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Jimenez et al.

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(45) **Date of Patent:** **Jul. 2, 2013**

(54) **TRANSAPICAL DELIVERY SYSTEM FOR HEART VALVES**

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(*) Notice: Subject to any disclaimer, the term of this patent is extended or adjusted under 35 U.S.C. 154(b) by 155 days.

Todd M. Dewey, et al., *Feasibility of a Trans-Apical Approach for Aortic Valve Implantation Using a Device Delivered Valve*, Abstract Presentation at ISMICS 8th Annual Meeting, Jun. 1-4, 2005 New York (pp. 1-2- of flier also attached).

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(Continued)

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Primary Examiner — Darwin Erez
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(65) **Prior Publication Data**

US 2011/0015728 A1 Jan. 20, 2011

(74) *Attorney, Agent, or Firm* — Guy Cumberbatch; David L. Hauser

Related U.S. Application Data

(57) **ABSTRACT**

(60) Provisional application No. 61/225,510, filed on Jul. 14, 2009.

(51) **Int. Cl.**
A61F 2/24 (2006.01)

(52) **U.S. Cl.**
USPC **623/2.11**; 623/1.11

(58) **Field of Classification Search**
USPC 606/108, 167, 185, 200; 623/1.23,
623/2.11, 1.11, 1.12

See application file for complete search history.

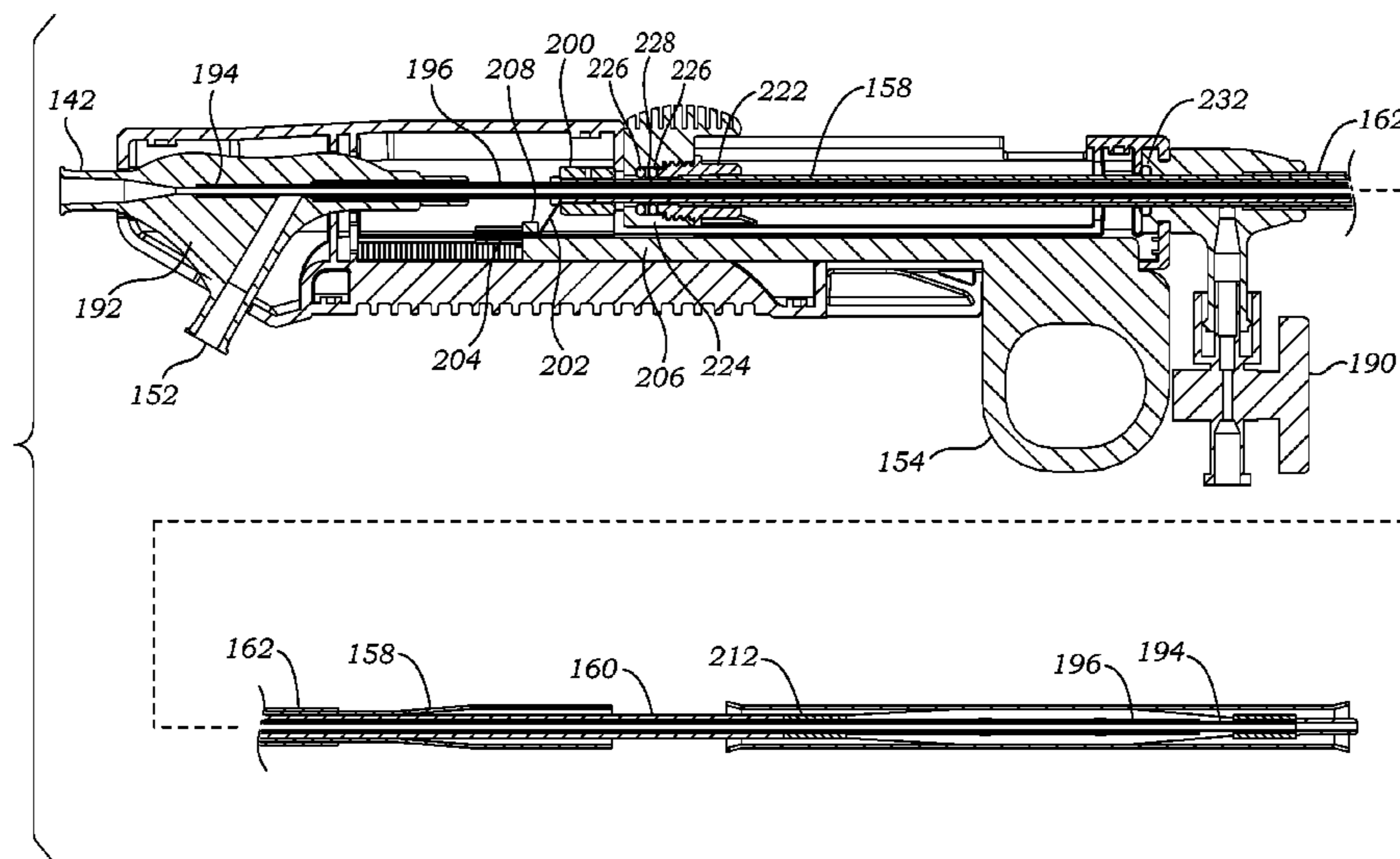
A delivery system for delivering a prosthetic heart valve to the aortic valve annulus. The system includes a delivery catheter having a steering mechanism thereon for delivering a balloon-expandable prosthetic heart valve to the aortic annulus in an antegrade fashion through an introducer passing into the left ventricle through its apex. The introducer may have a more floppy distal section than a proximal section to reduce trauma to the heart wall. The delivery catheter includes a deflecting segment just proximal to a distal balloon to facilitate positioning of the prosthetic heart valve in the proper orientation within the annulus. A trigger in a catheter handle may be coupled to a deflection wire that actuates the deflecting segment, while a slider in the handle controls retraction of a valve pusher. A pre-dilation valvuloplasty procedure may also be utilized.

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18 Claims, 17 Drawing Sheets



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Fig. 1

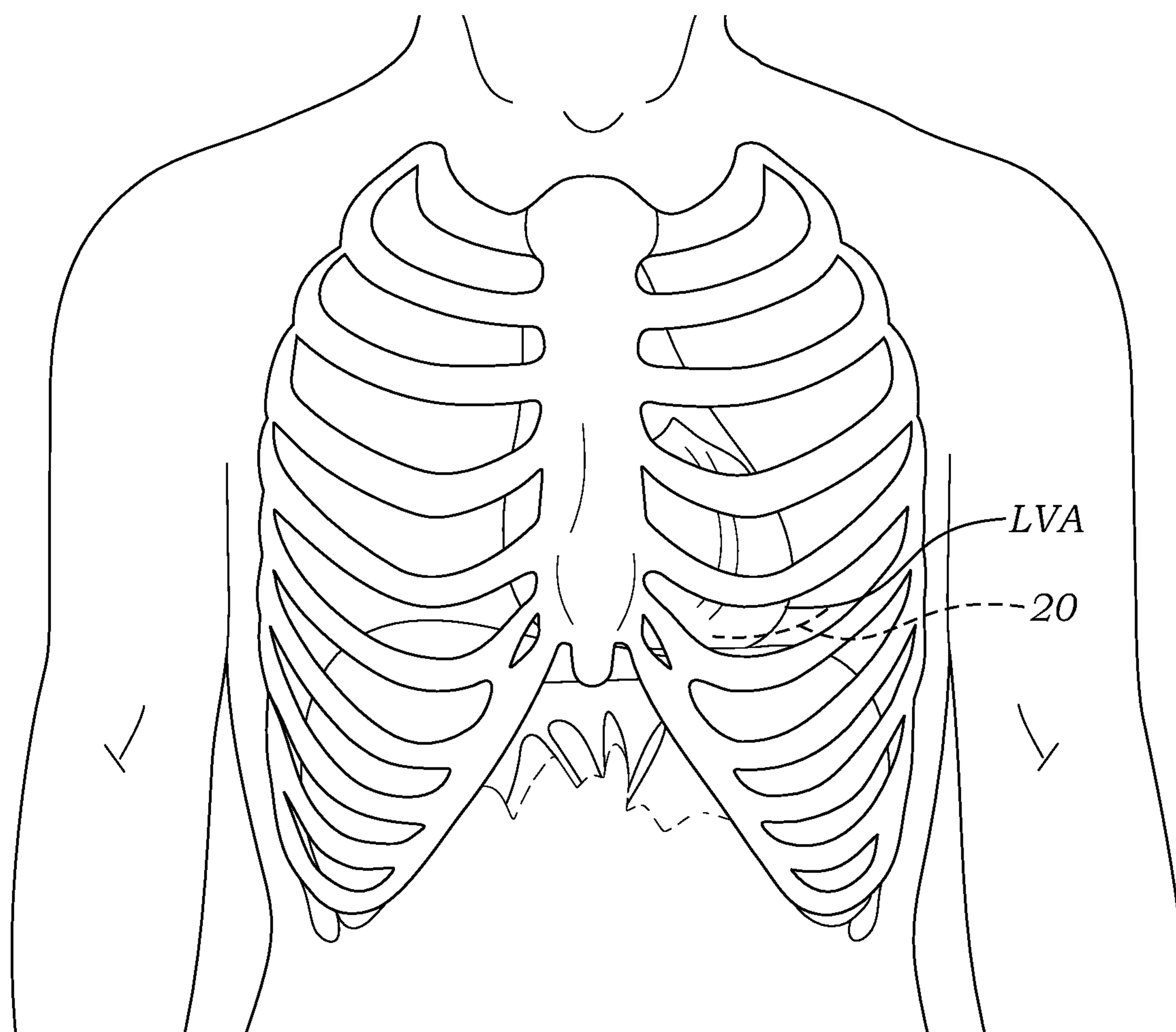


Fig. 2B

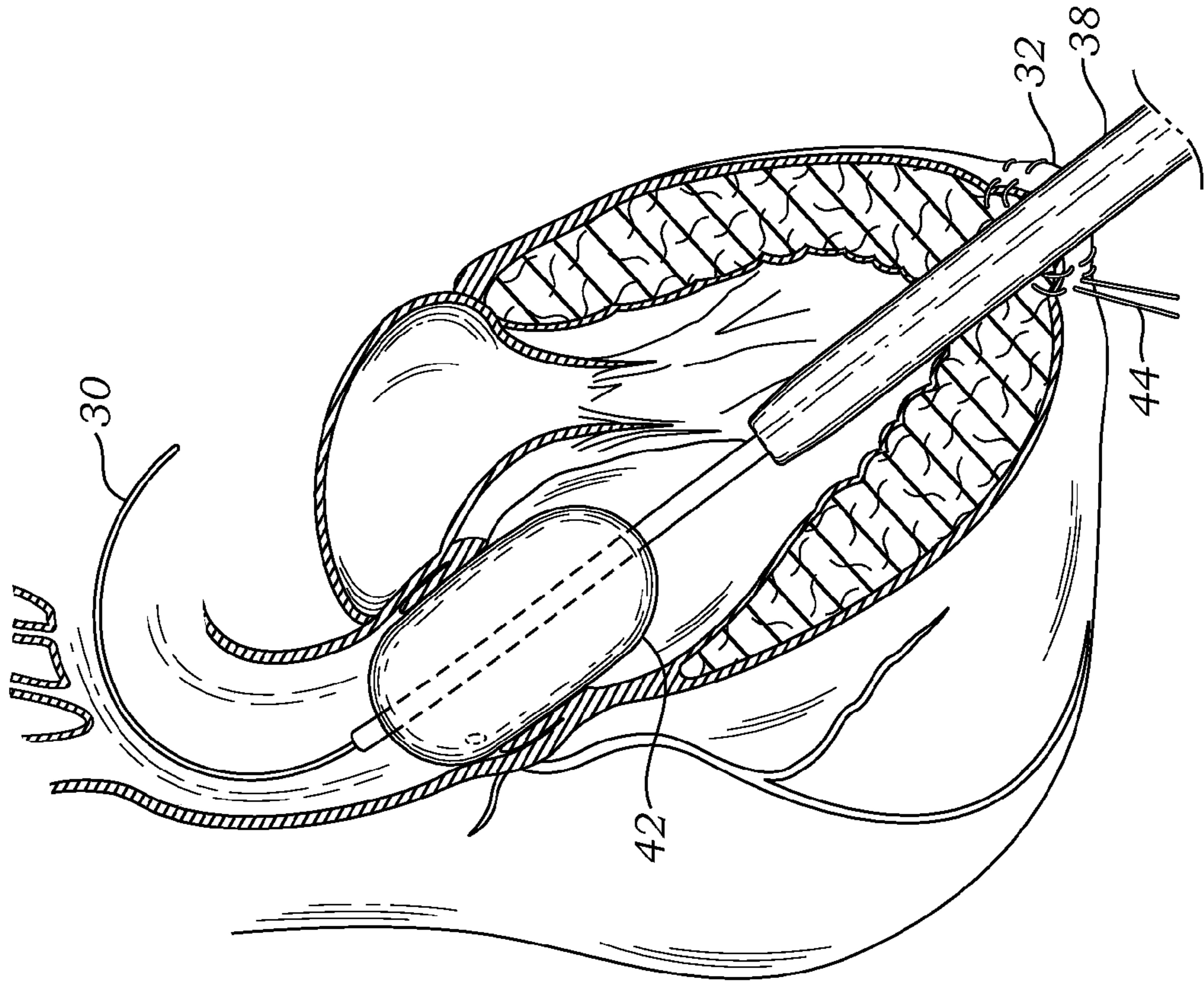


Fig. 2A

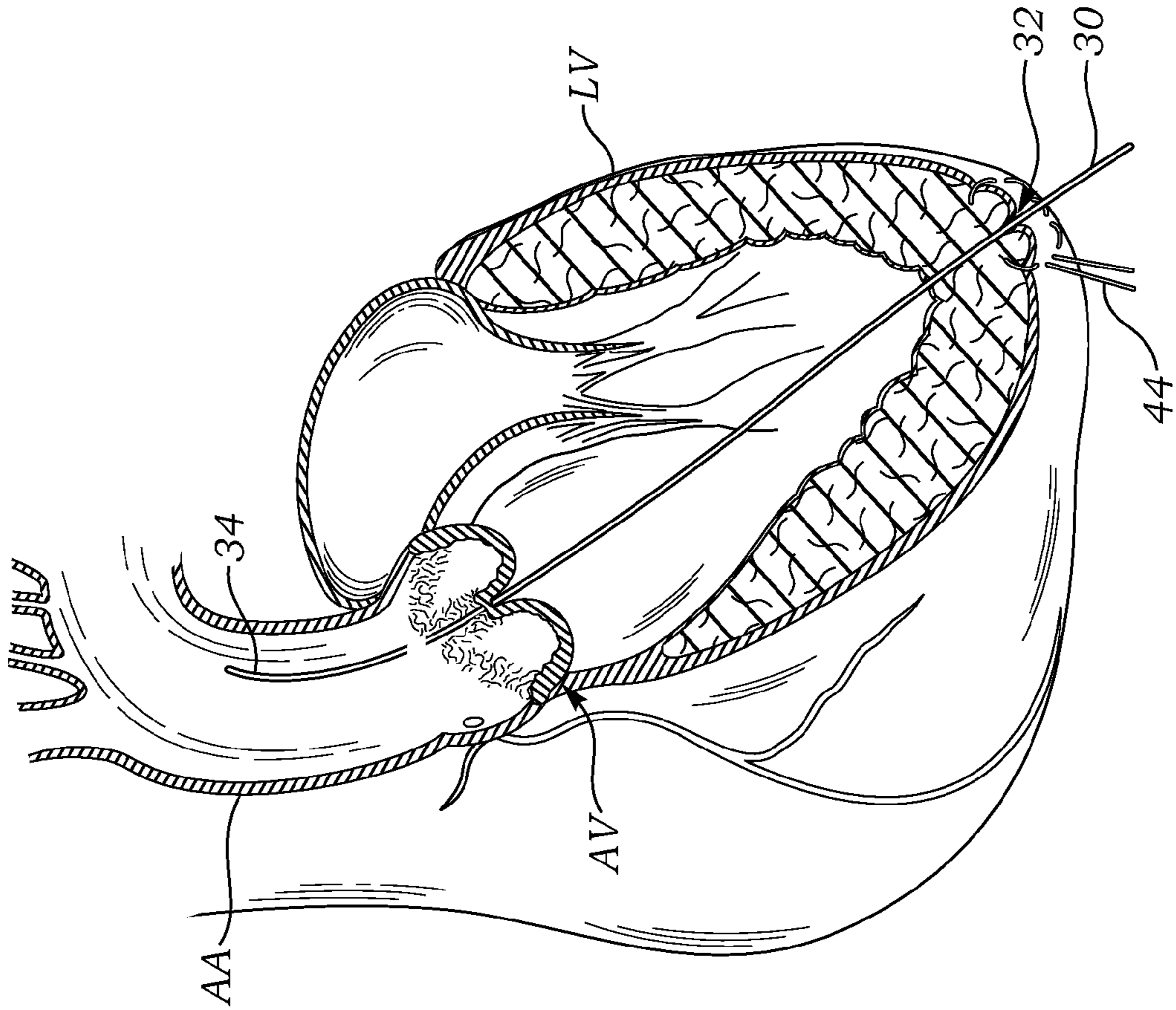


Fig. 3A

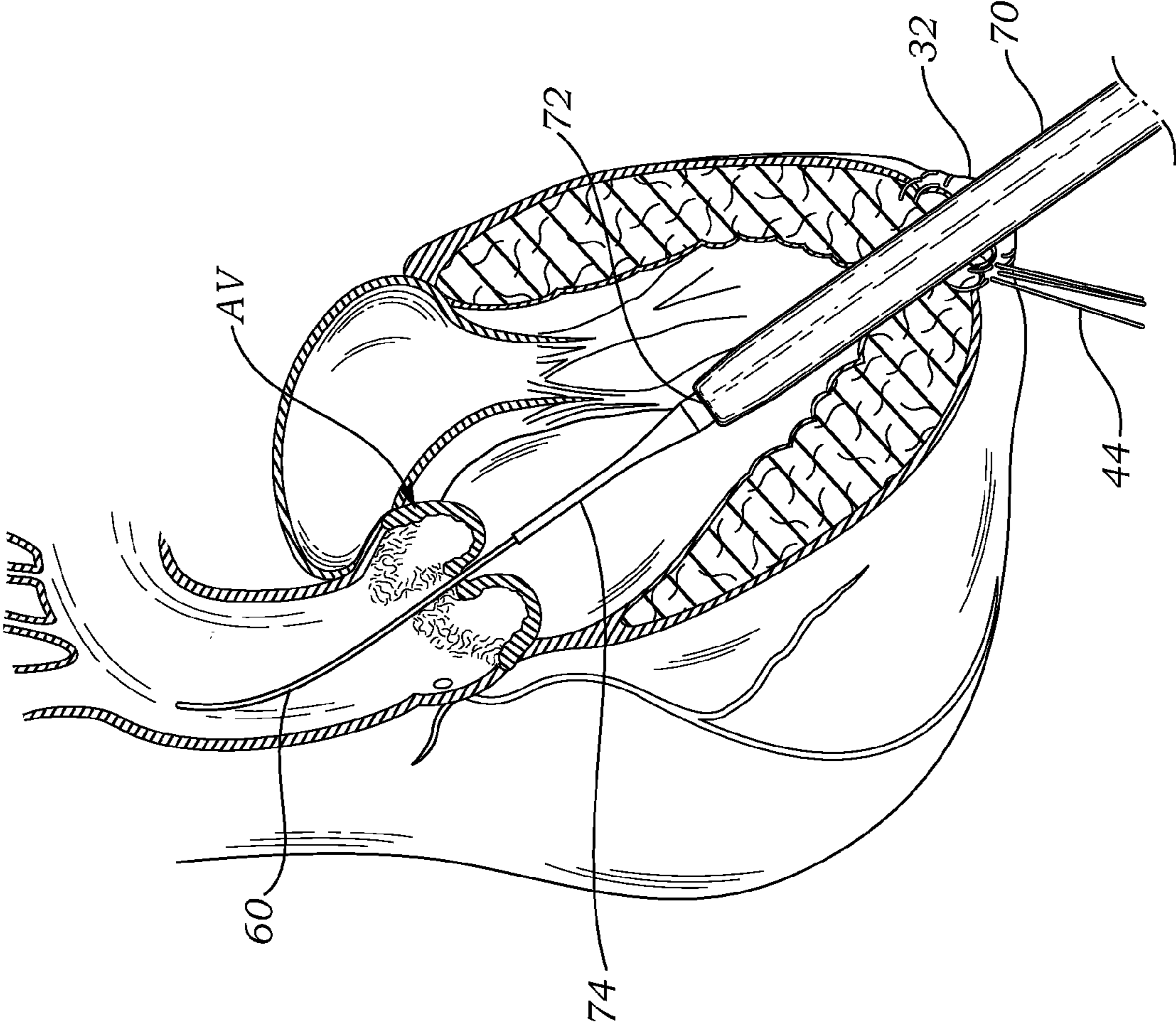


Fig. 3C

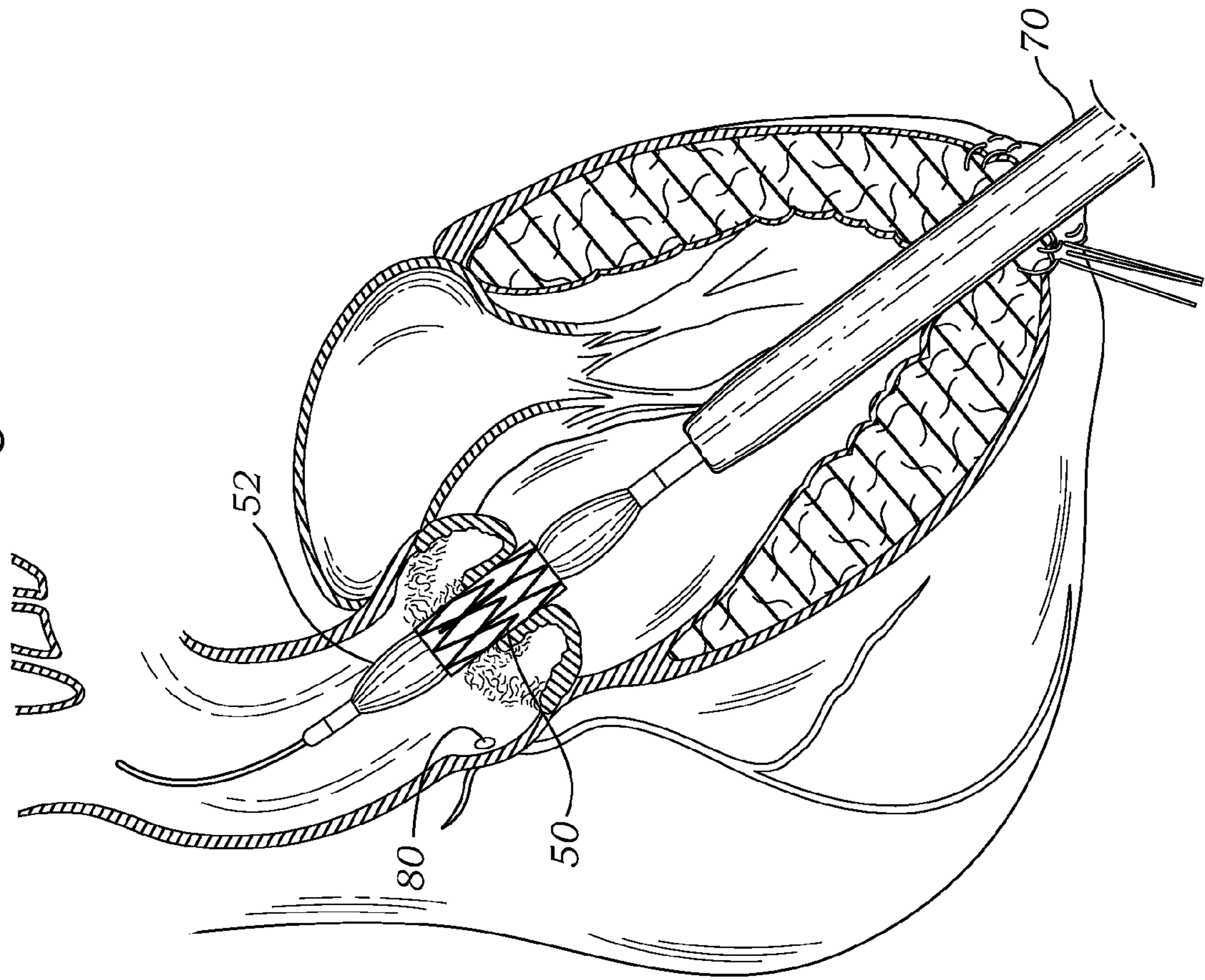
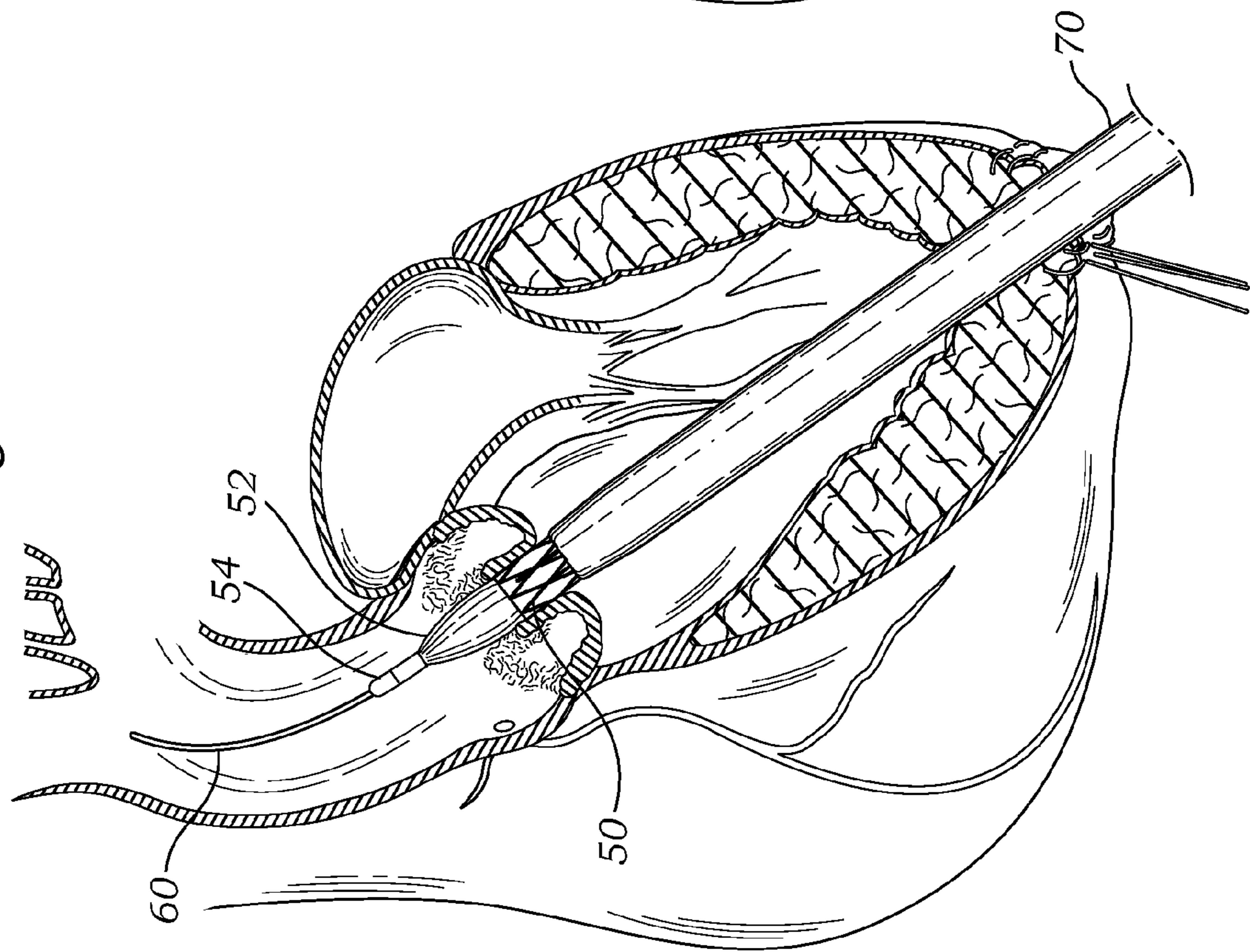
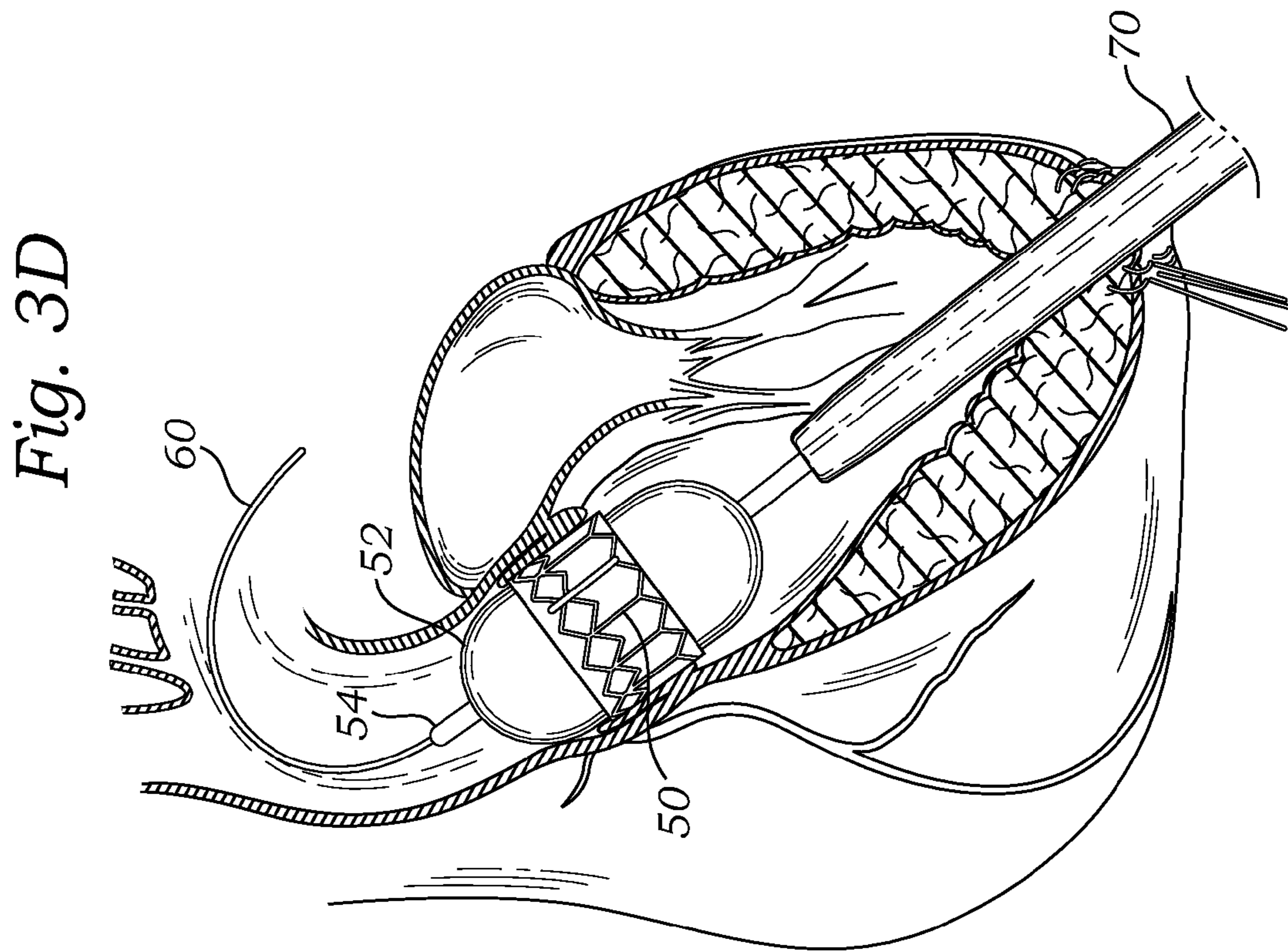
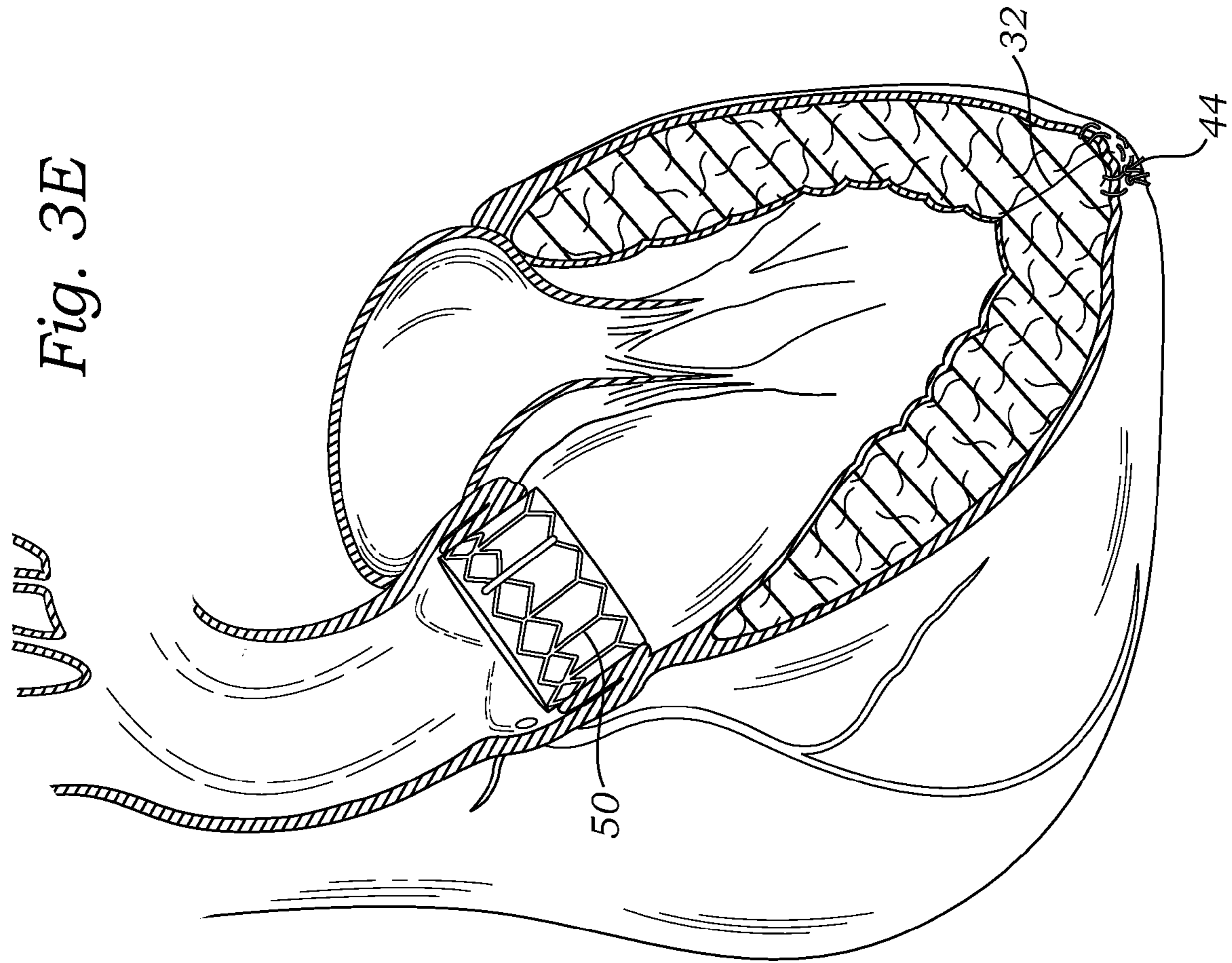
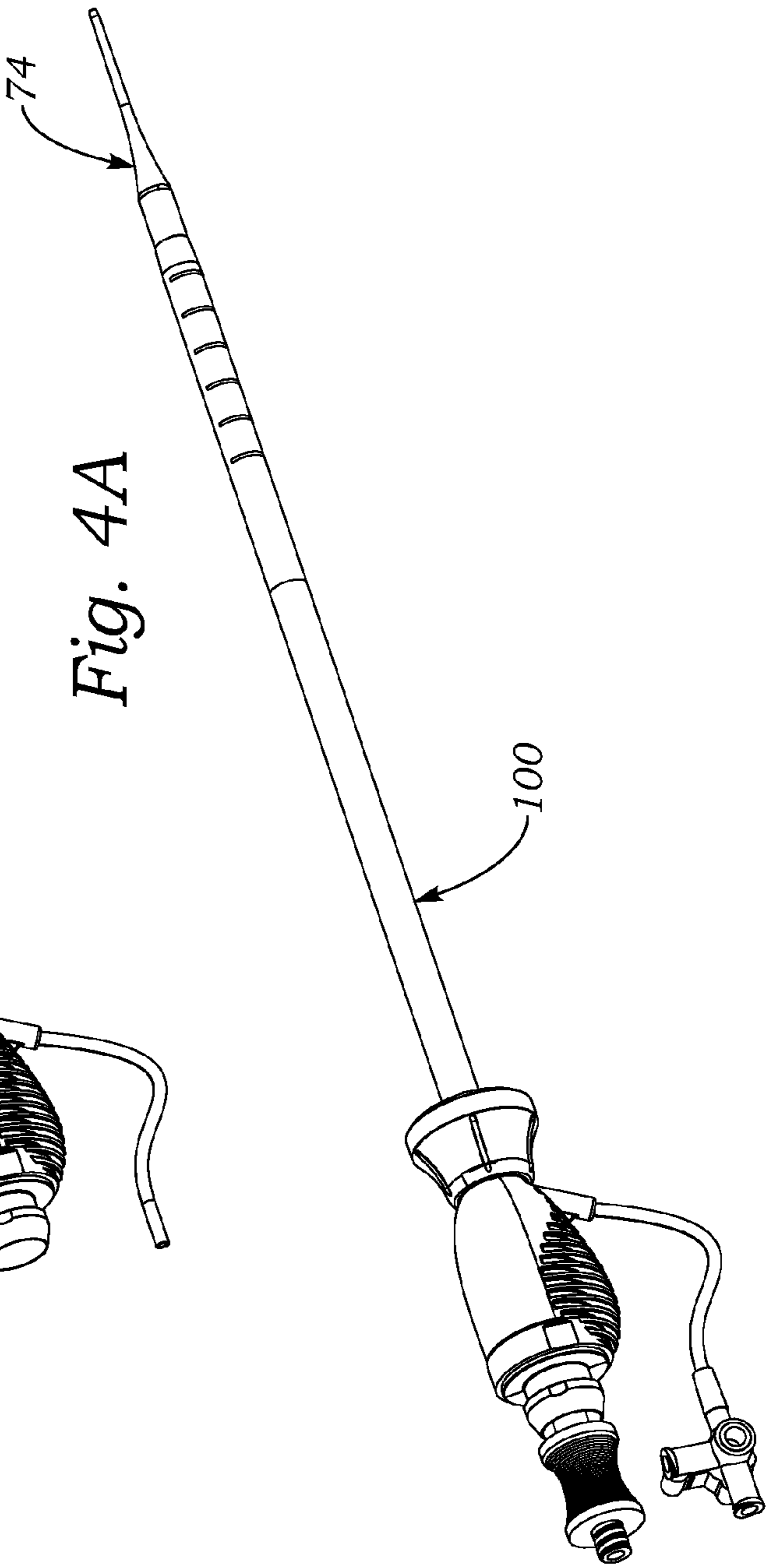
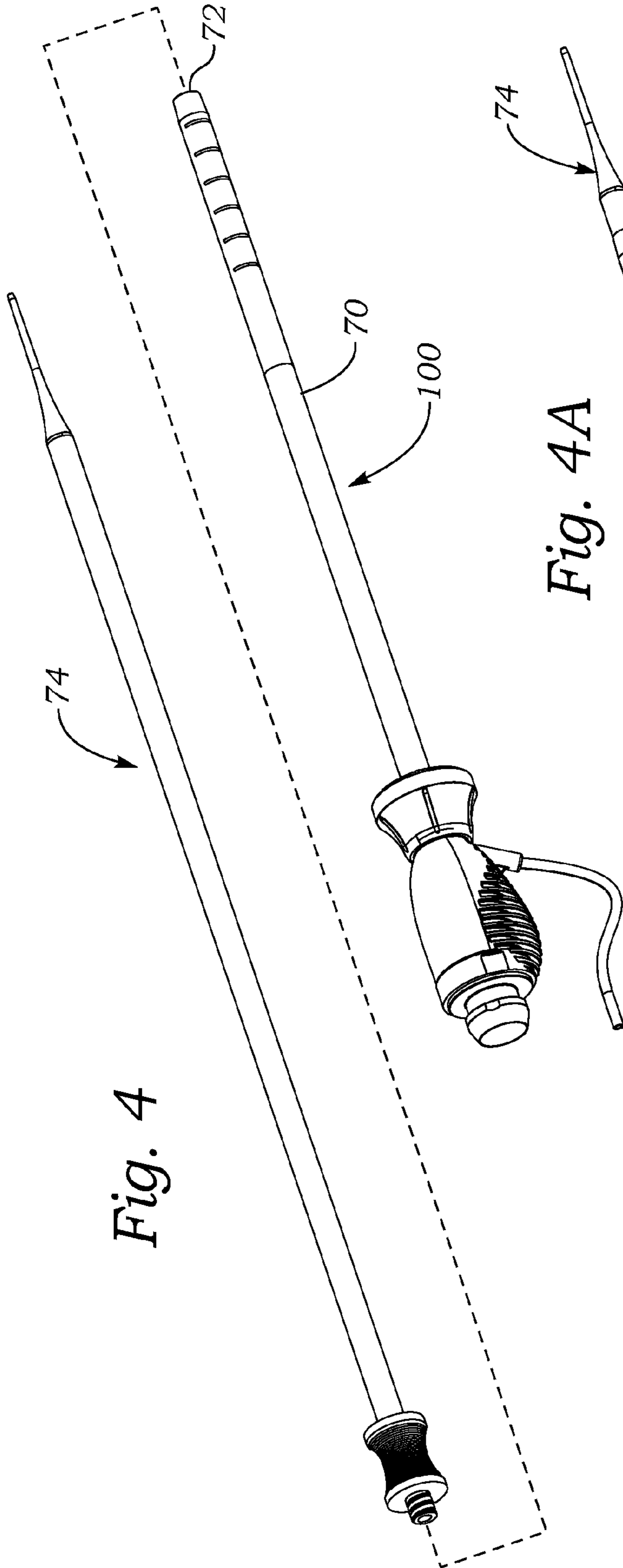


Fig. 3B







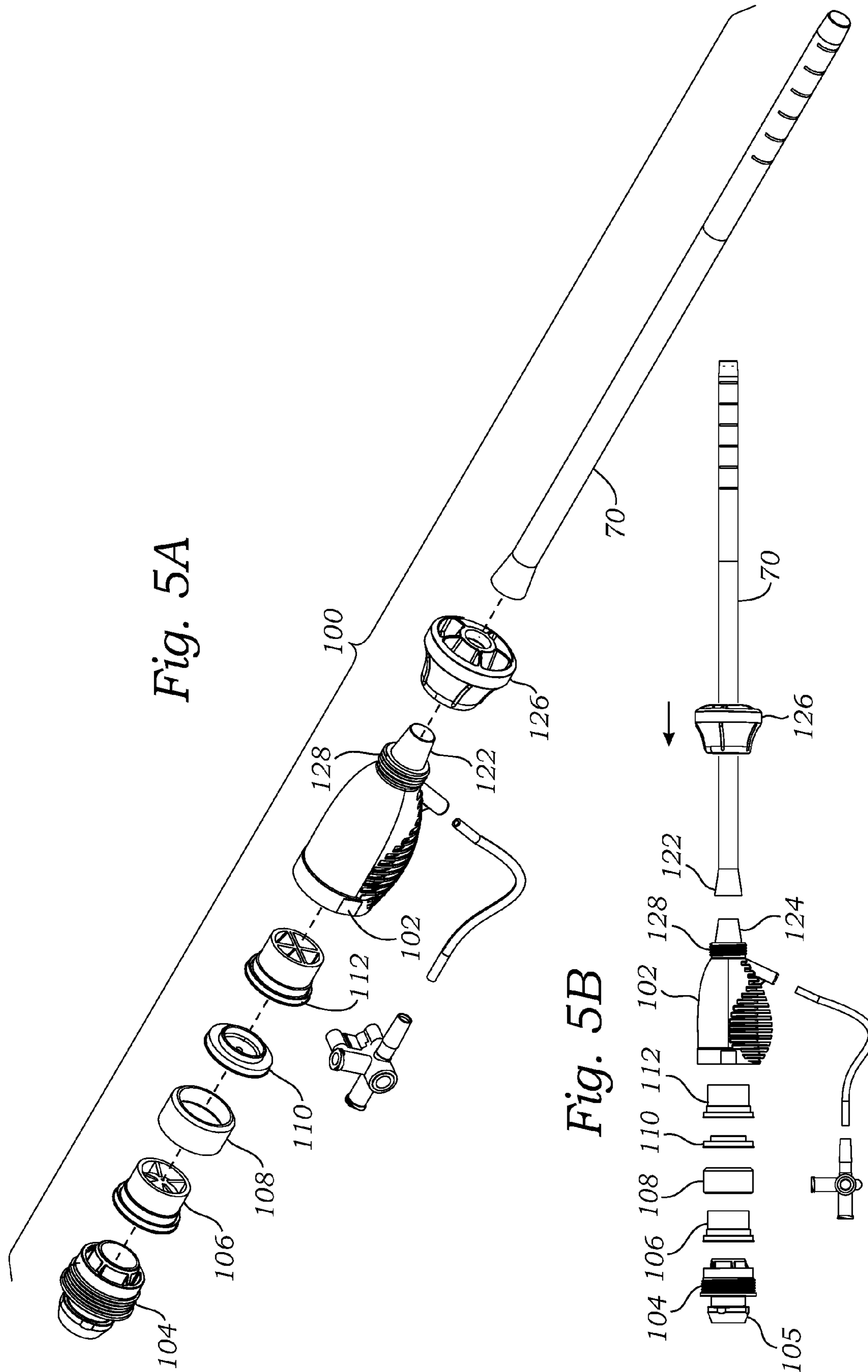
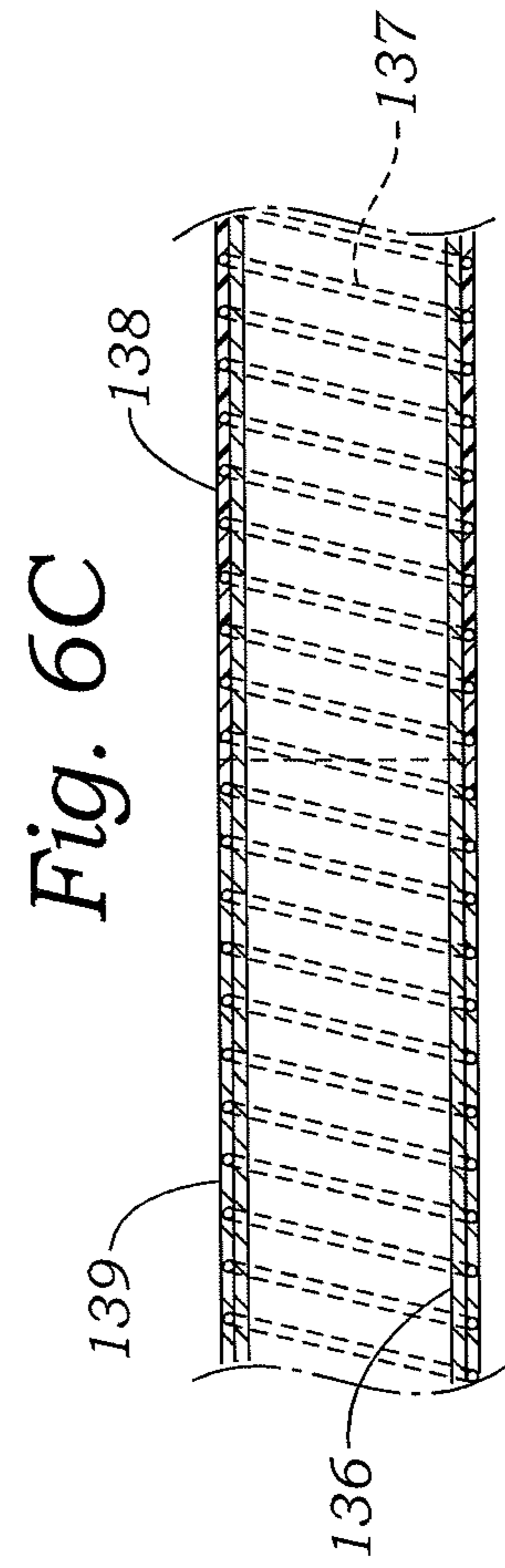
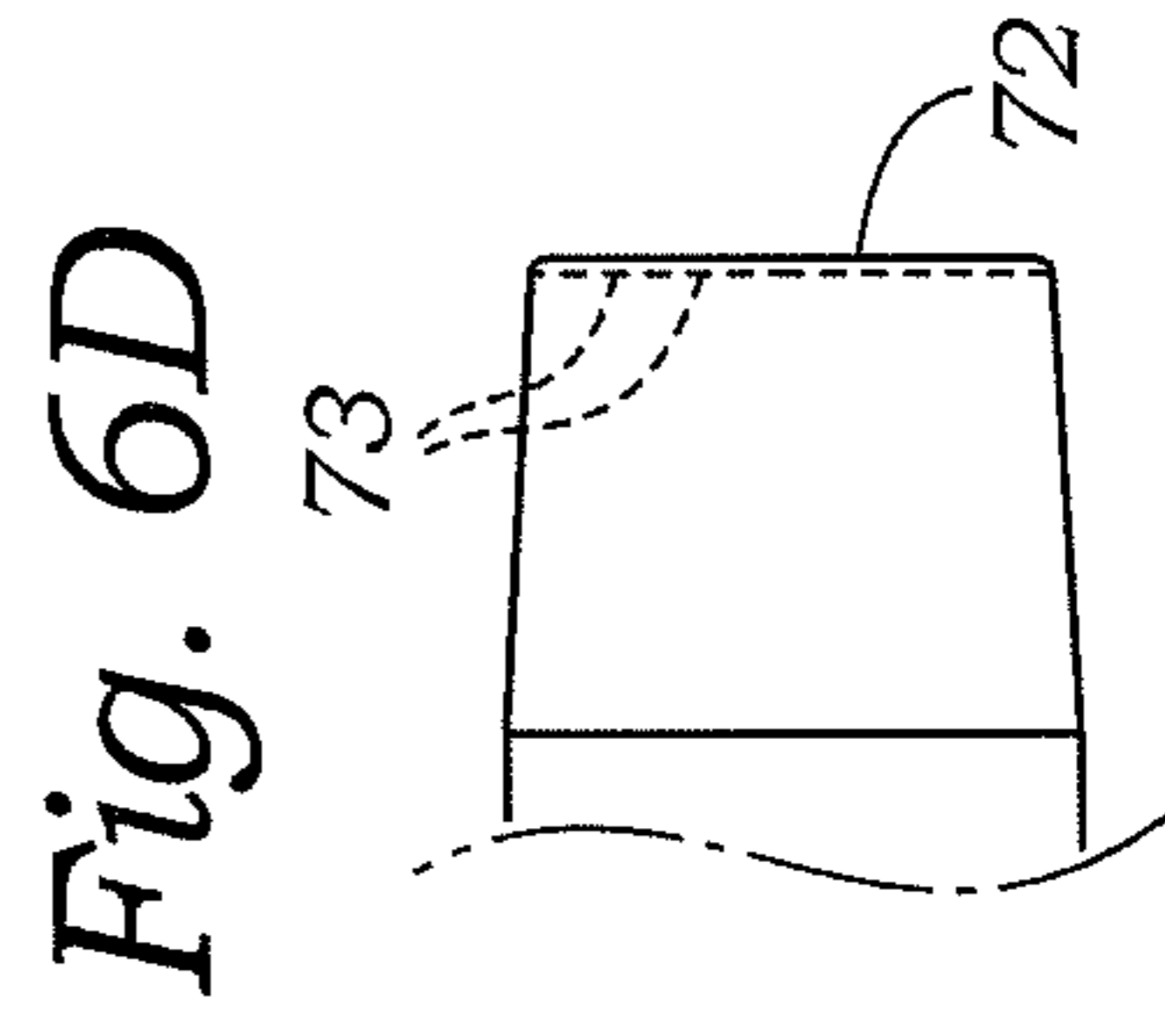
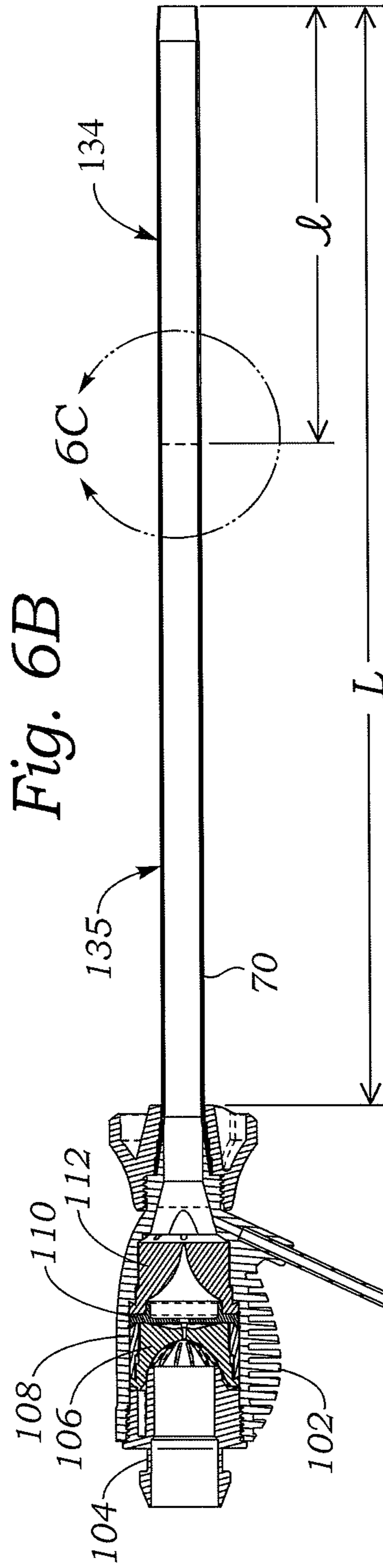
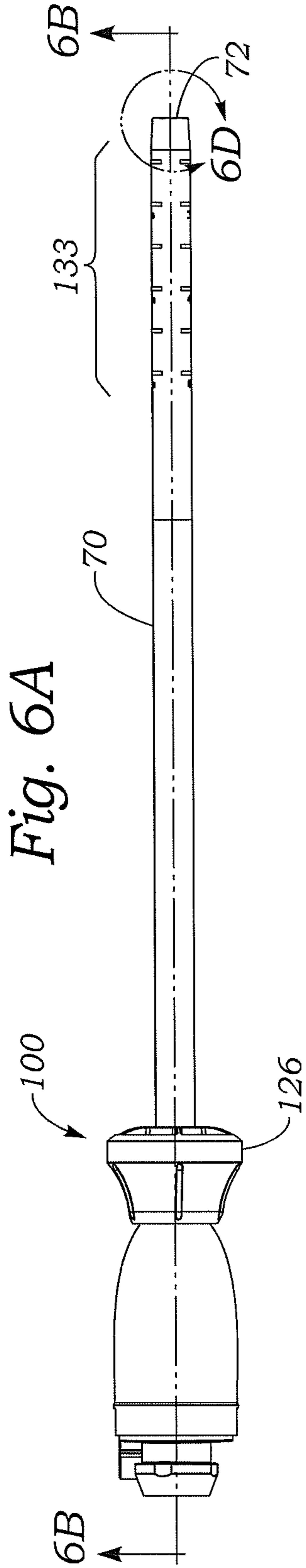


Fig. 5A

Fig. 5B



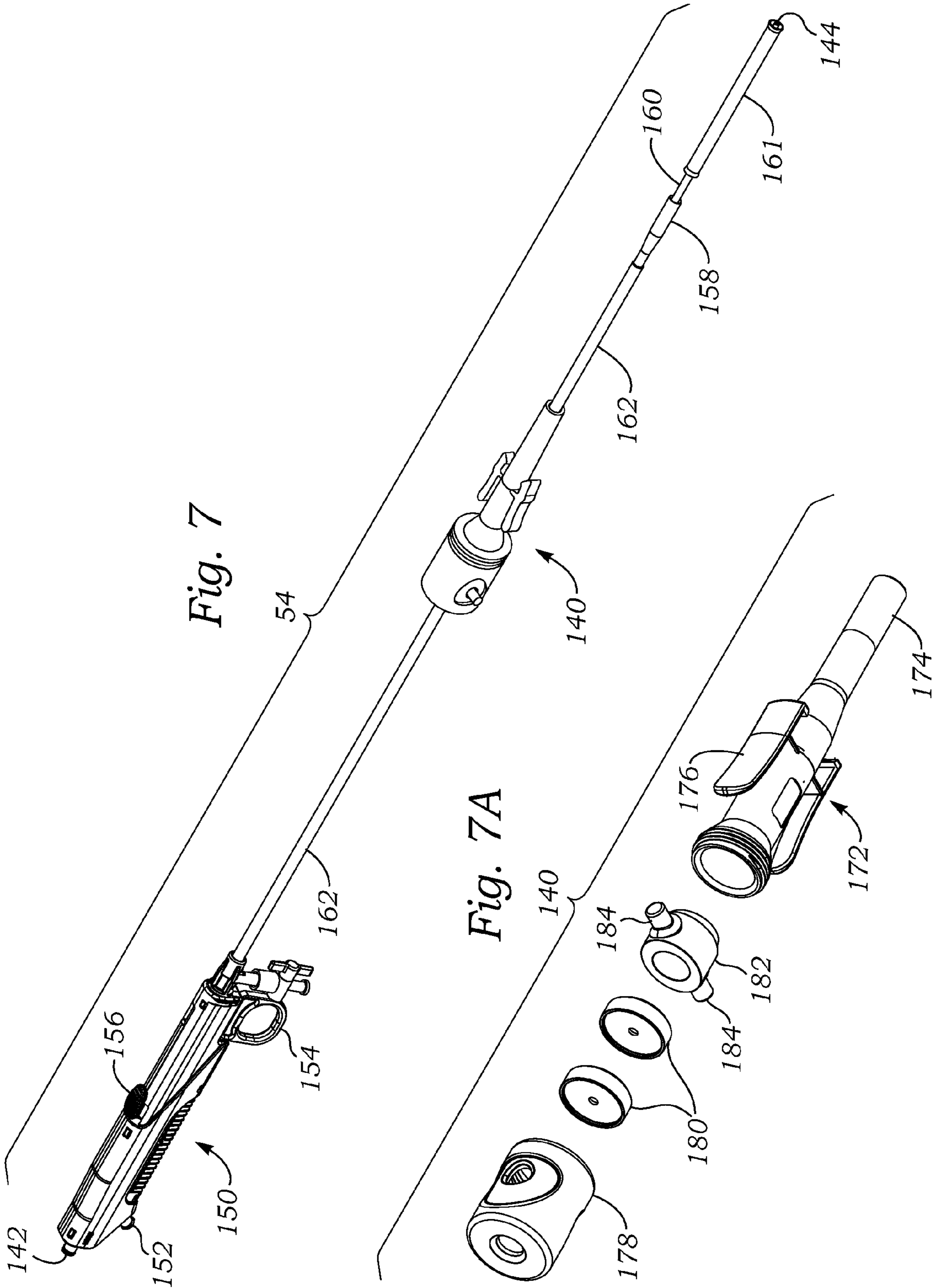


Fig. 8

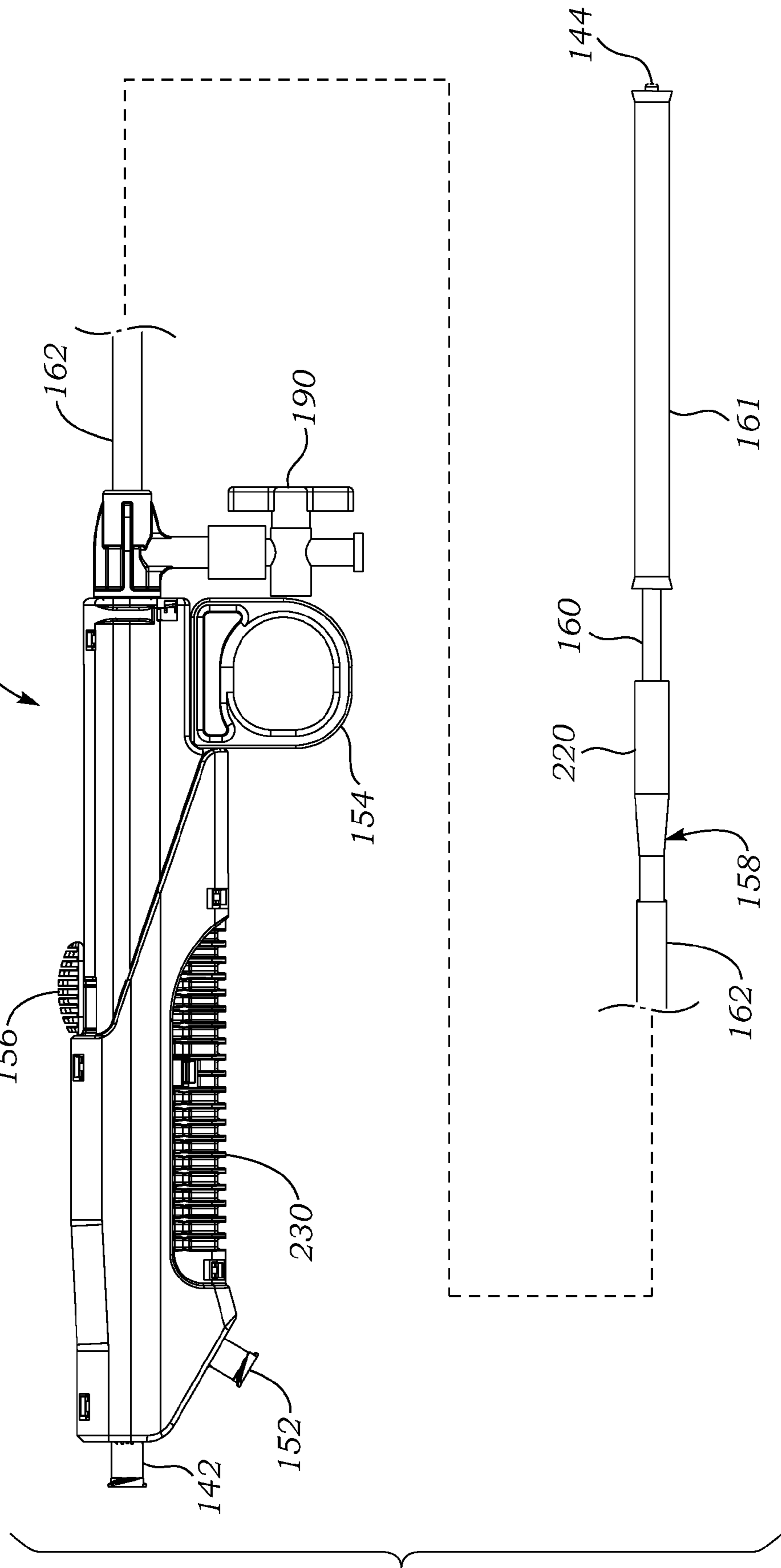


Fig. 9

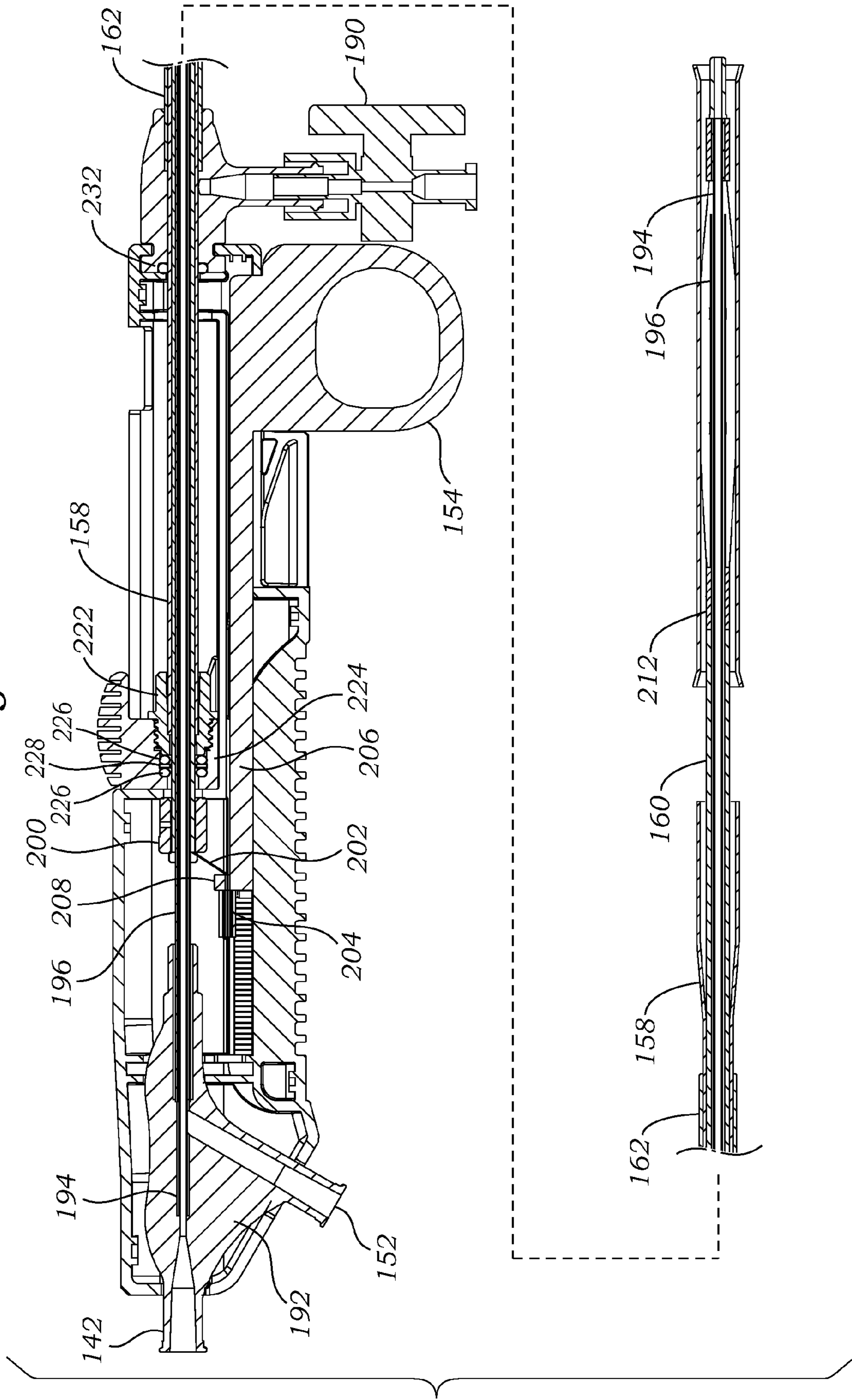


Fig. 10A

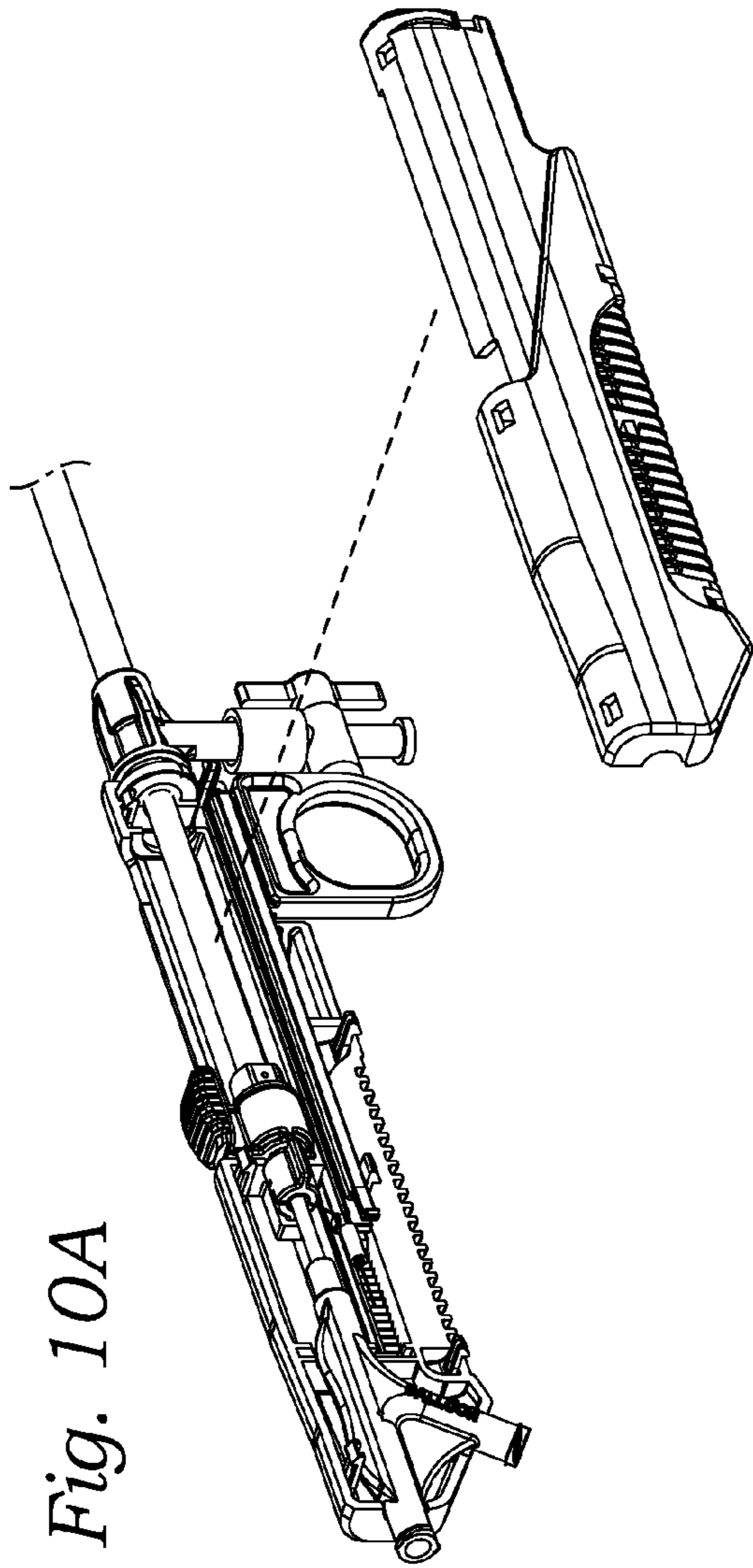


Fig. 10B

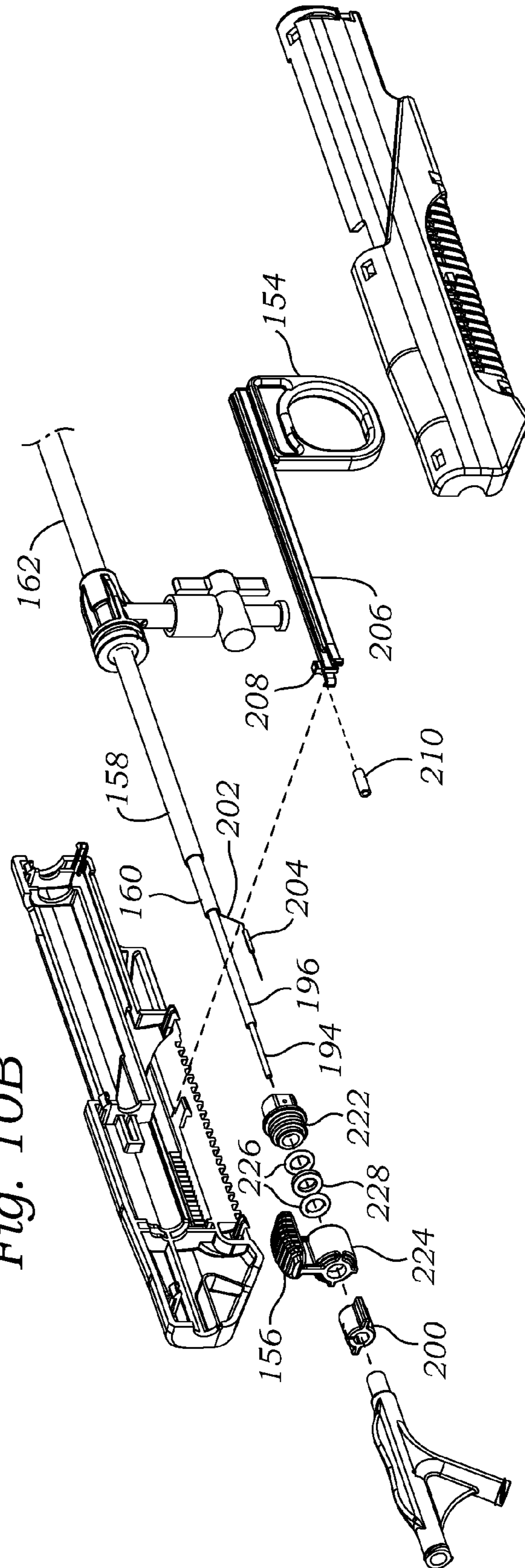


Fig. 11

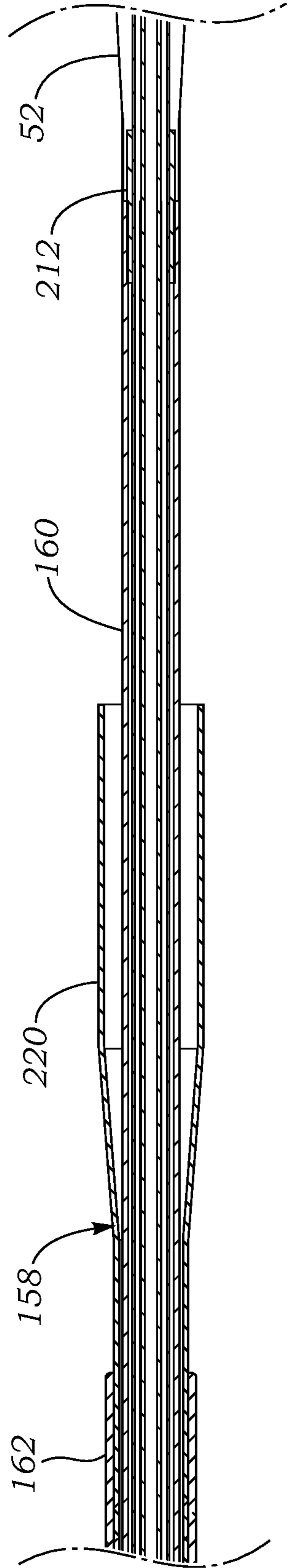


Fig. 12

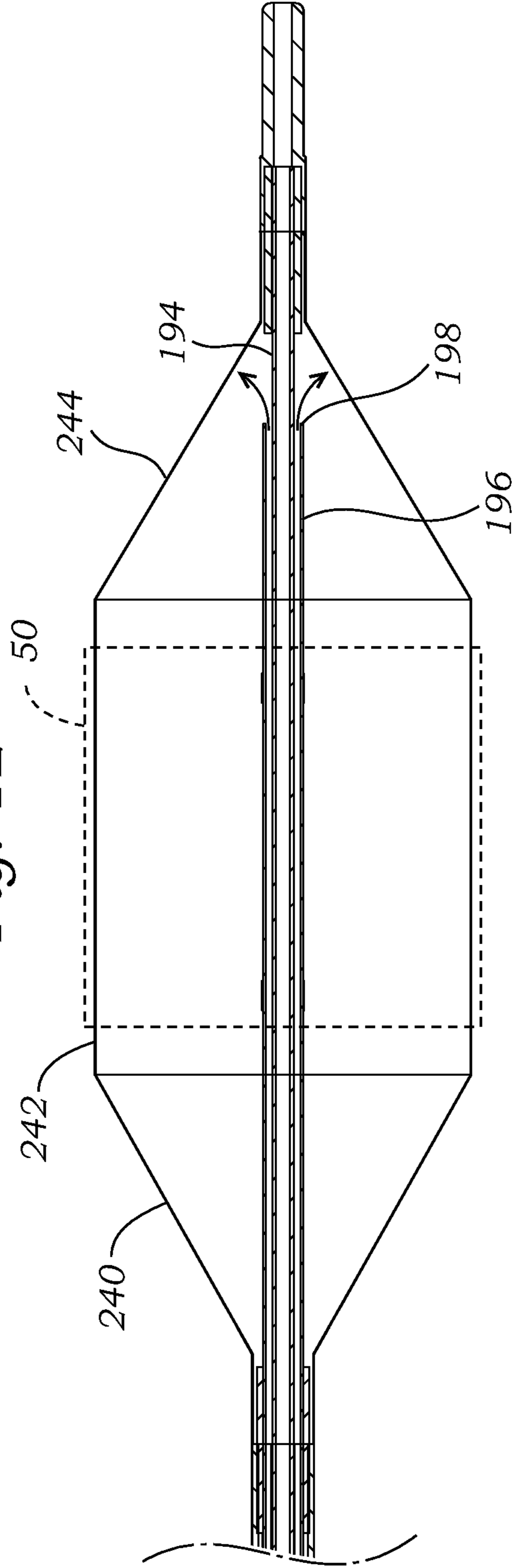


Fig. 13

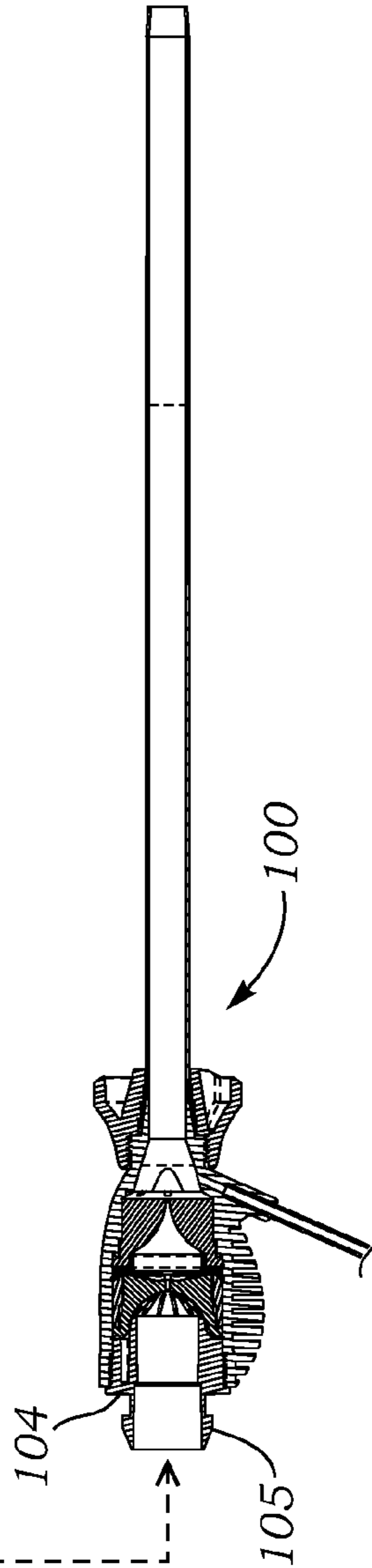
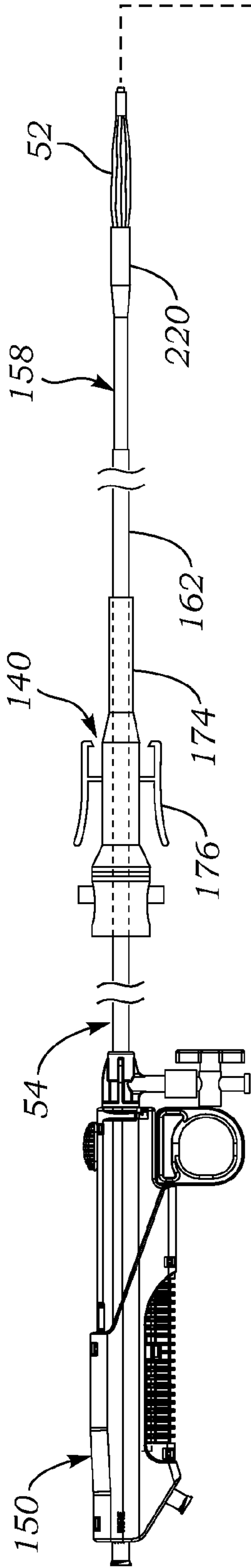


Fig. 14A

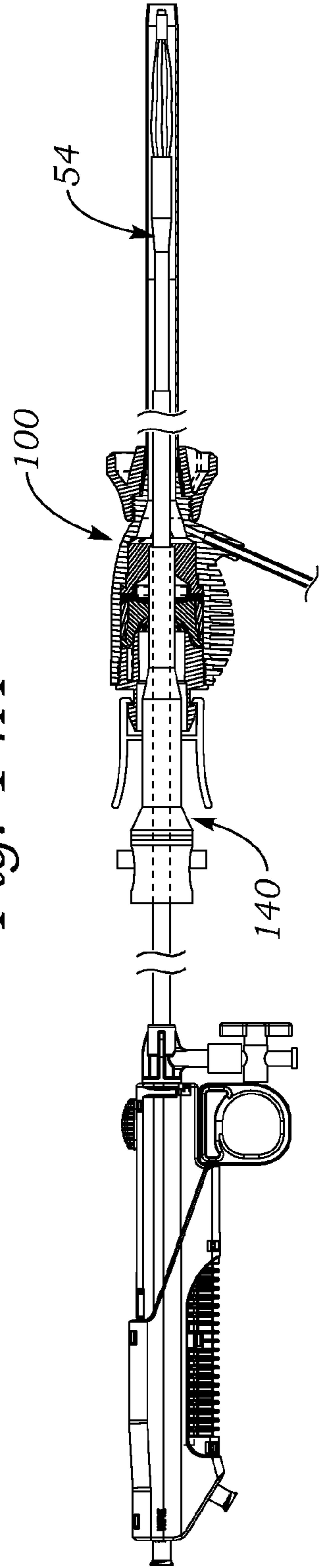


Fig. 14B

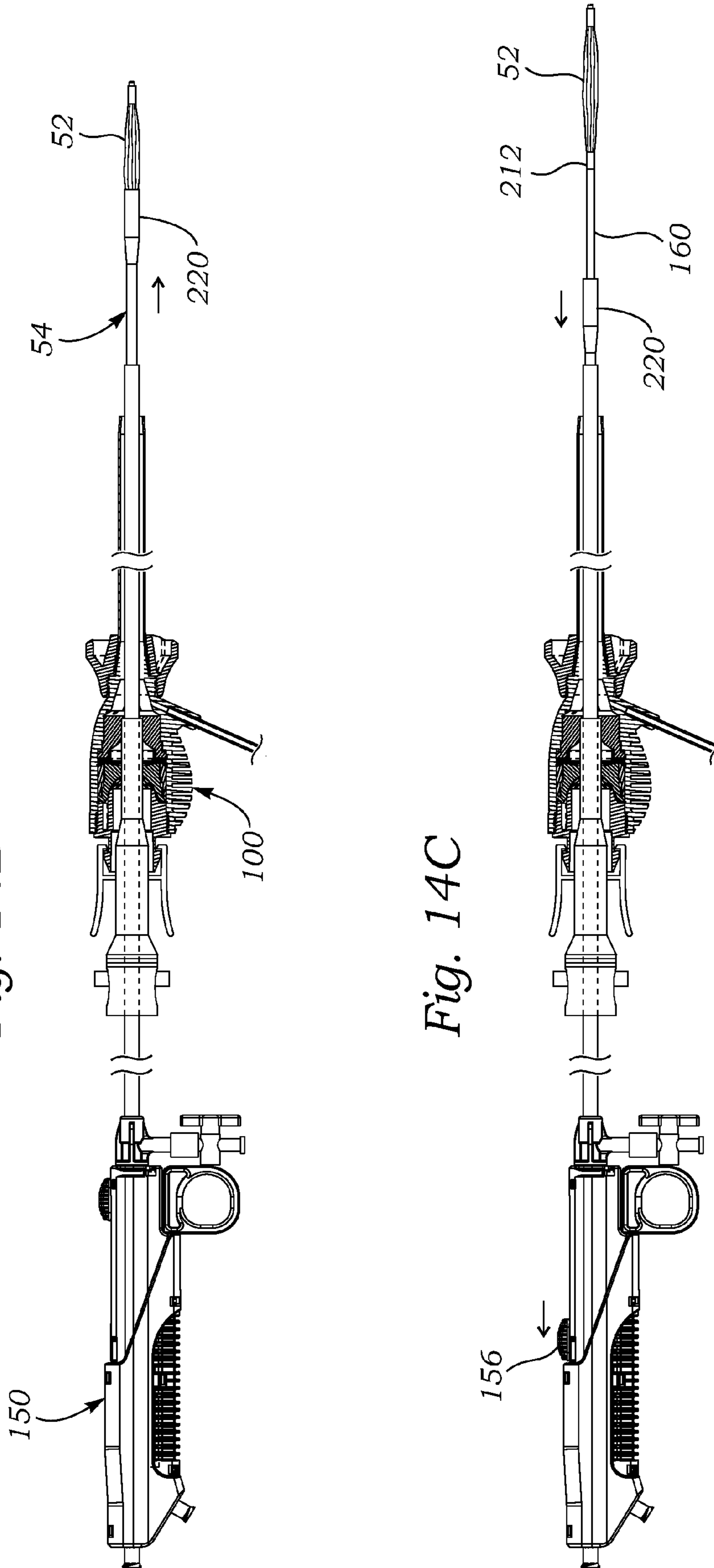


Fig. 14C

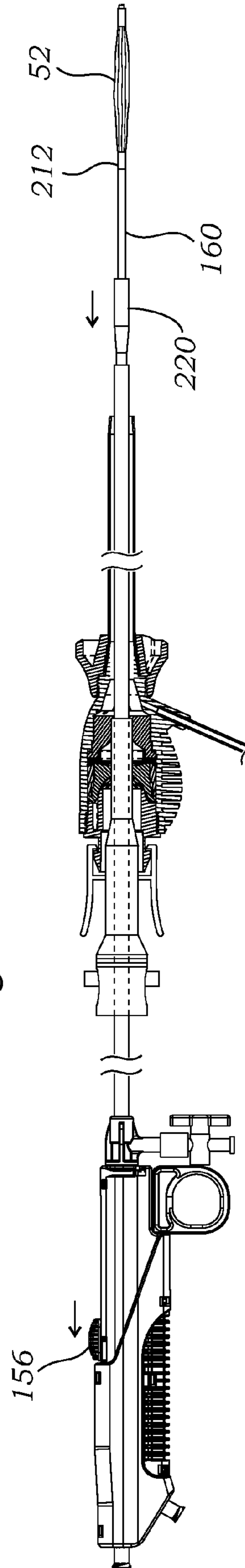


Fig. 14D

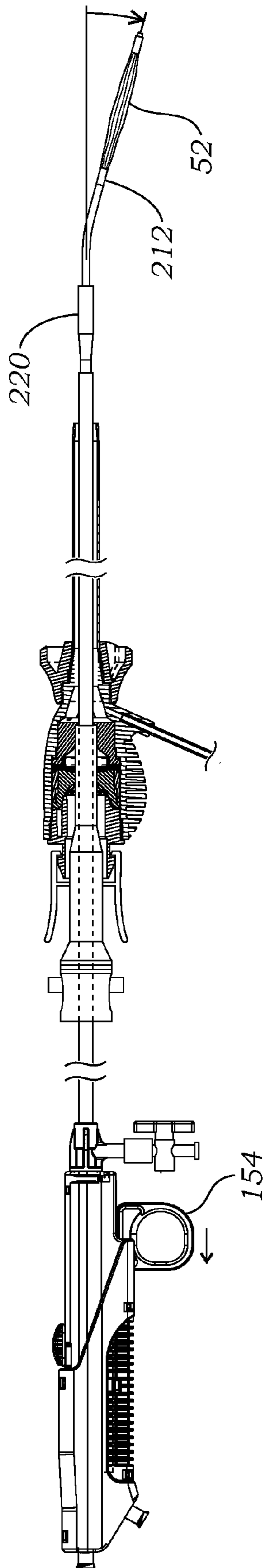


Fig. 14E

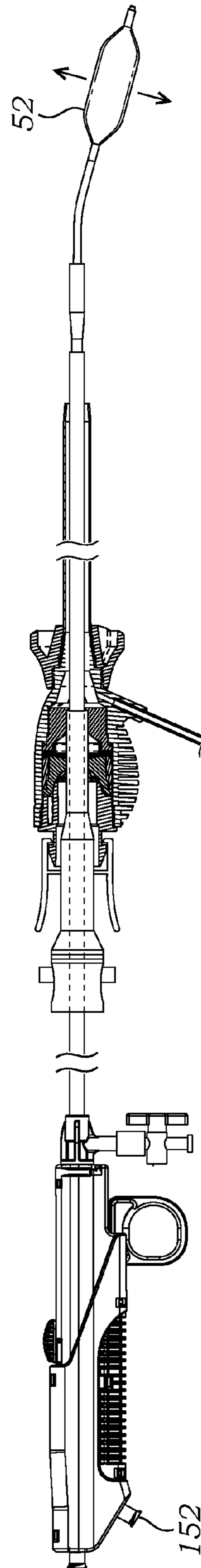


Fig. 15

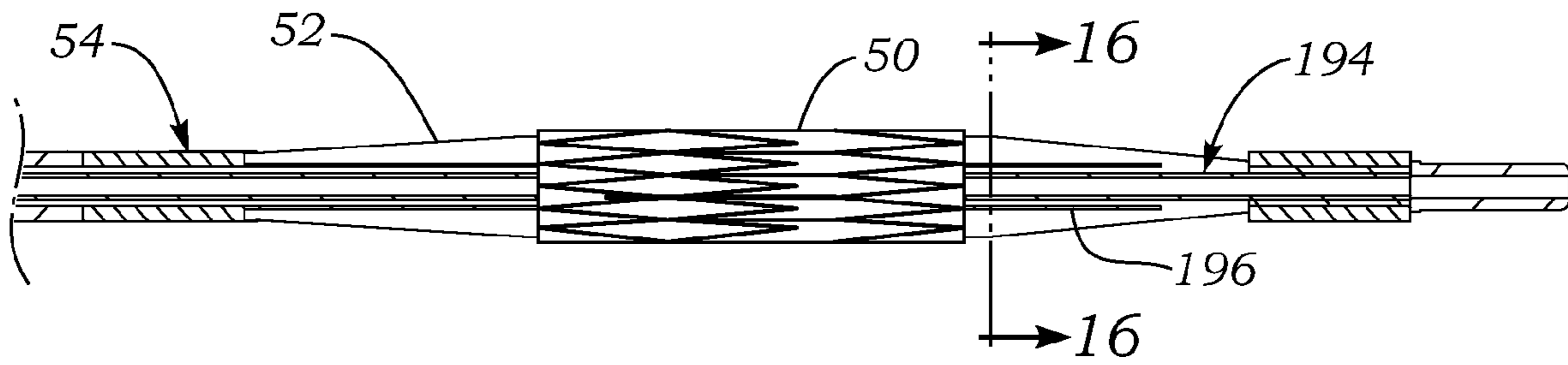


Fig. 16

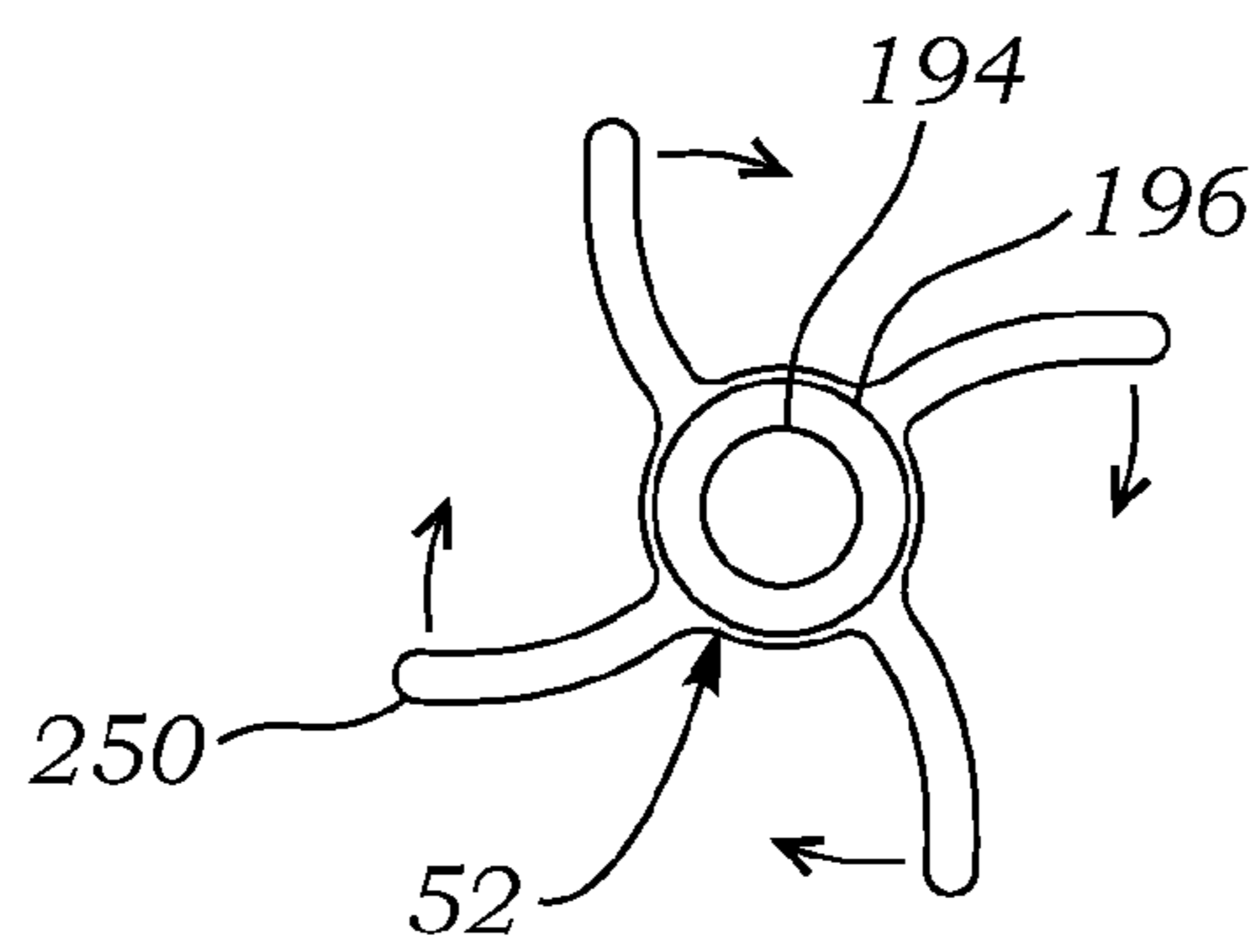
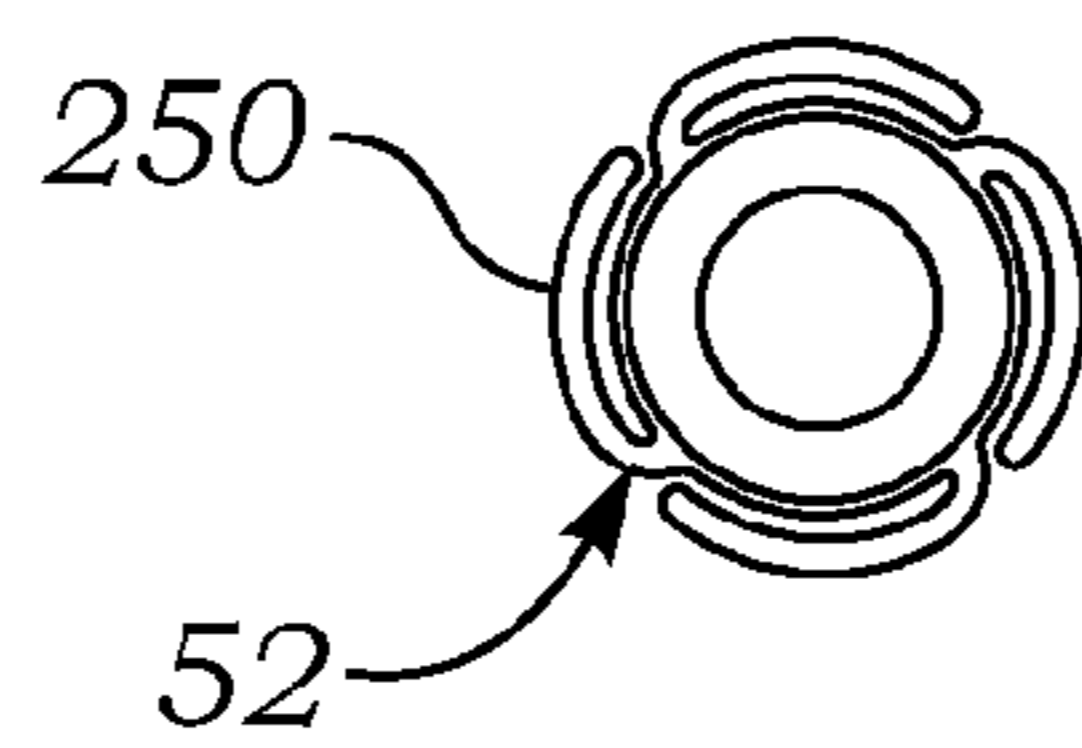


Fig. 17



TRANSAPICAL DELIVERY SYSTEM FOR HEART VALVES

RELATED APPLICATIONS

The present application claims priority under 35 U.S.C. §119(e) to U.S. provisional application No. 61/225,510 filed Jul. 14, 2009.

FIELD OF THE INVENTION

The present invention relates to methods and systems used to deliver a prosthetic valve to a heart. More specifically, the present invention relates to methods and apparatus for surgically replacing a heart valve without opening the chest cavity and with or without placing the patient on bypass, the latter being termed “off-pump.”

BACKGROUND OF THE INVENTION

Heart valve replacement may be indicated when there is a narrowing of the native heart valve, commonly referred to as stenosis, or when the native valve leaks or regurgitates, such as when the leaflets are calcified. When replacing the valve, the native valve may be excised and replaced with either a biologic or a mechanical valve. Mechanical valves require lifelong anticoagulant medication to prevent blood clot formation, and clicking of the valve often may be heard through the chest. Biologic tissue valves typically do not require such medication. Tissue valves may be obtained from cadavers or may be porcine or bovine, and are commonly attached to cloth-covered synthetic rings and/or leaflet support frames that are secured to the patient’s heart valve annulus.

Conventional heart valve surgery is an open-heart procedure conducted under general anesthesia. An incision is made through the patient’s sternum (sternotomy), and the patient’s heart is stopped while blood flow is rerouted through a heart-lung “cardiopulmonary” bypass machine. Valve replacement surgery is a highly invasive operation with significant concomitant risks include bleeding, infection, stroke, heart attack, arrhythmia, renal failure, adverse reactions to the anesthesia medications, as well as sudden death. Fully 2-5% of patients die during surgery. Post-surgery, patients temporarily may be confused due to emboli and other factors associated with the heart-lung machine. The first 2-3 days following surgery are spent in an intensive care unit where heart functions can be closely monitored. The average hospital stay is between 1 to 2 weeks, with several more weeks to months required for complete recovery.

In recent years, advancements in “minimally-invasive” surgery and interventional cardiology have encouraged some investigators to pursue percutaneous replacement of the aortic heart valve. Percutaneous Valve Technologies (“PVT”), formerly of Fort Lee, N.J. and now part of Edwards Lifesciences of Irvine, Calif., has developed a plastically- or balloon-expandable stent integrated with a bioprosthetic valve. The stent/valve device, now called the Edwards Sapien™ Heart Valve, is deployed across the native diseased valve to permanently hold the valve open, thereby alleviating a need to excise the native valve. The Edwards Sapien™ Heart Valve is designed for delivery in a cardiac catheterization laboratory under local anesthesia using fluoroscopic guidance, thereby avoiding general anesthesia and open-heart surgery. The Sapien™ Heart Valve may be inserted trans-femorally with the RetroFlex™ delivery system, or transapically with the Ascendra™ delivery system. A description of

the Ascendra™ delivery system is provided in U.S. Patent Publication No. 2007-0112422 to Dehdashtian.

Other prior art minimally-invasive heart valves use self-expanding stents as anchors. In the percutaneous/endovascular aortic valve replacement procedure, accurate placement of the prosthetic valve relative to the coronary ostia is critical. Though the proximal end of the stent is not released from the delivery system until accurate placement is verified by fluoroscopy, the self-expanding stent may still jump once released. It is therefore often difficult to know where the ends of the stent will be with respect to the native valve and surrounding structures.

U.S. Pat. No. 6,425,916 to Garrison et al. describes a two-piece device for replacement of the aortic valve that is adapted for delivery through a patient’s aorta. A stent is endovascularly placed across the native valve, then a replacement valve is positioned within the lumen of the stent and connected thereto. By separating the stent and the valve during delivery, a so-called “two-stage” approach, the profile of the delivery system can be reduced. Both the stent and a frame of the replacement valve may be balloon- or self-expandable.

Some researchers propose implanting prosthetic heart valves at the aortic annulus through a ventricular approach. For instance, Christoph H. Huber of the Brigham and Women’s Hospital of Harvard Medical School, and others, have proposed a procedure in which a self-expanding valve stent is implanted at the aortic position using a direct-access transapical approach. (E.g., Huber, et al. Direct-access valve replacement a novel approach for off-pump valve implantation using valved stents. *J Am Coll Cardiol* 2005; 46:366-70). The clinical studies by Huber, et al. recommend use of the procedure only for animals with normal, noncalcified leaflets. More recently, Bergheim in U.S. Patent Publication No. 2005/0240200 discloses another transapical approach in which either a balloon- or self-expanding valve may be implanted, and also proposes removing or decalcifying stenotic valves. Such direct-access or “port access” techniques though less invasive than conventional open heart surgery are not called, “minimally-invasive,” as that term is now primarily used to refer to valves delivered using elongated catheters via the vasculature (i.e., endovascularly).

In view of drawbacks associated with previously known techniques for replacing a heart valve without open-heart surgery or cardiopulmonary bypass, i.e., minimally-invasive procedures, improved methods and apparatuses that are more robust and even less invasive are needed.

SUMMARY OF THE INVENTION

Preferred embodiments of the present invention provide a heart valve delivery system for delivery of a prosthetic (i.e., replacement) heart valve to a native valve site without an open chest procedure. The delivery system includes a valve delivery catheter having a steerable section to facilitate positioning of the valve.

In accordance with one embodiment of the present application, a medical catheter introducer includes an elongated tubular sheath extending distally from a proximal housing and containing at least one introducer valve for fluidly sealing around a catheter. The sheath has a proximal segment with a first stiffness extending a length L of at least one half the length of the sheath, and a distal section with a second stiffness less than the first stiffness and having a length l. Desirably, the length l of the distal section ranges between about 4-12 cm. In one embodiment, the length L of the proximal segment is at least 24 cm, and the length l of the distal section ranges between about 6-9 cm. Also, the tubular sheath may

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have an inner liner and a reinforcing coil that both extend the entire length, and at least two sections of outer tubes in series having different durometers that create the differing stiffnesses of the sheath.

Another aspect disclosed herein is a medical introducer and heart valve delivery catheter combination comprising a delivery catheter having a distal balloon of sufficient diameter to expand a crimped heart valve thereon. An introducer that receives the delivery catheter therethrough has an elongated tubular sheath extending distally from a proximal housing. The proximal housing contains at least one introducer valve for fluidly sealing around a proximal length of the delivery catheter. The sheath further includes a proximal segment with a first stiffness extending a length L of at least one half the length of the sheath, and a distal section with a second stiffness different than the first stiffness and a length l . A tubular loader defines a throughbore that receives a distal portion of the delivery catheter, the tubular loader having structure for engaging mating structure on a proximal end of the introducer housing and a distal nose that extends through and opens the introducer valve and facilitates passage therethrough of the balloon of the delivery catheter.

A still further feature of the present application is a medical introducer and heart valve delivery catheter combination, comprising a delivery catheter having a distal balloon of sufficient diameter to expand a crimped heart valve thereon. The catheter includes a marker band at a proximal end of the balloon, and a tubular valve pusher that moves longitudinally with respect to the balloon and has a distal marker band. An introducer having an elongated tubular sheath extending distally from a proximal housing contains at least one introducer valve for fluidly sealing around a proximal length of the delivery catheter. The introducer sheath has a throughbore for passage of the delivery catheter and a marker dot array around its distal tip to distinguish the distal tip from the marker bands of the balloon and the pusher.

In accordance with a still further aspect, a medical introducer and heart valve delivery catheter combination comprises a delivery catheter, an introducer, and a tubular loader therebetween. The delivery catheter has a distal balloon of sufficient diameter to expand a crimped heart valve thereon. The introducer has an elongated tubular sheath extending distally from a proximal housing which contains at least one introducer valve for fluidly sealing around a proximal length of the delivery catheter. Finally, the tubular loader includes a throughbore that receives a distal portion of the delivery catheter, structure for engaging mating structure on a proximal end of the introducer housing, and a distal nose that extends through and opens the introducer valve, facilitating passage therethrough of the balloon of the delivery catheter. The loader also has a proximal housing with a seal for fluidly sealing around the introducer sheath, and a single-handed vent for aspirating air from within the loader.

A heart valve delivery catheter of the present application includes a catheter tube having a distal balloon thereon of sufficient diameter to fully expand a crimped heart valve from within. The balloon is disposed on the end of a deflectable portion of the catheter tube actuated by a deflection pull wire. The delivery catheter further includes a tubular valve pusher that slides over the catheter tube and moves longitudinally with respect to the balloon. The delivery catheter also has a proximal control handle on which are mounted both a deflection actuator for deflecting the deflectable portion of the catheter tube and a pusher actuator for displacing the valve pusher. Preferably, the delivery catheter includes a plurality of concentric tubes extending from within the control handle, and at

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least one passive seal within the handle for sealing around one of the tubes without preventing its movement.

Another benefit of the present application is a medical introducer and heart valve delivery catheter combination that comprises a delivery catheter having a catheter tube with a distal balloon thereon of sufficient diameter to fully expand a crimped heart valve from within. An introducer has an elongated tubular sheath extending distally from a proximal housing which contains at least one introducer valve for fluidly sealing around a proximal length of the delivery catheter. A tubular loader defines a throughbore that receives a distal portion of the delivery catheter, and includes structure for engaging mating structure on a proximal end of the introducer housing and a distal nose that extends through and opens the introducer valve and facilitates passage therethrough of the balloon of the delivery catheter. The loader has a proximal housing with a loader seal for fluidly sealing around the introducer sheath, and a single-handed vent for aspirating air from within the loader.

A heart valve delivery catheter and heart valve combination disclosed herein features an expandable prosthetic heart valve having a crimped configuration and proximal and distal ends. A delivery catheter includes a catheter tube with a distal balloon thereon of sufficient diameter to fully expand the crimped heart valve from within. The balloon has a length greater than the length of the heart valve so as to have proximal and distal exposed portions, and the balloon is folded in a manner that leaves only longitudinal fold lines to contrast with the ends of the heart valve under echocardiography.

A heart valve delivery catheter of the present application a delivery catheter having a catheter tube with a distal balloon thereon of sufficient diameter to fully expand the crimped heart valve from within, the balloon being disposed on the end of a deflection tube actuated by a deflection pull wire, the deflectable portion comprising a braided structure and the deflection wire extending along its length up to a distal coil to which the deflection wire attaches, the deflectable portion having a dimension no greater than 8 French.

A further understanding of the nature and advantages of the present invention are set forth in the following description and claims, particularly when considered in conjunction with the accompanying drawings in which like parts bear like reference numerals.

BRIEF DESCRIPTION OF THE DRAWINGS

Features and advantages of the present invention will become appreciated as the same become better understood with reference to the specification, claims, and appended drawings wherein:

FIG. 1 is a schematic frontal view of a patient showing the location of an intercostal incision providing access to the apex of the left ventricle of the heart;

FIGS. 2A-2B are cross-sectional views through the left side of a patient's heart showing a procedure for dilating a calcified aortic annulus prior to implantation of a prosthetic heart valve in accordance with the present invention;

FIGS. 3A-3E are cross-sectional views through the left side of a patient's heart showing several steps in a procedure for implanting a prosthetic heart valve in accordance with the present invention;

FIG. 4 is an exploded perspective view of an introducer/dilator combination used in the port access heart valve implantation procedure of the present invention;

FIG. 4A is an assembled view of the introducer/dilator combination of FIG. 4;

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FIGS. 5A and 5B are exploded perspective and elevational views of the introducer of FIG. 4;

FIG. 6A is a plan view of the introducer of FIG. 4;

FIG. 6B is a longitudinal sectional view of the introducer taken along line 6B-6B of FIG. 6A;

FIGS. 6C and 6D are enlarged views portions of a variable flexibility sheath of the introducer of FIG. 6B;

FIG. 7 is a perspective view of an exemplary balloon catheter/loader assembly for implanting a prosthetic heart valve as disclosed herein;

FIG. 7A is an exploded perspective view of a loader that provides an interface between the introducer of FIGS. 4-6 and the balloon catheter of FIG. 7;

FIG. 8 is a broken elevational view of the balloon catheter of FIG. 7;

FIG. 9 is a longitudinal sectional view of a proximal control handle of the balloon catheter of FIG. 7;

FIG. 10 is an exploded view of the proximal control handle of FIG. 9;

FIG. 11 is an enlarged sectional view of a distal deflecting segment of the balloon catheter of FIG. 7, also showing a distal balloon in a deflated state within a protective sheath;

FIG. 12 is an enlarged sectional view of a distal balloon of the balloon catheter of FIG. 7 in its inflated state;

FIG. 13 is an exploded view of the balloon catheter and introducer (in section) combination prior to coupling with a heart valve crimped onto the balloon;

FIG. 14A is an assembled view of the balloon catheter and introducer (in section) combination after insertion of the balloon catheter through the introducer;

FIGS. 14B-14E are views similar to FIG. 14A showing use of the heart valve delivery system disclosed herein in situ at the occurrence of a series of steps in a valve implant procedure;

FIG. 15 is a longitudinal sectional view of a distal end of an exemplary balloon catheter showing a prosthetic heart valve crimped over a balloon folded in a way that enhances visualization of the valve during implant;

FIG. 16 is a radial section of the folded balloon of FIG. 15; and

FIG. 17 is a radial section of the balloon of FIG. 16 illustrating a preferred folding technique.

DETAILED DESCRIPTION OF THE PREFERRED EMBODIMENTS

The heart is a hollow muscular organ of a somewhat conical form; it lies between the lungs in the middle mediastinum and is enclosed in the pericardium. The heart rests obliquely in the chest behind the body of the sternum and adjoining parts of the rib cartilages, and projects farther into the left than into the right half of the thoracic cavity so that about one-third is situated on the right and two-thirds on the left of the median plane. The heart is subdivided by septa into right and left halves, and a constriction subdivides each half of the organ into two cavities, the upper cavity being called the atrium, the lower the ventricle. The heart therefore consists of four chambers; the right and left atria, and right and left ventricles.

As seen in FIG. 1, the left ventricular apex LVA is directed downward, forward, and to the left (from the perspective of the patient). The apex typically lies behind the fifth left intercostal space (or between the fourth and fifth), 8 to 9 cm from the mid-sternal line, and about 4 cm below and 2 mm to the medial side of the left mammary papilla. Access to the left ventricle may therefore be attained through an intercostal incision 20 as shown in dashed line, positioned over the fifth left intercostal space. Such an approach is often termed a

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“mini-thoracotomy,” and lends itself to surgical operations on the heart carried out using one or more short tubes or “ports”—thus, the operations are often referred to as “port-access” procedures.

In a preferred embodiment of the present invention, a surgeon implants a prosthetic heart valve over the existing native leaflets, which are typically calcified. There are procedures and devices for removing calcified leaflets, but the risks associated therewith, including a release of calcific material into the bloodstream, are not insignificant. Therefore, a heart valve replacement procedure that installs the prosthetic heart valve directly over and contains the native leaflets is preferred.

Those skilled in the art will recognize that it may be necessary to pre-dilate the leaflets and annulus of the stenotic aortic valve before deploying a prosthetic valve within the aortic valve. FIGS. 2A and 2B are two snapshots of a valvuloplasty procedure that may be initially performed to compress the native aortic heart valve leaflets outward against the sinuses and ascending aorta. As mentioned above, the native aortic valve leaflets may be substantially calcified, and the valvuloplasty may be necessary to crack and otherwise force apart hardened tissue. Pre-dilation increases the flow area through the aortic valve and creates an opening in the leaflets of sufficient size to receive the prosthetic valve. Pre-dilatation is preferably achieved using an expandable member, such as a dilatation balloon catheter. One example of pre-dilatation of a valve annulus is seen in U.S. Pat. No. 6,908,481 to Cribier, issued Jun. 21, 2005 and expressly incorporated by reference herein.

FIG. 2A illustrates introduction of a guidewire 30 through a pre-formed apical puncture 32 in the left ventricle LV. A distal tip 34 of the guidewire 30 extends through the native aortic valve AV and into the ascending aorta AA. The distal tip 34 may extend further over the aortic arch, as seen in FIG. 2B, but the minimum extension is across the aortic valve AV.

FIG. 2B illustrates an introducer sheath 38 inserted into the LV through the apical puncture 32, with a balloon catheter 40 having a dilatation balloon 42 on a distal end passed over the guidewire 30 and through the sheath. As is known, prior to insertion of the sheath 38, a dilator having a gradually tapered tip (not shown) may first be inserted over the guidewire to enlarge the apical puncture 32. It should be noted at this point that the surgeon installs one or more purse-string sutures 44 in the tissue of the left ventricular apex surrounding the puncture 32. These sutures 44 are pre-implanted prior to formation of the initial puncture. In a preferred embodiment, the surgeon places a first line of purse-string sutures generally in a first circle in one direction, and then places a second line of purse-string sutures generally in a circle concentric to the first circle but in an opposite direction. The result is two concentric circles of separate purse-string sutures 44 defining a periphery within which the puncture is formed. The purse-string sutures 44 can therefore be pulled to cinch the ventricular tissue around whatever object passes through the puncture. In particular, the purse-string sutures 44 are tightened around both the guidewire 30 and introducer sheath 38. Installing the separate lines of purse-string sutures 44 in opposite directions helps prevent tearing of the ventricular tissue and provides a more uniform compression about whatever elongated object passes through the puncture.

As indicated in FIG. 2B, the dilatation balloon 42 expands radially outward into contact with the native aortic valve leaflets. With information concerning the size of the particular aortic valves, the balloon 42 is chosen so that it expands outward and nominally compresses the aortic valve leaflets against the surrounding aortic walls. There are various means

for assessing the size of the particular patient's aortic valve, including ultrasound, which will not be described herein. Suffice it to say that following the valvuloplasty procedure seen in FIG. 2B, the native aortic valve leaflets are compressed outward against the aortic wall and a substantially circular orifice results. Additional details regarding pre-dilatation and valve replacement can be found in Applicant's U.S. Pat. No. 6,908,481 to Cribier, expressly incorporated by reference herein.

With reference now to FIGS. 3A-3E, a preferred method of deploying and implanting a prosthetic heart valve of the present invention using a transapical approach will now be described in more detail. The devices and methods disclosed herein are particularly well-suited for replacing a stenotic aortic valve, and as such that the pre-dilation procedure seen in FIGS. 2A-2B typically precedes the valve implantation so as to smooth out the contours of the annulus and leaflets. It should be noted, however, that the procedure described herein may be performed without valve pre-dilation.

Furthermore, the present procedure may be performed as a first time valve implant or to supplement a previous implant. A relatively large proportion of recipients of prosthetic heart valves are older, typically older than 60. Over time, prosthetic heart valves have been known to show reduced performance and even failure. Re-operating on septagenarians and even octogenarians is problematic. However, a port access procedure such as disclosed herein eliminates open-heart surgery and potentially cardiopulmonary bypass, and is therefore more desirable for the aging patient. Therefore, the present invention contemplates transapical implantation of a prosthetic heart valve over an existing prosthetic valve implant. In such a case, a pre-dilation step is typically not necessary, though it is conceivable.

Prior to a discussion of the procedure itself, it should be noted that a preferred delivery system of the present invention will be described in greater detail below with reference to FIGS. 4-13. The workings of the present delivery system may be more easily understood after an explanation of the steps taken to ultimately implant the valve in the aortic annulus.

The prosthetic heart valve implantation procedure described herein may be performed in conjunction with cardiopulmonary bypass, or without bypass in a so-called off-pump procedure. The necessity for bypass depends on a number of factors, including the patient's age, vulnerability to such a procedure, and viability of the native leaflets. Ideally, the implantation procedure is performed off-pump.

The surgeon or cardiologist first sizes the aortic valve using a physical sizer, or with echocardiography. The physician or operating room staff then crimps an expandable prosthetic valve 50 over the balloon 52 of a balloon catheter 54 (some of the elements presently described can be seen in the procedure drawings of FIGS. 3A-3E, while others can be seen in the system drawings of the FIGS. 4-13). The surgeon advances the balloon catheter 54 over a guidewire 60 (that might be the same guidewire 30 used in a pre-dilation procedure), through an introducer sheath 70 that has been inserted through the left ventricular apex puncture 32 with the help of a dilator 74 (sometimes also referred to as an introducer).

The same purse-string sutures 44 that were used for the pre-dilation procedure may also be used to seal the ventricular tissue around the introducer sheath 70. In the absence of the pre-dilation procedure, the purse-string sutures 44 are pre-implanted prior to formation of the initial puncture. As before, the surgeon places a first line of purse-string sutures generally in a first circle in one direction, and then places a second line of purse-string sutures generally in a circle concentric to the first circle but in an opposite direction. The

result is two concentric circles of separate purse-string sutures 44 defining a periphery within which the puncture is formed, and which seal around the introducer sheath 70.

Furthermore, the dilator 74 that expands the inner diameter of the puncture 32 and rides over the guidewire 60 may be inserted prior to or with the introducer sheath 70. Preferred dilator diameters range between 12 and 22 French. The introducer sheath 70 comprises the distal end of an introducer that will be described below. Introducer sheath diameters of no greater than 24 French, and desirably 22 or 24 Fr are preferred.

FIG. 3A shows the introducer sheath 70 passing into the left ventricle through the puncture 32 and over the guidewire 60 that extends upward through the calcified aortic valve AV. The surgeon locates a distal tip 72 of the introducer sheath 70 just to the inflow side of the aortic valve AV, as seen in FIG. 3A. At this point, it should be understood by those of skill in the art that the position of the introducer sheath 70 relative to the aortic valve AV, as well as the position of other elements of the system, is monitored using radiopaque markers and fluoroscopy, or using other imaging systems such as transthoracic echo, transthoracic echo, intravascular ultrasound imaging (IVUS), or an injectable dye that is radiopaque. A specific combination of such markers for the exemplary system will be described below.

FIG. 3B shows the advancement of the balloon catheter 54 over the guidewire 60 and through the introducer sheath 70. Ultimately, as seen in FIG. 3C, the prosthetic heart valve 50 is located at the aortic annulus and between the native aortic leaflets. FIG. 3C also illustrates retraction of the introducer sheath 70 from its more forward position in FIG. 3B to permit balloon inflation/valve expansion. Radiopaque markers may be provided on the distal tip 72 of the introducer sheath 70 to more accurately determine its position relative to the valve 50 and balloon 52.

Again, the precise positioning of the prosthetic heart valve 50 may be accomplished by locating radiopaque markers on its distal and proximal ends, or in-between, for example at a midpoint. Desirably, the surgeon can adjust the position of the valve 50 by actuating a steering or deflecting mechanism within the balloon catheter 54, as will be described below. Furthermore, the rotational orientation of the valve 50 can be adjusted relative to the cusps and commissures of the native aortic valve by twisting the balloon catheter 54 from its proximal end and observing specific markers on the valve (or balloon catheter) under fluoroscopy. One of the coronary ostia 80 opening into one of the sinuses of the ascending aorta is shown, and those of skill in the art will understand that it is important not to occlude the two coronary ostia with the prosthetic valve 50. It should also be noted that although the native leaflets of the aortic valve AV are shown coapting in FIG. 3A, and being flexibly displaced by the balloon catheter 54 in FIGS. 3B and 3C, they may actually be compressed further outward against the aortic annulus from a pre-dilation procedure.

FIG. 3C shows the prosthetic heart valve 50 in its contracted or unexpanded state crimped around the balloon 52. When the surgeon is satisfied of the proper positioning and rotational orientation of the valve 50, the balloon 52 is expanded as seen in FIG. 3D. Proper size measurement of the native aortic valve AV enables the surgeon to select an optimum-sized valve 50 such that it expands outward into good contact with the aortic annulus. The term "good contact" implies sufficient contact to ensure that the prosthetic heart valve 50 does not migrate after implant. Excessive expansion of the valve, however, may damage surrounding tissue or interfere with the performance of adjacent valves.

A number of devices are available to assist in anchoring the prosthetic valve **50** into the aortic annulus, such as barbs and the like. A preferred configuration of prosthetic heart valve **50** for use with the present invention is disclosed in co-pending U.S. patent application Ser. No. 12/480,603 to Hariton, filed Jun. 8, 2009, which disclosure is expressly incorporated herein by reference. Another valve is disclosed in U.S. Pat. No. 7,276,078 to Spenser, filed Jun. 30, 2004, which disclosure is also expressly incorporated herein by reference. Of course, the valve **50** can take a variety of different forms but generally comprises an expandable stent portion that supports a valve structure. The stent portion has sufficient radial strength to hold the valve at the treatment site and resist recoil of the stenotic valve leaflets. Additional details regarding preferred balloon expandable valve embodiments can be found in U.S. Pat. Nos. 6,730,118 and 6,893,460, both to Spenser and both of which are expressly incorporated herein by reference. The preferred prosthetic heart valve **50** includes sufficient irregularity on its outer surface such that it may be anchored in the aortic annulus without the use of barbs or other tissue piercing structure.

Once the valve **50** is properly implanted, as seen in FIG. 3D, the surgeon deflates the balloon **52**, and withdraws the entire delivery system including the balloon catheter **54** over the guidewire **60**. The introducer sheath **70** is then withdrawn, followed by the guidewire **60**. Ultimately, the purse-string sutures **44** previously described are cinched tight and tied to close the puncture **32**, as seen in FIG. 3E.

It is important to recognize that the heart valve delivery system of the present invention is particularly well-suited for the antegrade, left ventricular apex, "transapical," approach. More particularly, the mini-thoracotomy approach requires relatively short instruments. Therefore, the portion of the introducer sheath **70** that extends into the body is desirably no more than about 8 inches (20 cm) long, and the length of the balloon catheter **54** that may extend into the introducer sheath **70**, i.e., the "working length," is desirably no more than about 24 inches (61 cm). Further specifics on the relatively short length of the balloon catheter **54** and introducer sheath **70** will be provided below. The short length of the prosthetic heart valve delivery system described herein is also well-suited for other anatomical approaches, including through the carotid or subclavian arteries. The short length of the system is desirable because it enhances controllability and steerability of the distal end, relative to longer systems, which helps improve accuracy and reduced time for valve positioning.

The delivery system of the present invention essentially comprises an introducer **100**, the balloon catheter **54**, and attendant couplers and operating structures, including a loader **140** between the introducer and balloon catheter as seen in FIG. 7. The introducer **100** is illustrated in FIGS. 4-6, while the balloon catheter **54** and loader **140** are shown in FIGS. 7-12. It should be noted that the delivery system is similar to another system used to percutaneously implant a prosthetic aortic valve, which is disclosed in co-pending U.S. Patent Publication No. 2007-0005131 to Taylor, filed Jun. 13, 2005, and expressly incorporated herein by reference. The present system differs in several aspects that make it more suitable for a transapical, port-access, or direct-access approach, although some features are common.

As seen in FIGS. 4 and 4A, the introducer **100** comprises the aforementioned distal sheath **70** coupled to an introducer housing **102** containing a series of valves. The exploded views of FIGS. 5A and 5B shows an end cap **104** detached from the introducer housing **102**. The end cap **104** includes a flanged nipple **105** for mating with the loader **140**, as will be explained below. The end cap **104** threads or otherwise

attaches to the housing **102** and retains therein, in series from proximal to distal, a cross-slit valve **106**, a disk valve **108**, a spacer **110**, and a duck-bill valve **112**. These three valves function to provide a seal when no instruments pass through the introducer **100**, and when several different sizes of instruments pass therethrough. For example, the valves seal around both the guidewire **60** and the balloon catheter **54** as previously shown. The introducer sheath **70** extends into the body vessel, with the introducer housing **102** located outside the body vessel. In a preferred embodiment, the introducer sheath **70** possesses an external hydrophilic coating and has a length of between about 20-24 cm so that it may extend through the access incision **20** (see FIG. 1), into the left ventricle and reach the aortic annulus.

As seen best in FIGS. 5 and 5A, the introducer sheath **70** attaches to the housing **102** via a sealing extension **122** that mates with a distal nipple **124** extending from the housing **102**. Preferably adhesive is used between these two mating sections. A threaded nut **126** rides over the sheath **70** and couples to threading **128** provided on the housing **102** just proximal to the nipple **124**. In this way, the various components can be manufactured (typically molded or extruded) separately and easily coupled together during assembly. Adhesive may be applied to the threading **128** prior to coupling the nut **126** for a more secure final assembly.

A side port tube **130** extends at an angle away from the introducer housing **102** and terminates in a three-way stopcock **132**. This permits the user to infuse medicaments or other fluids through the lumen of the introducer **100** even if devices such as the balloon catheter **54** are present therein.

FIGS. 6A-6D show further details of the introducer **100**, including a series of depth markings **133** on a distal section of the sheath **70**. The markings **133** indicate the distance in millimeters from the distal tip **72** so that the depth to which the distal tip extends into the left ventricular apex can be easily seen.

FIGS. 6B and 6C illustrate an advantageous construction in which the sheath **70** has greater flexibility along a distal section than along a proximal section. Specifically, the sheath **70** includes a distal section **134** having a length l that is more flexible than a proximal section **135**, wherein the free length of the sheath **70** is L (extending from the threaded nut **126**). FIG. 6C shows the internal construction of the sheath **70**, which includes an inner tubular liner **136**, a reinforcing coil **137**, a distal exterior tube **138** and a proximal exterior tube **139**. The liner **136** and coil **137** extend the free length L of the sheath **70**, while the exterior tubes **138**, **139** abut in series. The stiffness of the proximal exterior tube **139** is desirably greater than that of the distal exterior tube **138** to provide the differing flexibilities. Although two discrete sections each with constant stiffness are shown, the flexibility may be varied in more than two sections, and more gradually, with similar results.

By providing a more flexible distal section **134**, movement of the heart muscle surrounding the introducer sheath **70** (such as in the position of FIG. 3D) is accommodated with less trauma to the heart tissue. That is, the preferred procedure is with a beating heart with the left ventricle continually contracting and relaxing, which creates a significant amount of tissue/introducer movement. Permitting the distal end of the introducer to flex, or be floppy, helps reduce damage to the heart wall. Moreover, the surgeon often manipulates the catheter or introducer for better implant site access, which with a stiffer sheath may cause trauma to the heart wall. At the same time, the stiffer proximal section **135** ensures that the introducer **100** projects out from the operating field in a relatively straight line, with minimal floppiness, which is desired by surgeons. Sometimes a stabilizer at the point of incision may

be used, which reduces the heart wall movement, though the floppy distal end of the sheath still provides a benefit.

The liner **136** provides a smooth inner surface through which the balloon catheter with heart valve may pass without hindrance, and the coil **137** provides hoop strength to the tubular structure to prevent kinking. The sheath **70** may be fabricated using a number of tube forming techniques, such as extrusion.

In one embodiment, the free length *L* of the sheath **70** is between about 20-24 cm, while the distal section **134** has a length *l* of between about 4 cm and one half the free length *L*. More preferably the distal section **134** has a length *l* of between about 6-9 cm, and most preferably about 9 cm. The length *l* should be sufficient to permit the floppy portion of the sheath **70** to extend at least 4 cm into the heart wall.

In an exemplary embodiment, the inner liner **136** and exterior tubes **138**, **139** are formed of the same material for better melding, while the coil **137** is metallic. One particular combination is the liner **136** and exterior tubes **138**, **139** made of a nylon block copolymer sold under the tradename PEBAX®, while the coil **137** is stainless steel. The commercial PEBAX polymers consist of polyether blocks separated by polyamide blocks. The polyether blocks may be based upon polyethylene glycol, polypropylene glycol, or polytetramethylene ether glycol. The polyamides are usually based upon nylon-11 but may be based upon nylons 6 of nylon-6,6 or even a copolymer such as nylon-6/nylon-11. The polymers range in hardness as measured in durometer from Shore A 60 to Shore D72, and the proximal exterior tube **139** has a greater durometer than the distal exterior tube **138**. A selection of PEBAX compositions and their respective physical properties are provided on the website, www.pebax.com, in particular under the link, "Medical Applications." PEBAX® is a registered trademark of Arkema Inc. of Paris, France, with U.S. Corporate offices in Philadelphia, Pa.

FIG. 6D also shows an advantageous visualization system for the distal tip **72** of the introducer sheath **70**. A circular array of marker dots **73** at the distal tip **72** can be seen under fluoroscopy, and in clear contrast to marker bands provided on the balloon catheter **54**, as explained below.

FIG. 7 illustrates in perspective the balloon catheter **54**, which comprises an assembly of interrelated components commencing on a proximal end with a luer fitting **142** and terminating at a distal end in a soft tip **144**. The balloon catheter **54**, also shown in plan, sectional, and exploded views in FIGS. 8-12, comprises a control handle **150** having the luer fitting **142**, a balloon inflation connector **152**, a deflection actuator **154**, and a pusher actuator **156**. A pusher body **158** extends from the handle **150** around a balloon deflection tube **160** having the expandable balloon **52** located just proximal to the soft tip **144**. FIG. 7 illustrates a balloon sheath **161** covering the balloon **52** which protects the balloon during shipping and is removed prior to use of the system. An elongated stationary protective sleeve **162** also extends from the handle **150** over a majority of the pusher body **158** and forms an exterior surface of the balloon catheter **54** along much of its length. The loader **140** shown in perspective in FIG. 7A will be described in more detail below and provides a coupling between the balloon catheter **54** and the above-described introducer **100**.

As mentioned, the present application discloses an advantageous visualization system for the distal tip **72** of the introducer sheath **70**. Specifically, at least one marker band will be provided on the proximal end of the balloon **52**, and also on a distal end of the pusher body **158**. The axial proximity of the distal end of the pusher body **158** and the proximal end of the balloon **52** can therefore be easily seen to facilitate their

engagement. In addition, the circular array of marker dots **73** at the distal tip **72** of the introducer sheath **70** clearly contrasts with the marker bands on the balloon catheter **54** and the pusher body **158**, and helps the surgeon ensure that the introducer has been retracted far enough at the time of valve positioning and balloon expansion.

Prior to a detailed description of the exemplary balloon catheter **54**, its interaction with the introducer **100** via the loader **140** will be explained. As seen in FIG. 7A, the loader **140** has a generally tubular body **172** and a slightly externally tapered distal nose **174** that fits within the introducer **100**, and specifically through the series of valves **106**, **110**, **112** shown in FIG. 6B. The loader body **172** includes a pair of attached cantilevered fingers **176** extending longitudinally with internally facing snap ridges for securing the loader **140** to a nipple on the proximal end cap **104** of the introducer **100**. The loader **140** facilitates introduction of the balloon catheter **54** into the introducer **100**. As described above, the introducer housing **102** contains the series of valves **106**, **110**, **112** that in aggregate provide an effective fluid seal against egress of blood through the introducer **100** in the presence or absence of different sized medical implements. The distal nose **174** of the loader **140** extends through the introducer housing **102** and through these valves **106**, **110**, **112** (see FIG. 14A) to hold them open and provide a smooth internal lumen which matches the size of the lumen of the introducer sheath **70**. In this way, the somewhat irregular contours of the balloon catheter **54** having a prosthetic valve **50** crimped around the balloon **52** may smoothly pass into the introducer sheath **70**.

A loader seal, seen exploded in FIG. 7A, positioned within a proximal housing **178** comprises a pair of annular washers **180** and a resilient vent member **182**. As seen in FIG. 7, the protective sleeve **162** passes through the loader **140**, and the loader seal prevents fluid from escaping around the sleeve. The vent member **182** includes a pair of lateral buttons **184** that project through apertures in the side of the proximal housing **178**. Inward depression of one or both buttons **184** causes deformation of the vent member **182**, which in turn opens the distal space within the loader body **172** to the atmosphere. Any air entrained in the blood within the loader body **172** can thus easily be vented with one hand. The one-handed aspiration is both more convenient and also helps avoid inadvertent misalignment of the heart valve from unscrewing a valve cap to vent, a two-handed operation, which is the conventional arrangement. Moreover, eliminating the previous threaded cap arrangement for tightening a resilient seal with the passive loader seal means that movement of the protective sleeve **162** (and delivery catheter **54**) is never prevented by the loader valve. In this way, movement of the catheter **54** is decoupled from the loader **140** and attached introducer **100**.

Prior to balloon expansion as seen in FIG. 12, the loader **140** couples over the distal extent of the balloon catheter **54**, as seen in FIG. 7. The distal nose **174** inserts into the introducer housing **102** and the cantilevered loader fingers **176** mate with the flanged nipple of the end cap **104** (FIG. 14A). The balloon catheter **54** is thus coupled to the introducer **100**. Sliding the entire balloon catheter **54** distally permits the irregular contours of the distal extremity thereof to pass safely across the valves **106**, **110**, **112** and into the introducer sheath **70**. The loader **140** remains coupled to the introducer **100** during the valve implant procedure, and the vent member **182** can be actuated as needed to ensure no air remains in the system.

The various components of the balloon catheter **54** will now be described with respect to FIGS. 8-12. The catheter **54** includes the proximal control handle **54** and a plurality of

concentric tubes that extend distally to the soft tip **144**. In the exemplary embodiment, five concentric tubes of gradually smaller size connect to or extend into the handle **150**, as seen in FIG. **10B**. The handle **150** includes two molded halves having a plurality of inner walls and cavities to contain the various components.

The handle **150** includes a number of control components and is shown in section in FIG. **9** and exploded in FIGS. **10A** and **10B**. Specifically, the deflection actuator **154** in the form of a trigger controls deflection of the distal tip of the balloon deflection tube **160**, the pusher actuator **156** in the form of a slider controls longitudinal movement of the pusher body **158**, and operation of a stopcock **190** permits infusion of fluids to flush a space between the introducer sheath **70** and the pusher body **158**. Furthermore, a Y-port **192** at the proximal end of the handle **150** provides a longitudinal passage leading to the luer fitting **142** and an angled passage leading to the balloon inflation connector **152**. An inner tube **194** (smallest) having a throughbore extends the length of the balloon catheter **54** from the luer fitting **142** through the distal soft tip **144** (see FIG. **12**). The inner tube **194** provides a channel for passage of a guidewire, such as shown at **60** in FIG. **3D**. The luer fitting **142** also may provide an entry point for injection of radiographic contrast medium through the inner tube **194**, which is useful to check for perivalvular leaks after the prosthetic valve is implanted.

Still with reference to FIGS. **8-10**, and in particular FIG. **9**, a balloon inflation tube **196** (second smallest) surrounds the inner tube **194**, extending from the Y-port **192** in a distal direction and terminating within the balloon **52**. As seen in FIG. **9**, the Y-port **192** includes a stepped longitudinal bore having a larger distal portion that sealingly receives the balloon inflation tube **196**, and a smaller middle portion that sealingly receives the inner tube **194**. The angled passage leading to the balloon inflation connector **152** fluidly communicates with a space outside of the inner tube **194** that opens to the lumen of the balloon inflation tube **196**. With this configuration, fluid injected into the balloon inflation connector **152** passes into and travels the length of the balloon inflation tube **196** until it exits from the open distal end **198** thereof, within the balloon **52** (as seen in FIG. **12**). Additional fluid egress ports (not shown) may be provided in the balloon inflation tube **196** along the length of the balloon **52** for even inflation, and in particular ports proximal and distal to the prosthetic heart valve **50** are beneficial to help expand both ends of the valve at the same rate.

The balloon inflation tube **196** extends through the lumen of the balloon deflection tube **160** (third smallest) which has a proximal end anchored by a collar **200** fixed within a cavity of the handle **150**. The balloon deflection tube **160** has a particular construction that enables flexing along its length without kinking, and has a deflectable distal tip. More particularly, the balloon deflection tube **160** desirably includes a braided tube along its length to prevent kinking, a coil structure at its distal tip for deflection, and a deflection wire **202** that extends from the proximal end to the coil.

The deflection wire **202** also includes a plug **204** fixed on its proximal end acted on by a rail **206** that slides longitudinally within the handle **150**. Specifically, the deflection wire **202** passes through an aperture of a finger **208** on the rail **206**, which aperture is smaller than the plug **204**. The plug **204** is desirably cylindrical and may be constrained within a small guide sleeve **210** held within a cavity of the handle **150**. The rail **206** forms part of a trigger assembly and moves with the trigger **154**. Pulling the trigger **154** to the left from its position in FIGS. **8** and **9** will displace the plug **204** to the left, also pulling the deflection wire **202** to the left, or in a proximal

direction. The deflection wire **202** in turn attaches to one side of the coil at a distal tip **212** of the balloon deflection tube **160**, and pulling on the wire thus deflects the distal tip, as seen in FIGS. **14D** and **14E**. Of course by rotating the entire balloon catheter **54** about its axis the deflecting segment **212** may be steered in any direction. The coil provides both flexibility and resiliency such that release of tension on the deflection wire **202** permits the deflecting segment **212** to return to a straight orientation.

The construction of the deflection tube **160** enables a size reduction from prior designs that ultimately enables a size reduction of the valve **50** and balloon **52**. In one embodiment, the deflection tube **160** has a dimension no greater than 8 French. The braided proximal portion provides flexibility and column strength, while the distal coil enables the deflection only at the distal end. The distal tip **212** having the coil structure desirably has a length of about 4 cm. This construction also facilitates manufacture, as the braided proximal portion and coil with attached deflection wire **202** are easily combined using welding or the like.

The second largest tube is the pusher body **158**, which is tubular until an outwardly flared sleeve **220** on its distal end (see FIGS. **8** and **11**). A proximal end of the pusher body **158** affixes to a threaded sleeve **222** that couples with an internally threaded bore of a slider cap **224**, as seen in FIGS. **9** and **10B**. One or more passive O-ring seals **226** within the bore of the slider cap **224** permit relative movement of the slider member over the balloon deflection tube **160** while sealing against blood leakage therebetween. Desirably, two O-rings **226** sandwich an annular polymer (e.g., nylon) washer **228** to help even out the forces on each of the O-rings and therefore enhance the quality of the fluid seal around the balloon deflection tube **160**. Translation of the slider **156** and attached slider cap **224** along a corresponding longitudinal slot in the handle **150** thus displaces the pusher body **158** relative to the handle and to the balloon deflection tube **160**. Previous devices included separate handles and the seal would be positioned within a threaded cap that required tightening. The passive nature of the O-ring seal eliminates the two-handed tightening operation and also avoids any misalignment of the heart valve **50** once positioned from inadvertent movement of the balloon deflection tube **160**.

Moreover, the design of the handle **150** facilitates one-handed operation of the two primary movements of the balloon catheter **54**—deflection of the distal tip and linear movement of the pusher body **58**. The handle **150** preferably includes ergonomic ribs **230** on its underside, as seen in FIG. **8**, which, coupled with ribs on the slider **156** assist in moving the pusher body **158** along the catheter.

The pusher body **158** slides over the balloon deflection tube **160** as well as inside of the stationary protective sleeve **162** (the largest tube). As seen in FIG. **9**, the sleeve **162** affixes into a stepped bore of a housing of the stopcock **190**, which in turn attaches to a distal end of the handle. An O-ring seal **232** held within the stopcock housing (or between the housing and the handle **150**) contacts and seals against the exterior of the moving pusher body **158** and prevents leakage of fluid from the concentric space between the pusher body **158** and the stationary protective sleeve **162**. Saline or other such fluid may thus be infused in through the stopcock **190** to travel down and flush the concentric space between the pusher body **158** and the stationary protective sleeve **162**.

FIG. **11** is an elevational view of the distal end of the balloon catheter **54** showing the balloon **52** deflated and its proximal end spaced from the pusher sleeve **220**, while FIG. **12** shows the distal end of the balloon catheter **54** with the balloon **52** inflated.

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The inner tube **194** passes through the balloon **52** and terminates at a distal end that is capped by the aforementioned soft tip **144**. The soft tip **144** facilitates introduction of the balloon catheter **54** and reduces trauma to surrounding tissue. This is particularly important in the preferred procedure of the present invention where the catheter enters the apex of the left ventricle and travels through the aortic valve into the ascending aorta. As was seen in FIG. 3D, the distal tip of the catheter may extend far enough to enter the aortic arch, and the soft tip **144** thus prevents rupture or other abrasion to the surrounding vascular tissue. FIG. 13 also illustrates the open distal end of the inner tube **194** and soft tip **144** through which first a guidewire **62** may be passed and then radiographic contrast medium may be injected to test valve sufficiency after implant.

The balloon **52** includes a first cone portion **240**, a main cylindrical portion **242**, and a second cone portion **244**. The prosthetic heart valve **50** desirably crimps around the main cylindrical portion **242** for even cylindrical expansion, such as shown in phantom in FIG. 12. The balloon **52** can be formed of nylon, and is rated at a burst pressure of 6-8 atm. In preferred embodiments, the expanded diameter of the balloon ranges from about 20 to 28 mm, the particular size depending on the size of the heart valve **50** being implanted.

FIG. 15 is a longitudinal sectional view of a distal end of the exemplary balloon catheter **54** showing a prosthetic heart valve **50** crimped over the balloon **52**. The heart valve **50** has a shorter length than the balloon **52** leaving proximal and distal exposed portions thereof.

The balloon **52** is folded in a way that enhances visualization of the valve during implant. Specifically, certain conventional folding techniques resulted in wrinkling of the balloon **52**. For example, a common way to fold a catheter balloon is to first form a trifold and then wrapping the leaves of the trifold around the balloon catheter axis. Folding techniques like this often leave wrinkles or ripples even if done carefully. Such irregularities show up on echocardiography, which can interfere with precise location of the proximal and distal ends of the valve **50** relative to the implant site. The balloon **52** of the present invention on the other hand is folded in a manner that reduces if not eliminates irregularities that show up on echocardiography, thus enhancing the ability to properly locate the heart valve **50** at the aortic annulus.

FIG. 16 is a radial section of the folded balloon of FIG. 15, and shows four leaves **250** of the balloon **52** folding in a clockwise manner around the inner tubes **194**, **196**, though of course the direction that the leaves are wrapped is not critical. FIG. 17 illustrates the leaves **250** prior to folding. The leaves **250** extend longitudinally along the balloon **52** and comprise even circumferential spans of the balloon **52**. By careful selection of the radial dimension of each leaf **250**, the resulting wrapped structure in FIG. 16 is minimized for that size of balloon, and ensuring even circumferential wrapping rates results in longitudinal lines in the wrapped structure. The longitudinal fold lines contrast under fluoroscopy with the radial ends of the valve **50**, thus ensuring a clear view of the valve. Moreover, the longitudinal fold lines contrast with marker bands on the balloon and the pusher, as explained above. There may be four or more, possibly 6-8 folds or pleats pre-formed in the balloon which also facilitate deflation and removal through the valve and introducer.

In use, the present invention provides a novel and effective way for implanting a prosthetic heart valve **50** in the aortic annulus. The steps of the procedure have been described above with respect to FIGS. 1-3, at least as far as the final implantation steps. A description of the advantageous use of the exemplary balloon catheter **54** and introducer **100** in

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performing the entire procedure will now be provided with reference to FIGS. 13 and 14A-14E, which are in situ views of the system without the valve **50**.

First, as mentioned above, the physician determines the size of the patient's annulus. This can be done physically by creating the incision **20** and puncture **32** (FIGS. 1 and 2A) in the left ventricular apex, and inserting a sizing tool into the aortic annulus. However, the puncture **32** may not be large enough to pass a conventional sizer, and an alternative technique such as echocardiography or other such imaging system may be utilized.

Next, the balloon catheter **54**, introducer **100**, loader **140**, and prosthetic heart valve **50** are selected, and prepared for use by removing them from any packaging and rinsing or sterilizing as needed. A pre-dilation step as described above with respect to FIGS. 2A-2B may be performed to enlarge or crack existing calcification in the aortic annulus.

The process of crimping the prosthetic heart valve **50** over the balloon **52** may be accomplished in a number of ways, and there are suitable devices on the market for crimping balloon-expanding stents over balloons. In a preferred embodiment, a device having a compressing mechanism that works like the aperture iris of a camera is utilized. In such a device, multiple continuous segments around the periphery of the prosthetic heart valve **50** close separately but in concert so that uniform inward pressure is exerted on the heart valve. The devices typically operate manually.

Subsequently, the aforementioned pusher body **158** and flared sleeve **220** are advanced distally over the proximal end of the balloon **52**, such as seen in FIG. 13. The loader **140** is then secured over the distal end of the balloon catheter **54**, including the assembly of the balloon **52** and prosthetic valve (not shown).

At this point, or at the same time as balloon catheter preparation, the introducer **100** is positioned within the left ventricle as seen in FIG. 3A. Again, the purse-string sutures **44** maintain a fluid tight seal around the introducer sheath **70**. During the entire procedure the heart may continue beating. The physician inserts the distal nose **174** of the loader **140** into the proximal end cap **104** of the introducer **100** and bottoms the loader out such that the cantilevered fingers **176** engage the flanged nipple **105** of the introducer, as seen in FIG. 14A. At this point, the balloon catheter **54** is ready for introduction in the body.

The pusher body **158** and pusher sleeve **220**, as well as the stationary protective sleeve **162**, facilitate advancement of the deflecting segment **212** and attached balloon **52** having the valve **50** crimped thereon through the introducer sheath **70** and its valves **106**, **110**, **112**. In particular, the flared pusher sleeve **220** surrounds the deflecting segment **212** and a proximal portion of the balloon **52** during passage through the introducer sheath **70**. The pusher sleeve **220** secures the crimped valve from movement relative to the balloon **52**. Eventually, proximal retraction of the pusher body **158** relative to the balloon deflection tube **160** frees the deflecting segment **212** for angled movement, and the balloon **52** for expansion.

The physician then distally advances the balloon catheter **54** with respect to the loader **140** and introducer **100** into a position such as that shown in FIG. 14B. In this state, the balloon **52** with valve may be advanced to its eventual implant position using echocardiography, for example.

The physician then retracts the pusher sleeve **220** from the deflecting segment **212** and the proximal portion of the balloon **52**, as seen in FIG. 14C, by simply sliding back the pusher actuator **156** on the handle **150**. The stationary protective sleeve **162** around the pusher body **158** serves to

decouple movement of the pusher from the valves of the introducer, thus reducing friction on the pusher. Also, the one-handed operation of sliding back the pusher actuator **156** while grasping the handle **150** greatly reduces the chance of misalignment of the valve position.

The physician may further advance and angle the balloon **52** until it reaches the position shown in FIG. **3C**. The entire operation is visualized using radiographic markers and fluoroscopy, and the precise positioning of the balloon **52** and prosthetic valve **50** mounted thereon is accomplished by axial movement and rotation of the catheter **54** coupled with angular changes of the deflecting segment **212**, as seen in FIG. **14D**. Specifically, as the prosthetic valve **54** advances it is aligned as much as possible along the flow axis of the native aortic valve AV by gross movement of the catheter **54** and slight changes in its angular orientation by tensioning the deflecting wire **202** with the deflection actuator **154**.

As mentioned above, the deflection wire **202** (FIG. **10B**) extends from the handle **150** along the balloon deflection tube **160** and terminates at the deflecting segment **212**, and preferably at a distal end of a coil spring therein (not shown). Pulling the deflection wire **202** causes the deflecting segment **212** to be pulled to the side of attachment of the wire, thus deflecting the distal end of the catheter and balloon **52**, as in FIG. **14D**.

Ultimately, the valve **50** is positioned correctly as in FIG. **3C** taking care that the valve **50** is not liable to block either of the coronary ostia **80** when expanded. Saline mixed with contrast is then injected through the balloon inflation connector **152** which passes through the length of the balloon inflation tube **196** to fill the balloon **52**, as seen in FIG. **14E**. The balloon **52** is of a type that has a maximum expanded diameter which has previously been selected to properly expand the prosthetic heart valve **52** to its optimum diameter in contact with the surrounding aortic valve AV, and calcified leaflets if they remain in place. The step is illustrated in FIG. **3D**. The balloon **52** expands the prosthetic heart valve **50** to implant it in the annulus, after which the balloon is deflated and removed from within the valve.

Subsequently, radiographic contrast medium may be injected from the proximal luer connection **142** of the balloon catheter **54** to egress through the distal soft tip **144** and test the efficacy of the just-implanted prosthetic valve **50**. If the valve is properly functioning, the balloon catheter **54** is withdrawn into the introducer sheath **70**, which is removed from the puncture **32**. The purse-string sutures **44** are closed up to seal the puncture **32**.

Once again, the delivery system described herein is particularly well-suited for an antegrade, transapical approach, partly because of its relatively short length. With reference to FIG. **4A**, the entire length of the introducer **100** is approximately 13 inches (33 cm), while the length of the sheath **70** that may extend within the body is between about 20-24 cm. The portion of the balloon catheter **54** that extends into the introducer **100** (that is, the portion of the balloon catheter from the distal soft tip **144** to approximately the deflection handle **154**) is preferably no more than about 24 inches (61 cm), which permits about 11 inches (28 cm) of the balloon catheter to extend beyond the introducer distal tip **72** (see FIG. **4**). It should be noted that the relatively short length of the delivery system is unsuited for a longer, more circuitous approach through the peripheral vasculature, such as shown in co-pending U.S. Patent Publication No. 2007-0005131 to Taylor. Also, the steering mechanism is provided on the balloon catheter **54** itself, rather than on a secondary catheter used for guiding the balloon catheter, as is done in U.S. Patent Publication No. 2007-0005131. The short length of the bal-

loon catheter and the ability to directly manipulate it greatly enhances successful positioning of the prosthetic heart in the aortic annulus.

While the invention has been described in its preferred embodiments, it is to be understood that the words which have been used are words of description and not of limitation. Therefore, changes may be made within the appended claims without departing from the true scope of the invention.

What is claimed is:

1. A medical introducer and heart valve delivery catheter combination, comprising;

a delivery catheter having a distal balloon of sufficient diameter to expand a heart valve crimped thereon, wherein the delivery catheter has a proximal control handle including two molded halves with a plurality of concentric tubes extending from within the control handle and at least one actuator on the exterior of the control handle for moving one of the tubes axially, the control handle further enclosing a passive seal for sealing around the tube that is moved by the actuator such that the passive seal does not prevent axial movement thereof; and

an introducer that receives the delivery catheter there-through and has an elongated tubular sheath extending distally from a proximal housing containing at least one introducer valve for fluidly sealing around devices passed therethrough, the sheath including a proximal segment extending a length of at least one half a total length L of the sheath and having a first stiffness, and a distal section having a length l of one half or less of the total length L of the sheath that has a second stiffness different than the first stiffness.

2. The combination of claim **1**, wherein the length l ranges between about 4-12 cm.

3. The combination of claim **1**, wherein the length L is at least 24 cm, and the length l ranges between about 6-9 cm.

4. The combination of claim **1**, wherein the tubular sheath has an inner liner and a reinforcing coil that both extend the entire length, and at least two sections of outer tubes in series having different durometers that create the differing stiffnesses of the sheath.

5. The combination of claim **1**, wherein the second stiffness is less than the first stiffness.

6. The combination of claim **1**, wherein the delivery catheter has a catheter tube and the balloon is disposed on the end of a deflectable portion of the catheter tube actuated by a deflection pull wire, the delivery catheter further including a tubular valve pusher that slides over the catheter tube and moves longitudinally with respect to the balloon, and wherein the proximal control handle has mounted thereon both a deflection actuator for deflecting the deflectable portion of the catheter tube and a pusher actuator for displacing the valve pusher with respect to the balloon, and wherein the deflection actuator is the at least one actuator for moving the tube that passes through the passive seal.

7. The combination of claim **1**, wherein the distal balloon has an expanded diameter of up to about 28 mm.

8. The combination of claim **1**, wherein the delivery catheter has a catheter tube and includes a tubular valve pusher that slides over the catheter tube and moves longitudinally with respect to the balloon, and a protective sleeve that extends over a majority of the valve pusher, and a tubular loader defining a throughbore that receives a distal portion of the delivery catheter and includes structure for engaging mating structure on a proximal end of the introducer housing, the loader having a distal nose that extends through and opens the introducer valve and facilitates passage therethrough of the

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balloon of the delivery catheter, the loader having a proximal housing with a passive loader seal that does not prevent axial movement of the protective sleeve.

9. A medical introducer and heart valve delivery catheter combination, comprising;

a delivery catheter having a distal balloon of sufficient diameter to expand a heart valve crimped thereon, the delivery catheter including a marker band at a proximal end of the balloon, the delivery catheter also including a tubular valve pusher that slides over the catheter and moves longitudinally with respect to the balloon and has a distal marker band; and

an introducer having an elongated tubular sheath extending distally from a proximal housing containing at least one introducer valve for fluidly sealing around devices passed therethrough, the sheath having a throughbore for passage of the delivery catheter and a marker dot array around its distal tip to distinguish the distal tip from the marker bands of the balloon and the pusher, wherein the delivery catheter has a catheter tube and the balloon is disposed on the end of a deflectable portion of the catheter tube actuated by a deflection pull wire, and the delivery catheter also has a proximal control handle on which are mounted both a deflection actuator for deflecting the deflectable portion of the catheter tube and a pusher actuator for longitudinally displacing the valve pusher with respect to the balloon.

10. The combination of claim **9**, wherein the delivery catheter includes a plurality of concentric tubes extending from within the control handle, and at least one passive seal within the handle for sealing around one of the tubes, such that the passive seal does not prevent axial movement of the one tube.

11. The combination of claim **9**, wherein the deflectable portion comprises a braided structure and the deflection wire extends along its length up to a distal coil to which the deflection wire attaches, the deflectable portion having a dimension no greater than 8 French.

12. The combination of claim **9**, wherein the delivery catheter includes a protective sleeve that extends over a majority of the valve pusher, and a tubular loader defining a throughbore that receives a distal portion of the delivery catheter and includes structure for engaging mating structure on a proximal end of the introducer housing, the loader having a distal nose that extends through and opens the introducer valve and facilitates passage therethrough of the balloon of the delivery catheter, the loader having a proximal housing with a passive loader seal that does not prevent axial movement of the protective sleeve.

13. The combination of claim **9**, wherein the balloon in a deflated configuration is folded in a manner that leaves only longitudinal fold lines.

14. A medical introducer and heart valve delivery catheter combination, comprising:

a delivery catheter having a distal balloon of sufficient diameter to expand a heart valve crimped thereon; an introducer having an elongated tubular sheath extending distally from a proximal housing containing at least one introducer valve for fluidly sealing around devices passed therethrough; and

a tubular loader defining a throughbore that receives a distal portion of the delivery catheter, and includes structure for engaging mating structure on a proximal end of

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the introducer housing and a distal nose that extends through and opens the introducer valve and facilitates passage therethrough of the balloon of the delivery catheter, the loader having a proximal housing with a loader seal for fluidly sealing around the delivery catheter, and a single-handed vent for aspirating air from within the loader,

wherein the delivery catheter has a catheter tube and the balloon is disposed on the end of a deflectable portion of the catheter tube actuated by a deflection pull wire, the delivery catheter further including a tubular valve pusher that slides over the catheter tube and moves longitudinally with respect to the balloon, and the delivery catheter also has a proximal control handle on which are mounted both a deflection actuator for deflecting the deflectable portion of the catheter tube and a pusher actuator for longitudinally displacing the valve pusher with respect to the balloon

15. A medical introducer and heart valve delivery catheter combination, comprising;

a delivery catheter having a distal balloon of sufficient diameter to expand a heart valve crimped thereon;

an introducer having an elongated tubular sheath extending distally from a proximal housing containing at least one introducer valve for fluidly sealing around devices passed therethrough; and

a tubular loader defining a throughbore that receives a distal portion of the delivery catheter, and includes structure for engaging mating structure on a proximal end of the introducer housing and a distal nose that extends through and opens the introducer valve and facilitates passage therethrough of the balloon of the delivery catheter, the loader having a proximal housing with a loader seal for fluidly sealing around the delivery catheter, and a single-handed vent for aspirating air from within the loader,

wherein the delivery catheter has a catheter tube and the balloon is disposed on the end of a deflectable portion of the catheter tube actuated by a deflection pull wire, the delivery catheter further including a tubular valve pusher that slides over the catheter tube and moves longitudinally with respect to the balloon, and the delivery catheter also has a proximal control handle on which are mounted both a deflection actuator for deflecting the deflectable portion of the catheter tube and a pusher actuator for longitudinally displacing the valve pusher with respect to the balloon.

16. The combination of claim **15**, wherein the delivery catheter includes a plurality of concentric tubes extending from within the control handle, and at least one passive seal within the handle for sealing around one of the tubes, such that the passive seal does not prevent axial movement of the one tube.

17. The combination of claim **14**, wherein the delivery catheter includes a protective sleeve that extends over a majority of the valve pusher, and wherein the loader seal is a passive valve that does not prevent axial movement of the protective sleeve.

18. The combination of claim **14**, wherein the balloon in a deflated configuration is folded in a manner that leaves only longitudinal fold lines.

* * * * *

UNITED STATES PATENT AND TRADEMARK OFFICE
CERTIFICATE OF CORRECTION

PATENT NO. : 8,475,522 B2
APPLICATION NO. : 12/835546
DATED : July 2, 2013
INVENTOR(S) : Teodoro S. Jimenez et al.

Page 1 of 2

It is certified that error appears in the above-identified patent and that said Letters Patent is hereby corrected as shown below:

In the Claims

Col. 19 line 52 through Col. 20 line 18 should read:

14. A medical introducer and heart valve delivery catheter combination, comprising;

a delivery catheter having a distal balloon of sufficient diameter to expand a heart valve crimped thereon;

an introducer having an elongated tubular sheath extending distally from a proximal housing containing at least one introducer valve for fluidly sealing around devices passed therethrough; and

a tubular loader defining a throughbore that receives a distal portion of the delivery catheter, and includes structure for engaging mating structure on a proximal end of the introducer housing and a distal nose that extends through and opens the introducer valve and facilitates passage therethrough of the balloon of the delivery catheter, the loader having a proximal housing ~~with~~ with a loader seal for fluidly sealing around the delivery catheter, and a single-handed vent for aspirating air from within the loader,

wherein the delivery catheter has a catheter tube and the balloon is disposed on the end of a deflectable portion of the catheter tube actuated by a deflection pull wire, the delivery catheter further including a tubular valve pusher that slides over the catheter tube and moves longitudinally with respect to the balloon, and the delivery catheter also has a proximal control handle on which are mounted both a deflection actuator for deflecting the deflectable portion of the catheter tube and a pusher actuator for longitudinally displacing the valve pusher with respect to the balloon.

Signed and Sealed this
Seventeenth Day of September, 2013



Teresa Stanek Rea
Deputy Director of the United States Patent and Trademark Office

Col. 20 line 19 through line 47 delete entire Claim:

~~15. A medical introducer and heart valve delivery catheter combination, comprising;~~
~~——— a delivery catheter having a distal balloon of sufficient diameter to expand a heart valve crimped thereon;~~
~~an introducer having an elongated tubular sheath extending distally from a proximal housing containing at least one introducer valve for fluidly sealing around devices passed therethrough; and~~
~~——— a tubular loader defining a throughbore that receives a distal portion of the delivery catheter, and includes structure for engaging mating structure on a proximal end of the introducer housing and a distal nose that extends through and opens the introducer valve and facilitates passage therethrough of the balloon of the delivery catheter, the loader having a proximal housing with a loader seal for fluidly sealing around the delivery catheter, and a single handed vent for aspirating air from within the loader,~~
~~wherein the delivery catheter has a catheter tube and the balloon is disposed on the end of a deflectable portion of the catheter tube actuated by a deflection pull wire, the delivery catheter further including a tubular valve pusher that slides over the catheter tube and moves longitudinally with respect to the balloon, and the delivery catheter also has a proximal control handle on which are mounted both a deflection actuator for deflecting the deflectable portion of the catheter tube and a pusher actuator for longitudinally displacing the~~
“ ~~valve pusher with respect to the balloon.~~ ”

and insert

15. The combination of claim 14, wherein the loader seal comprises a resilient vent member connected to at least one lateral button that projects through an aperture in the side of the proximal housing, wherein manipulation of the lateral button causes deformation of the vent member, which in turn opens a space within the proximal housing to the atmosphere.

Col. 20 line 48 through line 53 should read:

16. The combination of claim ~~15~~ 14, wherein the delivery catheter includes a plurality of concentric tubes extending from within the control handle, and at least one passive seal within the handle for sealing around one of the tubes, such that the passive seal does not prevent axial movement of the one tube.