



US008850231B2

(12) **United States Patent**  
**Starr et al.**

(10) **Patent No.:** **US 8,850,231 B2**  
(45) **Date of Patent:** **Sep. 30, 2014**

(54) **DATA ENCRYPTION USING A KEY AND MONIKER FOR MOBILE STORAGE MEDIA ADAPTED FOR LIBRARY STORAGE**

711/114–115, 163–164; 380/286, 259–264,  
380/44–29  
See application file for complete search history.

(75) Inventors: **Matthew Thomas Starr**, Lafayette, CO (US); **Jeff Robert Boyton**, Fort Collins, CO (US); **Nathan Christopher Thompson**, Boulder, CO (US)

(56) **References Cited**

U.S. PATENT DOCUMENTS

5,790,848 A 8/1998 Wlaschin  
5,925,119 A 7/1999 Maroney

(Continued)

FOREIGN PATENT DOCUMENTS

EP 0859308 A1 8/1998

OTHER PUBLICATIONS

Handbook of Applied Cryptography by Menezes et al; Year: 1997;  
Publisher: CRC Press LLC.\*

(Continued)

*Primary Examiner* — Madhuri Herzog

(74) *Attorney, Agent, or Firm* — Kenneth Altshuler

(73) Assignee: **Spectra Logic Corporation**, Boulder, CO (US)

(\*) Notice: Subject to any disclaimer, the term of this patent is extended or adjusted under 35 U.S.C. 154(b) by 1006 days.

(21) Appl. No.: **12/641,681**

(22) Filed: **Dec. 18, 2009**

(65) **Prior Publication Data**

US 2010/0095112 A1 Apr. 15, 2010

**Related U.S. Application Data**

(63) Continuation of application No. 11/412,565, filed on Apr. 27, 2006, now Pat. No. 7,681,048.

(51) **Int. Cl.**

**G06F 21/00** (2013.01)  
**H04L 9/32** (2006.01)  
**G06F 21/62** (2013.01)

(52) **U.S. Cl.**

CPC ..... **H04L 9/3242** (2013.01); **H04L 2209/80** (2013.01); **G06F 21/6218** (2013.01); **G06F 2221/2107** (2013.01)  
USPC ..... **713/193**; 713/165; 713/167; 713/189; 713/194; 380/259; 380/44; 380/28; 380/29; 711/4; 711/100; 711/114; 711/163; 711/164

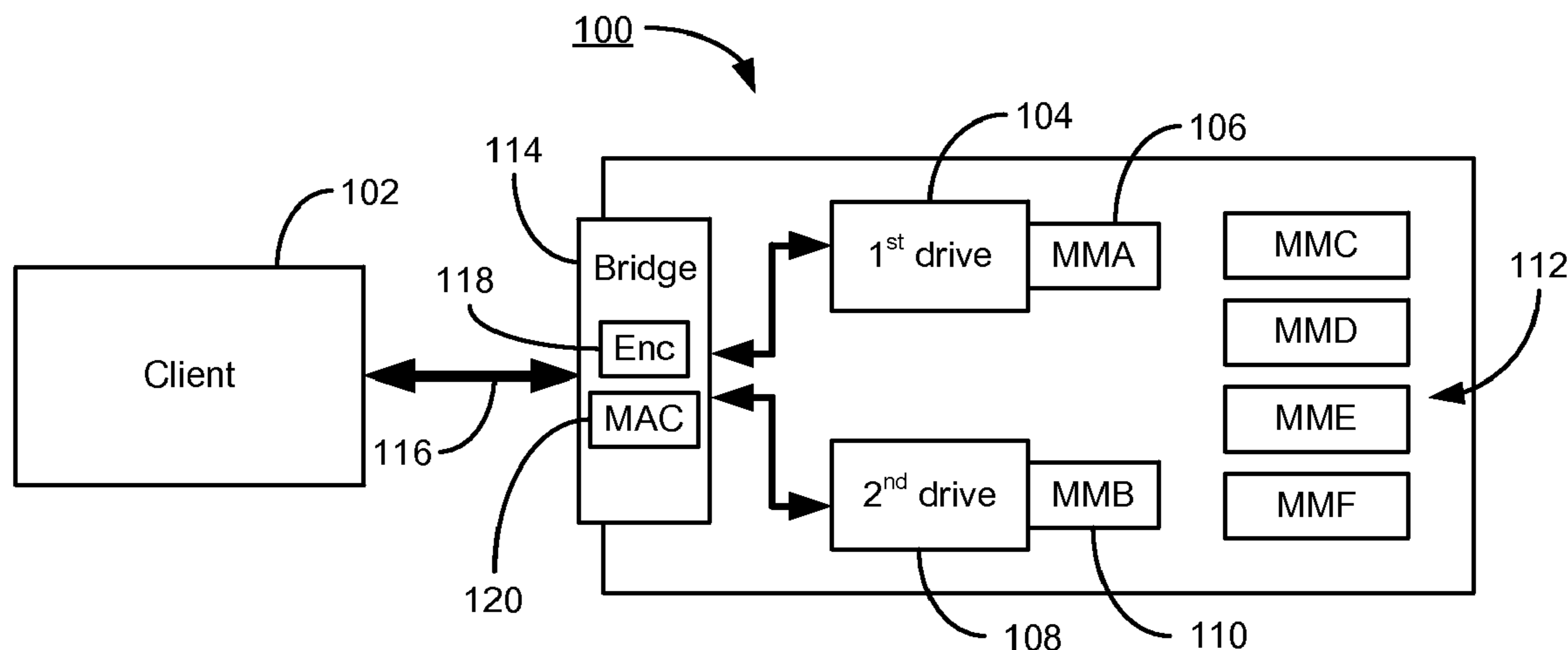
(58) **Field of Classification Search**

USPC ..... 713/150–154, 171, 182–183, 189–194, 713/164–168; 711/1–5, 100, 170, 173,

(57) **ABSTRACT**

Disclosed are a method and apparatus for a data storage library comprising a plurality of drives and a combination bridge controller device adapted to direct and make compatible communication traffic between a client and the plurality of drives. The combination bridge controller device is further adapted to encrypt a first data package received from the client. The combination bridge controller device is further adapted to transmit the encrypted first data package, a first moniker and a first message authentication code to one of the plurality of drives for storage to a cooperating mobile storage medium. The combination bridge controller device is further adapted to decrypt the first data package when used in combination with a first key associated with the first moniker and guarantee the decryption of the first data package was successfully accomplished with authentication of the first message authentication code.

**16 Claims, 14 Drawing Sheets**



(56)

References Cited

U.S. PATENT DOCUMENTS

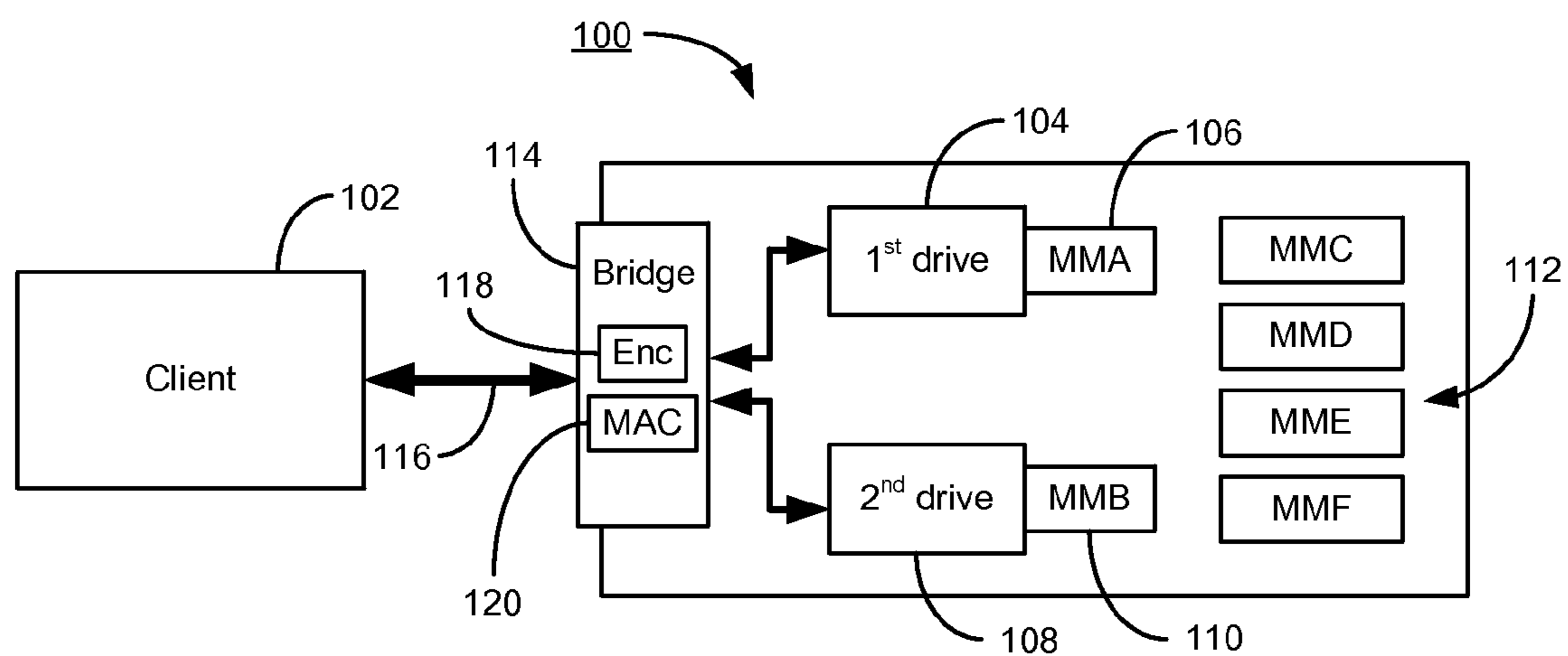
6,044,442 A 3/2000 Jesionowski  
6,065,087 A 5/2000 Keaveny et al.  
6,272,631 B1 8/2001 Thomlinson et al.  
6,496,928 B1\* 12/2002 Deo et al. .... 713/153  
6,505,138 B1 1/2003 Leonard  
6,530,020 B1 3/2003 Aoki  
6,577,735 B1\* 6/2003 Bharat ..... 380/286  
6,754,798 B1 6/2004 Peloquin et al.  
6,839,824 B2 1/2005 Camble et al.  
2002/0144048 A1\* 10/2002 Bolt ..... 711/4  
2003/0126225 A1 7/2003 Camble et al.  
2003/0126309 A1 7/2003 Camble et al.  
2003/0126360 A1 7/2003 Camble et al.  
2003/0126395 A1 7/2003 Camble et al.  
2003/0126396 A1 7/2003 Camble et al.  
2003/0126460 A1 7/2003 Camble et al.  
2003/0188153 A1 10/2003 Demoff et al.  
2003/0204738 A1\* 10/2003 Morgan ..... 713/194  
2004/0103292 A1\* 5/2004 Shirouzu ..... 713/193

2004/0153642 A1 8/2004 Plotkin et al.  
2004/0158711 A1 8/2004 Zimmer  
2005/0071591 A1\* 3/2005 Goodman et al. .... 711/163  
2005/0080992 A1 4/2005 Massey et al.  
2005/0220305 A1 10/2005 Fujimoto et al.  
2005/0267627 A1 12/2005 Lantry et al.  
2006/0070059 A1 3/2006 Starr et al.  
2006/0095657 A1 5/2006 Rector et al.  
2006/0112138 A1 5/2006 Fenske et al.  
2006/0132964 A1 6/2006 Lau et al.  
2006/0161936 A1 7/2006 Permut et al.  
2006/0164928 A1 7/2006 Starr et al.  
2007/0067555 A1 3/2007 Starr et al.  
2007/0115582 A1 5/2007 Armagost et al.  
2007/0130406 A1 6/2007 Lantry et al.  
2007/0195447 A1 8/2007 Starr et al.

OTHER PUBLICATIONS

Handbook of Applied Cryptography by Menezes et al; Publisher:  
CRC Press LLC; Year: 1997.

\* cited by examiner



**FIG. 1**

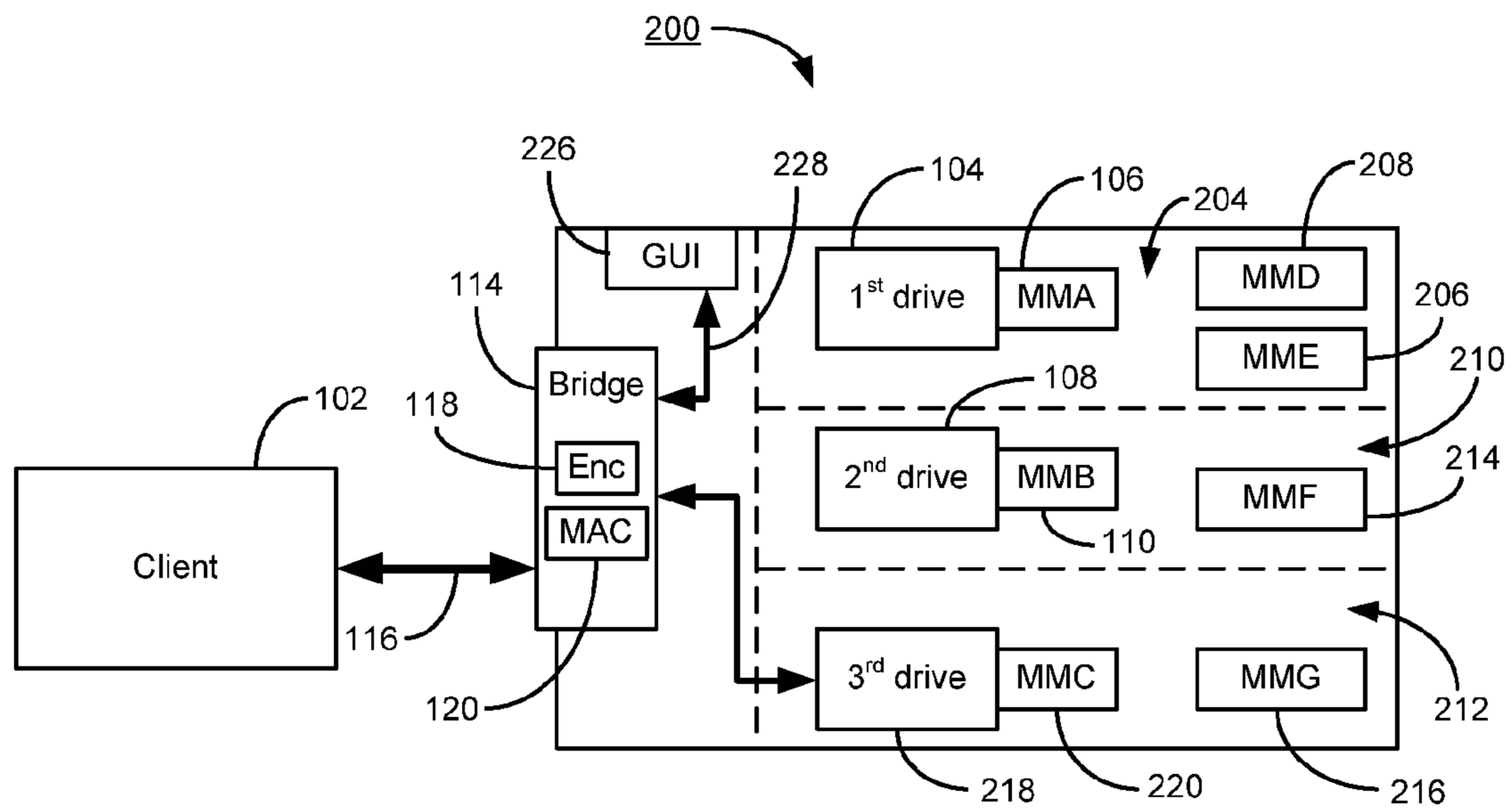


FIG. 2

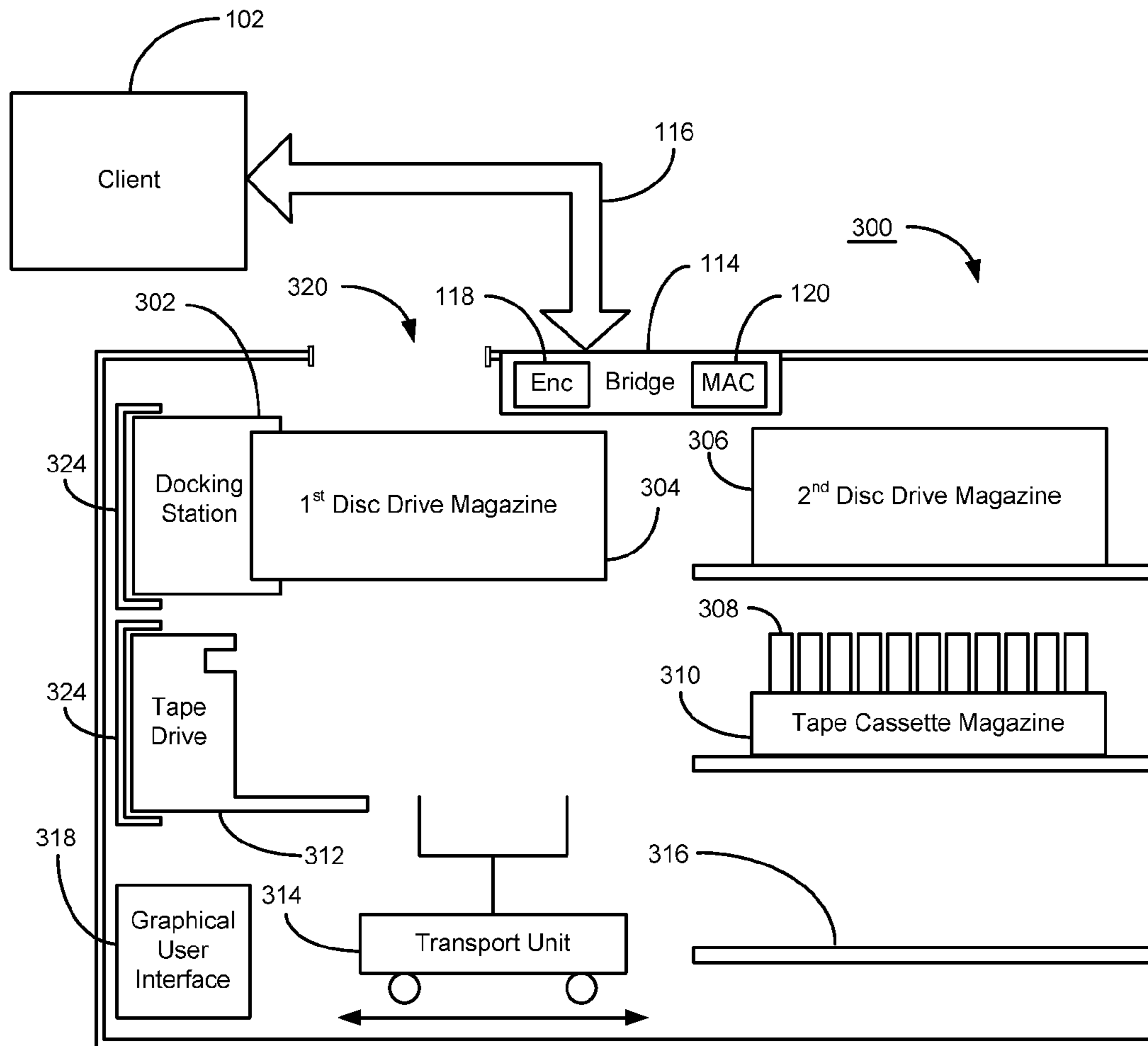
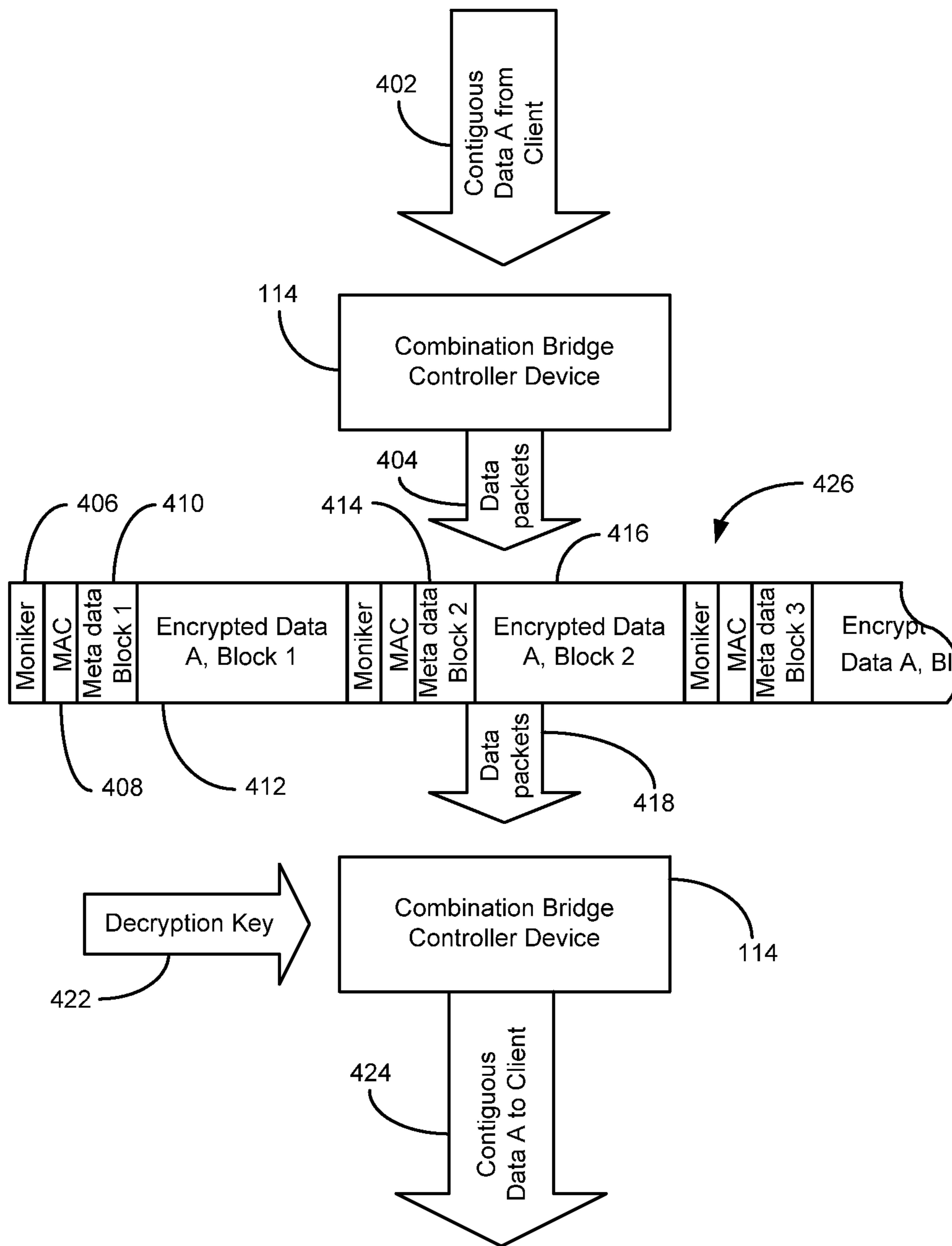
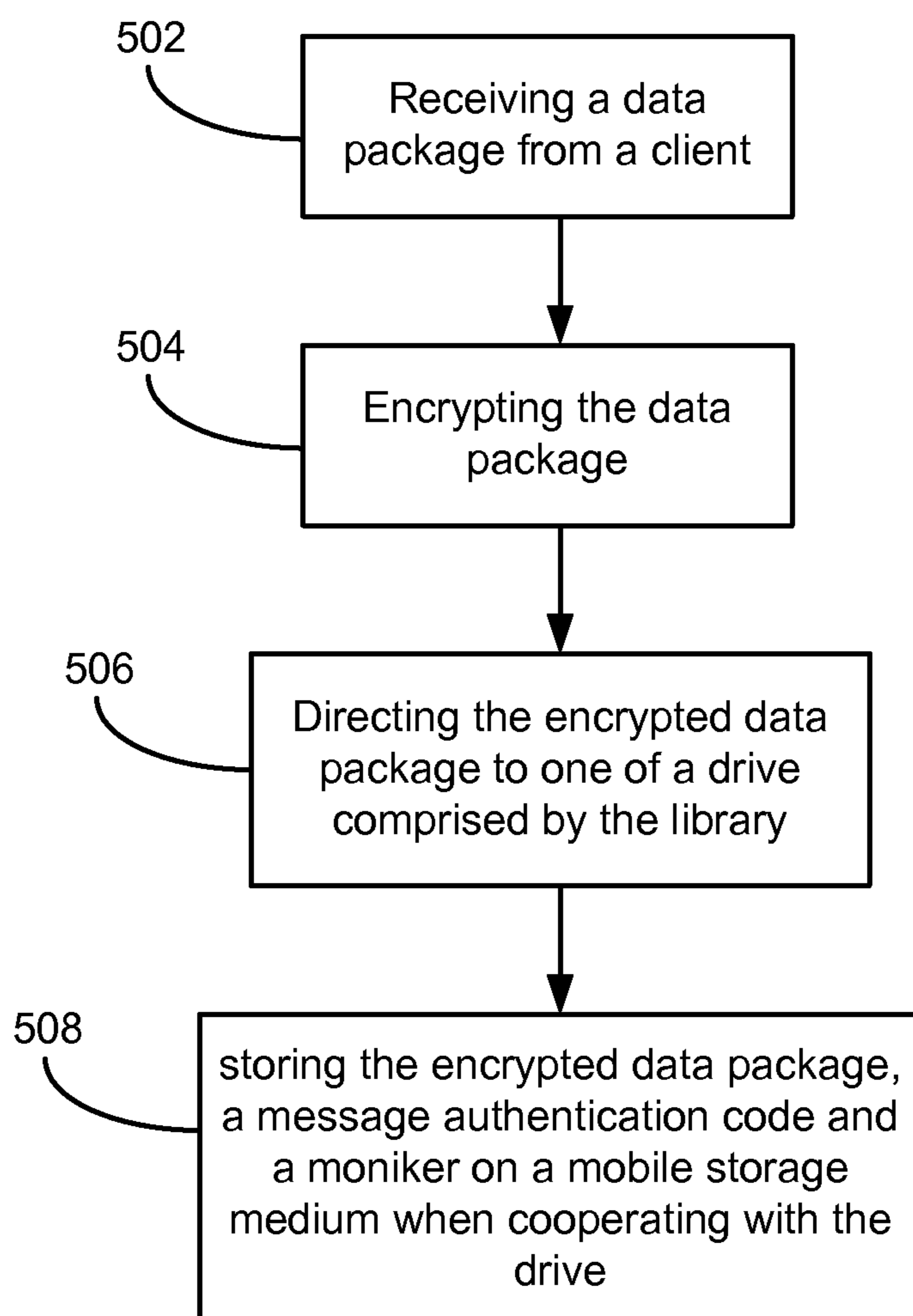
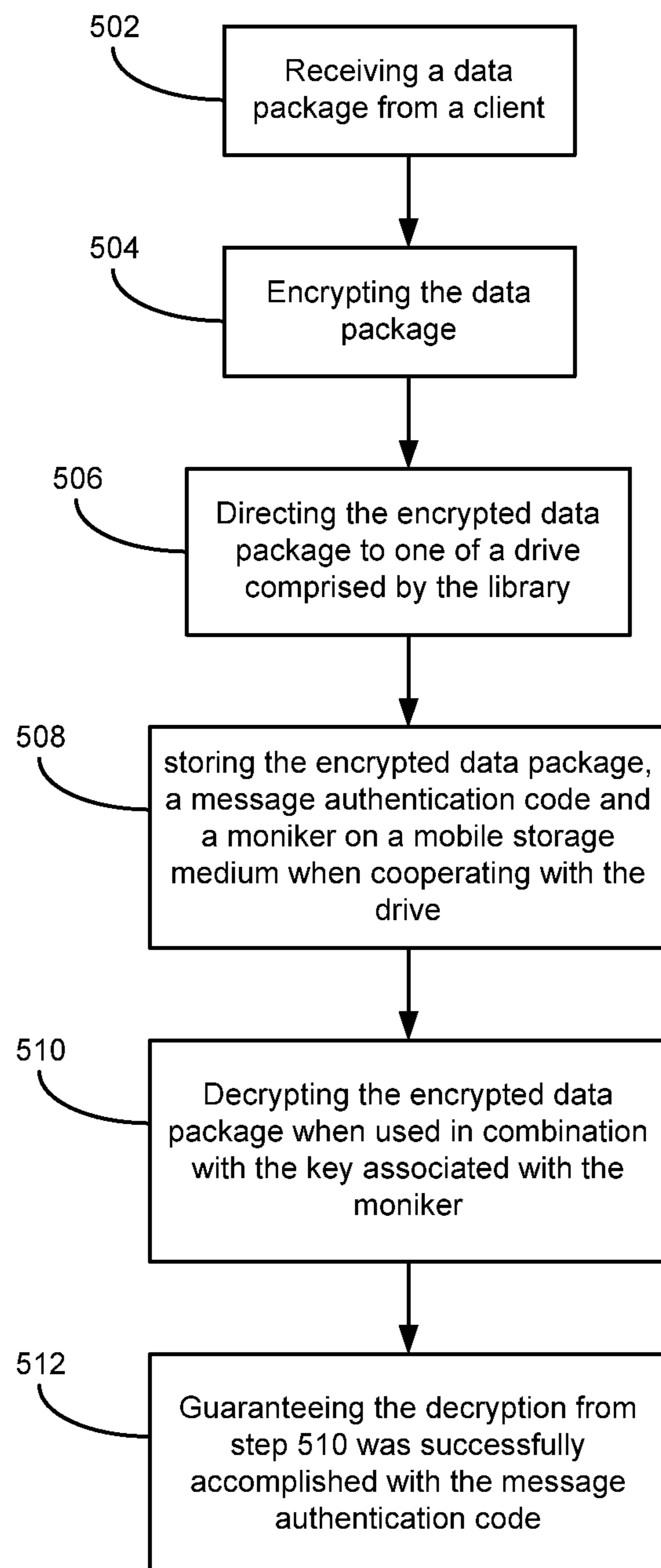


FIG. 3

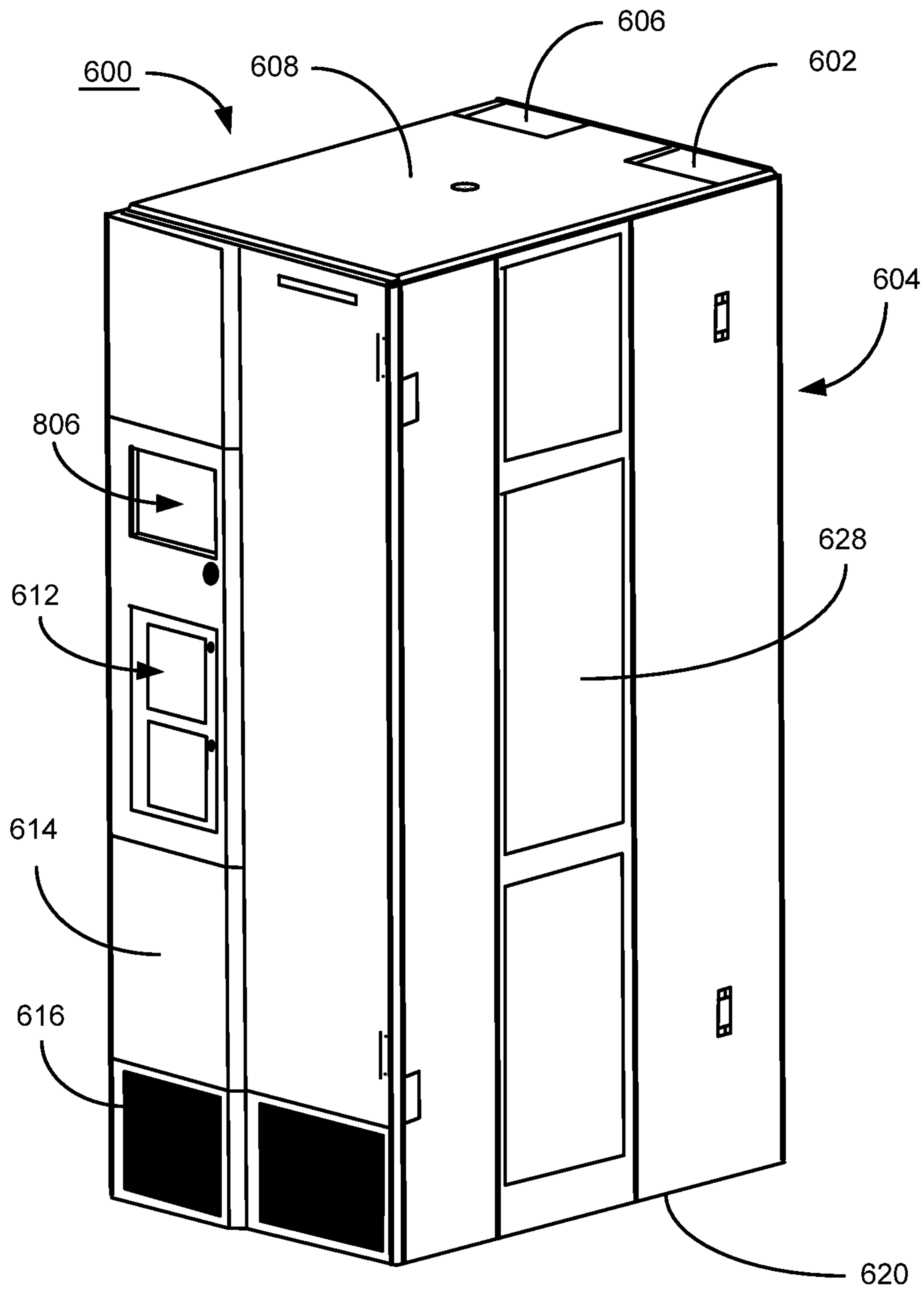


**FIG. 4**

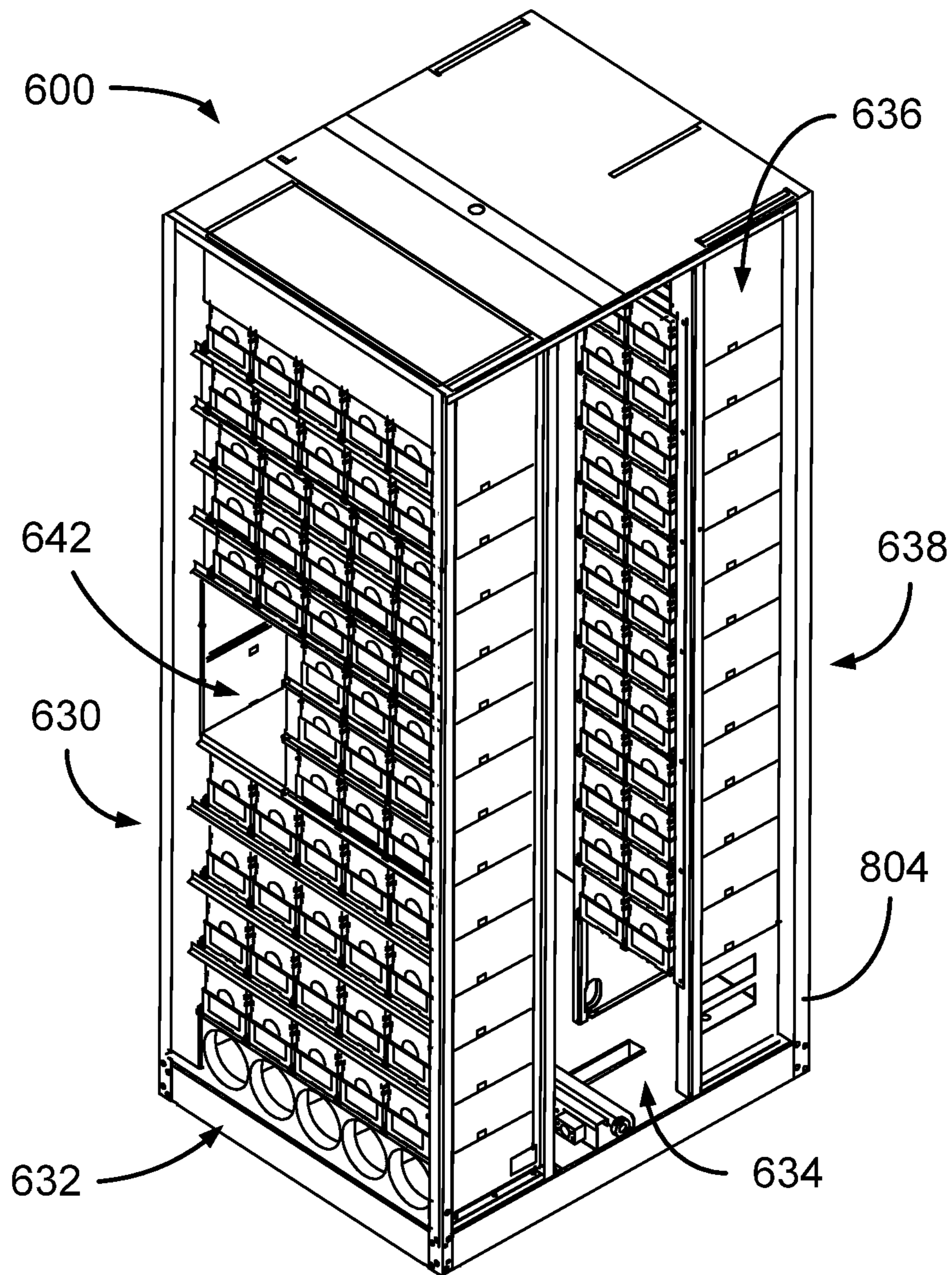
**FIG. 5A**

**FIG. 5B**

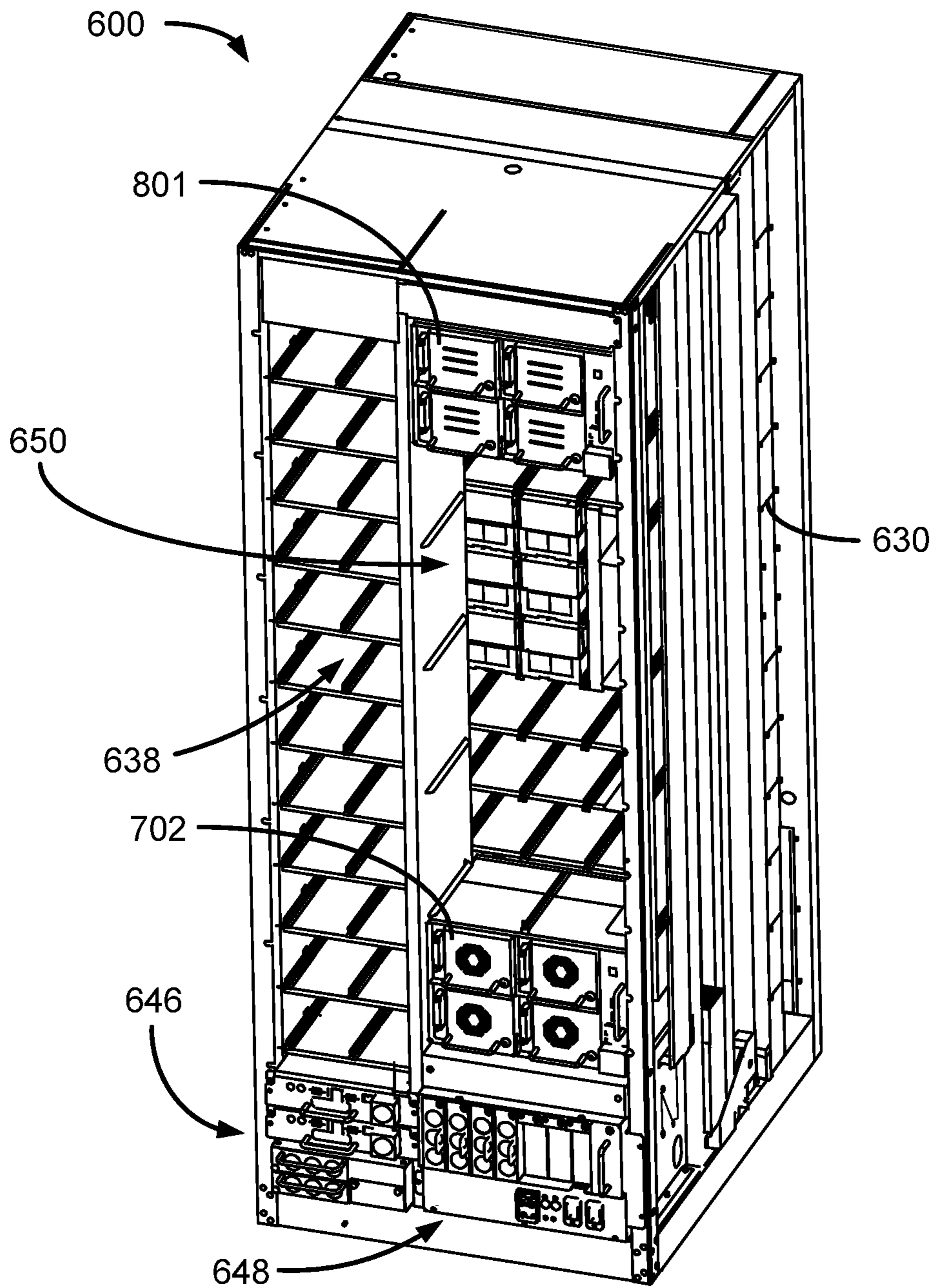




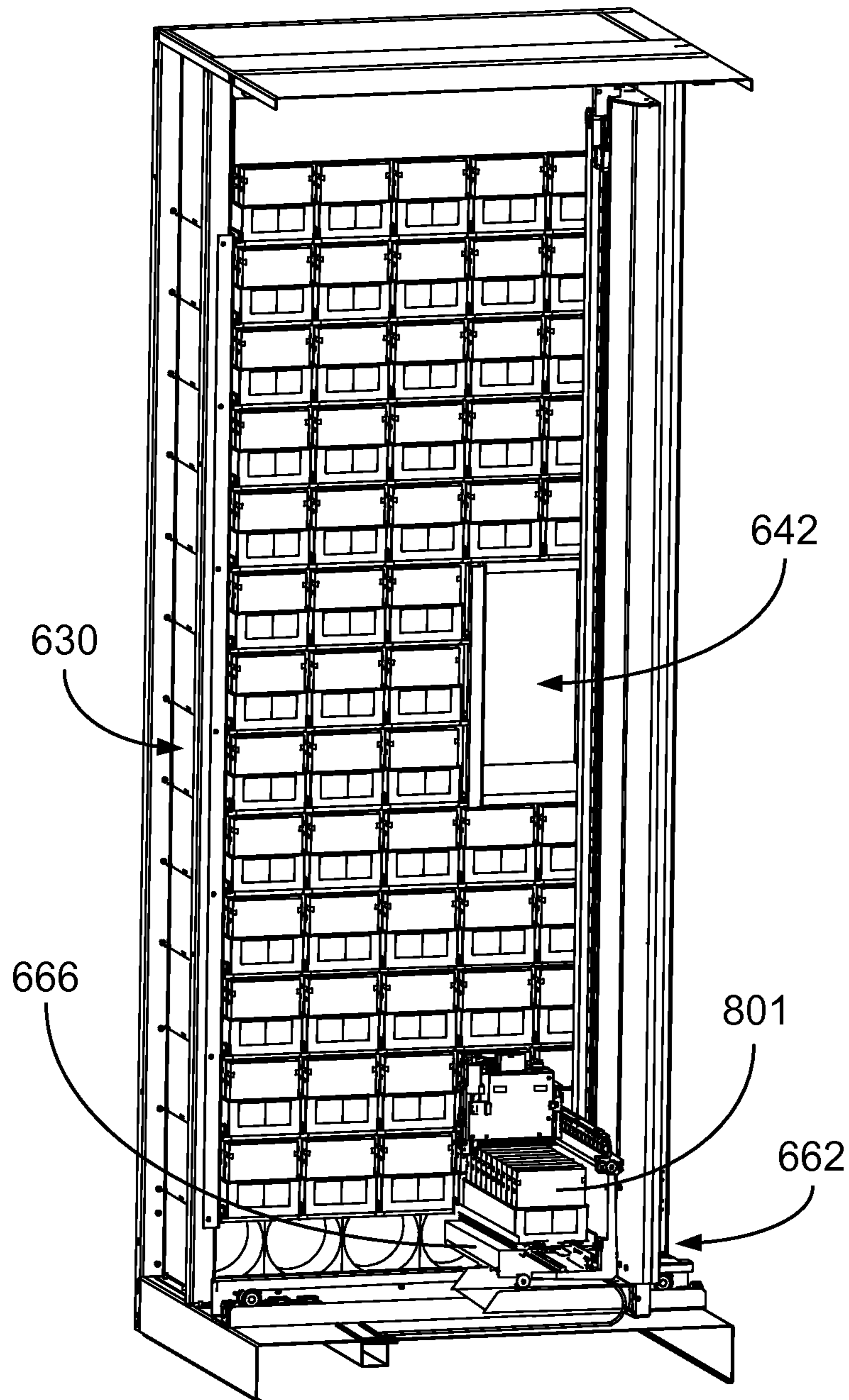
**FIG. 6A**



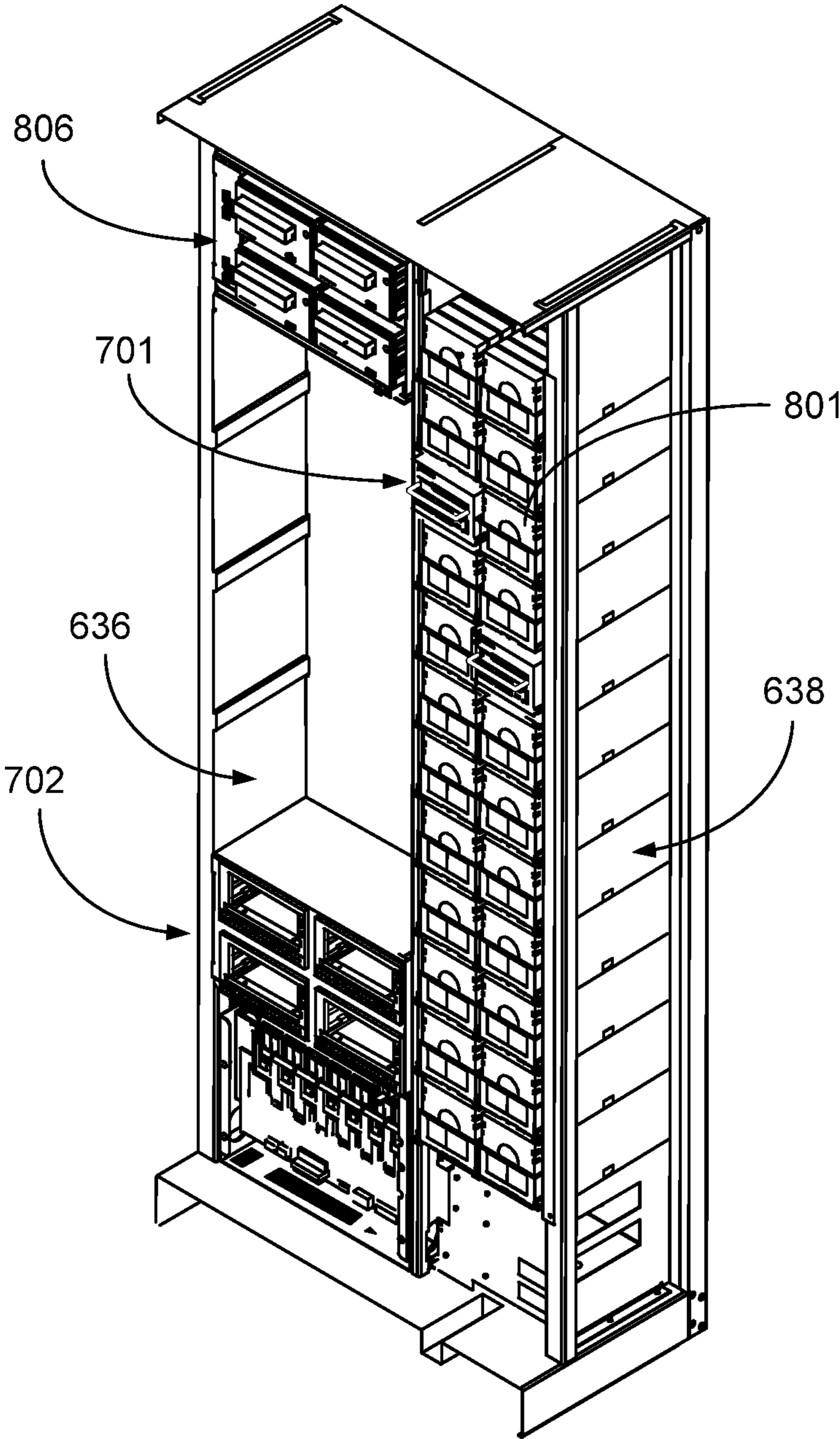
**FIG. 6B**



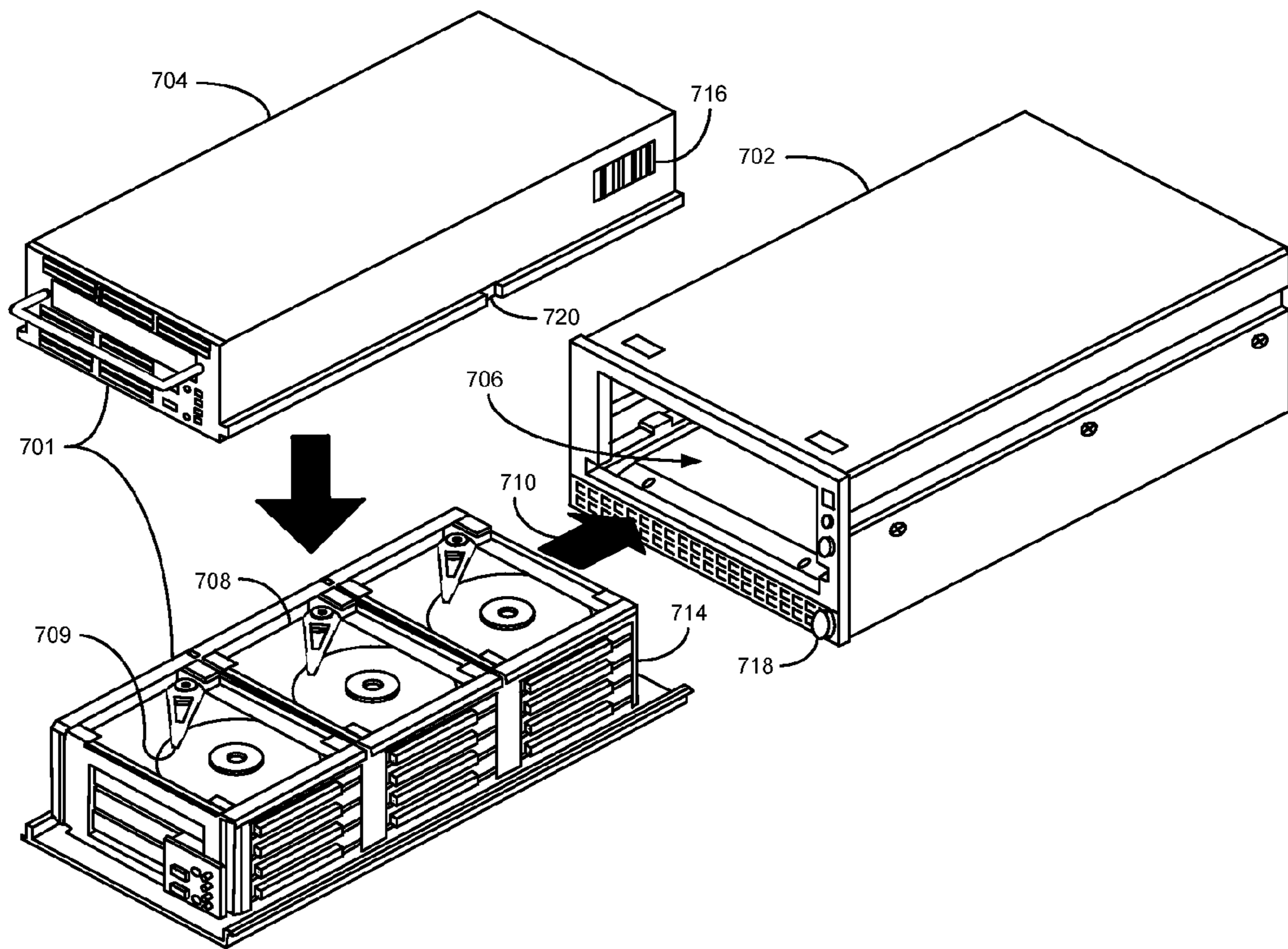
**FIG. 6C**



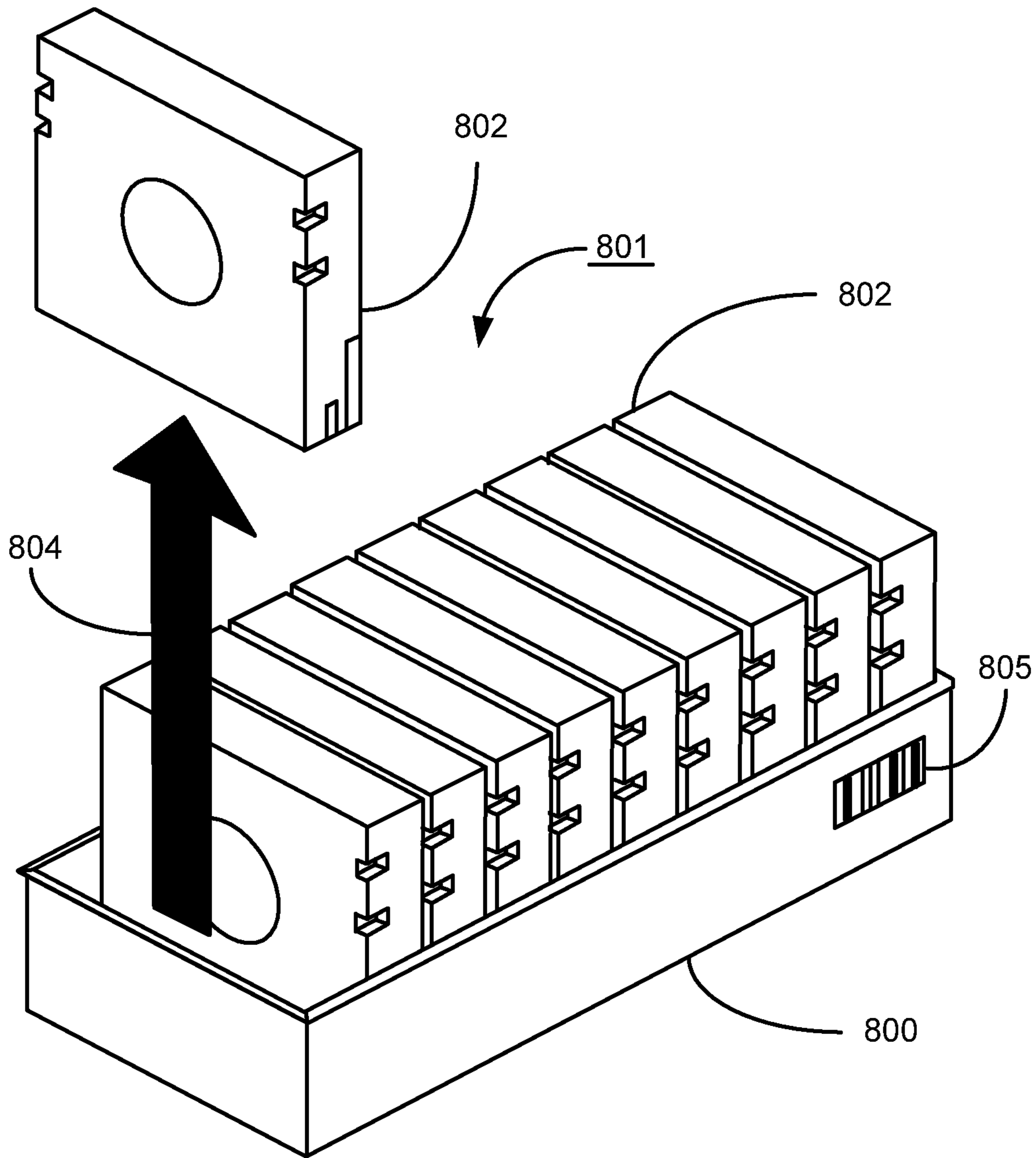
**FIG. 6D**



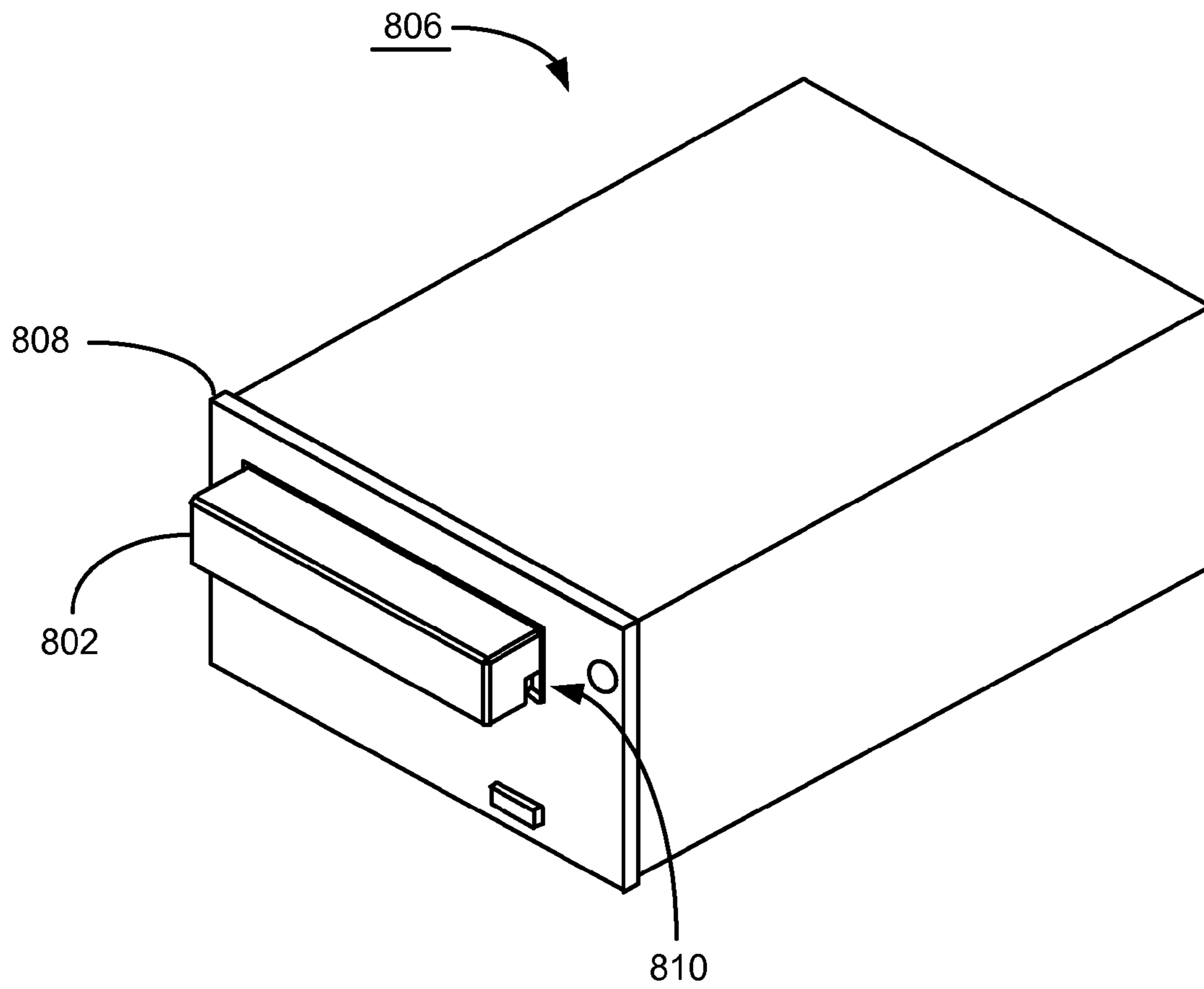
**FIG. 6E**



**FIG. 7**



**FIG. 8A**



**FIG. 8B**



1

**DATA ENCRYPTION USING A KEY AND  
MONIKER FOR MOBILE STORAGE MEDIA  
ADAPTED FOR LIBRARY STORAGE**

CROSS-REFERENCE TO RELATED  
APPLICATIONS

This application is a Continuation application of U.S. Ser. No. 11/412,565, entitled DATA ENCRYPTION USING A KEY AND MONIKER FOR MOBILE STORAGE MEDIA ADAPTED FOR LIBRARY STORAGE, filed on Apr. 27, 2006, which is incorporated herein by reference.

FIELD OF THE INVENTION

The present invention relates generally to storing encrypted data along with a message authentication code and moniker associated with a decryption key on a mobile medium adapted for use in a data storage library.

BACKGROUND

The data storage industry is experiencing a boom fueled in part by aggressive cost reduction of data storage due to advances in storage technology, broadened international accessibility to the internet and the World Wide Web and the public's appetite to accumulate data. Unfortunately, with the boom has come associated undesirable activities, such as data attacks and data theft. Data stored in data storage libraries are among the primary targets of such attacks and theft.

Data storage libraries serve as data receptacles for a variety of clients including businesses, governments and the general population. These libraries are generally comprised of drive storage devices adapted to read and write data to and from media which can be archived within the libraries. Traditionally, tape media has been used in many of the mainstream storage libraries due to the relatively high storage capacity and data integrity robustness of tapes. One advantage of tape is mobility providing achievability in remote locations called "vaults" making an 'on-line' data attack difficult, if not impossible. However, should an attacker acquire a tape, the data stored on the tape may be subject to unwanted access. In attempts to prevent unwanted access, the tape, or other mobile media capable of being used in a data storage library, can be armed with a number of security measures including data encryption. One fundamental component of data encryption is the knowledge of how to decode or decrypt the data; such knowledge is known to those skilled in the art as the key. In order to increase security of data, decryption keys can be changed from one data set to another frequently enough to instill sufficient confidence that the encrypted data is secure. Dealing with numerous keys associated with various mobile media that may be located in a variety of different locations can be a management challenge.

In an effort to improve managing encrypted data on mobile media (within a library or vault, for example) both methods and apparatus are disclosed herein. It is to innovations related to this subject matter that the claimed invention is generally directed.

SUMMARY OF THE INVENTION

The present invention relates generally to storing encrypted data on a mobile storage medium described for use with a storage library and overcomes the disadvantages and limitations of the prior art by providing a method and appa-

2

ratus for storing the encrypted data along with a moniker associated with a decryption key and message authentication code.

Embodiment of the present invention can therefore comprise a data storage library comprising: a plurality of drives; a combination bridge controller device adapted to direct and make compatible communication traffic between a client and the plurality of drives; the combination bridge controller device further adapted to encrypt a first data package received from the client in accordance with a first key; the combination bridge controller device further adapted to transmit the encrypted first data package, a first moniker associated with the first key and a first message authentication code associated with the first data package to one of the plurality of drives for storage to a cooperating mobile storage medium; the combination bridge controller device further adapted to decrypt the first data package when used in combination with the first key associated with the first moniker and confirm successful decryption of the first data package by authenticating the first message authentication code.

Another embodiment of the present invention can therefore comprise a data storage library capable of performing method steps of: receiving a first data package from a client; encrypting the first data package; directing the encrypted first data package to one of a plurality of drives comprised by the library; storing the encrypted first data package, a first message authentication code and a first moniker on a mobile storage medium when cooperating with the one of a plurality of drives.

Yet another embodiment of the present invention can therefore comprise a data storage library comprising: a plurality of drives; a combination bridge controller device capable of converting a first data package received from a client in a first communications protocol to a second communications protocol for use with the library; an encryption engine adapted to encrypt the first data package after the conversion; one of the plurality of drives adapted to store the encrypted first data package, an associated first moniker and a first message authentication code on a cooperating mobile storage medium wherein the encrypted first data package is capable of being decrypted by a first key associated with the first moniker and wherein authentication of the first message authentication code is adapted to indicate successful decryption of the first data package.

Yet another embodiment of the present invention can therefore comprise a method of storing encrypted data on a mobile medium of a data storage library comprising the steps of: generating one unique encryption key from a moniker associated with the encrypted data; generating a message authentication code associated with the encryption data; and storing the encrypted data, the moniker and the message authentication code on the mobile medium.

BRIEF DESCRIPTION OF THE DRAWINGS

FIG. 1 is a block diagram of a data storage arrangement constructed in accordance with an embodiment of the present invention.

FIG. 2 shows an alternative embodiment of the present invention showing a combination bridge controller device directing storage traffic to a specific partition.

FIG. 3 is an embodiment of the present invention showing a data storage library comprising two different embodiments of mobile storage media.

FIG. 4 is a block diagram consistent with an embodiment of the present invention showing streamed data packets stored on mobile media in a tape/streaming protocol.

FIGS. 5A and 5B are block diagrams showing some methods wherein some embodiments of the present invention can be practiced.

FIGS. 6A-6E show different views and cross sections of a Spectra Logic T950 storage library in which some embodiments of the present invention can be practiced.

FIG. 7 shows a disc drive magazine and docking station consistent with some embodiments of the present invention.

FIG. 8A shows a tape cassette magazine comprising tape cassettes consistent with some embodiments of the present invention.

FIG. 8B shows a tape drive cooperating with a tape cassette consistent with some embodiments of the present invention.

### DETAILED DESCRIPTION

Referring to the drawings in general, and more specifically to FIG. 1, shown therein is a block diagram of a data storage arrangement constructed in accordance with an embodiment of the present invention. In what follows, similar or identical structure is identified using identical callouts.

The data storage arrangement illustrated in FIG. 1 can comprise a client 102 in communication 116 with a data storage library 100. The client 102 can be a host computer or some other consumer/producer of data; other embodiments can also include another storage library or a streaming output device, such as a video server, to name several examples. The client 102 is an entity, or entities, that is capable of 'taking in' data, for example a client 102 is a consumer when receiving data and a storage library 100 is a consumer when receiving data. As one skilled in the art will appreciate, in addition to 'taking in' data, a consumer of data is also generally capable of manipulating and/or transmitting data. The client 102 can be a personal computer, a main frame computer, a server, or any computer system operatively linked to the storage library 100, to name a few examples. The communication path 116, at a minimum, needs only to facilitate communication between the client 102 and the storage library 100. The means for communication can be accomplished by a dedicated pathway (such as a SCSI [Small Computer Systems Interface] cabled connection), fiber-channel or, in an alternative embodiment, a pathway over a network (such as a LAN, WAN, or other communication architecture), for example. Furthermore, the communication path can be in the form of a wire line pathway, wireless, or a combination thereof.

The library 100 illustratively comprises a combination bridge controller device 114 capable of making compatible communication traffic between at least a first or second drive 104 and 108 the client 102. In one example, the client 102 may be in communication 116 with the library 100 via fiber-channel using a fiber-channel protocol; however, the drives 104 and 108 comprised by the library 100 may be configured to communicate with the client 102 via SCSI-channel using a SCSI protocol. The combination bridge controller device 114 bridges (makes compatible) the communication differences between the client 102 and the components within the library 100, such as the first drive 104. The combination bridge controller 114 is further adapted to direct storage related communications, i.e. a data package, to either the first drive 104 that is cooperatively linked with mobile medium 'A' 106 and/or the second drive 108 that is cooperatively linked with mobile medium 'B' 110. A data package is considered a discrete article of data, such as for example a file, a group of data received in a substantially contiguous time interval, data that is linked (i.e. a folder), or data that is of a predetermined size, to name several examples. The library 100 also comprises a plurality of mobile media 112 capable of storing data.

Mobile media 112 is media that can be moved within or outside of the library 100 and can be used with other compatible drive devices.

The combination bridge controller device 114 can comprise an encryption engine 118 capable of encrypting at least a data package received over communication path 116 by the client 102. Embodiments of the encryption engine 118 can include software programs used with a processor, or alternatively, a chip comprising encryption capability, to name two non-limiting examples. The encryption engine 118 need only be capable of altering data from plain text, or in some cases data 'as received' from the client 102, to a form requiring a key to decipher, or bring back the data to the 'as received' state. An 'as received' state could be in a form other than plain text, such as a data package previously encrypted or compressed by the client 102 for example.

In addition to the encrypted data, the combination bridge controller device 114 is also capable of transmitting a moniker, or nickname, associated with the key and a MAC (Message Authentication Code) generated by a MAC engine 120 for storage on a mobile medium, such as mobile medium 'A' 106, when in cooperation with a drive, such as the first drive 104. In one embodiment of the present invention the moniker can optionally be encrypted. The MAC provides a way to check the integrity of information transmitted over or stored in an unreliable medium (i.e. mobile medium that is subject to tampering or simple data degradation due to adverse environmental conditions for data retention, to name a couple examples). A MAC is an authentication tag (also called a checksum) derived by applying an authentication scheme, together with a secret key, to a message. Unlike digital signatures, MACs are computed and verified with the same key, typically to be verified by the intended recipient. Different types of MACs include: unconditionally secure based MACs, hash function-based MACs (HMACs), stream cipher-based MACs and block cipher-based MACs, to name four options. The MAC and moniker, in one embodiment, are stored in a memory allocated space on a mobile storage medium. Upon decryption of the stored encrypted data, the moniker can be used to identify the key enabling the encryption engine 118 to decrypt the encrypted data package. In one embodiment, the moniker can be a plain text name for the key, for example the moniker 'SALLY' is the code name for the key '1ks39J0\$A' wherein 'SALLY' is stored on the media and cannot intrinsically decrypt the encrypted data, only the key can decrypt the encrypted data. In one embodiment, the library 100 may be the only entity that has knowledge of both the key and moniker, hence a library, or drive, different from the library 100 that stored the media will fail in attempts to decrypt the media unless it too has or obtains knowledge of the encryption key relative to the moniker. In an alternative embodiment, the moniker and key are uniquely known by the client 102 and attempts from another source to decrypt the encrypted data will fail. In yet another alternative embodiment, knowledge of the key and moniker may be in two or more locations, such as the client 102 and the library 100, for redundancy to ensure against the loss of the key in one of the locations for example. The MAC can be used to guarantee that the decrypted data package has been unaltered from the data package prior to encryption upon processing the MAC through the MAC engine 120. Authenticating that the decrypted data package is complete and without change demonstrates that the decryption process was successfully accomplished and the data was not altered in any way.

FIG. 2 shows an alternative embodiment of the present invention wherein the combination bridge controller device 114 is capable of directing storage traffic to a specific parti-

tion. As illustratively shown, the library 200 comprises a first, second and third partition 204, 210 and 212 respectively. Each partition can be allocated library 200 resources and storage capacity for a specific client, such as client 102 for example. The first partition 204 comprises three mobile media elements 'A', 'D' and 'E' 106, 208 and 206 respectively, the second partition 210 comprises two mobile media elements 'B' and 'F' 110 and 214 respectively, and the third partition 212 comprises two mobile media elements 'C' and 'G' 220 and 216 respectively. In one embodiment, the three partitions 204, 210 and 212 can be of equal size. In another alternative embodiment, the three partitions can 204, 210 and 212 be combined to comprise the total storage capacity and resources of the library 200. The partitions 204, 210 and 212 can be dedicated to the same client, such as client 102, to different clients or a combination thereof. As one skilled in the art will appreciate, a library, such as the library 200, can be configured with numerous partitioning layouts and schemes. A partition, such as the first partition 204, may be configured by the client 102 or by an operator sending commands through a graphical user interface 226, to name two options. As shown, the client 102 is in communication 116 with the combination bridge controller device 114 to store or retrieve data in the third partition 212. In that example, an operator can input a moniker associated with a key by means of the graphical user interface 226. In one configuration, the graphical user interface 226 can be linked with the combination bridge controller device 114 via a CAN (Component Area Network) 228. Both knowledge of the moniker and key can be stored in the library 200, and in one embodiment, stored in a memory device associated with the graphical user interface 226. In yet another embodiment, knowledge of the moniker and or the key can reside in the CAN. Optionally, the client 102 can have knowledge of the moniker and key and thus providing the library 200 the moniker and key upon a request to retrieve data. As described in FIG. 1, a data package received over communication path 116 from the client 102 can be encrypted by the encryption engine 118 and stored along with a MAC and moniker on a mobile storage medium when in cooperation with a drive, such as when mobile media 'C' 220 cooperates with the third drive 218.

FIG. 3 is an embodiment of the present invention showing a data storage library 300 comprising two different embodiments of mobile storage media. As illustratively shown, the client 102 is in communication with the library 300 via the communication path 116 and the combination bridge controller device 114. The library 300 comprises two embodiments of a mobile storage medium, a first and second disc drive magazine 304 and 306 (being a first medium type) and a tape cassette magazine 310 loaded with a plurality of tape cassettes 308 (being a second medium type). The library 300 also comprises a docking station 302 capable of reading and writing data to and from the first and second disc drive magazines 304 and 306 and a tape drive 312 capable of reading and writing data to and from one of a plurality of tape cassettes 308 comprised by the tape cassette magazine 310. The library 300 can optionally comprise a shelving system 316 capable of archiving the mobile media 304, 306 and/or 310 within the library 300. A transport unit 314 comprises means to transport a mobile medium, such as the first disc drive magazine 304, from the shelf system 316 to a drive, in this case the docking station 302. In one embodiment, the transport unit 314 can comprise a means to load a tape cassette 308 into the tape drive 312 in a cooperating relationship to read and write data, such as a robotic picking device (not shown) for example. The library 300 also optionally comprises an entry/exit port 320 whereby mobile media can be transferred between an envi-

ronment external to the library 300 and an environment internal to the library 300. The library 300 is capable of encrypting data received by the client 102 and storing the encrypted data along with a moniker and MAC on a mobile medium, illustrated herein as the first disc drive magazine 304 that is in cooperation with the docking station 302. The graphical user interface 318 is optionally capable of transmitting the moniker associated with a key required to decrypt the encrypted data as inputted by an operator, for example. The key can be randomly generated from a pool of numbers, letters and symbols, for example, and the moniker can be assigned to the key by an operator, for example. In one exemplary scenario, a mobile medium, such as the first disc drive magazine 304, comprising encrypted data, a moniker and MAC associated with the encrypted data is capable of being removed from the library 300 via the entry/exit port 320 and disposed in an archive location, such as a room remote from the library 300. In an embodiment wherein the library 300 is the only entity with knowledge of the decryption key and the moniker, the encrypted data cannot be readily decrypted unless by the library 300. The moniker can enable the library to quickly make a determination which key to use to decrypt. The key can be provided by the library 300 with knowledge of the moniker to, for example, a user or a second authorized library or device capable of reading data from the mobile medium. In yet another embodiment, the mobile medium can additionally have an identification means associating it with the specific library on which the data was stored. An example of an identification means can be a library identification stored along with the moniker and MAC in a memory allocated space, a serial number, a barcode, an RFID (Radio Frequency Identification) tag or some alternative identifying means.

In an embodiment consistent with FIG. 3, the disc drive magazines 304 and 306 and tape cassette magazine 310 have substantially identical footprints to facilitate commonality for transporting within the data storage library 300 by the transport unit 314. An identifier, such as a bar code for example, can be associated with each disc drive magazine 304 or 306 or tape cassette magazine 310 and can be used to identify a disc drive magazine 304 or 306 or tape cassette magazine 310 if archived in a remote location for example, such as the archive vault for example.

In another embodiment of the present invention, the docking station 302 is dimensionally substantially identical to a full height LTO, SAIT or DLT tape cassette drive. Consequently, either an additional tape drive or docking station is capable of being interchangeably mounted in one of a plurality of drive bay assemblies 324, allowing the data storage library 300 an additional degree of flexibility.

FIG. 4 is a block diagram consistent with an embodiment of the present invention showing streamed data packets stored on mobile media 426 in a tape/streaming protocol. The combination bridge controller device 114 is adapted to receive, in the illustration, contiguous data 'A' 402 from a client, such as the client 102. The combination bridge controller device 114 is capable of converting contiguous data 'A' 402 into discrete encrypted data packets 404 that are sent to a mobile storage medium 426 when cooperating with a drive capable of storing the data packets 404. Herein, the mobile storage medium 426 includes comprises a moniker 406 associated with a decryption key 422 that is at least specific to the stored encrypted data 404. The decryption key 422 and associated moniker 406 can be different for each data package, fractions of data packages, numbers of data packages, or time when data packages are received and/or stored, to name several options. In addition to the moniker 406, a MAC 408 can be stored on the medium 426. The moniker 406 and MAC 408 can optionally

be stored in dedicated memory allocated space on, or associated with, the mobile storage medium **426**, such as at the beginning of each encrypted data block **412** and **416** as illustrated here. As illustratively shown, the storage medium **426** comprises a sequence of encrypted data blocks such as encrypted data 'A' block **1 412**, encrypted data 'A' block **2 416** and so on. Meta data, such as meta data block **1 410**, can be associated with each data block, such as encrypted data 'A' block **1 412**. By having the moniker **406** and MAC **408** associated with each block, the information can be used readily in the event a drive commences reading data on an ensuing block relative to block **1 412**, i.e., block **2 416**. One of ordinary skill will appreciate that the MAC **408** and the moniker **406** can be located in different arrangements including at the beginning of the stored data package, i.e. block **1 412**, or arranged such that the moniker **406** is in one location and the MAC **408** is at the beginning of each block **412** and **416**, for example, without departing from the scope and spirit of the present invention.

Upon decrypting the encrypted data 'A' stored on the medium **426**, the moniker **406** is matched with the associated decryption key **422**. Knowledge of the moniker **406** and the decryption key **422** can be in the combination bridge controller device **114**, a different memory device within the library, such as library **100**, or an alternative location, such as the client, or a combination therein, for example. Once the data packets **418** are decrypted, the MAC **408** can be used to validate the authenticity and integrity of the decrypted data (validating that the data is the same when decrypted as it was prior to encryption). In this embodiment, the combination bridge controller device **114** is also capable of assembling the decrypted data packets, such as the packets of block **1 412** and block **2 416**, without the meta data, such as the associated meta data for block **1 410** and meta data for block **2 414**, back to the original contiguous form **424** for transmission to the client **102** for example. In an alternative embodiment, the data can be stored as a contiguous data package without blocks with meta data as shown in FIG. 4.

Referring now to FIG. 5A in conjunction with FIG. 1, shown therein is a method to practice an embodiment of the present invention. It should be recognized that the steps presented in the described embodiments of the present invention do not necessarily require any particular sequence. As shown in step **502**, the data storage library **100** is capable of receiving **116** a data package from a client **102**. As discussed earlier, the means for receiving **116** the data package can be over a fiber channel connection, for example. As one skilled in the art will appreciate, there can be multiple clients interacting with the library **100** wherein the library **100** is capable of receiving a plurality of data packages potentially at substantially the same time. As shown in step **504**, the data storage library **100** is capable of encrypting the data package. Means for encryption can be accomplished with an encryption engine **118**, such as a large scale integration chip(s) or an algorithm capable of encryption operating through a central processing unit, to name two examples. As shown in step **506**, the data storage library **100** is capable of directing the encrypted data package to a drive, such as drive **104**, comprised by the library **100**. In one embodiment of the present invention, directing the encrypted data package to a drive can be accomplished by a controller such as the combination bridge controller device **114** over a channel, such as a SCSI channel, connecting the two **114** and **104**. As shown in step **508**, the data storage library **100** is capable of storing the encrypted data package, a message authentication code **408** and a moniker **406**, from

FIG. 4, on a mobile storage medium, such as mobile media 'A' **106**, when cooperating with the drive, such as the first drive **104**.

Referring to FIG. 5B in conjunction with FIGS. 1 and 4, shown therein is an alternative method consistent with some embodiments of the present invention which includes method steps **502-508** from FIG. 5A. As shown in step **510**, the data storage library **100** is capable of decrypting the encrypted data package **418** when used in combination with the key **422** associated with the moniker **406**. Means for decrypting the encrypted data package **418** can be accomplished by an encryption/decryption chip (not shown) comprised by the combination bridge controller device **114**. The key **422** required for decryption can be identified via the moniker **406** and can be known by the library **100**, or optionally, in a second location or a combination thereof. As shown in step **512**, the data storage library **100** is capable of guaranteeing the decrypted data package was successfully accomplished with confirmation of the message authentication code **408**. Means for guaranteeing successful decryption can be accomplished by a MAC engine, such as the MAC engine **120**, which in one embodiment can be combined with the encryption/decryption chip.

Embodiments of the present invention can be commercially practiced, for example, in connection with a Spectra Logic T950 data storage library **600**, sold by Spectra Logic Corporation of Boulder, Colo., and components associated with the T950 library **600** as shown in FIGS. 6A-8B. The T950 library **600** is capable of comprising both disc drive magazines **701**, as shown in FIG. 7, and a plurality of tape cassettes **802** that are disposed in a tape cassette magazine **800**, shown in FIG. 8A. The T950 library **600** is capable of comprising a plurality of tape cassette magazines **800**. A tape cassette **802** and a disc drive magazine **701** are embodiments of a mobile storage medium.

In more detail, FIG. 7 shows an embodiment of a disc drive magazine **701** and docking station **702** consistent with some embodiments of the present invention. More specifically, shown herein is an RXT disc drive magazine and RXT docking station from Spectra Logic Corporation. As illustratively shown, a plurality of disc drives **708** are substantially encased by an enclosure **704** (four walls a top and a bottom) generally comprising the mobile disc drive magazine **701**. Disposed on the enclosure is an optional bar code identifier **716** adapted to identify the disc drive magazine **701**, which has utility should the disc drive magazine **701** be archived in a media pack storage vault, for example. A conventional magnetic disc drive **708** is only one embodiment of a random access storage device capable of being used in a mobile random access memory magazine such as the disc drive magazine **701** according to the present invention, which, in further embodiments, can include flash memory and optical memory, to name a few. The mobile disc drive magazine **701** is adapted to be received by an opening **706** in the docking station **702** as shown by the arrow **710**. In one embodiment, a loading device (not shown) is disposed in the docking station **702** and is adapted to automatically pull the mobile disc drive magazine **701** to engage the magazine **701** with the docking station **702** in electrical contact establishing a communication link. When the mobile disc drive magazine **701** is operatively linked in a cooperating relationship with the docking station **702**, both power and transmission of data can occur between the docking station **702** and the mobile disc drive magazine **701**. The docking station **702** is shown to be capable of being electrically connected with a host computer, such as the client **102**, or other storage device(s), such as another data storage library for example, by a coupling means, such as wires, plugs-in,

wireless transmission (e.g., IR, RF), or any combination or equivalence thereof. In one embodiment, by linking the docking station 702 with the data storage library 600, a mobile disc drive magazine 701 is effectively in a cooperatively linked state with the other storage devices comprised by the storage library 600.

With reference to FIG. 8A, shown therein are tape cassettes 802 supported by a tape cassette magazine 800 which are alternative embodiments of a mobile storage medium. In more detail, a tape cassette 802, such an LTO category tape cassette manufactured by IBM of Armonk, N.Y., comprises magnetic tape that is capable of storing digital data written by a compatible drive 806, such as an LTO tape drive manufactured by IBM, when in cooperation (i.e. loaded) with the tape cassette 802 as shown in FIG. 8B. The tape drive 806 is adapted to receive the tape cassette 802 in an accommodating opening 810 in the face 808 of the tape drive 806. FIG. 8A shows a populated tape cassette magazine 801 wherein a magazine support structure 666 (of FIG. 6D) is capable of holding a plurality of tape cassettes 802 disposed in the tape cassette magazine 800. A tape cassette 802 can be removed from the tape cassette magazine 800, as shown by the arrow 804, and inserted in to the tape drive 806 by means of a picker device (not shown). Disposed on the tape cassette magazine 800 is a bar code identifier 805 adapted to identify the tape cassette magazine 800 which has utility should the tape cassette magazine 800 be archived in a media pack storage vault, for example.

Referring to FIG. 6A shown therein is an exterior view of the T950 library 600. The T950 library 600 substantially defines a box-like structure that substantially encases the library of FIGS. 6B-6E. The front surface 614 comprises an operator interface (such as a graphical user interface of the type described in connection with, for example, FIG. 2) that is implemented with a touch screen 612, and a grill structure 616 that covers the fans 632 for promoting air circulation within the T950 library 600. The front surface 1214 is removable so that the library 600 can be cascaded with at least one add-on unit to expand the library 600. The viewable side surface 604 shows a first clear panel 628 that allows an operator to view the interior of the library 600. The top surface 608 of the library 600 includes a pair of knockouts 606 and 602 that can be used to receive one or more AC cables that are connected to the power supply 648 and/or one or more computer cables that are used to connect the T950 library 600 to a host computer. Associated with the bottom surface 620 are casters (not shown) that facilitate movement of the T950 library 600 and adjustable stands (not shown) that allow the T950 library 600 to be leveled after the T950 library 600 has been positioned in a relatively permanent location. Further associated with the bottom surface 620 are openings (not shown) for receiving one or more AC cables that are connected to the power supply 648 and/or a computer cable(s) that are used to connect the T950 library 600 to a host computer.

With reference to FIG. 6B, shown therein is the T950 library 600 without the enclosure as shown in FIG. 6A. Generally, the T950 library 600 comprises a first shelf system 630 that is adapted to support the mobile media 701 and 801 archived by the library 600. In one embodiment of the present invention, the footprint of a tape cassette magazine 800 and a disc drive magazine 701 are substantially identical for compatibility when archiving in the first shelf system 630 or moving the mobile media 701 and 801 within the library 600. The T950 library 600 further comprises a user definable space 636 capable of comprising additional shelf space 638 for mobile media 701 and 801 and/or space dedicated for drives,

such as tape drive(s) 806 and/or disc drive magazine docking station(s) 702. Functionally interposed between the user definable space 636 and the first shelf system 630 is a magazine transport space 634. The magazine transport space 634 is adapted to provide adequate space for a magazine 701 and 801 to be moved from a position in the first shelf system 630, for example, to a drive, such as a tape drive 806. Magazines 701 and 801 can be transferred into and out from the T950 library 600 via an embodiment of an entry/exit port 642. Transferring magazines 701 and 801 in an out of the T950 library 600 can be accomplished by an operator for example. The T950 library 600 comprises a means for cooling as shown by the fans 632 (embodiment), located at the base of the library 600.

With reference to FIG. 6C, shown therein is the T950 library 600 without the enclosure of FIG. 6A (rotated 180°) exposing the user definable space 636. The user definable space 636, herein, shows a column of additional shelves 638 for archiving media 701 and 801, a block of four disc drive magazine docking stations 702, a block of four tape drives 806 and additional vacant user definable space 650. The T950 library 600 also comprises an embodiment of a controller bridge circuit 646, the Spectra Logic Fiber channel Quad Interface Processor (FQIP), and an embodiment of the power supply 648 capable of converting AC current to DC current for consumption by the library 600.

With reference to FIG. 6D, shown therein is an interior sectioned view of the T950 library 600 showing the first shelf system 630. An embodiment of a magazine transport 662 is shown herein with a populated tape cassette magazine 801 resting on top of a magazine support platform 666 under the influence of gravity. In this embodiment, the magazine support 666 comprises a means to pull a magazine 701 or 801, such as a hook and conveyor device, from the first shelf system 630 onto the magazine support 666 wherein the magazine support 666 remains in a substantially stationary position throughout the process. Once on the magazine support 666, a magazine 701 or 801 can be positioned substantially anywhere in the magazine transport space 634 by the magazine transport 662.

FIG. 6E is an interior sectioned view of the T950 library 600 showing the user definable space 636. As illustratively shown the column of additional shelf space 638 is populated with both disc drive and populated tape cassette magazines 701 and 801. The block of four disc drive magazine docking stations 702 and the block of four tape drives 806 and additional vacant user definable space 150 comprises the space adjacent to the column of additional shelf space 638. The magazine transport 662 is capable of positioning a magazine, such as a disc drive magazine 701, to be loaded by a loading means into a docking station 702 wherein the magazine support 666, in one embodiment, remains in a substantially stationary position throughout the process.

The T950 library 600 is capable of bridging communication between at least two different communications protocols on either side of the FQIP 646. The FQIP 646 functions as a combination bridge controller device enabling communication between the T950 library 600 and a client in a fiber channel protocol, for example, and communication from the FQIP 646 to a drive, such as one of the four docking stations 702, for example, in a SCSI channel protocol. Furthermore, the FQIP 646 is adapted to direct data for storage on a specific drive, such as the docking station 702, from a plurality of drives, such as the other seven tape drives and docking stations 806 and 702. Directing data traffic may be necessary should the T950 library 600 be divided into partitions wherein a first client may be allocated a first partition, or fraction, of

the library's **600** storage capacity and resources (for example 60 percent of the storage space within the library **600**) and a second client allocated the remainder of the library's **600** storage capacity and resources (for example 40 percent of the storage space within the library **600**) in a second partition.

In accordance with the present invention, the FQIP **646** can be modified to include at least one encryption/decryption chip, such as the 7956 or 8155 class chip from Hifn Inc., of Los Gatos, Calif., for example. Each Hifn chip is adapted to encrypt and decrypt small packets of data (up to, for example, 32 K-byte data packets) because the Hifn chips are designed for network to network applications. Consequently, the FQIP **646**, in one embodiment, generally comprises at least one CPU (Central Processing Unit), at least one volatile memory chip, a PCI bus, at least one Hifn chips, at least one fibre chips and at least one SCSI chips to accommodate the 32 K-byte data packets. Generally, data received are segmented in software into data packets no larger than 32K-bytes and sent to the Hifn chip for encryption and then reassembled with meta data for each 32K data packet and sent to the drive, via the SCSI chip as one (slightly larger than the original) tape write command. To elaborate for purposes of illustration, data is received from a client, such as in a steaming tape format, by a fibre chip which in turn sends to the memory chip in 64 K-byte packets. Once in volatile memory, the 64K-bytes of data are segmented in to 32 K-byte data packets and sent along with an Initialization Vector (IV), which introduces a random element to each data packet for additional security, key to the Hifn chip for encryption. The encrypted 32K-byte data packets are then reassembled in the volatile memory along with the meta data, which includes the moniker associated with the key and MAC data unique to each data packet, and sent to the mobile media via the SCSI chip. For decryption, the encrypted data and meta data are retrieved from the storage media and put into the volatile memory whereby the encrypted data packets along with the IV, MAC and key identified by the moniker from the meta data are transmitted to the Hifn chip for decryption and then reassembled back to the original streamed form in the volatile memory for transmission to the client. As one skilled in the art will appreciate, data libraries routinely store several G-bytes of data at a time. The FQIP **646** is linked via a CAN with a graphic user interface that is accessible by an operator using the library **600**. The graphic user interface **612** is a medium through which an operator can input storage options such as number and size of partitions, moniker associated with the key (wherein the key, in one embodiment, can be internally uniquely generated) backup routines, etc.

In one embodiment consistent with the present invention, a data package is received by a client over a fiber channel pathway to the FQIP **646** for storage in a partition of the library **600** allocated to the client. The data package is buffered then encrypted by a Hifn encryption chip comprised by the FQIP **646** in 32 K-byte packets and transmitted to a drive in cooperation with a mobile storage medium, such as the tape drive **806** and tape cassette **802**, allocated to the client's partition. In addition to the encrypted data packets, a moniker associated with a decryption key capable of decrypting the data packets and a MAC capable of verifying proper decryption are transmitted for storage by the FQIP **646** on the tape cassette **802** in a memory allocated space comprised by the tape cassette **802**. The MAC can be generated by the Hifn chip that encrypts the data. The moniker can be a nickname such as 'SALLY', for example, and can be used to quickly identify the associated decryption key which can be one from a set of a plurality of decryption keys. In this embodiment, the moniker is stored in plain text (i.e. non-encrypted data) in the

memory allocated space and the decryption key is stored exclusively in the library **600**. Upon creation of the key, a user, for example, can request a key associated with the moniker "SALLY" whereby a key can be randomly and uniquely generated for that moniker. "SALLY" cannot be used twice within the same library partition because every moniker will be associated with a uniquely generated key. In one embodiment, the client need not be aware that their data is stored in an encrypted format. In this embodiment, management of an encryption key may be solely done by the library **600**, for example.

Upon a requested to decrypt the data, such as by the client, the key comprising the decryption code is required to decrypt the data can be identified by use of the moniker stored on the media. Once the moniker is read, the T950 library **600** can provide the decryption key and enabling decryption of the data. In some alternative embodiments, the key can exist with the client or with a third party, for example. The encryption key can be changed in desired increments of time such as every week, for example. The encryption key can be different for each client, data package received, etc.

The MAC is used as a means to verify that the data when decrypted is identical to the data that was originally received from the client prior to encryption. In the event the decrypted data has been corrupted or changed from when the data was originally received by the client, an error will be sent to the client that the data has not been successfully decrypted, or in the case where a client is unaware of encrypted data, a retrieval error will be sent.

In one embodiment, a moniker is not needed; rather the verification from the HMAC that the data has been successfully decrypted can be used. In this option, the set of keys used for encryption can be tried in succession, for example, until the data has been verified as decrypted.

It is to be understood that even though numerous characteristics and advantages of various embodiments of the present invention have been set forth in the foregoing description, together with the details of the structure and function of various embodiments of the invention, this disclosure is illustrative only, and changes may be made in detail, especially in matters of structure and arrangement of parts within the principles of the present invention to the full extent indicated by the broad general meaning of the terms in which the appended claims are expressed. For example, multiple monikers can be used with multiple keys for one set of data to increase complexity in security, for example, while still maintaining substantially the same functionality without departing from the scope and spirit of the present invention. Another example can include using these techniques in addition to data compression in a same chip, to name a few examples while still maintaining substantially the same functionality without departing from the scope and spirit of the present invention. Further, though communication is described herein as between a client **102** and the library, such as the library **100**, communication can be received by the drive, such as the first drive **104**, via the combination bridge controller device **114**, for example, without departing from the scope and spirit of the present invention. Further, for purposes of illustration, a first and second drive and media are used herein to simplify the description for a plurality of drives and media. Finally, although the preferred embodiments described herein are directed to disc drive systems, such as the disc drive magazine **701**, and tape storage systems, such as tape cassettes **802** and tape drives **806**, and related technology, it will be appreciated by those skilled in the art that the teachings of the present invention can be applied to other systems, without departing from the spirit and scope of the present invention.

## 13

It will be clear that the present invention is well adapted to attain the ends and advantages mentioned as well as those inherent therein. While presently preferred embodiments have been described for purposes of this disclosure, numerous changes may be made which readily suggest themselves to those skilled in the art and which are encompassed in the spirit of the invention disclosed and as defined in the appended claims.

What is claimed is:

1. A data storage library comprising:
  - a plurality of drives;
  - a controller device adapted to encrypt a first data package received from the client in accordance with a first key; the controller device further adapted to transmit the encrypted first data package, a first moniker that is essentially directly associated with the first key, the moniker is a code name that identifies the key, and a first message authentication code generated based on the first data package to one of the plurality of drives for storage to a cooperating mobile storage medium;
  - the controller device further adapted to decrypt the first data package when used in combination with the first key identified via the first moniker and confirm successful decryption of the first data package via the first message authentication code; and
  - wherein the first moniker and first key are replaced by a second moniker associated with a second key after a predetermined amount of time wherein all data received and encrypted before the predetermined time are associated with the first key and the first moniker and all data received and encrypted after the predetermined time are associated with the second key and the second moniker.
2. The data storage library of claim 1 further comprising a user interface linked with the controller device wherein the user interface is capable of transmitting the first moniker to the controller.
3. The data storage library of claim 2 wherein the user interface is a graphical user interface.
4. The data storage library of claim 1 wherein the controller device receives the first moniker and the first key from the client.
5. The data storage library of claim 1 wherein knowledge of the first key is known by the data storage library.
6. The data storage library of claim 1 wherein knowledge of the first key and first moniker is known in at least two separate locations.
7. The data storage library of claim 1 wherein the controller device is further adapted to encrypt a second data package and transmit the encrypted second data package, a second moniker and a second message authentication code to the one of the plurality of drives for storage to the cooperating mobile storage medium; the controller device capable of decrypting the second data package when used in combination with a second key associated with the second moniker and guarantee the decryption of the second data package was successfully accomplished after checking with the second message authentication code.
8. The data storage library of claim 1 wherein the controller device is further adapted to encrypt a second data package and transmit the encrypted second data package, a second moni-

## 14

ker and a second message authentication code to one of the plurality of drives for storage to a different cooperating mobile storage medium; the controller device capable of decrypting the second data package when used in combination with a second key associated with the second moniker and guarantee the decryption of the second data package was successfully accomplished via the second message authentication code.

9. The data storage library of claim 1 wherein the message authentication code is based on cryptographic hash functions.

10. The data storage library of claim 1 wherein the mobile storage medium is selected from one of the group consisting of: a tape cassette, disc drive magazine, optical compact disc, flash memory device, magnetic disc drive, magneto-optical drive and floppy disc drive.

11. A method comprising:

- receiving a first data package from a client;
- generating a first key and a first message authentication code from the first data package;
- encrypting the first data package with the first key;
- directing the encrypted first data package to one of a plurality of drives comprised by the data storage library;
- storing the encrypted first data package, a first message authentication code, and a first moniker on a mobile storage medium when the mobile storage medium is cooperating with the one of a plurality of drives, the first key resides in a separate location from the mobile storage medium;
- decrypting the first encrypted data package when used in combination with a first key that is associated with the first moniker and guaranteeing the decrypting step successfully accomplished with the first message authentication code; and

wherein the first moniker and first key are replaced by a second moniker associated with a second key after a predetermined amount of time wherein all data received and encrypted before the predetermined time are associated with the first key and the first moniker and all data received and encrypted after the predetermined time are associated with the second key and the second moniker.

12. The method of claim 11, further comprising transmitting the first message authentication code and the first moniker from a controller device to the one of a plurality of drives.

13. The method of claim 12, further comprising transmitting the first moniker to the controller device from a user interface.

14. The method of claim 11, further comprising changing the first data package from a first communications protocol as received by the client and transmitting the first data package to a second communications protocol from the controller device to the one of the plurality of drives.

15. The method of claim 11, further comprising expiring the first key and introducing a second key and an associated second moniker to be associated with new data received for encryption.

16. The data storage library of claim 1 wherein the first key is retained in a location other than the mobile storage medium.