

US007954160B2

(12) United States Patent

Strauss et al.

(10) Patent No.: US 7,954,160 B2 (45) Date of Patent: *May 31, 2011

(54) COMPUTER SECURITY INTRUSION DETECTION SYSTEM FOR REMOTE, ON-DEMAND USERS

(75) Inventors: Christopher P. Strauss, Trabuco

Canyon, CA (US); **Sebnem Jaji**, Flower Mound, TX (US); **Ramesh V. Chitor**, Fremont, CA (US); **Nam Keung**, Austin, TV (US)

TX (US)

(73) Assignee: International Business Machines

Corporation, Armonk, NY (US)

(*) Notice: Subject to any disclaimer, the term of this

patent is extended or adjusted under 35

U.S.C. 154(b) by 0 days.

This patent is subject to a terminal dis-

claimer.

(21) Appl. No.: 12/560,811

(22) Filed: **Sep. 16, 2009**

(65) Prior Publication Data

US 2010/0011440 A1 Jan. 14, 2010

Related U.S. Application Data

- (63) Continuation of application No. 11/079,380, filed on Mar. 14, 2005, now Pat. No. 7,657,939.
- (51) Int. Cl. G06F 21/00 (2006.01)

(56) References Cited

U.S. PATENT DOCUMENTS

6,986,161	B2	1/2006	Billhartz	
2002/0069369	A1*	6/2002	Tremain	713/201
2002/0087882	A1*	7/2002	Schneier et al	713/201

(Continued)

FOREIGN PATENT DOCUMENTS

WO WO0137511

5/2001

OTHER PUBLICATIONS

Li Xiangyang, "Grid- and Dummy-Cluster-Based Learning of Normal and Intrusive Clusters for Computer Intrusion Detection," Quality and Reliability Engineering International, vol. 18, No. 3, May-Jun. 2002, abstract of pp. 231-242.

(Continued)

Primary Examiner — Nasser Moazzami

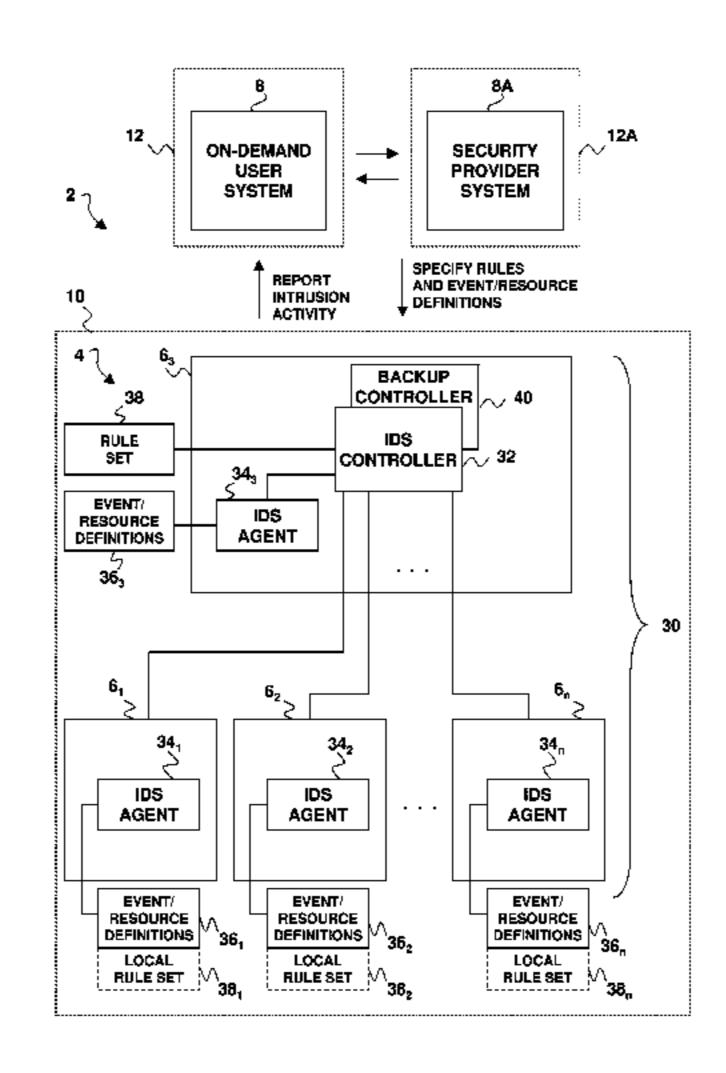
Assistant Examiner — Michael S McNally

(74) Attorney, Agent, or Firm — Walter W. Duft

(57) ABSTRACT

An intrusion detection system, and a related method and computer program product, for implementing intrusion detection in a remote, on-demand computing service environment in which one or more data processing hosts are made available to a remote on-demand user that does not have physical custody and control over the host(s). Intrusion detection entails monitoring resources defined by the on-demand user (or a third party security provider) for intrusion events that are also defined by the on-demand user (or security provider), and implementing responses according to eventaction rules that are further defined by the on-demand user (or security provider). An intrusion detection system agent is associated with each of the data processing hosts, and is adapted to monitor the intrusion events and report intrusion activity. If there are plural intrusion detection system agents, they can be individually programmed to monitor and report on agent-specific sets of the intrusion events. An intrusion detection system controller is associated with one of the data processing hosts. It is adapted to manage and monitor the intrusion detection system agent(s), process agent reports of intrusion activity, and communicate intrusion-related information to the on-demand user (or security provider). The responses to intrusion events can be implemented by the intrusion detection system controller in combination with the intrusion detection system agents, or by any such entity alone.

20 Claims, 7 Drawing Sheets



U.S. PATENT DOCUMENTS

2002/0112185	A 1	8/2002	Hodges
2002/0162026	A1	10/2002	Neuman et al.
2003/0172145	A1*	9/2003	Nguyen 709/223
2003/0188189	A1	10/2003	Desai et al.
2003/0220940	A1	11/2003	Futoransky et al.
2003/0229559	A1*	12/2003	Panttaja et al 705/36
2004/0073800	A1	4/2004	Shah et al.
2004/0123141	A1*	6/2004	Yadav 713/201
2004/0143749	A 1	7/2004	Tajalli
2004/0260945	A1*	12/2004	Raikar et al 713/201

OTHER PUBLICATIONS

P. Oman et al., "Safeguarding IEDs, Substations, and SCADA Systems Against Electronic Intrusions," 2001 Western Power Delivery Automation Conference, Apr. 2001, abstract of pp. 19 et seq.

Proceedings International Conference on Dependable Systems and Networks, IEEE Comput. Soc., Jun. 2002, abstract of pp. xxvii+799. Peng Ning et al., "Abstraction-Based Intrusion Detection in Distributed Environments," ACM Transactions on Information and System Security, vol. 4, No. 3, Nov. 2001, pp. 407-452.

Geetha Ramachandran et al., "A P2P Intrusion Detection System Based on Mobile Agents," ACME, Apr. 2004, pp. 185-190.

Deborah Frincke, "Balancing Cooperation and Risk in Intrusion Detection," ACM Transactions on Information and Systems Security vol. 3, No. 1, Feb. 2000, pp. 1-20.

Leonard J. LaPadula, "State of the Art in CyberSecurity Monitoring," MITRE Center for Integrated Intelligence Systems, 2001, pp. i, iii, 1-15.

^{*} cited by examiner

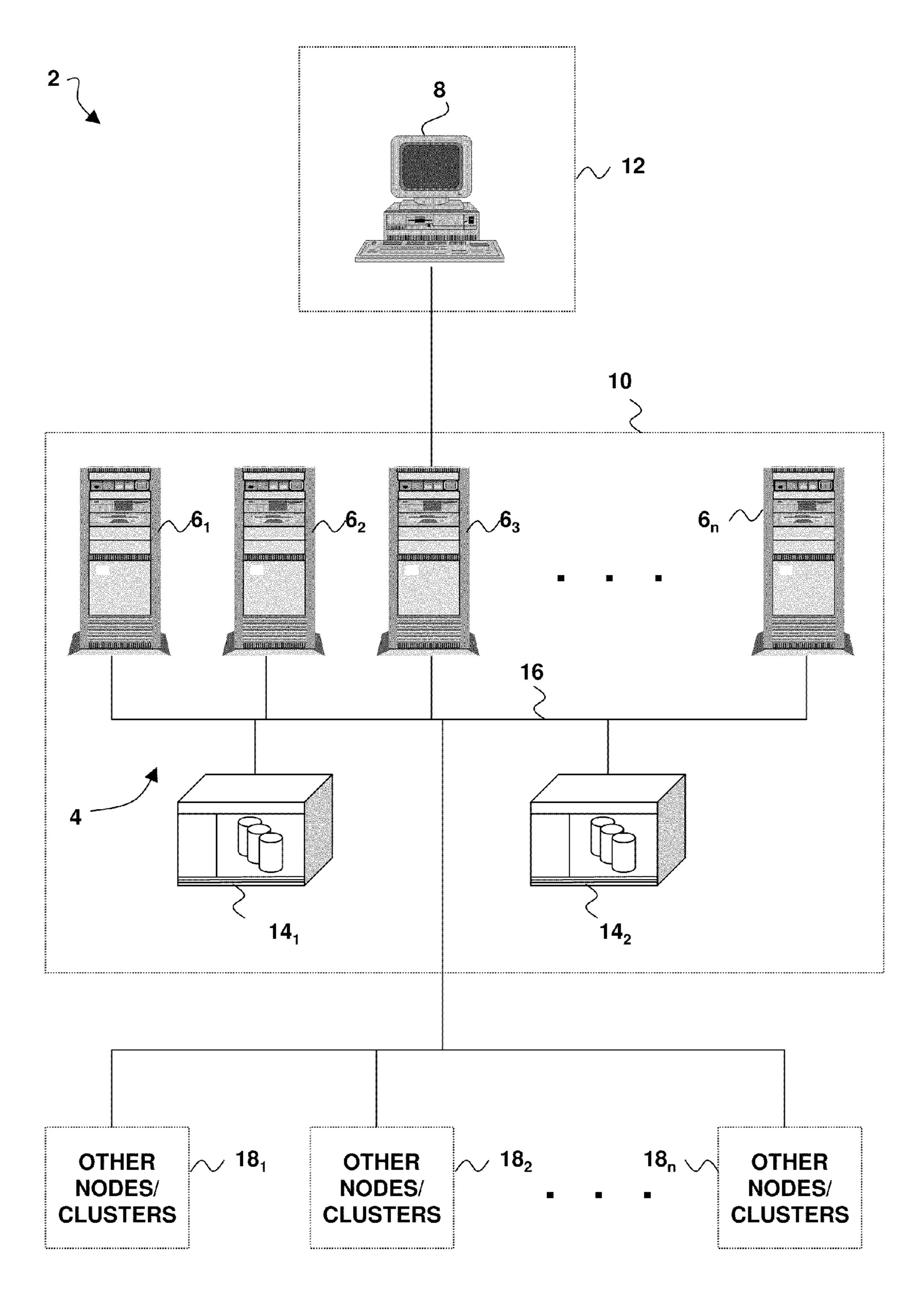


FIG. 1

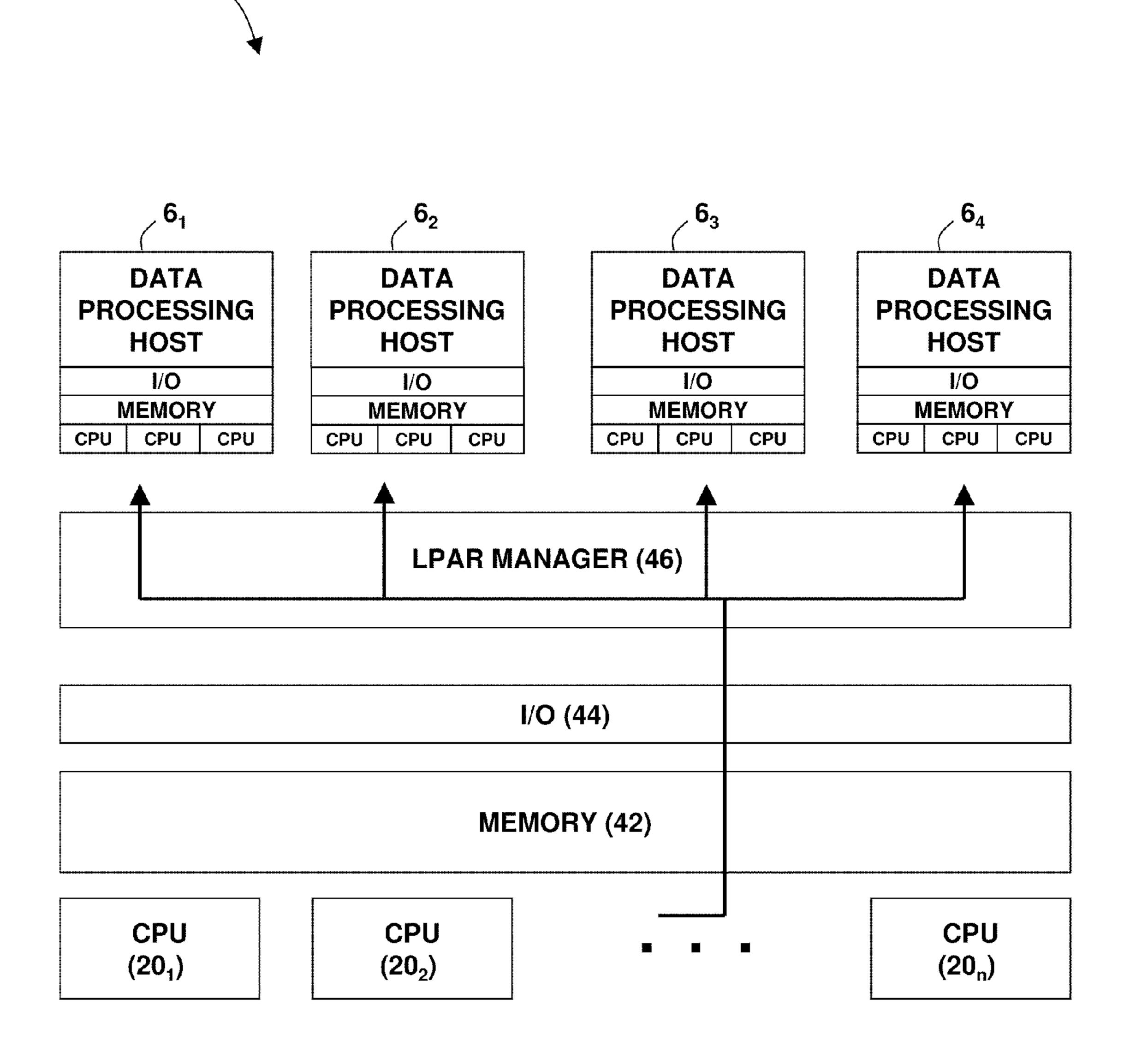


FIG. 2

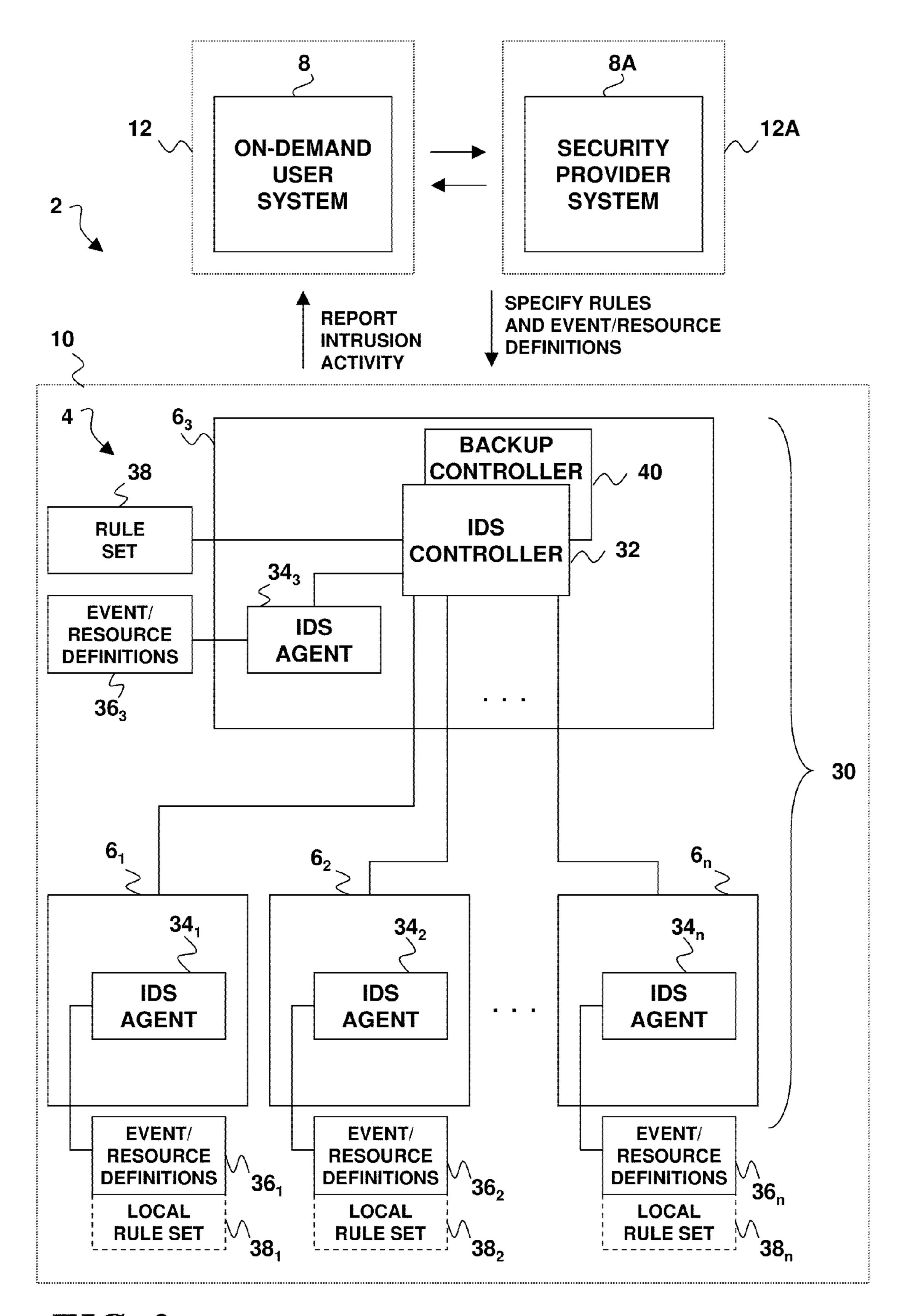


FIG. 3

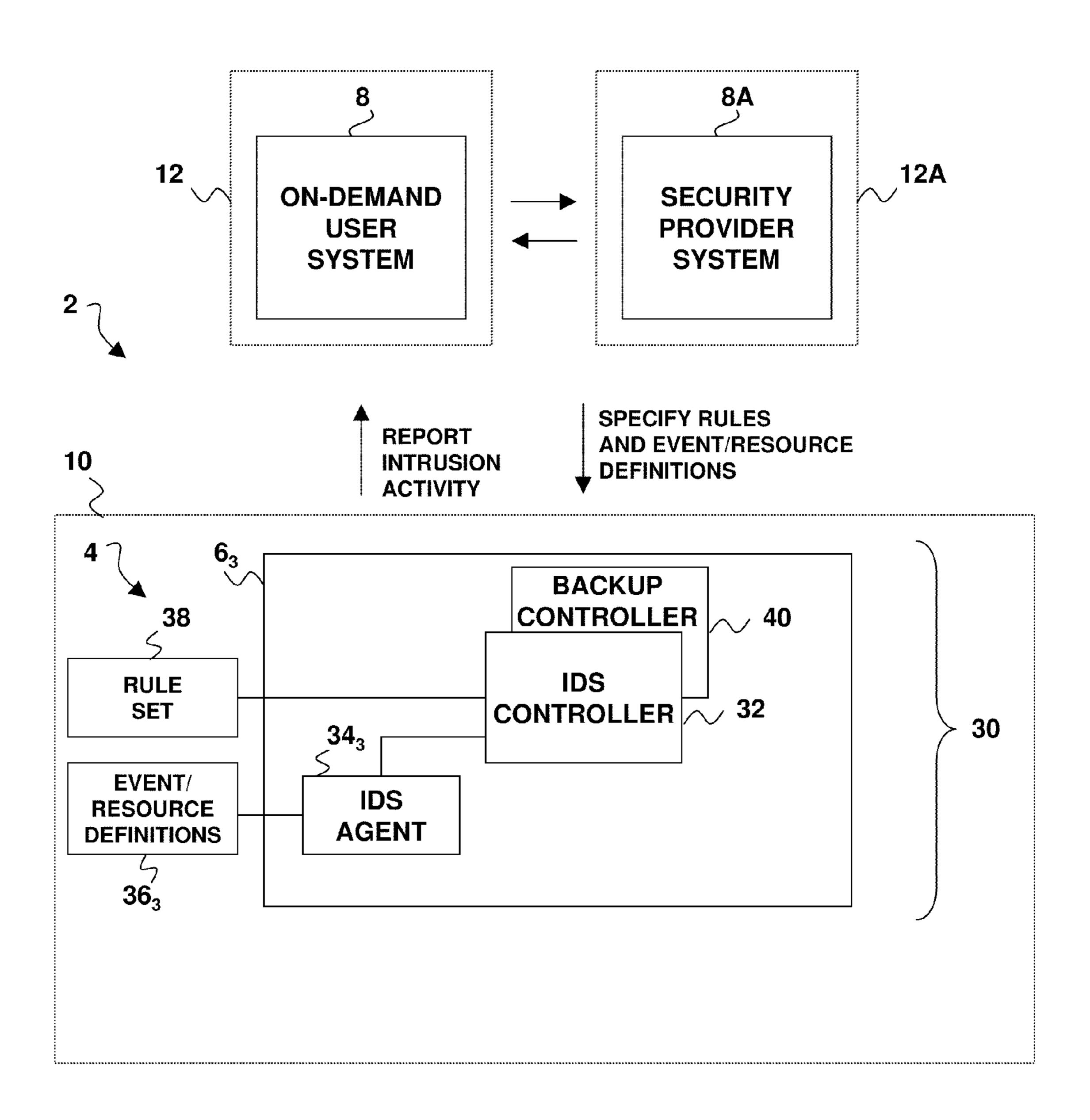


FIG. 4

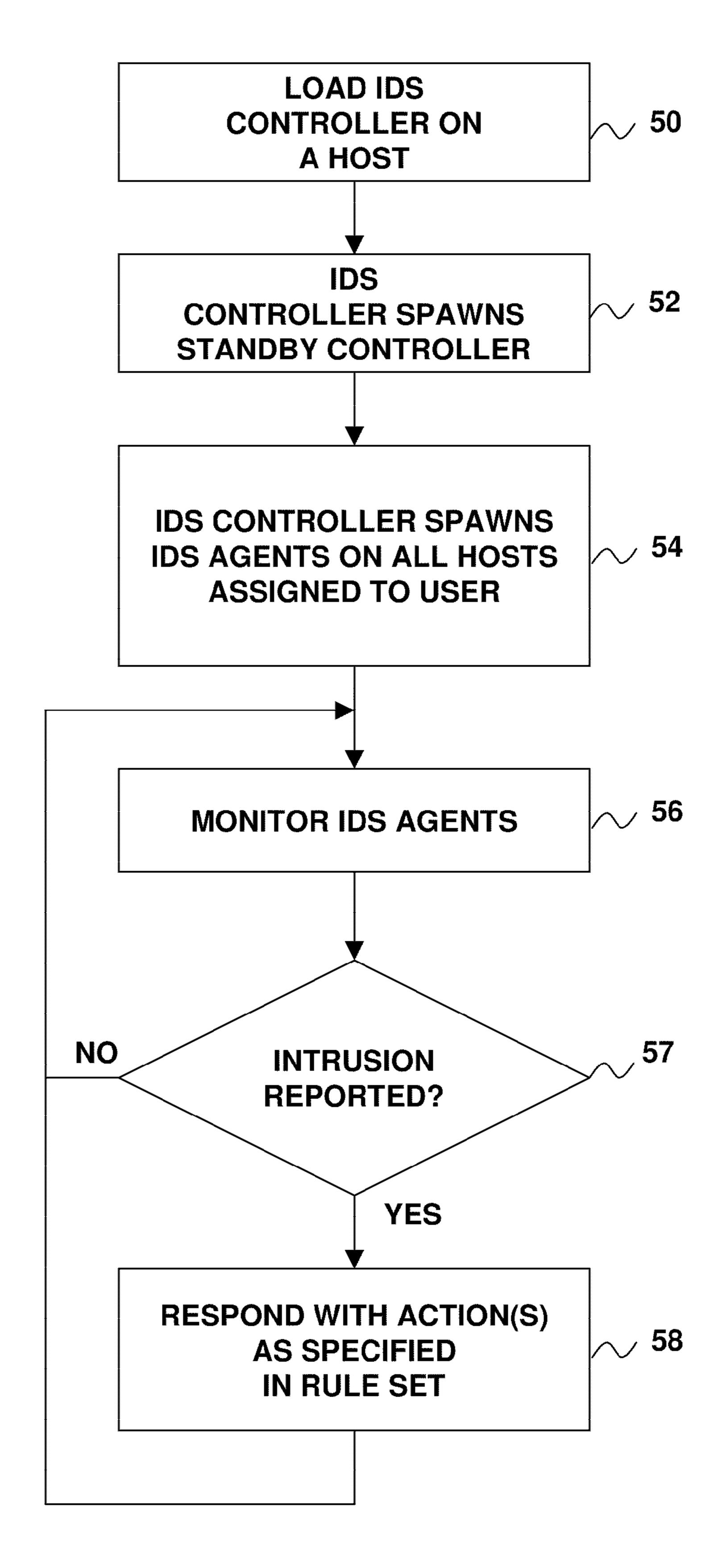


FIG. 5

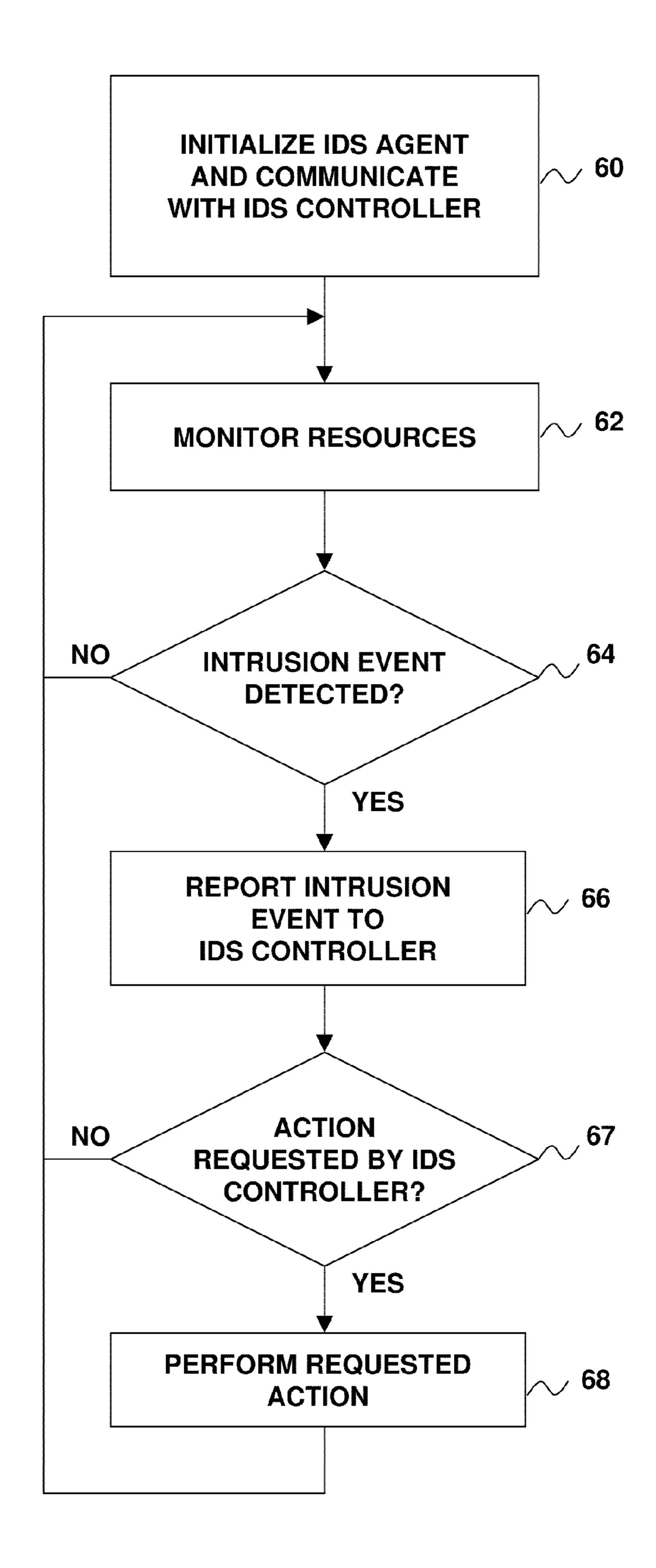


FIG. 6

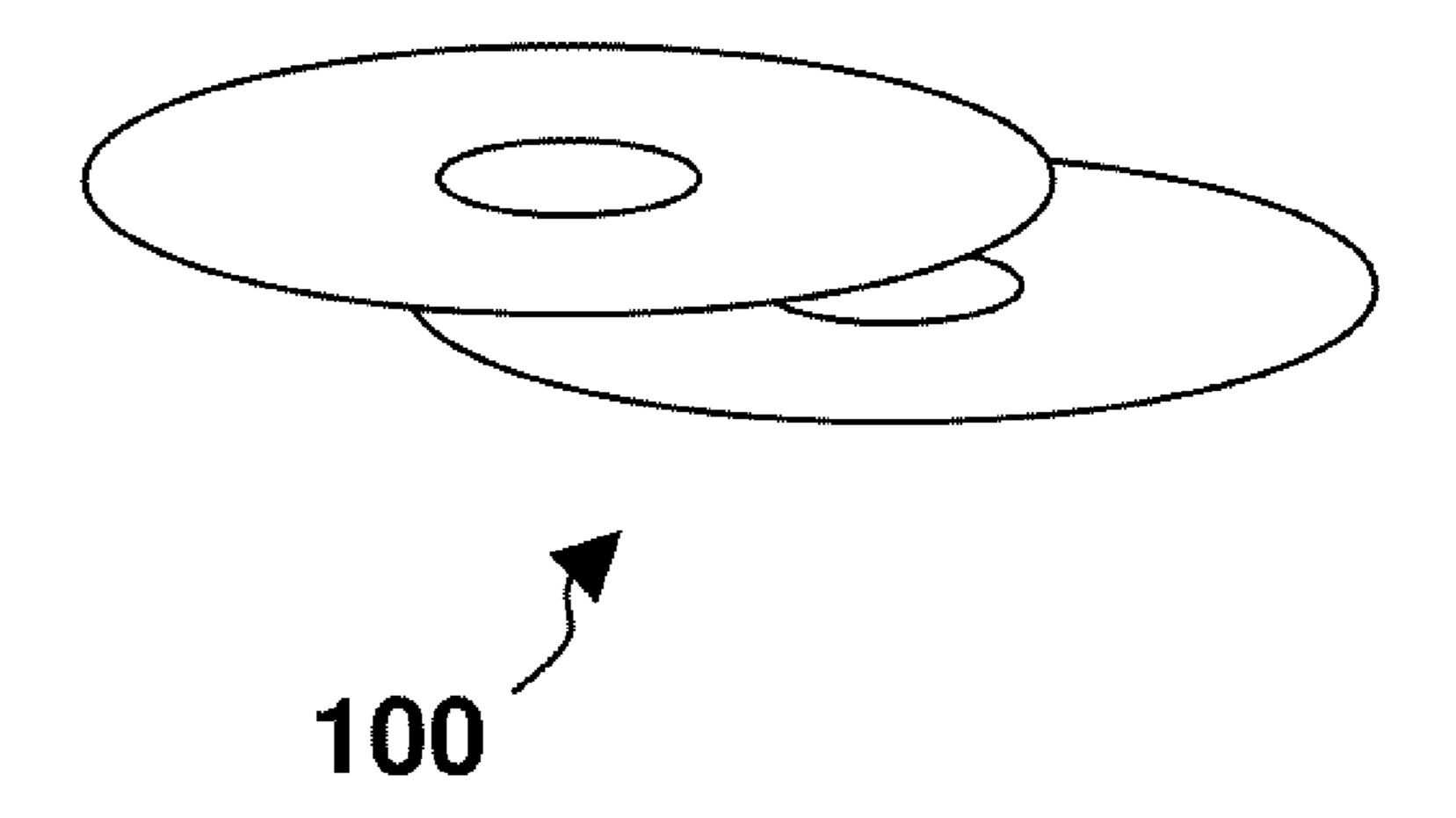


FIG. 7

COMPUTER SECURITY INTRUSION DETECTION SYSTEM FOR REMOTE, ON-DEMAND USERS

This application is a continuation under 35 U.S.C. 120 of application Ser. No. 11/079,380, filed Mar. 14, 2005, entitled "Computer Security Intrusion Detection System For Remote, On-Demand Users."

BACKGROUND OF THE INVENTION

1. Field of the Invention

The present invention relates to intrusion detection systems. More particularly, the invention concerns an intrusion detection system that operates in a remote, on-demand computing service environment.

2. Description of the Prior Art

By way of background, many institutional entities purchase or lease computing resources and deploy them physically within their facilities. Users of these data processing 20 systems will typically connect thereto over an institutional network or, to a limited degree, the institution may allow trusted individuals some type of VPN (Virtual Private Network) remote access over a public network, such as the Internet. In either case, the type of access to the data processing 25 system (and by whom) is known.

Remote, on-demand computing is a more recent innovation in the data processing field. According to this model, a customer obtains computing services from a remote computer system that is not under the customer's physical custody 30 and control. Instead, the remote system is typically owned by another entity that maintains the system at its own premises. In some cases, the system owner's equipment used to provide remote, on-demand service may be spread over plural geographic locations, as where the remote on-demand system 35 comprises a computer grid network with plural interlinked data processing hosts. A disadvantage of remote, on-demand computing is that it is difficult for the remote user to know whether or not their applications and data are being accessed by others who may also have access to the same computer 40 equipment, such as a system administrator associated with the on-demand service provider. On-demand users are generally provided with firewall protection implemented on the data processing hosts assigned to provide the on-demand services. However, firewalls only block network-originating intru- 45 sions, and only based on a fixed set of specific rules. Events such as a login by an on-premises administrator via a local console are typically not blocked, or even reported.

An intrusion detection system can detect unusual events and provide a record of suspected activity, regardless of its 50 point of origin. However, as far as known, intrusion detection has not been offered to remote on-demand users so that they can monitor intrusion activity on the remote data processing hosts to which they are assigned. This may cause customers to be reluctant to take advantage of remote, on-demand services. For example, consider a hardware vendor who wishes to encourage a proprietary software vendor to test the software vendor's software on the hardware vendor's computing platform. Given the option of either running the software under a remote, on-demand service model or simply borrowing or 60 renting the hardware and setting it up at their own facility, a security conscious software vendor may well insist on the latter arrangement. This is undesirable from the hardware vendor's perspective due to the high capital costs of equipment loaner and leasing programs.

Accordingly, an intrusion detection system is needed that can provide remote on-demand computing service users with

2

the security assurances they require before utilizing such services. What would be particularly desirable is an intrusion detection system for use in a remote, on-demand computing service environment wherein users are provided with a view of the remote data processing resources as though such resources where physically "in house," and wherein the users are fully informed whenever security has been violated. Preferably, remote, on-demand service users should have the ability to fully define each type of security event they desire to have monitored and to specify how such events are to be handled.

SUMMARY OF THE INVENTION

The foregoing problems are solved and an advance in the art is obtained by an intrusion detection system, and a related method and computer program product, for implementing intrusion detection in a remote, on-demand computing service environment in which one or more data processing hosts are made available to a remote on-demand user that does not have physical custody and control over the host(s). Intrusion detection performed by the intrusion detection system entails monitoring one or more resources defined by the on-demand user for intrusion events that are also defined by the on-demand user, and implementing responses according to event-action rules that are further defined by the on-demand user. Alternatively, the resources, events and responses can be defined by a third party entity, such as a security provider, authorized to act on behalf of the on-demand user.

According to exemplary implementations of the invention, the intrusion detection system includes an intrusion detection system (IDS) agent associated with each data processing host. The IDS agent(s) is/are adapted to monitor the user-defined events and report intrusion activity. If there are plural IDS agents, they can be individually programmed to monitor agent-specific sets of user-defined intrusion events. The intrusion detection system further includes an intrusion detection system (IDS) controller associated with one of the data processing hosts. The IDS controller is adapted to manage and monitor the IDS agent(s), process agent reports of intrusion activity, and communicate intrusion-related information to the on-demand user or other authorized entity. User-defined responses to intrusion events can be implemented by the IDS controller in combination with the IDS agent(s), or by any such entity alone.

BRIEF DESCRIPTION OF THE DRAWINGS

The foregoing and other features and advantages of the invention will be apparent from the following more particular description of exemplary embodiments of the invention, as illustrated in the accompanying Drawings, in which:

FIG. 1 is a functional block diagram showing a remote, on-demand computing service environment that includes a computer system hosting an intrusion detection system in accordance with the present invention;

FIG. 2 is a functional block diagram showing an alternative implementation of the system of FIG. 1;

FIG. 3 is a detailed functional block diagram showing features of an intrusion detection system according to the invention running in an installation of the system of FIG. 1 in which there are plural data processing hosts;

FIG. 4 is a detailed functional block diagram showing features of an intrusion detection system according to the invention running in an installation of the system illustrated in FIG. 1 in which there is a single data processing host.

FIG. 5 is a flow diagram showing steps performed during initialization and operation of the intrusion detection system by an intrusion detection system controller running in the system of FIG. 1;

FIG. 6 is a flow diagram showing steps performed during operation of the intrusion detection system by an intrusion detection system agent running in the system of FIG. 1; and

FIG. 7 is a diagrammatic illustration of storage media that can be used to store a computer program product for implementing intrusion detection functions in accordance with the invention.

DETAILED DESCRIPTION OF EXEMPLARY EMBODIMENTS

Turning now to the drawing figures, wherein like reference numerals indicate like elements in all of the several views, FIG. 1 illustrates a computer service environment 2 that is adapted to provide remote on-demand service, and which may be used to host an intrusion detection system according 20 to the present invention. For ease of description, the computer service environment 2 will be hereinafter referred to as an "on-demand environment." In the on-demand environment 2, a computer system 4 is configured with one or more data processing hosts $\mathbf{6}_1, \mathbf{6}_2, \mathbf{6}_3, \dots \mathbf{6}_n$, each of which comprises a 25 conventional combination of data processing hardware, an operating system, and a layer of application software. The data processing hosts $\mathbf{6}_1$, $\mathbf{6}_2$, $\mathbf{6}_3$. . . $\mathbf{6}_n$ interact with an ondemand user system 8 that is remote from the system 4. The system 4 is assumed to be located at a first premises 10 and the 30 on-demand user system 8 is assumed to be located at a second premises 12. It is further assumed that the on-demand user system 8 is operated by or on behalf of an on-demand user entity (hereinafter "on-demand user") that does not have example, the system 4 could be owned and operated by an on-demand service provider and the on-demand user system 8 could be owned and operated by an on-demand user that contracts with the on-demand service provider for use of the system 4 to run the on-demand user's data processing applications. A typical on-demand computing contract might result in the on-demand service provider configuring system 4 to operate as a grid or cluster of computers (representing some or all of the data processing hosts $\mathbf{6}_1, \mathbf{6}_2, \mathbf{6}_3, \dots \mathbf{6}_n$) that is made available to the on-demand user. The latter would 45 utilize the processing power of the grid or cluster to run software that is uploaded to the system 4 via the on-demand user system 8 (or otherwise installed on the system 4).

Additional equipment, such as data storage systems 14_1 and 14_2 , may be provided as part of the system 4 to support the 50 data processing operations required by the on-demand user system 8. All of these entities may be interconnected by way of a network 16 or other communication interface operating according to a conventional protocol, such as TCP/IP. It should be further understood that the data processing hosts of 55 the system 4 need not all be situated at the premises 10. As shown in FIG. 1, the system 4 could include any number of additional data processing nodes or node clusters 18_1 , $18_2 \dots 18_n$ located at any number of separate premises. The nodes/clusters 18_1 , $18_2 \dots 18_n$ could be statically assigned for 60 use by the on-demand user or they could be dynamically allocated as the on-demand user's data processing requirements fluctuate.

Although FIG. 1 depicts the data processing hosts $\mathbf{6}_1$, $\mathbf{6}_2$, $\mathbf{6}_3 \dots \mathbf{6}_n$ of the system 4 as being discrete computing platforms, this need not necessarily be the case. For example, as shown in FIG. 2, the system 4 could be implemented on a

4

single logically partitionable data processing system. One example of such a system would be the IBM® eServerTM pSeries® server from International Business Machines Corporation, running version 5.2 of the IBM® AIX® 5L operating system or the like. In such an environment, the system 4 would be implemented with a collection of shared data processing hardware resources that include one or more central processing units (CPUs) $20_1, 20_2 \dots 20_n$, a memory 42, and a set of input/output (I/O) facilities 44. A logical partition (LPAR) manager **46** would define the data processing hosts $\mathbf{6}_1, \mathbf{6}_2, \mathbf{6}_3 \dots \mathbf{6}_n$ of the system 4 by virtualizing the aforementioned hardware resources to provide a subset of the CPU, memory and I/O resources (i.e., a virtual machine) on behalf of higher level guest operating systems and their applications. 15 The dynamic logical partitioning capability provided by such a system would allow processor, memory and I/O slot resources to be added to or deleted from running partitions, or moved between running partitions, without having to reboot partition operating systems. This would make the implementation of FIG. 2 ideal for dynamically allocating computational resources on behalf of the on-demand user according to workflow fluctuations.

Turning now to FIG. 3, an alternative view of the ondemand environment 2 is shown in order to illustrate the operation of an intrusion detection system 30 therein according to the principles of the present invention. FIG. 3 illustrates the computer system 4 and its data processing hosts 6_1 , 6_2 , $6_3 \ldots 6_n$, together with the on-demand user system 8. The intrusion detection system 30 is represented by the various labeled software entities residing within each data processing host 6_1 , 6_2 , $6_3 \ldots 6_n$, the functions of which will be presently discussed.

The intrusion detection system 30 is adapted to allow an on-demand user to monitor activity on data processing hosts physical custody and control over the system 4. By way of 35 $\mathbf{6}_1$, $\mathbf{6}_2$, $\mathbf{6}_3$, ... $\mathbf{6}_n$ of the system 4, regardless of its point of origin. Moreover, the on-demand user can act as if it were the owner of the data processing hosts $\mathbf{6}_1$, $\mathbf{6}_2$, $\mathbf{6}_3$, ... $\mathbf{6}_n$ and the system 4 was physically located at the on-demand user premises 12. These advantages are obtained by allowing the on-demand user to customize and control all major aspects of intrusion detection system operation even though the ondemand user does not have physical custody and control over the data processing hosts $\mathbf{6}_1, \mathbf{6}_2, \mathbf{6}_3 \dots \mathbf{6}_n$. In particular, even though another entity may own and operate the data processing hosts $\mathbf{6}_1, \, \mathbf{6}_2, \, \mathbf{6}_3 \, \dots \, \mathbf{6}_n$, the intrusion detection system 30 allows the on-demand user to monitor resources thereon that are defined by the on-demand user for intrusion events that are likewise defined by the on-demand user, and to implement responses according to event-action rules that are further defined by the on-demand user.

The resources that the intrusion detection system 30 can be programmed by the on-demand user to monitor for signs that the system 4 may be compromised are many and varied. By way of example only, detection capabilities that may be selectively specified by the on-demand user could include:

- 1) examining one or more system log files;
- 2) looking for user files with elevated permissions;
- 3) checking system binaries;
- 4) examining files run by time scheduling processes;
- 5) checking for unauthorized network services;
- 6) examining password files
- 7) searching for hidden files or unusual files designated as suspect by the on-demand user;
- 8) checking for packet sniffing;
- 9) checking for port scanning;
- 10) checking system and network configuration; and
- 11) examining remote access settings.

By examining these and other resources, the intrusion detection system 30 can be customized by the on-demand user to track any relevant intrusion event occurring in the system 4, including hardware events, operating system events, system access events and network access events. 5 Activity that does not correspond to a tracked intrusion event for a monitored resource will be allowed to proceed. Activity that corresponds to a tracked event for a resource being monitored will be handled by way of responses or response sequences according to the event-action rules defined by the 10 on-demand user. Such event-action rules can be written using any suitable policy definition language (such as a conventional "if-then-else" policy language of the type used for policy-based network management).

The actions taken in response to intrusion events will typi- 15 cally include logging the events and reporting them to the on-demand user via the on-demand user system 8. Depending on the requirements of the on-demand user, the reporting of response actions could be triggered by a single intrusion event as well as complex event sequences, such as repeated access 20 attempts from a single potentially hostile source. In all cases, the intrusion detection system 30 will maintain a record of unusual activity in the system 4 (as defined by the on-demand user) so that the on-demand user can review any accesses that took place and validate them. In addition to the reporting of 25 intrusion events, the actions taken in response to intrusion events may include remedial actions or action sequences, such as blocking incoming packets at a network port, denying access to a file, etc. To provide additional flexibility, the intrusion detection system 30 may be programmed to allow 30 event context information to be specified as part of eventaction rules, such as the time when an event occurs. With this capability, the on-demand user could specify complex rules, such as rules for taking different actions in response to events at different times of day.

As an example of the manner in which the intrusion detection system 30 can handle intrusion events based on eventaction rules specified by the on-demand user, consider a scenario where an unauthorized root user, possibly an administrator associated with the on-demand service pro- 40 vider that owns the system 4, logs in and attempts to alter the permissions of a non-root user file. Assuming the intrusion detection system 30 is programmed by the on-demand user to track login and user file permission alteration events, an event-action rule could be triggered that causes a report to be 45 sent to the on-demand user that the login has occurred, and that the user file has had its permissions changed. The ondemand user could then take action on its own to rectify the situation, such as to place a telephone call the on-demand service provider. Alternatively, the on-demand user could 50 specify an event-action rule that causes a remedial action to be performed by the intrusion detection system 30. For example, the on-demand user could specify that a message be sent to the administrator advising that the file permission change is unauthorized and requesting that the person contact 55 the on-demand user to provide an explanation.

A further illustration of the manner in which the intrusion detection system 30 can handle intrusion events would be a scenario where a firewall on one of the data processing hosts $\mathbf{6}_1, \mathbf{6}_2, \mathbf{6}_3 \dots \mathbf{6}_n$ (with public network connectivity) reports 60 unusual intrusion-related activity (e.g. a denial of service attack). In that case, an event-action rule specified by the on-demand user could provide for an intrusion detection system agent (described in more detail below) to call an API (Application Programming Interface) of a software application running on behalf of the on-demand user. Depending on the application, the API call could be used to modify the

6

application's operational mode, protect its data, or even perform an application shutdown depending on the perceived threat level.

To help facilitate the on-demand user's specification of event-action rules, the intrusion detection system 30 can be configured with a default set of intrusion criteria based on the system's hardware, operating system, system configuration, system access and network configuration. Using a webbrowser or other interface supported by the intrusion detection system 30, the on-demand user can then modify these criteria as desired. As described in more detail below, the intrusion detection system 30 will store the criteria defined by the on-demand user (which may be the default set of intrusion criteria if no changes are made) in appropriate configuration files. The intrusion detection system 30 will then generate and store the programs needed to detect intrusion events and the programs needed to implement user-defined actions when necessary. Recovery sequences for recovering the intrusion detection system 30 from an error condition can also be configured by the on-demand user, and a default set of criteria may again be provided by the on-demand service provider to assist the on-demand user in this regard.

Note that for purposes of the present invention, a default set of security-related criteria is considered to be on-demand user-defined so long as the on-demand user is given the opportunity to either approve or disapprove of at least some portion thereof, even if the default criteria remain unmodified. Nor does the invention require that the on-demand user interact with the intrusion detection system 30 for the purpose of specifying intrusion criteria. A non-technically savvy ondemand user may not wish to be bothered with such a task and may instead specify its security needs to personnel associated with the on-demand service provider, who will then edit the necessary files. Alternatively, the on-demand user may sim-35 ply accept a default security policy that is made available by the on-demand security provider. For example, a service scenario could be implemented wherein the on-demand user is allowed to select one of several security packages offered by the on-demand service provider.

A further scenario falling within the scope of the invention would be where an authorized third party security provider (e.g., a security specialist) configures the on-demand user's security preferences by dealing with the on-demand service provider on behalf of the on-demand user, so that the ondemand user does not have to perform this function. This scenario is illustrated in FIG. 3 wherein reference numeral 8A represents a third party security provider system situated at a third party security provider premises 12A. The security provider could interact with the on-demand user and the ondemand service provider in several ways. According to one arrangement, the security provider would receive security criteria defined by the on-demand user, and then specify these criteria to the on-demand service provider on behalf of the on-demand user. In that case, the security criteria would be on-demand user-defined. According to an alternative arrangement the security provider would take a more active role by actually defining the security criteria and specifying these criteria to the on-demand service provider on behalf of the on-demand user. In that case, the security criteria would be security provider-defined. The on-demand user would be aware that security is being provided as a result of having contracted for some level of security protection offered by the security provider, but may not be aware of the security details and may not be considered to have defined the security criteria, this task having been left to the security provider. Under any of the third party security provider scenarios above, the security provider can be authorized by the on-demand user to

receive all security notifications provided by the intrusion detection system 30 and respond accordingly. Alternatively, the security provider could forward all or part of such information to the on-demand user for response.

In the exemplary implementation of FIG. 3, the intrusion 5 detection system 30 comprises an intrusion detection system (IDS) controller **32** that resides on one of the data processing hosts of the system 4 (e.g., host 6_3). The intrusion detection system 30 further includes intrusion detection system (IDS) agents $34_1, 34_2, 34_3 \dots 34_n$ respectively associated with each 10 data processing host $\mathbf{6}_1, \mathbf{6}_2, \mathbf{6}_3 \dots \mathbf{6}_n$ of the system 4. The IDS agents 34_1 , 34_2 , 34_3 . . . 34_n are responsible for monitoring user-defined events associated with user-defined resources. An event/resource definition file 36_1 , 36_2 , 36_3 . . . 36_n is respectively maintained at each data processing host $\mathbf{6}_1$, $\mathbf{6}_2$, 15 $\mathbf{6}_3 \dots \mathbf{6}_n$ to specify the monitoring operations performed by each IDS agent 34_1 , 34_2 , 34_3 . . . 34_n . Depending on the programming provided by (or on behalf of) the on-demand user, the event/resource definition files 36_1 , 36_2 , 36_3 ... 36_n can either be identical to each other, such that each IDS agent 20 $34_1, 34_2, 34_3 \dots 34_n$ tracks the same resources for the same set of intrusion events, or different event/resource definition files can be specified for each IDS agent. In the latter case, each event/resource definition file will individually program a respective IDS agent 34_1 , 34_2 , 34_3 . . . 34_n to monitor agent- 25 specific sets of user-defined intrusion events associated with agent-specific resources. The IDS agents $34_1, 34_2, 34_3 \dots 34_n$ use the event/resource definition files $36_1, 36_2, 36_3 \dots 36_n$ to monitor activities occurring on the data processing hosts $\mathbf{6}_1$, $\mathbf{6}_2, \mathbf{6}_3 \dots \mathbf{6}_n$. Activities that are not defined as intrusion events 30 are ignored. Activities that are defined as intrusion events are identified and reported as intrusion activity by the IDS agents $34_1, 34_2, 34_3 \dots 34_n$ to the IDS controller 32.

The IDS controller 32 is the main control center of the intrusion detection system 30. It is adapted to manage and 35 monitor the IDS agents 34_1 , 34_2 , 34_3 ... 34_n , interpret agent reports of intrusion event activity, and communicate intrusion-related information to the on-demand user system 8 (or other location authorized by the on-demand user). If configured to do so by (or on behalf of) the on-demand user, the IDS 40 controller 32 will also instruct the IDS agents 34_1 , 34_2 , 34_3 ... 34_n to implement user-defined remedial actions in response to reported intrusion events. Alternatively, the IDS controller 32 could directly implement user-defined remedial actions without using the IDS agents 34_1 , 34_2 , 34_3 ... 34_n . 45 Moreover, as described in more detail below, the IDS agents 34_1 , 34_2 , 34_3 ... 34_n could implement user-defined remedial actions without involvement of the IDS controller 32.

With regard to the management and monitoring of the IDS agents $34_1, 34_2, 34_3 \dots 34_n$, the IDS controller 32 is responsible for spawning each such software entity as each of the various data processing hosts $6_1, 6_2, 6_3 \dots 6_n$ come online following system startup. The IDS controller 32 thereafter monitors agent operational status and takes corrective action (e.g., a restart sequence) in the event that an IDS agent 34_1 , 55 $34_2, 34_3 \dots 34_n$ malfunctions or becomes non-responsive.

With regard to the receipt and interpretation of reports of intrusion activity provided by the IDS agents 34_1 , 34_2 , $34_3 \dots 34_n$, the IDS controller 32 can be implemented to provide a service interface (e.g., a TCP/IP network port) that 60 the IDS agents access to report intrusion events. As each intrusion report is received at the IDS controller 32, can be processed according to event-action rules specified in a rule set file 38 maintained on the data processing host (e.g., 6_3) that hosts the IDS controller 32. This rule set file 38 contains 65 the event-action rules defined by (or on behalf of) the ondemand user, but as indicated above, a default rule set may be

8

provided to establish a starting point for user customization. When a specific rule requires that a response action be taken following receipt of an intrusion event report, the IDS controller 32 will orchestrate that action following the lookup in the rule set file 38 (either alone or with assistance from the IDS agents $34_1, 34_2, 34_3 \dots 34_n$). It will be appreciated that different event-action rules may be specified for each data processing host $6_1, 6_2, 6_3 \dots 6_n$.

Although a single rule set file 38 is shown for use by the IDS controller 32 on the data processing host 6_3 , an alternative arrangement could be implemented wherein some or all of the event-action rules specified by (or on behalf of) the on-demand user are maintained in plural rule set files distributed across the data processing hosts 6_1 , 6_2 , 6_3 ... 6_n (see reference numerals 38_1 , 38_2 ... 38_n in FIG. 3). Instead of performing centralized event-action rule set processing at the IDS controller 32, such processing would be performed in distributed fashion by the IDS agents 34_1 , 34_2 , 34_3 ... 34_n relative to their respective local intrusion events. The IDS agents 34_1 , 34_2 , 34_3 ... 34_n could then directly implement user-defined remedial actions and report such activities to the IDS controller 32.

With regard to response actions involving the communication of intrusion-related information to the on-demand user system 8 (or other location authorized by the on-demand user), the IDS controller 32 can be programmed to log all intrusion activity and periodically report the log information to the on-demand user (or other entity authorized by the on-demand user). The frequency of such reports is programmable by (or on behalf of) the on-demand user, and may be based on perceived threat level and other factors.

The intrusion detection system 30 may further comprise a standby IDS controller 40 that can run on the same data processing host (e.g., 6_3) as the IDS controller 32, or on a different data processing host of the system 4. The standby IDS controller 40 is adapted to assume IDS controller functions in the event that the IDS controller 32 fails or is otherwise incapable of performing such functions. It will be appreciated that the combination of an IDS controller 32, one or more IDS agents 34_1 , 34_2 , 34_3 ... 34_n controlled by the IDS controller, and a standby IDS controller 40 provides an intrusion detection system 30 in which there is no single point of failure, and in which every data processing host 6_1 , 6_2 , 6_3 ... 6_n runs independent intrusion detection system services monitored by a highly available IDS controller pair.

It will be seen in FIG. 3 that the intrusion detection system 30 is a distributed 20 software entity that spans plural data processing hosts $\mathbf{6}_1$, $\mathbf{6}_2$, $\mathbf{6}_3$. . . $\mathbf{6}_n$. As shown in FIG. 4, the intrusion detection system 30 can also be used in an environment where a single data processing host (e.g., the host $\mathbf{6}_3$) is the only node providing remote, on-demand service to the on-demand user system 8. In both the plural host (FIG. 2) and single host (FIG. 4) embodiments, the data processing host (e.g., the host $\mathbf{6}_3$) runs both the IDS controller 32 and an IDS agent (e.g., the agent $\mathbf{34}_3$).

Turning now to FIG. 5, an exemplary logic flow that can be implemented by the IDS controller 32 during initialization and operation of the intrusion detection system 30 will be described. Beginning in step 50, the IDS controller 32 is loaded for execution on a node of the system 4, such as the data processing host 6_3 in FIGS. 3 and 4. Depending on implementation specifics, the IDS controller 32 will run within a suitable software execution context on the data processing host 6_3 , which might be an operating system kernel process, a user process with elevated (e.g., root or adminis-

trative) privileges, or a combination of the foregoing. An image of the rule set 38 (if present) will also be created in memory.

In step **52**, the IDS controller **32** spawns the standby controller **40**. For security reasons, the standby controller **40** is 5 spawned on the same node that runs the IDS controller **32** (e.g., the data processing host **6**₃). Alternatively, system robustness could be improved by hosting the standby controller **40** on a separate node. In that case, the standby controller **40** would remain operational even if the data processing host 10 **6**₃ went down. However, the security level of the intrusion detection system **30** could be affected because the IDS controller **32** and the standby controller **40** would be required to communicate with each other using a network protocol (such as TCP/IP) instead of the interprocess communication that 15 can be used when these entities run on the same node.

In step 54, the IDS controller 32 spawns one of the IDS agents $34_1, 34_2, 34_3 \dots 34_n$ on each node of the system 4 (e.g., data processing host $6_1, 6_2, 6_3 \dots 6_n$ in FIG. 3 or data processing host 6_3 in FIG. 4). Depending on implementation 20 specifics, the IDS agents $34_1, 34_2, 34_3 \dots 34_n$ will run within suitable software execution contexts at each host node. These might be operating system kernel processes, user processes with elevated (e.g., root or administrative) privileges, or a combination of the foregoing. Images of the event/resource 25 files $36_1, 36_2, 36_3 \dots 36_n$ will also be respectively created in the memories of the respective data processing hosts $6_1, 6_2, 6_3 \dots 6_n$.

In step 56, the IDS controller 32 begins monitoring for intrusion events reported by the IDS agents $34_1, 34_2, 34_3 \dots$ 30 34_n. When an intrusion report is received in step 57, the IDS controller 32 responds in step 58 by implementing actions specified in the rule set 38. As indicated, this will typically include the logging and reporting of intrusion events to the on-demand user system 8 (or other location authorized by the 35 on-demand user), and may also include the implementation of user-defined remedial actions. As indicated above, such remedial actions could be implemented directly by the IDS controller 32, or by submitting action requests to the IDS agents 34_1 , 34_2 , 34_3 . . . 34_n . On the other hand, if the IDS 40 agents 34_1 , 34_2 , 34_3 . . . 34_n perform their own event-action processing using local rule sets $(38_1, 38_2, ..., 38_n)$ in FIG. 3), the IDS controller 32 will simply receive reports of intrusions and the actions taken by the agents. Again, this information will typically be logged by the IDS controller 32 and reported to 45 the on-demand user (or other entity authorized by the ondemand user).

Turning now to FIG. 6, an exemplary logic flow that can be implemented by the IDS agents 34₁, 34₂, 34₃ . . . 34_n during initialization and operation of the intrusion detection system 50 30 will be described. Beginning in step 60, each IDS agent $34_1, 34_2, 34_3 \dots 34_n$ initializes itself and establishes communication with the IDS controller 32. In step 62, each IDS agent $34_1, 34_2, 34_3 \dots 34_n$ begins monitoring resources identified in the associated event/resource definition file $36_1, 36_2, 36_3 \dots$ 55 36, for intrusion events as defined in the event/resource definition file. If an intrusion event is detected in step 64, the detecting IDS agent $34_1, 34_2, 34_3 \dots 34_n$ reports the intrusion event to the IDS controller 32 in step 66. If requested to do so by the IDS controller 32 in step 67, the detecting IDS agent 60 $34_1, 34_2, 34_3 \dots 34_n$ will perform a user-defined remedial action in step 68. Resource monitoring then resumes. Alternatively, if the IDS agents $34_1, 34_2, 34_3 \dots 34_n$ perform their own event-action processing using local rule sets $(38_1,$ 38₂...38_n in FIG. 3), the detecting IDS agent will perform the 65 hosts. required action directly. It will typically also report the intrusion event and the action taken to the IDS controller 32.

10

Accordingly, an intrusion detection system for use in a remote, on-demand computing service environment has been disclosed. It will be appreciated that the inventive concepts may be variously embodied in any of a data processing system, a machine implemented method, and a computer program product in which programming means are recorded on media for controlling a computer system to perform the required functions. Exemplary data storage media for storing such programming means are shown by reference numeral 100 in FIG. 7. The media 100 are shown as being portable optical storage disks of the type that are conventionally used for commercial software sales. Such media can store the programming means of the invention, either alone or in conjunction with an operating system or other software product that incorporates the required functionality. The programming means could also be stored on portable magnetic media (such as floppy disks, flash memory sticks, etc.) or on magnetic media combined with drive systems (e.g. disk drives) incorporated in computer platforms.

Although various embodiments of the invention have been described, it should be apparent that many variations and alternative embodiments could be implemented in accordance with the invention. It is understood, therefore, that the invention is not to be in any way limited except in accordance with the spirit of the appended claims and their equivalents.

What is claimed is:

1. In a remote, on-demand computing service environment in which one or more data processing hosts are made available to a remote on-demand user that does not have physical custody and control over said host(s), an intrusion detection system, comprising:

means for monitoring resources defined by said on-demand user for intrusion events defined by said on-demand user;

said monitoring being performed by one or more intrusion detection agents that are run by said one or more data processing hosts, each intrusion detection agent being associated with a single one of said data processing hosts, and each of said data processing hosts that is being monitored running at least one of said intrusion detection agents;

said user-defined resources including hardware resources, non-network system software resources, non-network, local login system access resources and network access resources;

said user-defined intrusion events including hardware events, non-network system software events, non-network, local login system access events and network access events;

means for implementing responses to said intrusion events according to event-action rules defined by said on-demand user;

said user-defined event-action rules including notifying said on-demand user of said user-defined intrusion events; and

said intrusion detection system being operable to receive a specification of said resources, said intrusion events and said event-action rules from said on-demand user as security criteria to an on-demand service provider implementing said on-demand computing environment.

2. A system in accordance with claim 1 wherein there are plural intrusion detection system agents that are individually programmed to monitor agent-specific sets of user-defined intrusion events occurring on their associated data processing hosts

3. A system in accordance with claim 1 wherein said intrusion detection system agent(s) is/are adapted to perform

event-action rule processing and implement said responses according to said event-action rules defined by said on-demand user.

- 4. A system in accordance with claim 1 wherein said intrusion detection system comprises an intrusion detection system controller associated with one of said data processing hosts, said intrusion detection system controller being adapted to manage and monitor said intrusion detection system agent(s), process reports of intrusion activity provided by said intrusion detection system agent(s), and communicate 10 intrusion-related information to said on-demand user or other authorized entity.
- 5. A system in accordance with claim 4 wherein said intrusion detection system controller is adapted to perform eventaction rule processing and implement said responses according to said event-action rules defined by said on-demand user, either directly or using said intrusion detection system agent(s).
- 6. A system in accordance with claim 1 wherein said security criteria are received by said on-demand service provider 20 via an interface provided by said intrusion detection system.
- 7. A method for implementing an intrusion detection system in on-demand computing service environment in which one or more data processing hosts are made available to a remote on-demand user that does not have physical custody 25 and control over said host(s), the method comprising:
 - monitoring resources defined by said on-demand user for intrusion events defined by said on-demand user and implementing responses according to event-action rules defined by said on-demand user;
 - said resources, said intrusion events and said event-action rules being received from said on-demand user as security criteria by an on-demand service provider implementing said on-demand computing environment;
 - said monitoring being performed by one or more intrusion 35 detection agents that are run by said one or more data processing hosts, each intrusion detection agent being associated with a single one of said data processing hosts, and each of said data processing hosts that is being monitored running at least one of said intrusion detection agents;
 - said user-defined resources including hardware resources, non-network system software resources, non-network, local login system access resources and network access resources;
 - said user-defined intrusion events including hardware events, non-network system software events, non-network, local login system access events and network access events; and
 - said user-defined event-action rules including notifying 50 said on-demand user of said user-defined intrusion events.
- 8. A method in accordance with claim 7 wherein plural intrusion detection agents individually monitor agent-specific sets of user-defined intrusion events occurring on their 55 associated data processing hosts.
- 9. A method in accordance with claim 7 wherein said intrusion detection agent(s) perform(s) event-action rule processing and implement(s) said responses according to said event-action rules defined by said on-demand user.
- 10. A method in accordance with claim 7 wherein said agent(s) is/are managed and monitored by an intrusion detection system controller associated with one of said data processing hosts, and which also processes reports of intrusion activity provided by said intrusion detection system agent(s), 65 and communicates intrusion-related information to said ondemand user or other authorized entity.

12

- 11. A method in accordance with claim 10 wherein said intrusion detection controller performs event-action rule processing and implements said responses according to said event-action rules defined by said on-demand user, either directly or using said intrusion detection system agent(s).
- 12. A method in accordance with claim 7 wherein said security criteria are received by said on-demand service provider via an interface provided by said intrusion detection system.
- 13. A computer program product for implementing an intrusion detection system in on-demand computing service environment in which one or more data processing hosts is made available to a remote on-demand user that does not have physical custody and control over said host(s), comprising: one or more data storage media;
 - means recorded on said data storage media for programming said one or more data processing hosts to operate by:
 - monitoring resources defined by said on-demand user for intrusion events defined by said on-demand user and to implement responses according to event-action rules defined by said on-demand user;
 - said resources, said intrusion events and said event-action rules being received from said on-demand user as security criteria by an on-demand service provider implementing said on-demand computing environment;
 - said monitoring being performed by one or more intrusion detection agents that are run by said one or more data processing hosts, each intrusion detection agent being associated with a single one of said data processing hosts, and each of said data processing hosts that is being monitored running at least one of said intrusion detection agents;
 - said user-defined resources including hardware resources, non-network system software resources, non-network, local login system access resources and network access resources;
 - said user-defined intrusion events including hardware events, non-network system software events, non-network, local login system access events and network access events; and
 - said user-defined event-action rules including notifying said on-demand user of said user-defined intrusion events.
- 14. A product in accordance with claim 13 wherein plural intrusion detection agents individually monitor agent-specific sets of user-defined intrusion events occurring on their associated data processing hosts.
- 15. A product in accordance with claim 13 wherein said intrusion detection agent(s) perform(s) event-action rule processing and implement(s) said responses according to said event-action rules defined by said on-demand user.
- 16. A product in accordance with claim 13 wherein said agent(s) is/are managed and monitored by an intrusion detection system controller associated with one of said data processing hosts, and which also processes reports of intrusion activity provided by said intrusion detection system agent(s), and communicates intrusion-related information to said ondemand user or other authorized entity.
 - 17. A product in accordance with claim 15 wherein said intrusion detection controller performs event-action rule processing and implements said responses according to said event-action rules defined by said on-demand user, either directly or using said intrusion detection system agent(s).

18. A product in accordance with claim 13 wherein said security criteria are received by said on-demand service provider via an interface provided by said intrusion detection system.

19. In a remote, on-demand computing service environment in which one or more data processing hosts is made available to a remote on-demand user that does not have physical custody and control over said host(s), an intrusion detection system adapted to monitor resources defined by said on-demand user for intrusion events defined by said on-demand user and to implement responses according to event-action rules defined by said on-demand user, said resources, said intrusion events and said event-action rules being specified by said on-demand user as security criteria to an on-demand service provider implementing said on-demand computing environment:

said intrusion detection system comprising:

an intrusion detection system agent associated with each of said data processing hosts, said intrusion detection system agent(s) being individually programmed to monitor agent-specific sets of user-defined intrusion events and report intrusion activity to said intrusion detection system controller;

each of said intrusion detection agents being associated with a single one of said data processing hosts, and each of said data processing hosts that is being monitored running at least one of said intrusion detection agents;

an intrusion detection system controller associated with one of said data processing hosts, said intrusion detection system controller being adapted to manage and monitor said intrusion detection system agent(s), process reports of intrusion activity provided by said intrusion detection system agent(s), and communicate intrusion-related information to said on-demand user or other 35 authorized entity;

one or more of said intrusion detection system agent(s) and said intrusion detection system controller being adapted to perform event-action rule processing and implement said responses according to said event-action rules 40 defined by said on-demand user;

said user-defined resources including hardware resources, non-network system software resources, non-network, local login system access resources and network access resources;

said user-defined intrusion events including hardware events, non-network system software events, non-network, local login system access events and network access events; and

said user-defined event-action rules including notifying 50 said on-demand user of said user-defined intrusion events.

14

20. A computer program product for implementing an intrusion detection system in on-demand computing service environment in which one or more data processing hosts is made available to a remote on-demand user that does not have physical custody and control over said host(s), comprising: one or more data storage media;

means recorded on said data storage media for programming said one or more data processing hosts to operate by:

monitoring resources defined by said on-demand user for intrusion events defined by said on-demand user and to implement responses according to event-action rules defined by said on-demand user;

said resources, said intrusion events and said event-action rules being specified by said on-demand user as security criteria to an on-demand service provider implementing said on-demand computing environment;

said monitoring of user-defined events being performed by an intrusion detection system agent associated with each of said data processing hosts, said intrusion detection system agent(s) individually monitoring agent-specific sets of user-defined intrusion events and reporting intrusion activity to said intrusion detection system controller:

each of said intrusion detection agents being associated with a single one of said data processing hosts, and each of said data processing hosts that is being monitored running at least one of said intrusion detection agents;

said agent(s) being managed and monitored by an intrusion detection system controller associated with one of said data processing hosts, and which also processes reports of intrusion activity provided by said intrusion detection system agent(s), and communicates intrusion-related information to said on-demand user or other authorized entity;

one or more of said intrusion detection system agent(s) and said intrusion detection system controller performing event-action rule processing and implementing said responses according to said event-action rules defined by said on-demand user;

said user-defined resources including hardware resources, non-network system software resources, non-network, local login system access resources and network access resources;

said user-defined intrusion events including hardware events, non-network system software events, non-network, local login system access events and network access events; and

said user-defined event-action rules including notifying said on-demand user of said user-defined intrusion events.

* * * *