



US006574397B2

(12) **United States Patent**
Katayama et al.

(10) **Patent No.:** **US 6,574,397 B2**
(45) **Date of Patent:** **Jun. 3, 2003**

(54) **OPTICAL MULTIPLEXER/DEMULTIPLEXER**

FOREIGN PATENT DOCUMENTS

(75) Inventors: **Makoto Katayama**, Yokohama (JP);
Masayuki Nishimura, Yokohama (JP);
Shigeru Tanaka, Yokohama (JP)

JP 07-117612 12/1995

* cited by examiner

(73) Assignee: **Sumitomo Electric Industries, Ltd.**,
Osaka (JP)

Primary Examiner—Akm E. Ullah

Assistant Examiner—Jerry T Rahll

(74) *Attorney, Agent, or Firm*—McDermott, Will & Emery

(*) Notice: Subject to any disclaimer, the term of this patent is extended or adjusted under 35 U.S.C. 154(b) by 0 days.

(57) **ABSTRACT**

The present invention relates to an optical multiplexer/demultiplexer comprising a structure for effectively lowering the crosstalk between adjacent signal channels, thereby realizing excellent wavelength multi/demultiplexing characteristics. The optical multiplexer/demultiplexer is designed such that, while adjacent channel waveguides are allowed to have optical path length differences different from each other, effective optical path length differences become constant between optical paths traveling by way of the channel waveguides adjacent each other in optical paths including slab waveguides as a whole, whereby the structure for connecting channel waveguides to flat connecting end faces of slab waveguides can be changed arbitrarily without being restricted by multi/demultiplexing conditions. As a result, it becomes easier to design the arrangement of channel waveguides, and their layout attains a higher degree of freedom, which makes it possible to design a structure for effectively lowering the crosstalk between adjacent signal channels.

(21) Appl. No.: **09/750,282**

(22) Filed: **Dec. 29, 2000**

(65) **Prior Publication Data**

US 2002/0118912 A1 Aug. 29, 2002

(51) **Int. Cl.**⁷ **G02B 6/00**

(52) **U.S. Cl.** **385/46; 385/37; 359/125**

(58) **Field of Search** 385/14-15, 37,
385/46; 359/115, 124

(56) **References Cited**

U.S. PATENT DOCUMENTS

- 5,002,350 A 3/1991 Dragone
- 5,841,919 A * 11/1998 Akiba et al. 385/37
- 5,982,960 A * 11/1999 Akiba et al. 385/24
- 6,069,990 A * 5/2000 Okawa et al. 385/43
- 6,122,419 A * 9/2000 Kurokawa et al. 385/31

9 Claims, 7 Drawing Sheets

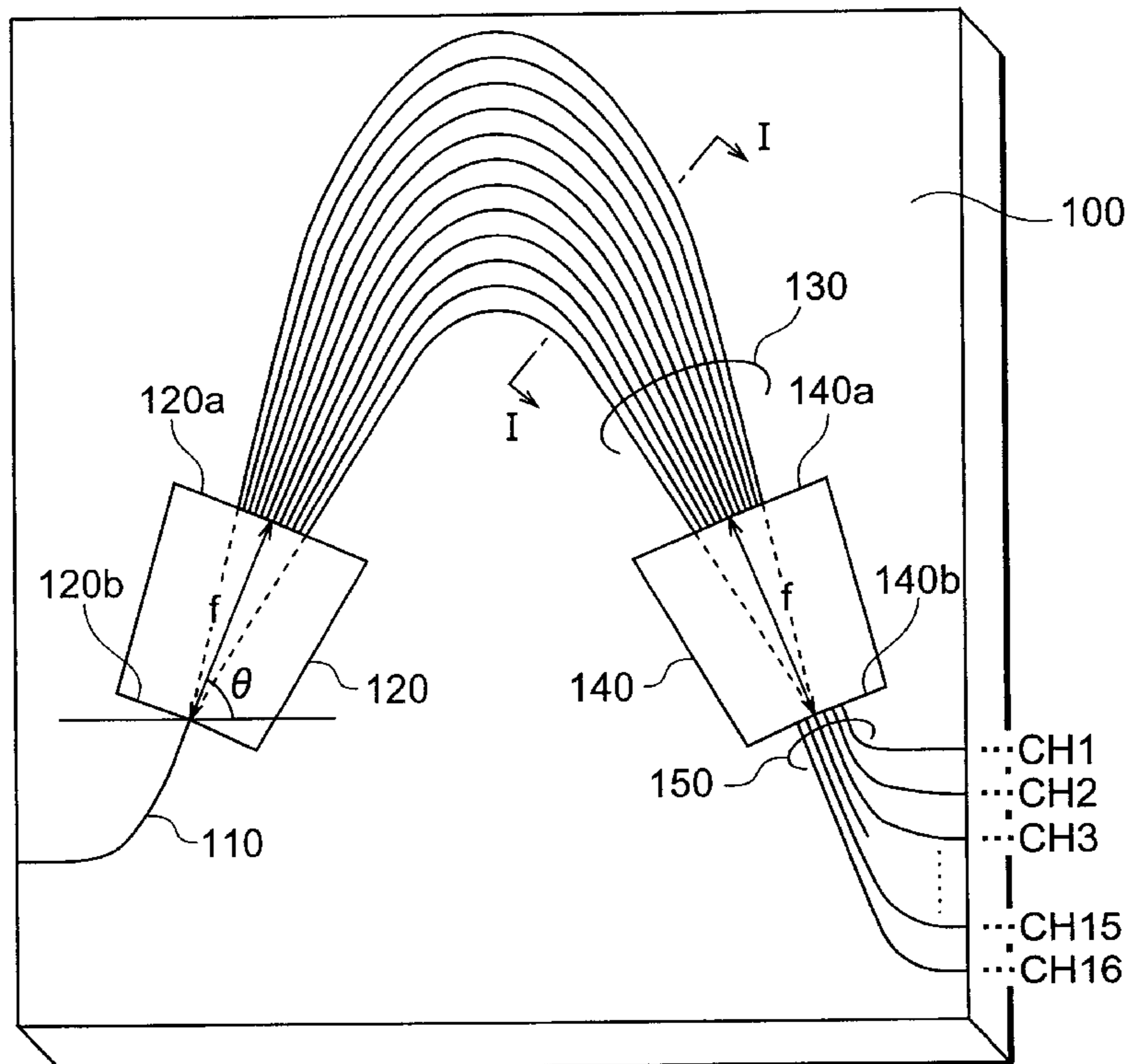


Fig. 1

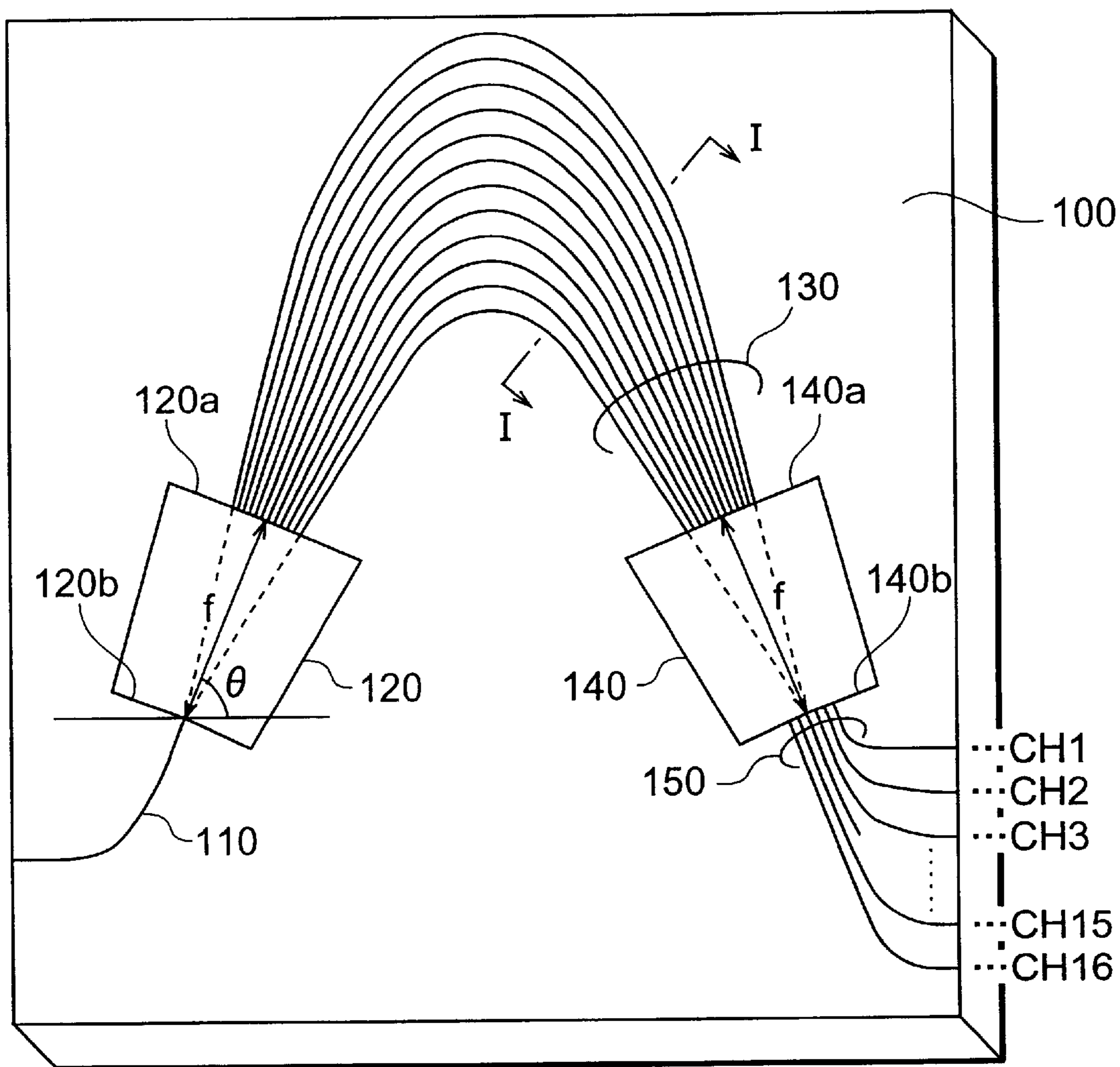


Fig. 2

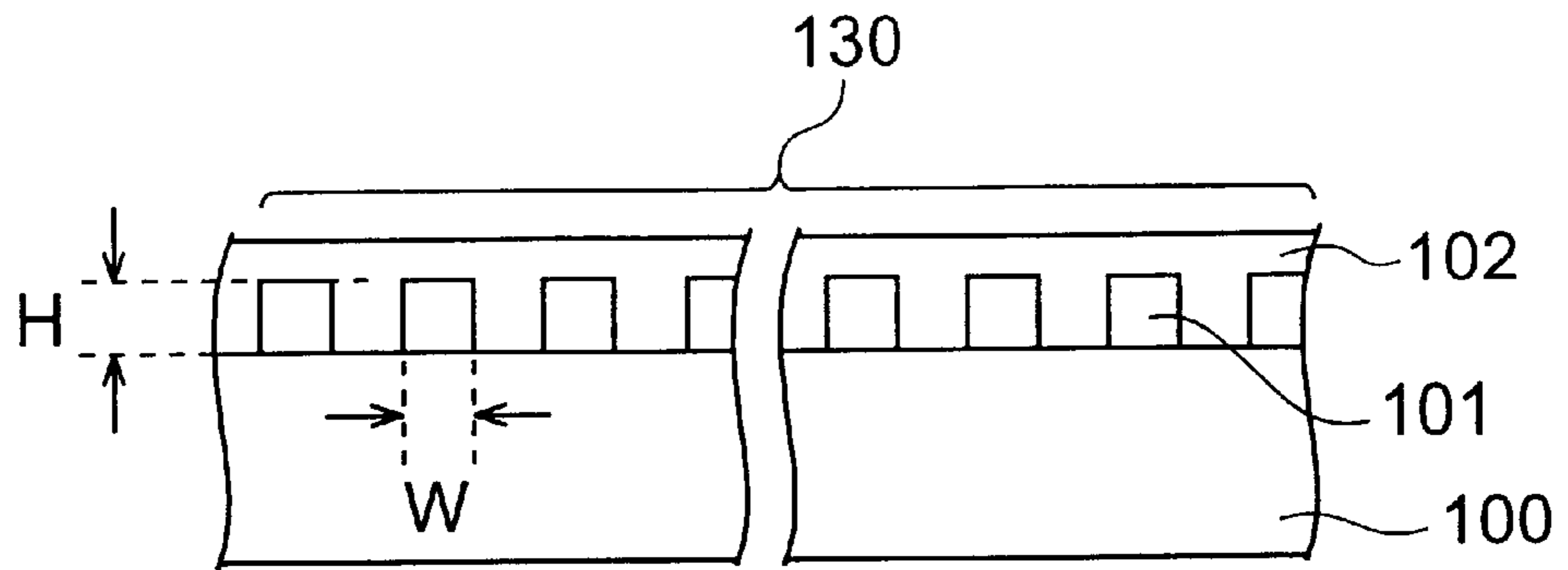


Fig. 3

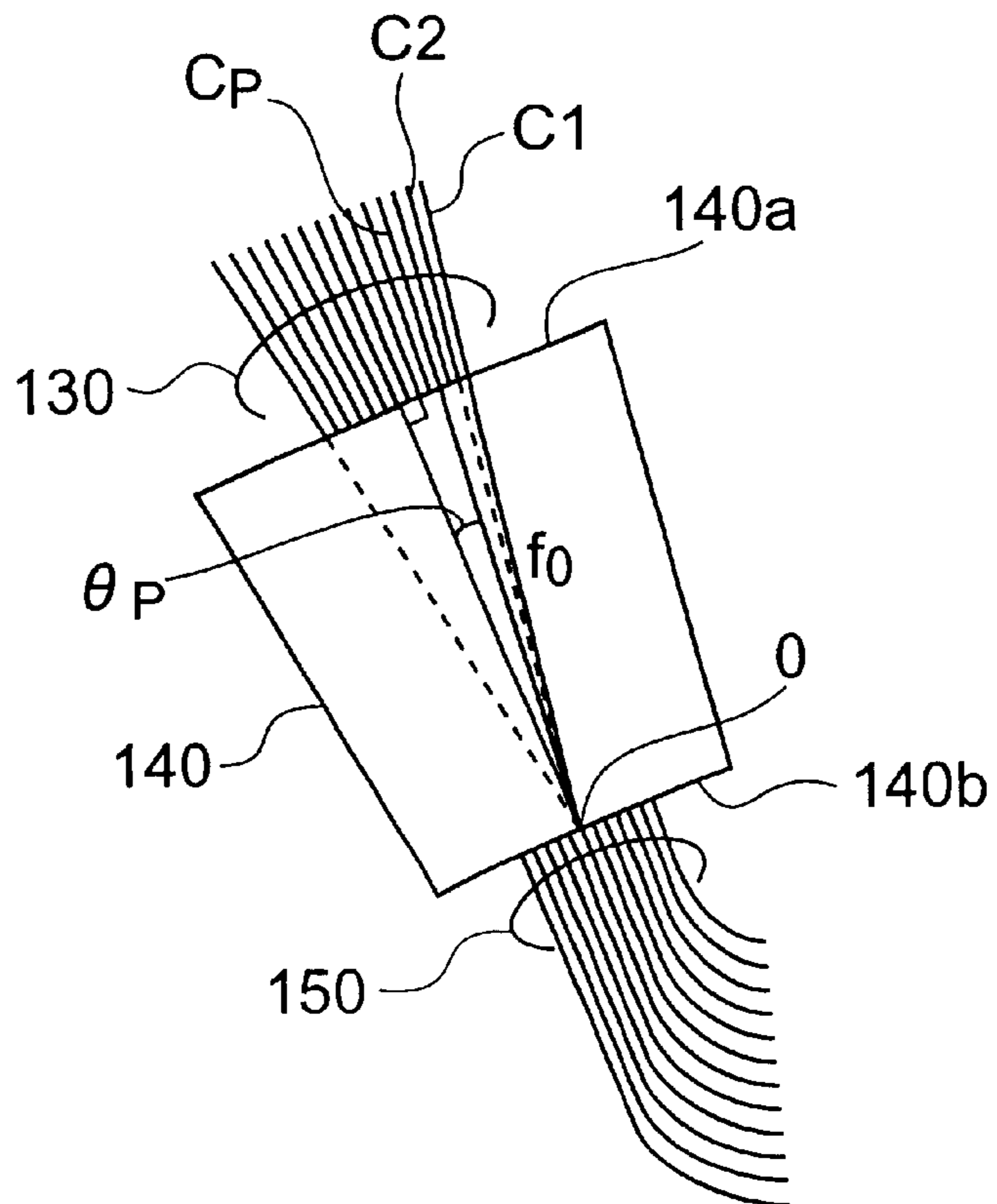


Fig.4

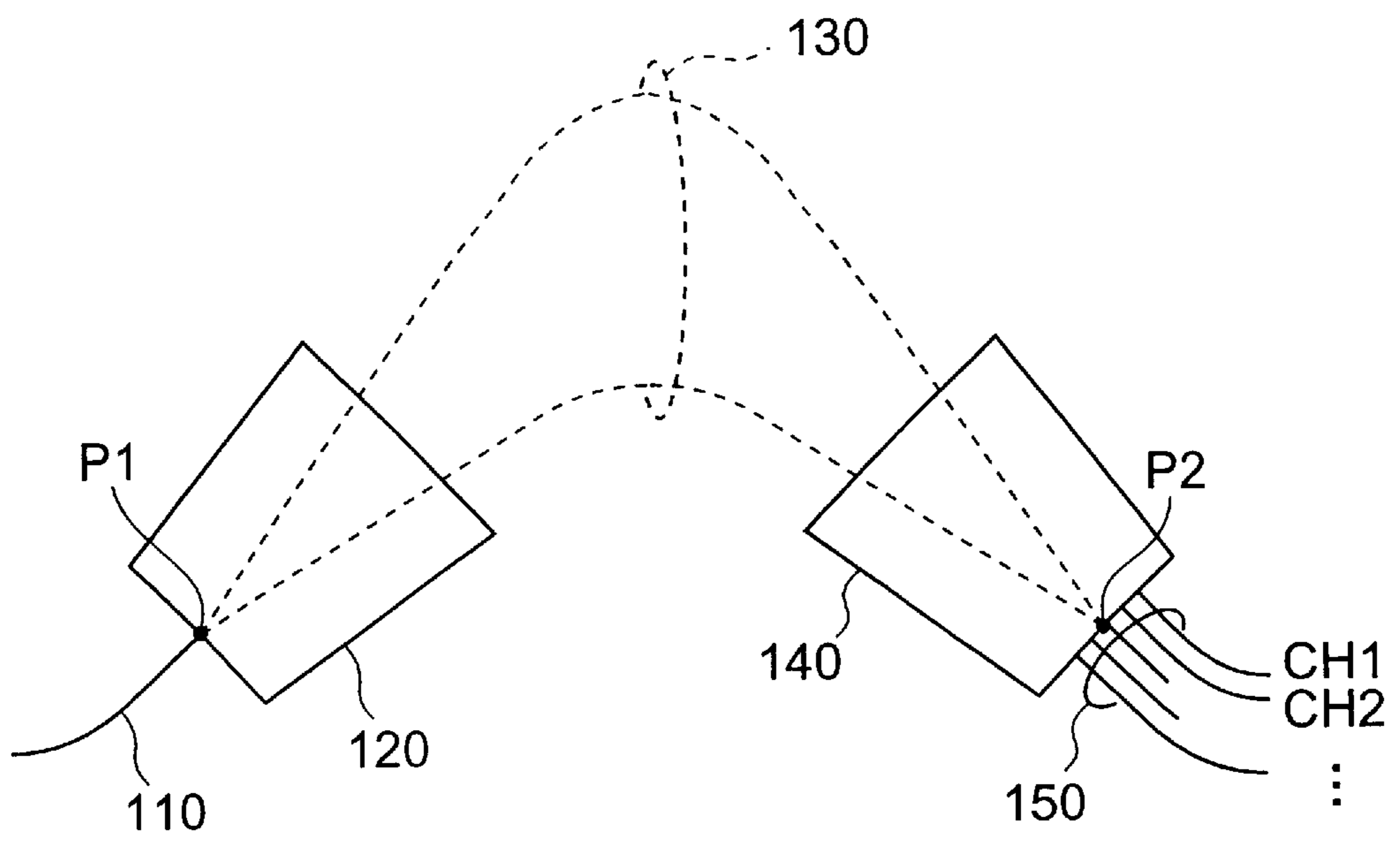


Fig.5A

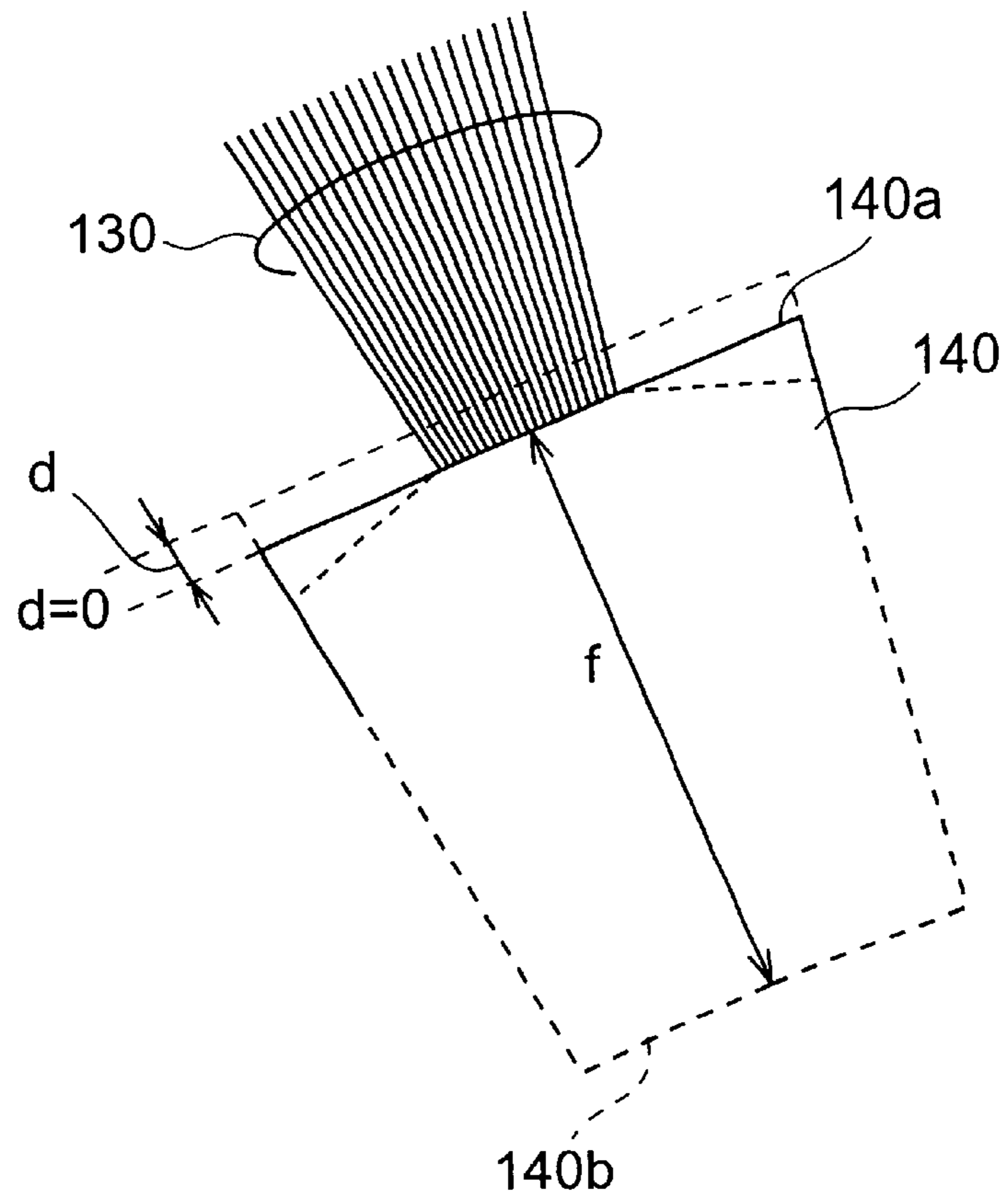


Fig.5B

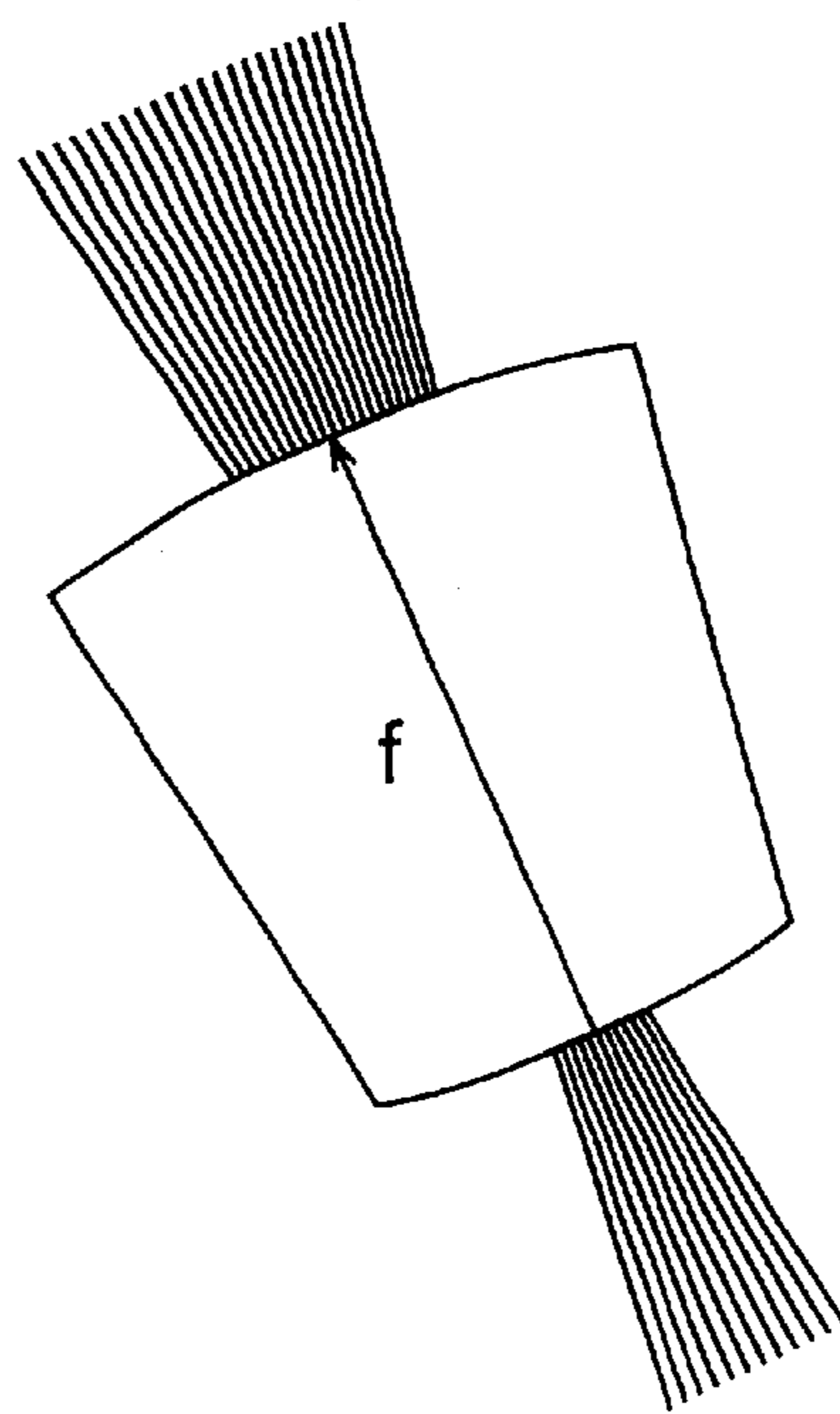


Fig. 6

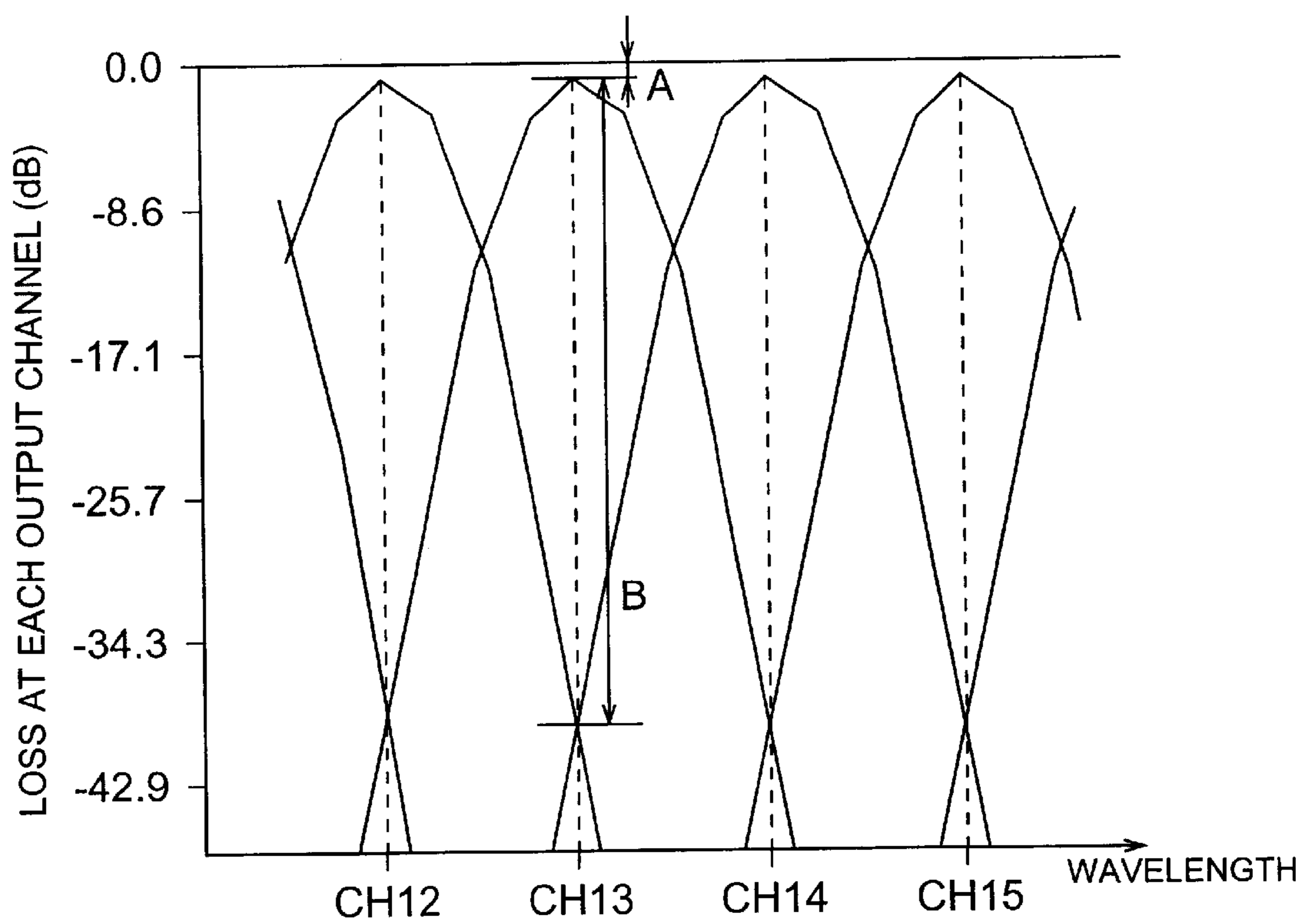


Fig.7

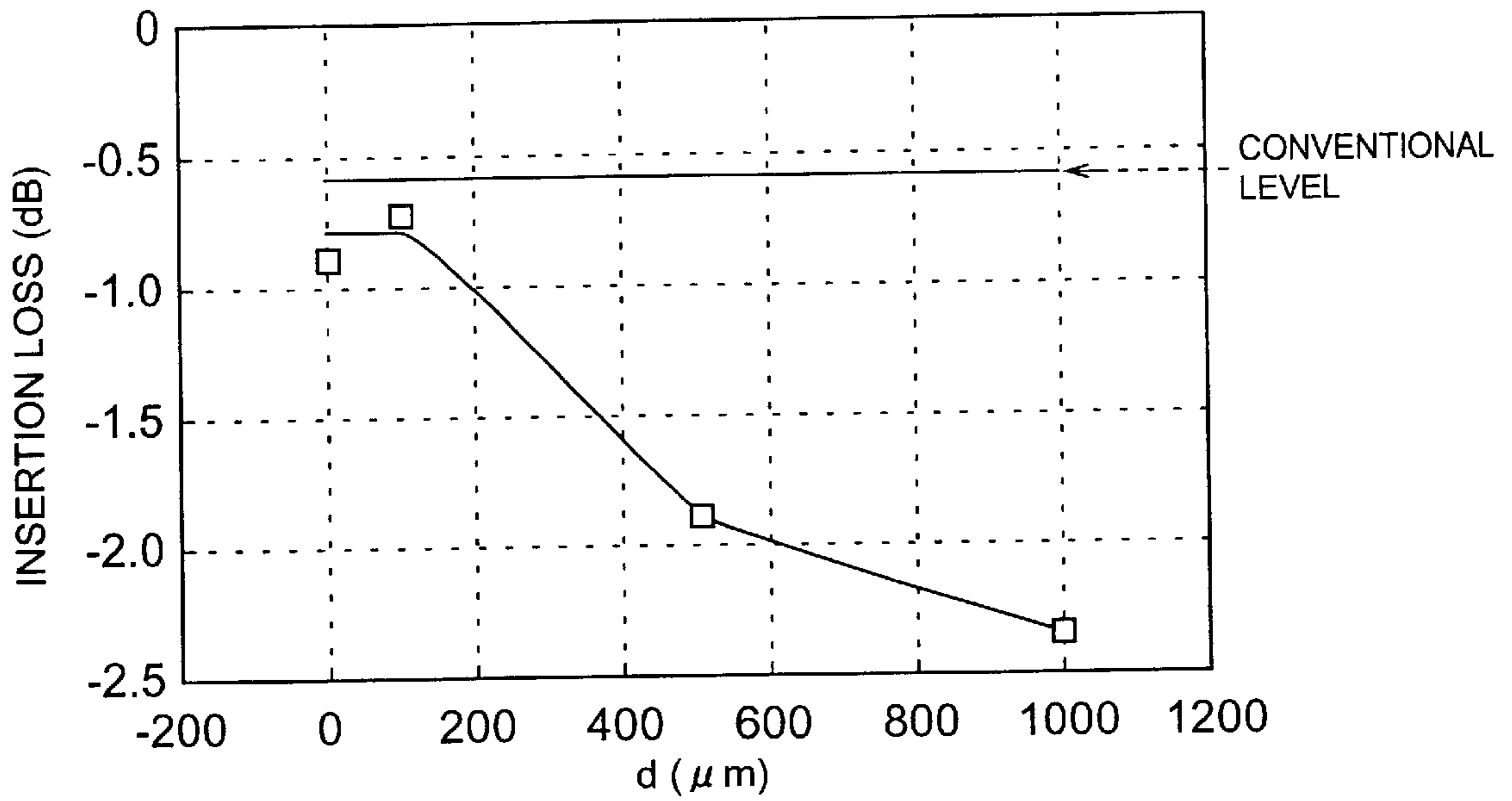


Fig.8

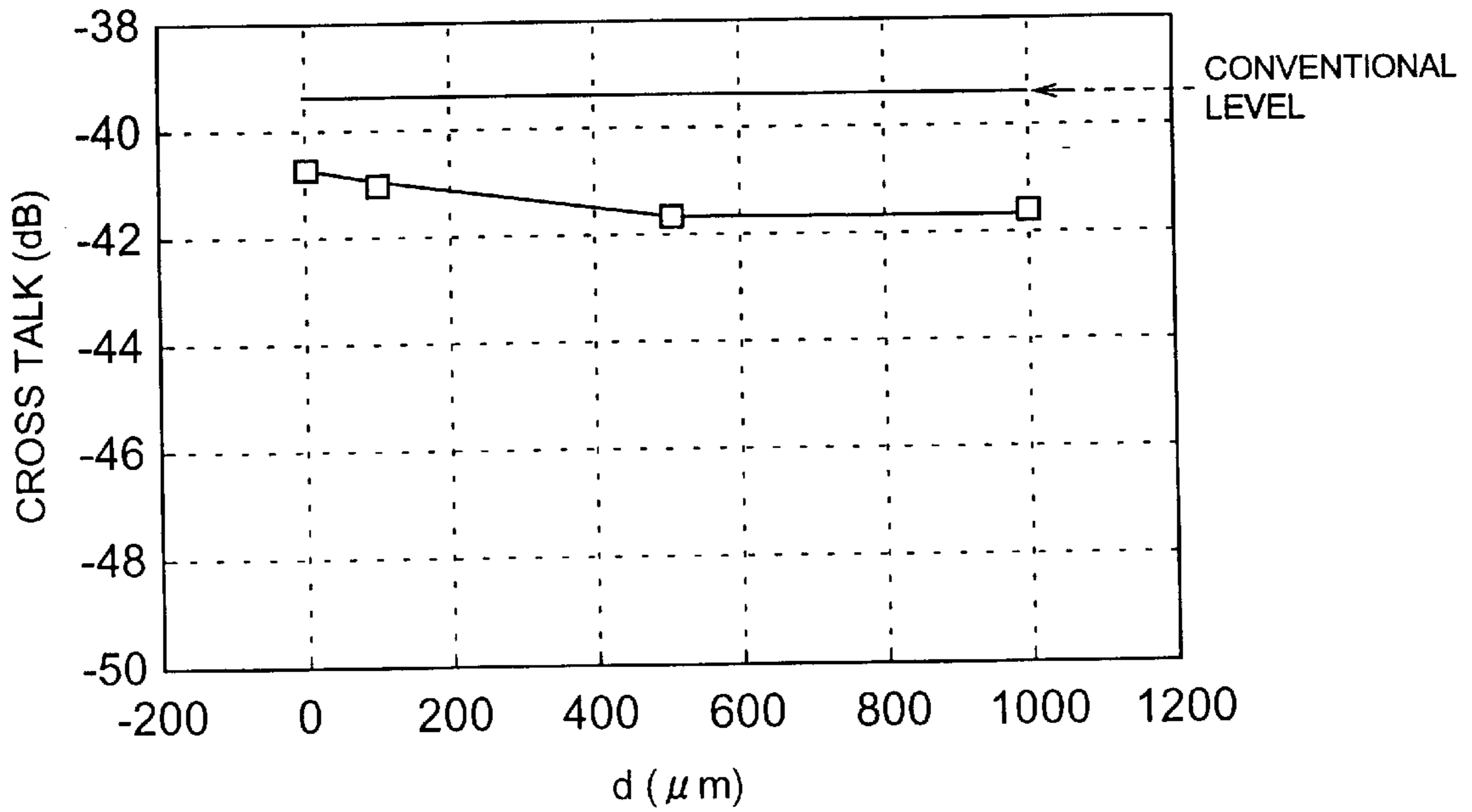
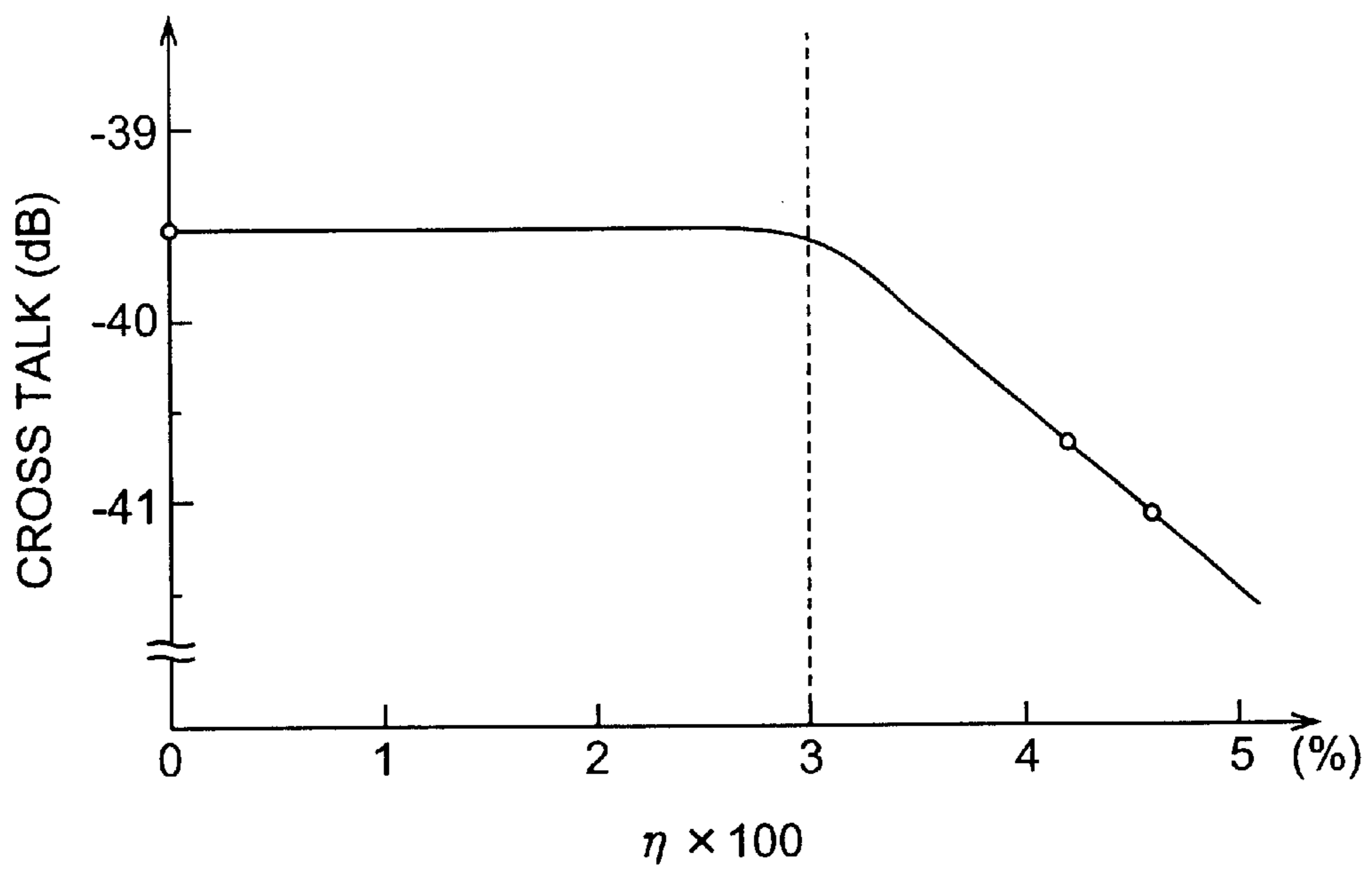


Fig.9



OPTICAL MULTIPLEXER/DEMULTIPLEXER

BACKGROUND OF THE INVENTION

1. Field of the Invention

The present invention relates to an arrayed waveguide grating (AWG) type optical multiplexer/demultiplexer which is employable as a wavelength-selecting device in a wavelength division multiplexing (WDM) transmission system.

2. Related Background Art

AWG type optical multiplexer/demultiplexers (hereinafter referred to as AWG circuits) are widely in use as a wavelength filter, which can take out or insert a specific wavelength upon interference, for a wavelength-selecting device in WDM transmission systems. Also, since the AWG circuits can be realized by general fine processing procedures such as lithography or etching without necessitating the machining as precise as that of diffraction gratings or the forming of multilayer films as precise as that of interference films, they are expected to develop as a main optical device in future WDM transmission systems together with their capability of assembling with other optical waveguide devices.

Such an AWG circuit has a structure in which an input waveguide, an input slab waveguide, a plurality of channel waveguides having respective lengths different from each other (phased array), an output slab waveguide, and a plurality of output waveguides are integrally formed on a single substrate and are covered with cladding glass.

In particular, U.S. Pat. No. 5,002,350 discloses an optical multiplexer/demultiplexer in which, among a plurality of channel waveguides, those adjacent each other have a constant optical path length difference therebetween. At respective portions where the channel waveguides connect with the input and output slab waveguides, in order to improve the wavelength multi/demultiplexing performances, the end parts of channel waveguides are arranged at equally spaced intervals along an arc having a predetermined radius or each of the end faces of input and output slab waveguides to which the end parts of channel waveguides are connected at equally spaced intervals is processed so as to match an arc having the predetermined radius.

SUMMARY OF THE INVENTION

The inventors have studied the conventional optical multiplexer/demultiplexers and, as a result, have found problems as follows. Namely, if the end parts of channel waveguides are arranged like an arc, then the convergence of light outputted from those located in peripheral portions to the slab waveguides may deteriorate under the influence of aberration and the like, while the convergence of light outputted from those located near the center thereof is fully maintained. In addition, if the end parts of channel waveguides are arranged at equally spaced intervals along an arc, then the convergence of light outputted from the channel waveguides located in peripheral portions in particular to the slab waveguides will further deteriorate due to interactions between adjacent channel waveguide. As a result, among the output waveguides provided so as to correspond to respective signal channels in the conventional optical multiplexer/demultiplexers, crosstalk between adjacent signal channels may be remarkable in the output waveguides located in the periphery in particular, whereas there has been a technical limit to lowering the crosstalk.

In order to overcome problems such as those mentioned above, it is an object of the present invention to provide an optical multiplexer/demultiplexer comprising a structure which can effectively lower the crosstalk between adjacent signal channels among output waveguides provided so as to correspond to respective signal channels, in the output waveguides located in the periphery in particular, thereby realizing excellent wavelength multi/demultiplexing characteristics.

The optical multiplexer/demultiplexer according to the present invention is an AWG type optical multiplexer/demultiplexer, employable as a wavelength-selecting device in a WDM transmission system, comprising a substrate, and at least one input waveguide, a first slab waveguide, n (≥ 3) channel waveguides, a second slab waveguide, and a plurality of output waveguides provided for respective signal channels, which are disposed on the substrate.

In the optical multiplexer/demultiplexer according to the present invention, the first and second slab waveguides have respective predetermined slab lengths. In general, a slab length corresponds to the focal length of the optical input end functioning as the lens surface of the respective slab waveguide. The input waveguide is a waveguide for guiding to the first slab waveguide individual signals having respective channel wavelengths set at predetermined wavelength intervals as signal channels, and has an output end optically connected to an optical input end face of the first slab waveguide. The n channel waveguides are waveguides having lengths different from each other, and are two-dimensionally arranged on the substrate while in a state where an optical input end of each channel waveguide is optically connected to an optical output end face of the first slab waveguide so as to sandwich the first slab waveguide together with the input waveguide whereas an optical output end of each channel waveguide is optically connected to an optical input end face of the second slab waveguide so as to sandwich the second slab waveguide together with the output waveguides. The output waveguides are waveguides two-dimensionally arranged on the substrate while in a state where respective optical input ends thereof are optically connected to an optical output end face of the second slab waveguide, and are used for separately taking out signals having respective channel wavelengths set at predetermined wavelength intervals.

In particular, in the optical multiplexer/demultiplexer according to the present invention, at least one of the optical output end face of the first slab waveguide and the optical input end face of the second slab waveguide each connected to the n channel waveguides is processed flat so as to extend along a line intersecting the n channel waveguides. As a consequence, among the n channel waveguides, those adjacent each other have optical path length differences different from each other.

Specifically, with respect to the average value obtained from respective optical path length differences between all adjacent channel waveguides in the n channel waveguides, it is preferred that the maximum deviation of optical path length difference between adjacent channel waveguides in the n channel waveguides be set to 3% or more. It means that, letting ΔL_k ($k=1$ to $(n-1)$) be each optical path length difference between adjacent channel waveguides, ΔL_{MAX} be the maximum optical path length difference (or minimum optical path length difference) between adjacent channel waveguides, and ΔL_{AVE} be the average value of optical path length difference, at least the deviation η (maximum deviation) of maximum optical path length difference

ΔL_{MAX} with respect to the average value ΔL_{AVE} satisfies the following condition:

$$\eta = \frac{|\Delta L_{AVE} - \Delta L_{MAX}|}{\Delta L_{AVE}} \geq 0.03$$

where

$$\Delta L_{AVE} = \frac{\sum_{k=1}^{n-1} \Delta L_k}{n-1}.$$

Here, the optical multiplexer/demultiplexer according to the present invention is designed such that, though adjacent channel waveguides have optical path length differences different from each other, effective optical path length differences become constant between optical paths extending from the center of optical input end face of the first slab waveguide to the center of optical output end face of the second slab waveguide by way of the channel waveguides adjacent each other in order to realize wavelength multi/demultiplexing functions as a whole. Namely, as shown in FIG. 4, letting $L(m)$ be the physical optical path length from the center P1 of optical input end face of the first slab waveguide to the center P2 of optical output end face of the second slab waveguide by way of the m -th ($2 \leq m \leq n$) channel waveguide, $n_{eff}(m)$ be the effective refractive index of the m -th channel waveguide, $L(m-1)$ be the physical optical path length from the center P1 of optical input end face of the first slab waveguide to the center P2 of optical output end face of the second slab waveguide by way of the $(m-1)$ -th channel waveguide, and $n_{eff}(m-1)$ be the effective refractive index of the $(m-1)$ -th channel waveguide, the integrated value of product of physical optical path length and effective refractive index along optical paths from P1 to P2 satisfies the following condition:

$$\int_{P1}^{P2} L(m) \cdot n_{eff}(m) dx - \int_{P1}^{P2} L(m-1) \cdot n_{eff}(m-1) dx = \text{constant}$$

between the m -th and $(m-1)$ -th channel waveguides adjacent each other as a wavelength multi/demultiplexing condition in the optical multiplexer/demultiplexer. Here, among the n channel waveguides, the optical path length difference ΔL_m between those adjacent each other is given by the following expression:

$$\Delta L_0 - f_0 \cdot \left(1 - \frac{1}{\cos \theta_p}\right)$$

where

ΔL_0 is the theoretical value of the maximum optical path length difference for enabling the channel waveguides to function as a diffraction grating;

f_0 is the maximum distance between the center of optical input end face of first slab waveguide to the optical input ends of channel waveguides or the maximum distance between the optical output ends of channel waveguides to the center of optical output end face of second slab waveguide; and

θ_p is the angle formed between the P -th ($P=1, 2, \dots, n$) channel waveguide and a normal of the optical output end face of first slab waveguide or optical input end face of second slab waveguide.

As mentioned above, the optical multiplexer/demultiplexer according to the present invention is designed such that, while channel waveguides adjacent each other are allowed to have optical path length differences different from each other, optical paths traveling by way of respective channel waveguides adjacent each other have a constant effective optical path length difference as the optical paths including the slab waveguides in total. It means that the structure for connecting channel waveguides to the flat connecting end face (at least one of the optical output end face of first slab waveguide and the optical input end face of second slab waveguide) can be changed arbitrarily without being restricted by multi/demultiplexing conditions. As a result, it becomes easier to design the arrangement of channel waveguides, and their layout attains a higher degree of freedom, which makes it possible to design a structure for effectively lowering the crosstalk between adjacent signal channels in output waveguides located in the periphery in particular among the output waveguides provided so as to correspond to respective signal channels.

In order to adjust the focal position in the first slab waveguide in the optical multiplexer/demultiplexer according to the present invention, it is preferred that the channel waveguides connected to the optical output end face of the first slab waveguide be arranged such that the optical input ends thereof are directed to the center of optical input end face of the first slab waveguide. Also, in order to adjust the focal position in the second slab waveguide, it is preferred that the channel waveguides connected to the optical input end face of the second slab waveguide be arranged such that the optical output ends thereof are directed to the center of optical output end face of the second slab waveguide. Namely, with respect to at least one flat end face of the optical output end face of first slab waveguide and the optical input end face of second slab waveguide, the channel waveguides connected to this flat end face form respective angles different from each other in the optical multiplexer/demultiplexer according to the present invention. In other words, the channel waveguides are arranged on the substrate such that, among tip portions thereof including the optical input ends, those adjacent each other have intervals different from each other. Also, the channel waveguides are arranged on the substrate such that, among tip portions thereof including the optical output ends, those adjacent each other have intervals different from each other.

BRIEF DESCRIPTION OF THE DRAWINGS

FIG. 1 is a plan view showing the schematic configuration of the optical multiplexer/demultiplexer according to the present invention;

FIG. 2 is a view showing the cross-sectional structure of the optical multiplexer/demultiplexer taken along the line I—I shown in FIG. 1;

FIG. 3 is a plan view for explaining structural characteristics of the optical multiplexer/demultiplexer according to the present invention, mainly illustrating a waveguide structure of its optical output portion;

FIG. 4 is a plan view for explaining structural characteristics of the optical multiplexer/demultiplexer according to the present invention, schematically illustrating its waveguide structure from the optical input portion to optical output portion;

FIG. 5A is a view showing the structure near a slab waveguide of a sample manufactured as an embodiment of the optical multiplexer/demultiplexer according to the present invention, whereas FIG. 5B is a plan view showing

the configuration near a slab waveguide of a sample manufactured as a comparative example;

FIG. 6 is a loss spectrum measured at each output waveguide (output channel) of the sample (FIG. 5A) manufactured as an embodiment of the optical multiplexer/demultiplexer according to the present invention;

FIG. 7 is a graph showing the insertion loss (dB) concerning a slab waveguide (FIG. 5A) of the sample manufactured as an embodiment of the optical multiplexer/demultiplexer according to the present invention when the distance between connecting end faces is changed by a width d (μm) with reference to the position ($d=0$) where the distance between the connecting end faces coincides with the slab length f ;

FIG. 8 is a graph showing the crosstalk (dB) between output waveguides (output channels) concerning the slab waveguide (FIG. 5A) of the sample manufactured as an embodiment of the optical multiplexer/demultiplexer according to the present invention when the distance between connecting end faces is changed by a width d (μm) with reference to the position ($d=0$) where the distance between the connecting end faces coincides with the slab length f ; and

FIG. 9 is a graph showing the crosstalk (dB) between output waveguides (output channels) concerning the slab waveguide (FIG. 5A) of the sample manufactured as an embodiment of the optical multiplexer/demultiplexer according to the present invention when the maximum deviation η of optical path length difference between adjacent channel waveguides is changed.

DESCRIPTION OF THE PREFERRED EMBODIMENTS

In the following, embodiments of the optical multiplexer/demultiplexer according to the present invention will be explained in detail with reference to FIGS. 1 to 4, 5A, 5B, and 6 to 9. Among the drawings, parts identical to each other will be referred to with numerals identical to each other without repeating their overlapping explanations.

FIG. 1 is a plan view showing the configuration of an AWG circuit as the optical multiplexer/demultiplexer according to the present invention. As depicted, this optical multiplexer/demultiplexer is an optical component in which optical waveguide parts are integrally formed on a silica glass substrate **100**. Namely, at least one input waveguide **110**, a first slab waveguide **120** (input slab waveguide), n (≥ 3) channel waveguides **130**, a second waveguide **140** (output slab waveguide), and output waveguides **150** corresponding to respective signal channels CH1, CH2, . . . CH15, and CH16 are disposed on the substrate **100**.

Each of the waveguide parts is doped with GeO_2 , whereas the doping amount of GeO_2 is such that the relative refractive index difference between the substrate **100** and the waveguide parts is 0.5% or more in order to make it possible to lower the radius of curvature of channel waveguides **130** (improve the light confinement efficiency). The substrate **100** is not restricted to the silica glass substrate, and may be constituted by a silicon substrate and a glass layer having a thickness of ten to several tens of micrometers formed on the silicon substrate. Similar operations and effects are also obtained when waveguides doped with GeO_2 are formed on this glass layer. FIG. 2 is a view showing the cross-sectional structure of AWG circuit taken along the line I—I of FIG. 1, in which a core **101** (having a width W and a thickness (height) H) to be come a waveguide and a cladding **102** covering the core **101** are disposed on the substrate **100**.

The first slab waveguide **120** has a flat optical output end face **120a**, disposed at an angle θ with respect to the incident angle of light fed to the optical multiplexer/demultiplexer, to which the optical input ends of channel waveguides **130** are optically connected; and an optical input end face **120b** to which the optical output end of input waveguide **110** is optically connected. The second slab waveguide **140** has a flat optical input end face **140a** to which the optical output ends of channel waveguides **130** are optically connected, and an optical output end face **140b** to which the optical input ends of output waveguides **150** are optically connected. Each of the first and second slab waveguides **120**, **140** has a slab length f . Here, the slab length corresponds to the focal length of the convex lens surface located at the optical input end face in each of the first and second slab waveguides **120**, **140**.

The input waveguide **110** is a waveguide for guiding to the first slab waveguide **120** individual signals having respective channel wavelengths which are set at predetermined wavelength intervals as signal channels, and has an output end optically connected to the optical input end face **120b** of first slab waveguide **120**. The channel waveguides **130** are waveguides having respective lengths different from each other, and are two-dimensionally arranged on the substrate **100**. The channel waveguides **130** are optically connected to the optical output end face **120a** of first slab waveguide **120** so as to sandwich the first slab waveguide **120** together with the input waveguide **110**, and are optically connected to the optical input end face **140a** of second slab waveguide **140** so as to sandwich the second slab waveguide **140** together with the output waveguides **150**. The output waveguides **150** are waveguides two-dimensionally arranged on the substrate **100** while in a state where respective optical output end face **140a** of second slab waveguide **140**, so as to correspond to individual signals having respective channel wavelengths set at predetermined wavelength intervals, i.e., so as to correspond to the respective signal channels.

Though the optical multiplexer/demultiplexer shown in FIG. 1 is explained as an AWG circuit, in which light successively propagates through the input waveguide **110**, first slab waveguide **120**, channel waveguides **130**, second slab waveguide **140**, and output waveguides **150**, enabling 16 channels of signals to be separated from each other, a plurality of input waveguides may be provided so as to correspond to the respective signal channels, thereby realizing an AWG circuit which enables wavelength multiplexing.

FIG. 3 is a plan view for explaining structural characteristics of the optical multiplexer/demultiplexer according to the present invention, mainly illustrating a waveguide structure of its optical output portion. Though the waveguide structure near the second slab waveguide **140** is shown in FIG. 3, the waveguides near the first slab waveguide **120** may also comprise a similar structure.

In the optical multiplexer/demultiplexer according to the present invention, for adjusting the focal position in the second slab waveguide **140**, the channel waveguides **130** connected to the optical input end face **140a** of second slab waveguide **140** are arranged such that the optical output ends thereof are directed to the center O of optical output end face **140b** of the second slab waveguide **140**. Here, since the optical input end face **140a** of second slab waveguide **140** is processed flat, thus connected channel waveguides **130** have connecting angles (angles formed between the channel waveguides **130** and optical input end face **140a**) different from each other at the optical output end of channel

waveguide **130**. Similarly, it is preferred that the channel waveguides **130** connected to the optical output end face **120a** of first slab waveguide **120** be arranged such that the optical input ends thereof are directed to the center of optical input end face **120b** of the first slab waveguide **120**.

In other words, among the channel waveguides **130** in the optical multiplexer/demultiplexer according to the present invention, those adjacent each other are arranged on the substrate such that tip portions thereof including the optical input ends have intervals different from each other. Also, among the channel waveguides **130**, those adjacent each other may be arranged on the substrate such that tip portions thereof including the optical output ends have intervals different from each other.

The optical multiplexer/demultiplexer according to the present invention is designed such that, though adjacent channel waveguides are allowed to have optical path length differences different from each other, effective optical path length differences become constant between optical paths extending from the center **P1** of optical input end face **120b** of the first slab waveguide **120** to the center **P2** of optical output end face **140b** of the second slab waveguide **140** by way of the channel waveguides **130** adjacent each other as shown in FIG. 4 in order to realize wavelength multi/demultiplexing functions as a whole. Namely, letting $L(m)$ be the physical optical path length from the center **P1** of optical input end face **120b** of the first slab waveguide **120** to the center **P2** of optical output end face **140b** of the second slab waveguide **140** by way of the m -th ($2 \leq m \leq n$) channel waveguide, $n_{eff}(m)$ be the effective refractive index of the m -th channel waveguide, $L(m-1)$ be the physical optical path length from the center **P1** of optical input end face **120b** of the first slab waveguide **120** to the center **P2** of optical output end face **140b** of the second slab waveguide **140** by way of the $(m-1)$ -th channel waveguide, and $n_{eff}(m-1)$ be the effective refractive index of the $(m-1)$ -th channel waveguide, the integrated value of product of physical optical path length and effective refractive index along optical paths from **P1** to **P2** satisfies the following condition:

$$\int_{P1}^{P2} L(m) \cdot n_{eff}(m) dx - \int_{P1}^{P2} L(m-1) \cdot n_{eff}(m-1) dx = \text{constant}$$

between the m -th and $(m-1)$ -th channel waveguides adjacent each other as a wavelength multi/demultiplexing condition in the optical multiplexer/demultiplexer. Here, among the n channel waveguides, the optical path length difference ΔL_n between those adjacent each other is given by the following expression:

$$\Delta L_0 - f_0 \cdot \left(1 - \frac{1}{\cos \theta_P}\right)$$

where

ΔL_0 is the theoretical value of the maximum optical path length difference for enabling the channel waveguides to function as a diffraction grating;

f_0 is the maximum distance between the center of optical input end face of first slab waveguide to the optical input ends of channel waveguides or the maximum distance between the optical output ends of channel waveguides to the center of optical output end face of the second slab waveguide; and

θ_P is the angle formed between the P -th ($P=1, 2, \dots, n$) channel waveguide and a normal of the optical output

end face of first slab waveguide or optical input end face of second slab waveguide.

As mentioned above, the optical waveguides according to the present invention are designed such that, while channel waveguides adjacent each other are allowed to have optical path length differences different from each other, optical paths traveling by way of respective channel waveguides adjacent each other have a constant effective optical path length difference as the optical paths including the first and second slab waveguides **120**, **140** in total. It means that the structure for connecting channel waveguides **130** to at least one flat connecting end face of the optical output end face **120a** of first slab waveguide **120** and the optical input end face **140a** of second slab waveguide **140** can be changed arbitrarily without being restricted by multi/demultiplexing conditions. As a result, it becomes easier to design the arrangement of channel waveguides **130**, and their layout attains a higher degree of freedom, which makes it possible to design a structure for effectively lowering the crosstalk between adjacent signal channels in output waveguides located in the periphery in particular among the output waveguides provided so as to correspond to respective signal channels.

The inventors designed an AWG circuit capable of separating 16 channels of signals having a signal wavelength interval $\Delta\lambda$ of 100 GHz and a center channel wavelength (**CH8**) of 1550.918 nm.

In thus designed AWG circuit, the relative refractive index difference between the substrate **100** and each waveguide part is 0.75%, each waveguide has a core width W of $6.0 \mu\text{m}$ and a core thickness H of $6.0 \mu\text{m}$, each of the first and second slab waveguides **120**, **140** has a slab length f of $9086.17 \mu\text{m}$, the substrate **100** has a size of $20 \text{ mm} \times 20 \text{ mm}$ with a thickness of 0.5 mm , the channel waveguides **130** have an interval of $15.0 \mu\text{m}$, the number of channel waveguides **130** is 80, the installation angle θ of second slab waveguide **120** is 80 degrees, and the output waveguides **150** have an interval of $20 \mu\text{m}$. Among optical paths from the center **P1** of optical input end face **120a** of the first slab waveguide **120** to the center **P2** of optical output end face **140b** of the second slab waveguide **140** by way of the channel waveguides **130**, the effective optical path length difference ΔL is set to $63.0 \mu\text{m}$.

In this first sample designed as an AWG circuit according to the present invention, the optical output end face **140a** of second slab waveguide **140** is processed flat as shown in FIG. 5A (as with the optical input end face **120a** of first slab waveguide **120**).

In a second sample of AWG circuit designed as a comparative example, by contrast, connecting end faces to be connected to the channel waveguide are processed like an arc as shown in FIG. 5B.

Among the output waveguides **150** provided so as to correspond to the signal channels **CH1** to **CH16** concerning the first sample, the inventors measured a loss spectrum of signal channels **CH12** to **CH15** taken out from the output waveguides in a peripheral portion in particular. FIG. 6 is the loss spectrum of signal channels **CH12** to **CH15** taken out from the output waveguides located in the peripheral portion. As can be seen from FIG. 6, at the center channel wavelength of each of signal channels **CH12** to **CH15**, the fluctuation in loss A measured at each corresponding output waveguide is lowered, whereas the loss in crosstalk component ($A+B$) from adjacent signal channels is fully suppressed.

Concerning the first sample, while changing the distance of the end face **140a** connecting with the channel

waveguides **130** in the second slab waveguide **140** from its opposite connecting end face **140b** by a width d (0 to 1000 μm) with reference to the position ($d=0$) where the connecting end faces **140a**, **140b** are separated from each other by the slab length f as shown in FIG. **5A**, the inventors measured the insertion loss at the signal channel CH**15** (FIG. **7**) and the crosstalk (dB) between output waveguides **150** (output channels). As a conventional level, each of FIGS. **7** and **8** also shows data of the second sample comprising slab waveguides having the structure shown in FIG. **5B**.

As can be seen from FIG. **7**, the first sample can suppress the insertion loss to a level on a par with the conventional level if the width d is 200 μm or less, whereas the insertion loss remarkably increases under the influence of coupling between the channel waveguides **130** and second slab waveguide **140** if the width d exceeds 200 μm . Hence, the fluctuation of width d as a manufacturing tolerance is unproblematic in practice if it does not exceed 200 μm .

As can be seen from FIG. **8**, on the other hand, the crosstalk between adjacent channels is lower than the conventional level of second sample regardless of the change in width d .

Further, the inventors measured crosstalk while changing the degree of fluctuation (deviation) in optical path length difference between adjacent channel waveguides. Here, basic measurement conditions are similar to those mentioned above. The samples prepared are a third sample having the structure shown in FIG. **5A** with a width d set to 100 μm , a fourth sample having the structure shown in FIG. **5A** with a width d set to 1000 μm , and the second sample prepared as a comparative example. The fluctuation (defined by the maximum deviation η) in optical path length difference between adjacent waveguides is given by the following expression with respect to the average value ΔL_{AVE} obtained from all the optical path length differences ΔL_k ($k=1$ to $(n-1)$) between adjacent channel waveguides in the channel waveguides **130**:

$$\eta = \frac{|\Delta L_{AVE} - \Delta L_{MAX}|}{\Delta L_{AVE}}$$

where

$$\Delta L_{AVE} = \frac{\sum_{k=1}^{n-1} \Delta L_k}{n-1}$$

wherein ΔL_{AVE} is the maximum optical path length difference (or the minimum optical path length difference).

The maximum deviation is 0.042 (=4.2%) in the third sample and 0.046 (=4.6%) in the fourth sample. In the second sample, which is a comparative example, the maximum deviation is inevitably 0% since the optical path length difference between adjacent channel waveguides is constant.

FIG. **9** is a graph showing results of measurement of crosstalk in the above-mentioned second to fourth embodiments. As can be seen from this graph, crosstalk begins to decrease remarkably when the maximum deviation η exceeds about 0.03 (=3%). In view of this, the maximum deviation of optical path length difference between adjacent channel waveguides in the channel waveguides **130** in the optical multiplexer/demultiplexer according to the present invention is set to 3% or more with respect to the average value obtained from all the optical path length differences between the adjacent channel waveguides in the channel waveguides **130**.

As in the foregoing, the present invention is designed such that, while channel waveguides adjacent each other are allowed to have optical path length differences different from each other, optical paths traveling by way of respective channel waveguides adjacent each other have a constant effective optical path length difference as the optical paths including the slab waveguides in total. Therefore, the structure for connecting channel waveguides to flat connecting end faces of slab waveguides can be changed arbitrarily without being restricted by multi/demultiplexing conditions. As a result, it becomes easier to design the arrangement of channel waveguides, and their layout attains a higher degree of freedom, which is effective in making it possible to design a structure for further lowering the crosstalk between adjacent signal channels in output waveguides located in the periphery in particular among the output waveguides provided so as to correspond to respective signal channels.

What is claimed is:

1. An optical multiplexer/demultiplexer comprising:
a substrate;

first and second slab waveguides, each having a predetermined slab length, disposed on said substrate;

at least one input waveguide, disposed on said substrate, having an optical output end optically connected to an optical input end face of said first slab waveguide;

a plurality of output waveguides two-dimensionally arranged on said substrate while in a state where respective optical input ends thereof are optically connected to an optical output end face of said second slab waveguide, said output waveguides being provided so as to correspond to respective signal channels having wavelengths different from each other; and

n (≥ 3) channel waveguides two-dimensionally arranged on said substrate while in a state where an optical input end of each channel waveguide is optically connected to an optical output end face of said first slab waveguide so as to sandwich said first slab waveguide together with said input waveguide whereas an optical output end of each channel waveguide is optically connected to an optical input end face of said second slab waveguide so as to sandwich said second slab waveguide together with said output waveguides, said channel waveguides having respective lengths different from each other;

wherein a first channel waveguide selected from said n channel waveguides and a second channel waveguide adjacent said first channel waveguide on one side thereof have an optical path length difference therebetween different from that between said first channel waveguide and a third channel waveguide adjacent said first channel waveguide on the other side thereof, and wherein at least one of said optical output end face of said first slab waveguide and said optical input end face of said second slab waveguide each connected to said n channel waveguides has a form extending along a straight line intersecting said n channel waveguides.

2. An optical multiplexer/demultiplexer according to claim 1, wherein adjacent channel waveguides in said n channel waveguides have a maximum deviation of optical path length difference of 3% or more with respect to an average value obtained from all the optical path length differences between adjacent channels in said n channel waveguides.

3. An optical multiplexer/demultiplexer according to claim 1, wherein, with respect to at least one of said optical output end face of said first slab waveguide and said optical

input end face of said second slab waveguide, said first to third channel waveguides connected to said one end face form angles different from each other.

4. An optical multiplexer/demultiplexer according to claim 3, wherein said n channel waveguides connected to said optical output end face of said first slab waveguide are arranged such that said optical input ends thereof are directed to a center of said optical input end face of said first slab waveguide.

5. An optical multiplexer/demultiplexer according to claim 4, wherein said n channel waveguides are arranged on said substrate such that, among tip portions thereof including said optical input ends, those adjacent each other have intervals different from each other.

6. An optical multiplexer/demultiplexer according to claim 3, wherein said n channel waveguides connected to said optical input end face of said second slab waveguide are arranged such that said optical output ends thereof are directed to a center of said optical output end face of said second slab waveguide.

7. An optical multiplexer/demultiplexer according to claim 6, wherein said n channel waveguides are arranged on said substrate such that, among tip portions thereof including said optical output ends, those adjacent each other have intervals different from each other.

8. An optical multiplexer/demultiplexer comprising:

a substrate;
first and second slab waveguides, each having a predetermined slab length, disposed on said substrate;
at least one input waveguide, disposed on said substrate, having an optical output end optically connected to an optical input end face of said first slab waveguide;
a plurality of output waveguides two-dimensionally arranged on said substrate while in a state where respective optical input ends thereof are optically connected to an optical output end face of said second slab waveguide, said output waveguides being provided so as to correspond to respective signal channels having wavelengths different from each other; and

n (≥ 3) channel waveguides two-dimensionally arranged on said substrate while in a state where an optical input end of each channel waveguide is optically connected to an optical output end face of said first slab waveguide so as to sandwich said first slab waveguide together with said input waveguide whereas an optical output end of each channel waveguide is optically connected to an optical input end face of said second slab waveguide so as to sandwich said second slab waveguide together with said output waveguides, said channel waveguides having respective lengths different from each other; and

wherein a first channel waveguide selected from said n channel waveguides and a second channel waveguide adjacent said first channel waveguide on one side thereof have an optical path length difference therebetween different from that between said first channel waveguide and a third channel waveguide adjacent said first channel waveguide on the other side thereof,

wherein, among said n channel waveguides, the optical path length difference ΔL_n between those adjacent each other is given by the following expression:

$$\Delta L_0 - f_0 - \left(1 - \frac{1}{\cos\theta_p}\right)$$

where

ΔL_0 is the theoretical value of the maximum optical path length difference for enabling the channel waveguides to function as a diffraction grating;

f_0 is the maximum distance between the center of optical input end face of first slab waveguide to the optical input ends of channel waveguides or the maximum distance between the optical output ends of channel waveguides to the center of optical output end face of second slab waveguide; and

θ_p is the angle formed between the P-th (P=1, 2, . . . , n) channel waveguide and a normal of the optical output end face of first slab waveguide or optical input end face of second slab waveguide.

9. An optical multiplexer/demultiplexer comprising:

a substrate;

first and second slab waveguides, each having a predetermined slab length, disposed on said substrate;

at least one input waveguide, disposed on said substrate, having an optical output end optically connected to an optical input end face of said first slab waveguide;

a plurality of output waveguides two-dimensionally arranged on said substrate while in a state where respective optical input ends thereof are optically connected to an optical output end face of said second slab waveguide, said output waveguides being provided so as to correspond to respective signal channels having wavelengths different from each other; and

n (≥ 3) channel waveguides two-dimensionally arranged on said substrate while in a state where an optical input end of each channel waveguide is optically connected to an optical output end face of said first slab waveguide so as to sandwich said first slab waveguide together with said input waveguide whereas an optical output end of each channel waveguide is optically connected to an optical input end face of said second slab waveguide so as to sandwich said second slab waveguide together with said output waveguides, said channel waveguides having respective lengths different from each other;

wherein a first channel waveguide selected from said n channel waveguides and a second channel waveguide adjacent said first channel waveguide on one side thereof have an optical path length difference therebetween different from that between said first channel waveguide and a third channel waveguide adjacent said first channel waveguide on the other side thereof, and

wherein, letting $L(m)$ be the physical optical path length from the center P1 of optical input end face of said first slab waveguide to the center P2 of optical output end face of said second slab waveguide by the way of the m-th ($2 \leq m \leq n$) channel waveguide, $n_{eff}(m)$ be the effective refractive index of the mth channel waveguide, $L(m+1)$ be the physical optical path length from the center P1 of optical input end face of said first slab waveguide to the center P2 of optical output end face of said second slab waveguide by way of the (m-1)-th channel waveguide, and the $n_{eff}(m-1)$ be the effective (m-1)-channel waveguide, the integrated value of product of physical optical path length and effective refractive index along optical paths from P1 to P2 satisfies the following condition:

$$\int_{P1}^{P2} L(m) - n_{eff}(m) dx - \int_{P1}^{P2} L(m-1) - n_{eff}(m-1) dx = \text{constant}$$

between the m-th and (m-1)-th channel waveguides adjacent each other as a wavelength multi-demultiplexing condition in said optical multiplexer/demultiplexer.

* * * * *