



US008884725B2

(12) **United States Patent**  
**Stephanou et al.**

(10) **Patent No.:** **US 8,884,725 B2**  
(45) **Date of Patent:** **Nov. 11, 2014**

(54) **IN-PLANE RESONATOR STRUCTURES FOR EVANESCENT-MODE ELECTROMAGNETIC-WAVE CAVITY RESONATORS**

FOREIGN PATENT DOCUMENTS

EP 1469548 B1 11/2008  
EP 2056394 A1 5/2009

(Continued)

(75) Inventors: **Philip Jason Stephanou**, Mountain View, CA (US); **Sang-June Park**, San Diego, CA (US); **Ravindra V. Shenoy**, Dublin, CA (US)

OTHER PUBLICATIONS

Arif, M.S., et al., "A high-Q magnetostatically-tunable all-silicon evanescent cavity resonator", Microwave Symposium Digest (MTT), 2011 IEEE MTT-S International, IEEE, Jun. 5, 2011, pp. 1-4, XP032006913, DOI: 10.1109/MWSYM.2011.5972966 ISBN: 978-1-61284-754-2 p. 1, left-hand column, line 32-right-hand column, line 5; figure 1 p. 2, right-hand column, line 5-line9; figure 2.

(73) Assignee: **QUALCOMM MEMS Technologies, Inc.**, San Diego, CA (US)

(\* ) Notice: Subject to any disclaimer, the term of this patent is extended or adjusted under 35 U.S.C. 154(b) by 398 days.

(Continued)

(21) Appl. No.: **13/451,397**

*Primary Examiner* — Dean O Takaoka

*Assistant Examiner* — Alan Wong

(22) Filed: **Apr. 19, 2012**

(74) *Attorney, Agent, or Firm* — Weaver Austin Villeneuve & Sampson LLP

(65) **Prior Publication Data**

US 2013/0278998 A1 Oct. 24, 2013

(57) **ABSTRACT**

(51) **Int. Cl.**  
**H01P 7/06** (2006.01)  
**H01P 1/205** (2006.01)

This disclosure provides implementations of electromechanical systems (EMS) resonator structures, devices, apparatus, systems, and related processes. In one aspect, a device includes an evanescent-mode electromagnetic-wave cavity resonator. In some implementations, the cavity resonator includes a lower cavity portion and an upper cavity portion that together form a volume. The cavity resonator also includes an in-plane lithographically-defined resonator structure having a portion that is located at least partially within the volume to support one or more evanescent electromagnetic wave modes. In some implementations, an upper surface of the resonator structure is connected with the upper cavity portion while a lower mating surface is connected with the lower cavity portion. A distal surface of the resonator structure is separated or electrically insulated from the closest surface to it by a gap distance, a resonant electromagnetic wave mode of the cavity resonator being dependent at least partially upon the gap distance.

(52) **U.S. Cl.**  
USPC ..... **333/202**; 333/210; 333/227

(58) **Field of Classification Search**  
CPC ..... H01P 7/065; H01P 1/2088; H03H 9/50  
USPC ..... 333/186, 199, 202, 203, 204, 210, 227  
See application file for complete search history.

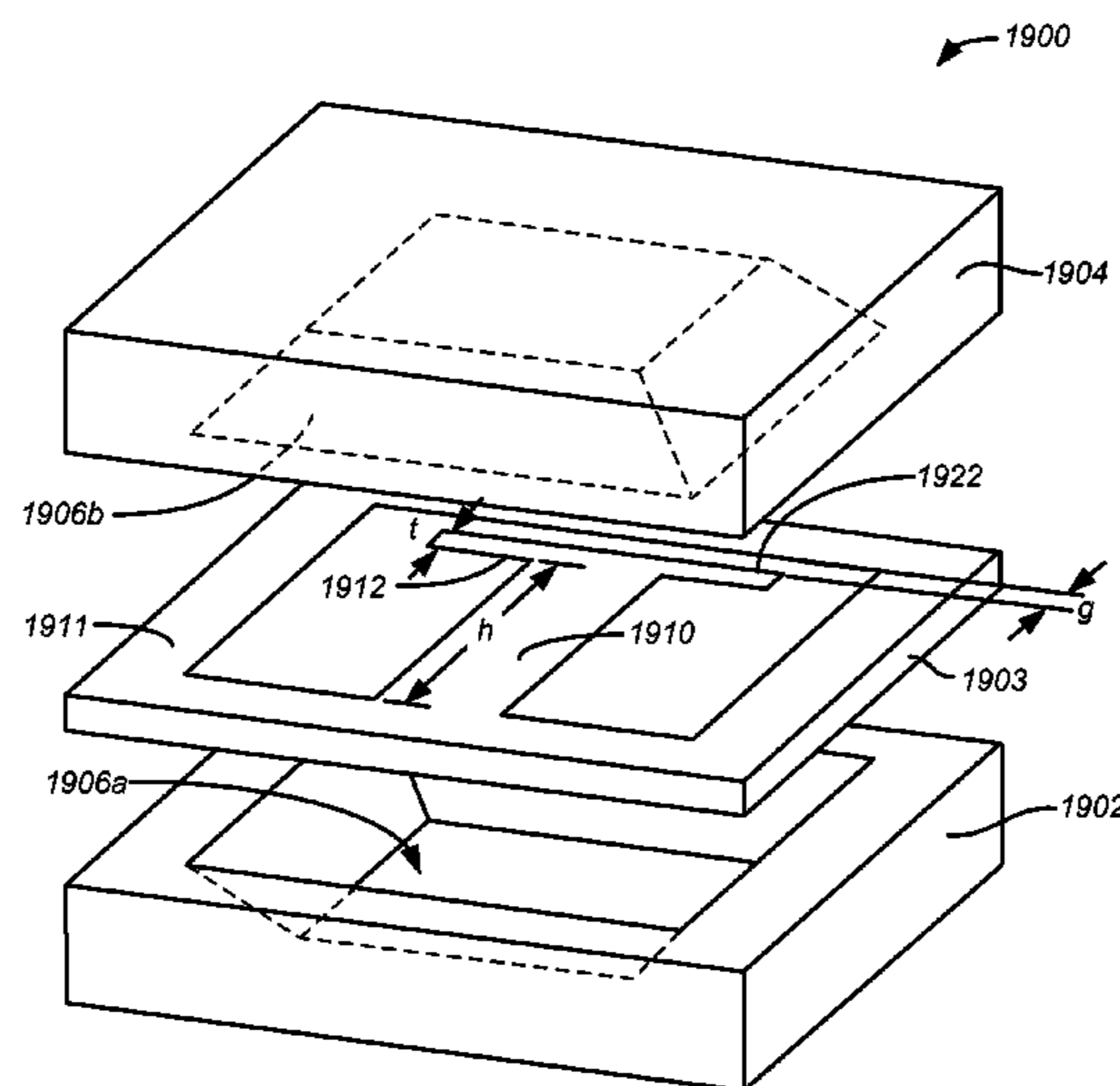
(56) **References Cited**

U.S. PATENT DOCUMENTS

4,292,610 A 9/1981 Makimoto et al.  
5,188,983 A 2/1993 Guckel et al.  
5,777,534 A 7/1998 Harrison

(Continued)

**24 Claims, 29 Drawing Sheets**



(56)

References Cited

U.S. PATENT DOCUMENTS

6,064,895 A \* 5/2000 Baumfalk et al. .... 505/210  
 7,429,334 B2 9/2008 Tung et al.  
 7,449,979 B2 \* 11/2008 Koh et al. .... 333/202  
 7,456,711 B1 \* 11/2008 Goldsmith ..... 333/209  
 7,586,239 B1 \* 9/2009 Li et al. .... 310/323.02  
 7,586,393 B2 9/2009 Tilmans et al.  
 7,659,150 B1 2/2010 Monadgemi et al.  
 7,742,220 B2 6/2010 Kogut et al.  
 7,836,574 B2 11/2010 Jafri et al.  
 7,875,944 B2 1/2011 Higashi et al.  
 7,965,251 B2 6/2011 Hesselbarth  
 7,982,560 B2 \* 7/2011 Hesselbarth ..... 333/222  
 8,299,878 B2 \* 10/2012 Pardo et al. .... 333/239  
 2005/0046524 A1 3/2005 Sasaki  
 2007/0119258 A1 5/2007 Yee  
 2008/0067948 A1 3/2008 Hesselbarth  
 2008/0116999 A1 5/2008 Park et al.  
 2008/0252401 A1 10/2008 Margomenos et al.  
 2010/0050768 A1 3/2010 Takeyama  
 2010/0107387 A1 5/2010 Song et al.  
 2010/0321132 A1 12/2010 Park  
 2011/0193657 A1 8/2011 Gautier et al.  
 2011/0241802 A1 10/2011 Joshi et al.  
 2013/0278359 A1 10/2013 Stephanou et al.  
 2013/0278609 A1 10/2013 Stephanou et al.  
 2013/0278610 A1 10/2013 Stephanou et al.

FOREIGN PATENT DOCUMENTS

EP 2337149 A1 6/2011  
 WO WO-03056657 A1 7/2003  
 WO WO-2004045018 A1 5/2004  
 WO WO-2004084340 A1 9/2004  
 WO WO2007149046 A1 12/2007  
 WO WO2010032023 A1 3/2010

WO WO-2010040119 A1 4/2010  
 WO WO-2013158991 A1 10/2013  
 WO WO-2013158994 A1 10/2013  
 WO WO-2013158995 A1 10/2013  
 WO WO-2013159010 A1 10/2013

OTHER PUBLICATIONS

Liu X., et al., "Capacitive monitoring of electrostatic MEMS tunable evanescent-mode cavity resonators", Microwave Integrated Circuits Conference (EUMIC), 2011 European, IEEE, Oct. 10, 2011, pp. 466-469, XP032073230, ISBN: 978-1-61284-236-3.  
 Park S.J., et al., "High-Q RF-MEMS 4-6-GHz Tunable Evanescent-Mode Cavity Filter", IEEE Transactions on Microwave Theory and Techniques IEEE Service Center Piscataway NJ US, vol. 58, No. 2, Feb. 1, 2010 pp. 381-389, XP011300554, ISSN: 0018-9480.  
 International Search Report and Written Opinion—PCT/US2013/037368—ISA/EPO—Jul. 18, 2013.  
 Written Opinion—PCT/US2013/037368—ISA/EPO—Mar. 25, 2014.  
 International Search Report and Written Opinion—PCT/US2013/037364—ISA/EPO—Jul. 17, 2013.  
 Written Opinion—PCT/US2013/037364—ISA/EPO—Mar. 25, 2013.  
 International Search Report and Written Opinion—PCT/US2013/037370—ISA/EPO—Jul. 15, 2013.  
 Written Opinion—PCT/US2013/037370—ISA/EPO—Apr. 1, 2014.  
 International Search Report and Written Opinion—PCT/US2013/037396—ISA/EPO—Jul. 12, 2013.  
 Written Opinion—PCT/US2013/037396—ISA/EPO—Apr. 1, 2014.  
 Liu et al. (2010) "High-Q Tunable Microwave Cavity Resonators and Filters Using SOI-Based RF MEMS Tuners" *Journal of Microelectromechanical Systems* 19(4):774-784.  
 Zhao et al. (2010) "RF evanescent-mode cavity resonator for passive wireless sensor applications" *Sensors and Actuators A: Physical* 161: 322-328.

\* cited by examiner

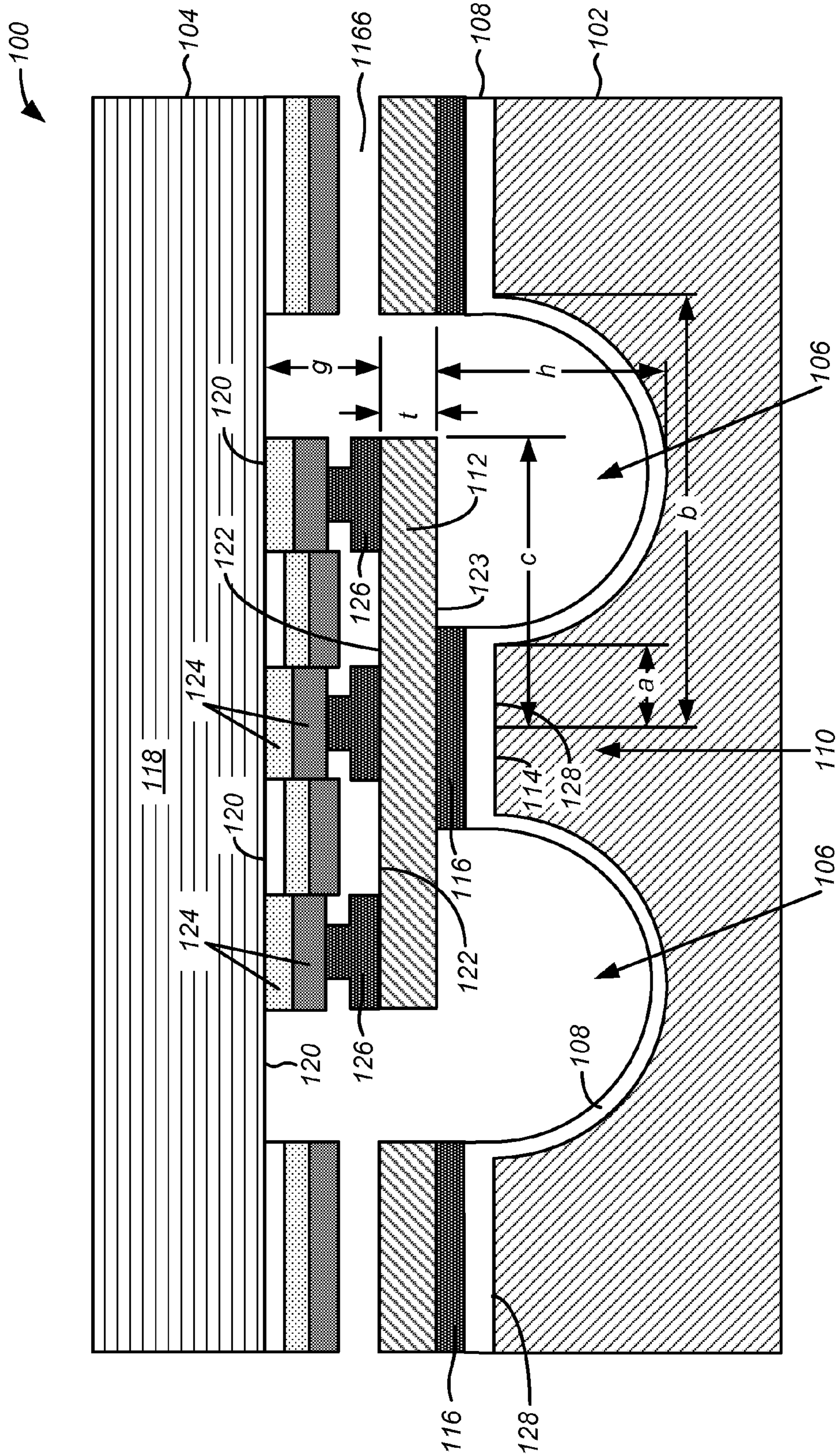


FIGURE 1A

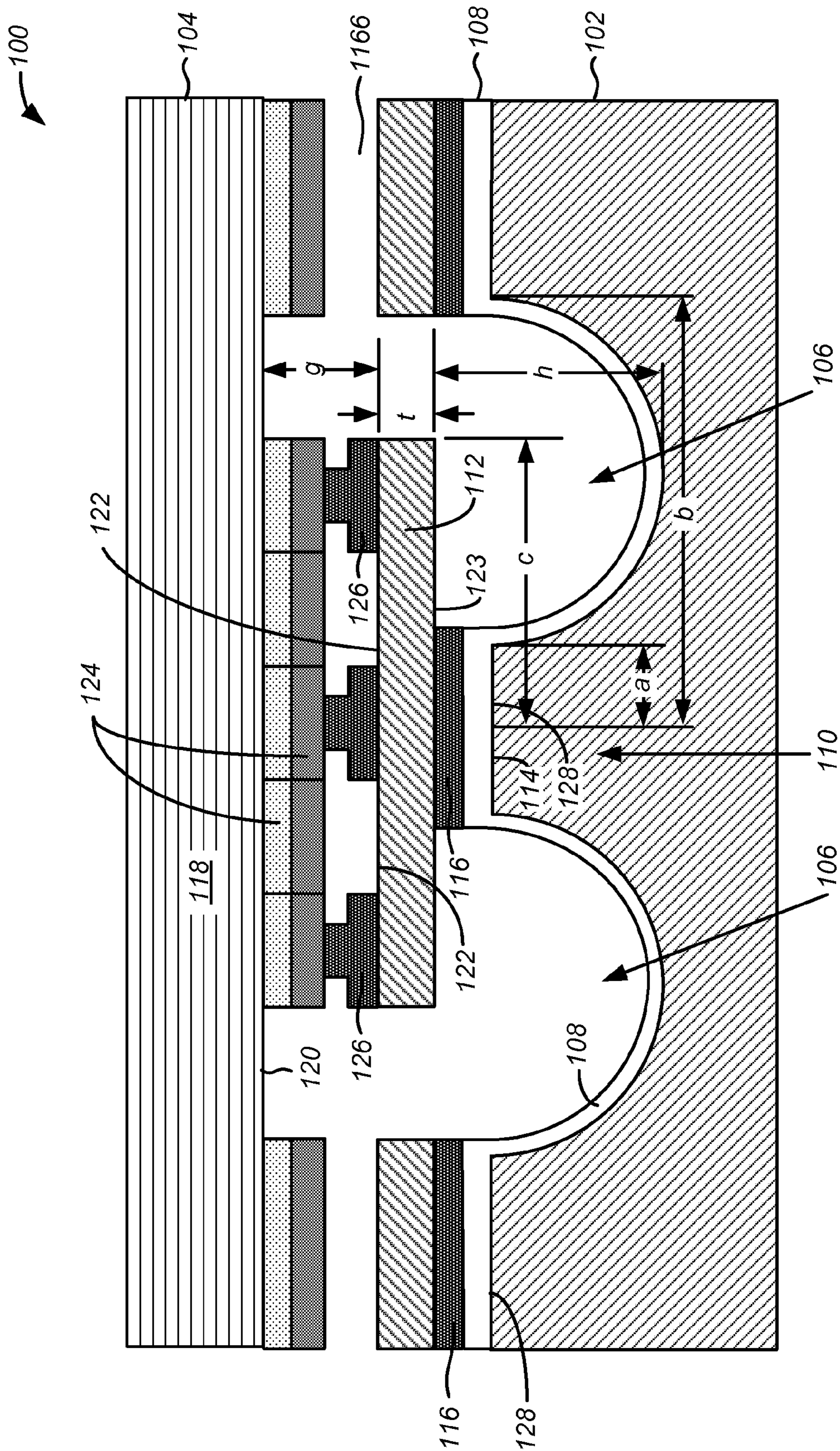


FIGURE 1B

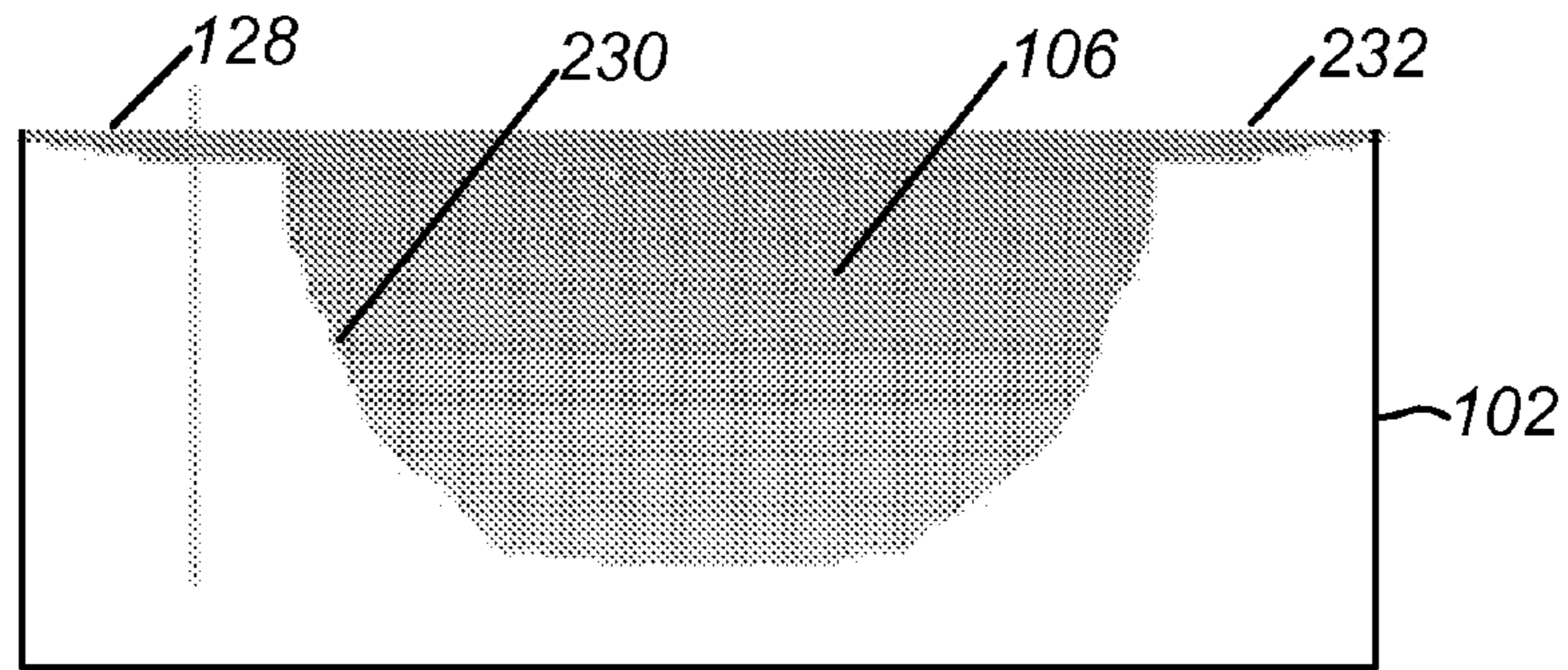


FIGURE 2A

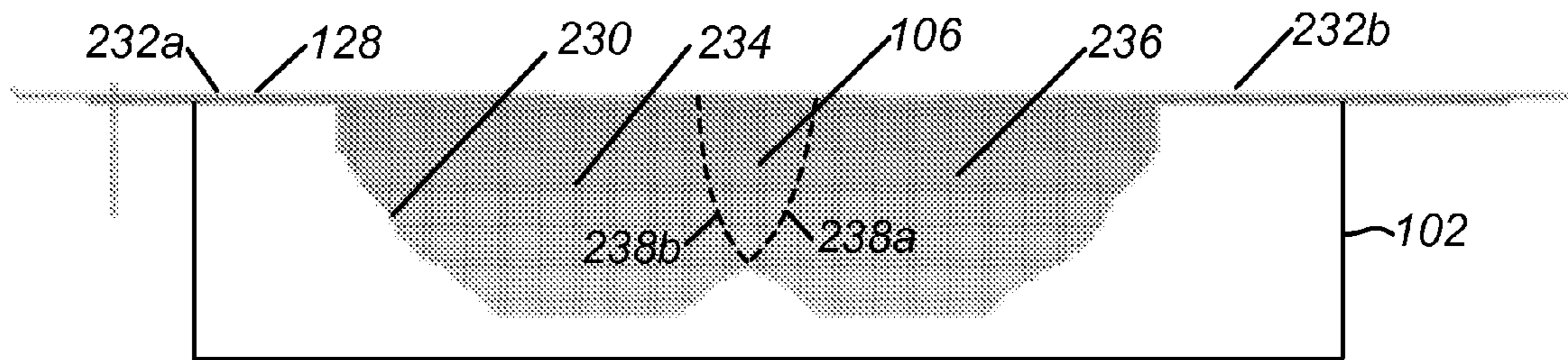


FIGURE 2B

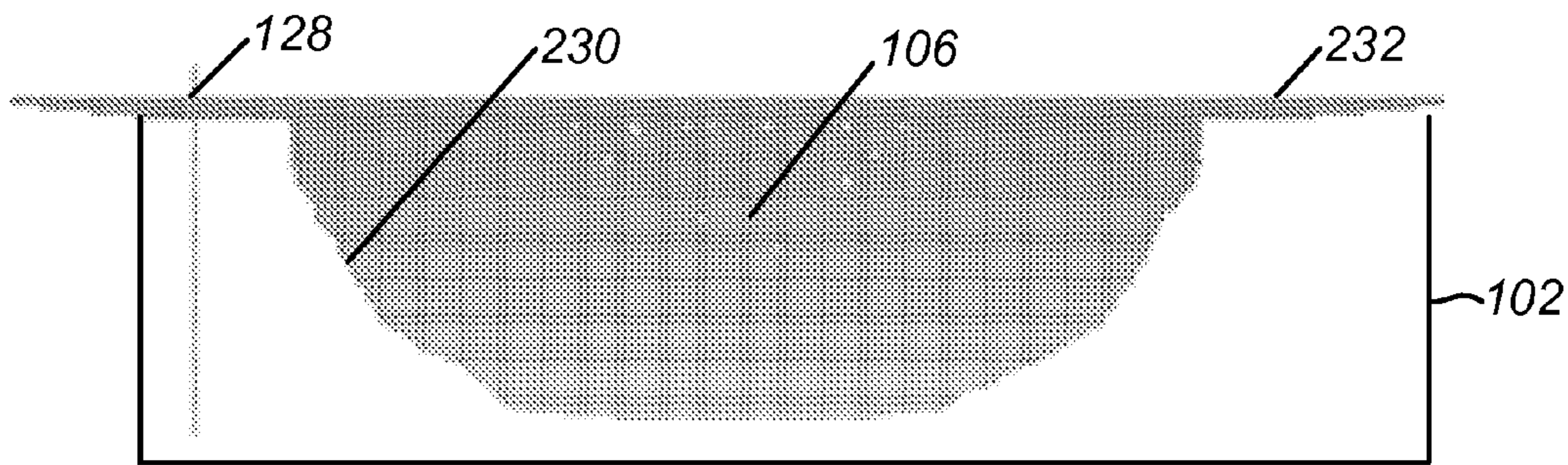


FIGURE 2C

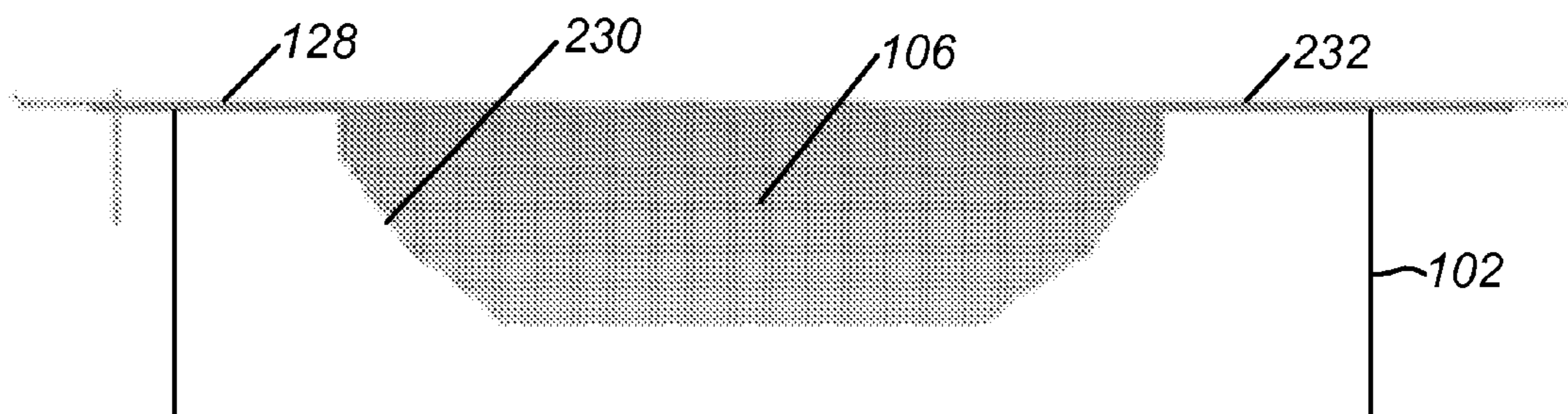
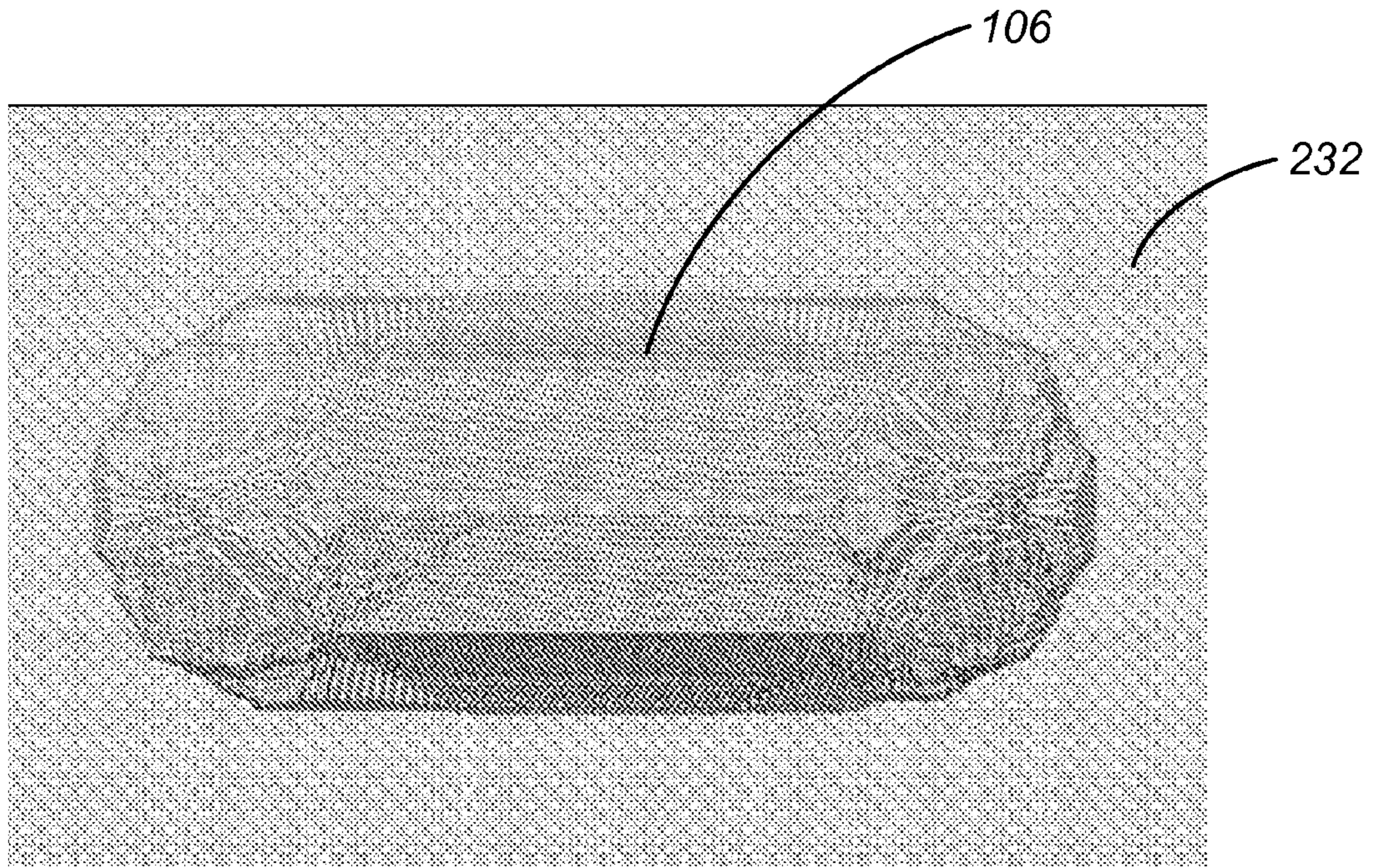
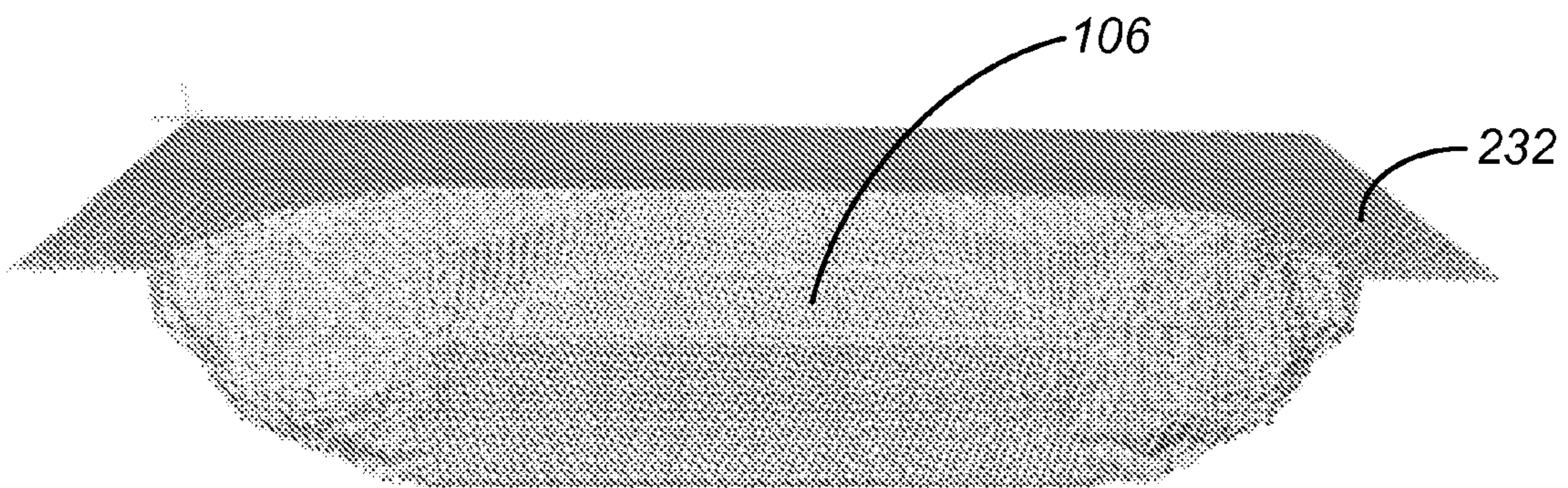


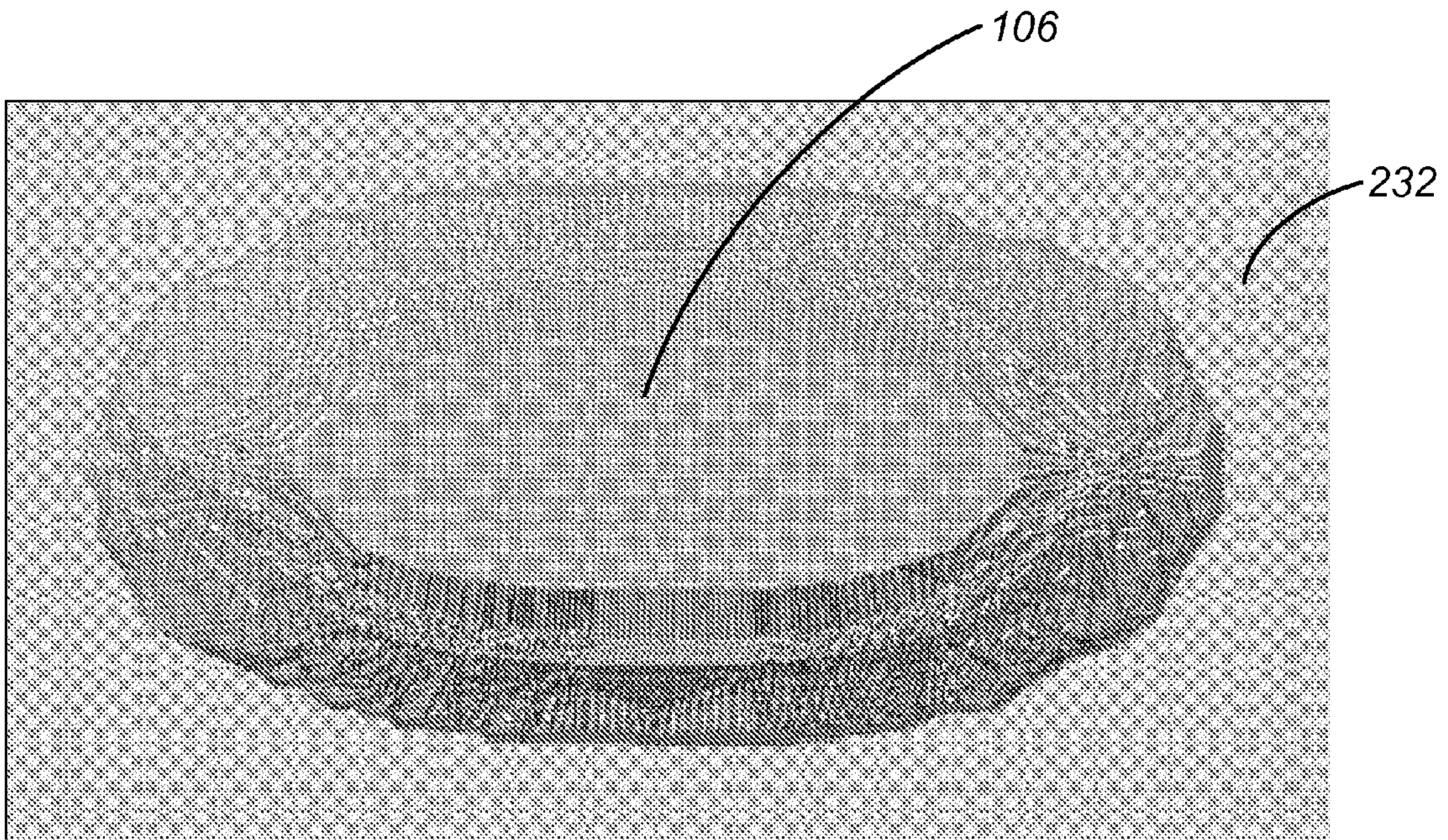
FIGURE 2D



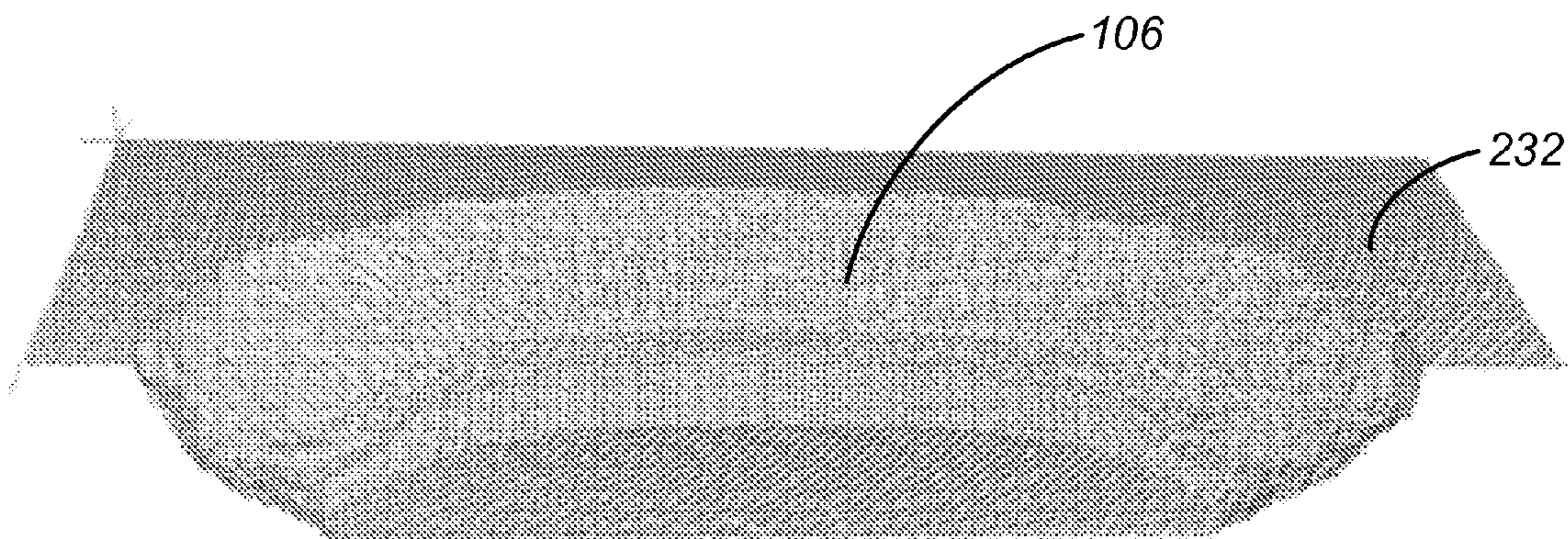
**FIGURE 3A**



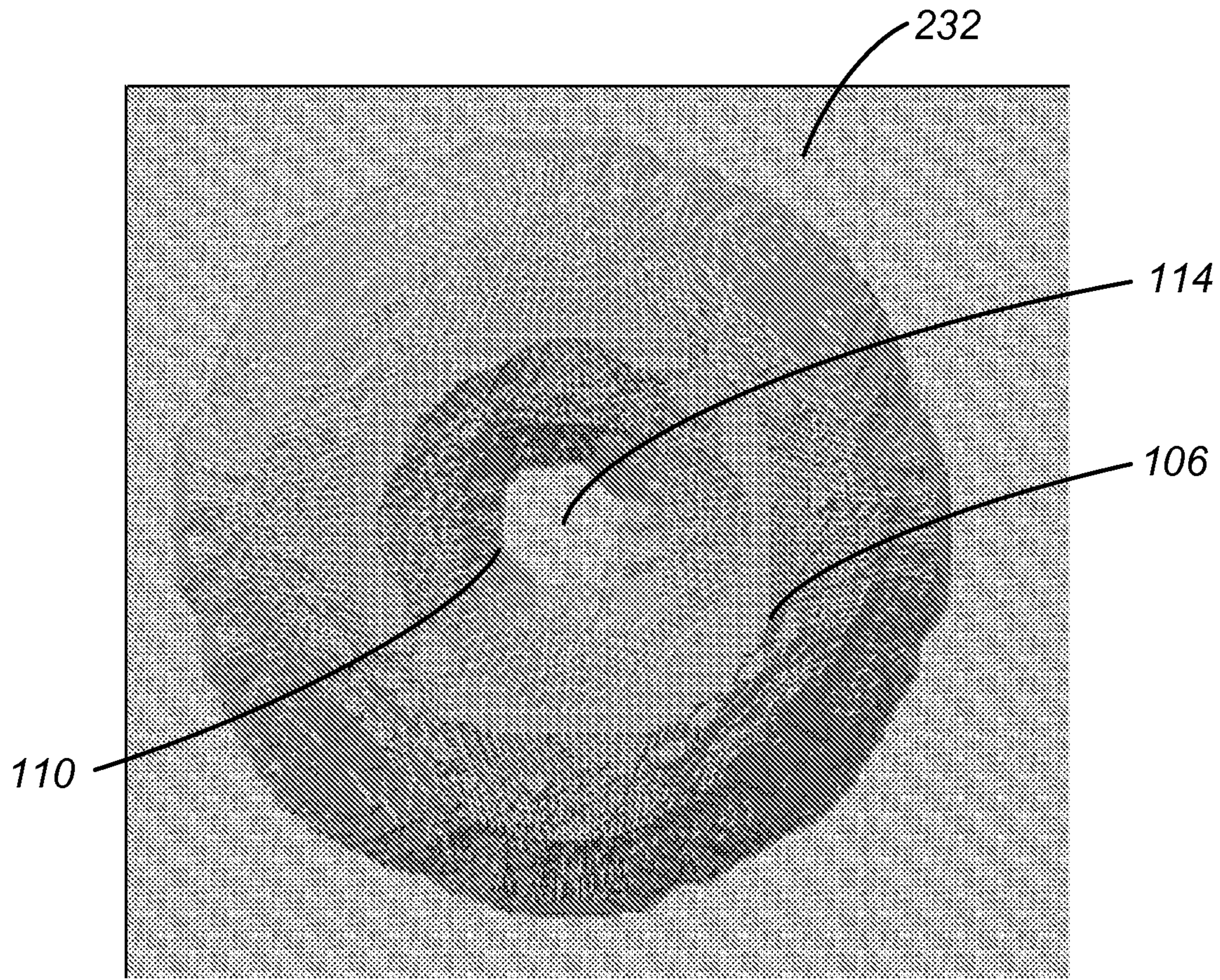
**FIGURE 3B**



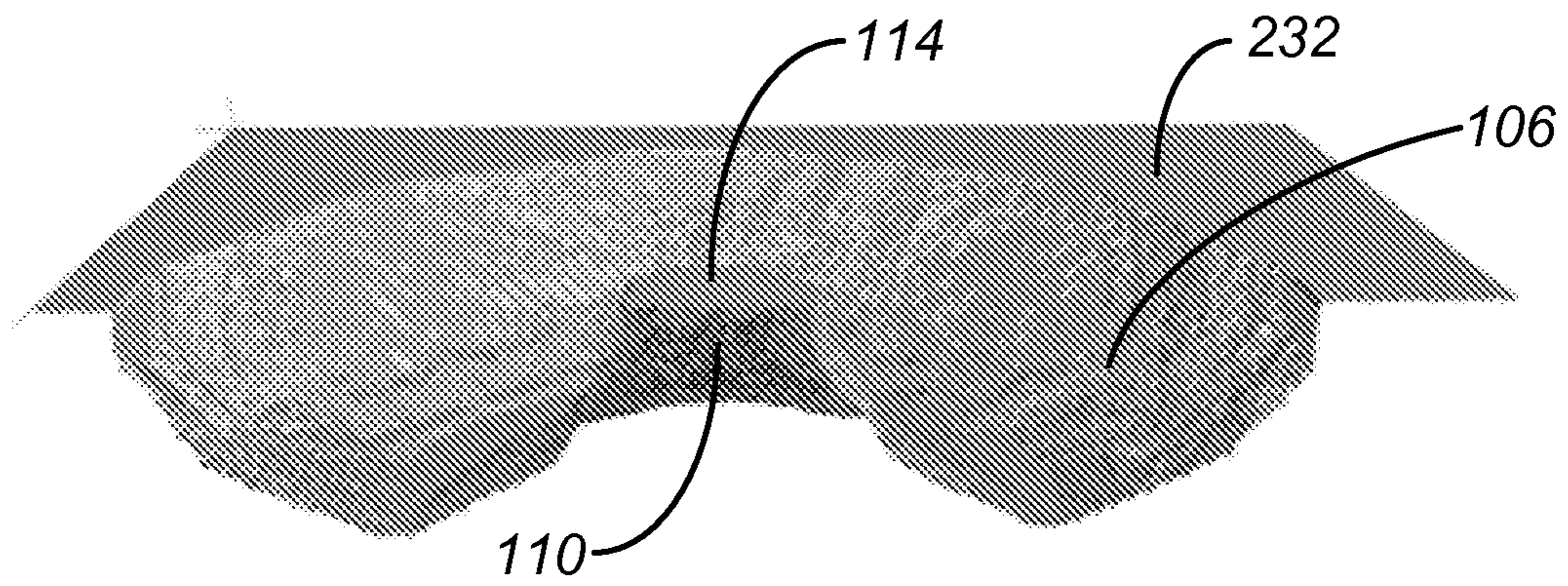
**FIGURE 4A**



**FIGURE 4B**

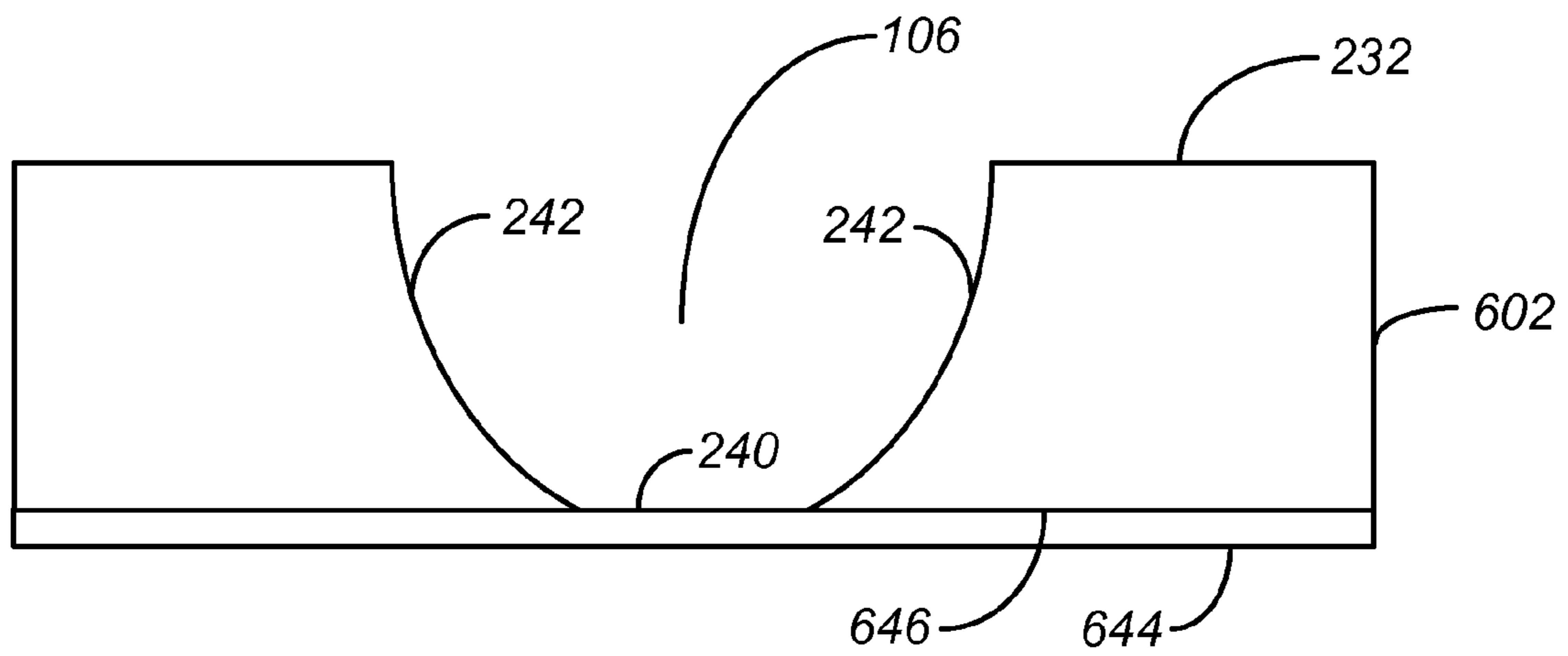


**FIGURE 5A**

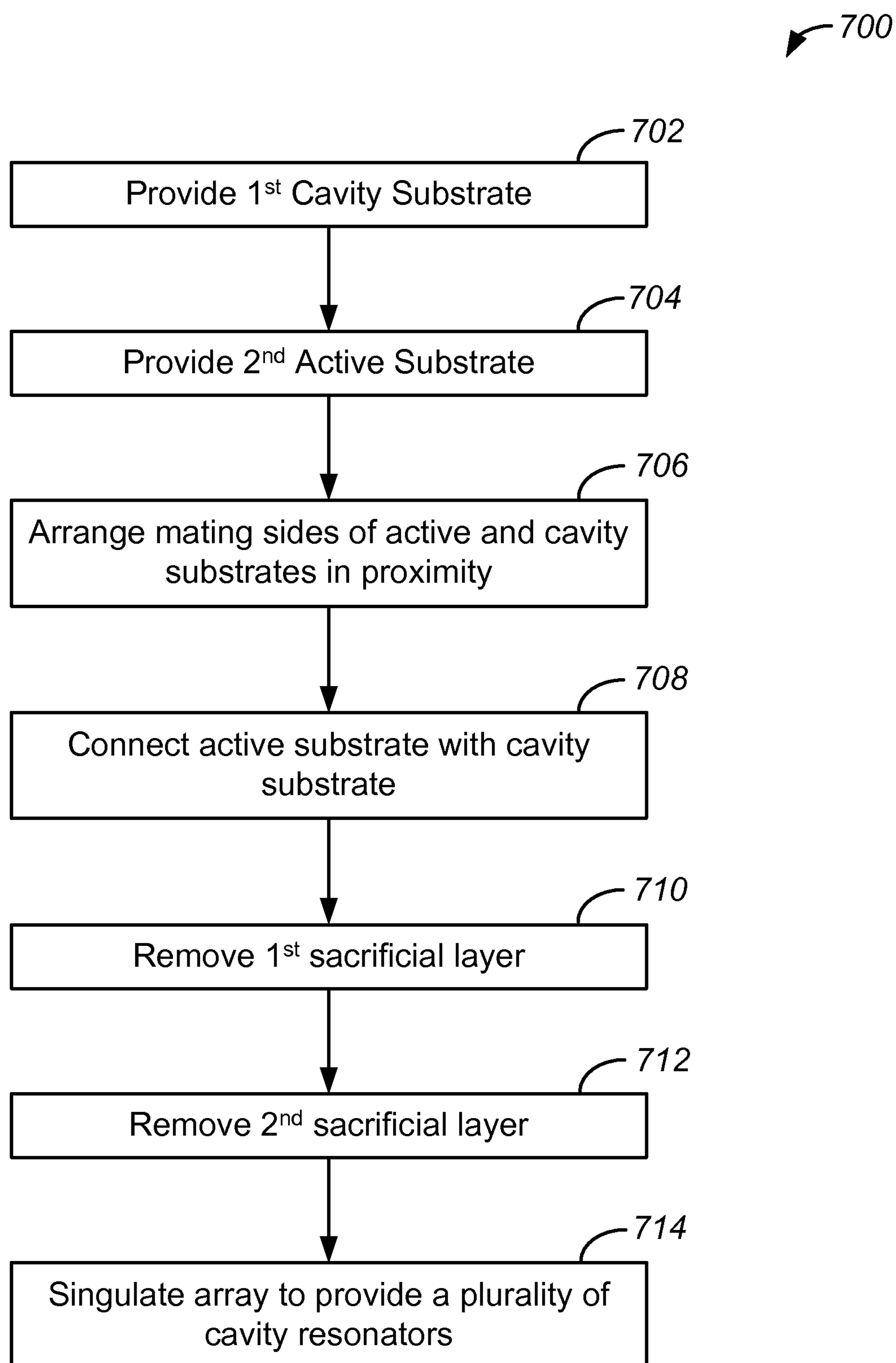


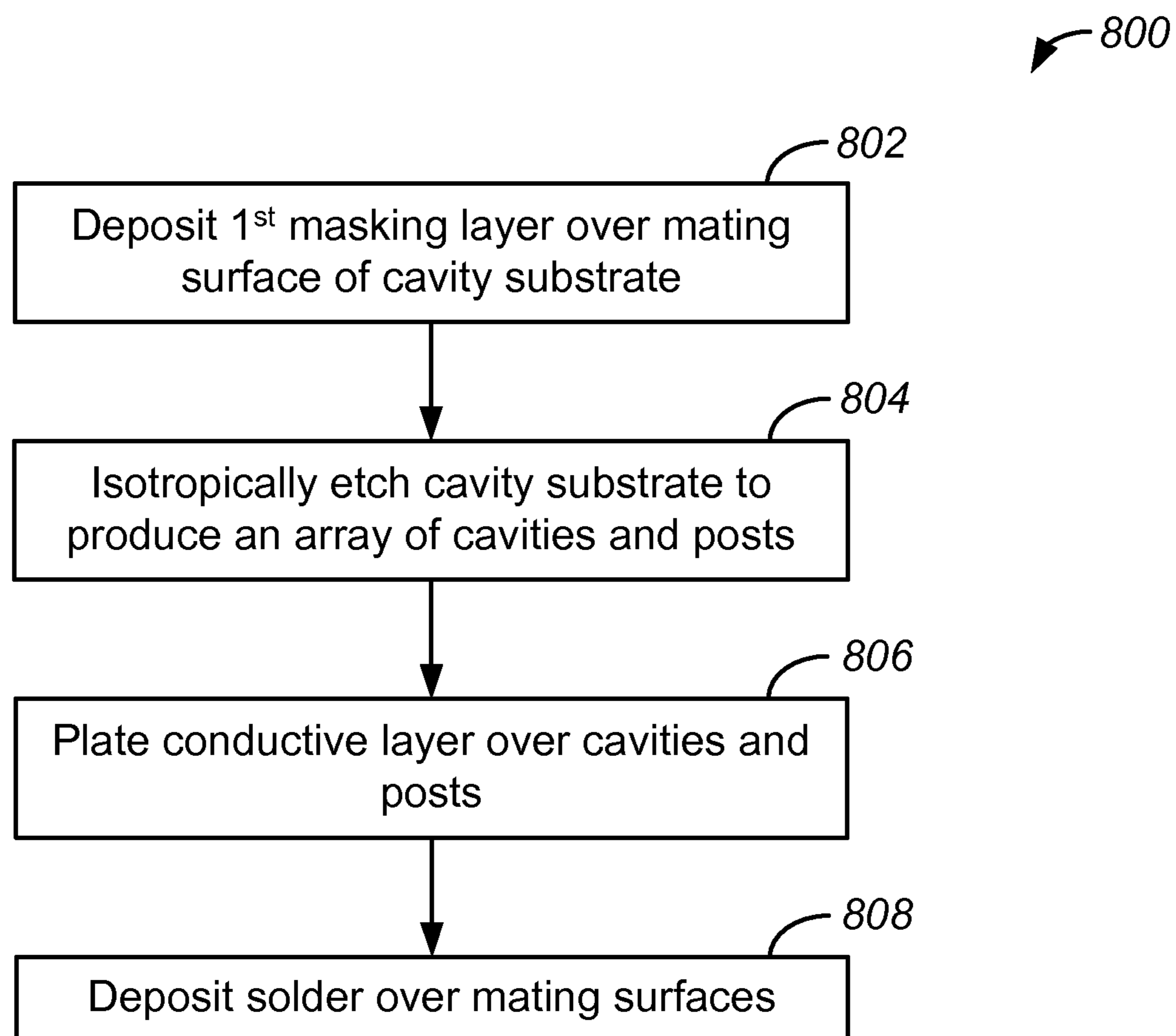
**FIGURE 5B**





**FIGURE 6**

**FIGURE 7**

**FIGURE 8**

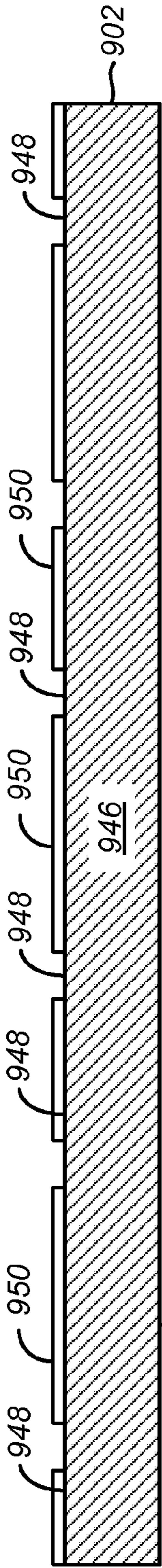


FIGURE 9A

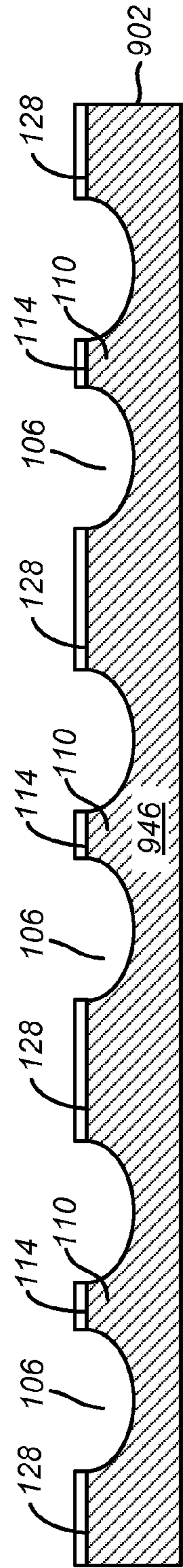


FIGURE 9B

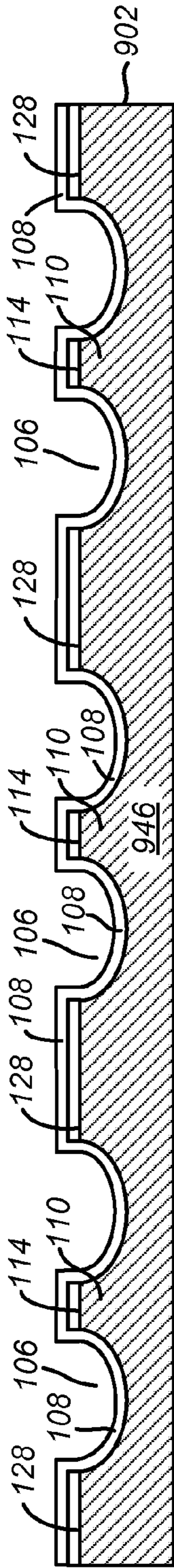


FIGURE 9C

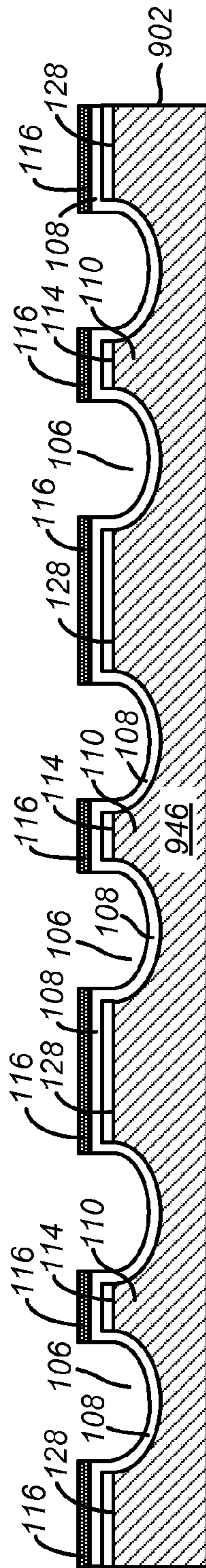
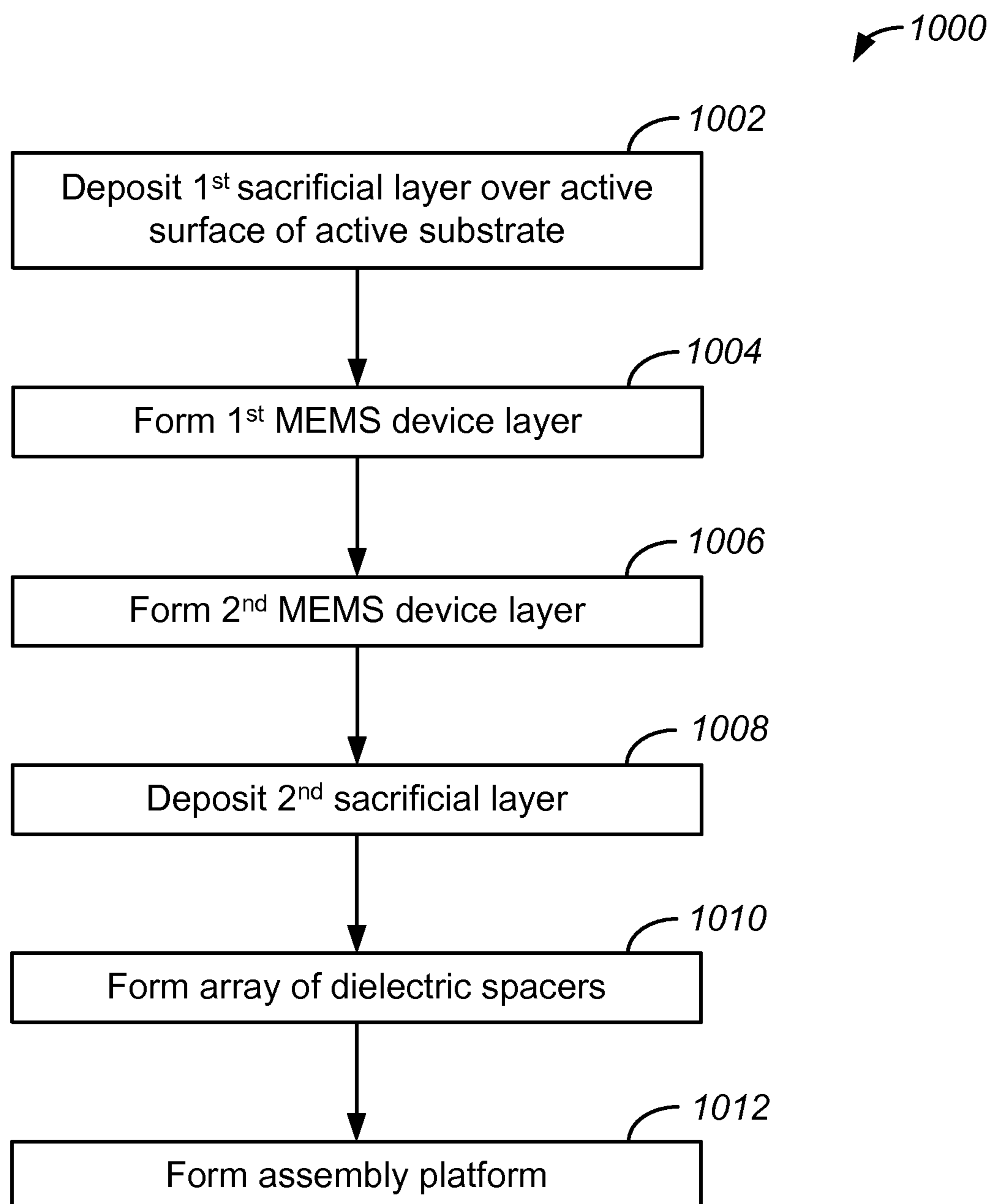


FIGURE 9D

**FIGURE 10**

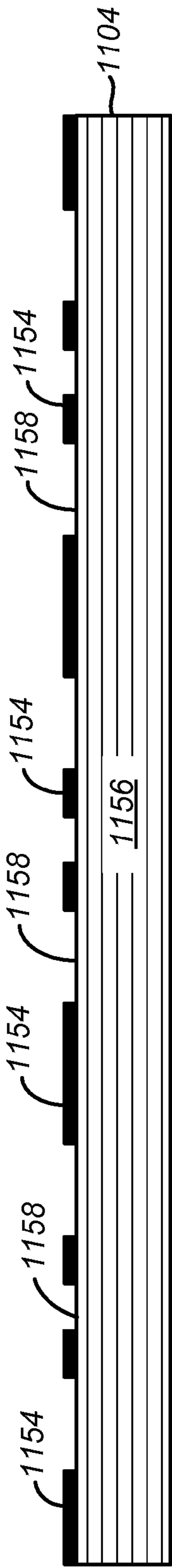


FIGURE 11A

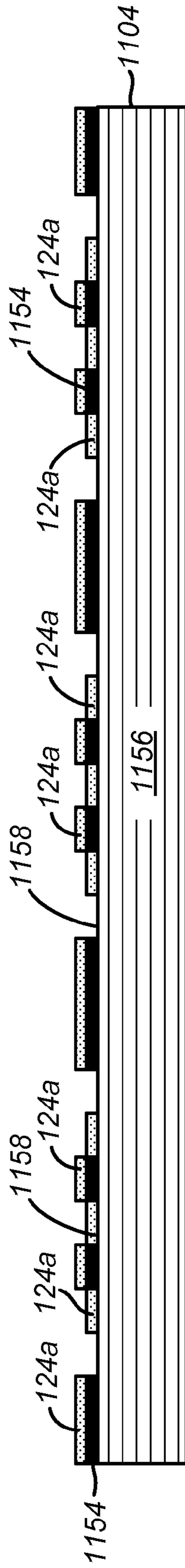


FIGURE 11B

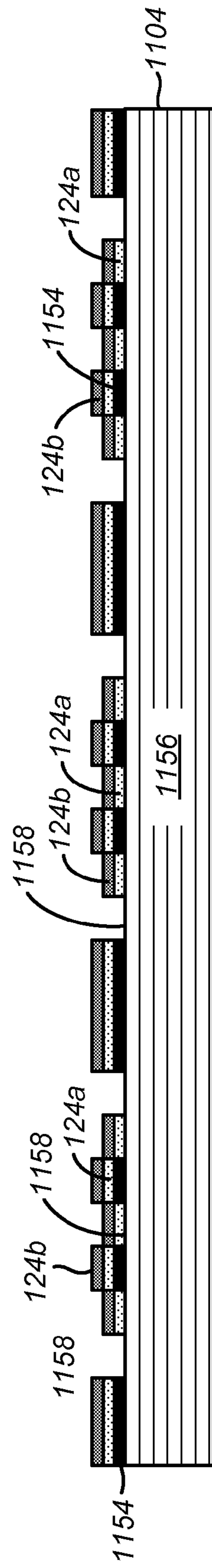


FIGURE 11C

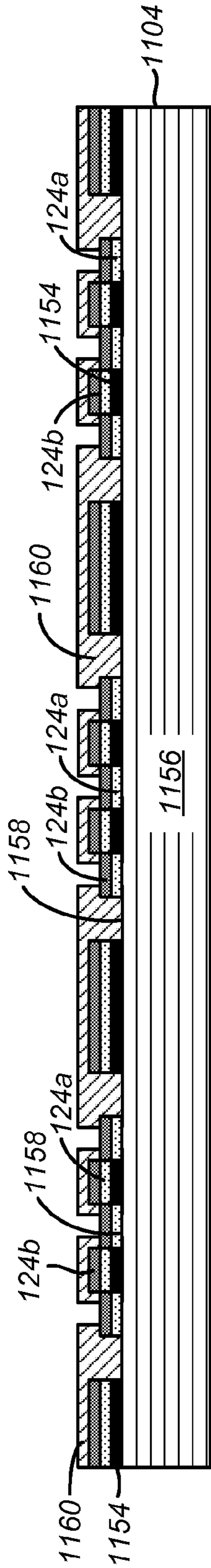


FIGURE 11D

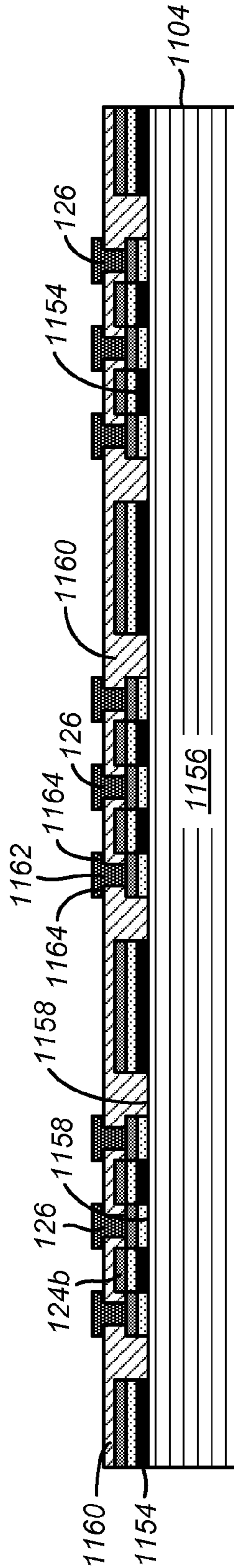


FIGURE 11E

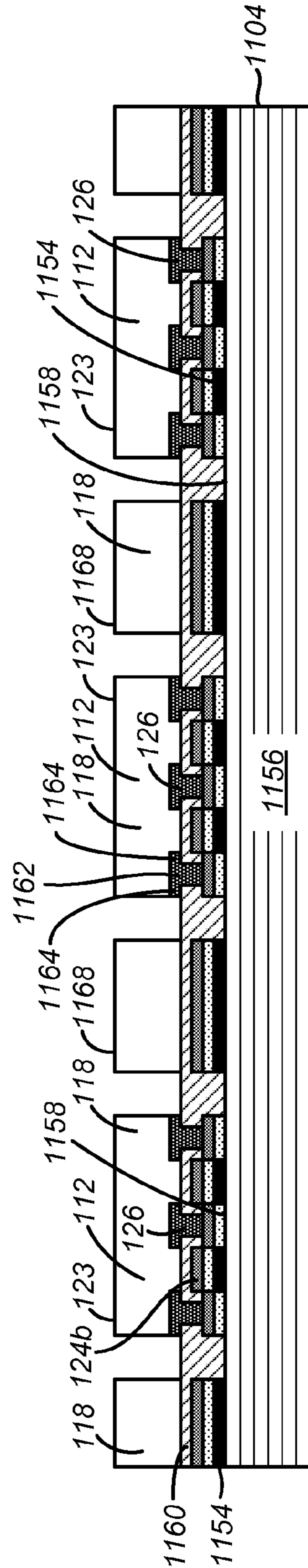
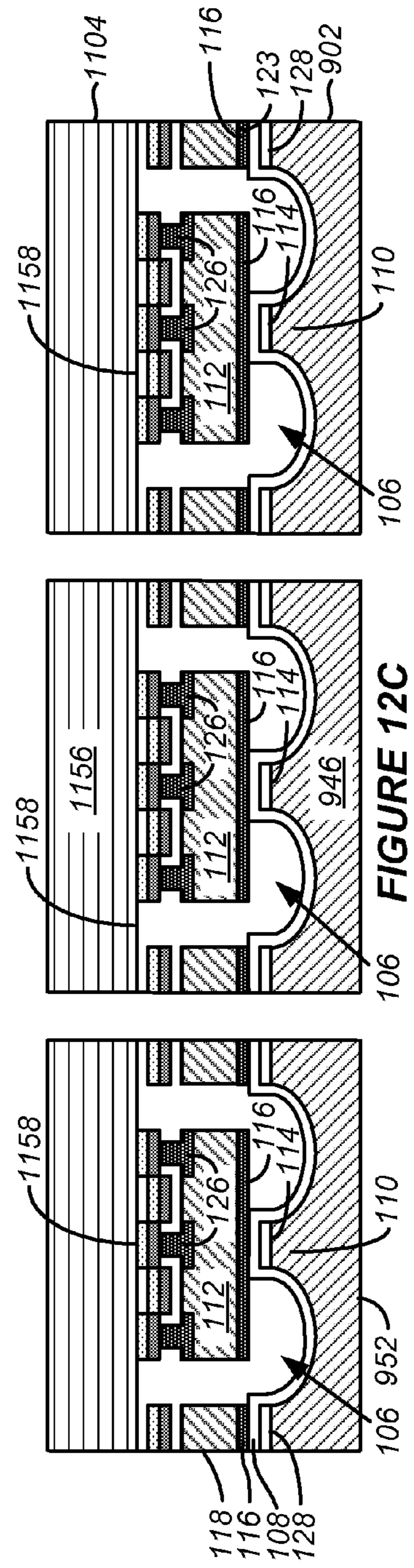
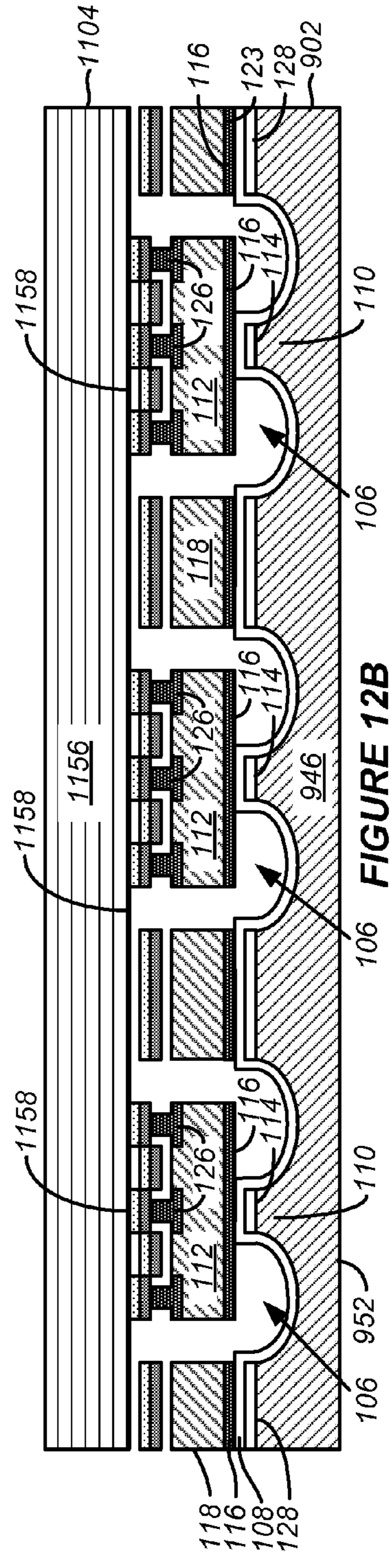
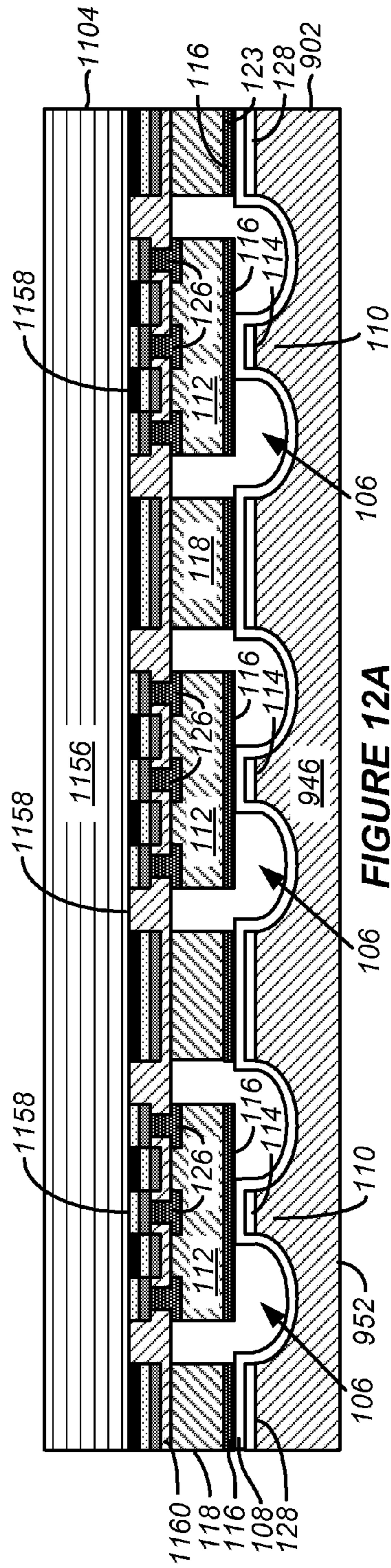
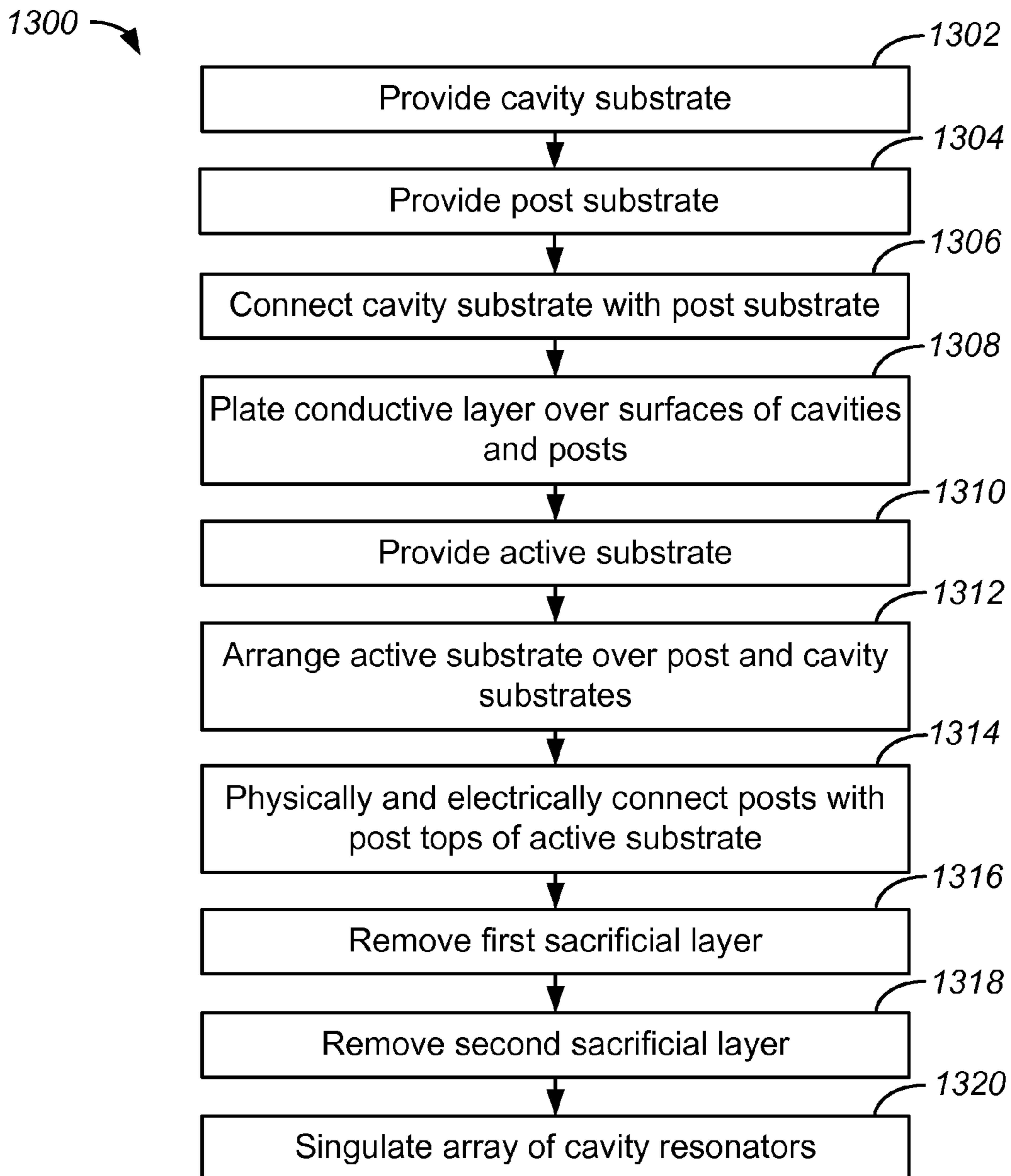


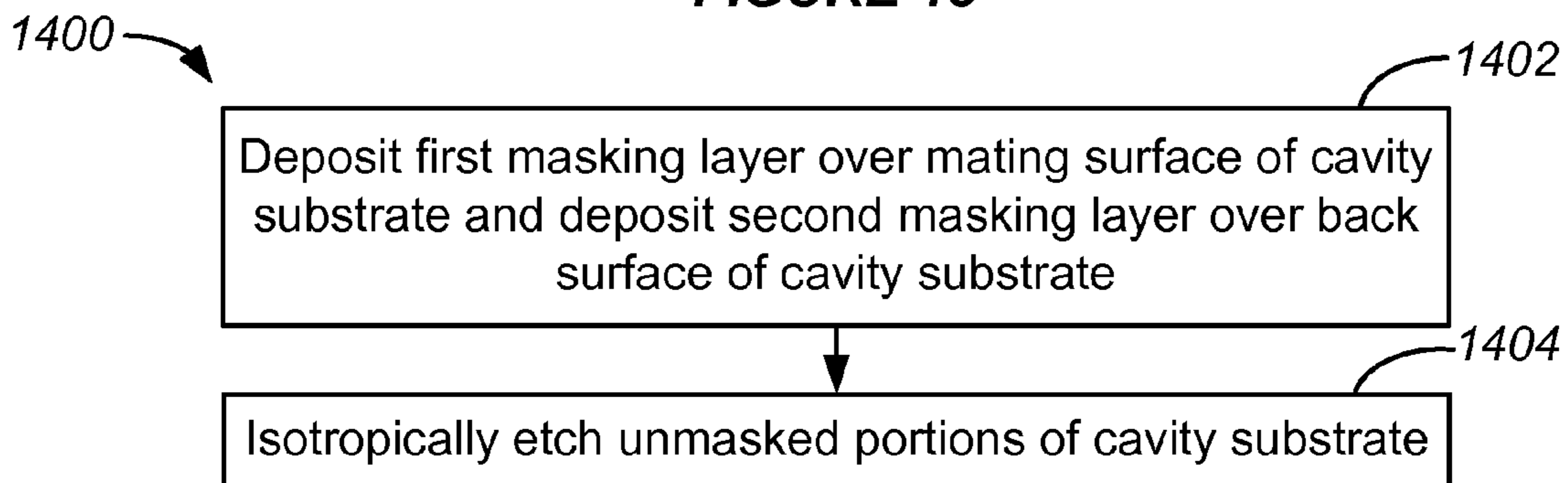
FIGURE 11F







**FIGURE 13**



**FIGURE 14**



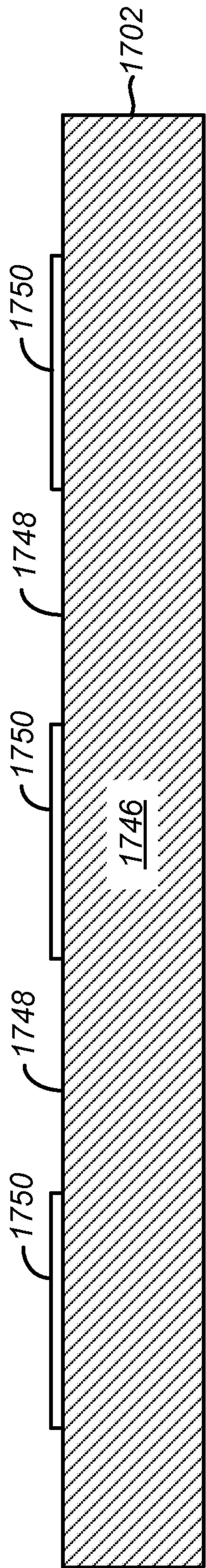


FIGURE 17A

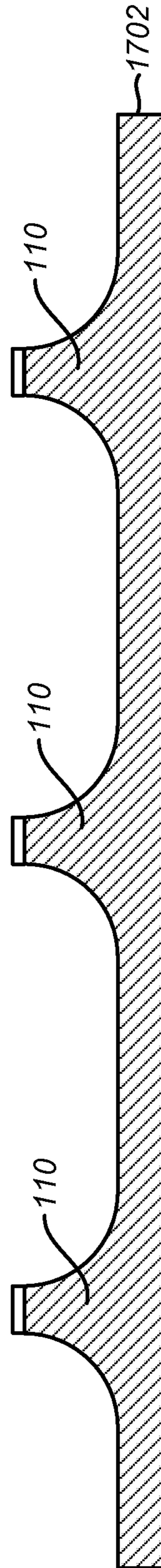


FIGURE 17B



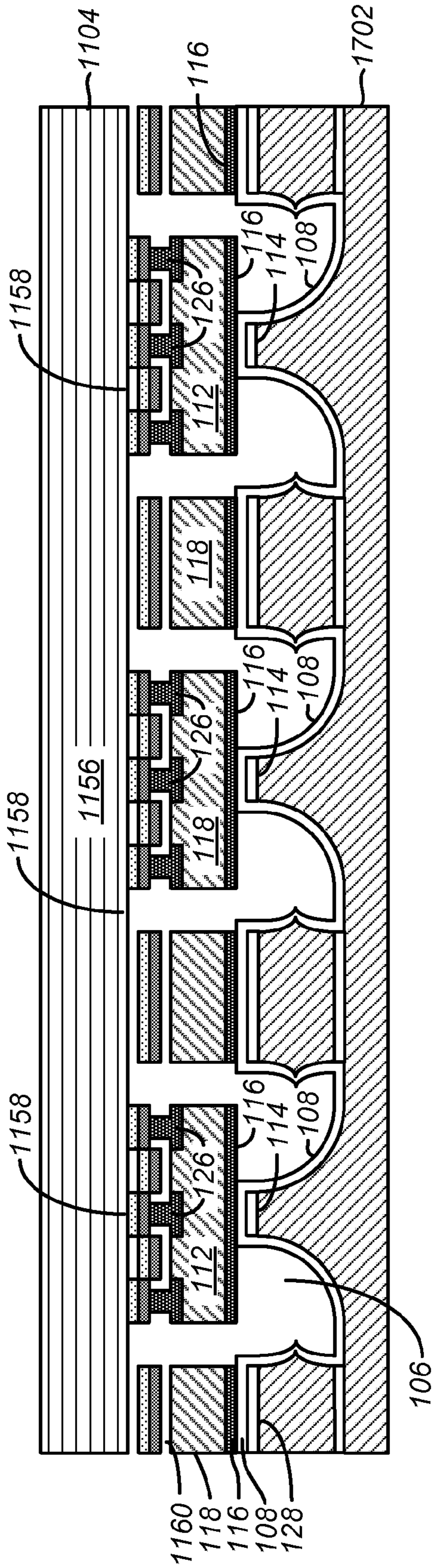


FIGURE 18D

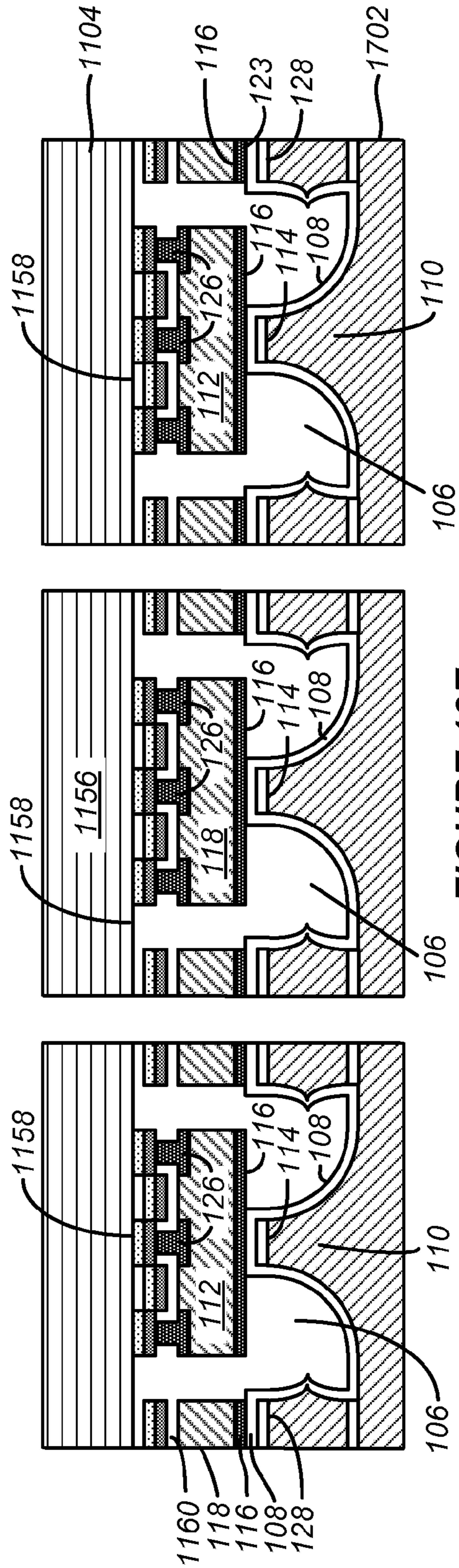


FIGURE 18E

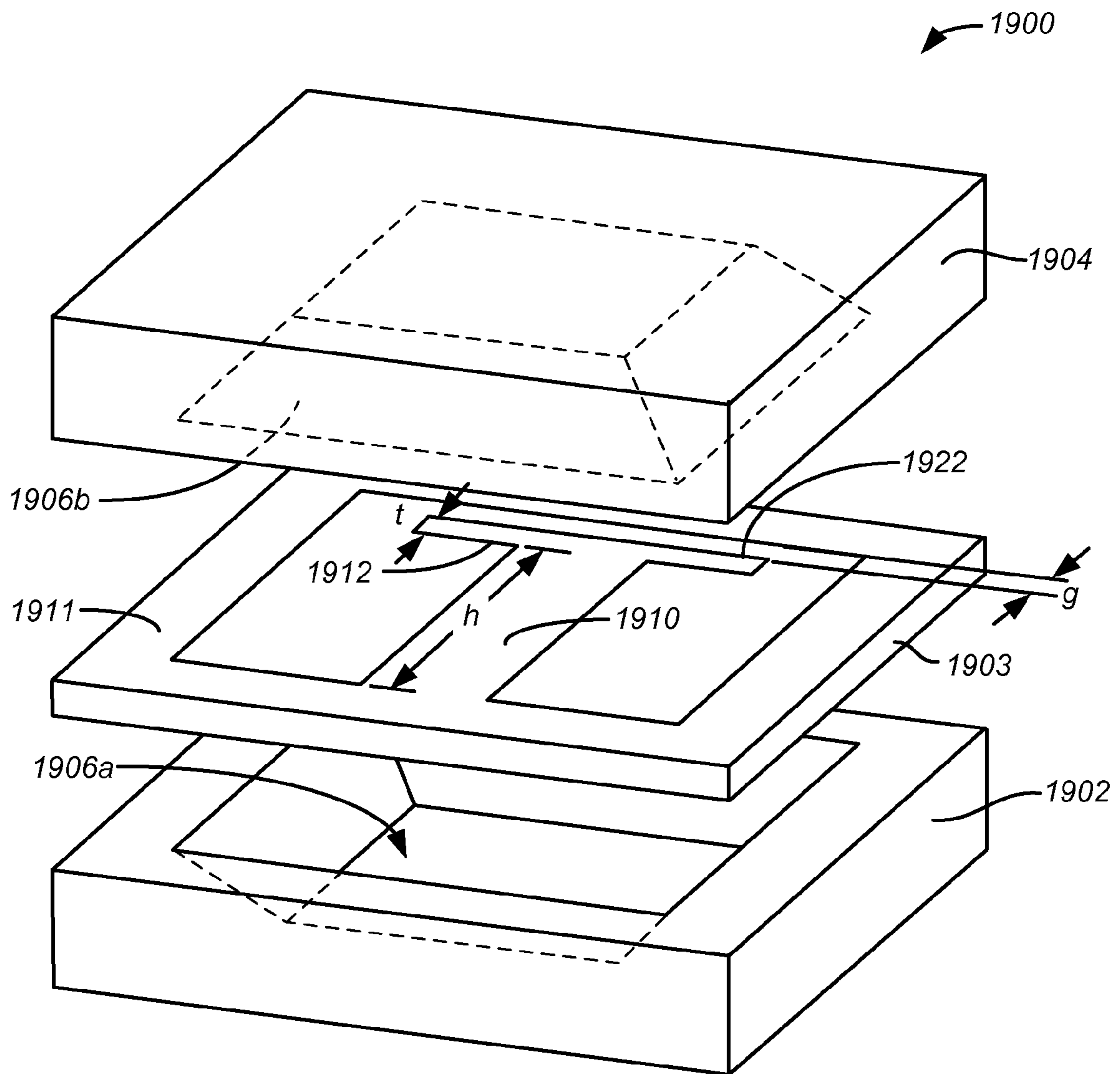


FIGURE 19

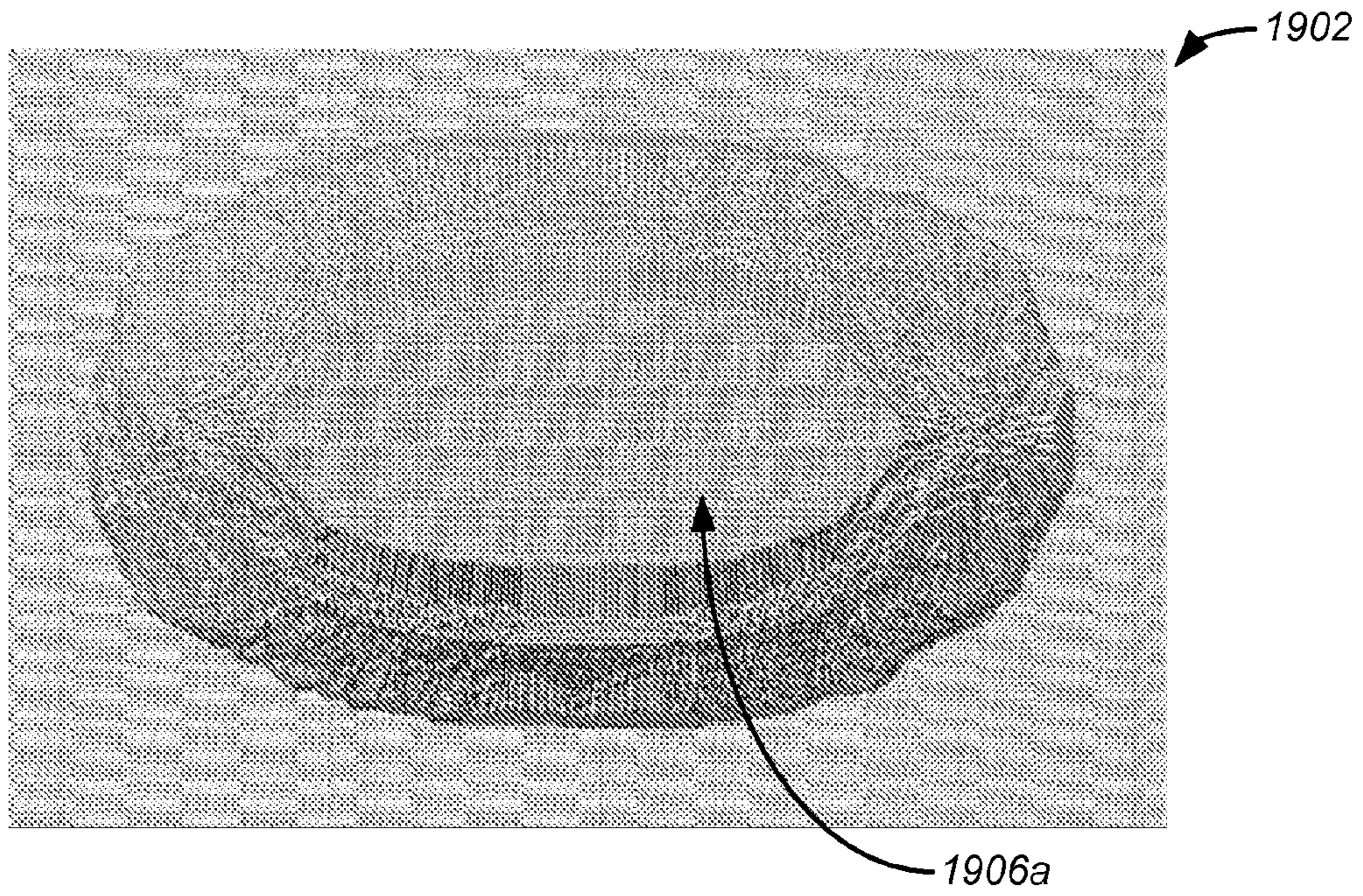


FIGURE 20A

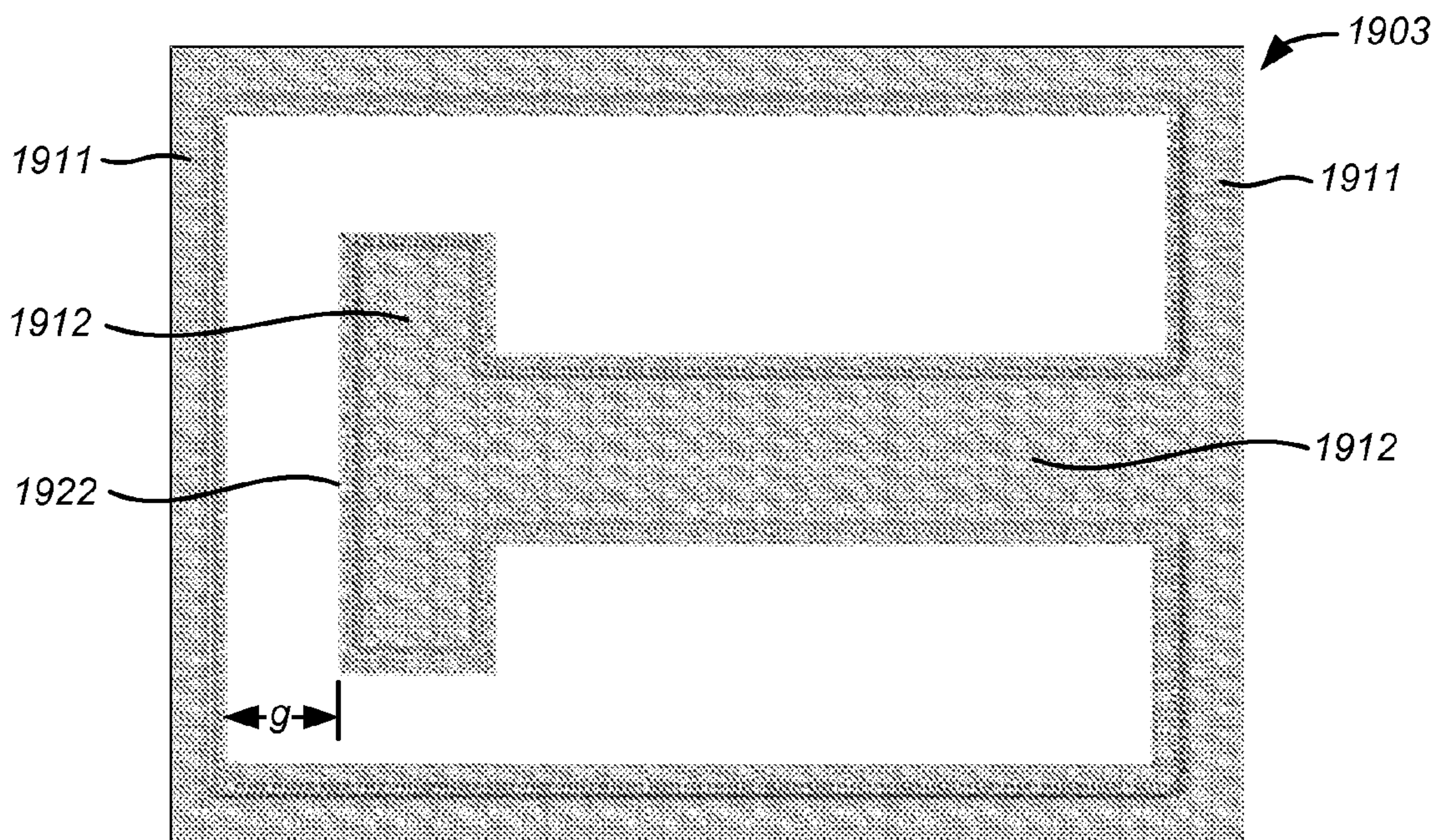


FIGURE 20B

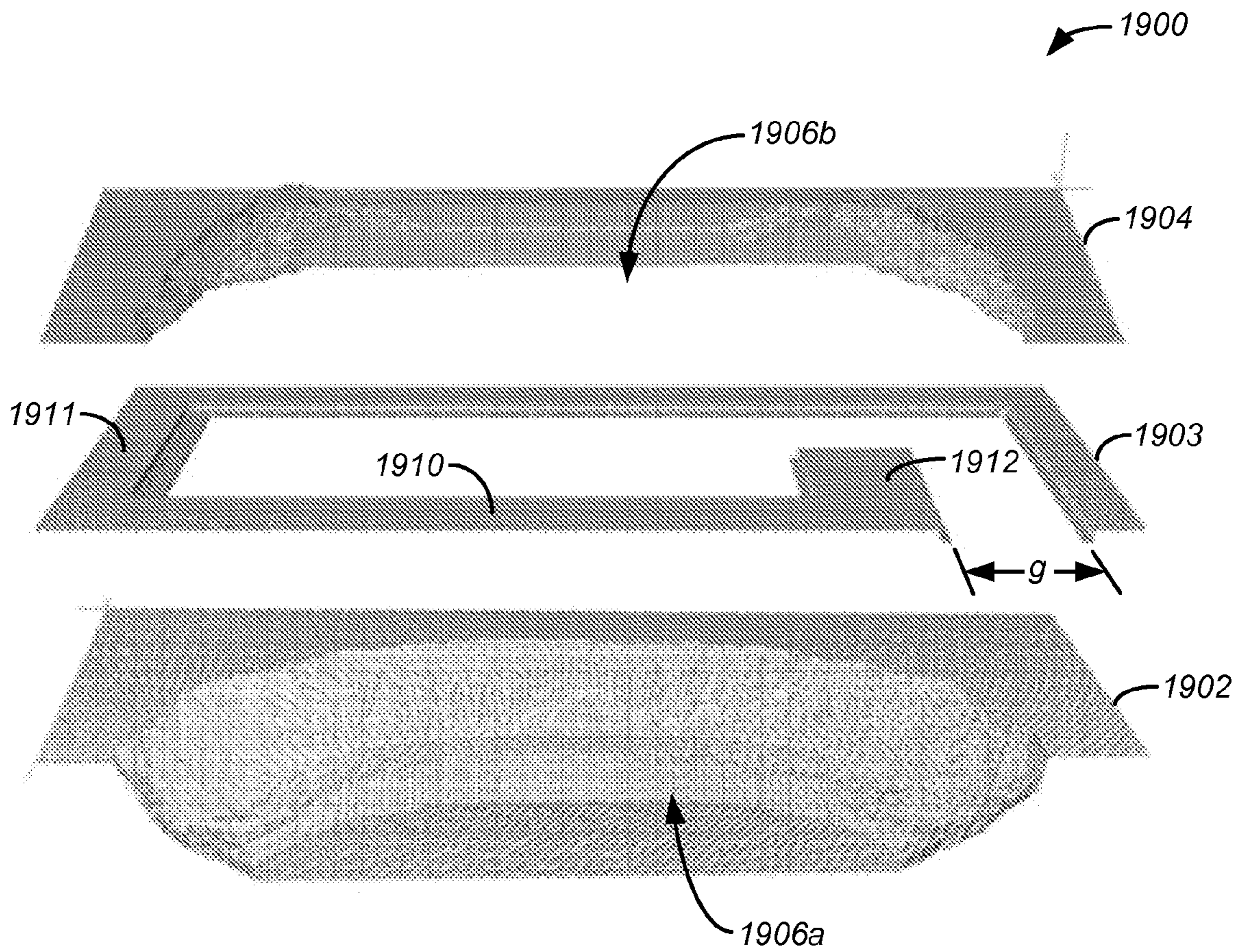


FIGURE 20C



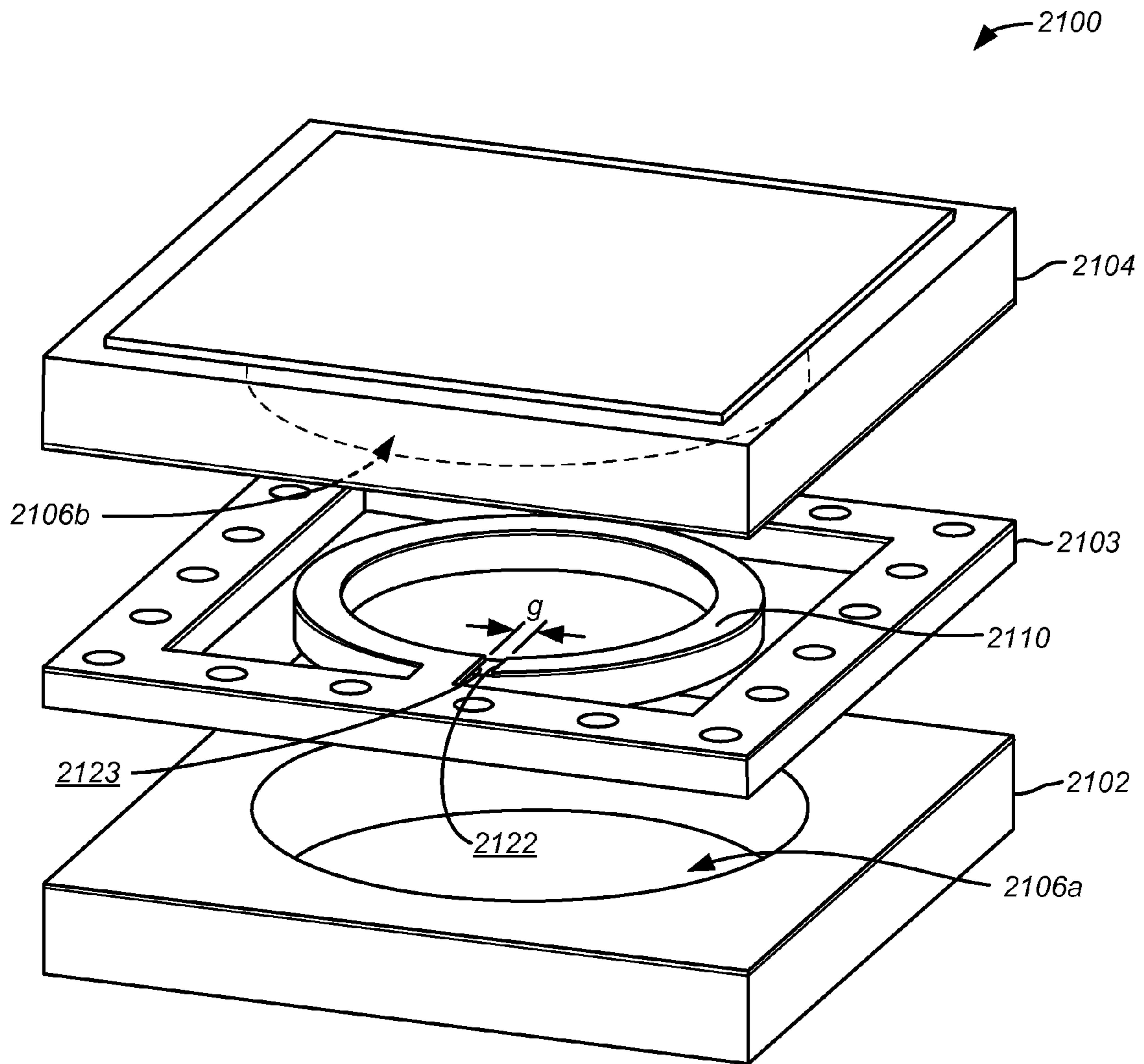


FIGURE 21

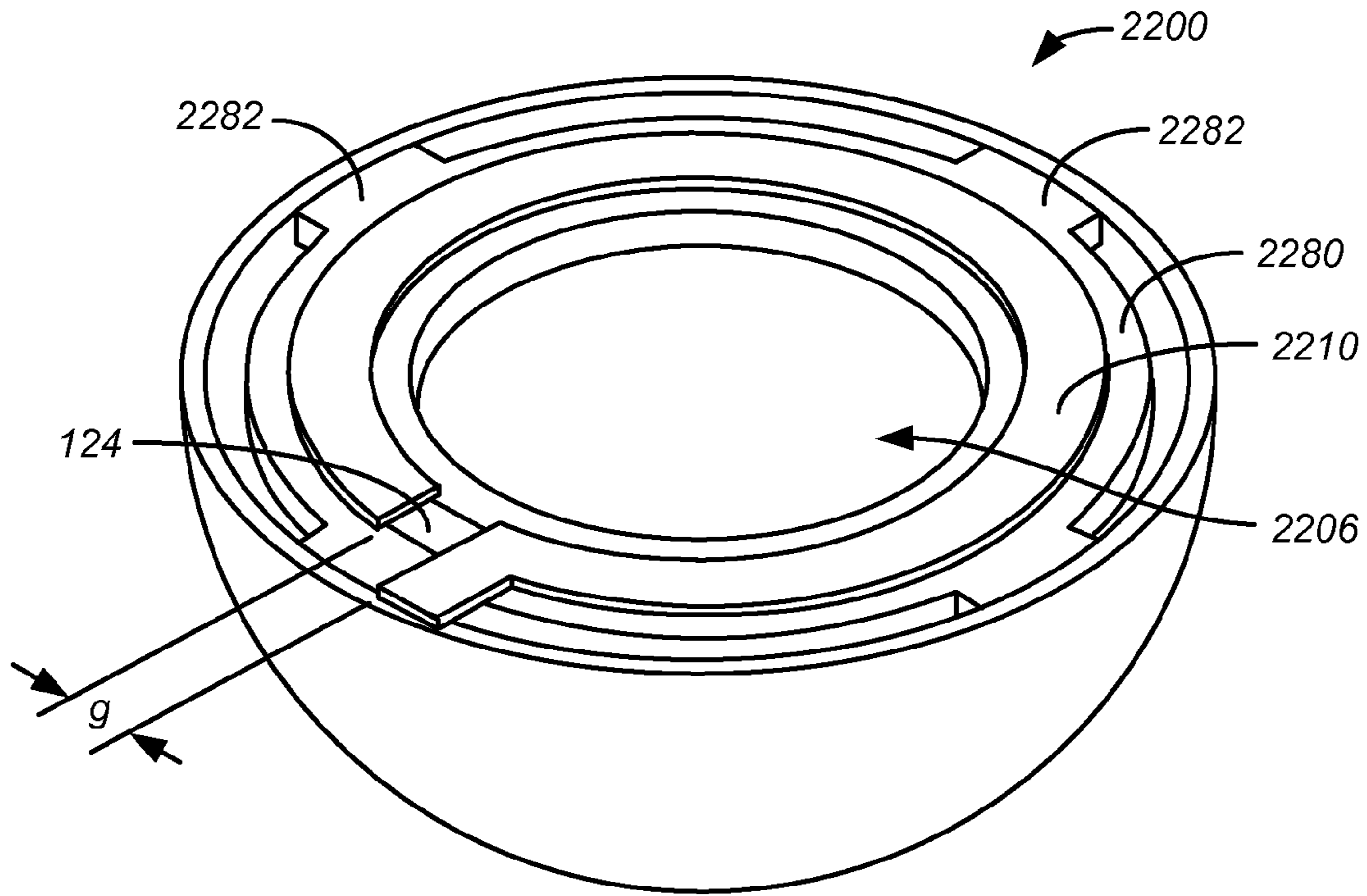


FIGURE 22A

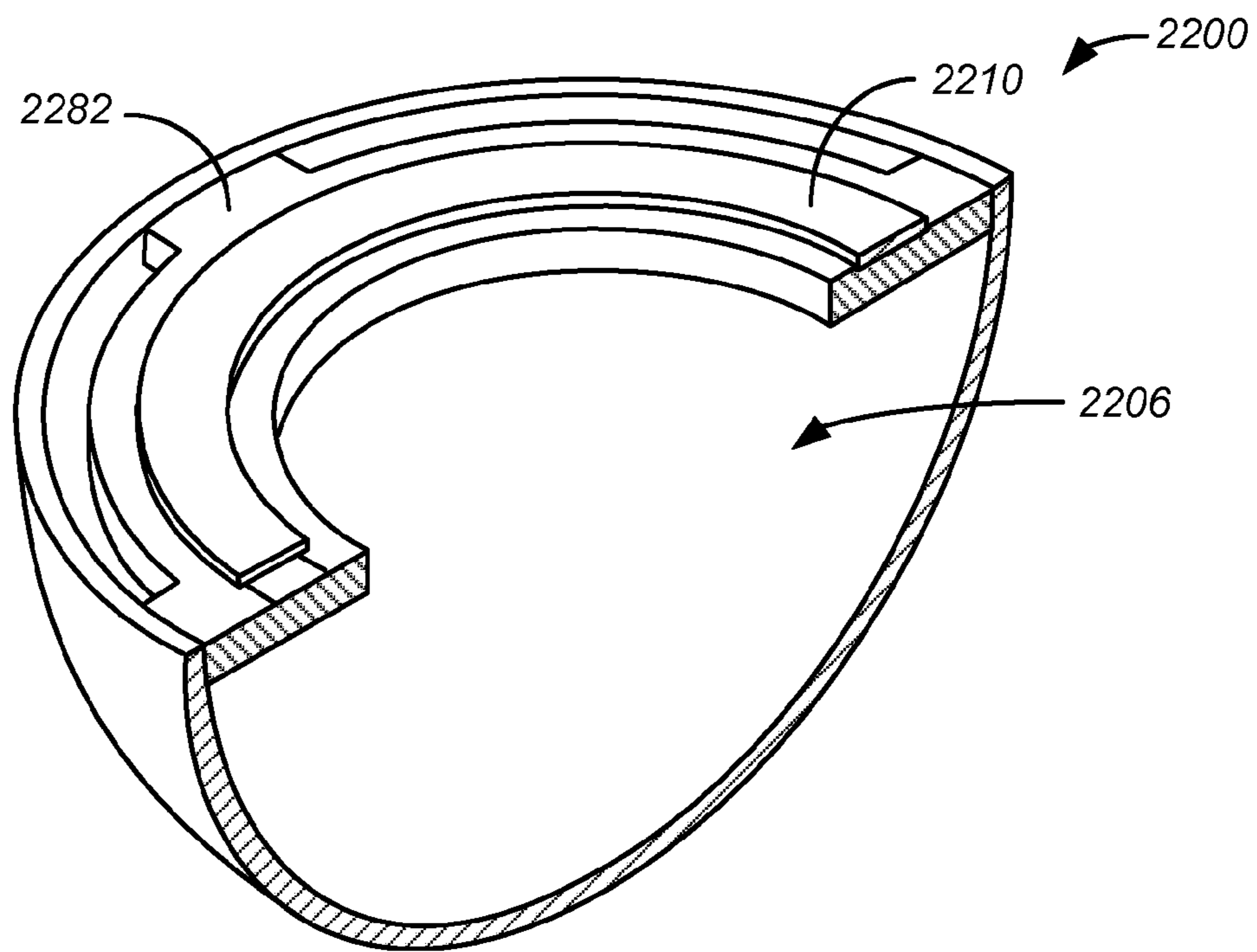
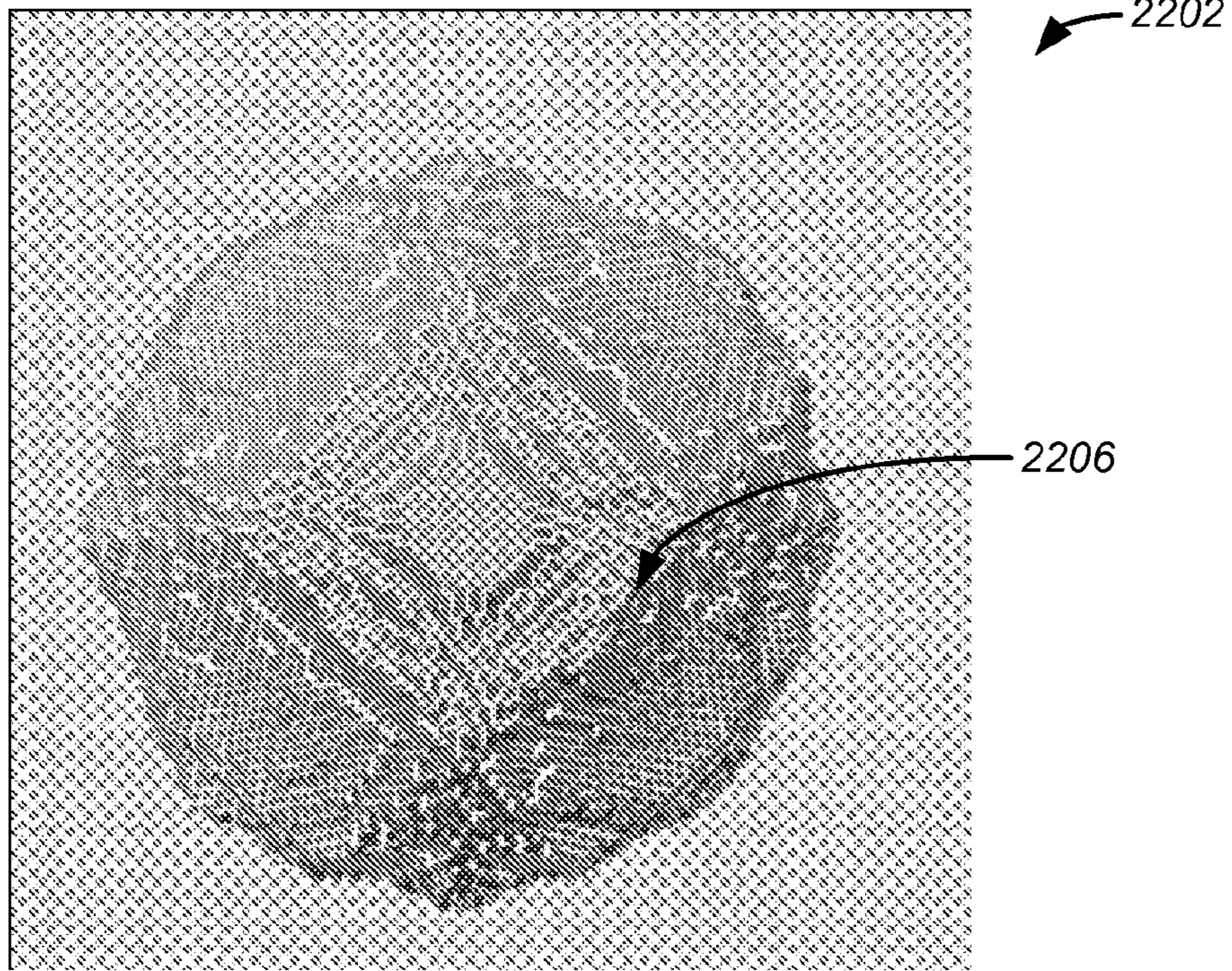
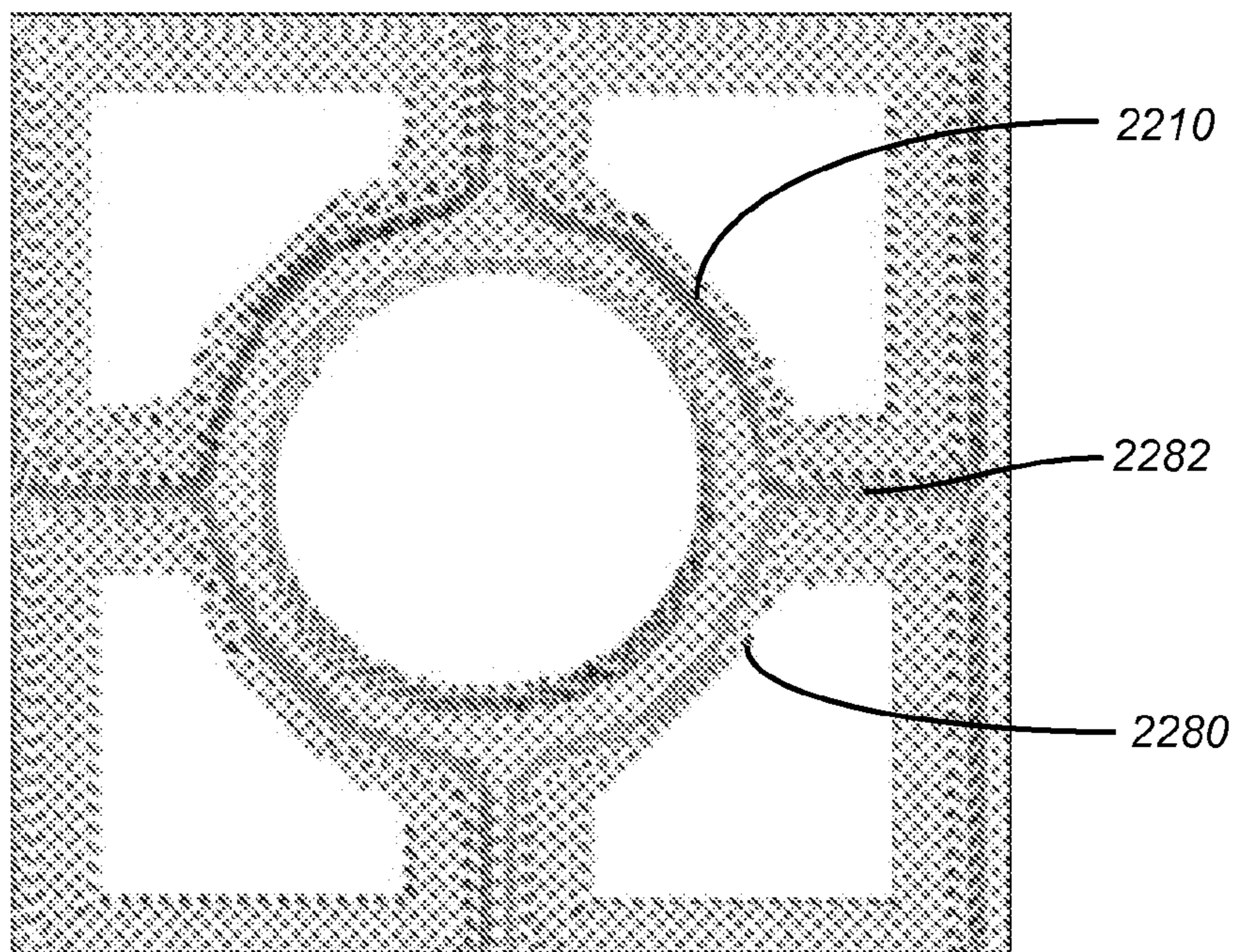


FIGURE 22B



**FIGURE 23A**



**FIGURE 23B**

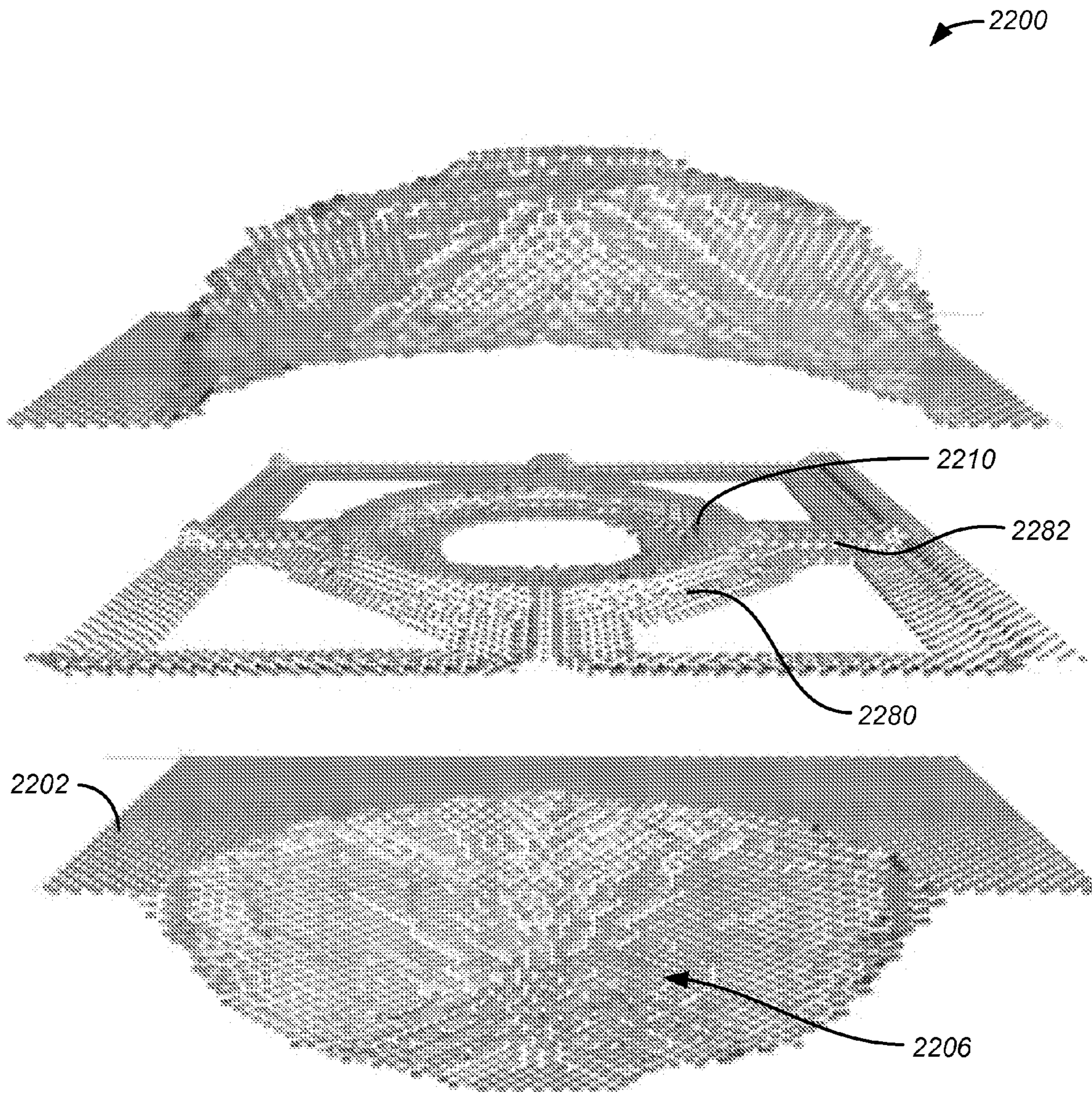


FIGURE 23C

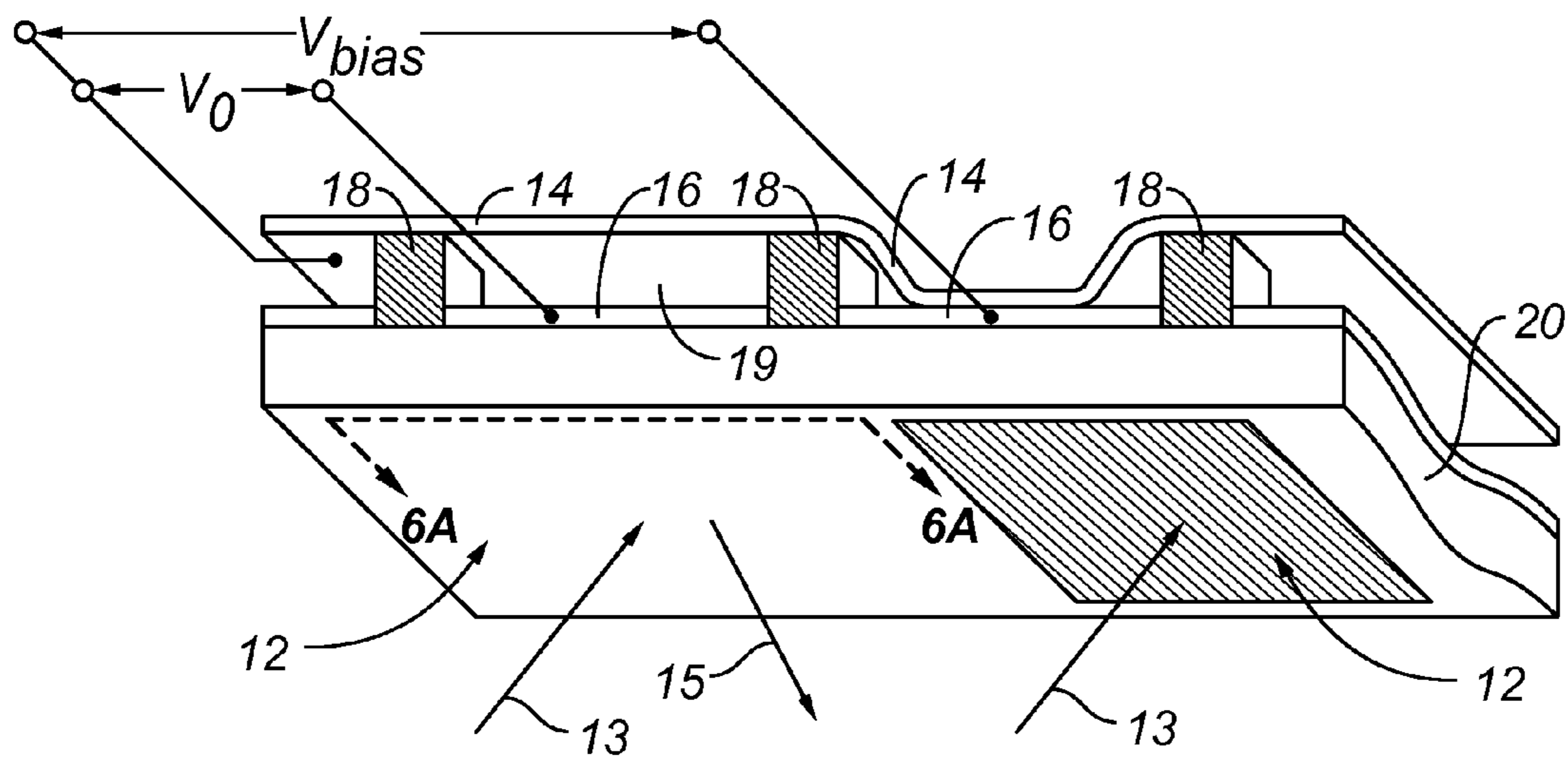
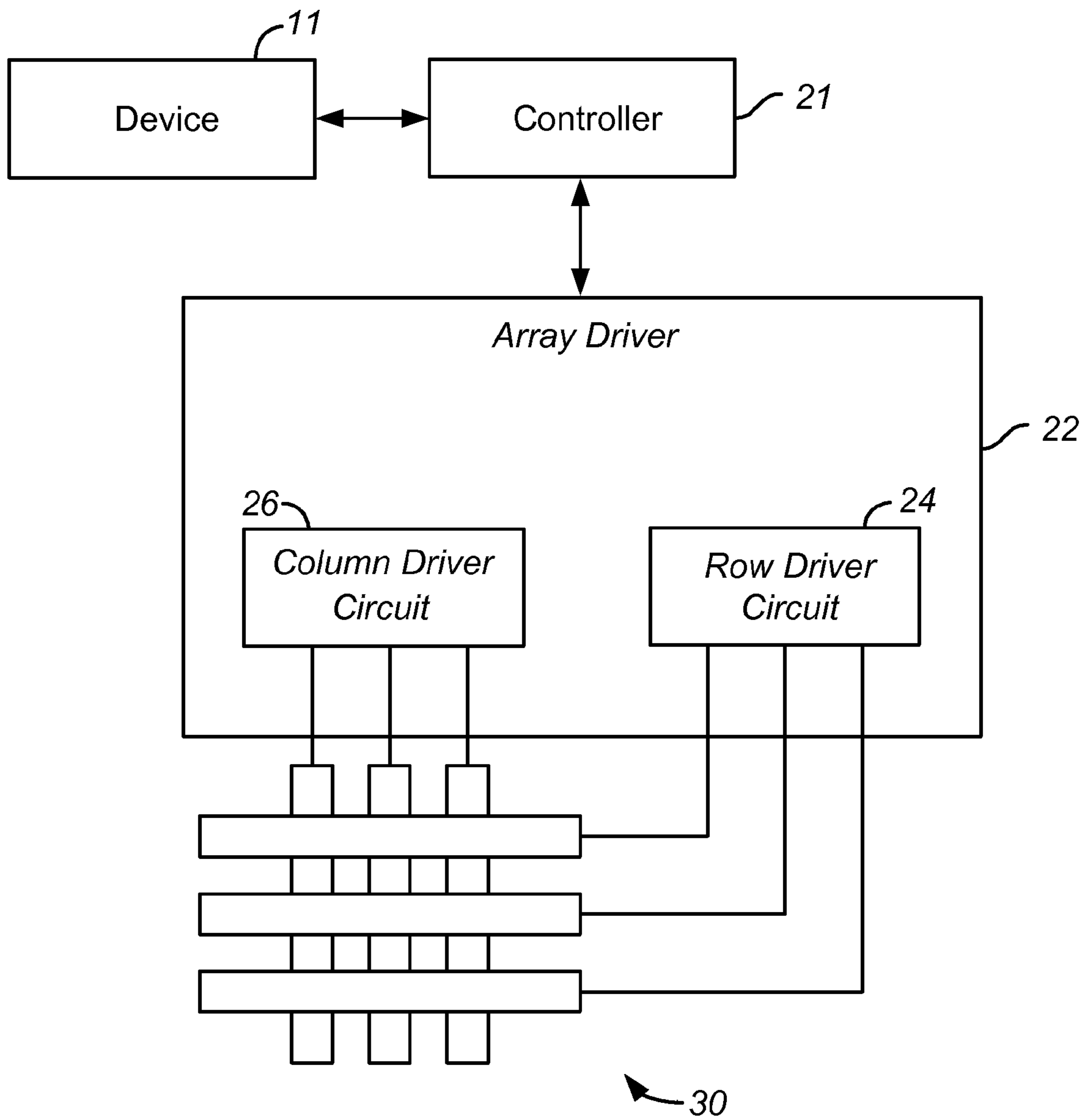
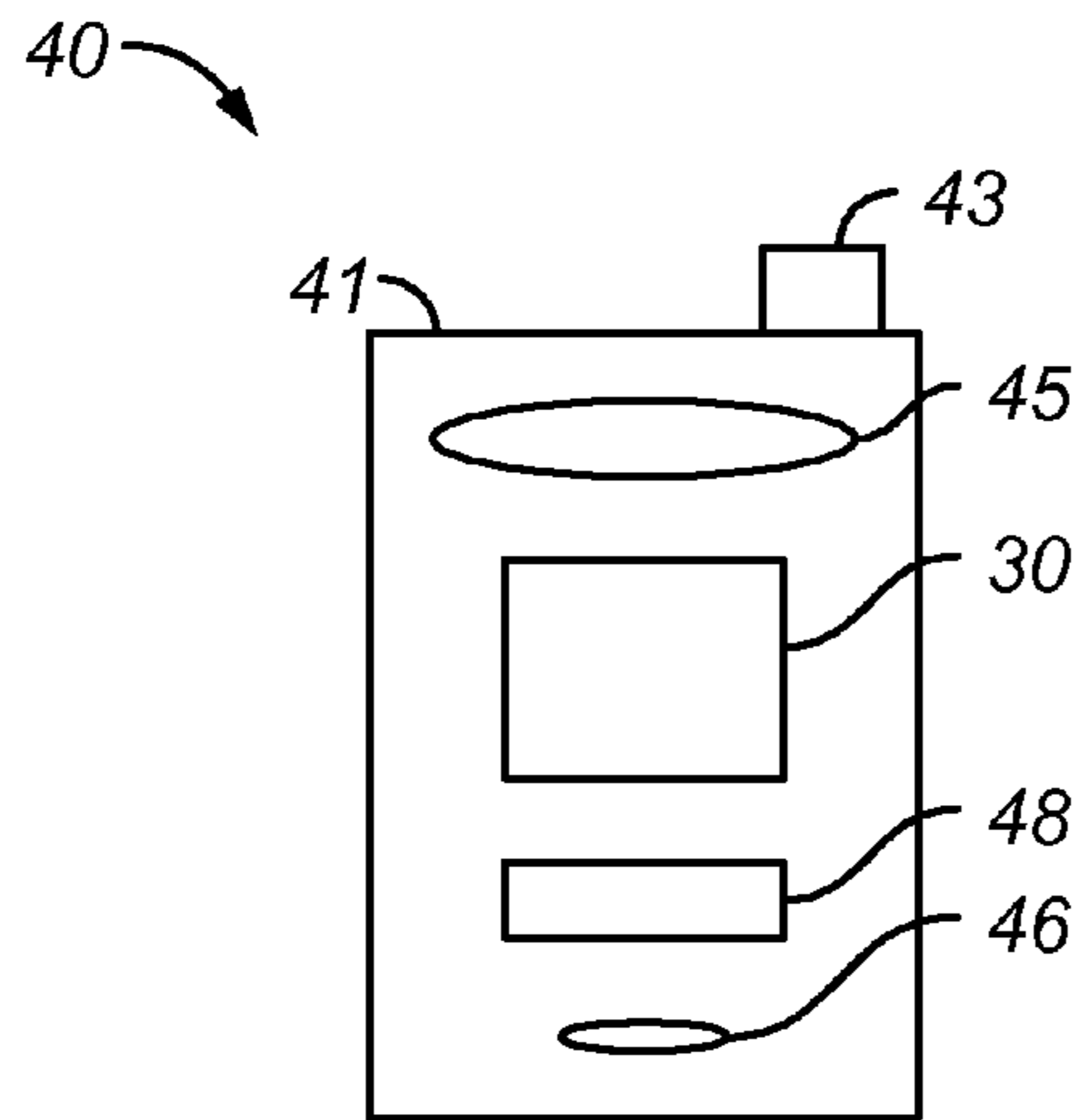


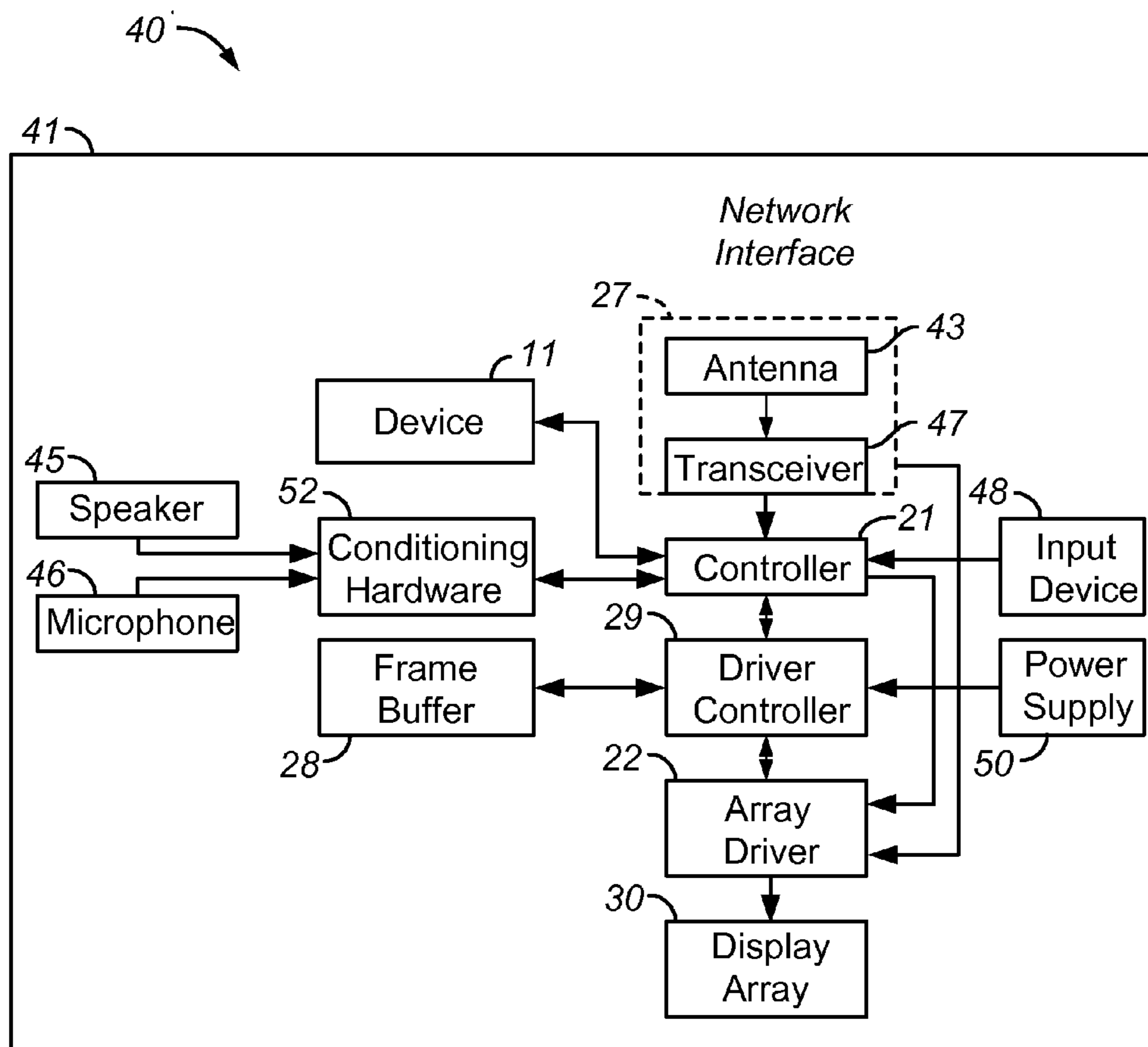
FIGURE 24A



**FIGURE 24B**



**FIGURE 25A**



**FIGURE 25B**

1

**IN-PLANE RESONATOR STRUCTURES FOR  
EVANESCENT-MODE  
ELECTROMAGNETIC-WAVE CAVITY  
RESONATORS**

TECHNICAL FIELD

This disclosure relates generally to electromechanical systems (EMS), and more specifically to in-plane resonator structures for use in evanescent-mode electromagnetic-wave cavity resonators.

DESCRIPTION OF THE RELATED  
TECHNOLOGY

Electromechanical systems (EMS) include devices having electrical and mechanical elements, transducers such as actuators and sensors, optical components (including mirrors), and electronics. EMS can be manufactured at a variety of scales including, but not limited to, microscales and nanoscales. For example, microelectromechanical systems (MEMS) devices can include structures having sizes ranging from about one micron to hundreds of microns or more. Nanoelectromechanical systems (NEMS) devices can include structures having sizes smaller than one micron including, for example, sizes smaller than several hundred nanometers. Electromechanical elements may be created using deposition, etching, lithography, or other micromachining processes that etch away parts of substrates or deposited material layers, or that add layers to form electrical, mechanical, and electromechanical devices.

One type of EMS device is called an interferometric modulator (IMOD). As used herein, the term IMOD or interferometric light modulator refers to a device that selectively absorbs or reflects light using the principles of optical interference. In some implementations, an IMOD may include a pair of conductive plates, one or both of which may be transparent or reflective, wholly or in part, and capable of relative motion upon application of an appropriate electrical signal. In an implementation, one plate may include a stationary layer deposited on a substrate and the other plate may include a reflective membrane separated from the stationary layer by an air gap. The position of one plate in relation to another can change the optical interference of light incident on the IMOD. IMOD devices have a wide range of applications, and are anticipated to be used in improving existing products and creating new products, especially those with display capabilities.

Various electronic circuit components can be implemented at the EMS level, including resonators. Tunable resonators operating between 0.5 and 4 GHz with quality (Q) factors of greater than 100 may be of interest for synthesizing multi-frequency or reconfigurable filters such as for use in mobile handsets or other portable consumer electronics devices. Prior tunable component development work has resulted in devices with cost structures and form factors that are prohibitive for consumer electronics applications due to inherent inefficiencies in their individual, device-level fabrication, assembly, and calibration processes.

For example, evanescent-mode cavity resonators have been fabricated using low-temperature, co-fired ceramic (LTCC) layered composite radio frequency (RF) substrate materials, or, more recently, by stereo-lithographically-patterned polymers or bulk-micromachining single-crystal silicon. LTCC-based manufacturing can be expensive and can

2

require thermal processing that can induce shrinkage of ceramic parts, complicating the maintaining of tight dimensional tolerances.

SUMMARY

5

The structures, devices, apparatus, systems, and processes of the disclosure each have several innovative aspects, no single one of which is solely responsible for the desirable attributes disclosed herein.

Disclosed are example implementations of EMS resonators, devices, apparatus, systems, and related fabrication processes. According to one innovative aspect of the subject matter described in this disclosure, a device includes an evanescent-mode electromagnetic-wave cavity resonator. In some implementations, the cavity resonator includes a lower cavity portion having an inner cavity surface and a mating surface around the periphery of the inner cavity surface of the lower cavity portion, the inner cavity surface of the lower cavity portion having a conductive layer deposited or patterned over it. In some implementations, the cavity resonator also includes an upper cavity portion having an inner cavity surface and a mating surface around the periphery of the inner cavity surface of the upper cavity portion, the inner cavity surface of the upper cavity portion having a conductive layer deposited or patterned over it. The upper cavity portion and the lower cavity portion form a volume, the volume being operable to support one or more evanescent electromagnetic wave modes. The cavity resonator also includes an in-plane lithographically-defined resonator structure having a portion that is located at least partially within the volume so as to support the one or more evanescent electromagnetic wave modes. In some implementations, the resonator structure is formed of a conductive material or has a conductive layer deposited or patterned over it. In some implementations, an upper mating surface of the resonator structure is mated with, bonded with, or otherwise connected with the mating surface of the upper cavity portion. In some implementations, a lower mating surface of the resonator structure is mated with, bonded with, or otherwise connected with the mating surface of the lower cavity portion. A distal surface of the resonator structure is separated or electrically insulated from the closest surface to it by a gap distance, a resonant electromagnetic wave mode of the cavity resonator being dependent at least partially upon the gap distance.

In some implementations, a dielectric material is arranged within some or all of the gap distance such that the dielectric material fills some or all of the gap distance. In some implementations, the resonator structure includes a first portion that extends within the volume, the distal surface of the first portion being the distal surface of the resonator structure that is separated or electrically insulated from the closest surface to it by the gap distance. In some implementations, the resonator structure includes a second portion that physically supports the first portion, the second portion being arranged between and connected with the mating surface of the lower cavity portion and the mating surface of the upper cavity portion. In some implementations, the closest surface to the distal surface of the first portion of the resonator structure is a surface of the second portion of the resonator structure closest to the distal surface of the first portion of the resonator structure. In some implementations, the resonator structure is configured in a suspended-ring or split-ring resonator topology.

In some other implementations, the first portion of the resonator structure includes a post extending radially or transversely across the volume. In some implementations, the first portion of the resonator structure also includes a post top



integrally formed with the post. In some implementations, the distal surface of the post top is the distal surface of the resonator structure that is separated or electrically insulated from the closest surface to it by the gap distance.

In some implementations, the gap distance is adjustable to dynamically change a resonant frequency or mode of the cavity resonator. In some implementations, the cavity resonator also includes one or more tuning elements arranged within the gap distance and actuatable to adjust the magnitude of the gap distance to effect the change in the resonant mode of the resonator. In some implementations, each tuning element includes one or more MEMS. In some implementations, the cavity resonator also includes one or more dielectric spacers arranged within the gap distance, the one or more dielectric spacers defining a static magnitude of the gap distance.

According to another innovative aspect of the subject matter described in this disclosure, a device includes an evanescent-mode electromagnetic-wave cavity resonating means. In some implementations, the cavity resonating means includes a lower cavity means having an inner cavity surface and a mating means around the periphery of the inner cavity surface of the lower cavity means, the inner cavity surface of the lower cavity means having a conductive means deposited or patterned over it. In some implementations, the cavity resonating means also includes an upper cavity means having an inner cavity surface and a mating means around the periphery of the inner cavity surface of the upper cavity means, the inner cavity surface of the upper cavity means having a conductive means deposited or patterned over it. The upper cavity means and the lower cavity means form a volume, the volume being operable to support one or more evanescent electromagnetic wave modes. The cavity resonating means also includes an in-plane lithographically-defined resonating means having a portion that is located at least partially within the volume so as to support the one or more evanescent electromagnetic wave modes. In some implementations, the in-plane lithographically-defined resonating means is formed of a conductive material or has a conductive means deposited or patterned over it. In some implementations, an upper mating surface of the in-plane lithographically-defined resonating means is mated with, bonded with, or otherwise connected with the mating surface of the upper cavity means. In some implementations, a lower mating surface of the in-plane lithographically-defined resonating means is mated with, bonded with, or otherwise connected with the mating surface of the lower cavity means. A distal surface of the in-plane lithographically-defined resonating means is separated or electrically insulated from the closest surface to it by a gap distance, a resonant electromagnetic wave mode of the cavity resonating means dependent at least partially upon the gap distance.

In some implementations, a dielectric material is arranged within some or all of the gap distance such that the dielectric material fills some or all of the gap distance. In some implementations, the in-plane lithographically-defined resonating means includes a first portion that extends within the volume, the distal surface of the first portion being the distal surface of the in-plane lithographically-defined resonating means that is separated or electrically insulated from the closest surface to it by the gap distance. In some implementations, the in-plane lithographically-defined resonating means includes a second portion that physically supports the first portion, the second portion being arranged between and connected with the mating surface of the lower cavity means and the mating surface of the upper cavity means. In some implementations, the closest surface to the distal surface of the first portion of the in-plane lithographically-defined resonating means is a surface of the second portion of the in-plane lithographically-

defined resonating means closest to the distal surface of the first portion of the in-plane lithographically-defined resonating means. In some implementations, the in-plane lithographically-defined resonating means is configured in a suspended-ring or split-ring resonator topology.

In some other implementations, the first portion of the in-plane lithographically-defined resonating means includes a post extending radially or transversely across the volume. In some implementations, the first portion of the in-plane lithographically-defined resonating means also includes a post top integrally formed with the post. In some implementations, the distal surface of the post top is the distal surface of the in-plane lithographically-defined resonating means that is separated or electrically insulated from the closest surface to it by the gap distance.

In some implementations, the gap distance is adjustable to dynamically change a resonant frequency or mode of the cavity resonating means. In some implementations, the cavity resonating means also includes one or more tuning elements arranged within the gap distance and actuatable to adjust the magnitude of the gap distance to effect the change in the resonant mode of the cavity resonating means. In some implementations, each tuning element includes one or more MEMS. In some implementations, the cavity resonating means also includes one or more dielectric spacer means arranged within the gap distance, the one or more dielectric spacer means defining a static magnitude of the gap distance.

Details of one or more implementations of the subject matter described in this specification are set forth in the accompanying drawings and the description below. Although the examples provided in this disclosure may be described in terms of EMS and MEMS-based displays, the concepts provided herein may apply to other types of displays, such as liquid crystal displays (LCDs), organic light-emitting diode (OLEDs) displays and field emission displays. Other features, aspects, and advantages will become apparent from the description, the drawings, and the claims. Note that the relative dimensions of the following figures may not be drawn to scale.

#### BRIEF DESCRIPTION OF THE DRAWINGS

FIG. 1A shows a cross-sectional side view depiction of an example evanescent-mode electromagnetic-wave cavity resonator.

FIG. 1B shows a cross-sectional side view depiction of the example evanescent-mode electromagnetic-wave cavity resonator of FIG. 1A in an actuated state.

FIGS. 2A-2D show cross-sectional side views of simulations of example cavity shapes formed using one or more isotropic etching operations.

FIG. 3A shows an overhead view of an example cavity such as that shown in FIG. 2C.

FIG. 3B shows a cross-sectional perspective view of the example cavity of FIG. 3A.

FIG. 4A shows an overhead view of an example cavity such as that shown in FIG. 2D.

FIG. 4B shows a cross-sectional perspective view of the example cavity of FIG. 4A.

FIG. 5A shows an overhead view of an example cavity having a “donut-like” cross-sectional shape.

FIG. 5B shows a cross-sectional perspective view of the example cavity of FIG. 5A.

FIG. 6 shows an example cavity substrate that includes an etch-stop.

## 5

FIG. 7 shows a flow diagram depicting an example two-substrate process for forming a multiplicity of evanescent-mode electromagnetic-wave cavity resonators.

FIG. 8 shows a flow diagram depicting an example process for forming an example cavity substrate.

FIG. 9A shows a cross-sectional side view depiction of an example cavity substrate.

FIG. 9B shows a cross-sectional side view depiction of the example cavity substrate of FIG. 9A after an isotropic etching operation.

FIG. 9C shows a cross-sectional side view depiction of the example cavity substrate of FIG. 9B after a conductive plating operation.

FIG. 9D shows a cross-sectional side view depiction of the example cavity substrate of FIG. 9C after a solder application operation.

FIG. 10 shows a flow diagram depicting an example process for forming an example active substrate.

FIGS. 11A-11F show cross-sectional side view depictions of various example stages during the example process of FIG. 10.

FIG. 12A shows a cross-sectional side view depiction of an example active substrate arranged over an example cavity substrate.

FIG. 12B shows a cross-sectional side view depiction of the arrangement of FIG. 12A after removing the sacrificial layers.

FIG. 12C shows a cross-sectional side view depiction of the arrangement of FIG. 12B after one or more singulation operations.

FIG. 13 shows a flow diagram depicting an example three-substrate process for forming a multiplicity of evanescent-mode electromagnetic-wave cavity resonators.

FIG. 14 shows a flow diagram depicting an example process for forming an example cavity substrate.

FIG. 15A shows a cross-sectional side view depiction of an example cavity substrate.

FIG. 15B shows a cross-sectional side view depiction of the example cavity substrate of FIG. 15A after an isotropic etching operation.

FIG. 16 shows a flow diagram depicting an example process for forming an example post substrate.

FIG. 17A shows a cross-sectional side view depiction of an example post substrate.

FIG. 17B shows a cross-sectional side view depiction of the example post substrate of FIG. 17A after an isotropic etching operation.

FIG. 18A shows a cross-sectional side view depiction of the post substrate of FIG. 17B arranged over and connected with the cavity substrate of FIG. 15B.

FIG. 18B shows a cross-sectional side view depiction of the arrangement of FIG. 18A after a conductive plating operation.

FIG. 18C shows a cross-sectional side view depiction of the active substrate of FIG. 11F arranged over the cavity and post substrates and of FIGS. 15B and 17B.

FIG. 18D shows a cross-sectional side view depiction of the arrangement of FIG. 18C after removing the sacrificial layers.

FIG. 18E shows a cross-sectional side view depiction of the arrangement of FIG. 18D after one or more singulation operations.

FIG. 19 shows an exploded axonometric view depiction of an example cavity resonator that includes a lithographically-defined in-plane capacitive tuning structure.

## 6

FIG. 20A shows a top view of a simulation of an example lower cavity portion such as that usable in the cavity resonator of FIG. 19.

FIG. 20B shows a top view of a simulation of an example lithographically-defined in-plane capacitive tuning structure such as that usable in the cavity resonator of FIG. 19.

FIG. 20C shows an exploded cross-sectional perspective view of a simulation of an example cavity resonator that includes a lithographically-defined in-plane capacitive tuning structure such as that shown in FIG. 19.

FIG. 21 shows an exploded axonometric view depiction of an example cavity resonator that includes a lithographically-defined in-plane capacitive tuning structure.

FIG. 22A shows an axonometric cross-sectional top view depiction of an example cavity resonator that includes a lithographically-defined in-plane capacitive tuning structure.

FIG. 22B shows an axonometric cross-sectional side and cross-sectional top view of the example cavity resonator of FIG. 22A.

FIG. 23A shows a top view of a simulation of an example lower cavity portion such as that usable in the cavity resonator of FIGS. 22A and 22B.

FIG. 23B shows a top view of a simulation of an example lithographically-defined in-plane capacitive tuning structure such as that usable in the cavity resonator of FIGS. 22A and 22B.

FIG. 23C shows an exploded cross-sectional perspective view of a simulation of an example cavity resonator that includes a lithographically-defined in-plane capacitive tuning structure such as that shown in FIGS. 22A and 22B.

FIG. 24A shows an isometric view depicting two adjacent example pixels in a series of pixels of an example IMOD display device.

FIG. 24B shows an example system block diagram depicting an example electronic device incorporating an IMOD display.

FIGS. 25A and 25B show examples of system block diagrams depicting an example display device that includes a plurality of IMODs.

Like reference numbers and designations in the various drawings indicate like elements.

## DETAILED DESCRIPTION

The following detailed description is directed to certain implementations for the purposes of describing the innovative aspects. However, the teachings herein can be applied and implemented in a multitude of different ways.

The disclosed implementations include examples of structures and configurations of EMS and MEMS resonator devices, including evanescent-mode electromagnetic-wave cavity resonators (hereinafter “evanescent-mode cavity resonators” or simply “cavity resonators”). Related apparatus, systems, and fabrication processes and techniques are also disclosed.

Some example implementations include two- or three-substrate fabrication and assembly processes. For example, various process implementations can be performed at a substrate-, wafer-, panel-, or batch-level. Performing processing at these levels can reduce cost while increasing efficiency and uniformity. Some implementations also utilize standard, low-cost batch process techniques, such as bulk wet-etching. Some process implementations can yield batches of cavity resonators with the requisite cost structure and dimensional tolerances required or desired for a multitude of applications. For example, such processes can produce tunable cavity resonators having operating ranges between approximately 0.5

and approximately 4 GHz with quality (Q) factors of greater than 100. Some implementations produce cavity resonators that can be used to synthesize multi-frequency or reconfigurable filters, such as for use in mobile handsets or other portable consumer electronics devices.

Some example implementations include isotropically-etched cavities for use in evanescent-mode electromagnetic-wave cavity resonators. In some implementations, the isotropic etching operation produces a plurality of cavities. In some implementations, the isotropic etching operation results in an array of cavities each suitable for use in an evanescent-mode electromagnetic-wave cavity resonator. In some implementations, the array of cavities can have a multitude of possible shapes. In some implementations, the cavities within a given array can have varied shapes and sizes. For example, in some implementations an isotropic wet-etching operation is performed on a substrate having an etch-stop on a side of the substrate resulting in a plurality of cavities having planar bottom surfaces and curved side surfaces.

Some example implementations include topped-post structures (hereinafter also “top-post structures,” “top-posts,” or “post tops”) for use in evanescent-mode electromagnetic-wave cavity resonators. That is, in some example implementations, a cavity resonator is produced that includes a capacitive tuning structure or post within the cavity volume that itself includes a post top positioned on, arranged on, or otherwise connected with or integrally formed adjacent to the post’s distal surface.

Some example implementations include dielectric spacers arranged in a gap between the distal surface of the post top (or post) of an evanescent-mode electromagnetic-wave cavity resonator and the cavity ceiling surface of the resonator. In some implementations, a gap distance is statically-defined by a thickness of the dielectric spacers.

Some example implementations include one or more tuning elements arranged in a gap between the distal surface of the post top (or post) of an evanescent-mode electromagnetic-wave cavity resonator and the cavity ceiling surface of the resonator. In some implementations, each tuning element includes at least one electrostatically- or piezoelectrically-actuable MEMS. In some implementations, an actual magnitude of the gap distance is statically defined by the thickness of dielectric spacers and dynamically or adjustably dependent on an actuation state of the tuning elements. Because the capacitance between the post top (or post) and the cavity ceiling is dependent on the actual magnitude of the gap distance, one or more resonant electromagnetic-wave modes are dependent or tunable by way of actuating the tuning elements.

Some example implementations include lithographically-patterned in-plane resonator structures for use in evanescent-mode electromagnetic-wave cavity resonators. For example, in some implementations lithographic processes are used to produce in-plane resonator structures having a gap whose base or steady-state dimension is lithographically-defined concurrently with the remaining portions of the resonator structure. In contrast, traditional processes produce cavity resonators in which the gap is assembly-defined; that is, defined by the distance between two distinct conductive portions that are fabricated separately and subsequently arranged in proximity to one another.

FIG. 1A shows a cross-sectional side view depiction of an example evanescent-mode electromagnetic-wave cavity resonator 100. The cavity resonator 100 includes a lower cavity portion 102 and an upper cavity portion 104. The lower cavity portion 102 includes a cavity 106. In some implementations, the cavity 106 is formed from the lower cavity portion 102 through an etching operation. In particular implementa-

tions, the cavity 106 is formed through an isotropic wet-etching operation resulting in curved cavity walls. In some other implementations, the cavity 106 is formed through an anisotropic etching operation resulting in substantially straight or vertical cavity walls. In some implementations, the cavity 106 is evacuated of air or filled with other gas.

In some implementations, the bulk substrate portions of the lower cavity portion 102 or the upper cavity portion substrate 104 can be formed of an insulating or dielectric material. For example, in some implementations, the bulk substrate portions of the lower cavity portion 102 or the upper cavity portion substrate 104 can be made of display-grade glass (such as alkaline earth boro-aluminosilicate) or soda lime glass. Other suitable insulating materials include silicate glasses, such as alkaline earth aluminosilicate, borosilicate, or modified borosilicate. Also, ceramic materials such as aluminum oxide (AlOx), yttrium oxide (Y<sub>2</sub>O<sub>3</sub>), boron nitride (BN), silicon carbide (SiC), aluminum nitride (AlN), and gallium nitride (GaNx) also can be used in some implementations. In some other implementations, high-resistivity Si can be used. In some implementations, silicon on insulator (SOI) substrates, gallium arsenide (GaAs) substrates, indium phosphide (InP) substrates, and plastic (polyethylene naphthalate or polyethylene terephthalate) substrates, e.g., associated with flexible electronics, also can be used.

In some implementations, the cavity 106 is plated with one or more conductive layers 108. For example, the conductive layer 108 can be formed by plating the surface of the lower cavity portion 102 with a conductive metal or metallic alloy. For example, the conductive layer 108 can be formed from nickel (Ni), aluminum (Al), copper (Cu), titanium (Ti), aluminum nitride (AlN), titanium nitride (TiN), aluminum copper (AlCu), molybdenum (Mo), aluminum silicon (AlSi), platinum (Pt), tungsten (W), ruthenium (Ru), or other appropriate or suitable materials or combinations thereof. In some implementations, a thickness in the range of approximately 1 μm to approximately 20 μm can be suitable. However, thinner or thicker thicknesses may be appropriate or suitable in other implementations or applications.

The cavity resonator 100 also includes a capacitive tuning structure or “post” 110. In some implementations, the post 110 is integrally formed from the lower cavity portion 102 during the etching operation that defined the corresponding cavity 106. The post 110 can have curved or straight vertical post walls. For example, the walls of the post 110 can be curved when an isotropic etching operation is used to form the cavity 106. The post 110 also can be plated with the conductive layer 108. In some implementations, the post 110 can have a circular cross-sectional shape. In some other implementations, the post 110 can have an elliptical, square, rectangular, or other cross-sectional shape. In some implementations, a dimension of the cross-sectional shape of the post 110, such as the diameter or width, or the shape of the cross-sectional shape itself, varies along the length of the post 110. For example, an isotropic wet-etching operation can result in a post 110 having a circular cross-sectional shape whose diameter decreases distally along the length of the post 110. In various implementations, the post 110 can have a thickness or height in the range of approximately 100 μm to approximately 1000 μm, and a width or diameter in the range of approximately 0.1 mm to approximately 1 mm.

In some implementations, a post top 112 is arranged over the post 110. In some implementations, the post top 112 is disposed on the distal surface 114 of the post 110 and secured using a process such as soldering. For example, prior to arranging the post top 112 over the post 110, the distal surface 114 of the post 110 and other mating surfaces or regions of the

lower cavity portion **102** can be plated with solder **116**. In some implementations, the post top **112** is formed from a conductive material. In some other implementations, the post top **112** can be made of a dielectric or other suitable material and then be plated with a conductive layer, such as the conductive layer **108**. For example, the post top **112** can be formed from Cu or be plated with a Cu layer having a thickness of approximately  $10\ \mu\text{m}$ . In various implementations, the post top **112** can be plated with a conductive layer formed from Cu having a thickness in the range of approximately  $2\ \mu\text{m}$  to approximately  $20\ \mu\text{m}$ . In some implementations, the post top **112** can have a circular cross-sectional shape. In some other implementations, the post top **112** can have an elliptical, square, rectangular, or other cross-sectional shape. In some implementations, the post top **112** can have the same cross-sectional shape (but generally different size) as the post **110**. In some other implementations, the post top **112** can have a different cross-sectional shape than the post **110**.

In particular implementations, the post top **112** has a thinner thickness but a wider dimension than the post **110**. For example, in some applications, the post **110** can have a height  $h$  of approximately  $1\ \text{mm}$  and a diameter at the distal end of the post **110** of approximately  $0.5\ \text{mm}$ . In such applications, or others, the post top **112** can have a thickness or height  $t$  of approximately  $10\ \mu\text{m}$  and a diameter of approximately  $2\ \text{mm}$ . That is, in some implementations, the diameter or width of the post top **112** is significantly larger than the diameter or width of the underlying post **110**. In some other implementations, the post top **112** can have a thickness in the range of approximately  $2\ \mu\text{m}$  and to approximately  $100\ \mu\text{m}$ , and a width or diameter in the range of approximately  $0.2\ \text{mm}$  to approximately  $5\ \text{mm}$ . Advantages of the increased surface area afforded by the post top **112** are described below.

In some implementations, the upper cavity portion **104** includes an assembly platform that functions as the post top **112** when joined with the post **110** below. In some implementations, an inner surface of the upper cavity portion **104** forms a cavity ceiling **120**. One or more evanescent electromagnetic-wave modes, and corresponding resonant frequencies, of the cavity resonator **100** are dependent on the gap spacing  $g$  between the distal surface **122** of the post top **112** and the cavity ceiling **120**, which in turn may depend on the state of one or more tuning elements or devices **124**.

In particular implementations, one or more tuning elements or devices **124** are formed or arranged between the distal surface **122** of the post top **112** and the cavity ceiling **120**. In the illustrated implementation, an array of tuning elements **124** is connected both to the post top **112** and to the cavity ceiling **120**. In some other implementations, the tuning elements **124** may be connected only with the post top **112** (or to the post **110** when a post top **112** is not included) but not to the cavity ceiling **120**. In some other implementations, the tuning elements **124** may be connected only with the cavity ceiling **120** but not to the post **110** or post top **112**.

In some implementations, the tuning elements **124** can be arranged as one or more arrays of one or more tuning elements **124**. In some implementations, each tuning element is or functions as a bi-state device, varactor, or bit that is individually or otherwise electrostatically- or piezoelectrically-actuable. In some other implementations, each array of tuning elements is or functions as a bi-state device, varactor, or bit that is electrostatically- or piezoelectrically-actuable at an array level. In some implementations, each tuning element **124** includes one or more MEMS that are individually or otherwise electrostatically- or piezoelectrically-actuable. In some other implementations, the tuning elements **124** also can be implemented as analog devices, such as analog varac-

tors. By selectively actuating ones of the tuning elements **124** to one or more activated states, the tuning elements **124** can be used to selectively change the actual or effective magnitude of the gap distance or spacing,  $g$ , in order to selectively effectuate a change in the capacitance between the post top **112** and the cavity ceiling surface **120**. By changing this capacitance, the tuning elements **124** can be used to change one or more evanescent electromagnetic wave modes of the cavity resonator and thus tune the resonant frequency of the cavity resonator **100**.

In some implementations, first ones of the MEMS elements **122** are connected to “standoffs” or “spacers” **126**. For example, the spacers **126** can be formed from a dielectric material such as a silicon oxide or nitride. In some implementations, the combined thickness of the spacers **126** and the overlying tuning elements **124** define a static un-actuated magnitude of the gap spacing  $g$ . In some implementations, by actuating selected ones of the tuning elements **124**, the gap spacing  $g$  can be increased, thereby decreasing the effective capacitance. In some implementations, by actuating selected ones of the tuning elements **124**, the gap spacing  $g$  can be decreased, thereby increasing the effective capacitance. In some other implementations, increasing an effective gap spacing  $g$  is accomplished by means of decreasing the capacitance in the gap spacing, while decreasing an effective gap spacing  $g$  is accomplished by means of increasing the capacitance in the gap spacing. In some such implementations, the actual absolute length or distance of the gap spacing  $g$  can remain static or constant. In yet other implementations, the tuning elements **124** can be used to both increase or decrease the actual gap spacing  $g$  as well as to further modify the capacitance within the gap spacing (e.g., beyond the modification to the capacitance simply caused by the change in spacing).

FIG. **1B** shows a cross-sectional side view depiction of the example evanescent-mode electromagnetic-wave cavity resonator of FIG. **1A** in an actuated state. In some implementations in which the MEMS elements **122** are piezoelectrically-actuated, an electric field is applied across a thickness of a tuning element **124**. In some implementations in which the tuning elements **124** are electrostatically-actuated, an electric field is applied across a gap extending from a distal surface of the post **122** and a proximal surface of a tuning element **124**.

In such implementations, the statically-defined or baseline magnitude of the gap spacing  $g$  is process-defined as opposed to assembly-defined. More specifically, the gap spacing  $g$  can be accurately and reproducibly defined by way of process techniques used during the formation of the upper cavity portion **104**. For example, the gap spacing  $g$  can be defined at least in part by the selective patterning and subsequent removal of one or more sacrificial layers. This ensures uniformity and accuracy of the gap spacings in the resultant cavity resonators produced using some of the methods described below.

In still other implementations, the cavity resonator **100** does not include any tuning elements **124**. In such implementations, the gap spacing  $g$  may be entirely dependent on the fixed or statically-defined thickness of the dielectric spacers **126**. In some other implementations, the cavity resonator **100** does not include a post top **112**. In some such implementations, the tuning elements **124** can be arranged on the distal surface of the post **110**.

In some other implementations, the post top **112** can be integrally formed with the post **110** rather than being positioned or otherwise arranged on or over and connected with the post **110**. For example, in some such implementations, the post **110** and the post top **112** can be integrally formed

## 11

through a lithographically-defined etching operation. In some such implementations, some or all of the etching operation can be an isotropic wet-etching operation.

In some applications, advantages of implementations that include a post top **112** include a larger area for the tuning elements **124** arranged over the post top **112** as compared with the smaller area of the distal surface **114** of the underlying post **110**. For example, in traditional designs, the ratio of the radius *a* of the post **110** to the radius *b* of the cavity **106** can be constrained by the requirement of a large cavity volume for a desired high Q factor. Moreover, in traditional designs, the necessary *h/g* ratio can be difficult to reliably achieve at low cost. But in some particular implementations having the post top design, the post radius *a* can be kept small for an improved Q factor while the radius *c* of the post top **112** can be made larger to increase the capacitive loading and hence achieve the desired range of resonant frequencies of the cavity resonator **100**. This enables a reduction in cavity resonator size to the millimeter scale and below.

Additionally, using one or more batch processes as, for example, described below, such a post top design enables arrays of multiple cavity resonators **100** each having the same height *h* and radius *b* but having potentially different radii *c* of the corresponding post tops **112** within the respective cavity resonators **100**. In some implementations, the resonant frequency of the cavity resonator **100** is generally inversely proportional to the radius *c* of the post top **112**. In contrast, in conventional designs, the resonant frequency can be proportional to the radius of the post. In such a manner, frequency-determined loading can be set by lithographically-defined dimensions—the radii of the post tops **112** and the tuning elements **124**—for each cavity resonator **100** of the array to produce an array of cavity resonators **100** as described below having potentially different resonant frequencies for a given post radius *a*, cavity radius *b*, and gap distance *g*.

As described above, in some implementations the cavity **106** is formed using an isotropic wet-etching operation. For example, a mating surface **128** of the lower cavity portion **102** can be lithographically or otherwise masked followed by an isotropic wet-etching operation that produces a variety of shapes. FIGS. 2A-2D show cross-sectional side views of simulations of example cavity shapes formed using one or more isotropic etching operations. For example, FIG. 2A shows a cross-sectional side view of a cavity **106** having a substantially hemispheric shape; that is, having a circular cross-sectional shape when viewed from above. The cavity **106** shown in FIG. 2A includes an inner cavity surface **230**. A periphery of the cavity **106** is surrounded by a mating surface **232**.

As another example, FIG. 2B shows a cross-sectional side view of a cavity **106** having a substantially “peanut” shape. For example, when viewed from above, the cavity **106** shown in FIG. 2B includes a first isotropically-etched cavity portion **234** and a second isotropically-etched cavity portion **236** having a mating surface **232b** that is coplanar with a mating surface **232a** of the first isotropically-etched cavity. In such implementations, a circumference of the first isotropically-etched cavity portion **234** can overlap a circumference of the second isotropically-etched cavity portion **236** as indicated by dotted lines **238a** and **238b**.

As another example, FIG. 2C shows a cross-sectional side view of a cavity **106** having a shape that is characteristically like a half of an ellipsoid. For example, the mating surface **232** of the isotropically-etched cavity **106** can be coplanar with a plane parallel to both the major axis and the minor axis of the half of the ellipsoid. FIG. 3A shows an overhead view of an

## 12

example cavity **106** such as that shown in FIG. 2C. FIG. 3B shows a cross-sectional perspective view of the example cavity **106** of FIG. 3A.

As another example, FIG. 2D shows a cross-sectional side view of a cavity **106** having a substantially “bath tub” shape. For example, when viewed from above, the cavity **106** shown in FIG. 2D can be of a shape that is characteristically circular, as in FIG. 2A, or ellipsoidal, as in FIG. 2C, for example. However, in such implementations, the cavity **106** of FIG. 2D can have a first approximately planar inner bottom surface **240** parallel to but recessed from the mating surface **232** of the isotropically-etched cavity **106** and a second curved inner cavity side surface **244** that connects the mating surface **232** of the isotropically-etched cavity **106** with the first planar inner bottom surface **240**. For example, such a cavity **106** as shown in FIG. 2D can be formed by isotropically etching a substrate having an etch stop material layer on a side of the substrate. FIG. 4A shows an overhead view of an example cavity **106** such as that shown in FIG. 2D. FIG. 4B shows a cross-sectional perspective view of the example cavity **106** of FIG. 4A.

The proposed designs and other similar designs of isotropically-etched cavities **106** also can be used in conjunction with capacitive tuning structures or posts **110**. In some implementations, a post **110** can be integrally formed in a central region of each cavity during the isotropic wet-etching operation. FIG. 5A shows an overhead view of an example cavity **106** having a “donut-like” cross-sectional shape. In this analogy, the “donut hole” is actually the post **110**. FIG. 5B shows a cross-sectional perspective view of the example cavity **106** of FIG. 5A. For example, the cavity resonator **100** shown in FIG. 1 incorporates a similar cavity **106** and post **110** as shown in FIGS. 5A and 5B.

FIG. 6 shows an example cavity substrate **602** that includes an etch-stop **644**. For example, the substrate **602** can include one or more lower cavity portions **102**. In some implementations, the substrate **602** can be formed of an insulating or dielectric material. For example, the substrate **602** can be a low-cost, high-performance, large-area insulating substrate. In some implementations, the substrate **602** can be made of display-grade glass (such as alkaline earth boro-aluminosilicate) or soda lime glass. Other suitable insulating materials from which the substrate **602** can be formed include silicate glasses, such as alkaline earth aluminosilicate, borosilicate, or modified borosilicate. Also, ceramic materials such as AlO, Y<sub>2</sub>O<sub>3</sub>, BN, SiC, AN, and GaN also can be used in some implementations. In some other implementations, the substrate **602** can be formed of high-resistivity Si. In some implementations, SOI substrates, GaAs substrates, InP substrates, and plastic (polyethylene naphthalate or polyethylene terephthalate) substrates, e.g., associated with flexible electronics, also can be used. The substrate **602** also can be in conventional Integrated Circuit (IC) wafer form, e.g., 4-inch, 6-inch, 8-inch, 12-inch, or in large-area panel form. For example, flat panel display substrates with dimensions such as 370 mm×470 mm, 920 mm×730 mm, and 2850 mm×3050 mm, or larger, can be used.

In some implementations, the bottom surface **646** of the substrate **602** can be plated with an etch-stop material to form the etch-stop **644** prior to the isotropic wet-etching operation. For example, the etch-stop **644** can be formed from, for example, Ni or Cu. In this way, during the isotropic etching operation, the etching may proceed isotropically but the portions of the etchant that reach the etch-stop during the etching operation can etch no further. This can result in a cavity **106** with a flat or planar bottom surface **240** and a curved side surface **242**, as shown in FIG. 6. Additionally, the ratio of the

volume of the cavity **106** to the height  $h$  of the cavity **106** can be significantly increased for a given thickness of the substrate **604** potentially resulting in, among other advantages or desired characteristics, an improved Q factor.

FIG. 7 shows a flow diagram depicting an example two-substrate process **700** for forming a multiplicity of evanescent-mode electromagnetic-wave cavity resonators. For example, process **700** can be used to produce a multiplicity of the cavity resonators **100** shown in FIGS. 1A and 1B. In some implementations, the two-substrate process **700** begins in block **702** with providing a first or “cavity” substrate **902**. For example, the cavity substrate **902** can include a plurality of lower cavity portions **102** each suitable for use in a cavity resonator **100**.

FIG. 8 shows a flow diagram depicting an example process **800** for forming an example cavity substrate **902**. FIG. 9A shows a cross-sectional side view depiction of an example cavity substrate **902**. The cavity substrate **902** includes a first bulk substrate portion **946** having a mating surface **948**. In some implementations, the bulk substrate portion **946** can be formed of an insulating or dielectric material. For example, the bulk substrate portion **946** can be a low-cost, high-performance, large-area insulating substrate. In some implementations, the bulk substrate portion **946** can be made of display-grade glass (such as alkaline earth boro-aluminosilicate) or soda lime glass. Other suitable insulating materials from which the bulk substrate portion **946** can be formed include silicate glasses, such as alkaline earth aluminosilicate, borosilicate, or modified borosilicate. Also, ceramic materials such as AlO, Y<sub>2</sub>O<sub>3</sub>, BN, SiC, AlN, and GaN also can be used in some implementations. In some other implementations, the bulk substrate portion **946** can be formed of high-resistivity Si. In some implementations, SOI substrates, GaAs substrates, InP substrates, and plastic (polyethylene naphthalate or polyethylene terephthalate) substrates, e.g., associated with flexible electronics, also can be used. The bulk substrate portion **946** also can be in conventional IC wafer form, e.g., 4-inch, 6-inch, 8-inch, 12-inch, or in large-area panel form. For example, flat panel display substrates with dimensions such as 370 mm×470 mm, 920 mm×730 mm, and 2850 mm×3050 mm, or larger, can be used.

In some implementations, the process **800** begins in block **802** with depositing a first masking layer **950** over the mating surface **948** of the cavity substrate **902** as depicted in FIG. 9A. In some implementations, the masking layer **950** is a positive or negative photolithographic photoresist. In some other implementations, the masking layer **950** can be formed from a metal or dielectric thin film that is not etched by the same etchant that is used to etch the cavity substrate **902**. In some implementations, the process **800** proceeds in block **804** with isotropically etching the unmasked portions of the bulk substrate portion **946**. In some implementations, the isotropic etching operation in block **804** can be an isotropic wet etching operation. For example, FIG. 9B shows a cross-sectional side view depiction of the example cavity substrate **902** of FIG. 9A after an isotropic etching operation. As shown in FIG. 9B, after the isotropic etching operation, the cavity substrate **902** can include a plurality of cavities **106** as well as integrally-formed posts **110**. Additionally, as shown in FIG. 9B, the isotropic etching results inherently in etching portions of the bulk substrate **946** below edge regions of the masked layer **950**.

In other implementations, the cavity substrate **902** can be formed with an anisotropic removal operation. For example, the anisotropic removal operation can be realized with an anisotropic dry etching operation, photopatterning, or precision manufacturing. In such implementations, the resultant

cavities as well as integrally-formed posts can have substantially vertical walls (or stepped walls using multiple masking and anisotropic removal operations).

In some implementations, the process **800** proceeds in block **806** with plating or otherwise depositing a conductive layer **108** on or over the inner surfaces of the cavities **106** and, in some implementations, on or over the posts **110**, the distal or mating surfaces **114** of the posts **110**, and on or over the mating surfaces **128**. For example, the conductive layer **108** can be formed from Cu and have a thickness of approximately 10 μm. In various implementations, the conductive layer **108** also can be formed from Ni, Al, Ti, AlN, TiN, AlCu, Mo, AlSi, Pt, W, Ru, or other appropriate or suitable materials or combinations thereof and have a thickness in the range of approximately 1 μm to approximately 20 μm. FIG. 9C shows a cross-sectional side view depiction of the example cavity substrate of FIG. 9B after a conductive plating operation. In some implementations, the first masking layer **950** is removed prior to the plating operation in block **806**.

In some implementations, the process **800** proceeds in block **808** with screen-printing laser-printing or otherwise depositing a solder layer **116** on or over the mating surfaces **114** and **128**. FIG. 9D shows a cross-sectional side view depiction of the example cavity substrate of FIG. 9C after a solder application operation.

Although FIGS. 9A-9D are depicted for didactic purposes as including three lower cavity portions **102** along a length of the cavity substrate **902**, in a variety of implementations, the cavity substrate **902** can include a two-dimensional array of tens, hundreds, thousands, or more of the lower cavity portions **102** and the corresponding cavities **106**.

Additionally, as initially described above, in some implementations, an etch-stop can be applied to a back surface **952** of the cavity substrate **902**. For example, an etch-stop can be formed on the back surface **952** of the bulk substrate portion **946** prior to the isotropic etching operation in block **804** as, for example, described above with reference to FIG. 6.

Referring back to the flow diagram of FIG. 7, in some implementations the two-substrate process **700** proceeds in block **704** with providing a second or “active” substrate **1004**. For example, the substrate **1104** can include a plurality of the upper cavity portions **104**.

FIG. 10 shows a flow diagram depicting an example process **1000** for forming an example active substrate **1104**. FIGS. 11A-11F show example stages during the example process **1000** of FIG. 10. In some implementations, the process **1000** begins in block **1002** with depositing a first sacrificial layer **1154** over the active surface **1158** of the active substrate **1104**. FIG. 11A shows a cross-sectional side view depiction of an example active substrate **1104**. The active substrate **1104** includes a bulk substrate portion **1156**. Upon the active surface **1158** can be deposited, patterned, grown, or otherwise formed an array of tuning elements **124**, an array of dielectric spacers **126**, and an assembly platform **112** that will serve as the post top, as described above with reference to FIG. 1.

In some implementations, the bulk substrate portion **1156** can be formed of an insulating or dielectric material. For example, the bulk substrate portion **1156** can be a low-cost, high-performance, large-area insulating substrate. In some implementations, the bulk substrate portion **1156** can be made of display-grade glass (such as alkaline earth boro-aluminosilicate) or soda lime glass. Other suitable insulating materials from which the bulk substrate portion **1156** can be formed include silicate glasses, such as alkaline earth aluminosilicate, borosilicate, or modified borosilicate. Also, ceramic materials such as AlO, Y<sub>2</sub>O<sub>3</sub>, BN, SiC, AN, and GaN

also can be used in some implementations. In some other implementations, the bulk substrate portion **1156** can be formed of high-resistivity Si. In some implementations, SOI substrates, GaAs substrates, InP substrates, and plastic (polyethylene naphthalate or polyethylene terephthalate) substrates, e.g., associated with flexible electronics, also can be used. The bulk substrate portion **1156** also can be in conventional IC wafer form, e.g., 4-inch, 6-inch, 8-inch, 12-inch, or in large-area panel form. For example, flat panel display substrates with dimensions such as 370 mm×470 mm, 920 mm×730 mm, and 2850 mm×3050 mm, or larger, can be used.

In some implementations, the first sacrificial layer **1154** is formed from an etch-able material. For example, the sacrificial layer **1154** can be formed of a material such as molybdenum (Mo), amorphous silicon (a-Si), SiO<sub>2</sub>, or a polymer. In some implementations, the sacrificial layer **1154** has a thickness in the range of approximately 250 Å to approximately 10000 Å.

In some implementations, the process **1000** proceeds in block **1004** with depositing or otherwise forming a first MEMS device layer **124a**, as shown in FIG. **11B**. In some implementations, the process **1000** then proceeds in block **1006** with depositing or otherwise forming a second MEMS device layer **124b**, as shown in FIG. **11C**. In some implementations, one or both of the MEMS device layers **124a** and **124b** are formed from one or more piezoelectric layers such as, for example, one or more AN layers. As another example, one or both of the MEMS device layers **124a** and **124b** can include one or more electrostatically-actuatable layers. One or both of MEMS device layers can be formed from, for example, amorphous silicon (a-Si), a-Si oxide or nitride, another dielectric, or a metal such as Ni or Al. In some implementations, one or both of MEMS device layers **124a** and **124b** can have a thickness in the range of approximately 0.25 μm to approximately 2 μm. In some implementation, the MEMS device layer **124a** includes a structural layer formed of, for example, Ni having a thickness of, for example, 5 μm. In such an example, the MEMS device layer **124b** can include one or more solderable layers formed from, for example, Au having a thickness of, for example, approximately 0.3 μm. In some implementations, the first and second MEMS device layers **124a** and **124b** result in the tuning elements **124** after further processing.

In some implementations, a second sacrificial layer **1160** can then be deposited, patterned, or otherwise formed in block **1008** over portions of the entire array of upper cavity portions **104**, as shown in FIG. **11D**. In some implementations, the second sacrificial layer **1160** is formed from an etch-able material. For example, the sacrificial layer **1160** can be formed of a material such as molybdenum (Mo), amorphous silicon (a-Si), SiO<sub>2</sub>, or a polymer. In some implementations, the sacrificial layer **1160** has a thickness in the range of approximately 250 Å to approximately 10000 Å.

In some implementations, the process **1000** then proceeds in block **1010** with depositing, patterning, or otherwise forming or arranging an array of dielectric spacers **126** on or over the second MEMS device layer **124b**, as shown in FIG. **11E**. For example, first supporting portions **1162** of the dielectric spacers **126** can be formed at least partially over portions of the second MEMS device layer **124b** that are not covered by the second sacrificial layer **1160**. In such implementations, other wider portions **1164** of the dielectric spacers **126** can be formed at least partially over portions of the second sacrificial layer **1160**. In some implementations, the process **1000** then proceeds in block **1012** with forming, positioning, or other-

wise arranging and connecting an assembly platform **118** over the dielectric spacers **126** and the second sacrificial layer **1160**, as shown in FIG. **11F**.

Although FIGS. **11A-11F** are depicted for didactic purposes as including three upper cavity portions **104** along a length of the active substrate **1104**, in a variety of implementations, the active substrate **1104** can include a two-dimensional array of tens, hundreds, thousands, or more of the upper cavity portions **104** and the corresponding top posts **112**.

Referring back to FIG. **7**, in some implementations, the process **700** proceeds in block **706** with arranging the mating side of the active substrate **1104** with the mating side of the cavity substrate **902**. The active substrate **1104** can be arranged on or over the cavity substrate **902** such that the mating surfaces are aligned. FIG. **12A** shows a cross-sectional side view depiction of the active substrate **1104** arranged over the cavity substrate **902**. For example, in some implementations, the active substrate **1104** can be arranged over the cavity substrate **902** such that a proximal surface **123** of each of the post tops **112** is positioned over a corresponding distal surface **114** of an underlying post **110** and such that other mating surfaces **1168** of the assembly platform **118** are positioned over other mating surfaces **128** of the cavity substrate **902** (such as the mating surfaces **232** depicted in FIGS. **2A-2D**) around the peripheries of the respective cavities **106**.

In some implementations, the process **700** then proceeds in block **708** with physically and electrically connecting the distal surfaces **114** of the posts **110** with the proximal surfaces **123** of the corresponding post tops **112**, and connecting the mating surfaces **128** (or **232**) with the mating surfaces **1168** of the assembly platform **118**. For example, in some implementations, the distal surfaces **114** of the posts **110** are soldered with the proximal surfaces **123** of the corresponding post tops **112** with the solder layer **116** in block **708**, as shown in FIG. **12A**. Similarly, in some implementations, the mating surfaces **128** (or **232**) are soldered with the mating surfaces **1168** of the assembly platform **118** in block **708**.

Subsequently, in some implementations, all or a portion of the first sacrificial layer **1154** can then be etched or otherwise removed in block **710** via a sacrificial release etch operation. Prior to, in parallel with, or after removing the first sacrificial layer **1154**, all or a portion of the second sacrificial layer **1160** can be etched or otherwise removed in block **712**. In some implementations, one or more release vents **1166** arranged, for example, periodically along the length or width of the substrate, can facilitate the removal of at least the second sacrificial layer **1160**. FIG. **12B** shows a cross-sectional side view depiction of the arrangement of FIG. **12A** after removing the sacrificial layers **1154** and **1160**. In some implementations, the cavities **106** are then vent-sealed.

In some implementations, the second sacrificial layer **1160** is removed such that portions of the assembly platform **118** become the post tops **112**. Additionally, in some implementations, the second sacrificial layer **1160** can be removed such that the post tops **112** are not in direct contact with the tuning elements **124**. In some such implementations, the second sacrificial layer **1160** can be removed such that the only parts on the active surface **1158** of the substrate that the post tops **112** directly contact are the dielectric spacers **126**. In some such implementations, the second sacrificial layer **1160** can be removed such that the dielectric spacers **126** connect to the active surface **1158** via the tuning elements **124** only. That is, in some implementations, the first and second sacrificial layers **1154** and **1160** are removed to release the MEMS tuning elements **124** from the active surface **1158** of the first substrate, and also to release the MEMS tuning elements **124**

from the post tops 112. The first and second sacrificial layers 1154 and 1160 can be removed using processes such as isotropic wet or dry etches. In some such implementations, this leaves the dielectric spacers 126 as the only structures mechanically connecting the MEMS tuning elements 124 with the post tops 112.

In some implementations, the process 700 can then end with sawing, cutting, dicing, or otherwise singulating the entire array in block 714 to provide one or more arrays of one or more cavity resonators 100. FIG. 12C shows a cross-sectional side view depiction of the arrangement of FIG. 12B after one or more singulation operations.

Although FIG. 12C is depicted for didactic purposes as including three cavity resonators 100, in a variety of implementations, the result of the process 700 can include a two-dimensional array of tens, hundreds, thousands, or more cavity resonators 100.

As described above with reference to FIG. 1A and FIG. 1B, the tuning elements 124 can be arranged as one or more arrays of one or more tuning elements 124. In some implementations, each tuning element is or functions as a bi-state device, varactor, or bit that is individually or otherwise electrostatically- or piezoelectrically-actuatable. In some other implementations, each array of tuning elements 124 is or functions as a bi-state device, varactor, or bit that is electrostatically- or piezoelectrically-actuatable at an array level. In some implementations, each tuning element 124 includes one or more MEMS that are individually or otherwise electrostatically- or piezoelectrically-actuatable. By selectively actuating one or more of the tuning elements 124 to one or more activated states, the tuning elements 124 can be used to selectively change the actual or effective magnitude of the gap distance or spacing,  $g$ , between the post top 112 and the cavity ceiling 120 to selectively effectuate a change in the capacitance between the post top 112 and the cavity ceiling. By changing this capacitance, the tuning elements 124 can be used to change one or more evanescent electromagnetic wave modes of the cavity resonator 100 and thus tune the resonant frequency of the cavity resonator 100.

In some implementations, the combined thickness of the spacers 126 and the overlying tuning elements 124 define a static un-actuated magnitude of the gap spacing  $g$ . In some implementations, by actuating selected ones of the tuning elements 124, the actual or effective gap spacing  $g$  can be increased, thereby decreasing the effective capacitance. In some implementations, by actuating selected ones of the tuning elements 124, the actual or effective gap spacing  $g$  can be decreased, thereby increasing the effective capacitance. In such implementations, the statically-defined or baseline magnitude of the gap spacing  $g$  is process-defined as opposed to assembly-defined. More specifically, the gap spacing  $g$  can be accurately and reproducibly defined by way of process techniques used during the formation of the upper cavity portion 104. For example, the gap spacing  $g$  can be defined at least in part by the thickness of the dielectric spacers 126 and the patterning and subsequent removal of the sacrificial layers 1154 and 1160. Uniformity and accuracy of the gap spacings among the resultant cavity resonators 100 of the entire array is also ensured because the surfaces 123 and 1168 are coplanar with one another and because the surfaces 114 and 128 (232) are coplanar with one another. This enables the surfaces 123 and 1168 to be connected with the surfaces 114 and 128 (232), respectively, in one parallel operation across the entire array of cavity resonators 100.

FIG. 13 shows a flow diagram depicting an example three-substrate process 1300 for forming a multiplicity of evanescent-mode electromagnetic-wave cavity resonators. For

example, process 1300 can be used to produce a multiplicity of the cavity resonators 100 as shown in FIGS. 1A and 1B. In one example three-substrate implementation, the active substrate 1104 is produced as described above, but rather than using a single integrally-combined cavity and post substrate, the substrate 902 is replaced in the process with two distinct substrates: a cavity substrate 1502 and a separate post substrate 1702. In some implementations, the three-substrate process 1300 begins in block 1302 with providing the first cavity substrate 1502.

FIG. 14 shows a flow diagram depicting an example process 1400 for forming an example cavity substrate 1502. FIG. 15A shows a cross-sectional side view depiction of an example cavity substrate 1502. The cavity substrate 1502 includes a first bulk substrate portion 1546 having a mating surface 1548 and a back surface 1552. In some implementations, the process 1400 begins in block 1402 with depositing a first masking layer 1550 over the mating surface 1548 of the cavity substrate 1502 and, prior to, after, or in parallel with depositing the first masking layer 1550, depositing a second masking layer 1551 over the back surface 1552 as depicted in FIG. 15A. In some implementations, one or both of the masking layers 1550 and 1551 can be a positive or negative photolithographic photoresist. In some other implementations, the masking layers 1550 and 1551 can be formed from Si. In still other implementations, the masking layers 1550 and 1551 can be formed from a metal that is not etched or etchable by the etchant that will be used to etch the substrate 1546.

In some implementations, the process 1400 proceeds in block 1404 with isotropically etching the unmasked portions of the surface 1548 of the bulk substrate portion 1546 and, prior to, after, or in parallel with isotropically etching the unmasked portions of the surface 1548, isotropically etching the unmasked portions of the surface 1552. In some implementations, the isotropic etching operations in block 1404 can be isotropic wet etching operations. For example, FIG. 15B shows a cross-sectional side view depiction of the example cavity substrate 1502 of FIG. 15A after an isotropic etching operation. As shown in FIG. 15B, after the isotropic etching operation, the cavity substrate 1502 includes a plurality of cavities 106 that extend through the entire substrate 1502.

In some other implementations, the cavity substrate 1502 can be formed with an anisotropic removal operation. For example, the anisotropic removal operation can be realized with an anisotropic dry etching operation, photopatterning, or precision manufacturing. In such implementations, the resultant cavities as well as integrally-formed posts can have substantially vertical walls. Additionally, as described above, in some implementations, an etch-stop can be applied to a back surface 1552 of the cavity substrate 1502. For example, an etch-stop can be formed on the back surface 1552 of the bulk substrate portion 1546 prior to the isotropic etching operation in block 1404 as, for example, described above with reference to FIG. 6. In some implementations, the etch-stop can then be removed before further processing.

Referring back to the flow diagram of FIG. 13, in some implementations the three-substrate process 1300 proceeds in block 1304 with providing the post substrate 1702. FIG. 16 shows a flow diagram depicting an example process 1600 for forming an example post substrate 1702. FIG. 17A shows a cross-sectional side view depiction of an example post substrate 1702. The post substrate 1702 includes a first bulk substrate portion 1746 having a mating surface 1748 and a back surface 1752. In some implementations, the process 1600 begins in block 1602 with depositing a first masking layer 1750 over the mating surface 1748 of the post substrate



1702 as depicted in FIG. 17A. In some implementations, the masking layers 1750 can be a positive or negative photolithographic photoresist. In some other implementations, the masking layer 1750 can be formed from Si. In still other implementations, the masking layers 1750 can be formed from a metal that is not etched or etchable by the etchant that will be used to etch the substrate 1746.

In some implementations, the process 1600 proceeds in block 1604 with isotropically etching the unmasked portions of the surface 1748 of the bulk substrate portion 1746. In some implementations, the isotropic etching operation in block 1604 can be an isotropic wet etching operation. For example, FIG. 17B shows a cross-sectional side view depiction of the example post substrate 1702 of FIG. 17A after an isotropic etching operation. As shown in FIG. 17B, after the isotropic etching operation, the post substrate 1702 includes a plurality of posts 110.

In some other implementations, the cavity substrate 1502 can be formed with an anisotropic removal operation. For example, the anisotropic removal operation can be realized with an anisotropic dry etching operation, photopatterning, or precision manufacturing. In such implementations, the resultant cavities as well as integrally-formed posts can have substantially vertical walls.

In some implementations, the bulk substrate portions 1546 and 1746 can be formed of an insulating or dielectric material. For example, the bulk substrate portions 1546 and 1746 can be low-cost, high-performance, large-area insulating substrates. In some implementations, the bulk substrate portions 1546 and 1746 can be made of display-grade glass (such as alkaline earth boro-aluminosilicate) or soda lime glass. Other suitable insulating materials from which the bulk substrate portions 1546 and 1746 can be formed include silicate glasses, such as alkaline earth aluminosilicate, borosilicate, or modified borosilicate. Also, ceramic materials such as AlO, Y<sub>2</sub>O<sub>3</sub>, BN, SiC, AN, and GaN also can be used in some implementations. In some other implementations, the bulk substrate portions 1546 and 1746 can be formed of high-resistivity Si. In some implementations, SOI substrates, GaAs substrates, InP substrates, and plastic (polyethylene naphthalate or polyethylene terephthalate) substrates, e.g., associated with flexible electronics, also can be used. The bulk substrate portions 1546 and 1746 also can be in conventional IC wafer form, e.g., 4-inch, 6-inch, 8-inch, 12-inch, or in large-area panel form. For example, flat panel display substrates with dimensions such as 370 mm×470 mm, 920 mm×730 mm, and 2850 mm×3050 mm, or larger, can be used.

Referring back to the flow diagram of FIG. 13, in some implementations the three-substrate process 1300 proceeds in block 1306 with connecting the cavity substrate 1502 with the post substrate 1702. FIG. 18A shows a cross-sectional side view depiction of the post substrate 1702 of FIG. 17B arranged over and connected with the cavity substrate 1502 of FIG. 15B. In some implementations, the back surface 1552 of the cavity substrate 1502 is connected with the post substrate 1702 by means of an adhesive layer. For example, the adhesive layer can be an epoxy layer. The epoxy can conform to variations in the substrate thickness or etch depth, ensuring the assembly presents coplanar surfaces to which can be attached the active substrate 1104.

In some other implementations, the back surface 1552 of the cavity substrate 1502 is soldered with the post substrate 1702. For example, solder can be previously screen-printed, laser-printed or otherwise deposited on the back surface 1552 or the region of the post substrate 1702 below the cavity substrate 1502.

Referring back to the flow diagram of FIG. 13, in some implementations the three-substrate process 1300 proceeds in block 1308 with plating or otherwise depositing a conductive layer 108 on or over the inner surfaces of the cavities 106 and, in some implementations, on or over the posts 110, the distal or mating surfaces 114 of the posts 110, and on or over the mating surfaces 128. For example, the conductive layer 108 can be formed from Cu and have a thickness of approximately 10 μm. In various implementations, the conductive layer 108 also can be formed from Ni, Al, Ti, AN, TiN, AlCu, Mo, AlSi, Pt, W, Ru, or other appropriate or suitable materials or combinations thereof and have a thickness in the range of approximately 1 μm to approximately 20 μm. FIG. 18B shows a cross-sectional side view depiction of the arrangement of FIG. 18A after a conductive plating operation. In some other implementations, the conductive layers can be deposited over the cavity substrate 1502 or the post substrate 1702 prior to connecting the post substrate 1702 with the cavity substrate 1502.

Referring back to the flow diagram of FIG. 13, in some implementations the three-substrate process 1300 proceeds in block 1310 with providing the active substrate 1004. In some implementations, process 1300 then proceeds in block 1312 with arranging the mating side of the active substrate 1104 with the mating side of the arrangement of FIG. 18B. FIG. 18C shows a cross-sectional side view depiction of the active substrate 1104 of FIG. 11F arranged over the cavity and post substrates 1502 and 1702 of FIGS. 15B and 17B. For example, the active substrate 1104 can be arranged over and in proximity to the post substrate 1702 such that a proximal surface 123 of each post top 112 is positioned over a corresponding distal surface 114 of an underlying post 110 and over the cavity substrate such that other mating surfaces 1168 of the assembly platform 118 are positioned over other mating surfaces 128 of the cavity substrate 1502 around the peripheries of the respective cavities 106.

In some implementations, process 1300 then proceeds in block 1314 with physically and electrically connecting the distal surfaces 114 of the posts 110 with the proximal surfaces 123 of the corresponding post tops 112, and connecting the mating surfaces 128 with the mating surfaces 1168 of the assembly platform 118. For example, in some implementations, the distal surfaces 114 of the posts 110 are soldered with the proximal surfaces 123 of the corresponding post tops 112 with a solder layer 116 in block 1314. Similarly, in some implementations, the mating surfaces 128 are soldered with the mating surfaces 1168 of the assembly platform 118 in block 1314.

Subsequently, in some implementations, all or a portion of the first sacrificial layer 1154 can then be etched or otherwise removed in block 1316 via a sacrificial release etch operation. Prior to, in parallel with, or after removing the first sacrificial layer 1154, all or a portion of the second sacrificial layer 1160 can be etched or otherwise removed in block 1318. In some implementations, one or more release vents 1166 arranged, for example, periodically along the length or width of the substrate, can facilitate the removal of at least the second sacrificial layer 1160. FIG. 18D shows a cross-sectional side view depiction of the arrangement of FIG. 18C after removing the sacrificial layers 1154 and 1160. In some implementations, the cavities 106 are then vent-sealed.

In some implementations, the second sacrificial layer 1160 is removed such that portions of the assembly platform 118 become the post tops 112. Additionally, in some implementations, the second sacrificial layer 1160 can be removed such that the post tops 112 are not in direct contact with the tuning elements 124. In some such implementations, the second

sacrificial layer **1160** can be removed such that the only parts on the active surface **1158** of the substrate that the post tops **112** directly contact are the dielectric spacers **126**. In some such implementations, the second sacrificial layer **1160** can be removed such that the dielectric spacers **126** connect to the active surface **1158** via the tuning elements **124** only. That is, in some implementations, the first and second sacrificial layers **1154** and **1160** are removed to release the MEMS tuning elements **124** from the active surface **1158** of the first substrate, and also to release the MEMS tuning elements **124** from the post tops **112**. The first and second sacrificial layers **1154** and **1160** can be removed using processes such as isotropic wet or dry etches. In some such implementations, this leaves the dielectric spacers **126** as the only structures mechanically connecting the MEMS tuning elements **124** with the post tops **112**.

In some implementations, the process **1300** can then end with sawing, cutting, dicing, or otherwise singulating the entire array in block **1320** to provide one or more arrays of one or more cavity resonators **100**. FIG. **18E** shows a cross-sectional side view depiction of the arrangement of FIG. **18D** after one or more singulation operations. As compared with the cavity resonators **100** of FIG. **1** or those produced according to the methods of process **700**, the cavity resonators of FIG. **18E** and produced according to the methods of processes **1300**, **1400**, and **1500** can have increased cavity volumes **106** for a given cavity radius  $b$ , and, as a result, possibly achieve a higher Q factor.

Although FIG. **18E** is depicted for didactic purposes as including three cavity resonators **100**, in a variety of implementations, the result of process **1300** can include a two-dimensional array of tens, hundreds, thousands, or more cavity resonators **100**.

Further cost savings can be realized by fabricating the cavity or post substrates in a coarser technology node than the active substrate. In other implementations, the cavity and post substrates can be patterned by micro-sandblasting, micro-embossing or can be formed from photo-patterned glass. The substrates also can be formed of polymer or metal materials enabling roll-to-roll fabrication.

While the aforementioned implementations have been described with reference to cavity resonator post designs in which the posts extend “vertically” from a substrate portion of the cavity resonator, as initially presented above, some example implementations also can include lithographically-patterned in-plane resonator structures. In some implementations, an in-plane resonator structure refers to a resonator structure that extends along a plane parallel with a cavity mating surface. For example, an in-plane resonator structure can include a radially- or transversely-extending post that extends from an outer circumference of the cavity along a plane parallel to a mating surface of the cavity inward or across a portion of the cavity volume. In some implementations, lithographic processes are used to produce in-plane resonator structures having a gap spacing  $g$  whose base or steady-state dimension is lithographically-defined concurrently with the remaining portions of the resonator structure.

FIG. **19** shows an exploded axonometric view depiction of an example cavity resonator **1900** that includes a lithographically-defined in-plane capacitive tuning structure or post **1910**. The cavity resonator **1900** includes a lower cavity portion **1902**, a post structure portion **1903**, and an upper cavity portion **1904**. The lower cavity portion **1902** includes a lower cavity volume **1906a**. Similarly, in some implementations, the upper cavity portion **1904** includes an upper cavity volume **1906b** (hidden from view in FIG. **19**) that, in conjunction with the lower cavity volume **1906a** and the post structure

portion **1903**, define a total cavity volume. In some implementations, the upper cavity portion **1904** or the upper cavity volume **1906b** is substantially a mirror image of the lower cavity portion **1902** or the lower cavity volume **1906a**. FIG. **20A** shows a top view of a simulation of an example lower cavity portion **1902** such as that usable in the cavity resonator **1900** of FIG. **19**.

In some implementations, the lower and upper cavity volumes **1906a** and **1906b** are formed at an array or batch level from respective cavity substrates through respective etching operations. In some implementations, the lower cavity portion **1902** and the upper cavity portion **1904** are each formed via an isotropic wet-etching operation resulting in curved cavity walls and a substantially spherical or ellipsoidal total cavity volume. In some other implementations, the lower cavity portion **1902** and the upper cavity portion **1904** are each formed through an anisotropic etching operation resulting in substantially straight or vertical cavity walls. In some implementations, the lower cavity portion **1902** and the upper cavity portion **1904** are vent-sealed, evacuated of air or filled with other gas.

In some implementations, the bulk substrate portions of the lower cavity portion **1902** or the upper cavity portion **1904** can be formed of an insulating or dielectric material. For example, in some implementations, the bulk substrate portions of the lower cavity portion **1902** or the upper cavity portion **1904** can be made of display-grade glass (such as alkaline earth boro-aluminosilicate) or soda lime glass. Other suitable insulating materials include silicate glasses, such as alkaline earth aluminosilicate, borosilicate, or modified borosilicate. Also, ceramic materials such as aluminum oxide (AlOx), yttrium oxide (Y<sub>2</sub>O<sub>3</sub>), boron nitride (BN), silicon carbide (SiC), aluminum nitride (AlN), and gallium nitride (GaNx) also can be used in some implementations. In some other implementations, high-resistivity Si can be used. In some implementations, silicon on insulator (SOI) substrates, gallium arsenide (GaAs) substrates, indium phosphide (InP) substrates, and plastic (polyethylene naphthalate or polyethylene terephthalate) substrates, e.g., associated with flexible electronics, also can be used.

In some implementations, the lower cavity portion **1902** and the upper cavity portion **1904** are plated with one or more conductive layers. For example, the conductive layers can be formed by plating the surface of the lower cavity portion **1902** and the surface of the upper cavity portion **1904** with a conductive metal or metallic alloy. For example, the conductive layers can be formed from nickel (Ni), aluminum (Al), copper (Cu), titanium (Ti), aluminum nitride (AlN), titanium nitride (TiN), aluminum copper (AlCu), molybdenum (Mo), aluminum silicon (AlSi), platinum (Pt), tungsten (W), ruthenium (Ru), or other appropriate or suitable materials or combinations thereof. In some implementations, a thickness in the range of approximately 1  $\mu\text{m}$  to approximately 10  $\mu\text{m}$  can be suitable. However, thinner or thicker thicknesses may be appropriate or suitable in other implementations or applications.

The post structure **1903** includes a lithographically-defined in-plane capacitive tuning structure or post **1910** that extends transversely across the cavity volume culminating at a distal end of the post **1910** in an integrally-formed top post **1912**. The post structure **1903** can be supported by the support ring structure **1911**. FIG. **20B** shows a top view of a simulation of an example lithographically-defined in-plane capacitive tuning structure such as that usable in the cavity resonator of FIG. **19**.

The post **1910** and the support ring structure **1911** can be formed by lithographic processing techniques such as pat-

tering and etching. In some implementations, the post structure **1903** also is formed of a dielectric material. In some other implementations, the post structure **1903** can be formed of a semiconducting or conductive material. The post **1910** and the post top **1912** also can be plated with one or more conductive layers. In various implementations, the post structure **1903**, including the post **1910** and the post top **1912**, can have a thickness in the range of approximately 50  $\mu\text{m}$  to approximately 500  $\mu\text{m}$ .

The post top **1912** has a wider dimension than the post **1910**. For example, in some applications, the post **1910** can have a width at the distal end of the post **1910** of approximately 0.5 mm. In such applications, or others, the post top **1912** can have a width of approximately 2 mm. That is, in some implementations, the diameter or width of the post top **1912** is significantly larger than the diameter or width of the integrally-attached post **1910**. In some implementations, the post top **1912** can have a width in the range of approximately 1 mm to approximately 3 mm while the post **1910** can have a width in the range of approximately 0.1 mm to approximately 1 mm. In some implementations, the post top **1912** can have a length in the range of approximately 0.1 mm to approximately 1 mm while the post **1910** can have a length in the range of approximately 1 mm to approximately 5 mm. Additionally in some implementations, the post **1910** or the post top **1912** can be formed so as to have a different thickness than the support ring structure **1911**.

One or more evanescent electromagnetic-wave modes, and corresponding resonant frequencies, of the cavity resonator **1900** may be dependent on the gap spacing  $g$  between the distal surface **1922** of the post top **1912** and the portion of the inner surface of the cavity defined by the inner surface of the support ring structure **1911** adjacent the post top **1912**. As described, because the gap spacing  $g$  is lithographically-defined, the gap spacing  $g$  can be accurately and reproducibly controlled. For example, a ratio of a combined sum of the post length  $h$  and top post length  $t$  to the gap spacing  $g$  can readily be 1000:1.

In particular implementations, one or more tuning elements or devices are formed or arranged within the gap spacing  $g$ . For example, an array of tuning elements can be connected to the post top **1912** or, additionally or alternately, to the support ring structure **1911**. In some other implementations, the tuning elements may be connected only with the post top **1912** but not to the support ring structure **1911**. In some other implementations, the tuning elements may be connected only with the support ring structure **1911** but not to the post **1910** or the post top **1912**.

In some implementations, the tuning elements can be arranged as one or more arrays of one or more tuning elements as described above. In some implementations, each tuning element is or functions as a bi-state device, varactor, or bit that is individually or otherwise electrostatically- or piezoelectrically-actuable. In some other implementations, each array of tuning elements is or functions as a bi-state device, varactor, or bit that is electrostatically- or piezoelectrically-actuable at an array level. In some implementations, each tuning element includes one or more MEMS that are individually or otherwise electrostatically- or piezoelectrically-actuable. By selectively actuating ones of the tuning elements to one or more activated states, the tuning elements can be used to selectively change the actual or effective magnitude of the gap distance or spacing,  $g$ , in order to selectively effectuate a change in the capacitance between the post top **1912** and the support ring structure **1911**. By changing this capacitance, the tuning elements can be used to change one or more evanescent electromagnetic wave modes of the cavity

resonator **1900** and thus tune the resonant frequency of the cavity resonator **1900**. In some implementations, by actuating selected ones of the tuning elements, the gap spacing  $g$  can be increased, thereby decreasing the effective capacitance. In some implementations, by actuating selected ones of the tuning elements, the gap spacing  $g$  can be decreased, thereby increasing the effective capacitance.

In such implementations, the statically-defined or baseline magnitude of the gap spacing  $g$  is process-defined as opposed to assembly-defined. More specifically, the gap spacing  $g$  can be accurately and reproducibly defined by way of lithographic process techniques used during the formation of the post substrate.

In particular implementations, post structure **1903** also is formed at an array or batch level. For example, in particular implementations, each of the lower cavity portion **1902**, the post structure **1903**, and the upper cavity portion **1904**, is formed at an array-, batch-, or panel-level and subsequently connected with one another at an array-, batch-, or panel-level. FIG. 20C shows an exploded cross-sectional perspective view of a simulation of an example cavity resonator that includes a lithographically-defined in-plane capacitive tuning structure such as that shown in FIG. 19.

In some implementations, the lower mating surface of the post structure substrate is positioned over and connected with the mating surface of the lower cavity portion with an epoxy or other adhesive material layer. In some implementations, the mating surface of the upper cavity portion is positioned over and connected with the upper mating surface of the post structure substrate with an epoxy or other adhesive material layer. In some other implementations, the post structure substrate can be soldered to one or both of the lower cavity portion substrate or the upper cavity portion substrate. In some implementations, the resultant array arrangement can be singulated to provide a plurality of evanescent-mode electromagnetic-wave cavity resonators **1900**.

Additionally, using one or more batch processes as, for example, described below, such a lithographically-defined capacitive tuning structure design enables arrays of multiple cavity resonators **1900** each having the same cavity sizes but having potentially different radii of the corresponding post tops **1912** and gap spacings  $g$  within the respective cavity resonators **1900**. In some implementations, the resonant frequency of the cavity resonator **1900** is generally inversely proportional to the radius of the post top **1912**. In such a manner, frequency-determined loading can be set by lithographically-defined dimensions—the gap distance  $g$  and the radius of the post top **1912**.

FIG. 21 shows an exploded axonometric view depiction of an example cavity resonator **2100** that includes a lithographically-defined in-plane capacitive tuning structure **2110**. Unlike the cavity resonator **1900** of FIG. 19, the capacitive tuning structure **2110** is lithographically defined in the form of a suspended split-ring capacitive tuning structure. That is, in some implementations, the capacitive tuning structure **2110** is arranged as a circular structure arranged around and within the cavity formed by the lower and upper cavity volume portions **2106a** and **2106b**. The capacitive tuning structure **2110** has a gap spacing  $g$  between a distal surface **2122** of the capacitive tuning structure **2110** and a proximal surface **2123** of the capacitive tuning structure **2110**. Again, in particular implementations, one or more tuning elements or devices are formed or arranged within the gap spacing  $g$ .

Additionally, in particular implementations, each of the lower cavity portion **2102**, the capacitive tuning structure **2110**, and the upper cavity portion **2104**, also is formed at an array level and subsequently connected with one another at an

array level. Again, using one or more batch processes, such a lithographically-defined capacitive tuning structure design enables arrays of multiple cavity resonators **2100** each having the same cavity sizes but having potentially different and gap spacings  $g$  within the respective cavity resonators **2100**.

FIG. **22A** shows an axonometric cross-sectional top view depiction of an example cavity resonator **2200** that includes a lithographically-defined in-plane capacitive tuning structure **2210**. FIG. **22B** shows an axonometric cross-sectional side and cross-sectional top view of the example cavity resonator of FIG. **22A**. Like the capacitive tuning structure **2100** of FIG. **21**, the capacitive tuning structure **2210** is configured as a split-ring structure arranged within a cavity **2206**. However, the cavity resonator **2200** further includes a support member **2280** that can be connected with the surrounding structure with one or more support links **2282**.

FIG. **23A** shows a top view of a simulation of an example lower cavity portion **2202** such as that usable in the cavity resonator **2200** of FIGS. **22A** and **22B**. FIG. **23B** shows a top view of a simulation of an example lithographically-defined in-plane capacitive tuning structure **2210** such as that usable in the cavity resonator **2200** of FIGS. **22A** and **22B**. FIG. **23C** shows an exploded cross-sectional perspective view of a simulation of an example cavity resonator having a support member structure **2280** and one or more support links **2282** such as those shown in FIGS. **22A** and **22B**.

The described in-plane resonator designs enable a higher (or longer) post to gap aspect ratio as a result of the gap,  $g$ , being lithographically-patterned and etched. This design effectively decouples the post height from the overall device thickness as well as simplifies the coupling to planar I/O transmission lines.

The description herein is directed to certain implementations for the purposes of describing the innovative aspects of this disclosure. However, a person having ordinary skill in the art will readily recognize that the teachings herein can be applied in a multitude of different ways. The described implementations may be implemented in any device or system that can be configured to display an image, whether in motion (e.g., video) or stationary (e.g., still image), and whether textual, graphical or pictorial. More particularly, it is contemplated that the described implementations may be included in or associated with a variety of electronic devices such as, but not limited to: mobile telephones, multimedia Internet enabled cellular telephones, mobile television receivers, wireless devices, smartphones, Bluetooth® devices, personal data assistants (PDAs), wireless electronic mail receivers, hand-held or portable computers, netbooks, notebooks, smartbooks, tablets, printers, copiers, scanners, facsimile devices, GPS receivers/navigators, cameras, MP3 players, camcorders, game consoles, wrist watches, clocks, calculators, television monitors, flat panel displays, electronic reading devices (i.e., e-readers), computer monitors, auto displays (including odometer and speedometer displays, etc.), cockpit controls and/or displays, camera view displays (such as the display of a rear view camera in a vehicle), electronic photographs, electronic billboards or signs, projectors, architectural structures, microwaves, refrigerators, stereo systems, cassette recorders or players, DVD players, CD players, VCRs, radios, portable memory chips, washers, dryers, washer/dryers, parking meters, packaging (such as in electro-mechanical systems (EMS), microelectromechanical systems (MEMS) and non-MEMS applications), aesthetic structures (e.g., display of images on a piece of jewelry) and a variety of EMS devices. The teachings herein also can be used in non-display applications such as, but not limited to, electronic switching devices, radio frequency filters, sensors,

accelerometers, gyroscopes, motion-sensing devices, magnetometers, inertial components for consumer electronics, parts of consumer electronics products, varactors, liquid crystal devices, electrophoretic devices, drive schemes, manufacturing processes and electronic test equipment. Thus, the teachings are not intended to be limited to the implementations depicted solely in the Figures, but instead have wide applicability as will be readily apparent to one having ordinary skill in the art.

An example of a suitable EMS or MEMS device, to which the described implementations may apply, is a reflective display device. Reflective display devices can incorporate interferometric modulators (IMODs) to selectively absorb and/or reflect light incident thereon using principles of optical interference. IMODs can include an absorber, a reflector that is movable with respect to the absorber, and an optical resonant cavity defined between the absorber and the reflector. The reflector can be moved to two or more different positions, which can change the size of the optical resonant cavity and thereby affect the reflectance of the IMOD. The reflectance spectrums of IMODs can create fairly broad spectral bands which can be shifted across the visible wavelengths to generate different colors. The position of the spectral band can be adjusted by changing the thickness of the optical resonant cavity, i.e., by changing the position of the reflector.

FIG. **24A** shows an example of an isometric view depicting two adjacent pixels in a series of pixels of an IMOD display device. The IMOD display device includes one or more interferometric MEMS display elements. In these devices, the pixels of the MEMS display elements can be in either a bright or dark state. In the bright (“relaxed,” “open” or “on”) state, the display element reflects a large portion of incident visible light, e.g., to a user. Conversely, in the dark (“actuated,” “closed” or “off”) state, the display element reflects little incident visible light. In some implementations, the light reflectance properties of the on and off states may be reversed. MEMS pixels can be configured to reflect predominantly at particular wavelengths allowing for a color display in addition to black and white.

The IMOD display device can include a row/column array of IMODs. Each IMOD can include a pair of reflective layers, i.e., a movable reflective layer and a fixed partially reflective layer, positioned at a variable and controllable distance from each other to form an air gap (also referred to as an optical gap or cavity). The movable reflective layer may be moved between at least two positions. In a first position, i.e., a relaxed position, the movable reflective layer can be positioned at a relatively large distance from the fixed partially reflective layer. In a second position, i.e., an actuated position, the movable reflective layer can be positioned more closely to the partially reflective layer. Incident light that reflects from the two layers can interfere constructively or destructively depending on the position of the movable reflective layer, producing either an overall reflective or non-reflective state for each pixel. In some implementations, the IMOD may be in a reflective state when unactuated, reflecting light within the visible spectrum, and may be in a dark state when unactuated, reflecting light outside of the visible range (such as infrared light). In some other implementations, however, an IMOD may be in a dark state when unactuated, and in a reflective state when actuated. In some implementations, the introduction of an applied voltage can drive the pixels to change states. In some other implementations, an applied charge can drive the pixels to change states.

The depicted portion of the pixel array in FIG. **24A** includes two adjacent IMODs **12**. In the IMOD **12** on the left (as illustrated), a movable reflective layer **14** is illustrated in a

relaxed position at a predetermined distance from an optical stack **16**, which includes a partially reflective layer. The voltage  $V_0$  applied across the IMOD **12** on the left is insufficient to cause actuation of the movable reflective layer **14**. In the IMOD **12** on the right, the movable reflective layer **14** is illustrated in an actuated position near or adjacent the optical stack **16**. The voltage  $V_{bias}$  applied across the IMOD **12** on the right is sufficient to maintain the movable reflective layer **14** in the actuated position.

In FIG. **24A**, the reflective properties of pixels **12** are generally illustrated with arrows **13** indicating light incident upon the pixels **12**, and light **15** reflecting from the IMOD **12** on the left. Although not illustrated in detail, it will be understood by one having ordinary skill in the art that most of the light **13** incident upon the pixels **12** will be transmitted through the transparent substrate **20**, toward the optical stack **16**. A portion of the light incident upon the optical stack **16** will be transmitted through the partially reflective layer of the optical stack **16**, and a portion will be reflected back through the transparent substrate **20**. The portion of light **13** that is transmitted through the optical stack **16** will be reflected at the movable reflective layer **14**, back toward (and through) the transparent substrate **20**. Interference (constructive or destructive) between the light reflected from the partially reflective layer of the optical stack **16** and the light reflected from the movable reflective layer **14** will determine the wavelength(s) of light **15** reflected from the IMOD **12**.

The optical stack **16** can include a single layer or several layers. The layer(s) can include one or more of an electrode layer, a partially reflective and partially transmissive layer and a transparent dielectric layer. In some implementations, the optical stack **16** is electrically conductive, partially transparent and partially reflective, and may be fabricated, for example, by depositing one or more of the above layers onto a transparent substrate **20**. The electrode layer can be formed from a variety of materials, such as various metals, for example indium tin oxide (ITO). The partially reflective layer can be formed from a variety of materials that are partially reflective, such as various metals, e.g., chromium (Cr), semiconductors, and dielectrics. The partially reflective layer can be formed of one or more layers of materials, and each of the layers can be formed of a single material or a combination of materials. In some implementations, the optical stack **16** can include a single semi-transparent thickness of metal or semiconductor which serves as both an optical absorber and conductor, while different, more conductive layers or portions (e.g., of the optical stack **16** or of other structures of the IMOD) can serve to bus signals between IMOD pixels. The optical stack **16** also can include one or more insulating or dielectric layers covering one or more conductive layers or a conductive/absorptive layer.

In some implementations, the layer(s) of the optical stack **16** can be patterned into parallel strips, and may form row electrodes in a display device as described further below. As will be understood by one having skill in the art, the term "patterned" is used herein to refer to masking as well as etching processes. In some implementations, a highly conductive and reflective material, such as aluminum (Al), may be used for the movable reflective layer **14**, and these strips may form column electrodes in a display device. The movable reflective layer **14** may be formed as a series of parallel strips of a deposited metal layer or layers (orthogonal to the row electrodes of the optical stack **16**) to form columns deposited on top of posts **18** and an intervening sacrificial material deposited between the posts **18**. When the sacrificial material is etched away, a defined gap **19**, or optical cavity, can be formed between the movable reflective layer **14** and the opti-

cal stack **16**. In some implementations, the separation between posts **18** may be approximately 1-1000  $\mu\text{m}$ , while the gap **19** may be less than 10,000 Angstroms ( $\text{\AA}$ ).

In some implementations, each pixel of the IMOD, whether in the actuated or relaxed state, is essentially a capacitor formed by the fixed and moving reflective layers. When no voltage is applied, the movable reflective layer **14** remains in a mechanically relaxed state, as illustrated by the IMOD **12** on the left in FIG. **24A**, with the gap **19** between the movable reflective layer **14** and optical stack **16**. However, when a potential difference, e.g., voltage, is applied to at least one of a selected row and column, the capacitor formed at the intersection of the row and column electrodes at the corresponding pixel becomes charged, and electrostatic forces pull the electrodes together. If the applied voltage exceeds a threshold, the movable reflective layer **14** can deform and move near or against the optical stack **16**. A dielectric layer (not shown) within the optical stack **16** may prevent shorting and control the separation distance between the layers **14** and **16**, as illustrated by the actuated IMOD **12** on the right in FIG. **24A**. The behavior is the same regardless of the polarity of the applied potential difference. Though a series of pixels in an array may be referred to in some instances as "rows" or "columns," a person having ordinary skill in the art will readily understand that referring to one direction as a "row" and another as a "column" is arbitrary. Restated, in some orientations, the rows can be considered columns, and the columns considered to be rows. Furthermore, the display elements may be evenly arranged in orthogonal rows and columns (an "array"), or arranged in non-linear configurations, for example, having certain positional offsets with respect to one another (a "mosaic"). The terms "array" and "mosaic" may refer to either configuration. Thus, although the display is referred to as including an "array" or "mosaic," the elements themselves need not be arranged orthogonally to one another, or disposed in an even distribution, in any instance, but may include arrangements having asymmetric shapes and unevenly distributed elements.

FIG. **24B** shows an example of a system block diagram depicting an electronic device incorporating a 3x3 IMOD display. The electronic device depicted in FIG. **24B** represents one implementation in which a piezoelectric resonator transformer constructed in accordance with the implementations described above with respect to FIGS. **1-23** can be incorporated. The electronic device in which device **11** is incorporated may, for example, form part or all of any of the variety of electrical devices and electromechanical systems devices set forth above, including both display and non-display applications.

Here, the electronic device includes a controller **21**, which may include one or more general purpose single- or multi-chip microprocessors such as an ARM®, Pentium®, 8051, MIPS®, Power PC®, or ALPHA®, or special purpose microprocessors such as a digital signal processor, microcontroller, or a programmable gate array. Controller **21** may be configured to execute one or more software modules. In addition to executing an operating system, the controller **21** may be configured to execute one or more software applications, including a web browser, a telephone application, an email program, or any other software application.

The controller **21** is configured to communicate with device **11**. The controller **21** also can be configured to communicate with an array driver **22**. The array driver **22** can include a row driver circuit **24** and a column driver circuit **26** that provide signals to, e.g., a display array or panel **30**. Although FIG. **24B** shows a 3x3 array of IMODs for the sake of clarity, the display array **30** may contain a very large

number of IMODs, and may have a different number of IMODs in rows than in columns, and vice versa. Controller **21** and array driver **22** may sometimes be referred to herein as being “logic devices” and/or part of a “logic system.”

FIGS. **25A** and **25B** show examples of system block diagrams depicting a display device **40** that includes a plurality of IMODs. The display device **40** can be, for example, a smart phone, a cellular or mobile telephone. However, the same components of the display device **40** or slight variations thereof are also illustrative of various types of display devices such as televisions, tablets, e-readers, hand-held devices and portable media players.

The display device **40** includes a housing **41**, a display **30**, an antenna **43**, a speaker **45**, an input device **48** and a microphone **46**. The housing **41** can be formed from any of a variety of manufacturing processes, including injection molding, and vacuum forming. In addition, the housing **41** may be made from any of a variety of materials, including, but not limited to: plastic, metal, glass, rubber and ceramic, or a combination thereof. The housing **41** can include removable portions (not shown) that may be interchanged with other removable portions of different color, or containing different logos, pictures, or symbols.

The display **30** may be any of a variety of displays, including a bi-stable or analog display, as described herein. The display **30** also can be configured to include a flat-panel display, such as plasma, EL, OLED, STN LCD, or TFT LCD, or a non-flat-panel display, such as a CRT or other tube device. In addition, the display **30** can include an IMOD display, as described herein.

The components of the display device **40** are schematically illustrated in FIG. **25B**. The display device **40** includes a housing **41** and can include additional components at least partially enclosed therein. For example, the display device **40** includes a network interface **27** that includes an antenna **43** which is coupled to a transceiver **47**. The transceiver **47** is connected to a processor **21**, which is connected to conditioning hardware **52**. The conditioning hardware **52** may be configured to condition a signal (e.g., filter a signal). The conditioning hardware **52** is connected to a speaker **45** and a microphone **46**. The processor **21** is also connected to an input device **48** and a driver controller **29**. The driver controller **29** is coupled to a frame buffer **28**, and to an array driver **22**, which in turn is coupled to a display array **30**. In some implementations, a power supply **50** can provide power to substantially all components in the particular display device **40** design.

The network interface **27** includes the antenna **43** and the transceiver **47** so that the display device **40** can communicate with one or more devices over a network. The network interface **27** also may have some processing capabilities to relieve, for example, data processing requirements of the processor **21**. The antenna **43** can transmit and receive signals. In some implementations, the antenna **43** transmits and receives RF signals according to the IEEE 16.11 standard, including IEEE 16.11(a), (b), or (g), or the IEEE 802.11 standard, including IEEE 802.11a, b, g, n, and further implementations thereof. In some other implementations, the antenna **43** transmits and receives RF signals according to the BLUETOOTH standard. In the case of a cellular telephone, the antenna **43** is designed to receive code division multiple access (CDMA), frequency division multiple access (FDMA), time division multiple access (TDMA), Global System for Mobile communications (GSM), GSM/General Packet Radio Service (GPRS), Enhanced Data GSM Environment (EDGE), Terrestrial Trunked Radio (TETRA), Wideband-CDMA (W-CDMA), Evolution Data Optimized (EV-DO), 1xEV-DO, EV-DO Rev

A, EV-DO Rev B, High Speed Packet Access (HSPA), High Speed Downlink Packet Access (HSDPA), High Speed Uplink Packet Access (HSUPA), Evolved High Speed Packet Access (HSPA+), Long Term Evolution (LTE), AMPS, or other known signals that are used to communicate within a wireless network, such as a system utilizing 3G or 4G technology. The transceiver **47** can pre-process the signals received from the antenna **43** so that they may be received by and further manipulated by the processor **21**. The transceiver **47** also can process signals received from the processor **21** so that they may be transmitted from the display device **40** via the antenna **43**.

In some implementations, the transceiver **47** can be replaced by a receiver. In addition, in some implementations, the network interface **27** can be replaced by an image source, which can store or generate image data to be sent to the processor **21**. The processor **21** can control the overall operation of the display device **40**. The processor **21** receives data, such as compressed image data from the network interface **27** or an image source, and processes the data into raw image data or into a format that is readily processed into raw image data. The processor **21** can send the processed data to the driver controller **29** or to the frame buffer **28** for storage. Raw data typically refers to the information that identifies the image characteristics at each location within an image. For example, such image characteristics can include color, saturation and gray-scale level.

The processor **21** can include a microcontroller, CPU, or logic unit to control operation of the display device **40**. The conditioning hardware **52** may include amplifiers and filters for transmitting signals to the speaker **45**, and for receiving signals from the microphone **46**. The conditioning hardware **52** may be discrete components within the display device **40**, or may be incorporated within the processor **21** or other components.

The driver controller **29** can take the raw image data generated by the processor **21** either directly from the processor **21** or from the frame buffer **28** and can re-format the raw image data appropriately for high speed transmission to the array driver **22**. In some implementations, the driver controller **29** can re-format the raw image data into a data flow having a raster-like format, such that it has a time order suitable for scanning across the display array **30**. Then the driver controller **29** sends the formatted information to the array driver **22**. Although a driver controller **29**, such as an LCD controller, is often associated with the system processor **21** as a stand-alone Integrated Circuit (IC), such controllers may be implemented in many ways. For example, controllers may be embedded in the processor **21** as hardware, embedded in the processor **21** as software, or fully integrated in hardware with the array driver **22**.

The array driver **22** can receive the formatted information from the driver controller **29** and can re-format the video data into a parallel set of waveforms that are applied many times per second to the hundreds, and sometimes thousands (or more), of leads coming from the display’s x-y matrix of pixels.

In some implementations, the driver controller **29**, the array driver **22**, and the display array **30** are appropriate for any of the types of displays described herein. For example, the driver controller **29** can be a conventional display controller or a bi-stable display controller (such as an IMOD controller). Additionally, the array driver **22** can be a conventional driver or a bi-stable display driver (such as an IMOD display driver). Moreover, the display array **30** can be a conventional display array or a bi-stable display array (such as a display including an array of IMODs). In some implementations, the driver

controller **29** can be integrated with the array driver **22**. Such an implementation can be useful in highly integrated systems, for example, mobile phones, portable-electronic devices, watches or small-area displays.

In some implementations, the input device **48** can be configured to allow, for example, a user to control the operation of the display device **40**. The input device **48** can include a keypad, such as a QWERTY keyboard or a telephone keypad, a button, a switch, a rocker, a touch-sensitive screen, a touch-sensitive screen integrated with the display array **30**, or a pressure- or heat-sensitive membrane. The microphone **46** can be configured as an input device for the display device **40**. In some implementations, voice commands through the microphone **46** can be used for controlling operations of the display device **40**.

The power supply **50** can include a variety of energy storage devices. For example, the power supply **50** can be a rechargeable battery, such as a nickel-cadmium battery or a lithium-ion battery. In implementations using a rechargeable battery, the rechargeable battery may be chargeable using power coming from, for example, a wall socket or a photovoltaic device or array. Alternatively, the rechargeable battery can be wirelessly chargeable. The power supply **50** also can be a renewable energy source, a capacitor, or a solar cell, including a plastic solar cell or solar-cell paint. The power supply **50** also can be configured to receive power from a wall outlet.

In some implementations, control programmability resides in the driver controller **29** which can be located in several places in the electronic display system. In some other implementations, control programmability resides in the array driver **22**. The above-described optimization may be implemented in any number of hardware and/or software components and in various configurations.

The various illustrative logics, logical blocks, modules, circuits and algorithm steps described in connection with the implementations disclosed herein may be implemented as electronic hardware, computer software, or combinations of both. The interchangeability of hardware and software has been described generally, in terms of functionality, and illustrated in the various illustrative components, blocks, modules, circuits and steps described above. Whether such functionality is implemented in hardware or software depends upon the particular application and design constraints imposed on the overall system.

The hardware and data processing apparatus used to implement the various illustrative logics, logical blocks, modules and circuits described in connection with the aspects disclosed herein may be implemented or performed with a general purpose single- or multi-chip processor, a digital signal processor (DSP), an application specific integrated circuit (ASIC), a field programmable gate array (FPGA) or other programmable logic device, discrete gate or transistor logic, discrete hardware components, or any combination thereof designed to perform the functions described herein. A general purpose processor may be a microprocessor, or, any conventional processor, controller, microcontroller, or state machine. A processor also may be implemented as a combination of computing devices, e.g., a combination of a DSP and a microprocessor, a plurality of microprocessors, one or more microprocessors in conjunction with a DSP core, or any other such configuration. In some implementations, particular steps and methods may be performed by circuitry that is specific to a given function.

In one or more aspects, the functions described may be implemented in hardware, digital electronic circuitry, computer software, firmware, including the structures disclosed

in this specification and their structural equivalents thereof, or in any combination thereof. Implementations of the subject matter described in this specification also can be implemented as one or more computer programs, i.e., one or more modules of computer program instructions, encoded on a computer storage media for execution by, or to control the operation of, data processing apparatus.

Various modifications to the implementations described in this disclosure may be readily apparent to those skilled in the art, and the generic principles defined herein may be applied to other implementations without departing from the spirit or scope of this disclosure. Thus, the claims are not intended to be limited to the implementations shown herein, but are to be accorded the widest scope consistent with this disclosure, the principles and the novel features disclosed herein. Additionally, a person having ordinary skill in the art will readily appreciate, the terms “upper” and “lower” are sometimes used for ease of describing the figures, and indicate relative positions corresponding to the orientation of the figure on a properly oriented page, and may not reflect the proper orientation of the IMOD as implemented.

Certain features that are described in this specification in the context of separate implementations also can be implemented in combination in a single implementation. Conversely, various features that are described in the context of a single implementation also can be implemented in multiple implementations separately or in any suitable subcombination. Moreover, although features may be described above as acting in certain combinations and even initially claimed as such, one or more features from a claimed combination can in some cases be excised from the combination, and the claimed combination may be directed to a subcombination or variation of a subcombination.

Similarly, while operations are depicted in the drawings in a particular order, this should not be understood as requiring that such operations be performed in the particular order shown or in sequential order, or that all illustrated operations be performed, to achieve desirable results. Further, the drawings may schematically depict one more example processes in the form of a flow diagram. However, other operations that are not depicted can be incorporated in the example processes that are schematically illustrated. For example, one or more additional operations can be performed before, after, simultaneously, or between any of the illustrated operations. In certain circumstances, multitasking and parallel processing may be advantageous. Moreover, the separation of various system components in the implementations described above should not be understood as requiring such separation in all implementations, and it should be understood that the described program components and systems can generally be integrated together in a single software product or packaged into multiple software products. Additionally, other implementations are within the scope of the following claims. In some cases, the actions recited in the claims can be performed in a different order and still achieve desirable results.

What is claimed is:

1. A device comprising:

an evanescent-mode electromagnetic-wave cavity resonator comprising:

a lower cavity portion having an inner cavity surface and a mating surface around the periphery of the inner cavity surface of the lower cavity portion, the inner cavity surface of the lower cavity portion having a conductive layer deposited or patterned thereon;

an upper cavity portion having an inner cavity surface and a mating surface around the periphery of the inner cavity surface of the upper cavity portion, the inner cavity

33

- surface of the upper cavity portion having a conductive layer deposited or patterned thereon, the upper cavity portion and the lower cavity portion forming a volume therebetween, the volume being operable to support one or more evanescent electromagnetic wave modes; and an in-plane lithographically-defined resonator structure having a portion that is located at least partially within the volume so as to support the one or more evanescent electromagnetic wave modes, the resonator structure being formed of a conductive material or having a conductive layer deposited or patterned thereon, an upper mating surface of the resonator structure being mated with, bonded with, or otherwise connected with the mating surface of the upper cavity portion, a lower mating surface of the resonator structure being mated with, bonded with, or otherwise connected with the mating surface of the lower cavity portion, a distal surface of the resonator structure being separated or electrically insulated from the closest surface thereto by a gap distance, a resonant electromagnetic wave mode of the cavity resonator being dependent at least partially upon the gap distance.
2. The device of claim 1, wherein a dielectric material is arranged within some or all of the gap distance such that the dielectric material fills some or all of the gap distance.
3. The device of claim 1, wherein:  
the resonator structure includes a first portion that extends within the volume, the distal surface of the first portion being the distal surface of the resonator structure that is separated or electrically insulated from the closest surface thereto by the gap distance; and  
the resonator structure includes a second portion that physically supports the first portion, the second portion being arranged between and connected with the mating surface of the lower cavity portion and the mating surface of the upper cavity portion.
4. The device of claim 3, wherein the closest surface to the distal surface of the first portion of the resonator structure is a surface of the second portion of the resonator structure closest to the distal surface of the first portion of the resonator structure.
5. The device of claim 3, wherein the closest surface to the distal surface of the first portion of the resonator structure is either the inner cavity surface of the lower cavity portion or the inner cavity surface of the upper cavity portion closest to the distal surface of the first portion of the resonator structure.
6. The device of claim 3, wherein the resonator structure is configured in a suspended-ring resonator topology.
7. The device of claim 3, wherein the resonator structure is configured in a split-ring resonator topology.
8. The device of claim 3, wherein:  
the first portion of the resonator structure includes a post extending radially or transversely across the volume.
9. The device of claim 8, wherein the distal surface of the post is the distal surface of the resonator structure that is separated or electrically insulated from the closest surface thereto by the gap distance.
10. The device of claim 8, wherein the first portion of the resonator structure further includes a post top integrally formed with the post; and  
the distal surface of the post top is the distal surface of the resonator structure that is separated or electrically insulated from the closest surface thereto by the gap distance.
11. The device of claim 1, wherein:  
the first portion of the resonator structure includes a ring having a removed or unpatterned portion; and

34

- a surface abutting the space defined by the removed portion is the distal surface of the resonator structure that is separated or electrically insulated from the closest surface thereto by the gap distance.
12. The device of claim 1, wherein the gap distance is adjustable to dynamically change a resonant frequency or mode of the cavity resonator.
13. The device of claim 1, further including one or more tuning elements arranged within the gap distance and actuable to adjust the magnitude of the gap distance to effect the change in the resonant mode of the resonator.
14. The device of claim 13, wherein the one or more tuning elements include one or more arrays of one or more tuning elements, each individual tuning element or tuning element array being selectively actuatable such that each individual tuning element or array, respectively, functions as a bit and such that, collectively, the combinations of actuatable bits provide for a multi-discrete state tuning structure.
15. The device of claim 13, wherein each tuning element is electrostatically-actuatable.
16. The device of claim 13, wherein each tuning element is piezoelectrically-actuatable.
17. The device of claim 13, wherein each tuning element includes one or more microelectromechanical systems (MEMS).
18. The device of claim 13, further including one or more dielectric spacers arranged within the gap distance, the one or more dielectric spacers defining a static magnitude of the gap distance.
19. The device of claim 1, further including one or more dielectric spacers arranged within the gap distance, the one or more dielectric spacers defining a static magnitude of the gap distance.
20. A device comprising:  
an evanescent-mode electromagnetic-wave cavity resonator comprising:  
a lower cavity means having an inner cavity surface and a mating means around the periphery of the inner cavity surface of the lower cavity means, the inner cavity surface of the lower cavity means having a conductive means deposited or patterned thereon;  
an upper cavity means having an inner cavity surface and a mating means around the periphery of the inner cavity surface of the upper cavity means, the inner cavity surface of the upper cavity means having a conductive means deposited or patterned thereon, the upper cavity means and the lower cavity means forming a volume therebetween, the volume being operable to support one or more evanescent electromagnetic wave modes; and  
an in-plane lithographically-defined resonating means having a portion that is located at least partially within the volume so as to support the one or more evanescent electromagnetic wave modes, the in-plane lithographically-defined resonating means being formed of a conductive material or having a conductive means deposited or patterned thereon, an upper mating means of the in-plane lithographically-defined resonating means being mated with, bonded with, or otherwise connected with the mating means of the upper cavity means, a lower mating means of the in-plane lithographically-defined resonating means being mated with, bonded with, or otherwise connected with the mating means of the lower cavity means, a distal surface of the in-plane lithographically-defined resonating means being separated or electrically insulated from the closest surface thereto by a gap dis-



tance, a resonant electromagnetic wave mode of the cavity resonating means being dependent at least partially upon the gap distance.

**21.** The device of claim **20**, wherein:

the in-plane lithographically-defined resonating means 5  
includes a first portion that extends within the volume,  
the distal surface of the first portion being the distal  
surface of the in-plane lithographically-defined resonat-  
ing means that is separated or electrically insulated from  
the closest surface thereto by the gap distance; and 10

the in-plane lithographically-defined resonating means  
includes a second portion that physically supports the  
first portion, the second portion being arranged between  
and connected with the mating means of the lower cavity  
means and the mating means of the upper cavity means. 15

**22.** The device of claim **20**, wherein the gap distance is  
adjustable to dynamically change a resonant frequency or  
mode of the cavity resonating means.

**23.** The device of claim **22**, further including one or more  
tuning elements arranged within the gap distance and actuat- 20  
able to adjust the magnitude of the gap distance to effect the  
change in the resonant mode of the resonating means.

**24.** The device of claim **23**, wherein each tuning element  
includes one or more micro electromechanical systems  
(MEMS). 25

\* \* \* \* \*