B** to reflect the length of table **135C** which may start at the same physical address as translation table **135B** but is now smaller in size—e.g., can store less entries **235**.

In one embodiment, the IOMMU (or the OS) may clear out the entries in translation table **135D** that is being assigned to the new client. This may prevent the new client from accessing data (e.g., mapped data pages **245**) that store data associated with the old client (e.g., client **410A** in FIG. 4B). Further still, before performing the split, the hypervisor may instruct the service provider (e.g., an operating system) that the entries in the lower portion of translation table **135B** will be cleared. Although not shown in FIG. 5B, the service provider may move the entries **235** in the lower portion to the upper portion so that the entries **235** may continue to be used by the old client after the split occurs.

#### Merging Translation Tables

FIGS. 6A-6B illustrate modifying translation registers **145** and tables **135** when merging two translation tables **145**, according to embodiments described herein. Specifically, FIGS. 6A-6B illustrate combining translation tables **135A** and **135C** (and their DMA windows) into a single translation table and DMA window. As shown by system **600**, the translation registers **145A-C** each point to a respective one of the translation tables **135A**, **135C** and **135D**. Based on a request from a service provider or based on a performance metric, the hypervisor may transmit an instruction to the IOMMU to merge two of the translation tables **135**. Referring to FIG. 4B, the service provider **405B** may determine that the two clients **410A** and **410B** do not perform enough DMA operations to warrant assigning different DMA windows to each of the clients **410**. Or, one of the clients **410** may have ceased executing in which case the service provider **405B** no longer wants separate translation tables **135** and DMA windows. Regardless of the reason, the service provider **405B** may inform the hypervisor that it no longer needs both translation tables **135C** and **135D**. The hypervisor may then decide to merge one of the translation tables **135** assigned to service provider **405B** with another translation table.

As shown in system **650** of FIG. 6B, the hypervisor may merge translation table **135C** with translation table **135A** to form translation table **135E**. In one embodiment, the hypervisor may measure a performance metric associated with the various service providers. If one service provider is underutilizing its assigned DMA windows, one of its translations tables **135** may be merged with a translation table assigned to a service provider that more often utilize its DMA window(s).

In one embodiment, the hypervisor may monitor the number of DMA operations initiated on behalf of each service provider and rearrange the translation tables **135** (e.g., merge or split the tables) based on the current or historical usage.

To merge the translation tables **135A** and **135C**, the hypervisor may instruct the IOMMU to clear the data stored in register **145B**. In this manner, the IOMMU no longer has a translation register **145** that points to translation table **135C**. The IOMMU may then combine this space with translation table **135A** to form table **135E**. To do so, the IOMMU may modify the data stored in register **145A** to indicate that the size of the translation table **135E** encompasses both table **135A** and **135C**. In this manner, system **650** now includes only two translation tables **135D** and **135E** with their corresponding DMA windows in memory **125**.

System **650** also illustrates that the entries **235** in one of the merged tables may be cleared. Referring back to FIG. **5B**, translation table **135C** may be assigned to service provider **405B** while translation table **135A** is assigned to service provider **405A**. If table **135C** is being merged with table **135A** and reassigned to service provider **405A**, the translation entries **235** may be cleared before service provider **405A** is permitted to use merged table **135E** to perform a DMA operation. Doing so may prevent service provider **405A** from corrupting or accessing data associated with service provider **405B**. Stated differently, clearing the entries **235** removes the pointer to the mapped data page **245** thereby preventing the newly assigned service provider from using the physical address associated with the mapped data page **245**. In one embodiment, the operating system associated with service provider **405B** may decide to move the entries **235** from table **135C** into table **135D** in order to retain a pointer to the mapped data pages **245**.

Furthermore, if both of the tables **135** being merged are reassigned to a different service provider after merging is complete, then the entries **235** in both tables **135A** and **135C** may be cleared. In this case, the merged translation table—e.g., translation table **135E**—has no valid entries **235** after merging is complete. In another embodiment, however, the entries **235** in both tables **135** being merged may be unchanged during the merging process if the merged tables **135** are assigned to the same service provider after the merge as they were before the merge. In this case, the IOMMU may leave the entries **235** unchanged. One example of such a situation is if a service provider instructs the IOMMU to merge translation table **135** associated with two clients into a single table that remains assigned to the original service provider.

FIGS. **7A-7B** illustrate modifying translation registers **145** and tables **135** when swapping space in the translation tables **135** between service provider, according to embodiments described herein. In system **700**, the translation registers **145** each point to a respective translation table **135**. As shown, translation table **135F** is assigned to Client 1 of Service Provider 2, translation table **135G** is assigned to Service Provider 2 and translation table **135H** is assigned to Client 2 of Service Provider 1. For the example shown, assume that Service Provider 1 (or the hypervisor) has determined that the system **700** may benefit if some of the address space of translation table **135H** is given to translation table **135F**. Stated differently, Client 2 may be underutilizing its DMA window, and thus, a portion of that window may be given to Client 1 (or another service provider) in order to improve overall system performance.

In one embodiment, the system **700** may require that the address space of each translation table **135** be contiguous. If so, the translation tables **135** cannot be divided into different

chunks and stored in the memory **125** at discontinuous memory locations. Thus, to increase the size of translation table **135F** (and its DMA window), the hypervisor may be unable to directly assign a portion of translation table **135H** to translation table **135F**. To increase the size of translation table **135F** and the DMA window assigned to Client 1, the hypervisor may have to add contiguous memory to the table **135F**—i.e., take address space from translation table **135G** assigned to Service Provider 2.

However, reducing the size of translation table **135G** may decrease the performance of Service Provider 2. Accordingly, if the Service Provider 2 is not underutilizing its DMA window, then splitting translation table **135G** into two tables and merging the split table that is contiguous with table **135F** may ultimately decrease system performance. Other reasons the hypervisor may be unable give address space in translation table **135G** to **135F** is because of a minimum size requirement or the system administrator has fixed the size of table **135G**. Regardless of the reason for not using translation tables **135G** to provide a larger DMA window for Client 1, the hypervisor may increase the size of Client 1's DMA window by swapping address space between the translation tables **135**.

As shown by system **750** of FIG. **7B**, some of the address space in translation table **135H** is given to translation table **135G** (i.e., the portion of table **135H** that is contiguous with table **135G**) while a portion of translation table **135G** is given to translation table **135F** (i.e., the portion of table **135G** that is contiguous with translation table **135F**). To do so, translation tables **135G** and **135H** may be split. The upper portion of **135G** may be merged with translation table **135F** to form translation table **135I** while the lower portion of table **135G** and the upper portion of **135H** are merged to form translation table **135J**. The hypervisor may then assign translation table **135I** to Client 1 of Service Provider 1, table **135J** to Service Provider 2, and the lower portion of the split translation table **135H**—i.e., table **135K**—to Client 2 of Service Provider 1. By shifting the translation table assigned to Service Provider 2 down, the hypervisor enlarges the translation table assigned to Client 1, decreases the table assigned to Client 2, but maintains the size of the translation table assigned to Service Provider 2. Thus, even in an embodiment where the translation tables **135** are limited to contiguous addresses, the hypervisor may perform multiple splits and merges as described in FIGS. **4-6** to shift the address spaces of the translation tables **135** in order to swap memory space between the translation tables.

In one embodiment, the hypervisor has access to performance metrics associated with the DMA engines, IOMMU, DMA windows, the service provider/clients, or any other element in the computing system that participates in a DMA operation. For example, the hypervisor may determine a current or average utilization rate of the DMA engine which indicates the ratio the DMA engine is idle compared to when it is performing a DMA operation. Alternatively or additionally, the hypervisor may monitor the number of valid mapped data pages in a DMA window to determine a ratio between the maximum storage capacity of the DMA window and the number of mapped data pages currently being stored. Based on measuring a plurality of these ratios, the hypervisor may generate an average utilization rate associated with the DMA window. Similar utilization rates may be derived from monitoring, for example, the number of requests issued by a service provider or client, how many times the entries in the translation table are accessed by the IOMMU, and the like. Regardless how the utilization rate is measured, in one embodiment, the hypervisor may predict when to split or

merge the translation tables in the computing system based on the utilization rate associated with the DMA elements.

In one embodiment, the hypervisor may identify patterns based on the utilization rate. For example, a utilization rate of a DMA element may increase (or decrease) at a predictable times in a day. This pattern may then be used to delete, add, reassign, or adjust the sizes of the translation tables before the need actually arises. Reconfiguring the system in anticipation of changing needs of the service provider may result in less downtime or increase performance relative to reconfiguring the system in response to when a change in utilization rate is actually detected.

For example, the computing system may use an I/O adapter for transferring employee data records from a data repository to the computing device. The hypervisor may identify a pattern where the utilization rate of the DMA window assigned to the I/O adapter spikes every Friday when the accountant department generates the payroll. However, during this time the utilization rate of the DMA window used by an I/O adapter responsible for backing up data may be low during this time (e.g., the computing system may back up its data files at night after business hours). As such, Friday morning, the hypervisor may split the translation table associated with the I/O adapter that performs back-up services and merge one of the split portions with the translation table associated with the I/O adapter used when generating the payroll. As the business day comes to a close, the hypervisor may do the reverse in order to increase the DMA window associated with the I/O adapter that backs up the computer system's data. In this manner, the computing system generates patterns that the hypervisor may use to perform predictive splits and/or merges.

The flowchart and block diagrams in the Figures illustrate the architecture, functionality, and operation of possible implementations of systems, methods and computer program products according to various embodiments of the present invention. In this regard, each block in the flowchart or block diagrams may represent a module, segment, or portion of code, which comprises one or more executable instructions for implementing the specified logical function(s). It should also be noted that, in some alternative implementations, the functions noted in the block may occur out of the order noted in the figures. For example, two blocks shown in succession may, in fact, be executed substantially concurrently, or the blocks may sometimes be executed in the reverse order, depending upon the functionality involved. It will also be noted that each block of the block diagrams and/or flowchart illustration, and combinations of blocks in the block diagrams and/or flowchart illustration, can be implemented by special purpose hardware-based systems that perform the specified functions or acts, or combinations of special purpose hardware and computer instructions.

While the foregoing is directed to embodiments of the present invention, other and further embodiments of the invention may be devised without departing from the basic scope thereof, and the scope thereof is determined by the claims that follow.

What is claimed is:

1. A computing system, comprising:

a hypervisor configured to determine when to merge a first direct memory access (DMA) translation table assigned to a first service provider and a second DMA translation table assigned to a second service provider into a combined translation table, the combined translation table performing a virtual address to physical address trans-

lation for accessing data stored in respective DMA windows which define respective portions of memory in a computing system;

an I/O adapter; and

an I/O memory management unit (IOMMU) configured to:

update a pointer in a first register of a plurality of registers that previously referenced the first translation table to reference the combined translation table, wherein, before determining to merge the first and second translation tables, the pointer in the first register comprises a reference to the starting memory address of the first translation table and a length indicator that defines the maximum number of translation entries in the first translation table, wherein the first translation table and the combined translation table share the same starting memory address, wherein updating the pointer in the first register comprises changing the length indicator to a value that is greater than the maximum number of translation entries in the first translation table, and

clear a pointer in a second register of the plurality of registers that previously referenced the second translation table; and

wherein the combined translation table is assigned to the first service provider, the first service provider uses the combined translation table to perform DMA operations between an I/O adapter and one of the DMA windows.

2. The computing system of claim 1, wherein the first, second, and combined translation tables each comprise a plurality of translation entries that map virtual address to physical addresses in the DMA windows for storing or retrieving data pages when performing the DMA operation, wherein the total length of the combined translation table is the sum of the respective lengths of the first and second translation tables.

3. The computing system of claim 1, wherein the computing system limits access to the DMA windows to only service providers assigned to the first and second translation tables using the first and second registers.

4. The computing system of claim 1, wherein the hypervisor is further configured to:

determine a utilization rate associated with a DMA element in the computing system used when performing the DMA operations; and

compare the utilization rate to one or more thresholds to determine to merge the first and second translation tables into the combined translation table.

5. A computing system, comprising:

a hypervisor configured to determine when to merge a first direct memory access (DMA) translation table assigned to a first service provider and a second DMA translation table assigned to a second service provider into a combined translation table, the combined translation table performing a virtual address to physical address translation for accessing data stored in respective DMA windows which define respective portions of memory in a computing system;

an I/O adapter; and

an I/O memory management unit (IOMMU) configured to:

update a pointer in a first register of a plurality of registers that previously referenced the first translation table to reference the combined translation table;

clear a pointer in a second register of the plurality of registers that previously referenced the second translation table; and

wherein the combined translation table is assigned to the first service provider, the first service provider uses the combined

15

translation table to perform DMA operations between an I/O adapter and one of the DMA windows,

wherein the computing system limits access to the DMA windows to only service providers assigned to the first and second translation tables using the first and second registers.

6. The computing system of claim 5, wherein the combined translation table corresponds to a combined DMA window in memory of the computing system, where a size of the combined DMA window is the sum of the DMA windows corresponding to the first and second translation tables.

7. The computing system of claim 5, wherein the first, second, and combined translation tables each comprise a plurality of translation entries that map virtual address to physical addresses in the DMA windows for storing or retrieving data pages when performing the DMA operation, wherein the total length of the combined translation table is the sum of the respective lengths of the first and second translation tables.

8. The computing system of claim 5, wherein the hypervisor is further configured to:

determine a utilization rate associated with a DMA element in the computing system used when performing the DMA operations; and

compare the utilization rate to one or more thresholds to determine to merge the first and second translation tables into the combined translation table.

9. A computing system, comprising:

a hypervisor configured to:

determine a utilization rate associated with a DMA element in the computing system used when performing DMA operations, and

determine when to merge a first direct memory access (DMA) translation table assigned to a first service provider and a second DMA translation table assigned to a second service provider into a combined translation table by comparing the utilization rate to one or more thresholds, the combined translation table performing a virtual address to physical address translation for accessing data stored in respective DMA windows which define respective portions of memory in a computing system,

an I/O adapter; and

an I/O memory management unit (IOMMU) configured to:

update a pointer in a first register of a plurality of registers that previously referenced the first translation table to reference the combined translation table;

clear a pointer in a second register of the plurality of registers that previously referenced the second translation table, and

wherein the combined translation table is assigned to the first service provider, the first service provider uses the combined translation table to perform DMA operations between an I/O adapter and one of the DMA windows.

10. The computing system of claim 9, wherein the hypervisor is further configured to:

before assigning the combined translation table to the first service provider, clear entries in the second translation table to prevent the first service provider from accessing data associated with the second service provider.

11. The computing system of claim 9, wherein the first, second, and combined translation tables each comprise a plurality of translation entries that map virtual address to physical addresses in the DMA windows for storing or

16

retrieving data pages when performing the DMA operation, wherein the total length of the combined translation table is the sum of the respective lengths of the first and second translation tables.

12. The computing system of claim 9, wherein the computing system limits access to the DMA windows to only service providers assigned to the first and second translation tables using the first and second registers.

13. A computer program product comprising:

a non-transitory computer-readable storage medium having computer-readable program code embodied therein, the computer-readable program code configured to:

determine when to merge a first direct memory access (DMA) translation table assigned to a first service provider and a second DMA translation table assigned to a second service provider into a combined translation table, the combined translation table performing a virtual address to physical address translation for accessing data stored in respective DMA windows which define respective portions of memory in a computing system;

update a pointer in a first register of a plurality of registers that previously referenced the first translation table to reference the combined translation table, wherein, before determining to merge the first and second translation tables, the pointer in the first register comprises a reference to the starting memory address of the first translation table and a length indicator that defines the maximum number of translation entries in the first translation table, wherein the first translation table and the combined translation table share the same starting memory address, wherein updating the pointer in the first register comprises changing the length indicator to a value that is greater than the maximum number of translation entries in the first translation table;

clear a pointer in a second register of the plurality of registers that previously referenced the second translation table; and

assign the combined translation table to the first service provider, wherein the first service provider uses the combined translation table to perform DMA operations between an I/O adapter and one of the DMA windows.

14. The computer program product of claim 13, wherein the first, second, and combined translation tables each comprise a plurality of translation entries that map virtual address to physical addresses in the DMA windows for storing or retrieving data pages when performing the DMA operation, wherein the total length of the combined translation table is the sum of the respective lengths of the first and second translation tables.

15. The computer program product of claim 13, wherein the computing system limits access to the DMA windows to only service providers assigned to the first and second translation tables using the first and second registers.

16. The computer program product of claim 13, further comprising computer-readable program code configured to: before assigning the combined translation table to the first service provider, clear entries in the second translation table to prevent the first service provider from accessing data associated with the second service provider.