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(54) **LAYERED ALIGNED POLYMER  
STRUCTURES AND METHODS OF MAKING  
SAME**

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30, 2001.

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(57)

**ABSTRACT**

This invention includes a method of producing a thin, oriented layer of polymer material. The material is preferably produced by the method of introducing a shearing flow to a free surface in a predominantly monomeric solution of the self-assembling polymer sub-units, and inducing polymerization or growth of the monomer while in this shearing flow. The system for forming the oriented layer of material provides relative movement between a delivery system and the substrate on or over which the material is deposited. The rate of flow of the material from the delivery system and the relative velocity between the deposition surface and the material as it is developed to the surface are controlled to properly orient the material and desired thickness. These rates can be adjusted to vary the properties of the film in a controlled manner. Preferred embodiments include either angular or linear relative movement between the delivery system and the substrate.

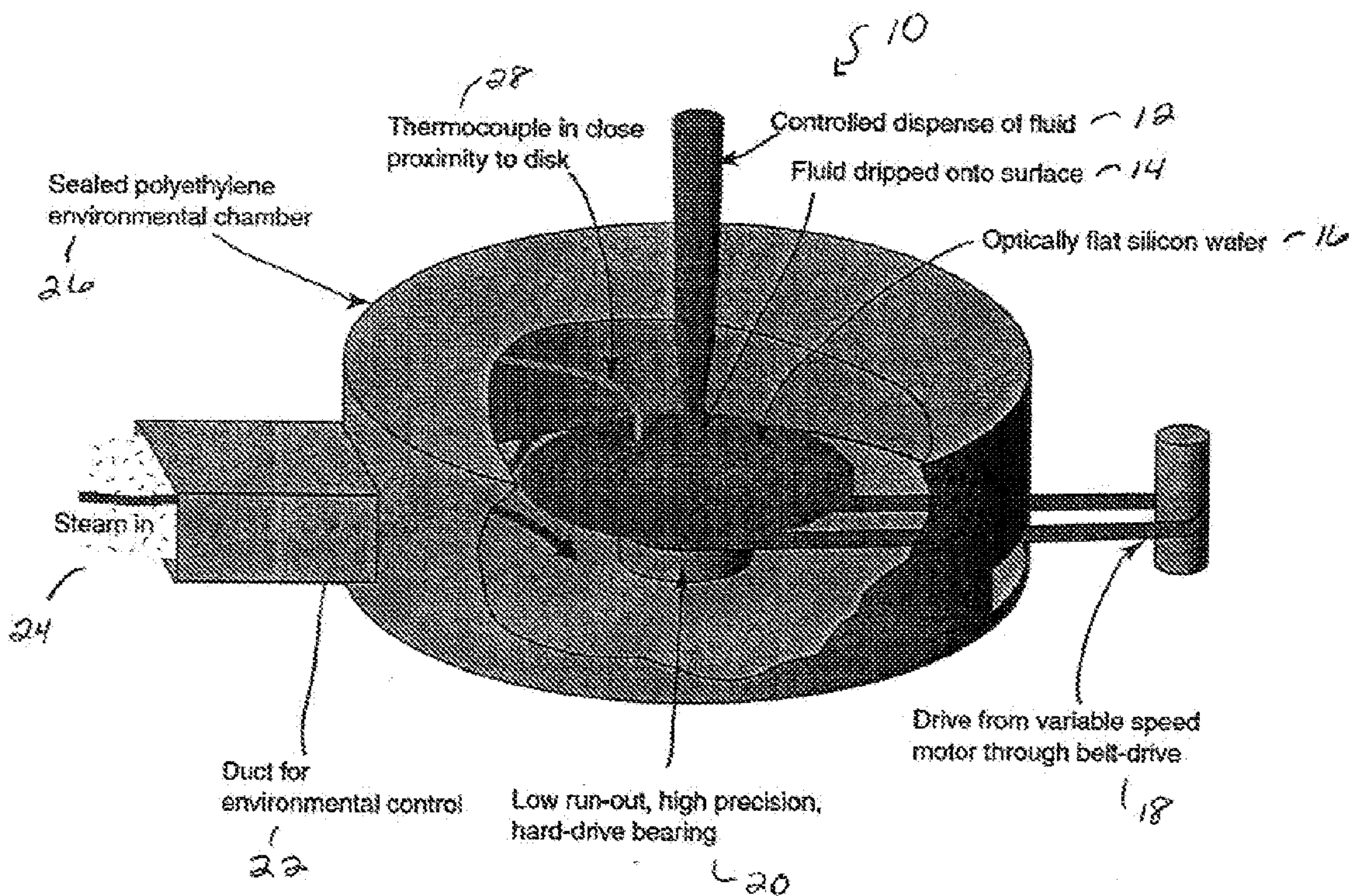


Figure 1

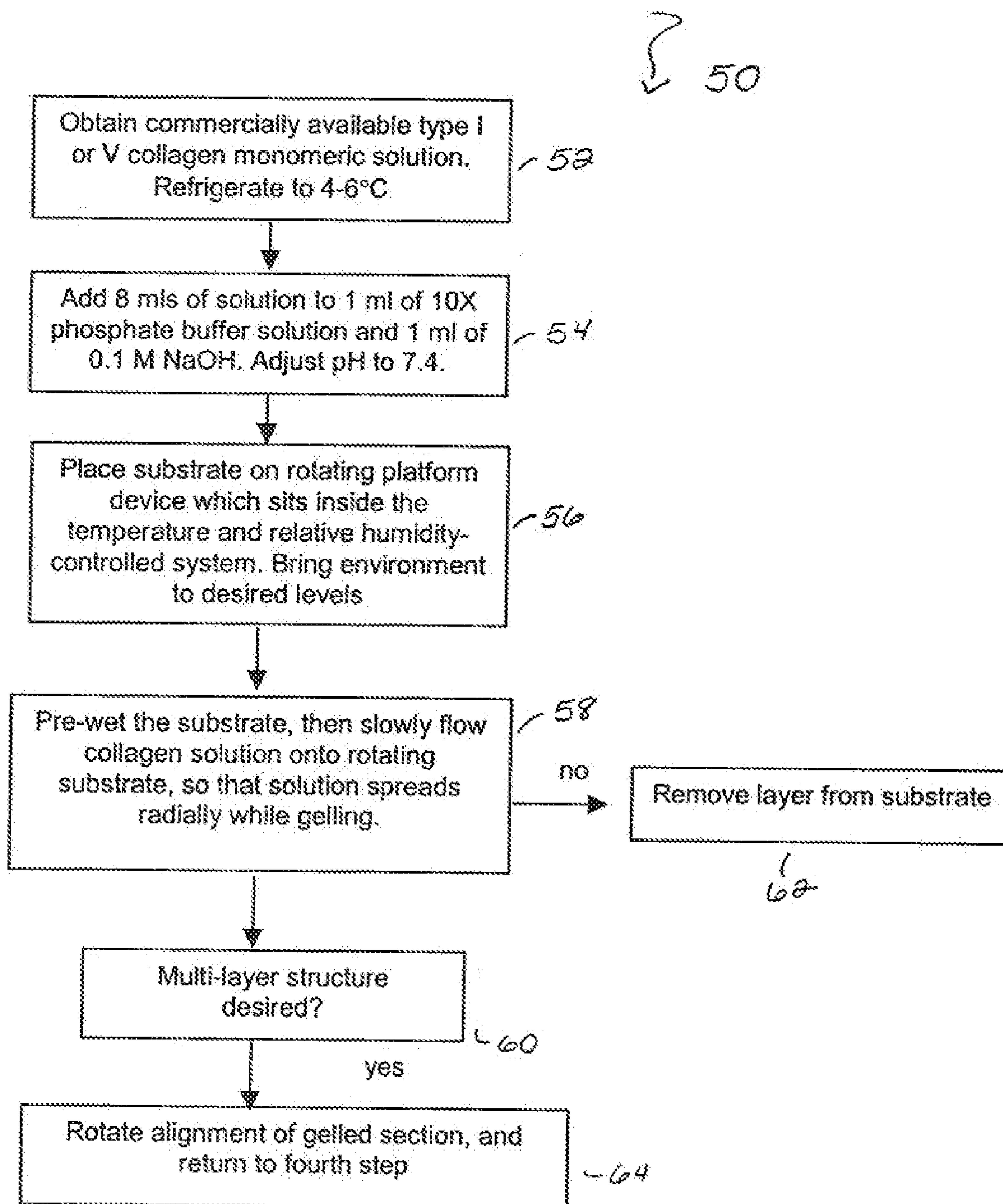


Figure 2



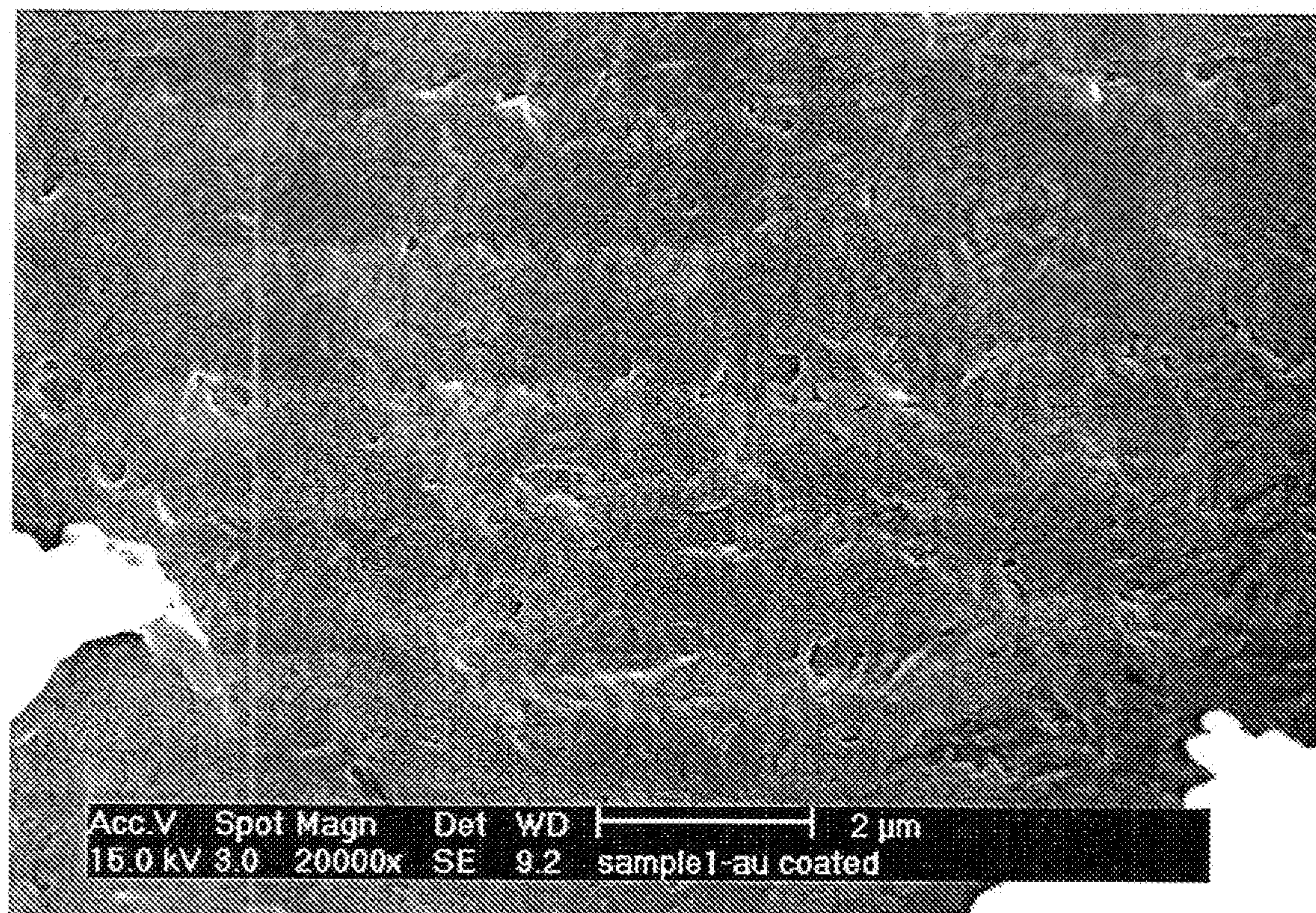


Figure 3A

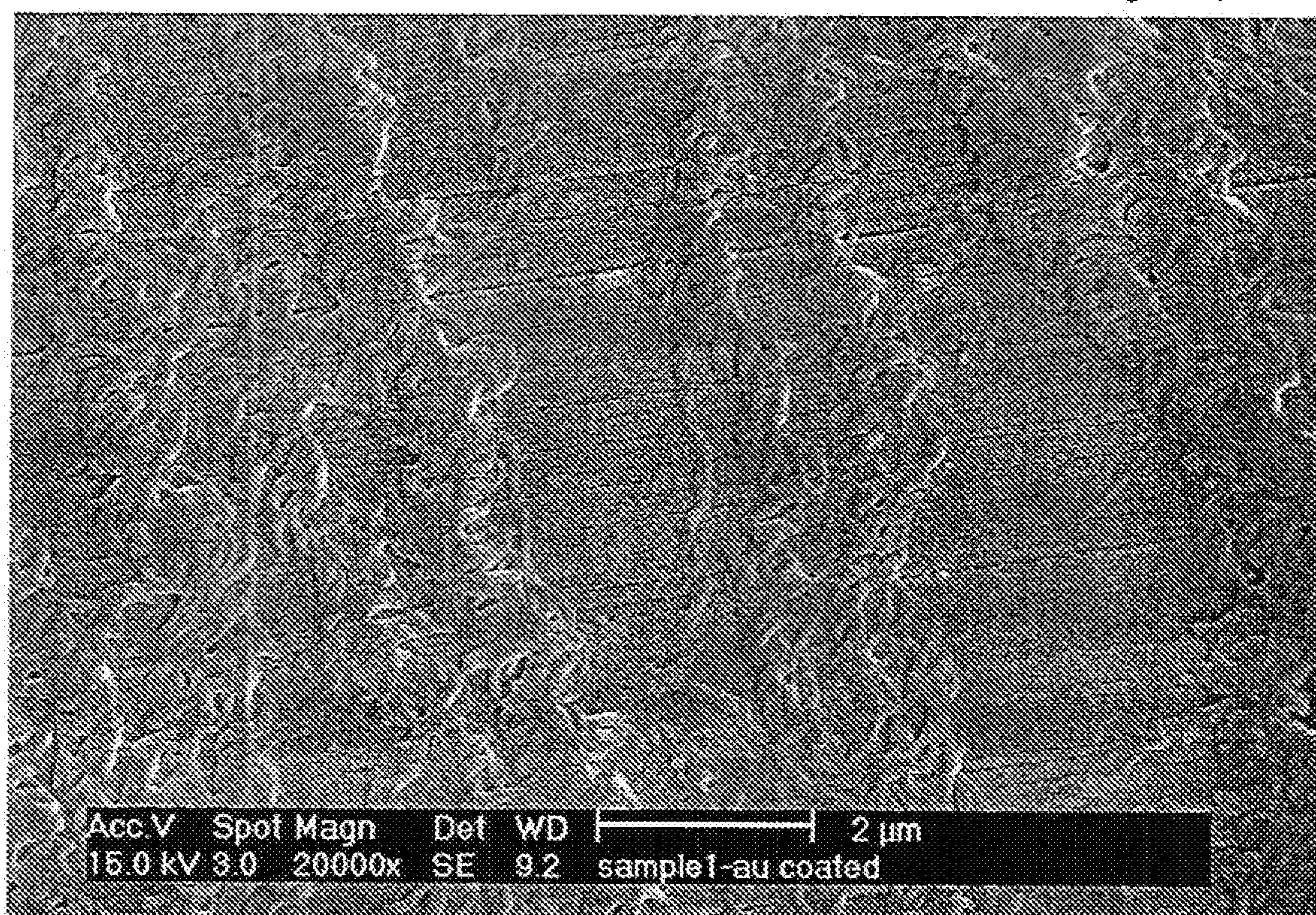
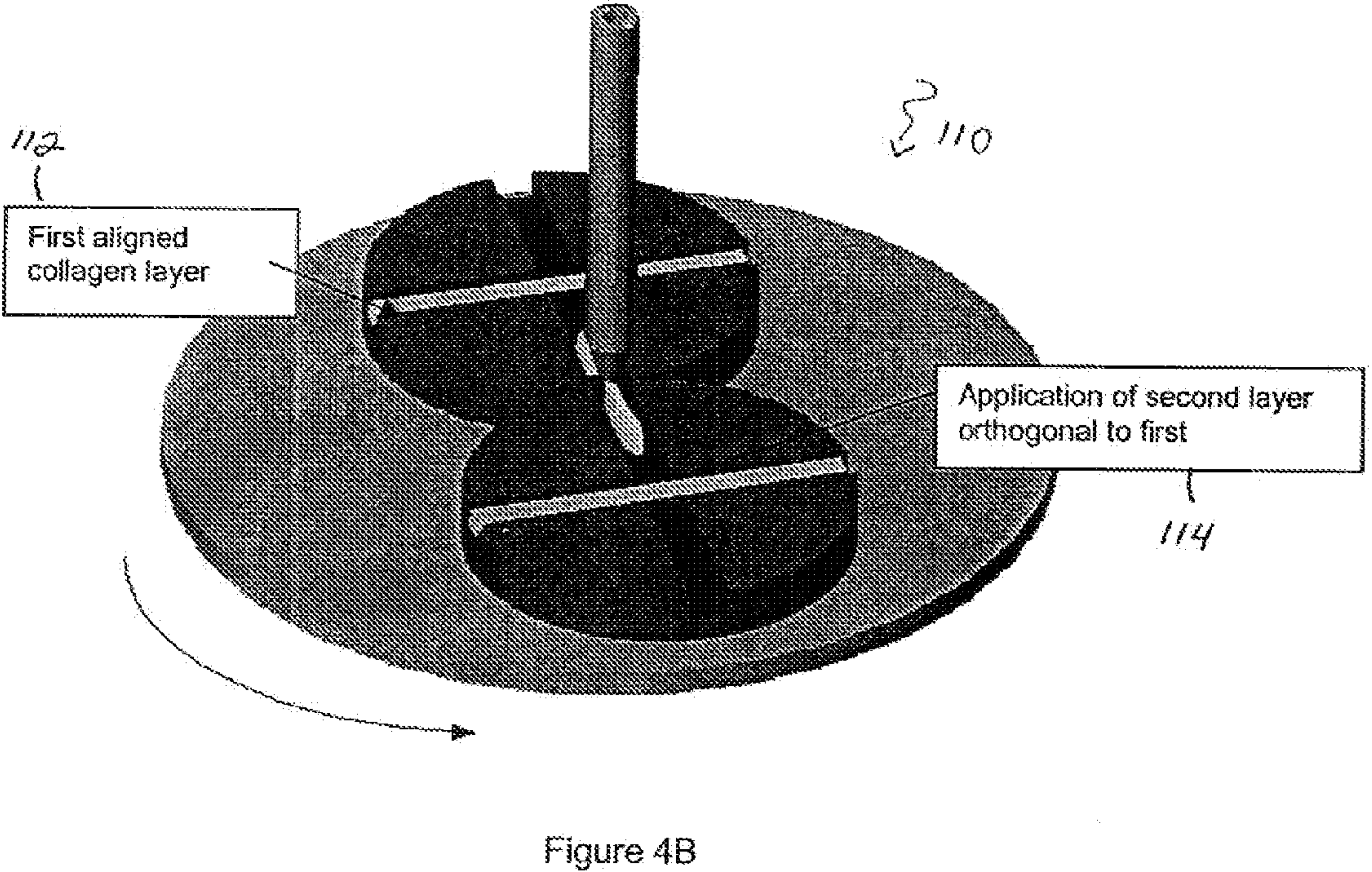
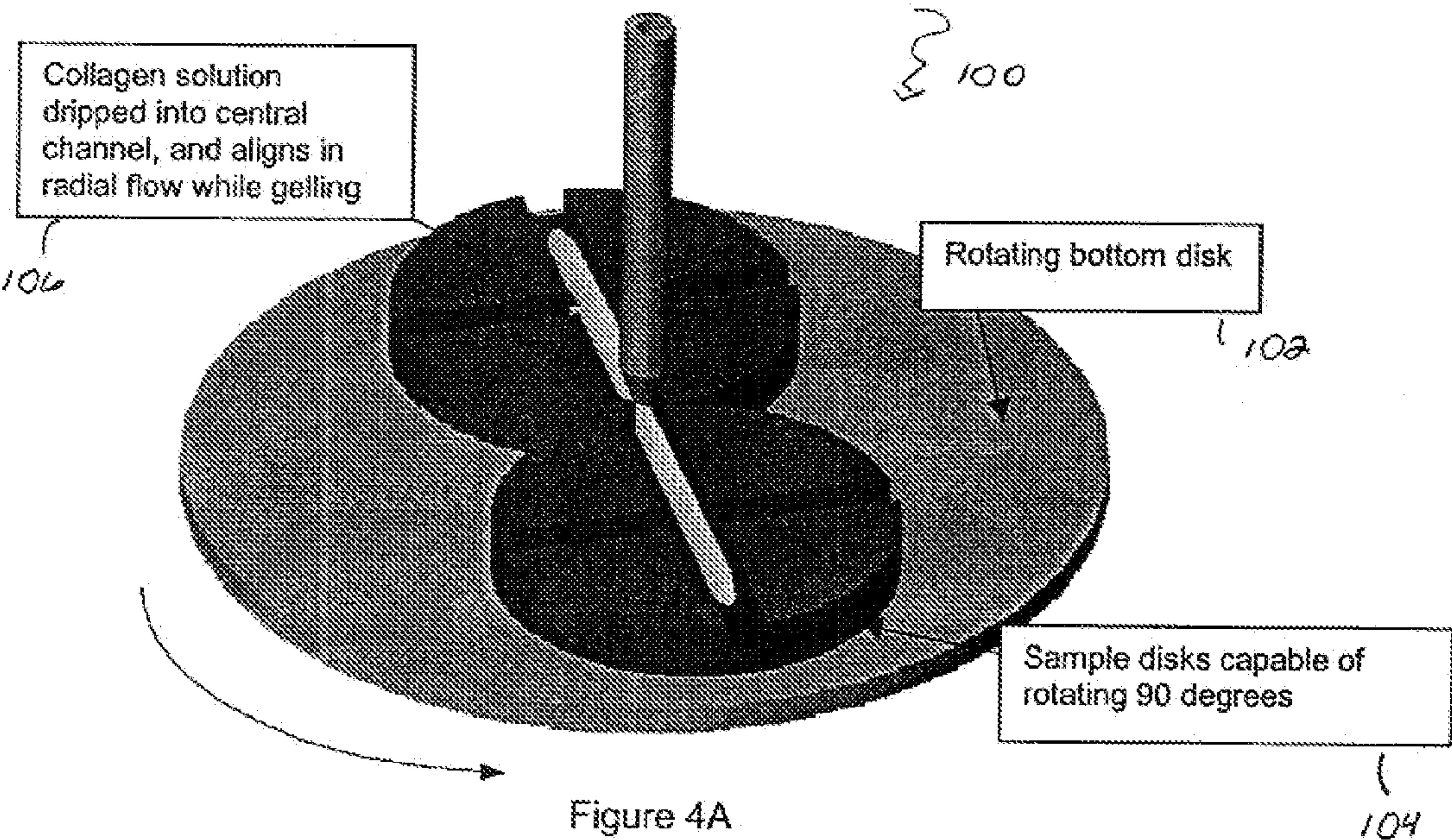


Figure 3B







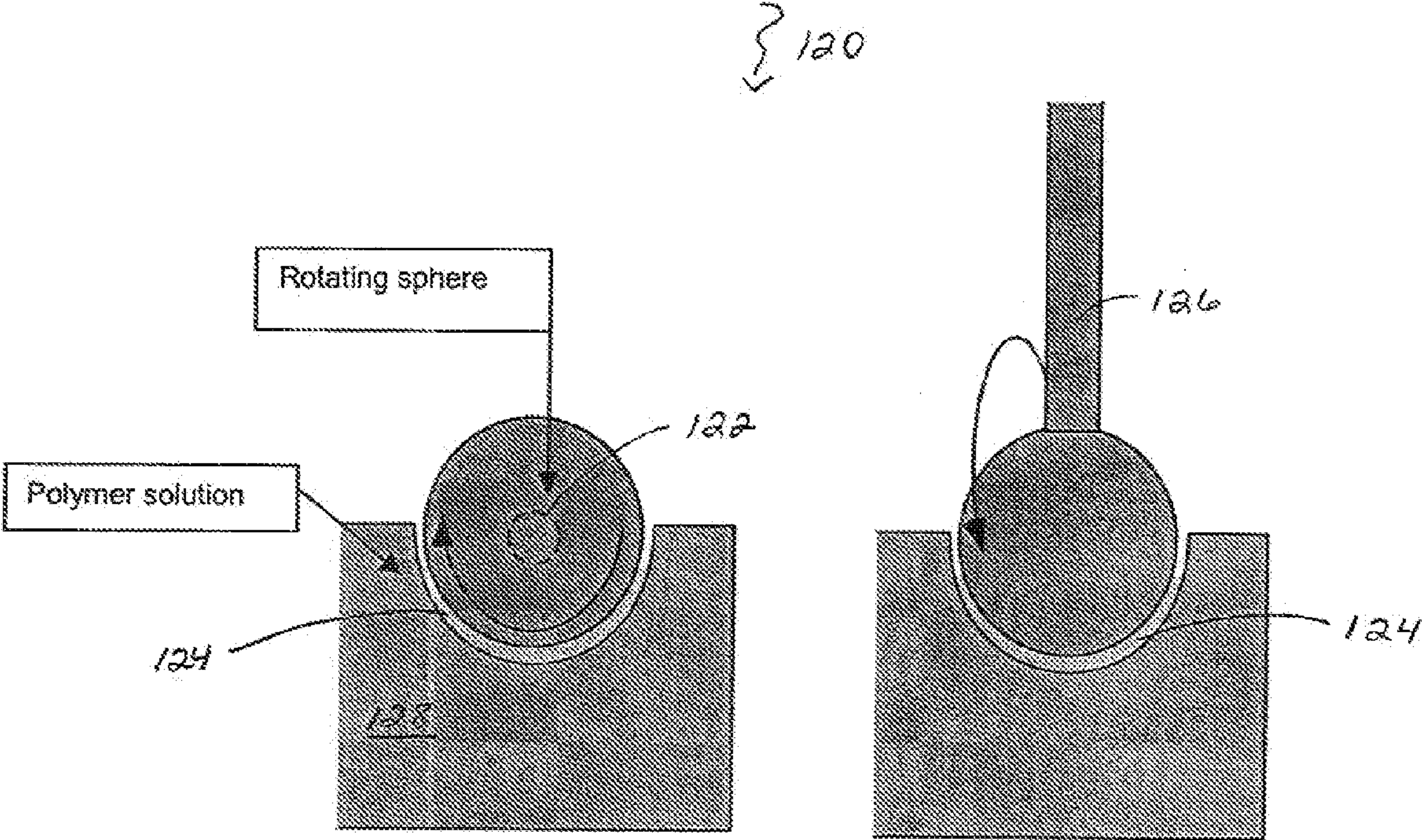


Figure 5A

Figure 5B

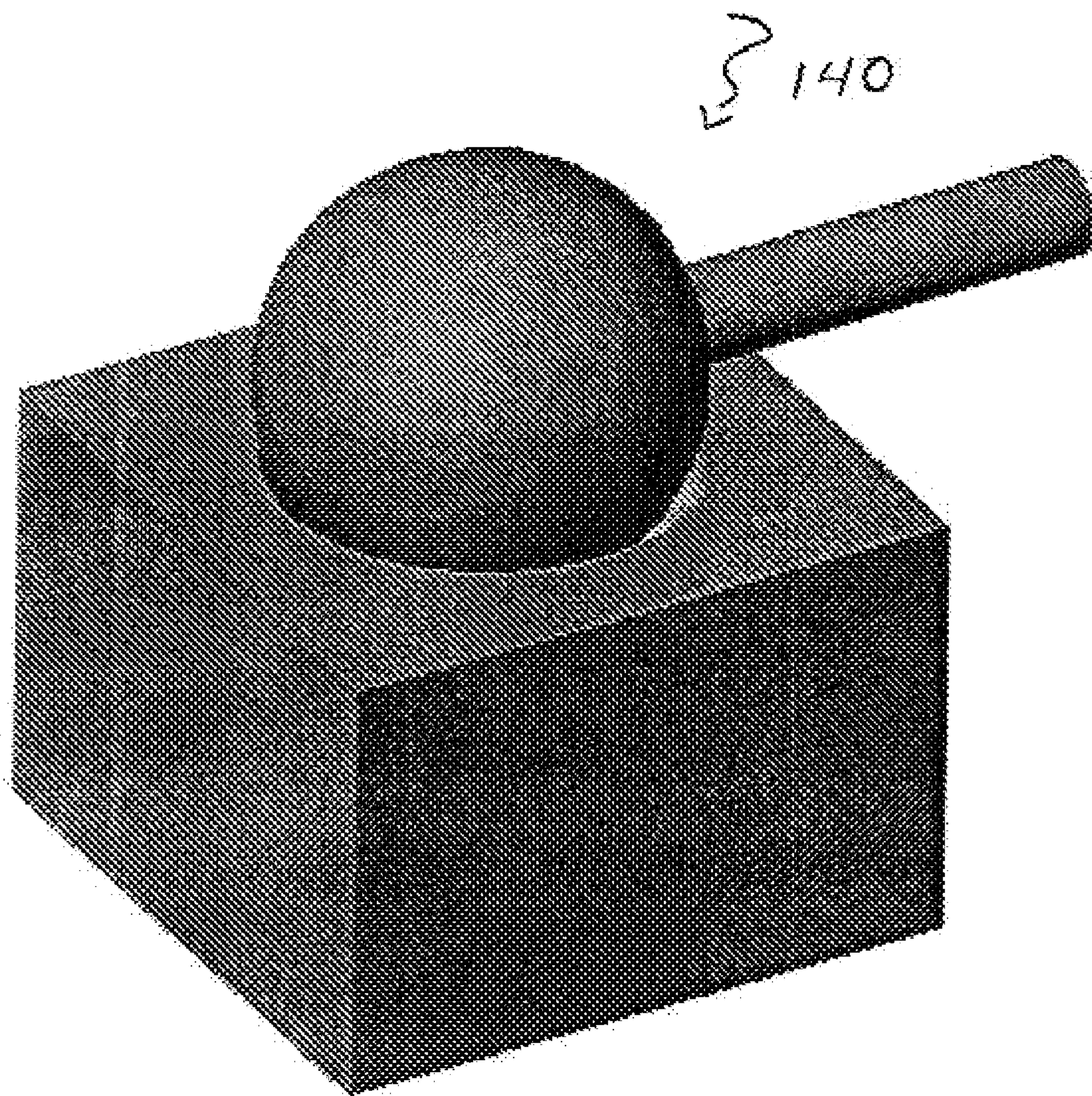


Figure 5C



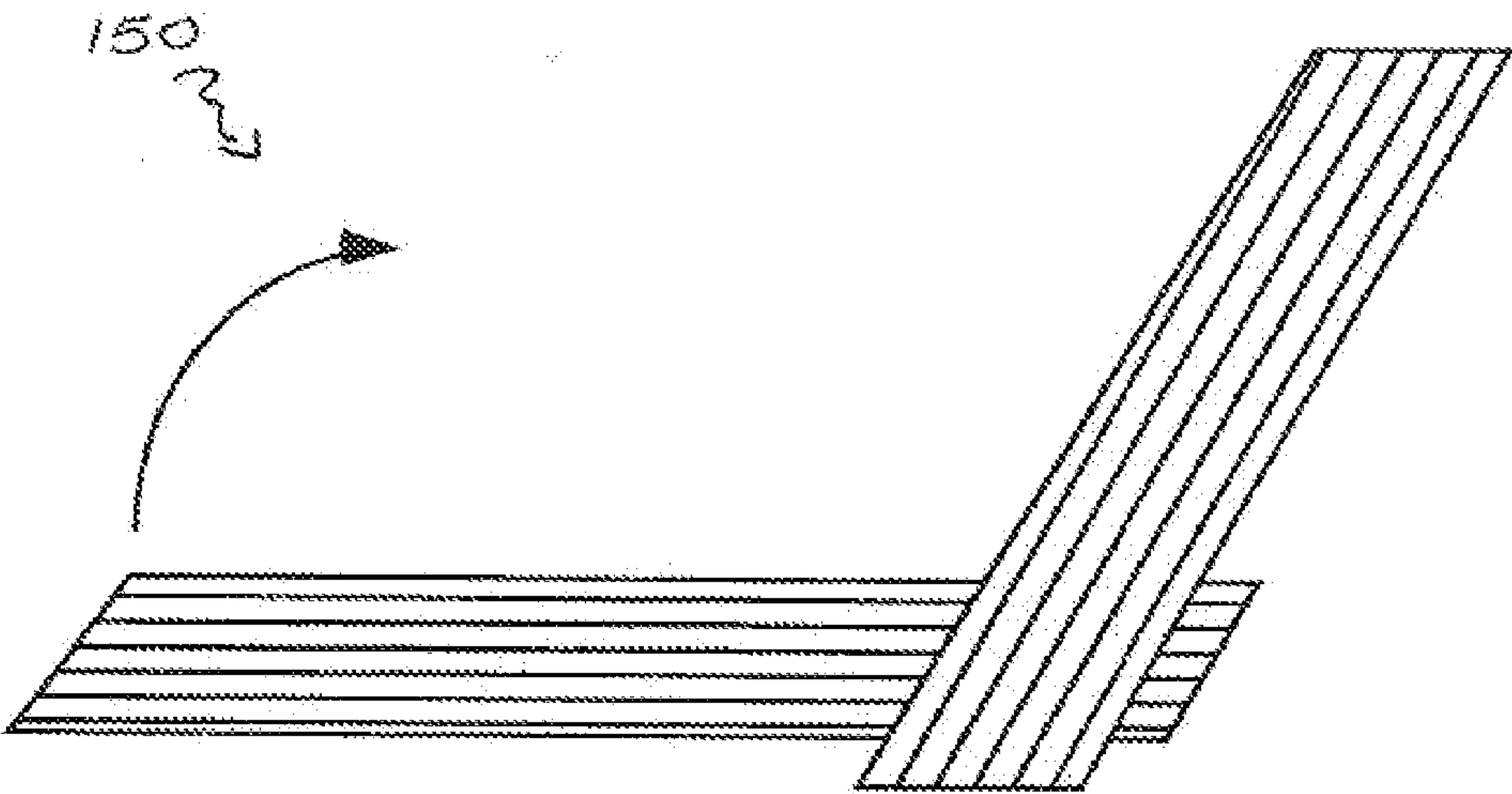


Figure 6A

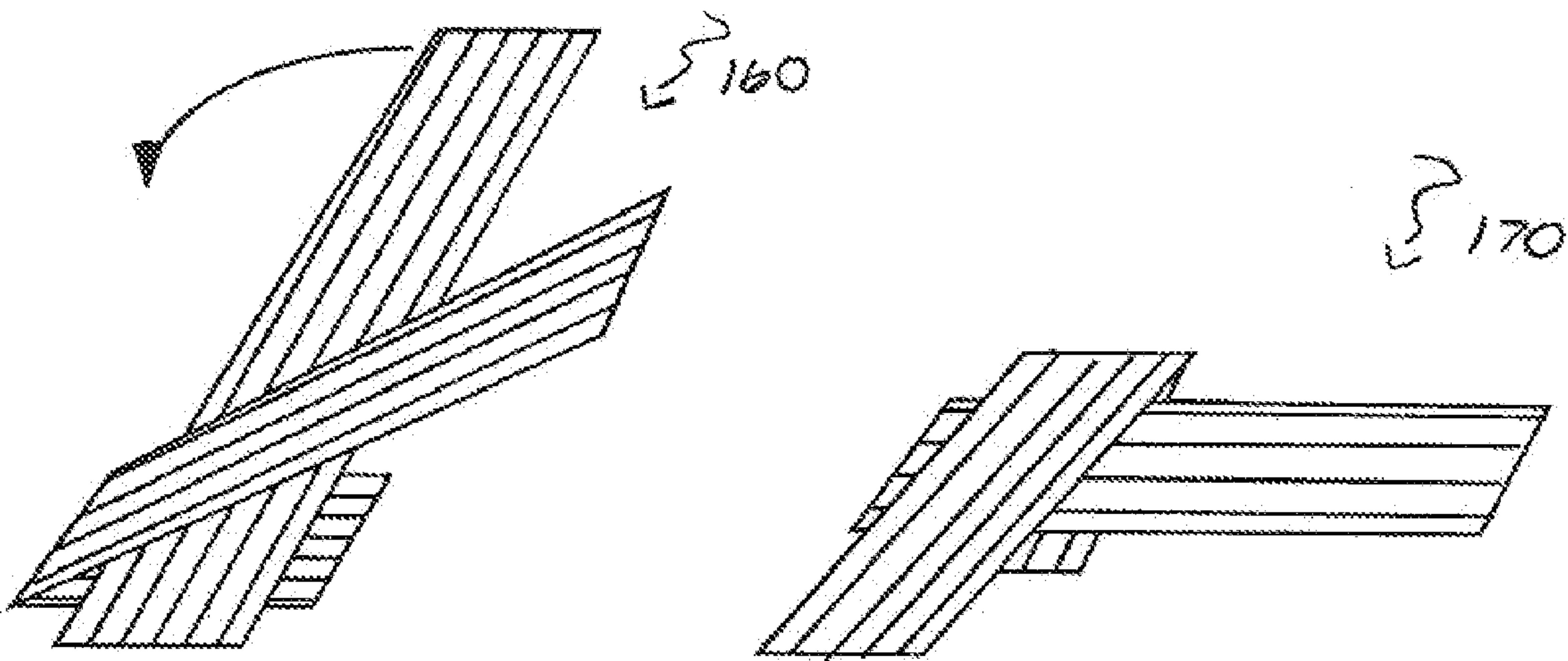


Figure 6B

Figure 6C



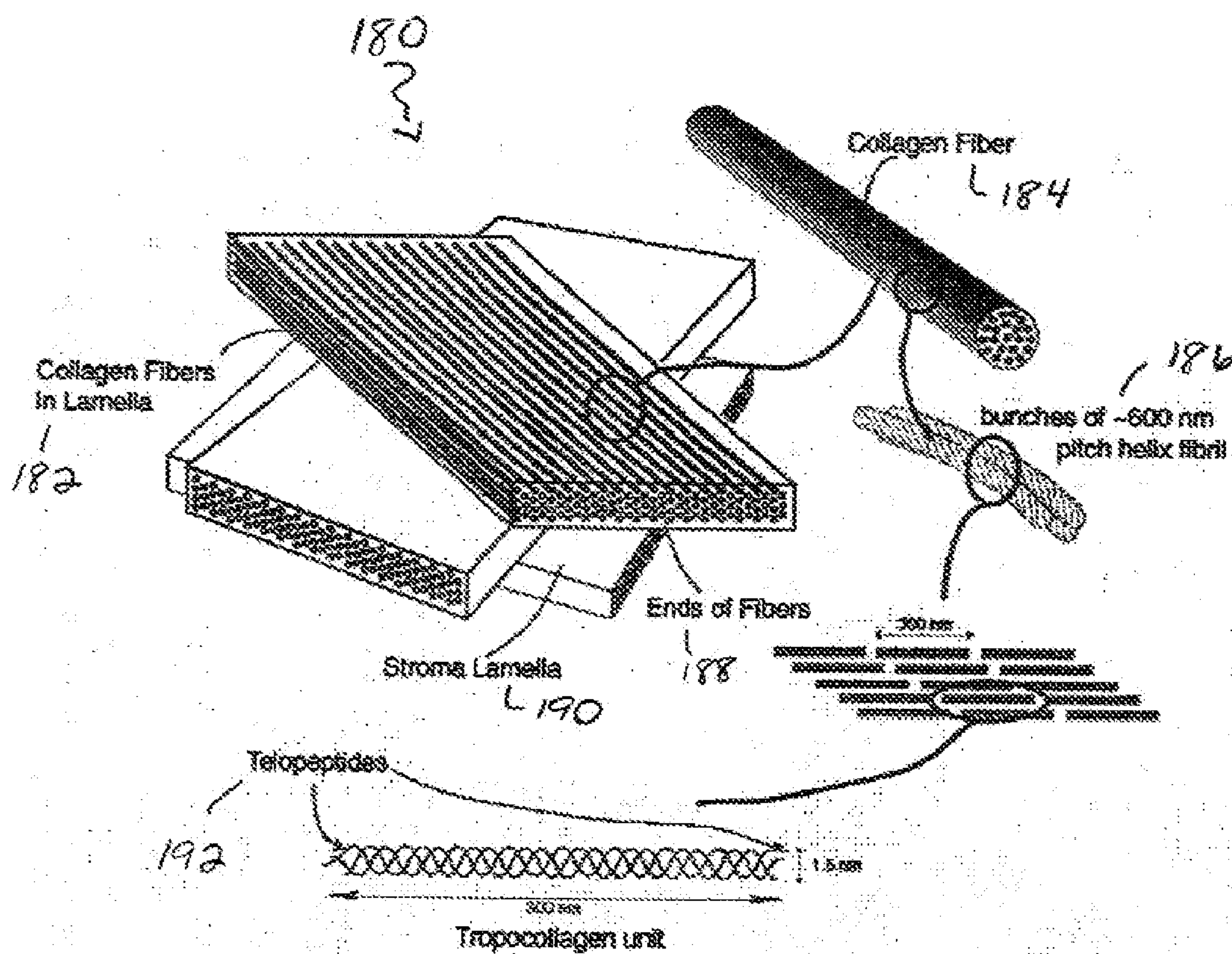


Figure 7

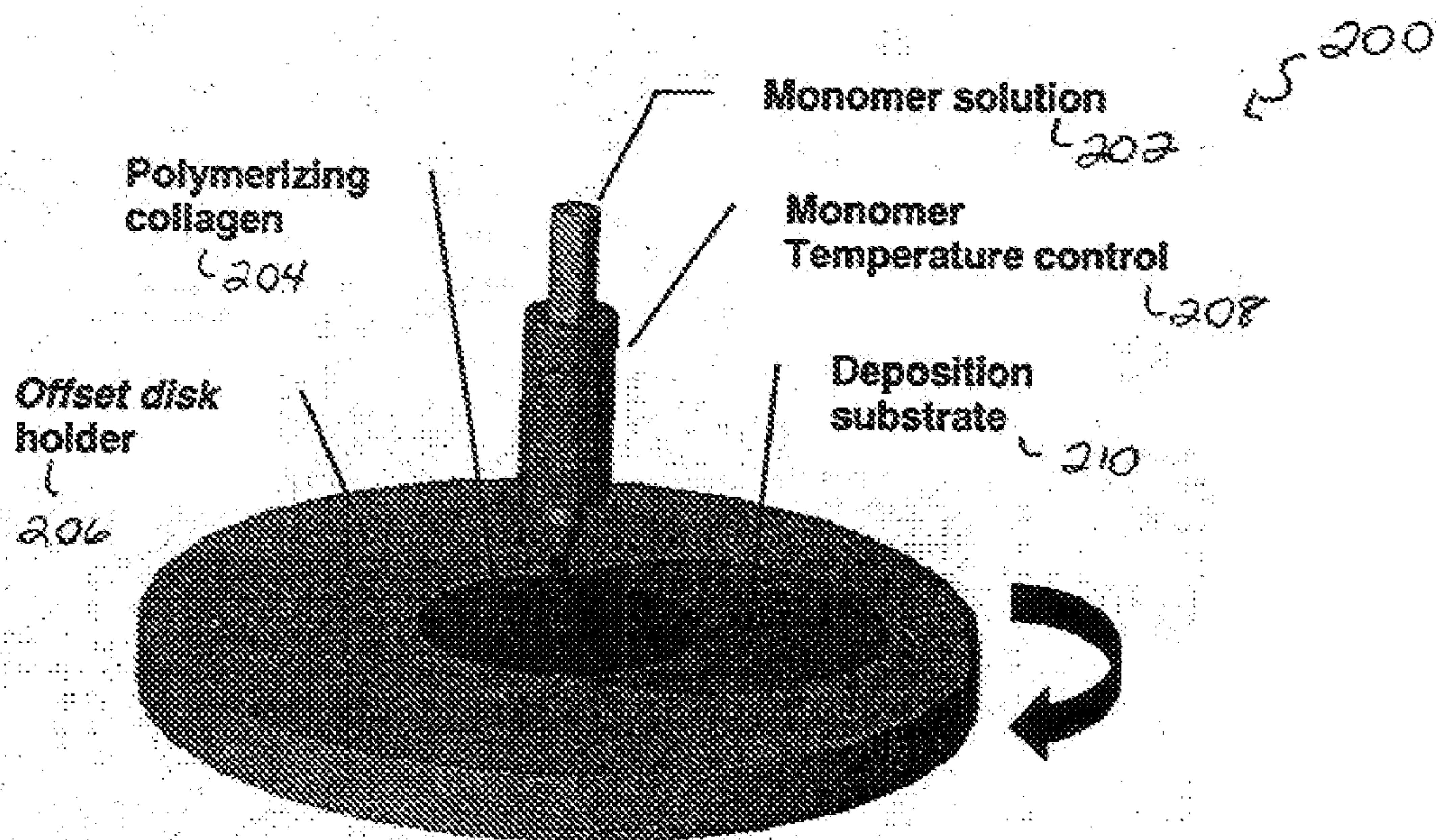


Figure 8A



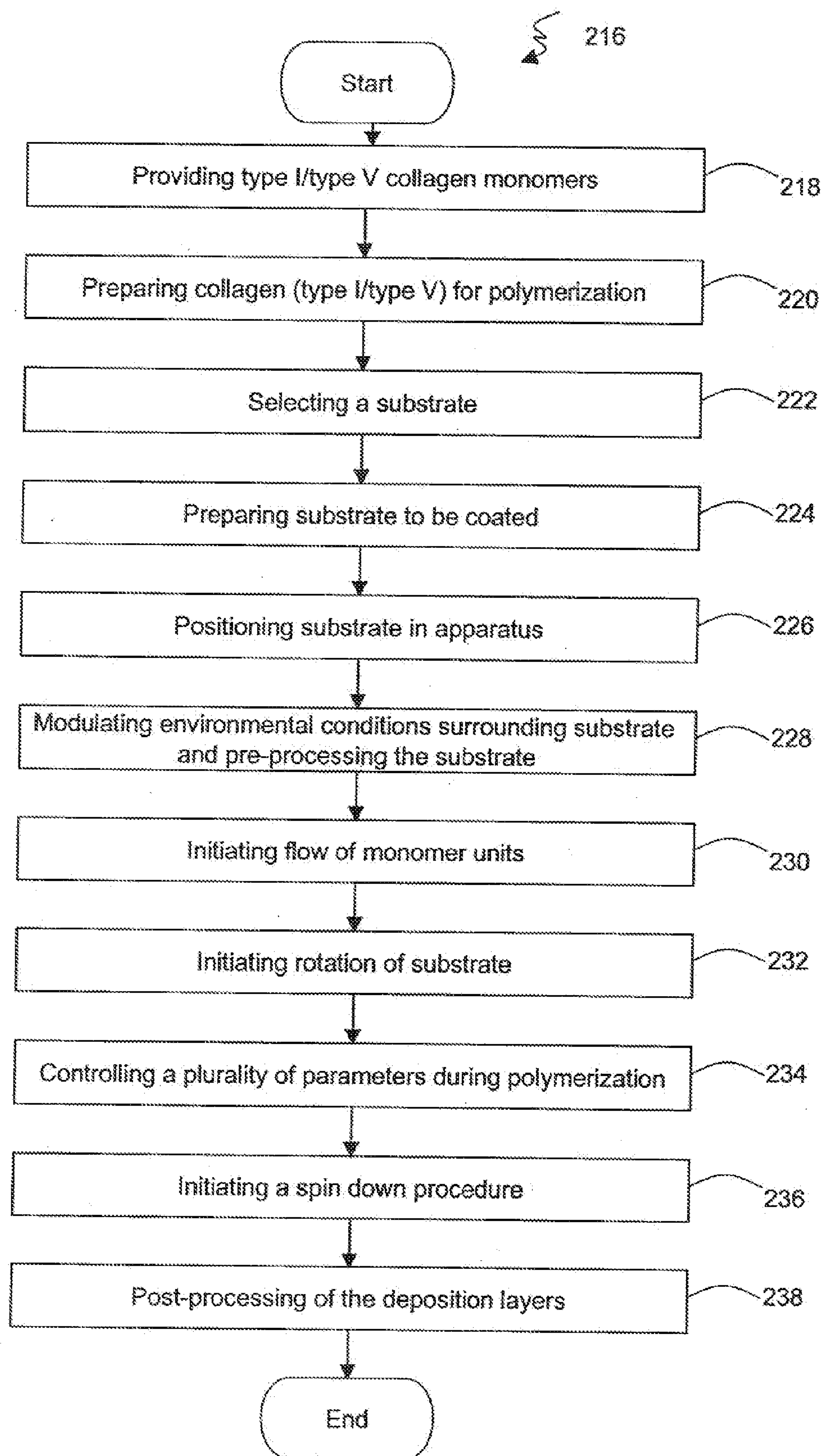


Figure 8B



300

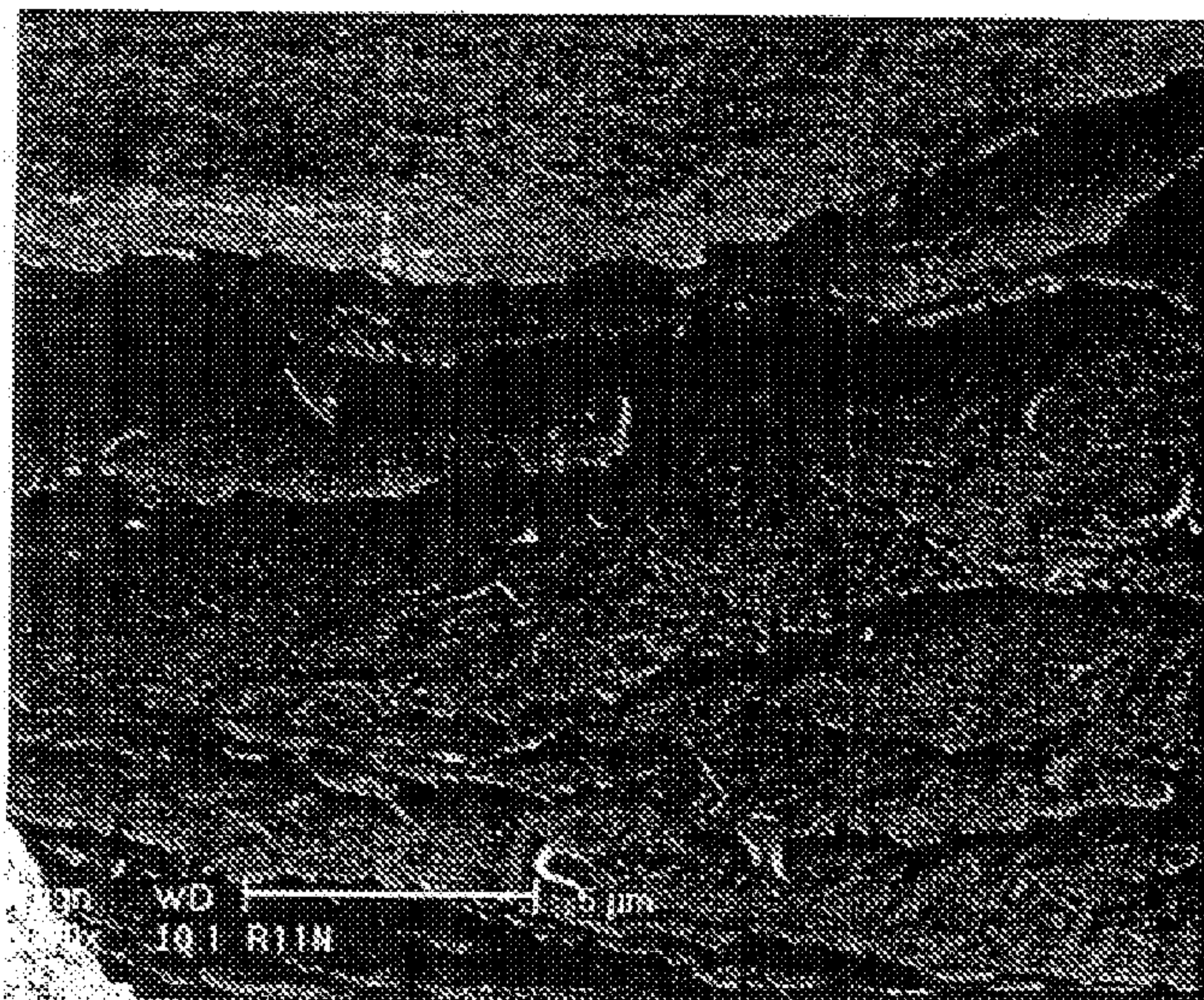
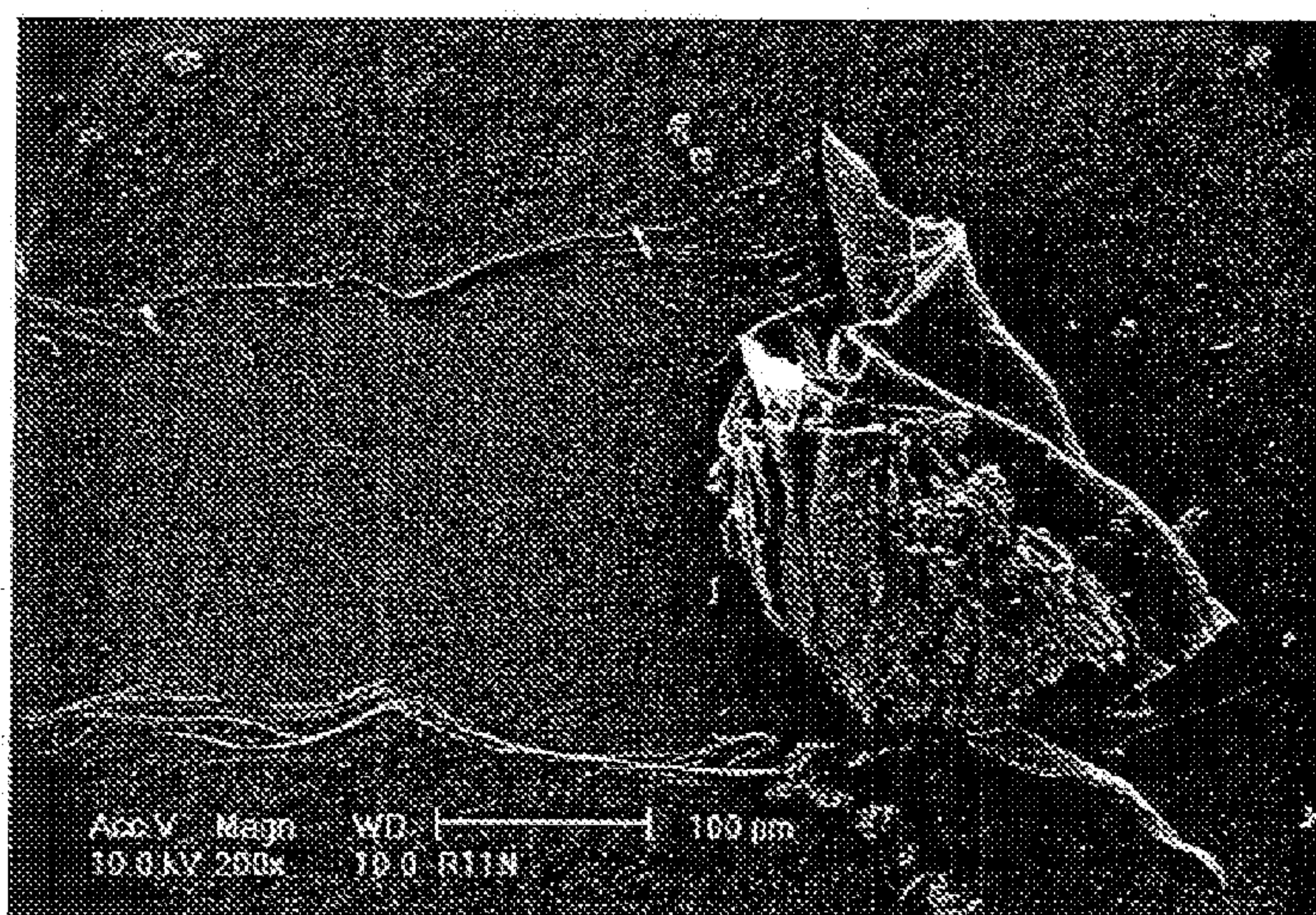


Figure 2

240



242

244

Figure 3



280

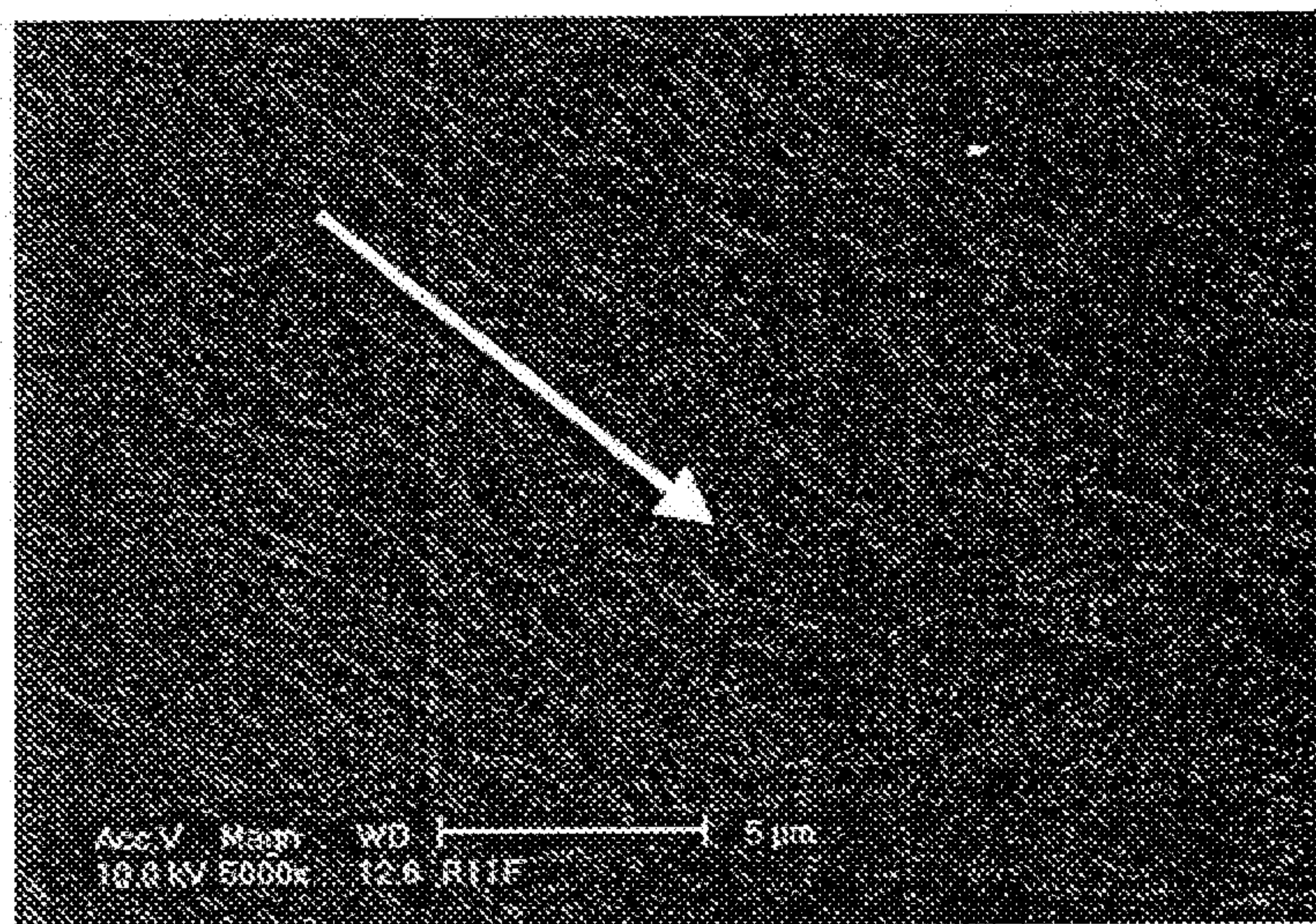


Figure 11

260

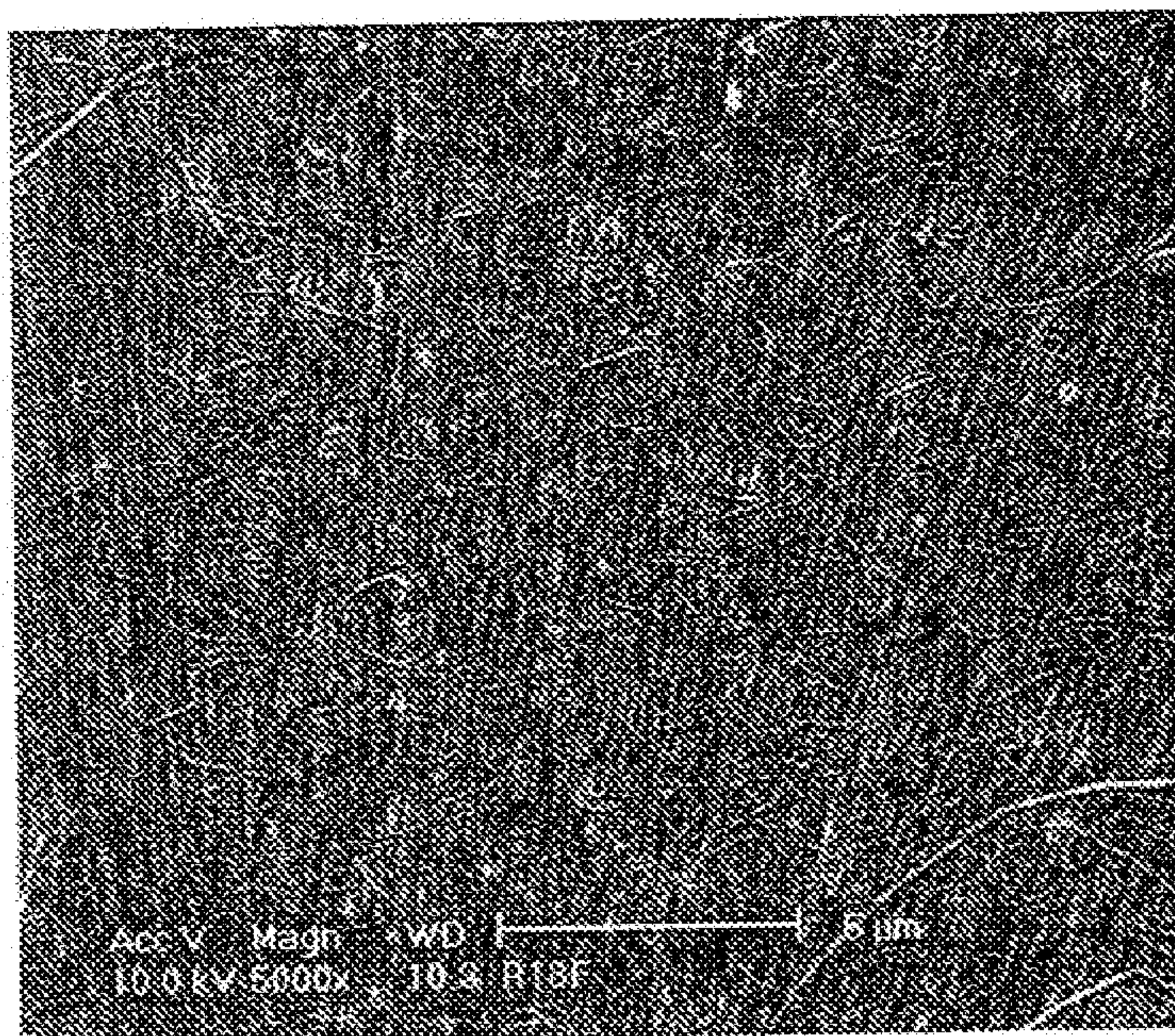


Figure 10



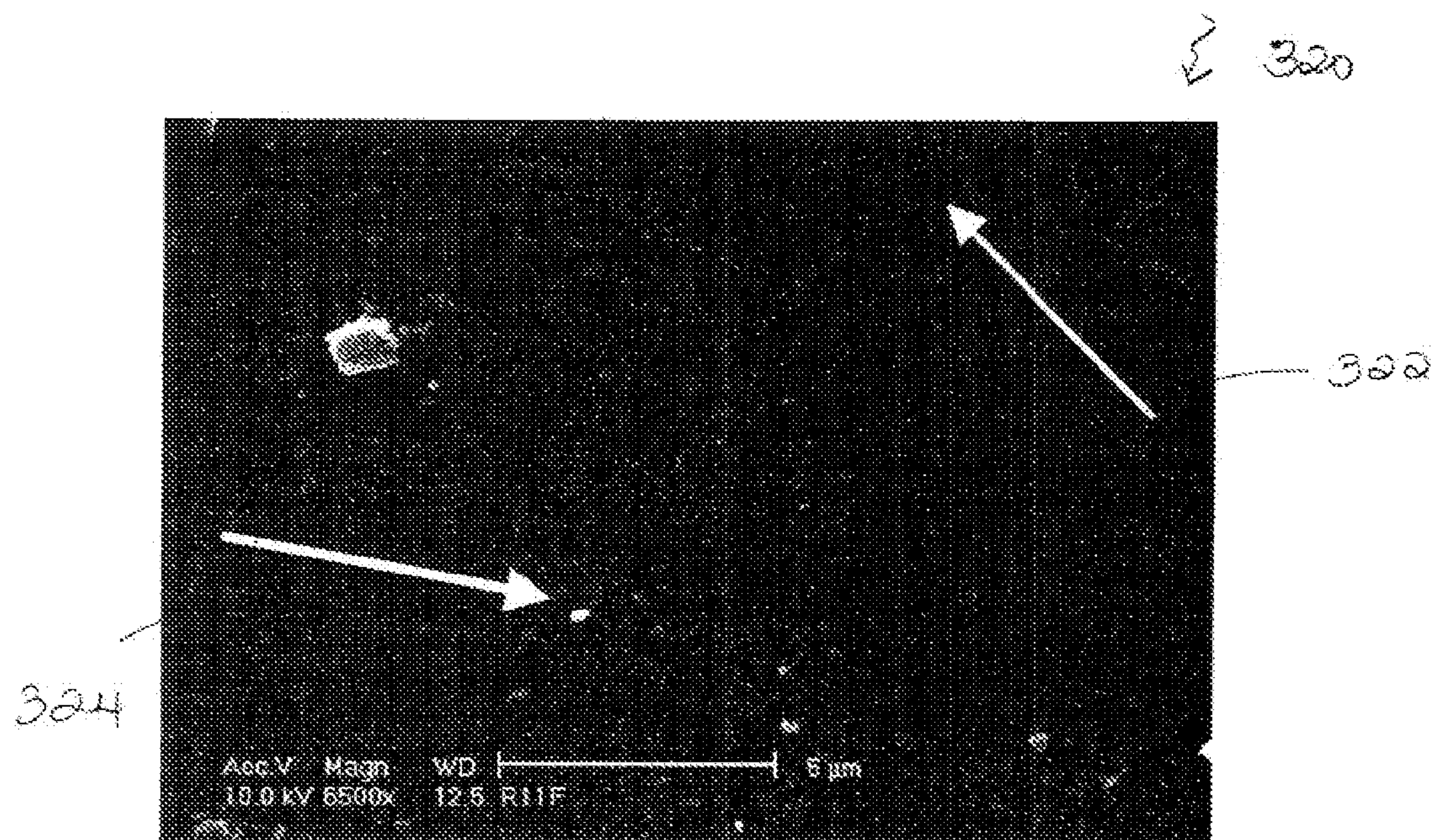


Figure 13



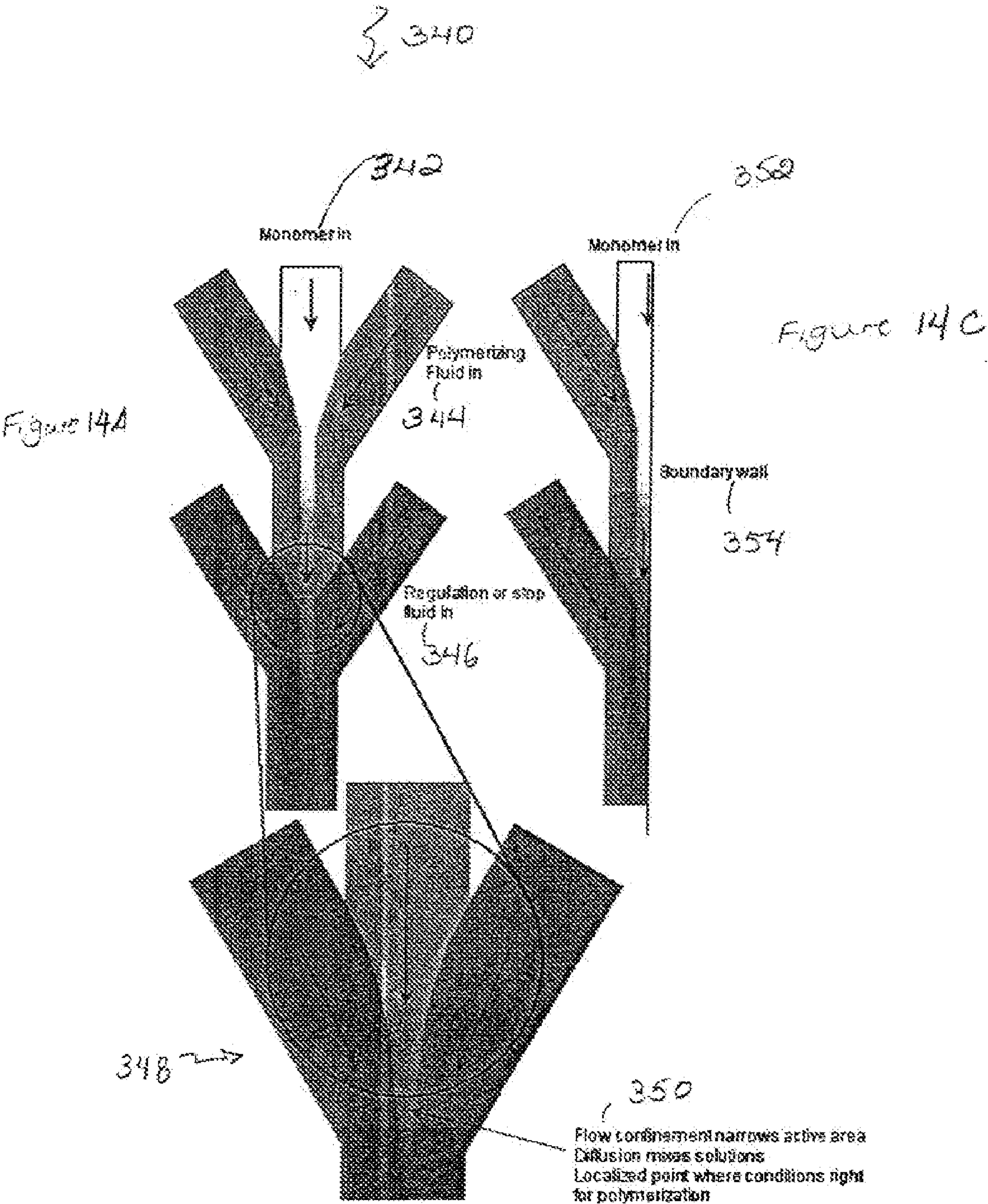


Figure 14B



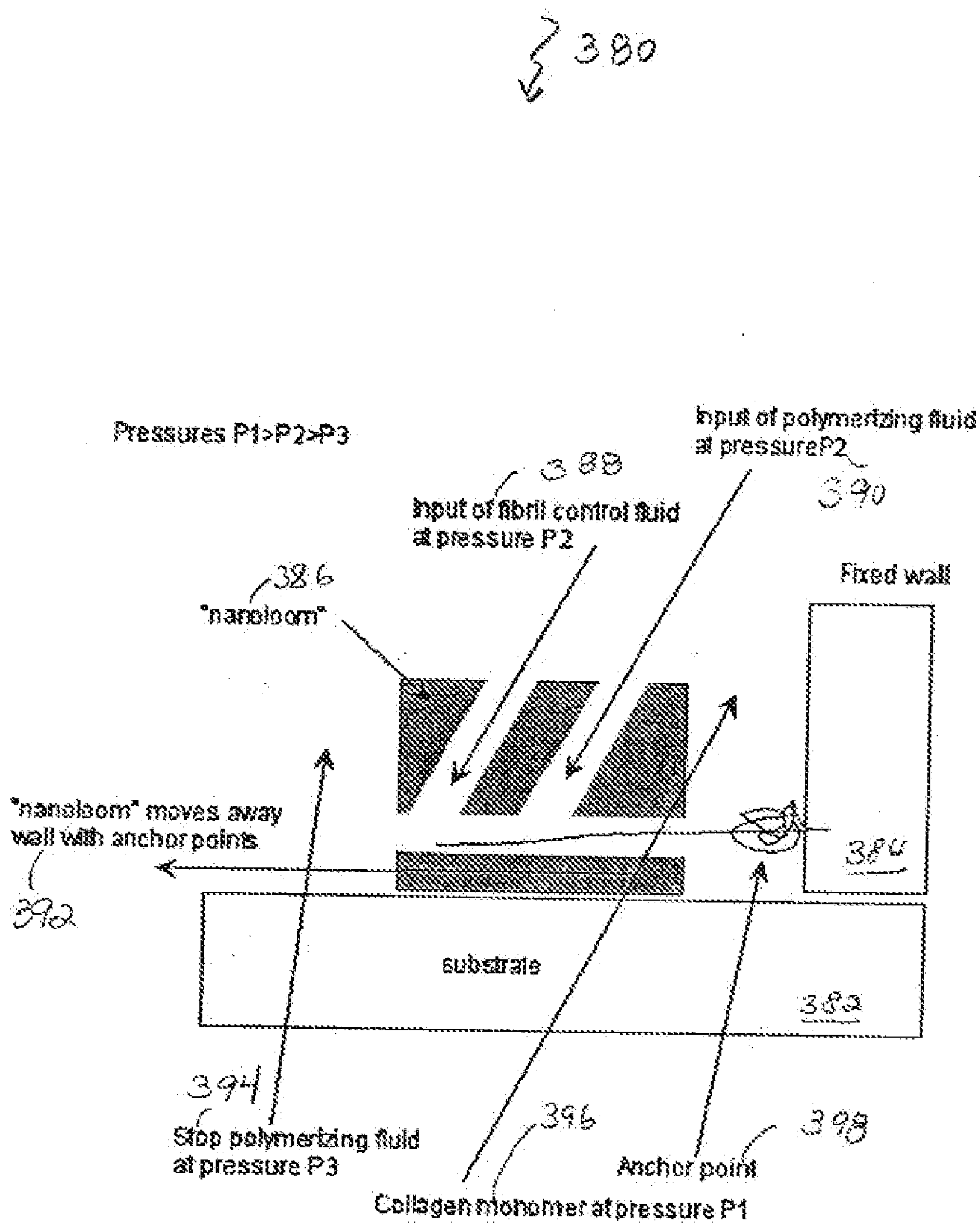


Figure 15



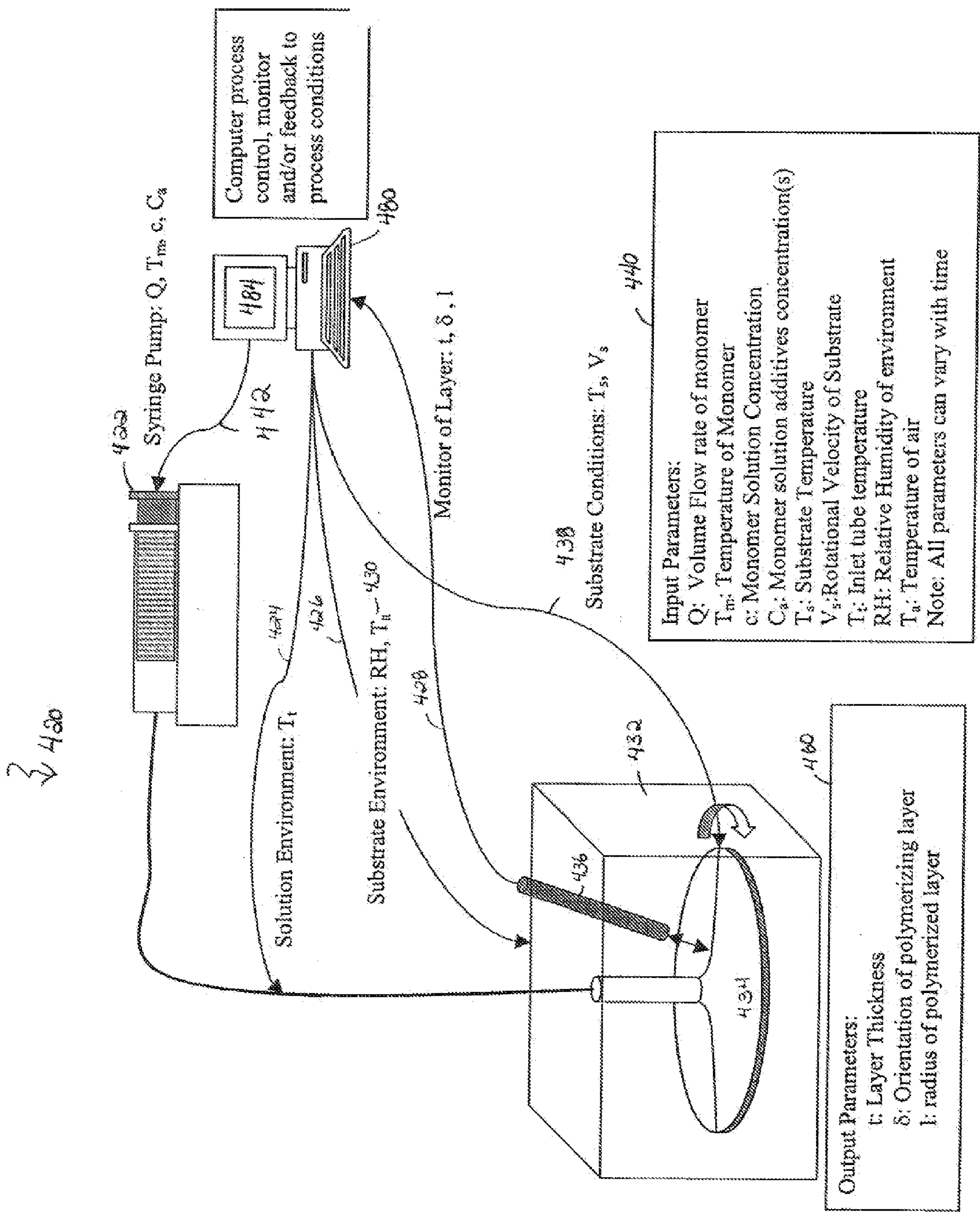


Figure 16A



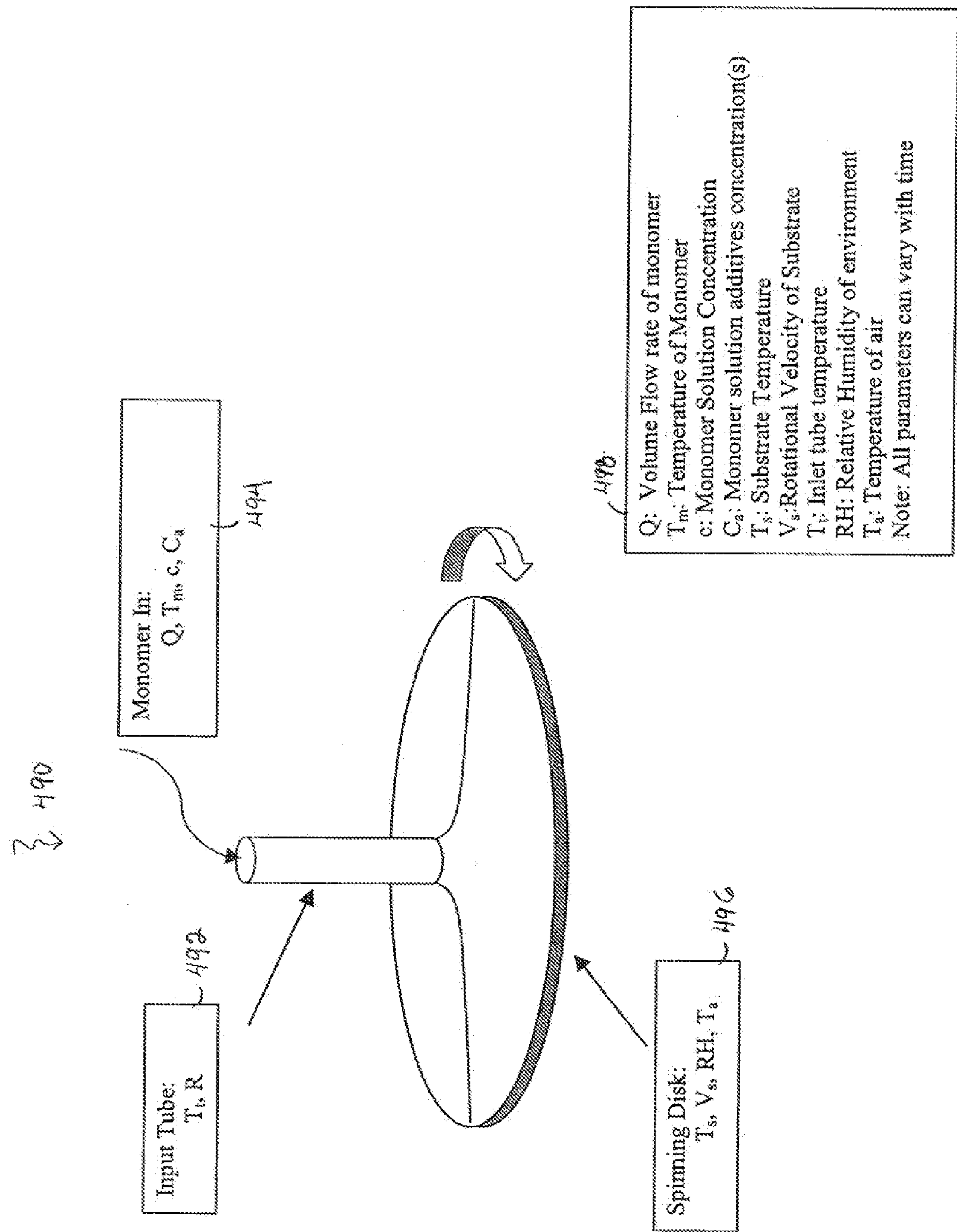


Figure 16B



## LAYERED ALIGNED POLYMER STRUCTURES AND METHODS OF MAKING SAME

### CROSS REFERENCE TO RELATED APPLICATIONS

[0001] This application claims the benefit of U.S. Provisional Application No. 60/337,286 filed on Nov. 30, 2001, the entire contents of which are incorporated herein by reference in its entirety.

### BACKGROUND OF THE INVENTION

[0002] Accurate control of the orientation of polymeric structure in thin layers is desired to maximize their mechanical, chemical, and optical properties. While orientation can be preformed through mechanical means, it is often more desirable to orient the structure during the polymerization process, particularly if the process involves polymerizing the system into a specific final shape, where further mechanical manipulation is unfeasible.

[0003] Biomedical components often require oriented structures. Tendons, for example, contain highly oriented collagen fibrils, and the spinal intervertebral disc is composed mainly of oriented crystalline collagen fibrils and amorphous hydrophilic proteoglycan. The prevalence of oriented collagen in the human body makes formation of highly oriented layers of the polymer a desired goal in modeling of tissue structure. Collagen is a biopolymer and protein, and found in the structure of tendons, skin, bones and blood vessels. Randomly oriented collagen materials are weak, and degrade quickly when exposed to mechanical stress. Soluble collagen is derived from animal tissue, and can be obtained in a monomeric form. The collagen monomer will polymerize, termed "fibrillogenesis," to form a gel-like structure. This process is achieved when the collagen is in a solution of specific pH, temperature, and ionic strength. The polymerization occurs as a self-assembly process, producing native-type collagen fibers. The fibers grow into a porous gel matrix.

[0004] The collagen molecule is a rod-like structure in the unaggregated state, composed of three peptide chains intertwined to form a triple helix. Improvements over randomly oriented gels in mechanical and optical properties can be realized if the aggregated molecules can be coerced into an oriented, or aligned, state. Cast films of collagen can form a randomly oriented gel structure, which lacks desired mechanical and optical properties. It is desirable to give a structured order for optical transparency in corneal replacements.

[0005] Collagen fiber orientation techniques have included mechanical deformation of already gelled matrices and laminar flow of a gelling matrix. These approaches yield gelled layers hundreds of microns thick.

### SUMMARY OF THE INVENTION

[0006] This invention includes a method of producing a thin, oriented layer of polymer material. The material is preferably produced by the method of introducing a shearing flow to a free surface in a predominantly monomeric solution of the self-assembling polymer sub-units, and inducing polymerization or growth of the monomer while in this shearing flow. The system for forming the oriented layer of

material provides relative movement between a delivery system and the substrate on or over which the material is deposited. The rate of flow of the material from the delivery system and the relative velocity between the deposition surface and the material as it is delivered to the surface are controlled to properly orient the material at the desired thickness. These rates can be adjusted to vary the properties of the film in a controlled manner. Preferred embodiments include either angular or linear relative movement between the delivery system and the substrate.

[0007] It should be noted that deposition off the polymer solution can occur on a substrate having curved or spherical surfaces to result in stress-free interlayer boundaries. This embodiment is enabling for corneal constructs.

[0008] In a preferred embodiment, the step of controlling the temperature, pH, solvent chemistry, and relative humidity during the polymerization process is preformed on a local level.

[0009] The preferred embodiment of the present invention further comprises a layered construct composed of layers of sub-micron to 10  $\mu\text{m}$  thick oriented polymeric films or fibers, with the principle direction of orientation alternating in each subsequent layer. A preferred embodiment of the invention uses this method to form synthetic biocompatible or biopolymeric materials such as implantable tissue material. These materials can be implanted as soft tissue replacement or for bone or joint replacement or repair.

[0010] In another preferred embodiment of the present invention, the method further includes inducing fibrillogenesis of the collagen while in this shearing flow. The method further includes controlling the collagen monomer concentration, temperature, solution properties and relative humidity of the fibrillogenesis process, producing collagen material having an oriented fibrillar structure in a sheet with a uniform, controllable thickness. The thickness can range from approximately 500 nm to 100  $\mu\text{m}$ .

[0011] In accordance with another preferred embodiment, the method for producing a multi-layer construct can be used to form an artificial corneal construct. The collagen layers can be seeded with endothelial and epithelial cells, which generate a negative pressure field in the construct, and compress the construct to a thickness necessary for optical transparency.

[0012] A preferred embodiment includes a method of producing a thin film of oriented polymer structures, including the steps of controlling the flow of a polymer solution into a device having a substrate, the device generating a shear flow to induce alignment of polymer structures, controlling a plurality of parameters including polymerization; and generating a layer of oriented polymer. The method further includes the polymer being a biopolymer such as collagen. The method further comprises the steps of mixing a solution of collagen with phosphate buffered saline solution, adjusting the pH of the solution to  $7.4 \pm 0.2$ , applying the solution at a controlled rate onto a substrate which generates a shearing flow, causing preferential orientation of the gelling collagen fibrils; and generating successive layers, each layer representing a portion of the component. The method layers have a uniform, controllable thickness ranging from sub-micron to 100 microns. The collagen is either type I or type V collagen. The principle orientation of the



aligned fibrils in a single layer alternates in each successive layer. The angle between the principle orientation of each layer is approximately in the range of 0 to 180 degrees. The solution properties, including temperature, concentration and surfactant composition are controlled. The shear flow is generated by spinning the substrate at a controlled rate in a range of approximately 50 to 50,000 Hz.

[0013] In a preferred embodiment the shear flow is generated by drawing the substrate out of the collagen solution. Further, a preferred embodiment includes a system to align polymerizing polymer chains in a layer such that polymers are predominantly aligned parallel to each other, having an apparatus to generate shear flow, a plurality of sensors to monitor a plurality of parameters; and a processor to modulate a plurality of control parameters.

[0014] The foregoing and other features and advantages of the system and method for producing a multilayer construct of sub-micron to 10 micron thick layers of oriented collagen fibers will be apparent from the following more particular description of preferred embodiments of the system and method as illustrated in the accompanying drawings in which like reference characters refer to the same parts throughout the different views. The drawings are not necessarily to scale, emphasis instead being placed upon illustrating the principles of the invention.

#### BRIEF DESCRIPTION OF THE DRAWINGS

[0015] **FIG. 1** illustrates a preferred embodiment of the apparatus used to generate a single layer of oriented self-assembled polymer molecules in accordance with the present invention.

[0016] **FIG. 2** illustrates a flow chart for producing aligned collagen fibers in accordance with a preferred embodiment of the present invention.

[0017] **FIGS. 3A and 3B** illustrate electron micrographs of collagen fibers prepared by a spin-coating method where **FIG. 3A** particularly illustrates random alignment of fibers and **FIG. 3B** illustrates fibers aligned in flow field in accordance with preferred embodiments of the present invention.

[0018] **FIGS. 4A and 4B** illustrate a preferred embodiment of the apparatus used to generate a matrix of orthogonally-aligned layers in accordance with the present invention.

[0019] **FIGS. 5A-5C** illustrate another preferred embodiment of all apparatus used to generate a matrix of orthogonally-aligned layers in accordance with the present invention.

[0020] **FIGS. 6A-6C** illustrate schematically a folding method to generate a multilayer structure with orthogonally-aligned layers in accordance with a preferred embodiment of the present invention.

[0021] **FIG. 7** schematically illustrates collagen fibers in the lamellae of the stroma in accordance with a preferred embodiment of the present invention.

[0022] **FIG. 8A** illustrates an apparatus having an offset holder wherein a monomer solution is deposited onto the substrate at the center of the offset disk holder in accordance with a preferred embodiment of the present invention.

[0023] **FIG. 8B** illustrates a flow chart of a preferred embodiment for producing aligned collagen fibers in accordance with a preferred embodiment of the present invention.

[0024] **FIG. 9** is a scanning electron microscope (SEM) image demonstrating the deposition of a plurality of thin aligned layers onto a glass substrate in accordance with a preferred embodiment of the present invention.

[0025] **FIG. 10** is a scanning electron microscope (SEM) image of a single layer of predominately aligned collagen fibrils in accordance with a preferred embodiment of the present invention.

[0026] **FIG. 11** is a scanning electron microscope (SEM) image of aligned collagen fibrils generated by the spin-coating methodology in accordance with a preferred embodiment of the present invention.

[0027] **FIG. 12** illustrates a scanning electron microscope (SEM) image demonstrating layering of collagen in pseudolamellae in accordance with a preferred embodiment of the present invention.

[0028] **FIG. 13** is a scanning electron microscope (SEM) image illustrating the interaction of two individual layers of aligned collagen in accordance with a preferred embodiment of the present invention.

[0029] **FIGS. 14A-14C** schematically illustrate a nanofabrication system and a flow-focussing method to manufacture layered, aligned polymer structures in accordance with a preferred embodiment of the present invention.

[0030] **FIG. 15** schematically illustrates another preferred system to manufacture layered, aligned polymer structures in accordance with a preferred embodiment of the present invention.

[0031] **FIGS. 16A and 16B** illustrate a block diagram and a schematic diagram, respectively, of a preferred embodiment system to manufacture layered, aligned polymer structures in accordance with a preferred embodiment of the present invention.

#### DETAILED DESCRIPTION OF THE INVENTION

[0032] The systems and methods of the present invention relate to processes and resulting material, suitable for the guided production of the self-assembly of aligned polymers in layers, and structures having multiple, non-aligned layers. More particularly the systems and methods of the present invention relate to the production of self-assembled biocompatible non-aligned thin lamellae.

[0033] This invention will be better understood with reference to the following definitions:

[0034] "Self-assembly": a process by which a system spontaneously combines a number of smaller structural elements to form a large molecular or supramolecular complex. Self-assembly is the process by which many biological complexes form, such as DNA and collagen. This reaction sometimes requires that a threshold temperature, solution condition, or pH is reached before the spontaneous reaction occurs.

[0035] "Gel": a material that is crosslinked through bonds of sufficient strength that it cannot be dissolved in ambient conditions. The bonds can be covalent ionic, physical, or other.



[0036] “Collagen”: a self-assembling biopolymer produced by reacting monomeric collagen in a neutral pH, slightly ionic solution. Collagen is a structural building block in the body, and is found in the cornea, skin, bones, and blood vessels.

[0037] “Polymerization”: the process by which monomer-sized molecules are assembled to form supramolecular structure. This process can occur through the formation of permanent chemical bonds, ionic bonds, or associative bonds. Fibrillogenesis is a form of polymerization in collagen.

[0038] “Polymer”: any supramolecular structure comprised of repeating subunits. These structures can be naturally occurring, such as proteins, or man-made, such as polyolefins.

[0039] A preferred embodiment of the invention comprises a method to produce a highly oriented, thin film of self-assembled polymer. The method comprises the process of subjecting a solution of monomeric building blocks of the self-assembling polymer to a shearing flow. While under the influence of this shearing flow, the polymer self-assembles, forming oriented polymeric structure in a thin film from approximately 500 nm to 100  $\mu$ m thick. The preferred embodiments of the present invention provide for several processes of generating this shearing flow, examples of which are described herein below. The systems and methods of the present invention also provide for a process of forming constructs with multiple layers of aligned polymer structures, each layer having a different principle direction of orientation. The preferred embodiments provide for a method of forming constructs in both biopolymers and synthetic polymers.

#### EXAMPLE 1

[0040] As one example of a preferred embodiment of this present invention, a metal disk is used as a deposition substrate. The disk is mounted on a spin-coating-type apparatus. A chamber is built around the apparatus to control the temperature and the relative humidity. The disk can be spun at a specified rate. This embodiment is illustrated in **FIG. 1**.

[0041] A solution of Vitrogen collagen is chilled to 4-6° C. Eight ml of the collagen solution is mixed with 1 ml of 10 $\times$  phosphate-buffered saline solution (0.2 M Na<sub>2</sub>HPO<sub>4</sub>, 1.3M NaCl, pH=7.4) and 1 ml of 0.1M NaOH. The pH is adjusted to 7.4 $\pm$ 0.2 by adding 0.1M HCl. The solution is warmed to the test temperature, then steadily dripped onto the rotating substrate 16. The collagen gels form a uniform sub-micron thick sheet. This process is described with respect to the flow chart illustrated in **FIG. 2**. Nematic stacks are prepared by cutting out sections of the radially-aligned collagen fiber sheets, and stacking them orthogonally by hand. Electron micrographs of randomly oriented collagen fibers and oriented collagen fibers in a single layer prepared by this method are shown in **FIGS. 3A and 3B**, respectively.

#### EXAMPLE 2

[0042] As a second example of a preferred embodiment of the present invention, sample disks 104 with T-slots cut into their surfaces are mounted on a disk 102 rotating at a specified rate as shown in **FIGS. 4A and 4B**. In accordance with a preferred embodiment of the present invention the

first layer of aligned associating fibrils is prepared by flowing the polymeric solution into channel of sample disks, which are on the rotating bottom disk. The centrifugal motion generates a shearing flow, which produces a thin layer and adds in aligning the growing associating polymers. The sample disks are then rotated 90 degrees, or to another specified angle, and the second layer is applied, which aligns with the specified angle relative to the first. A solution of Vitrogen collagen is chilled to 4-6° C. Eight ml of the collagen solution is mixed with 1 ml of 10 $\times$  phosphate-buffered saline solution (0.2 M Na<sub>2</sub>HPO<sub>4</sub>, 1.3M NaCl, pH=7.4) and 1 ml of 0.1M NaOH. The pH is adjusted to 7.4 $\pm$ 0.2 by adding 0.1M HCl. The solution is warmed to the test temperature, then steadily dripped onto the center of the rotating disk, so that the solution travels down the channels extending to the outer radius of the rotating disk. After the first layer has gelled into an oriented collagen film, the sample disks are rotated 90 degrees, and the second layer is applied, so that the orientation direction of the second layer is 90 degrees with respect to the first layer. This process is repeated many times to generate a matrix of orthogonally-oriented collagen fibril layers.

#### EXAMPLE 3

[0043] Another preferred embodiment of the present invention includes the monomer solution being placed in a temperature-controlled bowl as shown in **FIGS. 5A-5C**. In **FIG. 5A**, the polymer solution is subjected to a shearing flow by rotating the ball in one direction, or by oscillating in the same rotation plane. The polymer associates during this process. In **FIG. 5B**, the ball is rotated or oscillated in the orthogonal plane, to generate a layer of associated polymers orthogonal to the first layer. In **FIG. 5C** a three-dimensional (3D) rendering of the method is illustrated. A ball 122 with a diameter a few microns smaller than the bowl 128 diameter is placed in the bowl. A shaft 126 attached to the ball rotates the ball first in one direction, generating a shearing flow, during which time the monomer polymerizes. After a designated gelation period, fresh monomer solution may be introduced to the bowl, and the ball is rotated in the orthogonal direction, creating a layer orthogonal aligned to the first layer.

#### EXAMPLE 4

[0044] As another preferred embodiment of the material described in this invention, epithelial cells and endothelial cell layers are established in the structure generated in either of the first three examples with collagen to develop a corneal construct. This construct produces a cornea that is similar physiologically, ultrastructurally and biochemically to normal mammalian corneas. The construct is suitable as a physiological or biomechanical model, and may find utility as a material for transplant into human subjects.

#### EXAMPLE 5

[0045] As another embodiment of the material described in this invention, highly oriented collagen layers prepared as described herein can be bundled to form the annulus fibrosus fund in the spinal intervertebral disk. This disk acts primarily as a weight-bearing and flexible joint. The load bearing capability and flexibility in selected directions is achieved by the combination of the annulus fibrosus and nucleus pulposus. Annulus fibrosus is a layered structure that is rigid



in the radial direction but deformable in the axial direction and by torque. This structure has alternating layers of oriented collagen fibrils, similar to that described in the cornea. Each layer has its collagen fibrils wound at an angle, and subsequent layers have an alternate orientation. Such a structure helps to achieve a maximum resistance to radial stress, while allowing a deformation in torque and bending.

#### EXAMPLE 6

[0046] As another preferred embodiment of this invention, single layers of aligned polymer structure are generated with the techniques and methods discussed herein. The layers are folded as shown in **FIGS. 6A-6C**, to generate successively crossed layers of aligned polymer fibrils or other superstructure.

[0047] The extracellular matrix of the mature intact cornea comprises an extremely varied yet highly structured array of collagen, proteoglycans, glycoproteins and soluble macromolecules.

[0048] Current attempts to generate a biomimetic cornea construct have yielded corneas that behave similarly to in vivo corneas with respect to the function of the cellular layers. However, these corneal constructs have severe limitations with regard to the structure of the stromal extracellular matrix (ECM), which was constructed to ensure biocompatibility, nominal transmittance and the ability to promote adherence of the superficial cell layers and little more. It appears that previous investigators focused on the cellular layers but lost sight of the importance of the stroma itself. The three major functions of the cornea: protection, refraction and transmission, are performed primarily by the stromal ECM, the structure of which is optimally designed to accomplish these objectives. Thus, the cellular layers serve only to maintain and defend the stroma, which provides the principle functions of the cornea:

[0049] **Strength.** The major structural collagen of the stroma (type I collagen) is arranged in 300 to 500 lamellae of parallel, non-crosslinked fibrils. The lamellae are stacked in the anterior posterior (AP) direction and the fibrils of adjacent lamellae are nearly perpendicular to each other. Free ends of the fibrils have not been discerned in the cornea, which suggests that they run uninterrupted from limbus-to-limbus. This “plywood” arrangement of the lamellae give the cornea remarkable strength tangential to the surface. Randomly crosslinked collagen networks of similar thickness (used in current constructs) cannot provide similar yield strength.

[0050] **Refraction.** The ability of the fibrils to slide relative to each other with ease allows the natural cornea to distribute the load imposed by the intraocular pressure (IOP) uniformly. This enables the anterior surface of the eye to form a nearly perfectly spherical shape for refraction. Stroma as comprising randomly cross-linked collagen are likely to form imperfect surfaces for refraction upon inflation to normal IOP. This is due to the complex stress fields that would be produced when they are loaded.

[0051] **Transmission of light.** The uniform diameter of natural corneal fibrils and their short-range ordering allows light to pass through virtually unimpeded. Randomly crosslinked collagen networks, though nominally transparent, cannot produce the same quality optical properties. The

process described in the embodiments of this invention can produce orthogonally stacked arrays of aligned type I/type V collagen fibrils. The general biomechanical and optical properties of such a construct should be similar to native corneal stroma.

[0052] The difficulties in forming a fully complete, functional, corneal stroma, constructed de nova, by artificial means have led some to indicate that it may not be a viable given the technology available today. However, it is not likely to be necessary to complete the construction of the stroma purely by mechano/chemical manipulation of biochemical components in the laboratory. A partially completed primary corneal stroma comprising orthogonal layers of aligned type I/type V heterotypic collagen fibers can suffice as a suitable scaffolding or starting point, as it does during embryogenesis. In support of this approach, it is known that following full-thickness trephination wounds in rabbit corneas, the healing response is capable of transforming the initially opaque fibrous plug to tissue that is similar to normal corneal stroma. The initially opaque scars comprise large interfibrillar spaces, unusually large chondroitin sulfate (CS) proteoglycans, hyaluronic acid and no detectable keratan sulfate proteoglycan. After one year of healing and remodeling, normal interfibrillar spacing, size and distribution of proteoglycans is restored. This mechanism works even if tissue of similar biochemistry but not structure is implanted into the cornea. It has been found that the corneal healing response has the capacity to partially resolve collagen fibril structure even when scleral tissue is used to repair corneal wounds (Winkelman 1951 *Am J Ophthalmol*; Kurz 1953 *Cs Oftal*; Maurice and Singh *Cornea* 1996) incorporated herein by reference in its entirety. The present invention takes advantage of the natural healing response and remodeling ability of the corneal stroma. A stromal scaffolding remodeled by the corneal wound healing response, can be implanted. However, implanting a biomimetic stroma that is not sufficiently strong, transparent, or smooth at the outset is not viable. The artificial, stroma at the outset, must preserve the optical qualities of the cornea during the remodeling period. Current corneal constructs, which employ randomly cross-linked type I collagen fibrils, cannot meet this requirement.

[0053] The closer the tissue or scaffolding to be remodeled mimics native stroma, the less time it takes to fully resolve the structure and fully incorporate the graft. Further, if the initial scaffolding is capable of performing the three major functions of the stroma (protection, refraction and transmission), remodeling in vivo may proceed while vision remains clinically acceptable.

[0054] Thus, from investigations into the developmental biology of the chicken, it has been learned that corneal embryogenesis is an intricately orchestrated and complex phenomenon. Initially, from superficial epithelial cells derived from an offshoot of the developing brain, an orthogonally organized primary corneal stroma of type I collagen is secreted layer-by-layer. The extracellular matrix (ECM) of the mature intact cornea comprises an extremely varied yet highly structured array of collagens, proteoglycans, glycoproteins and soluble macromolecules.

[0055] The three major functions of the cornea: protection, refraction and transmission, are performed primarily by the stromal ECM, the structure of which is optimal to accom-



plish these objectives. Thus, the cellular layers serve only to maintain and defend the stroma. In addition the major structural collagen of the stroma (type I collagen) is arranged in approximately 300 lamellae of parallel, non-crosslinked fibrils. The lamella are stacked in the anterior posterior (AP) direction and the fibrils of adjacent lamella are nearly perpendicular to each other. Free ends of the fibrils have not been discerned in the cornea, which suggests that they run uninterrupted from limbus-to-limbus. This “plywood” arrangement of the lamellae gives the cornea remarkable strength in tension tangential to the surface. Randomly crosslinked collagen networks of similar thickness (used in contact constructs) cannot provide similar yield strength.

[0056] The ability of the fibrils to slide relative to each other with ease, allows the natural cornea to distribute the load imposed by the intraocular pressure (IOP). This enables the anterior surface of the eye to form a nearly perfectly spherical shape for refraction. Stromas comprising randomly cross-linked collagen are likely to form imperfect surfaces for refraction upon inflation to normal IOP. When these random networks are loaded, complex stress fields are formed that result in inhomogeneous refraction and thus compromised optical qualities.

[0057] The uniform diameter of natural corneal fibrils and their short-range ordering allows light to pass through the cornea virtually unimpeded. Randomly crosslinked collagen networks, though nominally transparent, cannot produce the same quality optical properties because of the varying spacing in the random network.

[0058] With regard to biomimetic corneal constructs, recent attempts have been made to develop full corneal constructs de novo. These efforts have yielded corneas that behave similarly to in vivo corneas with respect to the function of the cellular layers. However, both of these cornea constructs have severe limitations with regard to the structure of the stromal ECM, which was constructed to ensure biocompatibility, adequate transmittance and the ability to promote adherence of the superficial cell layers and little more.

[0059] Completely artificial corneal replacements, such as the K-pro, have not met with widespread clinical success. Such devices are not yet qualified for use in clinical situations where a transplant would be considered even marginally effective because of the high potential for devastating complications and the need for continual high quality follow-up. Current incarnations of corneal constructs generated from biomimetic materials and live cell layers do not include physiologically, ultrastructurally or biochemically realistic stromas. In addition there have been a number of efforts towards the production of an artificial cornea which have not met with complete success.

[0060] With regard to effective scaffolding and wound healing response, a fully complete, functional, corneal stroma, constructed de novo, by artificial means may not be a viable approach given the technology available today. However, it is not likely to be necessary to complete the construction of the stroma purely by mechano/chemical manipulation of biochemical components in the laboratory. A partially completed primary corneal stroma comprising orthogonal layers of aligned type I/type V heterotypic collagen fibers suffice as a suitable scaffolding or starting point,

as it does during embryogenesis. Such a partial solution would be a marked improvement over current systems, aligning easy cell infiltration and critically, immediate functionality both optically and mechanically. In support of this approach, it is known that following full-thickness trephination wounds in rabbit corneas, the healing response is capable of transforming the initial opaque fibrous plug/scar to tissue that is similar to normal corneal stroma. The initially opaque scars comprise large interfibrillar spaces, unusually large chondroitin sulfate (CS) proteoglycans, hyaluronic acid and no detectable keratan sulfate proteoglycan. After one year of healing and remodeling, normal interfibrillar spacing, size, and distribution of proteoglycans is restored. This mechanism works even if tissue of similar biochemistry, but not structure is implanted into the cornea. It has been found that the corneal healing response has a capacity to partially resolve collagen fibril structure even when scleral tissue is used to repair corneal wounds. This suggests that it might be possible to take advantage of the natural healing response and remodeling ability of the corneal stroma. Stromal scaffolding, which can be remodeled by the cornea wound healing response can be implanted. However, implanting a biomimetic stroma that is not sufficiently strong, transparent, or smooth is not acceptable. The artificial stroma, at the outset, has to preserve the optical qualities of the cornea during the remodeling period. Current corneal constructs, which employ randomly cross-linked type I collagen fibrils, cannot meet this requirement.

[0061] FIG. 7 illustrates collagen fibers in the lamellae of the stroma in accordance with a preferred embodiment of the present invention. The behavior of associated rods (collagen) in solution is exploited. Collagen fibrils are composed of triple-helix collagen macromolecules that are approximately 300 nm long and 1.5 nm in diameter. These segments are produced inside cells and are excreted as procollagen whereupon the ends are removed to form a macromolecule that naturally self-assembles. Although there is some debate over the exact mechanism of this self-assembly process, it is understood that the assembly of collagen macromolecules into fibrils is an entropy driven process. The most favorable structure is therefore a cylinder 184 which minimizes the surface area. This fibril assembly is very similar to other protein polymerizations. Each of these cylindrical bundles is composed of segments of associated molecules in the familiar 67 nm repeating structure. There is still some debate over the exact molecular process of association but it appears that the cylindrical bundles of segments end-associate whereby the terminating C-telopeptide 192 associates with the N-telopeptide on the adjacent chain. The telopeptides also influence the diameter of the fibril and the segment packing, but there are also probably influences from glycosaminoglycans (GAGs), proteoglycans, solution temperature and concentration. All of these components can be controllable in a manufacturing process. Further, there is some evidence that the association is driven by a further hydrophobic effect between the telopeptides. These fibrils then associate to form fibers.

[0062] Thus with no other influence, a solution composed of collagen macromolecules (i.e. the basic triple helix unit) forms an isotropic random gel. However, with the correct driving force, these gels can be forced to grow in an aligned manner. Magnetism, drainage flows and confining effects have both been used successfully, but only with weak influence on the morphology of the resulting gels.



[0063] Orientation of a rigid-rod in a flow field is determined by the balance of hydrodynamic forces (aligning) and rotary Brownian motion (randomizing) which is described by the Peclet number  $Pe = \Pi_{2D}/D_r$ , where  $D_r$  is the Brownian diffusion coefficient and  $\Pi_{2D}$  is the convective diffusion coefficient. Simple estimates suggest that the flow field can orient the collagen monomer because of their size ( $Pe < 1$ ) but that in fact for the monomers the flow is very close to the transition ranges. However, as the monomers associate and the collagen fibrils grow, the aspect ratio increases and consequently the Peclet number increases rapidly as it is related to the cube of the length of the rod.

$$Pe = \frac{\Pi_{2D}^{1/2}}{D_r} = \frac{\dot{\gamma}}{D_r} \quad \text{Equation 1}$$

$$= \frac{8\pi\eta_s a^3 \dot{\gamma}}{3kT(\ln(2r_p) - 1/2)} \quad \text{for thin} \quad \text{Equation 2}$$

prolate spheroids ( $r_p \gg 1$ ) in shear flow,

wherein  $r_p = a/b$  is the aspect ratio of spheroid ( $a$  is long dimension). At 300 K for rigid rods of length 300 nm and diameter 30 nm in a solvent of 1 mPa·s and at a shear rate of 600 s<sup>-1</sup> the Peclet number is 13.

[0064] In addition, the proximity of walls also act to orient the monomers in the plane of the wall. This influence is especially strong in the confined films which are only of an order of magnitude larger than the longest axis of the collagen monomers. Concentrated solutions of rigid non-interacting rods are known to behave in a liquid crystalline fashion, forming nematic structures where there is long range order in the direction of the long axis of the rod. In theory, the direction of this ordering is arbitrary but is usually generated by perturbations, such as the confining effect of a wall, or solvent flow. Although nematic structures built from non-interacting rods require a critical concentration to transition from an isotropic to a nematic phase. In the case of interacting rods, the situation is more complex, but the interactions can clearly influence the ability of the molecules to align. Once fibrils have begun to grow the flowing monomers passing across the substrate in the shear field naturally end attach since there is a pronounced anisotropy between side addition and end addition for interacting rods. In addition magnetic (or electric) fields are known to strongly align nematic liquid crystals and have been proven to influence the alignment of growing collagen gels.

[0065] With regard to the alignment of polymers, it is well known that flow aligns polymers. Both shear and extensional flows align polymers in different manners but in general if the flow is strong enough there is some form of alignment in the direction of the flow. Spin-coating, and other similar flows, such as film drainage and steady shear, have been observed to produce roughly oriented polymers from the melt, for rigid-rod molecules and for liquid crystals. Alignment in these systems is often however only local and is usually for systems where the macromolecules themselves are being aligned. The alignment of growing fibers or polymers is less well recognized a process.

[0066] It has also been observed that shear induces alignment in polymers during the polymerization process of rod-like molecules. However simple shear does not provide

sufficient force to counter the random fluctuations of the chains, aid their natural propensity move under Brownian motion. The preferred embodiments of the present invention use spin-coating methods to provide a flow regime suitable for initiation and growth of aligned collagen fibrils. The solution conditions can be modulated while the polymerization process is proceeding, and the naturally radial nature of the flow assists in maintaining the fiber separation and promotes growth from a central core area. In addition the spin-coating process provides a natural length scale that can be easily adjusted to control the layer thickness and rate of polymerization. Also, since it is known that the proximity of a surface influences the position of an adjacent molecule, the thin confinement layer promotes alignment in the most critical direction, parallel to the layers longest axis. Spin-coating has been used to produce thin homogeneous films for a number of years. In melts and liquid crystals this deposition process is known to align polymers. This method is extremely well understood for evaporating and non-evaporating solvents since it is used heavily commercially in silicon microfabrication processes and in the optics industry.

[0067] With regard to the alignment of collagen, examples of artificial alignment of collagen are relatively common in the literature, but rarely result in the highly aligned configuration that is required for a truly biomimetic system. Previously noted parameters such as gravity, shear, diffusion and other external forces can influence the morphology of the fibers, built in all cases the alignment is weak. Other observations include alignment of collagen-like self-assemblies using shear while cooling from a hot solution. All of these cases require relatively concentrated solutions which limit the control over the fiber morphology. In addition collagen has been aligned using the natural propensity of collagen monomers to self-assemble in concentrated solutions. However, this method allows no control of the fiber sizes and shapes. To provide the highly aligned morphology two things are critically required, a thin confinement layer, and a unidirectional flow. Spin-coating provides both requirements readily. Although spin-coating has been observed to generate alignment in thin films, these are usually from melts and in polymerized systems. It should be noted that alignment as traditionally detected for many of these systems using Circular Dichroism, does not indicate alignment on the levels required by a corneal analog.

[0068] FIG. 8A illustrates an apparatus having an offset holder wherein a monomer solution is deposited onto the substrate at the center of the offset dish holder in accordance with a preferred embodiment of the present invention. FIG. 8B illustrates a preferred methodology to create single and multiple layers of aligned polymer films in accordance with a preferred embodiment of the present invention. In this system and method a substrate is placed off-center on a substrate holder. The holder 206 is rotated at a prescribed velocity or sequence of velocities to create a thin shearing flow. The monomer solution 202 is added to the deposition substrate 210 (either by steady flow or by unsteady dripping) and is carried radially away from the injection point by the centripetal acceleration. Thus a single layer (film) of aligned collagen fibrils is created. To generate multiple layers with different alignment directions, the deposition substrate 210 is rotated at any prescribed angle and another layer may be deposited.



[0069] **FIG. 8B** is a flow chart describing method **216** for generating aligned collagen via spin-coating in accordance with a referred embodiment of the present invention. The method begins with step **218** of obtaining commercially available type I collagen monomers. They are kept refrigerated at 4-6° C. Extracted or recombinant human and/or animal collagen type I monomers may be used. Collagen type I monomer concentrations are in the range of 0.01 mg/ml to 100 mg/ml with a preferred range of 0.5 to 10 mg/ml. A preferred embodiment utilizes Vitrogen (brand) bovine collagen (3.0 mg/ml). In an alternate embodiment, if heterotypic fibrils are being made for diameter control, type V collagen monomer is obtained as well. Extracted or recombinant human and or animal collagen type V monomers may be used.

[0070] Per step **220**, collagen type I is prepared for polymerization. In a preferred embodiment, Vitrogen is neutralized in preparation for self-assembly adding 8:1:1 ratio of collagen type I:10×PBS: 0.1M NaOH. The pH is adjusted to 7.4. If type V is also included, the solution is further processed to ensure neutralization of type V as well. In preferred embodiments, additives may be used to alter the viscosity of the collagen solution to change the shear stress on the growing fibrils. Such additives include, for example, but are not limited to, glycerol. The viscosity of the final solution of monomer is in the range of 1.0 mPa-s to 100.0 Pa-s. The preferred range is from 5.0 mPa-s to 1.0 Pa-s. In a preferred embodiment, the viscosity of the collagen monomer solution is approximately 10.0 mPa-s

[0071] The method **216** in accordance with the preferred embodiment includes the step **222** of substrate selection. The substrate that accepts the collagen coating may comprise of any material that can be generated with a uniform optically flat surface to promote the establishment of a uniform shear flow field during spin-coating. Asperities on the surface of the material may be in a range of 0.0 to 10 microns, with a preferred range of 0.1 to 0.5 microns. A preferred embodiment, for example, utilizes a 2 inch diameter borosilicate glass disk.

[0072] The method **216** also includes the step **224** of preparation of the substrate to be coated with collagen. Preparation may include modulation of the surface of substrate to be uniformly hydrophilic, uniformly hydrophobic, gradient hydrophilic, or to preferentially bind collagen, for example, antibody inclusion. A preferred embodiment for hydrophilic treatment for glass substrate utilizes, for example, 1.5 hour ultrasonication of substrate in 10% micro90 (brand) cleaner. Glass is stored in deionized water until use.

[0073] Per step **222**, the substrate to be coated with collagen is positioned into the device designed to generate centripetal accelerations. In a preferred embodiment, the substrate is placed directly onto a vacuum chuck of a commercial spin-coater.

[0074] If multiple layers of collagen oriented at differing relative angles are desired another substrate handling system is required, for example, in a preferred embodiment a borosilicate glass disk is placed in an offset disk holder of **FIG. 8** or a system as shown in **FIG. 4** is utilized.

[0075] The method **216** includes the step **228** of modulating the environment surrounding substrate to create con-

ditions conducive to initiate polymerization of collagen. The environmental conditions include a temperature range of 30° C. to 45° C. with a preferred range of 35° C. to 42° C. and 80-100% humidity with a preferred range of 90-100% humidity. In a preferred embodiment, a steam heat humidifier is attached to vent ports in the housing of the substrate rotating device as shown in **FIG. 1**. In alternate embodiments, the substrate or substrate holder may also incorporate a heating device to locally control temperature of the substrate surface. Such devices require rotating electrical contacts.

[0076] A preferred embodiment of the present invention includes pre-processing or pre-wetting the substrate. Prior to sustained addition of the monomer to the substrate, a bolus of cold monomer is added to the substrate to ensure that the substrate is fully wet. In a preferred embodiment, an amount capable of covering the entire substrate is injected and is used to be spread on the substrate.

[0077] The method **216** further includes step **230** of initiating a flow of the monomer units. Prior to substrate rotation, a flow of monomer is begun. For neutralized Vitrogen solution the start up range is 0.05-1000 ml/min with a preferred range of 0.1-100.0 ml/min. In a preferred embodiment, the start up flow rate for neutralized Vitrogen solution is 2.0 ml/min.

[0078] The method then includes the step **232** of initiating rotation of the substrate. The rotation of substrate is initiated and may proceed in a series of steps to aid in the uniform spreading of the collagen monomer solution over the substrate surface. A range of initial angular velocities during startup is 10 to 5000 rpm with a preferred range of 60 to 1000 rpm. In a preferred embodiment the initial angular velocity utilized is 250 rpm.

[0079] The method further includes the step **234** of controlling a plurality of parameters during polymerization. For example, the rotational velocity of the substrate during polymerization is set. During the polymerization of the collagen monomer on the warm substrate, the rotational velocity may remain steady or undergo modulation of any kind. However, the average velocity (based on averaging over each minute of operation) of the substrate has a range of 100 to 50,000 rpm with a preferred range of 500 to 10,000 rpm. In a preferred embodiment, the average rotational velocity of the substrate is 1600 rpm.

[0080] Further, during polymerization, the flow rate of monomer is controlled. The monomer solution flow rate provides a monomer for polymerization to the substrate surface while generating suitable shear force under rotation to induce alignment of the growing collagen fibrils. The flow rate of collagen solution over the rotating substrate may remain steady or undergo modulation of any kind. However, the average flow rate of collagen solution (based on averaging over each minute during operation) is in a range of between 0.05 ml/min to 1000 ml/min with a preferred range of 0.1 to 100 ml/min. In a preferred embodiment, the average flow rate over the substrate during polymerization is 0.25 to 2.0 ml/min.

[0081] Another parameter that is controlled during polymerization is the optimum shear rate at the substrate interface. The flow rate over the growing monomers tends to align them among the flow direction and provides the



monomer units to the free end. The combination of monomer solution input flow rate, viscosity and substrate rotational velocity combines to produce a range of shear rates from 1.0 to 500,000 Hz with a preferred range of 50 to 50,000 Hz. In a preferred embodiment, the shear rate at the substrate surface is approximately 700 Hz.

[0082] The duration of polymerization is another parameter that can be controlled. Rheological experiments have demonstrated that the gelation of the collagen solution begins once optimum conditions are achieved and take approximately six minutes following addition to a substrate warmed to 37° C. In the apparatus in accordance with a preferred embodiment of the present invention, the range of time of exposure of the monomer flow to the rotating substrate lies between 1 minute and 2 hours with a preferred range of 3 minutes to 1 hour. In a preferred embodiment, to generate a single layer of polymerized collagen, flow is sustained over the rotating substrate for 15 to 20 minutes.

[0083] In preferred embodiments, a layer including collagen type IV and cell adhesion proteins such as, for example, but not limited to, laminin, fibronectin and/or any integrin receptor is deposited between aligned polymer layers.

[0084] The method 216 in accordance with the present invention includes the step 236 of initiating a spin-down produce. Following the addition of collagen to the rotating substrate, the rotating substrate continues spinning to remove excess collagen monomer. This spinning down procedure may include a rinse step where a solution containing no collagen monomer is applied to the rotating substrate to enhance the removal of unreacted monomer or to add a layer of material to separate collagen layer, for example, to promote cell attachment and proliferation. Such materials may include proteoglycans, laminin, fibronectin and/or vinculin or integrin moieties. During the spin-down procedure the rotational velocity may remain steady or undergo modulation of any kind. However, the average velocity (based on averaging over each minute of operation) of the substrate is in a range of 100 to 50,000 rpm with a preferred range of 500 to 10,000 rpm. In a preferred embodiment, the average rotational velocity of the substrate is 1600 rpm.

[0085] The method 216 concludes with a post-processing step 238. To ensure polymerization of the deposited layer, the substrate may be post-processed. Post-processing may include an extended exposure to the warm humid environment for a period of 0.1 to 60 minutes with a preferred range of exposure of 3 to 10 minutes. In a preferred embodiment, the exposure time during post-processing is 5 minutes. The method 216 includes all of the essential steps to produce a single layer of aligned collagen via spin-coating in accordance with a preferred embodiment of the present invention.

[0086] FIG. 9 is a scanning electron microscope (SEM) image demonstrating the deposition of a plurality of thin aligned layers 244 onto a glass substrate 242 in accordance with a preferred embodiment of the present invention.

[0087] FIG. 10 is a scanning electron microscope (SEM) image of a single layer of predominately aligned collagen fibrils in accordance with a preferred embodiment of the present invention.

[0088] FIG. 11 is a scanning electron microscope (SEM) image of aligned collagen fibrils generated by the spin-

coating methodology in accordance with a preferred embodiment of the present invention.

[0089] FIG. 12 illustrates a scanning electron microscope (SEM) image demonstrating layering of collagen in pseudolaviellae in accordance with a preferred embodiment of the present invention. Multiple layers of aligned collagen polymer may be achieved by repeating (indefinitely) the procedure for generating a single layer. The method 216 may be repeated immediately following the post-processing step or repeated following a dryout period or a deionized water soak period. In a preferred embodiment, multiple layers are achieved by repeating the single layer procedure following a 24 hour soaking period in deionized water.

[0090] FIG. 13 is a SEM image 320 illustrating the intersection of two individual layer of aligned collagen in accordance with a preferred embodiment of the present invention. The arrows 322, 324 indicate the alignment directions for each layer. To generate multiple layers of aligned collagen polymer where the relative angle of alignment of the collagen is changed between depositions, the method 216 for producing multiple layers is performed. However the substrate is rotated through any angle relative to its previous position on the substrate holder. Any range of angles is possible from a range of 0 to  $2\pi$  (and any integer multiple thereof) with a preferred range of 0 to  $\pi$ . In a preferred embodiment, the angle between aligned collagen layers is  $\pi/2$ .

[0091] With regard to microfluids methodologies, the fundamental principle in the embodiments of the present invention is to use flow regimes to control the growth of individual fibers of collagen, or some other polymerizable material. The method 216 outlined the use of a common industrial process, namely spin-coating, to provide the necessary constraints to the growing fibers to allow control of alignment, length and diameter. However in a more general embodiment it is possible to directly manipulate the flow field, and thus the local environment, around a growing filament. To this end the use of the emerging field of microfluidics can be used.

[0092] The use of microfabricated fluid handling is described by Giordano, N. and Cheng, J.-T. (2001) in the Journal of Physics: Condensed Matter, 13, R271-R295 entitled "Microfluid mechanics: progress and opportunities", the entire teachings of which is hereby incorporated by reference. These methods have matured over the last few years resulting in commercial products such as DNA sorting systems. These systems can be used to handle fluids on sub-micron scales using features and channels that can be applied to sub-micron dimensions.

[0093] To control single growing filaments, the length scales required must be closer to the characteristic of the filament, approximately 50 nm. Confinement in tubes rapidly allows extension of the filament and the narrow confines of such a channel rapidly increase the shear rates around the filament. However these narrow channel sizes are currently difficult to manufacture and use. A solution is described by a preferred embodiment of the present invention and uses a form of flow focussing illustrated in FIGS. 14A-14C which provides a schematic of the flow focussing concept. At the length scales discussed herein flow is almost always laminar. This means that in fact mixing is very difficult to encourage in such flow regimes. In reality then the mixing process is



controlled by diffusion processes. Consequently if two jets impinge on each other at an angle they do not mix directly but must mix by diffusion across the interface. If one of these jets contains the un-polymerized collagen (or other species) discussed hereinbefore, and other jet contains the polymerizing agent then there is a finite time before enough diffusion occurs to allow mixing.

[0094] If the polymerizing jet has a higher volume flow rate and both jets enter the same size channel, then the amount of the channel used by each fluid “species” is proportional to the incoming flow rate. Consequently this behavior allows the fluids to control the active zone in the flow field, both through constriction of the width as shown in **FIG. 14B**, related to the relative flow rates (this is the flow focusing described hereinbefore), and through diffusion related to fluid concentrations.

[0095] The procedure outlined with respect to **FIGS. 14A-14C** allow a confined area to be generated in a flow field that can be arranged to have the correct solution conditions for polymerization. In addition other fluids can be added to influence the filament diameter and, if necessary, stop polymerization. If the growing polymer is advanced such that the growing tip is always in this critical region, the polymer can be extruded indefinitely. This approach allows the nanofabrication of a single polymer.

[0096] **FIG. 15** illustrates another preferred system to manufacture layered aligned polymer structures in accordance with a preferred embodiment of the present invention. This preferred embodiment utilizes the recognition that if an array of channels such as described in **FIGS. 14A-14C** is manufactured an array of controlled polymers can be generated. In **FIG. 15**, initially the collagen is polymerized against a fixed wall **384** to ensure a dangling collagen chain is present in the nanoloom **386**. As the collagen filament grows this nanoloom **386** advances relative to the fixed wall, thus extruding a single collagen filament as it advances. An array of these filaments allow construction of a single layer of aligned collagen. It may also be possible to produce woven materials in this manner.

[0097] **FIGS. 16A and 16B** illustrate a block diagram **420** and a schematic diagram **490**, respectively, of a preferred embodiment system to manufacture layered, aligned polymer structures in accordance with the present invention. The apparatus **432** used to generate layered, aligned polymer structures has a plurality of input parameters **440** that can be modulated using a processor **480**. The input parameters, include, without limitation, volume flow rate of monomer, temperature of monomer, monomer solution concentration, concentrations of monomer solution additives, substrate temperature, rotational velocity of substrate, inlet tube temperature, relative humidity of environment, and temperature of air. It should be noted that all parameters can vary with time. A plurality of output parameters **460** are also monitored and modulated by the processor **480**. The output parameters include, without limitation, layer thickness, orientation of polymerizing layer, and radius of polymerized layer. The system includes a plurality of sensing elements and monitoring elements that enables the processor **480** to process, control and monitor different parameters. The processor through an input/output interface **442** interfaxes with a pump to monitor and/or control the volume flow rate of the monomer, the temperature of the monomer, the concentra-

tion of the monomer solution and the concentration of any additives to the solution. Further, the solution environment, for example, the temperature of the solution is monitored using the interface **424**. The substrate environment, for example, the relative humidity and the temperature can be monitored and modulated using an interface **426**. The substrate conditions are monitored such as, for example, substrate temperature and the rotational velocity of the substrate. The parameters associated with the layers of aligned polymers, for example, layer thickness, orientation of polymerizing and radius of polymerized layers are also monitored using an interface **428**. The processor **480** can have an integrated display device or provide data to another display device and/or processor that is not co-located with the apparatus **432**. Post-processing of the polymer structure as described with respect to the method illustrated in **FIG. 8B** can be controlled by the processor **480**. It should be noted that the device **432** can comprise a distribution network for the polymer solution that includes a plurality of nozzles that can be rotated and deposit the polymer/monomer solution onto a substrate that can be stationary. The shear flow is generated by a relative motion and/or velocity between the distribution system and the substrate.

[0098] The system **420** may also include a microprocessor and a memory device that stores display data. The microprocessor may include an operating system, as well as application and communication software to implement the functions with respect to controlling device **432** operation. The operating system for the system of the present invention includes a processing system with at least one high speed processing unit and a memory system. In accordance with the practice of persons skilled in the art of computer programming, the present invention has been described wherein with reference to acts and symbolic representations of operations or instructions that are performed by the processing system. Such acts, operations and instructions are also referred to sometimes as being computer executed or processing unit executed.

[0099] It will be appreciated that the acts and symbolically represented operations or instructions include the manipulation of electrical signals by the processing unit. An electrical system with data bits causes a resulting transformation or reduction of the electrical signal representation, and the maintenance of data bits at a memory location in the memory system to thereby reconfigure or otherwise alter the processing unit's operation, as well as other processing of signals. The memory locations where data bits are maintained are physical locations that have particular electrical, magnetic, optical, or organic properties corresponding to the data bits.

[0100] The data bits may also be maintained on a computer readable medium including magnetic disks, optical disks, organic disks, and any other volatile or non-volatile mass storage system readable by the processing unit. The computer readable medium includes cooperating or interconnected computer readable media, which exist exclusively on the processing system or is distributed among multiple interconnected processing systems that may be local or remote to the processing system.

[0101] It should be understood that the programs, processes, methods and systems described herein are not related or limited to any particular type of computer or network



system (hardware or software), unless indicated otherwise. Various types of general purpose or specialized computer systems may be used with or performed operations in accordance with the teachings described herein.

[0102] In view of the wide variety of embodiments to which the principles of the present invention can be applied, it should be understood that the illustrated embodiments are exemplary only, and should not be taken as limiting the scope of the present invention. For example, the steps of the flow diagrams may be taken in sequences other than those described, and more or fewer elements may be used in the block diagrams. While various elements of the preferred embodiments have been described as being implemented in software, other embodiments in hardware or firmware implementations may alternatively be used, and vice-versa.

[0103] It will be apparent to those of ordinary skill in the art that methods involved in layered aligned polymer structures and methods of making same may be embodied in a computer program product that includes a computer usable medium. For example, such a computer usable medium can include a readable memory device, such as, a hard drive device, a CD-ROM, a DVD-ROM, or a computer diskette, having computer readable program code segments stored thereon. The computer readable medium can also include a communications or transmission medium, such as, a bus or a communications link, either optical, wired, or wireless having program code segments carried thereon as digital or analog data signals.

[0104] The systems and methods of the present invention can be used in a plurality of applications. For example, single layers of aligned collagen can be manufactured which can be used as a test bed for assessing effects of aligned collagen matrices on cellular behavior in connective tissue fibroblasts, in epithelial and in endothelia. Further they can generate scaffolding to promote adhesion and proliferation of cell populations in corneal epithelium and/or corneal endothelium.

[0105] Further, these embodiments of the present invention can be used to generate multiple layers of aligned collagen which can be used as a test bed for examination of the behavior of cells in anisotropic extracellular matrix including cartilage fibroblasts, corneal keratocytes, and tendon fibroblasts. They can generate connective tissue scaffolding for repair and promotion of cellular adhesion and proliferation that can be used in, for example, but not limited to, artificial corneal replacement, corneal repair material, transfer scaffolding for epithelial transplants, transfer scaffolding for endothelial transplants, tendon replacement or repair, ligament replacement or repair and annulus fibrosis replacement or repair. In addition, biocompatible strengtheners for natural and/or artificial materials for use in tissue repair or replacement can be generated using the embodiments of the present invention. These can be rolled up to perform annulus fibrosis function while embedded in a poly(vinyl alcohol) matrix, or be used as strengtheners for corneas made from artificial materials, for example, poly HEMA and/or be a resorbable anchor for tissue repair.

[0106] Further applications of the preferred embodiments include generating multiple layers of other aligned biopolymers that can be used as biocompatible scaffolding, for example, "braids" to strengthen stents, or other implants. They can also be used as guidance for nerves, for example,

nerve cuffs. Other applications having layers where alignment is not important that can benefit from the layered polymer structures of the present invention include support for species embedded in multiple layers such as cells and drug release applications.

[0107] In addition, applications that include non-biopolymers may benefit from the deposition of layered, aligned polymer structures, for example, generation of optical storage media.

[0108] The claims should not be read as limited to the described order or elements unless stated to that effect. Therefore, all embodiments that come within the scope and spirit of the following claims and equivalents thereto are claimed as the invention.

What is claimed:

1. A method of producing a thin film of oriented polymer structures, comprising the steps of:

controlling the flow of a polymer solution into a device having a substrate, the device generating a shear flow to induce alignment of polymer structures;

controlling a plurality of parameters during polymerization; and

generating a layer of oriented polymer.

2. The method of claim 1, wherein the polymer is a biopolymer such as collagen.

3. The method of claim 2 wherein the method further comprises the steps of:

mixing a solution of collagen with phosphate buffered saline solution;

adjusting the pH of the solution to  $7.4 \pm 0.2$ ;

applying the solution at a controlled rate onto a substrate which generates a shearing flow;

causing preferential orientation of the gelling collagen fibrils; and

generating successive layers, each layer representing a portion of the component.

4. The method of claim 3, wherein the layers have a uniform, controllable thickness ranging from sub-micron to 100 microns.

5. The method of claim 2 wherein the collagen is either type I or type V collagen.

6. The method of claim 1, wherein the principle orientation of the aligned fibrils in a single layer alternates in each successive layer.

7. The method of claim 3, wherein the angle between the principle orientation of each layer is approximately in the range of 0 to 180 degrees.

8. The method of claim 1, wherein the solution properties, including temperature, concentration and surfactant composition are controlled.

9. The method of claim 1, wherein the shear flow is generated by spinning the substrate at a controlled rate in a range of approximately 50 to 50,000 Hz.

10. The method of claim 1, wherein the shear flow is generated by drawing the substrate out of the collagen solution.

11. The method of claim 1 where the atmosphere is controlled to a specified temperature and relative humidity.



12. The method of claim 1, wherein the solution conditions are modulated to control the polymerization kinetics and morphology.

13. The method of claim 1, wherein the use of shear flow aligns polymerizing polymer chains in a layer such that polymers are predominantly aligned parallel to each other.

14. The method of claim 1, further comprising angular rotation of the substrate providing shear flow and confinement to orient the polymerized polymers.

15. The method of claim 14, wherein an input flow rate, solution viscosity and substrate rotational velocity combine to produce a shear rate between  $1 \text{ s}^{-1}$  and  $500,000 \text{ s}^{-1}$ .

16. The method of claim 14, wherein an input flow rate, solution viscosity and substrate rotational velocity combine to produce a shear rate preferably between the range  $10 \text{ s}^{-1}$  and  $10,000 \text{ s}^{-1}$ .

17. The method of claim 1, wherein a second aligned polymer layer is produced on top of a first polymer layer by repeating the method.

18. The method of claim 17, wherein a rotating surface is moved to change a deposition direction on the substrate.

19. The method of claim 17, wherein the second layer comprises a different material than the first layer.

20. The method of claim 17, wherein the second layer is a promoter of at least one of cell adhesion and proliferation.

21. The method of claim 17, wherein an additional layer comprising collagen type IV and cell adhesion proteins such as, laminin, fibronectin and/or any integrin is receptor is deposited between aligned polymer layers.

22. The method of claim 15, wherein a construct of a plurality aligned layers is used as a replacement or repair of the human stroma.

23. The method of claim 17, wherein the alignment of the polymers in a plane of second and subsequent layers is predominantly parallel with the alignment of the polymers in a plane of the layer in the first layers.

24. The method of claim 17, wherein the alignment of the polymers in a plane of a layer in a second and subsequent layers is predominantly orthogonal with the alignment of the polymers in the plane of a layer in the first layers.

25. The method of claim 17, wherein the alignment of the polymers in a plane of a layer in the second and subsequent layers does not have a defined angular relationship to the alignment of the polymers in a plane of a layer in the first layers.

26. The method of claim 1, wherein the end-associating biopolymer monomer is included in an aqueous solution.

27. The method of claim 26, wherein the biopolymer monomer is collagen.

28. The method of claim 26, wherein the biopolymer monomer is extracted or recombinant collagen.

29. The method of claim 26, wherein the collagen is Type I as the polymerizing medium.

30. The method of claim 26, wherein the collagen is Type I and Type V to assist in creation of heterotypic fibrils.

31. The method of claim 1, wherein the polymer solution is injected at a constant rate.

32. The method of claim 1, wherein the polymer solution is injected with a flow rate between 0.05-1000 ml/min.

33. The method of claim 1, wherein the material is preferably injected with a flow rate between of 0.1-100.0 ml/min.

34. The method of claim 1 further comprising a post-processing step including spinning off any effluent material from the substrate.

35. The method of claim 1, further comprising the substrate and a substrate holder being modified to minimize waste of polymerization solution.

36. The method of claim 1, wherein the solution is preferably composed of 8:1:1 ratio of collagen type I (3 mg/ml) to 10×PBS to 0.1 M NaOH with pH adjusted to 7.4.

37. The method of claim 1, wherein the viscosity of the solution is between 1 mPa·s and 100 Pa·s.

38. The method of claim 1, where the viscosity solution is preferably between 5 mPa·s and 1 Pa·s.

39. The method of claim 1 wherein the substrate comprises one of a flat surface or curved surface.

40. The method of claim 39, wherein the flat surface is optically smooth.

41. The method of claim 39, wherein preferably the flat surface has a surface roughness of approximately less than 10 microns.

42. The method of claim 39, wherein the substrate is a borosilicate glass disk.

43. The method of claim 1, wherein a surface of the substrate is treated to control adhesion of the polymer and wetting of the solution.

44. The method of claim 1, wherein a surface of the substrate is ultrasonicated in 10% micro90 (Brand) cleaner for a time duration.

45. The method of claim 1, wherein a surface of the substrate is plasma cleaned.

46. The method of claim 1, wherein a surface of the substrate is homogeneous.

47. The method of claim 1, wherein the substrate has a surface treatment that is heterogeneous.

48. The method of claim 1, wherein the substrate has a surface treatment that is patterned.

49. The method of claim 1, wherein a substrate is patterned to constrain the flow.

50. The method of claim 1, wherein a surface of the substrate and atmospheric conditions are modulated to control self-assembly.

51. The method of claim 50, wherein the atmospheric conditions include a temperature range of 30° C.-45° C. and humidity range of 80-100%.

52. The method of claim 51, wherein the preferred range is 35° C.-42° C. with 90-100%.

53. The method of claim 1, wherein a substrate of the rotation velocity is used to control layer thickness and final polymerized material morphology.

54. The method of claim 53, wherein a layer thickness is between 100 nm and 1 mm.

55. The method of claim 53, wherein layer thickness is preferably between 0.5  $\mu\text{m}$  and 100  $\mu\text{m}$ .

56. The method of claim 53, wherein the substrate rotational velocity is varied.

57. The method of claim 53, wherein the velocity is initially between 10 to 5,000 rpm.

58. The method of claim 53, wherein the velocity is preferably initially between 60 to 1,000 rpm.

59. The method of claim 53, wherein the velocity during polymerization is constant.

60. The method of claim 53, wherein the velocity during polymerization is varied.



**61.** The method of claim 53, wherein the velocity is in the range 100 to 50,000 rpm.

**62.** The method of claim 53, wherein the velocity is preferably in the range 500 to 10,000 rpm.

**63.** The method of claim 53, wherein the average velocity is in the range 100 to 50,000 rpm.

**64.** The method of claim 63, wherein the average velocity is preferably in the range 500 to 10,000 rpm.

**65.** The method of claim 1, wherein additives are injected with the polymer solution to control the polymerization process and final morphology of the layer.

**66.** The method of claim 65, wherein the additives are proteoglycans.

**67.** The method of claim 65, wherein the additives are at least one of chondroitin sulfate, dermatan sulfate and keratan sulfate proteoglycans.

**68.** The method of claim 65, wherein the proteoglycans are one of at least or a combination of decorin, lumican, biglycan, keatocatn or syndican.

**69.** The method of claim 65, wherein the percent (by weight) of added proteoglycans is between 0.25 and 50.0.

**70.** The method of claim 65, wherein the percent by weight of added proteoglycans is between 0.5 and 10.

**71.** The method of claim 1, wherein a network of channels is used to guide the growth of the polymerizing polymers.

**72.** The method of claim 71, wherein the growing polymer is attached to a fixed point and extruded from a channel as it polymerizes.

**73.** The method of claim 71, wherein the growing polymer is attached to a moving plate pulled through a channel where conditions conducive to polymerization are maintained.

**74.** The method of claim 71, wherein conditions outside the channels are not conducive to polymerization.

**75.** The method of claim 71, where in said channel is part of an array of identical channels.

**76.** The method of claim 71 wherein said channel is treated to prevent adhesion of polymerizing material.

**77.** The method of claim 71, wherein said channels are manufactured using any standard microfabrication process.

**78.** The method of claim 71, wherein said channels are obtained from a self-assembled three dimensional network.

**79.** A system to align polymerizing polymer chains in a layer such that polymers are predominantly aligned parallel to each other, comprising:

an apparatus to generate shear flow;

a plurality of sensors to monitor a plurality of parameters;  
and

a processor to modulate a plurality of control parameters.

\* \* \* \* \*