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# United States Patent [19]

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Pinson et al.

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[54] **SUBSTRATE POLISHING WITH REDUCED CONTAMINATION**

### FOREIGN PATENT DOCUMENTS

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### [57] ABSTRACT

[21] Appl. No.: **09/309,182**

Systems and methods for polishing a substrate with reduced contamination are described. A rinse arm has one or more nozzles configured to direct rinse fluid toward a polishing surface for polishing a substrate. The rinse arm further includes a fluid dispenser configured to direct rinse fluid to one or more surfaces of the rinse arm in proximity to the polishing surface and exposed to airborne slurry particles generated from slurry on the polishing surface. By maintaining the atmosphere in the vicinity of the exposed rinse arm surfaces at an elevated relative humidity level, airborne slurry particles adhering to the exposed rinse arm surfaces remain in suspension and, therefore, may be easily cleaned, e.g., during a high pressure rinse cycle. This feature reduces the likelihood that slurry particles will accumulate on exposed surfaces of the polishing apparatus and flake off while a substrate is being polished, reducing the likelihood of substrate defects caused by such slurry contamination.

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[51] Int. Cl.<sup>7</sup> ..... **B24B 55/12**

[52] U.S. Cl. .... **451/56; 451/444**

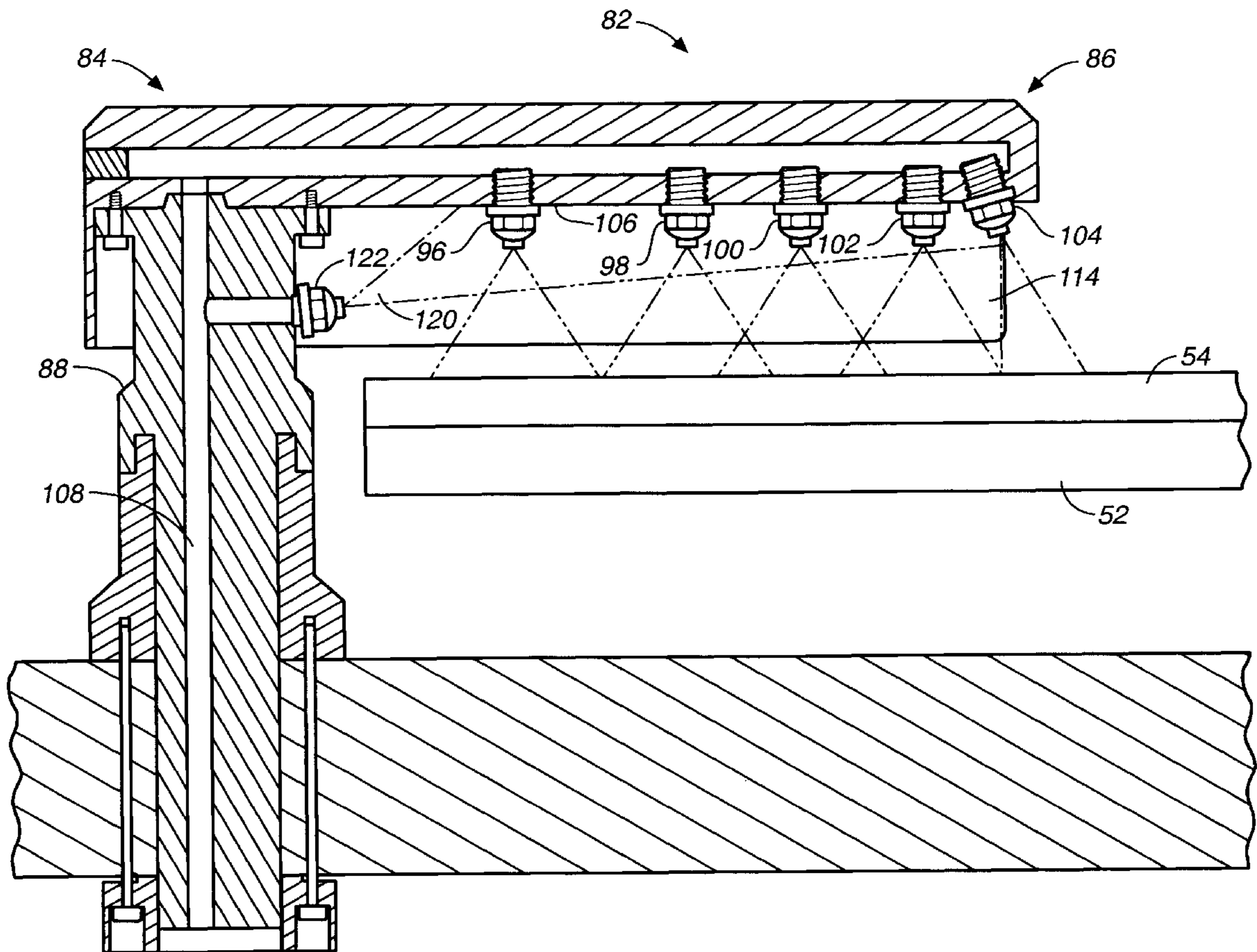
[58] Field of Search ..... 451/443, 444, 451/56, 41, 288

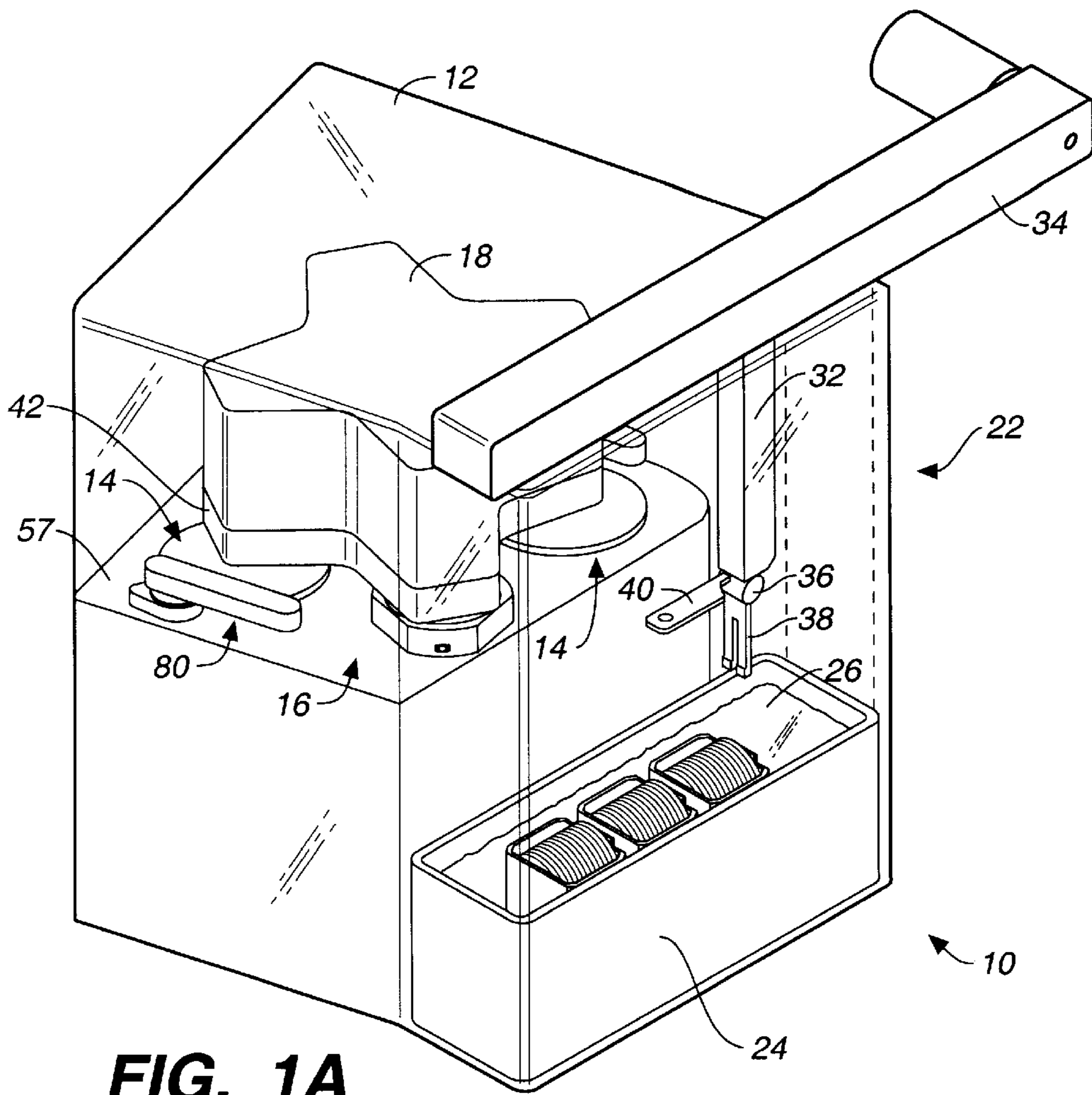
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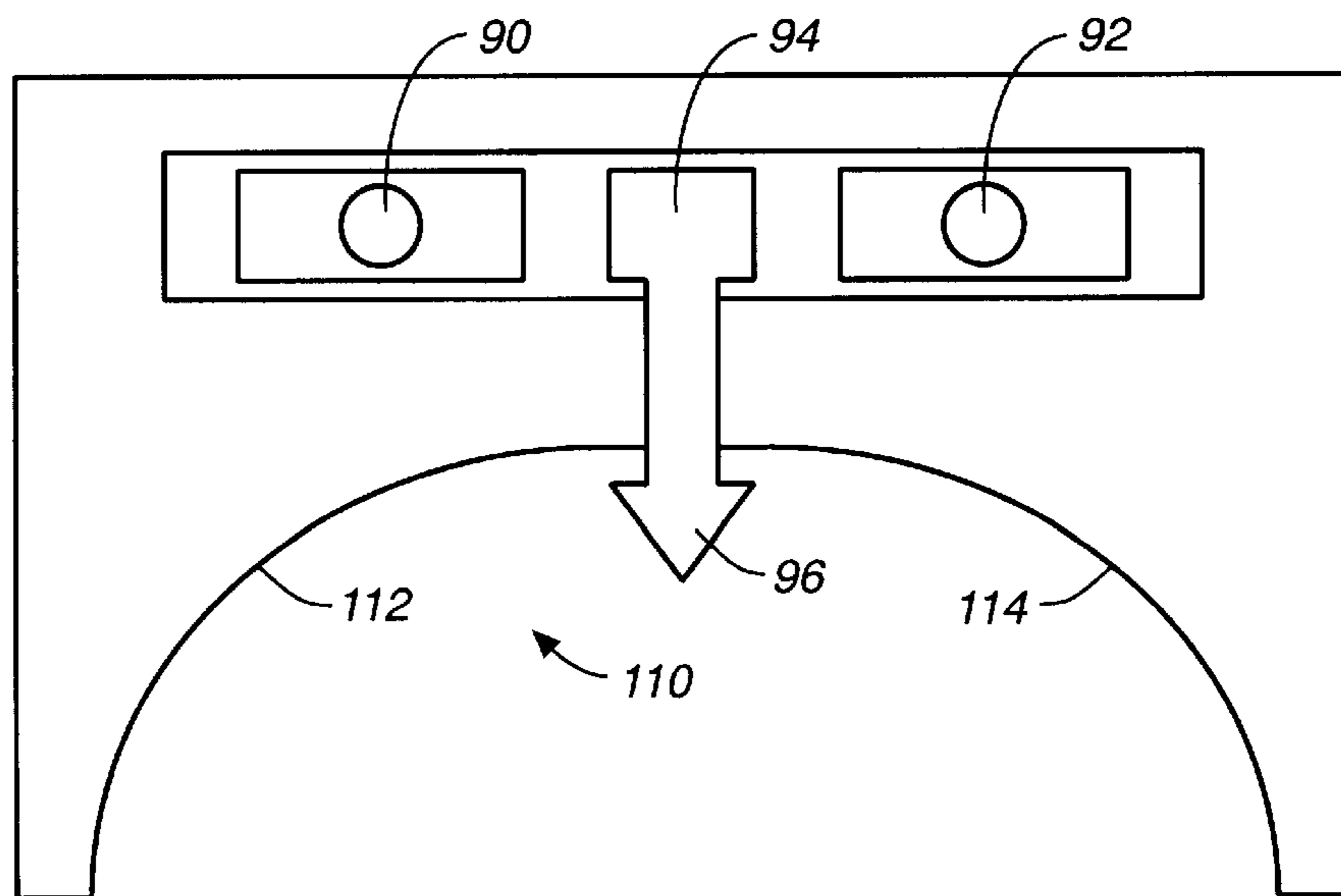
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**17 Claims, 5 Drawing Sheets**



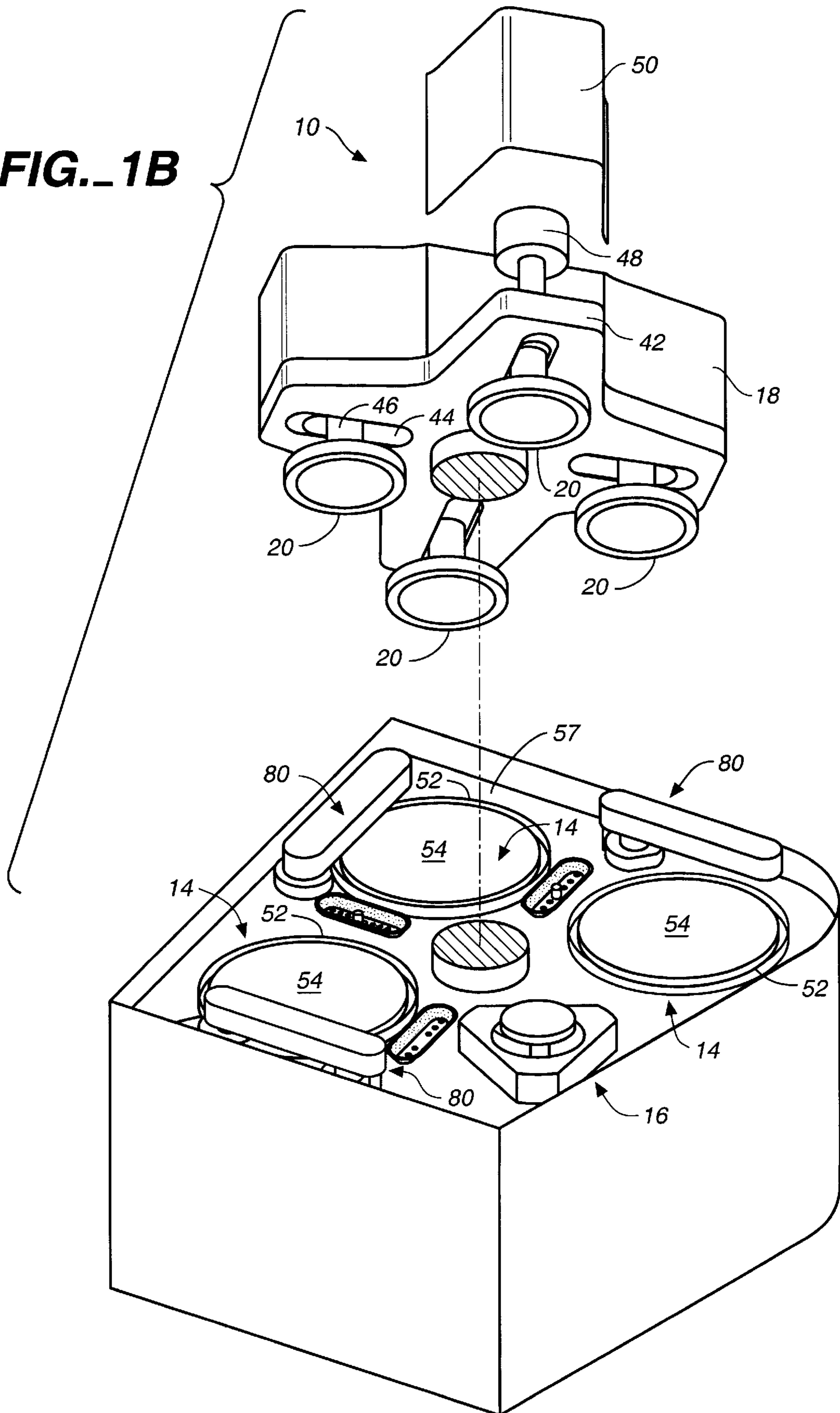


**FIG. 1A**



**FIG. 2B**

**FIG. 1B**



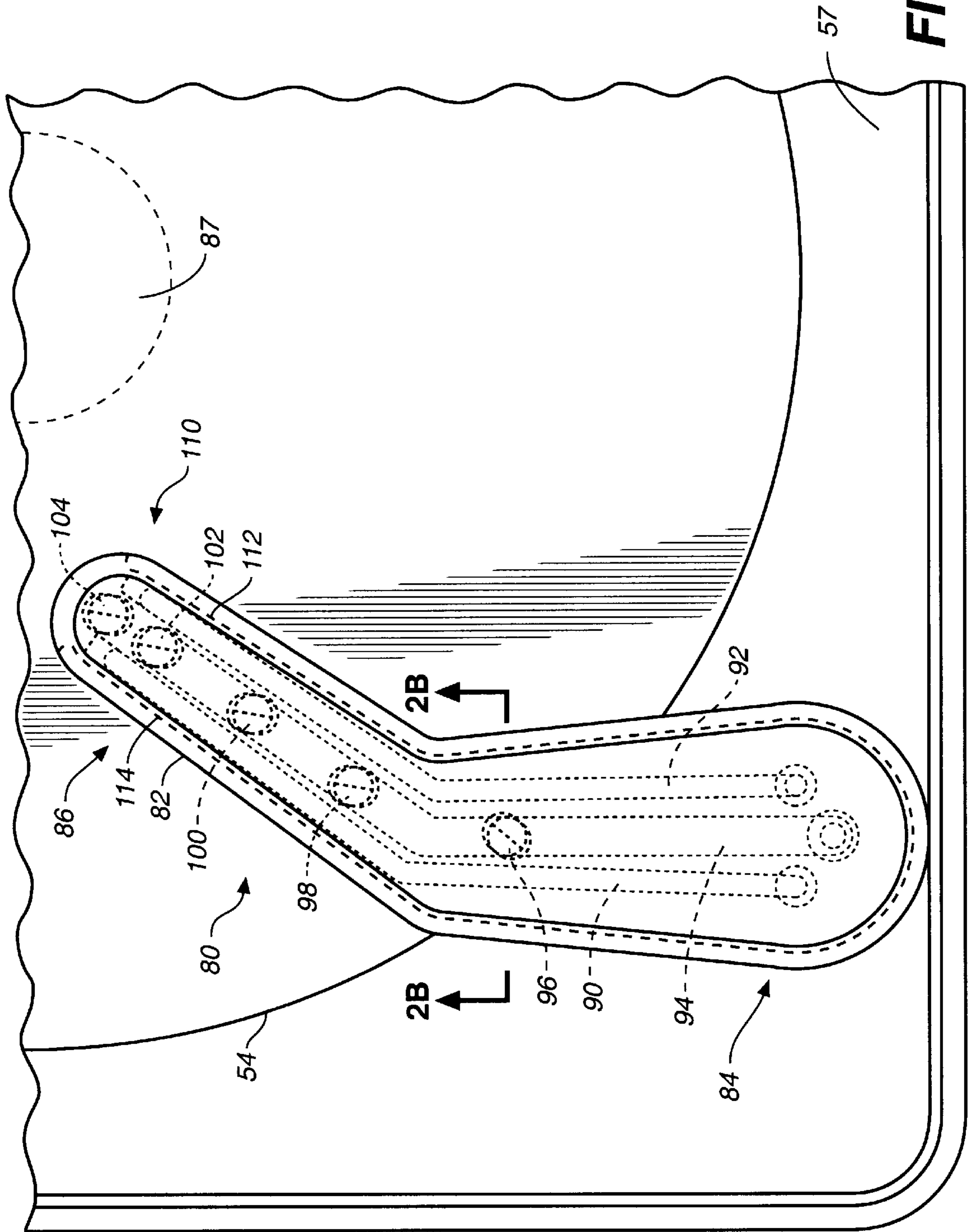
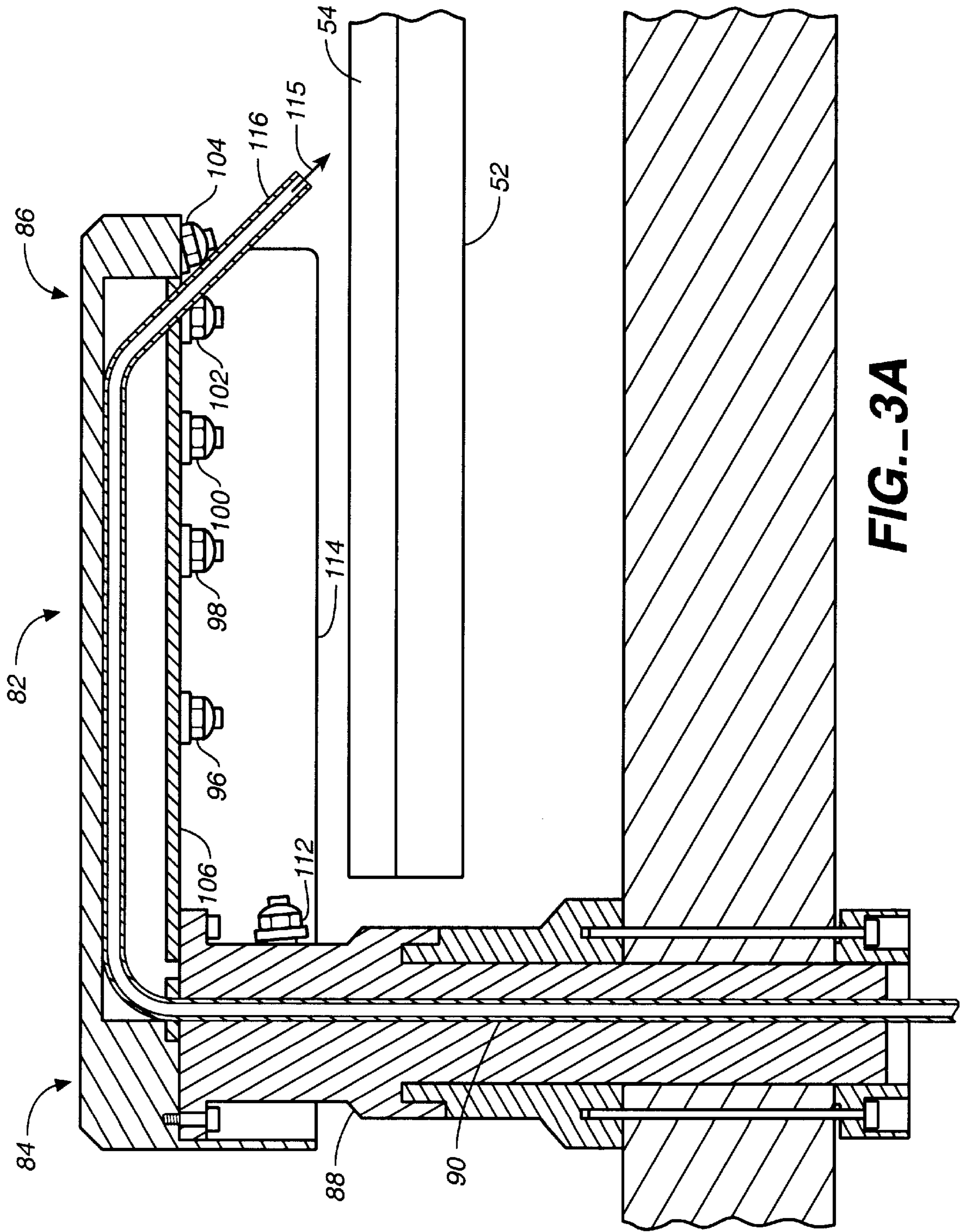


FIG. 2A



**FIG. 3A**

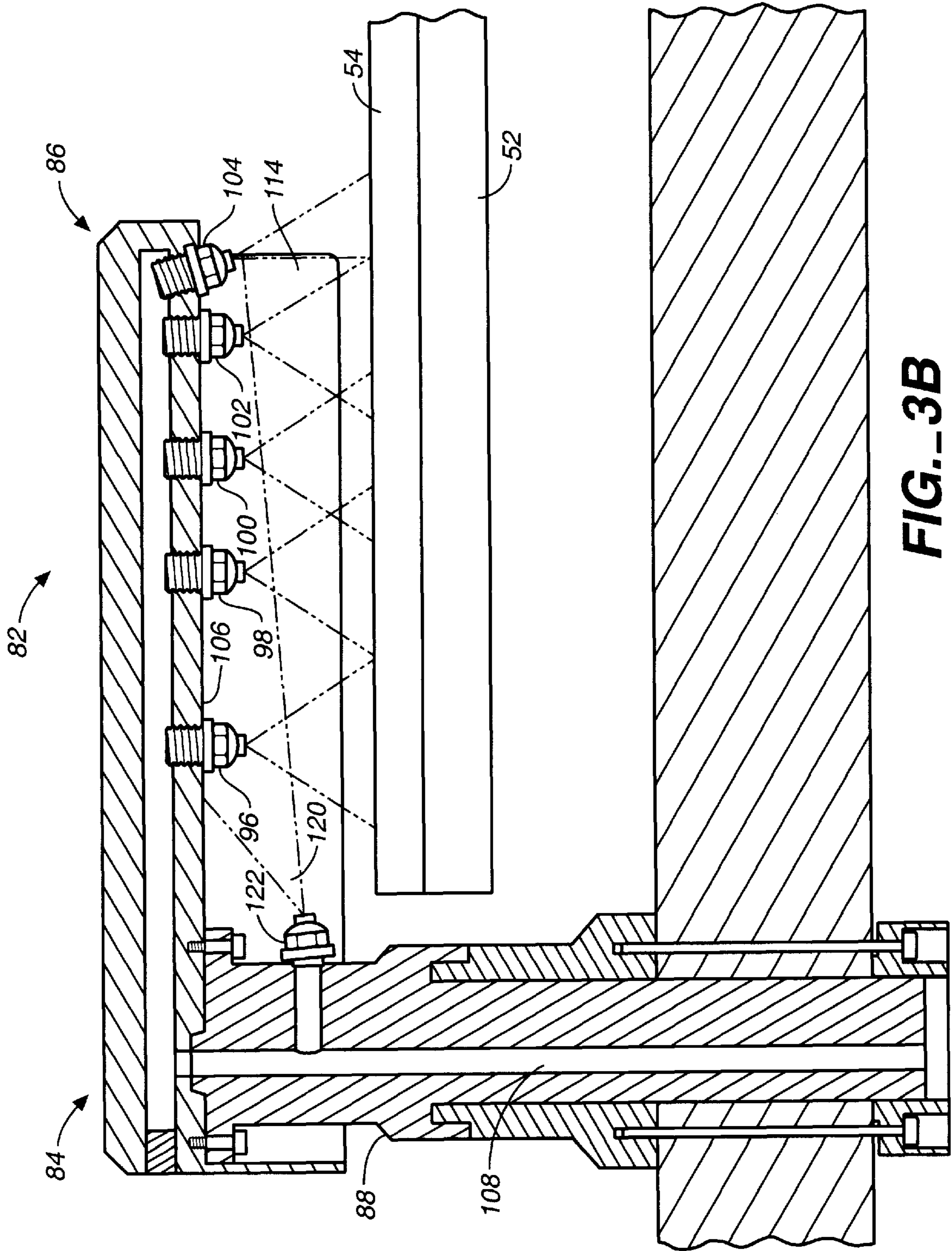


FIG. 3B

## SUBSTRATE POLISHING WITH REDUCED CONTAMINATION

### BACKGROUND OF THE INVENTION

The invention relates to substrate polishing techniques, including chemical mechanical polishing (CMP).

Chemical mechanical polishing is a process by which a substrate surface is smoothed (planarized) to a uniform level by a polishing pad and an abrasive slurry. A substrate to be polished is usually mounted on a rotatable carrier head and pressed against a moving polishing pad. The polishing pad typically includes an abrasive surface. An abrasive chemical solution (slurry) may be introduced onto the polishing pad to assist in the polishing process. Typically, a rinse arm supplies rinse fluid (e.g., de-ionized water) to the polishing pad to remove coagulated slurry and other material from the polishing pad surface.

### SUMMARY OF THE INVENTION

In one aspect, the invention features systems and methods for polishing a substrate with reduced contamination. A substrate polishing system comprises: a substrate carrier; a polishing surface; and a rinse arm having one or more nozzles configured to direct rinse fluid toward the polishing surface, the rinse arm further having a fluid dispenser configured to direct rinse fluid to one or more surfaces of the rinse arm in proximity to the polishing surface and exposed to airborne slurry particles generated from slurry on the polishing surface. A substrate polishing method comprises: supporting a substrate above a polishing surface; dispensing slurry onto the polishing surface; polishing the substrate against the polishing surface; directing rinse fluid through a rinse arm toward the polishing surface; and directing rinse fluid to one or more surfaces of the rinse arm in proximity to the polishing surface and exposed to airborne slurry particles generated from slurry on the polishing surface.

Embodiments may include one or more of the following features.

The fluid dispenser may be configured to direct moist air in the vicinity of the exposed surfaces of the rinse arm. In one embodiment, the fluid dispenser comprises a nozzle. In accordance with this embodiment, the fluid dispenser is configured to receive a liquid and to direct the liquid through the nozzle to generate a fine mist in the vicinity of the one or more exposed surfaces.

In operation, the fluid dispenser may be configured to maintain the atmosphere in the vicinity of the one or more exposed surfaces at a relative humidity level of about 80% or greater. The fluid dispenser may be configured to maintain a layer of liquid on the one or more exposed surfaces.

In some embodiments, the rinse arm includes a spray shield, and the fluid dispenser is configured to direct rinse fluid to one or more exposed surfaces of the spray shield. The spray shield may have an exposed curved surface.

Rinse fluid may be directed to the one or more exposed surfaces while the polishing surface is being rinsed. Rinse fluid also may be directed to the one or more exposed surfaces after the polishing surface has been rinsed. In one mode of operation, rinse fluid is directed to one or more exposed surfaces while the polishing apparatus is idle.

Among the advantages of the invention are the following. By maintaining the atmosphere in the vicinity of the exposed rinse arm surfaces at an elevated relative humidity level, airborne slurry particles adhering to the exposed surfaces remain in suspension and, therefore, may be easily cleaned,

e.g., during a high pressure rinse cycle. This feature reduces the likelihood that slurry particles will accumulate on exposed surfaces of the polishing apparatus and flake off while a substrate is being polished, reducing the likelihood of substrate defects caused by such slurry contamination.

Other features and advantages will become apparent from the following description, including the drawings and the claims.

### BRIEF DESCRIPTION OF THE DRAWINGS

FIG. 1A is a perspective view of a polishing apparatus.

FIG. 1B is an exploded view of the polishing apparatus of FIG. 1A.

FIG. 2A is a diagrammatic top view of a fluid delivery system disposed over a polishing pad.

FIG. 2B is a diagrammatic cross-sectional side view of the fluid delivery system of FIG. 2A.

FIG. 3A is a diagrammatic side view of a fluid delivery system delivering slurry to the surface of a polishing pad.

FIG. 3B is a diagrammatic side view of a fluid delivery system delivering rinse fluid to the surface of a polishing pad while moist air is being directed to rinse arm surfaces in proximity to the polishing pad and exposed to airborne slurry particles generated as the polishing pad is being rinsed.

### DETAILED DESCRIPTION

Referring to FIGS. 1A and 1B, a polishing apparatus 10 includes a housing 12 that contains three independently-operated polishing stations 14, a substrate transfer station 16, and a rotatable carousel 18 which choreographs the operation of four independently rotatable carrier heads 20. Attached to one side of housing 12 is a substrate loading apparatus 22 that includes a tub 24 that contains a liquid bath 26 in which cassettes 28 of substrates 30 are immersed before polishing.

Carousel 18 has a support plate 42 with slots 44 through which shafts 46 of carrier heads 20 extend. Carrier heads 20 can independently rotate and oscillate back-and-forth in slots 44 to achieve a uniformly polished substrate surface. Carrier heads 20 are rotated by respective motors 48, which are normally hidden behind removable sidewalls 50 of carousel 18. In operation, a substrate is loaded from tub 24 to transfer station 16, from which the substrate is transferred to a carrier head 20. Carousel 18 then transfers the substrate through a series of one or more polishing stations 14 and finally returns the polished substrate to transfer station 16.

Each polishing station 14 includes a rotatable platen 52, which supports a polishing pad 54, and a fluid delivery system 80. Platen 52 and fluid delivery system 80 are both mounted to a tabletop 57 of polishing apparatus 10. A pad conditioner (not shown) also may be provided to condition the surface of polishing pad 54.

For further details regarding the general features and operation of polishing apparatus 10, please refer to U.S. Pat. No. 5,738,574, by Perlov et al., entitled "Continuous Processing System for Chemical Mechanical Polishing," and assigned to the assignee of the present invention, which is incorporated herein by reference.

Referring to FIGS. 2A, 2B, 3A and 3B, fluid delivery system 80 dispenses slurry onto polishing pad 54 to assist in the polishing process and, after a substrate has been polished, fluid delivery system 80 delivers rinsing fluid to polishing pad 54 to remove slurry from the pad surface.

Fluid delivery system **80** includes a rinse arm **82** having a base portion **84** disposed outwardly from the edge of polishing pad **54** and an end portion **86** disposable over polishing pad **54**. Base portion **84** is mounted on a shaft **88** to enable rinse arm **82** to rotate between a processing position over polishing pad **54** and a maintenance position adjacent to the pad. Rinse arm **82** may be angled along its length from base portion **84** to its end portion **86** (as shown), or it may be straight. Rinse arm **82** includes two slurry delivery lines **90**, **92** mounted or disposed within rinse arm **82**. Preferably, slurry delivery lines **90**, **92** are formed from tubing which are coupled to one or more slurry sources. A diastolic or other pump may be used to pump slurry through delivery lines **90**, **92**.

A central rinse fluid delivery line **94** delivers one or more rinse agents to a plurality of nozzles **96**, **98**, **100**, **102**, and **104** mounted to a lower surface **106** of fluid rinse arm **82**. End portion **86** preferably terminates at a position short of the center of polishing pad **54** to allow substrate carrier **20** to move radially across polishing pad **54** without the risk of collision between rinse arm **82** and substrate carrier **20**. Nozzle **104** is disposed on end portion **86** of rinse arm **82** at an angle relative to the plane of rinse arm **82** so that it may deliver rinse fluid to a central region **87** of polishing pad **54**. In an alternative embodiment, rinse arm **82** may extend over the center of polishing pad **54** and deliver rinse fluid to the center of the pad through a nozzle directed downwardly from end of rinse arm **82**; the rinse arm is moved out of the way during polishing operations. Rinse fluid is supplied to polishing pad **54** at a pressure sufficient to remove slurry from the pad. An exemplary rinse fluid pressure range is about 15–100 psi, and preferably about 30 psi or greater.

Mounting shaft **88** houses slurry delivery lines **90**, **92** (FIG. 3A) and a rinse fluid channel **108** (FIG. 3B) which delivers fluid to fluid rinse arm **82**. Nozzles **96–104** are threadedly mounted in or otherwise disposed on lower surface **106** of rinse arm **82** and are connected to rinse fluid channel **94**. Nozzles **96–104** are preferably fine-tipped nozzles configured to deliver rinse fluid in a fan-shaped plane to reduce splashing cause by the spray of rinse fluid against polishing pad **54**. In one embodiment, nozzles **96–104** deliver rinse fluid in an overlapping pattern across the surface of polishing pad **54** to insure that a substantial portion of polishing pad **54** receives a direct spray of rinse fluid. Nozzles **96–104** deliver rinse fluid at polishing pad **54** with a volume and at a pressure selected to lift and suspend (entrain) slurry particles in the volume of rinse fluid.

As shown in FIG. 2B, the rinse arm housing includes a spray shield **110** formed from two curved side walls **112**, **114** that extend downwardly from lower surface **106** of rinse arm **82** toward polishing pad **54** to confine at least a portion of rinse fluid spray from nozzles **96–104**. The lower edges of shield side walls **112**, **114** are positioned above the surface of polishing pad **54** to allow material to pass underneath while effectively confining a substantial amount of rinse fluid. The height of the lower edges of shield walls **112**, **114** above the surface of the polishing pad may be adjusted. The flow rate of rinse fluid and the distance between the lower edges of shield **110** and polishing pad **54** may be selected so that a wave of rinse fluid may accumulate and sweep away excess material from the surface of polishing pad **54**. As polishing pad **54** rotates, rinse fluid and excess slurry material may be swept towards the edge of polishing pad **54** where they may be collected for disposal.

Referring to FIG. 3A, in one mode of operation, slurry **115** is delivered to polishing pad **54** from an output **116** of slurry delivery line **90**. As slurry particles—which are in a

colloidal suspension—are delivered to the surface of polishing pad **54**, portions of the colloidal suspension may evaporate or otherwise become airborne. Portions of the colloidal suspension may also become airborne during a high pressure rinse cycle, as rinse fluid is being delivered to the surface of polishing pad **54**. This airborne substance may adhere to exposed surfaces of the rinse arm housing in proximity to polishing pad **54**. Once adhered to an exposed surface, the colloidal suspension tends to dry, leaving deposits which may accumulate over time and occasionally flake off onto polishing pad **54**. If such contamination becomes trapped between substrate **30** and polishing pad **54** while substrate **30** is being polished, it would likely cause a defect in the substrate surface.

As shown in FIG. 3B, this problem is substantially reduced by supplying moist air **120** to rinse arm surfaces in proximity to polishing pad **54** and exposed to airborne slurry particles. Moist air **120** maintains the atmosphere in the vicinity of the exposed surfaces at a relative humidity level of about 80% or greater, and preferably at a relative humidity level of about 90% or greater, up to a relative humidity level of about 99%. At these humidity levels, colloidal suspensions adhering to the exposed rinse arm surfaces do not dry and, instead, the slurry particles remain in suspension. In some modes of operation, moist air **120** maintains a layer of liquid (e.g., deionized water) on the exposed surfaces to prevent adhered colloidal suspensions from drying. In this way, deposits of slurry particles do not accumulate on the exposed rinse arm surfaces, reducing the amount of contamination that might cause substrate defects. The exposed rinse arm surfaces may be rinsed by moist air **120** while rinse solution is being applied to polishing pad **54**. The exposed surface may also be rinsed by moist air **120** while polishing pad **54** is being replaced, during some other routine maintenance procedure, or while the polishing apparatus is in an otherwise idle state.

Moist air **120** may be supplied to the exposed rinse arm surfaces in proximity to polishing pad **54** in a variety of ways, including fine mist generation as described below.

As shown in FIG. 3B, rinse arm **82** includes a misting nozzle **122** configured to direct a fine fluid mist **120** in the vicinity of rinse arm surfaces in proximity to polishing pad **54** and exposed to airborne slurry particles generated as slurry is being delivered to polishing pad **54** and as slurry is being rinsed from polishing pad **54**. Misting nozzle **122** directs moist air stream **120** in the vicinity of the exposed rinse arm surfaces, including lower surface **106** and the inner surfaces of shield **110**, to prevent slurry deposits from accumulating on these surfaces. Misting nozzle **122** may be moved to other locations on rinse arm **82**, or one or more additional misting nozzles may be installed, to provide moisture sufficient to prevent slurries from drying on the exposed surfaces of rinse arm **82**.

As shown in FIG. 3B, misting nozzle **122** is coupled to rinse fluid supply line **108** so that a moist air is delivered in the vicinity of the exposed rinse arm surfaces each time rinse fluid is delivered to polishing pad **54** through nozzles **96–104**. In an another embodiment, misting nozzle **122** may be coupled to a valve and a controller (not shown) that is configured to choreograph the delivery of rinse fluid through nozzle **122**. In one mode of operation, misting nozzle **122** supplies moist air **120** to the exposed rinse arm surfaces after slurry has been deposited onto polishing pad **54** and rinse arm **82** has been rotated to its maintenance position adjacent to polishing pad **54**. In another mode of operation, nozzle **122** supplies rinse fluid to the exposed rinse arm surfaces while polishing apparatus **10** is idle. The controller is



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preferably programmable to enable operators to select the times during which nozzle 122 is supplying moist air 120 to the exposed rinse arm surfaces.

Although a rotating polishing system has been described above, the invention also may be implemented in connection with a linear polishing system, such as the linear polishing system described in U.S. application Ser. No. 08/568,188, filed Dec. 5, 1995, and entitled "Substrate Belt Polisher," which is incorporated herein by reference.

Other embodiments are within the scope of the claims.

What is claimed is:

1. A substrate polishing system, comprising:

a substrate carrier;

a polishing surface; and

a rinse arm having one or more nozzles configured to direct rinse fluid toward the polishing surface, the rinse arm further having a fluid dispenser configured to direct rinse fluid to one or more surfaces of the rinse arm in proximity to the polishing surface and exposed to airborne slurry particles generated from slurry on the polishing surface.

2. The system of claim 1, wherein the fluid dispenser is configured to direct moist air in the vicinity of the exposed surfaces of the rinse arm.

3. The system of claim 1, wherein the fluid dispenser comprises a nozzle.

4. The system of claim 3, wherein the fluid dispenser is configured to receive a liquid and to direct the liquid through the nozzle to generate a fine mist in the vicinity of the one or more exposed surfaces.

5. The system of claim 1, wherein, in operation, the fluid dispenser is configured to maintain the atmosphere in the vicinity of the one or more exposed surfaces at a relative humidity level of about 80% or greater.

6. The system of claim 1, wherein, in operation, the fluid dispenser is configured to maintain a layer of liquid on the one or more exposed surfaces.

7. The system of claim 1, wherein the rinse arm includes a spray shield, and the fluid dispenser is configured to direct rinse fluid to one or more exposed surfaces of the spray shield.

8. The system of claim 7, wherein the spray shield has an exposed curved surface.

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9. A substrate polishing method, comprising:

supporting a substrate above a polishing surface;

dispensing slurry onto the polishing surface;

polishing the substrate against the polishing surface;

directing rinse fluid through a rinse arm toward the polishing surface; and

directing rinse fluid to one or more surfaces of the rinse arm in proximity to the polishing surface and exposed to airborne slurry particles generated from slurry on the polishing surface.

10. The method of claim 9, wherein the step of directing rinse fluid toward one or more exposed surfaces of the rinse arm comprises directing moist air in the vicinity of the exposed surfaces of the rinse arm.

11. The method of claim 9, wherein the step of directing rinse fluid toward one or more exposed surfaces of the rinse arm comprises generating moist air with a fine mist nozzle.

12. The method of claim 9, wherein the step of directing rinse fluid toward one or more exposed surfaces of the rinse arm comprises maintaining the atmosphere in the vicinity of the one or more exposed surfaces at a relative humidity level of about 80% or greater.

13. The method of claim 9, wherein the step of directing rinse fluid toward one or more exposed surfaces of the rinse arm comprises maintaining a layer of liquid on the one or more exposed surfaces.

14. The method of claim 9, wherein rinse fluid is directed to the one or more exposed surfaces while the polishing surface is being rinsed.

15. The method of claim 9, wherein rinse fluid is directed to the one or more exposed surfaces after the polishing surface has been rinsed.

16. The method of claim 9, wherein the substrate is polished by a polishing apparatus, and rinse fluid is directed to one or more exposed surfaces while the polishing apparatus is idle.

17. The method of claim 9, wherein the rinse arm includes a spray shield, and rinse fluid is directed to one or more exposed surfaces of the spray shield.

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