

(12) **United States Patent**  
**Aziz et al.**

(10) **Patent No.:**       **US 8,566,946 B1**  
(45) **Date of Patent:**   **\*Oct. 22, 2013**

(54) **MALWARE CONTAINMENT ON CONNECTION**

(75) Inventors: **Ashar Aziz**, Menlo Park, CA (US);  
**Wei-Lung Lai**, Menlo Park, CA (US);  
**Jayaraman Manni**, Menlo Park, CA (US)

(73) Assignee: **FireEye, Inc.**, Milpitas, CA (US)

(\*) Notice:   Subject to any disclaimer, the term of this patent is extended or adjusted under 35 U.S.C. 154(b) by 744 days.  
  
This patent is subject to a terminal disclaimer.

5,657,473 A    8/1997 Killean et al.  
5,978,917 A    11/1999 Chi  
6,269,330 B1    7/2001 Cidon et al.  
6,298,445 B1    10/2001 Shostack  
6,357,008 B1    3/2002 Nachenberg  
6,424,627 B1    7/2002 Sørhaug et al.  
6,487,666 B1    11/2002 Shanklin et al.  
6,493,756 B1    12/2002 O'Brien et al.

(Continued)

(21) Appl. No.: **11/717,475**

(22) Filed:       **Mar. 12, 2007**

**Related U.S. Application Data**

(63) Continuation-in-part of application No. 11/494,990, filed on Jul. 28, 2006, now Pat. No. 8,375,444, which is a continuation-in-part of application No. 11/471,072, filed on Jun. 19, 2006, which is a continuation-in-part of application No. 11/409,355, filed on Apr. 20, 2006, now Pat. No. 8,171,553.

(51) **Int. Cl.**  
**G06F 13/30**                   (2006.01)

(52) **U.S. Cl.**  
USPC ..... **726/25; 726/15**

(58) **Field of Classification Search**  
USPC ..... 726/25, 15, 23, 24, 26  
See application file for complete search history.

(56)                   **References Cited**

U.S. PATENT DOCUMENTS

4,292,580 A    9/1981 Ott et al.  
5,175,732 A    12/1992 Hendel et al.  
5,440,723 A    8/1995 Arnold et al.

FOREIGN PATENT DOCUMENTS

GB           2439806       1/2008  
WO           0206928 A2    1/2002  
WO    WO 02/06928       \* 1/2002  
WO           WO0223805 A2   3/2002

OTHER PUBLICATIONS

Williamson, Throttling Viruses: Restricting Proagation to defeat malicious mobile code, IEEE 2002, p. 1-8.\*

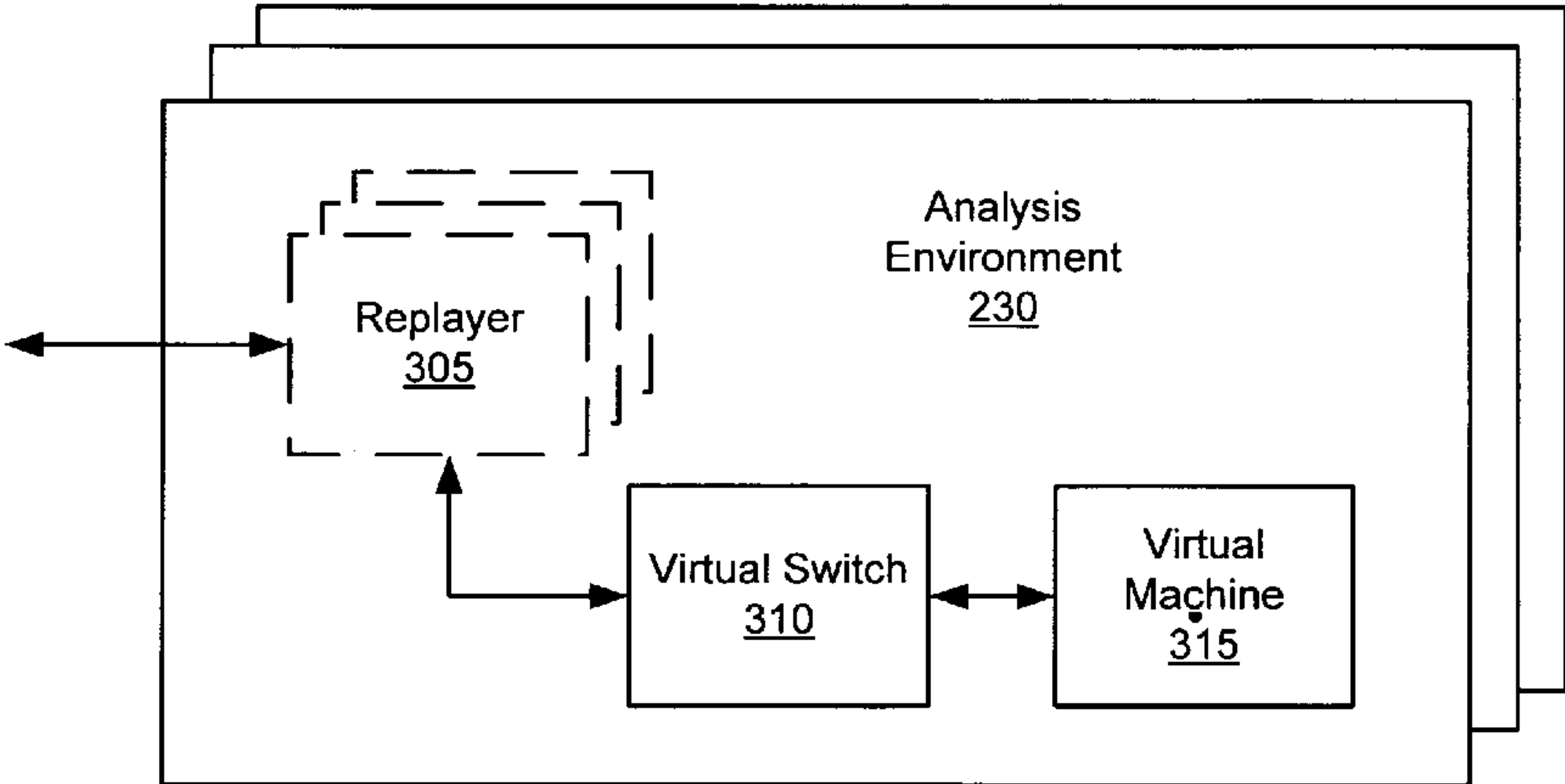
(Continued)

*Primary Examiner* — Eleni Shiferaw  
*Assistant Examiner* — Abu Sholeman  
(74) *Attorney, Agent, or Firm* — Blakely, Sokoloff, Taylor & Zafman LLP

(57)                   **ABSTRACT**

Systems and methods for malware containment on connection are provided. Digital devices are quarantined for a pre-determined period of time upon connection to the communication network. When a digital device is quarantined, all network data transmitted by the digital device is temporarily directed to a controller which then analyzes the network data to identify unauthorized activity and/or malware within the newly connected digital device. An exemplary method to contain malware comprises detecting a digital device upon connection with a communication network, temporarily redirecting network data from the digital device for a predetermined period of time, and analyzing the network data to identify malware within the digital device.

**25 Claims, 7 Drawing Sheets**





(56)

## References Cited

## U.S. PATENT DOCUMENTS

6,550,012 B1	4/2003	Villa et al.	2004/0249911 A1	12/2004	Alkhatib
6,775,657 B1	8/2004	Baker	2004/0255161 A1 *	12/2004	Cavanaugh ..... 713/201
6,832,367 B1	12/2004	Choi et al.	2004/0268147 A1	12/2004	Wiederin et al.
6,895,550 B2	5/2005	Kanchirayappa et al.	2005/0033960 A1	2/2005	Vialen et al.
6,898,632 B2	5/2005	Gordy	2005/0033989 A1	2/2005	Poletto
6,981,279 B1	12/2005	Arnold et al.	2005/0050148 A1 *	3/2005	Mohammadioun et al. .. 709/206
7,007,107 B1	2/2006	Ivchenko et al.	2005/0086523 A1	4/2005	Zimmer et al.
7,043,757 B2	5/2006	Hoefelmeyer et al.	2005/0091513 A1	4/2005	Mitomo
7,069,316 B1	6/2006	Gryaznov	2005/0114663 A1	5/2005	Cornell
7,080,408 B1	7/2006	Pak et al.	2005/0125195 A1	6/2005	Brendel
7,093,239 B1	8/2006	van der Made	2005/0157662 A1	7/2005	Bingham et al.
7,100,201 B2	8/2006	Izatt	2005/0183143 A1	8/2005	Anderholm
7,159,149 B2	1/2007	Spiegel et al.	2005/0201297 A1 *	9/2005	Peikari ..... 370/242
7,231,667 B2	6/2007	Jordan	2005/0210533 A1	9/2005	Copeland
7,240,364 B1	7/2007	Branscomb et al.	2005/0238005 A1 *	10/2005	Chen et al. .... 370/389
7,240,368 B1	7/2007	Roesch	2005/0265331 A1	12/2005	Stolfo
7,287,278 B2	10/2007	Liang	2006/0010495 A1 *	1/2006	Cohen et al. .... 726/24
7,308,716 B2	12/2007	Danford et al.	2006/0015715 A1	1/2006	Anderson
7,356,736 B2	4/2008	Natvig	2006/0021054 A1	1/2006	Costa et al.
7,386,888 B2	6/2008	Liang	2006/0031476 A1 *	2/2006	Mathes et al. .... 709/224
7,392,542 B2	6/2008	Bucher	2006/0075496 A1	4/2006	Carpenter et al.
7,418,729 B2	8/2008	Szor	2006/0095968 A1	5/2006	Portolani
7,428,300 B1	9/2008	Drew et al.	2006/0101516 A1	5/2006	Sudaharan
7,441,272 B2	10/2008	Durham et al.	2006/0101517 A1	5/2006	Banzhof et al.
7,448,084 B1	11/2008	Apap et al.	2006/0117385 A1	6/2006	Mester et al.
7,458,098 B2	11/2008	Judge et al.	2006/0123477 A1	6/2006	Raghavan
7,464,404 B2	12/2008	Carpenter et al.	2006/0143709 A1	6/2006	Brooks et al.
7,464,407 B2	12/2008	Nakae et al.	2006/0161983 A1	7/2006	Cothrell
7,480,773 B1	1/2009	Reed	2006/0161987 A1	7/2006	Levy-Yurista
7,487,543 B2	2/2009	Arnold et al.	2006/0161989 A1	7/2006	Reshef et al.
7,496,960 B1	2/2009	Chen et al.	2006/0164199 A1	7/2006	Gilde et al.
7,496,961 B2	2/2009	Zimmer et al.	2006/0179147 A1 *	8/2006	Tran et al. .... 709/227
7,523,493 B2	4/2009	Liang et al.	2006/0184632 A1 *	8/2006	Marino et al. .... 709/206
7,530,104 B1	5/2009	Thrower et al.	2006/0191010 A1	8/2006	Benjamin
7,540,025 B2	5/2009	Tzadikario	2006/0221956 A1	10/2006	Narayan et al.
7,565,550 B2	7/2009	Liang et al.	2006/0251104 A1 *	11/2006	Koga ..... 370/449
7,603,715 B2	10/2009	Costa et al.	2006/0288417 A1	12/2006	Bookbinder et al.
7,639,714 B2	12/2009	Stolfo et al.	2007/0006288 A1	1/2007	Mayfield et al.
7,644,441 B2	1/2010	Schmid et al.	2007/0006313 A1	1/2007	Porras et al.
7,676,841 B2	3/2010	Sobchuk et al.	2007/0011174 A1 *	1/2007	Takaragi et al. .... 707/10
7,698,548 B2	4/2010	Shelest et al.	2007/0016951 A1	1/2007	Piccard et al.
7,707,633 B2	4/2010	Danford et al.	2007/0033645 A1	2/2007	Jones
7,779,463 B2	8/2010	Stolfo et al.	2007/0038943 A1	2/2007	FitzGerald et al.
7,784,097 B1	8/2010	Stolfo et al.	2007/0064689 A1	3/2007	Shin et al.
7,849,506 B1	12/2010	Dansey et al.	2007/0094730 A1	4/2007	Bhikkaji et al.
7,904,959 B2	3/2011	Sidiroglou et al.	2007/0156895 A1 *	7/2007	Vuong ..... 709/225
7,908,660 B2	3/2011	Bahl	2007/0171824 A1 *	7/2007	Ruello et al. .... 370/232
7,996,556 B2	8/2011	Raghavan et al.	2007/0174915 A1 *	7/2007	Gribble et al. .... 726/24
7,996,905 B2	8/2011	Arnold et al.	2007/0192500 A1 *	8/2007	Lum ..... 709/230
8,028,338 B1	9/2011	Schneider et al.	2007/0192858 A1 *	8/2007	Lum ..... 726/22
8,069,484 B2	11/2011	McMillan et al.	2007/0198275 A1	8/2007	Malden et al.
8,087,086 B1	12/2011	Lai et al.	2007/0250930 A1	10/2007	Aziz
8,171,553 B2	5/2012	Aziz et al.	2007/0271446 A1	11/2007	Nakamura
2001/0047326 A1	11/2001	Broadbent et al.	2008/0005782 A1	1/2008	Aziz
2002/0018903 A1	2/2002	Kokubo et al.	2008/0072326 A1	3/2008	Danford et al.
2002/0038430 A1	3/2002	Edwards et al.	2008/0080518 A1	4/2008	Hoefflin et al.
2002/0091819 A1	7/2002	Melchione et al.	2008/0120722 A1	5/2008	Sima et al.
2002/0144156 A1	10/2002	Copeland, III	2008/0141376 A1	6/2008	Clausen et al.
2002/0162015 A1 *	10/2002	Tang ..... 713/200	2008/0215742 A1 *	9/2008	Goldszmidt et al. .... 709/229
2002/0184528 A1	12/2002	Shevenell	2008/0222729 A1	9/2008	Chen et al.
2002/0188887 A1 *	12/2002	Largman et al. .... 714/13	2008/0263665 A1	10/2008	Ma et al.
2002/0194490 A1	12/2002	Halperin et al.	2008/0295172 A1	11/2008	Bohacek
2003/0074578 A1	4/2003	Ford et al.	2008/0301810 A1	12/2008	Lehane et al.
2003/0084318 A1	5/2003	Schertz	2009/0031423 A1	1/2009	Liu et al.
2003/0115483 A1	6/2003	Liang	2009/0083369 A1	3/2009	Marmor
2003/0188190 A1	10/2003	Aaron	2009/0083855 A1	3/2009	Apap et al.
2003/0200460 A1	10/2003	Morota et al.	2009/0089879 A1	4/2009	Wang et al.
2003/0237000 A1 *	12/2003	Denton et al. .... 713/201	2009/0094697 A1	4/2009	Provos et al.
2004/0019832 A1	1/2004	Arnold et al.	2009/0271867 A1 *	10/2009	Zhang ..... 726/24
2004/0047356 A1	3/2004	Bauer	2009/0300761 A1	12/2009	Park et al.
2004/0083408 A1	4/2004	Spiegel	2009/0328221 A1	12/2009	Blumfield et al.
2004/0111531 A1	6/2004	Staniford et al.	2010/0054278 A1	3/2010	Stolfo et al.
2004/0165588 A1	8/2004	Pandya	2010/0077481 A1	3/2010	Polyakov et al.
2004/0236963 A1	11/2004	Danford et al.	2010/0083376 A1	4/2010	Pereira et al.
2004/0243349 A1	12/2004	Greifeneder	2010/0115621 A1	5/2010	Staniford et al.
			2010/0192223 A1	7/2010	Ismael et al.
			2010/0251104 A1	9/2010	Massand
			2010/0281102 A1	11/2010	Chinta et al.
			2010/0281541 A1	11/2010	Stolfo et al.



(56)

**References Cited****U.S. PATENT DOCUMENTS**

2010/0281542 A1 11/2010 Stolfo et al.  
 2010/0287260 A1 11/2010 Peterson et al.  
 2011/0047620 A1 2/2011 Mahaffey et al.  
 2011/0093951 A1 4/2011 Aziz  
 2011/0099633 A1 4/2011 Aziz  
 2011/0247072 A1 10/2011 Staniford et al.  
 2011/0307955 A1 12/2011 Kaplan et al.  
 2011/0314546 A1 12/2011 Aziz et al.  
 2012/0079596 A1 3/2012 Thomas et al.  
 2012/0084859 A1 4/2012 Radinsky et al.  
 2012/0174186 A1 7/2012 Aziz et al.  
 2012/0174218 A1 7/2012 McCoy et al.

**OTHER PUBLICATIONS**

Baecher et al, The Nepenthes Platform: An Efficient Approach to collect Malware, Springer-verlag Berlin Heidelberg, 2006, pp. 165-184.\*

IEEE Xplore Digital Library Search results for "detection of unknown computer worms". <http://ieeexplore.ieee.org/search/searchresult.jsp?SortField=Score&SortOrder=desc&ResultC> . . . Accessed on Aug. 28, 2009.

AltaVista Advanced Search Results. "Event Orchestrator". <http://www.altavista.com/web/results?itag=ody&pg=aq&aqmode=s&aqa=Event+Orchestrator> . . . Accessed on Sep. 3, 2009.

AltaVista Advanced Search Results. "attack vector identifier". <http://www.altavista.com/web/results?itag=ody&pg=aq&aqmode=s&aqa=attack+vector+ide> . . . Accessed on Sep. 15, 2009.

Costa, M. et al. "Vigilante: End-to-End Containment of Internet Worms," SOSP '05, Oct. 23-26, 2005, Association for Computing Machinery, Inc., Brighton U.K.

Chaudet, C. et al. "Optimal Positioning of Active and Passive Monitoring Devices," International Conference on Emerging Networking Experiments and Technologies, Proceedings of the 2005 ACM Conference on Emerging Network Experiment and Technology, Oct. 2005, pp. 71-82, CoNEXT '05, Toulouse, France.

Crandall, J.R. et al., "Minos:Control Data Attack Prevention Orthogonal to Memory Model," 37th International Symposium on Microarchitecture, Dec. 2004, Portland, Oregon.

Kim, H. et al., "Autograph: Toward Automated, Distributed Worm Signature Detection," Proceedings of the 13th Usenix Security Symposium (Security 2004), Aug. 2004, pp. 271-286, San Diego.

Kreibich, C. et al., "Honeycomb—Creating Intrusion Detection Signatures Using Honeypots," 2nd Workshop on Hot Topics in Networks (HotNets—11), 2003, Boston, USA.

Newsome, J. et al., "Polygraph: Automatically Generating Signatures for Polymorphic Worms," In Proceedings of the IEEE Symposium on Security and Privacy, May 2005.

Newsome, J. et al., "Dynamic Taint Analysis for Automatic Detection, Analysis, and Signature Generation of Exploits on Commodity Software," In Proceedings of the 12th Annual Network and Distributed System Security, Symposium (NDSS '05), Feb. 2005.

Singh, S. et al., "Automated Worm Fingerprinting," Proceedings of the ACM/USENIX Symposium on Operating System Design and Implementation, Dec. 2004, San Francisco, California.

Margolis, Re., Random House Webster's "Computer & Internet Dictionary 3rd Edition," ISBN 0375703519, Dec. 1998.

Whyte et al. "DNS-Based Detection of Scanning Worms in an Enterprise Network," Proceedings of the 12th Annual Network and Distributed System Security Symposium, Feb. 2005. 15 pages.

Kristoff, J. "Botnets, Detection and Mitigation: DNS-Based Techniques," NU Security Day 2005, 23 pages.

International Search Report and Written Opinion mailed May 10, 2012 in Application No. PCT/US12/21916.

International Search Report and Written Opinion mailed May 25, 2012 in Application No. PCT/US12/26402.

Silicon Defense, "Worm Containment in the Internal Network", Mar. 2003, pp. 1-25.

Nojiri, D. et al., "Cooperative Response Strategies for Large Scale Attack Mitigation", DARPA Information Survivability Conference and Exposition, Apr. 22-24, 2003, vol. 1, pp. 293-302.

Moore, D. et al., "Internet Quarantine: Requirements for Containing Self-Propagating Code", INFOCOM, Mar. 30-Apr. 3, 2003, vol. 3, pp. 1901-1910.

Williamson, Matthew M., "Throttling Viruses: Restricting Propagation to Defeat Malicious Mobile Code", ACSAC Conference, Dec. 2002, Las Vegas, NV, USA, pp. 1-9.

"Packet", Microsoft Computer Dictionary, Microsoft Press, Mar. 2002, 1 pg.

U.S. Appl. No. 11/709,570, Non-Final Office Action, mailed May 15, 2013.

U.S. Appl. No. 11/096,287, Notice of Allowance, mailed Jun. 26, 2013.

U.S. Appl. No. 11/096,287, Notice of Allowance, mailed Aug. 5, 2013.

U.S. Appl. No. 11/151,812, Notice of Allowance, mailed Aug. 14, 2013.

U.S. Appl. No. 11/998,605, Notice of Allowance, mailed Jul. 17, 2013.

\* cited by examiner

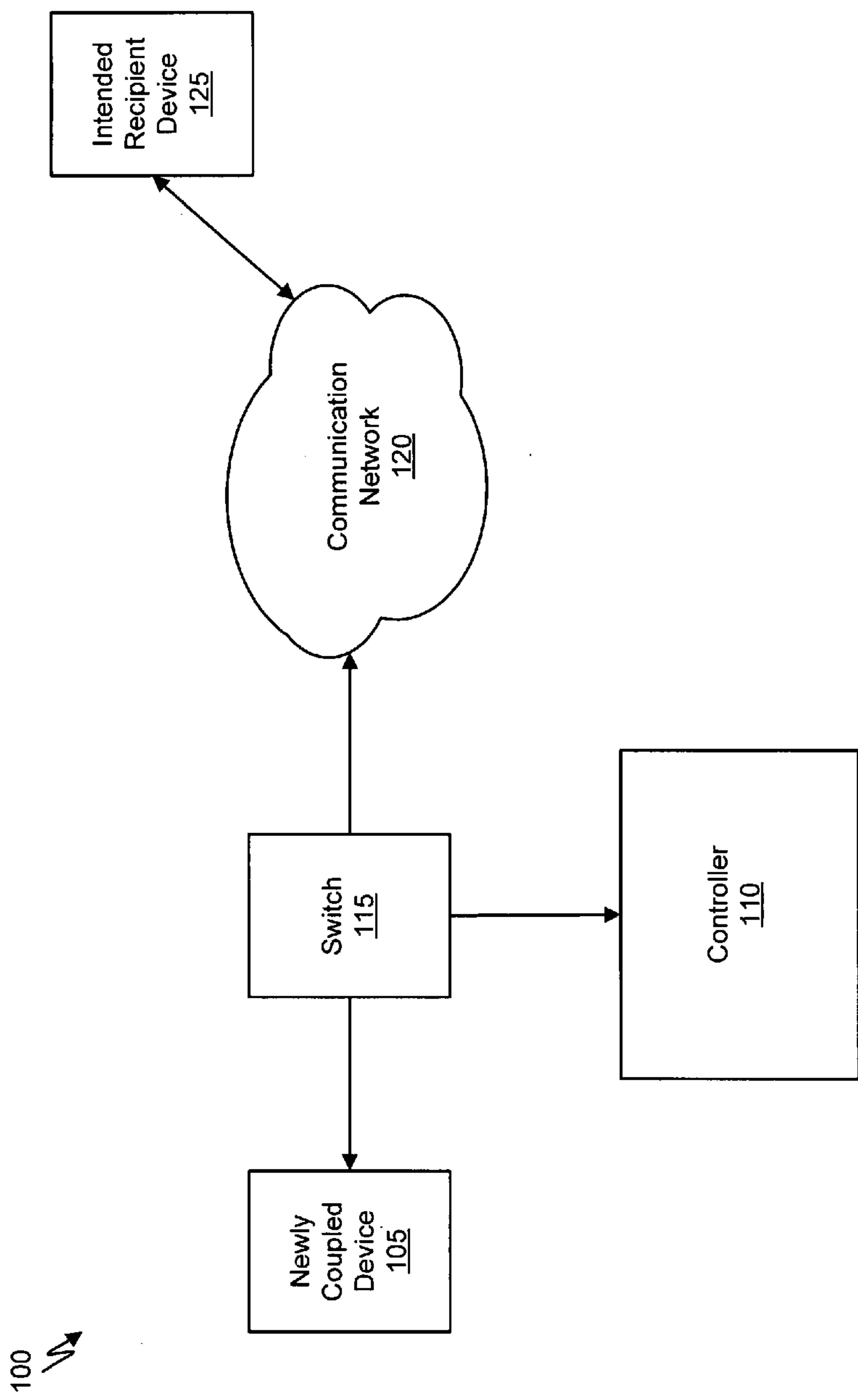


FIG. 1

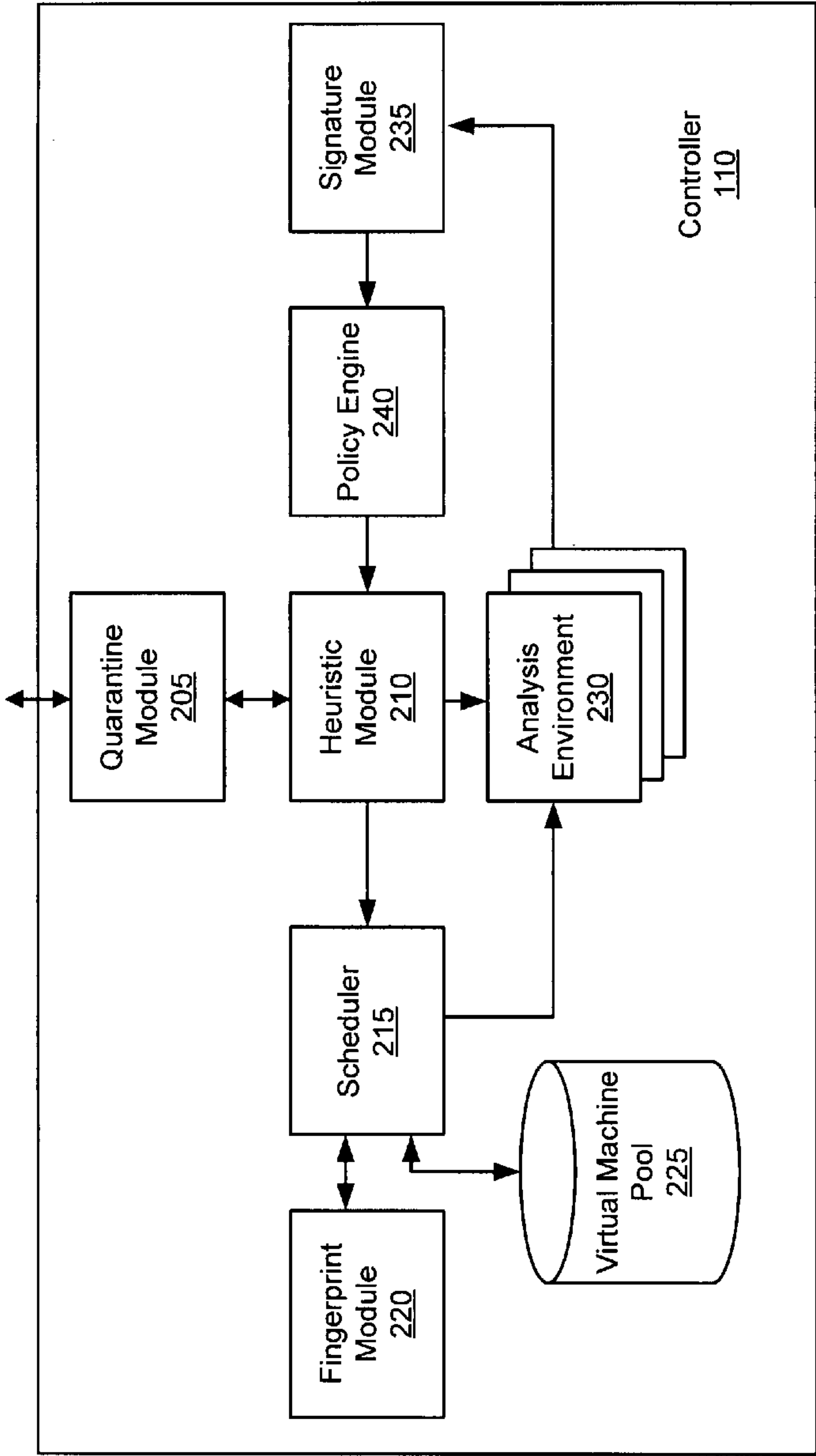


FIG. 2

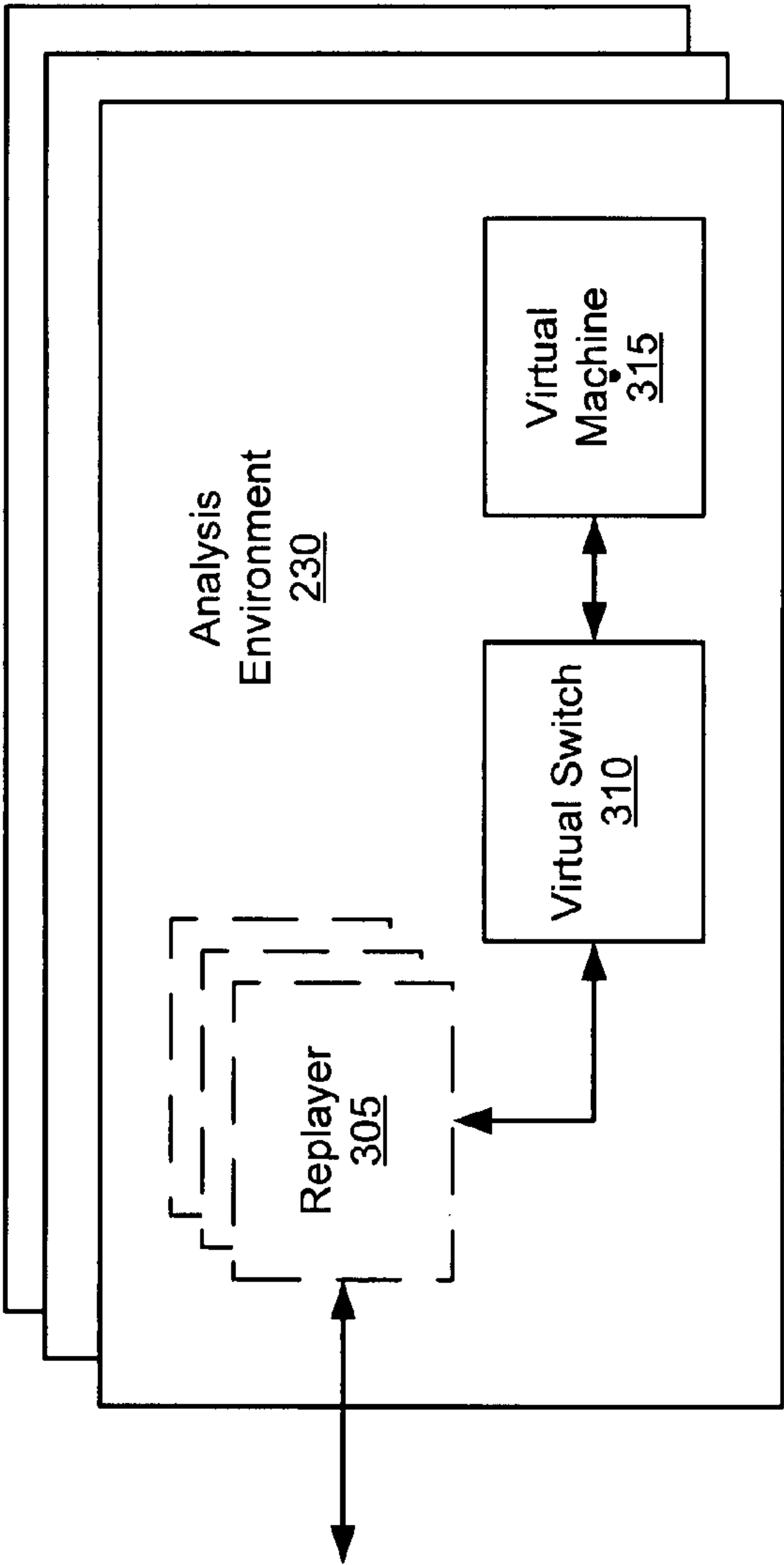


FIG. 3

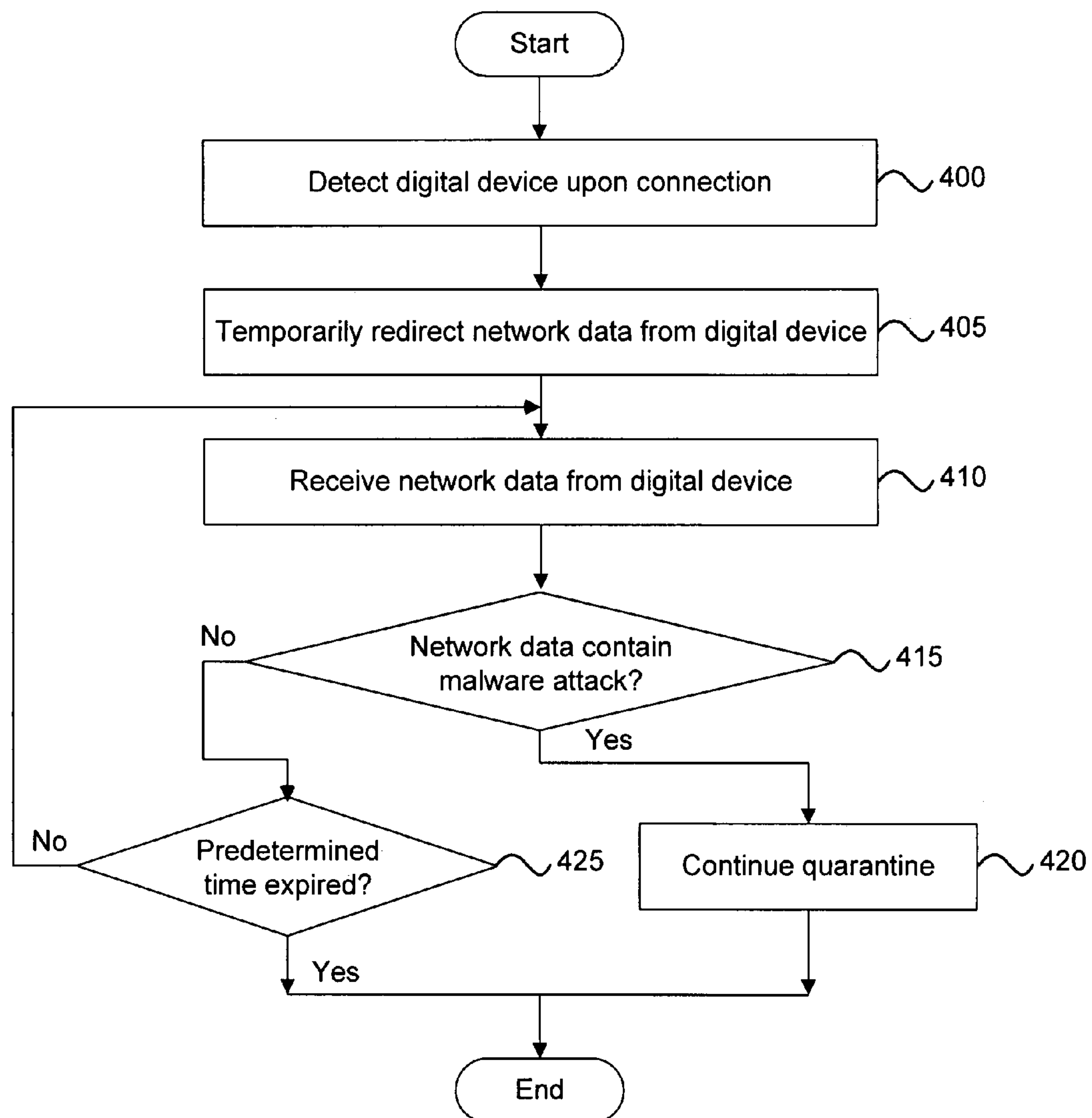


FIG. 4

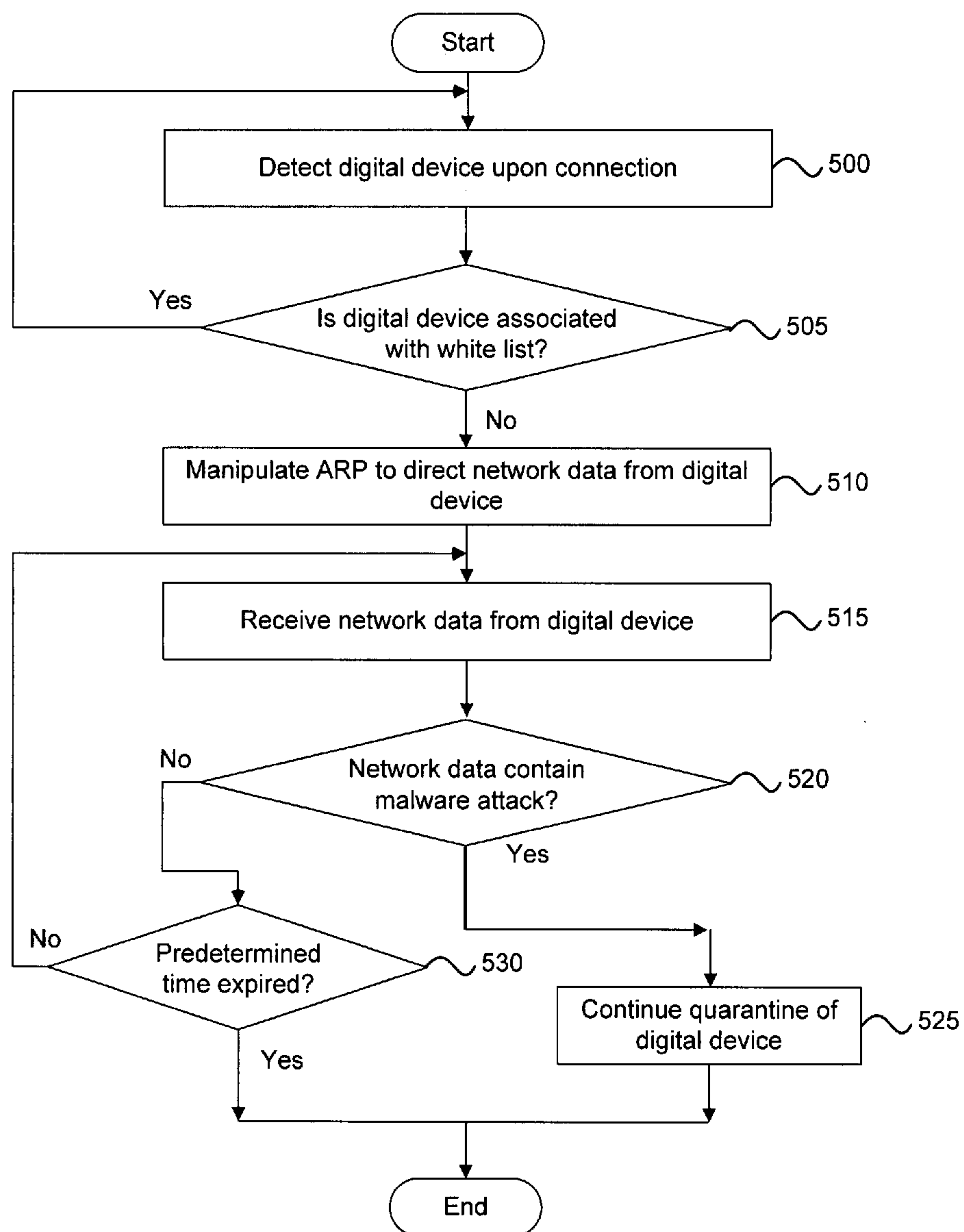


FIG. 5



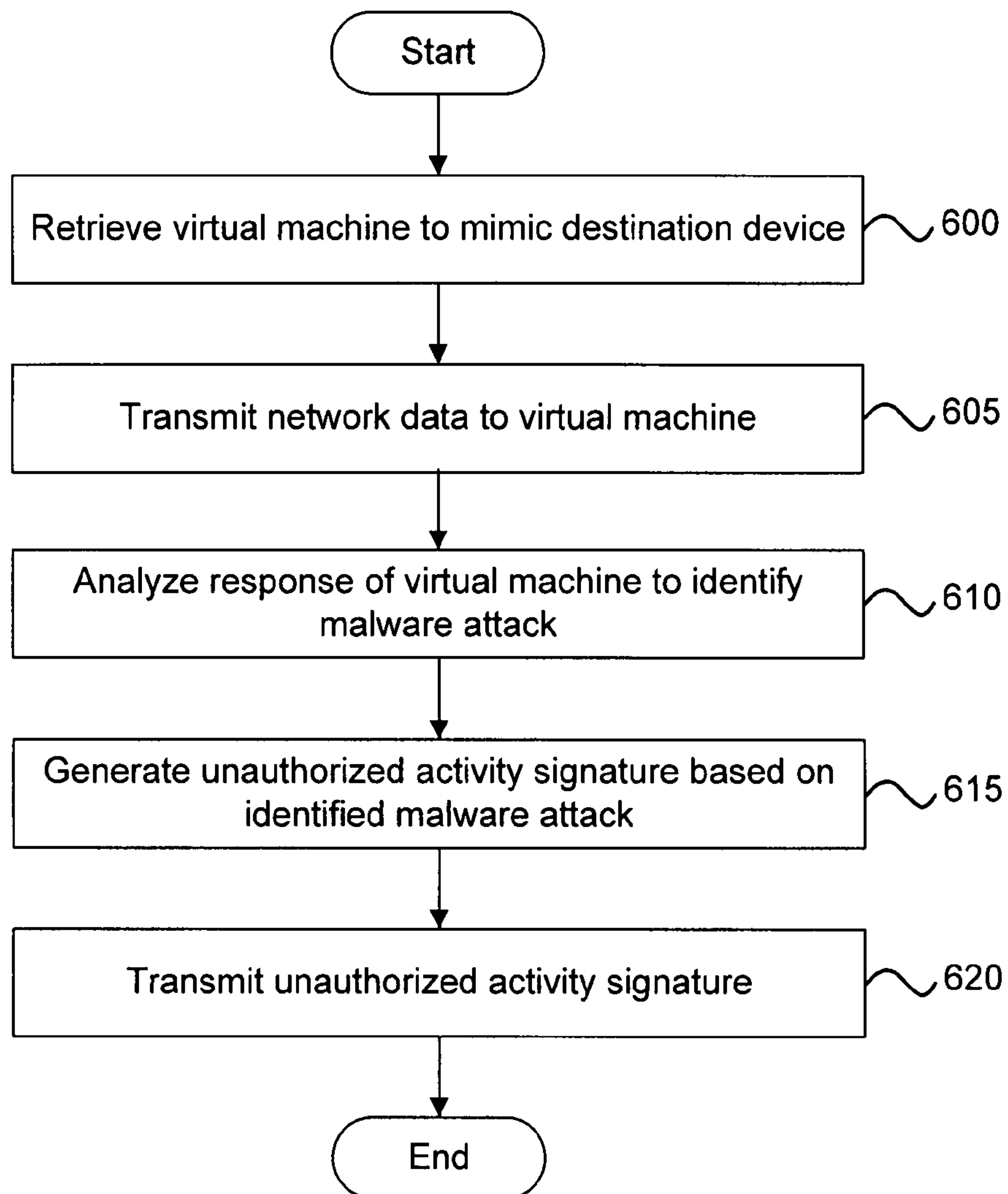


FIG. 6

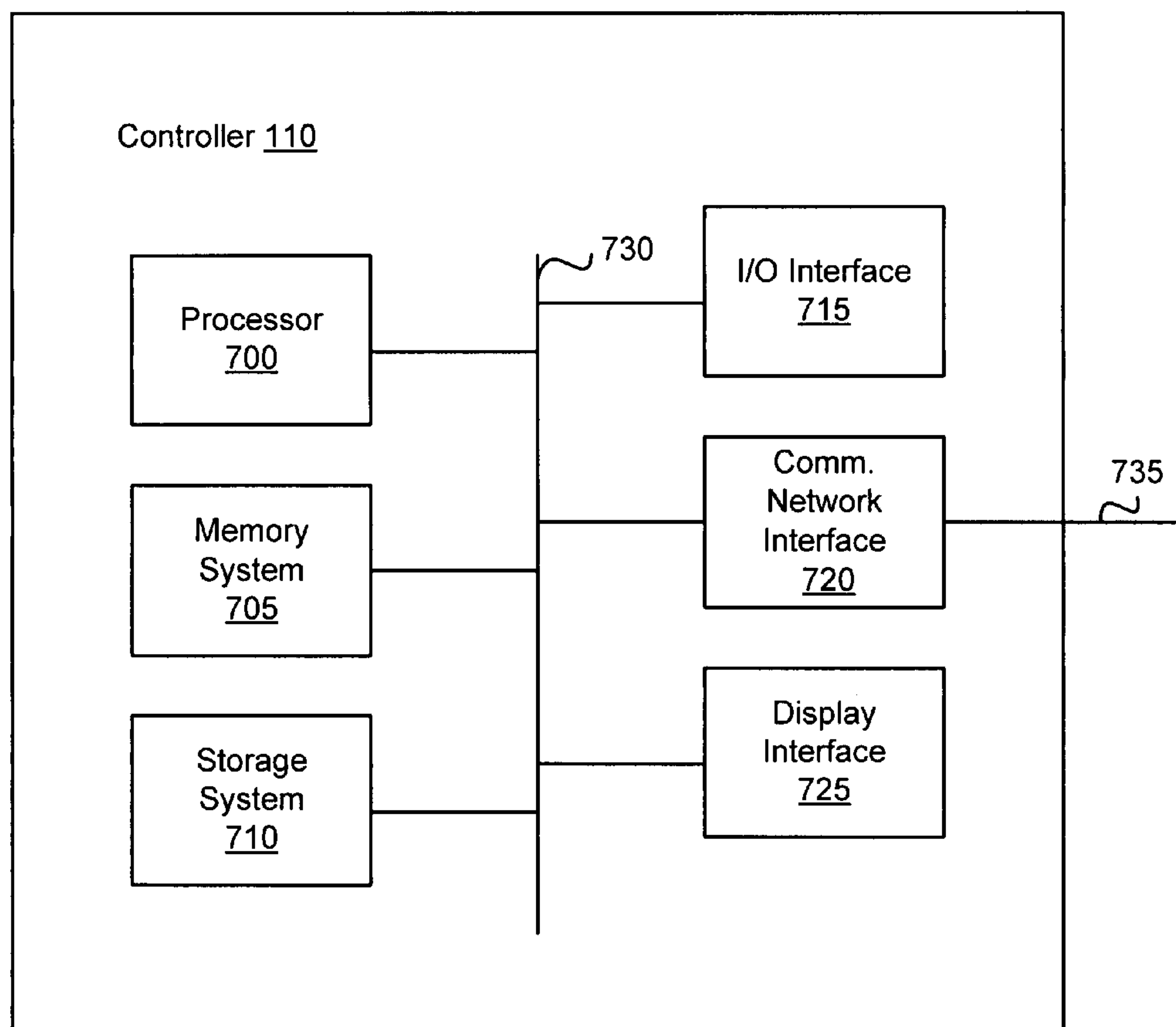


FIG. 7

## MALWARE CONTAINMENT ON CONNECTION

### CROSS-REFERENCE TO RELATED APPLICATIONS

This application is a continuation-in-part of U.S. patent application Ser. No. 11/494,990, filed Jul. 28, 2006, now U.S. Pat. No. 8,375,444 entitled "Dynamic Signature Creation and Enforcement", which is a continuation-in-part of U.S. patent application Ser. No. 11/471,072, filed Jun. 19, 2006, entitled "Virtual Machine with Dynamic Data Flow Analysis", which is a continuation-in-part of U.S. patent application Ser. No. 11/409,355, filed Apr. 20, 2006, now U.S. Pat. No. 8,171,553 entitled "Heuristic Based Capture with Replay to Virtual Machine", which claims benefit to U.S. patent application Ser. No. 11/096,287, filed Mar. 31, 2005, entitled "System and Method of Detecting Computer Worms," U.S. patent application Ser. No. 11/151,812, filed Jun. 13, 2005, entitled "System and Method of Containing Computer Worms," and U.S. patent application Ser. No. 11/152,286, Jun. 13, 2005, entitled "Computer Worm Defense System and Method" all of which are incorporated by reference herein.

U.S. patent application Ser. No. 11/096,287, filed Mar. 31, 2005, entitled "System and Method of Detecting Computer Worms," claims benefit to provisional patent application No. 60/559,198, filed Apr. 1, 2004, entitled "System and Method of Detecting Computer Worms." U.S. patent application Ser. No. 11/151,812, filed Jun. 13, 2005, entitled "System and Method of Containing Computer Worms," claims benefit of provisional patent application No. 60/579,953, filed Jun. 14, 2004, entitled "System and Method of Containing Computer Worms." U.S. patent application Ser. No. 11/152,286, filed Jun. 13, 2005, entitled "Computer Worm Defense System and Method," claims benefit of provisional patent application No. 60/579,910, filed Jun. 14, 2004, entitled "Computer Worm Defense System and Method." The above-referenced provisional patent applications are also incorporated by reference herein.

This application is also related to U.S. patent application Ser. No. 11/717,408, filed Mar. 12, 2007, entitled "Malware Containment and Security Analysis on Connection", U.S. patent application Ser. No. 11/717,408, filed Mar. 12, 2007, entitled "Systems and Methods for Malware Attack Prevention, and U.S. patent application Ser. No. 11/717,476, filed Mar. 12, 2007, entitled "Systems and Methods for Malware Attack Detection and Identification". The above-referenced related nonprovisional patent applications are also incorporated by reference herein.

### BACKGROUND OF THE INVENTION

#### 1. Field of the Invention

The present invention relates generally to containment of malware. More particularly, the present invention relates to the containment of malware attacks froth digital devices upon connection to a communication network.

#### 2. Background Art

As the workplace becomes more automated, the use of computers and networks is commonplace. Computers have become indispensable tools that afford access to files and resources. Unfortunately, computers and networks can also place those files and resources at risk.

Computers can become infected with worms and viruses that replicate themselves and seek to damage files or limit network resources. As such, it is not uncommon to read in newspapers of a single infected computer that limited or

destroyed the functionality of one or more networks. The cost caused by the damage from these attacks is enormous.

Currently, information technology (IT) staff and administrators have sought to limit worms and viruses by cleaning individual computers of worms/viruses, requiring anti-virus applications, and installing firewall applications on network servers and routers. Once the network is clear of worms and viruses, the IT staff and administrators continue to upgrade antivirus/firewall applications as well as virus/worm definitions for each server and router.

Even if the network is clean of viruses and worms, computers may still become infected. In one example, users of computers connected to an otherwise "clean" network may bring their computer home from work where the computer becomes infected over the Internet or a home network. Even if the computer has an anti-virus application resident on the machine, the anti-virus application may be insufficient to block or correct all possible attacking worms or viruses. Further, the anti-virus application or the worm/virus signature files may be out of date. Moreover, some worms or viruses may not be identified by some anti-virus applications or the worms or viruses may not be previously identified (e.g., a "zero day" attack) and, as such, a worm/virus signature that identifies the worm or virus may not exist. When the computer is brought back to work and reconnected to the network, the worm or virus may activate, make copies of itself, identify other machines on the network, gather information about the network, compromise network security, and/or infect other machines.

### SUMMARY OF THE INVENTION

Systems and methods for malware containment on connection are provided. In exemplary embodiments, newly coupled digital devices are temporarily redirected for a predetermined period of time upon connection to the communication network. When a newly coupled digital device is quarantined, all network data transmitted by the digital device is temporarily redirected to a controller which then analyzes the network data to detect unauthorized activity and/or malware within the newly coupled digital device. An exemplary method to contain malware comprises detecting a digital device upon connection with a communication network, temporarily redirecting network data from the digital device for a predetermined period of time, and analyzing the network data received from the digital device to detect malware within the digital device. In some embodiments, the method further comprises determining if the digital device is associated with a white list.

Temporarily redirecting network data can comprise ARP manipulation, the configuration of DHCP services, or the reconfiguration of a switch to direct network data from the digital device to the controller. Analyzing the network data may comprise configuring a virtual machine to receive the network data and analyzing the response of the virtual machine to the network data to detect and/or identify a malware attack. In various embodiments, the method further comprises generating an unauthorized activity signature based on the detection of the malware attack.

Analyzing the network data may comprise analyzing the network data with a heuristic to identify network data containing suspicious activity, configuring a virtual machine to receive the network data, and analyzing the response of the virtual machine to the network data to detect and/or identify the malware within the digital device. Further, analyzing the network data may comprise retrieving a virtual machine configured to receive the network data, configuring a replayer to transmit the network data to the virtual machine, and analyz-



ing a response by the virtual machine to the network data to detect and/or identify the malware within the digital device.

A malware containment system can comprise a controller for containing malware. The controller may comprise a quarantine module and an analysis environment. The quarantine module is configured to detect a digital device upon connection with a communication network and temporarily redirect network data from the digital device for a predetermined period of time. The analysis environment can be configured to analyze the network data to identify malware within the digital device.

In various embodiments, a machine readable medium may have embodied thereon executable code, the executable code being executable by a processor for performing a malware containment method. The malware containment method can comprise detecting a digital device upon connection with a communication network, temporarily redirecting the network data from the digital device for a predetermined period of time, and analyzing the network data to detect malware within the digital device.

#### BRIEF DESCRIPTION OF THE DRAWINGS

FIG. 1 is a diagram of a malware containment environment in which embodiments of the present invention may be practiced.

FIG. 2 is a block diagram of an exemplary controller implementing embodiments of the present invention.

FIG. 3 is a block diagram of an exemplary analysis environment.

FIG. 4 is a flowchart of an exemplary method for malware containment upon connection of a digital device.

FIG. 5 is another flowchart of an exemplary method for malware containment upon connection of a digital device.

FIG. 6 is a flowchart of an exemplary method of generating and transmitting an unauthorized activity signature.

FIG. 7 is a block diagram of an exemplary controller in which embodiments of the present invention may be practiced.

#### DESCRIPTION OF EXEMPLARY EMBODIMENTS

Exemplary systems and methods for malware containment are provided. In exemplary embodiments, digital devices are quarantined for a predetermined period of time upon connection. When a digital device is quarantined, all network data transmitted by the digital device is directed to a controller which then analyzes the network data to identify unauthorized activity and/or malware within the newly connected digital device.

If malware is identified as present within the digital machine, corrective action can be taken. Possible corrective actions include, but are not limited to, permanently quarantining the infected digital device, transmitting a patch to remove the malware, generating an unauthorized activity signature, and sending the unauthorized activity signature to the client to remove the malware.

If malware is not identified as present within the digital machine, the network data directed to the controller can be re-transmitted to the proper destination. The quarantine continues until the predetermined period of time expires and no evidence of malware is found.

Malware is software created and distributed for malicious purposes and can take the form of viruses, worms, trojan horses or adware, for example. A virus is an intrusive program that infects a computer file by inserting a copy of itself in the

file. The copy is usually executed when the file is loaded into memory, allowing the virus to infect other files. A worm is a program that propagates itself across multiple computers, usually by creating copies of itself in each computer's memory. A worm might duplicate itself in a computer so many times that it causes the computer to crash. A trojan horse is a destructive program disguised as a game, utility, or application. When run by a user or computer program, a trojan horse can harm the computer system while appearing to do something useful.

Malware may also include adware and spyware. Adware is a program configured to direct advertisements to a computer or a particular user. In one example, adware identifies the computer and/or the user to various websites visited by a browser on the computer. The website may then use the adware to either generate pop-up advertisements or otherwise direct specific advertisements to the user's browser. Spyware is a program configured to collect information regarding the user, the computer, and/or a user's network habits. In an example, spyware may collect information regarding the names and types of websites that the user browses and then transmit the information to another computer. Adware and spyware are often added to the user's computer after the user browses to a website that hosts the adware and/or spyware. The user is often unaware that these programs have been added and is similarly unaware of the adware's and/or spyware's function.

FIG. 1 is a diagram of a malware containment environment **100** in which embodiments of the present invention may be practiced. The malware containment environment **100** comprises a newly coupled device **105** and a controller **110** coupled to a switch **115**. The switch **115** is further coupled to a communication network **120**. An intended recipient device **125** is also coupled to the communication network **120**. In some embodiments, the controller **110** is coupled to the switch **115** over a tap (not depicted).

A newly coupled device **105** is any digital device that recently coupled to the switch **115** and/or the communication network **120**. The intended recipient device **125** is any digital device that the newly coupled device **105** transmits network data to. A digital device is any device comprising one or more processors. Some examples of digital devices include computers, servers, laptops, personal digital assistants, and cellular telephones. Network data comprises signals and data transmitted from the newly coupled device **105**. Although FIG. 1 depicts the intended recipient device **125** as coupled to the communication network **120**, the intended recipient device **125** may be directly coupled to the newly coupled device **105** or the switch **115**. There may be any number of newly coupled devices **105**, controllers **110**, switches **115**, communication networks **120**, and/or intended recipient devices **125**.

The controller **110** is any digital device or software configured to receive and analyze network data for the presence of malware. In exemplary embodiments, the controller **110** detects the presence of a newly coupled device **105** when the digital device initially couples to the switch **115** or the communication network **120**. The controller **110** intercepts network data transmitted from the newly coupled device **105** for a predetermined period of time. In other embodiments, the switch **115** is configured to direct network data transmitted from the newly coupled device **105** to the controller **110** for the predetermined period of time. The switch **115** is further discussed herein.

The network data is then analyzed by the controller **110** to determine evidence of a malware attack. If malware or an attack is detected, the controller **110** may perform corrective



## 5

actions. If no malware is detected, the network data may be re-transmitted to the intended recipient device **125** (e.g., another digital device on the communication network **120**). The controller **110** is further discussed in FIG. 2.

The switch **115** is any device configured to receive and direct network data between one or more digital devices. Examples of a switch **115** include, but is not limited to, a router, gateway, bridge, and, or server.

The communication network **120** couples two or more digital devices together to allow the digital devices to communicate and transmit network data to each other. In some examples, the communication network **120** can be a public computer network such as the Internet, or a private computer network such as a wireless telecommunication network, wide area network, or local area network. In some embodiments, the communication network **120** comprises multiple routers, bridges, and hubs that couple a large number of digital devices.

In various embodiments, the controller **110** can receive network data from the communication network **120** over a tap (not depicted). The tap is a digital data tap configured to receive network data and provide a copy of the network data to the controller **110**. In one example, the tap intercepts and copies network data without an appreciable decline in performance of devices coupled to the communication network **120**, the newly coupled device **105**, and/or the switch **115**. The tap can copy any portion of the network data. For example, the tap can receive and copy any number of data packets from the network data. In other embodiments, the controller **110** receives network data from the communication network **120** over a span port.

In some embodiments, the network data can be organized into one or more data flows and provided to the controller **110**. In various embodiments, the tap can sample the network data based on a sampling scheme. Data flows can be reconstructed based on the network data samples.

FIG. 2 is a block diagram of an exemplary controller **110** implementing embodiments of the present invention. The controller **110** can be any digital device or software that receives network data. The controller **110** can comprise a quarantine module **205**, a heuristic module **210**, a scheduler **215**, a fingerprint module **220**, a virtual machine pool **225**, an analysis environment **230**, a signature module **235**, and a policy engine **240**. In some embodiments, the controller **110** comprises a tap or span port which is further coupled to the communication network **120**. In other embodiments, the controller **110** is coupled to an external tap, external span port, or may be directly coupled to the switch **115** or the communication network **120**.

The quarantine module **205** detects one or more newly coupled devices **105** as they operatively couple to the network. When a newly coupled device **105** is detected, network data transmitted from the newly coupled device **105** is quarantined (i.e., temporarily redirected to the controller **110** for a predetermined time). Network data temporarily redirected to the controller **110** is analyzed to determine if the network data contains suspicious data (discussed below) or a malware attack. If the predetermined time expires and no suspicious data or malware is identified, then the quarantine module **205** ceases to redirect network data from the newly coupled device **105**. However, if suspicious data or the presence of malware is determined, then corrective action may be taken.

The quarantine module **205** can detect a newly coupled device **105** by detecting a request for network services. When a newly coupled device **105** couples to a network, the newly coupled device **105** is configured for communication with the communication network **120**. In one example, a newly

## 6

coupled device **105** may request an (internet protocol) IP address. The IP address request as well as the IP address assignment may be detected by the quarantine module **205**. Thereafter, all network data from the IP address of the newly coupled device **105** may be quarantined for a predetermined period of time. Those skilled in the art will appreciate that there may be many ways to detect a newly coupled device **105** upon connection with the switch **115** and/or the communication network **120**.

The quarantine module **205** can redirect network data from the newly coupled device **105** in any number of ways including, but not limited to, Address Resolution Protocol (ARP) manipulation, DHCP services, DHCP manipulation, or configuring the switch **115**. In ARP manipulation (also known as ARP spoofing), a newly connected newly coupled device **105** may send an ARP request to the IP address of another digital device (e.g., intended recipient device **125**) for the digital device's media access control (MAC) address. The quarantine module **205** may receive the ARP request, store the ARP request, and provide the controller **110** MAC address in an ARP reply to the switch **115** and/or the newly coupled device **105**. Once the switch **115** and/or the newly coupled device **105** receives the controller **110** MAC address in the ARP reply, the IP address of the digital device (e.g., intended recipient device **125**) will be associated with the controller **110** MAC address (e.g., in memory storage or cache). Network data intended for the intended recipient device **125** may then be transmit from the newly coupled device **105** to the controller **110**.

In one example of ARP manipulation, a newly coupled device **105** may be infected with malware which becomes active upon coupling to a switch **115** and/or a communication network **120**. The malware may send network data to any number of other digital devices. Before the attack can proceed, the newly coupled device **105** may send a separate ARP request for the IP address of every other digital device the malware wishes to send data to. The controller **110** detects and responds to each ARP request by sending an ARP reply to each request with the controller **110** MAC address. The controller **110** MAC address may be associated with the IP address of the other digital devices on a table within the newly coupled device **105**, switch **115**, and/or server (not depicted). The table may be within memory, storage, buffered, and/or cached. Network data is then transmitted from the newly coupled device **105** to the controller **110** for the predetermined time.

If malware or suspicious data within the network data is not detected by the controller **110**, the network data may then forward to the intended recipient device **125**. In one example, the controller **110** scans and stores the IP address of each intended recipient device **125** of every ARP request. The controller **110** may then transmit an ARP request to receive each intended recipient device's MAC address. If the network data intended for an intended recipient device **125** does not contain suspicious data or a malware attack, the controller **110** may then send the network data to the intended recipient device **125**. If the network data contains suspicious data or a malware attack, the network data may not be transmitted by the controller **110**.

If, after a predetermined time, no suspicious activity or malware is detected within the network data, then the controller **110** may transmit new ARP responses to the newly coupled device **105**, switch **115**, and/or server. The new ARP responses can contain the correct MAC address for every ARP request originally sent by the newly coupled device **105**. As a result, network data will no longer be directed to the controller **110**.



The quarantine module **205** may manipulate dynamic host configuration protocol (DHCP) services to quarantine network data. As a newly coupled device **105** couples to the switch **115** and/or the communication network **120** and requests an IP address from a DHCP server. The quarantine module **205** may respond to the DHCP services request to configure the newly coupled device **105** to transmit network data to the controller **110**. In one example, the quarantine module **205** may configure the newly coupled device **105** with a gateway IP address the same as the controller's **110** IP address to send all network data to the controller **110**. In other embodiments, the quarantine module **205** may perform DHCP services for the communication network **120** as a DHCP server. If, after the predetermined time no suspicious data or malware is detected, the digital device **105** can be reconfigured so that network data is no longer transmitted to the controller **110**.

The quarantine module **205** may send a request to the switch **115** to redirect network data from any newly coupled device **105** to the controller **110** for the predetermined time. In some embodiments, executable code is loaded onto the switch **115**. In one example, the executable code configures the switch **115** to direct network data from any newly coupled device **105** to the controller **110** for the predetermined time. In another example, the executable code allows the quarantine module **205** to transmit a request to the switch **115** to direct network data from the newly coupled device **105** to the controller **110**. The predetermined time may be set by the quarantine module **205**, preloaded into the switch **115**, or configured by a user.

The quarantine module **205** may monitor network data directly or receive a copy of the network data over a tap. In one example, the quarantine module **205** monitors and scans network data to detect the presence of a newly coupled device **105**. When a newly coupled device **105** is added to the communication network **120** and/or the switch **115**, the quarantine module **205** quarantines network data from the newly coupled device **105** for a predetermined time. In another example, a tap may scan network data for newly connected digital devices **105** and alert the quarantine module **205** when such a newly coupled device **105** is discovered. The quarantine module **205** may redirect all network data from the newly coupled device **105** to the controller **110** over a separate link (not depicted) to the switch **115** or the communication network **120**. In some embodiments, there is not tap but rather a span port.

The heuristic module **210** can receive network data from the quarantine module **205**. The heuristic module **210** applies heuristics and/or probability analysis to determine if the network data might contain suspicious activity. In one example, the heuristic module **210** applies a heuristic which identifies suspicious data within the network data. The heuristic module **210** then flags the network data as suspicious. The network data can then be buffered and organized into a data flow. The data flow can be provided to the scheduler **215**. In some embodiments, the network data is provided directly to the scheduler **215** without buffering or organizing the data flow.

The heuristic module **210** can perform any heuristic and/or probability analysis. In one example, the heuristic module **210** performs a dark internet protocol (IP) heuristic. A dark IP heuristic can flag network data coming from the newly coupled device **105** that has not previously been identified by the heuristic module **210**. The dark IP heuristic can also flag network data going to an unassigned IP address. In an example, an attacker (e.g., malware within a newly coupled device **105**) scans random IP addresses of the communication

network **120** to identify an active server or workstation. The dark IP heuristic can flag network data directed to an unassigned IP address.

The heuristic module **210** can also perform a dark port heuristic. A dark port heuristic can flag network data transmitted to an unassigned or unusual port address. Such network data transmitted to an unusual port can be indicative of a port scan by malware such as a worm or a hacker. Further, the heuristic module **210** can flag network data from the newly coupled device **105** that is significantly different than traditional data traffic generally transmitted by the newly coupled device **105**. For example, the heuristic module **210** can flag network data from the newly coupled device **105** such as a laptop that begins to transmit network data that is common to a server.

The heuristic module **210** can retain data packets belonging to a particular data flow previously received (e.g., received from a tap) or data flow provided by the quarantine module **205**. In one example, the heuristic module **210** receives data packets and stores the data packets within a buffer or other memory. Once the heuristic module **210** receives a predetermined number of data packets from a particular data flow, the heuristic module **210** performs the heuristics and/or probability analysis.

In some embodiments, the heuristic module **210** performs heuristic and/or probability analysis on a set of data packets belonging to a data flow and then stores the data packets within a buffer or other memory. The heuristic module **210** can then continue to receive new data packets belonging to the same data flow. Once a predetermined number of new data packets belonging to the same data flow are received, the heuristic and/or probability analysis can be performed upon the combination of buffered and new data packets to determine a likelihood of suspicious activity.

In some embodiments, an optional buffer receives the flagged network data from the heuristic module **210**. The buffer can buffer and organize the flagged network data into one or more data flows before providing the one or more data flows to the scheduler **215**. In various embodiments, the buffer can buffer network data and stall before providing the network data to the scheduler **215**. In one example, the buffer stalls the network data to allow other components of the controller **110** time to complete functions or otherwise clear data congestion.

The scheduler **215** is a module configured to retrieve a virtual machine associated with the newly coupled device **105** or the intended recipient device **125** of the network data. A virtual machine **315** is software that is configured to mimic the performance of a device (e.g., the intended recipient device **125** of the network data). The virtual machine **315** can be retrieved from the virtual machine pool **225**.

In some embodiments, the heuristic module **210** transmits the metadata identifying the intended recipient device **125** to the scheduler **215**. In other embodiments, the scheduler **215** receives one or more data packets of the network data from the heuristic module **210** and analyzes the one or more data packets to identify the intended recipient device **125**. In yet other embodiments, the metadata can be received from the tap.

The scheduler **215** can retrieve and configure the virtual machine to mimic the pertinent performance characteristics of the intended recipient device **125**. In one example, the scheduler **215** configures the characteristics of the virtual machine to mimic only those features of the intended recipient device **125** that are affected by the network data copied by the tap. The scheduler **215** can determine the features of the intended recipient device **125** that are affected by the network



data by receiving and analyzing the network data from the quarantine module **205**. Such features of the intended recipient device **125** can include opening ports that are to receive the network data, select device drivers that are to respond to the network data, and configuring any other devices coupled to or contained within the intended recipient device **125** that can respond to the network data. In other embodiments, the heuristic module **210** can determine the features of the intended recipient device **125** that are affected by the network data by receiving and analyzing the network data from the tap. The heuristic module **210** can then transmit the features of the intended recipient device **125** to the scheduler **215**.

The optional fingerprint module **220** is configured to determine the packet format of the network data to assist the scheduler **215** in the retrieval and/or configuration of the virtual machine. In one example, the fingerprint module **220** determines that the network data is based on a transmission control protocol/internet protocol (TCP/IP). Thereafter, the scheduler **215** will configure a virtual machine with the appropriate ports to receive TCP/IP packets. In another example, the fingerprint module **220** can configure a virtual machine with the appropriate ports to receive user datagram protocol/internet protocol (UDP/IP) packets. The fingerprint module **220** can determine any type of packet format of a network data.

In other embodiments, the optional fingerprint module **220** passively determines a software profile of the network data to assist the scheduler **215** in the retrieval and/or configuration of the virtual machine. The software profile may comprise the operating system (e.g., Linux RH6.2) of the newly coupled device **105** that generated the network data. The determination can be based on analysis of the protocol information of the network data. In an example, the optional fingerprint module **220** determines that the software profile of network data is Windows. XP, SP1. The optional fingerprint module **220** can then configure a virtual machine with the appropriate ports and capabilities to receive the network data based on the software profile. In other examples, the optional fingerprint module **220** passes the software profile of the network data to the scheduler **215** which either selects or configures the virtual machine based on the profile.

The virtual machine pool **225** is configured to store virtual machines. The virtual machine pool **225** can be any storage capable of storing software. In one example, the virtual machine pool **225** stores a single virtual machine that can be configured by the scheduler **215** to mimic the performance of any intended recipient device **125** on the communication network **120**. The virtual machine pool **225** can store any number of distinct virtual machines that can be configured to simulate the performance of any intended recipient device **125**.

The analysis environment **230** is a module that simulates transmission of the network data between the newly coupled device **105** and the intended recipient device **125** to analyze the effects of the network data upon the intended recipient device **125**. The analysis environment **230** can identify the effects of malware or illegitimate computer users (e.g., a hacker, computer cracker, or other computer user) by analyzing the simulation of the effects of the network data upon the intended recipient device **125** that is carried out on the virtual machine. There can be multiple analysis environments **230** to simulate multiple network data.

As the analysis environment **230** simulates the transmission of the network data, behavior of the virtual machine can be closely monitored for unauthorized activity. If the virtual machine crashes, performs illegal operations, performs abnormally, or allows access of data to an unauthorized com-

puter user, the analysis environment **230** can react. In some embodiments, the analysis environment **230** performs dynamic taint analysis to identify unauthorized activity (dynamic taint analysis is further described in FIG. 3.)

Once unauthorized activity is detected, the analysis environment **230** can generate the unauthorized activity signature configured to identify network data containing unauthorized activity. Since the unauthorized activity signature does not necessarily require probabilistic analysis to detect unauthorized activity within network data, unauthorized activity detection based on the unauthorized activity signature may be very fast and save computing time.

In various embodiments, the unauthorized activity signature may provide code that may be used to eliminate or “patch” portions of network data containing an attack. Further, in some embodiments, the unauthorized activity signature may be used to identify and eliminate (i.e., delete) the malware causing the attack. The unauthorized activity signature may also be used to configure digital devices to eliminate vulnerabilities (e.g., correct system settings such as disabling active-x controls in a browser or updating an operating system.)

The analysis environment **230** may store the unauthorized activity signature within the signature module **235**. The analysis environment **230** may also transmit or command the transmission of the unauthorized activity signature to one or more other controllers **110**, switches **115**, digital devices **105**, and/or servers. By automatically storing and transmitting the unauthorized activity signature, known malware, previously unidentified malware, and the activities of illicit computer users can be quickly controlled and reduced before a computer system is damaged or compromised. The analysis environment **230** is further discussed with respect to FIG. 3.

The signature module **235** receives, authenticates, and stores unauthorized activity signatures. The unauthorized activity signatures may be generated by the analysis environment **230** or another controller **110**. The unauthorized activity signatures may then be transmitted to the signature module **235** of one or more controllers **110**.

The policy engine **240** is coupled to the heuristic module **210** and is a module that may identify network data as suspicious based upon policies contained within the policy engine **240**. In one example, a newly coupled device **105** can be a computer designed to attract hackers and/or worms (e.g., a “honey pot”). The policy engine **240** can contain a policy to flag any network data directed to the “honey pot” as suspicious since the “honey pot” should not be receiving any legitimate network data. In another example, the policy engine **240** can contain a policy to flag network data directed to any intended recipient device **125** that contains highly sensitive or “mission critical” information.

The policy engine **240** can also dynamically apply a rule to copy all network data related to network data already flagged by the heuristic module **210**. In one example, the heuristic module **210** flags a single packet of network data as suspicious. The policy engine **240** then applies a rule to flag all data related to the single packet (e.g., data flows) as suspicious. In some embodiments, the policy engine **240** flags network data related to suspicious network data until the analysis environment **230** determines that the network data flagged as suspicious is related to unauthorized activity.

The policy engine **240** may scan network data to detect unauthorized activity based upon an unauthorized activity signature. In some embodiments, the policy engine **240** retrieves the unauthorized activity signature from the signature module **235**. The network data is then scanned for unauthorized activity based on the unauthorized activity signature.



## 11

The policy engine **240** can scan the header of a packet of network data as well as the packet contents for unauthorized activity. In some embodiments, the policy engine **240** scans only the header of the packet for unauthorized activity based on the unauthorized activity signature. If unauthorized activity is found, then no further scanning may be performed. In other embodiments, the policy engine **240** scans the packet contents for unauthorized activity.

Advantageously, unauthorized activity may be found by scanning only the header of a packet, the contents of the packet, or both the header and the contents of the packet. As a result, unauthorized activity that might otherwise evade discovery can be detected. In one example, evidence of unauthorized activity may be located within the contents of the packet. By scanning only the contents of the packet, unauthorized activity may be detected.

If the packet contents or the packet header indicate that the network data contains unauthorized activity, then the policy engine **240**, the heuristic module **210**, or the signature module **235** may take action. In one example, the policy engine **240** may generate a rule or command the quarantine module **205** to permanently quarantine the newly coupled device **105** and delete or bar the packet from the communication network **120**. The policy engine **240** and/or the quarantine module **205** may also quarantine, delete, or bar other packets belonging to the same data flow as the unauthorized activity packet.

FIG. 3 depicts an analysis environment **230**, in accordance with one embodiment of the present invention. The analysis environment **230** comprises an optional replayer **305**, a virtual switch **310**, and a virtual machine **315**. The replayer **305** receives network data that has been flagged by the heuristic module **210** and replays the network data in the analysis environment **230**. In some embodiments, the replayer **305** mimics the behavior of the newly coupled device **105** in transmitting the flagged network data. There can be any number of replayers **305** simulating the transmission of network data between the newly coupled digital device **105** and the intended recipient device **125**. In a further embodiment, the replayer dynamically modifies session variables, as is appropriate, to emulate a “live” client or server of the protocol sequence being replayed. In one example, dynamic variables that may be dynamically substituted include dynamically assigned ports, transaction IDs, and any other variable that is dynamic to each protocol session. In other embodiments, the network data received from the heuristic module **210** is transmitted to the virtual machine **315** without a replayer **305**.

The virtual switch **310** is software that is capable of forwarding packets of flagged network data to the virtual machine **315**. In one example, the replayer **305** simulates the transmission of the data flow by the newly coupled device **105**. The virtual switch **310** simulates the communication network **120** and the virtual machine **315** simulates the intended recipient device **125**. The virtual switch **310** can route the data packets of the data flow to the correct ports of the virtual machine **315**.

The virtual machine **315** is a representation of the intended recipient device **125** that can be provided to the analysis environment **230** by the scheduler **215**. In one example, the scheduler **215** retrieves a virtual machine **315** from the virtual machine pool **225** and configures the virtual machine **315** to mimic the intended recipient device **125**. The configured virtual machine **315** is then provided to the analysis environment **230** where it can receive flagged network data from the virtual switch **310**.

As the analysis environment **230** simulates the transmission of the network data, behavior of the virtual machine **315** can be closely monitored for unauthorized activity. If the

## 12

virtual machine **315** crashes, performs illegal operations, performs abnormally, or allows access of data to an unauthorized computer user, the analysis environment **230** can react.

In some embodiments, the analysis environment **230** performs dynamic taint analysis to identify unauthorized activity. For a malware attack to change the execution of an otherwise legitimate program, the malware attack may cause a value that is normally derived from a trusted source to be derived from the user’s own input. Program values (e.g., jump addresses and format strings) are traditionally supplied by a trusted program and not from external untrusted inputs. Malware, however, may attempt to exploit the program by overwriting these values.

In one example of dynamic taint analysis, all input data from untrusted or otherwise unknown sources are flagged. Program execution of programs with flagged input data is then monitored to track how the flagged data propagates (i.e., what other data becomes tainted) and to check when the flagged data is used in dangerous ways. For example, use of tainted data as jump addresses or format strings often indicates an exploit of a vulnerability such as a buffer overrun or format string vulnerability.

In some embodiments, the analysis environment **230** monitors and analyzes the behavior of the virtual machine **315** in order to determine a specific type of malware or the presence of an illicit computer user. The analysis environment **230** can also generate computer code configured to eliminate new viruses, worms, or other malware. In various embodiments, the analysis environment **230** can generate computer code configured to identify data within the network data indicative of a malware attack, repair damage performed by malware, or the illicit computer user. By simulating the transmission of suspicious network data and analyzing the response of the virtual machine, the analysis environment **230** can identify known and previously unidentified malware and the activities of illicit computer users before a computer system is damaged or compromised.

In other embodiments, the controller **110** does not comprise a heuristic module **210** and the analysis environment **230** does not comprise a replayer **305**. In one example, the controller **110** receives network data. The policy engine **240** can scan the network data to determine if the newly coupled device **105** is on a white list (further described herein). Further, the policy engine **240** can compare some or all of the network data to a signature (i.e., an unauthorized activity signature) to detect and/or identify a malware attack. The analysis environment **230** can receive the network data and orchestrate the transmission of the network data by transmitting the copy of the network data to a virtual machine **315**. The analysis environment **230** can then monitor the reaction of the virtual machine **315** to the copy of the network data to identify a malware attack.

FIG. 4 is a flowchart of an exemplary method for malware containment upon connection of a newly coupled device **105**. In step **400**, the controller **110** detects a newly coupled device **105** upon connection with the switch **115** and/or the communication network **120**. In one example, a user brings a laptop or other computer from home and then couples the laptop or other computer to the work communication network **120**. The newly coupled device **105** (i.e., laptop or other computer) requests network resources which is detected by the controller **110**.

In various embodiments, the controller **110** receives copies of network data from the switch **115** or the communication network **120** over a tap. The tap can transparently copy network data from the switch **115** and/or the communication network **120**. The copy of network data is analyzed to deter-



## 13

mine if a newly coupled device **105** is requesting network services. In other embodiments, the controller **110** monitors network data directly to identify requests for network services.

In step **405**, the quarantine module **205** temporarily redirects the network data from the newly coupled device **105**. In one example, the controller **110** identifies a newly coupled device **105**. The quarantine module **205** redirects network data transmitted from the newly coupled device **105** for a predetermined time.

In step **410**, the controller **110** receives the network data from the newly coupled device **105**. In various embodiments, the network data is received over a separate link without a tap between the controller **110** and the switch **115** or communication network **120**. In one example, the controller **110** comprises an IP address. Network data directed to the controller **110** IP address (e.g., network data transmitted by the newly coupled device **105** to a gateway IP address that is the same as the controller **110** IP address) may be received by the controller **110** over the link.

In step **415**, the controller **110** determines if a malware attack is within the network data. The heuristic module **210** can determine if the network data contains suspicious activity. In some embodiments, if the network data contains suspicious activity, then the heuristic module **210** directs the quarantine module **205** to take corrective action in step **420**. In other embodiments, if the network data contains suspicious activity, the network data flagged as suspicious is directed to the analysis environment **230** for analysis to identify unauthorized activity. If unauthorized activity is identified, then the analysis environment **230** directs the quarantine module **205** to take corrective action in step **420**.

In step **420**, the quarantine module **205** takes corrective action. Corrective actions can include, but are not limited to, the permanent quarantine of network data from the newly coupled device **105**. In various embodiments, the controller **110** continues to analyze network data from the newly coupled device **105** to further identify the malware or identify different types of malware on the newly coupled device **105**. Notifications of malware may be sent to the newly coupled device **105**, server, or security stations on the communication network **120**. The signature module **235** may generate unauthorized activity signatures based on the identified malware attack.

If the heuristic module **210** does not flag the network data as suspicious and/or malware is not found by the analysis environment **230**, then the quarantine module **205** determines if the predetermined time is expired. If the predetermined time is not expired, the controller **110** continues to receive network data from the digital device in step **410**. If the predetermined time is expired, then the method ends. In various embodiments, if the heuristic module **210** does not flag the network data as suspicious and/or malware is not found by the analysis environment **230**, the controller **110** (e.g., quarantine module **205**) forwards the network data to the intended recipient device **125**.

It will be appreciated by those skilled in the art, that the process depicted in FIG. **4** may simply continue to repeat upon the continuation of quarantine (step **420**) or the expiration of the predetermined time (step **425**). In one example, if the network data contains a malware attack (step **425**), the redirection of the network data from the newly coupled device **105** can continue until reset by the IT administrator or the malware attack is no longer detected. In the meantime, however, other newly coupled devices **105** can join the network which may trigger the method of FIG. **4**. The method of FIG. **4** can run in parallel or series (e.g., simultaneously) for many

## 14

different newly coupled devices **105**. In another example, once the predetermined time expires (step **425**) the method can continue to digital devices upon connection (step **400**).

FIG. **5** is another flowchart of an exemplary method for malware containment upon connection of a newly coupled device **105**. In step **500**, the controller **110** detects the newly coupled device **105** upon connection with the switch **115** and/or the communication network **120**. In step **505**, the quarantine module **205** determines if the newly coupled device **105** is associated with a white list. A white list comprises a table that identifies various digital devices and policies. In one example, the newly coupled device **105** of a chief executive officer (CEO) or chief information office (CIO) of a company may be identified within the white list. The policy associated with the newly coupled device **105** of the CEO or CIO may command the quarantine module **205** to not quarantine the newly coupled device **105**. If the newly coupled device **105** is associated with the white list, the method may end. If the newly coupled device **105** is not associated with a white list, then the quarantine module **205** manipulates ARP to direct network data transmitted from the newly coupled device **105** to the controller **110** in step **510**.

Although step **505** as described indicates that the method may end if the newly coupled device **105** is associated with one or more white lists, other actions may be taken. In one example, if a newly coupled device **105** is associated with a white list, the quarantine may last for a shorter or longer predetermined time. As such, the quarantine module **205** would then manipulate ARP to direct the network data from the newly coupled device **105** to the controller **110** in step **510**.

In step **515**, the controller **110** receives the network data from the newly coupled device **105**. In step **520**, the controller **110** determines if the network data contains a malware attack. In various embodiments, the network data is analyzed to determine whether the network data is suspicious. For example a heuristic module **210**, such as the heuristic module **210**, can analyze the network data. The heuristic module **210** can base the determination on heuristic and/or probabilistic analyses. In various embodiments, the heuristic module **210** has a very low threshold to determine whether the network data is suspicious. For example, a single command within the network data directed to an unusual port of the destination device can cause the network data to be flagged as suspicious.

The heuristic module **210** can alternatively include flagging network data as suspicious based on policies such as the identity of a newly coupled device **105**, the intended recipient device **125**, or the activity contained within the network data. In one example, even if the heuristic module **210** does not flag the network data, the network data can be flagged as suspicious based on a policy if the network data was transmitted from a device that does not normally transmit network data. Similarly, based on another policy, if the intended recipient device **125** or the newly coupled device **105** contains trade secrets or other critical data, then any network data so transmitted can be flagged suspicious. Similarly, if the network data is directed to a particularly important database or is attempting to gain rights or privileges within the communication network **120**, the switch **115** or the intended recipient device **125**, then the network data can be flagged as suspicious. In various embodiments, the policy engine **240** flags network data based on these and/or other policies.

In some embodiments, if the network data is flagged as suspicious, the quarantine module **205** may continue the quarantine of the network data indefinitely in step **525**. In other embodiments, if the network data is flagged as suspicious, the network data may be provided to the analysis envi-



## 15

ronment **230** to analyze the response of a virtual machine to the network data to identify malware attacks or other unauthorized activity. If a malware attack or other unauthorized activity is identified, then the quarantine of the network data from the newly coupled device **105** may continue indefinitely in step **525**. If the network data is not flagged as suspicious or there is no evidence of a malware attack or other unauthorized activity in the network data previously flagged as suspicious, then the quarantine module **205** determines if the predetermined time has expired in step **530**. If the predetermined time has not expired, then the controller **110** continues to receive the network data in step **515**. If the predetermined time has expired, the method ends.

FIG. **6** is a flowchart of an exemplary method of analyzing network data to generate an unauthorized activity signature. In step **600**, the scheduler **215** scans the network data previously flagged as suspicious by the heuristic module **210** to determine the intended recipient device **125**. In one example, the scheduler **215** scans the destination IP address contained within the network data to identify the intended recipient device **125**. The scheduler **215** may then retrieve a virtual machine **315** from the virtual machine pool **225**. The virtual machine **315** may be previously configured to be similar to the intended recipient device **125**. If there is no virtual machine **315** previously configured to be similar to the intended recipient device **125**, then a generic virtual machine **315** may be retrieved by the scheduler **215**. The scheduler **215** may configure to the virtual machine **315** retrieved from the virtual machine pool **225** to receive the network data (e.g., open ports, reduce or eliminate security settings, etc.) The scheduler **215** may then provide the virtual machine **315** to the analysis environment **230**.

In step **610**, the analysis environment **230** analyzes the response of the virtual machine **315** to the network data to identify a malware attack. In one example, an optional replayer **305** is configured to perform similarly to the newly coupled device **105** and transmit the network data over a virtual switch **310** to the virtual machine **315**. In various embodiments, there may be any number of replayers **305** configured to transmit network data to different virtual machines **315** in parallel. Similarly, multiple analysis environments **230** may operate in parallel. The analysis environment **230** analyzes the response of the virtual machine **315** to the network data (e.g., with taint analysis).

If the network data does not contain unauthorized activity, then the method may end. If the network data contains unauthorized activity, then an unauthorized activity signature is generated based on the unauthorized activity in step **615**. The unauthorized activity signature may be generated by the analysis environment **230** or the signature module **235**.

In step **620**, the unauthorized activity signature is transmitted to one or more other controllers **110** or any digital device (e.g., server, newly coupled device **105**, switch **115**). The receiving controller **110** can store the unauthorized activity signature within the receiving controller's signature module **235** or policy engine **240**. The policy engine **240** may use the unauthorized activity signature to scan network data received by the controller **110** to flag the network data as suspicious or containing unauthorized activity without any further analysis (by either the heuristic module **210** or the analysis environment **230**).

Optionally, the unauthorized activity signature may be authenticated. In some embodiments, the analysis environment **230** can generate an authentication code along with the unauthorized activity signature. The authentication code can then be scanned to determine that the unauthorized activity signature is verified. In one example, the analysis environ-

## 16

ment **230** generates the unauthorized activity signature and an authentication code. The analysis environment **230** transmits the unauthorized activity signature and the authentication code to another controller **110**. The controller **110** verifies the authentication code to ensure that the unauthorized activity signature is genuine. If the unauthorized activity signature is authenticated, then the signature module **235** stores the unauthorized activity signature.

The unauthorized activity signature can also be encrypted. In one example, the controller **110** generates, encrypts, and transmits the unauthorized activity signature to another controller **110**. The receiving controller **110** can decrypt the unauthorized activity signature and store the unauthorized activity signature within the signature module **235**. In some embodiments, the controller **110** generates an authentication code and proceeds to encrypt the authentication code and the unauthorized activity signature prior to transmitting the authentication code and the unauthorized activity signature to another controller **110**.

FIG. **7** is a block diagram of the controller **110** (FIG. **1**), in accordance with one embodiment of the present invention. The controller **110** comprises a processor **700**, a memory system **705**, a storage system **710**, an I/O interface **715**, a communication network interface **720**, and a display interface **725** which are all coupled to a system bus **730**. The processor **700** is configured to execute executable instructions. In some embodiments, the processor **700** comprises circuitry or any one or more processors capable of processing the executable instructions.

The memory system **705** is any memory configured to store data. Some examples of the memory system **705** include storage devices, such as RAM or ROM.

The storage system **710** is any storage configured to retrieve and store data. Some examples of the storage system **710** are flash drives, hard drives, optical drives, and/or magnetic tape. The storage system **710** can comprise a database or other data structure configured to hold and organize, data (e.g., network data, copies of network data, buffered data.) In some embodiments, the controller **110** includes memory **705** in the form of RAM and storage **710** in the form of flash data. The memory system **705** and/or the storage system **710** can comprise cache and buffers configured to retain network data or copies of network data.

The input/output (I/O) interface **715** is any device that can receive input and provide output to a user. The I/O interface **715** can be, but is not limited to, a keyboard, a mouse, a touchscreen, a keypad, a biosensor, or floppy disk drive.

The communication network interface **720** can be coupled to any user device via the links **735**. The communication network interface **720** may support communication over a USB connection, a firewire connection, an Ethernet connection, a serial connection, a parallel connection, or an ATA connection. The communication network interface **720** may also support wireless communication (e.g., 802.11a/b/g/n or wireless USB). It will be apparent to those skilled in the art that the communication network interface **720** can support many wired and wireless standards.

The display interface **725** is an interface configured to support a display, monitor, or screen. In some embodiments, the controller **110** comprises a graphical user interface to be displayed to a user over a monitor in order to allow the user to control the controller **110**.

The above-described modules can be comprised of instructions that are stored on storage media. The instructions can be retrieved and executed by a processor (e.g., the processor **700**). Some examples of instructions include software, program code, and firmware. Some examples of storage media



17

comprise memory devices and integrated circuits. The instructions are operational when executed by the processor to direct the processor to operate in accordance with embodiments of the present invention. Those skilled in the art are familiar with instructions, processor(s), and storage media. 5

The present invention is described above with reference to exemplary embodiments. It will be apparent to those skilled in the art that various modifications may be made and other embodiments can be used without departing from the broader scope of the present invention. Therefore, these and other variations upon the exemplary embodiments are intended to be covered by the present invention. 10

What is claimed is:

**1.** A malware containment method comprising:

detecting a digital device upon connection with a communication network; 15

temporarily redirecting network data from the digital device until a predetermined period of time expires by configuring a network switch of the communication network to direct the network data from the digital device to a controller coupled to the communication network; 20

analyzing the temporarily redirected network data during the predetermined period of time to detect malware within the digital device, including configuring a virtual machine to receive the network data and analyzing a response of the virtual machine to the network data within the virtual machine to identify a malware attack; 25

and  
transmitting the network data to an intended recipient if no malware attack has been identified within the predetermined period of time. 30

**2.** The method of claim **1**, wherein temporarily redirecting network data comprises Address Resolution Protocol (ARP) manipulation to temporarily direct the network data from the digital device to the controller.

**3.** The method of claim **1**, wherein temporarily redirecting network data comprises configuring Dynamic Host Configuration Protocol (DHCP) services to temporarily direct the network data from the digital device to the controller.

**4.** The method of claim **1**, wherein analyzing the temporarily redirected network data comprises determining if the digital device is associated with a white list, and halting the redirecting if the digital device is determined to be associated with the white list. 40

**5.** The method of claim **1**, wherein analyzing the temporarily redirected network data comprises comparing the temporarily redirected network data with an unauthorized activity signature to detect a malware attack. 45

**6.** The method of claim **1**, further comprising generating an unauthorized activity signature based on the detection. 50

**7.** The method of claim **6**, further comprising:

storing the unauthorized activity signature; and

sending the unauthorized activity signature to another digital device.

**8.** The method of claim **1**, further comprising applying an access control rule to the temporarily redirected network data. 55

**9.** The method of claim **1**, wherein analyzing the temporarily redirected network data comprises:

analyzing the temporarily redirected network data with a heuristic to identify network data containing suspicious activity. 60

**10.** The method of claim **1**, wherein analyzing the temporarily redirected network data comprises:

configuring a replayer to transmit the temporarily redirected network data to the virtual machine. 65

**11.** A malware containment system comprising:

memory to store instructions; and

18

a controller for containing malware comprising:

a quarantine module configured to execute instructions stored in memory to detect a digital device upon connection with a communication network and temporarily redirect network data from the digital device until a predetermined period of time expires, including configuring a network switch of the communication network to direct the network data from the digital device to the controller; and

a policy engine configured to analyze the temporarily redirected network data during the predetermined period of time to detect malware within the digital device, including configuring a virtual machine to receive the network data and analyzing a response of the virtual machine to the network data within the virtual machine to identify a malware attack,

wherein the controller is to transmit the network data to an intended recipient if no malware attack has been identified within the predetermined period of time.

**12.** The system of claim **11**, wherein the quarantine module configured to temporarily redirect the network data comprises the quarantine module configured to manipulate ARP to temporarily direct the network data from the digital device to the controller.

**13.** The system of claim **11**, wherein the quarantine module configured to temporarily redirect the network data comprises the quarantine module configured to configure DHCP services to temporarily direct the network data from the digital device to a controller.

**14.** The system of claim **11**, wherein the policy engine configured to analyze the temporarily redirected network data comprises the policy engine configured to determine if the digital device is associated with a white list.

**15.** The system of claim **11**, wherein the policy engine configured to analyze the temporarily redirected network data comprises the policy engine configured to compare the temporarily redirected network data with an unauthorized activity signature to detect malware. 35

**16.** The system of claim **11**, further comprising:

a heuristic module configured to analyze the temporarily redirected network data with a heuristic to identify the temporarily redirected network data containing suspicious activity; and

a scheduler configured to retrieve the virtual machine.

**17.** The system of claim **11**, wherein the analysis environment is further configured to configure a replayer to transmit the temporarily redirected network data to the virtual machine.

**18.** The system of claim **11**, further comprising a signature module configured to generate an unauthorized activity signature based on the detection.

**19.** The system of claim **18**, wherein the signature module is further configured to:

store the unauthorized activity signature; and

send the unauthorized activity signature to another digital device.

**20.** A non-transitory machine readable medium having embodied thereon executable code, the executable code being executable by a processor for performing a malware containment method, the method comprising:

detecting a digital device upon connection with a communication network;

temporarily redirecting network data from the digital device until a predetermined period of time expires by configuring a network switch of the communication network to direct the network data from the digital device to a controller coupled to the communication network;

19

analyzing the temporarily redirected network data during the predetermined period of time to detect malware within the digital device, including configuring a virtual machine to receive the network data and analyzing a response of the virtual machine to the network data within the virtual machine to identify a malware attack; and

transmitting the network data to an intended recipient if no malware attack has been identified within the predetermined period of time.

**21.** The non-transitory machine readable medium of claim **20**, wherein temporarily redirecting network data comprises ARP manipulation to temporarily direct the network data from the digital device to a controller.

**22.** The non-transitory machine readable medium of claim **20**, wherein temporarily redirecting network data comprises configuring DHCP services to temporarily direct the network data from the digital device to a controller.

20

**23.** The method of claim **1**, wherein the controller is configured to continue the redirecting if malware is not detected until after the predetermined period of time expires, and continue the redirecting if malware is detected beyond the predetermined period of time.

**24.** The system of claim **11**, wherein the quarantine module is further configured to continue redirecting network data if malware is detected, and to discontinue redirecting if malware is not detected by the policy engine.

**25.** The method of claim **9**, wherein the redirecting continues if the heuristic identifies network data containing suspicious activity until the later of expiration of the predetermined time period or the analyzing of the response of the virtual machine to the identified network data detects no malware attack.

\* \* \* \* \*