



US007076558B1

(12) **United States Patent**  
**Dunn**

(10) **Patent No.:** **US 7,076,558 B1**  
(45) **Date of Patent:** **Jul. 11, 2006**

(54) **USER-CENTRIC CONSENT MANAGEMENT SYSTEM AND METHOD**

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(\*) Notice: Subject to any disclaimer, the term of this patent is extended or adjusted under 35 U.S.C. 154(b) by 734 days.

(21) Appl. No.: **10/084,778**

(22) Filed: **Feb. 27, 2002**

(51) **Int. Cl.**  
**G06F 15/16** (2006.01)

(52) **U.S. Cl.** ..... **709/229**; 709/225; 726/4; 726/28

(58) **Field of Classification Search** ..... 709/229, 709/225; 707/9; 715/700; 713/200, 4, 28  
See application file for complete search history.

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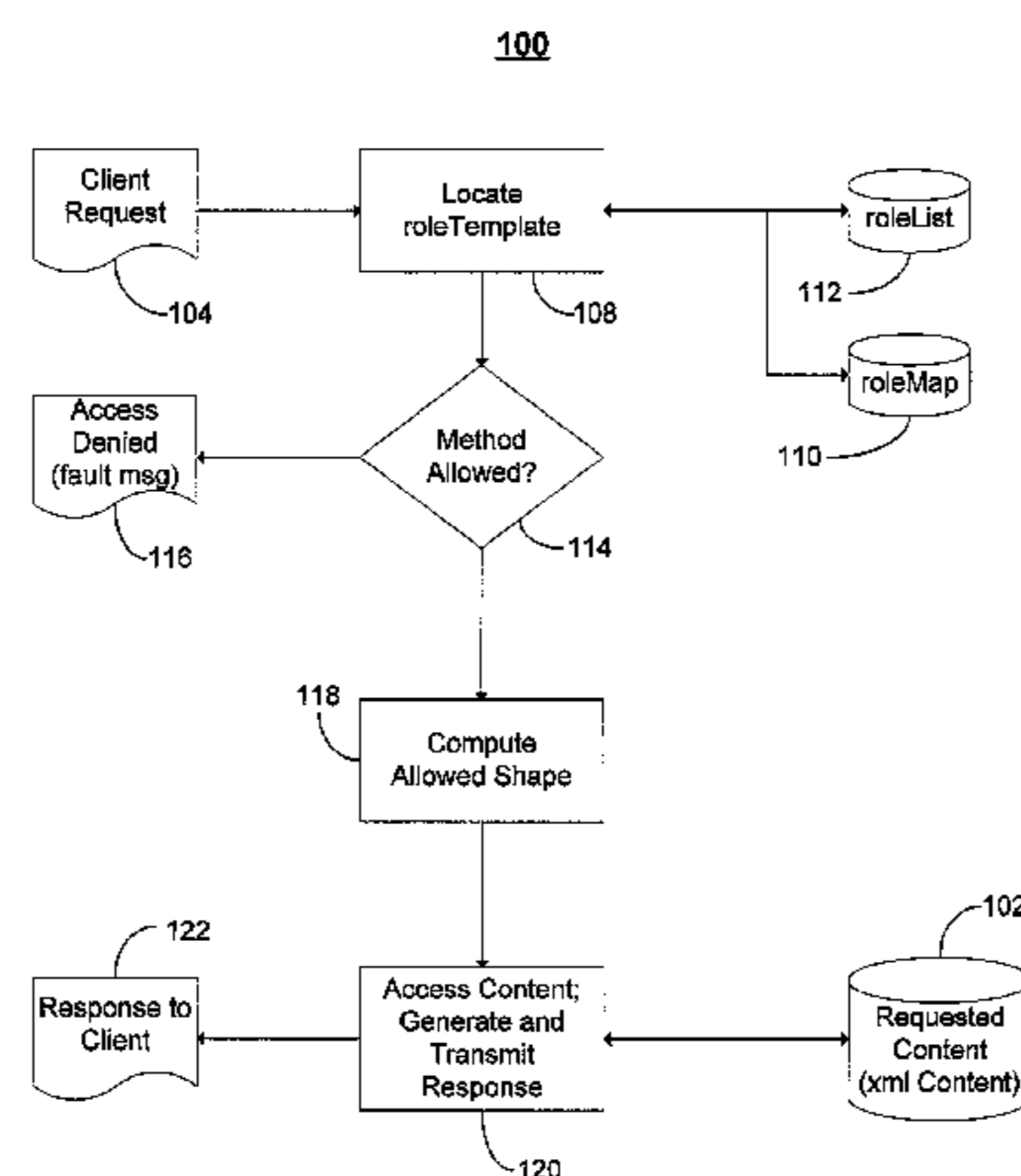
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(57) **ABSTRACT**

In a network computing environment, a user-centric system and method for controlling access to user-specific information maintained in association with a web-services service. When a web-services client desires access to the user-specific information, the client sends a request. The request identifies the reasons/intentions for accessing the desired information. The request is compared to the user's existing access permissions. If there is no existing access permission, the request is compared to the user's default preferences. If the default preferences permit the requested access, an access rule is created dynamically and the client's request is filled, without interrupting the user. If the default preferences do not permit the request to be filled, a consent user interface may be invoked. The consent user interface presents one or more consent options to a party with authority to grant consent, thereby permitting the user to control whether the client's access will be filled.

**36 Claims, 16 Drawing Sheets**



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FIG. 1

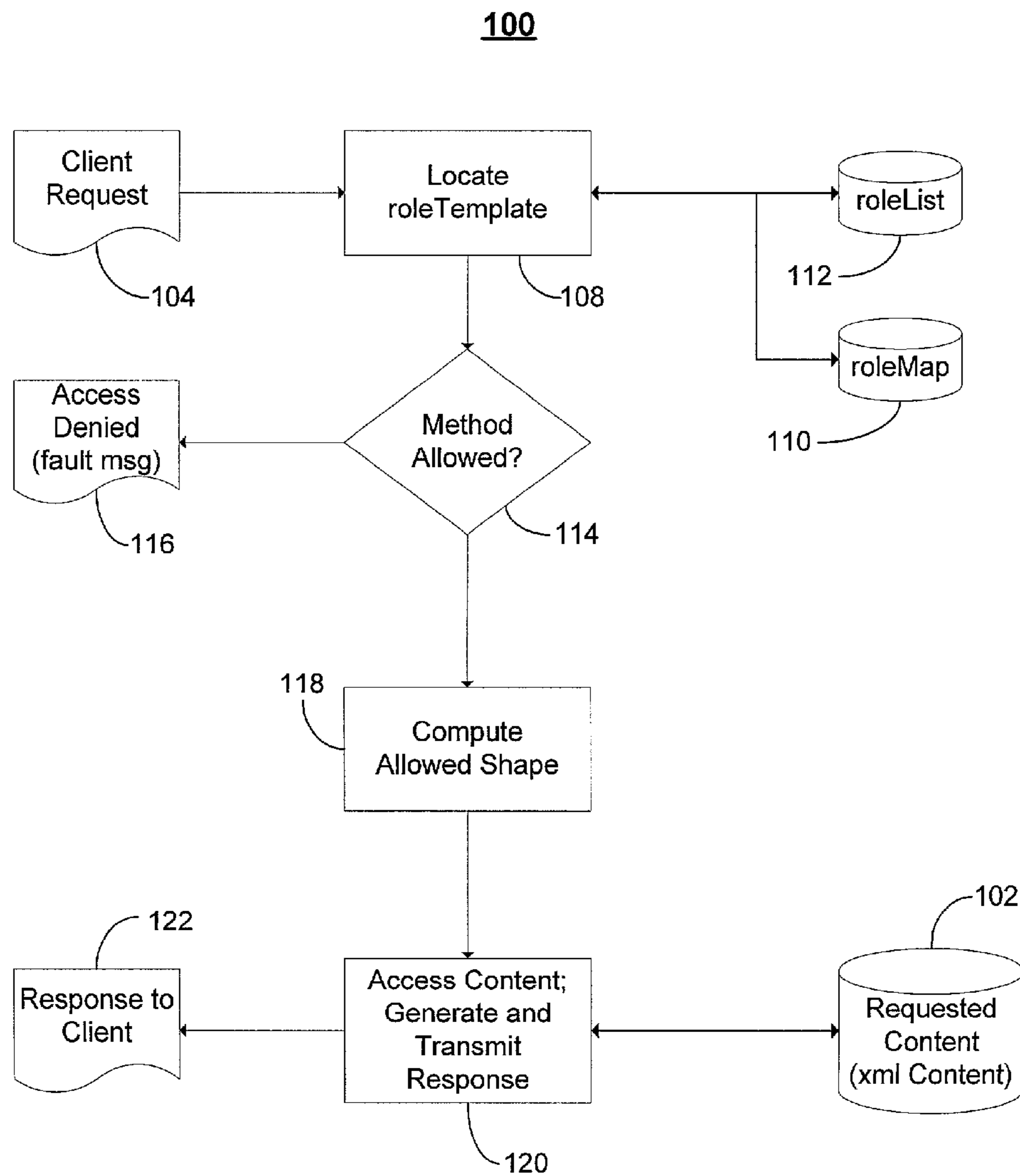


FIG. 2

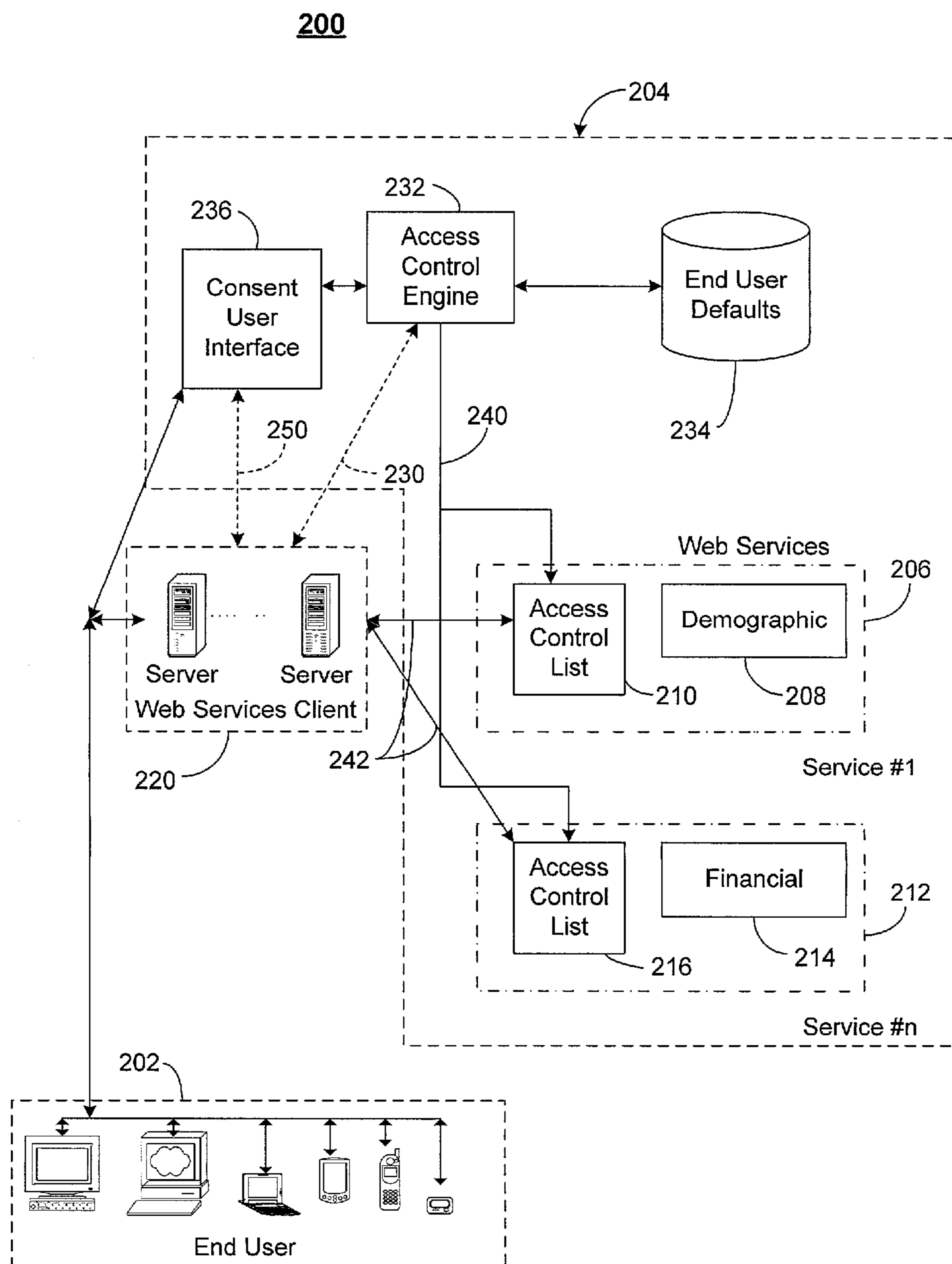




FIG. 3

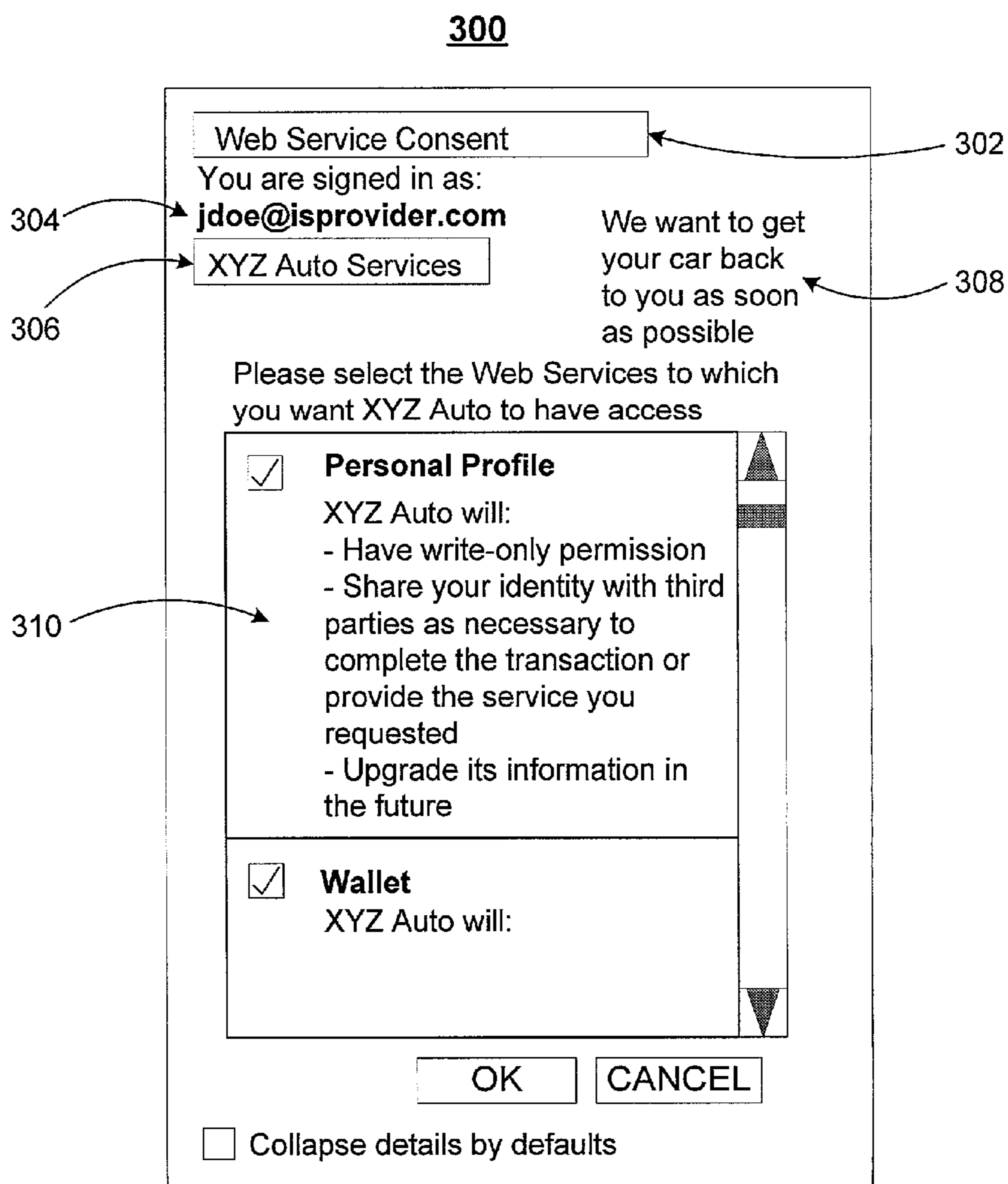


FIG. 4

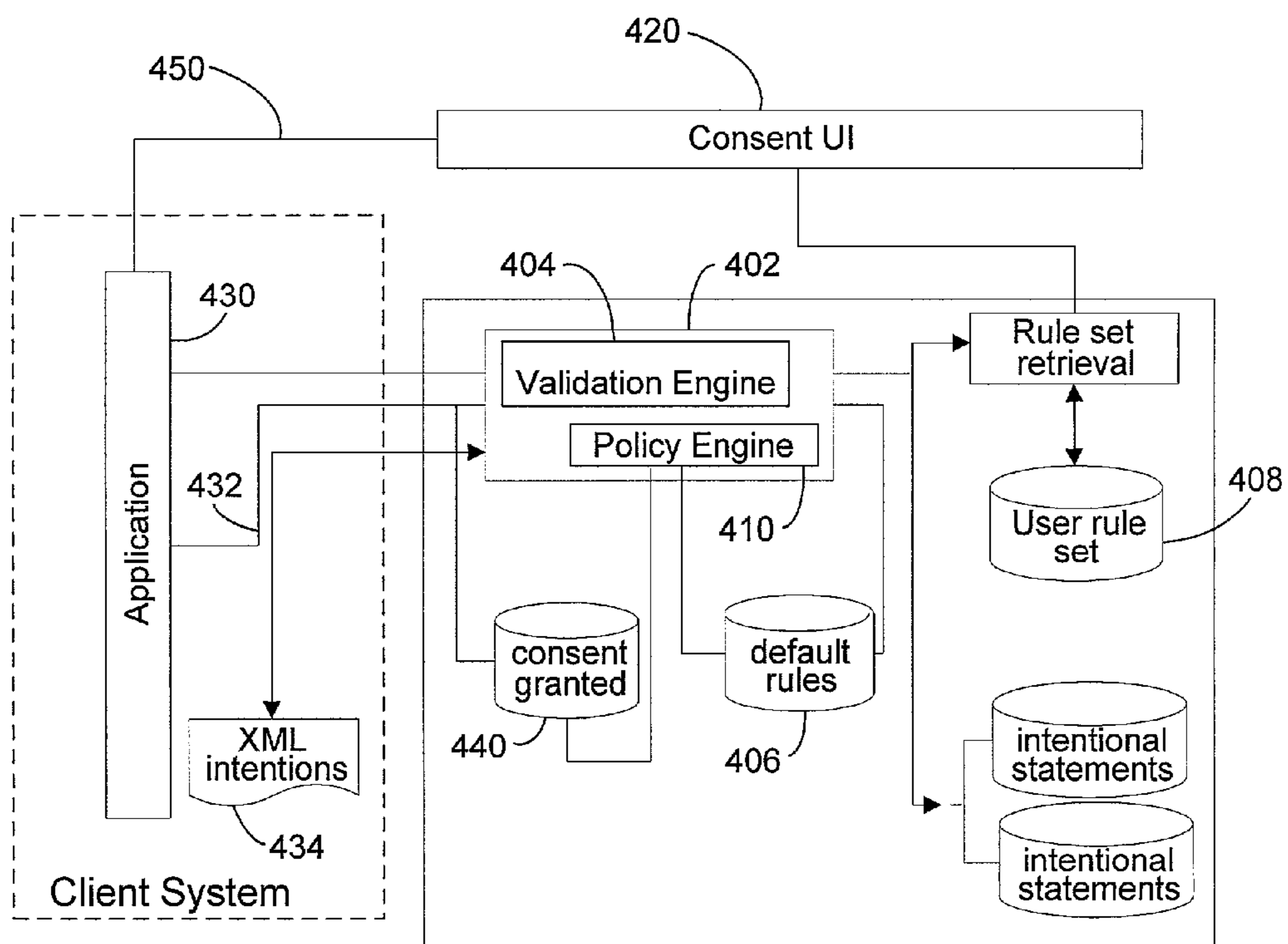


FIG. 5A

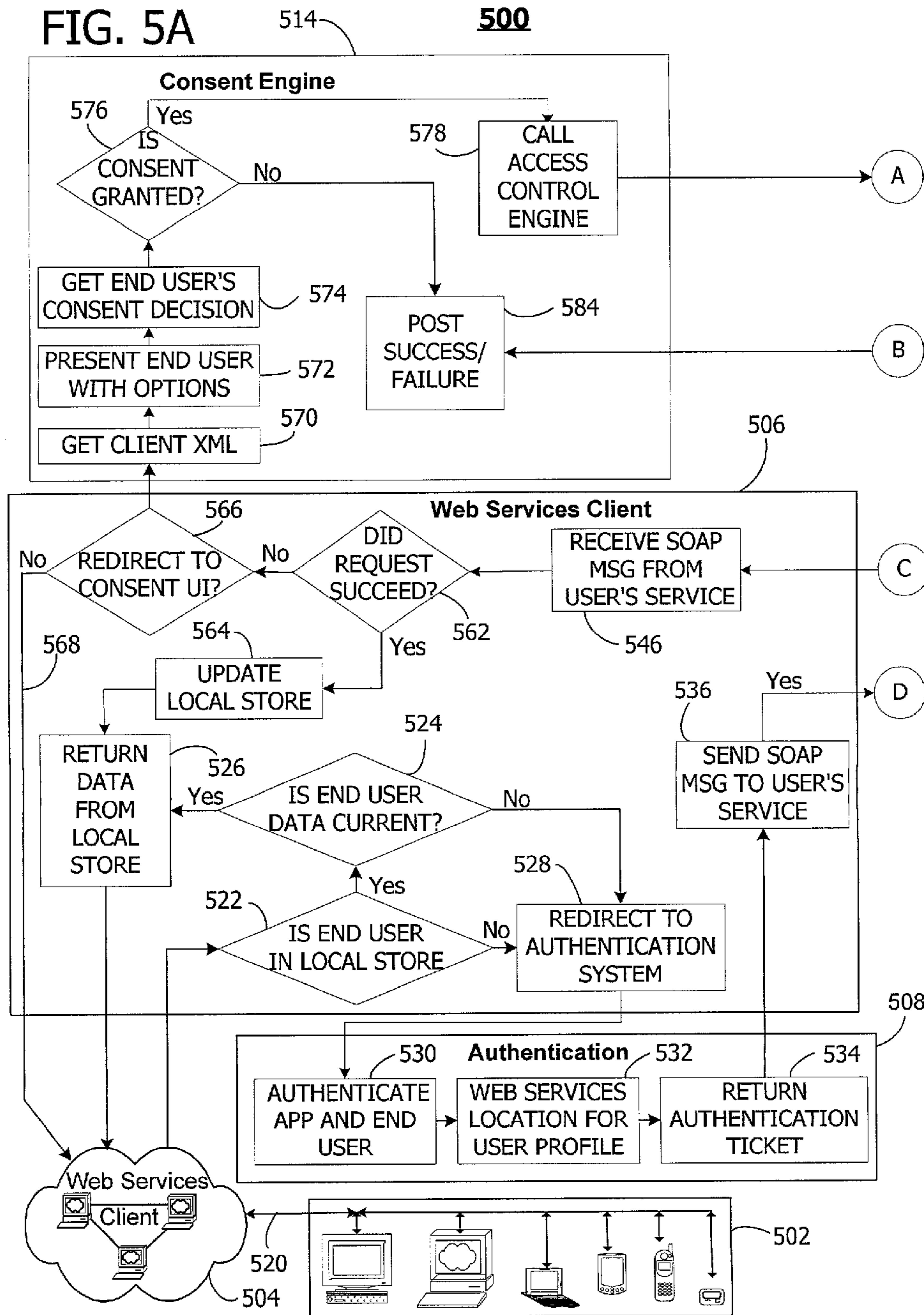
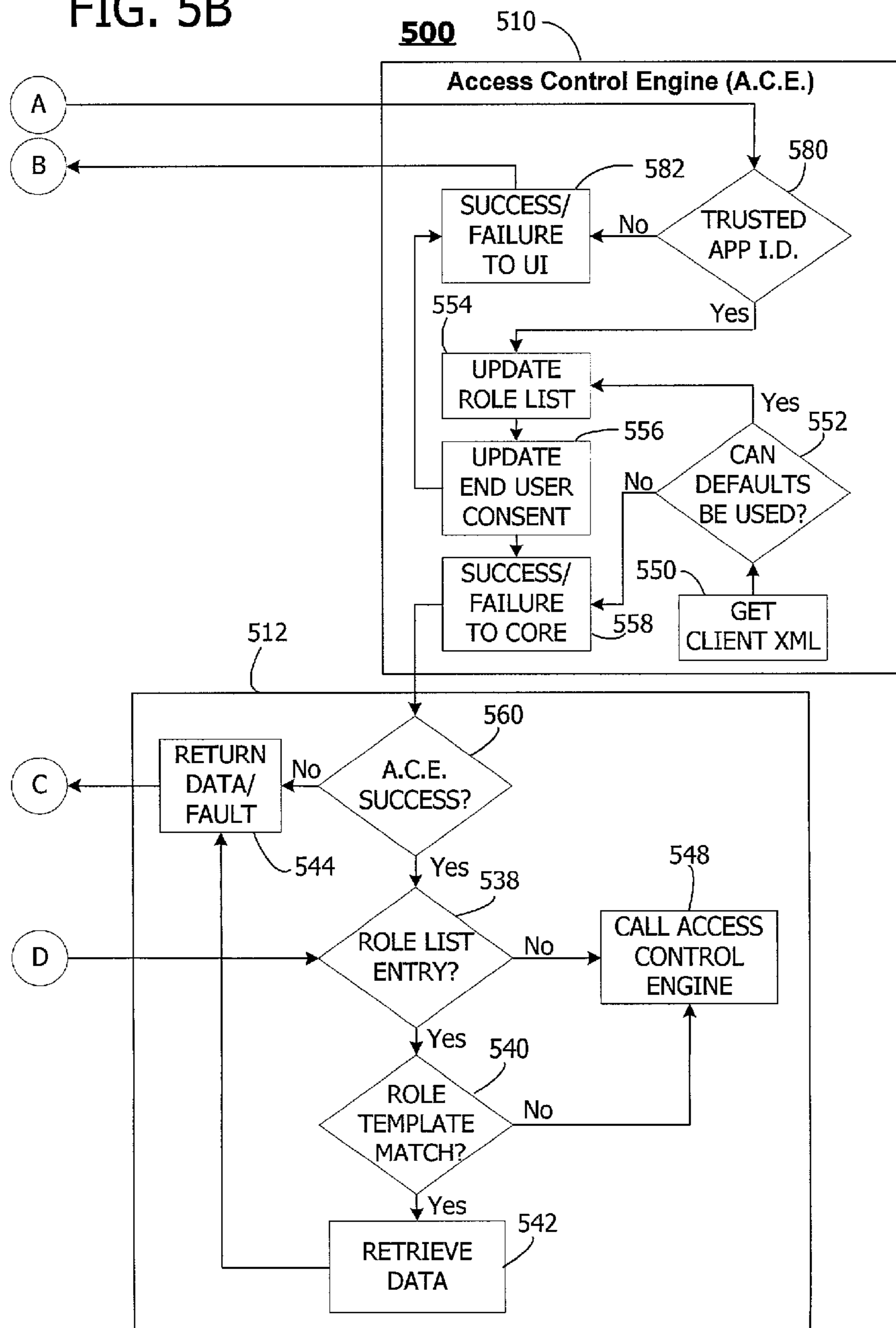
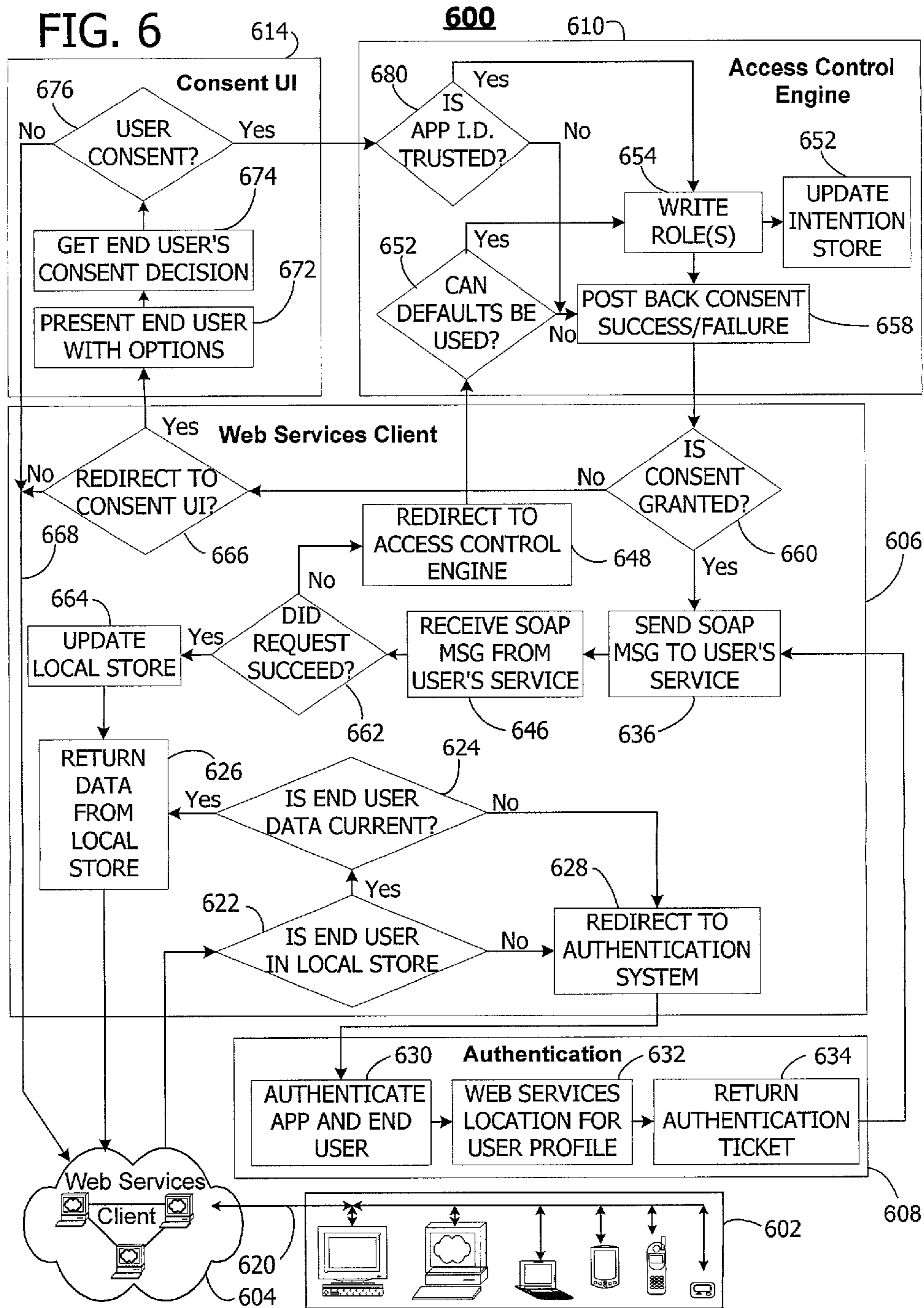
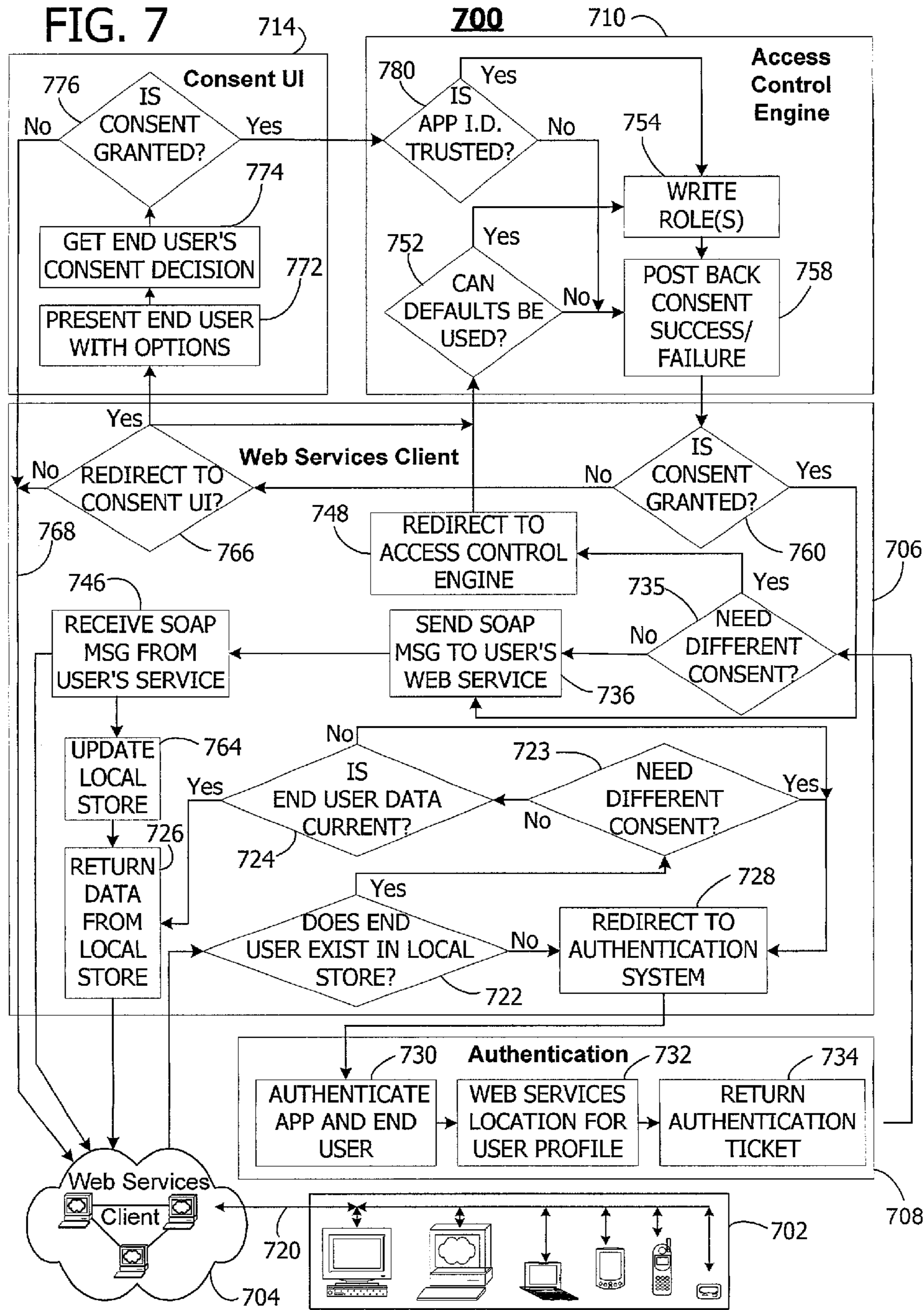


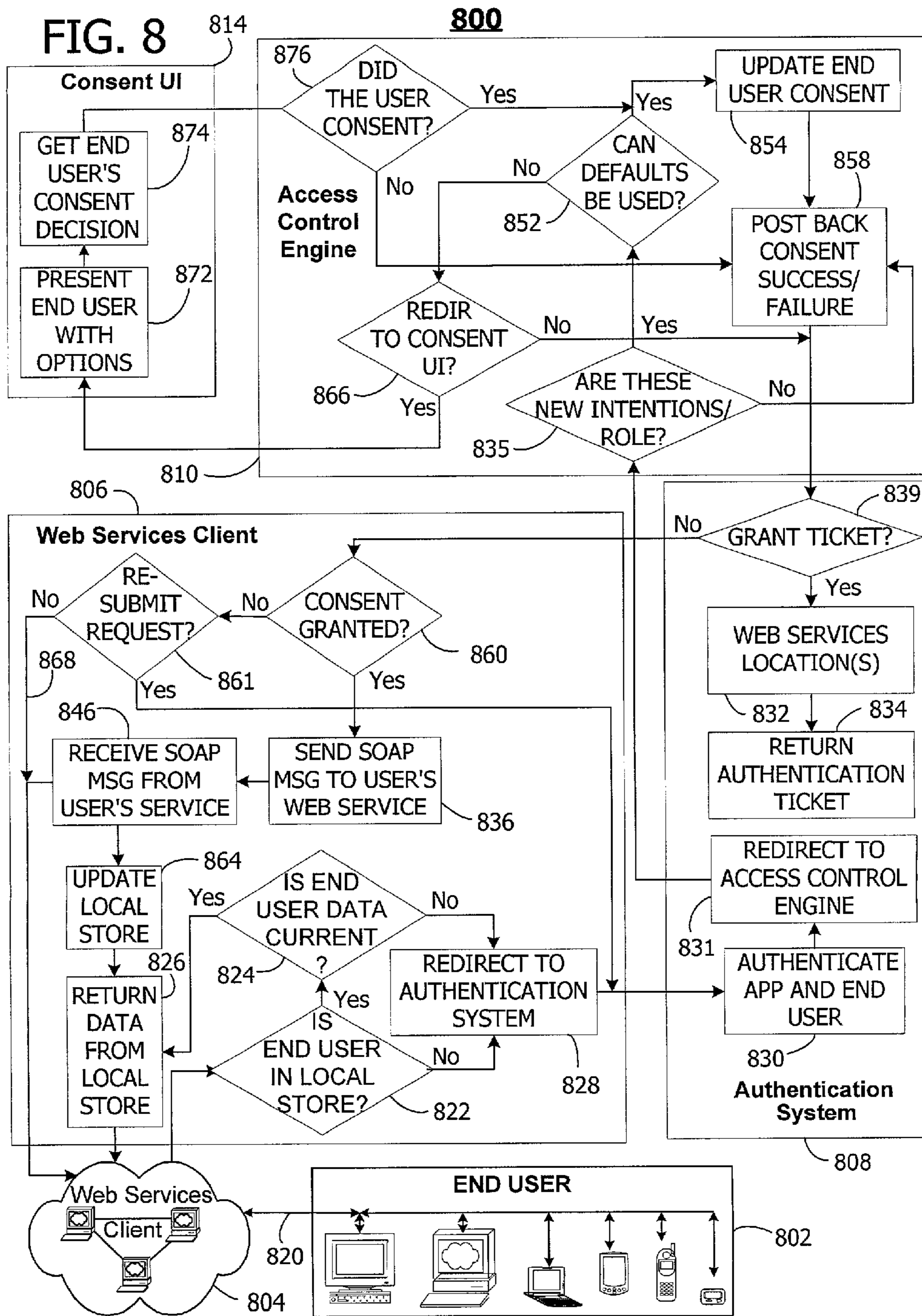
FIG. 5B













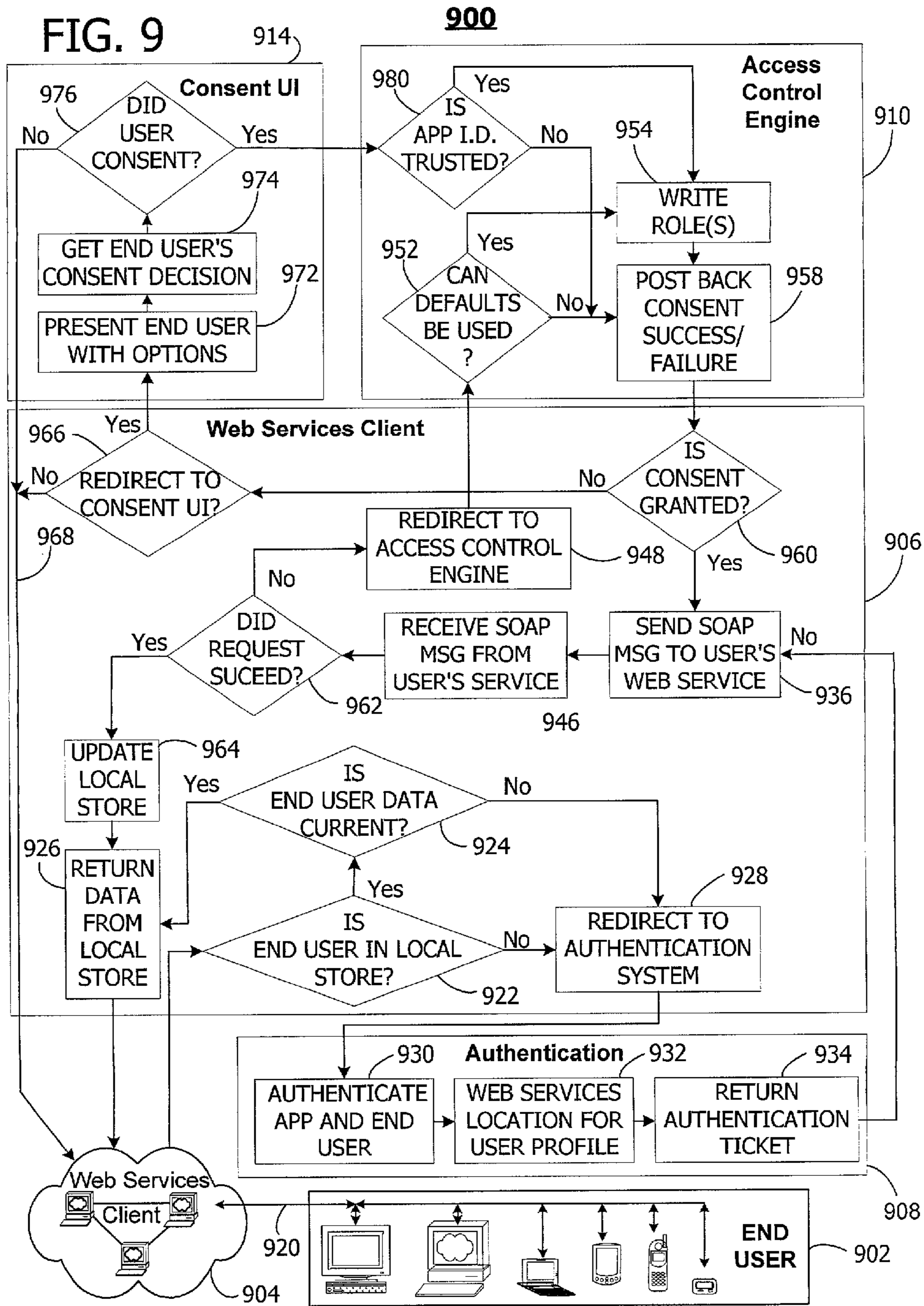


FIG. 10

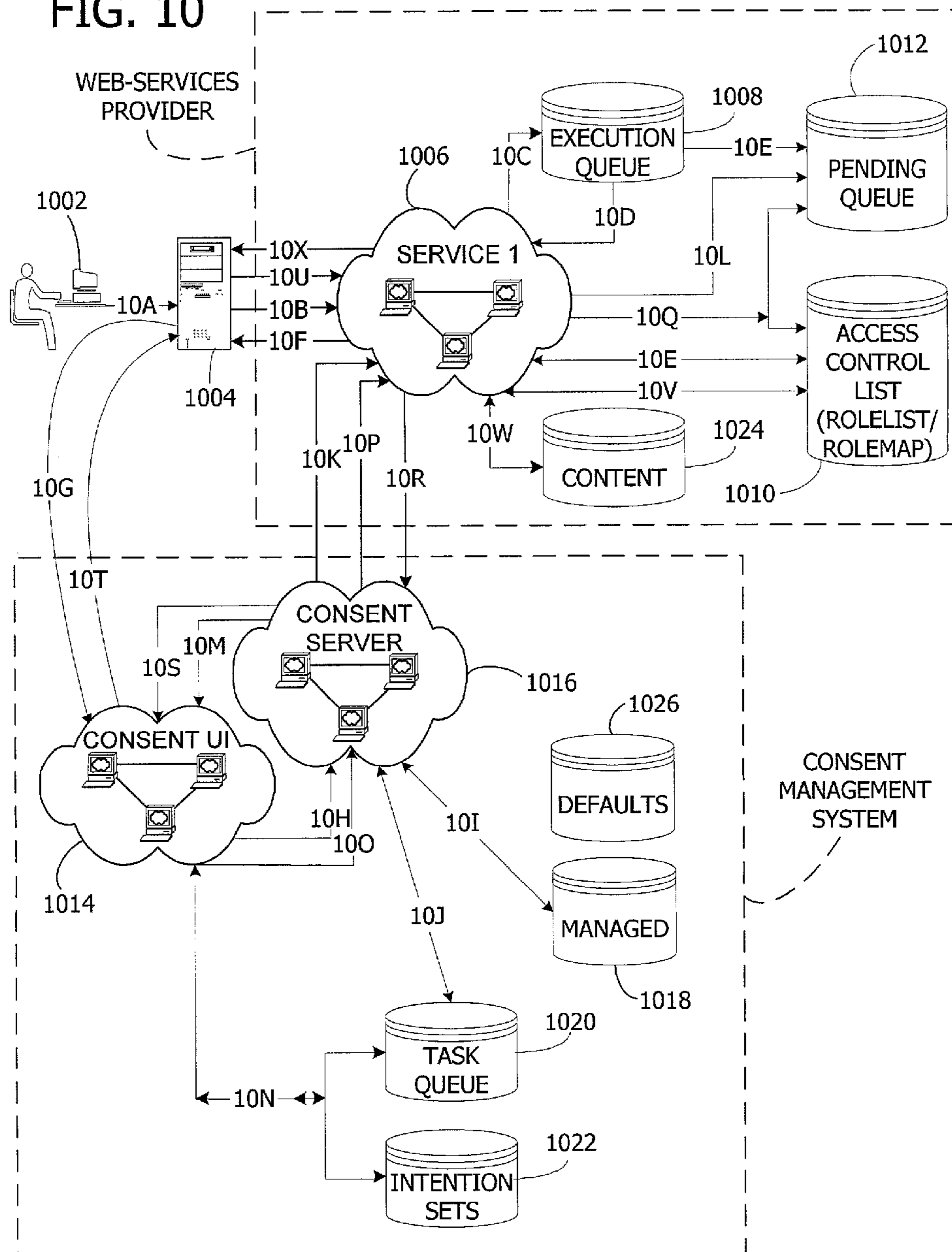




FIG. 11A

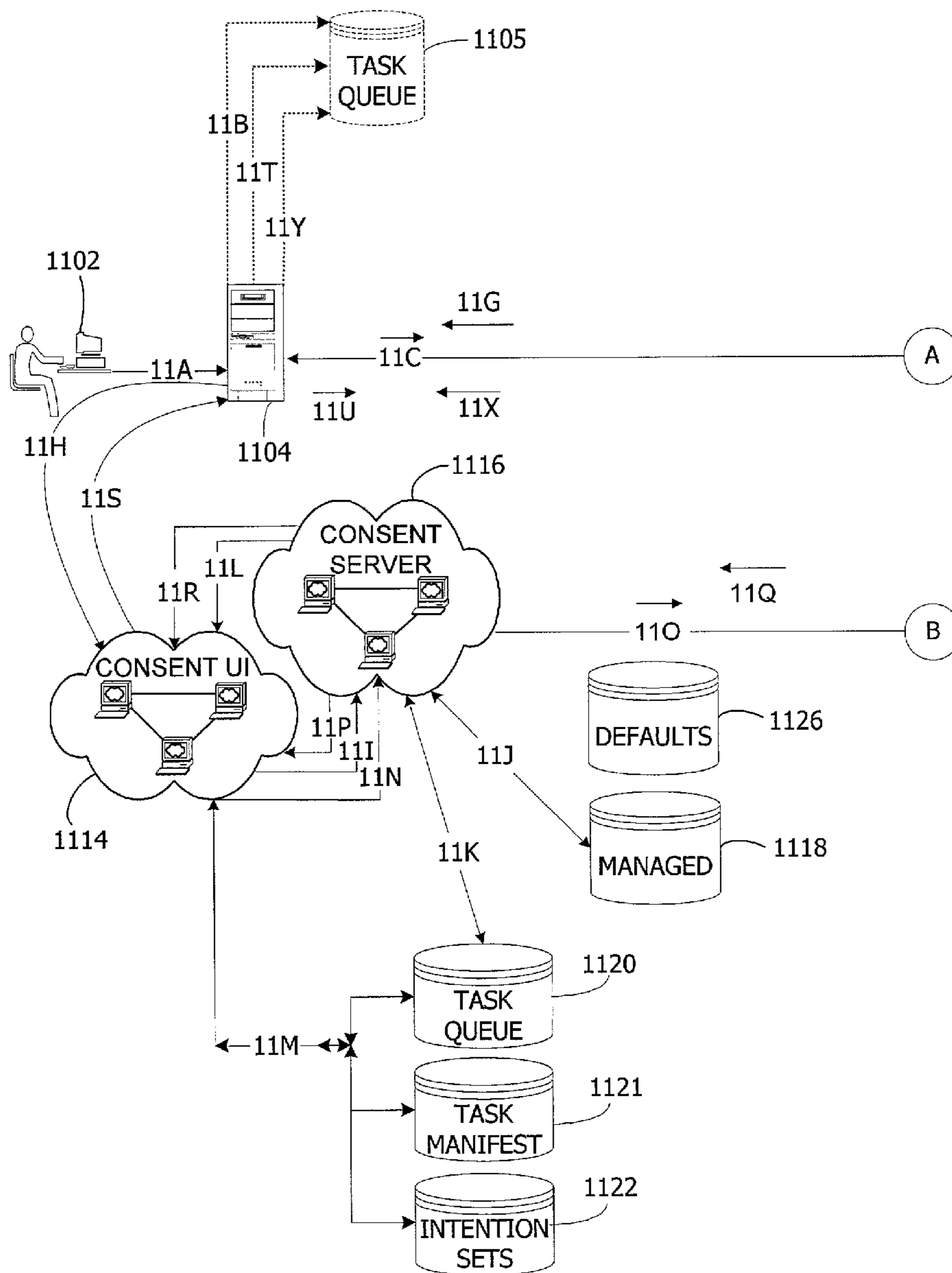


FIG. 11B

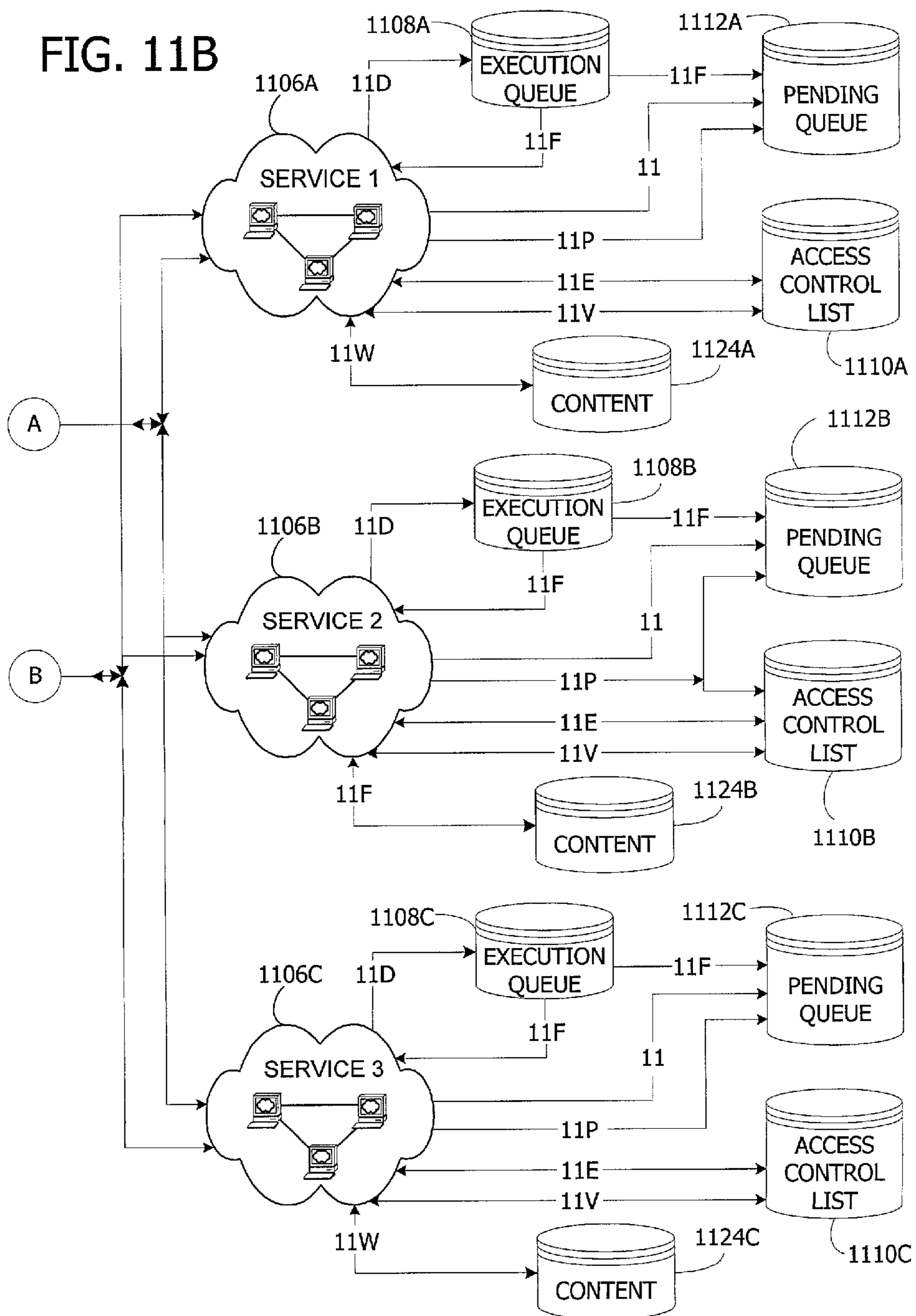


FIG. 12A

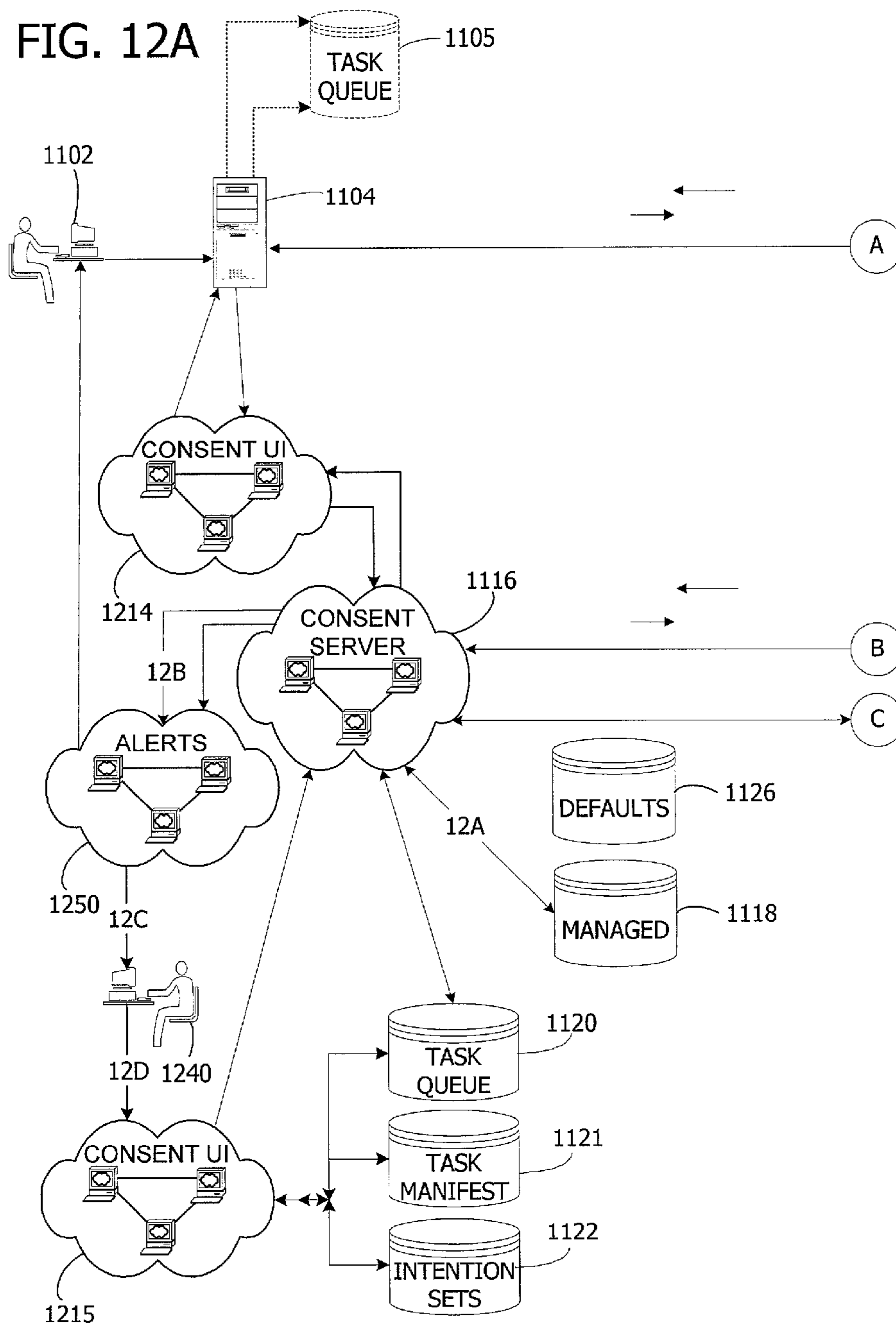


FIG. 12B

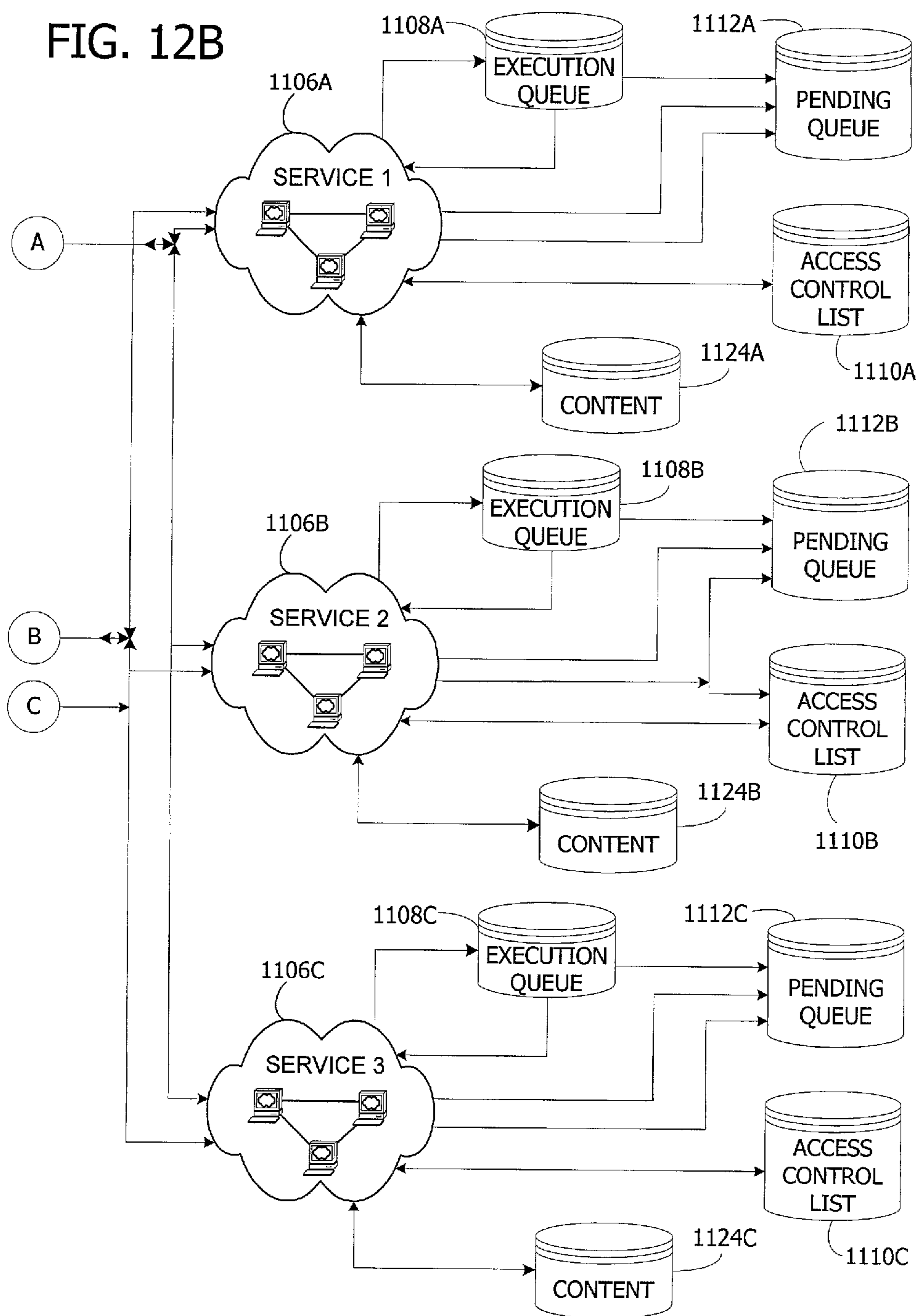


FIG. 13

1300

Web Service Consent

You are signed in as:  
**manager@isprovider.com**

XYZ Travel Services

Please select the Web Services to which you want XYZ Travel to have access

At the request of JDoe, we have been tasked to plan a vacation for you and Jdoe.

**Personal Profile Service**  
XYZ Travel -  
- Has read-only permission  
- Has permission to share your identity with third parties as necessary to complete the transaction or provide the service you requested

**Calendar Service**  
 Approve Only (one time only)  
 Allow Access  
XYZ Travel -  
- Requests read permission to access your calendar to determine your availability  
- Requests write permission to update your calendar when an itinerary has been finalized.

**Preferences Service**  
XYZ Travel -  
- Has read-only permission to access your preferences to determine your preferred airline and travel preferences

OK CANCEL

Collapse details by defaults

OWNER / MANAGER

CLIENT

USER WHO INITIATED TASK



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## USER-CENTRIC CONSENT MANAGEMENT SYSTEM AND METHOD

### TECHNICAL FIELD

The present invention relates to the field of controlling access to information associated with a web-services user. In particular, this invention relates to a system and method for managing consent transactions in a network computing environment.

### BACKGROUND OF THE INVENTION

Users of wide-area networks, such as the Internet, often express concerns regarding the privacy of user-specific information, such as personal information. Such privacy concerns are not only important to users, they are also important to businesses and other organizations that seek to employ the Internet and World Wide Web for business purposes, public purposes, governmental purposes, and so on. The World Wide Web Consortium (W3C) has proposed a method that allows users to control more of their personal information. This method is referred to as the Platform for Privacy Preferences Project (P3P). In general, P3P attempts to provide a standardized format for expressing the privacy policies associated with a web site. The goal of P3P is to enable users to be able to access, read, and interpret such privacy policies. Another goal is to increase user confidence by allowing users to determine whether a web site's privacy policies are consistent with the user's own preferences with regard to sharing their personal information. With P3P, web site privacy policies can be parsed (e.g., by a P3P compatible web browser) and compared to the user's preferences.

With P3P, web sites provide their privacy policies in a standardized format. The general format chosen for P3P uses the Extensible Markup Language (XML) standard. In general, XML provides a method for assembling structured data in a text file format. XML was developed to facilitate an easy transfer of data across the World Wide Web. XML has been a W3C standard since 1998 and is generally known in the art. In the context of P3P, W3C has also proposed an XML-based language that is referred to as AP3P Preference Exchange Language or APPEL. APPEL is intended to allow users to identify their policy preferences. These policy preferences can thereafter be used by a user-agent to automate decisions regarding privacy issues. Details regarding APPEL version 1.0 are available from the W3C in a document entitled "AP3P Preference Language 1.0 (APPEL 1.0), W3C Working Draft 26 Feb. 2001." One of the problems with APPEL, as presently understood, is that it is not easily used or understood by end users.

Another, generally unrelated area presently being developed by the assignee of the present invention involves the provision of web-services, sometimes referred to as software services or XML services. Web-services involve a new, user-centric computing model. More particularly, web-services connect Internet applications, devices, and services in a way that effectively provides a personal network that works on behalf of a web-services user. Such web-services include, for example, personal profiles, electronic calendars and contact lists, electronic in-boxes, electronic wallets, preferred application settings, electronic photo albums, news and sports categories, financial information, and so on. As can be appreciated, such web-services will typically gather and store user-specific personal information. It is expected that web-services users will prefer to maintain as

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much control as possible over who accesses such information, for what purposes, and using which methods.

In view of the foregoing, there is a need for a user-centric system and method for controlling access user-specific information maintained in connection with a service provided by a web-services provider.

### SUMMARY OF THE INVENTION

The invention generally relates to methods and systems for allowing a web-services user to control access to user-specific information stored in association with a software service offered by a web-services provider. The party requesting access to the user-specific information may be referred to as a client or client system. One or more access control lists are preferably associated with the user-specific information. The access control lists preferably identify, for example, which clients have access to the user-specific information, for what purposes, and by what methods of access. Further, each user preferably identifies a set of default access preferences.

With the present invention, if a client requests access to user-specific information and the access control list does not authorize the access request, a consent management system may be invoked. The consent management system attempts to obtain consent from a party having authority to grant access to the user-specific information. In one aspect, the consent management system provides a task-based capability. With this capability, the consent management system coordinates complex tasks that require the client to access user-specific information maintained in multiple web-services services.

In accordance with one aspect, the invention relates to a method of managing access by a client to user-specific information maintained in connection with a plurality of services offered by a web-services provider and used by a user of the plurality of services. The method comprises maintaining a plurality of items of user-specific information in more than one of the plurality of services. A plurality of client access requests are obtained that are directed to accessing the plurality of items of user-specific information maintained in the more than one of the plurality of services. A consent management system is invoked if the client lacks consent to access one of the plurality of items of user-specific information required by the client to complete the task request. The consent management system selectively obtains consent for the client to access the one of the plurality of items of user-specific information for which the client lacked consent to access. The plurality of client access requests are filled if the client has permission to access each of the plurality of items of user-specific information in the more than one of the plurality of services.

In accordance with another aspect, the invention relates to a task-based method of managing consent transactions in a network computing environment. The network computing environment includes a web-services provider that provides a first service and a second service. A user uses the first service and the second service. The network computing environment also includes a client of the web-services provider. The method comprises maintaining a first data store of user-specific information in connection with the first service, and maintaining a second data store of user-specific information in connection with the second service. A first access request from the client and directed to the first service is obtained. The first access request indicates a first item of user-specific information maintained in the first data store to which the client seeks access in order to complete a task



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request. A second access request from the client and directed to the second service is obtained. The second access request indicates a second item of user-specific information maintained in the second data store to which the client seeks access in order to complete the task request. It is determined if the client has consent to access the first item of user-specific information and the second item of user-specific information. A consent management system is invoked if it is determined that consent does not currently exist to allow the client to access the first item of user-specific information. The consent management system conducts a consent management transaction. The consent management transaction comprises identifying a party with authority to grant consent to the client to access the first item of user-specific information, and displaying a consent menu to the identified party with authority. The consent menu prompts the identified party to grant or deny consent to the client to access the first item of user-specific information.

In accordance with yet another aspect, the invention relates to a method of managing consent transactions in a network computing environment. The network computing environment includes a web-services provider for providing a plurality of services and a user of the plurality of services. The web-services provider maintains user-specific information associated with the user in connection with the plurality of services. The environment includes a client of the web-services provider. And wherein the user initiates a task request with the client and the client directs a plurality of access requests to the plurality of services in order to complete the task request. The method comprises invoking a consent management process if the client lacks a consent required to complete one of the plurality of access requests. The consent management process includes identifying a party with authority to grant consent to allow the client to complete the one of the plurality of access requests for which the client lacks consent. The consent management process also includes initiating a consent request transaction with the identified party with authority to grant consent, said consent request transaction inviting the party with authority to grant consent to allow the client to complete the one of the plurality of access requests.

In accordance with still another aspect, the invention relates to a system for controlling access to user-specific information in a network computing environment. The system comprises a web-services provider for providing a service and a user of the service. The web-services provider maintains an item of user-specific information associated with the user in a data store associated with the service. A client of the web-services provider operatively communicates with the user and seeks access to the item of user-specific information. An access control list is associated with the item of user-specific information. The access control list indicates whether consent exists to allow the client to access the item of user-specific information. A consent management system controls an update of the access control list. The consent management system initiates a consent transaction with a party having authority to grant consent to update the access control list when the access control list indicates that consent does not exist to allow the client to access the item of user-specific information.

In accordance with another aspect, the invention relates to a system for controlling access to user-specific information in a network computing environment. The system comprises a user transmitting a task request. A web-services provider provides a first service and a second service and maintains a first of item of user-specific information associated with the user in connection with the first service and a second

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item of user-specific information associated with the user in connection with the second service. The first and second services require consent before allowing access to the first and second items of user-specific information. A client in digital communication with the user and receives the task request. The client translates the task request into a first access request and a second access request. The first access request is directed to the first service and seeks access to the first item of user-specific information. The second access request is directed to the second service and seeks access to the second item of user-specific information. A consent management system is selectively invoked by the client if the client lacks consent to access the first item of user-specific information. The consent management system identifies a party with authority to grant consent to the client to access the first item of user-specific information and initiates a consent request transaction with the party with authority to grant consent to the client to access the first item of user-specific information. The consent request transaction invites the party with authority to grant consent to allow the client to access the first item of user-specific information.

In accordance with still another aspect, the invention relates to a method of controlling access to user-specific information for use in connection with a network computing environment including a web-services provider, a user of a service provided by the web-services provider, and a client of the web-services provider. The web-services provider maintains a data store of user-specific information associated with the user in connection with the service. The client seeks access to an item of user-specific information in the data store and transmits an access request message directed to the service that indicates the item of user-specific information in the data store to which the client seeks access. The method comprises comparing the access request message to an access control list associated with the service. The access control list identifies whether the client has permission to access the item of user-specific information. The access request is placed in a pending request queue. A service response message is transmitted to the client. The service response message indicates a fault if the access control list identifies that the client does not have permission to access the item of user-specific information. The service response message indicates a success if the access control list identifies that the client has permission to access the item of user-specific information. A consent management system is invoked if the service response message received by the client indicates a fault. The access request is filled if the access control list authorizes the client to access the item of user-specific information in the data store and removing the access request from the pending request queue.

Alternatively, the invention may comprise various other methods and systems.

Other features will be in part apparent and in part pointed out hereinafter.

#### BRIEF DESCRIPTION OF THE DRAWINGS

FIG. 1 is a simplified flowchart illustrating aspects of one embodiment of a user-centric method of controlling access to user-specific information in a network computing environment.

FIG. 2 is a block diagram of one embodiment of a network computing environment including a user-centric method and system for controlling access to user-specific information.

FIG. 3 is one embodiment of an exemplary consent menu for use connection with a user-centric method and system for controlling access to user-specific information.



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FIG. 4 is a functional schematic that illustrates aspects of one embodiment of an access control engine suitable for use in connection with a user-centric method and system for controlling access to user-specific information in a network computing environment.

FIGS. 5A and 5B illustrate in flow diagram form aspects of one embodiment of a method and system for allowing an end user of a web-services service to control access to user-specific information stored in connection with the service.

FIG. 6 is a flow diagram of aspects of another embodiment of a method and system for allowing an end user of a web-services service to control access to user-specific information stored in connection with the service.

FIG. 7 is a flow diagram of aspects of another embodiment of a method and system for allowing an end user of a web-services service to control access to user-specific information stored in connection with the service.

FIG. 8 is a flow diagram of aspects of another embodiment of a method and system for allowing an end user of a web-services service to control access to user-specific information stored in connection with the service.

FIG. 9 is a flow diagram of aspects of another embodiment of a method and system for allowing an end user of a web-services service to control access to user-specific information stored in connection with the service.

FIG. 10 is a flow diagram illustrating aspects of one embodiment of a method and system for managing a consent transaction in a network computing environment.

FIGS. 11A and 11B illustrate in flow diagram form aspects of a task-based method and system for managing a consent transaction in a network computing environment.

FIGS. 12A and 12B illustrate in flow diagram form aspects of a method and system for managing a consent transaction that involves identifying a person with authority to grant consent.

FIG. 13 is one embodiment of an exemplary consent menu for use connection with the methods and systems illustrated in FIGS. 10–12.

Corresponding reference characters indicate corresponding parts throughout the drawings.

## DETAILED DESCRIPTION OF THE INVENTION

### General Overview

The present disclosure generally relates to methods and systems for allowing a web-services end user to control access permissions associated with user-specific information stored in association with one or more services offered by a web-services provider. In this regard, user-specific information includes, for example, personal information, preferences, data and media content maintained on behalf of the user, lists, and the like. It should be appreciated, however, that user-specific information is not necessarily personal or directly related to the user. It is information that is stored on behalf of the user in association with a web-services service. Access control may be referred to as “authorization.” In general, authorization involves privacy and consent. Privacy refers to the ability of a web-services user to control access to that user’s user-specific information. The user preferably controls, for example, who can access such information, by what methods, during what times, for what purposes, and so on. Consent may be generally viewed as the process by which a user determines how much privacy to retain or

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surrender. Consent also reflects the permissions granted by the user that allow sharing of user-specific information.

By way of example, an end user may be an individual, a community (e.g., a family or a club), or an organization (e.g., a company or a church) whose user-specific information is stored in a data store associated with a web-services service. Web-services generally refers to a provider of a variety of software-related services, typically (but not necessarily) accessed over a wide area network such as the Internet/World Wide Web. Such services may include, for example, a demographic profile of the end user, a financial profile of the end user, an electronic in-box, an investment portfolio, a photo album, a travel profile, a media database (e.g., movies, music, television, and the like), grocery lists, sports information (e.g., the system may track information regarding the end user’s favorite teams), news information tailored to the end user’s interests, and the like. An end user may interact with his/her services directly or through a web-services client.

In some cases, the end user may not own or control access to the user-specific information associated with that end user. For example, a user may be managed. A manager of such a managed user controls access to the managed user’s user-specific information. Such managed users may include, for instance, children under a particular age. As another example, the end user may be an employee whose employer manages access to user-specific information associated with the employee.

In general, a web-services client may include a program or device that has a need (e.g., due to a request by the end user) to access user-specific information stored in association with one or more of the end user’s web-services services. For example, a web-services client may be an application from a different end user that seeks user-specific information (e.g., calendar information). A web-services client may be a gateway or a website that seeks user-specific information regarding the end user to allow the client to complete a desired task (e.g., to present a targeted advertisement, to complete an on-line sale, and so on). A web-services client could also be an application program that requires access to the end user’s information in order to complete a program task (e.g., access address information to complete an electronic message or access calendar information to complete a “to do” list). A web-services client could also be device-oriented. For example, a web-services client could be a digital paging service that sends market, sports, or other time sensitive information for display on a user’s pager, digital telephone, personal digital assistant, or the like.

A web-services client’s intended use of the user-specific information can be broadly characterized as being a primary use or a secondary use. Primary uses are those related to completing a requested or desired end-user task such as customizing the news (e.g., sports or financial) presented to the user. Secondary uses are those relating to completing a client-desired task such as presenting targeted advertisements on behalf of the client or others.

In one embodiment of a system and method for controlling access to user-specific information, the end user defines a default set of access rules/preferences regarding his/her web-services user-specific information. Such default set identifies the user’s predetermined access permissions. For example, the end user may create a default set via a user interface that directs the user to select among specific options. In the alternative, or in addition, the end user may use and/or edit predefined default access settings.



In such an embodiment, when the user has not already granted access to the client, an access control engine preferably refers to the user's default settings to determine whether a particular web-services client is authorized to access the end user's user-specific information. For example, the access control engine compares the client's intentions and preferred method of access against the user's default settings. In this regard, intentions generally refer to the client's purposes for requesting the information (e.g., why the information is needed and how the information will be used) and are associated with the type of information requested. If the user's default settings permit that client to access the requested information based on the client's intentions and the identified method, the access control engine writes appropriate rules to access control lists associated with the particular services affected and fills the client's request. This may be referred to as a "silent negotiation" because the end user is not interrupted or further prompted for consent because that user has already authorized the particular request (via default preference settings).

If a client request does not fall within the bounds of the end users' default settings, the end user is preferably presented with an opportunity to "renegotiate" the client's access request. In one embodiment, for example, a consent user interface ("consent UI") is displayed to the end user that identifies any or all of the following: (1) the requesting party (the client); (2) the information requested; (3) how the information will be used; (4) the method by which the information is to be accessed (e.g., read or write); (5) why the client wants the information; and (6) what the end user stands to gain by granting access (sometimes referred to herein as a value proposition). Based on the information displayed, the user can either authorize or deny the access request.

Preferably, each access request message submitted by a client is composed and transmitted using the Simple Object Access Protocol (SOAP). SOAP is an XML schema for sending messages. SOAP messages are typically carried in the body of an HTTP Post. SOAP schema generally adheres to the following format:

```
<Envelope>
<Header>
  {any}—any well formed XML Headers
</Header>
<Body>
  {any}—any well formed XML Body Content
</Body>
</Envelope>
```

Each success or failure message returned to the client in response to such request message is also composed and transmitted in an XML compatible SOAP format.

In another embodiment, a consent system provides a centralized system and method for managing consent transactions.

The foregoing overview is intended to provide a general context and is not intended to limit the claims in any way.

#### DETAILED DISCUSSION OF EXEMPLARY FIGURES AND PREFERRED EMBODIMENTS

FIG. 1 is a simplified flowchart illustrating a user-centric method of controlling access to user-specific information in a network computing environment. In particular, the flowchart relates to a network computing environment 100 in which a web-services provider stores user-specific information in a data store 102. In this context, a third party (referred

to in FIG. 1 as a web-services client) desires access to some or all of the stored information 102. For example, the client may be an Internet web site or portal that wishes to present a targeted advertisement to the user. In order to select an appropriate advertisement, the client wants access to demographic information regarding the user. Such demographic information is among the stored user-specific information 102.

As illustrated FIG. 1, the web-services client submits an access request message 104 requesting access to stored information 102. In one embodiment, the access request message 104 preferably includes one or more parameters that identify a digital identifier of the client, a desired subject matter (e.g., which service and what user-specific information), the desired method of access, and/or the client's reason(s) for requesting access to the information. As discussed above, access request message 104 preferably comprises a SOAP message.

For purposes of illustration, it will be assumed that the particular web-services service at which the desired information is stored receives and parses access request message 104. It should be understood, however, that there are many ways of implementing the many aspects of the inventive system and method illustrated herein. Upon receipt of access request message 104, the service compares the access request to an access control list and determines whether to grant or deny the client's request. More particularly, in the embodiment of FIG. 1, the service determines the method of access requested by the client (block 108). A set of predetermined access methods are preferably identified by one or more templates. Such templates are sometimes referred to herein as roleTemplates. One possible method template allows the client to read certain user-specific information but not to delete or write such information. This template might be appropriate when a client desires access to a user's demographic information. Another method template might allow the client to read existing information and to write new information, but not to delete or overwrite existing information. Such a template might be appropriate if the client desires access to a user's calendar or business schedule. Other possible method templates include, for example a template that allows the client to read and overwrite any user-specific information.

After determining the client's desired method of access, the service also determines whether the type of user-specific information requested is stored in that service. This is found in a service-wide structure (referred to in FIG. 1 as a roleMap 110) that defines the type of user-specific information stored in connection with that service. The roleMap 110 also identifies any service-wide access limitations (e.g., user-independent access restrictions).

It should be appreciated that the type of user-specific information stored in a web-services service can be categorized in various ways. For example, user-specific information may be categorized relatively narrowly, on a per service basis. Such a narrow organization might identify particular information and data types such as, user name, user age, user address, credit card number, and the like. User-specific information can also be categorized at a relatively broader level. For example, user-specific information might be identified as public, private, confidential, top secret, and so on.

The service preferably first determines whether the user has already permitted the client to access the requested user-specific information, using the desired method. These existing permissions are maintained in an access control list (referred to in FIG. 1 as a roleList 112). As illustrated in system 100, the access control list comprises a per user, per



service document. In one form, the access control list identifies the user (e.g., a user ID) and the roles allowed for that service. In this context, a role preferably identifies which clients have access to the user-specific information (e.g., a client ID), and which methods each client is allowed to employ (e.g., which roleTemplate). In other words, each access control list preferably includes a plurality of role entries. Each role entry identifies who is allowed to access the user-specific information, the particular information they are permitted to access, and the method of access permitted. For example, a role entry might indicate that a particular client ID is allowed to have read-only access to all public information in the data store. As another example, a role might identify that a particular user is given read-only access to any user-specific information if that user is using a particular application.

A role may also include one or more wildcards. For example, a role could identify that any non-specified user is given read-only access to any user-specific information if that user is using a particular application.

Referring still to FIG. 1, assuming that the client has an entry in the access control list, at block 114, the service determines if the access control list and roleMap authorize client's requested method of access. If not, a fault message 116 is returned to the client. If the requested method is authorized, the service computes the allowed shape of access (block 118). As used in connection with FIG. 1, the term shape is analogous to a filter—the service computes what information is accessible and how to access such information. Once the service computes the allowed shape, it accesses the information (block 120) in the data store 102 (e.g., reads the information, or writes the information, and so on) and returns a response 122 to the client. For example, if the client submitted a query request, the response 122 would return the requested user-specific information. If the client submitted a write request, response 122 indicates that the information has been written.

FIG. 2 is a block diagram of one embodiment of a network-computing environment 200, illustrating certain aspects of the invention. In particular, FIG. 2 illustrates aspects of one configuration of a system that allows an end user to control access to user-specific information in a data store maintained in connection with a web-services service. As illustrated therein, the network computing environment 200 may be functionally and structurally viewed as comprising three layers. One layer relates to an end user 202 (sometimes referred to herein as a web-services subscriber). The end user 202 accesses network-computing environment 200 by any of a number of network-compatible communication devices including, for example, desktop or portable computers, personal digital assistants, Internet appliances, mobile telephones and pagers having network communication capabilities, and so on. The network-compatible communication devices in the foregoing exemplary list are generally well-known in the art. It is expected, however, that end user 202 may also communicate in the network-computing environment with equipment heretofore unknown to the art.

A second layer generally relates to a web-services provider system 204. The web-services provider system 204 stores user-specific information associated with end user 202. For example, a first service may generally be referred to as a demographic service 206. Such demographic service 206 may include a data store 208 storing the end user's demographic information (e.g., age, gender, occupation, marital status, and the like). An access control list 210 is associated with the data store of demographic information

208. In a sense, the access control list 210 provides a gatekeeper function—it limits access to data store of demographic information 208 to specific parties and specific methods.

The web-services provider system 204 preferably provides a plurality of software-related services. FIG. 2 illustrates two services (demographic service 206 and financial service 212) for convenience; many other services are possible.

In the exemplary embodiment of FIG. 2, web-services provider system 204 also comprises an access control engine 232, a data store of default access preferences 234 associated with end user 202, and a consent user interface 236 (also referred to as a consent engine). The data store of default access preferences 234 identifies the end user's default access control instructions. In one embodiment, data store 234 defines the minimum attributes required of any client request to access any user-specific information. For example, a user's default preferences might allow read-only access to any user-specific information identified as public information for any client whose purpose is to post a targeted advertisement.

A third layer of network computing environment 200 relates to a client 220 (also referred to as a web-services client or a client system) that desires access to some of the user-specific information stored by the web-services provider. The term client is used broadly in this context. For example, the client system 220 can be an application (such as Microsoft "OFFICE" or "WORD"), a particular Internet destination such as MSN.COM ("MSN" is a trademark of the assignee), another end user of web-services provider 204, and so on. For convenience, FIG. 2 illustrates client system 220 as comprising a plurality of servers.

In general, when client system 220 seeks access to user-specific information, it sends an access request message to web-services provider system 204. For example, end user 202 may be visiting a web site associated with client system 220. Client system 220 may desire access to specific demographic information 208 regarding end user 202 to direct a targeted advertisement to user 202. As shown schematically by line 230 in FIG. 2, client system 220 sends an access request. The access request is operatively received by the access control engine 232 associated with web-services provider system 204. Access control engine 232 is illustrated and described in FIG. 2 as being an integral component of web-services provider system 204. It is to be understood, however, that the particular arrangement of FIG. 2 is provided to illustrate one possible embodiment. For example, access control engine 232 could be provided as part of a separate authorization service that provides access control services to a plurality of web-services providers.

The access control engine 232 preferably compares the obtained client system request with the end user's default access preferences 234. If an overlap exists, access is permitted. In other words, if the user's default access preferences 234 permit the type of access requested, access is authorized. Preferably, access control engine 232 determines whether to grant or deny access based on the end user default preferences 234, without interrupting the end user's task flow. This is referred to herein as a "silent negotiation."

If the user's default preferences permit access, access control engine 232 writes an appropriate access rule (see line 240) to the access control list (e.g., access control list 210) associated with the requested user-specific information. The access rule defines, for example, who may access the user-specific information, what type(s) of information may be accessed, the methods by which such access may be had,



and/or a time limit on the access. Based on the request and the access rule, web-services client system **220** is permitted to access the user-specific information (symbolically reflected by lines **242**). Note also that client system **220** preferably does not enjoy direct access privileges. Rather, access is made indirectly, via the web-services system. For example, each affected service (e.g., demographic service **206**) completes the authorized access request for client system **220** and returns an appropriate response.

If access is denied—because end user default preferences **234** do not permit the access requested—client system **220** may seek to “renegotiate” the desired level of access. One way to renegotiate an access request involves directly presenting a request to end user **202**. Although there are many possible ways to control and manage this option, the embodiment illustrated in FIG. **2** illustrates the following possibilities: (1) having web-services client system **220** send a request to access control engine **232** to invoke the consent engine **236** (via line **230**); or (2) having web-services client system **220** directly call consent engine **236** (line **250**).

According to one way of implementing the first option, client system **220** sends an appropriate message to web-services provider system **204** (preferably to access control engine **232**) requesting that a consent menu be presented to end user **202**. An exemplary consent menu is illustrated and described herein with respect to FIG. **3**. Access control engine **232** (or other structure such as a consent engine associated with consent user interface **236**) generates a list of options to present to user **202** in a consent menu format on a display associated with the end user’s network communication device. In general, the consent options are determined from the client’s access request. In one embodiment, the consent options are based on an “intention statement” (not shown in FIG. **2**) associated with web-services client system **220**. Preferably, the intention statement identifies the reason why web-services client system **220** desires access (e.g., to complete an on-line sale). The consent options also identify the type of information desired (e.g., demographic information **206**), and/or the intended method of access (e.g., read only, read/write, and the like).

Thereafter, a consent menu is presented to user **202**. The consent menu prompts user **202** to authorize or deny the client’s access request. If user **202** authorizes the request, access control engine **232** writes an appropriate access rule (line **240**) to the access control list associated with the particular user-specific information requested (e.g., access control list **210**). If user **202** denies the request, access control engine **232** sends a message to web-services client system **220** denying the requested access.

As indicated above, in another embodiment, web-services client system **220** directly calls the consent user interface. This is illustrated in FIG. **2** by dashed line **250**. This approach may be preferred because it would normally lead to a more consistent presentation to user **202**, thereby increasing user confidence and acceptance of the consent system.

Based on the result of the renegotiation process, client system **220** is either permitted to access the desired information or prevented from accessing the desired information. In one embodiment, once client system **220** has failed to obtain access via silent negotiation or renegotiation, it is not permitted to request access again until other criteria have been met. For example, a time limit may be imposed.

A specific example is helpful to further identify several aspects of the invention that are illustrated in FIG. **2**. In this example, an individual end user **202** accesses an auto repair shop’s web site to check on the status of a repair. The repair

shop’s computer system is a web-services client **220**. The repair shop system is configured such that it attempts to automatically update its customer service database to include the user’s most recent personal information. In this example, the repair shop system would also like access to the user’s financial information to allow it to bill the cost of the repair directly to the user’s credit card.

The repair shop system **220** sends a message (e.g., to the web-services provider) seeking access to the user’s demographic and financial information. The message includes an intention statement and indicates that: (1) the shop needs the identified user-specific information to complete the repair process; (2) it wants read only access to the user’s demographic information; (3) it wants permission to share this demographic information with third parties if necessary to complete the car repair; (4) it wants to be able to access this information in the future to keep its database updated; (5) it wants read only access to the user’s credit card information in the user’s financial information; and (6) it will use the credit card information only to complete the present transaction and will not share it with third parties. It should be understood that the foregoing stated intentions are exemplary and that additional, fewer, and/or other intentions are contemplated within the scope of the present invention.

The access control engine **232** compares these stated intentions with the user’s default access preferences **234**. If the stated intentions are allowed by default access preferences **234**, access control engine **232** automatically writes appropriate access rules to the access control lists **210**, **216** associated with the user’s demographic and financial information, and fills the repair shop’s access request (line **242**). This is an example of a “silent negotiation.” Note that, although user **202** was not interrupted to complete this particular negotiation, the negotiation was completed only because the user’s default preferences authorized the repair shop’s requested level of access.

On the other hand, if the user’s default preferences do not permit the repair shop to have the desired access, access control engine **232** returns an access denied message to the repair shop system. At this point, the repair shop system can choose to abandon its request or request that user **202** be presented with a consent menu in an attempt to renegotiate access. Based on the content of the intention statement, access control engine **232** (or a consent engine **236**) compiles consent information to displayed to user **202**. Preferably, such consent information is displayed in the form of an appropriate selection menu that prompts user **202** to accept or deny the access request. If user **202** grants the request, access control engine **232** writes appropriate access rules (line **240**) to access control lists **210**, **216**, and the repair shop system is allowed to receive the desired user-specific information. If user **202** denies access, the repair shop is denied access.

It should be appreciated that a partial grant of access is also possible and contemplated within the scope of the present invention. In the foregoing example, user **202** might grant access to personal demographic information **208** but not to financial information **214**. In such case, appropriate access rules are written and repair shop system must decide whether it wishes to proceed or not. It should likewise be appreciated that the foregoing example is provided for illustrative purposes only. The present invention may be practiced in a wide variety of circumstances and is not limited to the examples described herein.

In summary, and referring still to FIG. **2**, network computing environment **200** comprises web-services provider system **204** and a user **202** of a service offered by web-



services provider system **204**. Web-services provider system **204** preferably maintains one or more data stores of user-specific information (e.g., data store **208**). In the exemplary embodiment illustrated, web-services provider system **204** also maintains a data store **234** that identifies the end user's default access preferences. On occasion, client system **220** requests access to one or more data stores of user-specific information. In such case, client system **220** transmits a request message that is operatively received by web-services provider system **204**. Preferably, client system **220** indicates its intended use of the requested information if it is granted access. Access control engine **232**, which is preferably associated with web-services provider system **204**, obtains the client system's request. Access control engine **232** compares the client's intended use with the user's default access preferences to create an access control rule. For example, if the default preferences permit the intended use by the client, the created rule reflects a grant of access.

FIG. **3** illustrates an exemplary consent menu displayed to an end user of a web-services service. FIG. **3** reflects one possible consent menu that could be generated in connection with a consent user interface such as, for example, consent user interface **236** of FIG. **2**. FIG. **3** generally reflects the automobile repair shop example discussed in connection with FIG. **2**. As illustrated in FIG. **3**, a menu **300** is preferably identified as being a consent menu **302**. The menu **300** identifies the user by an identifier **304** that is easily interpreted and understood by each of the parties involved in the consent transaction (i.e., the user, the web-services provider, and the web-services client). In the example shown in FIG. **3**, the identifier **304** reflects the user's email address. It should be understood that a user can have various digital identities, any of which could be used in connection with the present invention. In a preferred embodiment, menu **300** also identifies the requesting client **306** (e.g., XYZ Auto Services).

The consent menu **300** also displays a value proposition **308** to the user. The value proposition **308** identifies why the user should consent to the client's access request. In FIG. **3**, the value proposition **308** indicates that the repair shop (XYZ Auto Services) wants to return the user's car to the user as soon as possible. Consent menu **300** also includes one or more specific consent requests **310**. These consent requests **310** preferably identify, in clear and consistent terms, the particular user-specific information to which the client desires access. In the example, the repair shop has requested access to the user's personal profile and financial information. Also, each request preferably identifies the intended method of access (e.g., read only access), whether and on what terms the client will share the user-specific information, and whether the client desires a "subscription" to the information. In this context, a subscription refers to an access right whereby the client is authorized access to information changes. By way of further example, in one form, any time user-specific information to which a subscription applies changes, the client receives an email or other digital message indicating as much. In another form, the client periodically checks for updates. In another form, each time the client seeks permission to access the user-specific information, assuming the subscription remains valid, the system provides the client with only information that has changed since the last access. Other forms of providing such a subscription capability are contemplated within the scope of the present invention.

In the example of FIG. **3**, the user consents to the access request by marking the box associated with the particular request. After all of the options have been considered, the

user accepts (OK) or terminates (CANCEL) the process to exit consent menu **300**. Upon exiting the consent menu, a selection signal is transmitted by the user's network communication device, indicating that the user has completed the selection process.

FIG. **4** is a functional schematic that illustrates aspects of one embodiment of an access control engine **402** (sometimes referred to herein as a roleList engine) suitable for use in a network computing environment such as the environment **200** illustrated and described with respect to FIG. **2** (see access control engine **232**). In particular, FIG. **4** illustrates an embodiment of an access control engine **402** that may be used to compare an access request by a client system against a set of user-approved default access preferences. As discussed above, such a capability is useful when an access control list does not currently include entries that permit the client to have the desired level of access. In other words, access control engine **402** is useful for facilitating a silent negotiation process.

In general, access control engine **402** comprises several components. For example, access control engine **402** preferably includes schema for: (1) defining the end user default rules; (2) identifying relationships between user identities (if a user has specified more than one identity); (3) managing a plurality of pending access requests; and (4) parsing the specific intentions of each requester. Preferably, such schema are included as part of a validation engine **404**.

Access control engine **402** also preferably interacts with a plurality of data stores. In the exemplary embodiment of FIG. **4**, one data store **408** stores the end user's default access preferences/rules. Recall that a similar data store is illustrated as reference character **234** in FIG. **2**. Another data store **406** stores additional default rules that may apply to groups or class of users. For example, in one embodiment, the default rules data store **406** includes rules associated with the Children's Online Privacy Protection Act of 1998 (COPPA). In general, the COPPA places limits on the ability to collect, use, or disclose personal information from children under the age of thirteen.

The access control engine **402** also comprises a policy engine **410** (also referred to as a logic engine). As will be described in greater detail below, the policy engine **410** determines whether a match exists between the client's desired level of access and the end user's default access rules. Access control engine **402** also includes one or more behaviors (not illustrated in FIG. **4**) that control when a trusted consent user interface **420** may be invoked. Exemplary access control engine behaviors are described in connection with FIGS. **5-9**. Finally, access control engine **402** preferably includes behaviors for writing an appropriate rule/role upon determining the level of access authorized by the user in an appropriate format.

Referring now to FIG. **4** in detail, a client application **420** indicates that it desires access to an end user's user-specific information by sending a digital request message **432** to access such information. More specifically, the request message (preferably a SOAP message) indicates which intentions apply to the current request and the method of access desired by the client. Access control engine **402** thereafter retrieves appropriate sections of the client's intentions document **434** (preferably in the form of an XML intentions document). By way of example, the intentions document **434** identifies the type of information desired and the client's purpose for requesting such information (i.e., how the client will use the information). At this point, therefore, access control engine **402** knows: (1) the user-specific information



the client wants to access; (2) how the client will use the information; and (3) the method by which the client desires to access the information.

Based on the client's intentions and desired method of access, the validation engine **404** determines whether sufficient access permissions have already been granted for the intentions and method requested by the client for the particular service and end user. In the system reflected in FIG. **4**, validation engine **404** compares the client's intentions and method with the user's existing permissions. If there is an exact match (i.e., the user has already permitted this client to access the requested information, for the requested purpose, by the requested method), then a success message is returned and the request is filled.

There are at least three circumstances in which validation engine **404** would fail to find a match and grant access. First, there may be no existing permission at all for the requesting client. Second, the user may have allowed some type of access for the particular client application **432**, but the present intentions are not the same as those previously agreed upon. Finally, the method of access requested may exceed that which is presently allowed. If any of these failures occur, the policy engine **410** is invoked in an effort to determine if the user's default rule set permits the client to have the desired access. For example, the user's default rule set **408** may authorize any client to have read-only privileges to certain types of user-specific information. Thus, if the client seeks read-only access to such information, policy engine **410** should determine that the client's request may be filled. In such case, policy engine **410** provides validation engine **404** with the necessary parameters to write an appropriate access control rule. Validation engine **404** then composes an appropriate response message for client application **430**.

If the defaults do not permit the client to access the user-specific information, policy engine **410** provides validation engine **404** with the necessary parameters to write a denial rule or, optionally, to invoke the consent user interface **420**. In one embodiment, when the user's default rules do not permit the client's access request, validation engine **404** transmits a failure message to client application **430**. Upon receiving such failure message, client application **430** can terminate its request or send a message invoking consent user interface **420** (line **450**). One preferable consent user interface menu has already been described herein with respect to FIGS. **2** and **3**. Other methods and systems for invoking a consent user interface are described in connection with FIGS. **5-9**. It should be appreciated that invoking consent user interface **420** will normally interrupt the task flow of the end user.

As an optional form of optimization, a consent store **440** can also be included. The consent store **440** keeps track of whether access is granted or affirmatively denied by the user each time consent user interface **410** is invoked. It should be appreciated that such a store could be used in several ways. For example, in ambiguous situations, a policy engine **410** using an advanced logic capability (e.g., fuzzy logic) could refer to consent store **440** to reference how the user specifically responded to previous requests by the client.

FIGS. **5A** and **5B** illustrate, in flow diagram form, a network computing environment **500** that includes a user-centric method of controlling access to user-specific information. More specifically, FIGS. **5A** and **5B** illustrate a method and system for allowing an end user of a web-services service to control who has access to user-specific information stored in connection with such service.

Broadly speaking, the environment **500** depicted in FIGS. **5A** and **5B** comprises: an end user **502** in digital communication with a web-services client system **504**; a client-side authorization fabric **506** (also referred to as a client-side access control interface); an authentication system **508** (also referred to as an authentication engine); an access control engine **510** (also referred to as a roleList engine); a service-side authorization fabric **512** associated with a web-services service (also referred to as a service-side access control interface); and a consent engine **514** (also referred to as a consent user interface or consent U.I.).

As illustrated, the end user **502** preferably communicates with the web-services client system **504** using one or more network-compatible communication devices (e.g., a personal computer, a personal digital assistant, a pocket personal computer, a digital pager, a digital compatible portable telephone, an Internet appliance, or the like). The client-side authorization fabric **506** reflects the pertinent software and structures that are associated with client system **504**. The service-side fabric **512** reflects the pertinent software and structures associated with the web-services service provider. The access control engine **510** and consent engine **514** also reflect software and structures preferably associated with the web-services provider. In one embodiment, the authentication system **508** is a separate, trusted service. One such authentication service is commercially available from the assignee of the present invention and is known in the art by the trademark PASSPORT. In another possible embodiment, authentication system **508** comprises a dedicated engine associated with the web-services provider system.

The method and system illustrated in FIGS. **5A** and **5B** will be further described by way of a general example. In operation, end user **502** communicates with web-services client **504** over a network communication channel **520**. For example, if end user **502** is an individual and web-services client **504** is a web site, end user **502** might use his/her web browser to access the client's web site. As another example, if client **504** is device-oriented (e.g., a paging system that provides sports scores), it may initiate a call to the user's digital pager (a network communication device).

In order to complete a task requested by end user **502** or for other purposes, client system **504** desires (or needs) access to certain user-specific information. For example, if client **504** is financial web site that provides business information, it may need to know which stocks user **502** tracks so that it can display the latest prices of those stocks to user **502**. As another example, client **504** may seek to present a targeted advertisement to user **502**. In order to select an appropriate advertisement, client **504** may want to know certain demographic information regarding user **502** (e.g., age, gender, occupation, zip code, and so on). In still another example, client **504** may be an on-line retailer that needs access to address and credit information regarding user **502** to complete an agreed upon transaction.

At block **522**, client-side fabric **506** first determines if any of the desired subject matter is available locally. If a local copy exists, client-side fabric **506** determines if that copy is current (block **524**). For example, client-side fabric **506** may declare any information more than one-month old to be stale. If a local copy of the desired information is both available and current, client-side fabric **506** returns the local copy to the client system **504** and the process is complete (block **526**). In such case, there is no need to request permission to access user-specific information stored with the web-services service.

If a local copy of the desired user-specific information does not exist or is not current, client-side fabric **506**



proceeds to block **528** to begin the process of acquiring authorization to access the desired information in the data store associated with the web-services service. Preferably, and as illustrated in FIGS. **5A** and **5B**, client-side fabric **506** first redirects to authentication service **508**. At blocks **530–534**, authentication service **508** authenticates user **502**, authenticates each of the services to which client system **504** desires to gain access, and returns authenticated tickets.

At block **536**, upon receiving the necessary authenticated tickets, client-side fabric **506** sends an access request message that is received by service-side fabric **512**. As illustrated in FIGS. **5A** and **5B**, all communications between client-side fabric **506** and service-side fabric **512** are preferably conducted using SOAP messages. Such access request message preferably identifies the client's intentions (e.g., the type of user-specific information requested and how the client will use the information) and a requested method of access.

At block **538**, service-side fabric **512** compares the client's request with the existing access control list (referred to in FIGS. **5A** and **5B** as a roleList) to determine whether the user has already granted or denied the client's request. More particularly, in the embodiment illustrated in FIGS. **5A** and **5B**, service-side fabric **512** searches the access control list for a subject match. As used here, the "subject" may be viewed as a key into a role. In the embodiment of FIGS. **5A** and **5B**, the subject preferably comprises a user ID, an application ID, and a credential type (e.g., password, personal identification number, and so on). If there is a subject match, then the rest of the request is used to determine whether and what information is returned.

If a subject match is found, service-side fabric **512** proceeds to block **540**. At block **540**, service-side fabric **512** compares the client's requested method of access (e.g., query) against a list of user-allowed methods (referred to in FIGS. **5A** and **5B** as a roleTemplate match) identified in the access control list. If the access control list indicates that the user will permit the requested method, service-side fabric **512** fills the client's request and sends a return SOAP message to client-side fabric **506** (see blocks **542–546**). The following is an exemplary logic flow for the foregoing request process:

Is there a role where the subject=userID and appID and credType for the incoming request? (If no, stop processing);

Is the method requested (e.g., query) included in the roleTemplate for this role? (If no, stop processing);

Apply filters (scopes) associated with the role as part of the information retrieval process;

Return the result.

If a match is not found at block **538** (no subject match) or at block **540** (no method match), service-side fabric **512** proceeds to block **548** and calls access control engine **510**. At block **550**, access control engine **510** obtains a copy of the client intentions document (referred to in FIGS. **5A** and **5B** as Client XML). In the embodiment illustrated in FIGS. **5A** and **5B**, the Client XML is preferably stored in an <EXTENSION> section of a P3P XML document which would be passed as part of the SOAP message body. At block **552**, access control engine **510** parses the client intentions document for the specific service(s) and user-specific information associated with the client's access request. Also at block **552**, access control engine **510** determines the client's proposed method of access and intentions and compares those to the user's default access preferences. At a relatively broad level, these default access

preferences generally reflect the authority that the user is willing to give to any web-services client. Such default access preferences may also reflect default permissions associated with particular services, particular types of user-specific information, particular clients, and so on. If a match is found at block **552**, access control engine **510** proceeds to block **554** and writes appropriate access control rules (also called roles) to the access control lists associated with the data stores corresponding to the client's request. At block **556**, access control engine **510** preferably stores the determined intentions in a data store for subsequent use. At block **558**, access control engine **510** returns a success message to service-side fabric **512**. If, at block **552**, the end user defaults do not match with the client's intentions and proposed method of access, access control engine **510** posts a failure message to service-side fabric **512** at block **558**.

At block **560**, service-side fabric **512** receives the success or failure message from access control engine **510**. If a success message is received, service-side fabric **512** proceeds along the success path discussed above (block **538** to block **540** to block **542** to block **544**) and the service fills the client's request. If a failure message is received at block **560**, service-side fabric **512** proceeds along the failure path discussed above (block **544** to block **546**).

At block **562**, client-side fabric **506** determines whether the SOAP message received at block **546** reflects a success or failure. If the SOAP message indicates a success (access granted and request filled), client-side fabric **506** preferably proceeds to block **564** and stores the retrieved user-specific information in a local data store and returns the information (block **526**) to client system **504**, thereby completing a successful access request. It should be understood that some client systems may choose not to maintain a local data store. It should also be noted that, to this point, the access request process did not interrupt end user **502**.

If, at block **562**, client-side fabric **506** determines that the received SOAP message indicates a failure, client-side fabric proceeds to block **566**. Block **566** reflects a "renegotiation" decision block. In other words, if the client system's access request was not successfully resolved without interrupting end user **502**, client-side fabric **506** may be constructed and arranged to attempt to renegotiate the desired level of access by invoking consent engine **514**. For example, client-side fabric **506** may be programmed so that it calls consent engine **514** only when client system **504** requests certain types of critical information (e.g., information necessary to complete a primary task initiated by user **502**). In another example, client-side fabric **506** may be programmed to call consent engine **514** only one time per client system request. There are, of course, many other criteria that could be used by client-side fabric **506** to determine whether to invoke consent engine **514**. If, at block **566**, client-side fabric **506** declines to invoke consent engine **514**, a failure is returned to client system **504** (see line **568**), thereby completing an unsuccessful access request cycle.

If client-side fabric **506** invokes consent engine **514** at block **566**, the process proceeds to block **570**. At block **570**, consent engine **514** retrieves a copy of the client intentions document (referred to in FIGS. **5A** and **5B** as Client XML) and parses the document for the specific service(s) and user-specific information associated with the client's access request. This aspect of the process is similar to block **550** (which is associated with access control engine **510**). Based on the content of the client's intentions document, consent engine **514** generates an consent option list and presents a consent option list menu to user **502** on the user's network



computing device (blocks 572, 574). One form of a suitable option menu has been described in connection with FIG. 3.

Referring still to FIGS. 5A and 5B, if user 502 consents to the access request reflected in the option menu (block 576), consent engine 514 proceeds to block 578 and invokes access control engine 510. In particular, consent engine 514 sends an “insert” request to access control engine 510, requesting that a role be written. The insert request preferably identifies the subject, roleTemplate (method), and scope.

Before completing the insert request, access control engine 510 first checks the application identifier of consent engine 510. In particular, access control engine 510 preferably determines whether consent engine 514 has a trusted identifier. This is reflected in FIGS. 5A and 5B at block 580. If consent engine 514 provides a trusted application identifier, access control engine 510 proceeds along a success path to block 554. In particular, access control engine 510 writes appropriate access control rules to the access control lists associated with the data stores corresponding to the client request. Access control engine 510 thereafter proceeds to block 556 where it may store the determined intentions in a data store for subsequent use. One such possible use has been discussed in connection with FIG. 4 herein (see reference character 440). At this point, access control engine 510 is preferably configured such that it knows it has been invoked by consent engine 514 (as opposed to service-side fabric 512) and proceeds to block 582 where it posts a success message to consent engine 514 (block 584). In one embodiment (not illustrated), consent engine 514 also causes a success message to be displayed to user 502.

Access control engine 510 thereafter proceeds to block 558 where it posts a success message to service-side fabric 512. Upon receiving a success message at block 560, service-side fabric 512 proceeds along the success path (block 538 to block 540 to block 542) and retrieves the user-specific information corresponding to the successful access request. At block 544, service-side fabric 512 returns the information to client-side fabric 506, preferably using a SOAP message. At this point, client-side fabric 506 also proceeds along the success path (block 546 to block 562 to block 564 to block 526) to fill the request of client system 504.

If, at block 580, consent engine 514 does not provide a trusted application identifier, access control engine 510 proceeds to block 582 and posts a failure message to consent engine 514. Thereafter, at block 584, consent engine 510 returns a fault message to client-side fabric 506.

The method and system for controlling access to user-specific information illustrated in FIGS. 5A and 5B provides several advantages. For example, if access control engine 510 determines that user 502 has agreed to default permissions that authorize client system 504 to have the desired access, access control engine 510 can conduct a silent negotiation and return the requested user-specific information without invoking consent engine 514 and without interrupting user 502. Also, the client (via client-side fabric 506) controls when and under what conditions to invoke consent engine 514 to attempt to “renegotiate” access directly with user 502.

The method and architecture illustrated in FIGS. 5A and 5B also provides for flexible intentions agreement management. In this regard, intentions agreement management generally refers to the process for determining whether user 502 has authorized the access request of client system 504. For example, in the embodiment illustrated in FIG. 2, the entire access control list for each service is stored in con-

nection with that service. With the method and architecture of FIGS. 5A and 5B, all or part of each access control list may be incorporated into a single access control engine (e.g., access control engine 510) without a significant impact on the system architecture. Intentions management can also be improved by adding a section pointer in the client intentions document to the SOAP message. This is unlike standard P3P, in which a client would have to declare all of their intentions as soon as the end user comes to the client site, or create multiple verbose statements (or the like) and link them to specific points in the client site. Such approaches may present more information than is necessary and may lead to user confusion. Such approaches may also be unacceptable from a client’s perspective, unless the client were able to develop or use a heretofore unknown in the art user agent or consent engine that would effectively expose P3P to end users.

In the embodiment of FIGS. 5A and 5B, on the other hand, it is possible to declare intentions to end users at a more logical time. In other words, if the client does not need to access user-specific information to complete a task, then there is no need to declare any intentions. For example, with standard P3P, a website may declare that it collects user-specific financial information in order to complete current activities. In reality, that site may only collect such information when the user visits a particular financial section of the site. Thus, some users may opt to avoid the entire website, believing that the entire site requires the user to provide user-specific financial information. With the embodiment of FIGS. 5A and 5B, however, it is possible to avoid this type of confusion by declaring the client’s intentions only when the client will actually request the user-specific information.

As already indicated, client-side fabric 506 and service-side fabric 512 preferably communicate using SOAP messages. In one embodiment, such messages use the <EXTENSION> mechanism of a standard P3P verbose XML policy to express any required elements. Such information within the client intentions document (illustrated in FIGS. 5A and 5B as client XML) could be used by the consent engine (e.g., consent engine 514), as well as part of a silent negotiation and for any intentions updates (see blocks 550 and 570). The following is an exemplary XML document schema:

```

<EXTENSION>
  <intentionSet name=version=/>
  <consentUI location=“URI”/>
  <section ID=>
    <valueProp></valueProp>
    <services>
      <service name=“serviceName”
        roleTemplateRef=“GUID” subscription=“True/False”>
        <intentions>
          <intention></intention>
          <intention></intention>
        </intentions>
        <dataElements>
          <dataElement></dataElement>
        </dataElements>
      </service>
    </services>
  </section>
</EXTENSION>

```

In summary, FIGS. 5A and 5B illustrate a network computing environment 500 suitable for use in connection with a web-services provider that provides a software service. The web-services provider maintains a data store of user-



specific information (see FIG. 2) associated with a user 502 of one or more of the software services. In order to complete a task, a client system 504 desires access to user-specific information in the data store. Such a client system may be, for example, another web-services user, a web site, a device-oriented service provider (e.g., a paging service that provides periodic stock market information), or the like. The client system preferably includes a client-side fabric 506 that is constructed and arranged to provide certain interfaces and structures for communicating within network computing environment 500. FIGS. 5A and 5B illustrate, among other things, a user-centric method and system for controlling access to the user-specific information in the data store. When client system 504 desires access to such user-specific information, it first checks a local data store to see if the desired information is present and current (blocks 522, 524, 526). If a local copy is available and current, client system 504 uses that data. If local a local copy is unavailable or not current, the client sends an access request message that is, preferably, directed to the software service(s) associated with the desired information. In FIGS. 5A and 5B, this aspect of the method is reflected at step 536. Preferably, the access request message identifies the subject matter and method of access desired by the client. The access request is then compared to an access control list associated with the affected software service to determine if the request is within the existing access control rules reflected in the access control list. In other words, a comparison is done to determine if user 502 has already authorized the form of access requested by client 504. This aspect of the method and system is reflected by blocks 538 and 540 in FIGS. 5A and 5B. If the existing access control rules permit the client's access request, the client is authorized to access the requested information in the data store (e.g., the desired information is gathered and transmitted to the client), as reflected by block 542.

If the access control list indicates that user 502 has not authorized client 504 to enjoy the desired form of access, access control engine 510 is called (block 548). Preferably, and as illustrated in FIGS. 5A and 5B, access control engine 510 determines an intended use of the information by client 504 (block 550). Based on this determined, intended use, access control engine 510 thereafter determines if user 502 has provided a default set of access control rules/instructions that permit client 504 to have the access desired (block 552). If the user's default set of access control rules permit client 504 to access the desired user-specific information, access control engine 510 preferably updates the access control list to permit client 504 to access that information (see, for example, blocks 554, 556, and 558). If, however, the user's default set of access control rules do not permit client 504 to have the desired access, access control engine 510 preferably returns a fault response (block 558). Upon receiving a fault response, client 504 may optionally choose to terminate the request or to invoke consent engine 514 in an effort to renegotiate the desired level of access directly with user 502. Consent engine 514 preferably presents user 502 with a consent menu (e.g., of the form illustrated and described with regard to FIG. 3) on the user's network communication device. If user 502 consents to the access request, consent engine 514 preferably invokes access control engine 510, which thereafter updates the access control list to reflect that user 502 has granted the necessary permissions to client 504. If user 502 does not consent to the client's access request, consent engine 514 posts a failure message to client 504.

FIGS. 6-9 illustrate, in flow diagram form, alternative methods and structures to the method and system illustrated

in FIGS. 5A and 5B. More specifically, FIG. 6 illustrates one embodiment of a system in a network computing environment in which there is no overall management of the intentions agreement process. FIG. 7 illustrates an embodiment in which the web-services client manages the intentions agreement process. FIG. 8 illustrates another embodiment in which an access control engine manages the intentions agreement process. Finally, FIG. 9 illustrates an embodiment in which each web-services service manages the intentions agreement process. In general, FIGS. 6-9 illustrate several components similar to components illustrated and described in connection with FIGS. 5A and 5B.

Before describing FIGS. 6-9, it should be noted that it may be desirable to include the access control engine and the consent engine in the same code base. It may be further desirable for each engine to be called independently of the other. Accordingly, even though some of the figures herein show an access control engine being called first, and thereafter (optionally) calling a consent engine (i.e., a redirect to a consent engine URL), it is also possible to first redirect to the consent engine and have the consent engine call the access control engine, as appropriate. It should further be noted that some web-based client systems (e.g., client web sites, Internet service providers, and the like) may conclude that it is less costly to redirect to the consent engine first. On the other hand, some device-based client systems (e.g., digital paging systems and so on) may conclude that it is more desirable to call the access control engine directly and, thereafter, determine whether or not to invoke the consent engine (this is generally illustrated in FIGS. 5A and 5B).

FIG. 6 illustrates a network computing environment 600 in which there is no centralized intentions management. Environment 600 preferably comprises an end user 602 in digital communication with a client system 604. Also included is a client-side authorization fabric 606, an authentication system 608, an access control engine 610, and a consent engine 614. Preferably, the access control engine 610 and the consent engine 614 are associated with a web-services provider that provides a service (e.g., a software service) to user 602.

In operation, user 602 enters into a network communication connection 620 with client system 604. If client system 604 desires user-specific information, it first seeks such information from a local data store (blocks 622, 624, 626). If a local copy of the desired information is either not available or not current (e.g., it is old or incomplete), client-side fabric 606 preferably redirects the process to the authentication system 608 (block 628). At blocks 630-634, authentication system 608 attempts to authenticate the digital identity of client system 604 and the affected services. Authentication system 608 preferably returns authenticated tickets for user 602 and any service that is to be accessed.

Upon receiving the authenticated tickets, client-side fabric 606 sends an access request message to each of the services for which client system 604 desires access (block 636). In response to the access request, each service evaluates the SOAP message to determine if user 602 has already granted the requested access to client system 604. More particularly, each service compares the client request to an associated access control list to determine if client system 604 is already authorized to access the requested user-specific information by the proposed method. If access is authorized, each affected service preferably fills the request and returns the information in a response message (block 646). Thereafter, client-side fabric 606 proceeds on a success path identified by blocks 662, 664, and 626.



If the access control list does not authorize client **604** to have the desired access (e.g., client **604** is not on the access list, the method of access requested is has not been allowed, or the particular user-specific information requested has not been made accessible to client **604**), the affected service returns an error message at block **646**. Upon receiving this error message, client-side fabric **606** proceeds along an initial failure path (block **662** to block **648**). At block **648**, client-side fabric **606** calls access control engine **610**. At block **652**, access control engine **610** compares the client's access request to a set of user default preferences. If the client's request falls within the bounds of the user's default preferences, access control engine **610** updates the affected access control lists to reflect the grant of access (block **654**), updates a local data store of intentions (block **652**), and returns a success message to client-side fabric **606** (block **658**). This is a form of silent negotiation. The intention store of the embodiment illustrated in FIG. **6** is functionally similar to block **556** of FIGS. **5A** and **5B**. In the embodiment of FIG. **6**, however, there is no intentions management; the intentions associated with consent are simply logged.

As illustrated in FIG. **6**, when client-side fabric **606** receives a success message at block **660**, client side fabric **606** again sends a request message to the affected services (block **636**) and the process repeats. In this iteration, however, the access control lists have been updated. Therefore, the SOAP messages received from the affected services at block **646** should indicate a success and the information request should be filled (blocks **662**, **664**, **626**).

If, at block **652**, access control engine **610** determines that the default preferences of user **602** do not authorize client system **604** to gain access to the user-specific information, access control engine **610** proceeds to block **658** and posts a failure message to client-side fabric **606**. Upon receiving this failure message, client-side fabric **606** optionally calls consent engine **614** in an attempt to renegotiate access to the desired information. Preferably, service-side fabric **606** provides consent engine **614** with a copy of the client's intentions document (in XML format) and identifies the services to which client **604** desires access (e.g., provides service IDs).

Based on the client's desired access, consent engine **614** prepares a list of consent options which are preferable presented to user **602** on his/her network communication device (blocks **672**–**674**). If user **602** authorizes access, consent engine **614** returns a success message to access control engine **610** (block **676**). At block **680**, access control engine **610** verifies that consent engine **614** is a trusted application. If consent engine **614** is trusted, access control engine **610** proceeds on a success path (blocks **654**, **652**) and returns a success message to client-side fabric **606** (block **658**). If consent engine **614** is not trusted, access control engine **610** posts a failure to client-side fabric **660**. If client-side fabric **606** receives a success message, it proceeds along a success path in the same manner as described above. If it receives a failure message, it may attempt another renegotiation with user **602** or return a failure to client system **604** (line **668**). Preferably, client-side-fabric **606** is not permitted to repeatedly invoke consent engine **614** upon being denied authorization to access the user-specific information.

Among the advantages of the system and method illustrated in FIG. **6** is that consent engine **614** preferably interrupts user **602** only when necessary. On the other hand, if each affected service responds at a different time (see block **646**), additional steps may be needed so that consent engine **614** does not present user **602** with a "choppy"

experience. One possible resolution is for client-side fabric **606** to include a queuing system (not illustrated). In one such queuing system, for example, client-side fabric **606** awaits responses from all affected services (at block **646**) and, thereafter, sends a single request to access control engine **610** and/or consent engine **614**.

FIG. **7** illustrates a network computing environment **700** in which the web-services client provides the necessary intentions management control. Environment **700** preferably comprises an end user **702** in digital communications with a client system **704**. Also included is a client-side authorization fabric **706**, an authentication system **708**, an access control engine **710**, and a consent engine **714**. Preferably, the access control engine **710** and the consent engine **714** are associated with a web-services provider which provides a software service to the end user **702**.

In operation, end user **702** and client system **704** are in communication via a communication channel identified by line **720**. As illustrated herein, user **702** may communicate with client system **704** using one of many possible network communication devices. In order to complete a task, client system **704** desires certain user-specific information regarding user **702**. At block **722**, client-side fabric **722** first determines whether the desired information already exists in a local data store. If a local copy is available, client-side fabric **722** determines whether client **704** is authorized to use the local copy (block **723**). For example, even if a local copy of the desired information exists, it is possible that user **702** has not granted client system **704** access rights to that information for the client's current purpose. In other words, if the current intentions of client system **704** are beyond those for which user **704** has already authorized access, client system **704** will still require authorization to access the desired information. Similarly, if the method by which client system **704** desires to access the user-specific information exceeds that which user **702** has previously allowed, client system **704** must obtain authorization to access the desired information. Block **723** is included in FIG. **7** because that embodiment reflects a system and method in which intentions management occurs primarily on the client-side. Finally, if a local copy exists and client system **704** is authorized to use the local copy, client-side fabric **706** determines whether the local copy is current before returning the requested user-specific information to client system **704** (blocks **724**, **726**).

If a local copy is not available (e.g., because no such copy exists, client system **704** is not authorized to use the local copy, or the local copy is not current), client-side fabric **706** redirects to the authentication system **708**. Authentication system **708** preferably returns any required authenticated tickets for user **702** and each service affected by the client's request (blocks **730**, **732**, **734**).

Upon receiving any necessary authenticated tickets, client-side fabric **706** determines whether it must still obtain authorization (consent) before obtaining the desired user-specific information from the affected service (block **735**). If client-side fabric **706** determines that client system **704** is authorized to access the desired information (e.g., the client's intentions and requested method have already been authorized by user **702**), client-side fabric **706** sends a request message (block **736**) to each affected service. Each affected service thereafter responds (block **746**) by either filling or denying the request. If the request is filled, client-side fabric **706** proceeds along a success path identified by blocks **764** and **726**. If the request is denied at block **746**, a failure is returned (line **769**).



If, at block 735, client-side fabric 706 determines that client system 704 is not currently authorized to access the desired information, client-side fabric 706 redirects to the access control engine 710 (block 748). Access control engine 710 attempts to match the client's intentions and requested method for each affected service against the user's default authorization preferences (block 752). If the defaults can be used for all of the client's requests, access control engine 710 updates the affected access control lists (block 754) and posts a success message to client-side fabric 706 (block 758). If the defaults can be used for some, but not all, of the client's requests, access control engine 710 returns a success only for those services for which the defaults permit client system 704 to have access.

For each successful access request (authorization/consent granted at block 760), client-side fabric 706 preferably proceeds along a success path identified by blocks 760, 736, 746, 764, and 726. For each unsuccessful access request, client-side fabric 706 has the option of giving up or calling the consent engine 714 (see block 766). Consent engine 714 preferably presents a list of consent options to user 702 on the user's network communication device (block 772). These options may be presented in a form similar to that illustrated and described with respect to FIG. 3. In other words, user 702 is presented with a menu that identifies: (1) client system 704 as the requester; (2) a value proposition; (3) the service(s) and intentions for which client system 704 now seeks authorization; (4) the method of access desired; and, optionally, (5) whether to persist permissions (e.g., whether client system 704 is to be granted subscription rights).

If user 702 grants permission (blocks 774, 776), consent engine 714 redirects to access control engine 710. If user 702 does not grant permission, consent engine 714 returns a failure to client system 704 (see line 768). When invoked by consent engine 714, access control engine 710 first determines whether consent engine 714 is a trusted application (block 780). If consent engine 714 is not trusted, access control engine 710 returns a failure at block 758. If consent engine 714 is trusted, access control engine 710 updates each affected access control list and returns a success message to client-side fabric 706 (see blocks 754, 758). If client-side fabric 706 receives a success message, it proceeds along the success path previously discussed. If client-side fabric 706 receives a failure message, it calls consent engine 714 again or terminates (block 766). Preferably, client-side fabric 706 is configured such that it only makes a limited number of attempts to obtain consent via consent engine 714. This should minimize the inconvenience to user 702.

One of the advantages of the method and system illustrated in FIG. 7 is that the burden of managing intentions rests with each web-services client. Stated differently, the method and system of FIG. 7 places no additional burdens on the authorization and authentication mechanisms used by the web-services provider. Likewise, a separate service-side fabric is not required. Further, in the embodiment of FIG. 7, if client system 704 has a local copy of the desired information and already has permission to use that local copy, there is no need for server-to-server calls to authentication tickets or to access the affected services. Further, in the embodiment of FIG. 7, a SOAP message is posted to the affected service(s) only when success is expected (see blocks 735, 736, and 760). This results in a smoother user experience. In order to make the method and system of FIG. 7 work effectively, however, each client system preferably maintains a local copy of (1) the intentions for each user and

each affected service, and (2) the method of access permitted for each user and each affected service.

FIG. 8 illustrates a network computing environment 800 having a centralized authorization system in which intentions management is primarily addressed by an access control engine 810. Environment 800 preferably comprises an end user 802 communicating with a web-services client system 804 using a network communication device. A client-side fabric 806 associated with client system 804 interacts with an authentication system 808. Authentication system 808 interacts with the access control engine 810. Access control engine 810 is preferably constructed and arranged to selectively interact with a consent engine 814.

Like other embodiments illustrated herein, the embodiment depicted in FIG. 8 generally focuses on determining whether the client system 804 is authorized to access user-specific information that is stored in connection with one or more services provided by a web-services provider (see, for example, FIG. 2 herein). The client-side fabric 806 first determines whether the desired user-specific information is available from a local data store (see blocks 822, 824, and 826). If a local copy is unavailable, client-side fabric 806 redirects to the authentication system 808 (block 828). In the context of FIG. 8, this step may be referred to as a first entry into authentication system 808. At block 830, authentication system 808 attempts to authenticate end user 802 and each affected service. At block 831, authentication system 808 redirects to access control engine 810. Block 831 reflects one of the differences between the system and method reflected in FIG. 8, and those reflected in FIGS. 5-7 and FIG. 9.

At block 835, access control engine 810 compares the client's current access request(s) to any authorizations end user 802 has already granted to client system 804. In other words, access control engine 810 determines whether the client's intentions (the type of user-specific information requested and how the client intends to use such information) and desired method of access are already authorized in the access control lists associated with each affected service. If a match is found at block 835, access control engine 810 posts a success message to authentication system 808 at block 858. In the context of FIG. 8, this step may be referred to as a second entry into authentication system 808.

If a success is posted to authentication system 808 at block 858, authentication system 808 should return the necessary authenticated tickets to client-side fabric 806 (blocks 839, 832, 834). Upon receipt of such tickets (block 860), client-side fabric 806 proceeds to block 836 where it sends an appropriate SOAP request message to each affected service. Recall that, in this scenario, the access control lists authorized the access. As such, each affected service fills the client's request at block 846. Thereafter, client-side fabric 806 proceeds along a success path (blocks 864 to block 826).

If, for any reason, authentication system 808 determines that it will not grant the necessary authenticated tickets, authorization is denied (block 860) and client side-fabric 806 proceeds to block 861. At block 861, client-side fabric 806 determines whether to resubmit the request or terminate. If it chooses to resubmit the request, it redirects to the first entry point into authentication system 808 and the process repeats.

Returning to access control engine 810, if no match is found at block 835, consent engine 810 next determines whether user 802 has identified any default authorization preferences that permit client's access request (block 852). If such defaults can be used, access control engine 810 updates to the access control lists associated with each affected service (block 854) and posts a success message to



authentication system **808**. Thereafter, the process proceeds along a success path similar to that described above. It should be understood that a partial success is also possible (e.g., default preferences permit some but not all of the client's requests).

If, at block **852**, access control engine **810** determines that existing the user's default preferences do not authorize the client's current access request, access control engine **810** decides whether to call consent engine **814** (block **866**). At block **866**, access control engine **810** preferably determines whether consent engine **814** is trusted (e.g., has a trusted application ID). If consent engine **814** is not trusted, access control engine **810** returns a failure to authentication system at block **858** and the process proceeds along a failure path (e.g., block **859** to **860** to **861**).

If consent engine **814** is trusted, consent engine **814** presents a list of options for display on the user's network communication device (block **872**). Such options are preferably displayed in a form similar to that illustrated and described in connection with FIG. **3**. If user **802** grants permission, consent engine **814** posts a success message to access control engine **810** (blocks **874**, **876**). Thereafter, the process proceeds along a success path similar to that already described. If, however, user **802** denies permission, consent engine **814** posts a failure message, the process proceeds along a failure path, as illustrated.

One of the advantages of the system and method illustrated in FIG. **8** is the provision of a single consent experience to user **802**. The embodiment of FIG. **8** also provides a single point (i.e., at access control engine **810**) for looking up client intentions. The embodiment of FIG. **8** may also result in reduced operating costs and increased availability due to the single point of authorization. It should further result in fewer server-to-server calls which reduces impacts on client systems. The embodiment of FIG. **8** also precludes client systems from making the determination of whether to invoke consent engine.

FIG. **9** illustrates a network computing environment **900** in which each web-services service preferably handles intentions management functions. Like other embodiments illustrated herein, the system and method depicted in FIG. **9** generally focuses on determining whether a user **902** has authorized a client system **904** to have access to user-specific information stored in connection with one or more services provided by a web-services provider. The computing environment **900** preferably includes the end user **902** communicating with the client system **904** using one or more network communication devices. A client-side fabric **906**, associated with client system **904**, is preferably constructed and arranged to interact with an authentication system **908**, an access control engine **910**, and a consent engine **914**.

In operation, when client system **904** desires certain user-specific information, client-side fabric **906** first checks to see if a current copy of the information is available locally (blocks **922**, **924**, **926**). If a current, local copy is unavailable, client-side fabric **906** redirects to the authentication system **908** (block **928**). At blocks **930**–**934**, authentication system **908** preferably authenticates user **902** and each of the services to which client system **904** desires to gain access (blocks **930**–**934**).

Upon receiving the necessary authenticated tickets, client-side fabric **906** sends an access request message to each affected service (block **936**). Recall that FIG. **9** illustrates an embodiment in which intentions management is addressed by each web-services service. Hence, upon receiving the access request message, each service preferably evaluates the message to determine if user **902** has an access control

list entry for client system **904**. If an access control entry for client **904** exists, the service then determines if the client's intentions and requested method of access match the authorization levels already granted to client **904** and reflected in the access control list. If no access control entry exists for client **904**, or if the client's intentions and/or requested method of access are not currently authorized, the service returns an error to client-side fabric at block **946**. On the other hand, if the access control entry for the service authorizes the client's intentions and requested method of access, the service returns a success message and fills the access request at block **946**.

If block **946** reflects a successful access request (block **962**), client-side fabric **906** proceeds along a success path identified by blocks **964** and **926**. If block **946** reflects a failed access request, client-side fabric **906** redirects to access control engine **910**. At block **952**, access control engine **910** attempts to match the client's intentions and methods for each affected service with the user's default authorization preferences. If access control engine **910** is able to use the user's default preferences for each of the client's requests (e.g., the defaults authorize client **904** to access the requested subject matter by the requested method), it updates the necessary access control lists and returns a success message to client-side fabric **906** (blocks **954**, **958**). Thereafter, client-side fabric preferably proceeds along a success path identified by blocks **936**, **946**, **962**, **964**, **926**.

If access control engine **910** is able to use the user's default preferences for some but not all of the client's requests (a partial success), it updates the access control lists for which the default preferences permit access (block **954**). In this case, access control engine **910** returns a response indicating which the success and failure, as appropriate (block **958**). Preferably, such a message indicates success/failure per service, per intention, and per method.

If access control engine **910** returns a partial success or total failure at block **958**, client-side fabric **906** determines whether to call the consent engine **914**. In the case of a partial success, for example, client-side fabric **906** may choose to proceed with respect to only those services to which access is authorized. In such case, client-side fabric preferably proceeds along the success path identified above.

If client-side fabric calls consent engine **914** at block **966**, a list of options is presented to user **904** on his/her network communication device (block **972**). As discussed in greater detail above, such options are preferably presented in a format consistent with that illustrated and described in FIG. **3**. At block **974**, consent engine **914** receives a signal originating from the user's network communication device indicating the user's consent decision. If user **902** authorizes access, consent engine **914** calls access control engine **910** (see block **976**). If user **902** denies access, consent engine returns a failure to client **904**. Recall that, in the present embodiment, consent and authorization proceed on a service-by-service basis.

When consent engine **914** redirects to access control engine **910** (i.e., user **902** authorized access), the latter initially confirms that consent engine **914** has a trusted application ID (block **980**). If consent engine **914** is not trusted, access control engine **910** returns a failure at block **958**. If consent engine **914** is trusted, access control engine **910** updates the affected access control list (block **954**) and returns a success message to client-side fabric **906** (block **958**). Depending upon the success or failure of any particular request, client-side fabric **906** proceeds along the appropriate success or failure path (discussed above).



One of the advantages of the embodiment of FIG. 9 is that client 904 is able to directly call into the desired service without any intervention. Further, in this embodiment, access control engine 910 is called only upon a failure at a particular service. Likewise, consent engine 914 is called when there a failure occurs at access control engine 910.

FIGS. 10–12 are flow diagrams that illustrate aspects of a system and method for managing a consent transaction in a network computing environment. More particularly, these figures illustrate, by way of an exemplary operating scenarios, a system and method for managing one or more requests to access user-specific information maintained in connection with one or more services offered by a web-services provider.

FIG. 10 is a flow diagram that illustrates an exemplary operating scenario associated with a consent management system and method in which a user 1002 is accessing the user's own user-specific information. In particular, the user 1002 establishes a network communication session with a client 1004 as reflected by line 10A. For example, the client 1004 may be a World Wide Web Internet Service Provider (ISP) and user 1002 seeks to access an item of that user's own user-specific information maintained in connection with a web-services service 1006. In the illustrated scenario, the item of user-specific information is maintained in a data store 1024.

In order to access the item of user-specific information in the data store 1024, client 1004 sends an access request message (line 10B) to the web-services service 1006 associated with the item of user-specific information. In one embodiment, the access request message is in SOAP format and identifies a client ID, the item of user-specific information to which the client desires access, an intended purpose of the client for accessing the item of user-specific information, a requested method of access, and an optional value proposition associated with the access request.

In one embodiment, the access request message also includes SOAP forward and/or reverse message path information (i.e., <srp:rev><srp:via> information). The SOAP routing protocol does not define an actual message path. SOAP may be bound to an application layer protocol, such as HTTP or SMTP. Advantageously, the SOAP extensibility model may be used to describe an entire message path within the SOAP message structure. As such, an appropriate SOAP message can be constructed that can be carried within HTTP or exchanged directly over a transport layer protocol, such as TCP or UDP. More particularly, a forward message path can be described as an ordered list of "via" elements, indicating the intermediary(ies) that the message must pass through. Similarly, an optional reverse message path element "rev" can be used that describes a path for transferring messages to the initial sender. Thus, the <rev> element indicates that there is some way for the recipient of the initial message to communicate with the original sender without using the request-response mechanism built into HTTP. The <via> element follows, as it is the actual path used to send communications. The following is an example:

```
<rev><via>//c.com/rev/endpoint1;up=udp</via></rev>
```

The service 1006 holds the access request in an execution queue 1008 until resources are available to process the request (lines 10C, 10D). Service 1006 checks an access control list 1010 (illustrated in FIG. 10 as a data store containing roleList and roleMap entries) associated with the data store 1024 of user-specific information to determine if access permissions presently exist to permit client 1004 to access the item of user-specific information. In the illus-

trated embodiment, the access request is also placed in a pending request queue 1012 and assigned a pending queue ID to the request.

If service 1006 determines that the access control list 1010 presently permits client 1004 to access the item of user-specific information by the requested method for the intended purpose, service 1006 holds the pending request and a success message is sent back (along with the pending queue ID).

If service 1006 determines that client 1004 lacks permission to access the item of user-specific information, service 1006 sends a fail/fault message (along with the pending queue ID) to client 1004. Upon receiving a fault, client 1004 is not able to access the item of user-specific information and has the option of invoking a consent management system in an effort to obtain the necessary access privileges. In the illustrated embodiment, the consent management system comprises two main components—a consent user interface 1014 (consent U.I.) and a consent server 1016.

As part of invoking the consent management system, client 1004 identifies the access request that generated the fault response from service 1006. In particular, client 1004 sends a message to the consent U.I. 1014 (line 10G) indicating the pending queue ID and affected service, the item requested, the client's intended purpose for accessing (i.e., the intentions) the item, and the requested method of access. Consent U.I. 1014 passes this information to the consent server 1016 (line 10H). It is also possible for the service(s) generating the fault response to pass the access request information directly to the consent management system. Such an approach would be advantageous in a configuration in which the service and the consent management system are maintained substantially together.

The consent server 1016 next checks a data store 1018 to determine if user 1002 is a managed user (line 10I). Determining whether user 1002 is a managed user allows consent server 1016 to identify a party with authority to consent to allow client 1004 to access the item of user-specific information. A scenario involving a managed user is discussed in greater detail below. The remaining discussion of FIG. 10 assumes that user 1002 is unmanaged.

After determining that user 1002 is an unmanaged user and owns the item of user-specific information, consent server 1016 places the client's request in a task queue 1020 (line 10J) and assigns a task queue ID. Task queues will be discussed in greater detail in association with FIGS. 11A and 11B. In the illustrated embodiment, consent server 1016 also sends a request to service 1006 (via line 10K) requesting that service 1006 not allow the pending request (reflected by the pending queue ID) to expire and be purged from the pending queue 1012. Consent server 1016 also sends a message to client 1006 identifying the fault being addressed, the task queue ID, and the pending queue ID. Upon receiving the message from consent server 1016, service 1006 preferably extends the expiration time of the request of client 1004 (as reflected by line 10L to pending queue 1012), and client 1004 redirects user 1002 to the consent U.I. 1014.

It should be understood, however, that it is also possible that the pending time will not be extended. For example, considerations relating to efficient management of pending queues may result in a service declining to extend the pending time. In such a case, the service returns a zero time value to the consent server and the consent system informs the client of a failed consent transaction process.

Advantageously, the task queue and pending queue information can be used to coordinate the consent U.I. 1014 to ensure that user 1002 is presented with a consent menu that



corresponds to the access request of client **1004**. In other words, this information facilitates the coordination of an otherwise disconnected process. Thus, in the illustrated embodiment, consent server **1016** provides the pending and task queue information to consent U.I. **1014** (line **10M**). Upon receipt of this information, consent U.I. **1014** retrieves the appropriate information from task queue **1020** and the intention sets **1022** (line **10N**) to prepare an appropriate consent menu for display to user **1002**. The consent menu preferably prompts user **1002** to either grant or deny consent to allow client **1004** to access the item of user specific information. FIG. **3**, discussed in greater detail above, illustrates aspects of one possible consent menu.

In the embodiment illustrated in FIG. **10**, while awaiting a response from user **1002** with respect to the consent menu, consent U.I. **1014** sends a message to consent server **1016** to indicate the status of the consent transaction (line **100**), such as a message indicating that an approval is pending. If user **1002** grants consent, consent server **1016** thereafter sends a similar message to service **1006** (line **10P**) and removes the task from the consent server's task queue **1020**. In the illustrated embodiment, consent server **1016** also instructs service **1006** to update (i.e., an insert request) the access control list **1010** to reflect the granting of consent (line **10Q**). It should be appreciated that such a grant of consent may be time-limited. In other words, the fact that client **1004** has consent now does not mean that such consent cannot expire. A particularly short time limit could be used to effectively limit client **1004** to a "one time only" access. It should also be appreciated that an alternative system could be constructed in which, upon receiving consent from user **1002**, the access request of client **1004** may be filled without updating the access control list **1010**. In other words, a one-time only approval is also possible without a time limited access control list entry. It should further be understood that the consent server could be constructed and arranged to write roles directly to the access control list **1010**, rather than indirectly through the service.

Also, in one embodiment the consent server is the only application with authority to update access control lists. Stated differently, even though a client might enjoy permissions to access various items of user-specific information by various methods, the consent server is the only application with authority to alter the content of an access control list.

Once the access control list **1010** has been updated to permit the client's request, the next step is filling the request. Service **1006** sends a message (line **10R**) to consent server **1016** indicating a success. If the original access request of client **1004** was a non-query replace request (e.g., client **1004** sent a request seeking to replace an item of user-specific information, but did not request to actually read any particular item of information), service **1006** fills the request and success is returned to consent server **1016** (line **10R**). Preferably, any replace request includes a change number value that can be used by service **1006** for a data consistency check. Consent server **1016** passes the success message to consent U.I. **1014** (line **10S**) which is passed to client **1004** (line **10T**).

If, on the other hand, the original access request of client **1004** required data to be returned to client **1004** (e.g., the original request was a query/read request), service **1006** attempts to fill (execute) the pending request and the results are returned to client **1004** using the SOAP <srp:rev><srp:via> information submitted with the access request from client **1004**. A success message is also sent to consent server **1016**. If no <srp:via> was provided, service **1006** has no way of knowing how to return the query results to client **1004**.

Likewise, in some circumstances a replace request (sometimes referred to as a write or insert request) cannot be completed as requested. In either case case, a failure message is sent to consent server **1016** which is passed to client **1004** via consent U.I. **1014**. If client **1004** still desires to complete the access request, it must thereafter transmit a retry request to service **1006** (resends its original access request, coupled with the pending queue I.D. to ensure that service **1006** does not view the request as a new request). This is shown by line **10U**. Because the access control list has been updated to permit the client to access the item of user-specific information by the desired method, the request may now be filled (lines **10V**, **10W**, and **10X**). Advantageously, this facilitates situations in which client **1004** has given up or no longer needs/desires access to the item of user-specific information. For example, in some circumstances a particular client may directly request user **1002** to provide the item of user-specific information (e.g., a preferred mailing address), rather than wait for the consent transaction to complete.

If user **1002** refuses to grant consent to allow client **1004** to access the item of user-specific information, the pending request will eventually expire. In such case, if client **1004** sends another access request, service **1006** will treat it as a new request.

Referring next to FIGS. **11A** and **11B**, illustrated therein is a flow diagram of an exemplary operating scenario associated with a task-based consent management system and method in which a user **1102** has initiated a task that requires a client **1104** to complete a number of different access requests directed to a number of different services. For example, if client **1104** is a web-based travel services provider and user **1102** has requested travel information regarding travel to a particular destination, client **1104** may need to access the user's calendar information (e.g., from service **1106A**), and financial information (e.g., from service **1106C**), and preference information (e.g., from service **1106C**). In general, the access requests to each of these services could be handled in a manner similar to that described above with respect to FIG. **10**. Advantageously, however, the system and method reflected in FIGS. **11A** and **11B** allows for a task-based approach, that provides several benefits which will become apparent.

For purposes of illustration only, it will be assumed that an access control list **1110A** associated with the first service **1106A** permits client **1104** to access a first item of user-specific information needed to complete the task. It will be likewise assumed that an access control list **1110C** associated with the third service **1106C** permits client **1104** to access a third item of user-specific information needed to complete the task. Finally, it will be assumed that an access control list **1110B** associated with the second service does not initially permit client **1104** to enjoy access to a second item of user-specific information needed to complete the task.

With the foregoing illustrative assumptions in mind, the scenario of FIGS. **11A** and **11B** will now be described. In the illustrated embodiment, at line **11A**, user **1102** operatively initiates a task that requires client **1104** to execute three separate access requests to three separate services (**1106A**–**1106C**), seeking access to three separate items of user-specific information. Client **1104** translates the task request into three distinct access requests that need to be filled to complete the task. Optionally, client **1104** may also keep track of each of these requests in a client-side task queue **1105** (line **11B**). Thus, each service is sent a SOAP access request. Each service places the respective request in



an associated execution queue until resources are available to process the request. Each service attempts to fill (process) the request by comparing the request to the appropriate access control list document (e.g., access control list **111A–1110C**). Each service also places the respective request, including the SOAP <srp:rev><srp:via>, in an associated pending request queue (e.g., pending request queues **1112A–1112C**) and assigns a unique pending queue ID. Each service sends a pending response message back to client **1104**, along with the associated pending queue ID and an indication of the success/failure state of the request. Based on the exemplary assumptions above, services **1106A** and **1106C** would return success responses, and service **1106B** would return a failure response.

At this point, client **1104** can choose to treat each request separately and proceed in a manner similar to that described above with respect to FIG. **10**. Or, client **1104** can take advantage of the task-based features of the consent management system illustrated in FIGS. **11A** and **11B**. If client **1104** chooses the latter, it redirects to the consent U.I. **1114** with a consent transaction request, and none of the individual access requests are filled until client has the necessary consent to fill each of the access requests.

The consent transaction request identifies each affected service (e.g., **1106A–1106C**), the associated pending queue ID, and the particulars of each request (e.g., the clients intentions, the requested method(s) of access, and the success/fail state of each request). Consent U.I. **1114** calls the consent server **1116** and supplies the necessary information, in a manner similar to that described above with respect to FIG. **10**. Consent server **116** first determines whether user **1102** is a managed user. For purposes of illustration, it will be assumed that user **1102** is unmanaged and owns the items of user-specific information to which client **1104** seeks access.

The consent server **1116** places each request in a task queue and assigns a single task queue ID to track the request. Consent server **1116** thereafter sends a message to each affected service (**1106A–1106C**), instructing the service to extend the expiration time for each pending request. Consent server then sends the task queue information to consent U.I. **1114** for preparation of a consent menu. In the illustrated embodiment, consent U.I. determines the appropriate content of the consent menu by reference to the task queue **1120**, an intention set **1122**, and an optional task manifest **1121**. The task manifest **1121** preferably comprises a database that is used to control the task queue **1120** on behalf of client **1104**. For example, task manifest **1121** comprise a look up table that translates a task request into a plurality of individual access requests. In other words, task manifest **1121** uniquely identifies a series of access requests that need to be successfully filled in order to complete a task.

In the present example, consent U.I. uses the task information to assemble a consent menu for presentation to user **1102**. In this task-based scenario, each of the three access requests are preferably identified in the consent menu. Those requests that have success states are preferably displayed “grayed out” or “disabled” because consent has already been granted; consent is only requested for the access request directed to service **106B**. In one embodiment, the consent menu identifies the task, an identity of the client, an intended use by the client of the requested user-specific information, the method(s) of access desired by the client to complete the task, and an indication of the status of each access request needed to complete the task. If user **1102** consents, consent U.I. **1114** sends an approval pending message (sometimes referred to herein as a consent acceptance message) to

consent server **1116**. Thereafter, consent server **1116** sends an approval pending message to services **1106A–1106C**, and an update request to service **1106B**. The update request directs service **1106B** to insert an appropriate role into access control list **1110B** to permit client **1104** to access the second item of user-specific information. A consent success message may also be transmitted to the client at this time.

At this point, consent has been received for each of the three access requests that were translated from the initial task request. Thus, the next step is filling each access request. Each service fills the respective access request in a manner similar to that described above with respect to FIG. **10**. If client **1104** has chosen to maintain its own client-side task queue **1105**, it will then purge this queue upon obtaining consent to successfully complete each of the task requests.

As can now be appreciated, therefore, the task-based approach illustrated and described with respect to FIGS. **11A** and **11B** allows the consent system (e.g., consent U.I. **1114** and consent server **1116**) to ensure that the client has permission to access each of the items of user-specific information before any of the access requests are filled. Also, this approach allows clients to leverage off of the capabilities of a centralized consent system. For example, the client need not maintain all of the information and infrastructure necessary to complete complex, task-based requests because the consent system manages the consent transaction on behalf of the client. Thus, the client can focus its resources on matters which are more directly related to its core area(s) of emphasis. For example, a travel service can focus fulfilling complex tasks, without the need to simultaneously manage complex, disconnected consent transactions that may be needed to complete the requested task.

FIGS. **12A** and **12B** are a flow diagram illustrating aspects of a consent management system and method that illustrates consent transaction involving a user who does not have authority to grant consent to allow a client to access an item of user-specific information. For simplicity, it will be assumed that the scenario illustrated in FIGS. **12A** and **12B** generally corresponds to that illustrated in FIGS. **11A** and **11B**, with a difference being that user **1102** lacks authority to grant consent to allow client **1104** to access the information. One reason why user **1102** may lack authority to grant consent is because user **1102** may be a managed user (e.g., user **1102** is a minor under the age of **13** and subject to the COPPA rules discussed above, or user **1102** is an employee and the employer has designated user **1102** as a managed user). Another reason why user **1102** may lack authority grant consent is because user **1102** does not own of the item of user-specific information to which client **1104** seeks access. For example, user **1102** may have initiated a task that requires client **1104** to access calendar information related to another user. Referring back to the example of FIGS. **11A** and **11B**, if user **1102** initiates a task relating to travel planning that involves another user, client **1104** may need to access user-specific information stored in connection with the other user.

The following steps summarize the system and method to the point at which the consent system (consent server **1116**) needs to determine whether user **1102** is a managed user and the owner of the requested information:

1. User **1102** goes to client **1104** and initiates a task concerning another user.
2. In order to complete the task user **1102** has initiated, client **1104** translates the task request into a plurality of discrete access requests. The following is an exemplary list of three discrete task requests, directed to three services (**1106A–1106C**), translated from a single task



- request: (note that client **1104** has the option of storing this information in its optional task queue **1105**)
- a. Query (read) a first item of user-specific information maintained at first service **1106A**;
  - b. Insert a new element of user-specific information to be maintained at second service **1106B**; and
  - c. Replace all elements of user-specific information at third service **1106C**.
3. Each service is sent a SOAP access request (sometimes referred to herein as a tryRequest).
  4. Each service places the request in an execution queue (e.g., **1108A–1108C**).
  5. Each service attempts to execute by comparing the request to the access control list document (e.g., **1110A–1110C**).
  6. Each service places the request, including the SOAP <srp:rev><srp:via>, in an associated pending queue (e.g., **1112A–1112C**) and assigns a pending queue ID to the request.
  7. Each service sends back a pending response with the pending queue ID and success/failure state to client **1104**. Recall that the illustrative example assumes that client has consent to complete the requests directed to services **1106A** and **1106C**, but not service **1106B**.
  8. Client **1104** redirects with a request to consent U.I. **1214** containing pending queue/service, intentions, request method, and a status of each request. (Service **1106A**=Success, Service **1106B**=Failure, Service **1106C**=Success)
  9. Consent U.I. **1214** calls consent server **1116** with the request.

At this point, consent server **1116** determines whether user **1102** has authority to grant consent with respect to the failed access request directed to the second service (service **1106B**). In the illustrated embodiment, this determination is accomplished by referring to a data store **1118** that identifies whether a particular user is a managed user and whether a user is an owner of an item of user-specific information (line **12A**). Stated differently, the data store **1118** identifies a party with authority to grant consent. This party with authority may be user **1102** or another party **1240**. In one embodiment, data store **1118** comprises a table with entries indicating a manager ID, a managed ID, and a service ID. If the manager ID and the managed ID are the same, then the user is not managed for the service reflected by the service ID. For example, a parent of a minor child may desire to manage access to the child's calendar but may not desire to manage access to the child's music data (e.g., in a music service). In such an example, the table would include the following data rows:

Child ID,	Child ID,	Music Service ID
Parent ID,	Child ID,	Calendar Service ID

Referring still to FIGS. **11A** and **11B**, in the illustrated scenario, data store **1118** indicates that user **1102** is either a managed user or is not the owner of the item of information associated with the request directed to service **1106B**. In either case, user **1102** is not a party with authority to grant consent to client **1104**. Accordingly, the consent system seeks consent from the party **1240** with authority to grant consent, rather than from user **1102**. The party with authority to grant consent **1240** may be a manager (e.g., a manager of the managed user to whose information the client seeks access) or the owner of the requested item of user-specific information (e.g., an unmanaged user to whose information the client seeks access on behalf of user **1102**).

The requests are also placed in a task queue **1120** and assigned a task queue ID, similar to the scenario illustrated

in FIGS. **11A** and **11B**. Likewise, each service (**1108A–1108C**) is sent a message instructing the service to extend the expiration time of the request while consent is being negotiated. Unlike the scenario of FIGS. **11A** and **11B**, however, the requests are also placed in an alert queue **1250** (line **12B**). An alert message (line **12C**) is thereafter sent to the owner or manager of the requested item of user-specific information (the person with authority to grant consent **1240**, hereinafter referred to as owner/manager **1240**). It should be understood that, such an alert message can be sent to owner/manager **1240** as an email message, as a digital paging message, as a telephone message, as an instant message, as another form of digital message, or as a combination of these message formats. The alert message preferably includes an indication of the identities of user **1102** and client **1104**, as well as the specific information to which client **1104** desires access (e.g., access to calendar information). The alert message may also include an indication of the original task that initiated the entire consent process, as well as other pertinent information.

The alert message may also be constructed to provide a minimum set of information, such as an indication of who originated the alert and a description of the request at issue. The message could also include a “get details” link that transfers the user to a location where the user can get more detailed information, such as a task pending queue.

When owner/manager **1240** receives the alert message (line **12D**) consent U.I. **1215** is called to retrieve the request at issue (i.e., the failed request to the second service **1106B**) and to present a consent menu to owner/manager **1240**. FIG. **13** illustrates an exemplary consent menu **1300** for requesting consent from owner/manager **1240**. The consent menu **1300** is preferably a specialized version of the consent menu of FIG. **3**. It should be understood that other menu formats may be used. As shown in FIG. **13**, consent menu **1300** identifies the identity of both user **1102** and client **1104**, as well as an identity of the managed user. In the illustrated embodiment, consent menu **1300** identifies each of the access requests—even those for which client **1104** already had consent to access (which may be grayed out or disabled). In other words, consent menu **1300** may also provide an indication of the status of each of the access requests that resulted from the task request initiated by user **1102**. Consent menu **1300** also provides an indication of the client's intended use and the method(s) of access requested to complete the task. It should be appreciated that a consent menu similar to consent menu **1300** could also be used to present consent menu options directly to the user.

While awaiting approval from owner/manager **1240**, consent U.I. **1214** preferably sends a message to client **1104** indicating the delay. A similar message is also sent to user **1102**. As can be appreciated, the delay between the time when consent server **1116** sends an alert to owner/manager **1240** and the time at which owner/manager **1240** grants or denies consent can be substantial. For time sensitive requests, client **1104** and/or user **1102** may decide to terminate the effort rather than wait for consent.

Upon receiving consent or denial of consent from owner/manager **1240**, the process proceeds generally as discussed above with respect to FIGS. **10** and **11**, and user **1102** is sent a message indicating that owner/manager **1240** has granted or denied consent.

It should now be appreciated that the consent management systems and methods reflected in FIGS. **10–12** provide several distinct advantages. At a broad level, the consent



management system provides centralized management of consent transactions for the benefit of web-services users and clients.

At another level, the consent management systems and methods of FIGS. 10–12 allow for task-based consent transactions to be carried out. A task-based consent management system is particularly advantageous in disconnected transactions involving users, clients, and multiple web-services services. The consent management system provides a centralized authority for keeping track of each access request that must be filled in order to successfully complete a task.

At still another level, the consent management systems and methods of FIGS. 10–12 help to better ensure that consent is procured only from a party with authority to grant consent. This is particularly attractive in situations involving

minors, employees, and requests to access information owned by a user other than the user who initiated the access request.

Further, the consent management systems and methods illustrated and described herein allow a one-time only access, without relying on time-based access limitations. Time-based limitations may result in multiple accesses during the time period. In some contexts (e.g., contexts involving time-sensitive data), a true one-time only access may be particularly advantageous. Of course, there are other advantages.

Table I identifies several additional operating examples. Although these operating examples are generally directed to the methods and systems reflected in FIGS. 10–12, aspects are also applicable to other embodiments disclosed herein.

TABLE I

User	Owner	Mgr.	Single Service	Multiple Services	Multiple Owners	Wildcard Intention Match	Example
X	X	X	X				Joe using ISP to access his profile. No wildcard match.
X	X	X	X			X	Uses consent UI Joe using ISP to access his profile. Wildcard and intention match. No consent UI
X	X	X		X			Joe using XYZ Travel to create an itinerary. Uses consent UI
X	X	X		X		X	Joe using XYZ Travel to create an itinerary. No consent UI
	X	X	X				Joe using ticket system to view Dolores' calendar. Dolores must grant consent
	X	X	X			X	Joe using ticket system to view Dolores' calendar. Dolores does not need to grant consent.
	X	X		X			Joe using XYZ Travel to coordinate an itinerary with Dolores. Dolores must grant consent.
	X	X		X		X	Joe using XYZ Travel to coordinate an itinerary with Dolores. Dolores does not need to grant consent
	X	X	X		X		Joe using a calendar system to create a shared calendar event. One or more users must consent.
	X	X	X		X	X	Joe using a calendar system to create a shared calendar event. No further consent required.
X	X		X				Junior using ISP to access his own information. Joe, manager for Junior, must consent.

TABLE I-continued

User	Owner	Mgr.	Single Service	Multiple Services	Multiple Owners	Wildcard Intention Match	Example
X	X			X			Junior using ISP to access his own information. Manager Joe will be contacted for consent.
X	X		X			X	Junior using ISP to access his own information. No additional consent required.
X	X			X		X	Junior using ISP to access his own information. No additional consent required.
X			X				Junior using scheduling system to invite Daphne to a party. Dolores, manager for Daphne, must consent.
X			X			X	Junior using scheduling system to invite Daphne to a party. No additional consent required.
X				X	X		Junior using scheduling system to schedule a study session on homework assignments. Parents (managers) must approve the invitation.
X				X	X	X	Junior using scheduling system to schedule a study session on homework assignments. No additional consent required.

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The following paragraphs also discuss several operating examples. The examples are not intended to be exhaustive. In general, the first paragraph of each example identifies an operating scenario and the second paragraph describes the consent transaction activities that occur at the network computing level in connection with the example.

#### Granting Simple Consent Example

Joe is an avid skier and goes to XYZAdventures.com to check out their skiing classes and adventure packages for winter break with Junior. XYZAdventures provides a special set of adventure packages, notification of upcoming sales and vacation packages that match Joe's vacation schedules, as well as special equipment rental and purchase deals for registered members. Joe decides to register in order to take advantage of these cost-saving possibilities. Joe clicks on the "use web-services information" and the consent U.I. appears asking Joe to grant XYZAdventures access to his profile, alerts, and calendar. Joe reviews the purposes to which XYZAdventures is going to put his information and agrees. XYZAdventures shows Joe all of the vacation pack-

ages that match his winter break schedule, along with suggestions on rental and purchase equipment that might be necessary.

50 XYZAdventures does not have access through any of Joe's defaults to Joe's profile service, calendar service or alert service. Failing at the service level, XYZAdventures must redirect to the consent U.I. in order to get Joe's explicit consent.

#### 55 Denying Simple Consent Example

Dolores goes to WebSite.com on Joe's recommendation. Based upon Joe's recommendation, Dolores simply goes to the registration page. After viewing the intentions for her profile, alerts and contacts services listed in the consent UI, Dolores does not feel that she really wants to participate and denies consent. WebSite.com does not let Dolores navigate beyond the home page.

65 Dolores does not have any defaults that would allow WebSite access to her contacts and the intentions listed do not match her default profile intentions. WebSite must redirect to the consent U.I. to get access to contacts and



profile. WebSite was able to get access to Dolores' Alerts, but is unwilling to provide services without access to all three services.

#### Blocked Consent Example

Dolores has read that there are certain intermediate applications used by clients that may be rather unscrupulous regarding accessing information. Dolores decides that she will simply not allow access, ever, to any of these intermediate applications. Dolores therefore sets a "deny access" permission against the Intermediate Search engine. When Dolores goes to a particular web site (e.g., a web site directed to knitting enthusiasts) that uses Intermediate Search, she submits a query for patterns using her favorite yarn. This example assumes Dolores gets her search results even though Intermediate Search is blocked from asking Dolores for consent.

Dolores has used the intentional consent U.I. to create the following access control list (roleList) entry on her web-services profile service:

```
<role ID='2328497FHUIDO'
roleTemplateID="234980FHiu">
  <subject userID="SID-of-Dolores" appID="SID-of-Intermediate">
</role>
```

When Intermediate Search attempts to query Dolores' web-services profile, the service returns the "Denied Access" fault.

In a more complex scenario, it would be feasible that the Intermediate Search business model requires the profile information. In such a scenario, the search engine would fail to send results without the ability to get the profile information. In that case the hosting application would have to be able to explain to the user why the action failed.

#### Task-Based Permission Example

Joe goes to XYZTravel to book his vacation and chooses "Create an Itinerary." A consent U.I. appears asking for permission to access Joe's contacts and calendar services in order to complete the vacation booking. Joe looks through the intentions and approves. Joe then continues to book his vacation.

In order to complete the itinerary task initiated by Joe, XYZTravel translates the task request into a number of access requests. Two of the requests are directed to services for which XYZTravel does not currently have consent to access. In this example, XYZTravel needs consent to access Joe's profile and wallet. These do not appear in the consent U.I. as requiring permission, however, as Joe's defaults allow access. Still, no information is retrieved until all of the permissions are obtained. This prevents XYZTravel from obtaining some of Joe's information without Joe being able to complete the entire task.

#### Wildcard Matched Consent Example

Joe has created a web-services personal demographic profile so that he can receive local sports and weather information. When Joe logs into a news and weather web site, the site shows Joe a customized page.

Joe has previously created a default that allows any application (e.g., the news and weather web site) that only wants to query his demographic information for the primary use purposes of targeting content and targeting advertising to be allowed access. Because the news and weather web site has only the intention of targeting sports and weather information of interest to Joe, consent to access has been silently granted (i.e., without interrupting Joe's task flow with a consent menu).

#### Wildcard Blocked Consent Example

Junior is a managed user. Junior goes to Search site to conduct a search. The site, however, collects profile information in order to target advertisements—some of which are not age appropriate. Search site informs Junior that the search result could not be fulfilled because the site requires access to his personal profile before completing search requests.

Joe has previously created a role in the access control list associated with Junior's profile information. The role does not allow Junior's profile information to be accessed, ever. Search site, therefore, is not able to get consent from Junior to access Junior's profile.

#### User-To-User Consent Example

Jane uses an on-line ticket site to purchase event tickets. The ticket site has a subscription service that will allow Jane to create an "event circle" of up to 6 people. When any of Jane's preferred event types (e.g. stand-up comedy) or specific events (e.g. a particular concert) become listed, the ticket site will reserve tickets for her event circle and then contact Jane regarding the event. Jane pays an annual fee for this service. Jane registers for the service and enters the email addresses for each member of her event circle. The ticket site notifies Jane that two of her circle members need to grant access permissions before it can update their calendars as part of the event circle.

The ticket site attempts to access each of the identified member's web-services calendar service. In two cases, however, there are no access controlled roles (default or previously written) that will allow the ticket site to access the calendar information. The ticket site redirects to the consent system which determines that the owners of those services are not Jane. The owners are notified (e.g., via their alerts preferences) and the ticket site receives a fault.

#### One Time Permissions: Simple Example

Dolores finds an item she would like to buy on XYZsales.com. The consent U.I. shows Dolores the requirements for the "purchase" task: (a) a shipping address from her profile service; (b) a billing address from her profile service; and (c) a credit card number from her electronic wallet/financial service. Dolores agrees to allow one time access to both services by selecting "approve only" rather than the "allow access" option.

XYZsales.com attempts to access Dolores' profile for the shipping and billing information, as well as her financial service for credit card information. Assuming that Dolores does not have any defaults that will allow this to happen without her explicit consent, XYZsales.com redirects to the consent system. The consent system presents Dolores with a consent menu identifying the components of the purchase task she initiated and XYZsales.com's primary and secondary use intentions. Dolores has the option of either choosing to allow the current transaction on a one time only basis (approve only), or to allow a more persistent entry in her access control list ("allow access"). It should be recalled, however, even a persistent entry can be time limited.

#### One Time Permissions: User-To-User Example

Joe has an annual party for co-workers and friends. Using an invitation function of his on-line calendar, Joe sends out invitations to all those entries in his contacts service containing a "party" category attribute. Dolores, who is included in the list, receives an alert notifying her that Joe would like to invite her to the party. By clicking on the "approve only" link, Dolores allows a one time only access (an insert/write) to her calendar for Joe.



The on-line calendar client attempts an insert against Dolores' calendar. There is no matching access control list entry, so the on-line calendar client uses the consent system to send a message to Dolores. In this example, Dolores receives the message and chooses to allow the insert. The on-line calendar client completes the insert and the consent system sends a message to Joe indicating that the request has been approved. Because it is an approve only form of consent, the access control list associated with Dolores' calendar is not updated to include a persistent role for the on-line calendar client.

Managed User Consent: Interactive Example Junior is a managed user. Junior meets some people and he wants to enter them into his contacts service as "friends." Joe manages Junior's user-specific information. Joe always wants to know who Junior is putting into his contact list. In this scenario, assume that the access control list associated with Junior's contacts service has been configured so that Junior is able to Read, Edit and Delete entries, but he is unable to insert new entries. When Junior attempts to insert new entries, Joe gets an alert indicating that there are pending requests for Junior. Joe reviews the contacts that Junior wants to insert and then approves two of them and denies a third one. If Jane also has manager privileges with respect to Junior, she would also have received an alert.

Junior's contact management application client attempts to enter the contacts and fails because the access control list for Junior's contacts service does not permit Junior to insert new entries. The application redirects to the consent system where it is determined that Junior is managed by Joe and Jane. Both Joe and Jane receive alert messages of pending. When Joe goes through the approval/deny process, Junior is sent a message informing him of the approval/deny status.

Managed User Consent: Intentional Example

Junior is a managed user. Joe is Junior's manager. Joe wants to make sure that Junior does not associate with a particular person, John. Joe creates a default access control list role for John, that will cause a "deny access ever" role to be written against Junior's services should John attempt to access any of Junior's services, including alerts.

Joe uses his web-services privacy system to create a role denying John access to all of Junior's services (alerts, inbox, etc.). In one embodiment, because the access is expressly denied (as opposed to a situation in which there was no rule at all regarding John), Joe will not receive a request to approve access to John.

Managed User-To-User Consent Example

Junior is having a party and decides to invite Daphne. Using his calendar client, Junior sends Daphne an invitation. Daphne is a managed user. Daphne's access control rules prevent her from accepting invitations without managed approval. Dolores is Daphne's manager. Dolores receives an alert telling her that there are pending authorization requests for Daphne. Dolores reviews the party invitation, compares it to the family calendar and then discusses it with Daphne. Since Daphne wants to go, and there are no family plans, Dolores approves the invitation. Dolores learns that Junior sends a number of school-related invitations to Daphne as well. Therefore, Dolores chooses to create a role in the access control list associated with Daphne's calendar service that allows Junior to send invitations that Daphne can approve herself.

Junior's calendar client attempts to insert the party event into Daphne's calendar and fails. A fault response causes the application to redirect to the consent system. The consent

system notes that Junior is not the owner and that the owner (Daphne) is managed by Dolores. A fault is sent back to Junior's calendar client, the request is put into pending and an alert is sent to Dolores. Acceptance by Dolores executes the invitation, creates the appropriate role and triggers an alert to Junior regarding the approved/denied status.

Policy Management Example

Joe is an employee and a managed user when using his employer's computer network. While working, Joe goes to a web site in an attempt to make an on-line purchase. When Joe attempts to make the purchase, the web site informs him that he cannot purchase from their site unless they are given consent to share the information they collect with whomever they choose. Joe is unable to override and grant access because it is a company policy that information cannot be transferred onward in an uncontrolled manner.

The web site request for access includes the intention of sharing or selling the information to uncontrolled third parties. Because Joe is managed while using his corporate identity, there are a set of default roles over which he has no control. One of those roles prohibits this intention against personally identifiable information (sometimes referred to as PII). This includes Joe's shipping address as the company. This causes a fault. The web site redirects to the consent system with its task ID. The consent system determines that Joe is managed under the circumstances and is not able to change the default. In one embodiment, the consent system will not send any alert to Joe's manager because the error resulted from a denial, not a missing entry.

In privacy terms, PII includes information that can locate a specific individual in the real or virtual world. It would include, for example, email address, physical address, name, telephone number, and so on. It would not include, for example, information elements that cannot uniquely locate an individual, such as cite, state, or country of residence.

Intention Management Example

Joe goes to a financial web site which customizes the pages it displays to show, for example, the user's name and items of local financial news of interest to the user. After reviewing the options, Joe decides to subscribe to the financial advisor program. This program allows Joe to enter a series of stock symbols and industry types (e.g. tech sector) in which he is interested. The financial web site advisors will send Joe email when any of those sectors have something interesting happening. They will also send alerts when there are marked changes in the value of stocks listed in Joe's portfolio. After making the selection, Joe is asked to grant permission for the financial web site to contact him via email and alerts. The financial web site includes verbiage indicating that agreeing to get email and alerts from the financial web site does not mean that the site will send him any other type of email or alert. Joe agrees and starts filling in the required information not available in his web-services.

The financial web site sends a query request against Joe's profile using the task ID and intentions for targeting content and advertising. Assuming that Joe has already granted permission for these intentions as a wildcard, the web site has no problem accessing the required information. When Joe chooses Financial Advisor services, the web site queries for access to Joe's email address from his web-services profile service. The primary intention is to contact Joe. Because there is no match made to any specific or wildcard role in the profile, however, the web site redirects to the consent system where the information and intentions are displayed to Joe on a consent menu. If Joe agrees, the consent system writes a financial web site specific role into



Joe's profile access control list that includes the intentions to which Joe has explicitly agreed.

#### Provisioned Consent Example

Dolores goes to an on-line ticket site and purchases tickets. She already has a calendar created in her calendar service. Dolores does not, however, have a wallet created. She chooses "set one up for me," and a wallet form appears. Dolores enters the appropriate information and chooses Submit. A consent form appears asking her if she wants to grant the on-line ticket site permission to access the wallet information just created. After noticing that the only use the on-line ticket site plans for the wallet is to complete any transactions she initiates, Dolores consents and the tickets are purchased.

The on-line ticket site client discovers that Dolores does not have a wallet service when it attempts to complete Dolores' purchase request. In order to complete the task, the on-line ticket site needs wallet information. Dolores is therefore presented with a web-services wallet service provisioning UI. Once Dolores fills in the information, the on-line ticket site redirects to the consent U.I. in order to get access permission.

The foregoing examples are provided to provide additional context and should not be interpreted as limiting the claims in any way.

When introducing elements of the present invention or the embodiment(s) thereof, the articles "a," "an," "the," and "said" are intended to mean that there are one or more of the elements. The terms "comprising," "including," and "having" are intended to be inclusive and mean that there may be additional elements other than the listed elements.

It should also be appreciated that one or more computer-readable media may be used to store computer-executable instructions for performing the methods disclosed herein.

In view of the above, it will be seen that the several objects of the invention are achieved and other advantageous results attained.

As various changes could be made in the above constructions, products, systems, and methods without departing from the scope of the invention, it is intended that all matter contained in the above description and shown in the accompanying drawings shall be interpreted as illustrative and not in a limiting sense.

What is claimed is:

1. A method of managing access by a client to user-specific information maintained in connection with a plurality of services, the method comprising:

maintaining a plurality of items of user-specific information in more than one of a plurality of services offered by a web-services provider and used by a user of said plurality of services;

obtaining a plurality of client access requests directed to accessing the plurality of items of user-specific information maintained in the more than one of the plurality of services, said plurality of access requests being translated from a task request that requires the client to access the plurality of items of user-specific information in order to complete the task request; and

for each of the plurality of items of user-specific information required by the client to complete the task request:

determining if the client has consent to access the items of user-specific information required by the client to complete the task request;

selectively obtaining consent, from a party having authority to grant access to the client for the client to

access the item of user-specific information if the client lacks consent as a function of said determining;

filling the client access request directed to the item if the client has consent to access the item of user-specific information;

initiating the task request requiring the client to access the item of user-specific information in order to complete the task request;

translating the task request into the plurality of client access requests to complete the task request wherein selectively obtaining consent for the client to access the item of user-specific information comprises:

identifying the task request;

placing the identified task request in a task queue;

identifying the party with authority to grant consent to the client to access the item of user-specific information for which the client lacked consent to access; and

displaying a consent menu to the identified party with authority, said consent menu prompting the identified party to grant or deny consent for the client to access the item of user-specific information for which the client lacked consent to access.

2. The method of claim 1 wherein the identified party with authority to grant consent is the user of the plurality of services offered by the web-services provider and wherein displaying the consent menu to the identified party comprises displaying the consent menu to the user.

3. The method of claim 1 wherein the identified party with authority to grant consent is an owner of the item of user-specific information for which the client lacked consent to access and wherein displaying the consent menu to the identified party comprises displaying the consent menu to the owner.

4. The method of claim 3 wherein the owner is the user of the plurality of services and wherein displaying the consent menu to the identified party comprises displaying the consent menu to the user.

5. The method of claim 1 wherein the user of the plurality of services is a managed user and the identified party with authority to grant consent is a manager of the managed user and wherein displaying the consent menu to the identified party comprises displaying the consent menu to the manager of the managed user.

6. The method of claim 1 wherein displaying the consent menu to the identified party comprises:

displaying an indication of the item of user-specific information for which the client lacked consent to access;

displaying an identity of the client; and

displaying an intended use of the client of the item of user-specific information for which the client lacked consent to access.

7. The method of claim 6 wherein displaying a consent menu to the identified party further comprises displaying a method of access requested by the client to complete the initiated task request.

8. The method of claim 6 wherein displaying a consent menu to the identified party further comprises displaying an indication of a status of each of the plurality of client access requests translated from the task request.

9. The method of claim 8 wherein displaying an indication of the status of each of the plurality of client access requests comprises displaying an indication of whether the client has consent from the identified party to access the plurality of items of user-specific information in the more than one of the plurality of services.



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10. The method of claim 1 wherein identifying the task request comprises:

identifying the plurality of client access requests to complete the task request; and

identifying the item of user-specific information for which the client lacked consent to access. 5

11. The method of claim 1 further comprising:

providing a consent acceptance message being indicative of whether the identified party granted consent for the client to access the item of user-specific information for which the client lacked consent; and 10

updating an access control list associated with the item of user-specific information for which the client lacked consent if the consent acceptance message indicates that the identified party granted consent, whereby upon updating said access control list, the client has consent to access the item of user-specific information. 15

12. The method of claim 11 further comprising removing the identified task from the task queue if the consent acceptance message indicates that the identified party granted consent. 20

13. The method of claim 11 further comprising transmitting a consent success message to the client, said consent success message being indicative of whether the identified party granted consent for the client to access the item of user-specific information for which the client lacked consent. 25

14. The method of claim 11 wherein updating the access control list further comprises setting a time limit in which the client has consent to access the item of user-specific information. 30

15. The method of claim 1 wherein displaying the consent menu to the identified party further comprises displaying an invitation to allow the client to enjoy a one-time only access to the item of user-specific information for which the client lacked consent. 35

16. The method of claim 1 wherein selectively obtaining consent for the client to access the item of user-specific information further comprises sending an alert message to the party with authority to grant consent, said alert message alerting the party with authority to grant consent that the client seeks access to the item of user-specific information for which the client lacked consent. 40

17. The method of claim 1 further comprising:

providing a consent acceptance message being indicative of whether the identified party granted consent for the client to access the item of user-specific information for which the client lacked consent; 45

granting consent to allow the client to access the item of user-specific information if the consent acceptance message indicates that the identified party granted consent. 50

18. The method of claim 1, wherein one or more computer-readable media have computer-executable instructions for performing the method recited in claim 1. 55

19. A task-based method of managing consent transactions in a network computing environment, said network computing environment including a web-services provider providing a first service and a second service, a user of the first service and the second service, and a client of the web-services provider, the method comprising: 60

maintaining a first data store of user-specific information in connection with the first service;

maintaining a second data store of user-specific information in connection with the second service; 65

obtaining a first access request from the client and directed to the first service, said first access request

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indicating a first item of user-specific information maintained in the first data store to which the client seeks access in order to complete a task request;

obtaining a second access request from the client and directed to the second service, said second access request indicating a second item of user-specific information maintained in the second data store to which the client seeks access in order to complete the task request;

determining if the client has consent to access the first item of user-specific information and separately determining if the client has consent to access the second item of user-specific information;

selectively obtaining consent if consent does not currently exist to allow the client to access the first item of user-specific information as a function of said determining, wherein selectively obtaining consent includes:

identifying a party with authority to grant consent to the client to access the first item of user-specific information; and

displaying a consent menu to the identified party with authority, said consent menu prompting the identified party to grant or deny consent to the client to access the first item of user-specific information.

20. The method of claim 19 further comprising:

initiating a task request from the user that requires the client to access the first item of user-specific information and the second item of user-specific information; and

translating the task request into the first access request and the second access request.

21. The method of claim 19 wherein selectively obtaining consent further includes:

identifying the task request;

retrieving a task manifest corresponding to the task request, said task manifest identifying the first and second items of user-specific information; and

preparing an entry for display on the consent menu based on the task manifest.

22. The method of claim 19 further comprising filling the second access request only if the client has consent to access both the first item of user-specific information and the second item of user-specific information.

23. The method of claim 19, wherein one or more computer-readable media have computer-executable instructions for performing the method recited in claim 19.

24. A method of managing consent transactions in a network computing environment, said network computing environment including a web-services provider providing a plurality of services, a user of the plurality of services, said web-services provider maintaining user-specific information associated with the user in connection with the plurality of services, and a client of the web-services provider, said user initiating a task request with the client, said client directing a plurality of access requests to the plurality of services in order to complete the task request, the method comprising:

selectively obtaining consent if the client lacks consent required to complete one or more of the plurality of access requests, wherein, for each of the one or more of the plurality of access requests, said obtaining consent includes:

identifying a party with authority to grant consent to allow the client to complete the access request; and

initiating a consent request transaction with the identified party with authority to grant consent, said consent request transaction inviting the party with



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authority to grant consent to allow the client to complete the access request.

25. The method of claim 24 wherein initiating a consent request transaction further comprises displaying a consent menu to the identified party with authority to grant consent, said consent menu prompting the identified party to grant or deny consent for the client to complete the access request.

26. The method of claim 25 wherein the identified party with authority to grant consent is the user of the plurality of services and wherein displaying the consent menu to said identified party comprises displaying the consent menu to the user.

27. The method of claim 25 wherein the identified party with authority to grant consent is an owner of the user-specific information associated with the user and wherein displaying the consent menu to said identified party comprises displaying the consent menu to the owner.

28. The method of claim 25 wherein the user of the plurality of services is a managed user and the identified party with authority to grant consent is a manager of the managed user and wherein displaying the consent menu to said identified party comprises displaying the consent menu to the manager of the managed user.

29. The method of claim 25 wherein prompting the identified party to grant or deny consent for the client to complete the access request comprises providing a one-time only consent option whereby when said identified party selects the one-time only consent option the client is allowed to complete the access request only for completing the task request.

30. The method of claim 24 wherein initiating the consent transaction with the party with authority to grant consent further comprises sending an alert message to said party with authority, said alert message alerting said party that the client is seeking access to the user-specific information.

31. The method of claim 24, wherein one or more computer-readable media have computer-executable instructions for performing the method recited in claim 24.

32. A method of controlling access to user-specific information for use in connection with a network computing environment including a web-services provider, a user of a service provided by the web-services provider, and a client of the web-services provider, said web-services provider maintaining a data store of user-specific information associated with the user in connection with the service, and said client seeking access to one or more of a plurality of items of user-specific information in the data store and transmitting an access request message directed to the service and indicating the one or more of the plurality of items of user-specific information in the data store to which the client seeks access the method comprising, for each of the one or more of the plurality of items:

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comparing the access request message to an access control list associated with the service, said access control list identifying whether the client has permission to access the item of user-specific information;

placing the access request in a pending request queue;

transmitting a service response message to the client, said service response message indicating a fault if the access control list identifies that the client does not have permission to access the item of user-specific information and said service response message indicating a success if the access control list identifies that the client has permission to access the item of user-specific information;

selectively obtaining consent, from a party having authority to grant consent to the client, for the client to access the item of user-specific information if the service response message received by the client indicates a fault; and

filling the access request if the access control list authorizes the client to access the item of user-specific information in the data store and removing the access request from the pending request queue wherein selectively obtaining consent further includes:

identifying a party with authority to grant permission to the client to access the item of user-specific information; and

displaying a consent menu to the identified party with authority to grant permission, said consent menu prompting the identified party to grant or deny permission for the client to access the item of user-specific information.

33. The method of claim 32 wherein the identified party is the user of the service and wherein displaying a consent menu to the identified party comprises displaying the consent menu to the user.

34. The method of claim 32 wherein the identified party is an owner of the item of user-specific information and wherein displaying the consent menu to the identified party comprises displaying the consent menu to the owner.

35. The method of claim 32 wherein the user is a managed user and the identified party is a manager of the managed user and wherein displaying the consent menu to the identified party comprises displaying the consent menu to the manager of the managed user.

36. The method of claim 32, wherein one or more computer-readable media have computer-executable instructions for performing the method recited in claim 32.

\* \* \* \* \*



UNITED STATES PATENT AND TRADEMARK OFFICE  
**CERTIFICATE OF CORRECTION**

PATENT NO. : 7,076,558 B1  
APPLICATION NO. : 10/084778  
DATED : July 11, 2006  
INVENTOR(S) : Melissa W. Dunn

Page 1 of 2

It is certified that error appears in the above-identified patent and that said Letters Patent is hereby corrected as shown below:

On the title page, item (56), under "U.S. Patent Documents", in column 2, line 4, delete "2002/0096571" and insert -- 2002/0095571 --, therefor.

On page 2, item (56), under "U.S. Patent Documents", in column 1, line 2, delete "2003/0081781" and insert -- 2003/0081791 --, therefor.

On page 2, item (56), under "Other Publications", in column 1, line 14, after "Quietly" delete ";" and insert -- : --, therefor.

On page 2, item (56), under "Other Publications", in column 2, line 3, delete "deCapitani" and insert -- DeCapitani --, therefor.

On page 2, item (56), under "Other Publications", in column 2, line 13, delete "Initiatve" and insert -- Initiative --, therefor.

In column 14, line 26, delete "requester." and insert -- requestor. --, therefor.

In column 23, line 23, delete "client side" and insert -- client-side --, therefor.

In column 25, line 26, delete "requester;" and insert -- requestor; --, therefor.

In column 26, line 55, delete "client **side-fabric**" and insert -- **client-side** fabric --, therefor.

In column 30, line 35, delete "(line 101)." and insert -- (line 10I). --, therefor.

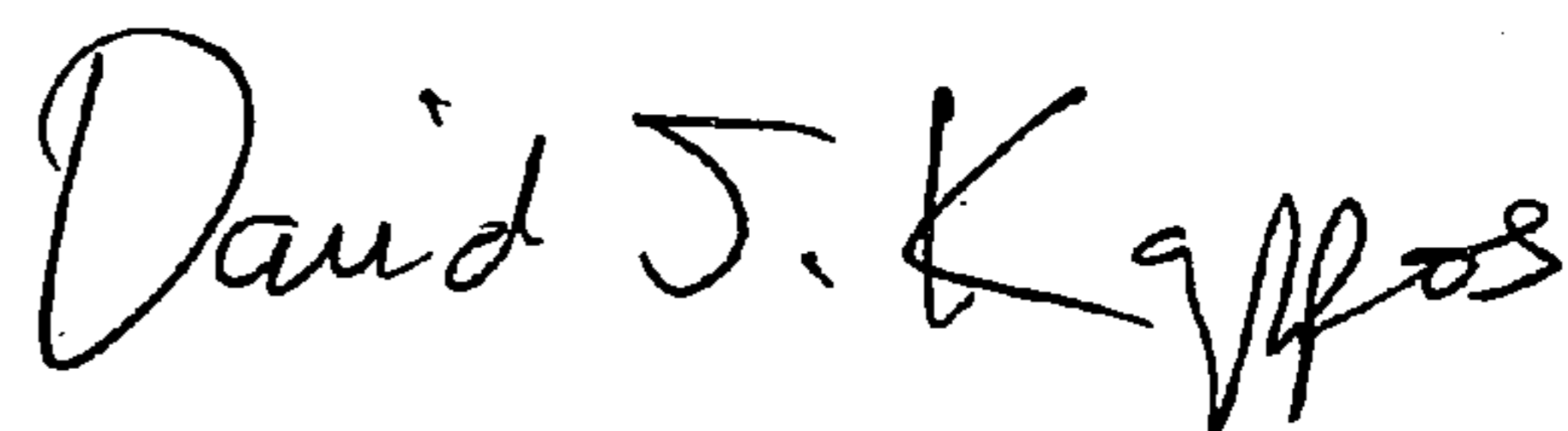
In column 31, line 8, delete "(line ION)" and insert -- (line 10N) --, therefor.

In column 31, line 11, delete "user specific" and insert -- user-specific --, therefor.

In column 32, line 3, after "either case" delete "case".

Signed and Sealed this

Twentieth Day of July, 2010



David J. Kappos  
Director of the United States Patent and Trademark Office



In column 33, line 5, delete “111A” and insert -- 1110A --, therefor.

In column 33, line 60, delete “106B.” and insert -- 1106B. --, therefor.

In column 35, line 2, after “1105)” insert -- : --.

In column 35, line 15, delete “110C).” and insert -- 1110C). --, therefor.

In column 35, line 29, after “Success)” insert -- . --.

In column 43, line 13, delete “Managed User Consent: Interactive Example” and insert -- Managed User Consent: Interactive Example --, therefor on line 12 as Heading of the paragraph.

In column 45, line 67, in Claim 1, after “client” insert -- , --.

In column 49, line 51, in Claim 32, after “access” insert -- , --.