

#### US007488487B2

## (12) United States Patent

## Frankel et al.

# (10) Patent No.: US 7,488,487 B2 (45) Date of Patent: \*Feb. 10, 2009

## (54) METHODS OF INDUCING IMMUNE RESPONSES THROUGH THE ADMINISTRATION OF AUXTROPHIC ATTENUATED DAL/DAT DOUBLE MUTANT LISTERIA STRAINS

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(73) Assignee: The Trustees of the University of Pennsylvania, Philadelphia, PA (US)

(\*) Notice: Subject to any disclaimer, the term of this patent is extended or adjusted under 35

U.S.C. 154(b) by 801 days.

This patent is subject to a terminal disclaimer.

(21) Appl. No.: 10/660,194

(22) Filed: Sep. 11, 2003

## (65) Prior Publication Data

US 2005/0048081 A1 Mar. 3, 2005

#### Related U.S. Application Data

- (60) Continuation of application No. 10/136,253, filed on May 1, 2002, now Pat. No. 6,635,749, which is a division of application No. 09/520,207, filed on Mar. 7, 2000, now Pat. No. 6,504,020, which is a division of application No. 08/972,902, filed on Nov. 18, 1997, now Pat. No. 6,099,848.
- (51) Int. Cl. A61K 39/02 (2006.01)

See application file for complete search history.

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## (57) ABSTRACT

The present invention includes a method of eliciting a T-cell immune response to an antigen in mammal. The method of eliciting a T-cell immune response includes administering mammal an auxotrophic attenuated strain of listeria which expresses the antigen. The auxotrophic attenuated strain of listeria includes a mutation in at least one gene whose protein product is essential for growth of bacteria.

#### 13 Claims, 17 Drawing Sheets

Feb. 10, 2009

									30						
	*		*	*		*		*	*		*		*	*	
ATG	GTG	AÇA	GGC	TGG	CAT	CGT	CCA	ACA	TGG	ATT	GAA	ATA	GAC	CGC	GCA
Met	Val	Thr	Gly	Trp	His	Arg	Pro	Thr	Trp	Ile	Glu	Ile	Asp	Arg	Ala
			60										90		
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	ATT														
Ala	rre	Arg	GIU	ASII	116	гур	ASII	GIU	GIII	WEII	πλε	Leu	PIO	GIU	ser
							120								
	*	*		*		*			*		*	*		*	
GTC	GAC	TTA	TGG	GCA	GTA	GTC	AAA	GCT	AAT	GCA	TAT	GGT	CAC	GGA	TTA
Val	Asp	Leu	Trp	Ala	Val	Val	Lys	Ala	Asn	Ala	Tyr	Gly	His	Gly	Ile
<b>.</b>	150		<b>-</b>		<b>*</b>	4		*		+	180		•		•
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	GCC Ala														
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									270						
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	'GAC														
Asp	) Asp	Phe	Ile	Leu	Val	Leu	Gly	Ala	Thr	Arg	Lys	Glu	Asp	Ala	Asn
			300										330		
*		*			*		*	*		*		*			*
CTG	GCA	GCC	: AAA	AAC	CAC	ATT	TCA	CTI	ACI	' GTT	TTT	AGA	GAA	GAT	TGG
Leu	ı Ala	Ala	Lys	Asn	His	Ile	Ser	Leu	Thr	Val	Phe	Arg	Glu	Asp	Trp
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Fig. 1A

	390										420				
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GAT	AGC	GGT	ATG	GGG	CGT	CTC	GGT	ATT	CGT	ACG	ACT	GAA	GAA	GCA	CGG
qaA	Ser	Gly	Met	Gly	Arg	Leu	Gly	Ile	Arg	Thr	Thr	Glu	Glu	Ala	Arg
_				_	450		_								480
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CGA N~~		GAA													
Arg	115	Gra	Ara	1111	96T	TITE	MSII	Asp	uis	GIII	rea	GIII	Leu	GIU	GIY
									510						
	*		*	*		*		*			*		*	*	
ATT	TAC	ACG	CAT	TTT	GCA	ACA	GCC	GAC	CAG	CTA	GAA	ACT	AGT	TAT	TTT
Ile															
														-	
_			540										570		
		*													
		CAA													
Glu	GTII	GIII	Leu	Ala	ьув	Pne	GIII	Inr	TTE	Leu	Inr	ser	Leu	Lys	Lys
							600								
	*	*		*		*	_		*		*	*		*	
CGA		* ACT					*								CAG
	CCA		TAT	GTT	CAT	ACA	* GCC	TAA	TCA	GCT	GCT	TCA	TIG	TTA	<b>-</b>
	CCA	ACT	TAT	GTT	CAT	ACA	* GCC	TAA	TCA	GCT	GCT Ala	TCA	TIG	TTA	<b>-</b>
	CCA Pro 630	ACT	TAT	GTT	CAT	ACA	* GCC	TAA	TCA	GCT Ala	GCT	TCA	TIG	TTA	Gln
Arg	CCA Pro 630	ACT	TAT Tyr	GTT	CAT His	ACA Thr	* GCC Ala	AAT Asn	TCA Ser	GCT Ala	GCT Ala 660	TCA	TTG Leu	TTA	Gln *
Arg * CCA	CCA Pro  630 * CAA	ACT Thr	TAT Tyr GGG	GTT Val	CAT His *	ACA Thr &	* GCC Ala ATT	AAT Asn *	TCA	GCT Ala * GGT	GCT Ala 660 *	TCA Ser	TTG Leu *	TAT	Gln * GGA
Arg * CCA	CCA Pro  630 * CAA	ACT	TAT Tyr GGG	GTT Val	CAT His *	ACA Thr &	* GCC Ala ATT	AAT Asn *	TCA	GCT Ala * GGT	GCT Ala 660 *	TCA Ser	TTG Leu *	TAT	Gln * GGA
Arg * CCA	CCA Pro  630 * CAA	ACT Thr	TAT Tyr GGG	GTT Val	CAT His *	ACA Thr &	* GCC Ala ATT	AAT Asn *	TCA	GCT Ala * GGT	GCT Ala 660 *	TCA Ser	TTG Leu *	TAT	Gln * GGA
Arg * CCA	CCA Pro  630 * CAA	ACT Thr	TAT Tyr GGG	GTT Val	CAT His ASP	ACA Thr &	* GCC Ala ATT	AAT Asn *	TCA	GCT Ala * GGT	GCT Ala 660 *	TCA Ser	TTG Leu *	TAT	<pre># GGA Gly</pre>
* CCA Pro	CCA Pro 630 * CAA Gln	ACT Thr ATC Ile	TAT TYY GGG Gly	GTT Val Phe	CAT His *GAT Asp 690	ACA Thr GCG Ala	* GCC Ala ATT Ile	AAT Asn  * CGC Arg	TCA Ser TTT Phe	GCT Ala * GGT Gly	GCT Ala 660 * ATT Ile	TCA Ser *	TTG Leu ATG Met	TTA Leu TAT Tyr	Gln  GGA Gly  720  *
* CCA Pro  * TTA	CCA Pro 630 * CAA Gln ACT	ACT Thr ATC Ile	TAT TYY  GGG Gly  TCC	GTT Val TTT Phe * ACA	CAT His * GAT ASP 690 * GAA	ACA Thr  * GCG Ala ATC	GCC Ala ATT Ile	AAT Asn  * CGC Arg	TCA Ser TTT Phe * AGC	GCT Ala * GGT Gly * TTG	GCT Ala 660 * ATT Ile	TCA Ser TCG Ser	TTG Leu  * ATG Met	TTA Leu TAT Tyr	Gln  * GGA Gly  720  * AAA
* CCA Pro  * TTA	CCA Pro 630 * CAA Gln ACT	ACT Thr ATC Ile	TAT TYY  GGG Gly  TCC	GTT Val TTT Phe * ACA	CAT His * GAT ASP 690 * GAA	ACA Thr  * GCG Ala ATC	GCC Ala ATT Ile	AAT Asn  * CGC Arg	TCA Ser TTT Phe  * AGC Ser	GCT Ala  * GGT Gly  TTG Leu	GCT Ala 660 * ATT Ile	TCA Ser TCG Ser	TTG Leu  * ATG Met	TTA Leu TAT Tyr	Gln  * GGA Gly  720  * AAA
* CCA Pro  * TTA	CCA Pro 630 * CAA Gln ACT Thr	ATC The * CCC Pro	TAT Tyr  GGG Gly  TCC Ser	TTT Phe  * ACA Thr	CAT His * GAT ASP 690 * GAA Glu	ACA Thr  GCG Ala  ATC Ile	GCC Ala ATT Ile	AAT Asn  * CGC Arg	TCA Ser TTT Phe  * AGC Ser 750	GCT Ala * GGT Gly * TTG Leu	GCT Ala 660 * ATT Ile CCG Pro	TCG Ser  * TTT Phe	TTG Leu  * ATG Met  GAG Glu	TAT Leu  * CTT Leu  Leu	Gln * GGA Gly 720 * AAA Lys
* CCA Pro	CCA Pro 630 * CAA Gln ACT Thr	ATC Ile * CCC Pro	TAT TYY  GGG Gly  TCC Ser	GTT Val TTT Phe * ACA Thr	CAT His * GAT Asp 690 * GAA Glu	ACA Thr  GCG Ala  ATC Ile	GCC Ala ATT Ile  * AAA Lys	AAT Asn  * CGC Arg  ACT Thr  *	TCA Ser TTT Phe  * AGC Ser *	GCT Ala * GGT Gly * TTG Leu	GCT Ala 660 * ATT Ile CCG Pro	TCA Ser  * TTT Phe	TTG Leu  * ATG Met  GAG Glu  *	TAT Teu  * CTT Leu  *	Gln * GGA Gly 720 * AAA Lys
* CCA Pro  CCT	CCA Pro 630 * CAA Gln ACT Thr GCA	ATC The * CCC Pro	TAT TYY  GGG Gly  TCC Ser  * GCA	GTT Val TTT Phe * ACA Thr CTC	CAT His ASP 690 * GAA Glu	ACA Thr  GCG Ala ATC Ile  ACC	* GCC Ala ATT Ile  * AAA Lys GAG	AAT ASN CGC Arg ACT Thr ATG	TCA Ser TTT Phe * AGC Ser GTT	GCT Ala  * GGT Gly  CAT	GCT Ala 660 * ATT Ile CCG Pro * GTG	TCA Ser TCG Ser TTT Phe AAA	TIG Leu * AIG Met GAG Glu * GAA	TTA Leu TAT Tyr  CTT CTT CTT	Gln  * GGA Gly  720 * AAA Lys

Fig. 1B

Sheet 3 of 17

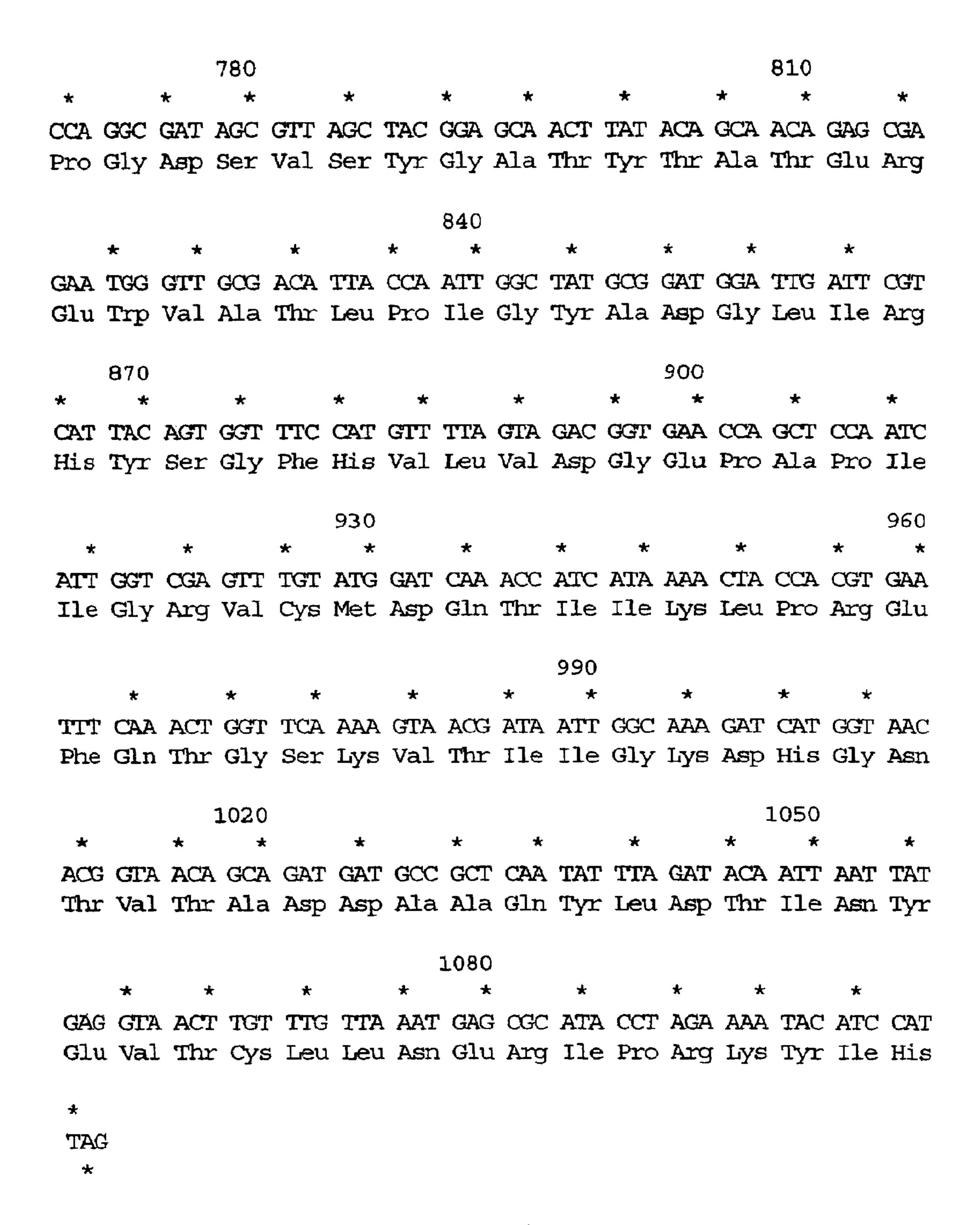


Fig. 1C

LMDAL	MVTGWHRPTWIEIDRAAIRENIKNEQNKLPES
BSTDAL	MNDFHRDTWAEVOLDAIYDNVENLRRLLPDD
BSUBDAL	MSTKPEYRDTWAEIDLSAIKENVSNMKKHIGEH
LMDAL	VDLWAVVKANAYGHGIIEVARTAKEAGAKGFCV
BSTDAL	THIMAVVKANAYGHGDVOVARTALERGPPP, AV
BSUBDAL	VHLMAVEKANAYGHGDAETAKAALDAGASCLAM
LMDAL	AILDEALALREAGFQDDFILVLGATRKEDANLA
BSTDAL	AFLDEALALREKGIEAP, ILVLGASRPADAALA
BSUBDAL	AILDEATSLRKKGLKAP, ILVLGAVPPEYVATA
LMDAL BSTDAL BSUBDAL	AKNHIISLTVFREDWLENL TIL EA TIRT AQQRIIALTVFRSDWLEEASALYSG PFPIHF AEYDVTLTGYSVEWLQEA AR HTKKGSI HF
LMDAL	HLKVDSGMGRLGIRTTEEARRIEATSTNDHQLQ
BSTDAL	HLKMDTGMGRLGVKDEEETKRIVALIERHPHFV
BSUBDAL	HLKVDTGMNRLGVKTEEEVQNVMAILDRNPRLK
LMDAL	LEGIYTHFATADQLETSYFEQQLAKFQTILTSL
BSTDAL	LEGLYTHFATADEVNTDYFSYQYTRFLHMLEWL
BSUBDAL	CKGVFTHFATADEKERGYFLMQFERFKELIAPL
LMDAL	KKRPTYVHTANSAASL, LQPQIGFDAIRFGISM
BSTDAL	PSRPPLVHCANSAASLR, FPDRTFNMVRFGIAM
BSUBDAL	PLKNLMVHCANSAAGLRLKKGF FNAVRFGIGM
LMDAL	YGLTPSTEIKTSLPFELKPALALYTEMVHVKEL
BSTDAL	YGLAPSPGIKPLLPYPLKEAFSLHSRLVHVKKL
BSUBDAL	YGLRPSADMSDEIPFOLRPAFTLHSTLSHVKLI
LMDAL	APGDSVSYGATYTATEREWVATLPIGYADGLIR
BSTDAL	QPGEKVSYGATYTAQTEEWIGTIPIGYADG, VR
BSUBDAL	RKGESVSYGAEYTAEKDTWIGTVPVGYADGWLR

LMDAL	HYSGFHVLVDGEPAPIIGRVCMDQTIIKLPREF
BSTDAL	RLQHFHVLVDGQKAPIVGRICMDQCMIRLPGPL
BSUBDAL	KLKGTDILVKGKRLKIAGRICMDQFMVELDQEY
LMDAL	QTGSKVTIIGKDHGNTVTADDAAQYLDTINYEV
BSTDAL	PVGTKVTLIGRQGDEVISIDDVARHLETINYEV
BSUBDAL	PPGTKVTLIGRQGDEYISMDEIAGRLETINYEV
LMDAL BSTDAL BSUBDAL	TCLLNERIPRKYIH PCTISYRVPRIFFRHKRIMEVRNAIGRGESSA ACTISSRVPRMFLENGSIMEVRNPLLQVNISN

Fig. 2B

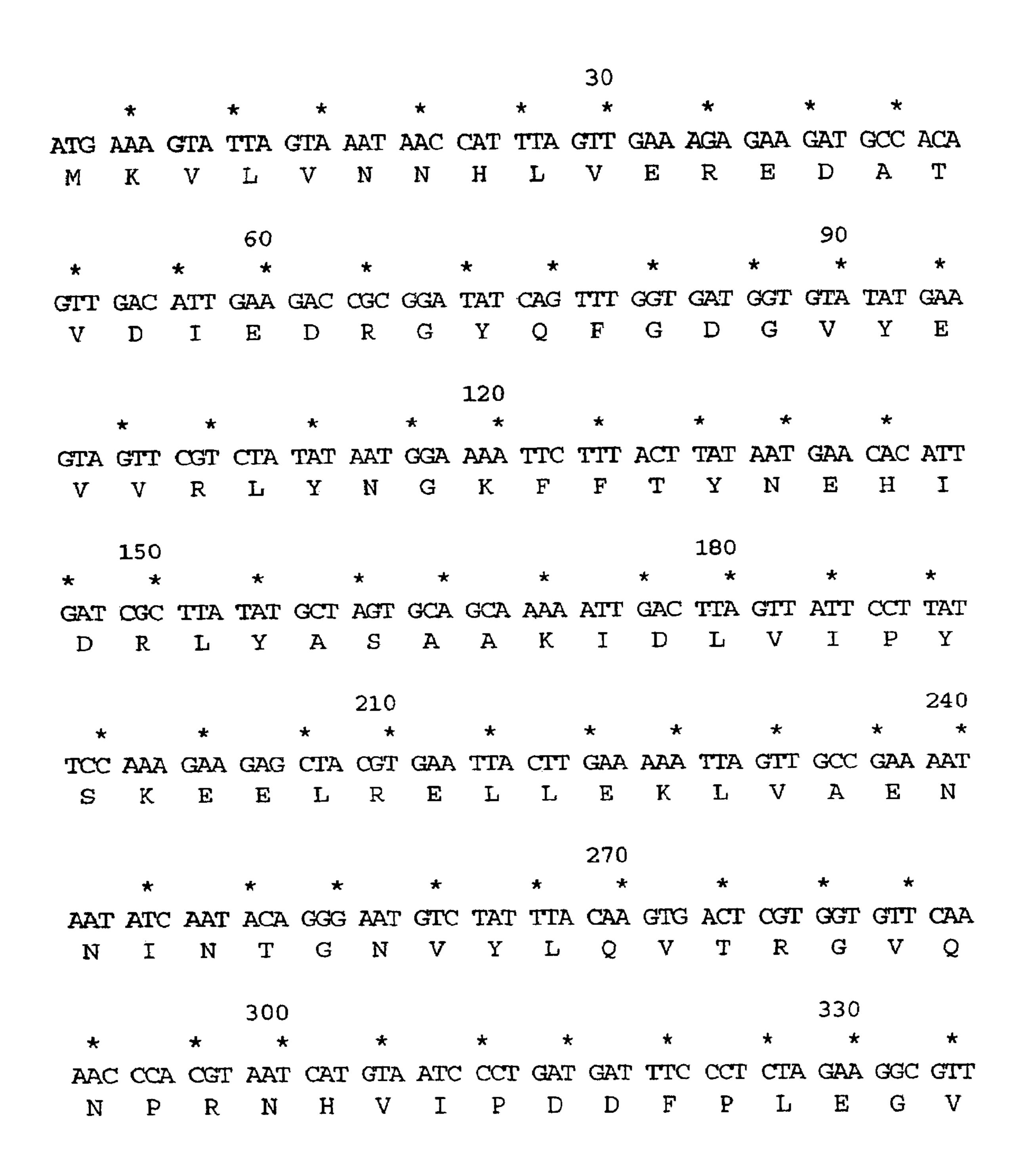


Fig. 3A

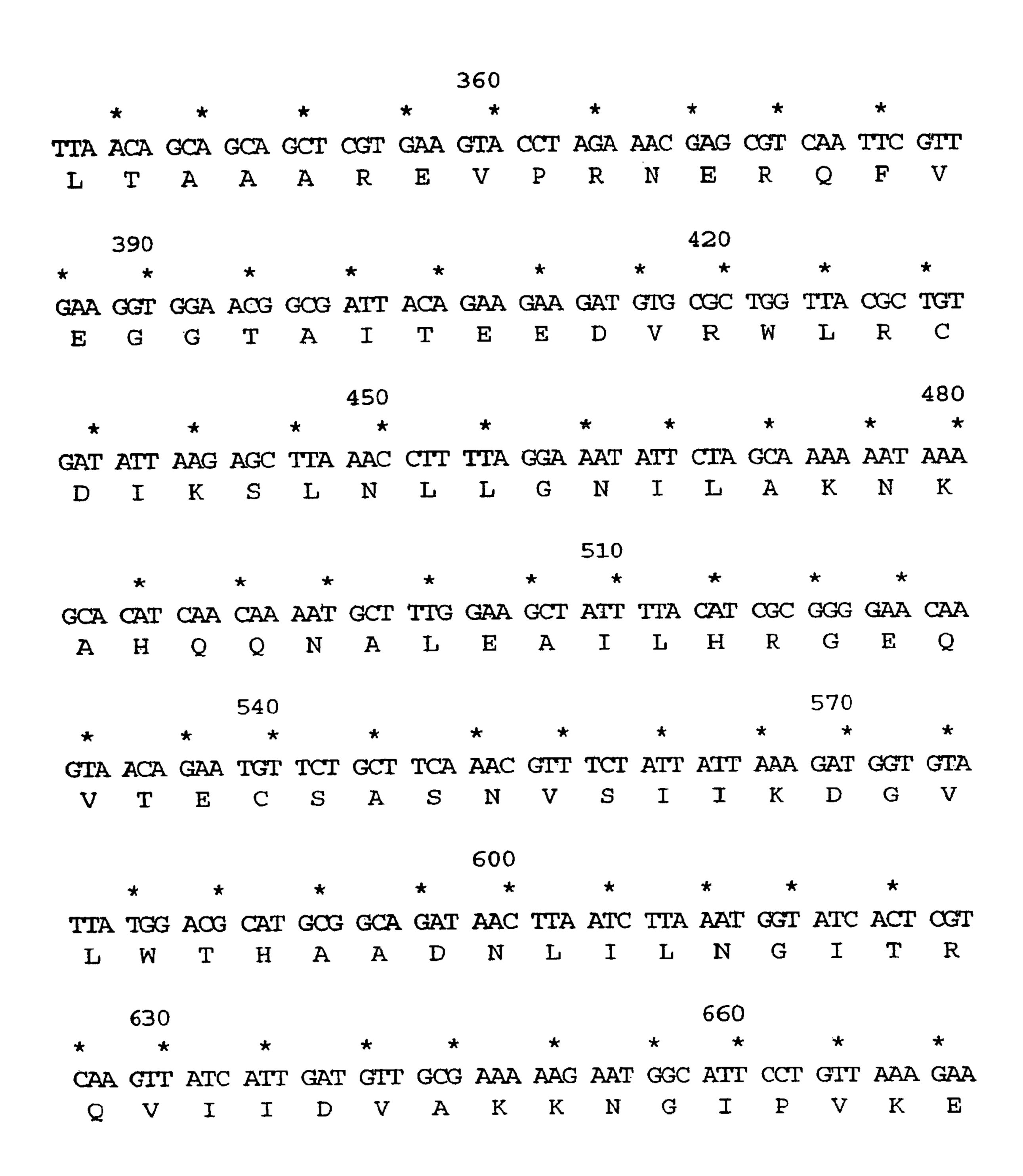


Fig. 3B

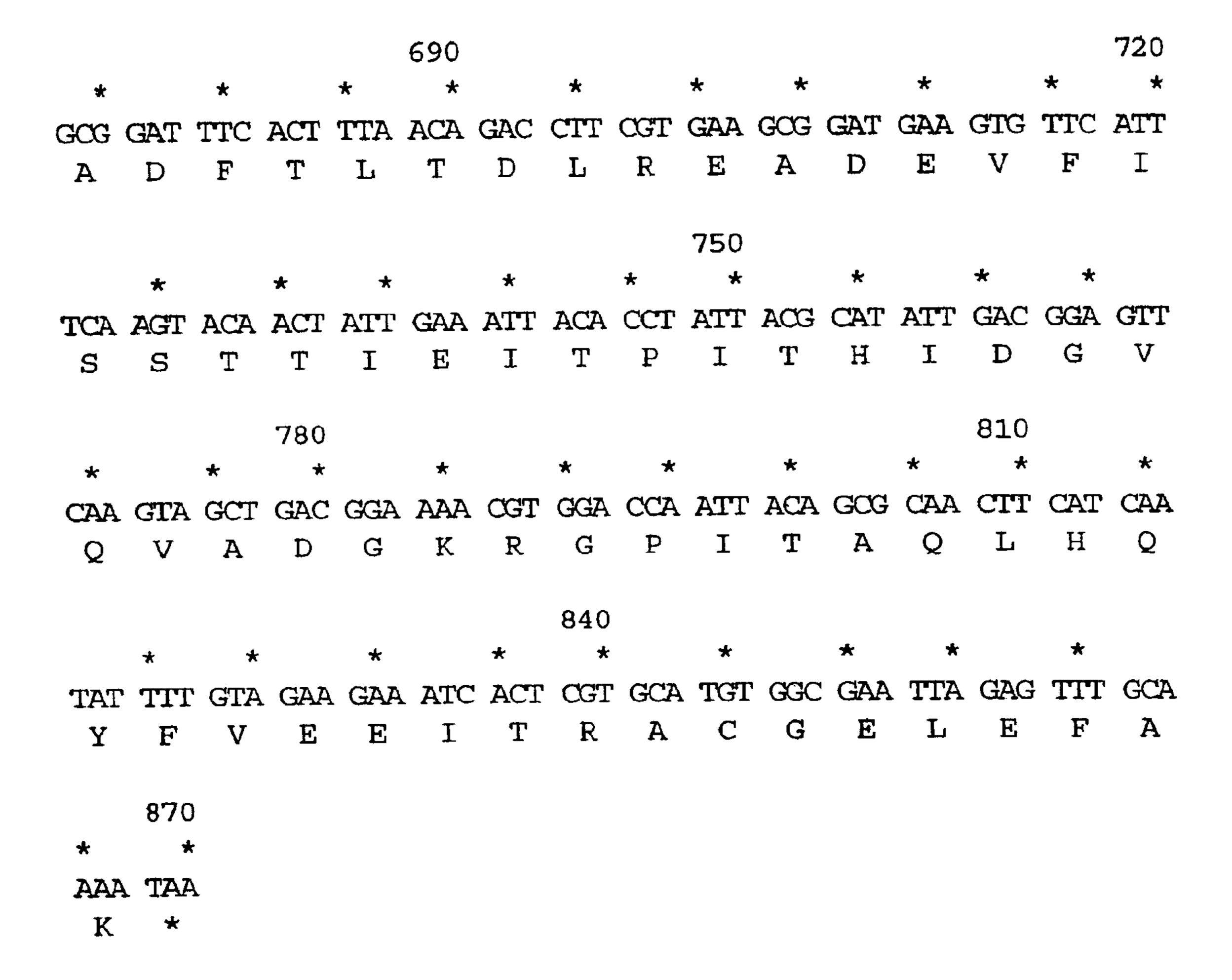


Fig. 3C

LMDAT SHAEDAT BSPHDAT BSPDAT	M, KVLVNNHLVEREDATVDIEDRGYQFGDGVYE MTKVFINGEFIDQNEAKVSYEDRGYVFGDGIYE MAYSLWNDQIVEEGSITISPEDRGYQFGDGIYE MGYTLWNDQIVKDEEVKIDKEDRGYQFGDGVYE
LMDAT SHAEDAT BSPHDAT BSPDAT	WVRLYNGKFFTYNEHIDRLYASAAKIDLVIPYS YIRAYDGKLFTVTEHFERFIRSASEIQLDLGYT VIKVYNGHMFTAQEHIDRFYASAEKIRLVIPYT VVKVYNGEMFTVNEHIDRLYASAEKIRITIPYT
LMDAT SHAEDAT BSPHDAT BSPDAT	KEELRELLEKLVAENNINTGNVYLQVTRGVQNP VEELIDVVRELLKVNNIQNGGIYIQATRGV, AP KDVLHKLLHDLIEKNNLNTGHVYFQITRGT, TS KDKFHQLLHELVEKNELNTGHIYFQVTRGT, SP
LMDAT SHAEDAT BSPHDAT BSPDAT	RNHVIPDDFPLEGVLTAAAREVPRNERQFVEGG RNHSFPT, PEVKPVIMAFAKSYDRPYDDLENGI RNHIFPD, ASVPAVLTGNVKTGERSIENFEKGV RAHOFPEN, TVKPVIIGYTKENPRPLENLEKGV
LMDAT SHAEDAT BSPHDAT BSPDAT	TAITEEDVRWLRCDIKSLNLLGNILAKNKAHQQ NAATVEDIRWLRCDIKSLNLLGNVLAKEYAVKY KATLVEDVRWLRCDIKSLNLLGAVLAKQEASEK KATFVEDIRWLRCDIKSLNLLGAVLAKQEAHEK
LMDAT SHAEDAT BSPHDAT BSPDAT	NALEAILHRGEQVTECSASNVSIIKDGVLWTHA NAGEAIQHRGETVTEGASSNVYAIKDGATYTHP GCYEAILHRGDIITECSSANVYGIKDGKLYTHP GCYEAILHRNNTVTEGSSSNVFGIKDGILYTHP
LMDAT SHAEDAT BSPHDAT BSPDAT	ADNLILNGITROVIIDVAKKNGIPVKEADFTLT VNNYILNGITRKVIKWISEDEDIPFKEETFTVE ANNYILNGITROVILKCAAEINLPVIEEPMIKG ANNMILKGITROVVIACANEINMPVKEIPFTTH

Fig. 4A

KR
KV
ĬΡ
KV

Fig. 4B

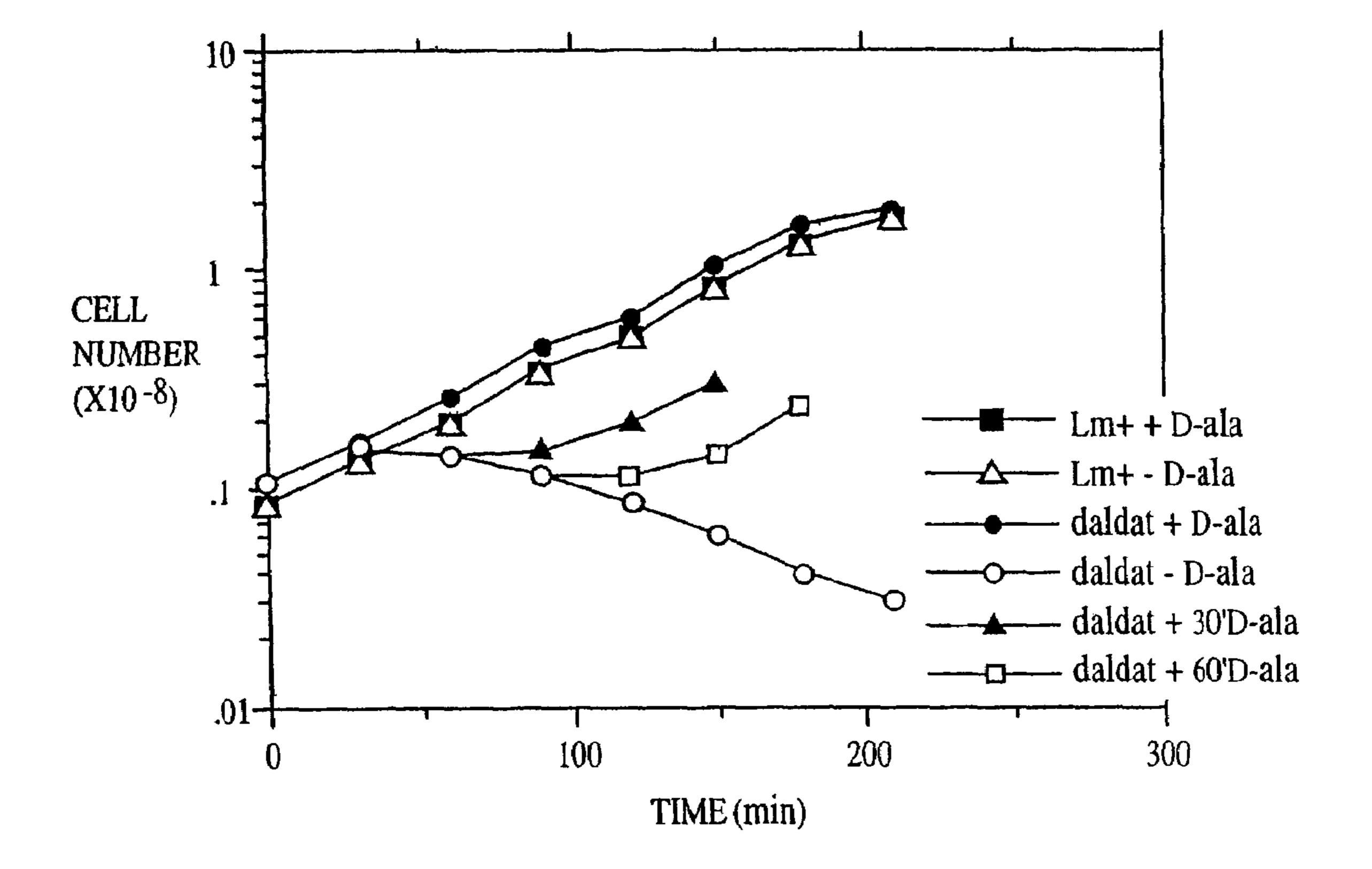


Fig. 5

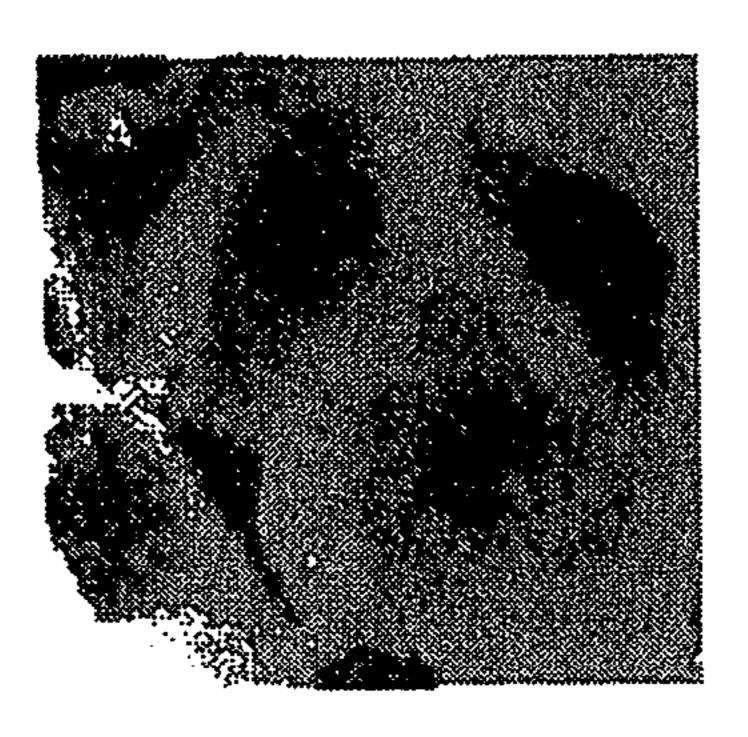


FIG.6A

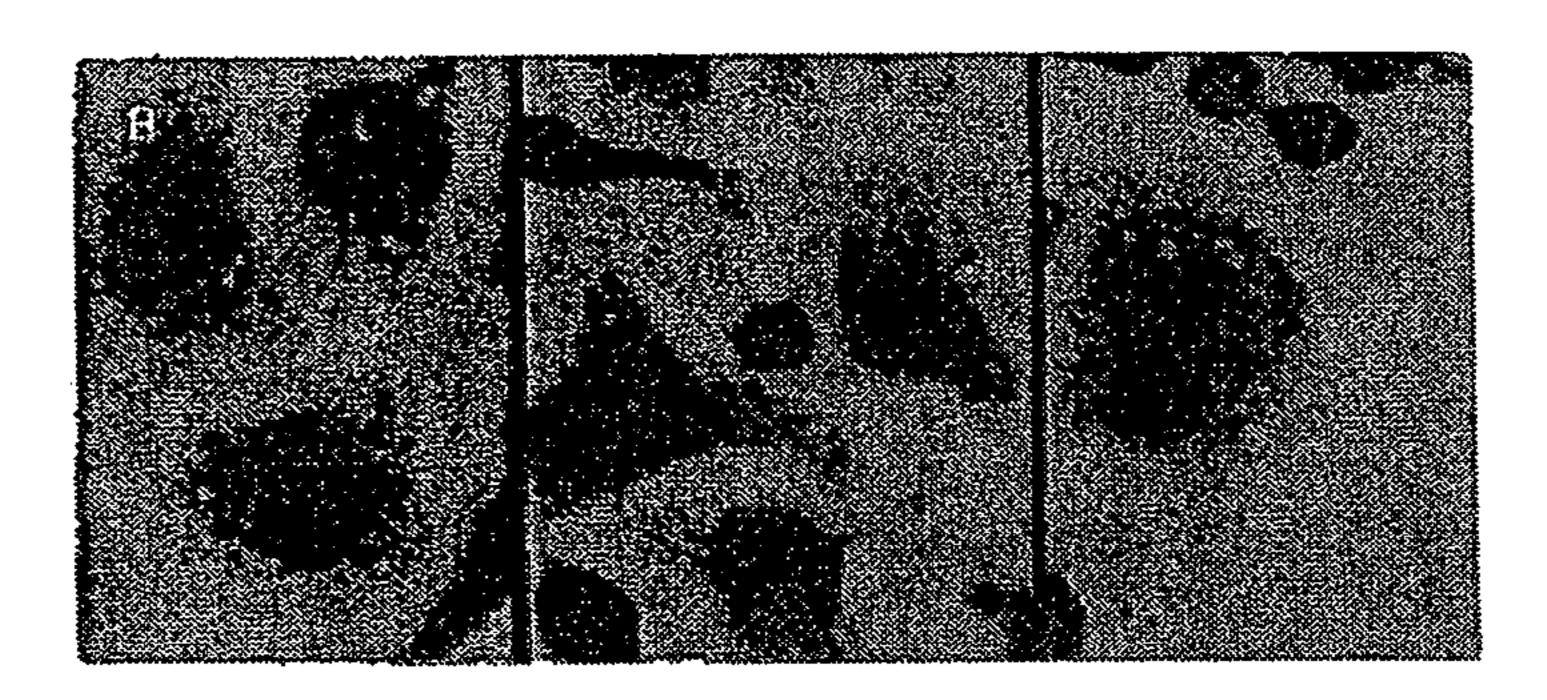


FIG.6B

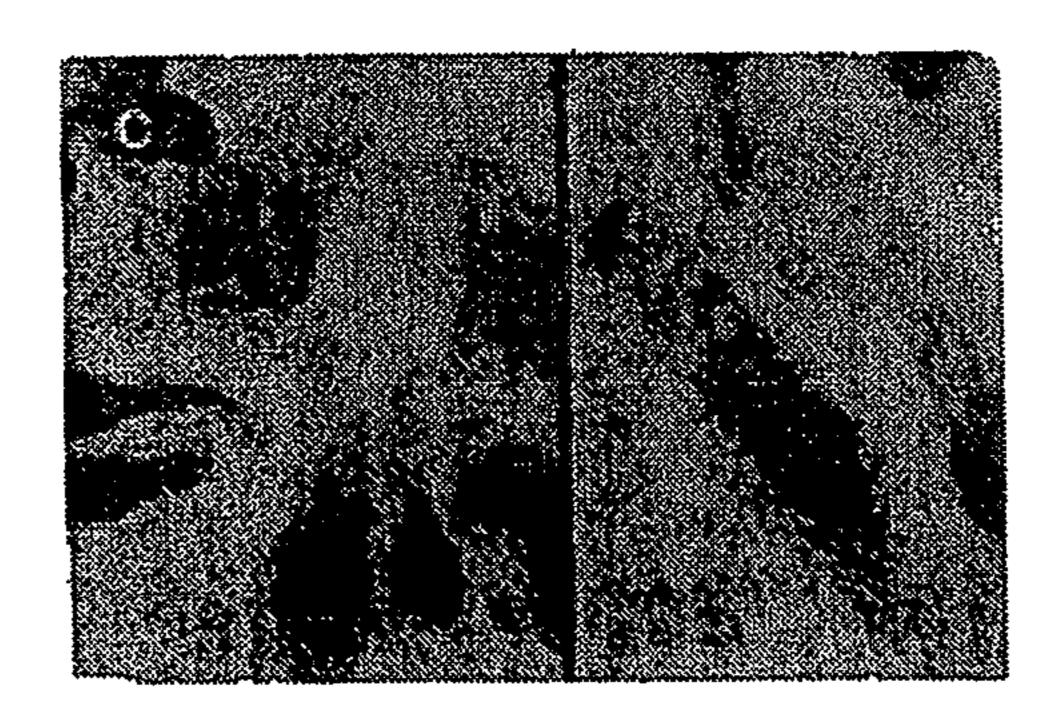
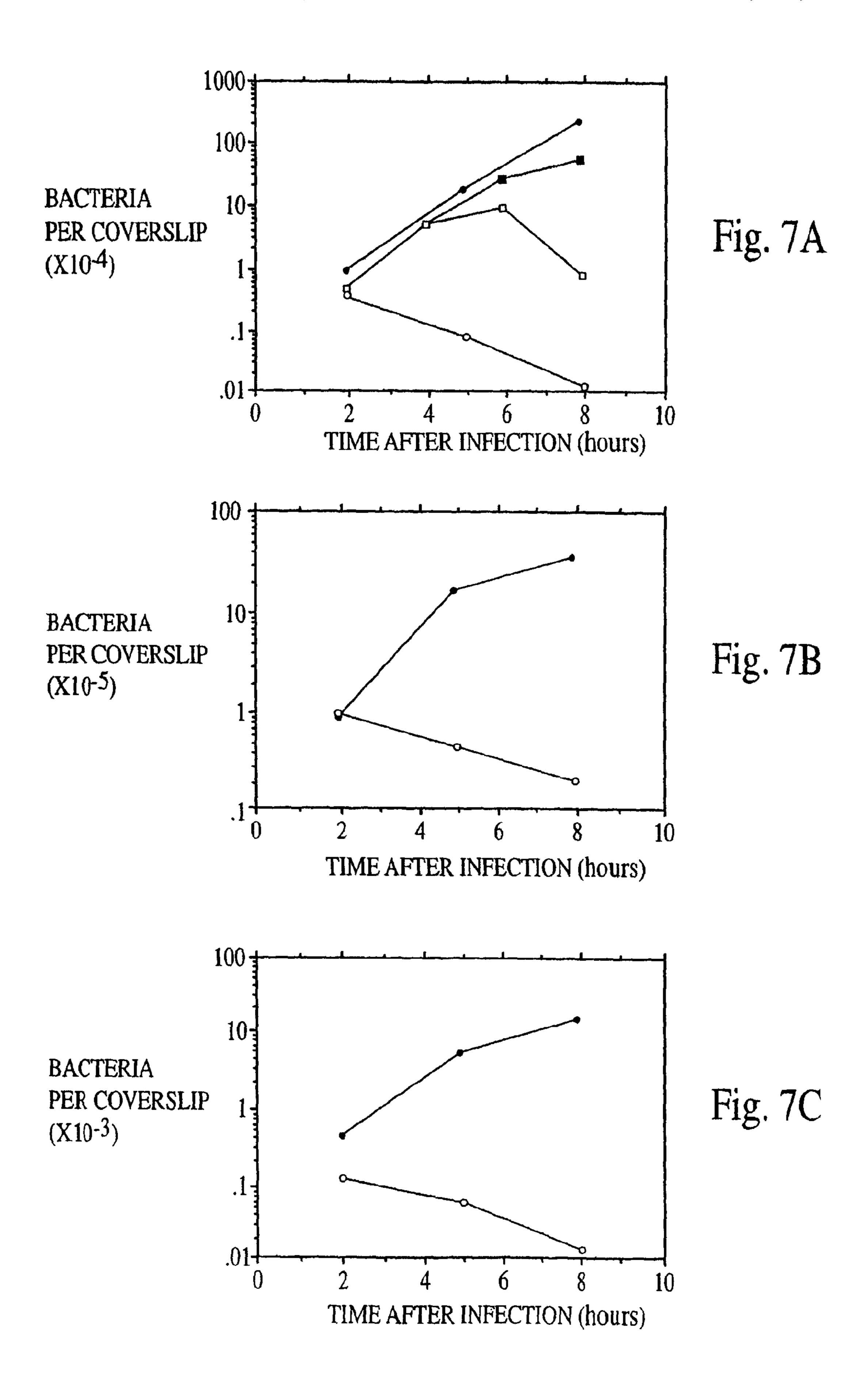
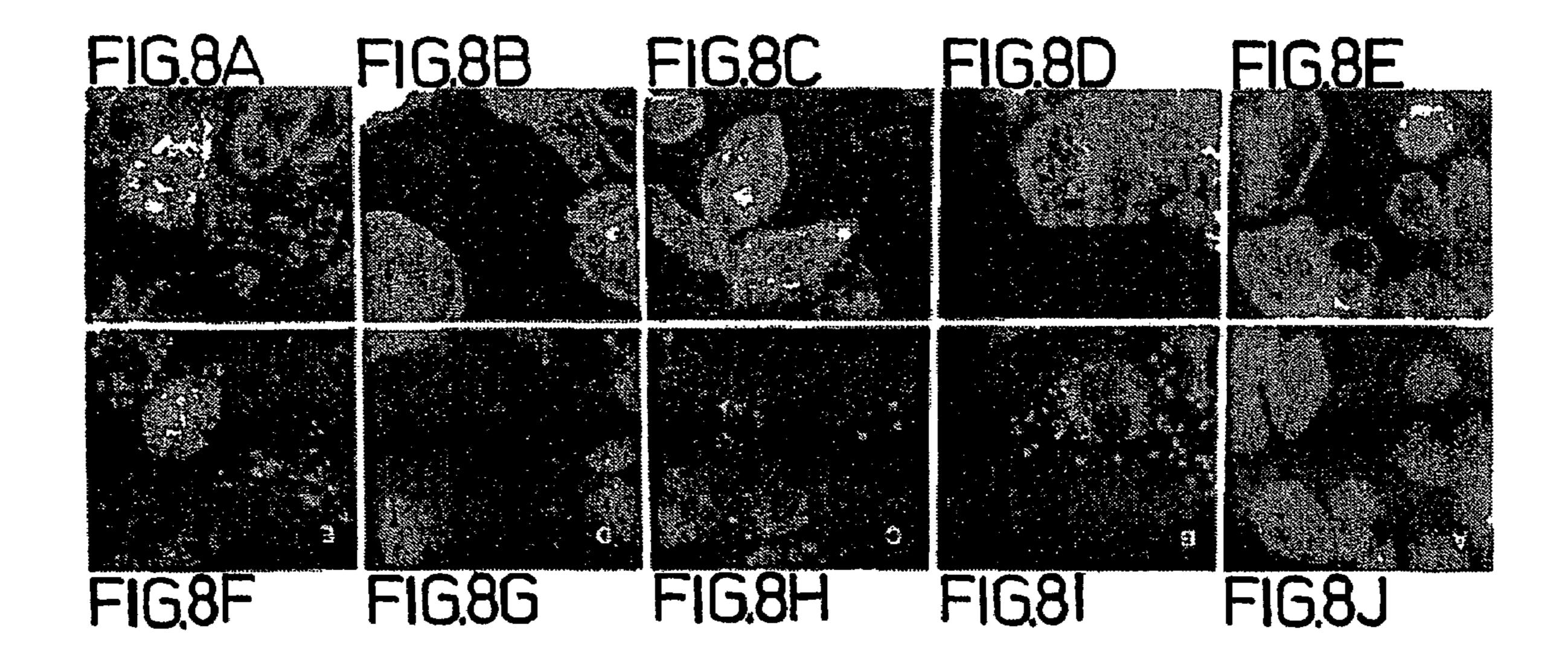


FIG.6C





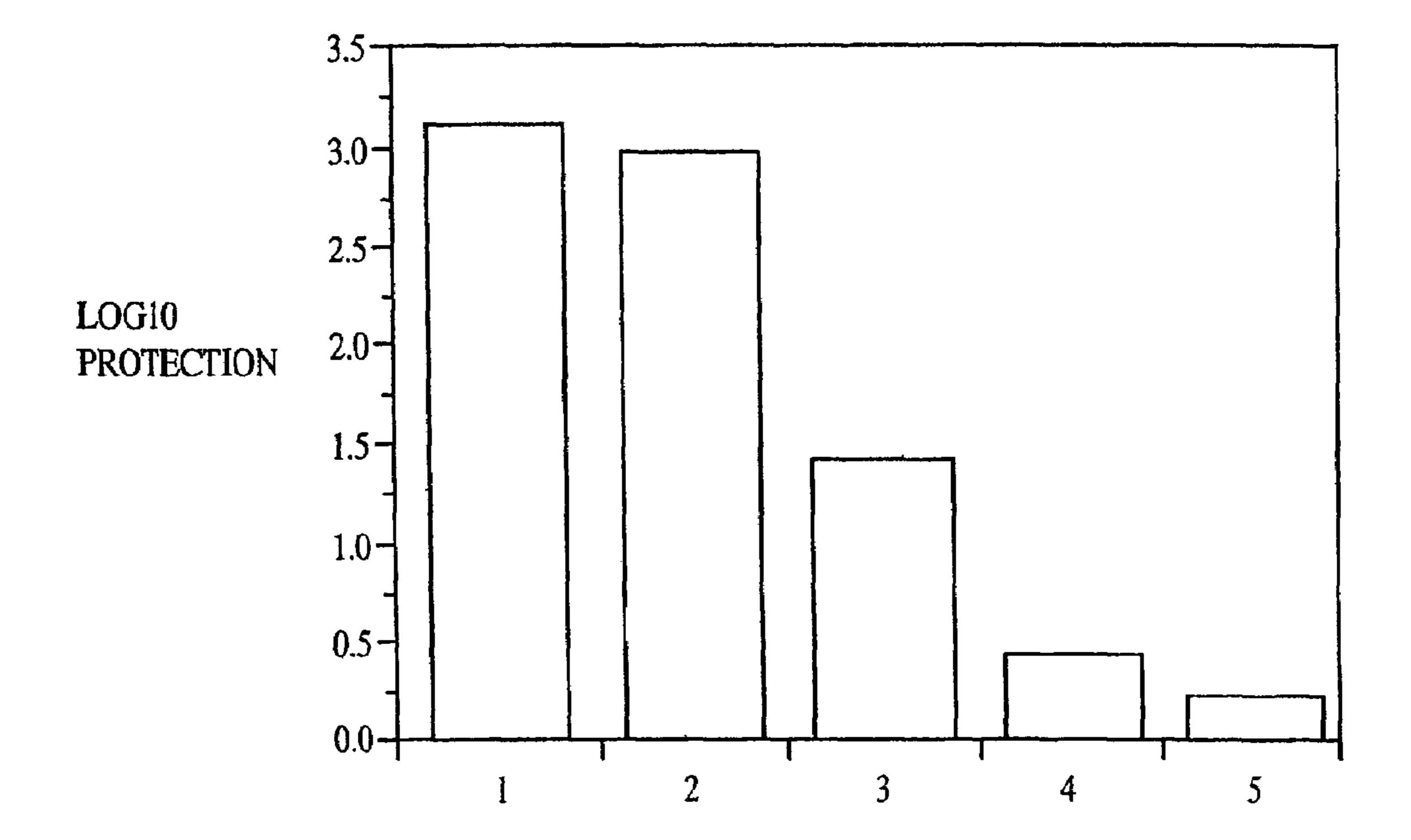


Fig. 9

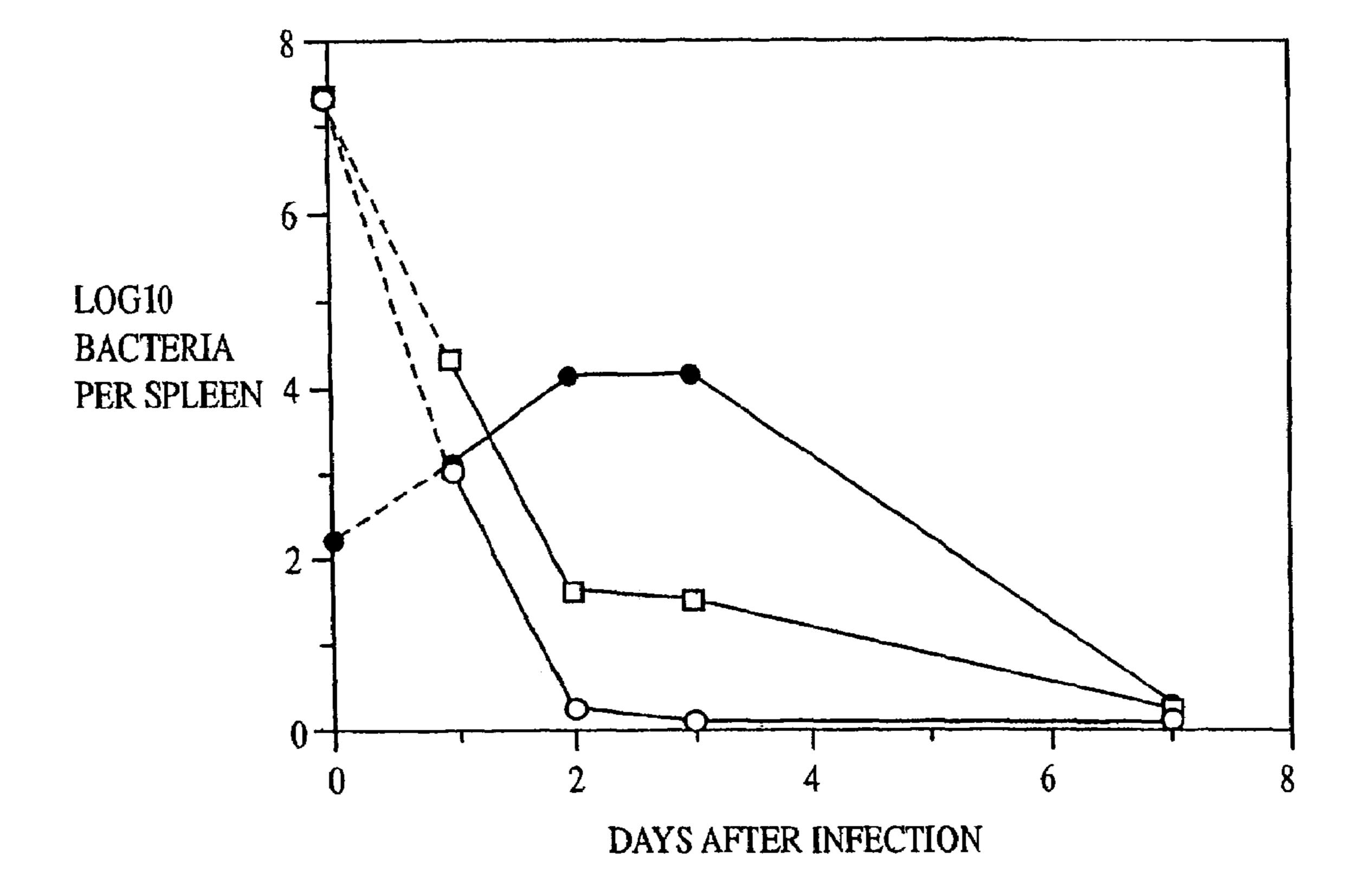
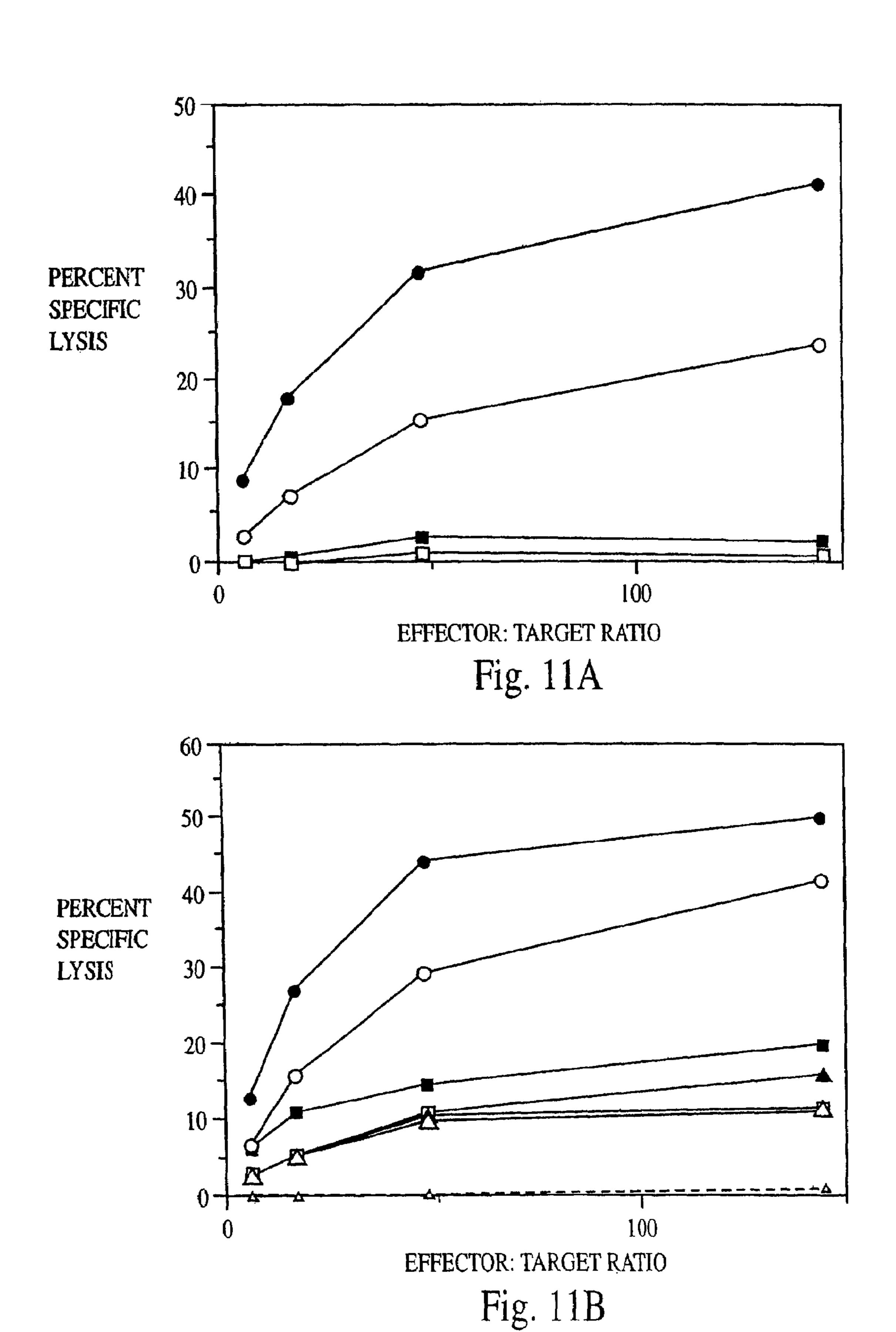


Fig. 10



## METHODS OF INDUCING IMMUNE RESPONSES THROUGH THE ADMINISTRATION OF AUXTROPHIC ATTENUATED DAL/DAT DOUBLE MUTANT LISTERIA STRAINS

#### CROSS REFERENCE TO RELATED APPLICATIONS

This application is a continuation of co-pending U.S. application Ser. No. 10/136,253, filed May 1, 2002, now U.S. Pat. No. 6,635,749 now allowed, which is a divisional of U.S. 10 application Ser. No. 09/520,207, file Mar. 7, 2000, now issued as U.S. Pat. No. 6,504,020, which is a divisional of U.S. application Ser. No. 08/972,902, filed Nov. 18, 1997, now U.S. Pat. No. 6,099,848.

#### **GOVERNMENT SUPPORT**

This invention was supported in part by funds from the U.S. Government (NIH Grant Nos. AI-26919 and AI-27655) and the U.S. Government may therefore have certain rights in the 20 invention.

#### FIELD OF THE INVENTION

The invention relates to vaccine vectors comprising bacteria.

#### BACKGROUND OF THE INVENTION

The use of vaccines is a cost-effective medical tool for the management of infectious diseases, including infectious diseases caused by bacteria, viruses, parasites, and fungi. In addition to effecting protection against infectious diseases, vaccines may now also be developed which stimulate the host's immune system to intervene in tumor growth.

Host immune responses include both the humoral immune response involving antibody production and the cell-mediated immune response. Protective immunization via vaccine has usually been designed to induce the formation of humoral antibodies directed against infectious agents, tumor cells, or 40 the action of toxins. However, the control of certain diseases characterized by the presence of tumor cells or by chronic infection of cells with infectious agents, often requires a cell-mediated immune response either in place of, or in addition to the generation of antibody. While the humoral immune 45 response may be induced using live infectious agents and agents which have been inactivated, a cellular immune response is most effectively induced through the use of live agents as vaccines. Such live agents include live infectious agents which may gain access to the cytoplasm of host cells 50 where the proteins encoded by these agents are processed into epitopes which when presented to the cellular immune system, induce a protective response.

Microorganisms, particularly *Salmonella* and *Shigella* which have been attenuated using a variety of mechanisms, 55 have been examined for their ability to encode and express heterologous antigens (Coynault et al., 1996, Mol. Microbiol. 22:149-160; Noriega et al., 1996, Infect. Immun. 64:3055-3061; Brett et al., 1993, J. Immunol. 150:2869-2884; Fouts et al., 1995, Vaccine 13:1697-1705, Sizemore et al., 1995, Science 270:299-302). Such bacteria may be useful as live attenuated bacterial vaccines which serve to induce a cellular immune response directed against a desired heterologous antigen.

Listeria monocytogenes (L. monocytogenes) is the proto- 65 typic intracellular bacterial pathogen which elicits a predominantly cellular immune response when inoculated into an

2

animal (Kaufmann, 1993, Ann. Rev. Immunol. 11:129-163). When used as a vector for the transmission of genes encoding heterologous antigens derived from infectious agents or derived from tumor cells, recombinant *Listeria* encoding and expressing an appropriate heterologous antigen have been shown to successfully protect mice against challenge by lymphocytic choriomeningitis virus (Shen et al., 1995, Proc. Natl. Acad. Sci. USA 92:3987-3991; Goossens et al., 1995, Int Immunol. 7:797-802) and influenza virus (Ikonomidis et al., 1997, Vaccine 15:433-440). Further, heterologous antigen expressing recombinant Listeria have been used to protect mice against lethal tumor cell challenge (Pan et al., 1995, Nat. Med. 1:471-477; Paterson and Ikonomidis, 1996, Curr. Opin. Immunol. 8:664-669). In addition, it is known that a strong 15 cell-mediated immune response directed against HIV-1 gag protein may be induced in mice infected with a recombinant L. monocytogenes comprising HIV-1 gag (Frankel et al., 1995, J. Immunol. 155:4775-4782).

Although the potential broad use of *Listeria* as a vaccine vector for the prevention and treatment of infectious disease and cancer has significant advantages over other vaccines, the issue of safety during use of Listeria is not trivial. The use of the most common strain of Listeria, L. monocytogenes, is accompanied by potentially severe side effects, including the development of listeriosis in the inoculated animal. This disease, which is normally food-borne, is characterized by meningitis, septicemia, abortion and often a high rate of mortality in infected individuals. While natural infections by L. monocytogenes are fairly rare and may be readily controlled by a number of antibiotics, the organism may nevertheless be a serious threat in immunocompromised or pregnant patients. One large group individuals that might benefit from the use of L. monocytogenes as a vaccine vector are individuals who are infected with HIV. However, because these individuals are 35 severely immunocompromised as a result of their infection, the use of L. monocytogenes as a vaccine vector is undesirable unless the bacteria are fully and irreversibly attenuated.

There is a need for the development of a strain of *L. monocytogenes* for use as a vaccine in and of itself and for use as a bacterial vaccine vector which is attenuated to the extent that it is unable to cause disease in an individual into whom it is inoculated. The present invention satisfies this need.

## SUMMARY OF THE INVENTION

The invention includes a method of eliciting a T cell immune response to an antigen in a mammal comprising administering to the mammal an auxotrophic attenuated strain of *Listeria* which expresses the antigen, wherein the auxotrophic attenuated strain comprises a mutation in at least one gene whose protein product is essential for growth of the *Listeria*. In a preferred embodiment, the *Listeria* is *L. monocytogenes*. In another preferred embodiment, the auxotrophic attenuated strain is auxotrophic for the synthesis of D-alanine. In addition, the mutation comprises a mutation in both the dal and the dat genes of the *Listeria*.

In one aspect of the invention, the auxotrophic attenuated strain further comprises DNA encoding a heterologous antigen, or the the auxotrophic attenuated strain further comprises a vector comprising a DNA encoding a heterologous antigen.

The heterologous antigen may be an HIV-1 antigen.

The invention also includes a vaccine comprising an auxotrophic attenuated strain of *Listeria* which expresses an antigen, wherein the auxotrophic attenuated strain comprises a mutation in at least one gene whose protein product is essential for growth of the *Listeria*.

In preferred embodiments, the *Listeria* is *L. monocytogenes*. In other preferred embodiments, the auxotrophic attenuated strain is auxotrophic for the synthesis of D-alanine. In yet other preferred embodiments, the mutation comprises a mutation in both the dal and the dat genes of the *Listeria*.

The auxotrophic attenuated strain may further comprise DNA encoding a heterologous antigen or a vector comprising a DNA encoding a heterologous antigen.

The heterologous antigen may be an HIV-1 antigen.

Also included in the invention is an isolated nucleic acid sequence comprising a portion of a *Listeria* dal gene and an isolated nucleic acid sequence comprising a portion of a *Listeria* dat gene.

In addition, the invention includes an isolated strain of *Listeria* comprising a mutation in a dal gene and a mutation in 15 a dat gene which render the strain auxotrophic for D-alanine. In one aspect, the isolated strain of *Listeria* further comprises a heterologous antigen.

#### BRIEF DESCRIPTION OF THE DRAWINGS

FIG. 1, comprising FIGS. 1A through 1C, is the DNA sequence of the *L. monocytogenes* alanine racemase gene (dal) of *L. monocytogenes* (SEQ ID NO:1) and the amino acid sequence encoded thereby (SEQ ID NO:2). The lysyl residue 25 involved in the binding of pyridoxal-P is indicated by an asterisk.

FIG. 2, comprising FIGS. 2A and 2B, depicts the linear alignment of the deduced amino acid sequences of the alanine racemases of *L. monocytogenes* (LMDAL) (SEQ ID NO:2), 30 *B. stearothermophilus*, (BSTDAL) (SEQ ID NO:3), and *B. subtilis* (BSUBDAL) (SEQ ID NO:4). Identical amino acids are boxed.

FIG. 3, comprising FIGS. 3A and 3C, is the DNA sequence of the *L. monocytogenes* D-amino acid aminotransferase gene 35 (dat) (SEQ ID NO:5) and the amino acid sequence encoded thereby (SEQ ID NO:6). The lysyl residue involved in the binding of pyridoxal-P is indicated by an asterisk.

FIG. 4, comprising FIGS. 4A and 4B, depicts the linear alignment of the deduced amino acid sequences of the 40 D-amino acid aminotransferases of *L. monocytogenes* (LM-DAT) (SEQ ID NO: 5), *S. haemolyticus* (SHAEDAT) (SEQ ID NO: 7), *B. sphaericus* (BSPHDAT) (SEQ ID NO: 8), and *Bacillus* species YM-1 (BSPDAT) (SEQ ID NO:9). Identical amino acids are boxed.

FIG. **5** is a graph depicting the growth requirement for D-alanine of the dal<sup>-</sup>dat<sup>-</sup> double mutant strain of *L. monocytotogenes*. The dal<sup>-</sup>dar<sup>-</sup> (daldat) and wild-type (*L. monocytogenes*+) strains of *L. monocytogenes* were grown in liquid culture in BHI medium at 37° C. in the presence (+D-ala) or 50 absence (-D-ala) of exogenous D-alanine (100  $\mu$ g/ml). In additional experiments, the mutant cell culture was also provided D-alanine after 30 minutes and after 60 minutes.

FIG. **6**, comprising FIGS. **6**A through **6**C, is a series of images of light micrographs depicting the growth of wild- 55 type *L. monocytogenes* (FIG **6**A) and the dal<sup>-</sup>dat<sup>-</sup> double mutant strain of *L. monocytogenes* (FIG **6**B) in J774 macrophages at 5 hours after infection with about 5 bacteria per mouse cell. FIG. **6**C illustrates an infection by double mutant bacteria in the continuous presence of D-alanine (80 μg/ml). 60 Arrowheads point to some mutant bacteria.

FIG. 7, comprising FIGS. 7A through 7C, is a series of graphs depicting infection of mammalian cells with the daldat dat double mutant (open circles) and wild-type strains of *L. monocytogenes* (closed circles). Mammalian cells which 65 were infected included J774 murine macrophage-like cells (FIG. 7A), primary murine bone marrow macrophages (FIG

4

7B), and human epithelial cells (HeLa) (FIG. 7C). FIG. 7A also depicts mutant infection in the presence of D-alanine  $(100\,\mu\text{g/ml})$  (closed squares) and in the presence of D-alanine from 0 to 4 hrs during infection (open squares).

FIG. **8**, comprising FIG. **8**A through FIG. **8**J, is a series of images of photomicrographs depicting the association of actin with intracytoplasmic wild-type *L. monocytogenes* FIG. **8**A and **8**F: 2 hours; FIGS. **8**B and **8**G: 5 hours) or with the dal<sup>-</sup>dat<sup>-</sup> double mutant of *L. monocytogenes* (FIG. **8**C and **8**H: 2 hours wherein D-alanine was present from 0 to 30 minutes; FIG. **8**D and **8**I: 5 hours, wherein D-alanine was present from 0 to 30 minutes;

FIGS. 8E and 8J: 5 hours, wherein D-alanine was present continuously), following infection of J744 cells with these bacteria. The images on the top row illustrate the binding of FITC-labeled anti-Listerial antibodies to total bacteria (FIGS. 8A through 8E), while the bottom row illustrates the binding of TRITC-labeled phalloidin to actin (FIG. 8F through 8J). The arrowheads point to some bacteria associated with actin.

FIG. 9 is a graph depicting the protection of BALB/c mice against challenge with ten times the LD<sub>50</sub> of wild-type L. monocytogenes by immunization with the dal<sup>-</sup>dat<sup>-</sup> double mutant strain of L. monocytogenes. Groups of 5 mice were immunized with the following organisms: (1)  $4\times10^2$  wild-type L. monocytogenes, (2)  $2\times10^7$  dal<sup>-</sup>dal<sup>-</sup> (+D-alanine supplement), (3)  $2\times10^5$  dal<sup>-</sup>dat<sup>-</sup> (+D-alanine supplement), (5)  $2\times10^2$  dal<sup>-</sup>dat<sup>-</sup> mutant dal<sup>-</sup>dat<sup>-</sup> (no D-alanine supplement). Mice were challenged 21-28 days after immunization. Log<sub>10</sub> protection was calculated as described in the Examples.

FIG. 10 is a graph depicting the recovery of bacteria from spleens of BALB/c mice following sublethal infection with wild type *L. monocytogenes* (closed circles), the dal<sup>-</sup>dat<sup>-</sup> mutant in the absence of D-alanine (open circles), and the dal<sup>-</sup>dat<sup>-</sup> mutant in the presence of 20 mg D-alanine (open squares). The points at day 0 illustrate the total number of organisms injected, not the number of bacteria per spleen.

FIG. 11, comprising FIGS. 11A and 11B, is a series of graphs depicting the cytolytic activity of splenocytes isolated from mice at 10-14 days after infection with in FIG. 11A, wild type *L. monocytogenes* (●○), or niave control (■□). FIG. 11B, dal¯dat¯mutant: 3×10<sup>7</sup> bacteria (Δ); 3×10<sup>7</sup> bacteria with boost at 10 days (Δ); 3×10<sup>7</sup> bacteria wherein animals were provided D-alanine subcutaneously (●○); 3×10<sup>7</sup> bacteria plus 2 mg/ml D-alanine (■) or 0.2 mg/ml D-alanine in drinking water (▲).

## DETAILED DESCRIPTION OF THE INVENTION

The present invention relates to vaccines comprising attenuated strains of *Listeria*, wherein the bacteria have been attenuated by the introduction of auxotrophic mutations in the *Listeria* genomic DNA. These strains are herein referred to as attenuated auxotrophic strains or "AA strains" of *Listeria*.

It has been discovered in the present invention that the administration of an AA strain of *Listeria* to a mammal results in the development of a host cytotoxic T cell (CTL) response directed against *Listeria* following survival of the AA strain in the mammal for a time sufficient for the development of the response. The AA strain provides protection against challenge by *L. monocytogene* and is therefore suitable for use in a vaccine for protection against infection by this organism. The AA strain of the invention may thus be employed as a vaccine for the prevention and/or treatment of infection by *Listeria*. In addition, the AA strain of the invention may have added to it a heterologous gene wherein the gene is expressed

by the AA strain. Such AA strains encoding additional heterologous genes are useful as bacterial vector vaccines for the prevention and/or treatment of infection caused by any number of infectious agents and for the prevention and/or treatment of tumors in mammals.

AA strains of *Listeria* that are auxotrophic for D-alanine are contemplated as part of this invention.

By the term "auxotrophic for D-alanine", as used herein, is meant that the AA strain of *Listeria* is unable to synthesize D-alanine in that it cannot grow in the absence of D-alanine and therefore requires exogenously added D-alanine for growth.

D-alanine is required for the synthesis of the peptidoglycan component of the cell wall of virtually all bacteria, and is found almost exclusively in the microbial world. Wild-type 15 *Listeria* species synthesize D-alanine and thus do not require exogenously added D-alanine for growth. An AA strain of *L. monocytogenes* has been discovered in the present invention which is unable to synthesize D-alanine. This organism may be grown in the laboratory but is incapable of growth outside 20 the laboratory in unsupplemented environments, including in the cytoplasm of eukaryotic host cells, the natural habitat of this organisms during infection. Such strains of *Listeria* are useful as vaccines.

By the term "vaccine," as used herein, is meant a popula- 25 tion of bacteria which when inoculated into a mammal has the effect of stimulating a cellular immune response comprising a T cell response. The T cell response may be a cytotoxic T cell response directed against macromolecules produced by the bacteria. However, the induction of a T cell response 30 comprising other types of T cells by the vaccine of the invention is also contemplated. For example, *Listeria* infection also induces both CD4+ T cells and CD8+ T cells. Induced CD4+ T cells are responsible for the synthesis of cytokines, such as interferon- $\gamma$ , IL-2 and TNF- $\alpha$ . CD8+ T cells may be cytotoxic 35 T cells and also secrete cytokines such as interferon-y and TNF- $\alpha$ . All of these cells and the molecules synthesized therein play a role in the infection and subsequent protection of the host against *Listeria*. Cytokines produced by these cells activate additional T cells and also macrophages and recruit 40 polymorphonuclear leukocytes to the site of infection.

Both prophylactic and therapeutic vaccines are contemplated as being within the scope of the present invention, that is, vaccines which are administered either prior to or subsequent to the onset of disease are included in the invention.

D-alanine auxotrophic mutants useful as vaccine vectors may be generated in a number of ways. As described in the Examples presented herein, disruption of one of the alanine racemase gene (dal) or the D-amino acid aminotransferase gene (dat), each of which is involved in D-alanine synthesis, 50 did not result in a bacterial strain which required exogenously added D-alanine for growth. However, disruption of both the dal gene and the dat gene generated an AA strain of *Listeria* which required exogenously added D-alanine for growth.

The generation of AA strains of *Listeria* deficient in D-alanine synthesis may be accomplished in a number of ways that are well known to those of skill in the art, including deletion mutagenesis, insertion mutagenesis, and mutagenesis which results in the generation of frameshift mutations, mutations which effect premature termination of a protein, or mutation of regulatory sequences which affect gene expression. Mutagenesis can be accomplished using recombinant DNA techniques or using traditional mutagenesis technology using mutagenic chemicals or radiation and subsequent selection of mutants. Deletion mutants are preferred because of the 65 accompanying low probability of reversion of the auxotrophic phenotype. Mutants of D-alanine which are gener-

6

ated according to the protocols presented herein may be tested for the ability to grow in the absence of D-alanine in a simple laboratory culture assay. Those mutants which are unable to grow in the absence of this compound are selected for further study.

In addition to the aforementioned D-alanine associated genes, other genes involved in D-alanine synthesis may be used as targets for mutagenesis of *Listeria*. Such genes include, but are not limited to any other known or heretofore unknown D-alanine associated genes.

Genes which are involved in the synthesis of other metabolic components in a bacterial cell may also be useful targets for the generation of attenuated auxotrophic mutants of *Listeria*, which mutants may also be capable of serving as bacterial vaccine vectors for use in the methods of the present invention. The generation and characterization of such other AA strains of *Listeria* may be accomplished in a manner similar to that described herein for the generation of D-alanine deficient AA strains of *Listeria*.

Additional potential useful targets for the generation of additional auxotrophic strains of *Listeria* include the genes involved in the synthesis of the cell wall component D-glutamic acid. To generate D-glutamic acid auxotrophic mutants, it is necessary to inactivate the dat gene, which is involved in the conversion of D-glu+pyr to alpha-ketoglutarate+D-ala and the reverse reaction. It is also necessary to inactivate the glutamate racemase gene, dga. Other potential useful targets for the generation of additional auxotrophic strains of *Listeria* are the genes involved in the synthesis of diamimopimelic acid. In this instance, a gene encoding aspartate beta-semialdehyde dehydrogenase may be inactivated (Sizemore et al., 1995, Science 270:299-302).

By the term "attenuation," as used herein, is meant a diminution in the ability of the bacterium to cause disease in an animal. In other words, the pathogenic characteristics of the attenuated Listeria strain have been lessened compared with wild-type *Listeria*, although the attenuated *Listeria* is capable of growth and maintenance in culture. Using as an example the intravenous inoculation of Balb/c mice with an attenuated *Listeria*, the lethal dose at which 50% of inoculated animals survive (LD<sub>50</sub>) is preferably increased above the LD<sub>50</sub> of wild-type *Listeria* by at least about 10-fold, more preferably by at least about 100-fold, more preferably at least about 1,000 fold, even more preferably at least about 10,000 fold, and most preferably at least about 100,000-fold. An attenuated strain of *Listeria* is thus one which does not kill an animal to which it is administered, or is one which kills the animal only when the number of bacteria administered is vastly greater than the number of wild type non-attenuated bacteria which would be required to kill the same animal. An attenuated bacterium should also be construed to mean one which is incapable of replication in the general environment because the nutrient required for its growth is not present therein. Thus, the bacterium is limited to replication in a controlled environment wherein the required nutrient is provided. The attenuated strains of the present invention are therefore environmentally safe in that they are incapable of uncontrolled replication.

It is believed that any *Listeria* species capable of infectious disease may be genetically attenuated according to the methods of the present invention to yield a useful and safe bacterial vaccine, provided the attenuated *Listeria* species exhibits an LD<sub>50</sub> in a host organism that is significantly greater than that of the non-attenuated wild type species. Thus, strains of *Listeria* other than *L. monocytogenes* may be used for the generation of attenuated mutants for use as vaccines. Preferably,

the *Listeria* strain useful for the generation of attenuated vaccines is *L. monocytogenes*.

An AA strain of *Listeria* may be generated which encodes and expresses a heterologous antigen. The heterologous antigen encoded by the AA strain of *Listeria* is one which when 5 expressed by Listeria is capable of providing protection in an animal against challenge by the infectious agent from which the heterologous antigen was derived, or which is capable of affecting tumor growth and metastasis in a manner which is of benefit to a host organism. Heterologous antigens which may 10 be introduced into an AA strain of *Listeria* by way of DNA encoding the same thus include any antigen which when expressed by *Listeria* serves to elicit a cellular immune response which is of benefit to the host in which the response is induced. Heterologous antigens therefore include those 15 specified by infectious agents, wherein an immune response directed against the antigen serves to prevent or treat disease caused by the agent. Such heterologous antigens include, but are not limited to, viral, bacterial, fungal or parasite surface proteins and any other proteins, glycoproteins, lipoprotein, <sup>20</sup> glycolipids, and the like. Heterologous antigens also include those which provide benefit to a host organism which is at risk for acquiring or which is diagnosed as having a tumor. The host organism is preferably a mammal and most preferably, is a human.

By the term "heterologous antigen," as used herein, is meant a protein or peptide, a glycoprotein or glycopeptide, a lipoprotein or lipopeptide, or any other macromolecule which is not normally expressed in *Listeria*, which substantially corresponds to the sane antigen in an infectious agent, a tumor cell or a tumor-related protein. The heterologous antigen is expressed by an AA strain of *Listeria*, and is processed and presented to cytotoxic T-cells upon infection of mammalian cells by the AA strain. The heterologous antigen expressed by *Listeria* species need not precisely match the corresponding unmodified antigen or protein in the tumor cell or infectious agent so long as it results in a T-cell response that recognizes the unmodified antigen or protein which is naturally expressed in the mammal.

By the term "tumor-related antigen," as used herein, is meant an antigen which affects tumor growth or metastasis in a host organism. The tumor-related antigen may be an antigen expressed by a tumor cell, or it may be an antigen which is expressed by a non-tumor cell, but which when so expressed, promotes the growth or metastasis of tumor cells.

The types of tumor antigens and tumor-related antigens which may be introduced into *Listeria* by way of incorporating DNA encoding the same, include any known or heretofore unknown tumor antigen.

The heterologous antigen useful in vaccine development may be selected using knowledge available to the skilled artisan, and many antigenic proteins which are expressed by tumor cells or which affect tumor growth or metastasis or which are expressed by infectious agents are currently 55 known. For example, viral antigens which may be considered as useful as heterologous antigens include but are not limited to the nucleoprotein (NP) of influenza virus and the gag protein of HIV. Other heterologous antigens include, but are not limited to, HIV env protein or its component parts gp120 60 and gp41, HIV nef protein, and the HIV pol proteins, reverse transcriptase and protease. In addition, other viral antigens such as herpesvirus proteins may be useful. The heterologous antigens need not be limited to being of viral origin. Parasitic antigens, such as, for example, material antigens, are 65 included, as are fungal antigens, bacterial antigens and tumor antigens.

8

As noted herein, a number of proteins expressed by tumor cells are also known and should be included in the list of heterologous antigens which may be inserted into the vaccine strain of the invention. These include, but are not limited to, the bcr/abl antigen in leukemia, HPVE6 and E7 antigens of the oncogenic virus associated with cervical cancer, the MAGE1 and MZ2-E antigens in or associated with melanoma, and the MVC-1 and HER-2 antigens in or associated with breast cancer.

The introduction of DNA encoding a heterologous antigen into a strain of *Listeria* may be accomplished, for example, by the creation of a recombinant *Listeria* in which DNA encoding the heterologous antigen is harbored on a vector, such as a plasmid for example, which plasmid is maintained and expressed in the *Listeria* species. Alternatively, DNA encoding the heterologous antigen may be stably integrated into the *Listeria* chromosome by employing, for example, transposon mutagenesis or by homologous recombination. A preferred method for producing recombinant Listeria having a gene encoding a heterologous antigen integrated into the chromosome thereof, is the induction of homologous recombination between a temperature sensitive plasmid comprising DNA encoding the antigen and *Listeria* chromosomal DNA. Stable transformants of *Listeria* which express the desired antigen 25 may be isolated and characterized as described herein in the experimental examples. This method of homologous recombination is advantageous in that site directed insertion of DNA encoding the heterologous antigen is effected, thereby minimizing the possibility of disruption of other areas of the 30 Listeria chromosome which may be essential for growth of this organism.

Several approaches may be employed to express the heterologous antigen in *Listeria* species as will be understood by one skilled in the art once armed with the present disclosure.

35 Genes encoding heterologous antigens are preferably designed to either facilitate secretion of the heterologous antigen from the bacterium or to facilitate expression of the heterologous antigen on the *Listeria* cell surface.

While the heterologous antigen preferably comprises only 40 a desired antigen along with appropriate signal sequences and the like, also contemplated in the invention is a fusion protein which comprises the desired heterologous antigen and a secreted or cell surface protein of *Listeria*. Listerial proteins which are suitable components of such fusion proteins 45 include, but are not limited to, listeriolysin O (LLO) and phosphatidylinositol-specific phospholipase (PI-PLC). A fusion protein may be generated by ligating the genes which encode each of the components of the desired fusion protein, such that both genes are in frame with each other. Thus, 50 expression of the ligated genes results in a protein comprising both the heterologous antigen and the listerial protein. Expression of the ligated genes may be placed under the transcriptional control of a listerial promoter/regulatory sequence such that expression of the gene is effected during growth and replication of the organism. Signal sequences for cell surface expression and/or secretion of the fused protein may also be added to genes encoding heterologous antigens in order to effect cell surface expression and/or secretion of the fused protein.

When the heterologous antigen is used alone (i.e., in the absence of fused *Listeria* sequences), it may be advantageous to fuse thereto signal sequences for cell surface expression and/or secretion of the heterologous antigen. The procedures for accomplishing this are well know in the art of bacteriology and molecular biology.

The DNA encoding the heterologous antigen which is expressed in the vaccine strain of the invention must be pre-

ceeded by a suitable promoter to facilitate such expression. The appropriate promoter/regulatory and signal sequences to be used will depend on the type of listerial protein desired in the fusion protein and will be readily apparent to those skilled in the art of *listeria* molecular biology. For example, preferred 5 L. monocytogenes promoter/regulatory and/or signal sequences which may be used to direct expression of a fusion protein include, but are not limited to, sequences derived from the Listeria hly gene which encodes LLO, the Listeria p60 gene (Bouwer et al., 1996, Infect. Immun. 64:2515-2522) and 10 possibly the Listeria actA gene which encodes a surface protein necessary for L. monocytogenes actin assembly. Other promoter sequences which might be useful in some circumstances include the plcA gene which encodes PI-PLC, the *listeria* mpl gene, which encodes a metalloprotease, the 15 *listeria* plcB gene encoding a phospholipase C, and the *list*eria inlA gene which encodes internalin, a listeria membrane protein. For a review of genes involved in L. monocytogenes pathogenesis, see Portnoy et al. (1992, Infect. and Immun. 60:1263-1267). It is also contemplated as part of this inven- 20 tion that heterologous regulatory elements such as promoters derived from phage and promoters or signal sequences derived from other bacterial species may be employed for the expression of a heterologous antigen by the *Listeria* species.

Examples of the use of recombinant *L. monocytogenes* 25 strains that express a heterologous antigen for induction of an immune response against tumor cell antigens or infectious agent antigens are described in U.S. patent application Ser. Nos. 08/366,372 and 08/366,477, respectively. The disclosures of these two patent applications are hereby incorporated 30 herein by reference.

The data presented herein indicate that certain AA strains of *Listeria* may undergo osmotic lysis following infection of a host cell. Thus, if the Listeria which is introduced into the host cell comprises a vector, the vector is released into the 35 cytoplasm of the host cell. The vector may comprise DNA encoding a heterologous antigen. Uptake into the nucleus of the vector DNA enables transcription of the DNA encoding the heterologous antigen and subsequent expression of the antigen in and/or secretion of the same from the infected host 40 cell. Typically, the vector is a plasmid that is capable of replication in *Listeria*. The vector may encode a heterologous antigen, wherein expression of the antigen is under the control of eukaryotic promoter/regulatory sequences. Typical plasmids having suitable promoters that might be employed 45 include, but are not limited to, pCMVbeta comprising the immediate early promoter/enhancer region of human cytomegalovirus, and those which include the SV40 early promoter region or the mouse mammary tumor virus LTR promoter region.

Thus, it is also contemplated as part of the present invention that AA strains of *Listeria* may be employed as a vaccine for the purpose of stimulating a CTL immune response against an infectious agent or a tumor cell, wherein the AA strain comprises a vector encoding a heterologous antigen 55 that may be expressed using a eukaryotic expression system. According to the invention, the vector is propagated in the AA strain of *Listeria* concomitant with the propagation of the AA strain itself. The vector may be, for example, a plasmid that is capable of replication in the AA strain or the vector may be 60 lysogenic phage. The vector must contain a prokaryotic origin of replication and must not contain a eukaryotic origin of replication in order that the vactor is capable of replication in a prokaryotic cell but, for safety reasons, is rendered absolutely incapable of replication in eukaryotic cells.

A cytotoxic T-cell response in a mammal is defined as the generation of cytotoxic T-cells capable of detectably lysing

**10** 

cells presenting an antigen against which the T cell response is directed. Preferably, within the context of the present invention, the T cell response is directed against a heterologous antigen expressed in an AA strain of *Listeria* or which is expressed by a vector which is delivered to a cell via *Listeria* infection. Assays for a cytotoxic T-cell response are well known in the art and include, for example, a chromium release assay (Frankel et al., 1995, J. Immunol. 155:4775-4782). In addition to a chromium release assay, an assay for released lactic acid dehydrogenase may be performed using a CYTOTOX<sup>TM</sup> (non-radioactive cytotoxicity assay 96 kit obtained from Promega Biotech, WI.

In preferred embodiments and using a chromium release assay, at an effector cell to target cell ratio of about 50:1, the percentage of target cell lysis is preferably at least about 10% above the background level of cell lysis. The background level of cell lysis is the percent lysis of cells which do not express the target antigen. More preferably, the percentage of target cell lysis is at least about 20% above background; more preferably, at least about 40% above background; more preferably, at least about 60% above background; and most preferably, at least about 70% above background.

The vaccines of the present invention may be administered to a host vertebrate animal, preferably a mammal, and more preferably a human, either alone or in combination with a pharmaceutically acceptable carrier. The vaccine is administered in an amount effective to induce an immune response to the *Listeria* strain itself or to a heterologous antigen which the *Listeria* species has been modified to express. The amount of vaccine to be administered may be routinely determined by one of skill in the art when in possession of the present disclosure. A pharmaceutically acceptable carrier may include, but is not limited to, sterile distilled water, saline, phosphate buffered solutions or bicarbonate buffered solutions. The pharmaceutically acceptable carrier selected and the amount of carrier to be used will depend upon several factors including the mode of administration, the strain of Listeria and the age and disease state of the vaccinee. Administration of the vaccine may be by an oral route, or it may be parenteral, intranasal, intramuscular, intravascular, intrarectal, intraperitoneal, or any one of a variety of well-known routes of administration. The route of administration may be selected in accordance with the type of infectious agent or tumor to be treated.

The vaccines of the present invention may be administered in the form of elixirs, capsules or suspensions for oral administration or in sterile liquids for parenteral or intravascular administration. The vaccine may also be administered in conjunction with a suitable adjuvant, which adjuvant will be readily apparent to the skilled artisan.

The immunogenicity of the AA strain of the invention may be enhanced in several ways. For example, a booster inoculation following the initial inoculation may be used to induce an enhanced CTL response directed against the AA strain.

In another approach, transient suppression of the auxotrophic phenotype of the AA strain is accomplished by providing the AA strain with the required nutrient for a period of time shortly before, after, or concomitant with administration of the *Listeria* vaccine to the host. The organism will replicate for the brief period during which the nutrient is present, after which, upon exhaustion of the supply of the nutrient, the organism will cease replication. This brief period of controlled replication will serve to provide more organisms in the host in a manner similar to that of natural infection by *Listeria*, which should stimulate an enhanced CTL response directed against the organism and antigens expressed thereby.

In yet another approach, the use of a suicide plasmid may be employed to conditionally suppress the attenuation of the Listeria AA strain by temporarily supplying the missing enzyme or enzymes to the bacterium for synthesis of the essential nutrient. A suitable suicide plasmid includes 5 pKSV7, the same plasmid which was used to mediate insertion of genes into the *Listeria* chromosome as described herein. This plasmid contains a gram positive (for use in *Listeria*), temperature-sensitive replication system such that growth at 37-40° C. inhibits plasmid replication in 25 *List*- 10 eria. This plasmid also contains an E. coli replication system which is not temperature-sensitive (Smith et al., 1992, Biochimie 74:705-711). The plasmid, or even more temperaturesensitive derivatives thereof, may be further modified by inserting an alanine racemase gene into the plasmid, which 15 modified plasmid is then inserted into an AA strain of *List*eria. Listeria cells having the plasmid inserted therein, are replicated at 30° C. for a short period of time in order that some molecules of racemase are accumulated in the cytoplasm. The *Listeria* cells, so replicated are then injected into 20 an animal or a human, wherein plasmid replication then ceases because of the temperature sensitive nature of the replication system at 37° C. Essentially, the cells would divide only a few times until the available racemase becomes diluted out, wherein the cells would cease replication alto- 25 gether and become attenuated again. To ensure even tighter temperature sensitive replication, a temperature sensitive promoter may be used to regulate expression of the racemase gene and/or temperature sensitive mutations may be created in the racemase gene itself.

For treatment of cancer, the vaccine of the invention may be used to protect people at high risk for cancer. In addition, the vaccine may be used as an immunotherapeutic agent for the treatment of cancer following debulking of tumor growth by surgery, conventional chemotherapy, or radiation treatment. 35 Patients receiving such treatment may be administered a vaccine which expresses a desired tumor antigen for the purpose of generating a CTL response against any residual tumor cells in the individual. The vaccine of the present invention may also be used to inhibit the growth of any previously established tumors in a human by either eliciting a CTL response directed against the tumor cells per se, or by eliciting a CTL response against cells which synthesize tumor promoting factors, wherein such a CTL response serves to kill those cells thereby diminishing or ablating the growth of the tumor.

The vaccine of the invention may be maintained in storage until use. Storage may comprise freezing the vaccine, or maintaining the vaccine at 4° C., room temperature, or the vaccine may first be lyophilized and then stored.

The invention particularly contemplates administration of 50 a vaccine to a human for the purpose of preventing, alleviating, or ablating HIV infection. The protocol which is described herein for the administration of a vaccine to a human for the purpose of treating HIV infection is provided as an example of how to administer an attenuated auxotrophic 55 *Listeria* strain as a vaccine to a human. This protocol should not be construed as being the only protocol which can be used, but rather, should be construed merely as an example of the same. Other protocols will become apparent to those skilled in the art when in possession of the present invention. 60

Essentially, an auxotrophic strain of *L. monocytogenes* which requires D-alanine for growth is constructed as described in the examples. The mutant is constructed by generating deletion mutations in both the dal gene and the dat gene, essentially following the procedures of Camilli et al., 65 (1993, Mol. Microbiol. 8:143-157). The mutant strain is then modified using recombinant DNA techniques to express an

12

HIV-1 antigen, preferably an antigenic portion: of the gag protein, essentially as described in Frankel et al. (1995, J. Immunol. 155:4775-4778). A human is then immunized by injecting a solution containing the auxotrophic *L. monocytogenes* strain and a supplement of D-alanine.

One of ordinary skill in the art will know the quatities of cells and D-alanine which should be administered to the human based upon a knowledge of the dosages provided herein which are administered to mice. For example, in BALB/c mice,  $10^7$  cells and 20 mg of D-alanine are the preferred dosages. Subsequent injections of the modified L. monocytogenes cells and D-alanine may also be given to boost the immune response.

Other HIV-1 antigens or proteins that may be used to generate a vaccine in accordance with this invention are the HIV env protein or its component parts, gp120 and gp 41, HIV gag, HIV nef and HIV pol or its component parts, reverse transcriptase and protease.

Isolated nucleic acid sequences encoding the dal gene and the dat gene of L. monocytogenes are also contemplated as part of this invention. In addition to their utility in generating deletion mutants of L. monocytogenes as disclosed herein, these isolated nucleic acid sequences encoding the dal gene and the dat gene may be used as probes and primers in identifying homologous genes in other Listeria species using PCR and other hybridization technology available in the art and described, for example, in Sambrook, et al. (1989, In: Molecular Cloning: A Laboratory Manual, Cold Spring Harbor Laboratory, New York; Innis et al., ed., 1990, In: PCR Protocols, Academic Press, Inc., San Diego). Additionally, the isolated nucleic acid sequences encoding dal or dat may be used to construct a suicide plasmid that expresses one or both of the genes. The suicide plasmid(s) may be used to complement the D-alanine *Listeria* auxotrophs for a limited time after immunization as disclosed herein.

An "isolated nucleic acid", as used herein, refers to a nucleic acid sequence, a DNA or an RNA or fragment thereof which has been separated from the sequences which flank it in a naturally occurring state, e.g. a DNA fragment which has been removed from the sequences which are normally adjacent to the fragment, e.g., the sequences adjacent to the fragment in a genome in which it naturally occurs. The term also applies to nucleic acids which have been substantially purified from other components which naturally accompany the nucleic acid, e.g., RNA or DNA or proteins, which naturally accompany it in the cell. The term therefore includes, for example, a recombinant DNA which is incorporated into a vector; into an autonomously replicating plasmid or virus; or into the genomic DNA of a prokaryote or eukaryote; or which exists as a separate molecule (e.g., as a cDNA or a genomic or cDNA fragment produced by PCR amplification, restriction enzyme digestion or chemical synthesis) independent of other sequences. It also includes a recombinant DNA which is part of a hybrid gene encoding additional polypeptide sequence.

Typically probes and primers for use in identifying other dal and dat genes will comprise a portion of a *Listeria* dal or dat gene that is at least about 15 consecutive nucleotides. More typically, a probe or primer comprises a portion of at least about 20, even more typically, at least about 30 and even more typically, at least about 40 consecutive nucleotides of a dal or dat gene of *Listeria*.

In other related aspects, the invention includes a vectors which comprises an isolated nucleic acid encoding dal or dat and which is preferably capable of directing expression of the protein encoded by the nucleic acid in a vector-containing

cell. The invention further includes cells comprising a vector encoding dal or dat, including both prokaryotic and eukaryotic cells.

The isolated nucleic acids of the invention should be construed to include an RNA or a DNA sequence specifying the dal gene or the dat gene, and any modified forms thereof, including chemical modifications of the DNA or RNA which render the nucleotide sequence more stable when it is cell free or when it is associated with a cell. Chemical modifications of 10 nucleotides may also be used to enhance the efficiency with which a nucleotide sequence is taken up by a cell or the efficiency with which it is expressed in a cell. Any and all combinations of modifications of the nucleotide sequences are contemplated in the present invention.

The invention should not be construed as being limited solely to the DNA and amino acid sequences shown in FIGS. 1 and 3. Once armed with the present invention, it is readily apparent to one skilled in the art that any other DNA and encoded amino acid sequence of the dal and dat genes of other 20 *Listeria* species may be obtained by following the procedures described herein. The invention should therefore be construed to include any and all dal and dat DNA sequence and corresponding amino acid sequence, having substantial homology to the dal and dat DNA sequence, and the corresponding 25 amino acid sequence, shown in FIGS. 1 and 3, respectively. Preferably, DNA which is substantially homologous is about 50% homologous, more preferably about 70% homologous, even more preferably about 80% homologous and most preferably about 90% homologous to the dal or dat DNA 30 sequence shown in FIGS. 1 and 3, respectively. Preferably, an amino acid sequence which is substantially homologous is about 50% homologous, more preferably about 70% homologous, even more preferably about 80% homologous and most preferably about 90% homologous to the amino acid 35 sequences encoded by the dal and dat genes shown in FIGS. 1 and 3, respectively.

"Homologous" as used herein, refers to the subunit sequence similarity between two polymeric molecules, e.g., between two nucleic acid molecules, e.g., two DNA molecules or two RNA molecules, or between two polypeptide molecules. When a subunit position in both of the two molecules is occupied by the same monomeric subunit, e.g., if a adenine, then they are homologous at that position. The homology between two sequences is a direct function of the number of matching or homologous positions, e.g., if half (e.g., five positions in a polymer ten subunits in length) of the positions in two compound sequences are homologous then the two sequences are 50% homologous, if 90% of the positions, e.g., 9 of 10, are matched or homologous, the two sequences share 90% homology. By way of example, the DNA sequences 3'ATTGCC5' and 3'TATGCG5' share 50% homology.

The invention is further described in detail by reference to the following experimental examples. These examples are provided for purposes of illustration only, and are not intended to be limiting unless otherwise specified. Thus, the invention should in no way be construed as being limited to 60 the following examples, but rather, should be construed to encompass any and all variations which become evident as a result of the teaching provided herein.

The experimental examples described herein provide procedures and results which establish that attenuated aux- 65 otrophic mutants of L. monocytogenes are useful as vaccines for eliciting a CTL response.

14

Materials and Methods useful in the construction and use of an attenuated auxotrophic L. monocytogenes strain are now described.

Bacteria and plasmids. The *L. monocytogenes* strain 10403S (Portnoy et al., 1988, supra) comprises the wild-type organism used in these studies. This organism was propagated in brain/heart infusion medium (BHI) (Difco Labs). L. monocytogenes strain 10403S has an LD<sub>50</sub> of approximately 3×10<sup>4</sup> when injected intravenously or intraperitoneally into BALB/c mice (Schafer et al., 1992, J. Immunol. 149:53-59).

E. coli DH5a was used for cloning. This organism was propagated in Luria broth (Sambrook et al., 1989, supra). The plasmid pKSV7, which was used for allelic exchange reac-15 tions in L. monocytogenes, is a shuttle vector capable of replication in E. Coli, where it is selected in the presence of 50 μg of ampicillin per ml of media, and in L. monocytogenes, wherein replication of the plasmid is temperature sensitive and is selected in the presence of 10 µg of chloramphenicol per ml of media (Smith et al., 1992, Biochimie 74:705-711). Plasmid DNA obtained from E coli and total DNA (chromosomal and plasmid) from obtained from Listeria monocytogenes were isolated using standard methods (Sambrook et al., 1989, supra).

Identification of D-alanine synthesis genes in L. monocytogenes by homology with D-alanine synthesis genes in other gram positive organisms. Based on sequences of the alanine racemase gene (dal) in gram-positive organisms (Ferrari et al., 1985, Bio/technology 3:1003-1007; Tanizawa et al., 1988, Biochemistry 27:1311-1316), primers were designed which corresponded to two 20 base consensus sequences from highly conserved regions at the 5' and 3' ends of the dal gene. These primers were modified to reflect the preferred codon usage in *Listeria*. These primers were used in a PCR reaction using chromosomal DNA from either L. monocytogenes or B. subtilis as templates. A similar sized PCR product (850 nucleotides) was obtained from both L. monocytogenes and B. subtilis. Analysis of the 850 nucleotide PCR product from the *Listeria* template, and the amino acid sequence encoded thereby, indicated substantial homology with the alanine racemase genes of the other gram-positive organisms.

A similar strategy was used to identify and sequence a portion of a D-amino acid aminotransferase gene (dat) of position in each of two DNA molecules is occupied by Listeria, based on sequences in B. sphaericus, B. species YM-1 (Tanizawa et al., 1989, supra), and Pucci et al., 1995, J. Bacteriol. 177:336-342). Primers based on dat sequence in the other gram positive organisms was used for PCR amplification of L. monocytogenes DNA and a PCR product of about 400 nucleotides was obtained. Analysis of the DNA sequence of the 400 nucleotide PCR product, and the amino acid sequence encoded thereby, indicated substantial homology with the aminotransferase genes of the other gram positive organisms.

Strategy for sequence determination of the complete genes. The sequence of the remaining portions of the L. monocytogenes dal gene adjoined to the 5' and 3' ends of the central PCR product was determined using anchored PCR reactions (Rubin et al., 1993, Proc. Natl. Acad. Sci. USA 90:9280-9284). Briefly, this procedure utilized a BglII-restriction digest (for the 5' portion of the gene) or a XbaI digest (for the 3' portion of the gene) of Listeria chromosomal DNA. The ends of the digested Listeria chromosomal DNA were then ligated to a small fragment of DNA containing the T7 promoter. A 5'-portion PCR product and a 3'-portion PCR product were then made and sequenced using primers from within the central dal gene PCR product and a second primer

homologous to the T7 promoter fragment. This procedure permitted determination of the entire sequence of the dal gene.

The sequence of the remainder of the dat gene was determined by use of an inverse PCR reaction (Collins et al., 1984, 5 Proc. Natl. Acad. Sci. USA 81:6812-6816; Triglia et al., 1988, Nucl. Acids Res. 16:8186). Briefly, a HindIII digest of *Listeria* chromosomal DNA was permitted to self-ligate under conditions of low DNA concentration so that mainly single circular molecules would form. Outward-directing primers with homologies at the two ends of the original PCR segment of the gene were then used to make a new PCR product that began at the 5'-end of the original PCR segment, proceeded to the 5'-end of the gene through the HindIII self-ligation site and into the 3'-end of the gene. Using this method, the entire 15 dat gene sequence was obtained.

Production of mutations in *Listeria* dal and dat genes. The dal gene was inactivated by means of a double allelic exchange reaction following the protocol of Camilli et al. (Camilli et al., 1993, Mol. Microbiol 8:143-157). A ts shuttle 20 plasmid pKSV7 (Smith et al., 1992, supra) construct containing an erythromycin gene (Shaw and Clewell, 1985, J. Bacteriol. 164:782-796) situated between a 450-base pair fragment of the 5' end of the 850-base pair dal gene PCR product and a 450-base pair fragment of the 3' end of the dal gene PCR 25 product was introduced into *Listeria* to produce a double allelic exchange reaction between the chromosomal dal gene and the plasmid pKSV7 dal construct. A dal deletion mutant covering about 25% of the gene in the region of its active site was obtained.

The chromosomal dat gene of *L. monocytogenes* was also inactivated using a double allelic exchange reaction. A pKSV7 plasmid construct containing 450-base pair fragments corresponding to the 5' and 3' ends of the dat gene PCR product, which had been joined together by an appropriate 35 PCR reaction, was introduced into *Listeria*. A double allelic exchange reaction between the chromosomal dat gene and the dat plasmid construct resulted in the deletion of 30% of the central bases of the dat gene.

Infection of Cells in Culture. To examine the intracellular 40 growth of the attenuated strain of *Listeria* in cell culture, monolayers of J774 cells, a murine macrophage-like cell line, primary murine bone marrow macrophages, and the human HeLa cell line, were grown on glass coverslips and infected as described (Portnoy et al., 1988, supra). To enhance the efficiency of infection of HeLa cells, a naturally non-phagocytic cell line, the added bacteria were centrifuged onto the HeLa cells at 543×g for 15 minutes. At various times after infection, samples of the cultures were obtained in order to perform differential staining for the determination of viable intracellular bacteria, or for immunohistochemical analysis.

Immunohistochemistry. Coverslips with attached infected macrophages or HeLa cells were washed with PBS, and the cells were fixed in 3.2% formalin and permeabilized using 0.05% TWEEN 20<sup>TM</sup> (polyoxyethylene (20) sorbitan monolaurate *Listeria* were detected using rabbit anti-*Listeria* O antiserum (Difco Laboratories) followed by LSRSC-labeled donkey anti-rabbit antibodies or coumarin-labeled goat anti-rabbit antibodies. Actin was detected using FITC- or TRITC-labeled phalloidin. To distinguish extracellular (or phagosomal) from intracytoplasmic bacteria, the former were stained prior to permeabilization of the cells.

Induction of listeriolysin O-specific CTLs. Female BALB/c mice, 6 to 8 weeks of age (Charles River Laboratories, Raleigh, N.C.) were immunized by intraperitoneal 65 inoculation with either wild-type or dal<sup>-</sup>dat<sup>-</sup> strains of *L. monocytogenes*. After 14 days, some of the mice were

**16** 

boosted with a second inoculation containing the same number of microorganisms as were given in the first inoculation. Ten or more days after the last inoculation, 6×10<sup>7</sup> splenocytes obtained from a given animal were incubated in Iscove's modified DMEM with 3×10 splenocytes from that same animal that had been loaded with 10 μM listeriolysin O (LLO) peptide 91-99 during a 60 minute incubation at 37° C. After five days of in vitro stimulation, the resulting cultures were assayed for the presence of CTL activity capable of recognizing LLO-peptide-labeled P815 cells following previously published procedures (Wipke et al., 1993, Eur. J. Immunol. 23:2005-2010; Frankel et al., 1995, supra). Every determination of lytic activity was corrected for activity in unlabeled target cells, which exhibited between 1 and 10 percent lysis.

Animal protection studies. Female BALB/c mice (Bantin-Klingman, Freemont, Calif.) at 8 weeks of age were immunized with approximately 0.1 LD<sub>50</sub> of viable wild-type L. monocytogenes or the dal<sup>-</sup>dat<sup>-</sup>0 double mutant strain in 0.2 ml of vehicle, by tail vein injection. Three to four weeks following immunization, groups of four to five mice each were challenged with approximately  $10 LD_{50}$  of viable wildtype L. monocytogenes strain 10403 in 0.2 ml of vehicle, by tail vein injection. Spleens were removed from the mice 48 hours later and were homogenized individually in 4.5 ml PBS-1% proteose-peptone using a tissue homogenizer (Tekmar). The homogenates were serially diluted and plated onto BHI agar. Log<sub>10</sub> protection was determined by subtracting the mean of the log<sub>10</sub> CFU/spleen values of the test group from the mean of the  $\log_{10}$  CFU/spleen values of the normal control 30 group.

Construction of an Auxotrophic Attenuated Strain of L. monocytogenes Useful as a Vaccine: Construction of an Attenuated Strain of L. monocytogenes Defective in Cell Wall Synthesis

L. monocytogenes was examined to determine whether the bacteria harbor genes for the synthesis of D-alanine. The alanine racemase (dal) gene, used by many microorganisms for the synthesis of D-alanine, has been sequenced in *Salmo*nella (Galakatos et al., 1986, Biochemistry 25:3255-3260; Wasserman et al., 1984, Biochemistry 23:5182-5187), *B. sub*tilis (Ferrari et al., 1985, Bio/technology 3: 1003-1007), and B. stearothermophilis (Tanizawa et al., 1988, Biochemistry 27:1311-1316), but the gene has not been reported in *Listeria*. Primers based on the sequences (adjusted for preferred codon usage in *Listeria*) of two highly conserved regions of the dal gene in two different gram-positive organisms were employed in a PCR reaction performed on L. monocytogenes chromosomal DNA to search for evidence of the dal gene in *Listeria*. A product that exhibited significant homology with the published dal gene sequences was obtained. The sequence of the remainder of the L. monocytogenes dal gene was determined as described herein and is depicted in FIG. 1. The translated protein sequence is compared with alanine racemases of the other gram-positive organisms in FIG. 2.

The dal gene was inactivated by an in-frame insertion of a 1.35 kb fragment of DNA encoding erythromycin resistance at an Spe1 site near the center of the gene. The resulting dalbacteria were found to grow both in rich bacteriological medium (BHI) as well as in a synthetic medium in the presence or absence of D-alanine. Mutation of the dal gene was also achieved by an in-frame deletion covering 82% of the gene with the same effect.

A second enzyme used by some bacteria for synthesis of D-alanine is D-amino acid aminotransferase, encoded by the dat gene (Tanizawa et al., 1989, J. Biol. Chem. 264:2450-2454; Pucci et al., 1995, J. Bacteriol. 177:336-342). Following the same strategy used to detect the dal gene in *L. mono-*

cytogenes, a PCR product that exhibited significant sequence homology with known dat genes and gene products was obtained. The sequence obtained from the PCR product was only the partial gene sequence, and remainder of the dat gene gene sequence (as depicted in FIG. 3) was determined according to procedures described herein. The deduced protein sequence of the L. monocytogenes dat gene is compared with other dat gene products in FIG. 4.

The L. monocytogenes dat gene was inactivated by inframe deletion of 31% of its central region. The growth of the resulting dar bacteria in various bacteriological media was again found to be independent of the presence of D-alanine.

A double mutant strain of L. monocytogenes, dal<sup>-</sup>dat<sup>-</sup>, was produced by a double allelic exchange reaction between the carrying the dat gene deletion. The growth of the double mutant in bacteriological media was found to be completely dependent on the presence of D-alanine (FIG. 5). A double mutant containing deletions in both of the genes, designated dal<sup>-</sup>dat<sup>-</sup>-12, had the same phenotype. The growth of the 20 double-deletion strain in the absence of D-alanine could be complemented by a plasmid carrying the dal gene of B. sub*tillis*. All of the dal<sup>-</sup>dat<sup>-</sup> double mutant experiments reported in the following examples employed the dal<sup>-</sup>dat<sup>-</sup>-1 double mutant.

Expression of the Defective Phenotype Following Infection of Eukaryotic Cells

To determine whether a defect in the ability of L. monocytogenes to synthesize D-alanine would be expressed as an inability to replicate in the cytoplasm of eukaryotic cells 30 because of the absence of the required D-alanine in the cytoplasm, several different cell lines and primary cells in culture were infected with the wild-type and mutant strains of this organism.

readily take up L. monocytogenes by phagocytosis and permit its cytoplasmic growth following escape of the bacteria from the phagolysosome (Tilney et al., 1989, J. Cell Biol. 109: 1597-1608).

FIG. 6 depicts typical J774 cells as observed at 5 hours after 40 infection with about 5 bacteria per cell of either wild-type Listeria (Panel A) or the double dal<sup>-</sup>dat<sup>-</sup> mutant Listeria (Panel B). Whereas large numbers of bacteria were observed to be associated with mouse cells infected with wild-type Listeria, few were seen following infection with the double 45 mutant bacteria. Infection by double mutant bacteria in culture medium containing D-alainine permitted bacterial growth which was indistinguishable from that seen in cells infected with wild type *Listeria* (FIG. 6, Panel C).

Some J774 cells contained small round darkly-staining 50 objects, often in pairs, that may be spheroblast-like bacteria, although they were not examined further. When these cells were infected at higher multiplicities (a multiplicity of infection of about 1-10), many cells contained multiple microorganisms, but the double mutant again failed to multiply. Most double mutant-infected cells possessed pychnotic nuclei and a pale cytoplasm and presumably were dead; mouse cells harboring wild-type *Listeria* did not exhibit this property at any time after infection.

To quantify some of these observations, the number of 60 intracellular bacteria (defined by gentamicin resistance) that could form colonies on medium containing D-alanine was enumerated at several times after infection (FIG. 7). The data clearly demonstrate that the double mutant was unable to replicate in J774 cells, and in fact slowly died during the 65 course of the experiment. The data also illustrate that the replication-defective phenotype of the double mutant was

**18** 

supressed by the inclusion of D-alanine (at 100 μg/ml) in the tissue culture medium at the time of infection. This suppression was reversed within 2 hours after removal of the D-alanine. The phenotype of the mutant bacteria was also examined in mouse bone marrow-derived macrophages and in the HeLa cell line of human epithelaial cells. It was determined that the double mutant was unable to replicate in either of these cell types as well (FIG. 7, Panels B and C).

It was again observed that double-mutant-infected macrophages possessed pychnotic nuclei more frequently than did macrophages infected with wild-type bacteria. Infection of bone marrow macrophages was employed to examine the intracytoplasmic status of the bacteria. Within a few hours after infection of cells by L. monocytogenes, when the bacteerythromycin-resistant dal organism and the shuttle vector 15 ria have escaped from the phagosome, host actin filaments form a dense cloud around the intracytoplasmic bacteria, and then rearrange to form a polarized comet tail which propels the bacteria through the cytoplasm (Tilney et al., 1989, supra). The actin can readily be visualized using appropriately labeled anti-Listeria antibodies. At 2 hours post-infection using a multiplicity of infection of about 5 bacteria per cell, 25% of wild type bacteria associated with J774 macrophages were surrounded with a halo of stained actin (FIG. 8, Panel A), and at 5 hours, virtually 100% of infected cells exhibited 25 actin staining, some cells having long actin tails (FIG. 8, Panel B). However, the staining of actin in double-mutant infected macrophages was much rarer (less than 2%) when compared with wild type infected cells. Nevertherless, if D-alanine was present during only the 30 minute period of bacterial adsorption, at 2 hours post-infection 22% of the mutant cell-associated bacteria were surrounded with actin (FIG. 8, Panel C); at 5 hours, this number of intracytoplasmic bacteria had risen to only 27% (FIG. 8, Panel D). If D-alanine was present during the entire infection period (FIG. 8, Panel J774 cells are a mouse macrophage-like cell line that 35 E), the result observed in these cells at 5 hours was indistinguishable from those observed in wild type infected cells.

Since J774 cells have long been culture adapted and reflect very few of the normal properties of tissue macrophages, the entry of mutant bacteria into the cytosol of primary bone marrow macrophages which had been in culture for only 6 days was examined. Because these cells demonstrate the high bacterial killing capacity of normal macrophages, they were infected at a ratio of about 50 bacteria per cell. Under these conditions, at 2 hours after infection, 6.8% of the double mutant bacteria were found to be associated with actin in these cells, and this number increased to the same level as that observed after wild type infection (19%) by the inclusion of D-alanine for the first 30 minutes of the infection (18.2%) or for the entire period of infection (19.4%). Therefore, depending on the cell type examined, mutant bacteria in the absence of D-alanine either exhibited a very low or moderate efficiency of entering the host cytosol, or exhibited reduced binding of actin onto their surface. However, the brief presence of D-alanine during the initial phase of infection allowed a normal fraction of bacteria to enter the cytosol and bind actin.

Induction of an Immune Response Using the Attenuated Bacteria

Infection of mice with L. monocytogenes produces a longlived state of specific immunologic memory that enables the infected host to resist lethal challenge by the same organism for months following the primary infection. To determine whether infection of mice with sub-lethal doses of the double mutant could induce this important long lasting state of immunity, the following experiments were performed.

Mice were injected intravenously with  $2\times10^7$  (<0.05 LD<sub>50</sub>) of the double mutant and were challenged 3 to 4 weeks later

with  $10 \, \mathrm{LD_{50}}$  of wild type *L. monocytogenes*. D-alanine (20 mg) was provided in the initial inoculum of mutant organisms to be certain that the organisms were fully viable at the time of initial infection (this had the effect of reducing the  $\mathrm{LD_{50}}$  about 10 fold). The data presented in FIG. 9 demonstrate that the level of antilisterial protection was approximately 3  $\log_{10}$  following a single infection by the mutant bacteria, a similar level of protection to that generated by immunization with the wild-type organism. The same dose of mutant bacteria injected without D-alanine provided little protection.

To determine whether the high degree of protection generated by the mutant bacteria could be accounted for by their survival and replication in the infected mice, the spleens of infected animals were removed and the number of surviving mutant bacteria was assessed. In FIG. 10 there is shown 15 evidence which indicates that in the absence of D-alanine, few mutant organisms survived for more than one day after infection; the presence of D-alanine in the initial inoculum permitted a few bacteria to survive longer. Importantly, the almost complete protection obtained using mutant bacteria 20 occurred in spite of the fact that by 2 days post-infection more than 100-fold fewer bacteria were detected in the spleens of mutant infected mice compared with wild type infected animals.

Listerolysin O peptide 91-99 is the major epitope of the 25 listerolysin O protein and one of the major epitopes to which mice respond when mounting a cell mediated immune response against infection with *L. monocytogenes* (Bouwer et al., 1996, Infect. Immun. 64:2515-2522; Harty et al., 1992, J.

<160> NUMBER OF SEQ ID NOS: 9

**20** 

Exp. Med. 175:1531-1538; Pamer et al., 1991, Nature 353: 852-855). To determine whether the protective immunity generated by infection with the dal-dat-double mutant strain of L. monocytogenes was associated with the induction of cytolytic T cells, splenocytes obtained from infected animals were assayed for their ability to lyse target cells loaded with this peptide. In FIG. 11 there is shown the fact that animals that were infected intraperitoneally with  $3\times10^7$  and were provided D-alanine subcutaneously both before and after infection exhibited strong CTL responses directed against the LLO peptide. Likewise, mice provided with D-alanine in their drinking Water before and after infection mounted a modest CTL response after single infection with  $3\times10^7$  mutant bacteria. In the absence of D-alanine, animals infected with and boosted one time with  $3 \times 10^7$  bacteria, also exhibited a modest CTL response to LLO peptide 91-99. Single infection with  $3\times10^7$  of the double mutant bacteria in the absence of D-alanine produced no significant response (FIG. 11).

The disclosures of each and every publication, patent, and patent application cited herein are hereby incorporated herein by reference in their entirety.

While this invention has been disclosed with reference to specific embodiments, it is apparent that other embodiments and variations of this invention may be devised by others skilled in the art without departing from the true spirit and scope of the invention. The appended claims are intended to be construed to include all such embodiments and equivalent variations.

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                                                                      480
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Pro	Ala	Leu	Ala	Leu 245	Tyr	Thr	Glu	Met	Val 250	His	Val	Lys	Glu	Leu 255	Ala	
Pro	Gly	Asp	Ser 260	Val	Ser	Tyr	Gly	Ala 265	Thr	Tyr	Thr	Ala	Thr 270	Glu	Arg	
Glu	Trp	Val 275	Ala	Thr	Leu	Pro	Ile 280	Gly	Tyr	Ala	Asp	Gly 285	Leu	Ile	Arg	
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Asn Ile Asn Thr Gly Asn Val Tyr Leu Gln Val Thr Arg Gly Val Gln

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Asp Ile L 145	ys Ser	Leu	Asn 150	Leu	Leu	Gly	Asn	Ile 155	Leu	Ala	Lys	Asn	Lys 160
Ala His G	ln Gln	Asn 165	Ala	Leu	Glu	Ala	Ile 170	Leu	His	Arg	Gly	Glu 175	Gln
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Met Thr L 1 Lys Val S	UENCE: ys Val er Tyr 20	7 Phe 5 Glu	Ile	Asn	Gly	Glu Tyr 25	Phe 10 Val	Phe	Gly	Asp	Gly 30	15 Ile	Tyr
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Met Thr Land Strain Str	UENCE: ys Val er Tyr 20 le Arg frag Phe al Glu le Gln	7 Phe 5 Glu Ala Asn 85	Ile Asp Tyr Arg Gly	Asn Asp Ser 55 Ile	Gly Gly 40 Ala Asp	Glu Tyr 25 Lys Val	Phe 10 Val Glu Val Ile 90	Phe Phe Arg 75 Gln	Gly Gln 60 Glu Ala	Asp Val 45 Leu Thr	Gly 30 Thr Asp Arg	15 Ile Glu Leu Gly 95	Tyr His Val 80 Val
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Met Thr Land State of the Land	UENCE: ys Val er Tyr 20 le Arg al Glu le Gln rg Asn 100 he Ala 15 sn Ala	7 Phe 5 Glu Ala Asn 85 His Ala Ala	Ile Asp Tyr Arg Gly Ser Thr	Asn Arg Ser 55 Ile Gly Phe Val 135	Gly Gly 40 Ala Pro Asp 120 Glu	Glu Tyr 25 Lys Ser Thr 105 Arg Asp	Phe 10 Val Leu Val Ile 90 Pro Ile	Phe Phe Arg 75 Gln Arg Arg	Gly Thr Gln 60 Glu Ala Val Trp 140	Asp Val Leu Thr Lys Leu Leu	Gly 30 Thr Asp Leu Pro 110 Leu	Ile Glu Lys Gly 95 Val Cys	Tyr His Val 80 Val Asp
Met Thr Li Lys Val S Glu Tyr I 3 Phe Glu A 50 Tyr Thr V 65 Asn Asn I Ala Pro A Gly Ile A 130 Ile Lys S	UENCE: ys Val er Tyr 20 le Arg 5 rg Phe al Glu rg Asn 100 he Ala 15 sn Ala er Leu	7 Phe 5 Glu Ala Ile Asn 85 His Ala Asn	Ile Asp Tyr Arg Gly Ser Leu 150	Asn Arg Ser 55 Ile Gly Phe Val 135 Leu	Gly Gly Ala Asp Ile Gly Gly Gly Gly Gly Gly Gly	Glu Tyr 25 Lys Ser Thr 105 Arg Asp	Phe 10 Val Leu Val Pro Pro Ile Val	Phe Phe Ile Arg 75 Glu Tyr Arg Leu 155	Gly Thr Gln 60 Glu Ala Val Asp Trp 140 Ala	Asp Val Leu Lys Asp 125 Leu Lys	Gly 30 Thr Asp Leu Pro 110 Leu Glu Glu	Ile Glu Leu Gly 95 Val Cys Tyr	Tyr His Gly Val 80 Val Asn Asp Ala 160

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Ser Thr	Ser	Ala	Glu 245	Val	Thr	Pro	Val	Val 250	Lys	Ile	Asp	Gly	Glu 255	Gln
Val Gly	Asp	Gly 260	Lys	Val	Gly	Pro	Val 265	Thr	Arg	Gln	Leu	Gln 270	Glu	Gly
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Tyr Thr 65	Lys	Asp	Val	Leu 70	His	Lys	Leu	Leu	His 75	Asp	Leu	Ile	Glu	Lys 80
Asn Asn	Leu	Asn	Thr 85	Gly	His	Val	Tyr	Phe 90	Gln	Ile	Thr	Arg	Gly 95	Thr
Thr Ser	Arg	Asn 100	His	Ile	Phe	Pro	Asp 105	Ala	Ser	Val	Pro	Ala 110	Val	Leu
Thr Gly	Asn 115	Val	ГÀЗ	Thr	Gly	Glu 120	Arg	Ser	Ile	Glu	Asn 125	Phe	Glu	Lys
Gly Val 130	Lys	Ala	Thr	Leu	Val 135	Glu	Asp	Val	Arg	Trp 140	Leu	Arg	Caa	Asp
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Ser Glu	Lys	Gly	Сув 165	Tyr	Glu	Ala	Ile	Leu 170	His	Arg	Gly	Asp	Ile 175	Ile
Thr Glu	Cys	Ser 180	Ser	Ala	Asn	Val	Tyr 185	Gly	Ile	ГÀЗ	Asp	Gly 190	ГЛЗ	Leu
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Ser Val	Ser	Ser	Glu 245	Val	Thr	Pro	Val	Ile 250	Asp	Val	Asp	Gly	Gln 255	Gln
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Tyr Thr Lys Asp Lys Phe His Gln Leu Leu His Glu Leu Val Glu Lys
Asn Glu Leu Asn Thr Gly His Ile Tyr Phe Gln Val Thr Arg Gly Thr
Ser Pro Arg Ala His Gln Phe Pro Glu Asn Thr Val Lys Pro Val Ile
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Gly Val Lys Ala Thr Phe Val Glu Asp Ile Arg Trp Leu Arg Cys Asp
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Ile Lys Ser Leu Asn Leu Leu Gly Ala Val Leu Ala Lys Gln Glu Ala
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His Glu Lys Gly Cys Tyr Glu Ala Ile Leu His Arg Asn Asn Thr Val
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Val Val Ile Ala Cys Ala Asn Glu Ile Asn Met Pro Val Lys Glu Ile
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Pro Phe Thr Thr His Glu Ala Leu Lys Met Asp Glu Leu Phe Val Thr
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                                        235
Ser Thr Thr Ser Glu Ile Thr Pro Val Ile Glu Ile Asp Gly Lys Leu
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                                    250
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                                265
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Phe Glu Thr Lys Ile Pro Lys Pro Leu His Ile
        275
                            280
```

#### What is claimed is:

- 1. A method of inducing an immune response against a cancer cell in a mammal, the method comprising administering to said mammal an auxotrophic attenuated strain of *Listeria* comprising an antigen expressed by said cancer cell, wherein said auxotrophic attenuated strain of *Listeria* comprises a mutation in both the dal and dat genes of *Listeria*.
- 2. The method of claim 1, wherein said antigen is expressed from a vector.
- 3. The method of claim 1, wherein said antigen is expressed from the *Listeria* genome.
- 4. The method of claim 1, wherein said auxotrophic attenuated strain of *Listeria* is administered orally, parenterally, intranasally, intramuscularly, intravascularly, intravenously, intrarectally or intraperitoneally.
- 5. The method of claim 1, wherein said cancer cell is a cervical cancer cell.

- **6**. The method of claim **1**, wherein said *Listeria* is *L. monocytogenes*.
- 7. The method of claim 1, wherein said cancer cell is a melanoma cancer cell, a breast cancer cell, or a leukemia cell.
- **8**. The method of claim **5**, wherein said antigen is HPV E6 of IIPV E7.
- 9. The method of claim 1, wherein said antigen is the bcr/abl antigen.
- **10**. The method of claim **1**, wherein said antigen is MΛGE1 or MZ2-E.

**36** 

- 11. The method of claim 1, wherein said antigen is MVC-1 or IIER-2.
- 12. The method of claim 1, wherein said antigen is expressed as a fusion protein with lysteriolysin O (LLO) or phosphatidylinositol-specific phospholipase (PI-PLC).
- 13. The method of claim 1, wherein said antigen is expressed from a Listeria hly, p60,actΛ, plcA, mpl, plcB, or in1A gene promoter.

\* \* \* \* \*