



US008281178B2

(12) **United States Patent**  
**Thibadeau**

(10) **Patent No.:** **US 8,281,178 B2**  
(45) **Date of Patent:** **\*Oct. 2, 2012**

(54) **HYBRID COMPUTER SECURITY CLOCK**

(75) Inventor: **Robert H. Thibadeau**, Pittsburgh, PA (US)

(73) Assignee: **Seagate Technology LLC**, Cupertino, CA (US)

(\* ) Notice: Subject to any disclaimer, the term of this patent is extended or adjusted under 35 U.S.C. 154(b) by 567 days.

This patent is subject to a terminal disclaimer.

4,593,384 A	6/1986	Kleijne	
5,012,514 A	4/1991	Renton	380/4
5,022,077 A	6/1991	Bealkowski et al.	380/4
5,027,401 A	6/1991	Soltész	380/54
5,101,374 A	3/1992	Sinutko, Jr.	395/725
5,164,988 A	11/1992	Matyas et al.	
5,345,590 A	9/1994	Ault et al.	395/650
5,394,469 A	2/1995	Nagel et al.	380/4
5,432,939 A	7/1995	Blackledge, Jr. et al.	395/700
5,448,045 A	9/1995	Clark	235/382
5,504,814 A	4/1996	Miyahara	713/200
5,600,805 A	2/1997	Fredericks et al.	395/825
5,623,637 A	4/1997	Jones et al.	395/491
5,754,821 A	5/1998	Cripe et al.	395/491

(Continued)

(21) Appl. No.: **12/423,662**

(22) Filed: **Apr. 14, 2009**

(65) **Prior Publication Data**

US 2009/0235109 A1 Sep. 17, 2009

**Related U.S. Application Data**

(62) Division of application No. 11/410,447, filed on Apr. 25, 2006, now Pat. No. 7,539,890.

(51) **Int. Cl.**

**G06F 1/00** (2006.01)  
**G06F 1/14** (2006.01)  
**G06F 7/04** (2006.01)

(52) **U.S. Cl.** ..... **713/500; 713/189; 713/502; 726/1; 726/29; 726/30**

(58) **Field of Classification Search** ..... **713/189, 713/500, 502; 726/1, 29, 30**

See application file for complete search history.

(56) **References Cited**

**U.S. PATENT DOCUMENTS**

3,576,544 A	4/1971	Cordero, Jr. et al.	340/172.5
3,890,601 A	6/1975	Pietrolewicz	340/172.5
4,183,085 A	1/1980	Roberts et al.	364/200
4,442,484 A	4/1984	Childs, Jr. et al.	364/200

**FOREIGN PATENT DOCUMENTS**

CN 1567362 A 1/2005

(Continued)

**OTHER PUBLICATIONS**

Office Action Dated Sep. 15, 2009 From Japanese Application No. 2007-114174.

(Continued)

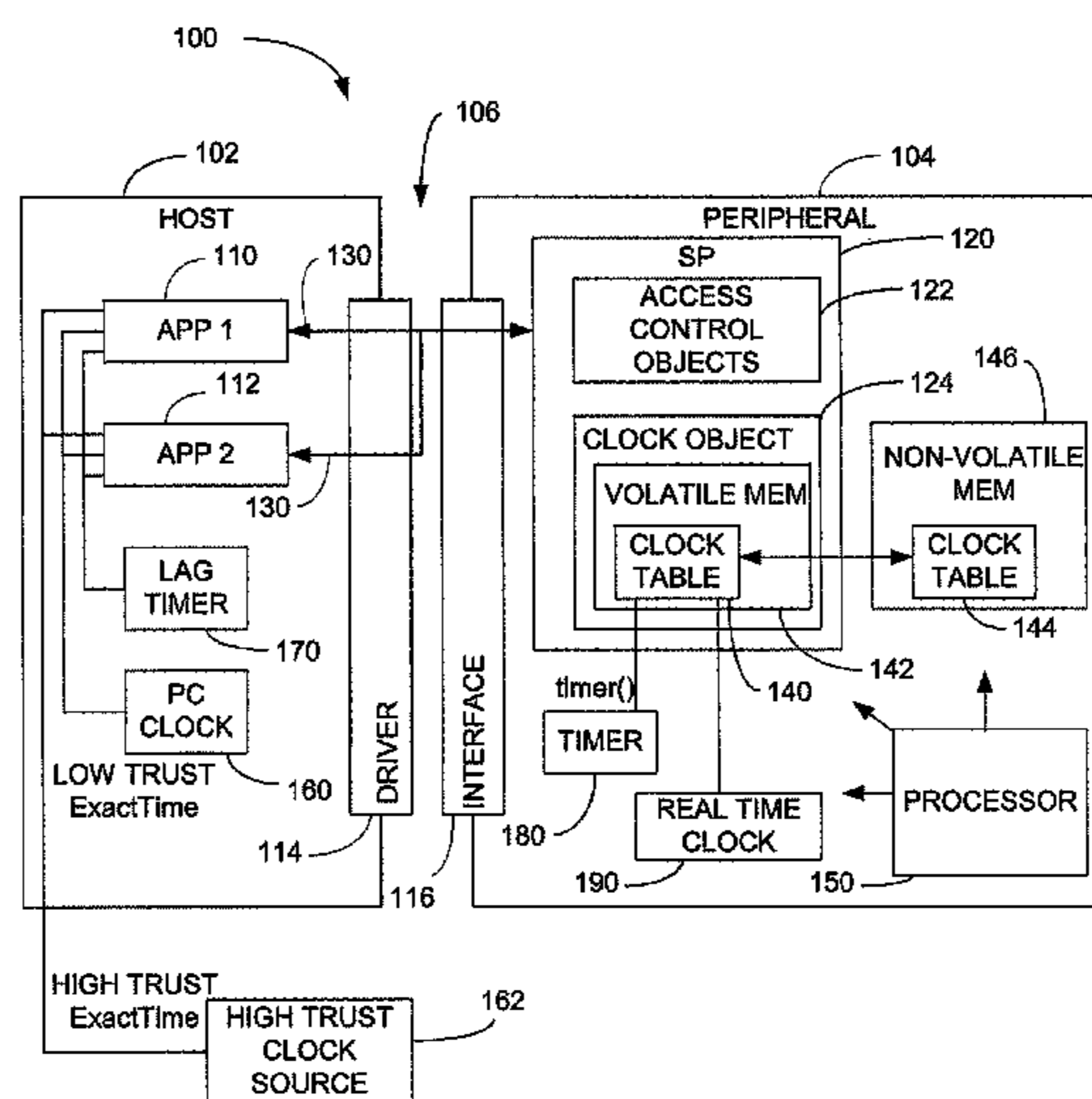
*Primary Examiner* — Mark Connolly

(74) *Attorney, Agent, or Firm* — Cesari & Reed, LLP; Kirk A. Cesari

(57) **ABSTRACT**

A clock object is provided, which includes a clock time and a monotonic time that are readable by the electronic device. The monotonic time is incremented every read of the monotonic time from the clock object. The clock object can also include an indication of a level of trust of the clock time.

**19 Claims, 2 Drawing Sheets**



## U.S. PATENT DOCUMENTS

5,787,498 A 7/1998 Lee et al.  
5,809,546 A 9/1998 Greenstein et al. .... 711/164  
5,889,941 A 3/1999 Tushie et al.  
5,892,899 A 4/1999 Aucsmith et al. .... 395/186  
5,892,902 A 4/1999 Clark ..... 395/187.01  
5,928,364 A 7/1999 Yamamoto ..... 713/202  
5,940,513 A 8/1999 Aucsmith et al. .... 380/25  
5,949,601 A 9/1999 Braithwaite et al. .... 360/60  
6,000,023 A 12/1999 Jeon ..... 711/173  
6,044,349 A 3/2000 Tolopka et al. .... 705/1  
6,061,449 A 5/2000 Candelore et al.  
6,088,802 A 7/2000 Bialick et al.  
6,092,202 A 7/2000 Veil et al. .... 713/201  
6,134,662 A 10/2000 Levy et al. .... 713/200  
6,138,239 A 10/2000 Veil ..... 713/200  
6,141,752 A 10/2000 Dancs et al. .... 713/172  
6,157,984 A 12/2000 Fisher et al. .... 711/112  
6,173,282 B1 1/2001 McCaughan et al.  
6,173,402 B1 1/2001 Chapman ..... 713/182  
6,175,924 B1 1/2001 Arnold ..... 713/189  
6,182,222 B1 1/2001 Oparaji ..... 713/206  
6,192,472 B1 2/2001 Garay et al. .... 713/165  
6,219,726 B1 4/2001 Ripberger ..... 710/36  
6,219,771 B1 4/2001 Kikuchi et al. .... 711/164  
6,226,744 B1 5/2001 Murphy et al.  
6,253,281 B1 6/2001 Hall ..... 711/112  
6,268,789 B1 7/2001 Diamant et al. .... 340/5.74  
6,269,409 B1 7/2001 Solomon ..... 709/329  
6,321,358 B1 11/2001 Anderson ..... 714/763  
6,324,627 B1 11/2001 Kricheff et al. .... 711/163  
6,330,653 B1 12/2001 Murray et al. .... 711/173  
6,336,187 B1 1/2002 Kern et al. .... 713/161  
6,360,945 B1 3/2002 Drew ..... 235/382  
6,421,779 B1 7/2002 Kuroda et al.  
6,438,690 B1 8/2002 Patel et al.  
6,446,209 B2 9/2002 Kern et al. .... 713/193  
6,468,160 B2 10/2002 Elliott ..... 463/40  
6,647,481 B1 11/2003 Luu et al. .... 711/206  
6,650,492 B2 11/2003 Lenny et al. .... 360/31  
6,691,198 B1 2/2004 Hamlin ..... 710/305  
6,691,226 B1 2/2004 Frank, Jr. et al. .... 713/100  
6,707,548 B2 3/2004 Kreimer et al.  
6,711,605 B2 3/2004 Sekiguchi et al. .... 709/1  
6,715,073 B1 3/2004 An et al.  
6,820,063 B1 11/2004 England et al. .... 705/54  
6,836,853 B1 12/2004 Dover et al. .... 713/502  
6,854,039 B1 2/2005 Strongm et al. .... 711/163  
6,871,278 B1 3/2005 Sciupac  
6,889,329 B1 5/2005 DiGiorgio et al.  
6,892,383 B1 5/2005 Arndt ..... 718/1  
6,915,402 B2 7/2005 Wilson et al. .... 711/173  
6,957,364 B2 10/2005 Shimooka et al. .... 714/25  
6,986,052 B1 1/2006 Mittal  
7,036,020 B2 4/2006 Thibadeau ..... 713/200  
7,046,805 B2 5/2006 Fitzhardinge et al. .... 380/210  
7,085,931 B1 8/2006 Smith et al.  
7,114,051 B2 9/2006 Guu et al. .... 711/103  
7,124,301 B1 10/2006 Uchida  
7,155,616 B1 12/2006 Hamlin  
7,178,034 B2 2/2007 Cihula et al. .... 713/155  
7,228,243 B2 6/2007 Brenner ..... 702/61  
7,336,789 B1 2/2008 Mooij et al.  
7,356,665 B2 4/2008 Rawson, III ..... 709/213  
7,426,747 B2 9/2008 Thibadeau  
7,461,270 B2 12/2008 Thibadeau  
7,539,890 B2 5/2009 Thibadeau ..... 713/502  
7,600,129 B2 10/2009 Libin et al.  
2001/0052073 A1 12/2001 Kern et al.

2002/0069169 A1 6/2002 Sukeda et al.  
2002/0077177 A1 6/2002 Elliott  
2002/0108051 A1 8/2002 Fougereux et al.  
2002/0136406 A1 9/2002 Fitzhardinge et al.  
2002/0157010 A1 10/2002 Dayan et al. .... 713/191  
2002/0178337 A1 11/2002 Wilson et al.  
2003/0023867 A1 1/2003 Thibadeau  
2003/0046472 A1 3/2003 Morrow  
2003/0084168 A1 5/2003 Erickson et al.  
2003/0126447 A1\* 7/2003 Debiez et al. .... 713/178  
2003/0225960 A1 12/2003 Guu et al.  
2004/0073795 A1 4/2004 Jablon ..... 713/171  
2004/0088513 A1 5/2004 Biessener et al. .... 711/173  
2004/0128500 A1 7/2004 Cihula et al.  
2005/0066191 A1 3/2005 Thibadeau ..... 713/200  
2005/0160151 A1 7/2005 Rawson, III  
2005/0177698 A1 8/2005 Ku et al.  
2005/0177714 A1 8/2005 Jeong et al. .... 713/155  
2005/0197859 A1 9/2005 Wilson et al.  
2005/0210266 A1 9/2005 Cottrell et al. .... 713/185  
2005/0268114 A1 12/2005 Thibadeau  
2006/0174352 A1 8/2006 Thibadeau  
2007/0174920 A1 7/2007 Thibadeau  
2007/0250710 A1 10/2007 Thibadeau ..... 713/168  
2007/0250734 A1 10/2007 Thibadeau  
2007/0250915 A1 10/2007 Thibadeau ..... 726/5  
2008/0215306 A1 9/2008 Lidji et al. .... 703/26  
2009/0235109 A1 9/2009 Thibadeau

## FOREIGN PATENT DOCUMENTS

CN 1716221 A 1/2006  
JP 2041550 A 2/1990  
JP 5134895 A 6/1993  
JP 10097446 A 4/1998  
JP 2001175354 A 6/2001  
JP 2002100118 A 4/2002  
JP 2003091704 A 3/2003  
JP 2004199410 A 7/2004  
JP 2004303095 A 10/2004  
JP 2008287020 A 11/2008  
JP 2010097445 A 4/2010  
WO 0013073 A1 3/2000  
WO 0180190 A1 10/2001  
WO 0195273 A1 12/2001

## OTHER PUBLICATIONS

“Protection and Control of Information Sharing in Multics” Communications of the ACM, vol. 17, No. 7, Jul. 1974.  
Korean Intellectual Property Tribunal Trial Decision dated Sep. 3, 2010 for corresponding Korean Application No. 10-2007-0040214.  
Japanese Office Action dated Sep. 15, 2009 for corresponding Japanese Application No. 2007-114174.  
“TCPA Security and Internet Business: Vital Issues for IT” Aug. 2000, pp. 1-70.  
Notice of Allowance dated Jan. 14, 2009 for corresponding U.S. Appl. No. 11/410,447, filed Apr. 25, 2006.  
Office Action dated Dec. 30, 2009 for corresponding U.S. Appl. No. 11/410,453, filed Apr. 25, 2006.  
Office Action dated Mar. 4, 2010 for corresponding U.S. Appl. No. 11/410,702, filed Apr. 25, 2006.  
Office Action dated Jun. 29, 2010 for corresponding U.S. Appl. No. 11/410,453, filed Apr. 25, 2006.  
Final Office Action dated Aug. 17, 2010 for corresponding U.S. Appl. No. 11/410,702, filed Apr. 25, 2006.  
Final Office Action dated Dec. 3, 2010 for corresponding U.S. Appl. No. 11/410,453, filed Apr. 25, 2006.

\* cited by examiner

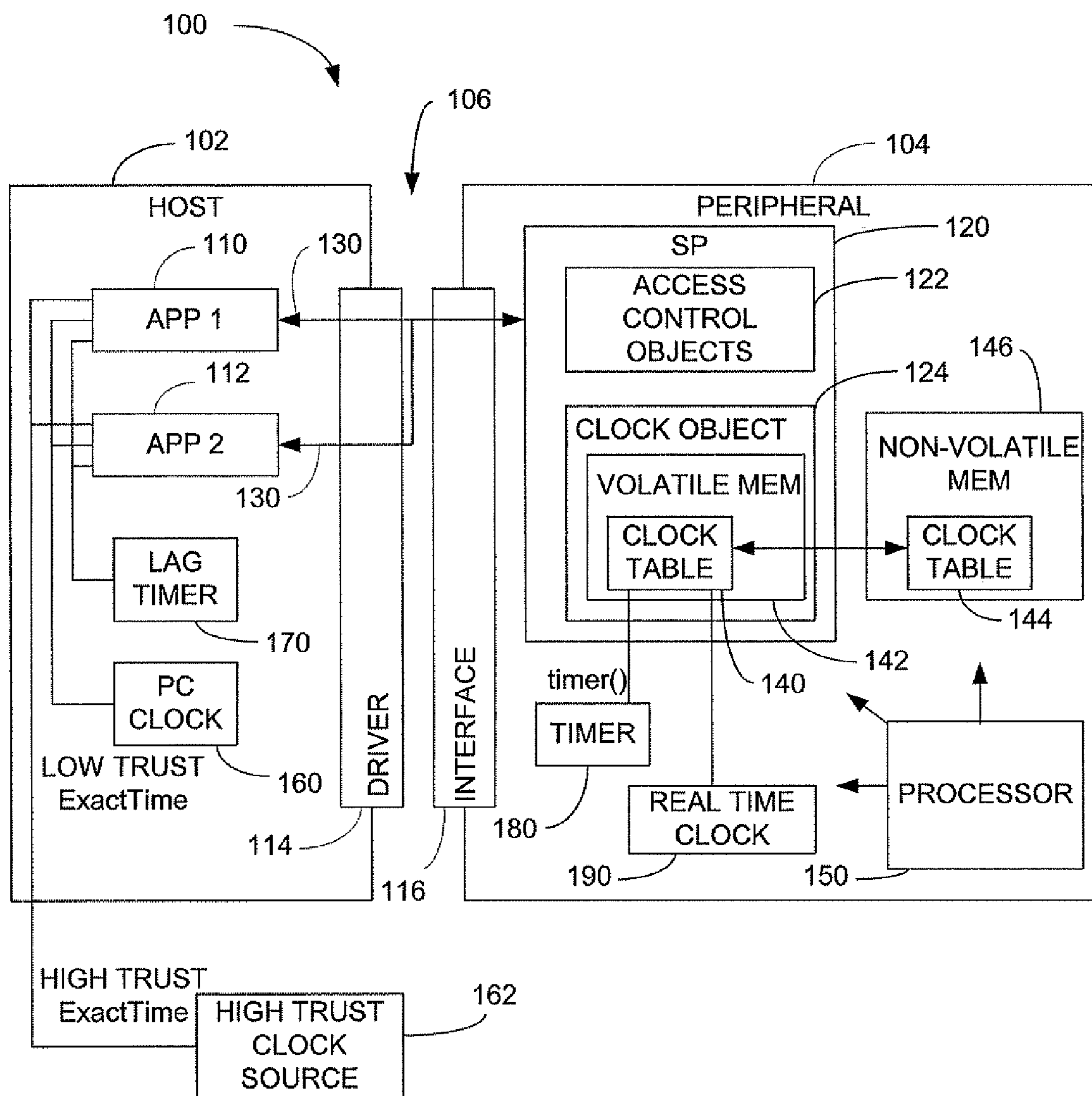


FIG. 1

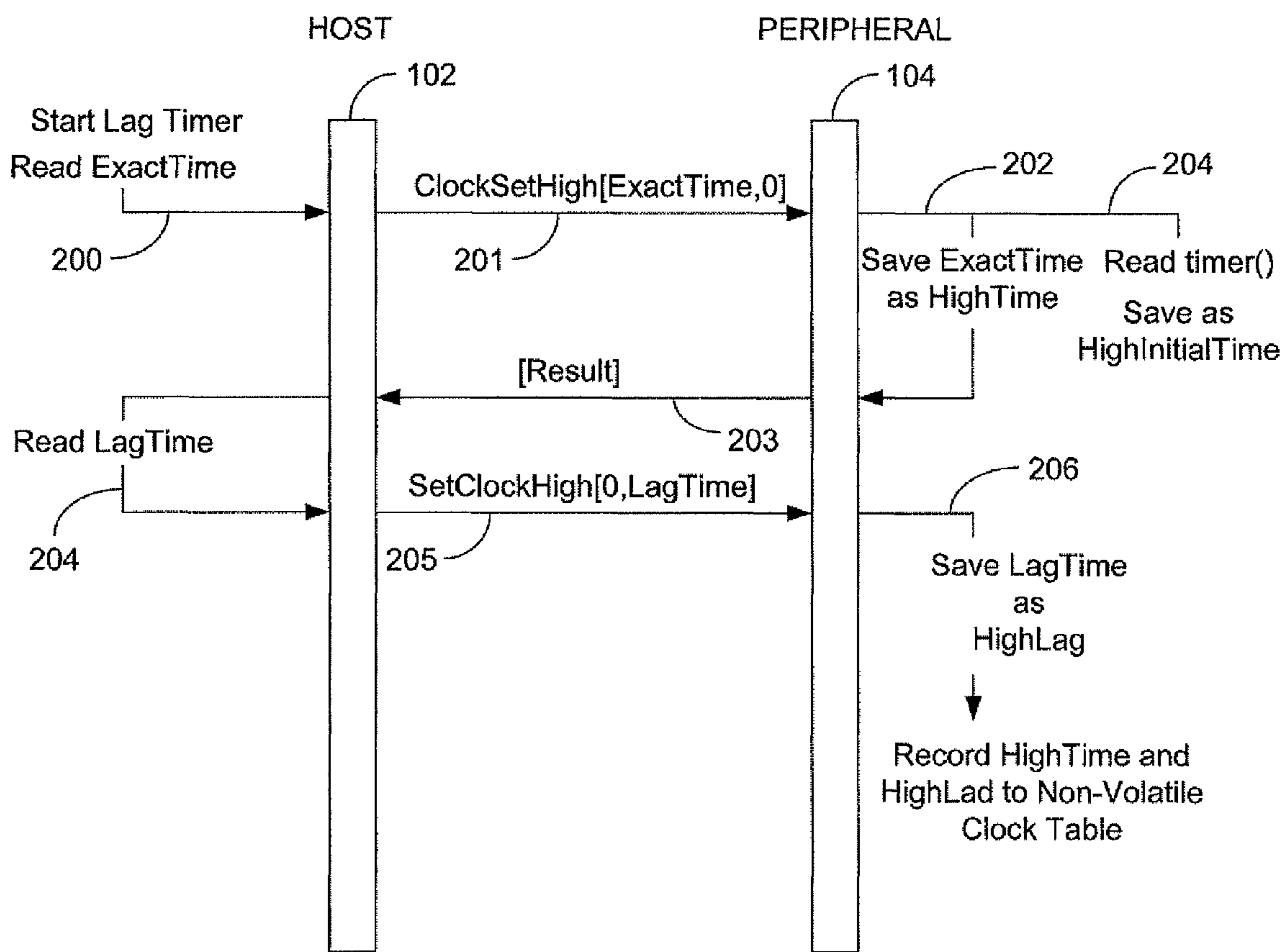


FIG. 2

**HYBRID COMPUTER SECURITY CLOCK****CROSS-REFERENCE TO RELATED APPLICATIONS**

The present application is a divisional of and claims priority from U.S. patent application Ser. No. 11/410,447, filed Apr. 25, 2006, the content of which is hereby incorporated by reference in its entirety.

Cross-reference is hereby made to U.S. application Ser. No. 11/410,702, entitled "VERSATILE ACCESS CONTROL SYSTEM" and U.S. Application No. 11,410,453, entitled "VERSATILE SECURE AND NON-SECURE MESSAGING, which were filed on Apr. 25, 2006, and are hereby incorporated by reference in their entireties.

Cross-reference is also made to copending U.S. patent application Ser. Nos. 09/912,931, filed Jul. 25, 2001 and entitled "METHODS AND SYSTEMS FOR PROMOTING SECURITY IN A COMPUTER SYSTEM EMPLOYING ATTACHED STORAGE DEVICES"; 10/963,373, filed Oct. 12, 2004 and entitled "SYSTEM AND METHOD FOR DELIVERING VERSATILE SECURITY, DIGITAL RIGHTS MANAGEMENT, AND PRIVACY SERVICES FROM STORAGE CONTROLLERS"; 10/984,368, filed Nov. 9, 2004 and entitled "SYSTEM AND METHOD FOR DELIVERING VERSATILE SECURITY, DIGITAL RIGHTS MANAGEMENT, AND PRIVACY SERVICES"; 11/178,908, filed Jul. 11, 2005 and entitled "METHODS AND SYSTEMS FOR PROMOTING SECURITY IN A COMPUTER SYSTEM EMPLOYING ATTACHED STORAGE DEVICES"; 11/343,338, filed Jan. 31, 2006 and entitled "METHOD AND APPARATUS FOR PROVIDING VERSATILE SERVICES ON STORAGE DEVICES"; and 11/346,118, filed Feb. 2, 2006 and entitled "METHODS AND SYSTEMS FOR PROMOTING SECURITY IN A COMPUTER SYSTEM EMPLOYING ATTACHED STORAGE DEVICES".

**FIELD OF THE DISCLOSURE**

The present disclosure relates generally to electronic computing devices, and more particularly but not by limitation to clock architectures for providing information about time, such as for security functions.

**BACKGROUND OF THE DISCLOSURE**

Electronic devices often include a clock for providing information about time. For example, it is desirable for electronic devices that perform security functions to have a means for obtaining date and time information. For forensic logging functions, it is important to have the capability of logging the times of certain events.

A real time clock keeps track of the current time even when the device is turned off. A real time clock therefore requires a source of power, such as a battery, that allows the clock to continue to function and keep time after the device is powered down. In contrast, clocks that are not real time do not function when the device is turned off but keep time fairly accurately when powered-up.

A problem common to many electronic devices, such as storage devices, is that it is often impractical to include a real time clock for cost reasons or reasons of practicality around the need for power. This makes it difficult to maintain accurate time information and to log a precise order of events so that evidence as to what even occurred and when the event occurred cannot be easily repudiated.

A clock architecture is therefore desired that is capable of providing electronic devices with at least some clock information that can be used to reliably order events without the need for constant power, depending on the context of the device and the context of use.

One or more embodiments of the present invention provide solutions to these and other problems, and offer other advantages over the prior art.

**SUMMARY**

An embodiment of the present invention is directed to a clock object. The clock object includes a clock time and a monotonic time that are readable by the electronic device. The monotonic time is incremented for every read of the monotonic time from the clock object.

Another embodiment of the present invention is directed to a clock object, which includes a clock time that is readable by an electronic device and a trust indicator indicative of a trust level of the clock time. The clock object is adapted to return the clock time and the trust indicator to the electronic device in response to a clock read request from the electronic device.

For example, the clock time can include at least one of a high trust clock time and a low trust clock time. The trust indicator and at least one of the high trust clock time and the low trust clock time is returned to the electronic device in response to the read request.

In a further embodiment, the clock object comprises a static set time representative of a source time read from a clock source, wherein the clock time is based on the source time. The clock object further comprises a lag time representative of a delay in successfully recording the set time in the clock database.

Other features and benefits that characterize embodiments of the present invention will be apparent upon reading the following detailed description and review of the associated drawings.

**BRIEF DESCRIPTION OF THE DRAWINGS**

FIG. 1 is a block diagram of a multicomponent platform having a peripheral device with a firmware-embedded clock object according to an embodiment of the present invention.

FIG. 2 is a diagram illustrating a message stream between a host and a peripheral device during a ClockSetHigh method.

**DETAILED DESCRIPTION OF ILLUSTRATIVE EMBODIMENTS**

One or more embodiments of the present disclosure are directed to a hybrid clock architecture in the form of a clock object, which provides "clock time" that are based on different clock sources, depending on the context of the device and the context of use, along with information on clock error and clock reliability. The clock object also provides a monotonic time, which is always capable of ordering events regardless of the granularity of the clock time. The terms "time" or "clock time" can include any time information in any format or time unit, such as a date, a time of day, and/or a count.

When a request is made to the clock object for information on clock time, the clock object returns the current clock time with an indication of the kind of clock from which the clock information is provided. An embodiment of the clock object has the following basic properties:

a. It can record and report both true clock (date/time) and a monotonically incrementing counter (the monotonic time) as appropriate to the circumstances;

- b. It distinguishes more reliable clock times that are externally set from less reliable clock times that are externally set;
- c. It provides a means for bounding the error around externally set clock times;
- d. It provides a natural means for using a reliable internal real time clock if that is available.

### 1. Introduction

For purposes of description, the following disclosure provides an example of a hybrid clock object associated with a “peripheral” that is coupled to a “host” by a communication channel, where the host sets and fetches the current clock time over the communication channel. The terms host and peripheral only distinguish that the host solicits a communication session with the peripheral. The host device and the peripheral device each can include any electronic computing device or peripheral of a computing device, such as but not limited to, desktop computer systems, laptop computer systems, networked computer systems, wireless systems such as cellular phones and PDA’s, digital cameras including self-contained web-cams, storage devices, and/or any reasonable combination of these or other systems and devices.

The clock object can also be implemented in other environments and on any electronic device, such as directly on a host in a messaging or non-messaging environment. The clock object can be accessed over a communications channel, a data bus, or through other direct or indirect connections or databases.

In an example embodiment, the clock object maintains a table or other database with a time record and other time-related information. The peripheral device may include any number of clock objects, but typically one object would be designated as a default clock object.

The clock object keeps track of date-time utilizing two time markers, for example:

- a 64 bit SNTP Clock Time field (IETF RFC 2030) called ExactTime; and

- a 64 bit SNTP Clock Time error field called LagTime, where SNTP refers to “Simple Network Time Protocol”. Other time formats, protocols or standards can also be used.

The clock object receives the ExactTime from the host, so it is expected that the host or some process communicating through the host will measure the time lag between when it reads the clock time from its source of clock time, to the time when it receives confirmation from the clock object that the ExactTime has been received. The host process then sends the LagTime to the clock object and, on receipt of the LagTime the clock object permanently records the ExactTime and the LagTime. In this way, the clock object knows a number for the ExactTime and can also bracket the error, if only roughly, through the LagTime. It should be clear the host can optionally repeat this operation to provide an average lag time or a maximum bounding lag time as suited to the particular circumstances.

The clock object is also capable of distinguishing between time received from a HighTrust Source and time received from a LowTrust Source. A HighTrust Source may be a remote, but strongly protected source of the time, while a LowTrust Source may be an immediate, but not strongly protected source of time, such as the local personal computer (PC) clock.

In one embodiment of the present invention, the clock object assumes that the HighTrust source is able to provide a more authoritative time, but with a larger LagTime, so the clock object uses the HighTrust source to bracket the error in the LowTrust ExactTime. In this way, a LowTrust, but accu-

rate time, can be detected and used. In many cases, a LowTrust source provides a more precise, reliable time with greater granularity than a HighTrust source. But, the HighTrust source is often more authoritative, or trusted, on the current relative time.

As described in more detail below, the clock object can be set to accept both a LowTrust ExactTime and a HighTrust ExactTime, just a LowTrust ExactTime without a HighTrust bracket, or just a HighTrust ExactTime using clock object modes called HighTrustMode and LowTrustMode.

The clock object can also have two additional time modes, TimerMode and ClockMode, to distinguish when the time has been set after a device reset or if the clock object has never been given a setting time. After a reset, the clock object is placed in TimerMode (unless the device includes a backup battery that maintains time progression and time information when the device is reset or powered down). In TimerMode, the ExactTime is still incremented in time, but a read will show that the fetched time value cannot be trusted as an absolute because of the reset.

In addition to the LowTrust ExactTime and the HighTrust ExactTime, the clock object also, independently, maintains a monotonic counter, which increments its value each time that a clock time is read from the clock object. In one embodiment, the counter includes a 64 bit persistent counter having a value called MonotonicTime. The MonotonicTime is “monotonic” in that it maintains a progressively incrementing (or alternatively decrementing) value, even through a reset or reboot. Each read of the clock time thus provides, in addition to the current clock time (ExactTime), a unique monotonic value that can be used to order events, such as during forensic logging. The MonotonicTime can increment with uniform or non-uniform increments, but does not return the same count twice. The increments can be positive or negative as long as the MonotonicTime changes monotonically (progressively increases or progressively decreases).

The MonotonicTime is helpful since it is possible to have the clock object ExactTime set back in time. It may also be possible for subsequent reads of the clock time to return the same ExactTime value due to the speed at which successive reads can be made relative to the time value granularity. In these cases, it would otherwise be difficult to determine with authority the order of one event relative to another in time.

In an example embodiment of the present invention, in response to a read of the clock time from the clock object, the clock object returns to the requestor an indication of the kind of clock time being returned, the ExactTime, the LagTime, and the MonotonicTime.

### 2. Exemplary Embodiment

FIG. 1 is a block diagram of a multicomponent platform **100** having a host **102** coupled to a peripheral device **104** through a communications channel **106** according to an embodiment of the present invention. Various host software applications, such as APP1 (labeled **110**) and APP2 (labeled **112**) may interact with peripheral device **104** through a driver **114** and a peripheral interface **116**.

Peripheral **104** includes a security provider (SP) **120**, which includes an issued set of tables and methods that control a persistent trust state of the peripheral device. In one embodiment, security provider **120** is a completely self-contained and stand-alone security domain of such tables. A security provider includes objects that are each composed of persistent data and methods (or remote procedure calls). In one embodiment, security provider **120** includes a set of access control objects **122** and a clock object **124**. Access to

security provider **120** may be controlled, for example as described in U.S. Patent Application Publication US2003/0023867, published Jan. 30, 2003.

Applications on host **102** can query or change a persistent state on peripheral **104**, such as the state of the clock time maintained by clock object **124**, by establishing communication sessions with security provider **120**. These sessions execute one or more object methods (connectors **130** in FIG. **1**). Host **102** communicates with security provider **120** by opening a session using the interface commands of the particular interface **116**. In a simple case, host **102** is the platform host to which the peripheral device is directly attached. In a more general case, host **102** could be some other platform host that communicates with an intermediate platform host, which relays the session stream to peripheral device **104** over a network. The communication sessions and the security and access control functions of security provider **120** are discussed in more detail in the U.S. patent applications cross-referenced above.

In one or more embodiments, the clock object **124** is embedded within the firmware of peripheral device **104**, such as part of the firmware that implements the access control and secure/non-secure messaging system of security provider **120**. Although the embodiment shown in FIG. **1** is described in the context of a security and access control function for peripheral device **104**, clock object **124** can be utilized with any electronic device and as part of a security or access control function or not. Clock object **124** can be implemented as a separate function unrelated to any security, access control or messaging system in alternative embodiments of the present invention.

In an embodiment, clock object **124** includes a collection of persistent data and methods (such as remote procedural calls). The data can be maintained in one or more tables or other database formats. Methods are procedures, for example, that operate on tables or other objects. The caller (such as an application on host **102**) passes a list of parameter values of the method to clock object **124**, and the clock object returns a list of result values, followed by a status code, for example.

Clock object **124** includes a collection of clock information data and methods that operate on the data. The clock object can further include all or part of any hardware, software or firmware for implementing clock functions, storing the clock data and executing the methods, for example.

In the embodiment shown in FIG. **1**, clock object **124** maintains a clock table **140** within a volatile memory **142** and a corresponding persistent clock table **144** in non-volatile memory **146**. For example, if peripheral device comprises a data storage device, such as a disc drive, volatile memory **142** can include the random access memory (RAM) used by the drive processor **150**, and non-volatile memory **146** can include the media of a data storage disc. However, any other type of volatile and non-volatile memory can be used in alternative embodiments.

As described in more detail below, clock tables **140** and **144** store clock information that can be changed or fetched (read) by applications on host **102**. For example, application APP1 (labeled) **110** can set the LowTrust ExactTime or the HighTrust ExactTime by reading the time value from a local or remote low trust clock source **160** (such as a local PC clock) or from a local or remote high trust clock source **162**. An example of a high trust clock source would be an SNTP clock source that is read by host **102** through a wired or wireless, local or wide area network, for example. The local low trust clock source **160** provides a quickly available, precise but not authoritative LowTrust ExactTime, while high trust clock source **162** provides a perhaps less precise, but

authoritative HighTrust ExactTime. The high trust clock source **162** typically has a longer access time than the local low trust clock source **160**.

When setting the LowTrust or HighTrust ExactTime value maintained by clock object **124**, the host application APP1 starts a lag timer **170** and then reads the ExactTime value from clock source **160** or **162**. The host application sends the read time value to clock object **124** as a parameter of a "ClockSet" method call, for example. Clock Object **124** receives the time value and responds to the host application APP1 that the clock time has been recorded in clock table **140**. Once the host application APP1 receives confirmation that clock object **124** has received the ExactTime value, the host application reads the value, "LagTime", of lag timer **170**. The LagTime value represents the maximum amount of time that the ExactTime recorded by clock object **124** is behind the actual time, which is the delay in successfully recording the ExactTime in the clock database. The LagTime value exceeds the worst-case lag error, but no further estimation needs to be done in one or more embodiments of the invention.

The host application sends the LagTime value to clock object **124** as a parameter of a further message. On receipt, clock object **124** permanently records the ExactTime and the LagTime, such as by sending the contents of volatile clock table **140** to non-volatile clock table **144**. The LagTime value can be used to modify the ExactTime that is stored by clock object **124** or to provide a measure or boundary on the clock error.

The host application may choose to open a messaging session with clock object **124** either before or after it reads the time from clock source **160** or **162**, depending on the implementation. If it is desired to obtain the closest time, the Host-side process will open a session with clock object **124** before reading and sending the source clock time. However, the time may have to come over a local, wide area network, in which case there will be a larger lag time partly because the session may have to authenticate itself or secure the session with clock object **124** before the time is updated.

Once the static ExactTime value has been recorded, clock object **124** uses timer **180** to increment its measure of the current clock time, whether the recorded time is a LowTrust ExactTime and/or a HighTrustExactTime. Once peripheral device **104** is powered up, timer **180** can maintain an accurate and precise measure of time progression relative to the stored ExactTime values.

In addition, clock object **124** maintains the independent monotonic counter value, referred to as the MonotonicTime, in volatile clock table **140**. Clock object **124** increments the MonotonicTime value each time a host application, or any other object or process reads the time from clock object **124**. Periodically, clock object **124** stores the MonotonicTime value in non-volatile clock table **144** so as to always provide a monotonically increasing count, as discussed in more detail below.

If a real time clock **190** is available to peripheral device **104**, clock object **124** can utilize its value as a low or high trust clock time value, as appropriate. Clock object **124** can also set the real time clock value through procedural calls made by a host application, for example.

The timers and other support functions for clock object **124** can be implemented in hardware, firmware, software or a combination in alternative embodiments.

### 3. Clock Tables

In an embodiment of the invention, a table generally includes a grid with named columns and addressable rows. At

each column and row intersection there is a cell. All the cells in a column have the same type. However, the term “table” as used in the specification and claims can include any data structure or arrangement that can be used to associate two or more fields of data in memory. The “rows” and “columns” of the table refer to the manner in which the fields are associated with one another, not necessarily the physical arrangement of memory cells in which the fields are stored, and these two terms are interchangeable.

In one exemplary embodiment, clock tables **140** and **144** have a single row. Table 1 provides a description of the columns in clock tables **140** and **144**, according to one embodiment of the present invention.

Column	Type	Description
HaveHigh	boolean	Indicates if high trust time values are valid. In particular, if this value is true, then the HighByWho, HighSetTime, HighInitialTimer and HighLag fields are meaningful. If TrustMode is Low then this value must be false.
HighByWho	uidref{Authority}	Authority that set the high trust time.
HighSetTime	clock_time	Valid only if HaveHigh is set to true. Otherwise it should be 0.
HighInitialTimer	clock_time	Valid only if HaveHigh is set to true. Otherwise it should be 0.
HighLag	clock_time	Valid only if HaveHigh is set to true. Otherwise it should be 0.
HaveLow	boolean	Indicates if low-order time values are valid. In particular, if this value is true, then the LowByWho, LowSetTime, LowInitialTimer and LowLag fields are meaningful. If TrustMode is High then this value must be false.
LowByWho	uidref{Authority}	Authority that set the low trust time.
LowSetTime	clock_time	Valid only if HaveLow is set to true. Otherwise it should be 0.
LowInitialTimer	clock_time	Valid only if HaveLow is set to true. Otherwise it should be 0.
LowLag	clock_time	Valid only if HaveLow is set to true. Otherwise it should be 0.
MonotonicBase	clock_time	The monotonic time counter value is periodically saved here.
Monotonic Reserve	clock_time	The MonotonicBase is saved every Reserve increments or when ResetClock is called.
TrustMode	clock_kind	Defines whether HaveHigh, HaveLow, or both may be true.

In the above table, the data type “boolean” is a one byte unsigned integer, `uinteger{1}`, that can take on values 0 for “false” and 1 for “true”, for example. Alternatively, a one-bit value could be used. The data type “uidref{Authority}” is a unique identifier that identifies a particular access-control authority, such as those maintained by the access control objects **122** in FIG. 1, through which the high trust time or the low trust time were set. The data type “clock\_kind” has the format `enum{Timer, Low, High, LowHigh}`. One of these types would be enumerated in this column. The data type “clock\_time” has the format `typeOr{trusted:sntp_time, monotonic:uinteger{8}}`. If the host has supplied a trusted time (LowTrust or HighTrust) since power up, then the “trusted” clock time is used, which has an `sntp_time` format. Otherwise the monotonic counter value is used, which is an unsigned eight-byte integer.

The HaveHigh field indicates if the high trust time values are valid. If this value is true, then the HighByWho, HighSetTime, HighInitialTimer and HighLag fields are meaningful. If TrustMode is Low then this value must be false, for example.

Similarly, the HaveLow field indicates if the trust time values are valid. If this value is true, then the LowByWho, LowSetTime, LowInitialTimer and LowLag fields are meaningful. If TrustMode is High then this value must be false, for example.

The HighSetTime and the LowSetTime correspond to the HighTrust ExactTime and the LowTrust ExactTime received from the host, which are static time values. The HighInitialTimer and the LowInitialTimer correspond to the respective values of “timer( )” from timer **180** at the moment when the values of HighSetTime and the LowSetTime are set. When either of these values are set by the host, the clock object records the static value of timer( ) in the respective field HighInitialTimer or LowInitialTimer.

The lag times measured by the host lag timer **170** for the high trust and low trust clock sources are stored in the HighLag and the LowLag columns, respectively. These lag times are generally representative of the delay between reading the ExactTimes from the clock sources and recording the corresponding set times in the clock table.

The value stored in the MonotonicBase column of the volatile clock table **140** (in RAM), which can be referred to as a volatile MonotonicBase, is incremented by a specified uniform or non-uniform increment each time an application, object or other process or device reads the time from clock object **124**. On each read, the value of the volatile MonotonicBase is returned to the requester as the MonotonicTime.

In one embodiment, the MonotonicBase has enough bits to ensure that the monotonic count will never reach a maximum count during the useful life of the electronic device in which it is used. For example, the MonotonicBase can have 64 bits. However a larger or smaller number of bits can be used in alternative embodiments.

The value of MonotonicReserve determines the period in number of increments of the volatile MonotonicBase at which the clock object **124** copies or saves its contents, and particularly the value of the volatile MonotonicBase, into the non-volatile clock table **144** in non-volatile memory **146**. Thus, the non-volatile MonotonicBase value gets updated every MonotonicReserve increments of the volatile MonotonicBase. This keeps the count values incrementing while minimizing the number of writes to main memory. The clock object monitors the current sum of increments of the volatile MonotonicBase since the most recent save to the non-volatile memory, referred to as the MonotonicIncrement. When the MonotonicIncrement reaches the MonotonicReserve value, the clock object saves the volatile MonotonicBase to the non-volatile clock table.

On a reset or power-down, for example, the previous value of the volatile MonotonicBase is lost. However on power-up, the clock object loads the MonotonicBase column of the volatile clock table **140** with a value equal to at least the sum of the saved, non-volatile MonotonicBase and the MonotonicReserve values. This ensures that the new value of the volatile MonotonicBase will be at least as great as the next most previous value that was saved prior to the reset. The volatile MonotonicBase value could not have incremented more than the MonotonicReserve since the last permanent save to the non-volatile clock table. Thus, the volatile MonotonicBase value is guaranteed always to increase, even though it may skip up by the MonotonicReserve value. Subsequent reads of the clock time from the clock object will never return the same MonotonicTime value.

The monotonic values can be handled in other ways in alternative embodiments. For example on each save to the non-volatile memory, the saved MonotonicBase can be increased in advance by the MonotonicReserve. After reset,



the saved value can then be loaded directly into the volatile MonotonicBase. Other processes can also be used to ensure a monotonically increasing (or decreasing if desired) count.

Thus, the clock object maintains the following constraints:  
 $0 \leq \text{MonotonicIncrement} \leq \text{MonotonicReserve}$

Volatile MonotonicBase=Non-volatile MonotonicBase+ MonotonicIncrement

where MonotonicIncrement is the sum total of increments of MonotonicBase since the last save to non-volatile memory.

#### 4. Clock Methods

This section provides example of methods or processes that can be called by a host application (or other process, object or device) and executed on or by the clock object. A method is an action that the host application desires to perform that makes use of a resource or feature of the peripheral device, such as the clock object.

##### 4.1 GetClock Method

The GetClock method is used to fetch information about the current time and has the following format:

TableName.GetClock[ ]

=>

[Kind:clock\_kind, ExactTime:clock\_time, LagTime:clock\_time, MonotonicTime:clock\_time]

The first expression indicates the desired clock table name (the name of clock table **140** in FIG. 1) and specifies the GetClock method. The expression that follows the “=>” arrow represents the result returned to the host from the clock object.

The result has four parameters, “Kind” having the data type “clock\_kind”, “ExactTime” having the data type “clock\_time”, “LagTime” having the data type “clock\_time”, and “MonotonicTime” having the data type “clock\_time”. In an alternative embodiment, one or more of these parameters are returned in one or more separate messages.

Upon receiving a ClockGet Method call, the clock object reads its volatile clock table. If HaveLow is true, then the result will be [Low,LowTime,LowLag, MonotonicTime]. Else if HaveHigh is true, then the result will be [High, HighTime,HighLag, MonotonicBase]. Otherwise the result is [“timer”,timer( ),0, MonotonicBase]. Other priorities can be implemented in other embodiments.

In this embodiment, the GetClock method call returns either of the two virtual variables, HighTime or LowTime, depending on the contents of the clock table and the TrustMode. The clock object calculates these variables according to the following expressions:

$$\text{HighTime} = \text{HighSetTime} + (\text{timer}() - \text{HighInitialTime})$$

$$\text{LowTime} = \text{LowSetTime} + (\text{timer}() - \text{LowInitialTime})$$

The clock object reads the values of timer **180** and HighInitialTime to determine the time lapse from the HighSetTime (HighTrust ExactTime) initially set by the host and stored in the clock table. Alternatively, timer **180** can be reset each time the host sets the HighSetTime and then simply added to the HighSetTime when read again by the host. In a further alternative embodiment, separate timers can be provided for the high and low trust set times.

The clock\_kind parameter thus serves as an indicator of a level of trust of the ExactTime clock time that is being returned. Alternatively, the clock object can return both the HighTime and the LowTime together with valid flags that indicate whether either of these clock times is valid. The valid flags also serve as indicators of the trust level of the clock source from which the clock times are based.

##### 4.2 ResetClock Method

The ResetClock method has the following format:

TableName.ResetClock[ ]

=>

[Result: boolean]

This method resets the clock and puts the clock object into the timer mode. This method is called automatically when the peripheral device resets or when called by the host.

The clock object sets the following properties when this method is called:

TrustMode=Timer

HaveHigh=0 (i.e, false)

HaveLow=0 (i.e, false)

Volatile MonotonicBase=Non-volatile MonotonicBase+ MonotonicReserve

In addition, the memory variable MonotonicIncrement is set to 0, which indicates the number of increments of the volatile MonotonicBase since the last save to the non-volatile MonotonicBase.

This guarantees that the volatile MonotonicBase always increases (although it may in a ResetClock method call skip up by MonotonicReserve value).

The boolean result returned by the clock object confirms whether the ResetClock method executed.

##### 4.3 SetClockHigh Method

SetClockHigh method has the following format:

TableName.SetClockHigh[ExactTime:sntp\_time,LagTime:sntp\_time]

=>

[Result:Boolean]

This method is used by the host to set the time from a high trust source, such as source **162** in FIG. 1.

FIG. 2 is a diagram illustrating an example message stream between the host and the peripheral during a ClockSetHigh method.

When setting the HighTrust ExactTime, the host application starts lag timer **170** (FIG. 1), at step **200** in FIG. 2, reads the ExactTime value from clock source **162** and then sends the ExactTime value to clock object **124** as a parameter in the above format, at step **201**. At this point, the LagTime parameter is not included or set to a null value since the value remains unknown. Clock Object **124** receives the ExactTime value, stores the value in the HighTime column of the volatile clock table **140**, at step **202**, and confirms to the host application that the clock time has been recorded, at step **203**. The Boolean ‘Okay’ result is set to true of the operation succeeded and false if the operation failed. The clock object also reads the value of timer **180** and records this value in the HighInitialTime column of the clock table, at step **204**. Once the host application receives confirmation that clock object **124** has received the ExactTime value, the host application reads the value of lag timer **170**, at step **204**, and sends the LagTime value to clock object **124** as a parameter of a further SetClockHigh message, at step **205**. On receipt, clock object **124** stores the LagTime in the HighLag column of the clock table and permanently records the HighTime and HighLag in the non-volatile clock table **144**, at step **206**.

This method call is accepted only when TrustMode is not Low. If this call is accepted, then HaveHigh is set to true and HighByWho is set to the authority for this messaging session. If the new high value does not bracket an existing low value according to the following constraints, then HaveLow is set to false.

## 11

When the TrustMode is LowHigh and both high and low values are present, then the low value must be contained within the high value. Specifically:

$$\text{LowTime} \geq \text{HighTime}; \text{ and}$$

$$\text{LowTime} + \text{LowLag} \leq \text{HighTime} + \text{HighLag}.$$

The LowTime value should not be allowed to go back in time, so it must be greater than the HighTime. Also, since the LowLag should be shorter than the HighLag, then the second inequality should be true. Otherwise, there is a problem with the LowTime value.

When either inequality is not true, the clock object discards the LowTime because it is probably wrong, and resets the HaveLow value to "0" (false). Note that when implementing these time comparison steps, the value of timer( ) is not needed since it appears on both sides of the comparisons and cancels out.

## 4.4 SetClockLow Method

The SetClockLow method is similar to the SetClockHigh method and has the following format:

```
TableName.SetClockLow[ExactTime:sntp_time,LagTime:
sntp_time]
```

=>

[Result:boolean]

This method is used to set the time from a low trust source, such as the PC clock **160** in FIG. 1.

This call is streamed in a similar fashion as that for the SetClockHigh method described above. The host sends the LowTrust ExactTime to the clock object while measuring the LowLag time with lag timer **170**. The clock object records the LowTrust ExactTime in the LowTime column of the clock table, reads timer **180** and records its value in the LowInitialTime column of the clock table. Once the clock object confirms it has recorded the LowTime, the host sends the clock object the LowLag time value, which is stored in the LowLag column of the table. Both LowTime and LowLag are received before either is updated in the non-volatile memory.

This method call is accepted only when TrustMode is not High. If the mode is LowHigh and HaveHigh is true, then this call is accepted only when the existing high value brackets the new low value, according to the inequalities recited above. Other comparisons or constraints can be used in alternative embodiments.

If this call is accepted, then HaveLow is set to true and LowByWho is set to the authority for this session.

## 4.5 ClockSetMode Method

This method sets the TrustMode in the clock table and has the following format:

```
TableName.ClockSetMode[Mode:Clock_kind]
```

=>

[Result:boolean]

If the TrustMode is set to Low, then HaveHigh is set to false. If the TrustMode is set to High, then HaveLow is set to false. If the TrustMode is set to LowHigh, then HaveLow and HaveHigh are set to true.

## 4.6 RenewCounter Method

```
TableName.RenewCounter[ ]
```

=>

[Result:boolean]

The host calls this method any time it wants to synchronize the non-volatile clock table on the main storage media with the current values in the volatile clock table in RAM. The clock object writes the current values to the non-volatile memory and resets the MonotonicIncrement to zero. Note this method leaves the value of virtual variable MonotonicTime unchanged.

## 12

## 5. Conclusion

The clock object described above therefore is capable of providing electronic devices with at least some clock information that can be used to reliably order events monotonically without the need for constant power. Regardless of the granularity of the clock, the delay between subsequent clock reads, or power resets, the clock object provides unique, monotonically increasing information for each clock read. This is extremely helpful in data logging applications where it is important to accurately order events. For example the clock object can generate time stamps for forensic logging that prove a sequence of events that cannot be repudiated.

The clock object also provides an indication of whether the clock information is based on a high trusted source or a low trusted source and provides an indication of the clock error or other bounding conditions of the clock information.

It is to be understood that even though numerous characteristics and advantages of various embodiments of the invention have been set forth in the foregoing description, together with details of the structure and function of various embodiments of the invention, this disclosure is illustrative only, and changes may be made in detail, especially in matters of structure and arrangement of parts within the principles of the present invention to the full extent indicated by the broad general meaning of the terms in which the appended claims are expressed. For example, the particular elements may vary depending on the particular application for the clock object while maintaining substantially the same functionality without departing from the scope and spirit of the present invention. In addition, although the preferred embodiment described herein is directed to a clock object for a peripheral, it will be appreciated by those skilled in the art that the teachings of the present invention can be applied to any electronic device, without departing from the scope and spirit of the present invention.

What is claimed is:

1. A device comprising:

a processor configured to implement a clock object including:

a clock time that is readable by an electronic device; and  
a trust indicator indicative of a trust level of the clock time, wherein there are more than two trust levels that may be indicated and the clock object is adapted to provide the clock time and the trust indicator to the electronic device.

2. The device of claim 1 wherein:

the clock time comprises at least one kind of a high trust clock time and a low trust clock time, wherein the high trust clock time is more authoritative than the low trust clock time; and

in response to a clock read request, the clock object is adapted to return at least one of the high trust clock time and the low trust clock time and the trust indicator to the electronic device.

3. The device of claim 2 further comprising:

an interface for the device to communicate with the electronic device;

a memory to store the clock object; and

wherein the trust indicator indicates the kind of clock time being returned.

4. The device of claim 2 and further comprising a memory storing a clock database, which stores the high trust clock time and the low trust clock time, if available, and an indication of whether the database contains the high trust clock time and whether the database contains the low trust clock time.

## 13

5. The device of claim 1, wherein the clock object further comprises:

a monotonic time, wherein the monotonic time is incremented for every read of the clock time from the clock object; and

upon a reset of the clock object, the clock object is adapted to adjust the monotonic time.

6. The device of claim 1 and further comprising:

a memory storing a clock database, which comprises:

a static set time representative of a source time received from a clock source; and

a timer, which increments a timer value, wherein the clock time is a function of the set time and the timer value.

7. The device of claim 1 and further comprising:

a memory storing a clock database, which comprises:

a static set time representative of a source time read from a clock source; and

a lag time representative of a delay in successfully recording the set time in the clock database.

8. The device of claim 1 wherein the trust indicator is indicative of one of multiple trust levels including a first trust level, a second trust level, and a third trust level, the first trust level indicates a lower trust compared to the second trust level that indicates a higher trust compared to the first trust level, and the third trust indicator indicates no trust.

9. A device comprising:

a clock object having a clock time that is readable by an electronic device;

the clock object provides an indicator of a type of clock time from multiple available clock times to the electronic device; and

the clock object provides a monotonic count value in addition to the clock time to the electronic device.

10. The device of claim 9 further comprising an interface coupled to the clock object to receive a request from the electronic device to provide the clock time and the interface adapted to provide the indicator of the type of clock time to the electronic device.

## 14

11. The device of claim 9 further comprising the indicator of the type of clock time indicates of a trust level of the clock time.

12. The device of claim 9 further comprising the clock object provides a clock time of an indicated clock type.

13. The device of claim 12 further comprising the clock object provides a monotonic count value to the electronic device.

14. The device of claim 13 further comprising the clock object provides a lag time to the electronic device, the lag time representing a delay between the clock time and an actual time.

15. The device of claim 9 further comprising the indicator of the type of clock time indicates multiple types of clock time and the clock object provides multiple clock times associated with the multiple types of clock time to the electronic device.

16. A device comprising:

a clock function adapted to provide a clock time to an electronic device; and

the clock function is adapted to provide a monotonic count value that is not dependent on time and is distinct from the clock time to the electronic device.

17. The device of claim 16 further comprising the clock function is adapted to provide an indicator of a type of clock time, provide the clock time associated with the indicator, and the indicator indicates a trust level of the clock time.

18. The device of claim 17 further comprising the trust level is one of multiple trust levels including a first trust level, a second trust level, and a third trust level, where the first trust level indicates a lower trust compared to the second trust level and the second trust level indicates a lower trust compared to the third trust level.

19. The device of claim 16 further comprising the count value is a monotonic count value and the clock function is adapted to increment the monotonic count value based on activity of the clock function.

\* \* \* \* \*