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Pardy

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(54) **ISOLATED ASGAL LIPOPOLYSACCHARIDES AND USE OF SAME TO INHIBIT INNATE IMMUNE RESPONSE**

6,172,220 B1 * 1/2001 Pardy et al. 536/128

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(73) Assignee: **Board of Regents of University of Nebraska**, Lincoln, NE (US)

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(*) Notice: Subject to any disclaimer, the term of this patent is extended or adjusted under 35 U.S.C. 154(b) by 0 days.

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(21) Appl. No.: **09/703,258**

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(List continued on next page.)

Related U.S. Application Data

(63) Continuation-in-part of application No. 09/008,422, filed on Jan. 16, 1998, now Pat. No. 6,172,220.

Primary Examiner—Ralph Gitomer

(60) Provisional application No. 60/035,863, filed on Jan. 21, 1997.

Assistant Examiner—Devesh Khare

(51) **Int. Cl.**⁷ **C07H 1/06**

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(52) **U.S. Cl.** **514/54; 514/53; 514/23; 514/75; 536/128**

(57) **ABSTRACT**

(58) **Field of Search** 514/54, 53, 23, 514/75; 536/128

The isolation and purification of lipopolysaccharides from several related strains of eukaryotic algae is disclosed. These lipopolysaccharides have been shown to be structurally and functionally similar to the lipopolysaccharides of gram negative bacteria, or endotoxins, which have been shown to be a cause of sepsis or septic shock. The present invention also relates to the use of these algal lipopolysaccharides to inhibit the release of TNF-a from macrophages, which has been associated with the initiation and escalation of sepsis as a result of bacterial endotoxins. The present invention further relates to the use of these algal lipopolysaccharides to inhibit the innate immune system of insects and mammals.

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4 Claims, 13 Drawing Sheets

Sample concentration LPS (ng / ml)	Binding agents and test results		
	Control	PMB	ENP
4.8 × 10	+	+	+
4.8 × 10	+	+	+
4.8 × 10	+	+	+
4.8 × 10	+	+	+
2.4 × 10	+	+	+
479	+	+	+
239	+	+	-
49	+	-	-
24	+	-	-
12	+	-	-
6	-	-	-

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FIG. 1.

Sample concentration LPS (ng / ml)	Binding agents and test results		
	Control	PMB	ENP
4.8 ×10	+	+	+
4.8 ×10	+	+	+
4.8 ×10	+	+	+
4.8 ×10	+	+	+
2.4 ×10	+	+	+
479	+	+	+
239	+	+	-
49	+	-	-
24	+	-	-
12	+	-	-
6	-	-	-

FIG. 2.

Fatty acid methyl ester	Retention time (min.)	
	Standard	<i>Chlorella</i> lipid A (% of total)
C 12:0	3.5	3.5 (3.0)
C 14:0	6.1	6.0 (2.6)
C 16:0	10.3	10.3 (10.3)
C 12:β - OH	12.4	12.4 (3.9)
C 14:β - OH	18.6	18.6 (5.9)

FIG. 3.

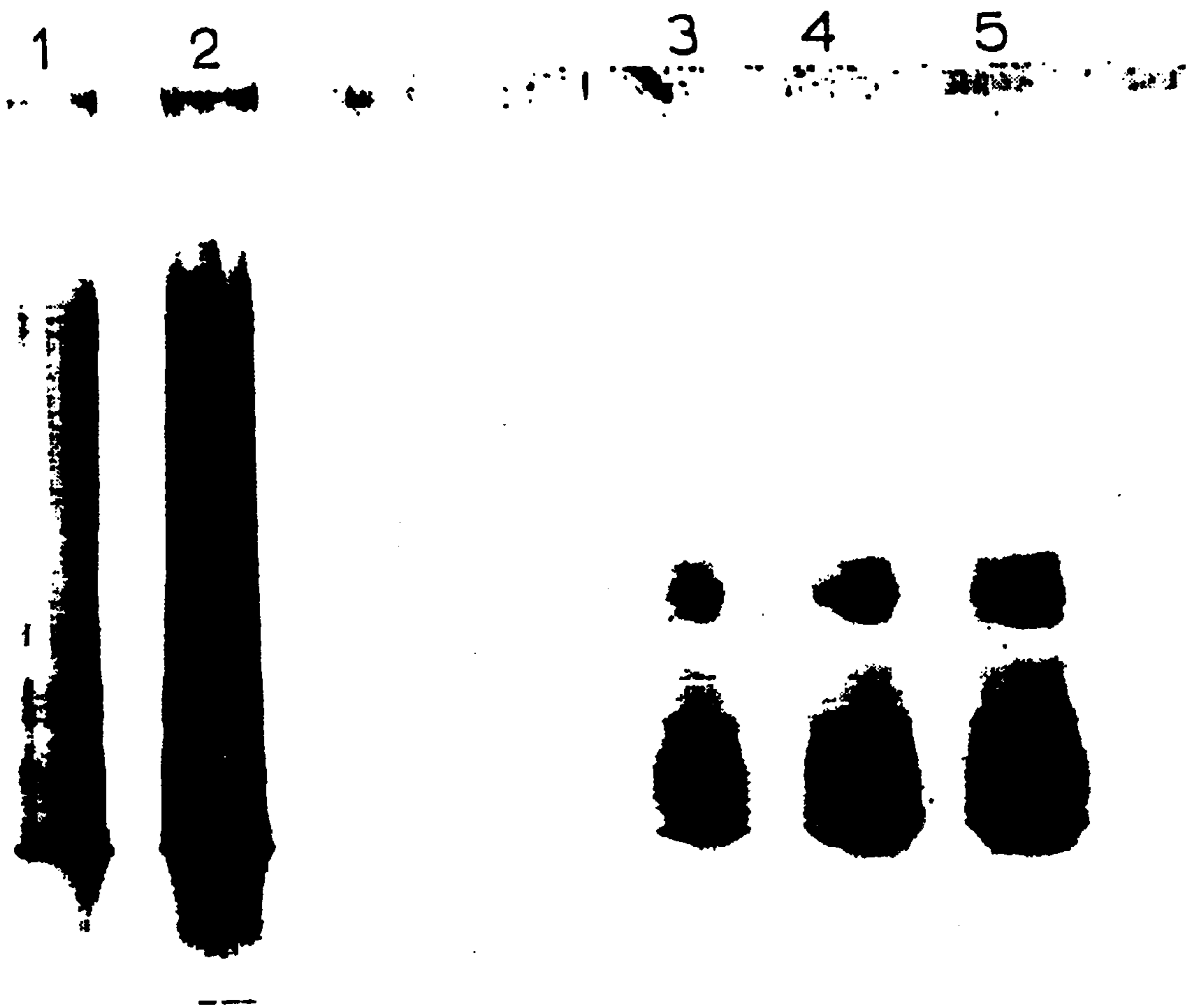


FIG. 4.

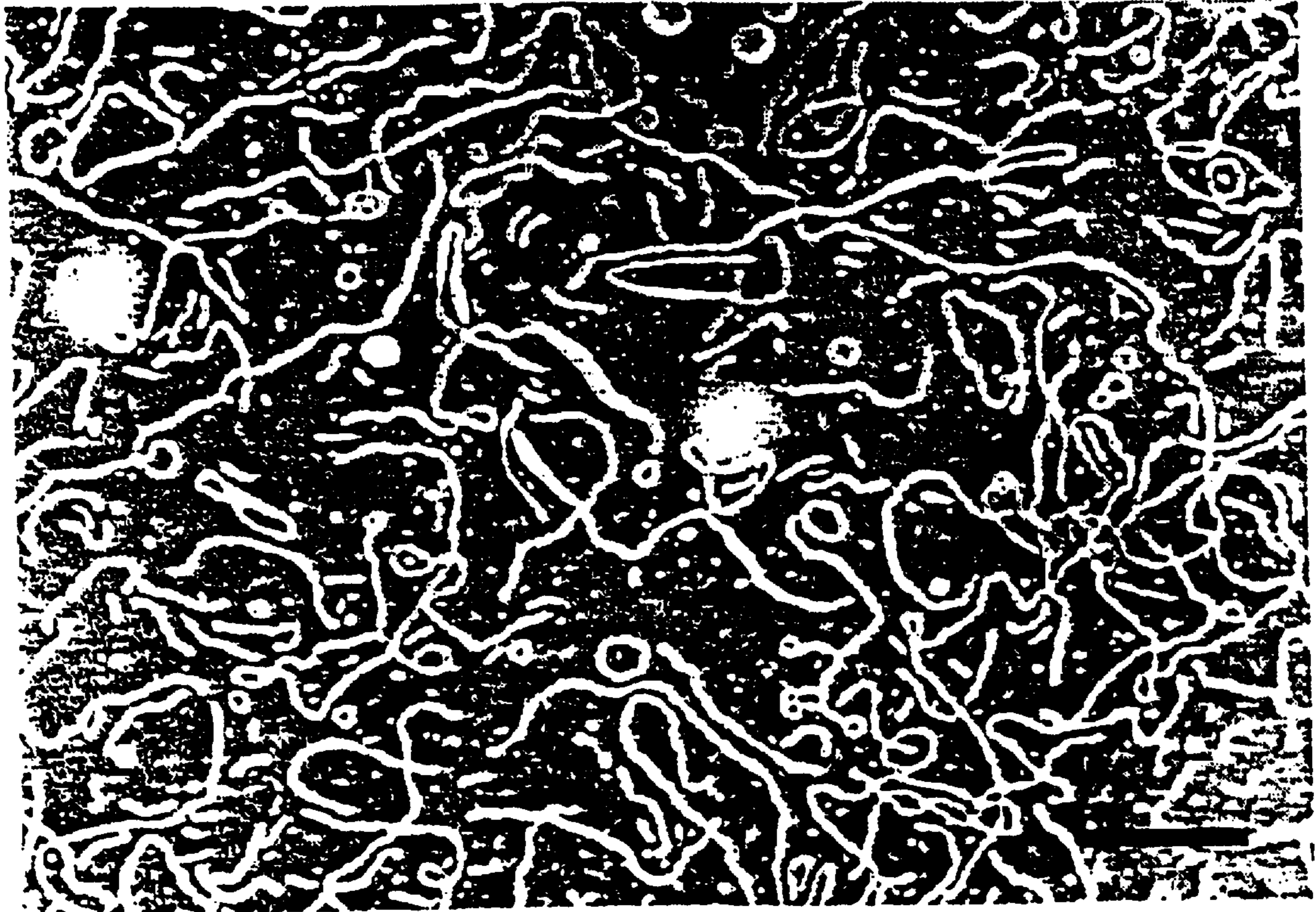


FIG. 5.

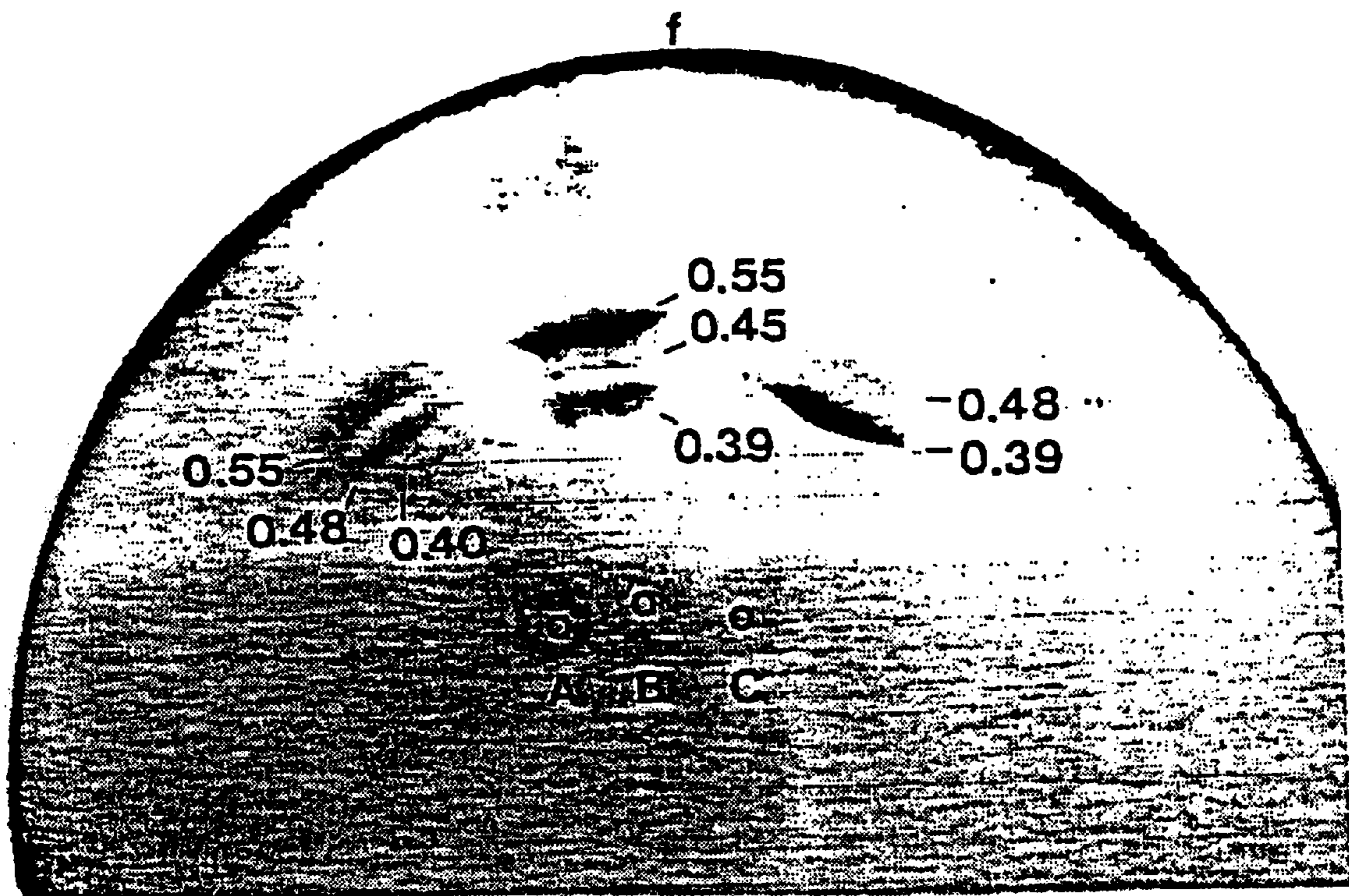


FIG. 6.

ALPS Dose , μ g	mice injected	24 post injection survival
2	10	10
20	10	10
200	10	10
LPS 100ng	5	1
ALPS 100 μ g, LPS 40ng	10	8

FIG. 7.

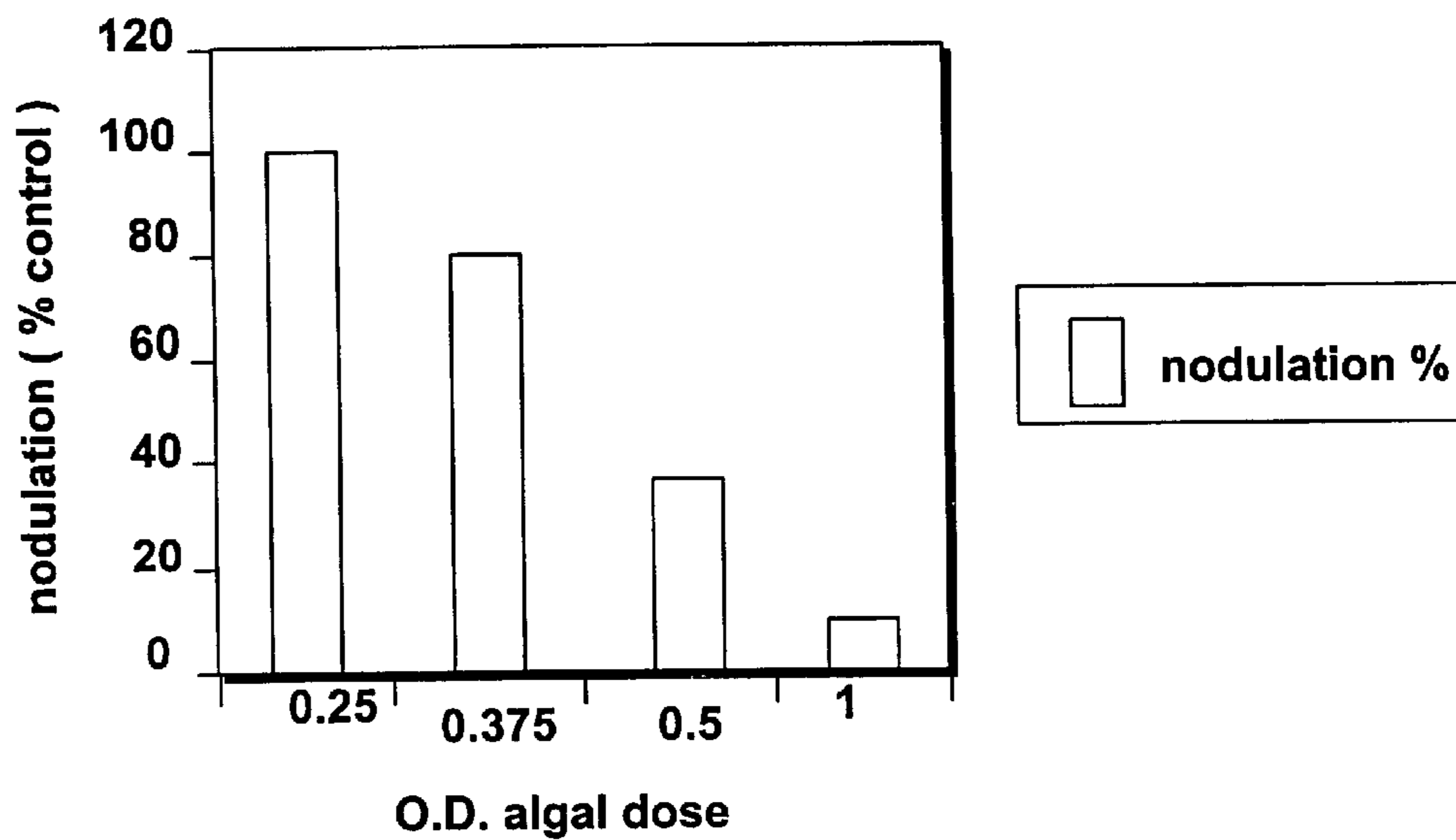


FIG. 8.

	Bacteria + ALPS		Bacteria Only	
	\bar{x} , (n=4)	Range	\bar{x} , (n=4)	Range
Hemocyte Aggregates	0.5	0 - 3	1.31	0 - 6
Nodules	2.25	0 - 5	16.25	7 - 29

FIG. 9.

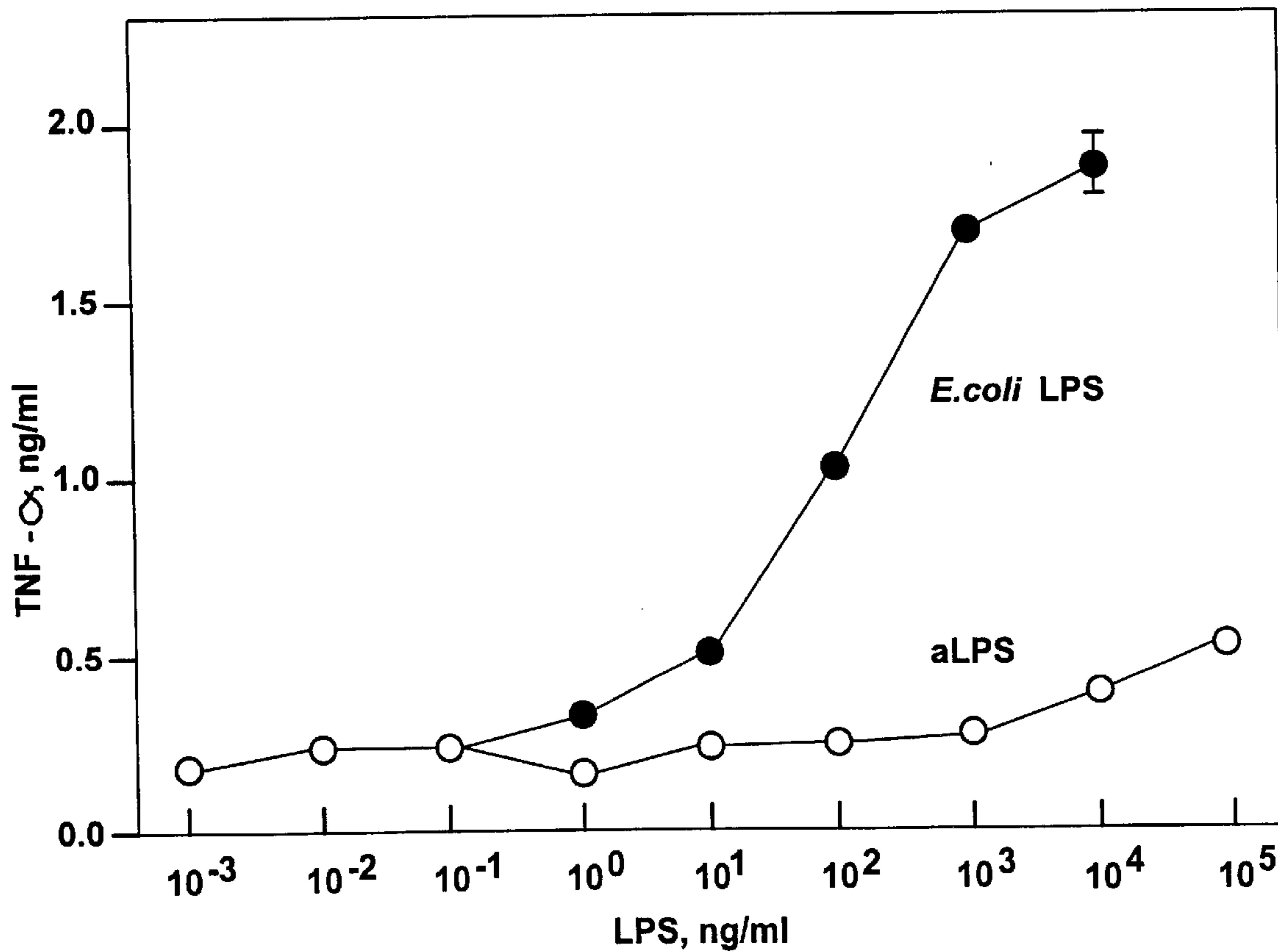


FIG. 10.

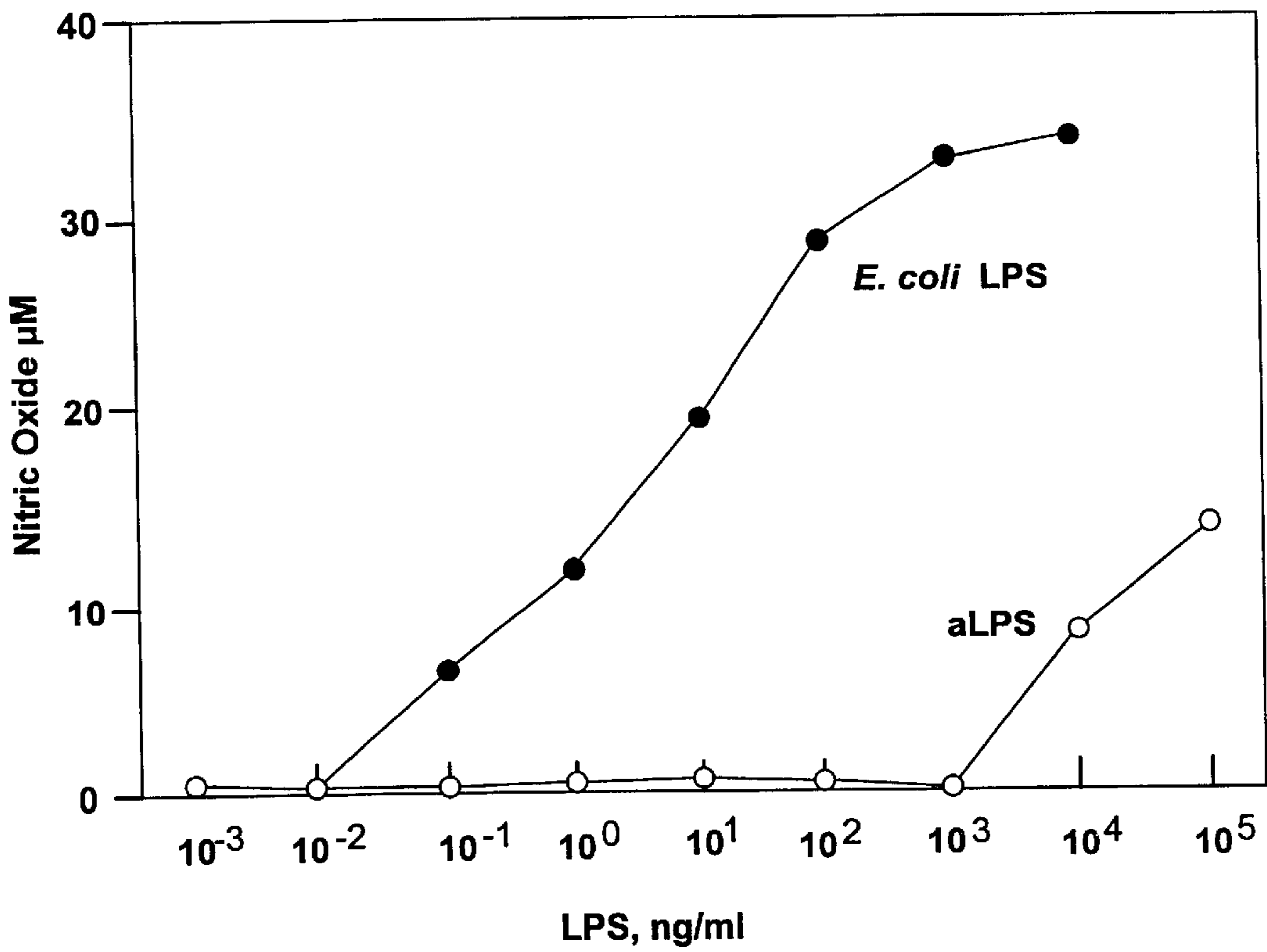


FIG. 11.

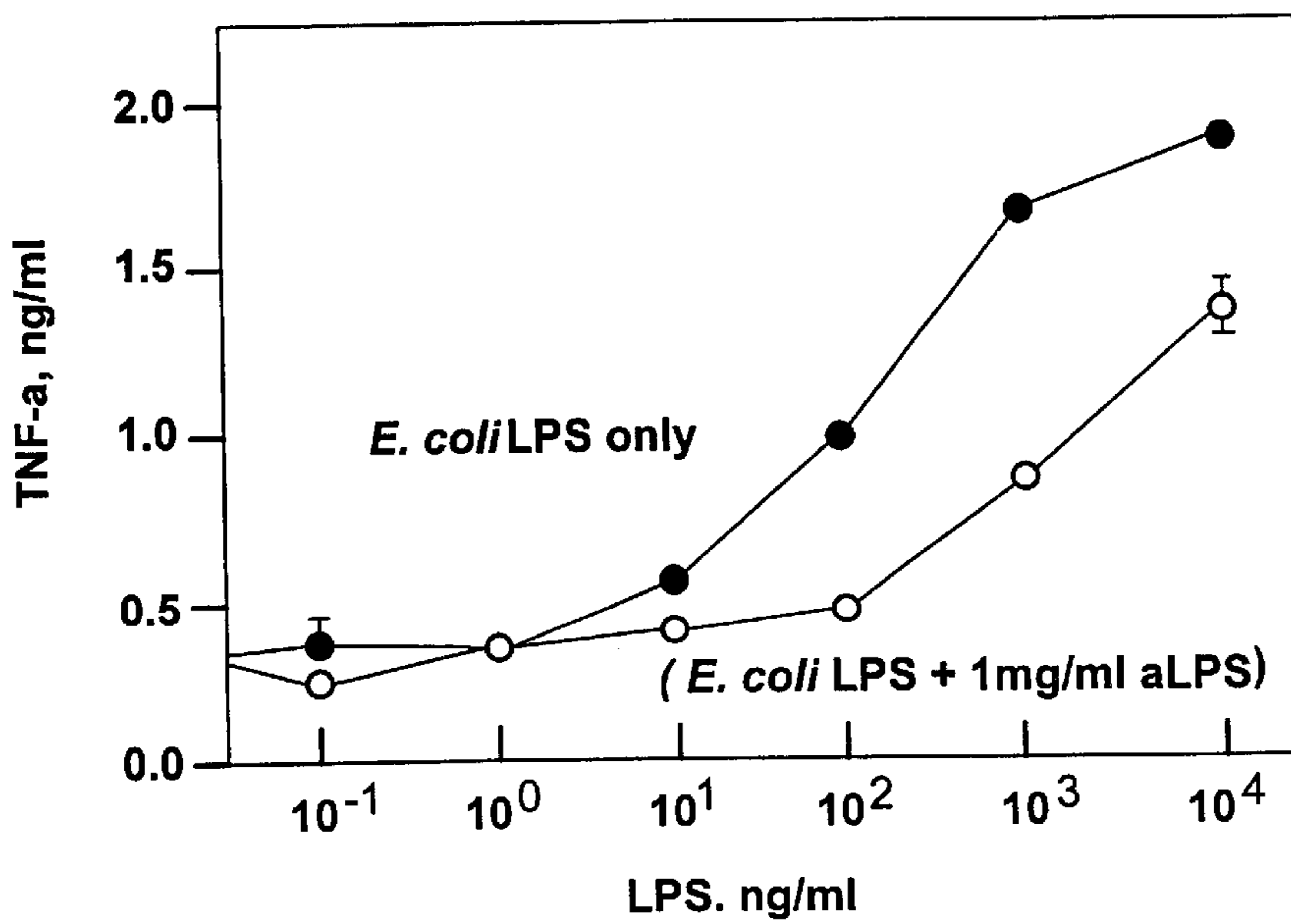


FIG. 12.

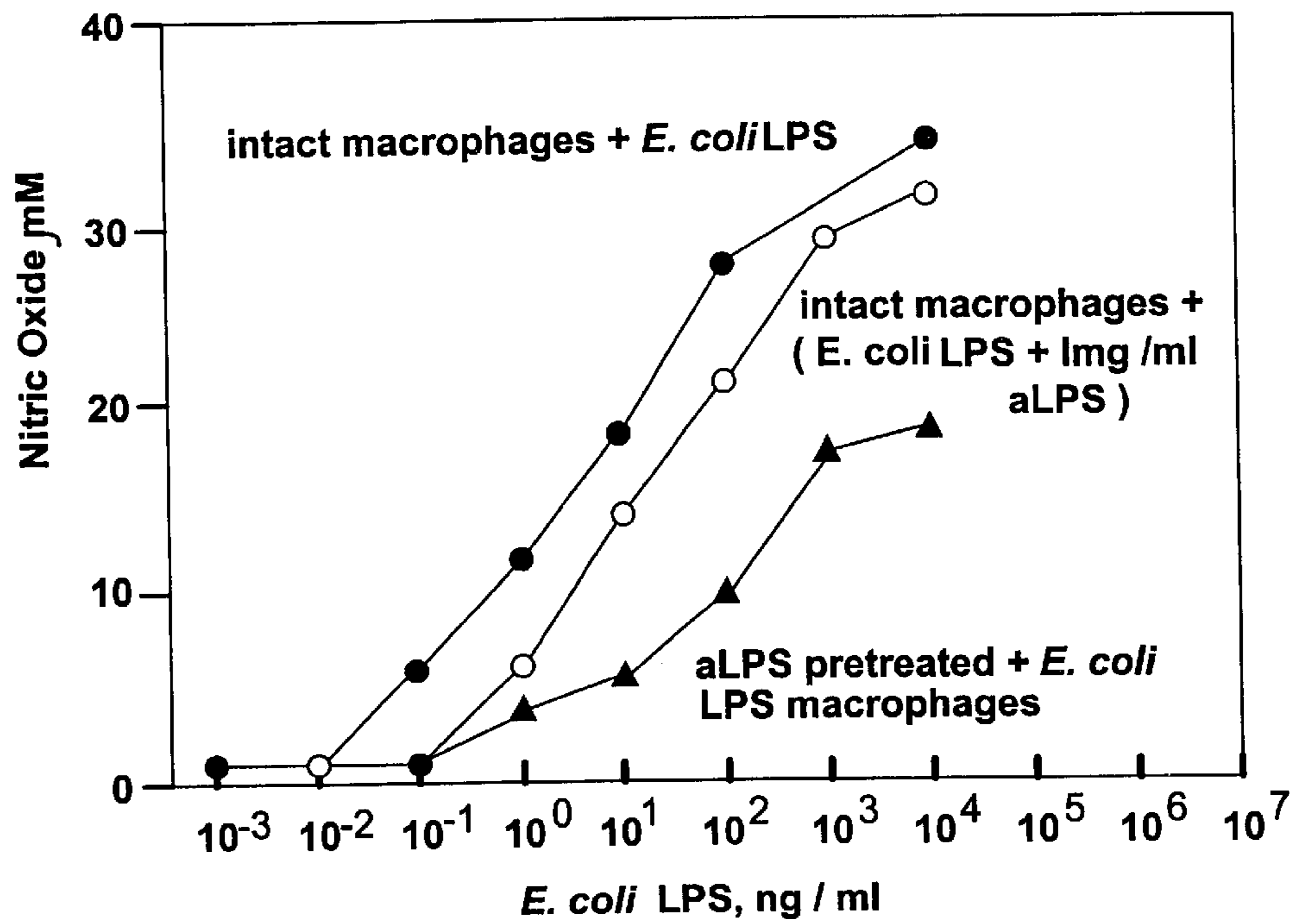


FIG. 13

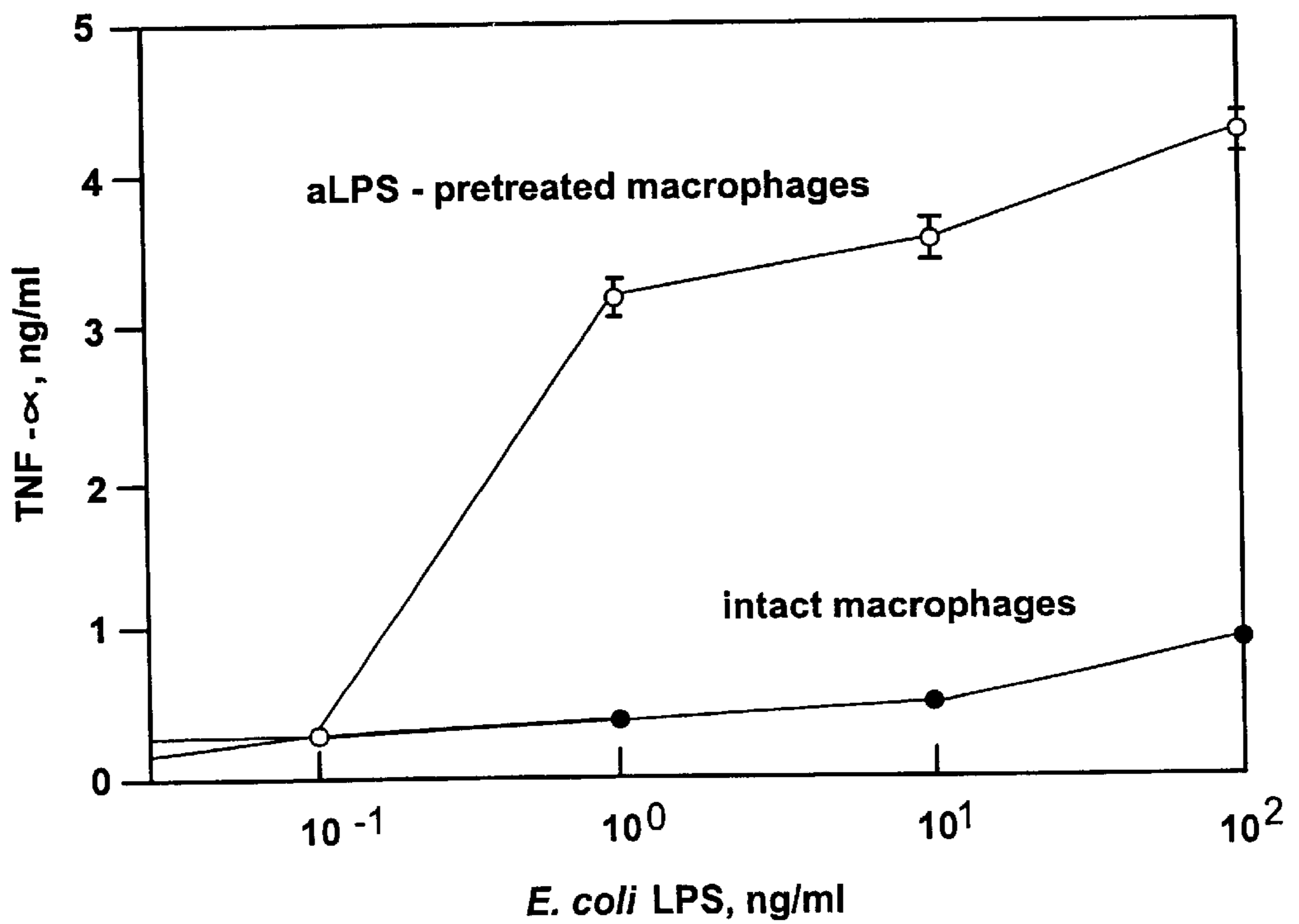


FIG. 14.

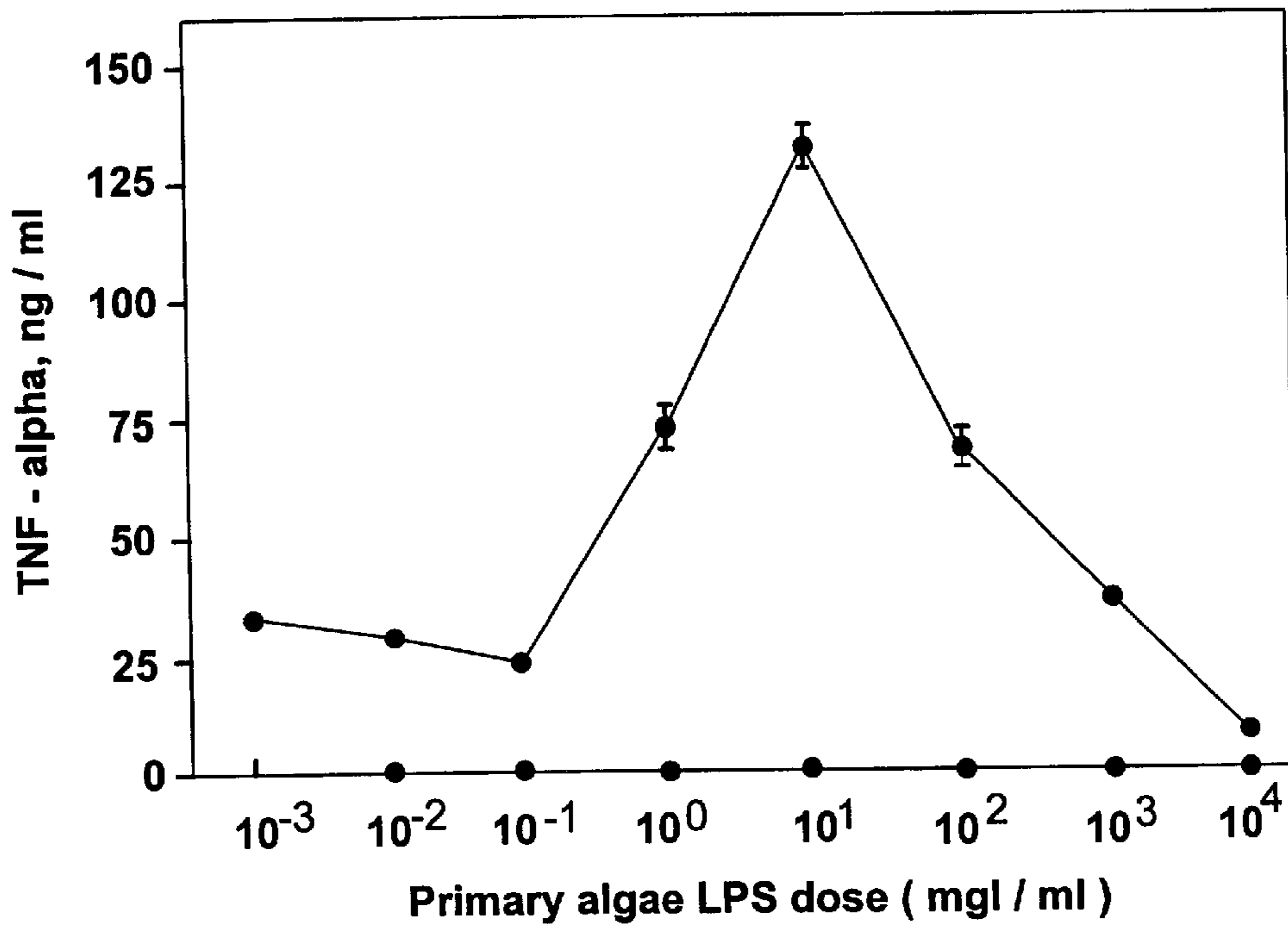


FIG. 15.

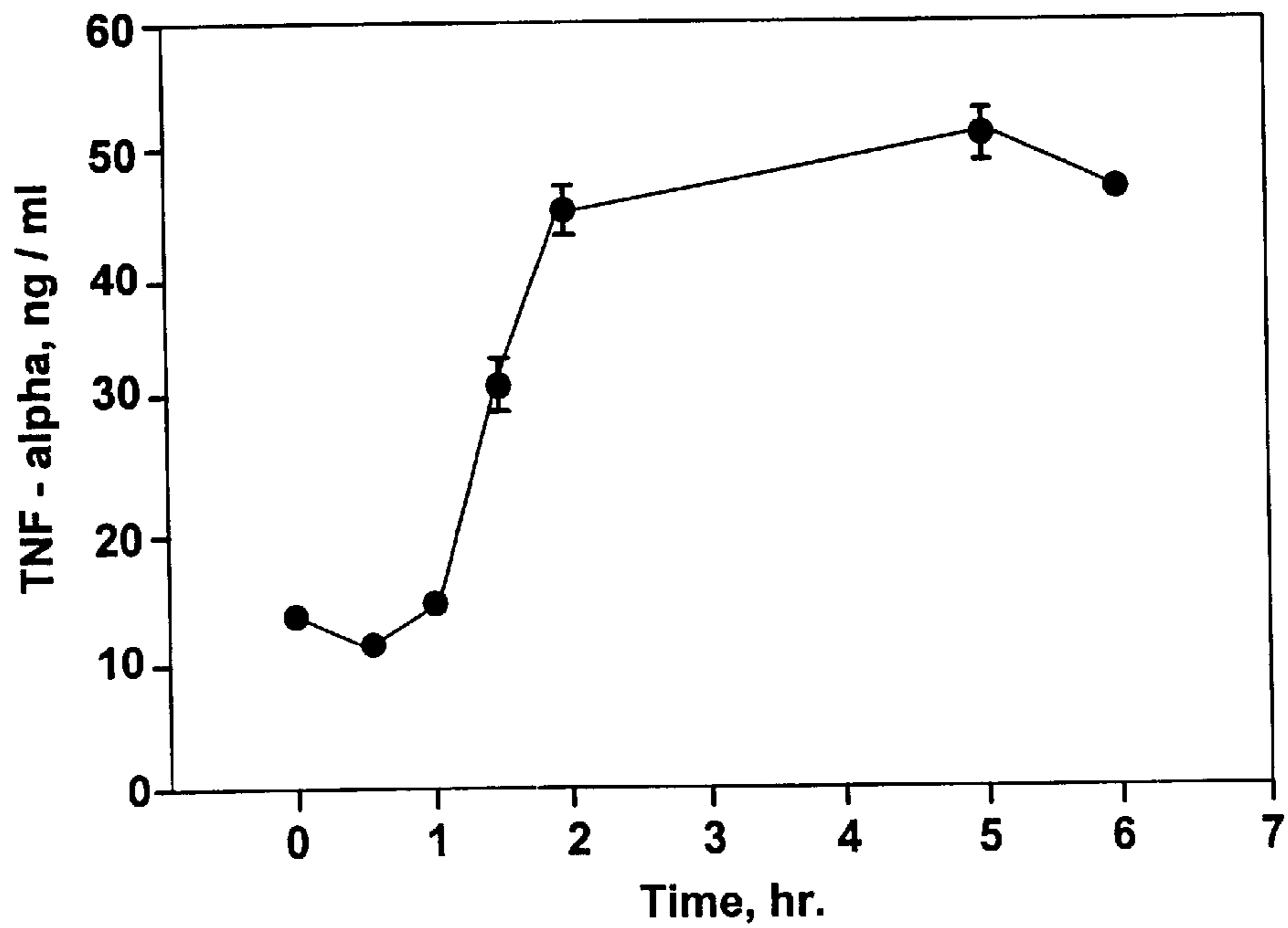


FIG. 16

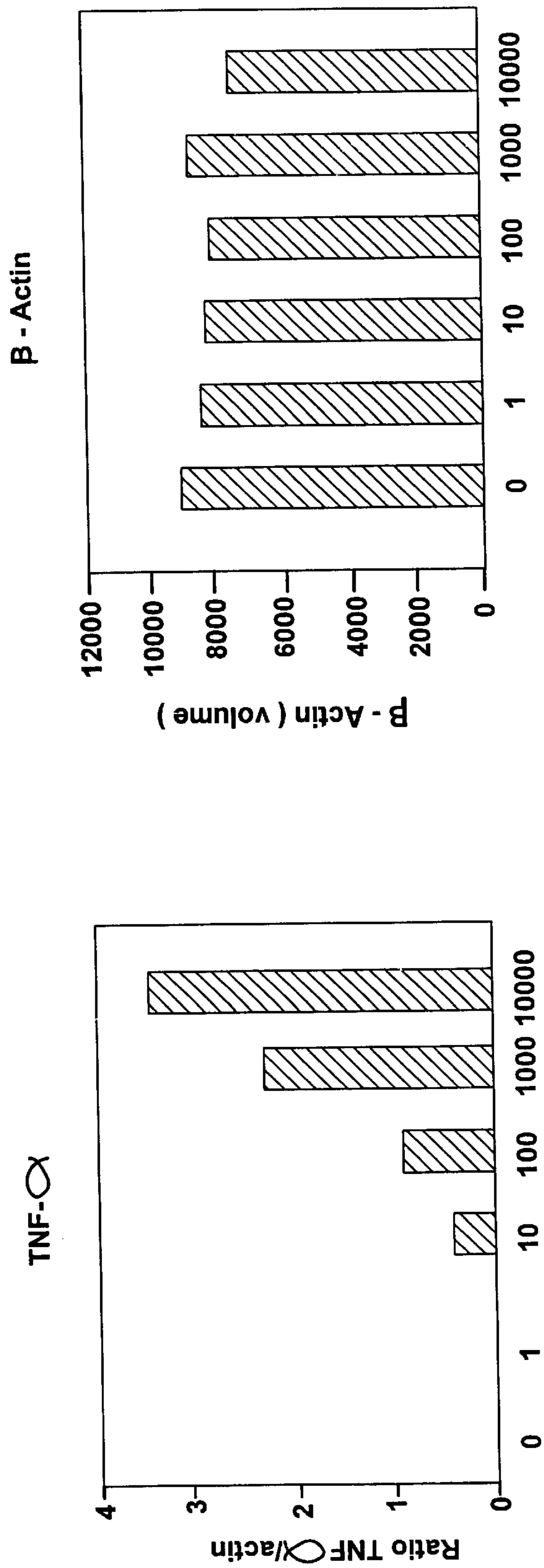


FIG. 17

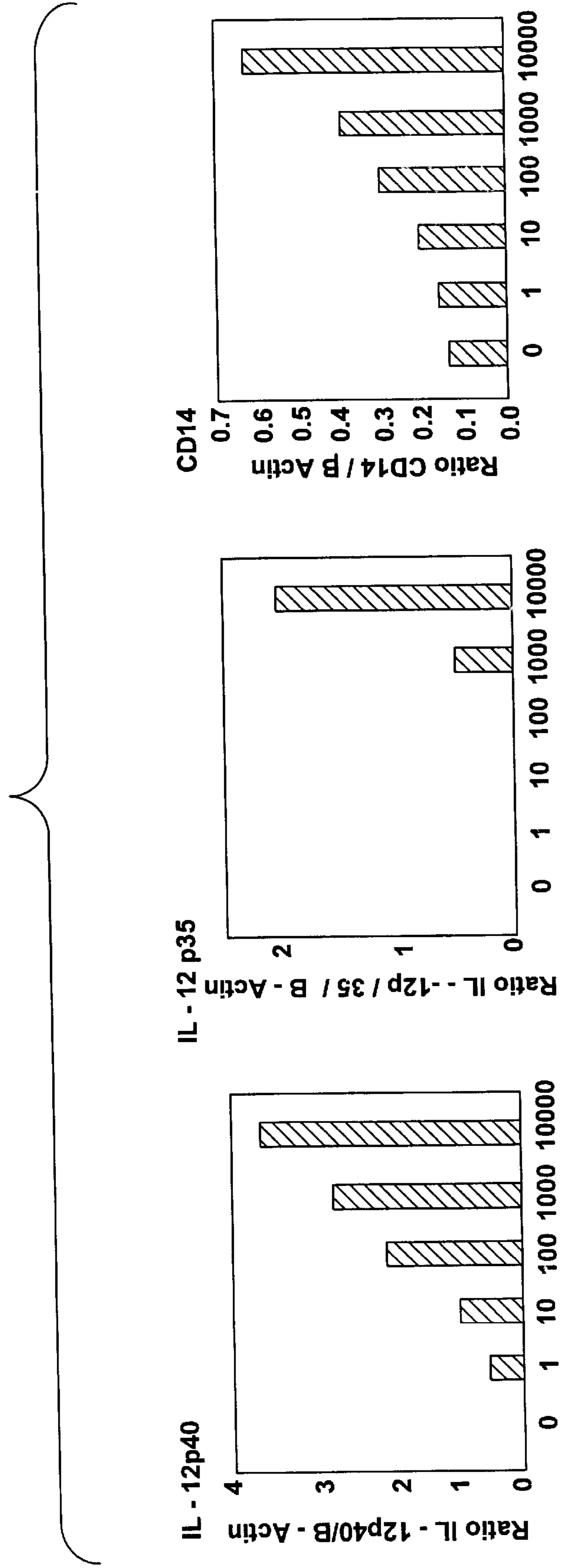
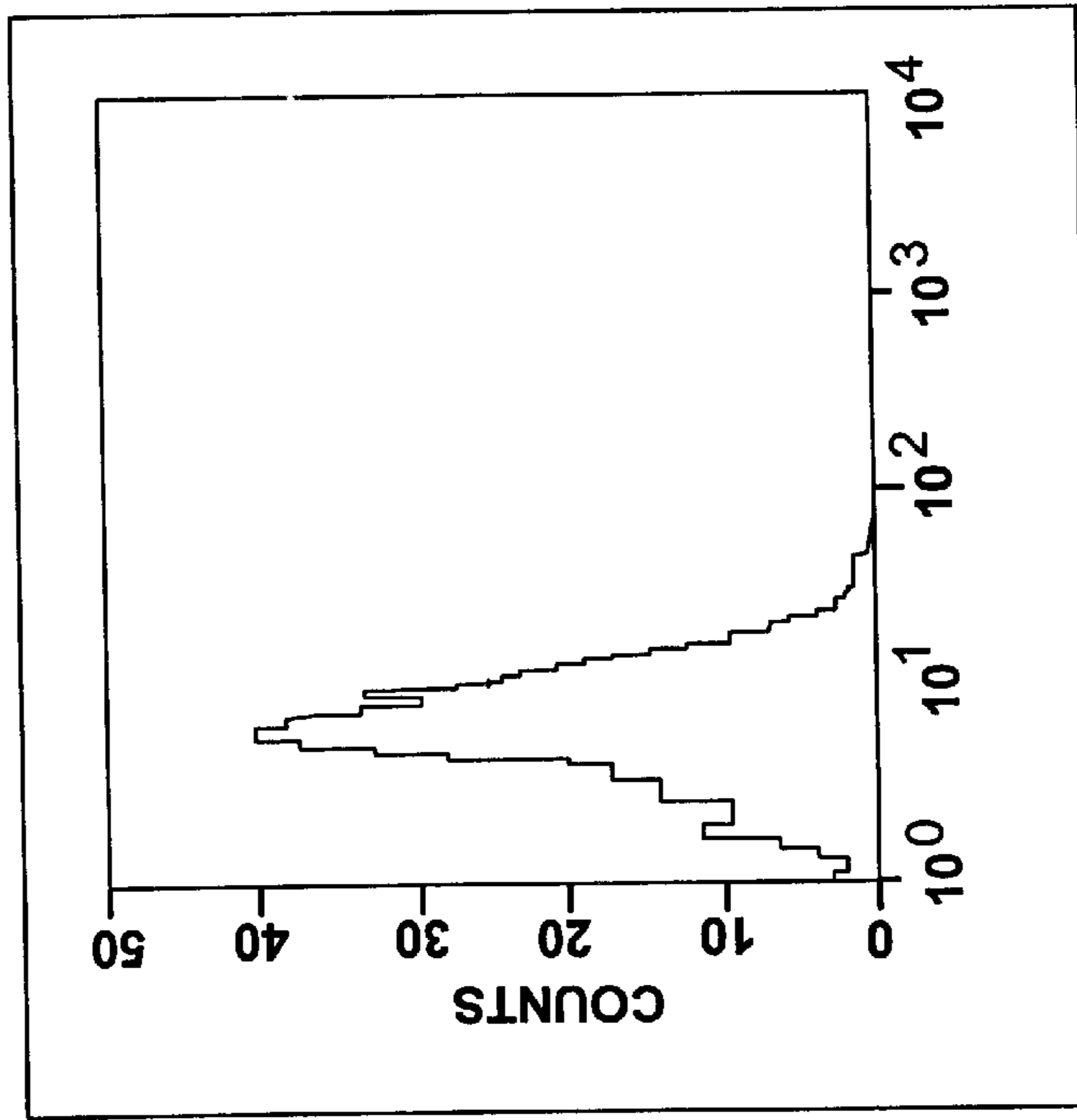
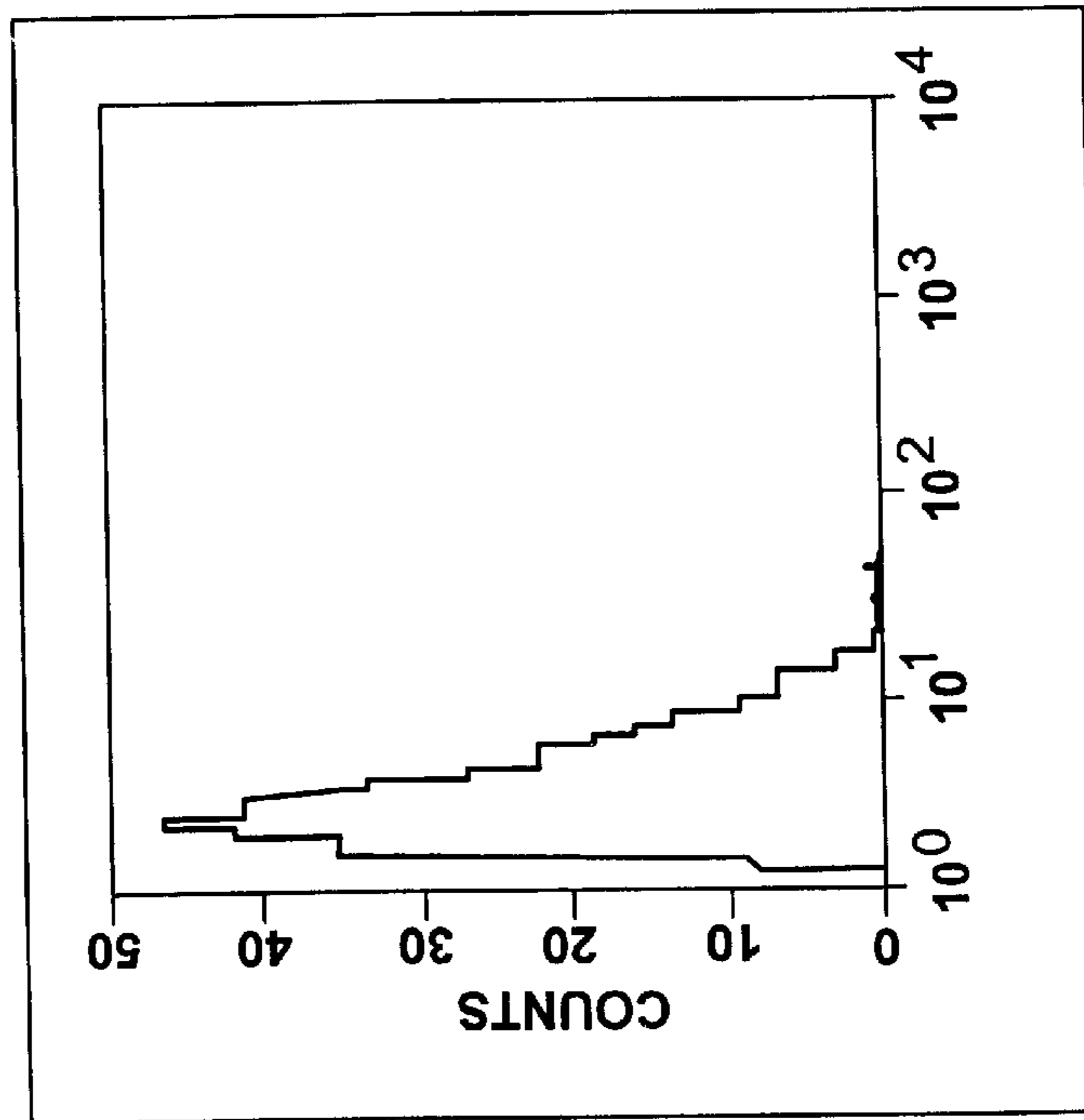


FIG. 18



CD14 FITC



CD14 FITC

	PROCESS	enteric LPS**	<i>Chlorella</i> ALPS	<i>Prototheca</i> ALPS***
	LAL reactive	yes*	yes*	yes
	TNF - α	stimulates	antagonist	?
	TNF - reprogram	stimulates	stimulates	?
Mouse	TNF - mRNA	stimulates	antagonist	?
(macrophages) (whole animal)	NO secretion	stimulates	no	?
	IL - 12p40mRNA	stimulates	stimulates	?
	IL - 12p35mRNA	stimulates	weak stimulus	?
	CD14 mRNA	stimulates	stimulates	?
	CD 14 protein	stimulates	stimulates	?
	LPS antagonist		yes	?
	galatosmine model lethality	yes	no	?
	Insect	nodulation	stimulates	inhibits
<i>Manduca sexta</i> (whole animal)	hemocyte. aggreation	inhibits	yes	?

FIG. 19.

**ISOLATED ASGAL
LIPOPOLYSACCHARIDES AND USE OF
SAME TO INHIBIT INNATE IMMUNE
RESPONSE**

**CROSS-REFERENCE TO RELATED
APPLICATIONS**

This is a continuation-in-part of Application Ser. No. 09/008,422, filed Jan. 16, 1998 now U.S. Pat. No. 6,172,220, and claims the benefit of U.S. provisional application No. 60/035,863, filed Jan. 21, 1997.

BACKGROUND OF THE INVENTION

The present invention relates to the isolation and use of lipopolysaccharides from eukaryotic algae. More particularly, the present invention relates to the isolation of algal lipopolysaccharides and their use to inhibit the release of TNF-alpha from macrophages and, thus, inhibit endotoxin-initiated sepsis or septic shock. Further, the present invention relates to the use of algal lipopolysaccharides to inhibit the innate immune system of insects and mammals.

Lipopolysaccharides are high molecular weight amphipathic molecules typically associated with the cell walls of gram negative enteric bacteria. The lipopolysaccharides of gram negative bacteria are known as endotoxins and have been found to be responsible for the pathogenicity of the bacteria. The primary pathogenicity of gram negative bacteria is its physiological interaction with a host to initiate sepsis or septic shock.

When a gram negative bacterium enters a host it begins to reproduce. As the bacteria reproduces, a number of the lipopolysaccharide molecules from the cell walls of the bacteria are shed from the organisms and are released into the circulatory system of the host. The discarded lipopolysaccharide molecules then interact with the host's immune system and begin a cascade of immunorelated physiological reactions. The lipopolysaccharides interact with the host macrophages. The interaction of lipopolysaccharides with the macrophages stimulates these white cells to release the cellular hormone TNF-alpha ("TNF-a"). This TNF-a hormone negatively impacts the host and produces a series of stereotypical responses including elevated temperature, blood coagulation, changes in white blood cell morphology and increased activity of the immune response. These responses become increasingly severe and ultimately manifest clinically as sepsis or septic shock.

In the United States alone there are believed to be approximately 300,000 cases of sepsis annually. About 150,000 of these cases are fatal. Infants, the elderly and the immunocompromised, such as AIDS victims, cancer patients and transplant recipients, are particularly susceptible to sepsis. There are presently no satisfactory treatments or cures for endotoxin-initiated sepsis. Because endotoxins provoke immunological dysfunction, they cannot be used directly as immunization agents. There are currently no attenuated endotoxins or endotoxin counterparts that can be used as vaccines. The prevailing medical treatment for sepsis is founded on a symptomatic approach, treating the physical discomfort associated with the illness while hoping the disease is ultimately cured by the host's immune system. Unfortunately, as the medical field currently stands, the unlucky individual who has contracted sepsis must confront the real likelihood of death.

SUMMARY OF THE INVENTION

It is therefore a primary object of the present invention to provide a method and product for the inhibition of endotoxin-related sepsis or septic shock.

More particularly, it is an object of the present invention to provide a composition and method of using the composition to inhibit the release of TNF-a from macrophages in a host that has contacted enteric lipopolysaccharides from gram negative bacteria and, thereby, inhibit sepsis.

It is a further object of the present invention to describe a method for the isolation of a compound that can be used to inhibit the release of TNF-a from macrophages in a host that has contacted lipopolysaccharides from gram negative bacteria and, thereby, inhibit sepsis.

It is a more specific object of the present invention to disclose the isolation and purification of lipopolysaccharides from eukaryotic algae which, when introduced into a host, inhibit the release of TNF-a and, thereby, inhibit the initiation and escalation of endotoxin-initiated sepsis.

It is yet another object of the present invention to provide a composition and method of using the composition to inhibit the innate immune system of insects and mammals.

It is a more specific object of the present invention to disclose the isolation and purification of lipopolysaccharides from eukaryotic algae which, when introduced into a host, inhibit nodulation and, thereby, inhibit innate immune response in insects and mammals.

To accomplish these and other related objects, the present invention relates to the isolation and purification of lipopolysaccharides from several related strains of eukaryotic algae. These lipopolysaccharides have been shown to be structurally and functionally similar to the lipopolysaccharides of gram negative bacteria. The present invention also relates to the use of these algal lipopolysaccharides to inhibit the release of TNF-a from macrophages, which has been linked with the initiation and escalation of sepsis as a result of bacterial endotoxins. Further, the present invention relates to the use of these algal lipopolysaccharides to inhibit nodulation in insects and mammals which, in turn, inhibits innate immune response to foreign objects.

BRIEF DESCRIPTION OF THE DRAWINGS

FIG. 1 is a table showing the activity of *Chlorella* lipopolysaccharide in a LAL assay following incubation with polymyxin B or an endotoxin neutralizing protein.

FIG. 2 is a table showing the fatty acid composition of lipid A from *Chlorella* (NC64).

FIG. 3 is an SDS PAGE of phenol-water extract from *Chlorella* NC64A. Lanes 1-2 represent 2 and 5 ugs of LPS from *E. coli*, 128:B12 respectively. Lanes 3-5 represent 2, 5, and 10 ugs of the sample extract, respectively. The intervening lanes contained no samples to prevent possible cross contamination.

FIG. 4 is a TEM representation of LPS aggregates. The phenol-water extract from *Chlorella* NC64A is present in the amount of 5 mg/ml. The -bar equals 40 nm.

FIG. 5 is a radial thin-layer chromatogram. The arc represents the solvent front (f). Rf values are indicated for each spot. Sample A is *E. coli* lipid A, sample B is *E. coli* D3 I m4 lipid A, and sample C is lipid A from symbiotic *Chlorella*. The point of sample application is denoted by "o" which is the origin.

FIG. 6 is a table showing the response of galactosamine primed mice to injected LPS and ALPS.

FIG. 7 graphically represents the dose dependent inhibition of nodulation by whole *Chlorella* cells.

FIG. 8 is a table showing the effect of co-injection of *Serratia* pathogens and a dose of ALPS.

FIG. 9 is a graphical illustration of the secretion of TNF-a by macrophages in response to LPS or ALPS.

FIG. 10 graphically represents LPS and ALPS stimulation of NO release by peritoneal macrophages.

FIG. 11 is a graphical illustration of LPS stimulation of TNF-a in the presence and absence of ALPS.

FIG. 12 is a graphical illustration of LPS stimulated release of NO by macrophages in the presence of ALPS and by macrophages pre-treated with ALPS.

FIG. 13 graphically represents the effect of pre-treating macrophages with ALPS (1 μ g/ml) for 2 hours prior to exposure to LPS.

FIG. 14 is a graphical illustration of the effect of an ALPS priming dose (six hour treatment) on subsequent LPS (500 ng/ml) stimulation of TNF-a.

FIG. 15 graphically represents the time course of ALPS priming of LPS induced TNF-a secretion—cells exposed to ALPS (1 ng/ml) for indicated time then elicited with 500 μ g/ml LPS.

FIG. 16 illustrates mRNA production by macrophages pre-treated with the indicated concentrations of ALPS five hours prior to processing for mRNA (left); shown next to beta-actin controls (right).

FIG. 17 illustrates mRNA levels of macrophages stimulated with ALPS (μ g) at various levels for six hours prior to mRNA processing. Values are reported as a ratio to mRNA of beta-actin.

FIG. 18 illustrates an FITC labeling profile for macrophages treated with FITC anti-CD-14 antibodies without (left) and following (right) pre-treatment of the cells with ALPS.

FIG. 19 is a table summarizing the biological responses of LPS and ALPS derived from two algal sources—Chlorella (NC64a) and Prototheca (289).

DETAILED DESCRIPTION OF THE INVENTION

The present invention involves the isolation and purification of lipopolysaccharides from eukaryotic algae and the use of these algal lipopolysaccharides to inhibit the release of the hormone TNF-a and, thereby, inhibit the initiation of endotoxin-initiated sepsis. The present invention further involves the use of algal lipopolysaccharides to inhibit nodulation and thus inhibit the innate immune system of insects and mammals.

Algal lipopolysaccharides can be prepared from mass cultures of several strains of algae maintained under axenic conditions. By means of well-known size exclusion chromatography and differential centrifugation, algal lipopolysaccharides of high purity for analysis and experimentation were obtained. Several cultures of isolated algal lipopolysaccharide are maintained in the refrigerator in Room 245 of the Manter Building, School of Biological Sciences, University of Nebraska, Lincoln, Nebr. 68583. While it is believed that the isolation of algal lipopolysaccharide utilizes well-known techniques and requires no undue experimentation, a culture of the algal lipopolysaccharide of the present invention has been deposited at the American Type Culture Collection (ATCC) under conditions to satisfy the Budapest Treaty and is designated Accession No. 209592. All restrictions to access of this material will be removed by applicant upon the granting of the parent of this application, Application Ser. No. 09/008,422, filed Jan. 16, 1998.

Symbiotic Chlorella sp. NC64A, originally isolated from *Paramecium bursaria*, was grown at room temperature with continuous shaking under continuous light, 25 μ EM⁻²s⁻¹ photosynthetically active radiation, in 10% Bold's basal

medium supplemented with 0.72% (wv) proteose peptone, 0.5% (w/v) glucose, and 0.05% (w/v) yeast extract. Cultures were sampled every two to three days, streaked for isolation on solid media, which is the media described above plus 1.5% agar, and incubated for 24 hours at both 37° C. in the dark and 20° C. in the light to monitor for potential bacterial contamination. Contaminated batches were discarded with fewer than one out of ten batches exhibiting contamination. Cells were harvested in late log phase by centrifugation at 3000 \times g, 20° C. and washed three times by aseptic resuspension and centrifugation in pyrogen-free water. Cells were then lyophilized and stored at -20° C. All glassware was treated to remove endotoxin by standard extensive washing and rinsing, followed by autoclaving at 121° C., 20 psi, for one hour, and subsequent baking at 185° C. for a minimum of three hours. All reagents were prepared in glassware treated as described above using pyrogen-free water (Baxter Healthcare Corp. Deerfield, Ill. 60015).

Algal lipopolysaccharide was prepared from lyophilized cells according to the procedure set forth by Goldman and Lieve in *Electrophoretic Separation of Lipopolysaccharide Monomers Differing in Polysaccharide Length, Methods of Enzymology* 138:267-275 (1987). Lyophilized cells were suspended in pyrogen-free water (Limulus Amoebocyte Lysate Reagent Water, Associates of Cape Cod, Woods Hole, Mass.) at 10% (w/v) and mixed with an equal volume of phenol-water (9:1 v/v) at 65-68° C. for 15 minutes. The mixture was cooled to 10° C. on ice, and separated into two phases by centrifugation at 5000 \times g, 10° C., for 30 minutes. The upper aqueous phase was removed and the lower phenol phase was reextracted as above using an equal volume of pyrogen-free water. The aqueous phases from the two extractions were combined, lyophilized, resuspended in pyrogen-free water and chromatographed on a 10 ml column of Sephadex G-25 (Sigma Chemical Company, St. Louis, Mo.) and equilibrated with 0.05 M ammonium acetate (pH 8.1) to remove phenol. The G-25 was analyzed for the presence of contaminating endotoxin. The void volume of the column was collected, lyophilized, weighed, resuspended in pyrogen-free water and assayed for endotoxin activity.

Stock solutions of 4.7 mg/ml lyophilized were serially diluted and determinations of endotoxin activity in US (endotoxin units) were made by multiplying the sensitivity of the lysate (0.03 EU/ml, as certified by Associates of Cape Cod) by the reciprocal of the greatest dilution giving a positive result. *Escherichia coli* Control Standard Endotoxin (Associates of Cape Cod) was used for positive controls. Pyrogen-free water was used for negative controls. Next, 0.1 ml of lysate was added to a 75 mm commercially depyrogenated flint glass test tube (Associates of Cape Cod) containing 0.1 ml of the solution to be tested. The reaction mixture was vortexed briefly and placed in a dry block incubator at 37+/-20° C. for 60+/-2 min. After incubation, the tube was carefully inverted 180°. If a solid gel-clot had formed and remained in the bottom of the tube after inversion, a positive result was recorded. If a clot was disrupted upon inversion, or no gel was formed, a negative result was recorded.

Dilutions of stock lyophilized were incubated with silica microspheres coated with an endotoxin affinity ligand, endotoxin-neutralizing protein, such as ENP, END-X B 1 5 kit (Associates of Cape Cod) or with a solution of polymyxin B sulfate (PMB, Sigma Chemical Company). Stock was added to the immobilized ligand or PMB, having a final concentration 0.5 mg/ml, and incubated with continuous shaking at room temperature. Incubation time for ENP

experiments was 48 hours. Incubation time for PMB experiments was 1 hour. After incubation, 0.1 ml aliquots were assayed for activity as above. A decrease in LPS activity was determined by endpoint comparison of serially diluted samples with untreated samples.

A standard microassay procedure for KDO was performed as described previously. The absorbance was determined at 548 nm using a Beckman DU Model 2400 spectrophotometer (Beckman Instruments, Inc., Fullerton, Calif.). Authentic KDO (Sigma Chemical Company) was used to prepare a standard curve. Authentic *E. coli*, 128:B12, LPS (Sigma chemical Company, St. Louis, Mo. 63178) was used as a positive control.

Lipid A was prepared from phenol-water extracts of whole algal cells. Lyophilized phenol-water extract from *Chlorella* NC64A was suspended in chloroform:methanol at a ratio of 4:1 v/v, vortexed for 2 minutes and centrifuged for 5 minutes at 10,000×g in a tabletop centrifuge. The pellet was extracted again as above, resuspended in 0.2N HCl:methanol at a ratio of 1:1 v/v, and heated at 100° C. for 45 minutes. This preparation was then cooled to room temperature and centrifuged for 5 minutes at 10,000×g in a tabletop centrifuge. The pellet was resuspended in chloroform:methanol at a ratio of 2:1 v/v. An equal volume of pyrogen-free water was added and the mixture was centrifuged for 5 minutes at 10,000×g in a tabletop centrifuge. The supernatant was discarded and the pellet was dried at 50° C. under nitrogen.

Dried samples of the *Chlorella* lipid A extract prepared as described above were resuspended in a 4:1 chloroform:methanol and applied to 10×10 cm silica gel coated glass plates (Analtech, Inc. Newark, Del.) and subjected to radial thin-layer chromatography. The solvent was chloroform:methanol:water:concentrated ammonium hydroxide at a ratio of 50:25:4:2 v/v/v/v, respectively. Chromatograms were developed by the application of 4% potassium dichromate in 40% sulfuric acid as a spray followed by heating for 30 minutes at 130° C.

Lyophilized phenol-water extracts were suspended in sterilized, double distilled water and sonicated at full power for 60 one second bursts using an Ultrasonic Sonicator (Heat Systems, Inc. Farmingdale, N.Y.). For ultracentrifugation purification, the suspension was then centrifuged at 105,000 g for 4 hours. The resulting pellet was subjected to two additional cycles of centrifugation. Approximately 1 mg of the final pellet was subjected to hydrolysis and methylation as described by Wollenweber and Rietschel. The resulting methylated fatty acids were concentrated and then analyzed by gas chromatography. Fatty acid methyl esters were chromatographed by temperature programming at 2° C. per minute from 150 to 220° C. Injections were made in split mode, 45:1, and separations were carried out with a helium carrier gas at 0.6 ml/minute. Fatty acid esters were identified by comparison with authentic standards purchased from Sigma Chemical Company (St. Louis, Mo.).

SDS-PAGE, sodium dodecyl sulfate-polyacrylamide gel electrophoresis, was performed according to the method of Goldman and Lieve. Samples were applied to nongradient gels of 12.5% acrylamide. Authentic *E. coli*, 128:B12, LPS (Sigma Chemical Company, St. Louis, Mo. 63718) was also applied in separate wells as a control. Electrophoresis was performed at constant voltage of 125 millivolts using Tris-glycine-SDS running buffer at a pH of 8.3 and allowed to continue until the tracking dye had migrated to the lower edge of the gel. Gels were fixed overnight in a solution of 40% (v/v) methanol and 10% (v/v) glacial acetic acid,

stained with Coomassie blue (0.1% w/v Coomassie Blue R0250, BioRad Laboratories, Richmond Calif., in 40% methanol and 10% v/v glacial acetic acid), destained in fixing solution, and then over-stained with silver (Silver Stain Kit for Polyacrylamide Gels, Sigma Chemical Company, St. Louis, Mo.).

Lyophilized phenol-water extracts testing positive for LPS activity were suspended in pyrogen-free water at a concentration of 5 mg/ml and examined by transmission electron microscopy (TEM) after negative staining. For negative staining, a drop of the mixture was placed on a Formvar coated 300 mesh copper grid followed by a drop of 2% phosphotungstic acid. Excess material was removed with filter paper. The grid with stained sample was allowed to air dry and examined using a Philips 201 electron microscope at an accelerating voltage of 60 kV.

One of the properties of enteric LPS is its ability to induce gelation of *Limulus* amoebocyte lysate (LAW) and the inhibition of this reaction by polymyxin B and endotoxin neutralizing protein. The *Chlorella* extracts were examined for LAL activity using gelation and inhibition techniques to ascertain gelation.

The dry weight of the lyophilized phenol Water extracts from *Chlorella* used for analyses was about 6.8% of the initial dry weight of the algal cells. To estimate the endotoxin activity of these extracts, solutions of lyophilisate at a concentration of 4.8 mg/ml were diluted with pyrogen-free water and assayed for LAL activity. To estimate the level of endotoxin activity in the stock solution, the sensitivity of the lysate was multiplied by the reciprocal of the greatest dilution giving a positive result. The sensitivity of the *Limulus* amoebocyte lysate was 0.03 EU/ml (as certified by Associates of Cape Cod, Woods Hole, Mass.). The greatest dilution giving a positive result was 1.4×10^5 , giving an activity of 2,500 EU/mg. This is reported at the Table of FIG. 1.

To determine if the *Chlorella* LAL gelation activity would be inhibited with endotoxin neutralizing protein and/or polymyxin B, phenol water extracts were treated with these reagents and then analyzed for LAL activity. Results of these experiments are shown in the Table of FIG. 1 and indicate that phenol water extracts of *Chlorella* contain a factor that promotes gelation, which is LAL positive. The inhibition experiments provide data showing that the gelation factor exhibits behavior similar to enteric LPS. Together these observations suggest that phenol water extracts of *Chlorella* contains a factor similar to enteric LPS.

Two principal chemical moieties associated with most enteric LPS are 2-keto-3-deoxyoctulosonic acid (KDO), a sugar associated with the inner core, and β -OH myristic acid, an ester linked, long chain fatty acid associated with the lipid A domain. Phenol-water extracts of *Chlorella* were examined for the presence of these two constituents.

When analyzed for KDO, 2.7 mg of LPS-containing fraction from symbiotic *Chlorella* gave an absorbance of 0.470 and 1.9 mg of *E. coli* 128:B12 gave an absorbance of 0.730 at 548 nm. These absorbances corresponded to 6.0 and 8.9 μ g of KDO for *Chlorella* and *E. coli*, respectively. For the qualitative analysis of fatty acids by gas chromatography, the methylated products resulting from hydrolysis of *Chlorella* phenol-water extract were analyzed. This analysis showed the methylated hydrolysate contained many constituents and that the fatty constituents are more complex than typically found in enteric lipid A. However, as seen in the Table of FIG. 2, the identification of significant amounts of β -OH-myristic and β -OH-lauric acids is consistent with the presence of LPS.

When analyzed with SDS-PAGE, LPS typically separates into distinct bands showing characteristic profiles. When phenol-water extracts from *Chlorella* were analyzed using SDS-PAGE, banding patterns similar to those of enteric bacteria were obtained. This is shown in FIG. 3. Because the bands did not stain with Coomassie blue and were persistent after treatment with proteinase K, it can be concluded that these bands were not the result of protein in the phenol-water extracts. The gels did not contain detectable RNA.

Dried suspensions of enteric LPS form residues consisting of molecular aggregates possessing characteristic morphology visible with transmission electron microscopy. FIG. 4 is a transmission electron micrograph of dried, negatively stained *Chlorella* LPS. Long, rod-like structures with an average width of 5 to 7 nm were the predominant morphological figures structures observed. Some branched structures were noted. This morphology was similar to that seen in electron micrographs of bacterial LPS.

Lipid A is a characteristic and defining component of LPS. To determine if lipid A was a component of phenol-water extracts of *Chlorella*, we analyzed hydrolysates of phenol water extracts using thin-layer chromatography. FIG. 5 is a radial thin-layer chromatogram showing the migration of three samples of lipid A from different sources. Lane A is lipid A prepared from LPS of *E. coli* AB I 157 (Sigma Chemical Co. St. Louis, Mo.) and shows three bands with Rf values of 0.40, 0.48, and 0.55. Lane B is lipid A from *E. coli* D3 Im4 and shows three bands with Rf values of 0.39, 0.45 and 0.55. Lane C is lipid A from *Chlorella* NC64A, which shows two bands with Rf values of 0.39 and 0.48. These data indicate that mild acid hydrolysis of *Chlorella* phenol-water extracts yields products that, when analyzed with thin-layer chromatography, co-migrate with lipid A species prepared from enteric bacteria.

Algal LPS may be isolated from preparations of symbiotic algae. This is an unexpected and unprecedented finding. Experimental evidence was produced showing eukaryotic algae have the capacity to synthesize a structurally conserved LPS. This conclusion is based on evidence from the reactivity of phenol-water extracts to the *Limulus* amoebocyte lysate assay, the inhibition of LAL gel-clot activity in extracts treated with ENP and PMB, the detection of KDO, lipid A, β -hydroxy fatty acids, the appearance of molecular aggregates under transmission electron micrography and the electrophoretic profile of extracts subjected to SDS-PAGE.

It was preliminarily concluded that contamination of algal cultures by bacteria was the source of LPS. All cultures were sampled and inoculated on Petri plates at each media transfer to monitor for bacterial and fungal contamination and contaminated cultures or reagents were autoclaved and discarded. Thus, all preparations of algae used to prepare LPS extracts were free of detectable microbes. Further, reagent preparation was done in endotoxin-free glassware using pyrogen-free water.

An additional reason against a finding of bacterial contamination is based on the observation that the lyophilized phenol-water extracts accounted for about 6.8% of the initial dry cell mass. If symbiotic *Chlorella* produced no LPS and if all LPS activity had come from bacterial contamination, then, because the dry weight of LPS from phenol-water extracted *E. coli* corresponds to 3.4% for the dry cell weight, cultures would have to contain more bacteria than algae by weight to produce that amount of LPS. This is a condition which would be readily observable under the microscope. Thus, it must be concluded that microbial contamination is not the source of the LPS of the present invention.

Limulus amoebocyte lysate is an aqueous extract of phagocytes of the horseshoe crab, *Limulus polyphemus*. When LAL is mixed with a solution containing bacterial endotoxin or lipopolysaccharide, a quantifiable reaction occurs that is distinguished by an increase in turbidity and formation of a solid gel. One of the significant characteristics of the *Chlorella* extract is the ability to initiate gelation of LAL, supporting the conclusion of the presence of endotoxin in the extract. LAL coagulates in the presence of either LPS or (1-3)-p-D-glucans. In the case of LPS, the coagulation pathway is mediated by a specific zymogen, factor C, and in the case of p-D-glucans, the same coagulation pathway is mediated by another specific zymogen, factor G. p-D-glucans are produced by a variety of organisms, including algae. To determine if the positive gel-clot reaction obtained in the LAL assay was caused by LPS or by D-glucans, samples of the lyophilisate from phenol-water extracts of symbiotic *Chlorella* were treated with agents known to bind to the lipid A portion of LPS, specifically, polymyxin B sulfate, and endotoxin-neutralizing protein. Both of these LPS binding agents are known to reduce or neutralize the biological activity of LPS in vitro. In this way, it could be determined whether LPS or p-D-glucans was the LAL-active factor the algal extracts. The presence of LPS could be implied if a reduction in endotoxin activity in the LAL assay resulted after pretreatment of the sample with an LPS-binding agent. Similarly, the presence of p-D-glucans would be suspected as the clotting pathway initiator if there was no decrease in endotoxin activity as measured by the LAL assay after pretreatment of a sample with LPS-binding agent. Treatment of the extract with either ENP or PMB resulted, respectively, in 97.5% and 95% reduction of endotoxin activity, thus supporting the conclusion that gel-clot activity is initiated by the interaction of LPS with factor C. Control studies have confirmed that the gel filtration medium used in algal LPS purification does not provide an exogenous source of β -D-glucans. These studies suggest probable structural and conformational similarity of algal LPS with bacterial LPS. This allows a prediction of conservation of biosynthetic mechanism for production of LPS in both prokaryotes and eukaryotes.

ENP is thought to bind to the phosphoglucosamine portion of lipid A, and the interaction between PMB and LPS involves the lipid A-KDO region of the LPS molecule. Results of the polymyxin B LAL inactivation experiments together with detecting the presence of KDO in *Chlorella* extracts allow assumptions to be made regarding the chemical composition of algal LPS. Certain species of gram-negative bacteria (*Bacteroides* sp.) produce LPS that is deficient in KDO. This type of LPS is resistant to inactivation by PMB. Because PMB binds to the lipid A-KDO region of LPS, the deficiency or inaccessibility of KDO impairs the ability of PMB to bind to the LPS molecule. Because the preparations of algal LPS tested positively for KDO and were inactivated by PMB in the LAL assay, it can be predicted that KDO is an integral component of LPS produced by symbiotic *Chlorella*.

Lipid A, the biologically active and most conserved moiety of endotoxins, was prepared by acid hydrolysis of LPS. During hydrolysis the bond between lipid A and KDO is cleaved, producing monophosphoryl lipid A. Monophosphoryl lipid A is heterogeneous with respect to the number of fatty acid chains. This heterogeneity can be resolved by TLC. Preparation of and subsequent analysis by TLC showed it to migrate similarly to lipid A prepared from *E. coli* D3 1 me. The migration pattern on TLC suggests that

algal lipid A contains molecules having the penta-acyl configuration of fatty acids.

Gas chromatography of methylated products of hydrolyzed lipid A yielded approximately 20 major peaks. Of the five peaks, two were β -hydroxy fatty acids (methyl esters of lauric and myristic), the signature fatty acids of "classical" lipopolysaccharide lipid A. The remaining, unidentified peaks are probably other fatty acids and/or other compounds resulting from the hydrolysis reactions. The presence of lipid A and the typical lipid A fatty acids suggests that the algae have biosynthetic pathways similar to gram negative pathways, a prediction that can be tested to varying degrees by analyzing the alga for the presence of the key enzymes involved in lipid A synthesis.

Transmission electron microscopic examination of reconstituted, lyophilized phenol-water preparations of symbiotic *Chlorella* showed ribbon-like structures about 5 to 7 μm wide. This can be seen in FIG. 4. These structures were similar to the structures seen in electron micrographs of negatively-stained bacterial LPS, thus providing additional evidence for the existence of algal LPS.

The silver staining pattern of other phenol water extracts following separation on 12.5% polyacrylamide gel is shown in FIG. 3 lanes 3, 4, 5. The broad band at the lower edge of each lane is thought to be lipid A or LPS having fewer repeating oligosaccharide units. The demonstration that *Chlorella* LPS can be separated by SDS-PAGE, resulting in a characteristic profile, will be useful in determining the size of the LPS subunits once standardization is routine and comparison of the profiles with LPS of other algal strains can be made. Electrophoresis of algal LPS could prove to be useful in taxonomic studies of those algal strains producing it.

It is not presently known how these eukaryotes came to possess molecules which virtually define the gram negative bacteria. Examination of thin sections of algae by TEM has not revealed the presence of intracellular bacteria. The role of these putative LPS in the biology of *Chlorella* is also unknown. Preliminary experiments in our laboratory indicate that pathogenetic *Chlorella* possess LPS-like material as well as non-pathogenic, freeliving *Chlorella*. Furthermore, evidence is accumulating that the LPS is a component of the cell walls of symbiotic *Chlorella* and is a crucial element in attachment of the PBCV-1 virus. Published reports indicate the possible role of polysaccharide in virus binding to symbiotic *Chlorella* but a definitive description of the viral binding site has been elusive. The presence of LPS in symbiotic *Chlorella* may help explain certain recognition phenomena involving the algae and their hosts. Promoting host cell phagocytosis and the subsequent escape from lysosomal digestion may be loci for algal LPS action in algal endosymbioses.

Chemical analysis of algal lipopolysaccharide molecules indicated that they possess chemical moieties similar to enteric endotoxins such as ketodeoxyoctonate, lipid A and beta-hydroxy myristic acid. Additionally, a number of detectable sugars present in acid hydrolysates of algal lipopolysaccharide molecules have been determined by anion HPLC using a Dionex, Carbopac column. These sugars and their relative mole percentages are as follows: arabinose-4.1%; 3-deoxy-D-manno-octulosonic acid(KDO)-7.1%; galactose-15.0%; glucose-63.5%; glucosamine-1.2%; mannose-1.9%; and rhamnose-7.2%.

Furthermore, like enteric endotoxins, algal lipopolysaccharides induce a positive reaction in the *Limulus* amoebocyte lysate assay. The apparent structural and functional

similarity of algal lipopolysaccharides to enteric endotoxins allows the algal molecules to interact with endotoxin binding/receptor sites present on endotoxin sensitive TNF- α releasing cells. Thus, algal lipopolysaccharides may impact to some extent endotoxin-induced sepsis.

This was verified empirically through experiments on galactose amine model mice, a genetically engineered mouse particularly susceptible to contracting endotoxin-initiated sepsis. It is well known that if small doses of enteric lipopolysaccharide were injected into galactose amine model mice, nearly 100 percent lethality would be expected as a result of endotoxin-initiated sepsis. Therefore, given the many similarities between enteric lipopolysaccharides and algal lipopolysaccharides, it would be expected that small doses of algal lipopolysaccharides injected into these mice would also cause them to die. Surprisingly, this does not occur.

Otherwise lethal doses of algal lipopolysaccharide were injected into galactose amine model mice. Contrary to reasonable expectations, no mice died. In fact, no mice developed symptoms indicative of the existence endotoxin-initiated sepsis. The mice were apparently clinically unaffected by the injection.

Another experiment produced even more profound unexpected results. In this experiment, mice simultaneously were given lethal doses of bacterial endotoxin along with equal doses of algal lipopolysaccharide. Historical experimental data establishes at least 80 percent of the mice would die from sepsis. However, when given simultaneous injections of enteric endotoxin and algal lipopolysaccharide, over 80 percent of the mice survived. The results of this experiment show that not only does algal lipopolysaccharide fail to initiate sepsis or sepsis symptoms, but that it also may inhibit the onset of sepsis in the presence of enteric endotoxins.

While the exact mechanism for these phenomena are unknown and currently under investigation, it is presently believed algal lipopolysaccharides fail to elicit and may, in fact, block the TNF- α secretion response of the macrophages and, hence, prevent the onset of sepsis. Mouse macrophages presented with a stimulating dose of bacterial endotoxin were inhibited from releasing TNF- α in a dose dependent manner by algal lipopolysaccharide. This clearly suggests a mechanism whereby algal lipopolysaccharide protects mice against the lethal effects of endotoxin by inhibiting the endotoxin-induced release of TNF- α by the macrophage effector cells. As discussed above, the release of TNF- α is a critical step in the initiation of sepsis by gram negative bacteria. Therefore, by blocking the release of TNF- α , the algal lipopolysaccharide molecules inhibit the initiation and escalation of endotoxin-initiated sepsis and, thereby, protect mice from the lethality of injected endotoxins purified from enteric bacteria.

The findings that algal lipopolysaccharides can prevent or reduce the lethality of endotoxins in mice and that the algal lipopolysaccharide molecules prevent the release of TNF- α by macrophages are of great importance to the study of endotoxin induced sepsis. The present invention could be the key component in the design of therapeutic or immunizing agents against sepsis and, as a consequence, could save many lives.

The innate immune system is the first line of defense animals have against invading pathogens. Comprised of a variety of effector cells and a suite of signal molecules, the innate system mobilizes a rapid response resulting in the inactivation and elimination of pathogens. Host-pathogen

recognition typically involves the binding of ligands, which are shed by or are part of the invader, to receptors associated with cells of the innate immune system. LPS, the essential structural molecules comprising the outer membrane of gram negative bacteria are especially powerful activators of the innate immune system. As previously stated, LPS are shed to the surrounding environment whenever gram negative bacterial cells divide or lyse.

In addition to stimulating the cells of the innate immune system, LPS is also a potent modulator of effector cell activity. As previously discussed, the macrophage is the central and pivotal player in mobilizing the innate response to gram negative invasion in mammals. These cellular sentinels not only detect the presence of gram negative bacteria via LPS, but also synthesize and secrete an array of stimulatory and inhibitory signal molecules and mediators (e.g., cytokines and NO) that mobilize and control various effector cells involved in the inflammatory response. Production of these mediators is tightly regulated via receptor, transcriptional and translational processes involving autocrine and paracrine feedback systems. Despite an enormous data base regarding macrophage biology and LPS induced/mediated processes, the precise pathways involved in macrophage challenge and response are poorly understood.

When foreign objects such as pathogens are experimentally injected into the hemocoel of insects, e.g., *Manduca sexta*, also known as the tobacco hornworm, a cellular/biochemical cascade involving cells of the innate immune system is provoked. One defensive response is what is known as nodulation. Nodulation is the coalescence of the invading microbes into discrete cellular aggregations which subsequently become melanized. These conspicuous nodules are readily observed with low power optics and the number of nodules produced is roughly dependent upon the injected dose of pathogens. While experimental data indicates that living or lyophilized bacteria (e.g., *Serratia marcescens*) are able to induce nodulation, algal cells (i.e., *Chlorella*, strain NC64A), are not nodule inductive. Moreover, in the presence of *Chlorella* cells, the normal nodulation response of hornworm larvae to a challenging dose of bacteria is significantly reduced. Further, algal lipopolysaccharides (ALPS) extracted from these eukaryotic algae have been shown in preliminary experiments to inhibit the normal, pathogen stimulated immune response of a model insect (i.e., *Manduca sexta*).

In practical terms this data suggests that the innate immune response of an insect may be disabled with ALPS, rendering the insect at a greater risk with respect to opportunistic and otherwise harmless bacteria normally present in its environment. Moreover, ALPS-treated insects that undergo metamorphosis would be particularly vulnerable as their guts normally dissolve with the concomitant potential internal release of gram negative cells. Accordingly, such an immune compromised insect would be at great risk with respect to coping with microbes ubiquitous in its environment.

Preliminary data has shown that ALPS has interesting and potentially important biological reactivity in the innate immune system. The data show a number of remarkable features of these amphipathic molecules. First, ALPS has been shown to promote some of the same processes as enteric LPS. Alternatively, ALPS has also been shown to antagonize some LPS driven processes. Secondly, ALPS has been shown to potentiate some LPS mediated processes. Furthermore, the data show that the biological activities of LPS and ALPS are differentiated in both murine and insect immune systems. The data presented in FIGS. 6-8 illustrate

the responses of whole animals to injected ALPS. The data presented in FIGS. 9-19 describe the response of elicited mouse macrophages to ALPS.

The table of FIG. 6 illustrates the response of galactosamine primed mice to injected LPS and ALPS. The data highlights two very important points. First, even at high doses, ALPS is non-lethal. Second, when given in a single bolus, ALPS may actually abrogate the effects of a dose of LPS which would be lethal in the absence of ALPS. In other words, ALPS may protect LPS sensitized mice from the lethal effects of LPS. With respect to this first point, the data further supports the contention that any observed effects of ALPS are not due to contaminating LPS. With respect to the second point, the data supports the idea that ALPS interacts at some locus in the LPS mediated pathway which would otherwise result in death.

The data in FIG. 7 shows the response elicited in the tobacco hornworm upon the simultaneous injection of increasing quantities of whole *Chlorella* cells (the source of ALPS) as measured by O.D. and a constant nodulating dose of *Serratia marcescens* cells (a gram negative bacteria). For each algal dose (O.D.), the data is reported as the average nodulation percentage of four larvae as compared to control specimens injected only with the bacteria. As seen from the data, in a dose-dependent manner, nodulation is significantly reduced. Although the data is not shown, insects failed to mount an immune response to injection of whole *Chlorella* cells, irrespective of the dose size. In comparison, larvae injected with whole *Prototheca* cells (a relative of *Chlorella*) mounted an intense nodulation response to the same dosages.

That *Chlorella* ALPS is the mediating factor in abrogating the immune response of *Manduca sexta* larvae to bacterial challenge is shown in the table of FIG. 8. The table illustrates the effect of the co-injection of *Serratia* pathogens with a dose of ALPS. The data indicate that both hemocyte aggregation, an early innate immune response to gram negative pathogens, and nodulation are significantly reduced when the immune system is exposed to ALPS.

The data of FIGS. 7 and 8, taken together, indicate that cellular factors associated with whole *Chlorella* cells and specific molecules purified from *Chlorella* (i.e., ALPS) exhibit biological activity in the innate immune system of *Manduca sexta*. Moreover, in contrast to LPS, ALPS has an apparent inhibitory effect on hemocyte processes normally directed against gram negative pathogens.

A number of observations regarding the response of murine macrophages to a variety of experiments are worthy of specific notice. FIG. 9 illustrates the dose-dependent secretion of TNF- α in response to LPS and reveals that ALPS is ineffective in promoting TNF- α by peritoneal macrophages at reasonable physiological concentrations. Likewise, FIG. 10 shows that ALPS does not stimulate NO release from peritoneal macrophages at physiological concentrations.

Despite the data of FIGS. 9 and 10 indicating that ALPS does not stimulate TNF- α or NO secretion by murine macrophages, data presented in FIGS. 11 and 12 indicate that LPS action is antagonized in the presence of ALPS, though the effect for NO is less than pronounced. The inhibiting concentration of ALPS is well within a reasonable physiological range. Thus, while dose-dependent experiments are still in progress, it is unlikely that the inhibition is the result of non-specific toxicity.

FIG. 13 reveals a remarkable and pronounced priming effect of ALPS on the LPS secretion of TNF- α in murine

macrophages. Following short term ALPS pre-treatment (i.e., two hours), LPS induced secretion of TNF-a exhibited a dramatic 15-fold increase at an LPS concentration of 1 ng/ml. Furthermore, a major secretion differential continued over a wide range of physiological LPS concentrations.

FIG. 14 illustrates the dose dependency of the ALPS priming effect. In these experiments, cells were exposed to the indicated concentrations of ALPS for six hours and then, following washing, were challenged with 500 ng/ml of LPS. As the data indicate, the maximal priming concentration of ALPS was 1 ng/ml. Further increases in ALPS were accompanied by a concomitant decrease in the priming effect.

In addition to demonstrating a priming effect of ALPS, these data further support the character of ALPS as non-cytotoxic. The data in FIG. 15 shows the time course for the ALPS priming of TNF-a secretion in murine macrophages by LPS. Cells were exposed to ALPS (1 ng/ml) for the times indicated in the figure. Following washing, the cells were stimulated with LPS (500 ng/ml) and the secretion of the cytokine measured. The data indicates that the ALPS priming effect maximizes at approximately two hours of exposure. While the detailed mechanism for the ALPS priming effect is unknown pending further experiments, data shown in FIG. 16 showing the response of cellular levels of TNF-a mRNA in response to LPS following ALPS priming is suggestive.

FIG. 16 shows the gel (top box) and desitometric tracings of TNF-a mRNA extracted from cells pre-treated with a priming dose of ALPS. Beta-actin mRNA production was unaffected by the ALPS treatment regimen (FIG. 16, right panel). As illustrated, ALPS promotes a pronounced up-regulation of TNF-a in a dose-dependent manner. It should be noted, however, that the concentrations of ALPS required for this effect are 2-3 orders of magnitude greater than corresponding LPS concentrations needed to obtain similar up-regulation.

Based on these results regarding the ALPS stimulated up-regulation of TNF-a, a panel of macrophage mRNAs was surveyed. ALPS treated-LPS stimulated macrophages were surveyed for up-regulation of IL-1 β , IL-6, IL-10, IL-12p35, IL-12p40, IL-18, iNOS, and CD-14 mRNAs using the protocol described above. Of this panel, significant up-regulation of mRNAs was found for IL-12p40 and CD-14 promoted by ALPS pre-treatment as shown in FIG. 17. The ALPS concentrations promoting the response for IL-12p40 and CD-14 mRNAs was about 1-2 orders of magnitude of LPS concentrations which caused corresponding up-regulation. What was striking was the apparent selectivity of the ALPS in promoting significant up-regulation of only certain mRNAs. Of particular interest is that while IL-12p40 mRNA exhibited major up-regulation, the corresponding isoform mRNA for IL-12p35 did not (FIG. 17).

Intact macrophages were probed with FITC labeled anti-CD-14 mabs following stimulation of the cells with ALPS. The binding results were compared with untreated controls using FACS. As shown in FIG. 18, there was significant increase in the expression of CD-14 surface protein by ALPS treated macrophages as compared to the controls. This result appears to indicate that, in the case of CD-14, ALPS activates the complete regulatory pathway, from receptor via transcription to final expression, of this important component of the macrophage innate immune complex, namely, the CD-14 LPS receptor.

In the table of FIG. 19, the major, provocative findings regarding the bioreactivity of ALPS has been compared with that of LPS from enteric bacteria. Known information

regarding Prototheca also was included for comparison. Taken together, it is clear that based upon preliminary data, ALPS has selective activating and antagonistic properties with respect to the murine innate immune system. Of particular importance is the finding that ALPS can activate or reprogram macrophage mRNA synthesis of selected components (TNF-a, IL-12) without stimulating the secretion of the respective cytokines.

Unlike LPS from gram negative bacteria (*E. coli* 0111:B4), ALPS does not elicit degradation of I κ B in mouse macrophages as assessed by Western blotting with specific anti-I κ B antibodies. Furthermore, preliminary data using anti-I κ B-phosphate antibodies suggests that ALPS initiates signal transduction as I κ B-phosphate is produced by cells incubated with ALPS, though further signal transduction beyond this point is inhibited. These findings suggest that the locus of ALPS action with respect to reprogramming of macrophage responses to bacterial LPS is proximal to the I κ B degradation step in the signal transduction pathway and does not involve directly NF- κ B activation as an essential transcriptional event in modulating the TNF-a response in macrophages.

In summary, ALPS molecules offer possibilities for use as an investigative tool as well as an effective method of insect biocontrol. The possibility of utilizing ALPS as a specific chemical probe, provides new and unstudied opportunities to dissect and understand the signal transduction pathways leading to activating the immune response. Additionally, ALPS offers the possibility of being, or at least being part of, an insect control strategy.

In conclusion, the present invention is related to the isolation and use of lipopolysaccharides from eukaryotic algae. More particularly, the present invention relates to the isolation of algal lipopolysaccharides and their use to inhibit the release of TNF-a from macrophages and, thus, inhibit endotoxin-initiated sepsis or septic shock. Further, the present invention relates to the use of algal lipopolysaccharides to inhibit the innate immune system of insects and mammals.

Although the preferred embodiment of the invention has been described with some particularity, many modifications and variations of the preferred embodiment are possible in the light of the above teachings. It is to be understood that, within the scope of the appended claims, the invention can be practiced other than as specifically described.

All references disclosed and cited herein are hereby incorporated in their entirety.

Having thus described the invention, what is claimed is:

1. A method of inhibiting the innate immune system of an insect comprising administering to an insect an algal lipopolysaccharide isolated from ATCC Accession No. 209592 in an amount sufficient to inhibit nodulation.

2. A method of inhibiting the innate immune system of an insect comprising administering to an insect an algal lipopolysaccharide isolated from ATCC Accession No. 209592 in an amount sufficient to inhibit hemocyte aggregation.

3. A method of inhibiting the innate immune system of mammals comprising administering to a mammal an algal lipopolysaccharide isolated from ATCC Accession No. 209592 in an amount sufficient to inhibit nodulation.

4. A method of inhibiting the innate immune system of mammals comprising administering to a mammal an algal lipopolysaccharide isolated from ATCC Accession No. 209592 in an amount sufficient to inhibit hemocyte aggregation.

UNITED STATES PATENT AND TRADEMARK OFFICE
CERTIFICATE OF CORRECTION

PATENT NO. : 6,444,654 B1
DATED : September 3, 2002
INVENTOR(S) : Roosevelt L. Pardy

Page 1 of 1

It is certified that error appears in the above-identified patent and that said Letters Patent is hereby corrected as shown below:

Title page, Item [54] and Column 1, lines 1-4,

Cancel title and insert the following title:

**-- ISOLATED ALGAL LIPOPOLYSACCHARIDES AND USE OF SAME TO
INHIBIT INNATE IMMUNE RESPONSE --**

Signed and Sealed this

Fourth Day of February, 2003

A handwritten signature in black ink, appearing to read "James E. Rogan", written over a horizontal line.

JAMES E. ROGAN

Director of the United States Patent and Trademark Office