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**Eriksen et al.**

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(45) **Date of Patent:** **\*Dec. 26, 2023**

(54) **ELECTRICAL RECEPTACLE FAULT PROTECTION**

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*H02H 9/04* (2006.01)  
*H02H 11/00* (2006.01)  
*H01R 103/00* (2006.01)

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(52) **U.S. Cl.**  
CPC ..... *H02H 3/00* (2013.01); *H01R 13/7135*  
(2013.01); *H01R 24/78* (2013.01); *H02H*  
*9/042* (2013.01); *H02H 11/002* (2013.01);  
*H01R 2103/00* (2013.01)

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Woodbridge (CA)

(58) **Field of Classification Search**  
CPC ..... *H02H 3/00*; *H02H 9/042*; *H02H 11/002*;  
*H01R 13/6683*; *H01R 13/6666*; *H01R*  
*13/7135*; *H01R 24/78*; *H01R 27/006*;  
*H01R 2103/00*

(\*) Notice: Subject to any disclaimer, the term of this  
patent is extended or adjusted under 35  
U.S.C. 154(b) by 34 days.

USPC ..... 361/42  
See application file for complete search history.

This patent is subject to a terminal dis-  
claimer.

(56) **References Cited**

(21) Appl. No.: **18/157,241**

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(22) Filed: **Jan. 20, 2023**

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324/424  
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361/42

(65) **Prior Publication Data**

US 2023/0231373 A1 Jul. 20, 2023

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**Related U.S. Application Data**

*Primary Examiner* — Kevin J Comber

(63) Continuation of application No. 17/959,776, filed on  
Oct. 4, 2022, now Pat. No. 11,569,651, which is a  
continuation of application No. 16/886,345, filed on  
May 28, 2020, now Pat. No. 11,462,899, which is a  
continuation of application No. 15/274,469, filed on  
Sep. 23, 2016, now Pat. No. 10,673,225.

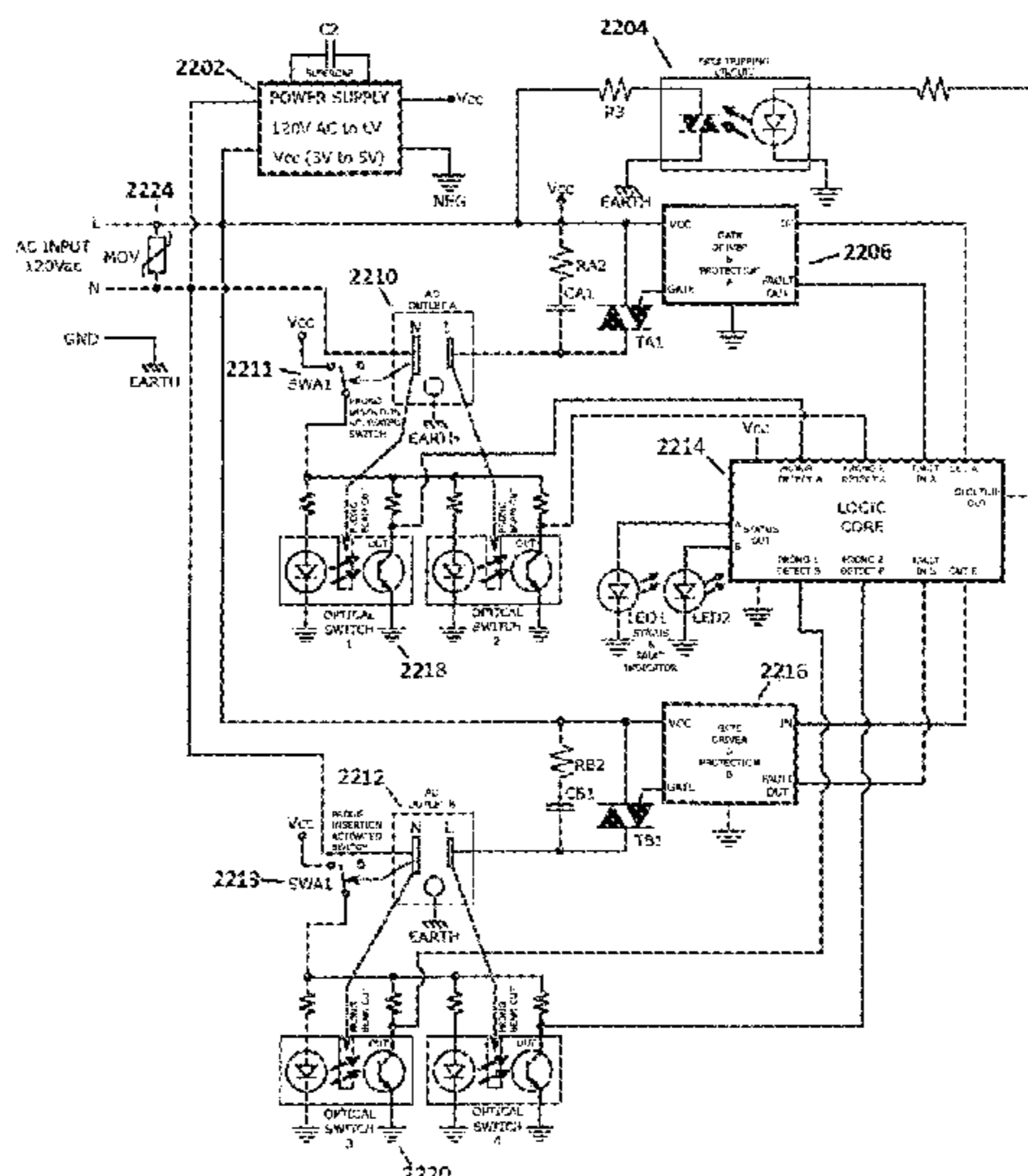
(57) **ABSTRACT**

An electrical receptacle contains a plug outlet that has a pair  
of contacts for electrical connection to respective hot and  
neutral power lines. A controlled switch, such as a TRIAC,  
is connected in series relationship between the outlet contact  
and the hot power line. Sensors in the receptacle outputs  
signals to a processor having an output coupled to the  
control terminal of the controlled switch. The processor  
outputs an activation signal or a deactivation signal to the  
controlled switch in response to received sensor signals that  
are indicative of conditions relative to the first and second  
contacts.

(60) Provisional application No. 62/377,962, filed on Aug.  
22, 2016, provisional application No. 62/366,910,  
filed on Jul. 26, 2016, provisional application No.  
62/222,904, filed on Sep. 24, 2015.

(51) **Int. Cl.**  
*H02H 3/00* (2006.01)  
*H01R 13/713* (2006.01)

**25 Claims, 41 Drawing Sheets**



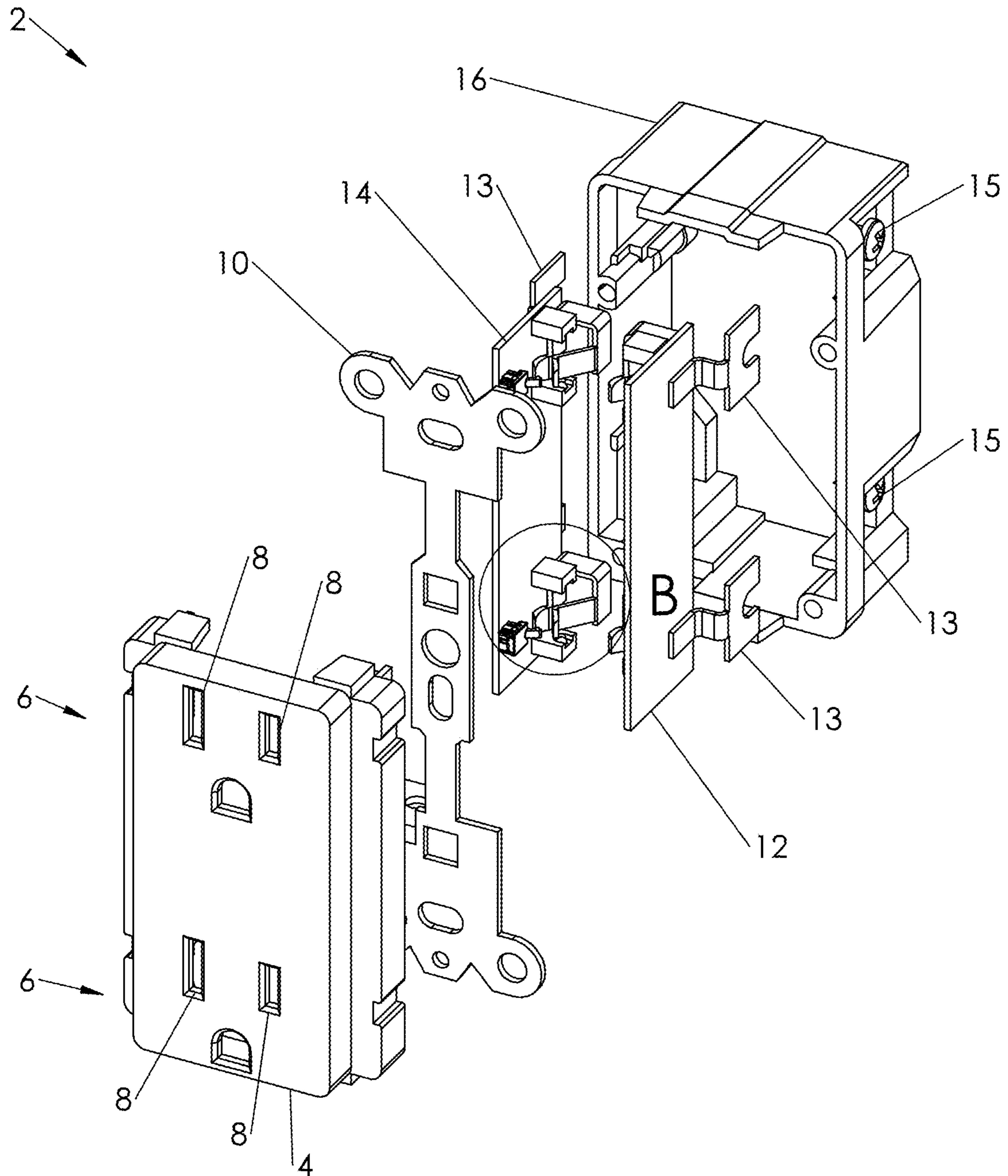


FIG. 1a

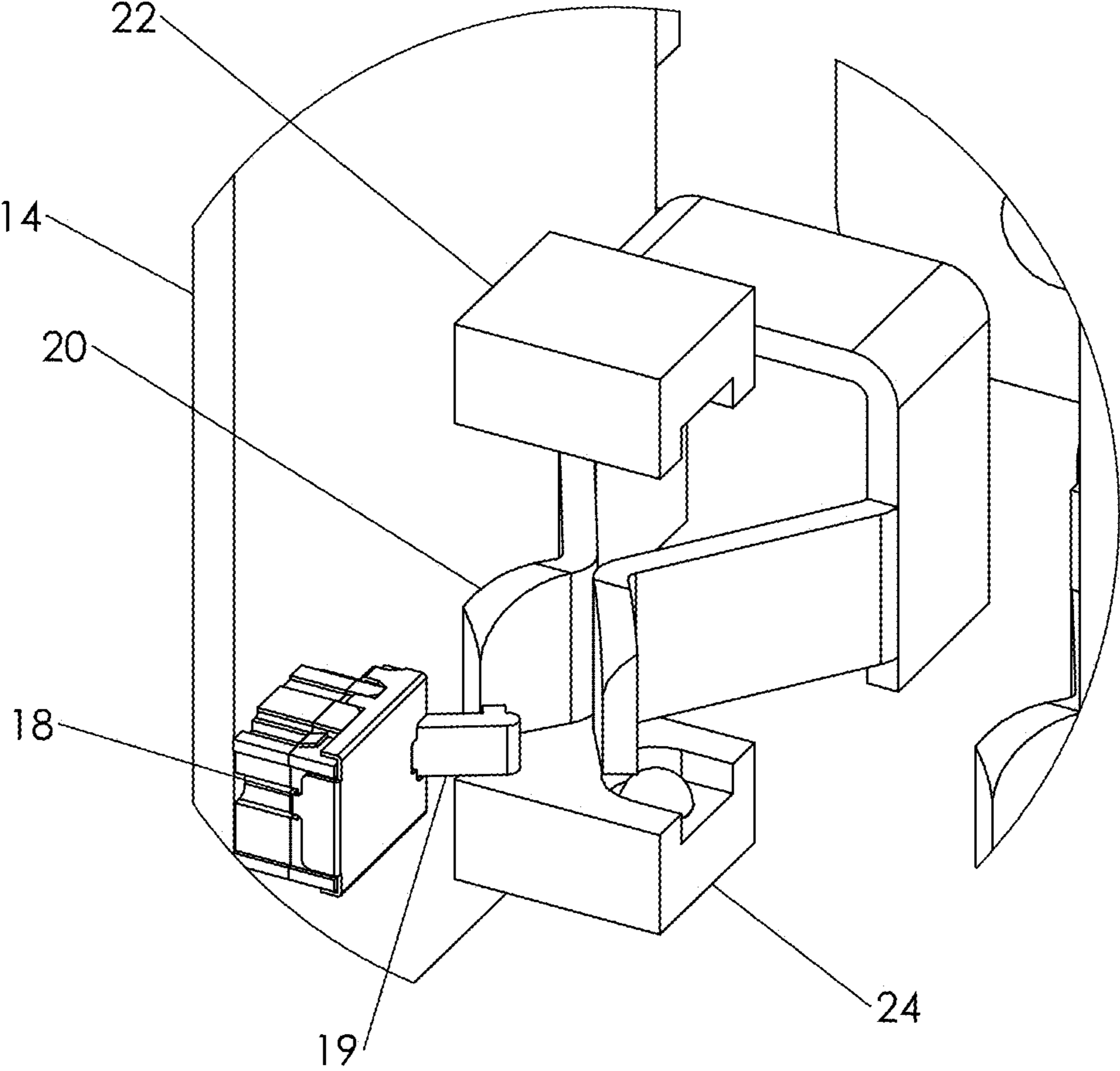


FIG. 1b

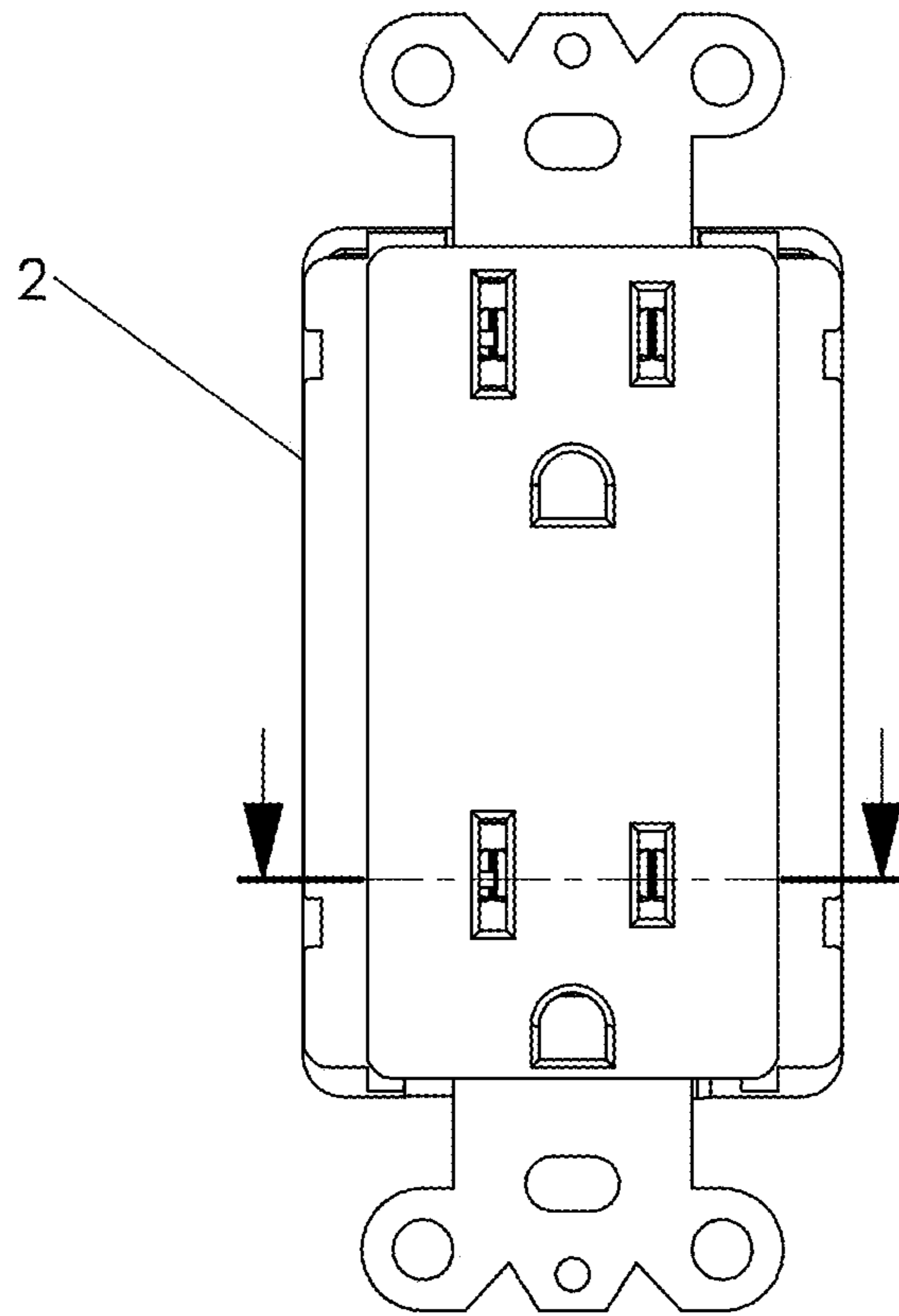


FIG. 1c

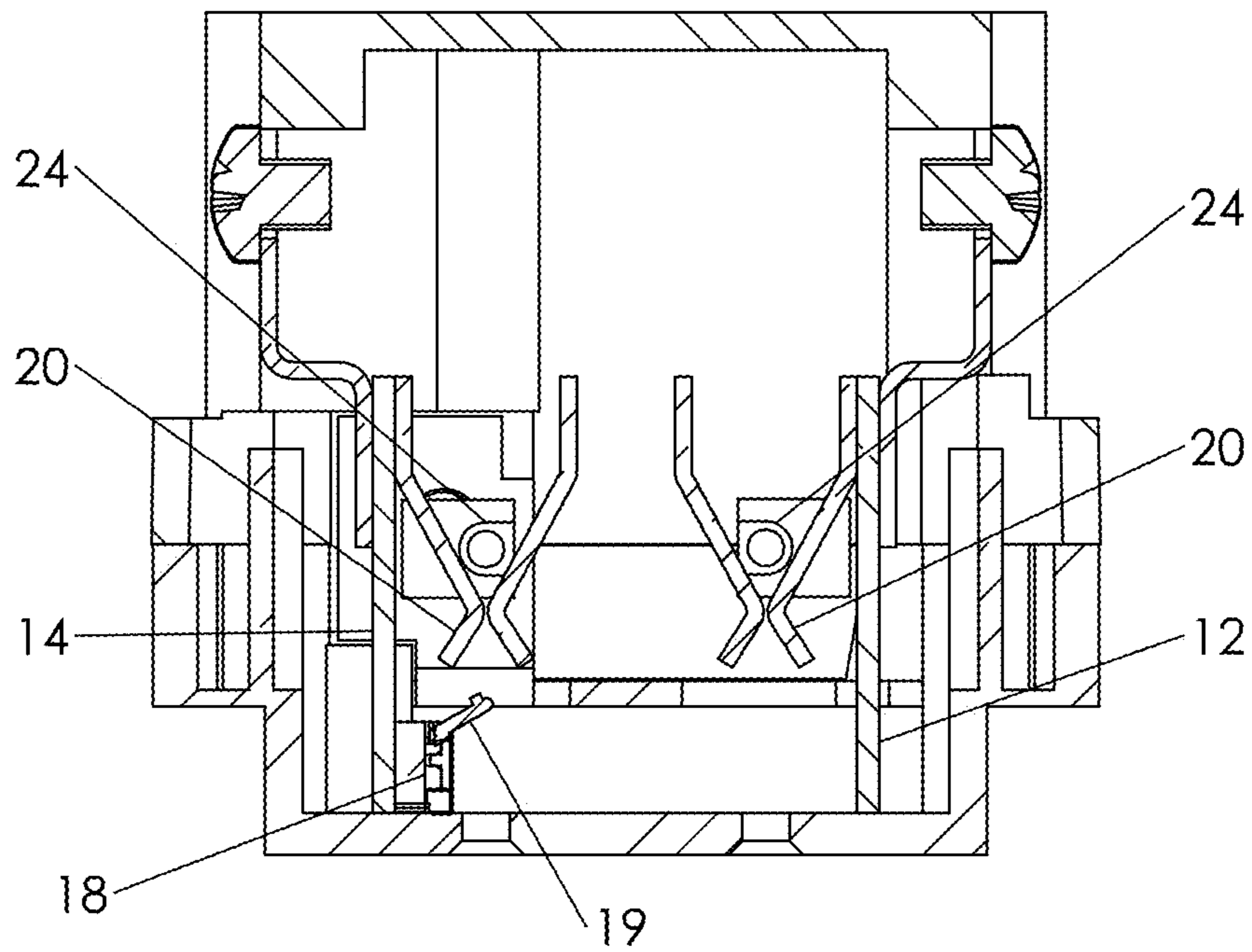


FIG. 1d

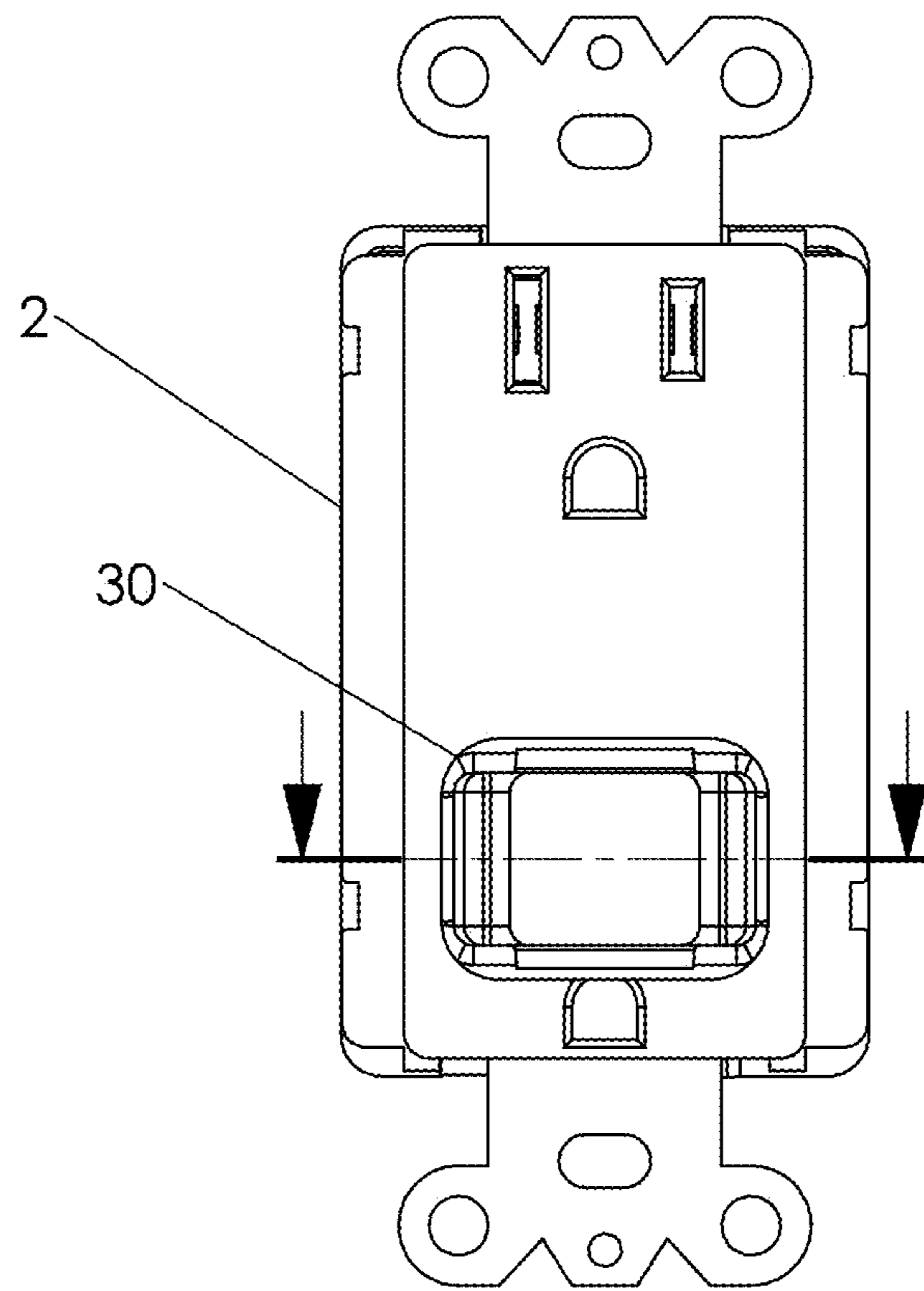


FIG. 1e

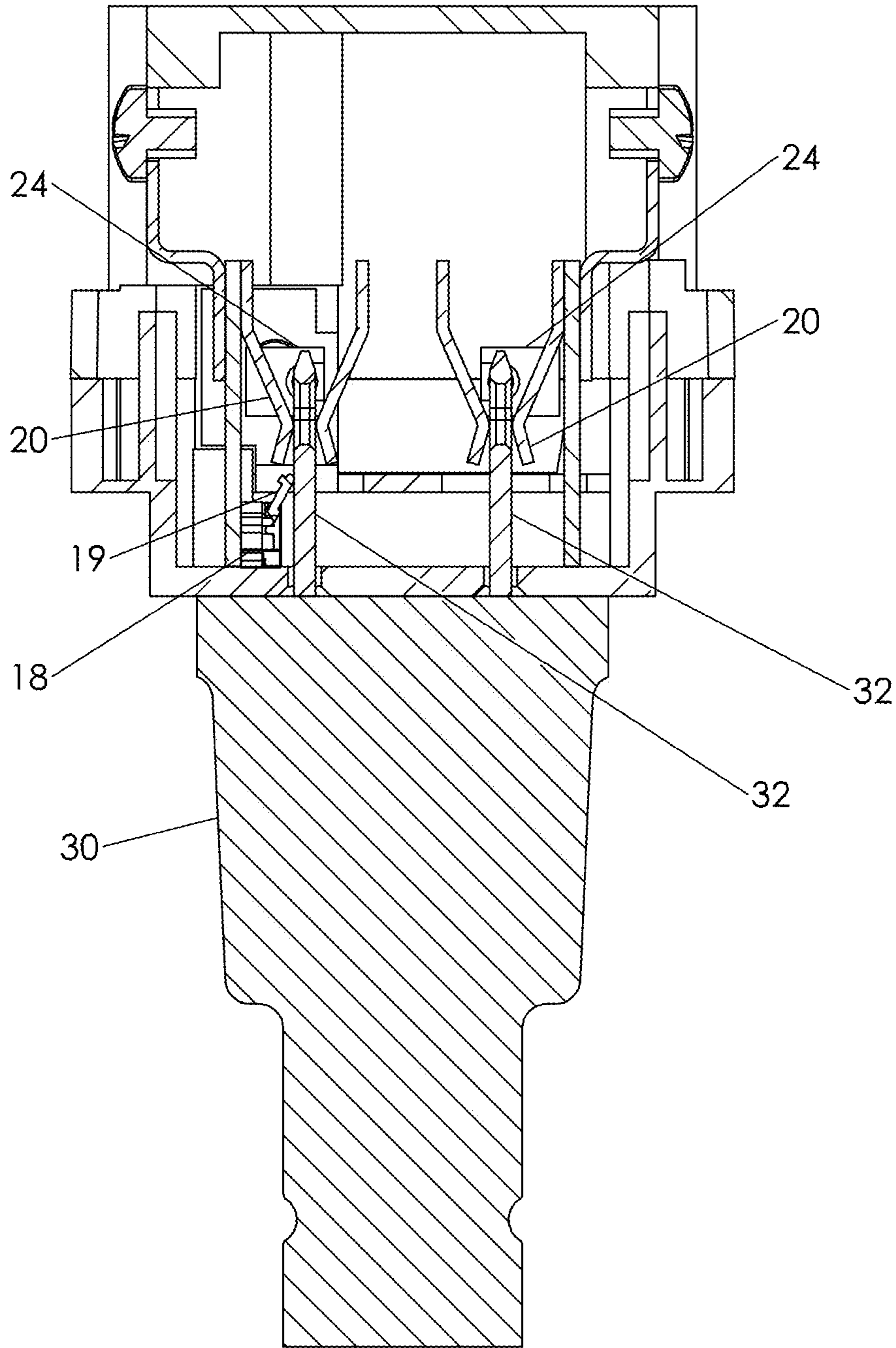


FIG. 1f

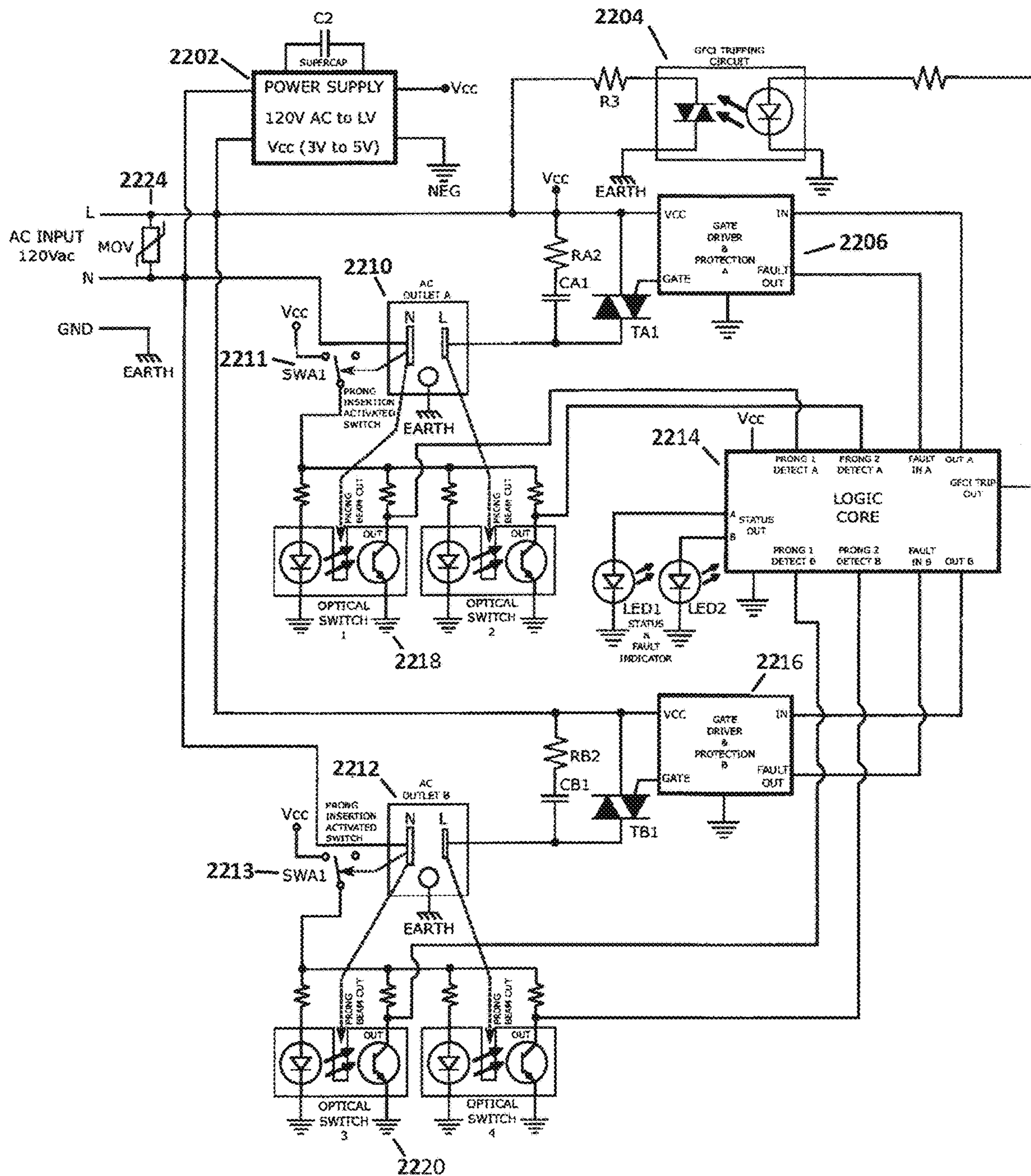


FIG. 2

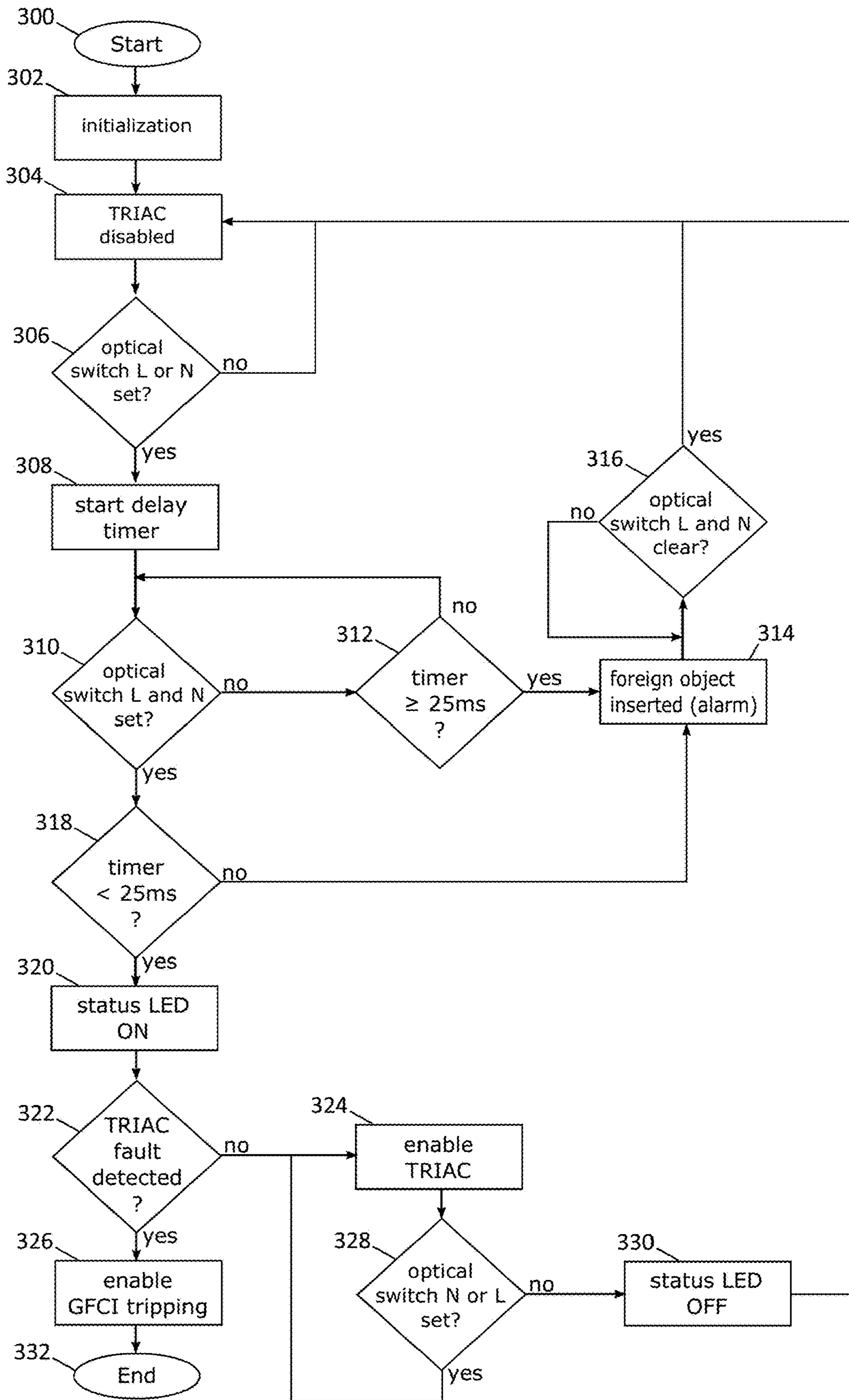


FIG. 3



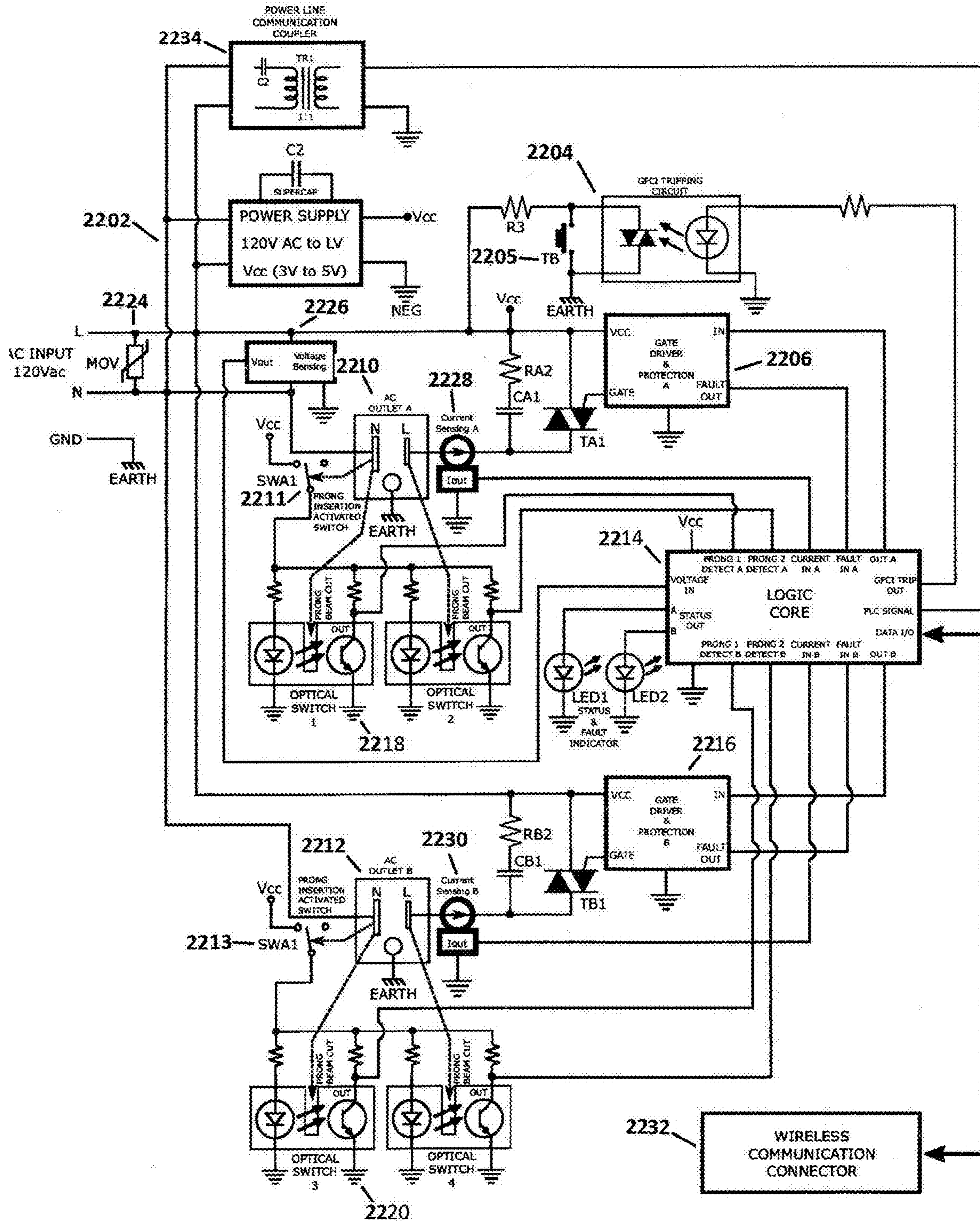


FIG. 4

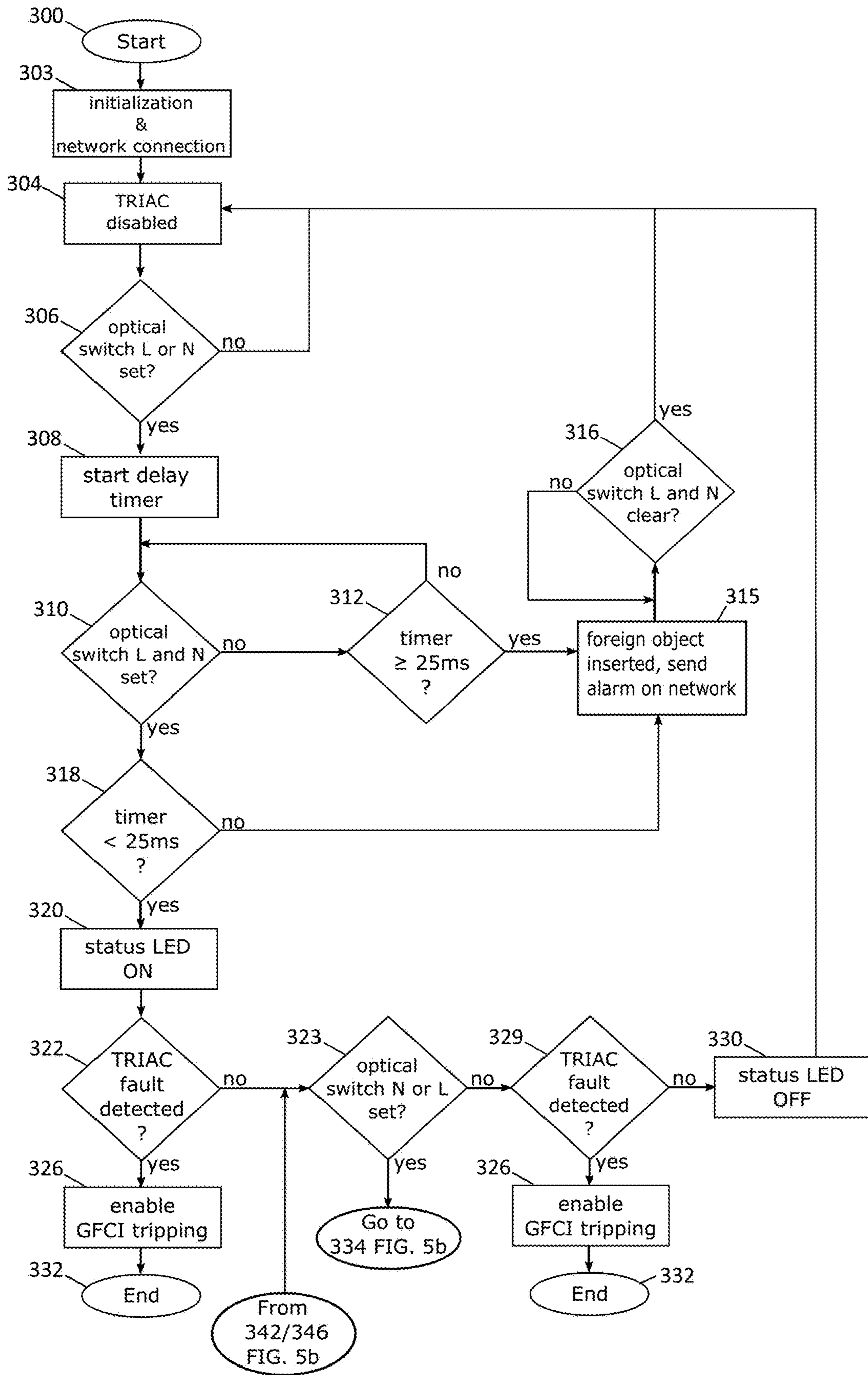


FIG. 5a

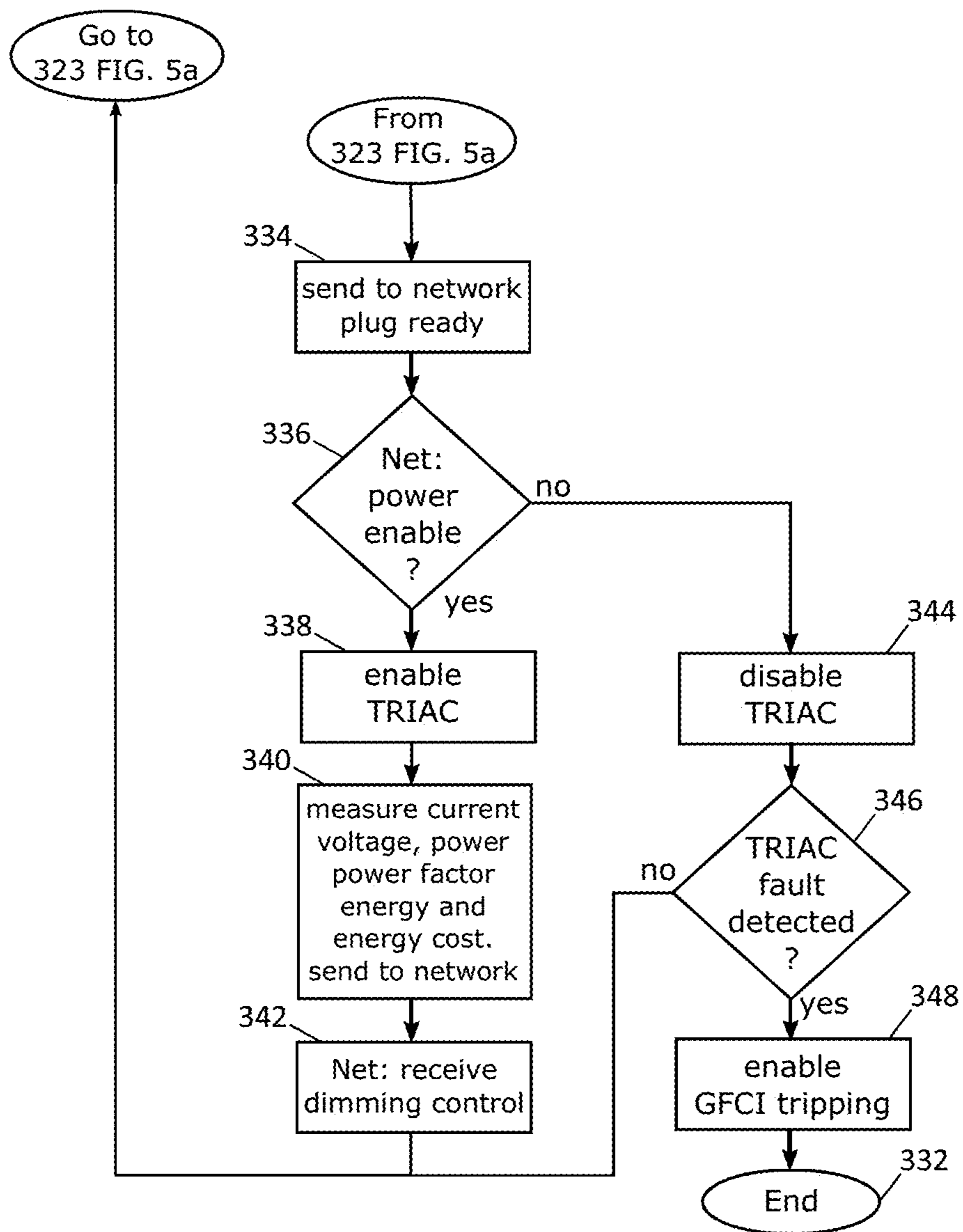
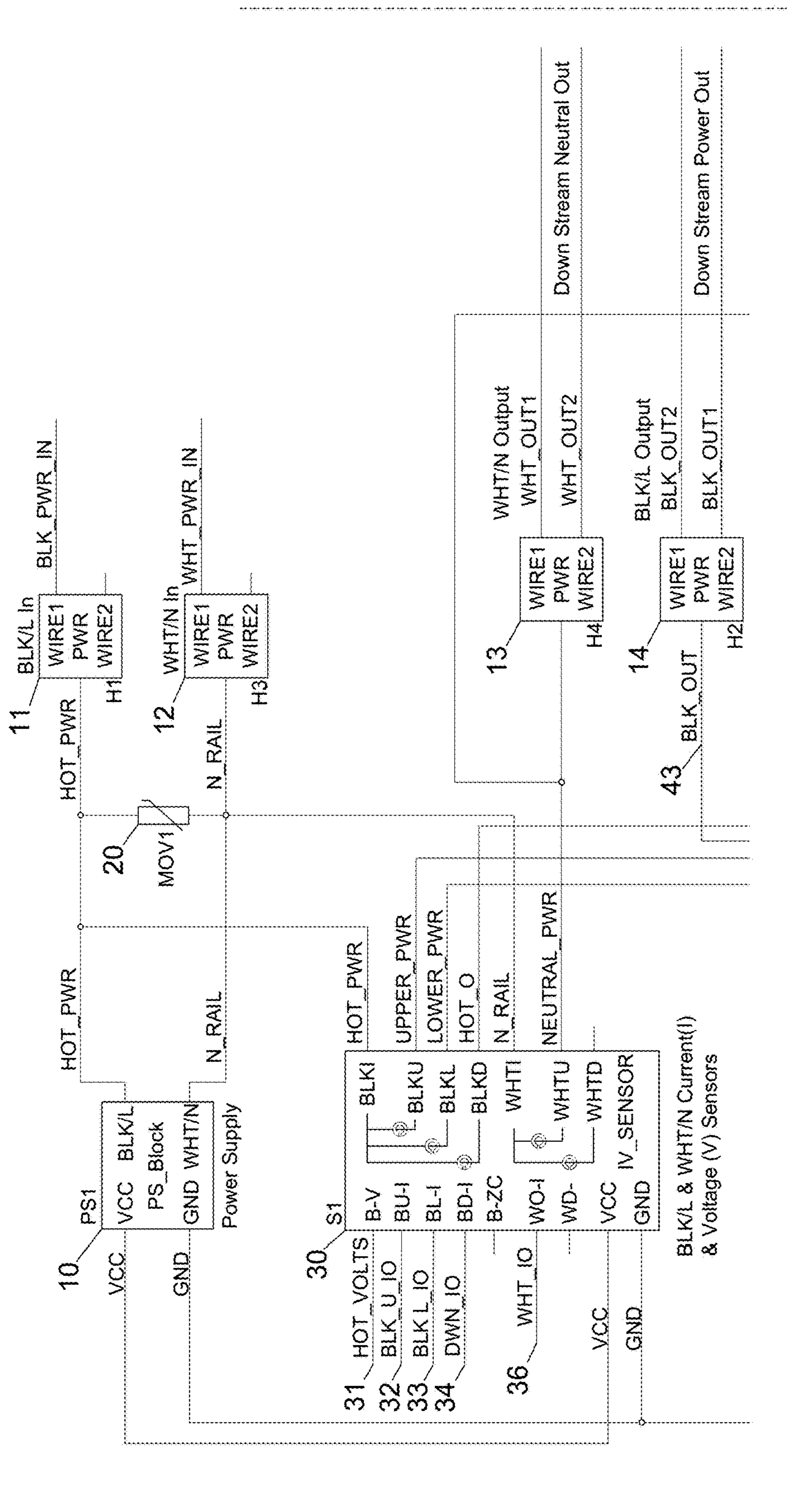


FIG. 5b



Continued on FIG. 6A-B

Fig. 6A-A

Continued on FIG. 6B

Continued on FIG. 6A-A

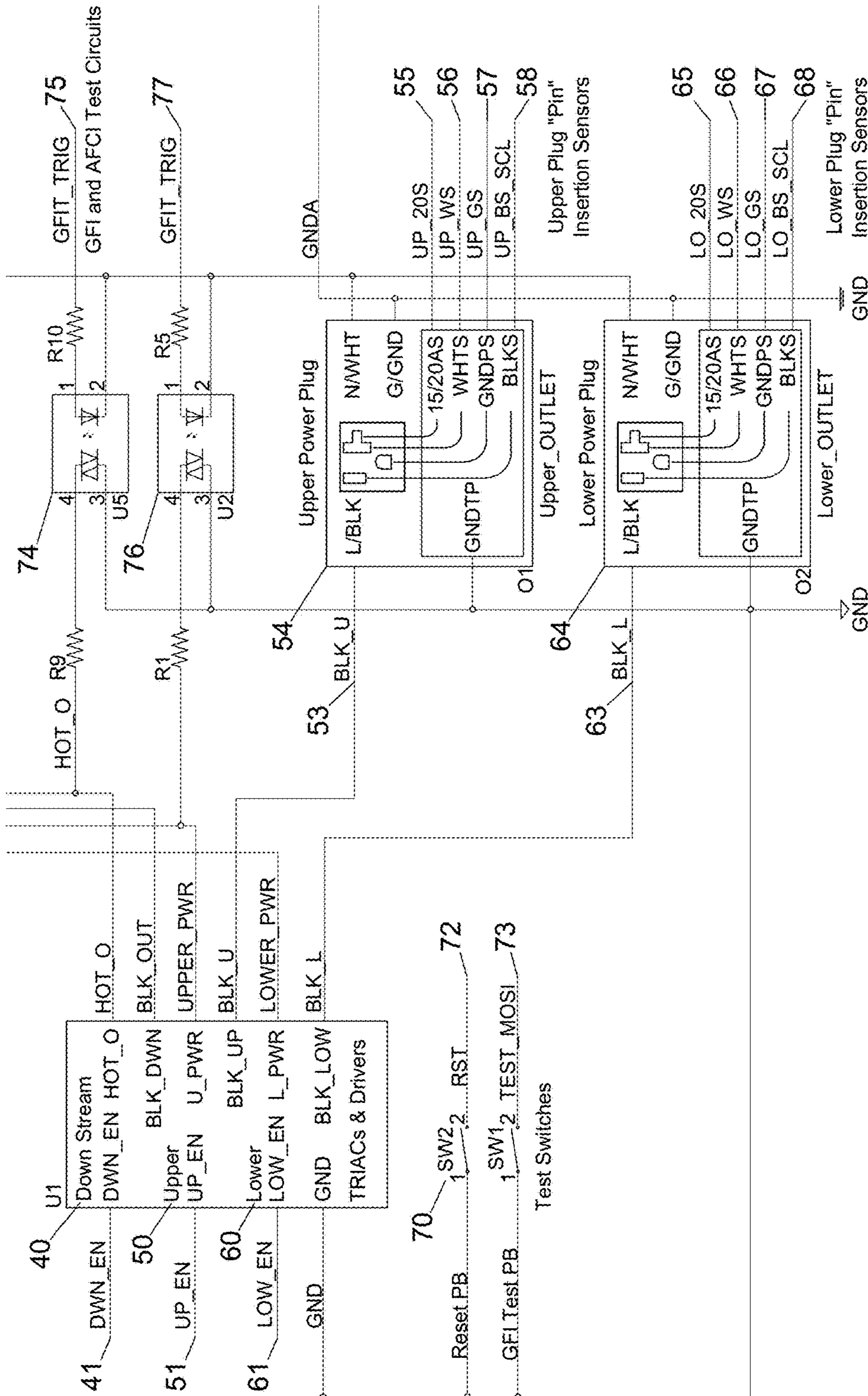
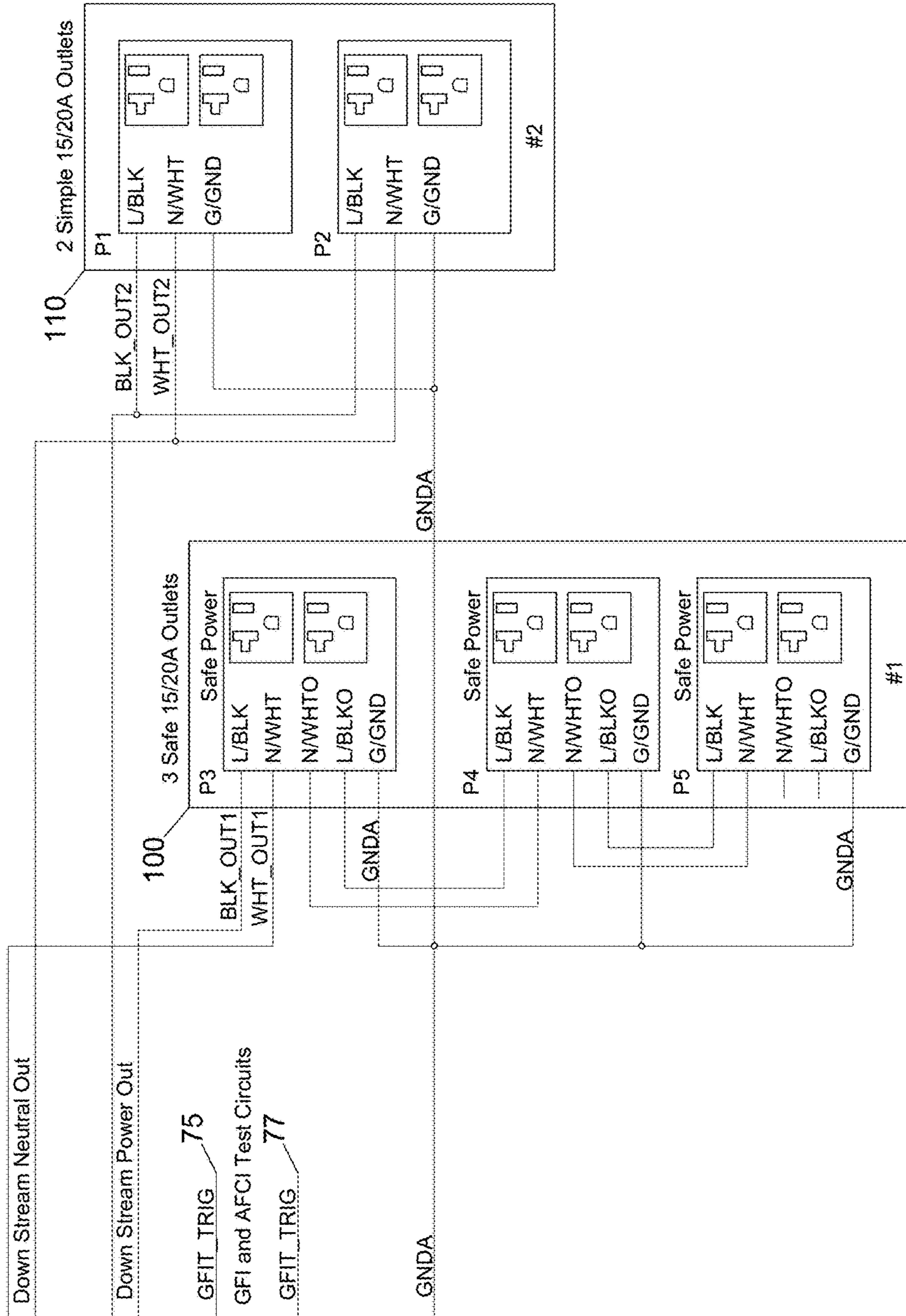


Fig. 6A-B

Continued on FIG. 6B



Continued on FIG. 6A-A, 6A-B

Fig. 6B

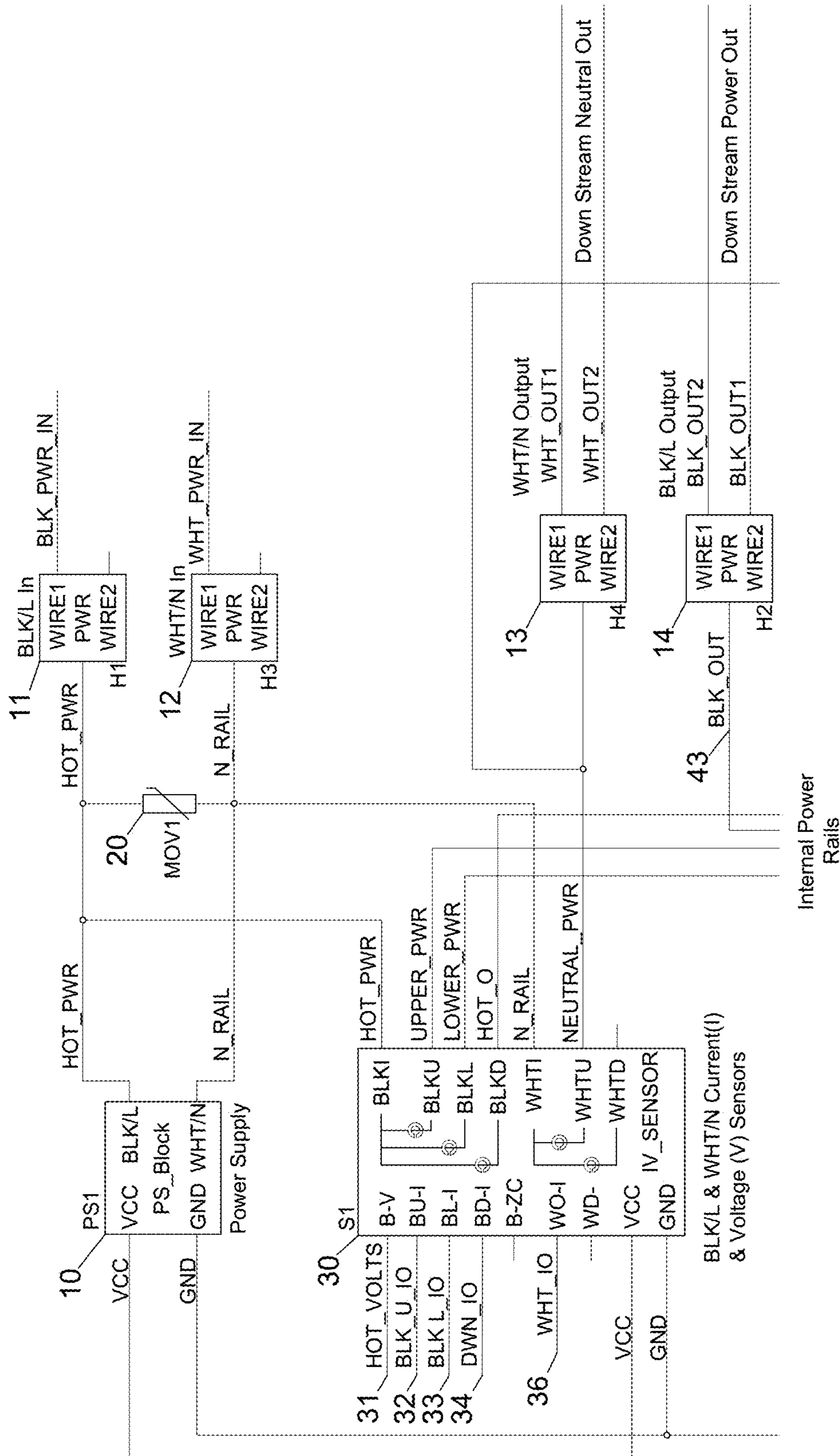


Fig. 7A

Continued on FIG. 7B-B

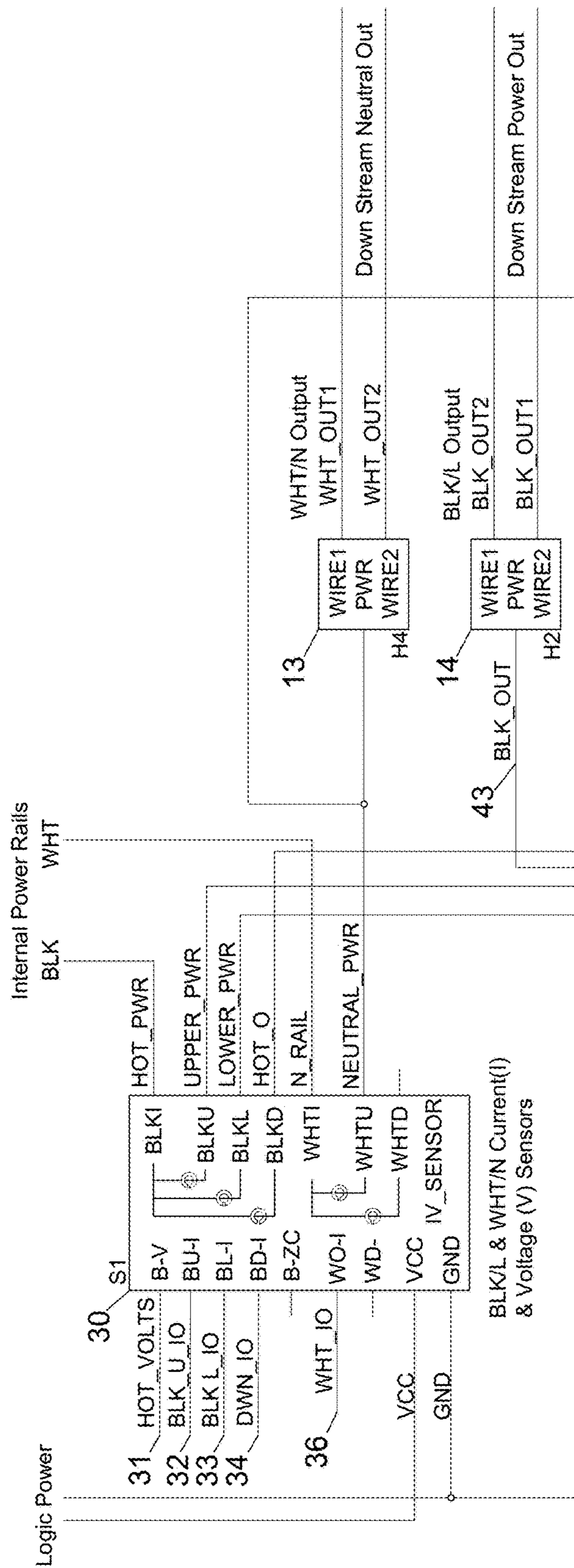


Fig. 7B-A



Continued on FIG. 7B-A

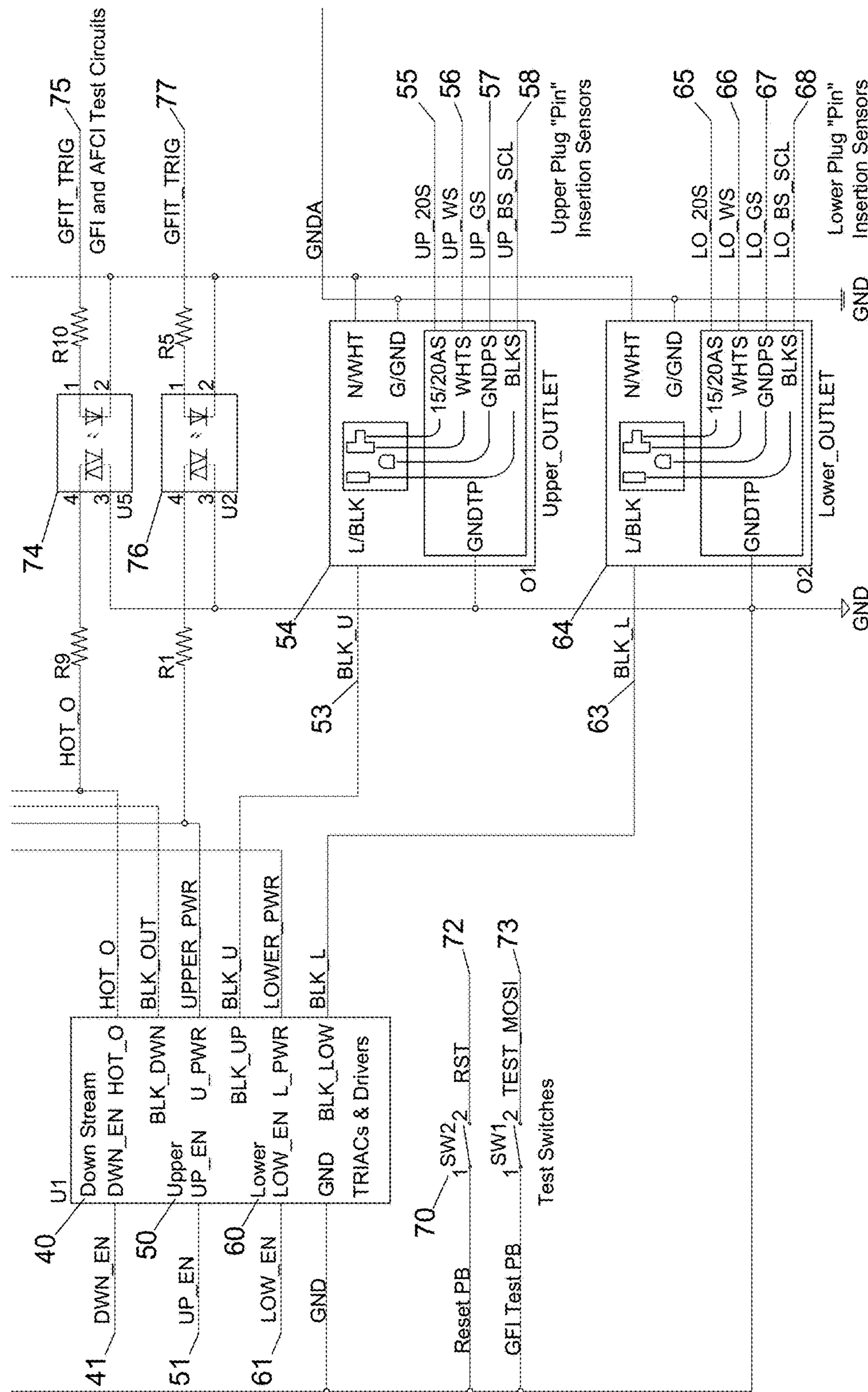


Fig. 7B-B

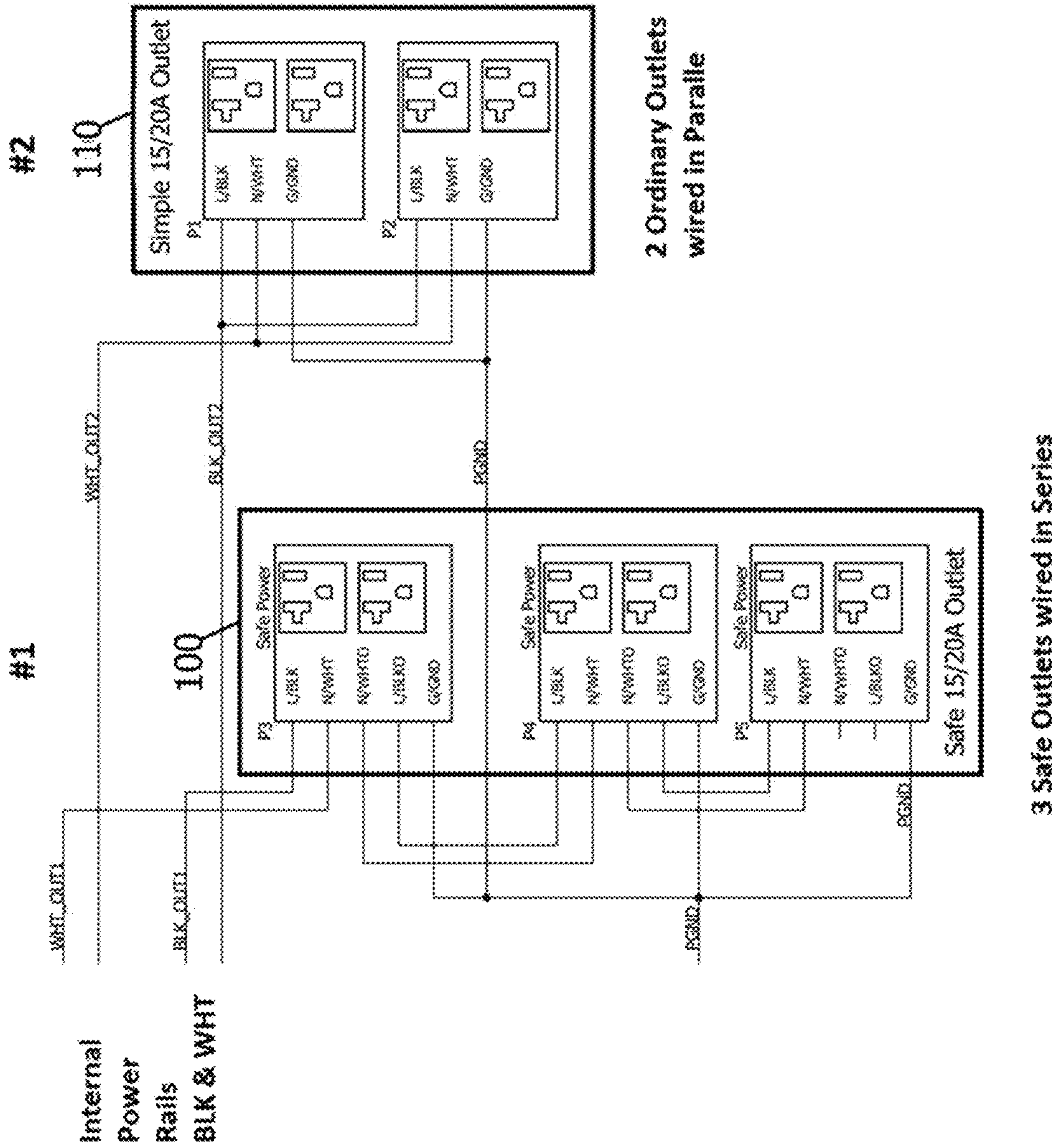


FIG. 7C

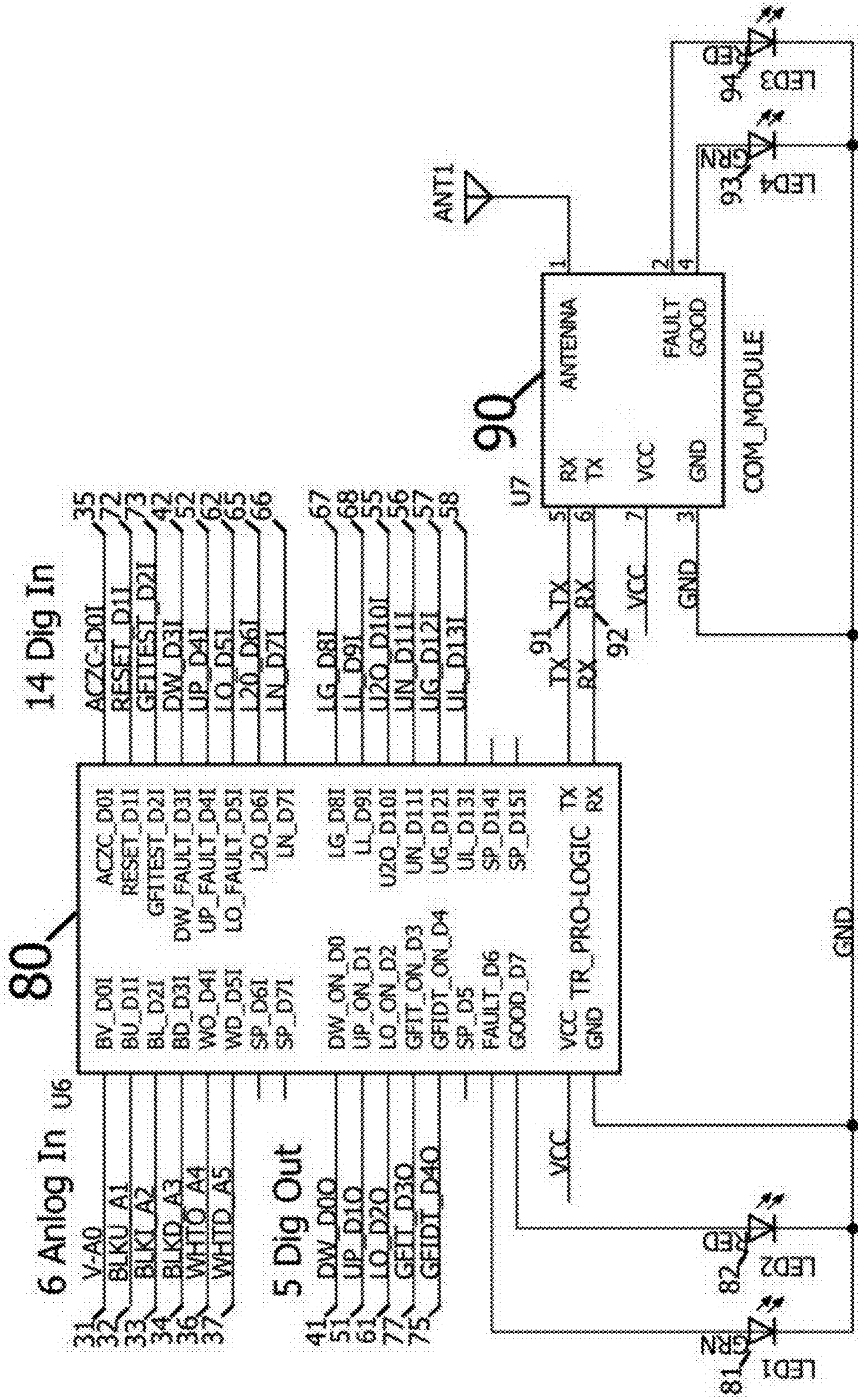


FIG. 8

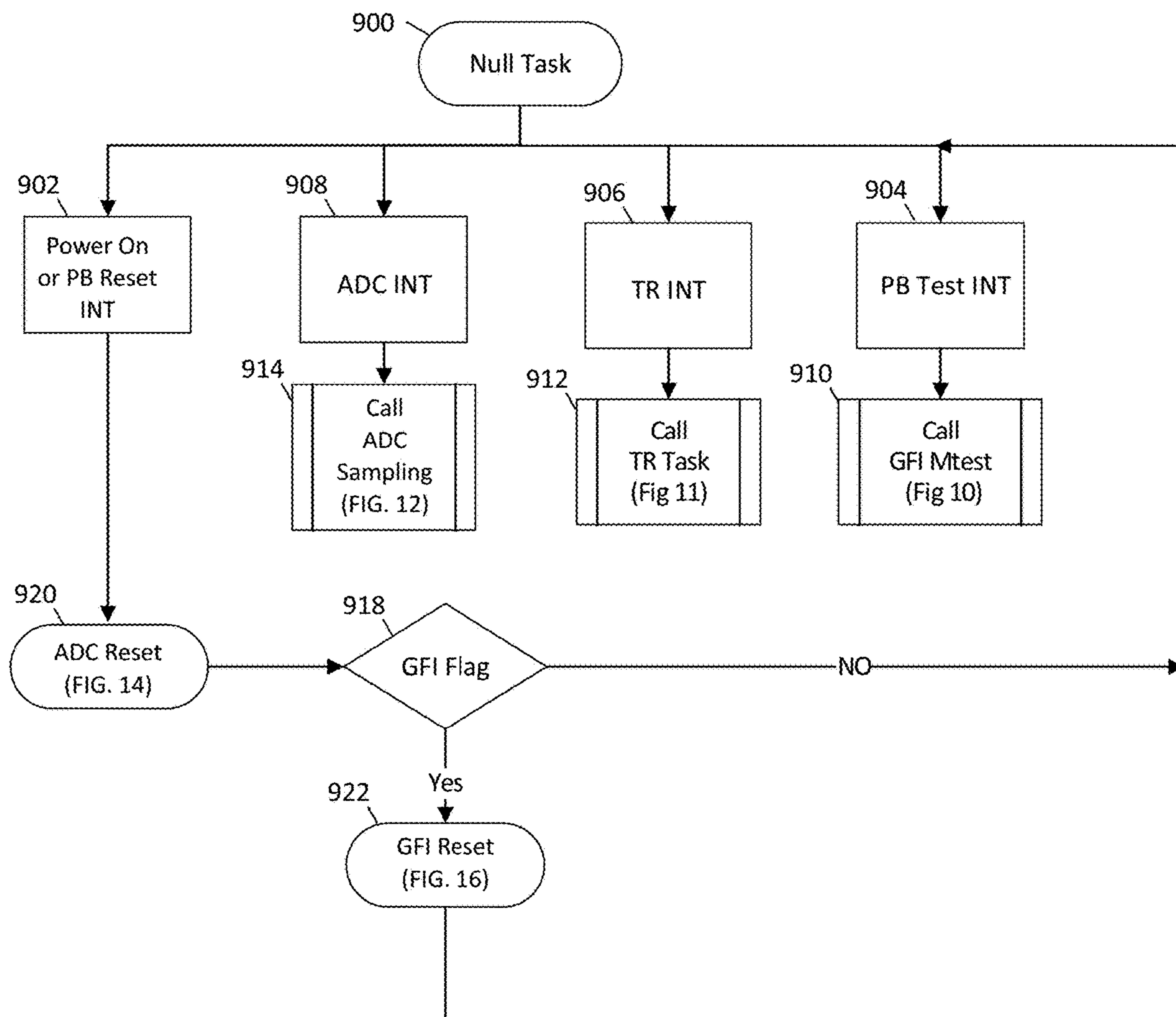


FIG. 9

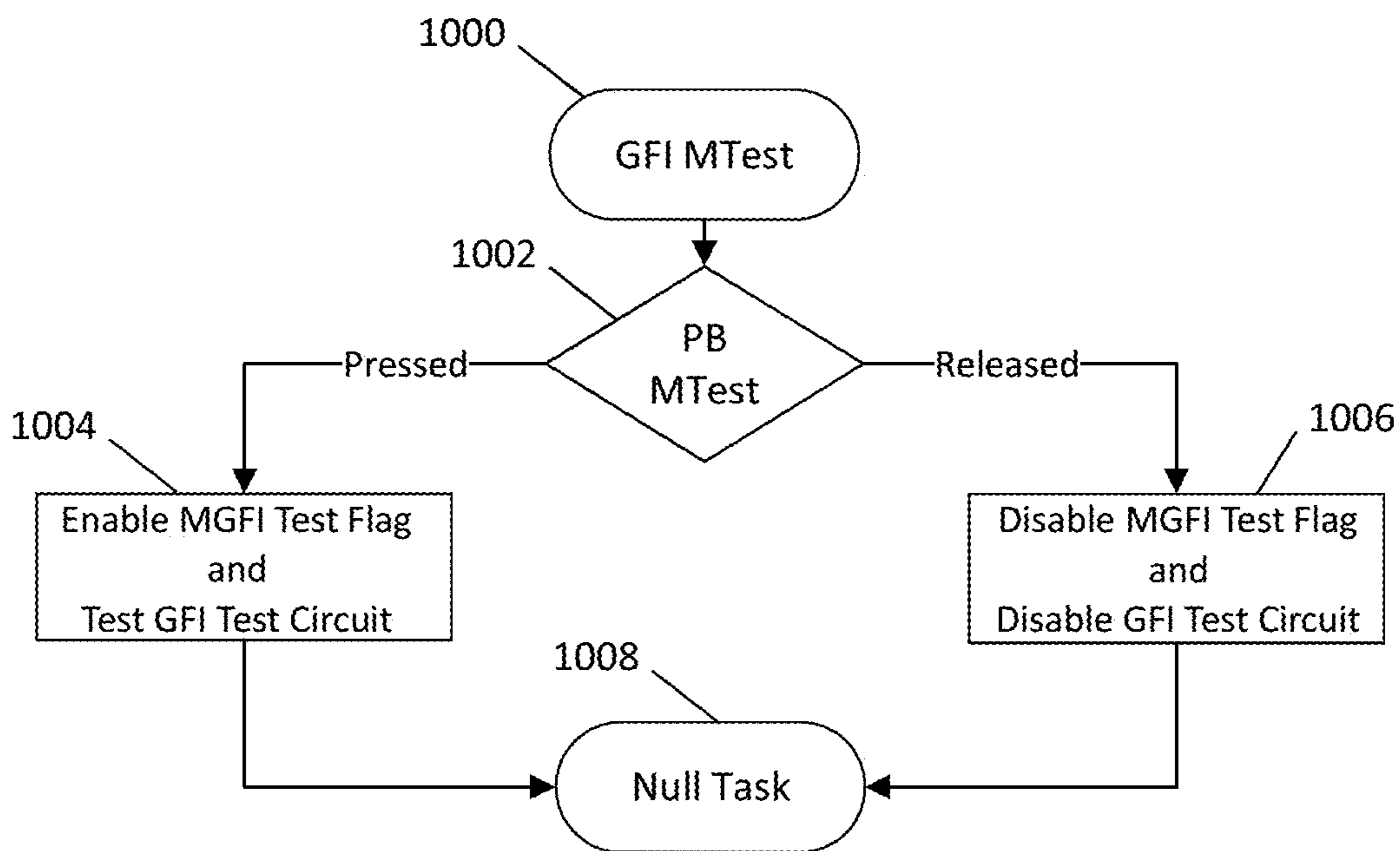


FIG. 10

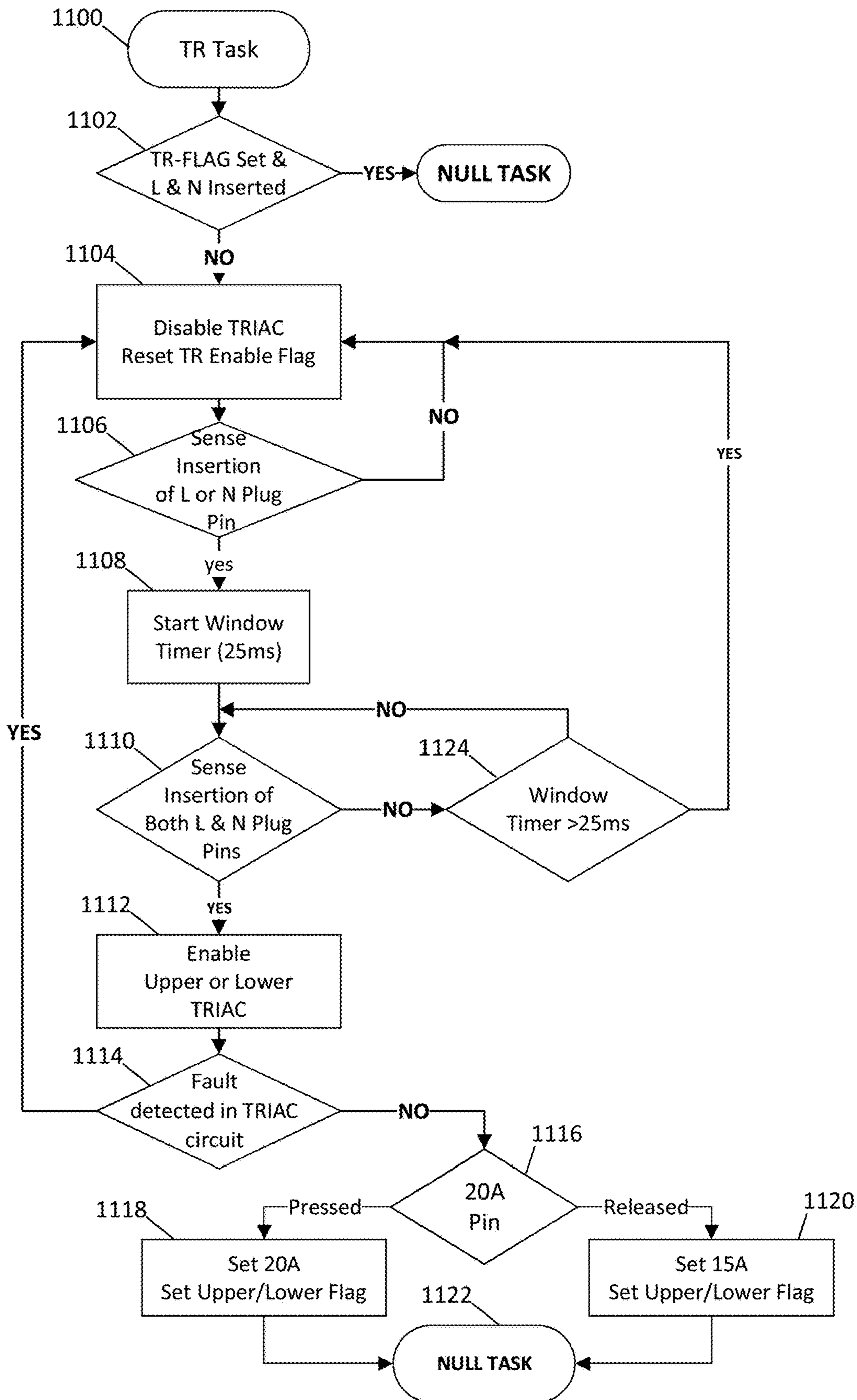


FIG. 11

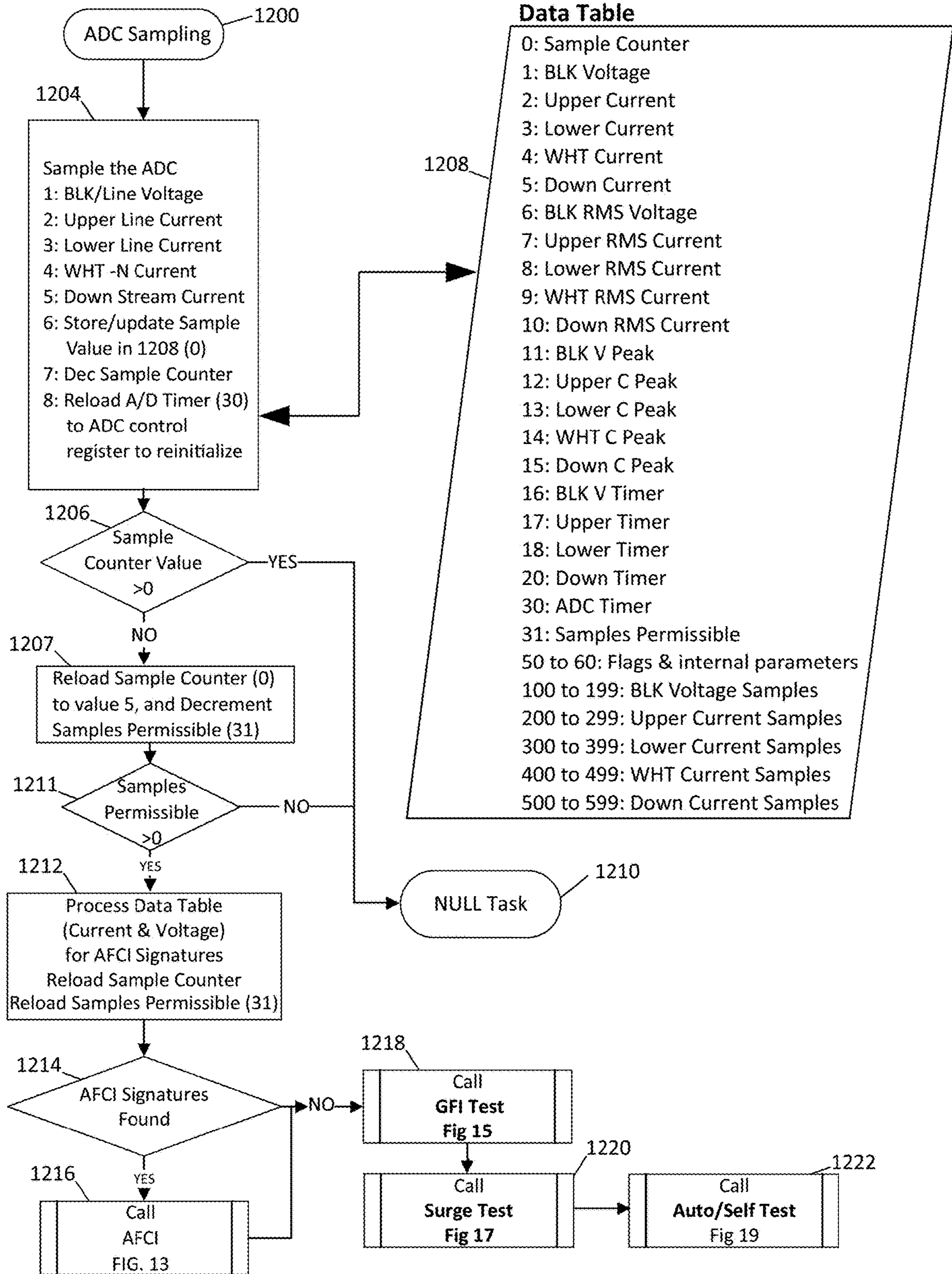


FIG. 12

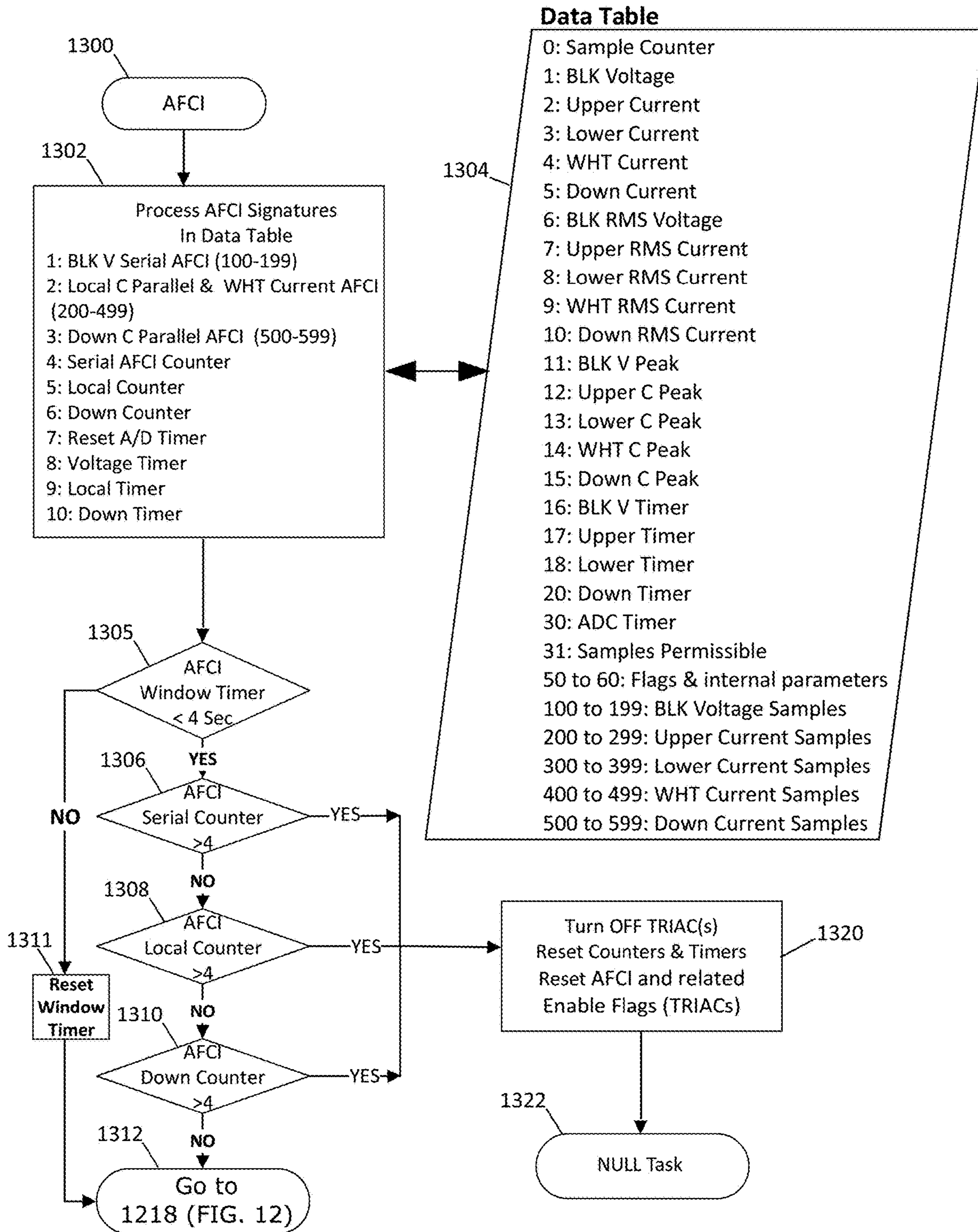


FIG. 13



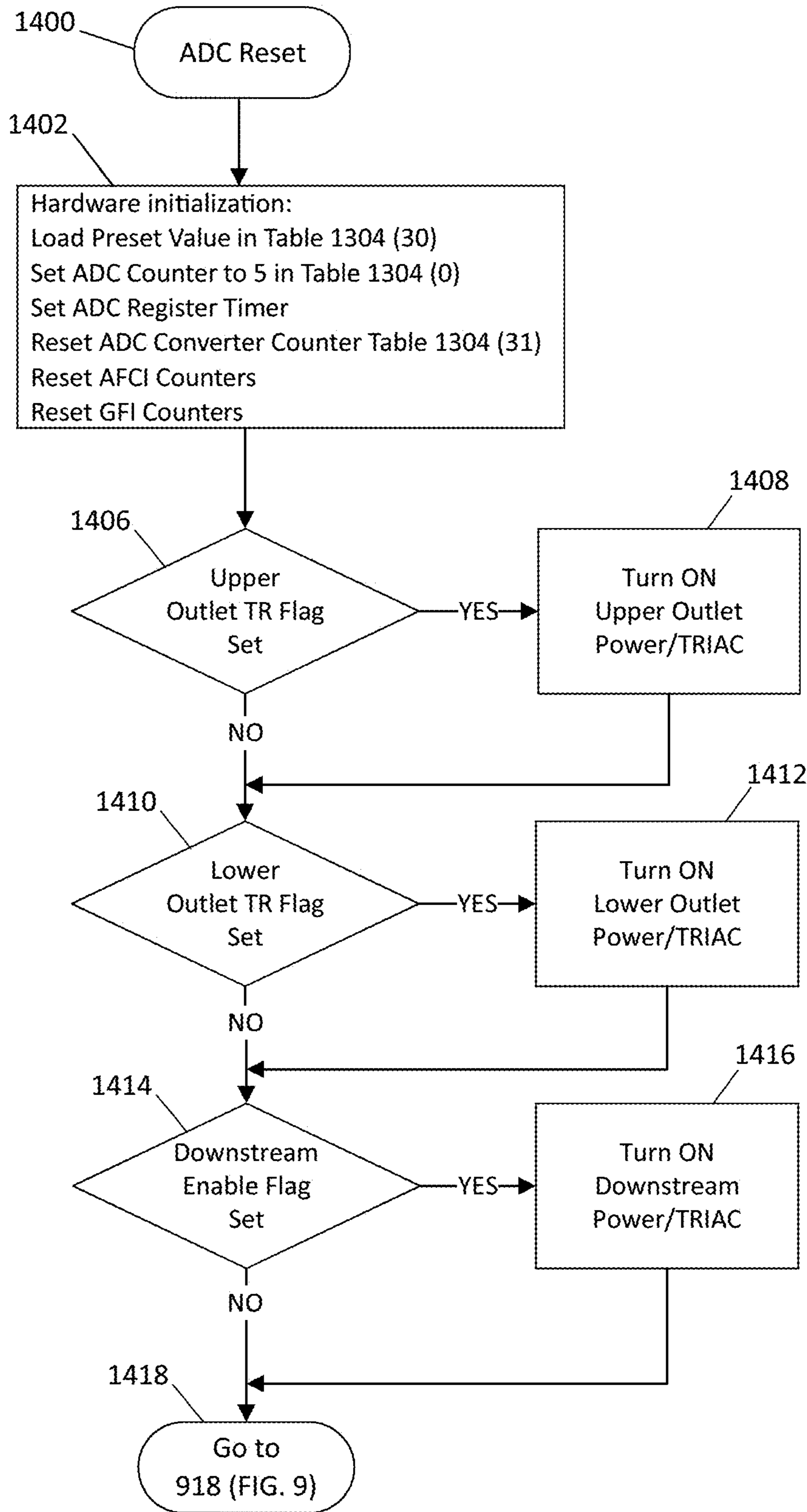


FIG. 14

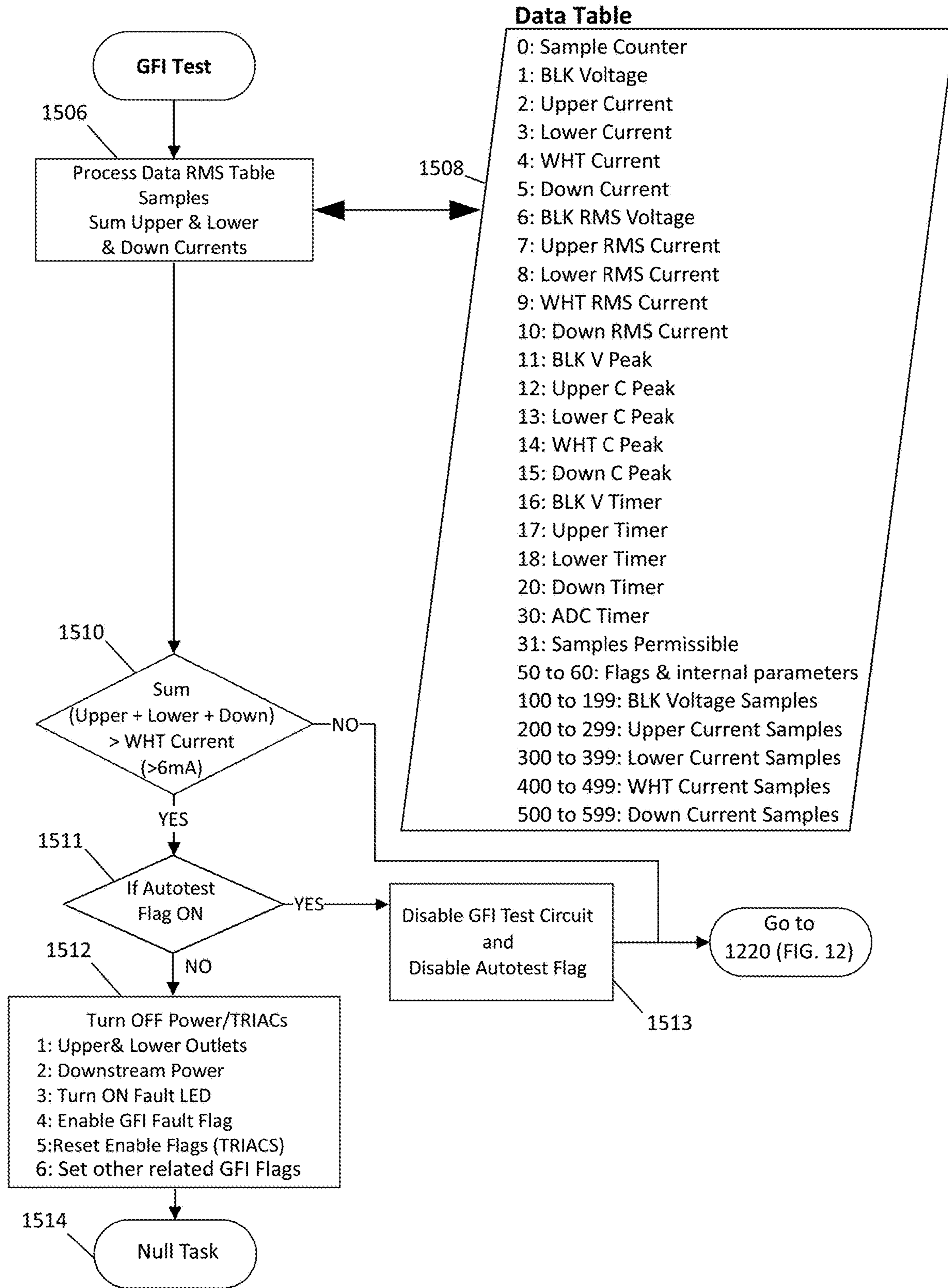


FIG. 15

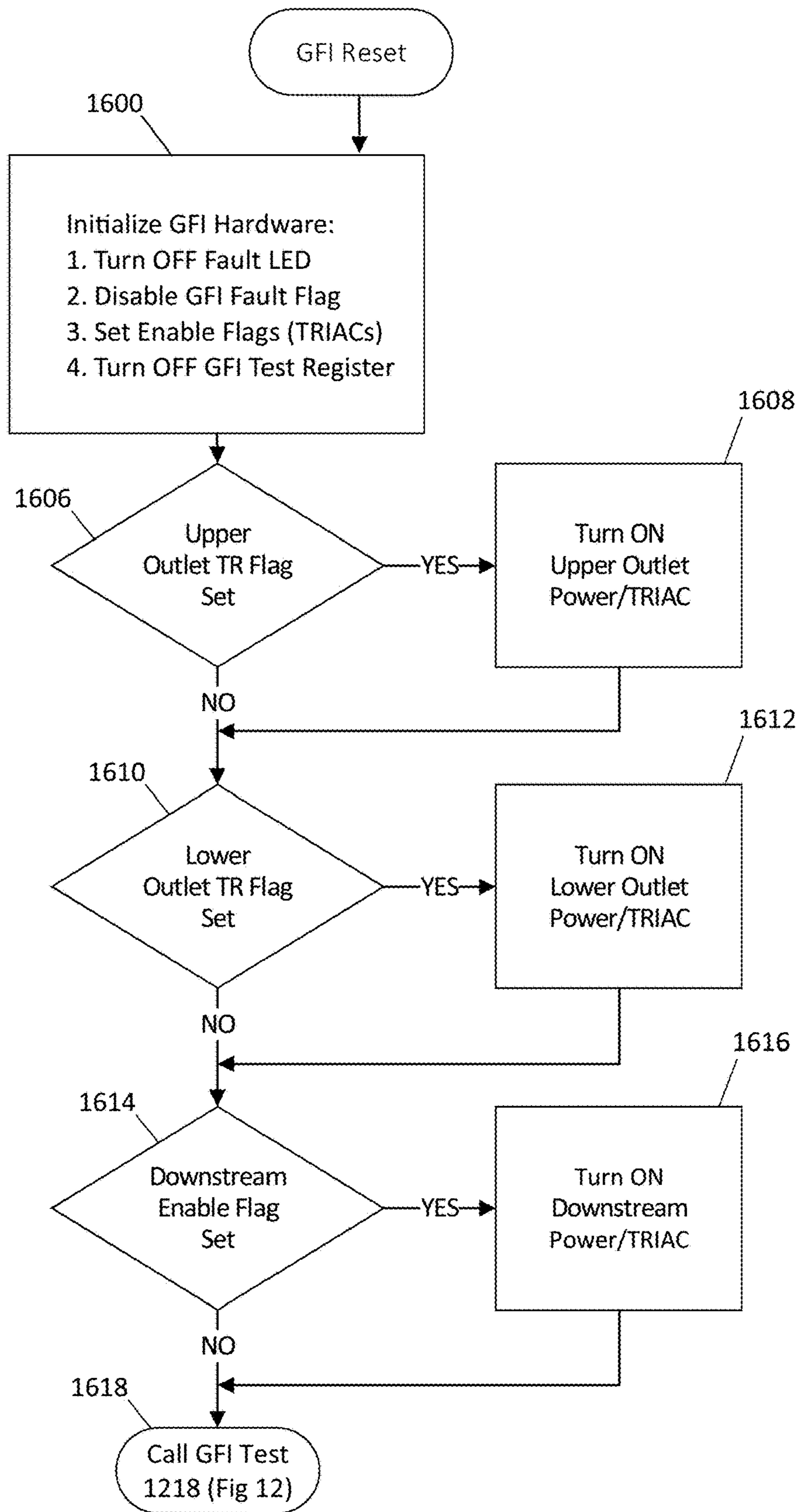


FIG. 16

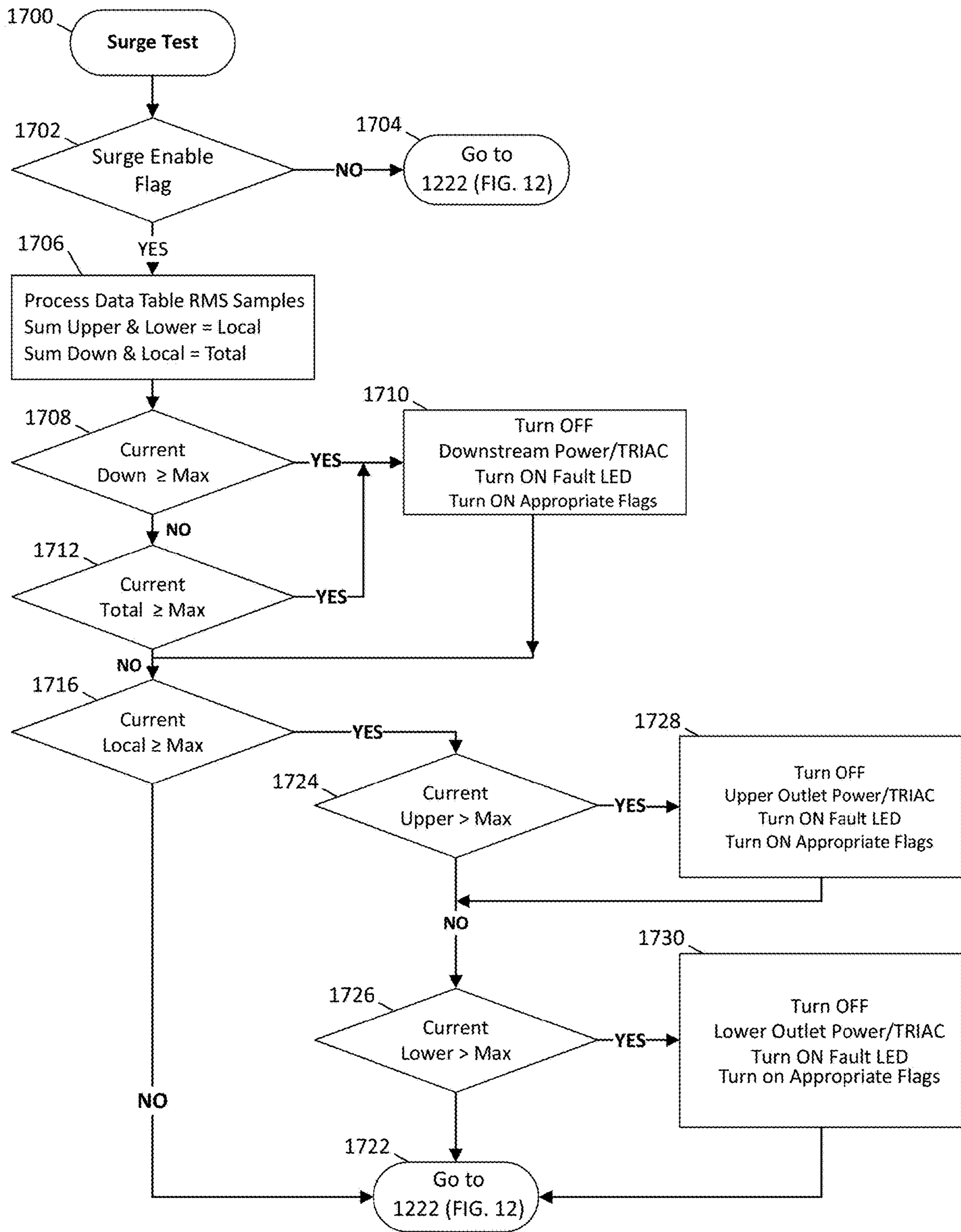


FIG. 17

### RAM Data Table

0: Sample Counter  
1: BLK Voltage  
2: Upper Current  
3: Lower Current  
4: WHT Current  
5: Down Current  
6: BLK RMS Voltage  
7: Upper RMS Current  
8: Lower RMS Current  
9: WHT RMS Current  
10: Down RMS Current  
11: BLK V Peak  
12: Upper C Peak  
13: Lower C Peak  
14: WHT C Peak  
15: Down C Peak  
16: BLK V Timer  
17: Upper Timer  
18: Lower Timer  
20: Down Timer  
30: ADC Timer  
31: Samples Permissible  
50 to 60: Flags & internal parameters  
100 to 199: BLK Voltage Samples  
200 to 299: Upper Current Samples  
300 to 399: Lower Current Samples  
400 to 499: WHT Current Samples  
500 to 599: Down Current Samples

FIG. 18

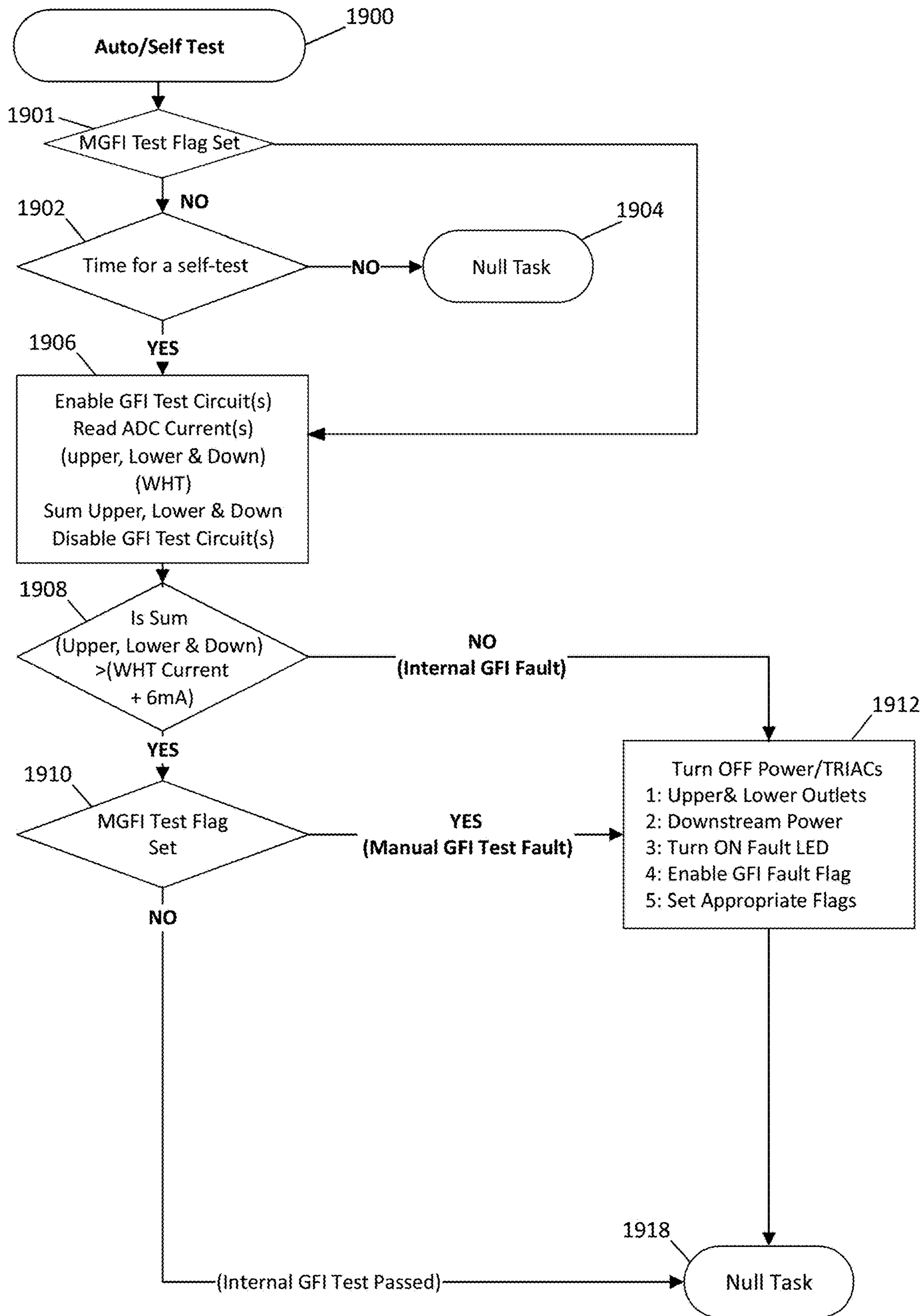


FIG. 19

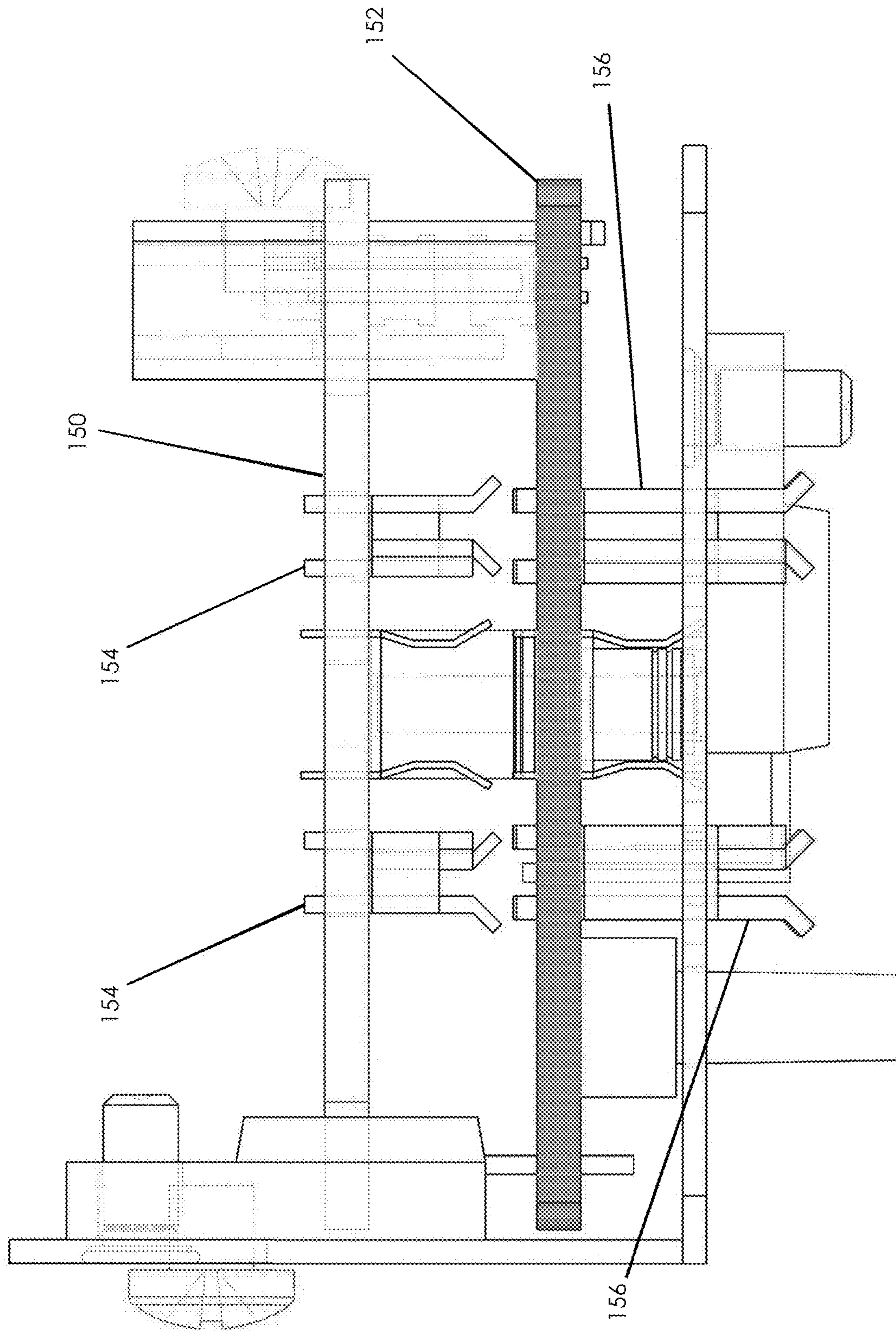


FIG. 20a

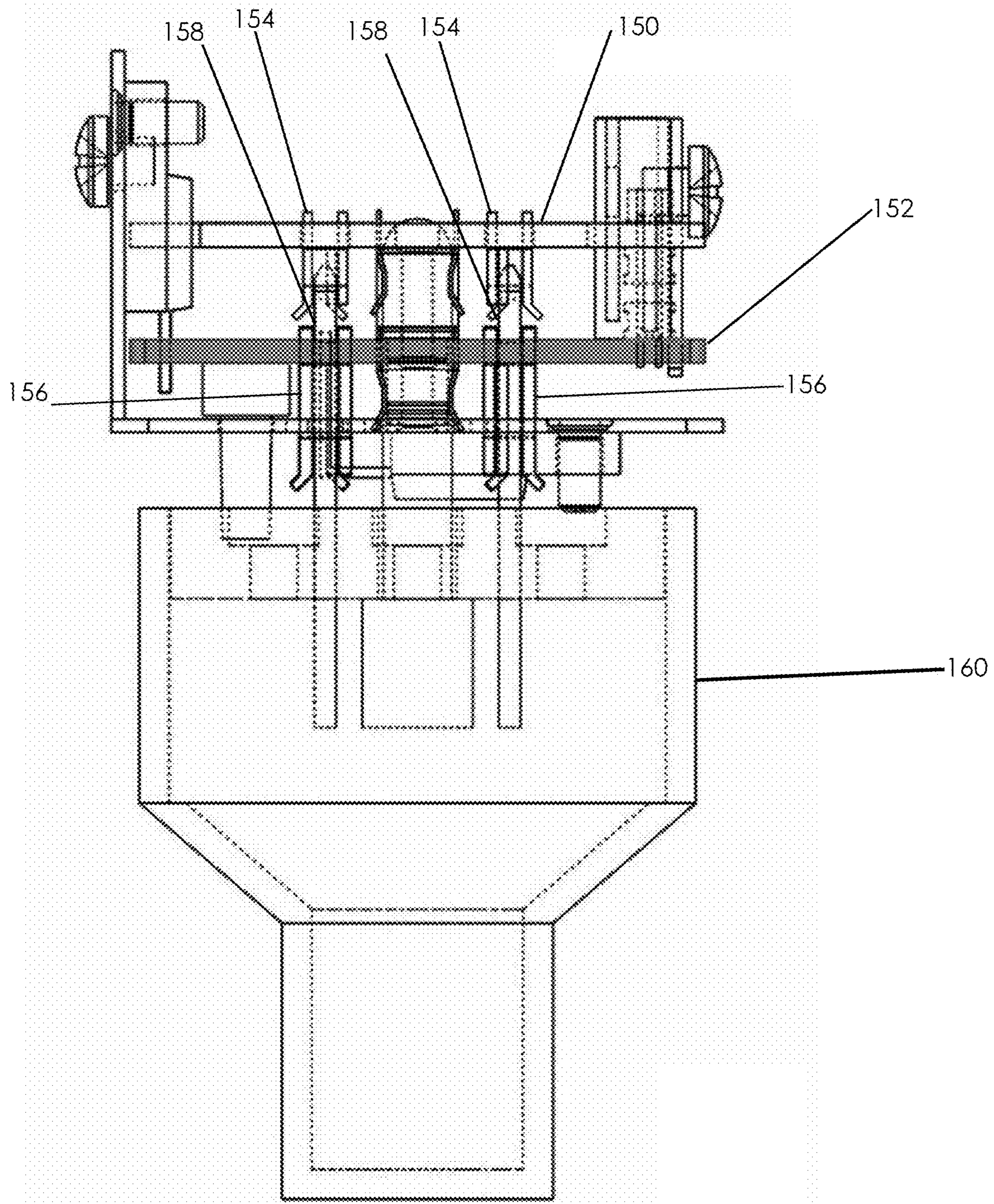


FIG. 20b



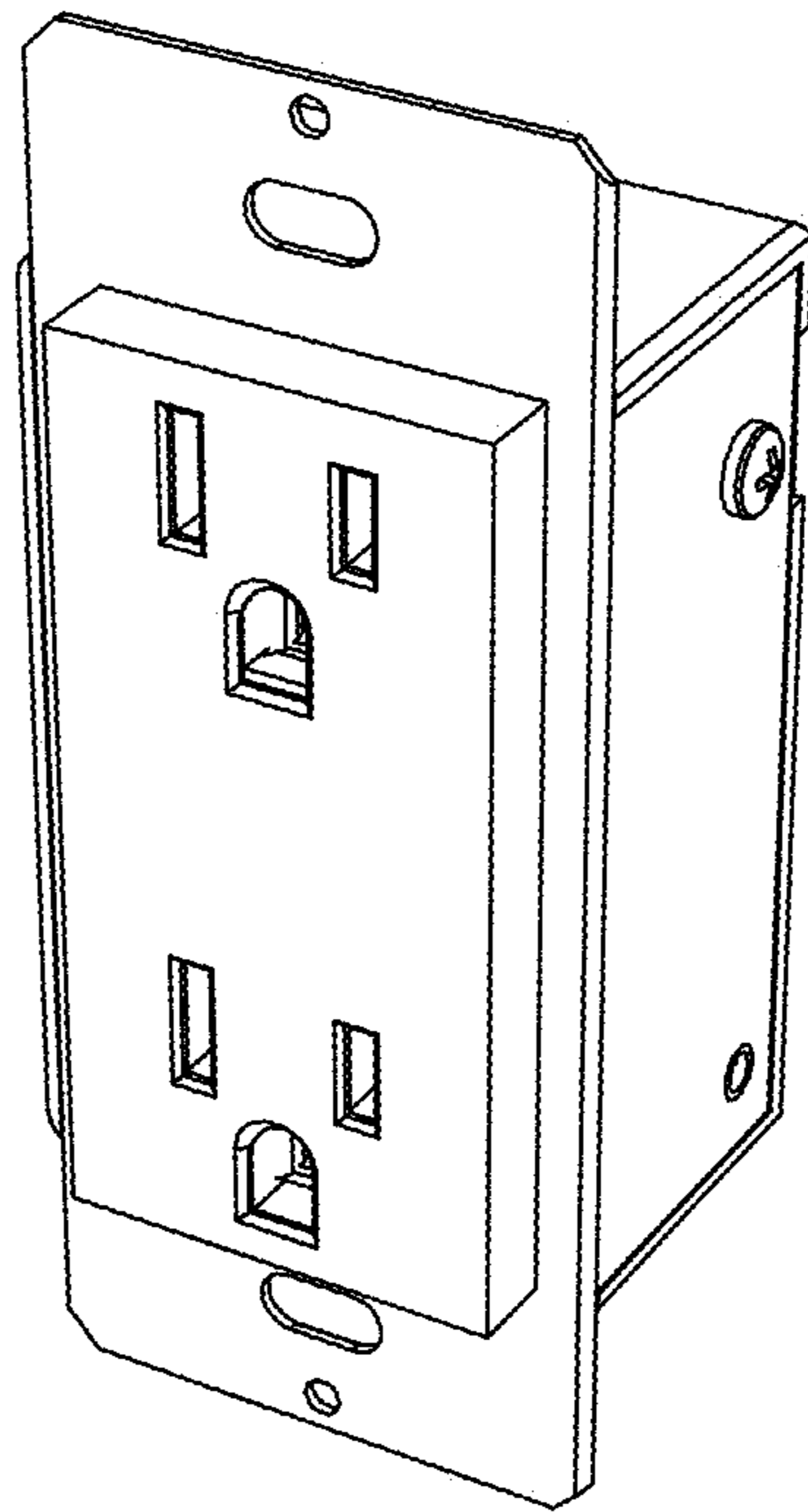


FIG. 21

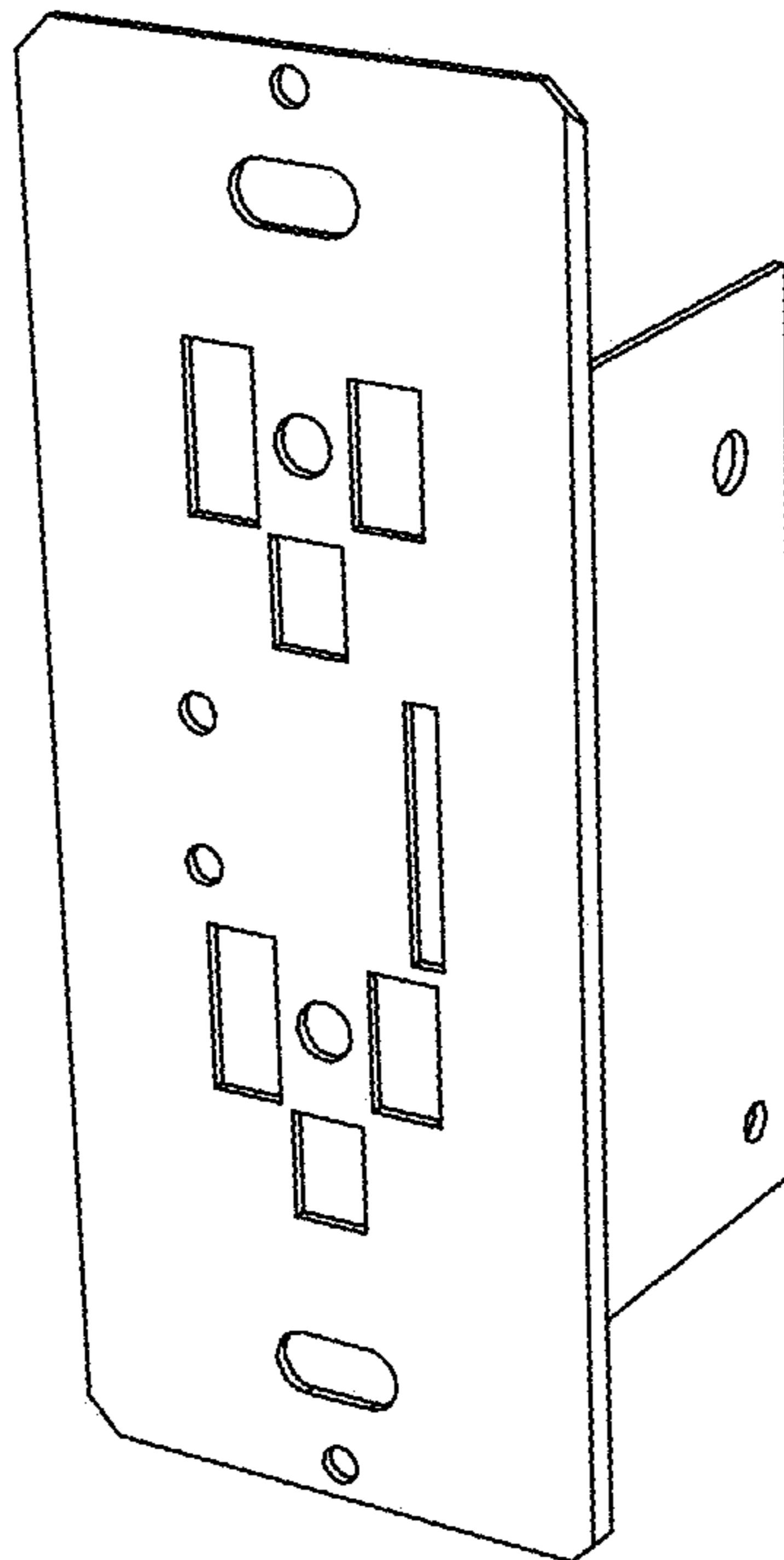


FIG. 22

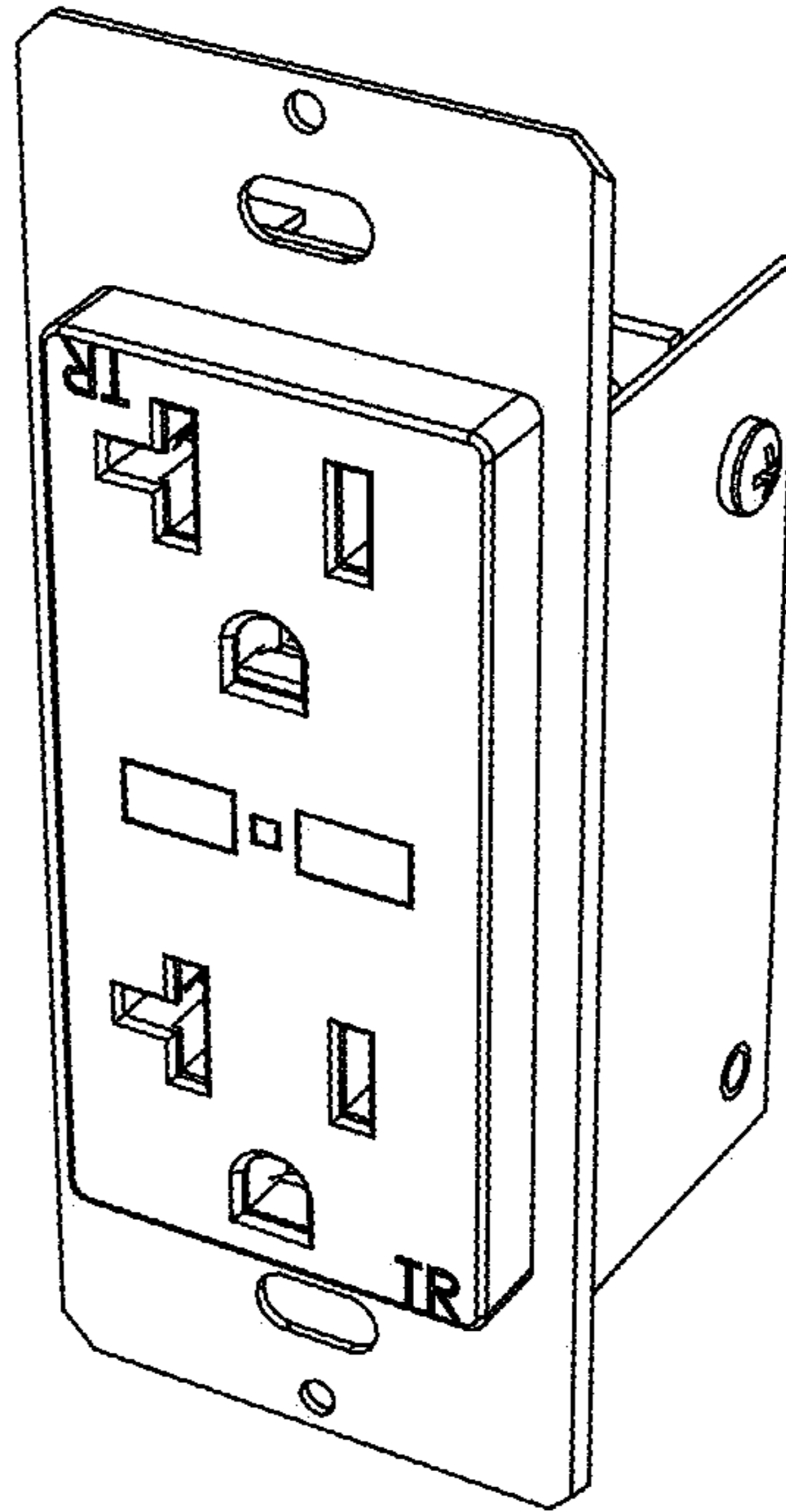


FIG. 23

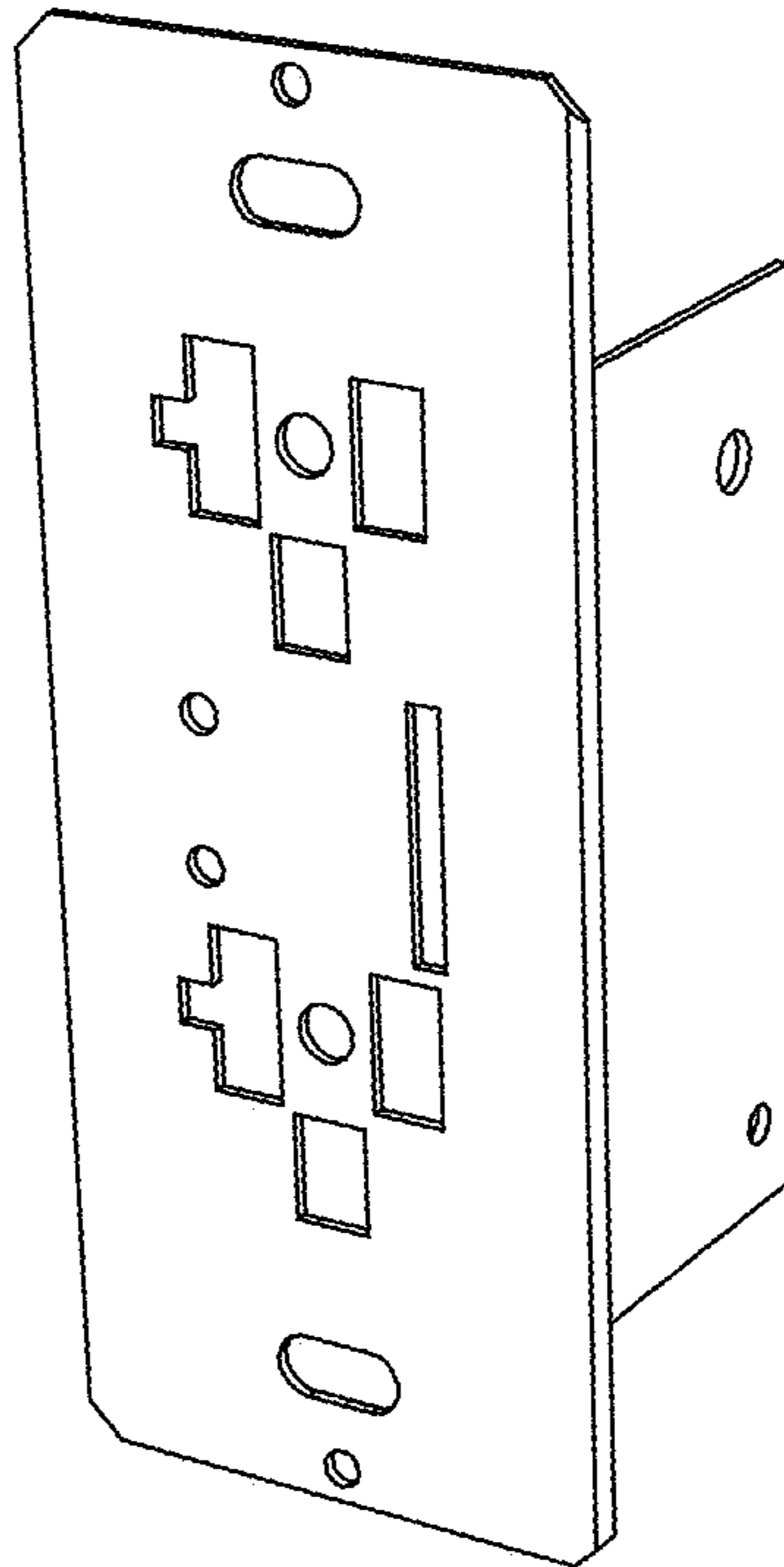


FIG. 24

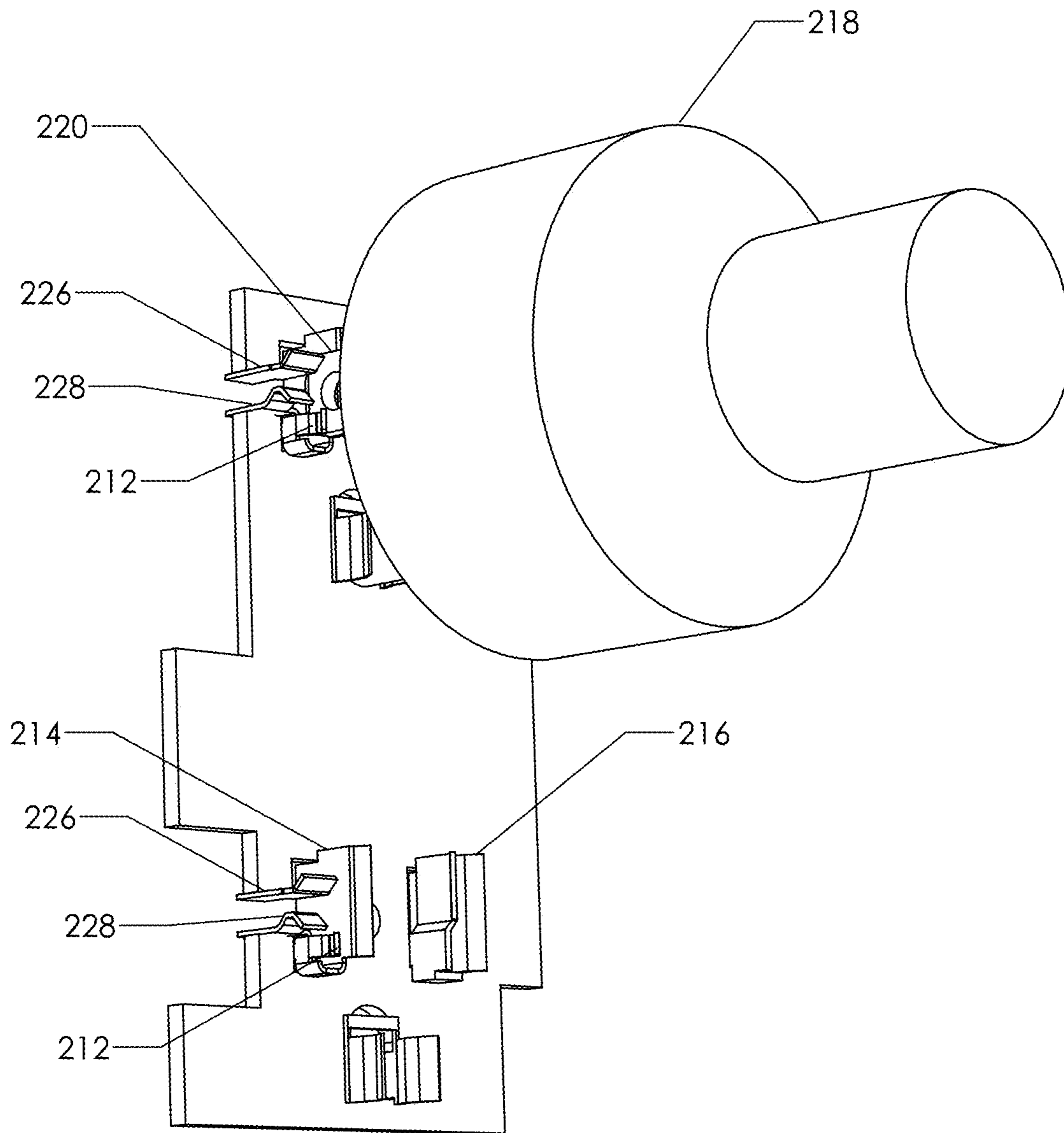


FIG. 25a

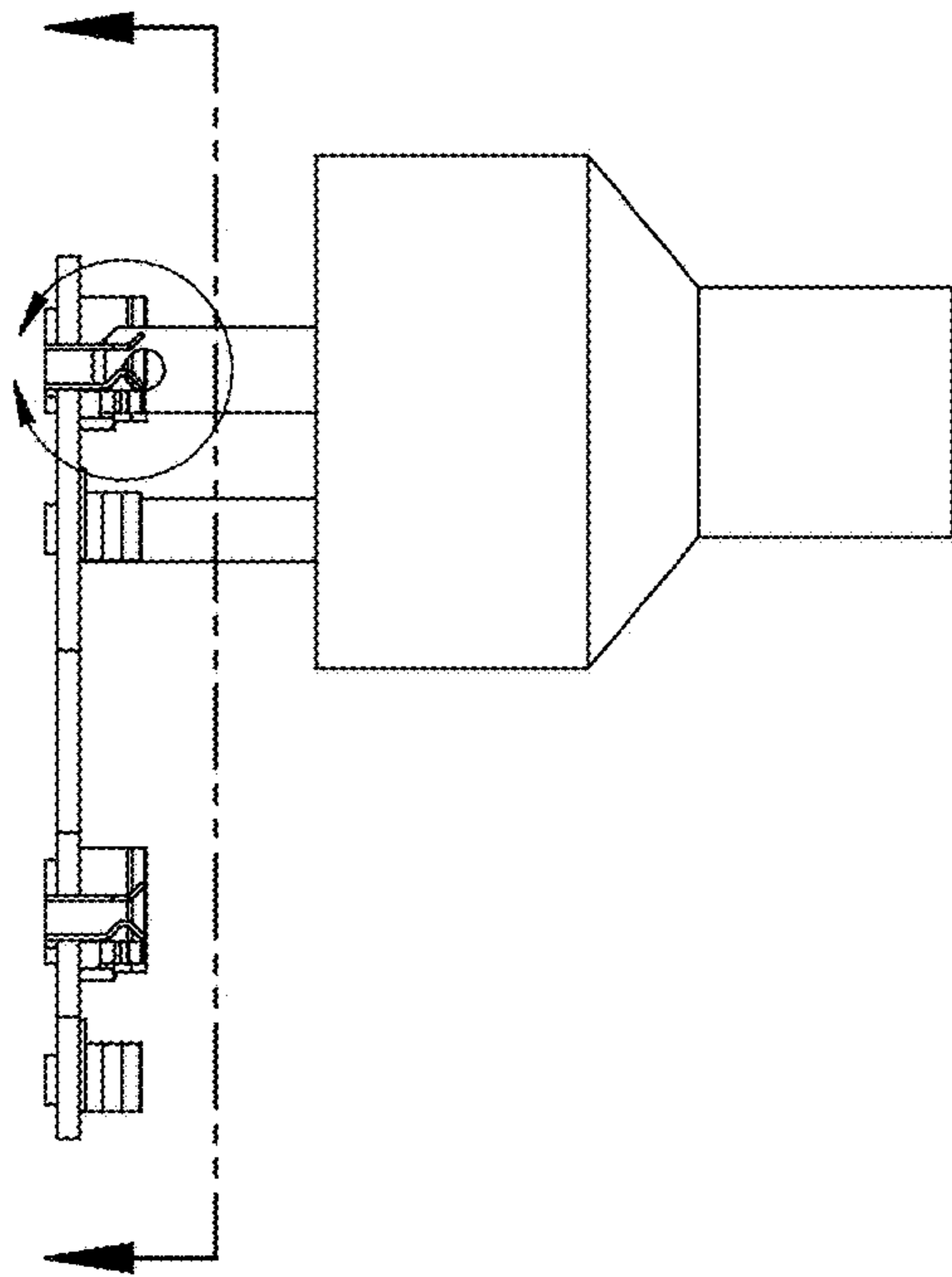


FIG. 25b

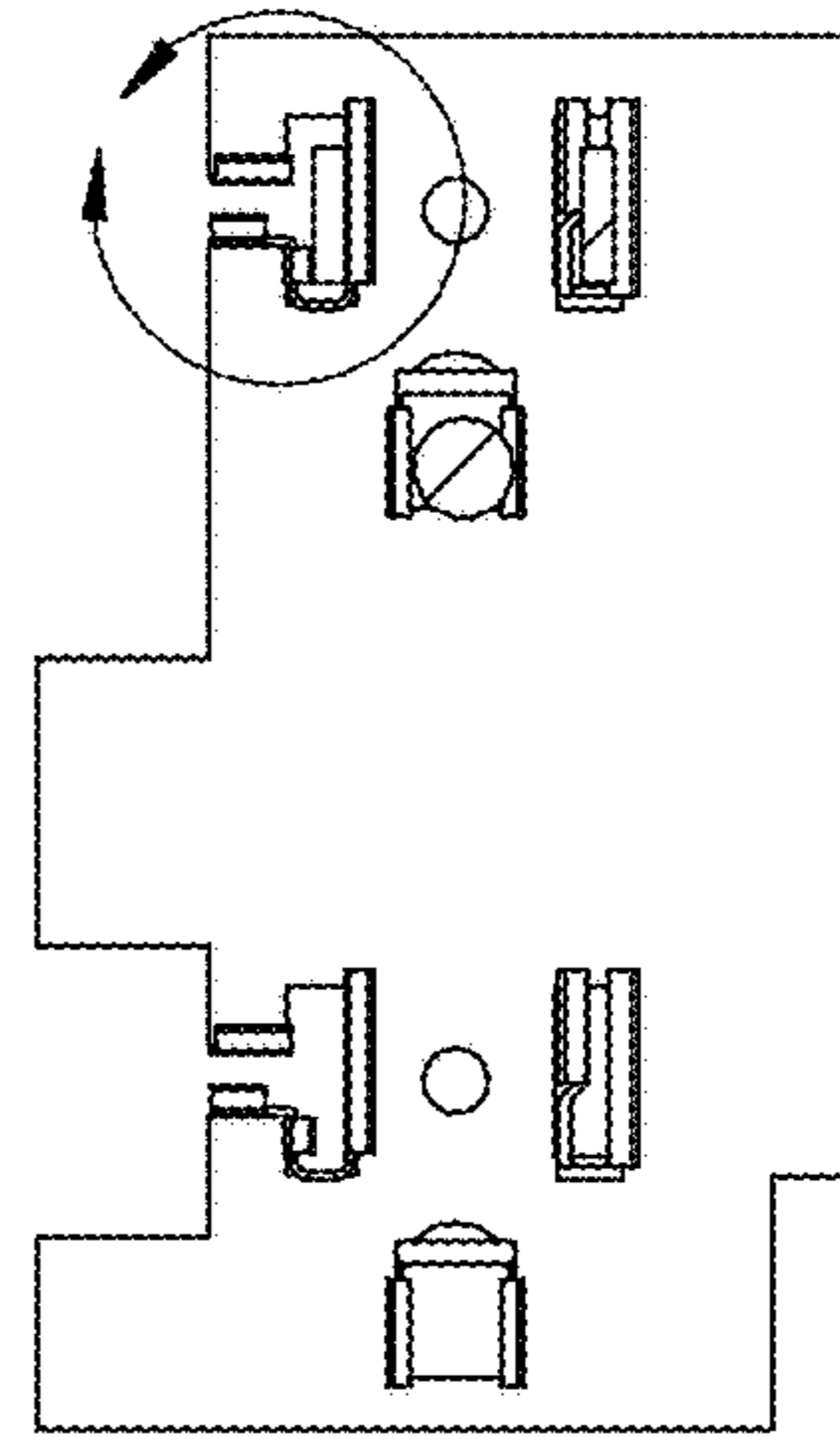


FIG. 25c

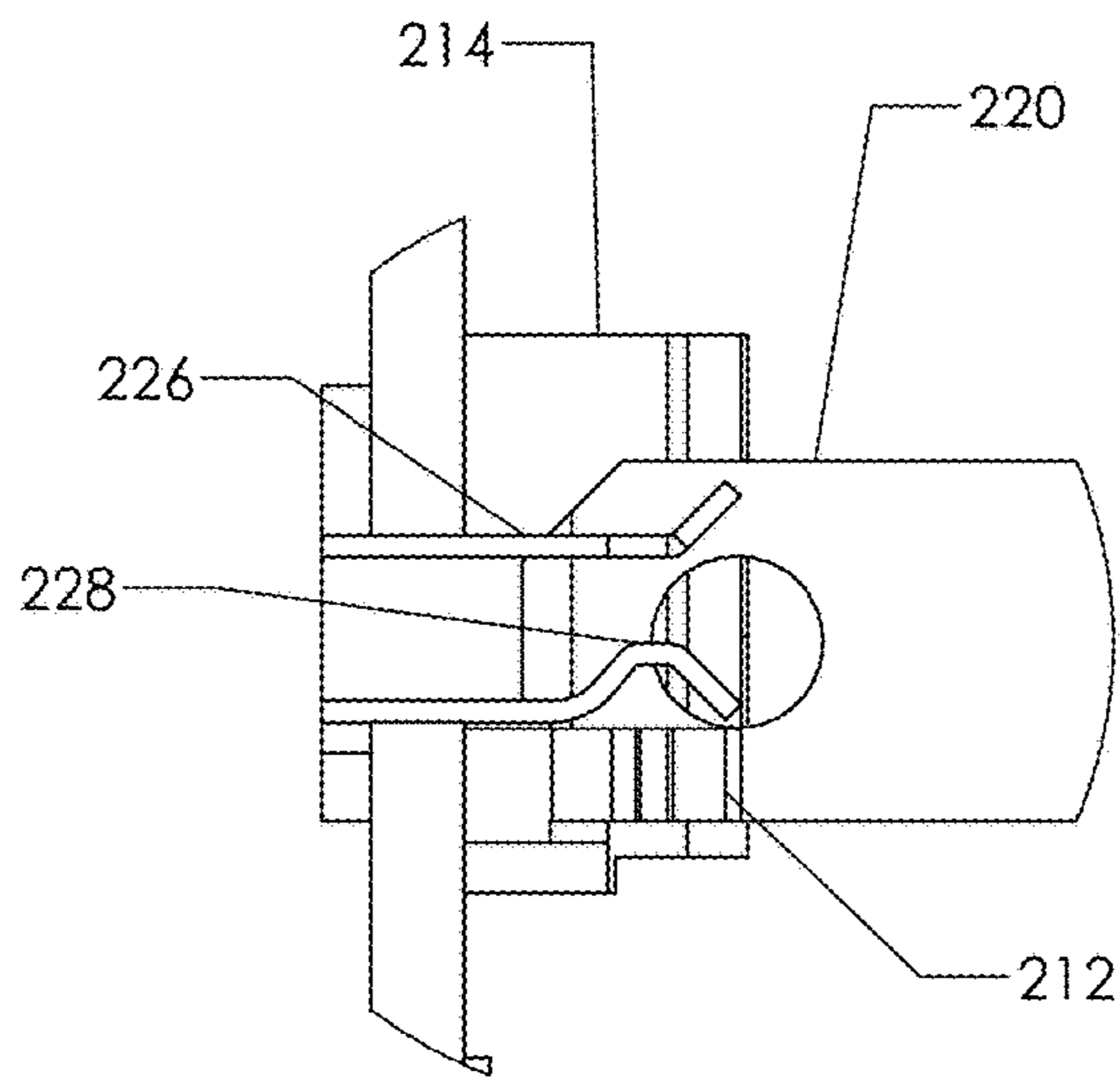


FIG. 25d

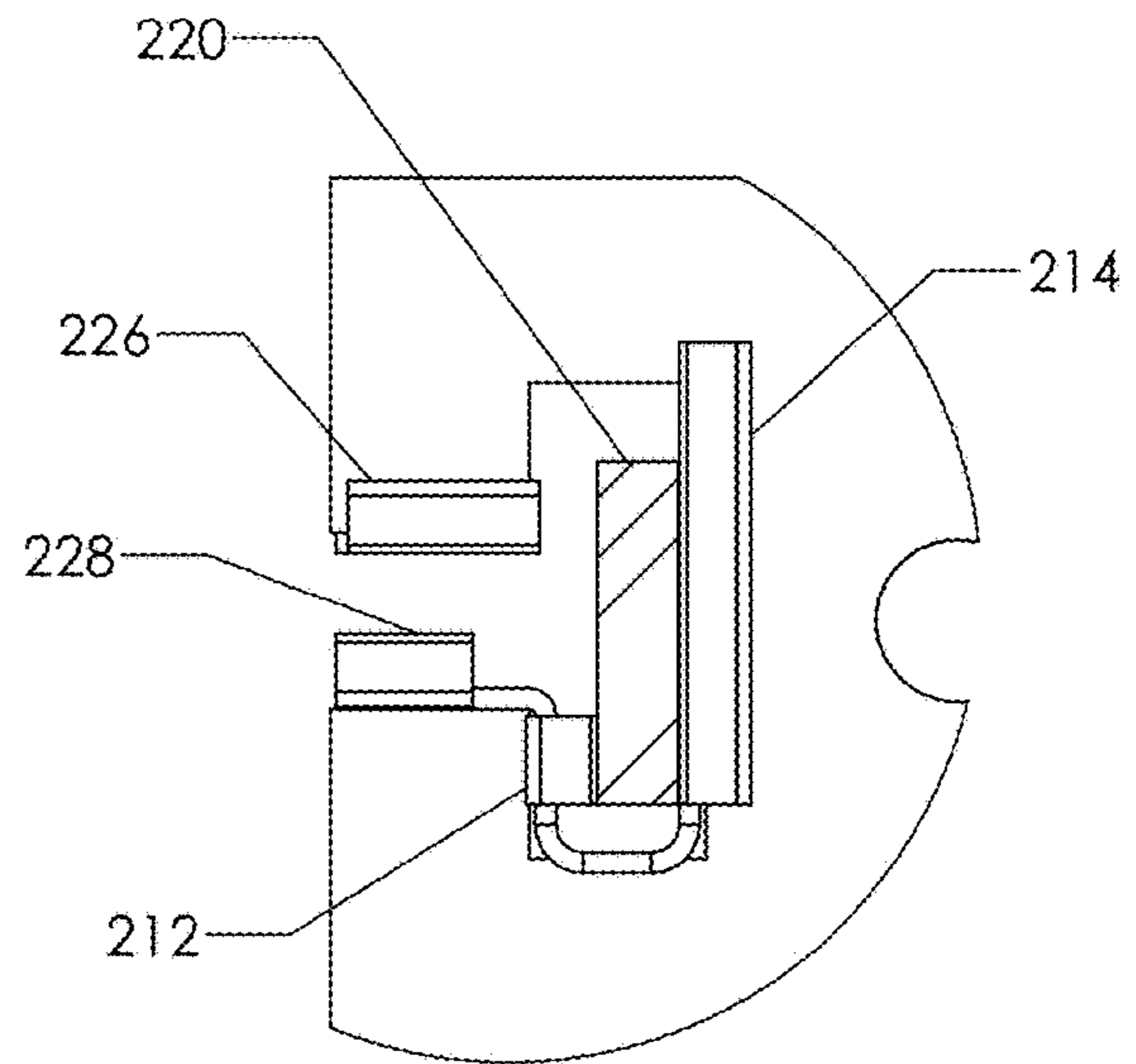


FIG. 25e

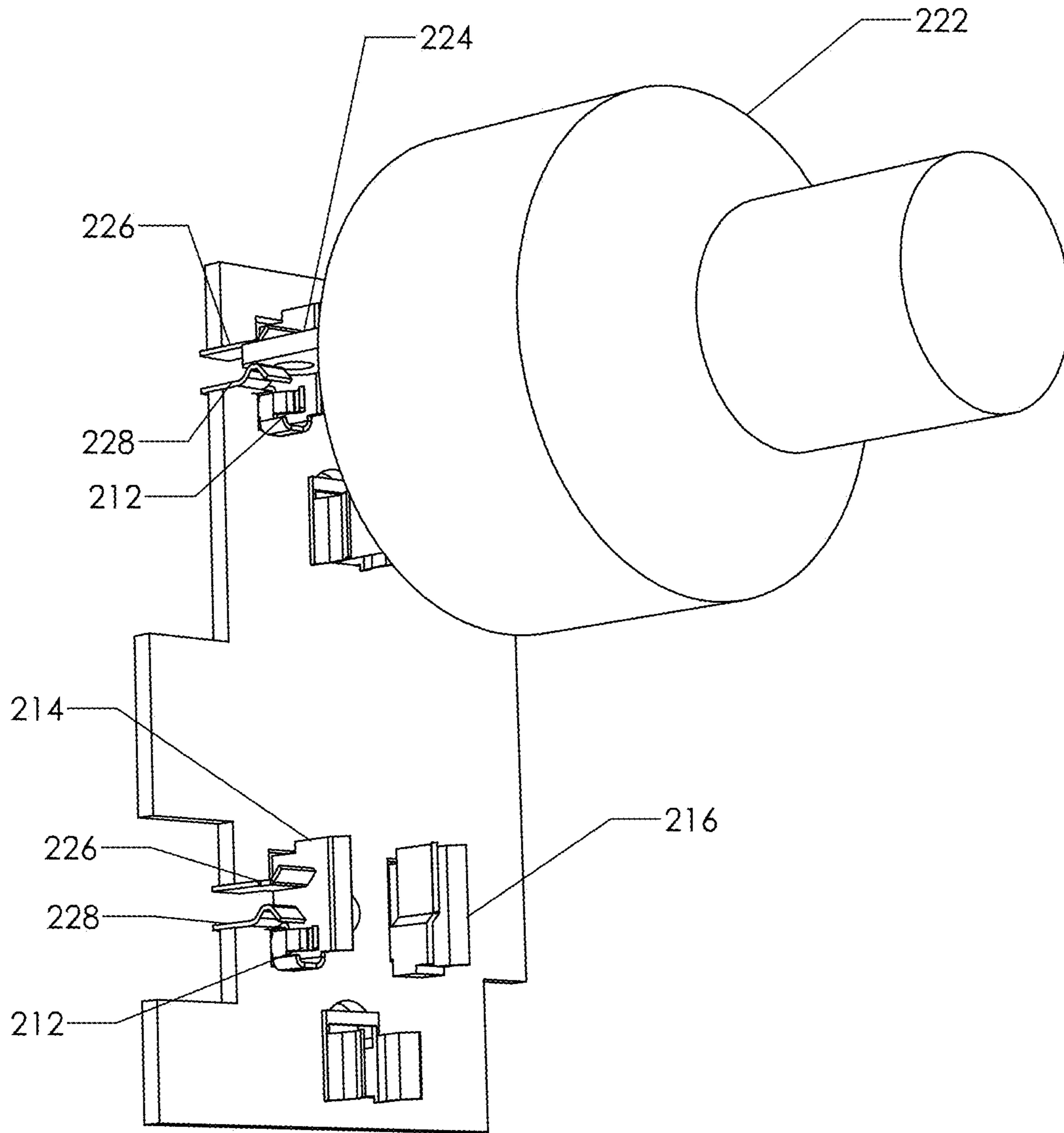


FIG. 26a

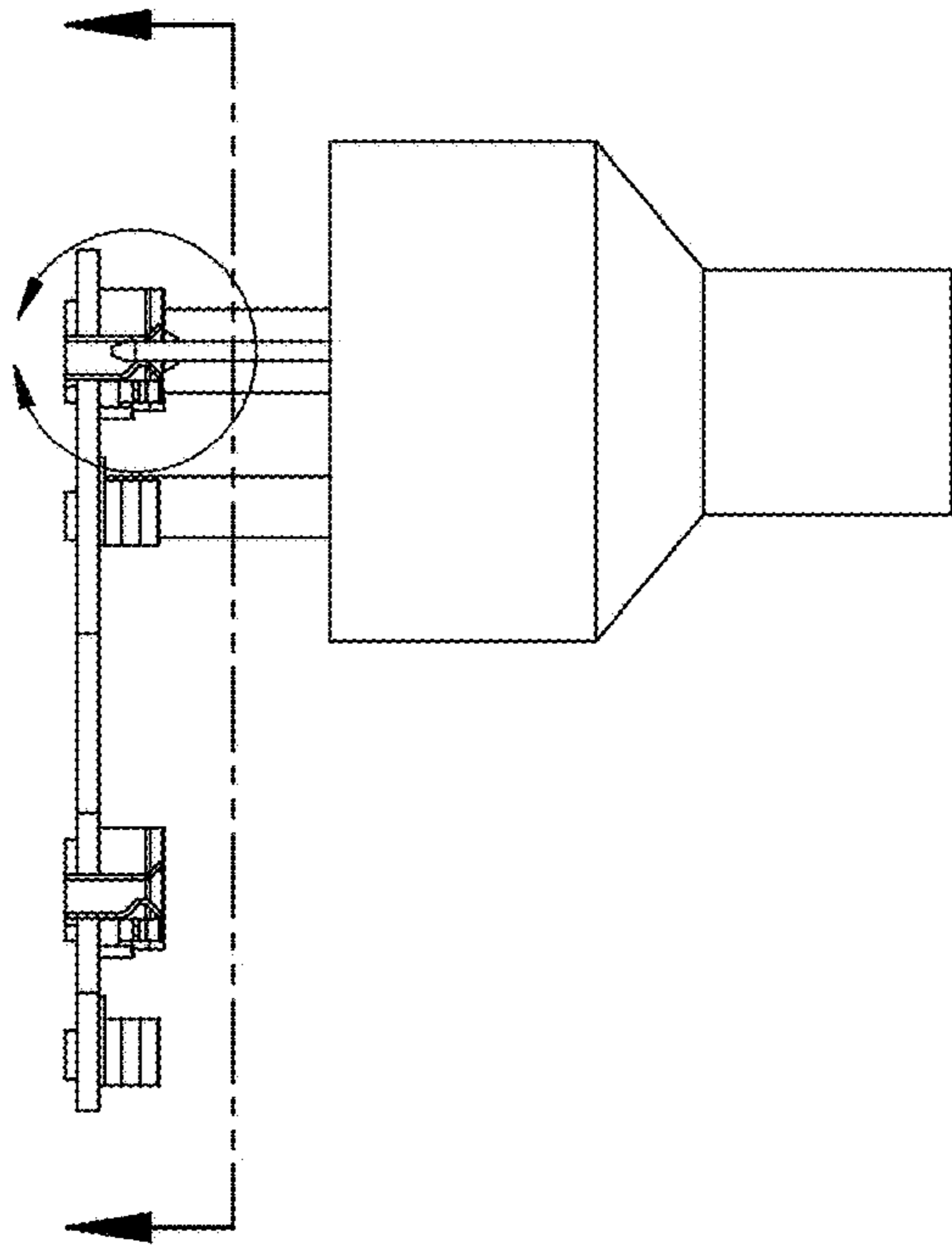


FIG. 26b

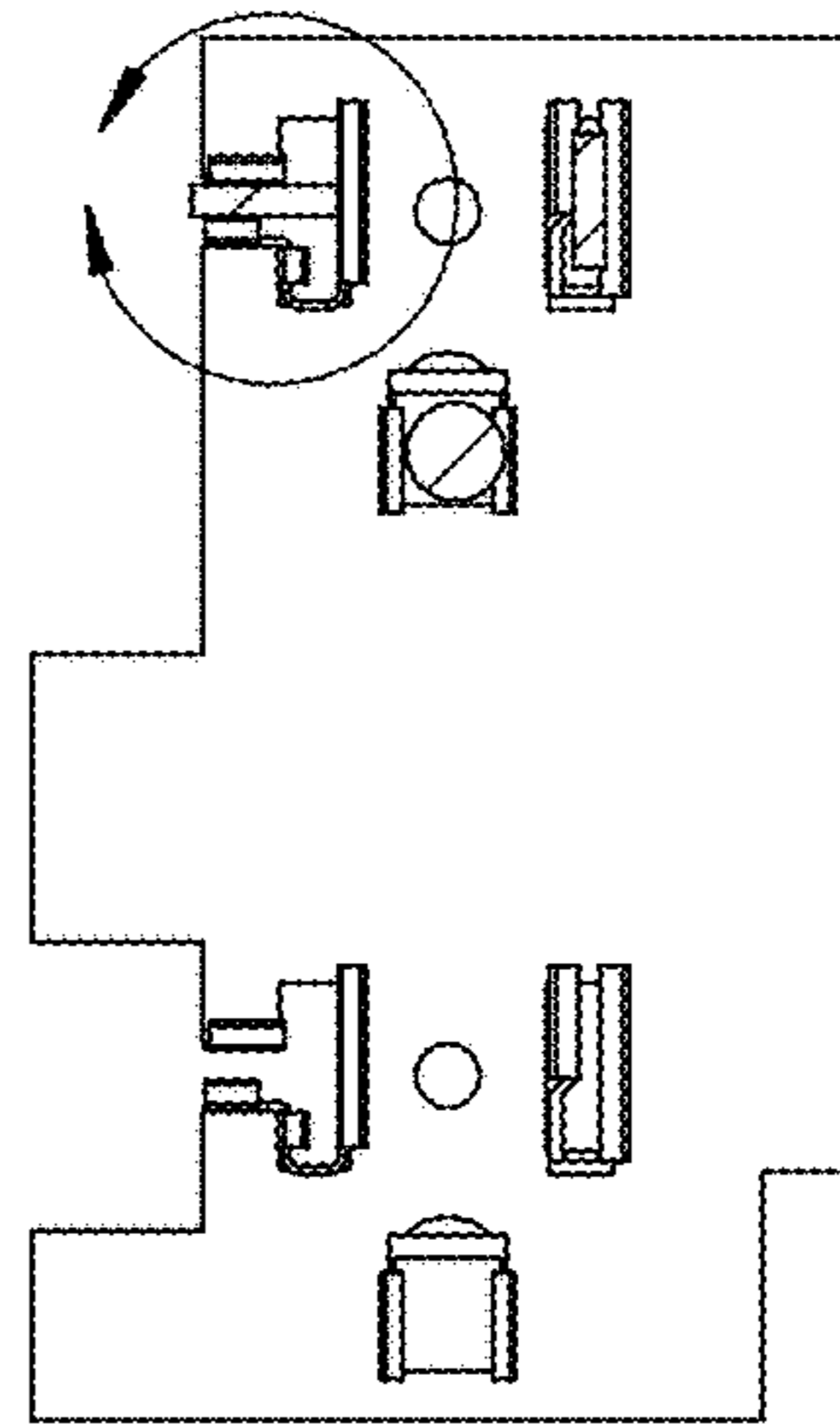


FIG. 26c

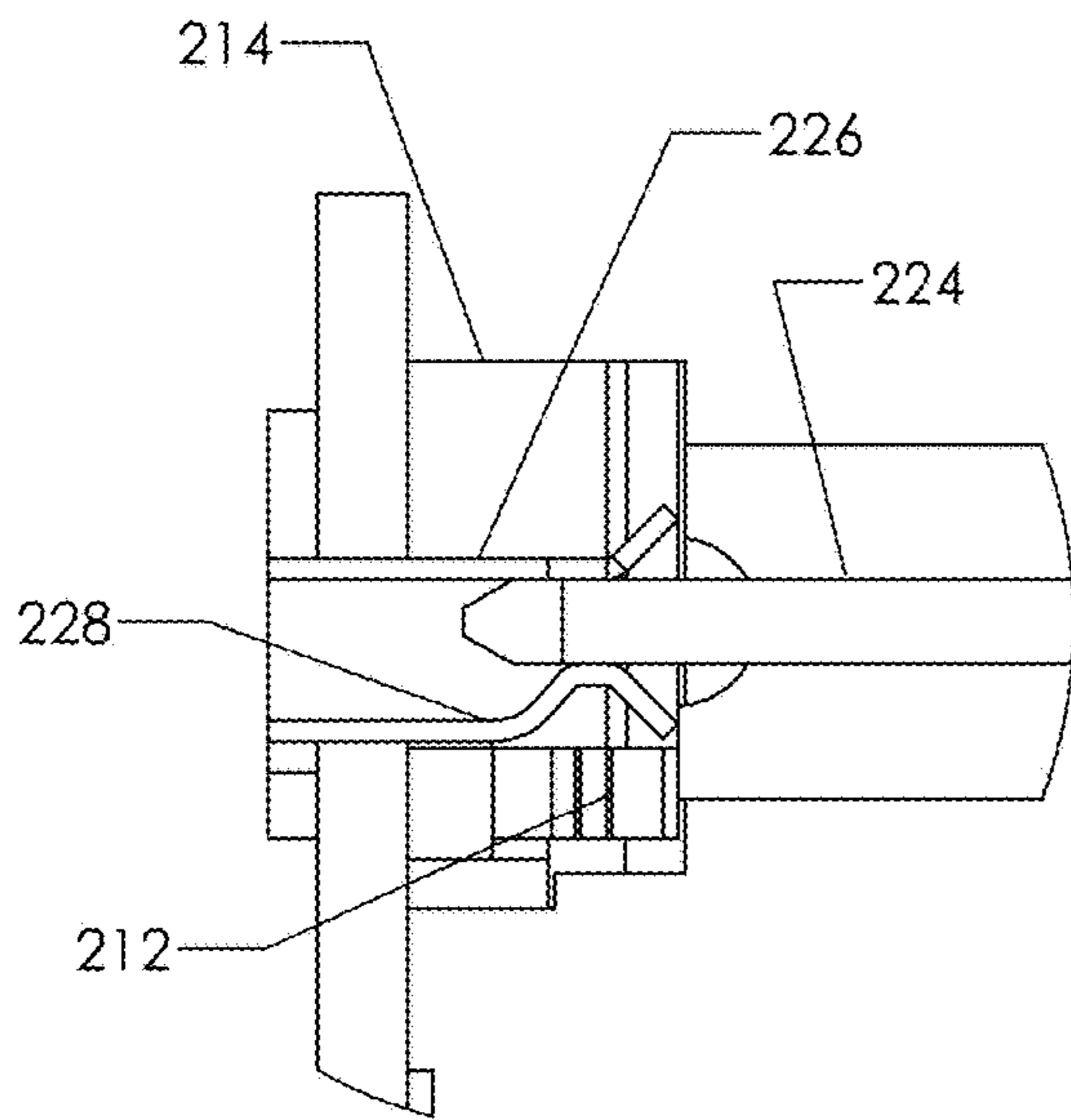


FIG. 26d

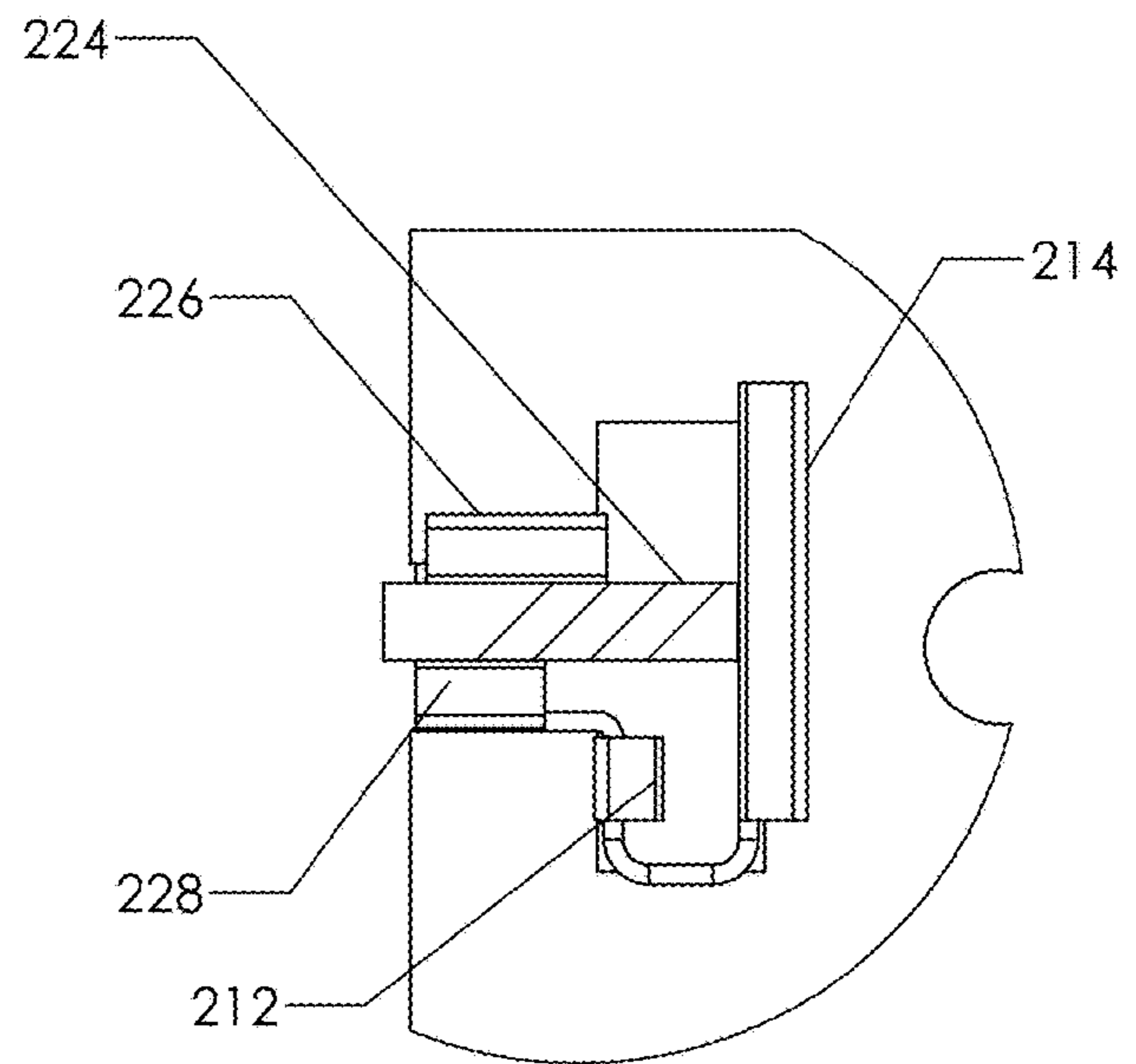


FIG. 26e

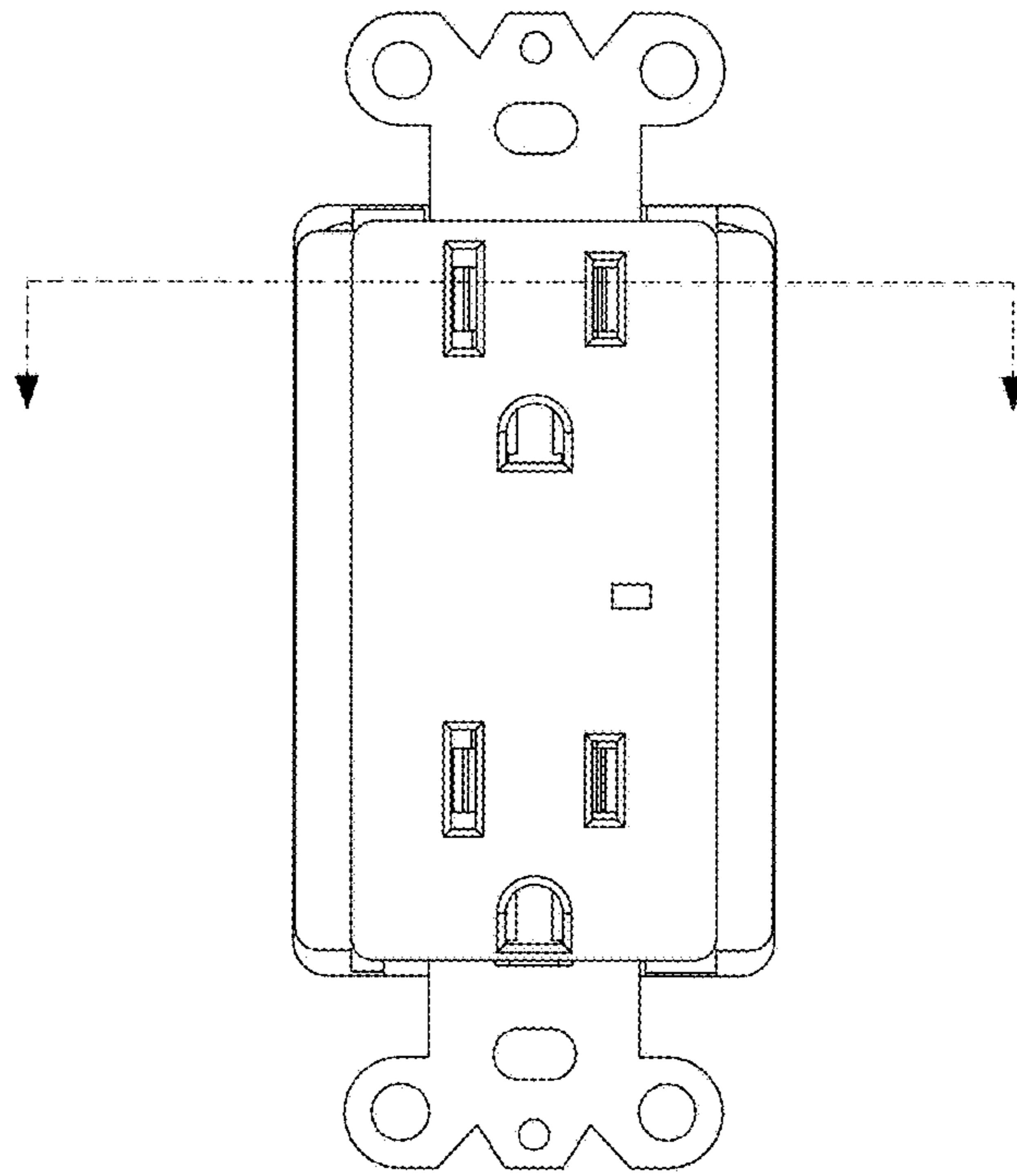


Fig. 27A

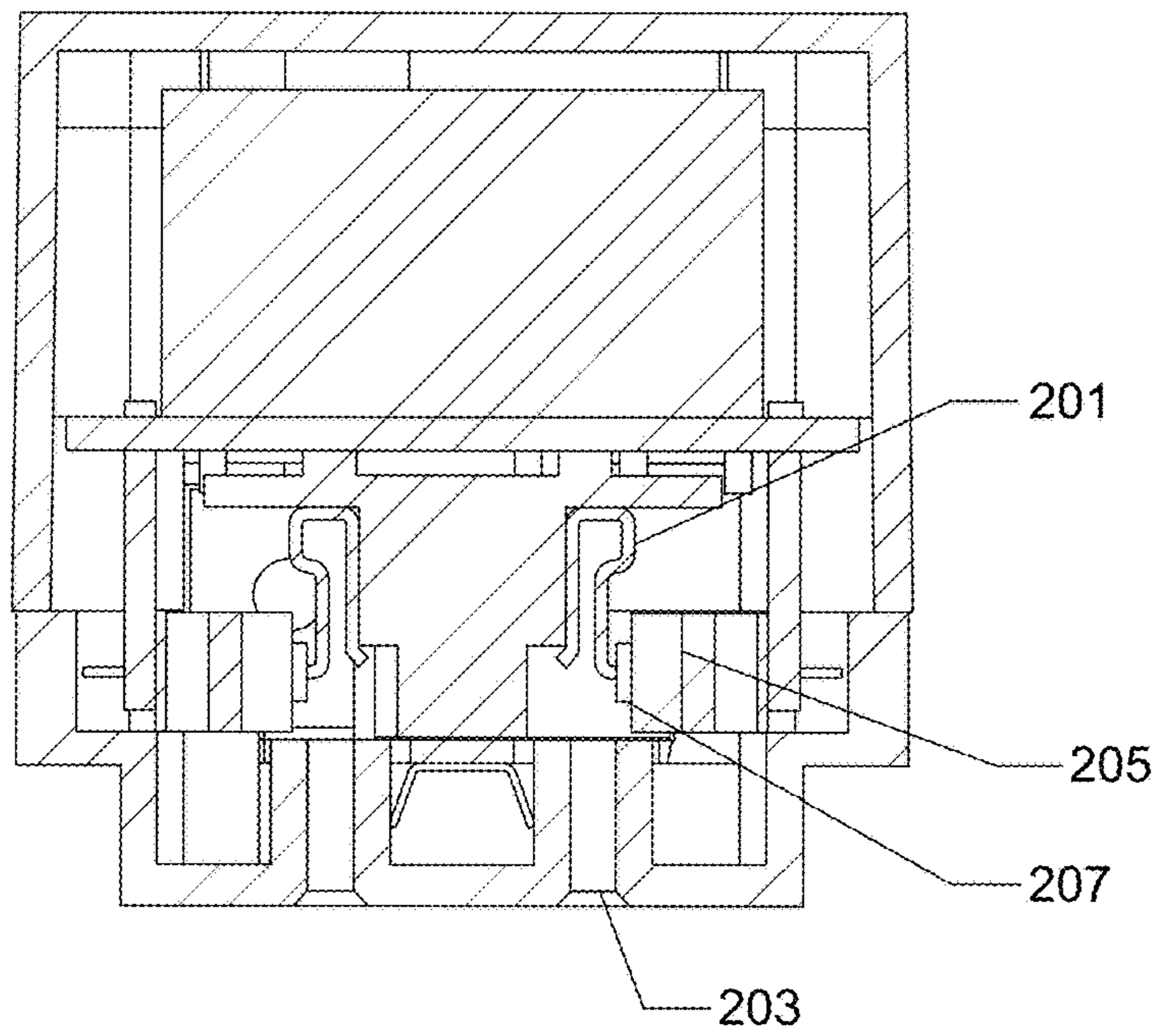


Fig. 27B





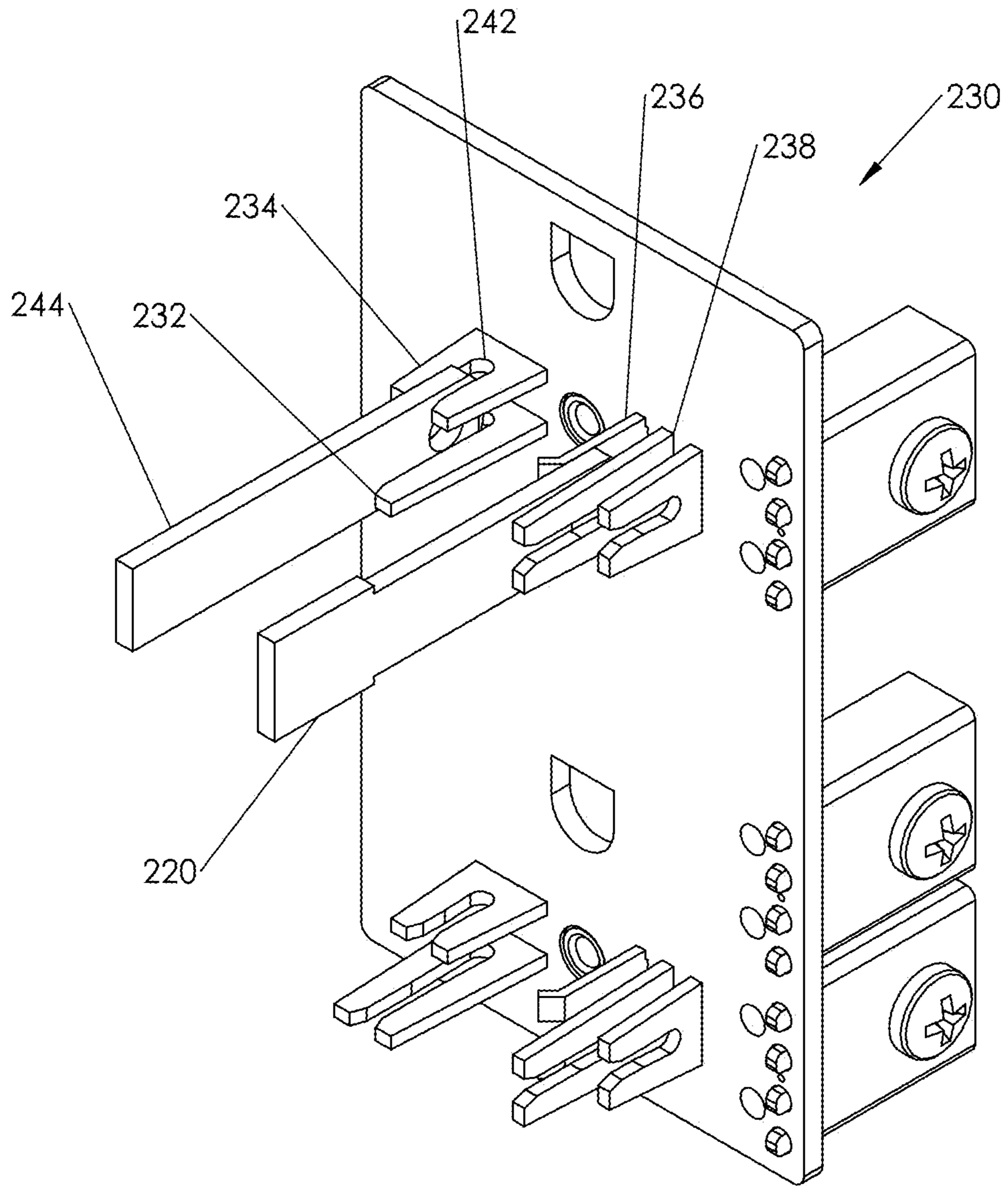


FIG. 29

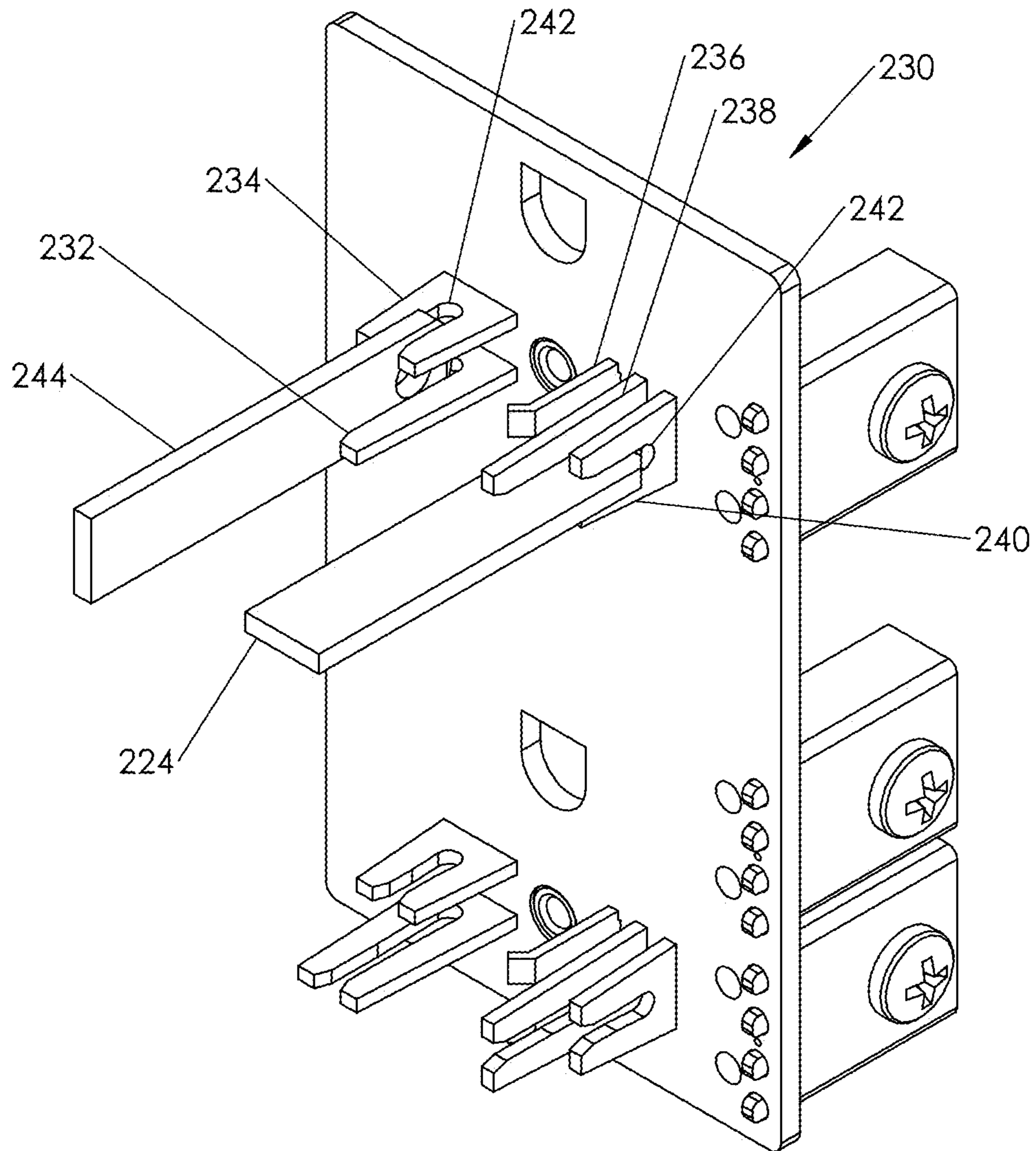


FIG. 30

## ELECTRICAL RECEPTACLE FAULT PROTECTION

This application is a continuation of U.S. application Ser. No. 17/959,776, filed Oct. 4, 2022, entitled “Electrical Receptacle Fault Protection,” which is a continuation of U.S. application Ser. No. 16/886,345, filed May 28, 2020, entitled “Electrical Receptacle Fault Protection,” which is a continuation of U.S. application Ser. No. 15/274,469, filed Sep. 23, 2016, entitled “Electrical Receptacle Fault Protection,” which claims the benefit of priority to U.S. provisional applications 62/222,904, filed Sep. 24, 2015, 62/366,910, filed Jul. 26, 2016, and 62/377,962, filed Aug. 22, 2016, all the contents of which are herein incorporated by reference into the Detailed Disclosure herein below.

### BACKGROUND

This disclosure is related to protection of electrical receptacles, more particularly, to tamper resistance, arc fault protection, ground fault protection, overcurrent protection, and surge suppression for electrical receptacles and similar devices.

Conventional tamper resistive (TR) electrical receptacles employ mechanical means such as spring loaded gates, shutters or sliders on each of the outlet sockets to prevent insertion into the outlets of objects other than prongs of electrical plugs. Shutters or gates on each outlet socket must be pushed simultaneously to allow prong entry. Preclusion of foreign objects serves to avoid the likelihood of shock, burn or electrocution.

Conventional TR devices, however, have inherent disadvantages. Excessive force may be required to open the gates, as the plug blades must be perpendicular to the front face of the outlet and well aligned prior to simultaneous opening of the shutters. Often an equivalent force must be exerted on each blade in order to open the gates. These receptacles are thus difficult to use when located close to the floor or behind an article of furniture, especially for elderly and special needs individuals. Once the blades pass a tamper resistance gate and make contact with the sprung outlet terminals, the blades attain power even though they may not be completely inserted. Until the blades are fully removed past the tamper resistant gates or shutters the blades remain energized. Exposed blades prior to complete insertion or removal can result in arcing and electric shock. Moreover, with a live load connected with the TR receptacle, an arc fault circuit interrupter (AFCI) may false trip.

Various conventional circuit interruption devices exist for arc fault protection, ground fault protection, overcurrent protection, and surge suppression. An arc fault is an unintentional electrical discharge in household wiring characterized by low and erratic voltage/current conditions that may ignite combustible materials. A parallel current fault results from direct contact of two wires of opposite polarity. A ground current fault occurs when there is an arc between a wire and ground. A series voltage fault occurs when there is an arc across a break in a single conductor. When a ground or arc fault is detected, power is conventionally terminated on the circuit by an AFCI or ground fault circuit interrupter (GFCI) disconnecting both receptacle outlets and any downstream receptacles.

The devices include transformers that combine magnetic representations of the current in an analog form. Transformer current sensors are limited to a fixed current value and time interval. Upon sensed voltage imbalance of greater than a specified level, such as 6 mV, power is interrupted by

electromechanical means, such as solenoid tripping a locking mechanism. The conventional devices lack capability to disconnect outlets individually, independently of other loads connected to the outlet.

A normal arc can occur when a motor starts or a switch is tripped. Only current flow imbalance between the hot and neutral conductors is detected by conventional circuit interrupters. The individual current line difference is not monitored. Conventional circuit interrupters trip frequently by false triggers, as they lack adequate capability to distinguish between normal arcing and unwanted arcing. Transformer current sensors are limited to a fixed current value and time interval. Upon sensed voltage imbalance of greater than a specified level, such as 6 mV, power is interrupted by electromechanical means, such as solenoid tripping a locking mechanism. The conventional devices lack capability to disconnect outlets individually, independently of other loads connected to the outlet.

As indicated above, needs exist to improve the usability and safety of existing conventional receptacles. Existing conventional GFCI and AFCI receptacles do not provide detail about a fault. Currents are not being individually measured. Existing conventional GFCI and AFCI receptacles do not measure, monitor and control current and voltage, and do not protect against overcurrent, under voltage or over voltage at the outlet. It would be desirable to limit interruption of power to affected outlets, receptacles or devices only on the circuit, based on the type and location of the fault. Overcurrent protection at the outlet is preferable to the protection provided by the circuit breaker as it would avoid delay as well as associated voltage losses associated with wire resistance along increasing wire length. Such voltage losses impede the ability of existing circuit breakers to detect a short circuit at a remote location.

There is a need for overcurrent protection that more effectively distinguishes between short circuits, momentary overcurrent and overload so that false triggering can be avoided. There is a need for a receptacle that can provide local overcurrent protection as well as protection against arc faults and ground faults.

Conventional existing dual amperage receptacles will supply up to 20 A to an appliance rated for 15 A and potentially cause an overcurrent event. There is a need for a dual amperage (e.g. 15 A/20 A) receptacle that restricts amperage supplied to a lower rated plug when a low rated appliance is plugged in.

Current measurement accuracy is important for effective ground and arc fault detection as well as overcurrent protection. Conventional receptacles are factory calibrated and not re-calibrated by the device once installed. There is a need for continued self-calibration of receptacles and outlets.

If the hot and neutral conductors have been incorrectly wired to the receptacle terminals, electrical equipment plugged into the receptacle can be damaged. Incorrect wiring can cause short circuits with potential to harm the user through shock or fire. There is a need to warn the receptacle installer that the receptacle has been incorrectly wired and to preclude supply power to the load in such event. It would also be desirable that the outlet not be operational if the black wire and white wire are incorrectly connected to the opposite terminals.

Conventional outlets lack surge protection features, which are typically provided by power strips and power bars. A power strip is inserted into a receptacle after which a sensitive electrical device is plugged into one of the power strip extension receptacles. Use of the power strip tends to lead to a false impression that it is safe to insert additional

loads that more than permissible. There is a need for surge protection at the electrical receptacle to avoid use of a dedicated power strip and its attendant disadvantages of power loss and limited life.

It is possible to plug a GFI extension cord or a power strip with a comprised ground prong into a two blade ungrounded receptacle by using a "cheater plug" that allows the ground prong to be inserted without a present ground. It is also possible to replace an ungrounded two blade electrical receptacle with one with ground socket without actually providing a conductor to ground pin. Conventional existing receptacles do not indicate that the supply side safety ground is present or if it is compromised. There is a need to protect the user and the equipment in the event of an incorrect grounding of an electrical receptacle. If no safety-ground is present and a wire conductor is exposed (e.g. has degraded insulation) the user may act as the ground path and receive a shock.

Traditionally, GFCI manual testing is accomplished by injecting a current imbalance. A thoroid type transformer is typically used to measure the current imbalance between neutral and hot conductors. The monitoring circuit indicates that an imbalance has occurred without indicating the amount of imbalance. This method is limited in that the absolute value of current imbalance is not available. There is merely a voltage level that indicates that an imbalance or fault has occurred. There is a need for more comprehensive self-testing and interruption of supply power to downstream and/or receptacle loads upon fault detection or an internal component fault.

#### SUMMARY OF DISCLOSURE

The needs described above are fulfilled, at least in part, by an electrical receptacle having a plug outlet that has first and second contacts for electrical connection to hot and neutral power lines. A controlled switch, such as a TRIAC, is connected in series relationship with the hot power line. Sensors are coupled to respective plug outlet contacts. Sensor signals are input to a processor having an output coupled to the control terminal of the controlled switch. The processor outputs an activation signal or a deactivation signal to the controlled switch in response to received sensor signals that are indicative of conditions relative to the first and second contacts. When a plug is inserted into the plug outlet, the processor can output the activation signal at or near the zero volt level of the alternating current waveform. If the electrical receptacle is incorrectly wired, the processor will preclude outputting an activation signal.

The receptacle may include a second plug outlet with a second controlled switch connected in series relationship to the hot power line. Sensors are coupled to the contacts of the second plug outlet to supply input to the processor. The processor outputs an activation signal or a deactivation signal to the second controlled switch in response to received sensor signals that are indicative of conditions relative to the contacts of the second plug outlet. The processor signals output to the first and second controlled switches are independent of each other. Deactivation of the receptacle would not affect another receptacle connected across the hot and neutral power lines. Deactivation signals to the controlled switches are applied before a mechanical breaker can be activated. Protection against voltage surge can be provided by a varistor coupled across the hot and neutral lines. The receptacle may include a downstream electrical connection to a second electrical receptacle having a second voltage surge protection circuit, thereby providing

a tighter voltage capping tolerance. An interrupt detection circuit is coupled to the contacts of each plug contact and provides an input to the processor. In response to an interrupt detection circuit, the processor outputs a deactivation signal to the respective controlled switch.

A mechanical switch mechanism can be electrically connected to the power source. A detector, such as an optical switch, corresponding to each prong socket contact, is connected to the switch mechanism and the power source when the switch mechanism is activated by insertion of one or more objects in the plug outlet. The processor generates an activation signal to the control terminal of the controlled switch of the prong socket in response to two or more objects being detected by the plurality of detectors within a specified time. The switch mechanism may comprise a mechanical switch, corresponding to each prong socket, which comprises a switch plunger depressed by deflection of a spring contact when an object is inserted in the socket. An indicator may be coupled to the processor to indicate that objects have not been inserted in the plug sockets within the specified time.

The receptacle may include a first circuit board for a hot line prong socket for each plug outlet, with high power control circuitry for electrical connection from the hot line to each hot line prong socket. A second circuit board, spatially separated from the first circuit board includes a neutral line prong socket for each plug outlet, with communication circuitry for electrical connection from a neutral line to each neutral line prong socket. Both circuit boards may be planar and configured parallel to each other.

A current sensor, coupled to the hot power line, can sense ground fault, arc fault or over-current conditions. The current sensor provides input to the processor to output a deactivation signal to the switch control terminal upon indication of such fault conditions. The processor may be mounted on a circuit board housed within the receptacle.

The processor can record a number and intensity of overvoltage occurrences of the receptacle and output an end-of-life indication based on a maximum number threshold or intensity of the overvoltage occurrences. A processor memory is provided to store sampled signals from the power lines. A memory can store criteria for temporal signal imbalance, waveform criteria, minimum values, maximum values, table lookup values, reference datasets and/or Fourier analysis criteria, with which the sampled signals are compared. Such storage may include a minimum monitoring time period of the sampled signals, which is sufficient to detect a possible fault, and a reference lookup table comprising criteria relating to a temporal signal imbalance occurrence of the sampled signals.

The processor can reconstruct waveforms of the sampled signals. From the sampled signals, the processor may determine that a sum of current of all hot lines is not equal to current of a neutral line, or within a set threshold, or determine temporal imbalance from sampled current signals of the hot line. From such determinations the processor can apply a deactivation signal to an associated switch control terminal.

The receptacle may further include a communication subsystem for communicating with a downstream load or a second electrical receptacle that is downstream of the receptacle. Stored current fault criteria may include a threshold for the sum of current of the plug outlet and current downstream of the electrical receptacle. The processor can sample signals at the upstream plug outlet and determine that a fault, such as a ground fault, occurs at the second electrical receptacle. After waiting a specified delay period,

the processor may communicate a signal to the downstream receptacle only for deactivation thereof. The specified delay period allows time for the second receptacle to deactivate in response to the fault. A shorter delay period can be imposed for deactivation for a fault at the input of the first receptacle.

A plug orientation sensor may be coupled to the plug contacts. Threshold current fault values for different plug orientations, for example 20 ampere plug orientation and 15 ampere plug orientation, may be stored in processor memory. The processor can determine if the plug outlet has received a plug without a ground prong. The processor, in response to input from the plug orientation sensor, can output a deactivation signal applicable to the respective plug orientation.

The processor is configured to perform self-testing of the electrical receptacle to determine if there is an internal component failure. Self-testing can be performed in an ongoing or periodic routine. The processor is also capable of recalibrating sensors, including voltage and current sensors. Such calibration can be effected by coupling a constant current source to the processor. A deactivation control signal can be generated in response to a fault determination during the self-testing routine.

Additional advantages of the present disclosure will become readily apparent to those skilled in this art from the following detailed description, wherein only the preferred embodiments of the invention are shown and described, simply by way of illustration of the best mode contemplated of carrying out the invention. As will be realized, the invention is capable of other and different embodiments, and its several details are capable of modifications in various obvious respects, all without departing from the invention. Accordingly, the drawings and description are to be regarded as illustrative in nature, and not as restrictive.

#### BRIEF DESCRIPTION OF DRAWINGS

Various exemplary embodiments are illustrated by way of example, and not by way of limitation, in the figures of the accompanying drawings in which like reference numerals refer to similar elements and in which:

FIG. 1A is an isometric exploded view of a tamper resistant (TR) electrical receptacle in accordance with an example embodiment;

FIG. 1B is a detail view taken from FIG. 1A;

FIG. 1C is a front view of the TR receptacle of FIG. 1A;

FIG. 1D is a section view taken from FIG. 1C;

FIG. 1E is a front view of TR receptacle of FIG. 1C shown with a plug inserted;

FIG. 1F is a section view taken from FIG. 1E.

FIG. 2 is a circuit diagram for the example embodiment of FIG. 1A, utilizing GFI protection;

FIG. 3 is a flowchart for operation of the circuit of FIG. 2;

FIG. 4 is a more detailed circuit diagram of the example embodiment of FIG. 1A, including GFI tester and sensing, and communications module;

FIGS. 5A and 5B are a flowchart for operation of the circuit of FIG. 4;

FIGS. 6A-A, 6A-B, 6B, 7A, 7B-A, 7B-B, 7C together comprise a circuit diagram for AFCI and GFCI and surge protection, taken with the circuit diagram of FIG. 4;

FIG. 8 is a detailed schematic representation of the processor, communications module and logic elements of the circuit diagrams of FIGS. 6A-A, 6A-B, 6B, 7A, 7B-A, 7B-B, 7C;

FIG. 9 is a flowchart for operation of the processor of FIG. 8;

FIG. 10 is a GFI manual test flowchart for operation of the processor of FIG. 8;

FIG. 11 is a processing task flowchart for tamper resistance blade detection circuitry of FIGS. 6-8;

FIG. 12 is a sampling flowchart for the ADC circuitry of FIGS. 6A-A, 6A-B, 6B, 7A, 7B-A, 7B-B, 7C and 8;

FIG. 13 is an AFCI flowchart for the circuits of FIGS. 6A-A, 6A-B, 6B, 7A, 7B-A, 7B-B, 7C and 8;

FIG. 14 is an ADC reset process flowchart for the circuits of FIGS. 6A-A, 6A-B, 6B, 7A, 7B-A, 7B-B, 7C and 8;

FIG. 15 is a GFI Test flowchart for the circuits of FIGS. 6A-A, 6A-B, 6B, 7A, 7B-A, 7B-B, 7C and 8;

FIG. 16 is a GFI reset process flowchart for the circuits of FIGS. 6A-A, 6A-B, 6B, 7A, 7B-A, 7B-B, 7C and 8;

FIG. 17 is a surge test process flowchart for the circuits of FIGS. 6A-A, 6A-B, 6B, 7A, 7B-A, 7B-B, 7C and 8;

FIG. 18 is a data table for the processor of the example embodiment;

FIG. 19 is an auto/self-test process flowchart for the example embodiment;

FIG. 20A is a plan view of the receptacle of example embodiment;

FIG. 20B is a view of the receptacle from FIG. 20A with a plug inserted;

FIG. 21 is an isometric view the example embodiment of the receptacle with side heat sink;

FIG. 22 is a partial view of the receptacle of FIG. 21 shown with a ground plate;

FIG. 23 is an isometric view of the example embodiment for a 15/20 A receptacle;

FIG. 24 is a partial view of the receptacle shown in FIG. 23 with ground plate and heat sink flange;

FIGS. 25A-25E are various views of a 15 A plug inserted into a daughter board of the receptacle shown in FIG. 23;

FIGS. 26A-26E are various views of a 20 A plug inserted into the daughter board of the receptacle shown in FIG. 23;

FIG. 27A is a front view of an example receptacle embodiment with micro-switch implementation for blade detection;

FIG. 27B is a section view taken from FIG. 27A;

FIG. 28 is an isometric view of single circuit board of the embodiment of FIGS. 20A and 20B;

FIG. 29 is an isometric view of the blades of a plug in the single circuit board embodiment shown in FIG. 28; and

FIG. 30 is an isometric view of blades of a 20 A plug in the single circuit board embodiment shown in FIG. 28.

#### DETAILED DISCLOSURE

FIG. 1C is a front view of receptacle 2 without plug insertion in outlets 6. Referring to the isometric view of FIG. 1A, receptacle 2 includes front housing 4 and rear housing 16. Sockets 8 in front housing 4 serve to receive plug blades for each of two outlets 6. Enclosed within housing 4 and 16 are ground plate 10, neutral circuit board 14, hot circuit board 12 and terminal plates 13. Terminal screws 15 provide fastening to power wires. FIG. 1B is an enlarged detail view of a portion of FIG. 1A. Lever 19 is positioned in the path of a contact 20 of each outlet 6. Detector switch 18, positioned on circuit board 14, can be activated to energize a low voltage circuit by tripping lever 19 when an object has been inserted into the left opening in the socket. An optical sensor, comprising emitter 22 and collector 24 is powered by the low voltage circuit when activated. Two optical sensors are provided for each outlet 6. The optical sensors are

coupled to control circuitry responsive to signals received therefrom. The circuitry permits connection between power terminals **13** and contacts **20** of outlet **6** if optical sensor signals are indicative of non-tamper conditions. Control circuitry for the circuit boards is shown in detail in the circuit diagrams of FIGS. **2**, **4**, and **6-8**.

FIG. **1D** is a section view taken from FIG. **1C**. FIG. **1E** is a front view of receptacle **2**, shown with plug prong blades **32**, inserted in an outlet **6**. FIG. **1F** is a section view taken from FIG. **1E**. Referring to FIG. **1D**, as no object has been inserted in the socket, lever **19** has not moved to activate detector switch **18**. The low voltage circuit portion to which the optical sensor connected thus does not provide power to emitter **22**. Collector **24** does not produce output signals. No connection is made between terminals **13** and contacts **20**.

Referring to FIG. **1F**, detector switch **18** lever arm **19** has been tripped by blade **32** inserted in socket **8**. Contacts **20** are sprung open by the application of force on blades **32** of plug **30**. Power is applied to the low voltage circuit by virtue of tripped detector switch **18**. The low voltage power remains applied when lever **19** is in the tripped position, i.e., whenever an object has been inserted in socket **8**. Emitters **22** above each socket are active to produce light. Each collector produces an output signal when exposed to light produced by the corresponding emitter. As shown, collectors **24** beneath blades **32** do not produce output signals because the prong blades located in the path between emitters and collectors have blocked the light transmission.

In operation, when a plug or foreign object is inserted in the left socket **8** of outlet **6**, lever **19** is moved to the tripped position before the inserted object makes contact with the socket contacts **20**. During this time, power is applied to the low voltage circuit and to emitters **22** of the respective outlet **6**. As object insertion has not yet reached contacts **20**, each collector **24** receives emitted light and produces an output signal to the control circuitry. The control circuitry will not permit connection between power terminals **13** and contacts **20** of outlet **6** if a light output signal is received from either collector. As insertion of the plug advances to socket contacts **20**, as depicted in FIG. **1F**, emitted light from both emitters is blocked and no signal is produced by collectors **24**.

The control circuitry is capable of determining the time difference, if any, between termination of light signals received from both collectors **24**. If the time difference is determined to be near simultaneous, for example within twenty five milliseconds, the control circuitry will effect connection of contacts **20** to terminals **13**. That is, simultaneous or near simultaneous sensing of insertion at both sockets is indicative of non-tampering. If a foreign object is attempted to be inserted into a socket, or if insertion of the plug cannot be completed to the contacts **20**, collector output signals preclude connection of the contacts to the terminals **13**. Connection of the sockets **6** of the receptacle are those controlled independently of each other.

Referring to the circuit diagram of FIG. **2**, an N contact of each outlet **2210** and **2212** of the receptacle is directly connected to the N (neutral) terminal of the alternating current source. The L contact of each outlet **2210** and **2212** is coupled to the L (hot) terminal of the alternating current source through a respective TRIAC. Metal oxide varistor (MOV) **2224** is connected across the L and N terminals to protect against overvoltage. Driver circuit **2206** is coupled to the control terminal of the TRIAC of outlet **2210**. Driver circuit **2216** is coupled to the control terminal of the TRIAC of outlet **2212**. Power supply **2202**, connected across the L and N terminals, corresponds to power supply **18** of FIG.

**1B**. Optical sensor arrangement **2218** contains optical emitters and receivers that correspond to emitter **22** and **24** of FIG. **1B**. Switch **2211**, which corresponds to switch **19** of FIG. **1B**, is connected between optical sensor arrangement **2218** and power supply **2202** when an object has been inserted into the socket of outlet **2210**. Optical sensor arrangement **2220** contains optical emitters and receivers that correspond to emitter **22** and **24** of FIG. **1B**. Switch **2213**, which corresponds to switch **19** of FIG. **1B**, is connected between optical sensor arrangement **2220** and power supply **2202** when an object has been inserted into the socket of outlet **2212**.

Logic core **2214** (aka a processor) comprises inputs connected to receive signals output from optical sensors **2218** and **2220**. Outputs of logic core processor are connected respectively to driver circuits **2206** and **2216**. Outputs of processor **2214** are connected to LED1 and LED2 for energization thereof to indicate that objects have not been inserted in the respective plug sockets within a specified time. Processor **2214** is further connected to ground fault injector **2204** to generate a trip output for a current imbalance. The disclosed logic circuitry may include an AND gate or the like to receive signals from the optical sensors.

FIG. **3** is a flow chart of operation for the circuit of FIG. **2**. At step **300**, operation is started. Initialization proceeds at step **302** with power supply **2202** connected to the alternating current terminals. At step **304**, there has been no activation of the TRIAC of a respective outlet. Step **306** is a decision block as to whether switch **2211** or **2213** has been tripped to supply power to the corresponding optical switches and whether the L or N socket optical switch has been initially set by blockage of emitted light. If so, a delay timer is started at step **308**. Decision block **310** determines whether both L and N socket optical switches are set by blockage of emitted light. If the outcome of step **310** is positive, decision block **318** determines whether the positive output of step **310** has occurred within 25 ms. If the outcome of step **318** is positive, an ON status LED is activated at step **320**. If there has been no fault detected at step **322**, the respective TRIAC is activated at step **324** and activation thereof is continued as long as both L and N optical switches are set by emitted light blockage, as determined in step **328**. A negative outcome of step **328** results in turning off the status LED at step **330** and flow reverts to step **304**, in which the TRIAC is disabled.

If the outcome at step **310** is negative, the timer continues until it is determined that 25 ms has expired at step **312**. A positive outcome of step **312** is indicative that a foreign object has been inserted in a respective socket to initiate an alarm in step **314**. Decision block step **316** determines whether optical switches for both L and N sockets have cleared. When the outcome of step **316** is positive, flow reverts to step **304**. The 25 ms delay period for TRIAC activation is intended to allow for slight variations in plug blade length within manufacturing tolerances or slight misalignment of the blades in the sockets during insertion, while not being long enough to permit connection to the power source by insertion of distinct foreign objects.

FIG. **4** is a more detailed circuit diagram, illustrating enhancements to FIG. **2**, for operation of the embodiment of FIGS. **1A-1F**. Current sensor **2228** is coupled to the hot line current path for the socket of outlet **2210**. The output of current sensor **2227** is connected to an input of processor logic core **2214**. Current sensor **2230** is coupled to the hot line current path for the socket outlet **2212**. Wireless communication module **2232** is connected to a data input/output terminal of processor logic core **2214**. Protocol for wireless

communications may include Wifi, Zigbee or other protocols. Power line communications module **2234** is coupled between the alternating current source and a signal input of logic core **2214**. Manual test button **2205** may be used for GFCI testing.

FIGS. **5A** and **5B** together form a flow chart for operation of the circuit of FIG. **4**. Elements of FIGS. **5A** and **5B** that are in common with those of FIG. **3** contain the same reference numerals and the description thereof can be referred to the description of FIG. **3**. FIG. **5A** differs from FIG. **3** in the respect that the decision branch from decision block **322** has changed from step **324** and expanded to decision blocks **323** and **329**. Steps are provided for related communications beginning at step **334**. At step **334** communication is sent to the network that the plug has been successfully inserted. Decision block **336** establishes whether the network power should be enabled. If so, steps **338**, **340** and **342** are processes related to power measurement and dimming. If not, steps **344**, **346** and **348** deal with disabling the Triac and any resulting Triac faults (decision block **346**). Upon a fault detection, GFI tripping is enabled in step **348**.

FIGS. **6A-A**, **6A-B** and **6B** are a more detailed circuit representation of FIGS. **2** and **4**, including a plurality of receptacles in a system for protection against AFCI, GFCI and surge faults. For ease of clarity, FIGS. **6A-A**, **6A-B** and **6B** is divided into three sections, reproduced in FIGS. **7A-7C**. Referring to FIG. **7A**, power input lines are connected to hot power terminal **11** and neutral power terminal **12**. MOV **20** is connected across the hot power and neutral power lines to protect against overvoltage. Power supply block **10**, fed from the hot and neutral power lines, provides low voltage power to the processor logic circuitry. The processor circuit may comprise a microcontroller **80**, shown in detail in FIG. **8**. Microcontroller **80** may contain a broadband noise filter routine such as fast Fourier transform.

The output of power supply block **10** is coupled to current and voltage sensors block **30**, and TRIAC drive blocks **40**, **50** and **60** of the processor circuit. Block **30** may represent a plurality of sensors, which are not shown here for clarity of description. Blocks **50** and **60** are illustrated in FIGS. **7B-A**, **7B-B**. Activation of TRIAC **43** by drive block **40** connects hot and neutral line power to terminals **13** and **14**, which connect to three series outlets **100** and two parallel outlets that are downstream, shown in FIG. **7C**. Activation of TRIAC **53** by drive block **50** connects the hot line to upper outlet **54**, shown in FIGS. **7B-A**, **7B-B**. Activation of TRIAC **63** by drive block **60** connects the hot line to lower outlet **64**. GFI test push button switch SW1 and reset push button switch SW2 are connected between the output of supply block **10** and the processor circuit. GFI and AFCI test circuits **74** and **76** receive outputs **75** and **77**, respectively, as shown in FIGS. **7B-A**, **7B-B**, from the microcontroller **80**, shown in FIG. **8**. All inputs and outputs shown in FIGS. **7A-7C** relate to the respective terminals of similar references in the processor of FIG. **8**.

Each outlet **54**, **64** of the receptacle has tamper resistance that restricts energizing of the sprung contacts until the blades of an electrical plug are completely inserted into the receptacle. Multiple sensor inputs **55**, **56**, **57**, **58**, **65**, **66**, **67**, **68** for the plug blades of outlets **54** and **64** are shown in FIGS. **7B-A**, **7B-B**. The sensors sense the arrival of the blades. If the arrivals are within a specified period of time, the outlet is energized. The device will only turn ON power to the particular outlet, when it detects that the two power plug pin detection circuits have detected that the BLK & WHT plug pins have been inserted. The circuits provide a

logic signal which operates as an interrupt to the microcontroller, so it will turn ON or OFF the TRIAC driver circuit (logic Output signal) **41**, **51**, **61**. There is also a respective TRIAC fault signal **42**, **52**, **62** which is provided for each power TRIAC.

Upstream series arc faults can be detected by monitoring voltage **31**. During a series arc fault the voltage on the conductor tends to be erratic and does not follow sine wave attributes. By monitoring current **30** on the hot and neutral conductors and comparing it to the ground conductor, the presence of an arc fault is detected and the severity of the arc fault is reduced by disabling the receptacle outlets **54**, **64** and/or the downstream loads **14** to minimize current flow. Different arc fault types have different timing profiles. The logic processing can compare sensed data to reference data that can be stored in a table.

As noted above, FIG. **8** sets forth in detail the input and output pins of the microcontroller **80**. Included in the receptacle with microcontroller **80** is communication module **90**. Communication terminals **91** and **92** are connected to corresponding pins of microcontroller **80**. The antenna provides communication with circuit receptacles to allow monitoring of the current draw of the circuit. Information from monitored voltage and current can be analyzed, accessed, reported and/or acted upon. Power to and from any outlet can be turned on and/or off by external commands to the communications module. A buffer interface, not shown, can be added to communications lines **91** and **92**. Data from microcontroller **80** can be collected by an external software application to provide external controls such as dimming, turning power on/off, controlling power outputs, or for obtaining information on power outputs.

FIG. **9** is a flowchart of null task process **900** routines implemented by processor **80**. Signals to processor **80** generate interrupts in accordance multi-interrupt structure **902**, **904**, **906**, and **908**. Any of received reset interrupt signal **902**, push button test interrupt signal **904**, tamper resistant related interrupt signal **906**, and a-d converter (ADC) interrupt signal **908** triggers an interrupt for execution of the appropriate subsequent routine.

Interrupt **902**, caused by a push button activated fault or by a requirement for a reset, such as need for a power up/startup, triggers step **920** to activate the ADC Initialization process. Subsequently, if step **918** determines that the GFI flag is set, then step **922** initiates GFI process steps depicted in FIG. **16**, to reset and/or initialize GFI hardware. Tamper related interrupt **906**, triggers step **912**. Testing of Tamper Resistance is determined by sensing pins and responding to ADC interrupts. The process for **912** is depicted in FIG. **11**. Analog to Digital Conversion (ADC) interrupt **908**, indicating that the ADC completed a conversion of one of the analog voltages, triggers ADC sampling process **914**, depicted in FIG. **12**. PB Test Interrupt **904** initiates the GFI Manual test step routine **910** depicted in FIG. **10**.

The flow chart of FIG. **10** relates to a manual GFI test **1000**. Test Circuit is represented as block **76** in FIGS. **7B-A**, **7B-B**. Step **1002** determines whether the test push button (PB) is pressed or released. Step **1004** sets the manual test flag ("enabled") and tests the GFI test circuit if PB has been pressed. Step **1006** disables the manual test flag and the GFI test circuit, respectively, if PB is released. This process illustrated can also be applicable to a manual push button test for GFI other faults including but not limited to AFCI. The enabling of the MGFI test flag is to trigger a priority interrupt during the next logical processing step.

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FIG. 11 is a flowchart that is common for both the upper and lower outlets for detecting the insertion and removal of plug pins. Block 110 starts the tamper resistant function. Step 1102 verifies that TR processing is being done as indicated by the TR flag having been set. If the line (L) and neutral (N) pins are already inserted, the process returns to the Null Task polling routine 900 in FIG. 9. If the L and N pins have not been inserted, then the process continues to step 1104. As the triac should be off unless both L and N pins are detected to have been inserted each within a predetermined window timer (25 ms in this example), the triac is disabled. At step 1106, determination is made of whether an L or N plug prong is inserted. If so, the window timer at step starts at step 1108. If decision block 1110 determines whether both L and N plug prongs have been inserted in an upper or lower outlet in a receptacle within the acceptable 25 ms time frame, then step 1112 enables the Upper or Lower Triac for the "upper outlet" or for the "lower outlet" respectively. If not, step 1124 has determined that insertion of both prongs has not occurred within the 25 ms timeframe, and flow reverts to step 1104 to disable the triac.

The decision block at step 1114 determines whether a fault is detected in the triac circuit. If not, decision block at step 1116 determines whether a 20 amp or 15 amp pin has been inserted in the outlet. Depending on whether or not a 20 A Pin has been pressed or released, step 1118 will set 20 A or step 1120 will set 15 A as the maximum current.

If step 1124 determines that both pins aren't inserted within the required 25 ms timer parameter, then the process continues to step 1104 to disable the Triac. If a fault has been determined in step 1114, the process returns to step 1104 where the Triac is disabled.

FIG. 12 is the flowchart of the AFCI sampling process 1200 which takes place as a result of receiving an Analog to Digital Converter Interrupt 908 in FIG. 9 indicating the presence of a new analog value, which interrupt calls this sampling routine 1200 from block 914.

Once values of voltage and current (1-5 in block 1204) have been sampled, stored in the Data Table 1208 and a sufficient preset number (Samples Permissible Counter 31 in Data Table) of samples have been accumulated (steps 1204, 1206, 1207 and 1211), then values in the Data Table are processed according to the actions in block 1212 to be used for other purposes such as fault testing.

For each new analog value, the tasks in block 1204 are executed: establishing which line (1-5) was sampled; i.e. the Black/Line Voltage (1), the current of the upper outlet (2), the current of the lower outlet (3), the White/Neutral Current (4) and the downstream current (5). Upon receipt of one value for any of 1-5, the sample counter value (preset in this embodiment to the value 5) is stored (block 1204, step 6) in Data Table block 1208 (0) which value gets updated. This sample counter is then decremented (step 7) in order to read the next value (1-5) retrieved from MUX which is set to next logical input. Step 8 in block 1204 then reloads the value of the ADC ("A/D") Timer found in Data Table block 1208 (30) to the ADC control register to reinitialize. The MUX is an analog multiplexor which selects for the ADC one of the 8 permissible analog inputs (in this embodiment, only 5 are used for analog signals).

One ADC generates one value based on the MUX selecting the next of one of the 5 analog inputs signal values to be processed, reloading the timing register in the processor which is for the Analog Digital conversion. A/D sample Timer (30) in the Date Table 1208 is the number of processor clock cycles to wait (e.g. 16) before the processor's ADC generates the next analog value to be stored. As it is ADC

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hardware dependent, the 16 clock cycles could be a different value for another processor. Decision block 1206 tests to see if the sampling processes in block 1204 have been repeated five times to acquire the five analog measurements (1-5 in block 1204), based on the Sample Counter being decremented (7, block 1204) from five to zero.

Data Table 1208 builds values in locations 1-5 from the sample values 1-5 obtained in block 1204 and is stored in the Data Table based on the sample counter (0).

During the process 1204, the Sample Counter which is decremented ranges from 1 to 5, and is used as a pointer in the Data Table 1208, being an index indicating which of the 100 to 500 arrays to use.

Decision block 1206 determines that if the Sample Counter has not decremented down to zero, then the process returns to null task FIG. 9 waiting for next ADC interrupt signal.

Once the counter has decremented to 0, sampling will repeat until sufficient samples have been collected based on the value in Samples Permissible 31, Data Table 1208.

For example, in this embodiment, as 99 sample values are being accumulated for each of the 1-5 power signals, then 99 sample values of the Black Voltage these would be stored in the Data Table as 101 to 199; 99 sample current values for the upper outlet in 201-299; 99 sample values for the lower outlet, in 301 to 399; 99 sample values for the White Current, in 401-499; and 99 sample values for Downstream Current, in 501-599.

The steps in block 1207 and the decision block 1211 cause the sampling of the signal values to take place for 99 times to be used to determine AFCI signature, and to calculate averages (RMS) for example. Decision block 1211 using the changing value in 31 of Data Table 1208, determines if the value in the Samples Permissible Counter (31) has been decremented from 99 to 0.

In an embodiment, in FIG. 12 ADC values are read from the ADC register and stored in data sets and then the data is processed. In this embodiment 99 values have been used for each of the five power types, as being sufficient to represent the sine wave signature. The sample values (100-599) are used after processing to detect spikes, etc. occurring in the values in the Table.

At block 1212, there now are a full set of values within each of the 5 arrays 100, 200, 300, 400 and 500.

From the samples collected in each of 100, 200, 300, 400 and 500 series, peaks can be calculated (11, 12, 13, 14, and 15), as well as averages (6,7,8,9 and 10).

Subsequent to processing steps in block 1212, four types of tests are performed; namely, AFCI (1214,1216), GFI (1218), Surge (1220) and Auto/Self (1222). However, in another embodiment, the data sampled could also be processed for Peak Values (11-15 in the Data Table 1208), power spikes could be tested for; similarly RMS (average) values could be used to monitor, test and disable power for brownout and/or other conditions.

Following the processing of the Data Table 1208 and establishment of an AFCI signature in 1212, the signature block 1214 tests for the presence of an AFCI Signature. If AFCI signature is found it continues to step 1216 to process AFCI tasks on FIG. 13.

FFT (Fast Fourier Transform) is a possible method of extracting frequencies out of a Data Table. The FFT is looking at the values in 100-599.

The detection of spikes indicates that there is arcing; i.e. high frequency pulses. FFT finds the frequency that is indicative of the arcing, then values are checked for duration and amplitude. If decision table 1214 does not find an AFCI



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signature, the process continues to block **1218** to determine if GFI fault conditions exist. Subsequently the process continues testing for Surge **1220** and then Auto/Self Test **1222**.

Other tests could be incorporated, for example, for over-voltage and brownouts. Similar to GFI and Surge, all the raw data required exists in the Data Table **1208**.

Referring to the flowchart of FIG. **13**, block **1300** starts processes for AFCI signatures and establishes whether and where there may be an AFCI fault requiring power to be shut off. Various types of processing activities for various types of AFCI interrupts which can take place due to voltage faults on the Black line in series, and/or current faults due to faults on the local outlet or downstream. These are listed in block **1302**.

In Block **1302**, Black Voltage signals are processed as these can signal Serial AFCI ("BLK V Serial AFCI") conditions. Current on the white ("WHT") for the local and for the downstream is processed for parallel AFCI fault signals. Block **1302** also references Serial, Local and Downstream ("Down") preset counters for the Black Voltage Serial (**4**), Local (outlet) Current Parallel (**5**) and Downstream Current (**6**) AFCI conditions. In addition to event counters, there are timers for each of the three conditions (**8**, **9**, **10**). In this embodiment, both conditions of minimum number of events and maximum timing must be met to turn off the Triac(s) at block **1320**. The counters are used to minimize false triggers (e.g. an acceptable motor startup) of a non-AFCI condition provided the flag occurred a certain number of times and within a short time window such as 4 seconds for the series, local and downstream timers (decision block **1305**) indicating a valid AFCI condition requiring turning off of the power.

The Data Table **1304** in FIG. **13** is the same as table **1208** shown in FIG. **12**, as the values are re-used for different conditions. If an AFCI fault has been detected at steps **1306**, **1308**, **1310** then the processes in Block **1320** cause the Triac(s) to be turned off, cutting power at the local outlet and downstream. Counters, timers, AFCI and related flags (eg Triacs) are reset. Process continues to Null Task.

In an alternative, it is possible to shut off power the power only to the local outlet or receptacle could be shut off, and not to devices further downstream.

FIG. **14** is a flowchart of the ADC reset process. Interrupt **902** (FIG. **9**) signals a manual power reset or power startup condition requiring an ADC reset action for hardware and power initialization tasks to be executed. Block **1402** initializes and resets certain counters and values:

Preset value (e.g. 16), representing the clock cycle, is loaded in **30**, Table **1304** Value of 16 is specific to particular ADC hardware; ADC Converter counter is set to the value 5 in Table **1304(0)**; ADC Register Timer is set by storing the value in Table **1304(30)** in the ADC Register Timer; ADC Converter Samples Permissible Counter in Table **1304(31)** is reset to 99; AFCI Counters and GFI Counters are reset.

Although other processes may turn on the power Triac(s) independently of a TR testing requirement, in process **1400**, Triacs are not turned on at steps **1408**, **1412** and **1416** unless the TR function requirement has been met by decision box steps **1406**, **1410** and **1414**. Steps **1406**, **1410** and **1414** turn on the appropriate power Triac(s), depending on whether the Upper Outlet, Lower Outlet and/or Downstream flags have been set.

If **1406** indicates that there is nothing wrong in the upper outlet, the Upper Outlet is turned on at step **1408**. If step **1410** indicates determines that the Lower Outlet flag is set, indicating that there is nothing wrong with the Lower Outlet,

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then the Lower Outlet Power/Triac is turned on at step **1412**. If step **1414** verifies that the Downstream power feature is active (i.e.) the enable flag has been set, the Downstream is made available for processing by turning ON the Downstream Power/Triac at step **1416**. Turn on (or off) of the Power/Triac for downstream is made for the entire receptacle, although this action could be restricted to one or both of the outlets in the receptacle only.

FIG. **15** is GFI test flowchart, in contrast to AFCI which works on signatures (block **1214**, FIG. **12**). GFCI processing works on sample values, RMS values and durations, applying data table **1508**, elements 5-20. For example, the RMS (average) values are used for the Black ("BLK") 7, 8 and 10 which is for power in and out; the White ("WHT") 9 represents all return currents. As noted previously, the various data tables **1208**, **1304**, **1508** and the table of FIG. **18** represent the same processor memory storage. For example, creation of the data table **1508** has occurred during the processes in FIG. **12**.

The decision block of step **1510** determines that if the sum of the current of Upper and Lower outlets and the downstream current is greater than 6ma, then there is a GFI fault and the three power/Triacs are to be turned off for both the upper and lower outlets as well as for the downstream power. The signal Led Fault is turned ON and GFI Fault Flag is set. More specifically, step **1506** processes values in the Data Table **1508** and sums the RMS (average) values for the upper (**7**), lower (**8**) and down current (**10**). Decision block **1510** then determines if this sum is greater than the White Current (**4**) on a sample by sample basis than a predetermined current (in this embodiment 6 mA has been used), and if not, then there is no GFI fault.

Step **1510** compares the sum of individual values Upper, Lower and Down in 200-299, 300-399, 500-599 respectively, against the value of the matching white values in 400. If this sum of the upper, lower and downstream as compared to the White Current than 6 mA, then a fault is determined and **1512** turns off the power triac(s), whether for the upper or lower outlet and the downstream. The Fault LED is turned ON and the GFI Fault Flag is enabled. Optionally, following a predetermined period of time (e.g. 15 minutes), the system may auto reset, and test if the GFI fault still is present. If not, the system may automatically restart.

FIG. **16** is a GFI reset process flowchart. This GFI Reset routine block **1600** initializes GFI Hardware by turning OFF Fault LED, disabling the GFI Fault Flag, setting Enable Flags (TRIACS), and turning off the GFI Test Register. Decision blocks of steps **1606**, **1610** and **1614** establish if certain Power/TRIACS are to be turned on, depending on whether upper outlet TR flags, lower outlet TR flags and downstream enable flags having been set. Similar to the process in the flowchart of FIG. **14** which turns on power/Triacs used for any or all the upper, lower and/or downstream functions, the GFI reset process turns on any or all of the three Triacs during a GFI Reset process. Following reset, the process step **1618** continues to the GFI Test **1218**, FIG. **12**.

FIG. **17** is a surge test process flowchart for turning off power/Triacs for overcurrent and surges. The decision block of step **1702** determines if there is a flag indication that Surge Protection is a feature in the outlet. If not, the process returns to FIG. **12** block **1222** and proceeds to call the Auto/Self Test routine.

If the Surge test feature is enabled as indicated by the presence of a Surge Enable Flag at step **1702**, it has been determined that there is no Arc Fault occurring, and that there is no current imbalance between Hot and Neutral

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(GFI). At step 1706, Data Table samples are processed and the process continues to decision steps 1708, 1712, and 1716 to determine if current exceeds the permissible level (15 Amperes or 20 Amperes). Certain overages over the MAX may be permissible for a limited time duration to provide for cases of a limited surge such as a motor start-up.

Step 1706 processes the Data Table Samples (Block 1508): The Local Power is totaled "Local" by adding the RMS values of the Upper and Lower outlets, assuming two outlets are active in the receptacle. Then the sum of the Downstream RMS and the Local RMS generates "Total" Power. The decision blocks 1708 and 1712 then determine if the Downstream Current or Total Current, respectively, is greater than or equal to Max, in which case step 1710 turns off the Downstream Power/Triac, and turns ON Fault LED and appropriate flags. Max is a preset value based on whether the outlet is operating in 15A or 20A mode.

There is the capability to determine the Max current parameter depending upon the presence of 15A or 20A plug blade. For example, it may be permissible to draw 100% continuous current or 120% for less duration to provide for start up time such as inrush for a hair dryer or air conditioner. Decision block 1716 compares the Local value (sum of both Upper and Lower outlet) to the Max Current Parameter value. If greater, decision blocks 1724 and 1726 compare each of the upper and Lower outlets, shutting off the respective Power/Triacs and turning on the respective Fault LED(s).

FIG. 18 lists the elements in the Data Table. These are preset or accumulated, and/or processed during the execution of various routines. Of the 1 to 5 signals being monitored, 1, 2, 3 and 5 are done on the black input, and 4 ("WHT") is the return path. Current related information is used for GFI, Surges and Overcurrent processing; voltage, for AFCI serial, overvoltage and brownouts. The Sample Counter (0) is preset to a value of 5 as the embodiments are monitoring 5 current, or voltage values: Black Voltage, Upper Black Current, Lower Black Current, Down Black Current and White ("WHT") Current. Timers 21 to 26 are for tracking how long the events occurred. BLK shows individual load current drawn and WHT is the return path for all currents unless there is a fault.

FIG. 19 is an auto/self-test process flowchart that is initiated from FIG. 12, block 1222 and is primarily for auto/self testing of the system's hardware including but not limited to the GFI function (decision block 1908). The system may also test information from other sensors for calibration, temperature, etc.

If step 1901 determines that this is a manual test, then the processes in block 1906 are initiated. If a fault has been determined, the power is turned off at step 1904. Whether a self test as established in step 1902, or a manual test as determined in step 1901, step 1906 enables the GFI test circuit, reads the ADC values for the Upper, Lower, the White, and the Black & the White downstream, sums the Upper and Lower values, and disables the GFI Test Circuits.

Step 1908 tests whether an imbalance has occurred. If it was a manual test, the process continues to 1912. If it was an internal test and failed, the power is turned off. If it is determined in step 1910 that a manual test failed, the power is turned off.

FIG. 20A is a partial plan view of a physical layout of a receptacle, such as described with respect to FIGS. 1A-1F, operable by means of the circuits of FIGS. 6-8. A plug has not been inserted in the receptacle. FIG. 20A illustrates the receptacle of FIG. 20A with insertion of plug 160. Power circuit board 152 includes two sprung contacts 156. Daugh-

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ter circuit board 150 includes two sprung contacts 154. Circuit board 152 includes sprung contacts 156.

Boards 152 and 156 are substantially parallel to, and separated from, each other. Contacts 154 and 156 are aligned with each other, bridged across the separation by inserted plug blades 158, as shown in FIG. 20B. The two circuit boards allow separation between the high voltage power control logic components on circuit board 152 and circuit board 150, the latter containing sensing logic and communication components. More particularly, the voltage sensing, control, connection of high voltage to the plug pins, device power interconnect lines (Upstream [BLK/WHT IN]/Downstream [BLK/WHT Out]) 30 are included on power circuit board 152. Plug pin sensing logic elements are include on circuit board 150. This arrangement provides high efficiency of the power circuitry, as the high current traces are all together. Ability of the GFI & AFCI protection is afforded to measure the currents on both the neutral as well as on the hot lines, and to reliably measure a fine current imbalance, for example as little as six milliamps.

Full insertion of plug 160 completes circuit connection of microcontroller with low voltage sensor circuits 55, 56, 57, 58 and 65, 66, 67, 68, depicted in FIGS. 6, 7B-A, 7B-B and 8. microcontroller 80 monitors the sensor contacts to determine whether the power is to be turned on or off. Circuit board 150 monitors the contact sensors to determine the insertion time of the plug neutral and hot blades. Ground prong 57, 67 insertion time is also assessed. The ground prong is longer than the hot and neutral blades. If a ground plug is present, it is detected first to establish distinctive timing criteria. The microcontroller will wait for the other blades to be inserted. Separation of the current sensors to a single board facilitates measurement of precision, calibration, and long term stability. There is no need to tamper with any of the high voltage variables that are stable, having already been calibrated. The separated board makes provision for addition of other communication functions, e.g., Bluetooth, Zigbee, WiFi power line communications while limiting the number of signals traveling between the two circuit boards.

The reliability and lifespan of electrical components are enhanced by maintaining them at a relatively low temperature. FIGS. 21 and 22 exemplify provision in the receptacle of an oversized ground plate that acts as a heat sink for the electrical thermal components that generate heat, such as the exemplified TRIACs. A ground plate width and height are maximized on the front face. A bent flange on the receptacle side adds to the surface area and strength for heat dissipation. The ground plate may be constructed of galvanized steel or alternate thermal conductive materials. Fins may be added to maximize heat conduction surface area. FIG. 23 exemplifies a 15/20 A embodiment of the receptacle. FIG. 24 depicts ground plate with heat sink flange for the receptacle shown in FIG. 23.

Referring to FIGS. 25A-25E, a 15 A plug 218 is inserted into the daughter board of the receptacle shown in FIG. 23. FIGS. 26A-26E illustrate insertion of a 20 A into the daughter board of the receptacle shown in FIG. 23. Sprung contacts 212 and 214 and 228 sense insertion of neutral blade 220. Hot sprung contact 216 only senses the insertion of the hot plug blade. A neutral blade 220 for a 15 A plug mates only with neutral sprung contacts 212 and 214, as depicted in FIGS. 25A-25E. Additional mating with contact 226 occurs only for insertion of a 20 A plug, depicted in FIGS. 26A-26E. Blades 214 and 216 are sensed to determine the arrival time of each of the blades to confirm insertion of a plug rather than foreign objects. The orientation of the

blades is also sensed by the contacts in order to determine if the plug configuration is for a 15 A appliance or a 20 A appliance **226**. On the neutral side, there is the possibility of two neutral plug blade orientations.

Referring to FIGS. **27A-27B**, micro switches **205** are used to determine whether there is full insertion of a plug blade. Sprung contacts depress switch push buttons upon insertion. Micro switch plunger **207** is depressed by the sprung contact **201** that is deformed when a plug blade is inserted into the outlet socket **203**. The side of the plug blade is used to determine insertion time, because the variation in blade length allowed by standard is quite large.

FIG. **28** is an isometric view of single circuit board that used both to sense blade insertion and supply power to the blades of the receptacles of FIGS. **25** and **26**. The receptacle housings and ground plate have been hidden for clarity. FIG. **29** depicts insertion of a 15 A plug in the circuit board of FIG. **28**. FIG. **30** depicts insertion of a 20 plug in the circuit board of FIG. **28**. This configuration of contacts allows assessment of the arrival of blades and supply of power to the power contacts. Identification of whether a 15 A plug or 20 A plug has been inserted permits setting of the maximum trip current of the outlet.

For each of the two outlets of circuit board **230**, there are two sprung hot contacts **232** and **234**. Hot contact **232** supplies power to the hot power blade. Hot contact **234** is the sensing contact. For each of the two outlets of circuit board **230**, there are three sprung neutral contacts **236**, **238** and **240**. Neutral contact **236** is the 15 A sensing contact, neutral contact **238** is the power contact and neutral contact **240** is the sensing contact.

Hot blade **244** closes the circuit between hot contacts **232** and **234**, effectively sensing the arrival of the blade. Slots **242** in contacts **232**, **234**, **238** and **240** are sized slightly smaller than the thickness of the blade to allow the contacts to spring outwardly when a blade is inserted and apply pressure on the blade ensuring electrical conduction.

Neutral 15 A blade **220** closes the circuit between neutral 15 A sensing contact **236** and neutral power contact **238**. Neutral 15 A sensing contact **236** is positioned at a distance, slightly less than the thickness of neutral 15 A blade **220**, away from neutral power contact **238**. When neutral 15 A blade is inserted neutral 15 A sensing contact flexes allowing the blade to be inserted and apply pressure on the blade ensuring electrical conduction.

Neutral 20 A blade **224** closes the circuit between neutral power contact **238** and neutral 20 A sensing contact **240**. Neutral 20 A blade **224** does not contact neutral 15 A sensing contact **236** due to a clearance slot.

In this disclosure there are shown and described only exemplary embodiments and but a few examples of its versatility. It is to be understood that the embodiments are capable of use in various other combinations and environments and are capable of changes or modifications within the scope of the inventive concept as expressed herein. For example, the term “processor” has been used in this disclosure in a generic sense to include integrated circuits such as microprocessor, microcontroller, control logic circuitry, FPGA, etc. The terms “upstream” and “downstream” are used to refer to the respective relative direction in relation to the circuit branch originating at the electrical supply. The term “socket” has been used to indicate an individual contact of the outlet to mate with an individual plug prong. The terms plug “prong” and plug “blade” have been used interchangeably. While optical sensors have been illustrated, the concepts disclosed herein are applicable to the use of other equivalent sensors. Moreover, the data tables are shown as

**1208**, **1304**, **1508** to relate to flow chart FIGS. **12**, **13**, **15** and **18**. A single memory table of processor **80** comprises all of the described data tables.

The disclosure illustrates, but is not limited to, receptacles which typically include two outlets. The concepts of this disclosure are applicable to other receptacles of multiple other multiple outlets, one of which may lack a series switch. Moreover, although an electrical receptacle is described an example embodiment, the application of the features and means of accomplishing them are not limited to an electrical receptacle. While switches **2211** and **2213** of FIG. **2** are depicted as being tripped by an object inserted in the N socket, such tripping can, instead, occur from insertion of an object in the L socket. While a maximum time period of 25 ms for source connection has been exemplified in the description of FIGS. **2** and **3**, a different time period is within the contemplation of this disclosure.

The disclosed concepts are applicable to power strips, power bars, extension cords, receptacle adaptors, circuit breakers, and other devices that provide electrical power via outlets for a matching plug, or other connectors. While a North American 110V 60 Hz receptacle is exemplified herein, the disclosed concepts are applicable to other international receptacles or devices. Similarly, the disclosure is not limited to plug blades as the mating means for the receptacle outlet, but is applicable interchangeably to other plug configurations such as found in other international standards. Moreover, although the present disclosure has been exemplified in a single phase alternating current context, the disclosure is operable in the contexts of direct current and three-phase systems.

What is claimed is:

**1.** A circuit breaker configured to connect to at least one downstream electrical connection, the circuit breaker comprising:

first at least one controlled switch configured for electrical connection between a hot power line and the at least one downstream electrical connection;

second at least one controlled switch or a connection configured for electrical connection between a neutral power line and the at least one downstream electrical connection; and

a processor configured to determine an internal component failure of the circuit breaker by:

coupling a constant current source to the circuit breaker, and

determining that the circuit breaker failed to open one of the controlled switches when a sum of currents of the at least one downstream electrical connection is greater than a neutral current of the neutral power line of the circuit breaker by a predetermined threshold.

**2.** The circuit breaker of claim **1**, wherein the processor is configured to generate a fault output in response to said determining the internal component failure.

**3.** The circuit breaker of claim **1**, wherein the processor is configured to generate a fault output in response to detection of component failure of at least one of the downstream electrical connections.

**4.** The circuit breaker of claim **1**, wherein the processor is configured to generate a fault output in response to a ground fault of the circuit breaker or at least one of the downstream electrical connections.

**5.** The circuit breaker of claim **1**, wherein the processor is configured to perform self-testing of the circuit breaker for said determining the internal component failure.

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6. The circuit breaker of claim 5, wherein the processor is configured to perform said self-testing in an ongoing or periodic routine.

7. The circuit breaker of claim 1, wherein the predetermined threshold is 6 mA.

8. The circuit breaker of claim 1, wherein a respective current in the sum of currents of a respective hot power line of each of the at least one downstream electrical connection and the current of the neutral power line are individually measured by the processor.

9. The circuit breaker of claim 1, wherein the processor includes a microcontroller.

10. The circuit breaker of claim 1, wherein the at least one downstream electrical connection includes at least one electrical receptacle and/or at least one load.

11. A circuit breaker configured to connect to at least one downstream electrical connection, the circuit breaker comprising:

first at least one controlled switch configured for electrical connection between a hot power line and the at least one downstream electrical connection;

second at least one controlled switch configured for electrical connection between a neutral power line and the at least one downstream connection; and

a processor configured to perform self-testing of the circuit breaker by:

coupling a constant current source to the circuit breaker, and

determining that the circuit breaker failed to open one of the controlled switches when a sum of currents of the at least one downstream electrical connection is greater than a neutral current of the neutral power line of the circuit breaker by a predetermined threshold.

12. The circuit breaker of claim 11, wherein the at least one downstream electrical connection includes at least one electrical receptacle and/or at least one load.

13. The circuit breaker of claim 11, wherein a respective current in the sum of currents of a respective hot power line of each of the at least one downstream electrical connection and the current of the neutral power line are individually measured by the processor.

14. An electrical receptacle configured to connect to a downstream electrical receptacle, the electrical receptacle comprising:

at least one plug outlet, each plug outlet configured for electrical connection with a hot power line and a neutral power line;

first at least one controlled switch configured for electrical connection between the hot power line and the at least one plug outlet;

second at least one controlled switch configured for electrical connection between the neutral power line and the at least one plug outlet; and

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a processor configured to perform self-testing of the electrical receptacle by:

coupling a constant current source to the electrical receptacle, and

determining that the electrical receptacle failed to open one of the controlled switches when a sum of currents of the electrical receptacle and the downstream electrical receptacle is greater than a neutral current of the neutral power line of the electrical receptacle by a predetermined threshold.

15. The electrical receptacle of claim 14, wherein the processor is configured to generate a fault output in response to said determining that the electrical receptacle failed to open.

16. The electrical receptacle of claim 14, wherein the processor is configured to generate a fault output in response to detection of component failure at the downstream electrical receptacle.

17. The electrical receptacle of claim 14, wherein the processor is configured to generate a fault output in response to a ground fault of the electrical receptacle or the downstream electrical receptacle.

18. The electrical receptacle of claim 14, wherein the processor is configured to perform said self-testing in an ongoing or periodic routine.

19. The electrical receptacle of claim 14, wherein the electrical receptacle comprises at least one: of an in-wall receptacle, a multiple-outlet power adapter, a power strip, or an extension cord.

20. The electrical receptacle of claim 14, wherein the predetermined threshold is 6 mA.

21. The electrical receptacle of claim 14, wherein the at least one plug outlet comprises an upper plug outlet and a lower plug outlet.

22. The electrical receptacle of claim 14, wherein the respective current in the sum of currents of a respective hot power line of each of the at least one plug outlet and the downstream electrical receptacle and the current of the neutral power line are individually measured by the processor.

23. The electrical receptacle of claim 14, wherein the at least one plug outlet comprises a plurality of plug outlets.

24. The electrical receptacle of claim 14, wherein the current of the hot power line of each of the plurality of plug outlets and the downstream electrical receptacle and the current of the neutral power line are individually measured by the processor.

25. The electrical receptacle of claim 14, wherein the processor includes a microcontroller.

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