

US011579573B2

(12) United States Patent

Petrus et al.

(54) INTELLIGENT BUILDING MANAGEMENT SYSTEMS

(71) Applicant: SmithGroup, Inc., Detroit, MI (US)

72) Inventors: Ionel Petrus, Silver Springs, MD (US);
YoonSun Rosemary Hwang, Arlington,
VA (US); Kevin James Ricart,
Washington, DC (US); Kevin Patrick
Andreone, Washington, DC (US);
Kristin Lea Maruszewski, Washington,

DC (US); Jacob William Pohlman,

Washington, DC (US)

(73) Assignee: SMITHGROUP, INC., Detroit, MI

(US)

(*) Notice: Subject to any disclaimer, the term of this

patent is extended or adjusted under 35

U.S.C. 154(b) by 76 days.

This patent is subject to a terminal dis-

claimer.

(21) Appl. No.: 17/163,133

(22) Filed: **Jan. 29, 2021**

(65) Prior Publication Data

US 2021/0149350 A1 May 20, 2021

Related U.S. Application Data

(63) Continuation of application No. 16/436,564, filed on Jun. 10, 2019, now Pat. No. 10,908,564.

(Continued)

(51) **Int. Cl.**

G05B 13/04 (2006.01) G05B 13/02 (2006.01) G06N 20/00 (2019.01)

(52) U.S. Cl.

. **G05B** 13/041 (2013.01); **G05B** 13/0265 (2013.01); **G06N** 20/00 (2019.01); **G05B** 2219/25011 (2013.01)

(10) Patent No.: US 11,579,573 B2

(45) Date of Patent: *Feb. 14, 2023

(58) Field of Classification Search

(56) References Cited

U.S. PATENT DOCUMENTS

FOREIGN PATENT DOCUMENTS

WO 2013176334 A1 11/2013 G06Q 10/06

OTHER PUBLICATIONS

Jim Gao, "Machine Learning Applications for Data Center Optimization." Google, 2014, pp. 1-13.

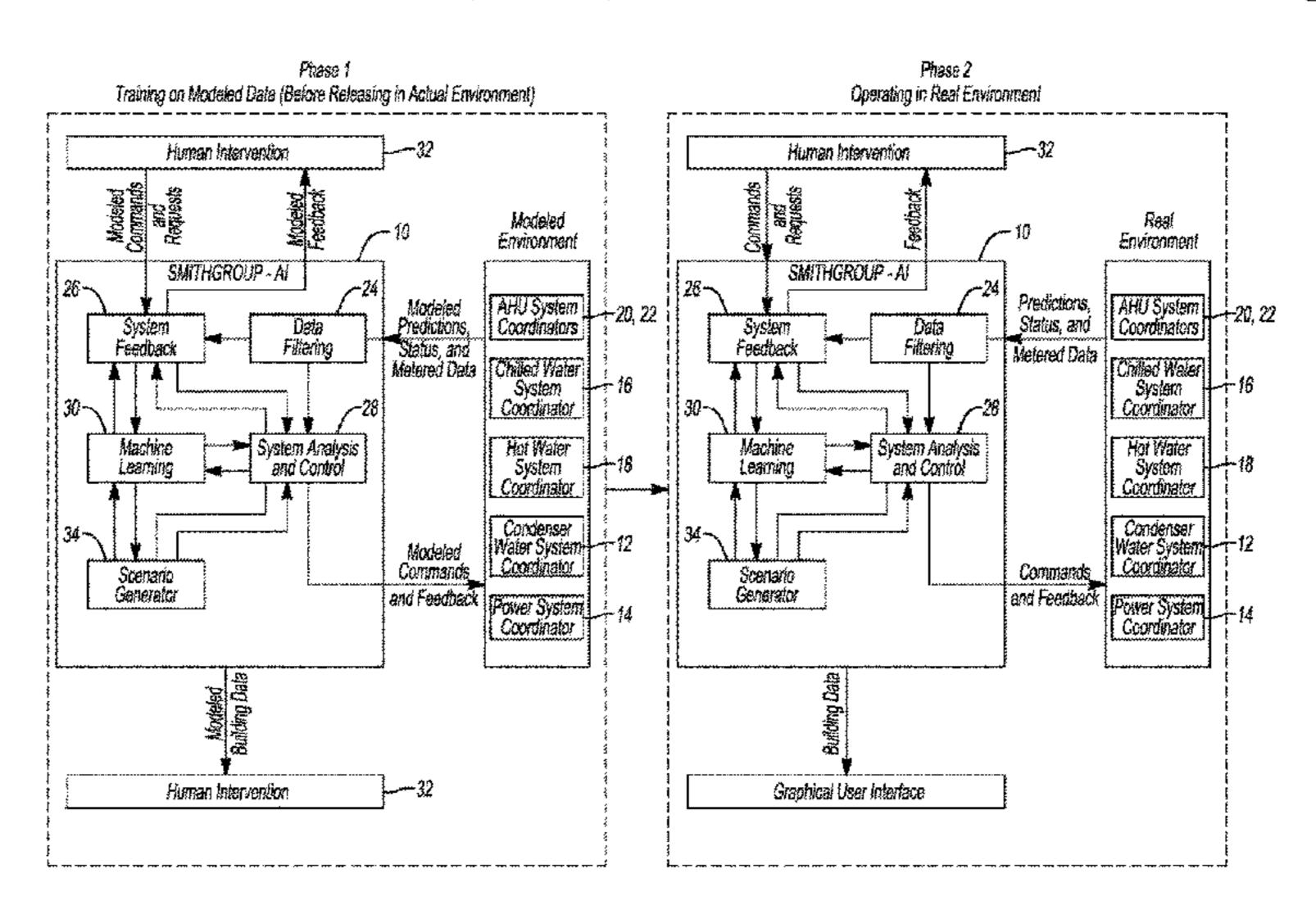
Primary Examiner — Suresh Suryawanshi

(74) Attorney, Agent, or Firm — Brooks Kushman P.C.

(57) ABSTRACT

A hierarchical resource management system for a building includes one or more processors. The processors implement a plurality of agents that each monitor sensed values, and generate operating scenarios based on the sensed values for corresponding resources. The processors also implement a coordinator that filters the operating scenarios to remove the operating scenarios that violate internal laws of the agents to form an aggregate validated set of operating scenarios. The processors further implement a supervisor that, responsive to receipt of target conditions for the zones and the aggregate validated set of operating scenarios from the coordinator, selects a combination of the operating scenarios from the aggregate validated set of operating scenarios that achieves target conditions and minimizes overall energy consumption by the resources such that some of the operating scenarios of the combination do not minimize energy consumption of the resources corresponding to the some of the operating scenarios.

8 Claims, 23 Drawing Sheets



Related U.S. Application Data

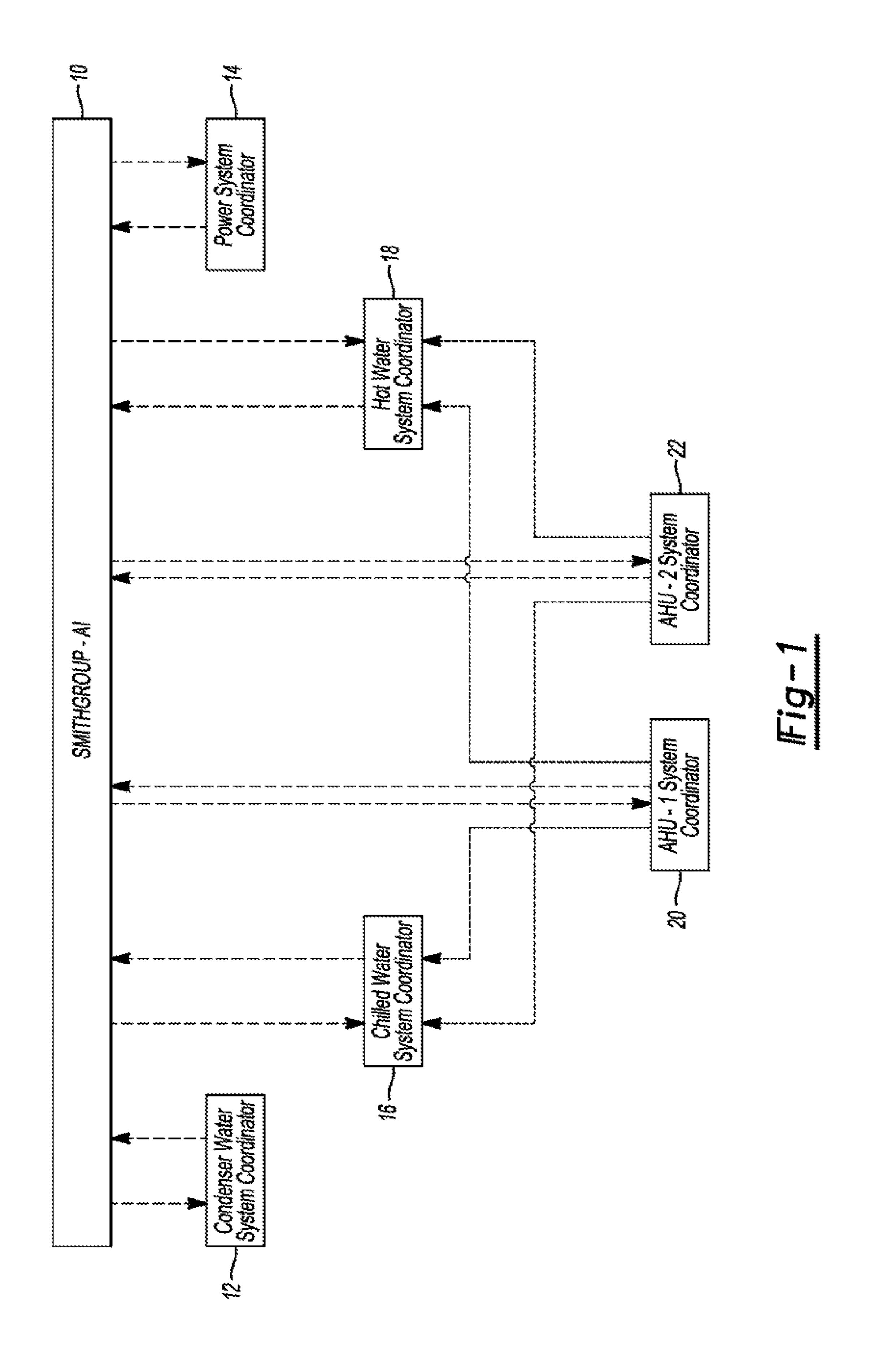
(60) Provisional application No. 62/682,746, filed on Jun. 8, 2018.

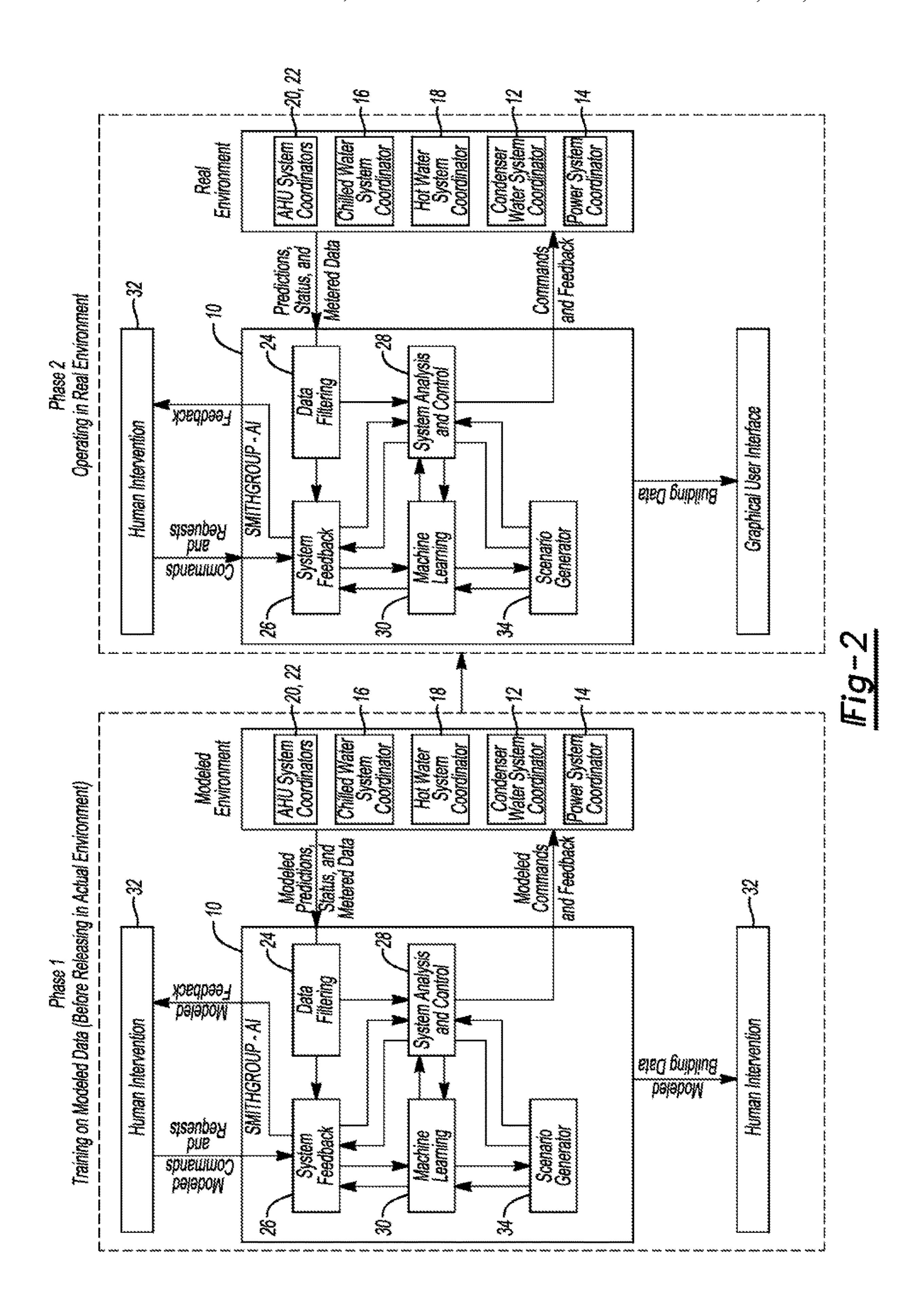
(56) References Cited

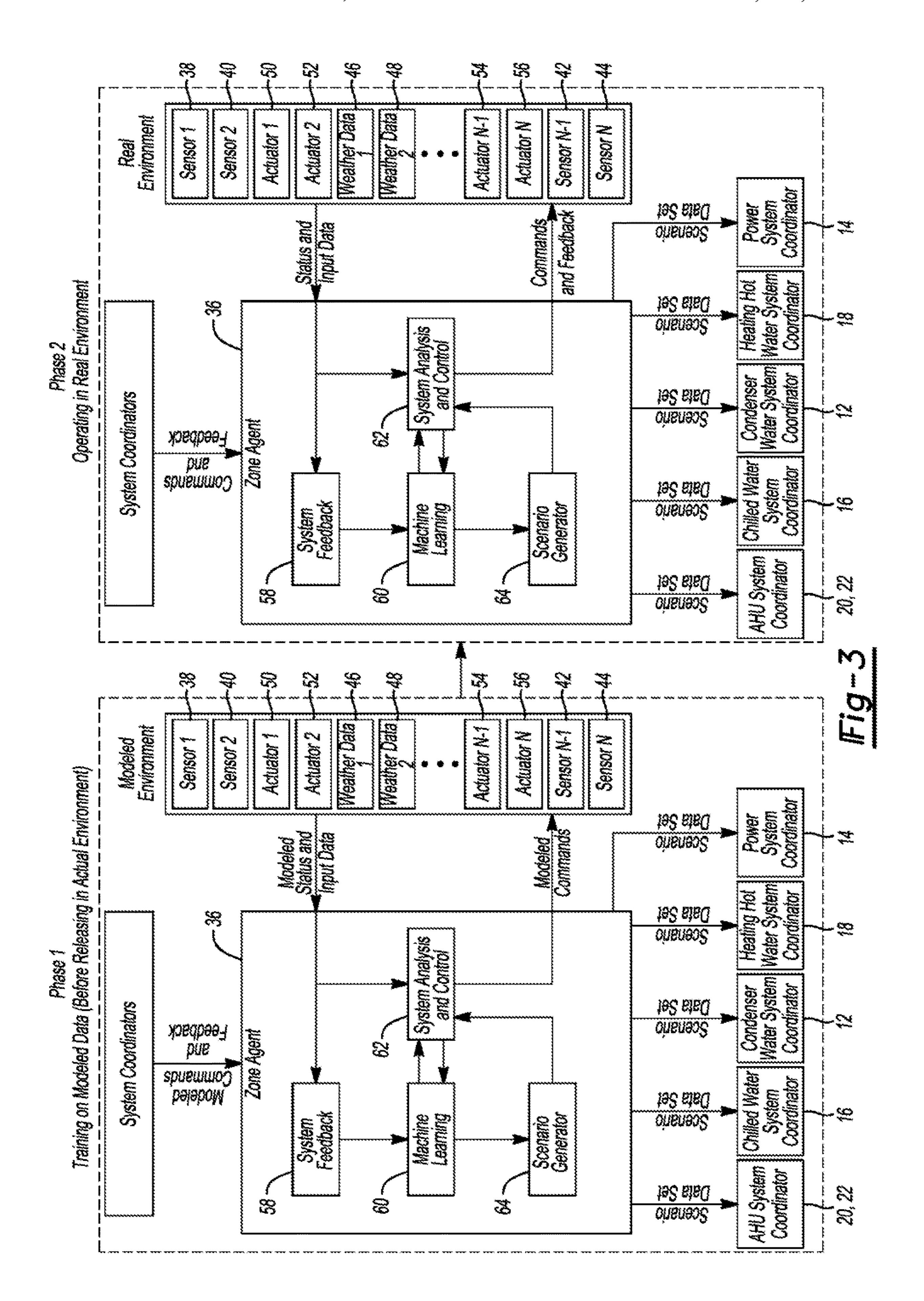
U.S. PATENT DOCUMENTS

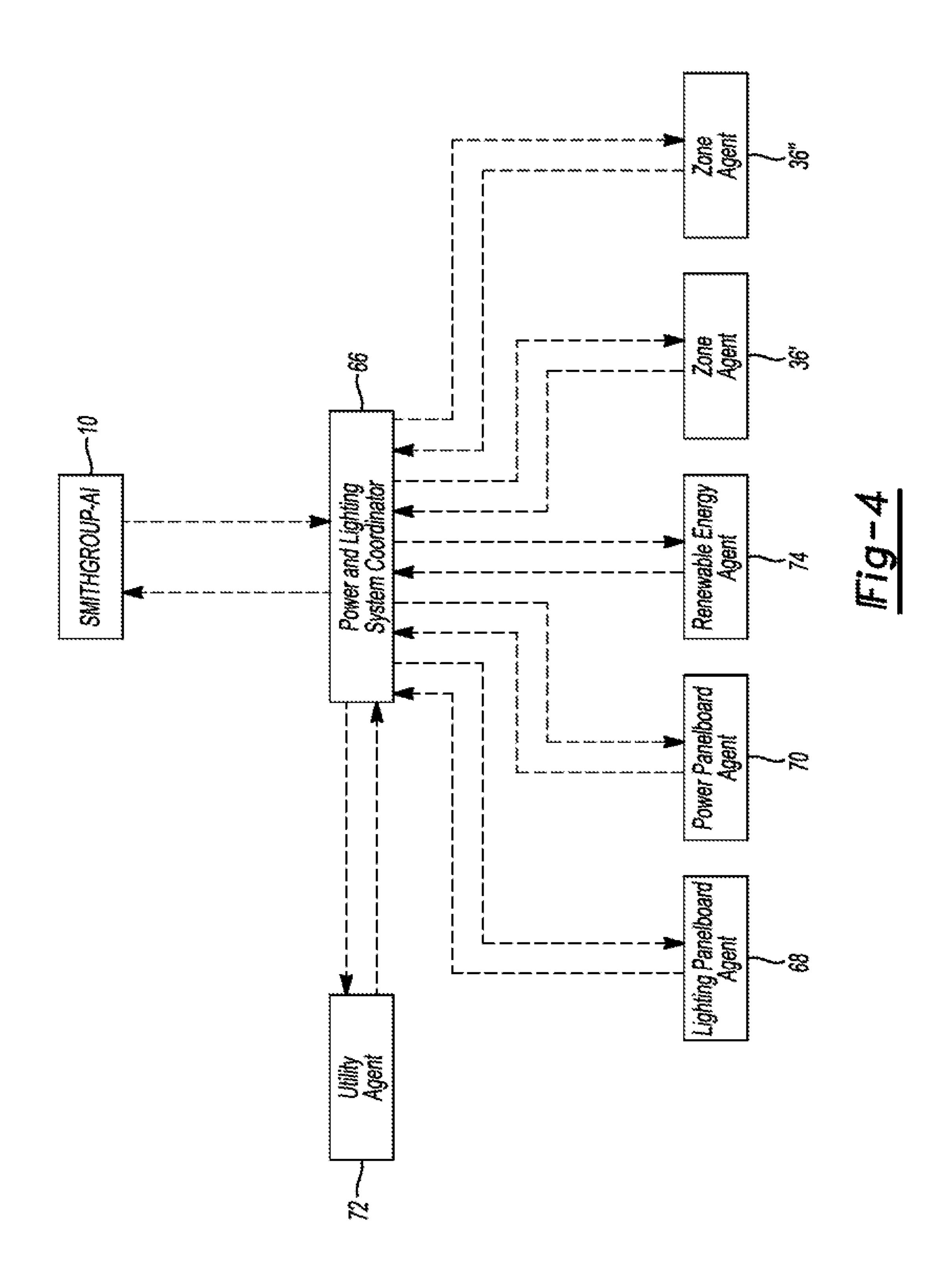
9,817,383 2009/0276060 2012/0010757	A 1	11/2009	Sinha et al. Lu et al. Francino	
2017/0222524	A 1	11/2017	Cinha at al	700/291
2017/0322534		11/201/	Sinha et al.	
2018/0138742	$\mathbf{A}1$	5/2018	Song et al.	
2018/0204116	$\mathbf{A}1$	7/2018	Evans et al.	
2018/0275625	A 1	9/2018	Park et al.	
2018/0299840	A1	10/2018	Sinha et al.	
2018/0335759	A 1	11/2018	Harvey	
2018/0356775	A 1	12/2018	Harvey	
2018/0364654	$\mathbf{A}1$	12/2018	Locke et al.	
2019/0017719	A 1	1/2019	Sinha et al.	
2019/0353379	$\mathbf{A}1$	11/2019	Lee et al.	
2019/0361412	$\mathbf{A}1$	11/2019	Park et al.	

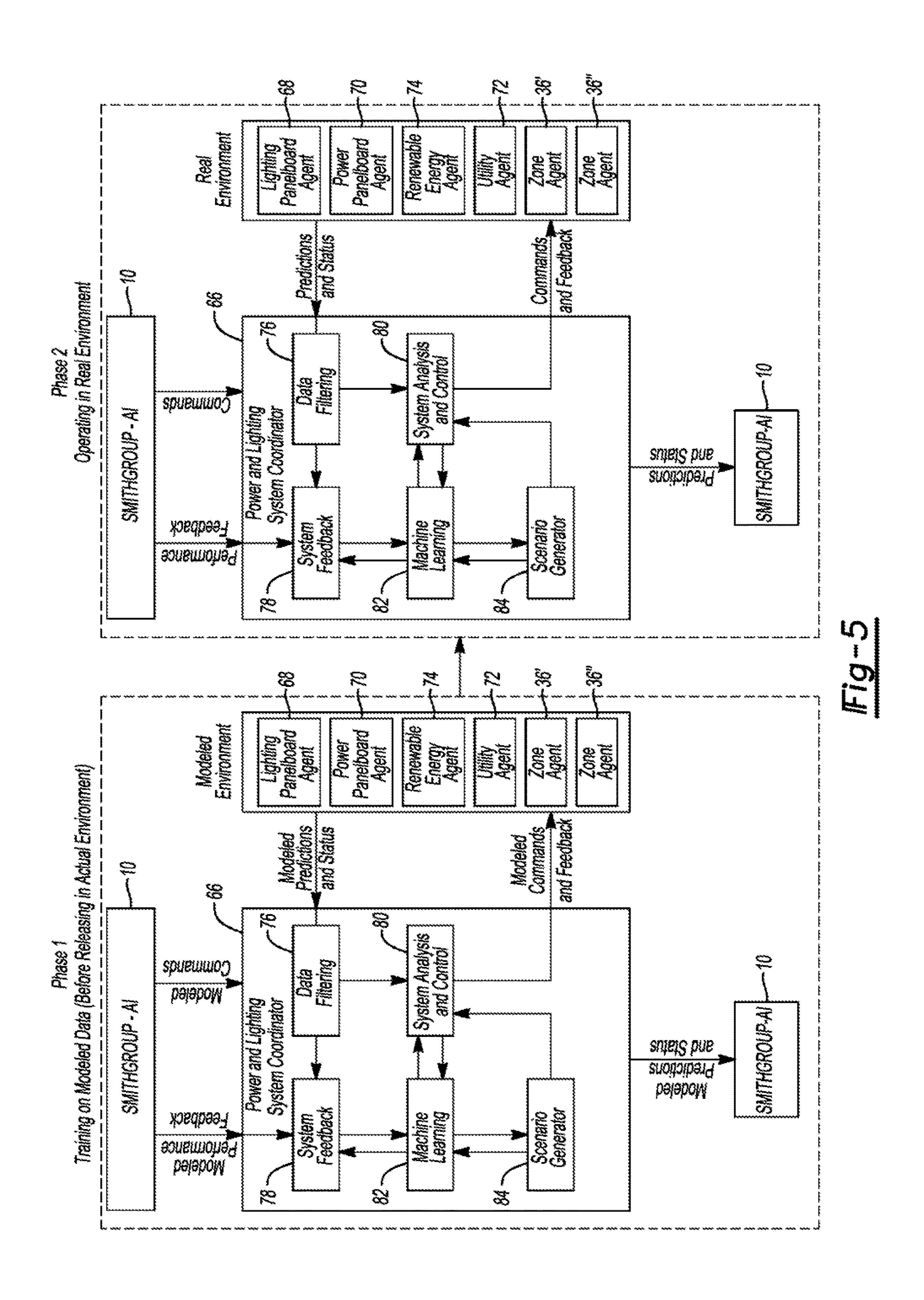
^{*} cited by examiner

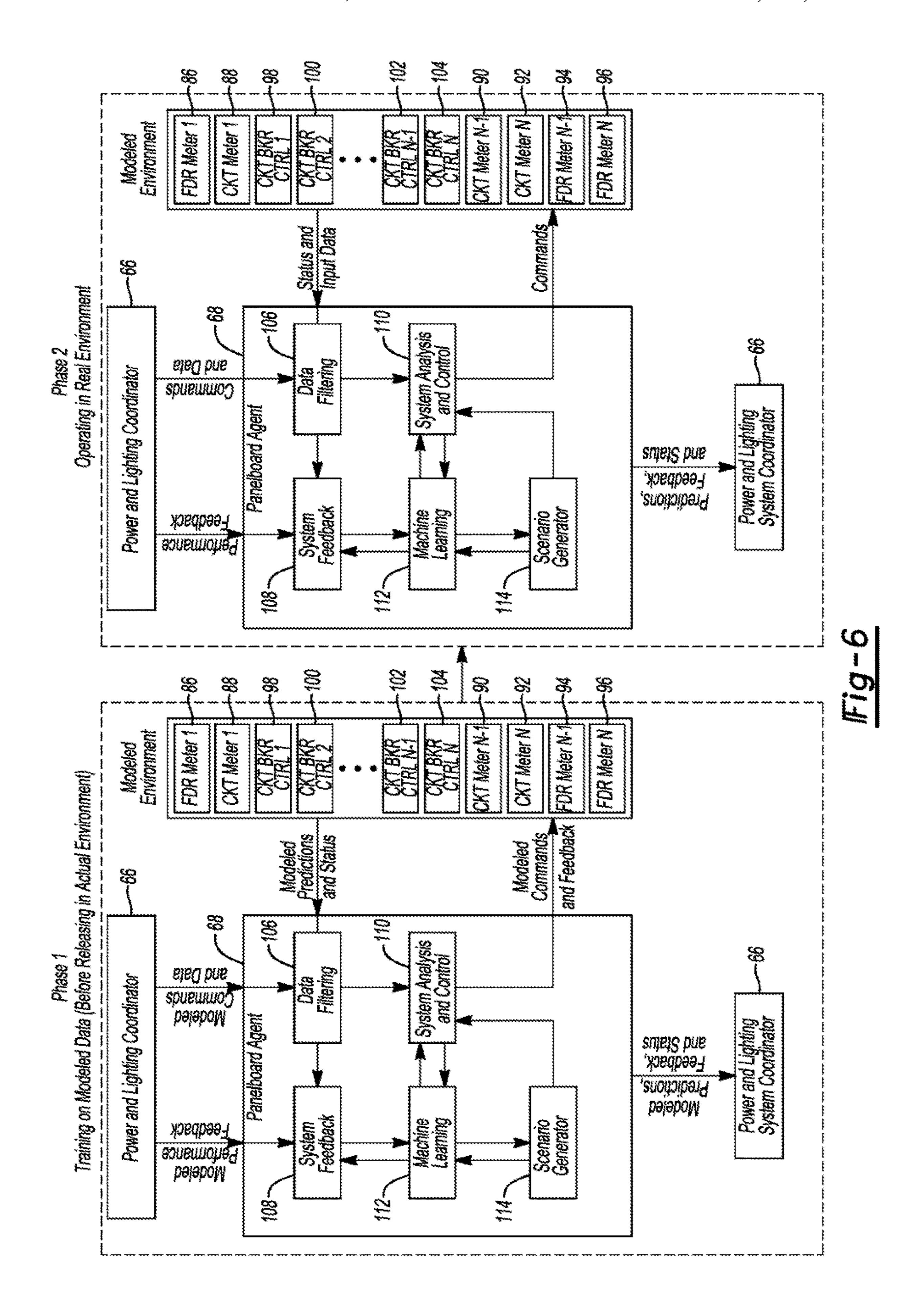


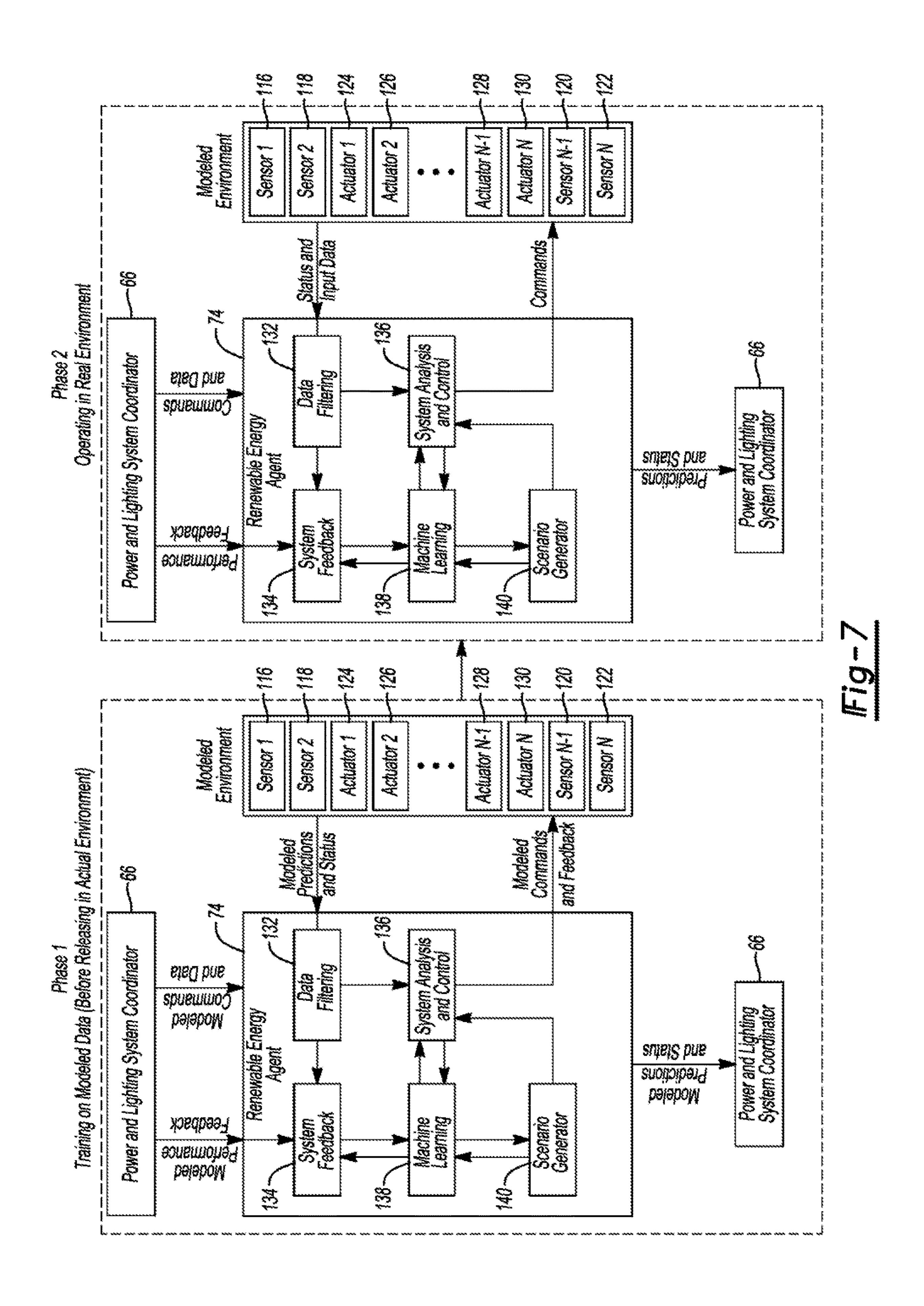


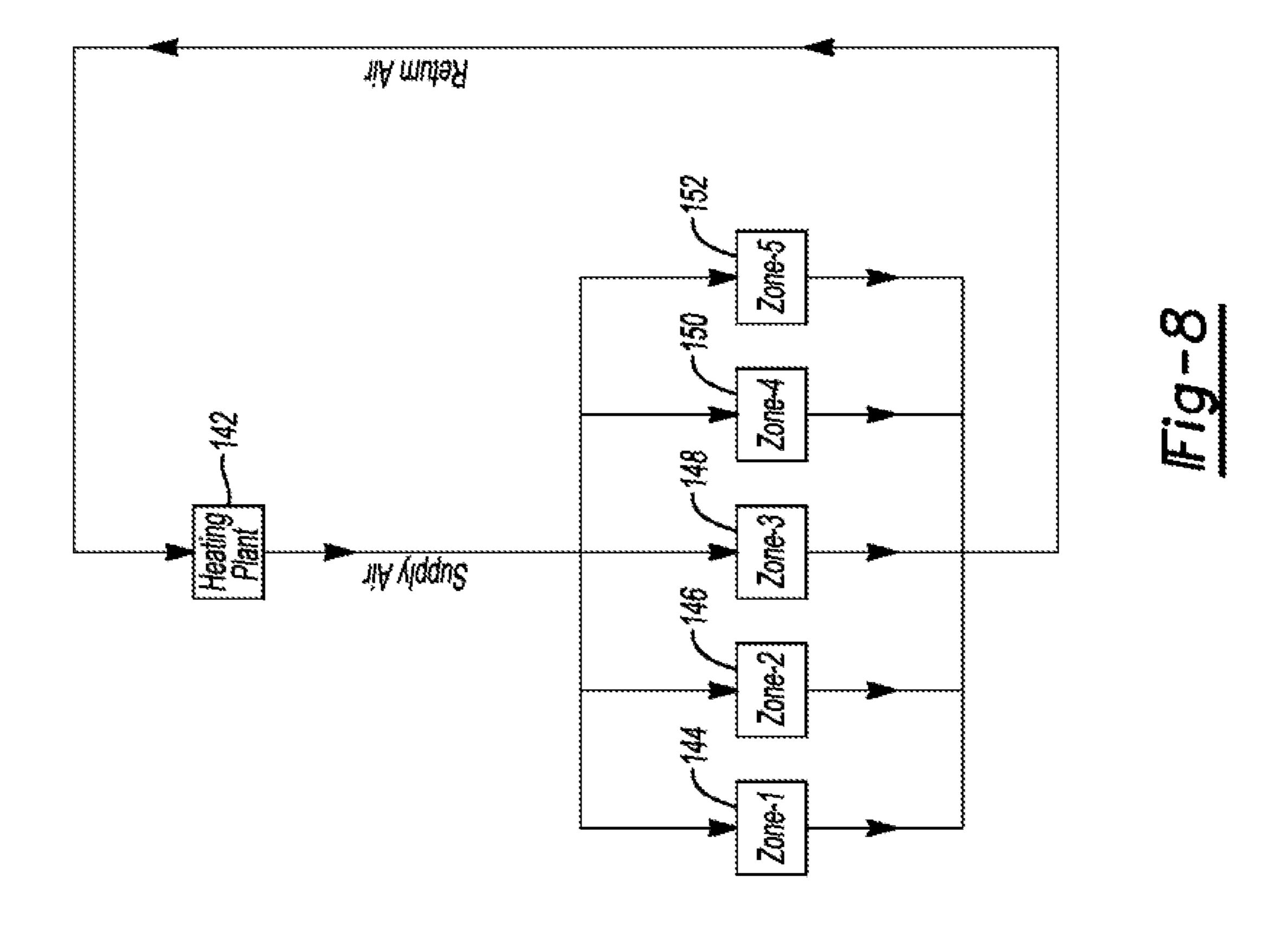


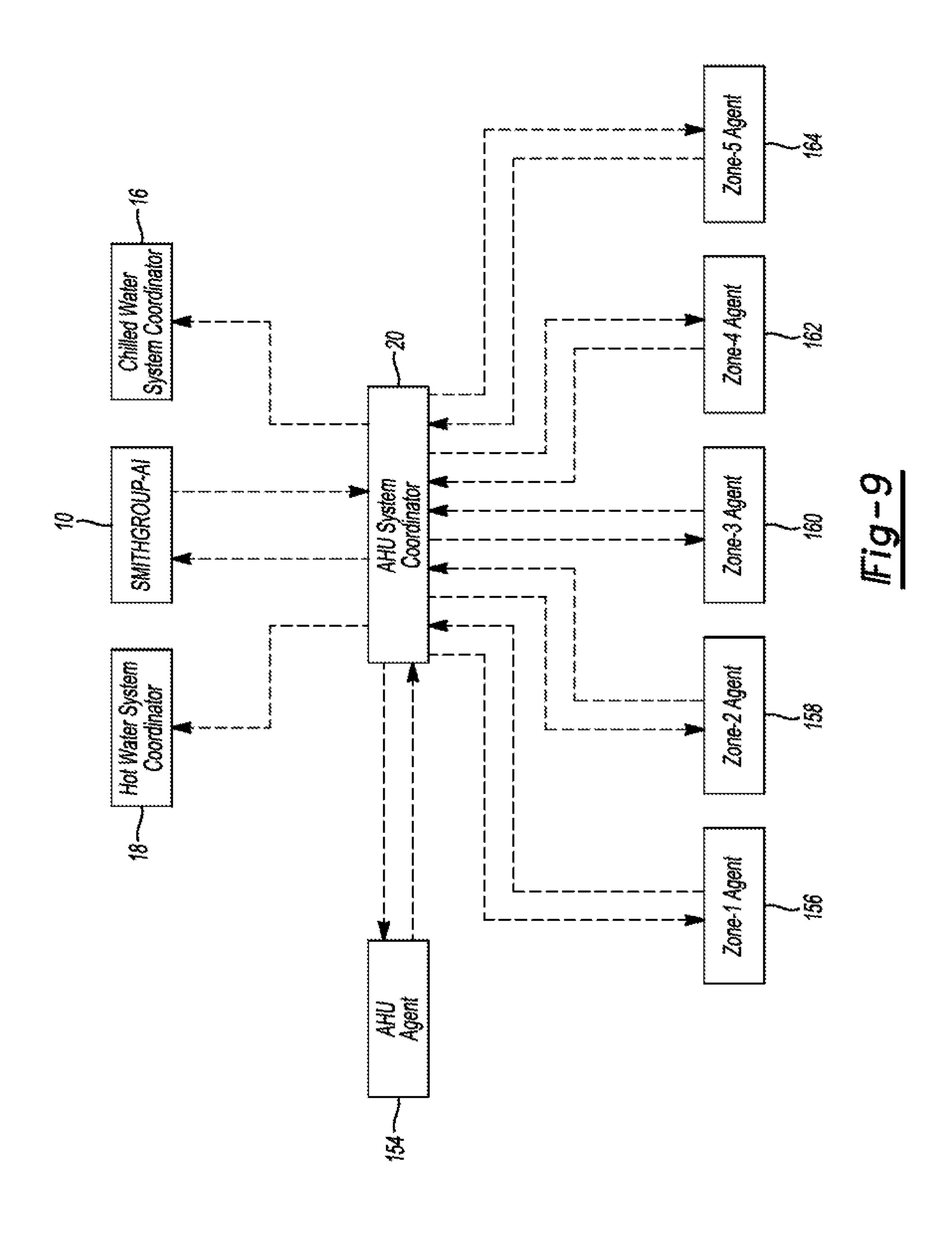


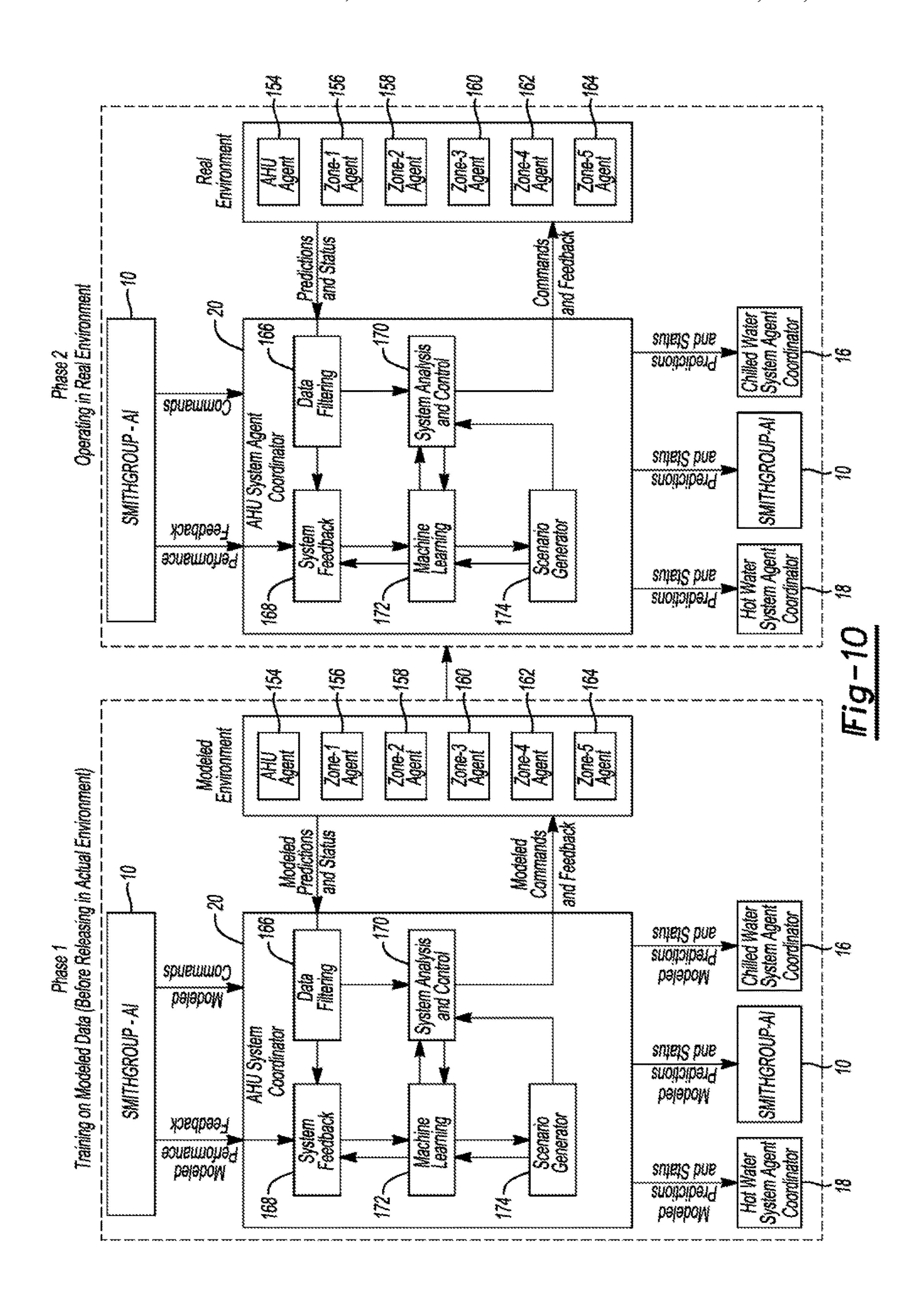


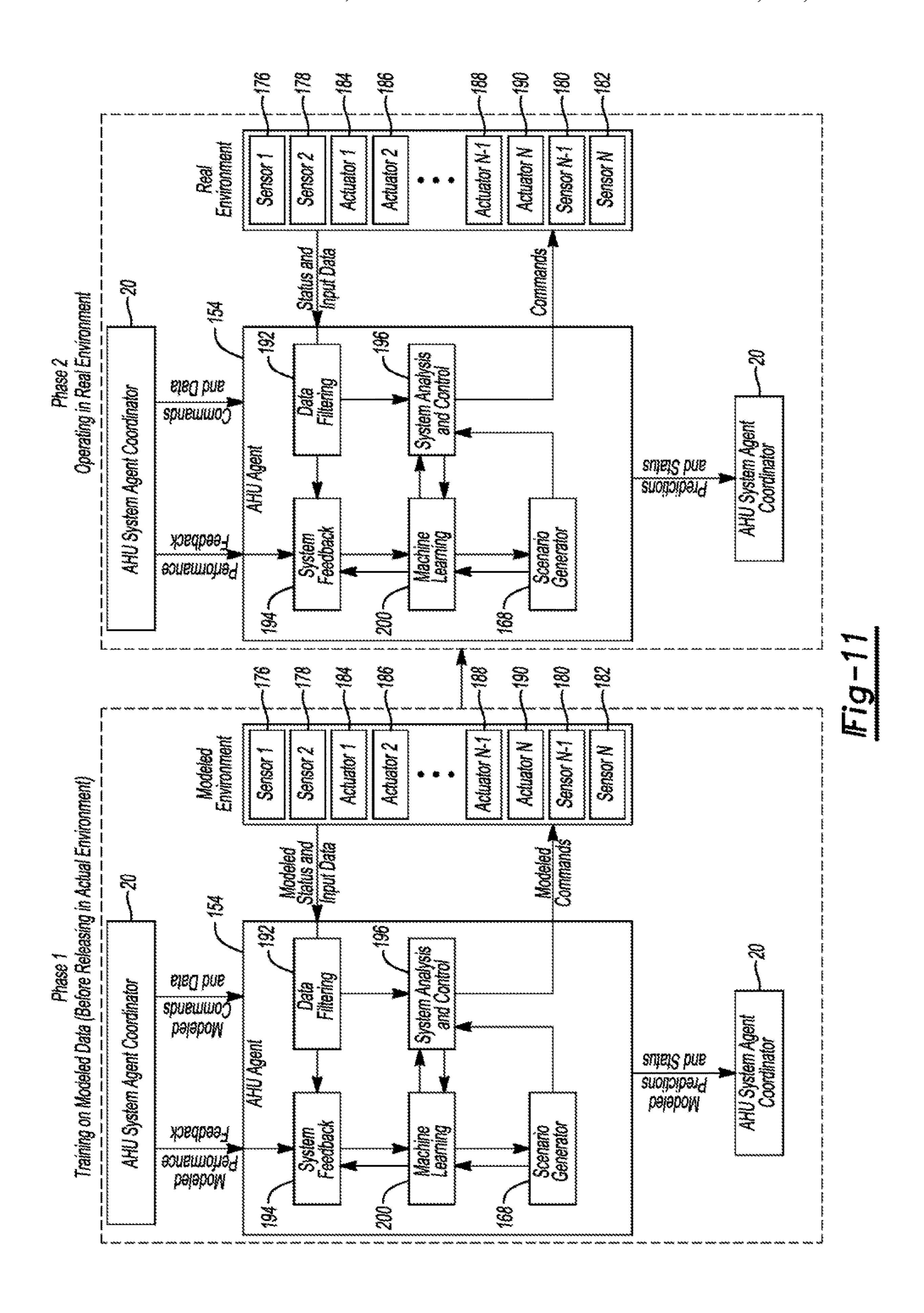


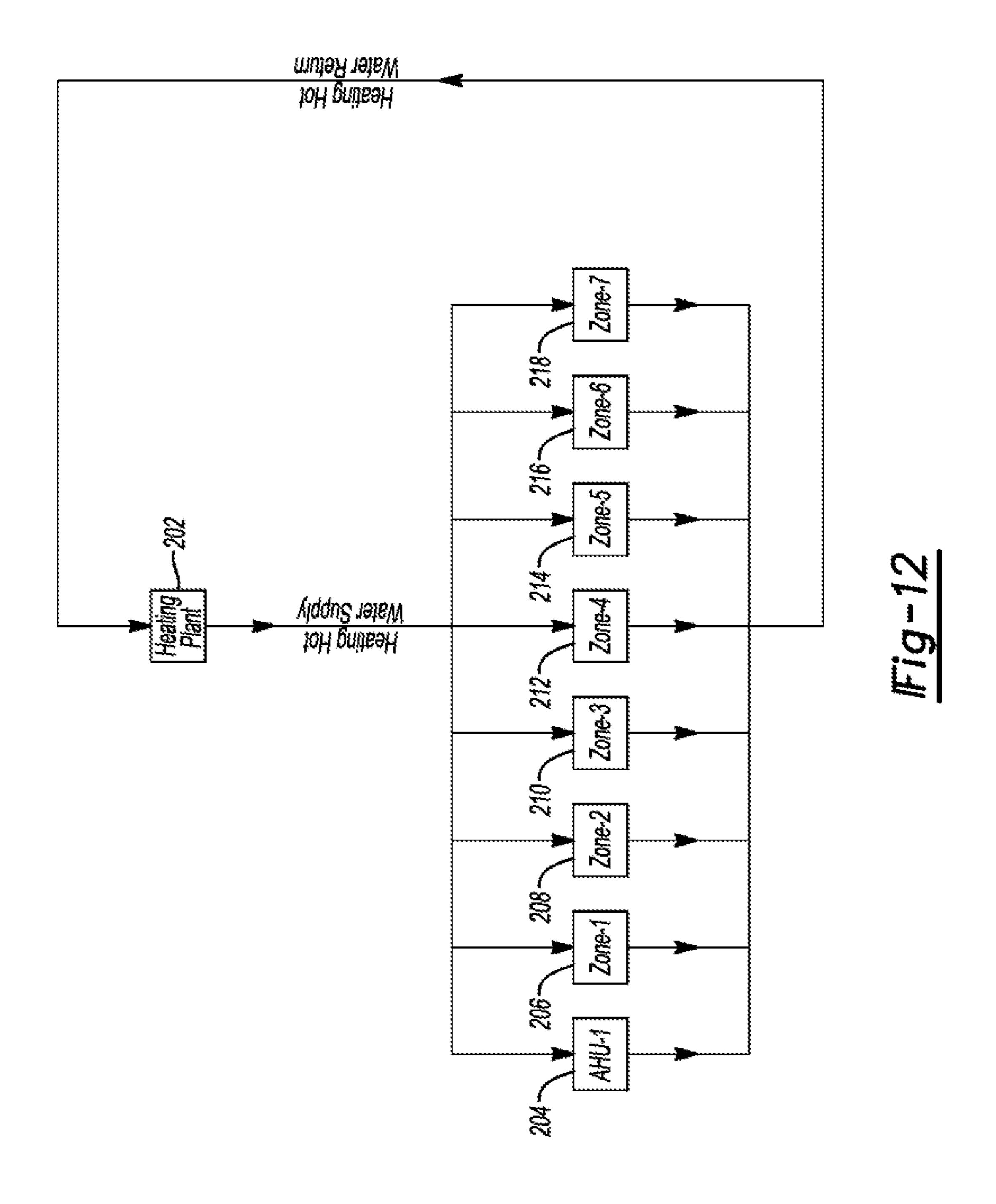


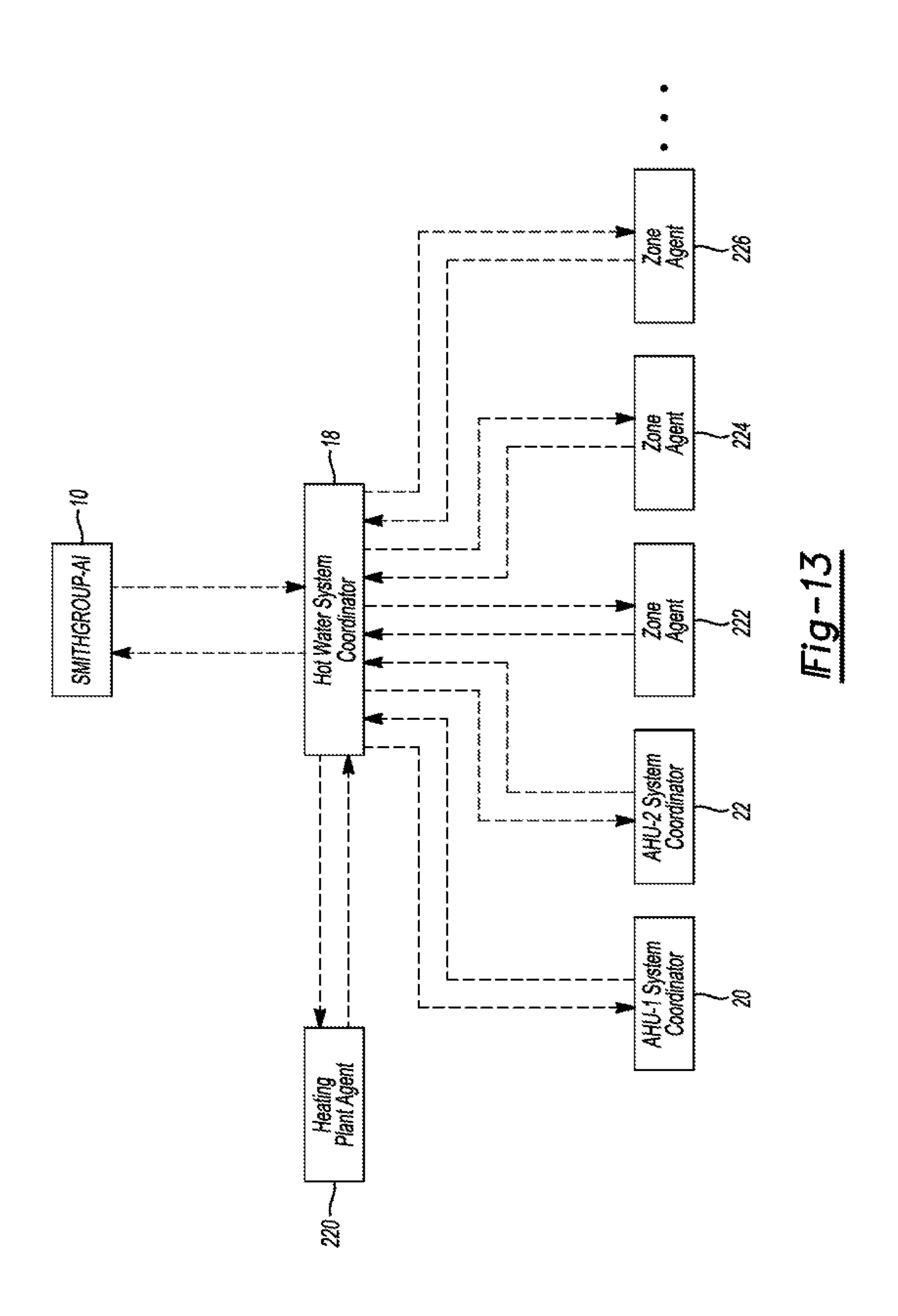


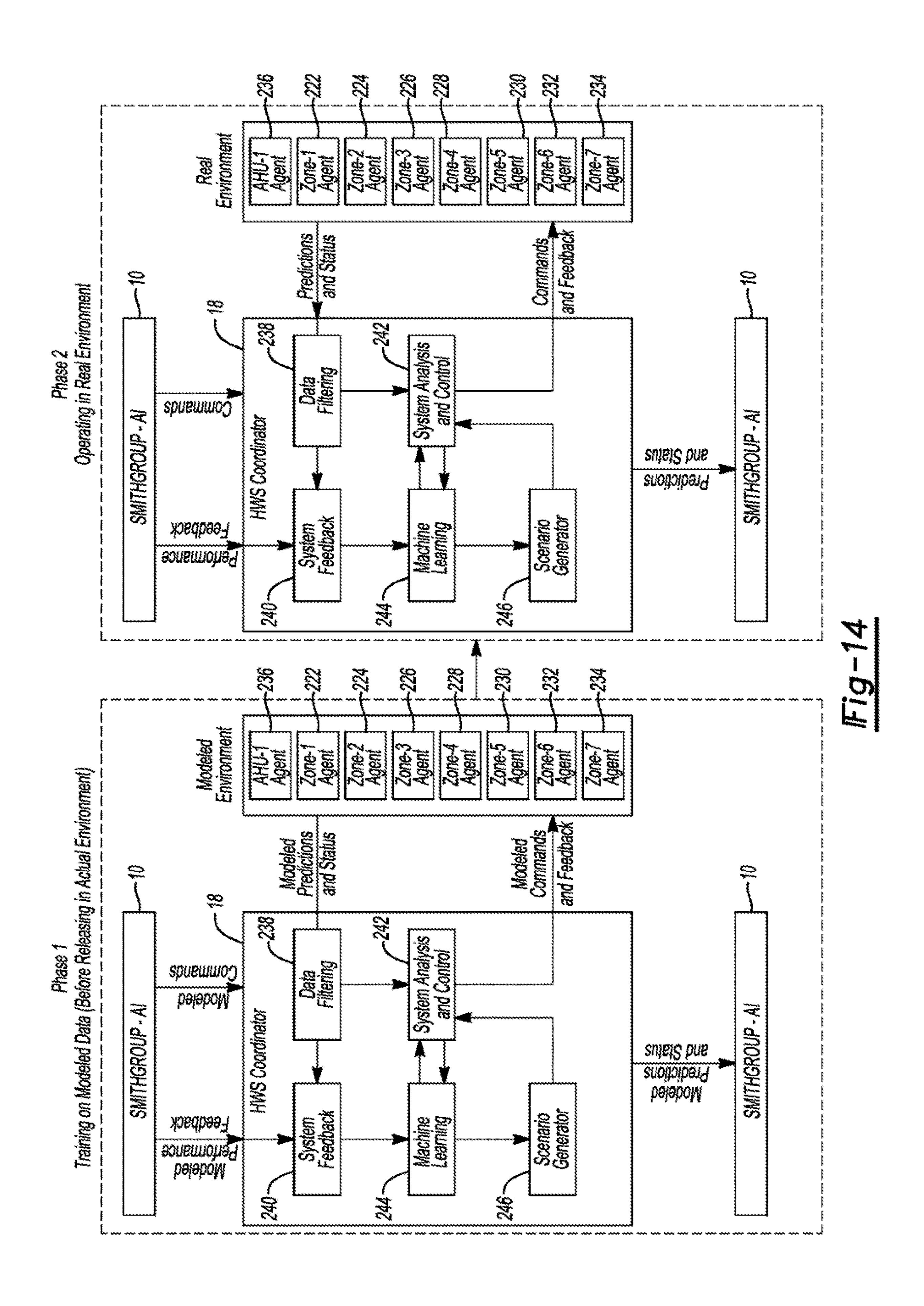


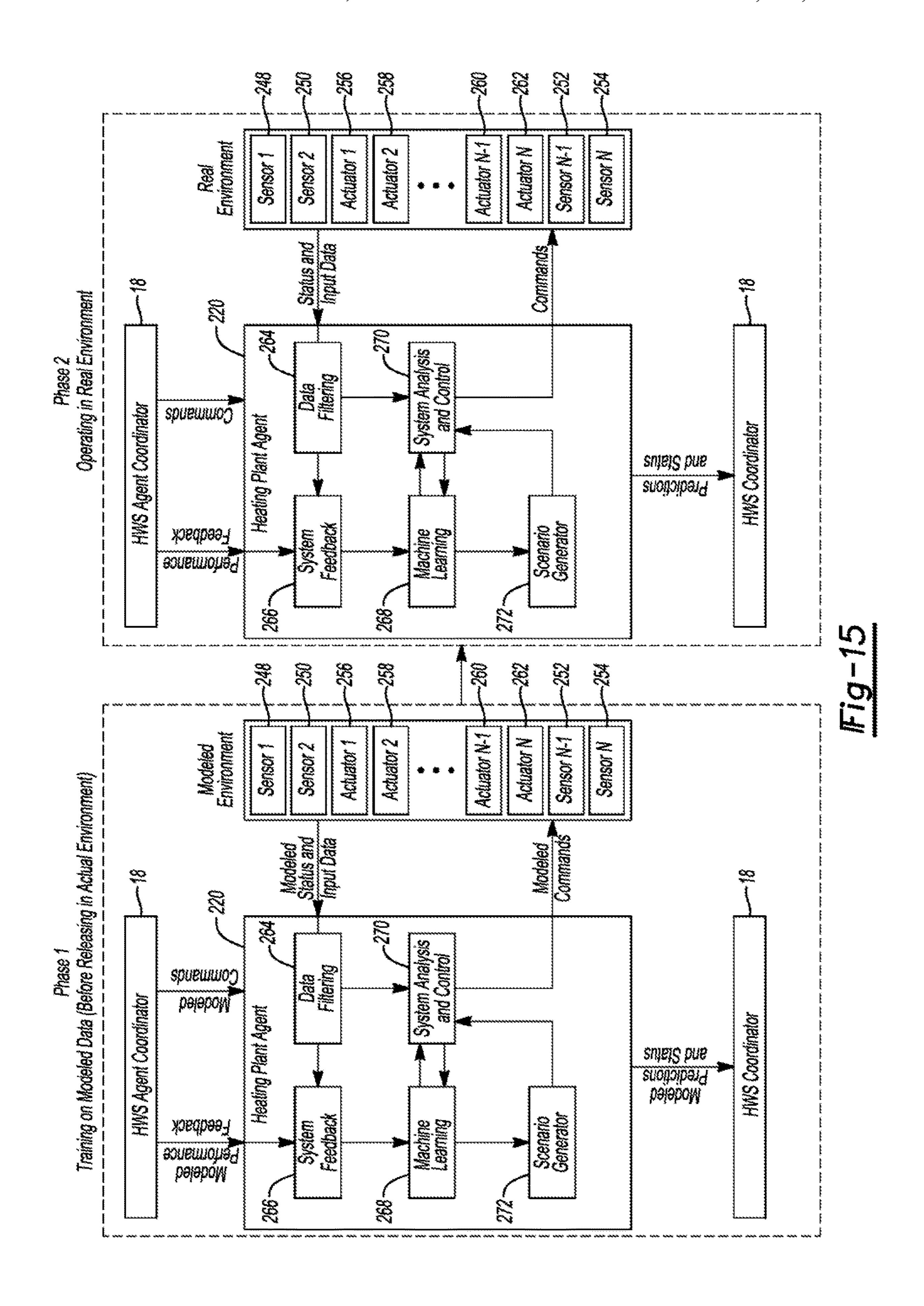


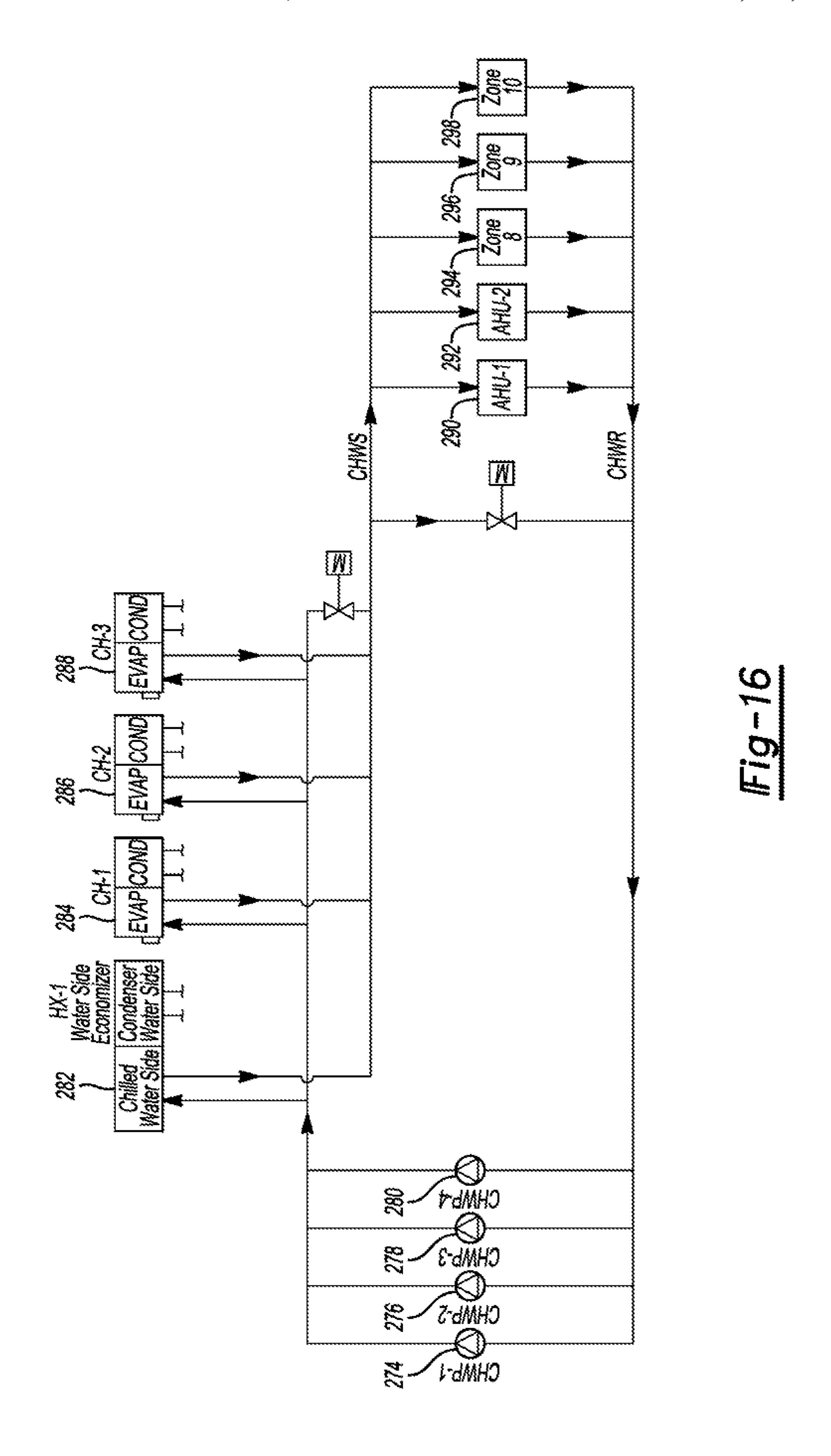


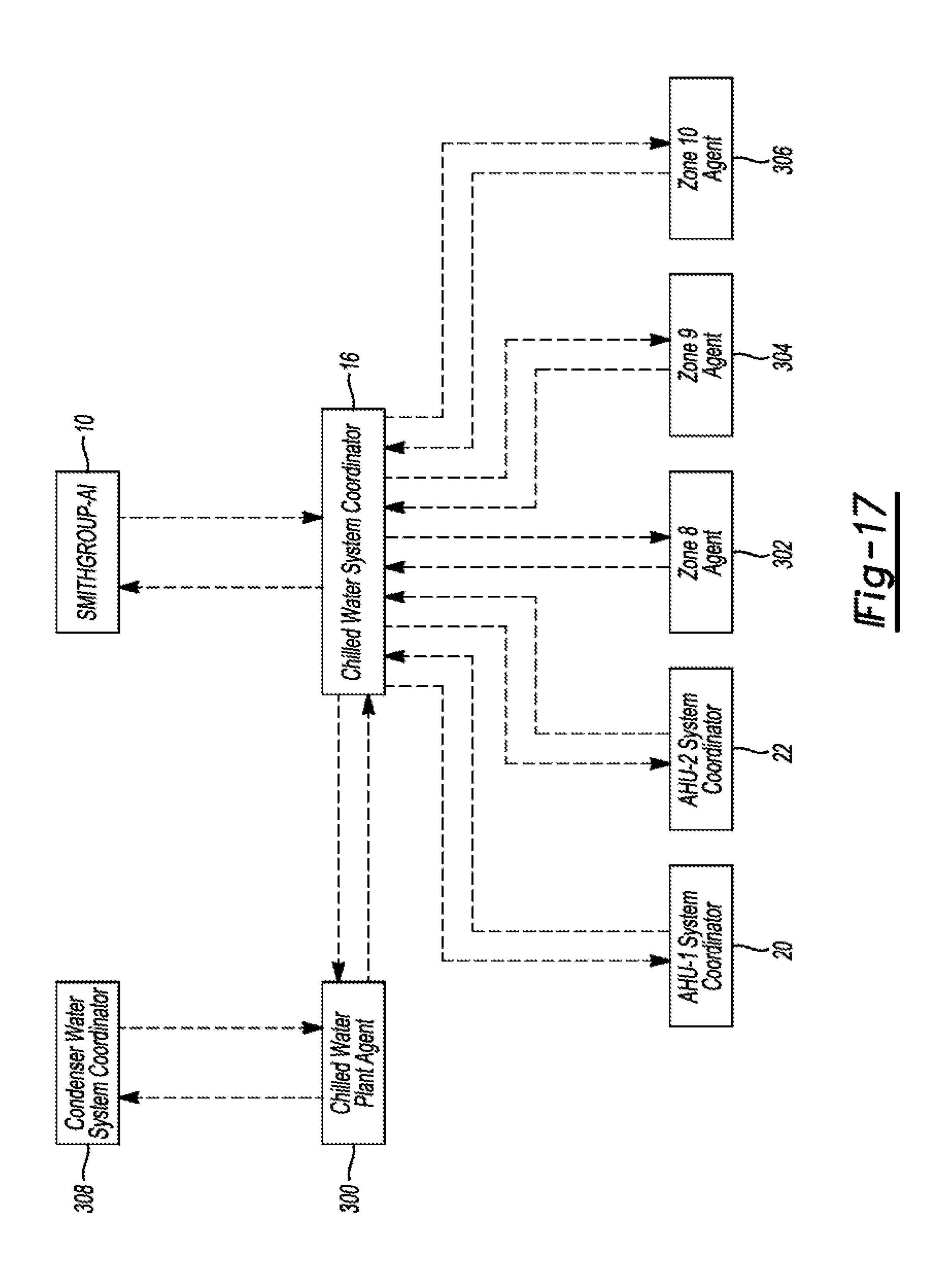


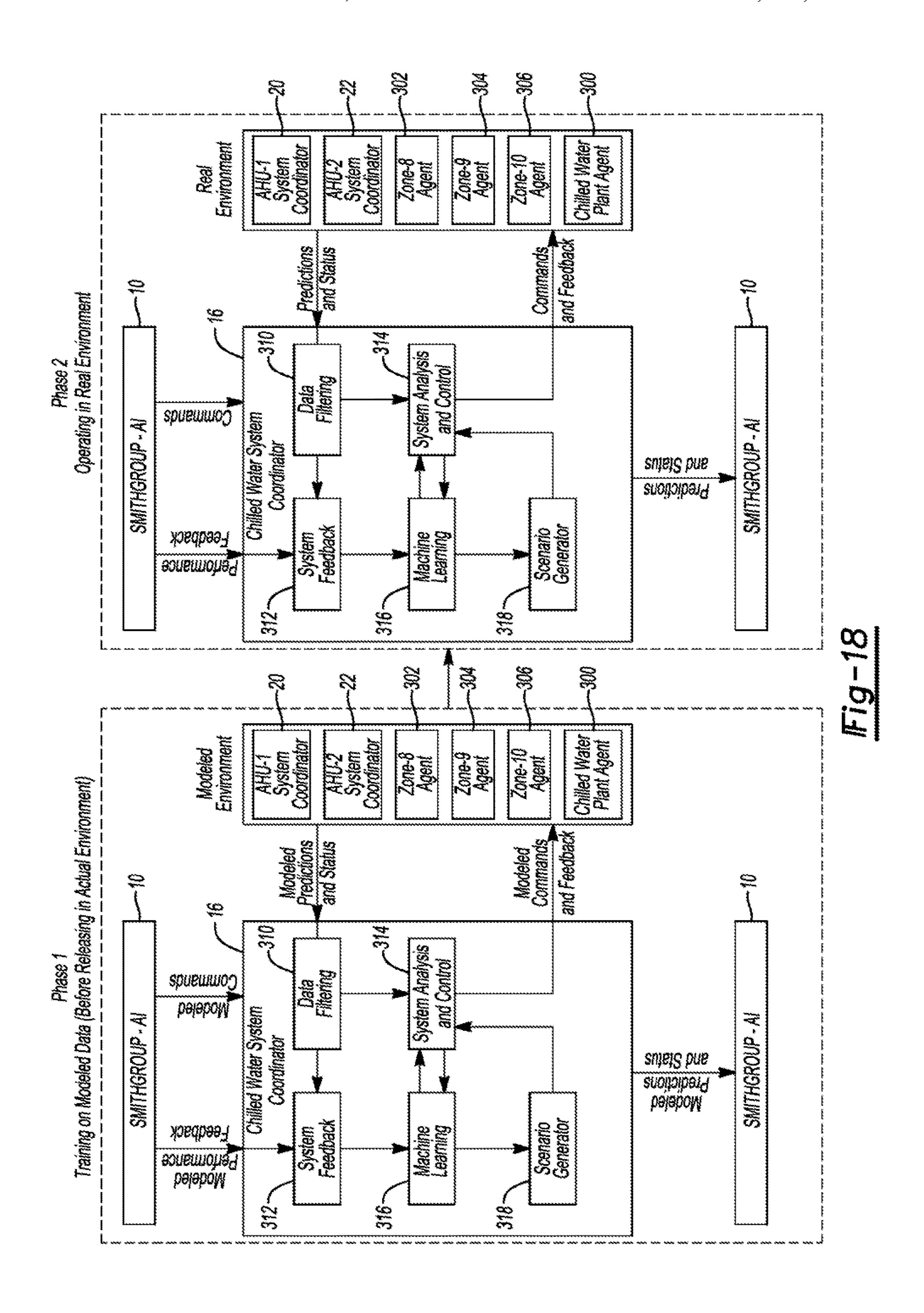


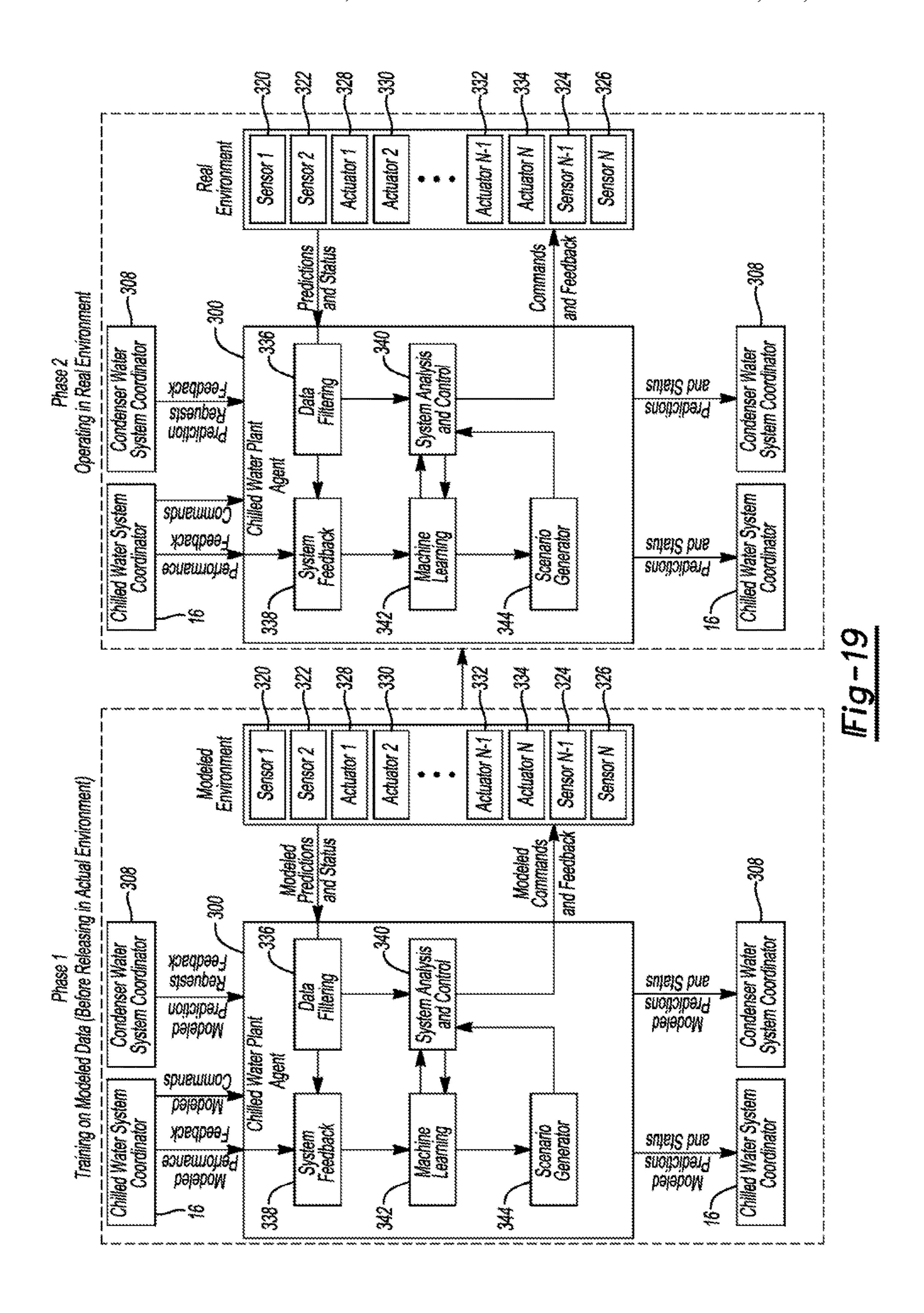


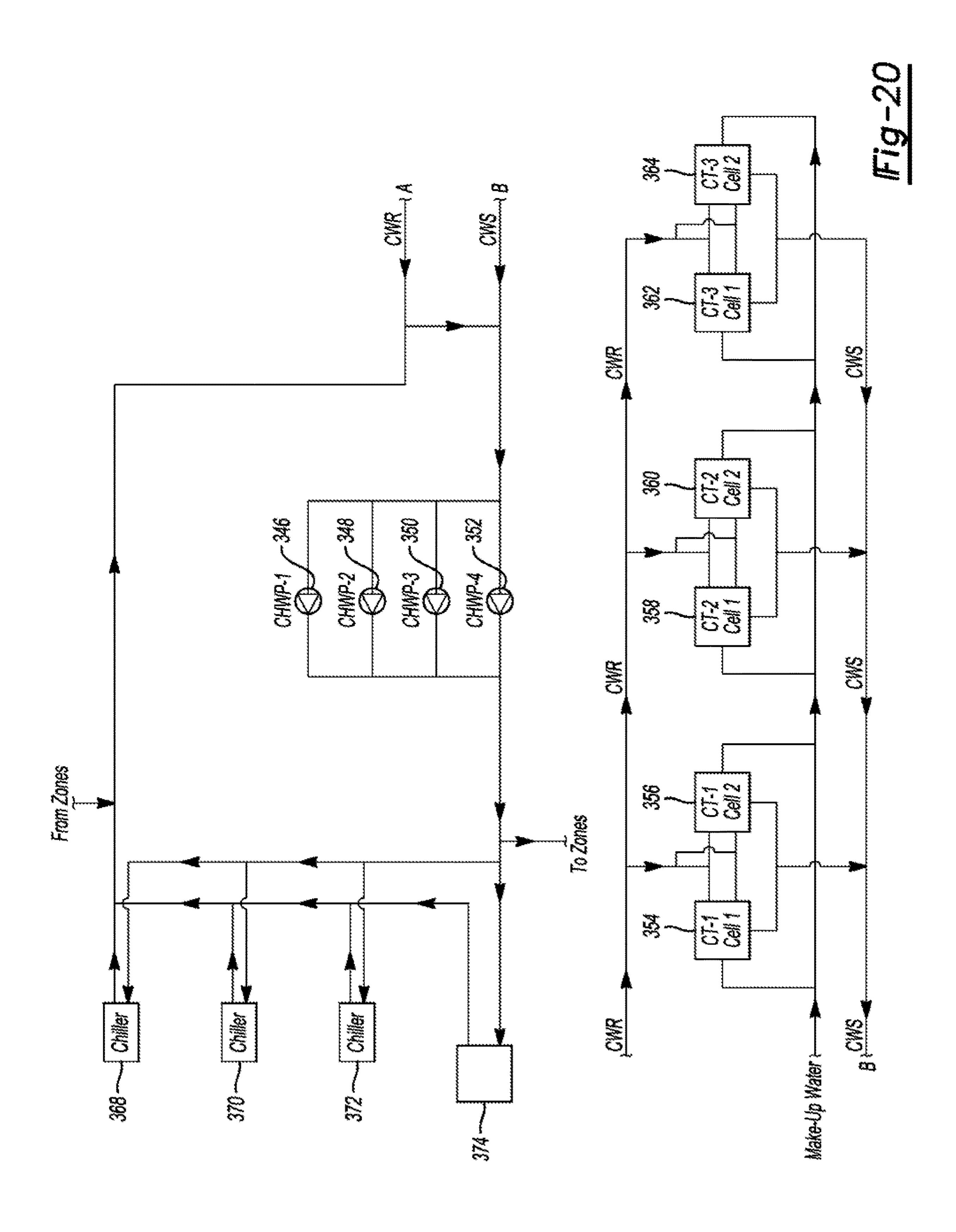


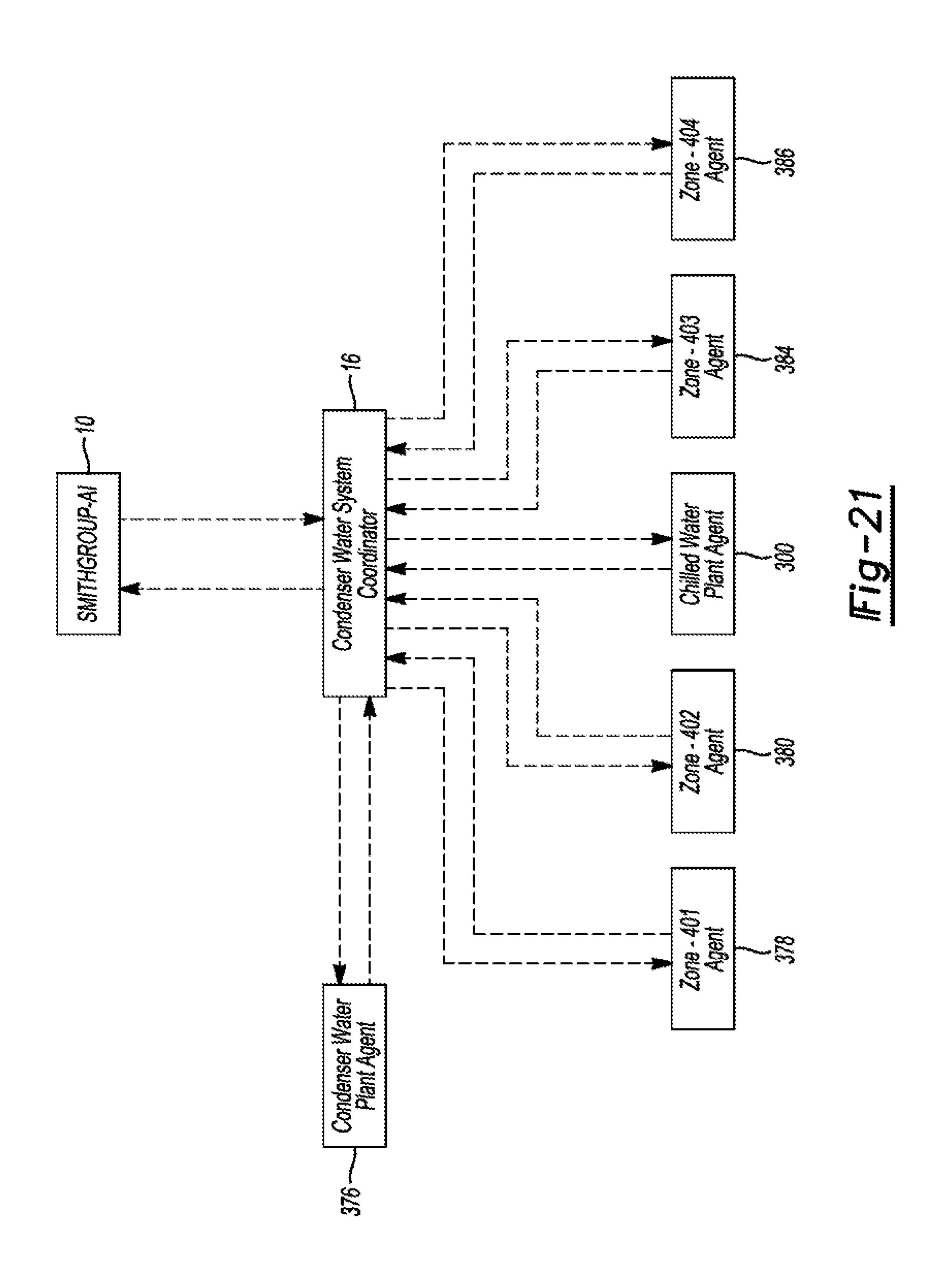


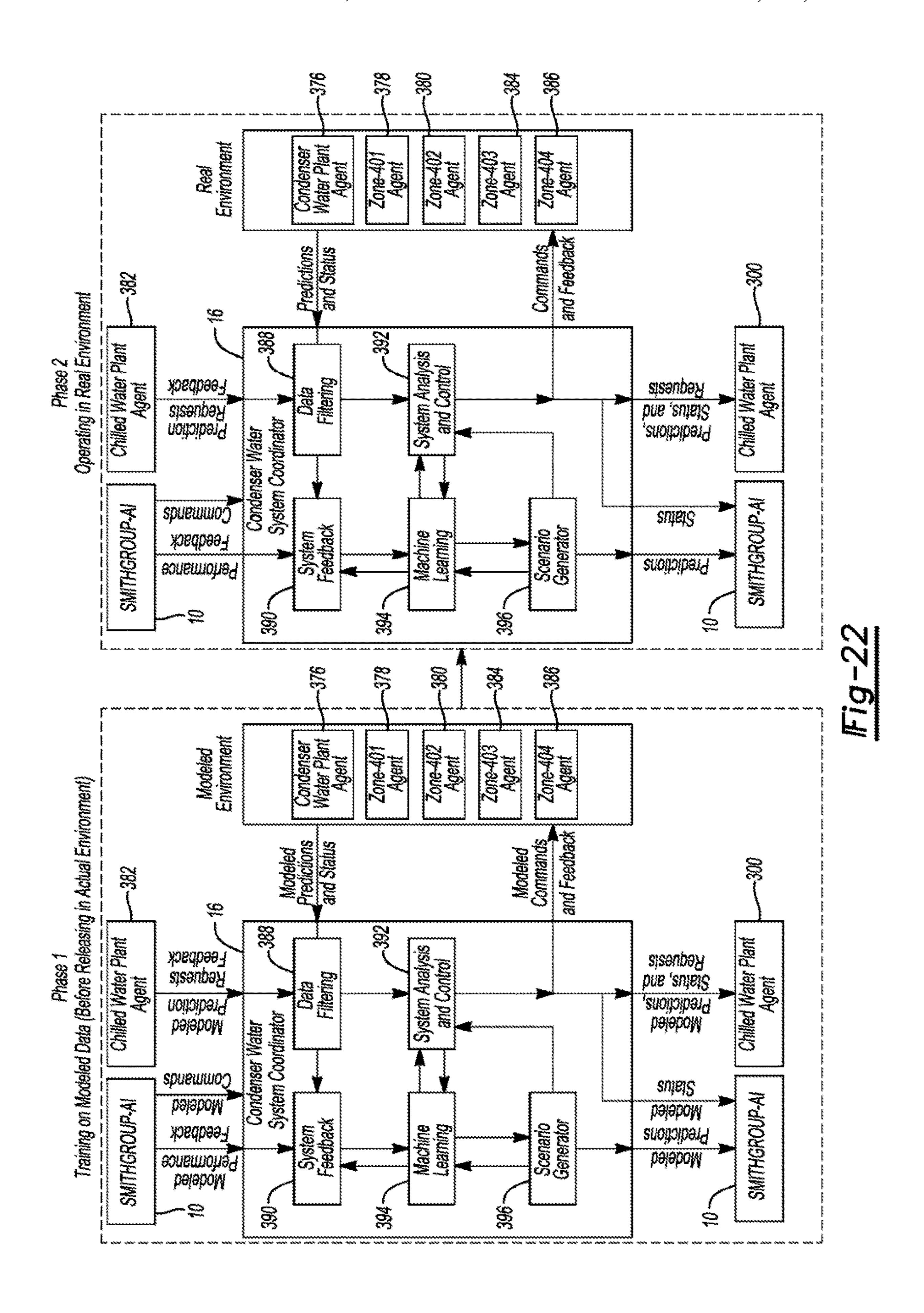


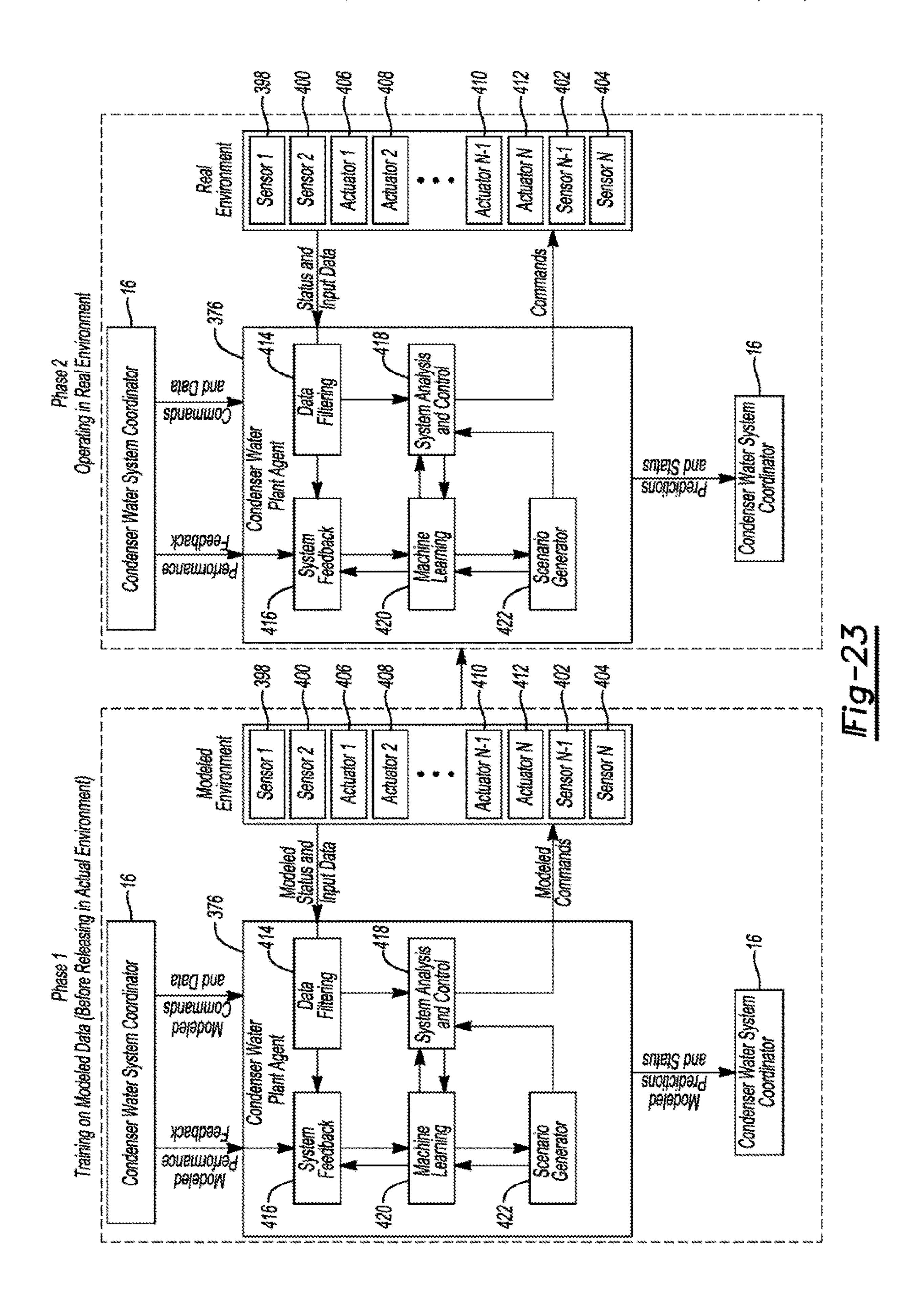












INTELLIGENT BUILDING MANAGEMENT SYSTEMS

CROSS-REFERENCE TO RELATED APPLICATION

This application is a continuation of U.S. patent application Ser. No. 16/436,564, filed Jun. 10, 2019, which claims the benefit of U.S. Provisional Patent Application No. 62/682,746, filed Jun. 8, 2018, both of which are incorporated by reference herein in their entirety.

FIG. 1 shows the community SMITHGROUP-AI and variable and its related environment. FIG. 3 shows the internal state of the community of the

TECHNICAL FIELD

This disclosure relates to the control of equipment used ¹⁵ within buildings.

BACKGROUND

A building management system (BMS), otherwise known as a building automation system (BAS), is a computer-based control system installed in a building that controls and monitors the building's electrical and mechanical equipment such as ventilation, lighting, power systems, fire systems, and security systems. As such, a BMS may also include a variety of devices (e.g., HVAC devices, controllers, chillers, fans, sensors, lighting controllers, lighting fixtures etc.) configured to facilitate monitoring and controlling the building space. Throughout this disclosure, such devices are referred to as BMS devices or building equipment.

Typically, even though the building controllers, inputoutput devices, and various switching equipment communicate via open source networks such as BACnet, LONworks, Modbus etc. the programming language for each such device is proprietary to the specific manufacturer. The 35 sequences of operation for each system are manually programmed into each controller and then "released" to automatically control their related systems.

SUMMARY

A hierarchical resource management system for a building, that has a plurality of zones each with a corresponding resource arranged to alter an environment of the zone, includes one or more processors. The processors implement 45 a plurality of agents that each monitor sensed values describing conditions of one of the zones, generate operating scenarios based on the sensed values for the resource corresponding to the one of the zones, and operate the resource according to a commanded one of the operating 50 scenarios. Each of the operating scenarios describe an array of set point values for the resource and correspond to an energy consumption of the resource. The processors also implement a coordinator that, responsive to receipt of the operating scenarios from each of the agents, filters the 55 operating scenarios to remove the operating scenarios that violate internal laws of the agents to form an aggregate validated set of operating scenarios. The processors further implement a central brain that, responsive to receipt of target conditions for the zones and the aggregate validated set of 60 operating scenarios from the coordinator, selects a combination of the operating scenarios from the aggregate validated set of operating scenarios that achieves the target conditions and minimizes overall energy consumption by the resources such that some of the operating scenarios of 65 the combination do not minimize energy consumption of the resources corresponding to the some of the operating sce2

narios, and directs the coordinator to command operation of the resources according to the combination of the operating scenarios.

BRIEF DESCRIPTION OF THE DRAWINGS

- FIG. 1 shows the communication architecture between SMITHGROUP-AI and various system coordinators.
- FIG. 2 shows the internal structure of SMITHGROUP-AI and its related environment.
- FIG. 3 shows the internal structure of the Zone Agent and its related environment.
- FIG. 4 shows the communication architecture between the various agents and coordinators.
- FIG. **5** shows the internal structure of the Power and Lighting System Coordinator and its related environment.
- FIG. 6 shows the internal structure of the Panelboard Agent and its related environment.
- FIG. 7 shows the internal structure of the Renewable Energy Agent and its related environment.
- FIG. **8** shows an airside system as having one air handling unit delivering a mixture of outside air and return air to five zones.
- FIG. 9 shows the communication architecture between the various Airside System Agents.
- FIG. 10 shows the internal structure of the AHU System Coordinator and its related environment.
- FIG. 11 shows the internal structure of the AHU Agent and its related environment.
- FIG. 12 shows the Heating Plant providing heating hot water to one Air Handling Unit and seven thermal zones.
- FIG. 13 shows the communication architecture between the various Hot Water System Agents.
- FIG. 14 shows the internal structure of the Hot Water System (HWS) Coordinator and its environment.
- FIG. 15 shows the internal structure of the Heating Plant Agent and its related environment.
- FIG. **16** shows a chilled water system including four chilled water pumps, pumped in parallel, one waterside economizer heat exchanger, and three chillers.
 - FIG. 17 shows the communication architecture between the various chilled water system agents.
 - FIG. 18 shows the internal structure of the Chilled Water System Coordinator and its related environment.
 - FIG. 19 shows the internal structure of the Chilled Water Plant Agent and its related environment.
 - FIG. 20 shows a condenser water system including four condenser water pumps and three cooling towers, each with two cells.
 - FIG. 21 shows the communication architecture between the various Condenser Water System Agents.
 - FIG. **22** shows the internal structure of the Condenser Water System Coordinator and its related environment.
 - FIG. 23 shows the internal structure of the Condenser Water Plant Agent and its related environment.

DETAILED DESCRIPTION

Various embodiments of the present disclosure are described herein. However, the disclosed embodiments are merely exemplary and other embodiments may take various and alternative forms that are not explicitly illustrated or described. The figures are not necessarily to scale; some features may be exaggerated or minimized to show details of particular components. Therefore, specific structural and functional details disclosed herein are not to be interpreted as limiting, but merely as a representative basis for teaching

55

one of ordinary skill in the art to variously employ the present invention. As those of ordinary skill in the art will understand, various features illustrated and described with reference to any one of the figures may be combined with features illustrated in one or more other figures to produce 5 embodiments that are not explicitly illustrated or described. The combinations of features illustrated provide representative embodiments for typical applications. However, various combinations and modifications of the features consistent.

A. SMITHGROUP-AI

1. General Description

SMITHGROUP-AI (supervisor) is an independent, multifunctional software agent responsible for the monitoring and control of all agents that control all building systems. Its 15 main goal is to direct the agents to operate at conditions that result in the lowest possible building energy consumption levels and building energy cost levels. This is achieved by analyzing all possible combinations and associated laws between the various System Coordinator scenarios, and then 20 directing each System Coordinator to implement a scenario that will result in the lowest possible building energy consumption levels. It is not required nor assumed that SMITH-GROUP-AI selects the most energy efficient scenario from each System Coordinator. Some System Coordinator sce- 25 narios selected to be implemented by SMITHGROUP-AI might not be the most energy cost efficient for that system; however, when analyzed from an overall building energy consumption or energy cost level, those scenarios are collectively the most energy efficient. Further, SMITHGROUP- 30 AI using various known machine learning algorithms may predict the overall building energy consumption and energy cost levels for the following hour, day, week, month and year.

FIG. 1 shows the communication architecture between 35 SMITHGROUP-AI 10 and the various System Coordinators 12, 14, 16, 18, 20, 22.

2. Internal Structure

Referring to FIG. 2, the internal structure of SMITH-GROUP-AI 10 and its related environment is shown. The 40 environment for SMITHGROUP-AI 10 is comprised of all System Coordinators **12**, **14**, **16**, **18**, **20**, **22** that it monitors and controls.

SMITHGROUP-AI 10 is comprised of five modules, each with its own dedicated algorithms and controls logic. The 45 Data Filtering module **24** is responsible for separating the data received from the various coordinators 12, 14, 16, 18, 20, 22. For example, the actual building energy consumption and energy cost levels may be sent to the System Feedback module 26, while energy consumption predictions and asso- 50 ciated scenarios from the System Coordinators 12, 14, 16, 18, 20, 22 will be sent to the System Analysis and Control module 28.

The System Feedback module 26 is responsible for the following:

Collection of information from the System Coordinators 12, 14, 16, 18, 20, 22; the information may represent status (e.g. failed, maintenance), or actual agent energy consumption and energy cost levels. A failed status may indicate that a System Coordinator may no longer 60 control its environment and should be excluded from the overall building energy consumption and energy cost level predictions that the Machine Learning module 30 is making. A maintenance status may indicate that a System Coordinator has entered or may enter a 65 maintenance mode and that the Machine Learning module 30 needs to update its predictions accordingly.

The information may also represent predictions related to the energy consumption levels of each agent.

Analysis of predictions related to the total building energy consumption and energy cost levels; the actual energy consumption levels from the various agents will be compared against their own predictions and also against the predictions made by the Machine Learning module 30. Any large discrepancies between the predicted and actual energy consumption and energy cost levels could mean that the associated Scenarios that SMITHGROUP-AI 10 has directed the System Coordinators 12, 14, 16, 18, 20, 22 to execute are no longer valid. This could also indicate that, due to various events (e.g. equipment failures, sensor failures etc.) some agents within the system may conflict with their own internal laws. Further, this could also indicate that the difference in the error or accuracy of the predictions made by the Machine Learning module 30 or by each System Coordinator 12, 14, 16, 18, 20, 22 and the actual outcome might have exceeded critical limits, which in turn has affected the overall energy consumption and energy cost levels of the building. The System Feedback module 26 may then send the actual energy consumption and energy cost levels to the Machine Learning module 30 such that the Machine Learning module 30 can update its internal learning algorithms.

An internal law for an agent may be one (or both) of the following (i) a physical property (e.g. maximum air flow through a fan, maximum water flow through a pump) of a system that the agent is controlling and/or responsible for, (ii) a software or hardware (e.g. computing power) limitation of the agent; e.g. a coordinator may ask an agent to provide predictions for a significantly large (and may be unrealistic) number of scenarios within a limited/short amount of time. This request may impede the agent's ability to control the system that it serves. Agents may report their internal laws to their coordinators such that the coordinators can determine whether certain scenarios generated by one agent violates the internal laws of another agent, etc.

Receiving requests from the HUMAN INTERVENTION Application Programming Interface (API) 32. For example, the System Feedback module 26 may receive a request to simulate what will the total building energy cost (e.g. natural gas or electricity) be if the cost of electricity will increase by 20% starting two months from the current date. The System Feedback module **26** will then send this scenario to the Machine Learning module 30, which in turn may use its own internal algorithms to make predictions regarding the total building energy costs; the Machine Learning module 30 will then send these predictions back to the System Feedback module 26, which in turn will send them to the HUMAN INTERFACE API 32. The Machine Learning module 30 may also determine that, to make the requested predictions, it needs inputs (e.g. energy consumption predictions) from the various System Coordinators 12, 14, 16, 18, 20, 22; as such, it may ask the System Analysis and Control module 28 to direct the various System Coordinators 12, 14, 16, 18, 20, 22 to make the associated predictions and send them back to SMITHGROUP-AI 10 to be processed and analyzed. Further, the System Feedback module 26 may receive a request to simulate what will happen (e.g. what systems are affected and by what degree) if a major piece of equipment (e.g. chiller, boiler) will fail at a certain moment in time. The System Feedback module

26 and the Machine Learning module 30 will then follow a process as described in the previous example. Receiving commands from the HUMAN INTERVEN-TION API 32. For example, the System Feedback module 26 may receive a command to run the entire 5 chilled water plant at 50% capacity or to put a certain piece of equipment (e.g. chiller, boiler, AHU, etc.) in maintenance mode. The System Feedback module 26 will then send this command to the System Analysis and Control module **28** to be analyzed. Before execut- 10 ing the command, the System Analysis and Control module 28 will direct the System Coordinators 12, 14, 16, 18, 20, 22 to simulate what will be the outcomes (e.g. unable to maintain zone temperatures, warmer chilled water temperature, colder hot water tempera- 15 ture, etc.) associated with the command. The System Analysis and Control module **28** will then send these outcomes to the System Feedback module 26, which in turn will send them back to the HUMAN INTERVEN-TION API **32** for confirmation. Once it receives con- 20 firmation from the HUMAN INTERVENTION API 32, via the System Feedback module 26, the System Analysis and Control module 28 will then direct the System Coordinators 12, 14, 16, 18, 20, 22 to execute the command.

The Machine Learning module 30 is responsible for the following:

Collection of information from the System Feedback module **26**. The type of information that this module will collect is described under the System Feedback 30 module **26**.

Collection of information from the System Analysis and Control module 28. This information may represent prediction scenarios from System Coordinators 12, 14, 16, 18, 20, 22 regarding energy consumption levels 35 associated with each system.

receiving prediction requests from the System Analysis and Control module 28. For example, the System Analysis and Control module 28, after it analyzed and established what combination of System Coordinator 40 scenarios will need to be executed, may send these scenarios to the Machine Learning module 30. The Machine Learning module 30, using machine learning algorithms and historical data, may predict that the combination of System Coordinator scenarios selected 45 by the System Analysis and Control module 28 will not result in the lowest possible energy consumption and energy cost levels for the entire building. The Machine Learning module 30 will then send this output to the System Analysis and Control module 28 for analysis. 50

Making predictions, using machine learning algorithms, based on the data received from the System Analysis and Control module 28, for the overall building energy consumption or energy cost levels. In addition, the Machine Learning module 30 may also make predic- 55 tions related to the peak electricity demand for the entire building. For example, by analyzing the electricity peak demand charge rate schedule from the utility provider, and with input from the System Analysis and Control module **28**, the Machine Learning module **30** 60 may predict that, at a certain time in the day, the facility peak electricity demand may exceed a value after which the facility will incur significant surcharges. The Machine Learning module 30 may then send this prediction to the System Analysis and Control module 65 28, which in turn may direct the System Coordinators 12, 14, 16, 18, 20, 22 to analyze various scenarios for

6

their related systems and agents to minimize the overall peak facility electricity demand at that time in the day. The Systems Coordinators 12, 14, 16, 18, 20, 22 will then send back their associated predictions, together with any system constraints or laws that they might not be able to meet should they be directed to implement the associated scenarios. For example, AHU-1 System Coordinator 20 may determine that, to reduce the energy consumption of the AHU fans, some zones, based on their importance factor, may have to have their temperature setpoints increased or decreased. (Zone and system are sometimes used interchangeably herein.) This approach could put a Zone Agent in conflict with its own internal laws. The System Analysis and Control module 28 will then analyze the predicted scenarios received from the AHU-1 System Coordinator 20 and it may decide to direct the System Coordinators 12, 14, 16, 18, 20, 22 to execute the scenarios associated with the combination that result in a peak facility electricity demand lower than the critical value, even though this command may put various agents in conflict with their own internal laws.

Sending the predictions to the System Analysis and Control module **28** and to the Scenario Generator module **34**.

The System Analysis and Control module **28** is responsible for the following:

Monitoring and control of all System Coordinators 12, 14, 16, 18, 20, 22.

24. The type of information that this module will collect is described under the Data Filtering module 24. The System Analysis and Control module 28 may then analyze all possible System Coordinator scenario combinations and select the combination that will result in the lowest possible building energy consumption or energy cost levels. After establishing the combination that will result in the lowest possible building energy consumption or energy cost levels, the System Analysis and Control module 28 may direct the System Coordinators 12, 14, 16, 18, 20, 22 to execute the scenarios associated with that specific combination.

Collection of information from the System Feedback module **26**. The type of information that this module will collect is described under the System Feedback module **26**.

Receiving predictions made by the Machine Learning module 30. The type of predictions that the Machine Learning module 30 may send to the System Analysis and Control module 28 is described in the Machine Learning module 30 section above. Based on the input (e.g. equipment failures, unoccupied zones previously predicted to be occupied zones, etc.) provided by the System Coordinators **12**, **14**, **16**, **18**, **20**, **22**, the System Analysis and Control module 28 may decide to override the predictions made by the Machine Learning module 30 and direct the System Coordinators 12, 14, 16, 18, 20, 22 to implement the combination of scenarios previously established. The System Analysis and Control module 28 may also decide to direct the System Coordinators 12, 14, 16, 18, 20, 22 to update their predictions and send them back to SMITH-GROUP-AI 10 for analysis.

The Scenario Generator module 34 is responsible for continuously looking for ways to improve the overall energy or energy cost performance of the building. For example, the Scenario Generator module 34 may create a series of sce-

narios which will then be sent to the System Analysis and Control module 28 to analyze and validate; the System Analysis and Control module 28 may ask the System Coordinators 12, 14, 16, 18, 20, 22 to make predictions on the scenarios generated by the Scenario Generator module 5 34. Once the associated System Coordinator predictions are received and validated, the System Analysis and Control module 28 will establish which combinations of scenarios may result in the lowest energy consumption or energy cost level. The System Analysis and Control module **28** will then 10 send these combinations to the Machine Learning module 30 to make predictions, as previously described, or it may send them back to the Scenario Generator module 34 for analysis. After analyzing the predictions made by the Machine Learning module 30 or the System Coordinator combination 15 scenarios received from the System Analysis and Control module 28, the Scenario Generator module 34 may decide to direct the System Analysis and Control module 28 to implement a specific combination of System Coordinator scenarios. The System Analysis and Control module 28 will 20 then direct the System Coordinators 12, 14, 16, 18, 20, 22 to execute the scenarios associated with that specific combination.

The Scenario Generator module **34** may create scenarios by modelling Zone Agents under different conditions (e.g. 25 various zone temperature setpoints, various supply airflow setpoints and associated temperature, various lighting loads, various plug loads, etc.), by modelling AHUs as delivering various airflows at various temperatures, by modelling the Chilled Water Plant as delivering various chilled water 30 temperatures and various associated chilled water flows, by modelling the Condenser Water Plant as delivering various condenser water temperatures and condenser water flows, or by modeling the Hot Water Plant as delivering various hot water temperatures and associated water flows, etc.

B. Zone Agent

1. General Description

The zone agent is an independent, multifunctional software agent responsible for management of zones throughout the building. A "zone" can be comprised of one or more 40 rooms, one or more lighting control zones, one or more receptacle control zones, and one or more heating/cooling terminal units. The functions and responsibilities of the zone agent include but are not limited to:

Prediction of current and future heating and cooling loads. 45 Measurement of current occupancy and prediction of future occupancy.

Prediction of terminal unit reheat coil performance under various combinations of entering air temperature, entering water temperature, etc.

Prediction of terminal unit cooling coil performance under various combinations of entering air temperature, entering water temperature, etc.

Prediction of terminal unit energy consumption under various combinations of entering air temperature, 55 entering water temperatures, etc.

Modulation of terminal unit actuators to satisfy room heating and cooling as directed by SMITHGROUP-AI 10.

Zone lighting control.

Zone receptacle control for switched receptacle circuits. Monitoring of total zone power consumption.

Monitoring of zone receptacle power consumption and prediction of future zone receptacle power consumption.

Operation of motorized shading. Airflow/Ventilation optimization.

8

2. Internal Structure

Referring to FIG. 3, the internal structure of the Zone Agent 36 and its related environment is shown. The environment for the Zone Agent 36 is comprised of the sensors 38, 40, 42, 44 within the zone, global sensors, weather data from an internet source (API) 46, 48, and effectors 50, 52, 54, 56 within the zone. The agent 36 is comprised of four modules, each with its own dedicated algorithms and controls logic.

The System Feedback module **58** is responsible for the following:

Collection of information from all associated sensors 38, 40, 42, 44, data sources 46, 48, and effectors 50, 52, 54, 56; the data received will be compared against the predictions made by the Machine Learning module 60. Where appropriate, a time delay will be implemented when comparing the measured data to predictions; data will also be compared to historical data to allow for the filtering of outlier data that may be caused by a malfunctioning sensor or atypical temporary conditions. Once the implementation of appropriate time delays and filtering is complete, the System Feedback module 58 will then send the measured values to the Machine Learning module 60 such that the Machine Learning module 60 can update its internal learning algorithms.

The Machine Learning module **60** is responsible for the following:

Collection of information from the System Feedback module **58**.

Making predictions using machine learning algorithms. Sending predictions to the System Analysis and Control module **62**, and associated higher level coordinators where applicable.

The Machine Learning module **60** will contain numerous machine learning algorithms, including, but not limited to the following.

1. Current sensible heating/cooling load prediction algorithm. This algorithm will predict the current sensible heating or cooling load in Btu/hr for the zone. Data inputs used may include, but not be limited to the following: zone temperature setpoint, zone temperature, zone relative humidity setpoint, zone relative humidity, daylighting, total lighting heat output, outdoor air temperature, outdoor air dew point, outdoor air wind speed, outdoor air wind direction, current motorized shade position, current number of occupants, and current plug load. Initially, a data set produced using a load simulation software will be used to train a machine learning algorithm. This will be referred to as a "pretrained algorithm." Upon implementation, the agent 36 will build a new data set using real operational data to train a new machine learning algorithm which will be referred to as an "operational algorithm." The feedback used to train the operational algorithm will be zone temperature drift after a specified period. The agent 36 will continue to use the pre-trained algorithm until the operational algorithm has achieved a specified level of accuracy—at which point the transition will occur. If the operational algorithm data should become inaccurate, the agent 36 will revert to the pre-trained algorithm. For example, if SMITHGROUPAI 10 is implemented during a period of warm weather, the operational algorithm may become accurate enough to take over from the pre-trained algorithm within one to two months. However, the temperature may drop after several months at which point the algorithm may become inaccurate due to not having accrued enough

training data for periods of cold weather. Should this occur, the agent 36 may revert to using the pre-trained algorithm, until such a time that the operational algorithm has built up enough training data and achieved the specified level of accuracy. Note that the data sets 5 for the pre-trained algorithm, and operational algorithm will never be mixed—this transition type will be referred to as a "hand-off transition."

- 2. Current latent dehumidification/humidification load prediction algorithm: this algorithm will predict the 10 current latent dehumidification/humidification load in Btu/hr for the zone. Data inputs used may include, but not be limited to the following: zone relative humidity setpoint, zone relative humidity, outdoor air dew point, outdoor air wind speed, outdoor air wind direction, 15 current number of occupants, and current plug load. Initially, a data set produced using load simulation software will be used to train a machine learning algorithm. This will be referred to as a "pre-trained algorithm." Upon implementation, the agent 36 will 20 build a new data set using real operational data to train a new machine learning algorithm that will be referred to as the "operational algorithm." The feedback used to train the operational algorithm will be zone relative humidity drift after a period of time. The transition 25 from the pre-trained algorithm to the operational algorithm shall be a hand-off transition. Refer to machine learning algorithm one for additional information.
- 3. Future sensible heating/cooling load prediction algorithm. This algorithm will predict future sensible heating/cooling load in Btu/hr for the zone. Data inputs used may include, but not be limited to the following: predicted zone temperature setpoint, predicted number of occupants, predicted total lighting heat output, predicted plug load, future outdoor air temperature (via 35 API), future outdoor air wind speed (via API), future outdoor air wind direction (via API), future solar azimuth (via API), future solar altitude (via API), future cloud cover (via API), and predicted motorized shade position. Initially, a data set produced using load simu- 40 lation software will be used to train a machine learning algorithm. This will be referred to as a "pre-trained algorithm." Upon implementation, the agent 36 will build a new data set using real operational data to train a new machine learning algorithm which will be 45 referred to as the "operational algorithm." The feedback used to train the operational algorithm will be the actual cooling load. This process represents an indirect feedback loop in which each prediction the algorithm makes will not necessarily receive feedback. For 50 example, if the operational algorithm predicts that a zone cooling load will be 10,000 Btu/hr in five hours based on the forecasted weather data in which total cloud cover is expected, but in five hours' time, the cloud cover is minimal, the prediction will be inaccu- 55 rate. However, the cause of the inaccuracy was the uncertainty of the weather forecast rather than an issue with the algorithm. For this reason, the algorithm will monitor real time cooling load and the data inputs described above that occur simultaneous to the real 60 time cooling load to build the data set. The transition from the pre-trained algorithm to the operational algorithm will be a hand-off transition. Refer to machine learning algorithm one for additional information.
- 4. Future latent dehumidification/humidification load pre- 65 diction algorithm. This algorithm will predict the future latent dehumidification/humidification load in Btu/hr

10

for the zone. Data inputs used may include, but not be limited to the following: predicted zone relative humidity setpoint, predicted number of occupants, future outdoor air dew point (via API), future outdoor air wind speed (via API), future outdoor air wind direction, and predicted plug load. Initially, a data set produced using load simulation software will be used to train a machine learning algorithm. This will be referred to as a "pretrained algorithm." Upon implementation, the agent 36 will build a new data set using real operational data to train a new machine learning algorithm that will be referred to as the "operational algorithm." The feedback used to train the operational algorithm will be the actual latent dehumidification/humidification load. This process represents an indirect feedback loop. Refer to machine learning algorithm three for additional information on feedback. The transition from pre-trained algorithm to the operational algorithm will be a hand-off transition. Refer to machine learning algorithm one for additional information.

- 5. Future temperature setpoint prediction algorithm. This algorithm will predict the future temperature setpoint for any given time. Data inputs used may include, but not be limited to the following: day of the week, day type (holiday/non-holiday), and time. Initially, a setpoint schedule will be produced to train a machine learning algorithm. This will be referred to as a "pretrained algorithm." Upon implementation, the agent 36 will build a new data set using real operational data to train a new machine learning algorithm that will be referred to as the "operational algorithm." The feedback used to train the operational algorithm will be actual setpoint data. A complete transition from the pre-trained algorithm to the operational algorithm will take place after a specified period. Both the pre-trained and operational algorithm may be overridden by user intervention or in coordination with a room scheduling platform.
- 6. Future relative humidity setpoint prediction algorithm. This algorithm will predict the future relative humidity setpoint for any given time. Data inputs used may include, but not be limited to the following: day of the week, day type (e.g. holiday/non-holiday), and time. Initially, a setpoint schedule will be produced to train a machine learning algorithm. This will be referred to as a "pre-trained algorithm." Upon implementation, the agent will build a new data set using real operational data to train a new machine learning algorithm that will be referred to as the "operational algorithm." The feedback used to train the operational algorithm will be actual setpoint data. A complete transition from the pre-trained algorithm to the operational algorithm will take place after a specified period. Both the pre-trained and operational algorithm may be overridden by user intervention or in coordination with a room scheduling platform.
- 7. Predicted number of occupants algorithm. This algorithm will predict the future number of occupants for any given time. Data inputs used may include, but not be limited to the following: day of the week, day type (e.g. holiday/non-holiday), and time. Initially, a setpoint schedule will be produced to train a machine learning algorithm. This will be referred to as a "pretrained algorithm." Upon implementation, the agent 36 will build a new data set using real operational data to train a new machine learning algorithm that will be referred to as the "operational algorithm." The feed-

back used to train the operational algorithm will be actual number of occupants as sensed by a people counter or as calculated using room CO_2 levels. A complete transition from the pre-trained algorithm to the operational algorithm will take place after a specified period. Both the pre-trained and operational algorithm may be overridden by user intervention or in coordination with a room scheduling platform.

- 8. Predicted plug load. This algorithm will predict the future plug load for any given time. Data inputs used 10 may include, but not be limited to the following: day of the week, day type (e.g. holiday/non-holiday), predicted number of occupants, and time. Initially, a setpoint schedule will be produced to train a machine learning algorithm. This will be referred to as a "pre- 15" trained algorithm." Upon implementation, the agent 36 will build a new data set using real operational data to train a new machine learning algorithm that will be referred to as the "operational algorithm." The feedback used to train the operational algorithm will be the 20 actual plug load as sensed by the meter on the associated receptacle circuit. The transition from the pretrained algorithm to the operational algorithm will be a hand-off transition. Refer to machine learning algorithm one for additional information.
- 9. Future perimeter zone lighting control signal. This algorithm will predict the future lighting control signal for the exterior lighting control zone within a zone for any given time. Data inputs used may include, but not be limited to the following: predicted number of occupants, future solar azimuth, future solar altitude, future cloud cover, and future motorized shade position. Each lighting circuit will be sub metered. Upon building start up, the Scenario Generator module **64** in combination with the System Analysis and Control module **62** will 35 turn off all but one lighting zone at a time and modulate from minimum to maximum a lighting control signal while the System Feedback module 58 records the metered wattage associated with each lighting control signal. Therefore, the predicted perimeter zone lighting 40 control signal can be used to predict exterior zone lighting heat output in Btu/hr. The process described above also applies to the middle, and interior lighting control zones.
- 10. Future middle zone lighting control signal. This 45 algorithm will predict the future lighting control signal for the middle lighting control zone within a zone for any given time. Refer to machine learning algorithm nine for additional information.
- 11. Future interior zone lighting control signal. This 50 algorithm will predict the future lighting control signal for the interior lighting control zone within a zone for any given time. Refer to machine learning algorithm nine for additional information.
- 12. Future total lighting heat output: this algorithm will 55 predict the total lighting heat output for any given time. Data inputs may include but not be limited to the following: future perimeter zone lighting control signal, future middle zone lighting control signal, interior zone lighting control signal. Initially, a data set produced using load simulation software will be produced to train a machine learning algorithm. This will be referred to as a "pre-trained algorithm." Upon implementation, the agent 36 will build a new data set using real operational data to train a new machine learning 65 algorithm that will be referred to as the "operational algorithm." The feedback used to train the operational

12

algorithm will be the actual lighting control signals. This process represents an indirect feedback loop. Refer to machine learning algorithm three for additional information on feedback. The transition from the pre-trained algorithm to the operational algorithm will be a hand-off transition. Refer to machine learning algorithm one for additional information.

- 13. Predicted change in temperature rate. This algorithm will predict the rate at which the temperature in the zone will change. Data inputs used may include, but not be limited to airflow (CFM), and a differential between predicted zone load (Btu/hr) and the heating/cooling provided to the zone (Btu/hr). Initially, a data set produced using mathematical formulas and the volume of the space will be produced to train a machine learning algorithm. This will be referred to as a "pretrained algorithm." Upon implementation, the agent will build a new data set using real operational data to train a new machine learning algorithm that will be referred to as the "operational algorithm." The feedback used to train the operational algorithm will be the actual change in temperature rate. The Scenario Generator module **64**, in combination with the System Analysis and Control module **62** will be responsible for changing the airflow and Btu/hr offset to the space during unoccupied periods to build the training set for the operational algorithm. The transition from the pretrained algorithm to the operational algorithm will be a hand-off transition. Refer to machine learning algorithm one for additional information.
- 14. Predicted change in relative humidity rate. This algorithm will predict the rate at which the relative humidity in the zone will change. Data inputs used may include, but not be limited to airflow (CFM), and a differential between predicted zone load (Btu/hr) and the dehumidification/humidification provided to the zone (Btu/hr). Initially, a data set produced using mathematical formulas and the volume of the space will be produced to train a machine learning algorithm. This will be referred to as a "pre-trained algorithm." Upon implementation, the agent will build a new data set using real operational data to train a new machine learning algorithm that will be referred to as the "operational algorithm." The feedback used to train the operational algorithm will be the actual change in relative humidity rate. The Scenario Generator module **64**, in combination with the System Analysis and Control module **62** will be responsible for changing the airflow and Btu/hr offset to the space during unoccupied periods to build the training set for the operational algorithm. The transition from pre-trained algorithm to the operational algorithm will be a hand-off transition. Refer to machine learning algorithm one for additional information.
- 15. Hydronic coil characteristics. This algorithm will predict the leaving water temperature, and flow rate. Data inputs used may include, but not be limited to airflow, entering water temperature, entering air temperature, and leaving air temperature. Initially, a data set produced by mapping coil characteristics using manufacturer's data will be used to train a machine learning algorithm. This will be referred to as a "pretrained algorithm." Upon implementation, the agent will build a new data set using real operational data to train a new machine learning algorithm that will be referred to as the "operational algorithm." The feedback used to train the operational algorithm will be the

actual leaving water temperature and flow rate. The transition from the pre-trained algorithm to the operational algorithm will be a hand-off transition. Refer to machine learning algorithm one for additional information.

- 16. Energy consumption. This algorithm will predict the terminal unit energy consumption for various operating scenarios. Data inputs used may include, but not be limited to the following: primary airflow (CFM) and fan airflow (CFM). Initially, a data set produced using 1 manufacturer's data will be used to train a machine learning algorithm. This will be referred to as a "pretrained algorithm." Upon implementation, the agent will build a new data set using real operational data to train a new machine learning algorithm that will be 15 referred to as the "operational algorithm." The feedback used to train the operational algorithm will be the actual terminal unit energy consumption. The transition from the pre-trained algorithm to the operational algorithm will be a hand-off transition. Refer to machine 20 learning algorithm one for additional information.
- 17. Airflow algorithm. This algorithm will determine the amount of airflow the zone will receive for each potential entering air temperature. Data inputs used may include, but not be limited to the following: zone 25 heating/cooling load, zone humidification/dehumidification load, entering air temperature, entering air dew point, number of occupants, zone CO₂ concentration, zone VOC concentration, zone airborne particulate concentration, and air handling unit system ventilation 30 efficiency. The algorithm will produce a single airflow value for each potential entering air temperature. Initially, a data set produced using load simulation software will be used to train a machine learning algorithm. This will be referred to as a "pre-trained algorithm." 35 Upon implementation, the agent 36 will build a new data set using real operational data to train a new machine teaming algorithm that will be referred to as the "operational algorithm." The feedback used to train the operational algorithm will be zone relative humidity 40 drift after a period. The transition from the pre-trained algorithm to the operational algorithm shall be a handoff transition. Refer to machine learning algorithm one for additional information. The algorithm will be constrained by high and low limits—referred to as laws 45 which can be reset through user intervention or by direction from SMITHGROUP-AI 10, the Scenario Generator module 64, and the System Analysis and Control module **62**.
- 18. Predicted zone receptable power consumption. This 50 algorithm will predict the total zone receptacle power consumption for any given time. The purpose of this algorithm is to predict the energy consumption of various equipment such as kitchen appliances, computers, data servers, etc. Data inputs used may include, but 55 not be limited to the following: number of occupants, time, day of week, and day type (e.g. holiday or non-holiday). Initially, a data set produced using load simulation software will be used to train a machine learning algorithm. This will be referred to as a "pre- 60" trained algorithm." Upon implementation, the agent 36 will build a new data set using real operational data to train a new machine learning algorithm that will be referred to as the "operational algorithm." The feedback used to train the operational algorithm will be 65 actual zone receptacle power consumption as measured by the meter on the associated receptacle circuit. The

14

transition from the pre-trained algorithm to the operational algorithm shall be a hand-off transition. Refer to machine learning algorithm one for additional information.

Various algorithms described above can be limited to a specific range using lower, and higher limit laws.

The machine learning algorithm outputs will form a data set of potential operating scenarios which will be shared with the AHU System coordinators 20, 22, Chilled Water System Coordinator 16, Condenser Water System Coordinator 12, Heating Hot Water System Coordinator 18, and Power System Coordinator 14, where applicable. For example, if a zone agent 36 is responsible for the control of a chilled water fan coil unit, the Zone Agent 36 will send data sets to the appropriate one of the AHU System Coordinators 20, 22, and Chilled Water System Coordinator 16; if the Zone Agent 36 is responsible for the control of a VAV box with a heating hot water reheat coil, the Zone Agent 36 will send data to the appropriate one of the AHU System Coordinators 20, 22 and the Heating Hot Water System Coordinator 18.

In addition to the algorithms described above, zones which feature frequent dry bulb temperature/dew point temperature setpoint changes will include the following algorithms. The algorithms below can be used in combination with scheduled/predicted future setpoints.

19. Temperature setpoint change, this algorithm will predict the amount of time required to heat or cool a zone between two temperature setpoints. Data inputs may include, but not be limited to the following: initial setpoint, final setpoint, airflow (CFM), current sensible heating/cooling load, future sensible heating/cooling load, number of occupants, and discharge air temperature. Initially, a data set produced using mathematical formulas (e.g. HVAC formulas, differential equations etc.) and the volume of the room will be used to train a machine learning algorithm. This will be referred to as a "pre-trained algorithm." Upon implementation, the agent 36 will build a new data set using real operational data to train a new machine learning algorithm which will be referred to as the "operational algorithm." The feedback used to train the operational algorithm will be the actual amount of time required to heat or cool the zone from the initial or final temperature. The Scenario Generator module **64**, in combination with the System Analysis and Control module **62** will be responsible for running test scenarios where the temperature setpoint is changed during unoccupied periods. The tests will be conducted using various airflows and discharge air temperatures to build the operational algorithm's data set. Once the operational algorithm is implemented, the Scenario Generator module 64 in combination with SMITHGROUP-AI 10 and the System Analysis and Control module **62** will calculate various scenarios for heating/cooling the room from the initial to final setpoint and determine which method is most economical. For example, consider a room scheduled or predicted to be occupied from 8:00 AM to 9:30 AM with a setpoint of 80° F., scheduled or predicted to be unoccupied from 9:30 AM to 10:00 AM, and scheduled or predicted to be occupied from 10:00 AM to 11:00 AM with a setpoint of 60° F. Therefore, the system will have 30 minutes to cool the room from 80° F. to 60° F. The Scenario Generator module 64 in combination with SMITH-GROUP-AI 10 and the System Analysis and Control module **62** will evaluate whether it is more economical to cool the room slowly over a longer period of time (up

to 30 minutes) or quickly over a short period of time—and when that short period of time should be—for example, if the cloud cover is expected to clear at 9:45 AM—thus increasing the cooling loads and energy associated with meeting the loads—then it may be 5 more energy or energy cost efficient to cool the room quickly between 9:30 AM and 9:45 AM, then maintain the temperature for the following 15 minutes. The transition from the pre-trained algorithm to the operational algorithm shall be a hand-off transition. Refer to 10 machine learning algorithm one for additional information. The strategies described above also apply to machine learning algorithm twenty.

20. Dew point temperature setpoint change. This algorithm will predict the amount of time required to heat 15 or cool a zone between two dew point temperature setpoints. Note that dew point is used as opposed to relative humidity as the dew point setpoint change will often coincide with a dry bulb temperature change. Data inputs may include, but not be limited to the 20 following: initial setpoint, final setpoint, airflow (CFM), current latent dehumidification/humidification load, future latent dehumidification/humidification load, number of occupants, and entering air dew point temperature. Initially, a data set produced using math- 25 ematical formulas and the volume of the room will be used to train a machine learning algorithm. This will be referred to as a "pre-trained algorithm." Upon implementation, the agent 36 will build a new data set using real operational data to train a new machine learning 30 algorithm which will be referred to as the "operational algorithm." The feedback used to train the operational algorithm will be the actual amount of time required to humidity or dehumidify the zone from the initial or final dew point temperature. Refer to machine learning 35 algorithm nineteen for additional information. The transition from the pre-trained algorithm to the operational algorithm shall be a hand-off transition. Refer to machine learning algorithm one for additional information.

The System Analysis and Control module **62** is responsible for the following:

Monitoring and control of all sensors 38, 40, 42, 44 and actuators 50, 52, 54, 56 related to the Zone Agent 36.

Analyzing the data from each sensor and actuator. For 45 example, the System Analysis and Control module 62 may determine that due to a flex duct being kinked, higher end airflow values can no longer be provided, and update the high limit airflow law for the airflow algorithm.

Sending the valid system scenarios to the Machine Learning module **60** for predictions.

Sending commands to the various zone effectors 50, 52, 54, 56. The commands could be valve position, lighting control signal, damper position, or shade position.

Analyzing the scenarios proposed by the Scenario Generator module 64. For example, if SMITHGROUP-AI 10 determines that the zone in question is considered critical (e.g. is the zone preventing the HVAC system from operating at a more energy efficient condition), 60 then the Scenario Generator 64 shall create scenarios to be analyzed by the System Analysis and Control module 62 such as relaxing the temperature or relative humidity set point or lowering the motorized shades. The System Analysis and Control module 62 shall 65 evaluate the created options and determine if they are acceptable based on the current zone occupant number,

16

and the zone importance factor: a numerical value between 0-10 indicating how important space temperature and lighting levels are. For zones with high importance factors such as main conference rooms etc., the System Analysis and Control module 62 may determine that certain scenarios such as relaxing temperature setpoints are not acceptable. If determined to be acceptable, the System Analysis and Control module 62 will send the scenario to the Machine Learning module 60 for predictions.

The Scenario Generator module **64** is responsible for receiving data from SMITHGROUP-AI **10**, via its associated System Coordinator, and generating new operating scenarios for the zone in response. For example, SMITH-GROUP-AI **10** may determine that the zone is the most critical from a ventilation standpoint. In response, the Scenario Generator module **64** may request that the System Analysis and Control module **62** raise the airflow algorithm minimum airflow law to provide more airflow to the zone.

3. Sample Process

Refer to AHU System, Chilled Water System, and Heating Hot Water System for examples of the data sets produced by the zone agent **36** and how they are used.

C. Power and Lighting Systems

1. General Description

Considering a power monitoring and controls system, the electricity consumption of each zone circuit within the lighting panelboard and within the power panelboard is monitored via a dedicated meter. Further, the power for each zone circuit within the lighting panelboard and within the power panelboard may be turned on and off via the dedicated circuit breaker. All sensors and actuators are connected directly to the network, without the use of proprietary controllers that operate with programmed sequences of operation. In some instances, an open source non-proprietary input/output module or a gateway may be required to convert the signal from a sensor or an actuator such that it can be communicated via open source networks such as BACnet, LONworks, Modbus, etc.

Considering a renewable energy power monitoring and controls system, the controls of the wind turbines and of the solar panels are done through the manufacturer provided proprietary control panels. The control panels are connected to the network through integration via open source non-proprietary input/output modules or gateways. In some instances, the sensors and actuator associated with the wind turbine controls systems and solar panel controls systems may be connected directly to the network thru non-proprietary input/output modules or gateways.

The control of the entire power system is performed through a series of independent software agents such as the Power and Lighting System Coordinator 66, Lighting and Power Panelboard Agents 68, 70, Utility Agent 72, Renew55 able Energy Agent 74, and Zone Agents 36', 36". The communication architecture between the various agents and coordinators is shown in FIG. 4.

- 2. Power and Lighting System Coordinator
- a. Purpose

The Power and Lighting System Coordinator **66** is an independent software agent that monitors and controls all agents associated with the power and lighting control systems. Further, the Power and Lighting System Coordinator **66** is responsible for the following:

Prediction of the energy use of all building systems.

Prediction of the energy generation from the renewable systems.

Communication with SMITHGROUP-AI 10: sends overall power use and power available predictions and receives commands from SMITHGROUP-AI 10.

Communication with the Panelboard Agents **68**, **70**: requests power use predictions and status from the 5 Panel Agents **68**, **70**.

Communication with the Renewable Energy Agent 74: request power available predictions and status from the Renewable Energy Agent 74.

Communication with the Zone Agents 36', 36": receives 10 commands and feedback from the Zone Agents 36', 36".

Communication with the Utility Agent 72: receives utility status (e.g. loss of power).

b. Internal Structure

Referring to FIG. **5**, the internal structure of the Power and Lighting System Coordinator **66** and its related environment is shown. The environment for the Lighting and Power System Coordinator **66** is comprised of all the agents that it monitors and controls. The agent is comprised of five 20 modules, each with its own dedicated algorithms and controls logic.

The Data Filtering module **76** is responsible for separating the data received from the various agents **36'**, **36''**, **68**, **70**, **72**, **74**. For example, the actual agent power consumption levels 25 will be sent to the System Feedback module **78**, while predictions from the agents **36'**, **36''**, **68**, **70**, **72**, **74** will be sent to the System Analysis and Control module **80**.

The System Feedback module **78** is responsible for the following:

Collection of information from the Zone Agents 36', 36", Panelboard Agents 68, 70, Utility Agent 72, and Renewable Energy Agent 74; the information may represent status (e.g. failed, maintenance) or predictions related to the energy that may be generated by the 35 Renewable Energy Agent 74. A failed status may indicate that an agent may no longer control its environment and should be excluded from the overall power system predictions that the Machine Learning module 82 is making. A maintenance status may indicate that an agent has entered or may enter into a maintenance mode and that the Machine Learning module 82 needs to update its overall power system predictions accordingly.

Analysis of predictions related to the total power system 45 consumption. The actual meter data from the various agents 36', 36", 68, 70, 72, 74 will be compared against their own predictions and against the predictions made by the Machine Learning module 82. Any large discrepancies between the predicted and actual power 50 usage could mean that the previous command that one of the Zone Agents 36', 36" or the Renewable Energy agent **74** received from the Power Coordinator **66** has placed one of the Zone Agents 36', 36" or the Renewable Energy agent **74** in conflict with its own internal 55 laws (e.g. not able to meet the various power requirements). This could indicate that the difference in the error or accuracy of the predictions made by the Machine Learning module 82 and the actual outcome might have exceeded critical limits, which in turn has 60 affected the overall performance of the renewable energy system. The System Feedback module 78 will then send the actual meter data to the Machine Learning module **82** such that the Machine Learning module 82 can update its internal learning algorithms.

Collection of information from SMITHGROUP-AI 10. The information may represent commands that need to

18

be distributed to the various associated agents 36', 36", 68, 70, 72, 74. The information may also represent feedback regarding the predictions that the Lighting and Power System Coordinator 66 has made; for example, the predicted energy performance of the Scenario that SMITHGROUP-AI 10 has directed the Lighting and Power System Coordinator 66 to implement is significantly different that the real outcome. As such the System Feedback module 78 will pass this feedback to the Machine Learning module 82 to update its machine learning algorithms accordingly such that the accuracy or error of its predictions self-improve.

Collection of information from the Utility Agent 72. The information may represent status of the available utility power. For example, should the building experience a loss of power, the Lighting and Power System Coordinator 66 will need to notify SMITHGROUP-AI 10 of such condition. SMITHGROUP-AI 10 may then direct all System Coordinators to operate under an emergency power mode until the Utility Agent 72 notifies that utility power is available.

The Machine Learning module **82** is responsible for the following:

Collection of information from the System Feedback module 78. The type of information that this module will collect is described under the System Feedback module 78.

Collection of information from the System Analysis and Control module 80. This information may represent predictions from the various agents 36', 36", 68, 70, 72, 74 regarding available power or used power.

Making predictions, using machine learning algorithms, based on the data received from the System Analysis and Control module **80**, for the overall energy consumption of the entire system or for the total energy that the Renewable Power agent **74** may need to deliver or can deliver.

Sending the predictions to the System Analysis and Control module **80** and to the Scenario Generator module **84**.

The System Analysis and Control **80** module is responsible for the following:

Monitoring and control of all the agents 36', 36", 68, 70, 72, 74 associated with the Power and Lighting System Coordinator 66.

Collection of information from the Data Filtering module **76**. The type of information that this module will collect is described under the Data Filtering module **76**. Analyzing the data from each agent, and filtering and

Analyzing the data from each agent, and filtering and compiling the data into valid system scenarios.

Sending the valid system scenarios to the Machine Learning module **82** for predictions.

Receiving the predictions from the Machine Learning module 82 and sending commands to the various agents 36', 36", 68, 70, 72, 74. The commands that the System Analysis and Control module 80 may send to the agents 36', 36", 68, 70, 72, 74 could be battery storage setpoints, energy use setpoints, requests for predictions, or switching on and off various circuits within the Lighting and Power Panelboards 68, 70.

Analyzing the scenarios proposed by the Scenario Generator module **84**. For each scenario received, the System Analysis and Control module **80** may decide to direct the various agents **36'**, **36"**, **68**, **70**, **72**, **74** to make predictions and then compile these predictions into valid system scenarios for the Machine Learning module **82** to make predictions on.

19

The Scenario Generator module **84** is responsible for continuously looking for ways to improve the overall energy performance of the entire power distribution system. For example, the Scenario Generator module **84** may create a series of scenarios which will then be sent to the System 5 Analysis and Control module **80** to analyze and validate; the System Analysis and Control module **80** may ask the agents 36', 36", 68, 70, 72, 74 to make predictions on the scenarios. Once the scenarios are validated, they may be sent to the Machine Learning module **82** to make predictions on. The 10 predictions made by the Machine Learning module 82 will then be sent back to the Scenario Generator module 84 for analysis. After analyzing the predictions, the Scenario Generator module 84 may decide to send such predictions to SMITHGROUP-AI 10, which in turn may direct the Power 15 and Lighting System Coordinator 66 to implement one of the scenarios created by the Scenario Generator module 84.

The Scenario Generator module **84** may create scenarios by modelling the Renewable Energy Agent **74** as delivering various power and by modelling the Zone Agents 36', 36" as 20 satisfying their zone power conditions under various conditions.

3. Panelboard Agents

a. Purpose

A panelboard agent is an independent software agent that 25 monitor and controls all sensors and actuators associated with a Panelboard (e.g. Lighting Panelboard, Power Panelboard etc.). Each panelboard within the power distribution system is monitored and controlled by a dedicated Panelboard Agent 68, 70.

The panelboard agents 68, 70 are responsible for the following:

Prediction of the energy use of each zone. The Panelboard Agents 68, 70 will communicate, via the Power and Lighting System Coordinator **66**, with each zone such 35 that its predictions are coordinated and validated based on the specific zone data and predictions.

Prediction of the energy use of the entire panelboard.

Communication with the Power and Lighting System Coordinator **66**. Sends panelboard energy use predic- 40 tions (for the entire panelboard and for each zone) and receives commands from the Power and Lighting System Coordinator **66**.

b. Internal Structure

Referring to FIG. 6, the internal structure of a Panelboard 45 Agent 68 and its related environment is shown. The environment for the Panelboard Agent **68** is comprised of all the sensors 86, 88, 90, 92, 94, 96 and actuators 98, 100, 102, 104 that are located within a panelboard. In some instances, an open source non-proprietary input/output module or a gate- 50 way may be required to convert the signal from a sensor or an actuator such that it can be communicated via open source networks such as BACnet, LONworks, Modbus etc. The agent **68** is comprised of five modules, each with its own dedicated algorithms and controls logic.

The Data Filtering module 106 is responsible for separating the data received from sensors 86, 88, 90, 92, 94, 96 and actuators 98, 100, 102, 104. For example, the actual energy consumption levels of each circuit may be sent to the System Feedback module 108, while data (e.g. sensor or 60 actuator status, etc.) will be sent to the System Analysis and Control module 110. Further, zone data (e.g. predictions) received from the Power and Lighting System Coordinator 66 may be sent to the System Analysis and Control module 110. The Data Filtering module 106 may also send to the 65 System Analysis and Control module 110 the same data that was sent to the System Feedback module 108. A sensor

20

within the panelboard may represent an electricity meter or a status signal from a circuit breaker. An actuator within the panelboard may represent a circuit breaker that can be commanded on or off.

The System Feedback module **108** is responsible for the following:

Collection of information from the various sensors 86, 88, 90, 92, 94, 96 and actuators 98, 100, 102, 104. The type of information that the System Feedback module 108 may collect is described in the Data Filtering module **106**.

Analysis of predictions related to the energy level consumption levels for each zone. The data received from the various sensors **86**, **88**, **90**, **92**, **94**, **96** and actuators 98, 100, 102, 104 will be compared against the predictions made by the Machine Learning module 112. Any large discrepancies between the predicted and measured values could mean that the previous commands could indicate that the difference in the error or accuracy of the predictions made by the Machine Learning module 112 and the actual outcome might have exceeded critical limits, which in turn has affected the performance of the power system. The System Feedback module 108 will then send the measured values to the Machine Learning module 112 such that the Machine Learning module 112 can update its internal learning algorithms.

Collection of information from the Power and Lighting System Coordinator **66**. The information may represent feedback regarding the predictions that the Panelboard Agent 68 has made.

The Machine Learning module 112 is responsible for the following:

Collection of information from the System Feedback module 108. The type of information that this module will collect is described under the System Feedback module 108.

Collection of information from the System Analysis and Control module 110. This information may represent data from the various sensors **86**, **88**, **90**, **92**, **94**, **96** and actuators 98, 100, 102, 104, such as airflows and associated temperatures.

Making predictions, using machine learning algorithms, based on the data received from the System Analysis and Control module 110 or from the System Feedback module 108.

Sending the predictions to the System Analysis and Control module 110 and to the Scenario Generator module 114.

The System Analysis and Control module **110** is responsible for the following:

Monitoring and control of all sensors 86, 88, 90, 92, 94, 96 and actuators 98, 100, 102, 104 related to the panelboard. Control of actuators 98, 100, 102, 104 may represent switching on and off a circuit breaker, and thus power, associated with a specific Zone Agent. For example, a zone agent may predict that the power to the "switched" receptacles can be turned off at a specific time. The zone agent may communicate this event to the Power and Lighting System Coordinator 66, which in turn may direct the Panelboard Agent **68** to switch off the associated circuit breaker.

Collection of information from the Data Filtering module 106. The type of information that this module will collect is described under the Data Filtering module **106**.

Analyzing the data from each sensor and actuator. For example, the System Analysis and Control module 110 may determine that a zone is consuming significantly more energy than what was predicted (by both the Machine Learning module of the zone agent and by the Machine Learning module 112 of the Panelboard Agent 68). As such, the System Analysis and Control module 110 may ask that specific zone agent, via the Power and Lighting System Coordinator 66, to verify the accuracy of its sensors and predictions.

Analyzing the scenarios proposed by the Scenario Generator module 114. For each scenario received, the System Analysis and Control module 110 will analyze the status of the sensors 86, 88, 90, 92, 94, 96 and actuators 98, 100, 102, 104 and will determine which scenario is valid. For example, one of the scenarios that the Scenario Generator module 114 may generate will require some of the zones to consume 15% less energy than usual. The System Analysis and Control module 110 may determine, after communication with the associated zone agents (via the Power and Lighting System Coordinator 66), that such scenario is no longer valid and that the Machine Learning module 112 will not make a prediction on the referenced scenario.

The Scenario Generator module **114** is responsible for continuously looking for ways/scenarios to improve the overall energy performance of the power systems associated with it. For example, the Scenario Generator module 114 may create a series of scenarios that will then be sent to the ³⁰ System Analysis and Control module 110 to analyze and validate. Once the scenarios are validated, they may be sent to the various zone agents (for analysis and predictions), via the Power and Lighting System Coordinator 66, or to the 35 Machine Learning module 112 to make its own predictions. The predictions made by the Machine Learning module 112 will then be sent back to the Scenario Generator module 114 for analysis. After analyzing the predictions, the Scenario Generator module 114 may decide to send such predictions 40 to the Power and Lighting System Coordinator 66, which may send them to SMITHGROUP-AI 10, which in turn may direct the Power and Lighting System Coordinator 66 to implement one of the scenarios created by the Scenario Generator module **66**.

The Scenario Generator module 114 may create scenarios by turning on and off various receptacle, lighting, and equipment circuits at a certain time. Each such scenario will have an impact on the energy performance of the power system and on the heating and cooling loads within a zone. 50

4. Renewable Energy Agent

a. Purpose

The Renewable Energy Agent 74 is an independent software agent that monitors and controls all renewable energy systems connected to the power distribution system. The 55 control of wind turbines and solar panels, for example, is done through the manufacturer provided proprietary control panels. The control panels are connected to the network thru integration via open source non-proprietary input/output modules or gateways. In some instances, the sensors and 60 actuator associated with the wind turbine control systems and solar panel control systems may be connected directly to the network through non-proprietary input/output modules or gateways.

The sensors that the Renewable Energy Agent **74** may 65 monitor are battery levels, status of solar panels, status of windmills, weather data, etc. The actuators that the Renew-

22

able Energy Agent 74 may control are turning on/off the renewable energy systems, various circuit breakers located in the distribution panel, etc.

The Renewable Energy Agent **74** is responsible for the following:

Prediction of the energy generation from the renewable systems.

Communication with the Power and Lighting System Coordinator **66**. It sends energy generation predictions and receives commands from the Power and Lighting System Coordinator **66**.

b. Internal Structure

System Analysis and Control module 110 will analyze the status of the sensors 86, 88, 90, 92, 94, 96 and actuators 98, 100, 102, 104 and will determine which scenario is valid. For example, one of the scenarios that the Scenario Generator module 114 may generate will require some of the zones to consume 15% less energy

The Data Filtering module 132 is responsible for separating the data received from sensors 116, 118, 120, 122 and actuators 124, 126, 128, 130. For example, the amount of stored or generated data will be sent to the System Feedback module 134, while data from other various sensors (e.g. alarms, battery levels etc.) will be sent to the System Analysis and Control module 136. The Data Filtering module 132 may also send to the System Analysis and Control module 136 the same data that was sent to the System Feedback module 134.

The System Feedback module **134** is responsible for the following:

Collection of information from the various sensors 116, 118, 120, 122 and actuators 124, 126, 128, 130. The type of information that the System Feedback module 134 may collect is described in the Data Filtering module 132.

Analysis of predictions related to the energy generated or stored. The data received from the various sensors 116, 118, 120, 122 and actuators 124, 126, 128, 130 will be compared against the predictions made by the Machine Learning module **138**. Any large discrepancies between the predicted and measured values could mean that the previous commands could indicate that the difference in the error or accuracy of the predictions made by the Machine Learning module 138 and the actual outcome might have exceeded critical limits, which in turn has affected the overall energy and energy performance of the renewable energy system. The System Feedback module 134 will then send the measured values to the Machine Learning module 138 such that the Machine Learning module 138 can update its internal learning algorithms.

Collection of information from the Power and Lighting System Coordinator 66. The information may represent feedback regarding the predictions that the Renewable Energy Agent 74 has made or regarding the commands that the Power and Lighting System Coordinator 66 might have previously sent. For example, the Machine Learning module 138 might have predicted that a certain amount of renewable energy will be available for use by the power systems. However, the actual amount of generated energy is greater than what was predicted. Once it detects this difference, the Power and Lighting System Coordinator 66 may command the Renewable Energy Agent 74 to improve its internal learning algorithms such that the error of its predictions is minimized to the greatest extent possible.

The Machine Learning module **138** is responsible for the following:

Collection of information from the System Feedback module **134**. The type of information that this module will collect is described under the System Feedback 5 module 134.

Collection of information from the System Analysis and Control module **136**. This information may represent data from the various sensors 116, 118, 120, 122 and actuators 124, 126, 128, 130.

Making predictions, based on the data received from the System Analysis and Control module **136** or from the System Feedback module 134. For example, the Machine Learning module 138, using weather data and data from the renewable energy systems (e.g. genera- 15 tion capacity, storage capacity, etc.) may be able to predict the rate at which energy will be generated or stored by the renewable energy systems. Further, the Machine Learning module 138 may predict how much energy will be generated in the next hour, next day, 20 week or month.

Sending the predictions to the System Analysis and Control module 136 and to the Scenario Generator module **140**.

The System Analysis and Control module 136 is responsible for the following:

Monitoring and control of all sensors 116, 118, 120, 122 and actuators 124, 126, 128, 130 related to the renewable energy systems.

Collection of information from the Data Filtering module 30 **132**. The type of information that this module will collect is described under the Data Filtering module **132**.

Analyzing the data from each sensor and actuator. For may determine that a solar panel or an array of solar panels is no longer capable of functioning as required. As such, the System Analysis and Control module 136 may need to send this feedback to the Machine Learning module 138 for predictions.

Analyzing the scenarios proposed by the Scenario Generator module 140. For each scenario received, the System Analysis and Control module **136** will analyze the status of the sensors and actuators and will determine which scenario is valid. For example, one of the 45 scenarios that the Scenario Generator module 140 may generate is to predict how much energy the renewable systems can generate if there are clear sky conditions and if 90% of the solar panels are not available for use. The System Analysis and Control module **136** may 50 determine that, due to additional solar panel failures, such scenario is no longer valid and that the Machine Learning module 138 will not make a prediction on the referenced scenario.

The Scenario Generator module **140** is responsible for 55 continuously looking for ways/scenarios to improve the overall energy performance renewable energy systems. For example, the Scenario Generator module 140 may create a series of scenarios that will then be sent to the System Analysis and Control module **136** to analyze and validate. 60 Once the scenarios are validated, they may be sent to the Machine Learning module **138** to make predictions on. The predictions made by the Machine Learning module 138 will then be sent back to the Scenario Generator module 140 for analysis. After analyzing the predictions, the Scenario Gen- 65 erator module 140 may decide to send such predictions to the Power and Lighting System Coordinator 66, which may

send them to SMITHGROUP-AI 10, which in turn may direct the Power and Lighting System Coordinator 66 to implement one of the scenarios created by the Scenario Generator module 140.

The Scenario Generator module **140** may create scenarios by simulating the amount of energy generated or stored by the renewable energy system, by simulating the demand that the power system is exercising on the renewable energy system, by simulating various outdoor conditions (e.g. cloudy sky, wind speeds, etc.), by simulating various rates of energy generation (e.g. how much kWh are being generated in the next 3 hours), or by simulating various system settings (e.g. angle and direction of the solar panels, direction of the windmill.)

5. Utility Agent

The Utility Agent's sole responsibility is to monitor the status of the utility power (e.g. loss of power) or receive information from the utility company to enter into demand response mode and notify the Power and Lighting System Coordinator **66** of such events.

D. AHU System

1. General Description

Referring to FIG. 8, an airside system is shown as having one air handling unit 142 delivering a mixture of outside air and return air to five zones 144, 146, 148, 150, 152. The control of the entire airside system is performed through a series of independent software agents such as the AHU System Coordinator 20, AHU Agent 154, and Zone Agents 156, 158, 160, 162, 164.

FIG. 9 shows the communication architecture between the various airside system Agents. Considering the network architecture of the sensors and actuators associated with an AHU, all sensors and actuators are connected directly to the example, the System Analysis and Control module 136 35 network, without the use of proprietary controllers that operate with programmed sequences of operation. In some instances, an open source non-proprietary input/output module or a gateway may be required to convert the signal from a sensor or an actuator such that it can be communicated via open source networks such as BACnet, LONworks, Modbus etc.

2. AHU System Coordinator

a. Purpose

The AHU System Coordinator 20 is an independent software agent that monitors and controls all agents 154, **156**, **158**, **160**, **162**, **164** associated with its respective airside system. Further, the AHU System Coordinator 20 is responsible for the following:

Prediction of the energy use of the entire airside system. Communication with SMITHGROUP-A 10. It sends overall airside system energy use predictions and receives commands from SMITHGROUP-AI 10.

Communication with the AHU Agent **154**. It requests energy consumption predictions and status from the AHU Agent **154** and sends predictions (e.g. total system supply airflow, total system return air flow, total system outside airflow) such that the AHU Agent 154 can make associated predictions related to the energy performance of the AHU 142.

Communication with the Hot Water System Coordinator **18** and the Chilled Water System Coordinator **16**. The type of information that the AHU System Coordinator 20 communicates to the Hot Water System Coordinator **18** and the Chilled Water System Coordinator **16** may represent cooling load and heating load predictions from each Zone Agent 156, 158, 160, 162, 164 and AHU agent 154.

b. Internal Structure

Referring to FIG. 10, the internal structure of the AHU System Coordinator 20 and its related environment is shown. The environment for the AHU System Coordinator 20 is comprised of all the agents that it monitors and controls. The agent is comprised of five modules, each with its own dedicated algorithms and control logic.

The Data Filtering module **166** is responsible for separating the data received from the various agents **154**, **156**, ₁₀ **158**, **160**, **162**, **164**. For example, the actual agent energy consumption levels or actual agent airflows will be sent to the System Feedback module **168**, while predictions from the agents **154**, **156**, **158**, **160**, **162**, **164** will be sent to the System Analysis and Control module **170**.

The System Feedback module **168** is responsible for the following:

Collection of information from the Zone Agents 156, 158, **160**, **162**, **164** and AHU Agent **154**. The information ₂₀ may represent status (e.g. failed, maintenance), or actual agent energy consumptions levels from the various agents. A failed status may indicate that a Zone Agent 156, 158, 160, 162, 164 or an AHU Agent 154 no longer controls its environment and should be ²⁵ excluded from the overall airside system predictions that the Machine Learning module 172 is making. A maintenance status may indicate that a Zone Agent 156, **158**, **160**, **162**, **164** or an AHU Agent **154** has entered ₃₀ or may enter into a maintenance mode and that the Machine Learning module 172 needs to update its overall airside system predictions accordingly. The information may also represent predictions related to the energy consumption levels of each agent.

Analysis of predictions related to the total airside system airflow. The actual airflows from the various agents 154, 156, 158, 160, 162, 164 will be compared against their own predictions but also against the predictions made by the Machine Learning module 172. Any large discrepancies between the predicted and actual airflows could mean that the previous command that a Zone Agent 156, 158, 160, 162, 164 or AHU agent 154 received from the AHU System Coordinator 20 has 45 placed a Zone Agent 156, 158, 160, 162, 164 or AHU agent 154 in conflict with its own internal laws (e.g. not able to meet the various zone thermal requirements). This could indicate that the difference in the error or accuracy of the predictions made by the Machine Learning module 172 and the actual outcome might have exceeded critical limits, which in turn has affected the overall energy and thermal performance of the airside system. The System Feedback module **168** will 55 then send the actual airflows to the Machine Learning module 172 such that the Machine Learning module 172 can update its internal learning algorithms.

Collection of information from SMITHGROUP-AI 10: the information may represent commands that need to be distributed to the various associated Zone Agents 154, 156, 158, 160, 162, 164 and AHU agents 154. The information may also represent feedback regarding the predictions that the AHU System Coordinator 20 has made: for example, the predicted energy performance of the Scenario that SMITHGROUP-AI 10 has directed

26

the AHU System Coordinator 20 to implement is significantly different that the real outcome. As such the System Feedback module 168 will pass this feedback to the Machine Learning module 172 to update its machine learning algorithms accordingly such that the accuracy or error of its predictions self-improves.

The Machine Learning module **172** is responsible for the following:

Collection of information from the System Feedback module **168**. The type of information that this module will collect is described under the System Feedback module **168**.

collection of information from the System Analysis and Control module 170. This information may represent predictions from Zone Agents 156, 158, 160, 162, 164 and AHU agent 154 regarding airflows, temperature, cooling loads, heating loads, etc.

Making predictions, using machine learning algorithms, based on the data received from the System Analysis and Control module 170, for the overall energy consumption of the entire airside system or for the total airflow that the AHU agent 154 will need to deliver.

Sending the predictions to the System Analysis and Control module 170 and to the Scenario Generator module 174.

The System Analysis and Control module 170 is responsible for the following:

Collection of information from the Data Filtering module **166**. The type of information that this module will collect is described under the Data Filtering module **166**.

Analyzing the data from each agent, and filtering and compiling the data into valid system scenarios. A more detailed description of this process is presented in the Sample Process paragraph.

Sending the valid system scenarios to the Machine Learning module 172 for predictions.

Receiving the predictions from the Machine Learning module 172 and sending commands to the various agents 154, 156, 158, 160, 162, 164. The commands that the System Analysis and Control module 170 may send to the agents could be airflow setpoints, temperature setpoints or requests for predictions.

Analyzing the scenarios proposed by the Scenario Generator module 174. For each scenario received, the System Analysis and Control module 170 may decide to direct the various agents to make predictions and then compile these predictions into valid system scenarios for the Machine Learning module 172 to make predictions on.

The Scenario Generator module 174 is responsible for continuously looking for ways to improve the overall energy performance of the entire airside system. For example, the Scenario Generator module 174 may create a series of scenarios that will then be sent to the System Analysis and Control module 170 to analyze and validate. The System Analysis and Control module 170 may ask the agents to make predictions on the scenarios. Once the scenarios are validated, they may be sent to the Machine Learning module 172 to make predictions on. The predictions made by the Machine Learning module 172 will then be sent back to the

Scenario Generator module 174 for analysis. After analyzing the predictions, the Scenario Generator module 174 may decide to send such predictions to SMITHGROUP-AI 10, which in turn may direct the AHU System Coordinator 20 to implement one of the scenarios created by the Scenario Generator module 174.

The Scenario Generator module **174** may create scenarios by modelling the AHU Agent **154** as delivering various airflows and various temperatures and by modelling the ¹⁰ Zone Agents **156**, **158**, **160**, **162**, **164** as satisfying their zone thermal load conditions under various conditions.

c. Sample Process

Each Zone Agent 156, 158, 160, 162, 164 will send to the AHU System Coordinator 20 a series of predictions and status information. The predictions that each Zone Agent 156, 158, 160, 162, 164 will send to the AHU System Coordinator 20 are related to the airflow requirements and various temperatures that the Zone Agent could use to satisfy its zone requirements. Further, each Zone Agent 156, 158, 160, 162, 164 will send to the AHU System Coordinator 20 the associated zone predictions for the Hot Water System Coordinator 16 and for the Chilled Water System Coordinator 16.

The System Analysis and Control module 170 will first compile all airside system related predictions from the Zone Agents 156, 158, 160, 162, 164. The System Analysis and Control module 170, based on the laws of each Zone Agent 156, 158, 160, 162, 164, will then eliminate any zone agent predictions that will make other zone agents incapable of meeting their internal laws. The System Analysis and Con-

28

supply airflow, return airflow return air temperature, and outside airflow. For example, by analyzing the various zone ventilation efficiencies and the outside air-dry bulb temperature and relative humidity, the Machine Learning module 172 may predict that an air side economizer (e.g. an artificial increase in outside air flow) is better suited than delivering the lowest required amount of outside air flow. The Machine Learning module 172 will send these predictions back to the System Analysis and Control module 170, which in turn will send them to the AHU Agent 154 to make its own predictions regarding AHU energy consumption. Once it receives the predictions from the AHU Agent 154, it will then send the overall airside system predictions to SMITHGROUP-AI 10 and the associated Zone Agent and AHU agent load predictions to the Hot Water System Coordinator 18 and the Chilled Water System Coordinator 16. The AHU System Coordinator 20 will send to the Hot Water System Coordinator 18 only the zone agent predicted heating loads that correspond to valid scenarios analyzed by the System Analysis and Control module **170**. The AHU System Coordinator 20 will send to the Chilled Water System Coordinator 16 only the AHU Agent predicted cooling loads that correspond to total system airflow predictions performed by the Machine Learning module 172.

In further detail, each zone agent creates a series of scenarios associated with the zone that it is serving and sends these scenarios to a System Coordinator (e.g. AHU System Coordinator 20). These scenarios are given a unique ID number (Table 1 below) based on a specific airflow supply air temperature (SAT) and a specific entering water temperature (EWT).

TABLE 1

		2	Zone A	gent 1					
			Predictions						
	Zo	Zone Data Dew Primary							
Zone Prediction ID	Zone Load Btu/Hr	Temperature Setpoint 0F	Coil EAT 0F	Point DP 0F	Zone Airflow CFM	Outdoor Air Fraction Zp	Energy Predictions kWh		
Agent_1-1 Agent_1-2 Agent_1-3 Agent_1-4	20000 20000 20000 20000	72 72 72 72	50 51 52 53	48 49 50 51	1000 1200 1400 1600	0.76 0.92 0.95 0.67	0.10 0.36 0.37 0.43		
Agent_1-5 Agent_1-6 Agent_1-7 Agent_1-8	20000 20000 20000	72 72 72 72	54 55 56 57	52 53 54 55	1800 2000 2200 2400	0.81 0.91 0.78 0.70	0.18 0.40 0.39 0.24		
Agent_1-9 Agent_1-10	20000 20000	72 72	58 59	56 57	2600 2800	0.68 0.81	0.29 0.12		

55

trol module 170 will then compile and sort all valid predictions made by the Zone Agents 156, 158, 160, 162, 164. For example, as a first step, the System Analysis and Control module 170 may sort the predictions based on a common AHU discharge air temperature. In a second step, the System Analysis and Control module may then choose the lowest dew point temperature required by a Zone Agent 156, 158, 160, 162, 164. The System Analysis and Control module 170 will then establish a series of valid airside system scenarios.

These scenarios will then be sent to the Machine Learning module 172 to make predictions regarding the total system

The AHU System Coordinator 20 then filters/validates the scenarios received from the zone agents (Table 2 below). The validation process could be based on the physical limitation of the air handling unit. In some instances, even though azone may be able to be cooled with a very cold air temperature (e.g. 50° F.), due the operating conditions at that time or physical limitations of the internal components of the air handling unit, the air handling unit may not be able to cool the air to 50° F. As such the AHU System Coordinator 20 may label the associated scenarios as invalid (in bold below).

TABLE 2

		7	Zone A	gent 1			
					Pred	lictions	
	Zo	•	Dew		Primary		
Zone Prediction ID	Zone Load BTU/Hr	Temperature Setpoint OF	Coil EAT OF	Point DP OF	Zone Airflow CFM	Outdoor Air Fraction Zp	Energy Prediction kWh
Agent_1-1 Agent_1-2	2000 2000	72 72	50 51	48 49	1000 1200	.76 .92	.10 .36
Agent_1-2 Agent_1-3 Agent_1-4	2000 2000 2000	72 72 72	52 53	50 51	1400 1600	.95 .67	.37 .43
Agent_1-4 Agent_1-5 Agent_1-6	2000 2000 2000	72 72 72	54 55	52 53	1800 2000	.81 .91	.18 .40
Agent_1-0 Agent_1-7 Agent_1-8 Agent_1-9	2000 2000 2000 2000	72 72 72 72	56 57 58	54 55 56	2200 2400 2600	.78 .70 .68	.39 .24 .29
Agent_1-10	2000	72	59	57	2800	.81	.12

20

The AHU System Coordinator **20** will then start creating combinations of agent scenarios (Table 3 below). Each combination of scenarios is then given a unique ID number (e.g. AHU_1-1). For each combination of agent scenarios there is a corresponding set of air handling unit conditions ²⁵ that need to be achieved (e.g. total airflow, coil dew point, etc.).

TABLE 3

		AHU-1	Systen	n Predic	ctions		
					Zone I	Predictions	
System Identification Data				Dew		Primary Outdoor	
AHU System Scenario ID		Temperature Setpoint 0F	Coil EAT 0F	Point DP 0F	Zone Airflow CFM	Air Fraction Zp	Energy Predictions kWh
AHU_1-1	Agent_1-3 Agent_2-3 Agent_3-1 Agent_4-3 Agent_5-3	72 70 73 71 75	52 52 52 52 52	50 50 48 50 50	1400 700 300 1200 1400	0.95 0.95 0.76 0.95 0.95	0.37 0.37 0.10 0.37 0.37
	Totals				5000		

The AHU System Coordinator 20 will then send these combinations of agent scenarios (Table 4 below) to the AHU Agent 154 to make predictions on the total system supply airflow, return airflow, and outside air flow.

TABLE 4

	AHU-1 SYSTEM PREDICTIONS								
AHU SYSTEM SCENARIOS					PREI	DICTIONS			
AHU System Prediction ID	Supply Air Temperature SAT OF	Dew Point DP OF	Total Zone Supply Airflow CFM	Total System Supply Airflow CFM	Total System Return Airflow CFM	System Return Air Temperature OF	Outside Airflow CFM		
AHU_1-1 AHU_1-2 AHU_1-3 AHU_1-4	52 53 54 55	48 49 50 51	5000 5700 6400 7100	5300 5700 6900 7800	4900 5000 6000 7000	71 73 75 74	1000 2000 3000 4000		

After receiving the predictions from the AHU agent 154, the AHU System Coordinator 20 will then make predictions on the overall energy consumption levels for each combination of agent scenarios (Table 5 below) and will send these predictions to SMITHGROUP-AI 10.

32

Analysis of predictions related to various temperatures (e.g. mixed air temperatures, coil leaving air temperature, unit leaving air temperature, etc.). The data received from the various sensors 176, 178, 180, 182 and actuators 184, 186, 188, 190 will be compared

TABLE 5

		AΗ	IU-1 AGE	NT PREI	DICTIONS		
RECEIVED DATA							•
AHU-1 SY	STEM SCENA	ARIOS	Total	Total			PREDICTION
AHU System Prediction ID	Supply Air Temperature SAT OF	Dew Point DP OF	System Supply Airflow CFM	System Return Airflow CFM	System Return Air Temperature OF	Outside Airflow CFM	AHU-1 Energy Consumption kWh
AHU_1-1 AHU_1-2 AHU_1-3 AHU_1-4	52 53 54 55	48 49 50 51	5300 5700 6900 7800	4900 5000 6000 7000	71 73 75 74	1000 2000 3000 4000	10 15 20 25

3. AHU Agent

a. Purpose

The AHU Agent **154** is an independent software agent that monitors and controls all sensors and actuators associated with an AHU. Each AHU is controlled and monitored by a dedicated AHU Agent. Further, the AHU Agent **154** is responsible for the following:

Prediction of the energy use of the entire airside system. 30 Prediction of the required heating coil loads and cooling coil loads.

Prediction of the air pressure drop through each of the AHU components.

Prediction of the supply fan array energy consumption. Prediction of the return fan array energy consumption. Communication with the AHU System Coordinator 20. It sends overall AHU energy use predictions and receives commands from the AHU System Coordinator 20.

b. Internal Structure

Referring to FIG. 11, the internal structure of the AHU Agent 154 and its related environment is shown. The environment for the AHU Agent 154 is comprised of the sensors 176, 178, 180, 182 and actuators 184, 186, 188, 190 that are used to monitor and control the AHU 142. The agent 154 is 45 comprised of five modules, each with its own dedicated algorithms and control logic.

The Data Filtering 192 module is responsible for separating the data received from sensors 176, 178, 180, 182 and actuators 184, 186, 188, 190. For example, the energy 50 consumption levels of fans (measured by their related Variable Frequency Drives (VFD)), actual fan airflows (measured by the associated airflow stations), or various temperatures (e.g. cooling coil leaving air temperature, unit leaving air temperature, etc.) will be sent to the System 55 Feedback module 194, while data from other various sensors (e.g. alarms, temperatures, etc.) will be sent to the System Analysis and Control module 196. The Data Filtering module 192 may also send to the System Analysis and Control module 196 the same data that was sent to the System 60 Feedback module 194.

The System Feedback **194** module is responsible for the following:

Collection of information from the various sensors and actuators. The type of information that the System 65 Feedback module **194** may collect is described in the Data Filtering module **192**.

against the predictions made by the Machine Learning module 198. Any large discrepancies between the predicted and measured values could mean that the previous commands could indicate that the difference in the error or accuracy of the predictions made by the Machine Learning module 198 and the actual outcome might have exceeded critical limits, which in turn has affected the overall energy and energy performance of the air handling unit 142. The System Feedback module 194 will then send the measured values to the Machine Learning module 198 such that the Machine Learning module 198 can update its internal learning algorithms.

Collection of information from the AHU System Coordinator 20. The information may represent feedback regarding the predictions that the AHU Agent 154 has made. For example, the AHU 142 might deliver a certain amount of supply air to the Zones 144, 146, 148, 150, 152 and at the same time its internal airflow sensors are confirming that the measured supply airflow is equal to or close to what was predicted. However, some zones, as measured by the sensors of the Zone Agents 156, 158, 160, 162, 164 might not receive sufficient airflow. This could be indication that the AHU airflow sensors are defective or that they might need recalibration.

The Machine Learning module **198** is responsible for the following:

Collection of information from the System Feedback module **194**. The type of information that this module will collect is described under the System Feedback module **194**.

Collection of information from the System Analysis and Control module 196. This information may represent data from the various sensors 176, 178, 180, 182 and actuators 184, 186, 188, 190, such as airflows and associated temperatures.

Making predictions, using machine learning algorithms, based on the data received from the System Analysis and Control module **196** or from the System Feedback module **194**.

Sending the predictions to the System Analysis and Control module **196** and to the Scenario Generator module **200**.

For each component (e.g. coil, filter bank, fans, etc.) of the AHU 142, the Machine Learning module 198 will use

various machine learning algorithms to predict its performance (e.g. Btu/hr, leaving water temperature, water flow, etc.), air pressure drop, energy consumption (e.g. kWh). For example, a coil has fixed properties (e.g. width, height, number of fins, tube diameter, etc.). As such, a machine ⁵ learning algorithm may be trained, via the use of manufacturer's rated coil performance data at various conditions (e.g. various entering air temperatures, entering water temperatures and flow), to predict what the coil leaving air temperature and water temperature may be at other conditions not included in the training data set.

Similarly, using fan laws and manufacturer's rated fan performance data as a training set, a machine learning algorithm may be trained to predict what the fan energy 15 consumption will be at various system conditions (e.g. various airflows and various associated fan static pressures). Once released into the real environment, the Machine Learning module 198, via the feedback received from the System Feedback module **194**, may update its internal machine 20 learning algorithms and related predictions (e.g. fan motor energy consumption, static pressure setpoints, etc.) to account for system effects and for the measurement errors of the various sensors.

Using an approach similar to the above, the Machine 25 Learning module 198 may also use each individual component prediction as a data set and/or training set to make predictions for the energy consumption of the entire AHU 142. For example, the Machine Learning module 194 may build a training set comprised of the supply fan airflow, 30 return fan airflow, return static pressure, supply static pressure, and associated fan motor energy consumption. Once the training set is of considerable size, the Machine Learning module 198 may use it to predict fan motor energy consumption on new data that is not a part of the training set.

The Machine Learning module 198 may also inform the System Analysis and Control module 196 on what coil (e.g. preheat coil or cooling coil) can be used for preheating. For example, the Machine Learning module 198, using various 40 sensors (e.g. return air and outside temperature sensors), may predict that the mixed air temperature resulted from the mixing of the return air flow and outside airflow is 37'F, while the required AHU supply air temperature is 52° F. A typical approach would be to enable the preheat coil to warm 45 up the mixed air around 50° F., and then, by picking up the heat from the fan motors, the AHU supply air temperature will be 52° F. However, the Machine Learning module 198, by analyzing the properties of the cooling coil and the related chilled water temperatures and flow, may predict that 50 the preheat coil is required to only preheat the mixed air to 47° F. and then the chilled water coil can be used to preheat the air to 50° F. In the case when preheating is not required, the Machine Learning module 198 may predict that an artificial increase in the amount of outside air that the AHU 55 **142** is delivering may lower the mixed air return air temperature enough to require preheating using the cooling coil. This approach may result in significant energy savings for both the AHU 142 and the Chilled Water Plant. The predic-Coordinator 20, which in turn will send the predictions to the Chilled Water System Coordinator 16. See Chilled Water System Coordinator description for additional information.

The System Analysis and Control module **196** is responsible for the following:

Monitoring and control of all sensors and actuators related to the AHU **142**.

34

Collection of information from the Data Filtering module 192. The type of information that this module will collect is described under the Data Filtering module **192**.

Analyzing the data from each sensor and actuator. For example, the System Analysis and Control module 196 may determine that a fan is no longer capable of running (e.g. the motor has burned out). As such, the System Analysis and Control module 196 may need to update its valid scenario (e.g. how many fans need to operate and at what speed) to deliver the required amount of airflow to the Zones 144, 146, 148, 150, 152.

Sending the valid system scenarios to the Machine Learning module **198** for predictions.

Receiving the predictions from the Machine Learning module 198 and sending commands to the various actuators 184, 186, 188, 190. The commands that the System Analysis and Control module **196** may send to the actuators **184**, **186**, **188**, **190** could be valve position, damper position or fan speed.

Analyzing the scenarios proposed by the Scenario Generator module 200. For each scenario received, the System Analysis and Control module **196** will analyze the status of the current sensors 176, 178, 180, 182 and actuators 184, 186, 188, 190 and will determine which scenario is valid. For example, one of the scenarios that the Scenario Generator module 200 may generate, will require the AHU 142 to deliver 10,000 cfm to the Zones 144, 146, 148, 150, 152. The System Analysis and Control module 196 may determine that, due to a fan failure, such scenario is no longer valid and that the Machine Learning module **198** will not make a prediction on the referenced scenario.

The Scenario Generator module 200 is responsible for continuously looking for ways/scenarios to improve the overall energy performance of the AHU 142. For example, the Scenario Generator module 200 may create a series of scenarios which will then be sent to the System Analysis and Control module 196 to analyze and validate. Once the scenarios are validated, they may be sent to the Machine Learning module **198** to make predictions on. The predictions made by the Machine Learning module **198** will then be sent back to the Scenario Generator module 200 for analysis. After analyzing the predictions, the Scenario Generator module 200 may decide to send such predictions to the AHU System Coordinator 20, which may send them to SMITHGROUP-AI 10, which in turn may direct the AHU System Coordinator 20 to implement one of the scenarios created by the Scenario Generator module 200.

The Scenario Generator module 200 may create scenarios by varying the AHU air flows (e.g. outside air flow, return airflow, etc.) and related temperatures. Each such scenario will have an impact on the energy performance of fan motors and on the AHU coil heating and cooling loads.

E. Heating Hot Water System

1. General Description

FIG. 12 represents the Heating Plant 202 providing heattions described above will then be sent to the AHU System 60 ing hot water to one Air Handling Unit 204 and seven thermal zones 206, 208, 210, 212, 214, 216, 218. The control of the entire hot water system is performed through a series of independent software agents such as the Hot Water System Coordinator 18, Heating Plant Agent 220, and Zone 65 Agents 222, 224, 226. The communication architecture between the various agents and coordinators is shown in FIG. **13**.

a. Purpose

The Hot Water System Coordinator 18 is an independent software agent that monitors and controls all agents associated with its respective heating system. By coordinating and 5 predicting the energy usage of the entire heating system, the Hot Water System Coordinator 18 will inform SMITH-GROUP-AI 10 with the necessary information for it to optimize the overall building energy use.

The Hot Water System Coordinator 18 is responsible, but 10 not limited to the following:

Satisfy and maintain all building heating loads requirements.

Optimize the energy use of the heating system.

Predict the energy use of the heating system.

Communication with SMITHGROUP-AI 10. It sends overall heating system energy use predictions and receives commands from SMITHGROUP-AI 10.

Communication with the Hot Water Plant Agent 220. It requests energy consumption predictions and status 20 from the Hot Water Plant Agent 220 and sends predictions (e.g. total heating hot water system water flow, heating hot water supply temperature, heating hot water return temperature) such that the Heating Plant Agent 220 can make associated predictions related to the 25 energy performance of the Heating Water Plant 220. b. Internal Structure

FIG. 14 represents the internal structure of the Hot Water System (HWS) Coordinator 18 and its environment. The environment of the HWS Coordinator 18 is comprised of all 30 agents 222, 224, 226, 228, 230, 232, 234, 236 that it monitors and controls. The agents that the HWS Coordinator 18 controls are all zones and AHUs that contain a hot water coil and require hot water from the heating plant. The agent is comprised of five unique modules that have its own 35 dedicated algorithm.

The Data Filtering module 238 is responsible for separating the data received from the various associated agents 222, 224, 226, 228, 230, 232, 234, 236. For example, the actual agent energy consumption levels or actual agent hot 40 water flow may be sent to the System Feedback module 240, while predictions from the agents will be sent to the System Analysis and Control module 242.

The System Feedback module **240** is responsible for the following:

Collection of information from the Zone Agents 222, 224, **226**, **228**, **230**, **232**, **234** and the Heating Plant Agent 220, the information may represent status (e.g. failed, maintenance), or actual agent energy consumptions levels from the various agents. A failed status may 50 indicate that a Zone Agent 222, 224, 226, 228, 230, 232, 234 may no longer control its environment and should be excluded from the overall condenser water system predictions that the Machine Learning module **244** is making. A maintenance status may indicate that 55 a Zone Agent 222, 224, 226, 228, 230, 232, 234 has entered or may enter into a maintenance mode and that the Machine Learning module 244 needs to update its overall chilled water system predictions accordingly. The information may also represent predictions related 60 to the energy consumption levels of each agent.

Analysis of predictions related to the total heating hot water flow. The actual heating hot water flow from the various agents will be compared against their own predictions but also against the predictions made by the 65 Machine Learning module **244**. Any large discrepancies between the predicted and actual heating hot water

36

flows could mean that the previous command that a Zone Agent 222, 224, 226, 228, 230, 232, 234 or the Heating Plant Agent 220 received from the Hot Water System Coordinator 18 has placed an Agent 222, 224, 226, 228, 230, 232, 234, 236 in conflict with its own internal laws (e.g. not able to meet the various zone thermal requirements or expected chilled water system flow). This could indicate that the difference in the error or accuracy of the predictions made by the Machine Learning module 244 and the actual outcome might have exceeded critical limits, which in turn has affected the overall energy and thermal performance of the heating system. The System Feedback module 240 will then send the actual chilled water flows to the Machine Learning module **244** such that the Machine Learning module 244 can update its internal learning algorithms.

Collection of information from SMITHGROUP-AI 10. The information may represent commands that need to be distributed to the various associated Agents. The information may also represent feedback regarding the predictions that the Hot Water System Coordinator 18 has made; for example, the predicted energy performance of the Scenario that SMITHGROUP-AI 10 has directed the Hot Water System Coordinator 10 to implement is significantly different than the real outcome. As such the System Feedback module 240 will pass this feedback to the Machine Learning module 244 to update its machine learning algorithms accordingly such that the accuracy or error of its predictions self-improves.

The Machine Learning module **244** will be responsible for the following:

Collect information from the Data Filtering 238 and System Analysis and Control module 242.

Predict the overall energy consumption of the entire Hot Water System or for the total water flow that the Heating Plant Agent 220 must deliver based on the data received from the System Analysis and Control module 242.

Send predictions back to the System Analysis and Control module **242** and to the Scenario Generator module **246**. The System Analysis and Control module **242** is respon-

Collect information from the Data Filtering module **238**. The type of information that this module may collect is described under the Data Filter module **238**.

sible for the following:

Analyze data received from each agent, and filtering and compiling the data into valid system scenarios. A more detailed description of this process is presented in the sample process.

Send valid system scenarios to the Machine Learning module **244** for predictions.

Receiving the predictions from the Machine Learning module 244 and send commands to the various agents. The commands that the System Analysis and Control module 242 may send to the agents 222, 224, 226, 228, 230, 232, 234, 236 could be chilled water entering and leaving setpoints, heating hot water flows, or requests for predictions.

Analyzing the scenarios proposed by the Scenario Generator module **246**. For each scenario received, the System Analysis and Control module **242** may decide to direct the various agents to make predictions and then compile these predictions into valid system scenarios for the Machine Learning module **244** to make predictions on.

The Scenario Generator module **246** is responsible for continuously looking for ways to improve the overall energy performance of the entire heating hot water system. For example, the Scenario Generator module **246** may create a series of scenarios which will then be sent to the System 5 Analysis and Control module **242** to analyze and validate. The System Analysis and Control module **242** may ask the associated agents to make predictions on the scenarios. Once the scenarios are validated, they may be sent to the Machine Learning module **244** to make predictions on. The predic- 10 tions made by the Machine Learning module 244 will then be sent back to the Scenario Generator module 246 for analysis. After analyzing the predictions, the Scenario Generator module 246 may decide to send such predictions to SMITHGROUP-AI 10, which in turn may direct the Hot 15 Water System Coordinator 18 to implement one of the scenarios created by the Scenario Generator module 246.

The Scenario Generator module **246** may create scenarios by modelling the Heating Plant Agent **220** as delivering various heating hot water flows and associated temperatures 20 and by modelling the Zone Agents **222**, **224**, **226**, **228**, **230**, **232**, **234** or AHU agents **236** as satisfying their load conditions under various conditions.

3. Heating Plant Agent

a. Purpose

The Heating Plant Agent 220 is an independent agent that monitors and controls all sensors 248, 250, 252, 254 and actuators 256, 258, 260, 262, associated with the Heating Plant 202. Further, the Heating Plant Agent 220 is responsible for the following:

Prediction of the energy use of the entire heating plant. Prediction of the boiler's energy consumption.

Prediction of firing rates of each boiler.

Prediction of the hot water pumps energy consumption.

Prediction of the water pressure drop through each of the 35 hot water system piping components (e.g. control valve, heat exchanger, coil).

Communication with the Hot Water System Coordinator 18. It receives valid scenario predictions (e.g. total hot water coil loads, hot water supply temperature, hot 40 water return temperature, total hot water flow) such that the hot water agent can make associated energy predictions to send back to the Hot Water System Coordinator 18.

b. Internal Structure

As shown in FIG. 15 the internal structure of the Heating Plant Agent 220 includes five modules: Data Filtering 264, System Feedback 266, Machine Learning 268, System Analysis and Controls 270, and Scenario Generators 272. The environment for the Heating Plant Agent **220** is com- 50 prised of all the sensors 248, 250, 252, 254 and actuators 256, 258, 260, 262 of the equipment that it monitors and controls. The sensors **248**, **250**, **252**, **254** and actuators **256**, 258, 260, 262 that are part of the Heating Plant Agent's environment are connected directly to the network, without 55 the use of proprietary controllers that operate with programmed sequences of operation. In some instances, an open source non-proprietary input/output module or a gateway may be required to convert the signal from a sensor or an actuator such that it can be communicated via open 60 source networks such as BACnet, LONworks, Modbus etc.

The Data Filtering module **264** is responsible for separating the data received from the sensors **248**, **250**, **252**, **254** and actuators **256**, **258**, **260**, **262**. For instance, the energy consumption levels of the hot water pumps (measured by the 65 Variable Frequency Drives (VFD)), actual heating hot water flows (measured by the associated flow meters), actual

38

natural gas consumption (measured by the associated boiler natural gas flow meters), or the actual plant hot water return temperature may be sent to the System Feedback module **266**. Other data such as status and alarms may be sent to the System Analysis and Control module **270**. The Data Filtering module **264** may also send to the System Analysis and Control module **270** the same data that was sent to the System Feedback module **266**.

The System Feedback module **266** is tasked with the following:

Collection of information from the various sensors 248, 250, 252, 254 and actuators 256, 258, 260, 262. The type of information that the System Feedback module 266 may collect is described in the Data Filtering module 264.

Analysis of predictions related to various temperatures (e.g. plant heating hot water leaving temperature, plant heating hot water return temperature, etc.). The data received from the various sensors 248, 250, 252, 254 and actuators 256, 258, 260, 262 will be compared against the predictions made by the Machine Learning module 268. Any large discrepancies between the predicted and measured values could mean that the previous commands could indicate that the difference in the error or accuracy of the predictions made by the Machine Learning module **268** and the actual outcome might have exceeded critical limits, which in turn has affected the overall energy and energy performance of the heating plant. The System Feedback module **266** will then send the measured values to the Machine Learning module **268** such that the Machine Learning module 268 can update its internal learning algorithms.

Collection of information from the Hot Water System Coordinator 18. The information may represent feedback regarding the predictions that the Heating Plant Agent 220 has made. For example, the heating plant 202 might deliver a certain heating hot water flow to the Zones 206, 208, 210, 212, 214, 216, 218 or to the AHUs 204 in the same time its internal flow meters are confirming that the measured heating hot water low is equal to or close to what was predicted. However, some Zones 206, 208, 210, 212, 214, 216, 218 or AHUs 204, as measured by their associated flow meters, might not receive sufficient heating hot water; this could be indication that the plant heating hot water now meters are defective or that they may need recalibration.

The Machine Learning module **268** is tasked with the following:

Collect information from the Data Filtering **264** and System Analysis and Control module **270**.

Predict the overall energy consumption of the entire heating plant or the total heating hot water flow that the Heating Plant Agent 220 will need to deliver based on the data received from the System Analysis and Control module 270.

Send the predictions back to the System Analysis and Control module 270 and to the Scenario Generator module 272.

Predict heating energy consumption, leaving water temperature, water flows for each major component (e.g. boiler, pumps etc).

Using manufacturers rated boiler performance and boiler curves data as a training set, a machine learning algorithm may be trained to predict the boiler energy consumption at various system conditions (e.g. entering and leaving hot water temperatures, hot water flows etc.). Once released into the real environment, the Machine Learning module **268**, via

the feedback received from the System Feedback module **266**, may update its internal machine learning algorithms and related predictions to account for system effects and the measurement errors of the various sensors.

Pump data will also be updated similarly. Using pump 1 laws and manufacturer's rated pump performance data as a training set, a machine learning algorithm may be trained to predict what the pump energy consumption will be at various system conditions, water flow, and pump head conditions. Once released into the environment, the Machine 10 Learning module 268 will update its internal machine learning algorithms and related predictions (e.g. pump motor energy consumption, pump differential sensor pressure setpoints, etc.) based on the feedback received from the System Feedback module 266.

Using an approach similar to the above, the Machine Learning module **268** may also use each individual component prediction as a data set and/or training set to make predictions for the energy consumption of the entire heating plant. For example, the Machine Learning module **268** may 20 build a training set comprised of the plant heating hot water flow, plant entering heating hot water temperature, plant leaving heating hot water temperature, pump head and associated pump motor energy consumption. Once the training set is of useful size, the Machine Learning module **268** 25 may use it to predict pump motor energy consumption on new data that is not a part of the training set.

The Machine Learning module **268** may use the training sets described above to operate the heating plant as efficiently as possible. The Machine Learning Module **268** may 30 predict the entering water temperatures, leaving water temperatures, hot water flow, quantity of boilers, quantity and speed of pumps required to operate to satisfy building loads based on the predictions received from the Hot Water System Coordinator **18**.

The System Analysis and Control module **270** is responsible for the following:

Monitoring and control of all sensors and actuators related to the hot water system.

Collection of information from the Data Filtering module 40 **264**. The type of information that this module will collect is described under the Data Filtering module **264**.

Analyzing the data from each sensor and actuator. For example, the System Analysis and Control module **270** 45 may determine that a pump is no longer capable of running (e.g. the motor has burned out). As such, the System Analysis and Control module **270** may need to update its valid scenario (e.g. how many pumps need to operate and at what speed) to deliver the required 50 amount of water flow to the zones.

Sending the valid system scenarios to the Machine Learning module **268** for predictions.

Receiving the predictions from the Machine Learning module 268 and sending commands to the various 55 actuators. The commands that the System Analysis and Control module 270 may send to the actuators could be valve position, damper position or fan speed.

Analyzing the scenarios proposed by the Scenario Generator module **272**. For each scenario received, the 60 System Analysis and Control module **270** will analyze the status of the current sensors and actuators and will determine which scenario is valid.

The Scenario Generator module **272** is responsible for continuously looking for ways/scenarios to improve the 65 overall energy performance of the heating system. For example, the Scenario Generator module **272** may create a

40

series of scenarios which will then be sent to the System Analysis and Control module 270 to analyze and validate. Once the scenarios are validated, they may be sent to the Machine Learning module 268 to make predictions on. The predictions made by the Machine Learning module 268 will then be sent back to the Scenario Generator module 272 for analysis. After analyzing the predictions, the Scenario Generator module 272 may decide to send such predictions to the Hot Water System Coordinator 18, which will then be sent to SMITHGROUP-AI 10, which in turn may direct the Hot Water System Coordinator 18 to implement one of the scenarios created by Scenario Generator module 246 of the Hot Water System Coordinator 18.

The Scenario Generator module **268** may create scenarios by varying the heating hot water flow and related temperatures through the boilers or by varying the number of operating pumps. Each such scenario will have an impact on both pump motor energy performance and boiler efficiency.

4. Sample Process

A sample process through which the Hot Water System Coordinator 18 makes predictions related to the overall heating hot water system energy consumption levels or heating hot water system flows and associated temperatures is discussed below.

AHU System Coordinators 20, 22 and Zone Agents 222, 224, 226, 228, 230, 232, 234 filter the predicted scenarios that are achievable within their own system. Zone Agents 222, 224, 226, 228, 230, 232, 234 may then send heating scenario setpoints (e.g. heating loads, entering water temperatures) to the Hot Water System Coordinator 18, through the associated AHU System Coordinator 18. This will be considered "Raw Data" for the Hot Water System Coordinator 18.

The Hot Water System Coordinator 18 may group all scenarios by the Entering Water Temperature, also known as the temperature the heating plant must provide. During this step, scenarios with temperatures that cannot satisfy all zones will be eliminated.

The Hot Water System Coordinator 18 will create each scenario that could occur at the same time. For instance, Zones 1, 2, 3, 4, and 5 must have the same Supply Air Temperature (SAT) as it will be served by the same Air Handling Unit. The Hot Water System Coordinator 18 will create these grouped scenarios and assign a Hot Water System ID to each one.

The Hot Water System Coordinator 18 will summarize all grouped scenarios and may predict the overall heating hot water system flow and heating hot water plant return temperature associated with each scenario. These predictions may then be sent to the Heating Plant Agent 220. The Heating Plant Agent 220 will then make predictions on the heating plant energy consumption for each scenario and will send these predictions back to the Hot Water System Coordinator 18.

The Hot Water System Coordinator 18 may then predict the overall heating hot water system energy consumption levels for each scenario and may send these predictions to SMITHGROUP-AI 10. See SMITH-GROUP-AI narrative for additional information.

In further detail, each zone agent creates a series of scenarios associated with the zone that it is serving and sends these scenarios to a System Coordinator (e.g. Hot Water System Coordinator 18). These scenarios are given a unique ID number (Table 6 below) based on a specific airflow supply air temperature (SAT) and a specific entering water temperature (EWT).

Zone Prediction ID	Supply Air Temperature SAT [° F.]	Heating Coil Load Btu/hr	E W T [° F.]	LWT [° F.]	GPM
Agent_1-3_10	52	7500	160	153	2.14
Agent_2-3_10	52	12500	160	153	3.57
Agent_3-3_10	52	6000	160	153	1.71
Agent_4-3_10	52	15000	160	153	4.29
Agent_5-3_10	52	9800	160	153	2.80
Agent_1-4_10	53	5000	160	153	1.43
Agent_2-4_10	53	11000	160	153	3.14
Agent_3-4_10	53	5500	160	153	1.57
Agent_4-4_10	53	13000	160	153	3.71
Agent_5-4_10	53	9200	160	153	2.63

Each system agent that serves a system that uses hot water creates a series of scenarios associated with the system that it is serving and sends these scenarios to a System Coordinator (e.g. Hot Water System Coordinator 18). These scenarios are given a unique ID number based on a specific airflow supply air temperature (SAT) and a specific entering water temperature (EWT).

The Hot Water System Coordinator then filters the scenarios that it receives (Table 7 below). The filtering process is intended to eliminate scenarios that are not valid for the hot water system at a point in time. For example, an agent may accept a certain cooler hot water temperature (e.g. 155° F. or below), however due to various system conditions, the heating water plant may not be able to achieve such a cooler hot water temperature. This will render the associated scenarios as

TABLE 7

Zone	Supply Air Temperature	Heating Coil Load	EWT	LWT	
Prediction	SAT [° F.]	Btu/hr	[° F.]	[° F.]	GPM
Agent_1-3_10	52	7500	160	153	2.14
Agent_2-3_10	52	12500	160	153	3.57
Agent_3-3_10	52	6000	160	153	1.71
Agent_4-3_6	52	15000	14 0	132	3.75
Agent_5-3_6	52	9800	140	132	2.45
Agent_1-4_6	53	5000	14 0	133	1.43

The Hot Water System Coordinator 18 will then start creating combinations of agent scenarios (Table 8 below). 45 Each combination of scenarios is then given a unique ID number (e.g. HWS_160-1). For each combination of agent scenarios there is a corresponding set of hot water plant conditions that need to be achieved (e.g. total heating load, entering water temperature (EWT) leaving water temperature (LWT)m and hot water flow (in GPM)).

TABLE 8

Zone Prediction ID	Hot Water System ID	Supply Air Temperature SAT [° F.]	Heating Coil Load Btu/hr	E W T [° F.]	LWT [° F.]	GPM
Agent_1-3_10 Agent_2-3_10 Agent_3-3_10 Agent_4-3_10 Agent_5-3_10 Zone_6-1_3 Zone_7-1_1	HWS_160-1	52 52 52 52 52 52 80 84	7500 12500 6000 15000 9800 2100 1100	160 160 160 160 160 160	153 153 153 153 150 155	2.14 3.57 1.71 4.29 2.80 0.42 0.44
	Total		54000	160	153.0	15.37

42

The Hot Water System Coordinator 18 then makes hot water system energy consumptions predictions for each combination of agent scenarios (Table 9 below).

TABLE 9

		RECEI	VED DA	TA		PREDICTION Hot Water
10	Hot Water System ID	Heating Coil Load Btu/hr	EWT [° F.]	LWT [° F.]	GPM	Energy Consumption [kWh]
	HWS_160-1	54000	160	153.0	15.37	
	HWS_160-2	46900	160	153.0	13.35	
	HWS_160-3	41900	160	152.8	7.90	
	HWS_160-4	36200	160	153.0	7.46	
15	HWS_160-5	54300	160	153.0	15.45	
	HWS_160-6	54400	160	152.8	15.19	
	HWS_160-7	54400	160	153.0	15.45	
	HWS_160-8	54500	160	153.0	15.49	
	HWS_160-9	54600	160	152.8	15.23	
	HWS_160-10	36200	180	174.0	12.04	
20	HWS_160-11	36400	180	174.0	12.12	
	HWS_160-12	36600	180	174.0	12.2	

F. Chilled Water System

1. General Description

Referring to FIG. 16, a chilled water system is shown consisting of four chilled water pumps 274, 276, 278, 280, pumped in parallel, one waterside economizer heat exchanger 282, and three chillers 284, 286, 288. The distribution piping system consists of two air handling units 290, 292 and three thermal zones 294, 296, 298 with chilled water coils.

The control of the entire chilled water system is performed through a series of independent software agents such as the Chilled Water System Coordinator 16, Chilled Water 35 Plant Agent 300, and Zone Agents 302, 304, 306.

2. Chilled Water System Coordinator

a. Purpose

The Chilled Water System Coordinator **16** is an independent software agent that monitors and controls all agents associated with the chilled water system. FIG. **17** shows the communication architecture between the various chilled water system agents.

The Chilled Water System Coordinator **16** is responsible for, but not limited to, the following:

Satisfy and maintain all building cooling load requirements.

Optimize chilled water system energy usage.

Predict energy use of the entire chilled water system.

Communication with SMITHGROUP-AI 10. It sends overall chilled water system energy use predictions and receives commands from SMITHGROUP-AI 10.

Communication with the Chilled Water Plant Agent 300. It requests energy consumption predictions and status from the Chilled Water Plant Agent 300 and sends predictions (e.g. total chilled water system water flow, chilled water supply temperature, chilled water return 5 temperature) such that the Chilled Water Plant Agent 300 can make associated predictions related to the energy performance of the Chilled Water Plant.

Further, the Chilled Water System Coordinator 16, by analyzing the cooling load, water flow and temperature 10 predictions received from the various Zone Agents 304, 306, 308 and AHU System Coordinators 20, 22, may predict that the chillers are not required to be enabled and that the cooling coils from each AHU 290, 292 may be used to reject the heat absorbed by the chilled water system from the 15 various Zones 294, 296, 298. The Chilled Water System Coordinator 16 will then send these predictions to SMITH-GROUP-AI 10. For example, by analyzing the predictions from each Zone Agent 302, 304, 306 and AHU System Coordinator 20, 22, the Chilled Water System Coordinator 20 **16** may determine that a 65° F. temperature will be sufficient to satisfy all cooling loads, without requiring the use of a chiller to cool the water. This can be accomplished by using the chilled water coils from the AHU 290, 292 as heating coils. The energy absorbed by the chilled water coils serving 25 the Zone Agents 302, 304, 306 is transferred to the airstream within each AHU 290, 292 via its associated chilled water coils. Similarly, the Chilled Water System Coordinator 16, by analyzing the cooling load, water flow and temperature predictions received from the various Zone Agents 302, 304, 30 306 and AHU System Coordinators 20, 22, may predict that the chillers are only partially required to cool the chilled water.

b. Internal Structure

Referring to FIG. 18, the internal structure of the CHWS Coordinator 16 and its related environment is shown. The environment for the Chilled Water System Coordinator 16 consists of all the agents that it monitors and controls. The agents that the Chilled Water System Coordinator 16 controls are all zones/AHUs that contain a chilled water coil and require chilled water from the Chilled Water System 16. For example, two air handling unit agents 20, 22 and three zone agents 302, 304, 306 are shown. The agent is comprised of five modules, each with dedicated algorithms and control logic.

The Data Filtering module 310 is responsible for separating the data received from the various associated agents 20, 22, 302, 304, 306. For example, the actual agent energy consumption levels or actual agent chilled water flow may be sent to the System Feedback module 312, while predictions from the agents will be sent to the System Analysis and Control module 314.

The System Feedback module **312** is responsible for the following:

Collection of information from the Zone Agents 302, 304, 306 and the Chilled Water Plant Agent 300; the information may represent status (e.g. failed, maintenance), or actual agent energy consumptions levels from the various agents. A failed status may indicate that a Zone Agent 302, 304, 306 may no longer control its environment and should be excluded from the overall condenser water system predictions that the Machine Learning module 316 is making. A maintenance status may indicate that a Zone Agent 302, 304, 306 has entered or may enter into a maintenance mode and that 65 the Machine Learning module 316 needs to update its overall chilled water system predictions accordingly.

44

The information may also represent predictions related to the energy consumption levels of each agent 300, 302, 304, 306.

Analysis of predictions related to the total chilled water flow. The actual chilled water flow from the various agents 300, 302, 304, 306 will be compared against their own predictions but also against the predictions made by the Machine Learning module 316. Any large discrepancies between the predicted and actual chilled water flows could mean that the previous command that a Zone Agent 302, 304, 306 or the Chilled Water Plant Agent 300 received from the Chilled Water System Coordinator 310 has placed an Agent in conflict with its own internal laws (e.g. not able to meet the various zone thermal requirements or expected chilled water system flow). This could indicate that the difference in the error or accuracy of the predictions made by the Machine Learning module 316 and the actual outcome might have exceeded critical limits, which in turn has affected the overall energy and thermal performance of the chilled water system. The System Feedback module 312 will then send the actual chilled water flows to the Machine Learning module 316 such that the Machine Learning module 316 can update its internal learning algorithms.

Collection of information from SMITHGROUP-AI 10. The information may represent commands that need to be distributed to the various associated Agents. The information may also represent feedback regarding the predictions that the Chilled Water System Coordinator 16 has made. For example, the predicted energy performance of the Scenario that SMITHGROUP-AI 10 has directed the Chilled Water System Coordinator 310 to implement is significantly different that the real outcome. As such the System Feedback module 312 will pass this feedback to the Machine Learning module 316 to update its machine learning algorithms accordingly such that the accuracy or error of its predictions self-improves.

The Machine Learning module **316** is responsible for the following:

Collection of information from the System Feedback module 312. The type of information that this module will collect is described under the System Feedback module 312.

Collection of information from the System Analysis and Control module 314. This information may represent predictions from Zone Agents 302, 304, 306 and Chilled Water Plant Agent 300 regarding chilled water flows, temperatures, etc.

Making predictions, using machine learning algorithms, based on the data received from the System Analysis and Control module **314**, for the overall energy consumption of the entire chilled water system or for the total chilled water flow that Chilled Water Plant Agent **300** will need to deliver.

Sending the predictions to the System Analysis and Control module **314** and to the Scenario Generator module **318**.

The System Analysis and Control module **314** is responsible for the following:

Collection of information from the Data Filtering module 310. The type of information that this module will collect is described under the Data Filtering module 310.

Analyzing the data from each agent, and filtering and compiling the data into valid system scenarios.

Sending the valid system scenarios to the Machine Learning module **316** for predictions.

Receiving the predictions from the Machine Learning module **316** and sending commands to the various agents. The commands that the System Analysis and 5 Control module **314** may send to the agents could be chilled water flows and associated temperatures or requests for predictions.

Analyzing the scenarios proposed by the Scenario Generator module **318**. For each scenario received, the 10 System Analysis and Control module **314** may decide to direct the various agents to make predictions and then compile these predictions into valid system scenarios for the Machine Learning module **316** to make predictions on.

The Scenario Generator module 318 is responsible for continuously looking for ways to improve the overall energy performance of the entire chilled water system. For example, the Scenario Generator module 318 may create a series of scenarios which will then be sent to the System Analysis and 20 Control module **314** to analyze and validate. The System Analysis and Control module 314 may ask the associated agents to make predictions on the scenarios. Once the scenarios are validated, they may be sent to the Machine Learning module 316 to make predictions on. The predic- 25 tions made by the Machine Learning module 316 will then be sent back to the Scenario Generator 314 module for analysis. After analyzing the predictions, the Scenario Generator module 318 may decide to send such predictions to SMITHGROUP-AI 10, which in turn may direct the Chilled 30 Water System Coordinator 16 to implement one of the scenarios created by the Scenario Generator module 318.

The Scenario Generator module 318 may create scenarios by modelling the Chilled Water Plant Agent 300 as delivering various chilled water flows and associated temperatures, by modelling the Zone Agents 302, 304, 306 or AHU agents as satisfying their load conditions under various conditions, or by modelling the condenser water plant equipment as being able to support the chillers to generate such chilled water flows and associated temperatures.

- 3. Chilled Water Plant Agent
- a. Purpose

The Chilled Water Plant agent 300 is an independent software agent that monitors and controls the equipment in the chilled water plant equipment (e.g. chillers, water side 45 economizer, chilled water pumps) as energy efficient as possible while satisfying all cooling load requirements. Further, the Chilled Water Plant Agent 300 is responsible for the following:

- a. Prediction of the energy use of the entire chilled water 50 plant.
- b. Prediction of the chillers' energy consumption.
- c. Prediction of the chilled water pumps energy consumption.
- d. Prediction of the water pressure drop through each of 55 the chilled water system piping components (e.g. control valve, heat exchanger, coil).
- e. Communication with the Chilled Water System Coordinator 16. It receives valid scenario predictions (e.g. total chilled water coil loads, chilled water supply 60 temperature, chilled water return temperature, total chilled water flow) such that the Chilled Water Plant Agent 300 can make associated energy predictions to send back to the Chilled Water System Coordinator 16.
- f. Communication with the Condenser Water Coordinator 65 **308**. The type of information that the Chilled Water Plant Agent **300** may communicate with the Condenser

46

Water System Coordinator 308 may represent valid scenarios or conditions (e.g., minimum and maximum condenser water return temperature, minimum and maximum condenser water supply temperature, minimum and maximum condenser water flow) that the Chilled Water Plant Agent 300 needs to account for when making its own energy consumption predictions. Other information that the Condenser Water System Coordinator 308 may communicate with the Chilled Water Plant Agent 300 are predictions related to the condenser water flow, and condenser water return temperature associated with each piece of equipment that is a part of the chilled water plant (e.g. chiller, water side economizer).

b. Internal Structure

Referring to FIG. 19, the internal structure of the Chilled Water Plant Agent 300 and its related environment is shown. The environment for the Chilled Water System Agent 300 is comprised of all the sensors 320, 322, 324, 326 and actuators 328, 330, 332, 334 of the equipment that it monitors and controls. Sensors 320, 322, 324, 326 and actuators 328, 330, 332, 334 that are part of the Chilled Water System Agent's environment are connected directly to the network, without the use of proprietary controllers that operate with programmed sequences of operation. In some instances, an open source non-proprietary input/output module or a gateway may be required to convert the signal from a sensor or an actuator such that it can be communicated via open source networks such as BACnet, LONworks, Modbus, etc. The agent 300 is comprised of five modules, each with its own dedicated algorithms and controls logic.

The Data Filtering module 336 is responsible for separating the data received from sensors 320, 322, 324, 326 and actuators 328, 330, 332, 334. For example, the actual energy consumption levels of the chillers and pumps (measured by their related variable frequency drives (VFDs)), actual pump water flows (measured by the associated flow meters) or various temperatures will be sent to the System Feedback module 338, while data from other various sensors 320, 322, 324, 326 and actuators (e.g. temperatures, status, valve position, differential pressures etc.) 328, 330, 332, 334 will be sent to the System Analysis and Control module 340.

The System Feedback module **338** is responsible for the following:

Collection of information from the various sensors 320, 322, 324, 326 and actuators 328, 330, 332, 334. The type of information that the System Feedback module 338 may collect is described in the Data Filtering module 336.

Analysis of predictions related to various water temperatures and flows (e.g. entering and leaving chilled water temperatures, entering and leaving condenser water temperatures, chilled water flow, condenser water flow, etc.). The data received from the various sensors 320, 322, 324, 326 and actuators 328, 330, 332, 334 will be compared against the predictions made by the Machine Learning module 342. Any large discrepancies between the predicted and measured values could mean that the previous commands could indicate that the difference in the error or accuracy of the predictions made by the Machine Learning module 342 and the actual outcome might have exceeded critical limits, which in turn has affected the overall energy and energy performance of the chilled water system. The System Feedback module 338 will then send the measured values to the Machine Learning module 342 such that the Machine Learning module 342 can update its internal learning algorithms.

Collection of information from the Chilled Water System Coordinator 16. The information may represent feedback regarding the predictions that the Chilled Water Plant Agent 300 has made. For example, the chilled water plant might deliver a certain chilled water flow to the Zones 294, 296, 298 or to the AHUs 290, 292 in the same time its internal flow meters are confirming that the measured chilled water flow is equal to or close to what was predicted. However, some Zones 294, 296, 298 or AHUs 290, 292, as measured by their associated flow meters, might not receive sufficient chilled water. This could be indication that the chilled water flow meters within the chilled water plant are defective or that they may need recalibration.

The Machine Learning module **342** is responsible for the following:

Collection of information from the System Feedback module **338**. The type of information that this module will collect is described under the System Feedback module **338**.

Collection of information from the System Analysis and Control module 340. This information may represent data from the various sensors 320, 322, 324, 326 and actuators 328, 330, 332, 334, such as water flows and temperatures.

Making predictions, using machine learning algorithms, based on the data received from the System Analysis ²⁵ and Control module **340** or from the System Feedback module **338**.

Sending the predictions to the System Analysis and Control module **340** and to the Scenario Generator module **344**.

Using manufacturers rated chiller performance and chiller curves data as a training set, a machine learning algorithm may be trained to predict the chiller energy consumption at various system conditions (e.g. entering and leaving chilled water temperatures, entering and leaving condenser water temperatures, chiller water flows, condenser water flows etc.). Once released into the real environment, the Machine Learning module 342, via the feedback received from the System Feedback module 338, may update its internal machine learning algorithms and related predictions to account for system effects and the measurement errors of the 40 various sensors.

Similarly, using pump laws and manufacturer's rated pump performance data as a training set, a machine learning algorithm may be trained to predict the chilled water pump energy consumption at various system conditions, water flow, and pump head conditions. Once released into the real environment, the Machine Learning module 342, via the feedback received from the System Feedback module 338, may update its internal machine learning algorithms and related predictions (e.g. pump motor energy consumption, pump differential sensor pressure setpoints etc.) to account for system effects and the measurement errors of the various sensors.

Using an approach like the above, the Machine Learning module **342** may also use each individual component prediction as a data set and/or training set to make predictions for the energy consumption of the entire chilled water plant. For example, the Machine Learning module **342** may build a training set comprised of the plant chilled water flow, plant entering chilled water temperature, plant leaving chilled water temperature, and pump head and associated pump motor energy consumption. Once the training set is of useful size, the Machine Learning module **342** may use it to predict pump motor energy consumption on new data that is not a part of the training set.

The Machine Learning module **342** will use the training sets described above to operate the chilled water plant as 65 efficiently as possible. The Machine Learning Module **342** may predict the entering water temperatures, leaving water

48

temperatures, chilled water flow, quantity of chillers, and quantity and speed of chilled water pumps that are required to operate to satisfy building loads based on the predictions received from the Chilled Water System Coordinator 16.

The System Analysis and Control module **340** is responsible for the following:

Monitoring and control of all sensors and actuators related to the chilled water system.

Collection of information from the Data Filtering module 336. The type of information that this module will collect is described under the Data Filtering module 336.

Analyzing the data from each sensor and actuator. For example, the System Analysis and Control module **340** may determine that a pump is no longer capable of running (e.g. the motor has burned out). As such, the System Analysis and Control module **340** may need to update its valid scenario (e.g. how many pumps need to operate and at what speed) to deliver the required amount of water flow to the zones **294**, **296**, **298**.

Sending the valid system scenarios to the Machine Learning module **342** for predictions.

Receiving the predictions from the Machine Learning module 342 and sending commands to the various actuators 328, 330, 332, 334. The commands that the System Analysis and Control module 340 may send to the actuators 328, 330, 332, 334 could be valve position or damper position or pump speed.

Analyzing the scenarios proposed by the Scenario Generator module 344. For each scenario received, the System Analysis and Control module 340 will analyze the status of the current sensors 320, 322, 324, 326 and actuators 328, 330, 332, 334 and will determine which scenario is valid. For example, one of the scenarios that the Scenario Generator module 344 may generate will require the chilled water plant to deliver 1,000 GPM to the chiller. The System Analysis and Control module 340 may determine that, due to a pump failure, such scenario is no longer valid and that the Machine Learning module 342 will not make a prediction on the referenced scenario.

The Scenario Generator module 344 is responsible for continuously looking for ways/scenarios to improve the overall energy performance of the chilled water plant. For example, the Scenario Generator module **344** may create a series of scenarios which will then be sent to the System Analysis and Control module **340** to analyze and validate. Once the scenarios are validated, they may be sent to the Machine Learning module **342** to make predictions on. The predictions made by the Machine Learning module **342** will then be sent back to the Scenario Generator module **344** for analysis. After analyzing the predictions, the Scenario Generator module 344 may decide to send such predictions to the Chilled Water System Coordinator 16, which will then be sent to SMITHGROUP-AI 10, which in turn may direct the Chilled Water System Coordinator 16 to implement one of the scenarios created by the Chilled Water System Scenario Generator Module **344**. The Scenario Generator module **344** may create scenarios by varying the chilled water flow and related temperatures to the chillers. Each such scenario will have an impact on both pump motor energy performance and chiller efficiency.

4. Sample Process

Each AHU coordinator 290, 292 and zone agent 294, 296, 298 comprised in the Chilled Water System Coordinator's environment will send a series of predictions and status information. Predictions that the Chilled Water System Coordinator 16 will receive may include the cooling coil load, entering and leaving water temperatures, and various GPMs that the zone agents 302, 304, 306 or AHU Agents could use to satisfy its zone requirements. The System

50TABLE 11-continued

Analysis and Control module 314 will first compile all predictions received from the zone agents 302, 304, 306 and AHU agents. The system analysis and control module 314 will then eliminate all predictions that will make other agents incapable of meeting their internal laws. For example, all scenarios with EWT greater than 46° F. can be eliminated because AHU-1 290 and AHU-2 292 cannot satisfy load requirements with these water temperatures. The system analysis and control module 314 will then compile and sort all remaining predictions based on a common 10 chilled water coil entering water temperature.

The system analysis and control module 314 will then generate all possible combinations of entering water temperatures and total GPMs and summarize scenarios to be sent to the Chilled Water System Agent 300. The Chilled Water Plant Agent 300 will then determine the most efficient operating scenarios and predict condenser water entering and leaving water temperatures and condenser water flow. The Chilled Water Agent 300 will send these predictions to be validated by the Condenser Water System Coordinator ²⁰ 16. Once validated, the Chilled Water Plant Agent 300 will then send the final validated scenarios to the Chilled Water System Coordinator 16 which will then send them to SMITHGROUP-AI 10.

In more detail, each zone agent creates a series of scenarios associated with the zone that it is serving and sends these scenarios to a System Coordinator (e.g. Chilled Water System Coordinator 16). These scenarios are given a unique ID number (Table 10 below) based on a specific airflow supply air temperature (SAT) and a specific entering water 30 temperature (EWT).

TABLE 10

	Zone Agent-9 Data Provi				<u>.</u>		3
Zone Prediction ID	Supply Air Temperature SAT [° F.]	Cooling Coil Load Btu/hr	EWT [° F.]	LWT [° F.]	GPM	Energy Predictions KWh	2
Agent_9-1-1 Agent_9-1-2	50 50	24000 24000	36 38	44 49	6.00 4.36	0.4 0.4	
Agent_9-1-3	50	24000	4 0	47	6.86	0.4	
Agent_9-2-1	52	21000	36	48	3.50	0.6	
Agent_9-2-2 Agent_9-2-3	52 52	21000 21000	38 40	53 51	2.80 3.82	0.6 0.6	2

Similarly, each system agent, creates a series of scenarios associated with the system that it is serving and sends these scenarios to a System Coordinator (e.g. Chilled Water System Coordinator 16). These scenarios are given a unique ID number (Table 11 below) based on a specific airflow supply air temperature (SAT) and a specific entering water temperature (EWT).

TABLE 11

AHU-1 AGENT PREDICTIONS

Data Provided by the AHU System Coordinator

AHU System Prediction ID	Supply Air Temperature SAT [° F.]	Cooling Coil Load Btu/hr	EWT [° F.]	LWT [° F.]	GPM	(
AHU_1-1-3	52	114480	40	55	15	
AHU_1-1-4	52	114480	42	54	19	
AHU_1-1-5	52	114480	44	51	33	
AHU_1-1-6	52	114480	46	56	23	(
AHU_1-2-3	54	116964	40	48	29	

AHU-1 AGENT PREDICTIONS Data Provided by the AHU System Coordinator Supply Air Cooling Coil LWT AHU System **EWT** Temperature Load Prediction ID SAT [° F.] [° F.] GPM Btu/hr AHU_1-2-4 54 116964 53 AHU_1-2-5 55 54 116964

The Chilled Water System Coordinator then filters the scenarios that it receives (Table 12 below). The filtering process is intended to eliminate scenarios that are not valid for the chilled water system at a point in time. For example, an agent may accept a certain low chilled water temperature (e.g. 38° F. or below), however due to outside air temperature conditions, the chilled water plant may not be able to achieve such low chilled water temperature. This will render the associated scenarios as invalid (as indicated in bold).

TABLE 12

	Zone Agent-9 Data Provi				S	
Zone Prediction ID	Supply Air Temperature SAT [° F.]	Cooling Coil Load Btu/hr	EWT [° F.]	LWT [° F.]	GPM	Energy Predictions KWh
Agent_9-1-1 Agent_9-1-2	50 50	24000 24000	36 38	44 49	6.00 4.36	0.4 0.4
Agent_9-1-2 Agent_9-1-3	50 50	24000	4 0	49 47	6.86	0.4
Agent_9-2-1	52	21000	36	48	3.50	0.6
Agent_9-2-2	52	21000	38	53	2.80	0.6
Agent_9-2-3	52	21000	4 0	51	3.82	0.6

After the filtering process is complete, the Chilled Water System coordinator 16 compiles all valid scenarios (Table 13 below) that will be used to predict the energy consumption of the chilled water system. It then sorts these scenarios based on a common chilled water temperature (e.g. 40° F.).

TABLE 13

	CHILLED WATER SYSTEM AGENT PREDICTIONS								
45	AHU System Prediction ID	Supply Air Temperature SAT [° F.]	Cooling Coil Load Btu/hr	E W T [° F.]	LWT [° F.]	GPM			
	AHU_1-1-3	52	114480	40	55	15.26			
50	AHU_1-2-3	54	116964	40	48	29.24			
	AHU_1-3-3	56	134136	40	46	44.71			
	AHU_2-1-3	50	240000	40	54	34.29			
	AHU_2-2-3	52	180000	40	47	51.43			
	AHU_2-3-3	54	120000	40	51	21.82			
	Agent_8-1-3	50	15000	40	55	2.00			
	Agent_8-2-3	52	12000	40	47	3.43			
55	Agent_8-3-3	54	10000	40	51	1.82			
	Agent_8-4-3	56	8000	4 0	50	1.60			
	Agent_9-1-3	50	24000	4 0	47	6.86			
	Agent_9-2-3	52	21000	40	51	3.82			
	Agent_9-3-3	54	18000	40	48	4.5 0			
	Agent_9-4-3	56	15000	40	50	3.00			

The Chilled Water System Coordinator **16** will then start creating combinations of agent scenarios (Table 14 below). Each combination of scenarios is then given a unique ID number (e.g. CHWS_40-1). For each combination of agent scenarios there is a corresponding set of chilled water plant conditions that need to be achieved (e.g. total cooling load, entering water temperature (EWT), leaving water temperature (LWT) and chilled water flow (in GPM)).

TABLE 14

	CHILLED WA	TER SYSTEM	I AGENT PRE	EDICTION	NS		
		Predictions Supply Air Temperature Cooling SAT Coil Load EWT LWT [° F.] Btu/hr [° F.] [° F.] GPM					
System Identific	cation Data	Supply Air					
AHU/Zone System Prediction ID	Chilled Water System Predition ID	SAT	Coil Load			GPM	
AHU_1-1-3 AHU_2-1-3 Agent_8-4-3 Agent_9-3-3	CHWS_40-1	52 50 56 54	114480 240000 8000 18000	40 40 40 40	55 54 50 48	15.26 34.29 1.60 4.50	
	Total		380480	4 0	53.7	55.65	

The Chilled Water System Coordinator 16 then makes chilled water system energy consumptions predictions for each combination of agent scenarios (Table 15 below) and a unique set of condenser water system operating conditions.

TABLE 15

CHILLED WATER SYSTEM AGENT PREDICTIONS											
System Identification Data	Predictions										
C	illed Water				•			Energy Consumption			
Cooling Coil					Condenser Water			CHWS Pump Energy	CHWS Energy	CHWS Total Energy	
Chilled Water System Predition ID	Load Btu/hr	EWT [° F.]	LWT [° F.]	GPM	EWT [° F.]	LWT [° F.]	GPM	Consumption KWh	Consumption KWh	Consumption KWh	
CHWS_40-1-1 CHWS_40-1-2 CHWS_40-1-3	380480 380480 380480	40 40 40	53.7 53.7 53.7	55.65 55.65 55.65	85 93 75	97 93 88	63.4 58.5 58.5	25 25 25	324 302 278	249 327 303	

The chilled water system energy consumptions predictions are then validated by the Condenser Water System Coordinator **308** (e.g. Table 16 below). This filtering/validation process is required due to the fact that, even though the chilled water plant is able to meet the associated conditions (e.g. chilled water EWT, LWT, GPM, etc.), the

the various condenser water system operating conditions (e.g. condenser water EWT, LWT, GPM, etc.). For example, if the outside air temperature is about 95° F., the condenser water system may not be able to achieve a LWT of 80° F. or below.

TABLE 16

System Identification										
Data	Predictions									
C	Chilled Water				-			Energy Consumption		
Cooling Coil					Condenser Water			CHWS Pump Energy	CHWS Energy	CHWS Total Energy
Chilled Water System Predition ID	Load Btu/hr	EWT [° F.]		GPM	EWT [° F.]	LWT [° F.]	GPM	-	Consumption KWh	Consumption KWh
CHWS_40-1-1	380480	40	53.7	55.65	85	97	63.4	75	324	349
CHWS_40-1-2	380480	40	53.7	55.65	80	93	58.5	25	302	327
CHWS_40-1-3	380480	40	53.7	55.65	75	88	58.5	25	278	303
CHWS_40-1-4	380480	40	53.7	55.65	70	80	76.1	25	265	290
CHWS_40-1-5	380480	40	53.7	55.65	65	76	69.2	25	247	272
CHWS_40-1-6	380480	40	53.7	53.65	60	69	84.6	25	224	249
CHWS_40-1-7	380480	40	53.7	55.65	55	65	76.1	25	209	234

After the Chilled Water System Coordinator 16 has received confirmation from the Condenser Water System Coordinator 308, it then sends the validated scenario combinations to SMITHGROUP-AI 10.

G. Condenser Water System

1. General Description

Referring to FIG. 20, a condenser water system is shown as having four condenser water pumps 346, 348, 350, 352, and three cooling towers, each with two cells 354, 356, 358, 360, 362, 364. Condenser water is delivered to the chilled water plant equipment (e.g. chillers 368, 370, 372 and the waterside economizer 374) and to four other zones that require condenser water. The control of the entire condenser water system is performed through the Condenser Water System Coordinator 16, Chilled Water Plant Agent 300, Condenser Water Plant Agent 376, and Zone Agents 378, 380, 384, 386.

FIG. 21 shows the communication architecture between the various condenser water system Agents 300, 376, 378, 20 380, 384, 386. All sensors and actuators are connected directly to the network, without the use of proprietary controllers that operate with programmed sequences of operation. In some instances, an open source non-proprietary input/output module or a gateway may be required to 25 convert the signal from a sensor or an actuator such that it can be communicated via open source networks such as BACnet, LONworks, Modbus, etc.

2. Condenser Water System Coordinator

a. Purpose

The Condenser Water System Coordinator **16** is an independent software agent that monitors and controls all agents associated with its respective condenser water system. Further, the Condenser Water System Coordinator **16** is responsible for the following:

Prediction of the energy use of the entire condenser water system.

Communication with SMITHGROUP-AI 10. It sends overall condenser water system energy use predictions 40 and receives commands from SMITHGROUP-AI 10.

Communication with the Condenser Water Plant Agent 376. It requests energy consumption predictions and status from the Condenser Water Plant Agent 376 and sends predictions (e.g. total condenser water system 45 water flow, condenser water supply temperature, condenser water return temperatures) such that the Condenser Water Plant Agent 376 can make associated predictions related to the energy performance of the Condenser Water Plant.

Communication with the Chilled Water Plant Agent **382**. The type of information that the Condenser Water System Coordinator 388 communicates to Chilled Water Plant Agent 382 may represent valid scenarios or conditions (e.g., minimum and maximum condenser 55 water return temperature, minimum and maximum condenser water supply temperature, minimum and maximum condenser water flow) that the Chilled Water Plant Agent 382 needs to account for when making its own energy consumption predictions. Other informa- 60 tion that the Condenser Water System Coordinator **388** may communicate with the Chilled Water Plant Agent 382 are predictions related to the condenser water flow, and condenser water return temperature associated with each piece of equipment that is a part of the chilled 65 water plant (e.g. chillers 368, 370, 372, water side economizer 374).

54

b. Internal Structure

Referring to FIG. 22, the internal structure of the Condenser Water System Coordinator 16 and its related environment is shown. The environment for the Condenser Water System Coordinator 16 is comprised of all the agents that it monitors and controls. The agent is comprised of five modules, each with its own dedicated algorithms and controls logic.

The Data Filtering module **388** is responsible for the following:

Separating the data received from the various associated agents. For example, the actual agent energy consumption levels or actual agent condenser water flow will be sent to the System Feedback module **390**, while predictions from the agents will be sent to the System Analysis and Control module **392**.

Collection of information from the Chilled Water Plant Agent 382. The type of information that the Data Filtering module 388 may collect from the Chilled Water Plant Agent 300 may represent prediction scenarios that the Chilled Water Plant Agent 382 needs to make and for which it needs validation. For example, some of the prediction scenarios that the Chilled Water Plant Agent 382 intends on analyzing may require a condenser water temperature of 85° F. or above. The Data Filtering module 388 will send these prediction scenarios to the System Analysis and Control module 392 for processing and validation.

The System Feedback module **390** is responsible for the following:

Collection of information from the Zone Agents 378, 380, **384**, **386**, the Condenser Water Plant Agent **376**, and the Chilled Water Plant Agent 300. The information may represent status (e.g. failed, maintenance), or actual agent energy consumptions levels from the various agents. A failed status may indicate that a Zone Agent 378, 380, 384, 386 no longer controls its environment and should be excluded from the overall condenser water system predictions that the Machine Learning module 394 is making. A maintenance status may indicate that a Zone Agent 378, 380, 384, 386 has entered or may enter into a maintenance mode and that the Machine Learning module 394 needs to update its overall condenser water system predictions accordingly. The information may also represent predictions related to the energy consumption levels of each agent.

Analysis of predictions related to the total condenser water flow. The actual condenser water flow from the various agents will be compared against their own predictions but also against the predictions made by the Machine Learning module **394**. Any large discrepancies between the predicted and actual condenser water lows could mean that the previous command that a Zone Agent 378, 380, 384, 386 or the Condenser Water Plant Agent 376 received from the Condenser Water System Coordinator 16 has placed an Agent in conflict with its own internal laws (e.g. not able to meet the various zone thermal requirements or expected condenser water system flow). This could indicate that the difference in the error or accuracy of the predictions made by the Machine Learning module **394** and the actual outcome might have exceeded critical limits, which in turn has affected the overall energy and thermal performance of the condenser water system. The System Feedback module 390 will then send the actual condenser water flows to the Machine Learning module 394 such that the Machine Learning module 394 can update its internal learning algorithms.

Collection of information from SMITHGROUP-AI 10.

The information may represent commands that need to be distributed to the various associated Agents. The information may also represent feedback regarding the predictions that the Condenser Water System Coordinator 16 has made. For example, the predicted energy performance of the Scenario that SMITHGROUP-AI 10 has directed the Condenser Water System Coordinator 16 to implement is significantly different that the real outcome. As such the System Feedback module 10 390 will pass this feedback to the Machine Learning module 394 to update its machine learning algorithms accordingly such that the accuracy or error of its predictions self-improves.

The Machine Learning module **394** is responsible for the 15 following:

Collection of information from the System Feedback module **390**. The type of information that this module will collect is described under the System Feedback module **390**.

Collection of information from the System Analysis and Control module 392. This information may represent predictions from Zone Agents 378, 380, 384, 386 and Condenser Water Plant Agent 376 regarding condenser water flows, temperatures, etc.

Making predictions, using machine learning algorithms, based on the data received from the System Analysis and Control module 392, for the overall energy consumption of the entire condenser water system or for the total condenser water flow that the Condenser Water 30 Plant Agent 376 will need to deliver.

Sending the predictions to the System Analysis and Control module **392** and to the Scenario Generator module **396**.

The System Analysis and Control module **392** is respon- 35 sible for the following:

Collection of information from the Data Filtering module 388. The type of information that this module will collect is described under the Data Filtering module 388.

Analyzing the data from each agent, and filtering and compiling the data into valid system scenarios.

Sending the valid system scenarios to the Machine Learning module **394** for predictions.

Receiving the predictions from the Machine Learning 45 module **394** and sending commands to the various agents. The commands that the System Analysis and Control module **392** may send to the agents could be condenser water flows and associated temperatures, or requests for predictions.

Analyzing the scenarios proposed by the Scenario Generator module **396**. For each scenario received, the System Analysis and Control module **392** may decide to direct the various agents to make predictions and then compile these predictions into valid system sce- 55 narios for the Machine Learning module **394** to make predictions on.

Analyzing the scenarios proposed by the Scenario Generator module 396 that require input from the Chilled Water Plant Agent 382. For each scenario received, the 60 System Analysis and Control module 392 may decide to ask the Chilled Water Plant Agent 382 to make predictions and then send the predictions back to the Data Filtering module 388.

The Scenario Generator module **396** is responsible for 65 continuously looking for ways to improve the overall energy performance of the entire condenser water system. For

56

example, the Scenario Generator module 396 may create a series of scenarios that will then be sent to the System Analysis and Control module 392 to analyze and validate. The System Analysis and Control module 392 may ask the agents to make predictions on the scenarios. Once the scenarios are validated, they may be sent to the Machine Learning module 394 to make predictions on. The predictions made by the Machine Learning module 394 will then be sent back to the Scenario Generator module 396 for analysis. After analyzing the predictions, the Scenario Generator module 396 may decide to send such predictions to SMITHGROUP-AI 10, which in turn may direct the Condenser Water System Coordinator 16 to implement one of the scenarios created by the Scenario Generator module 396.

The Scenario Generator module 396 may create scenarios by modelling the Condenser Water Plant Agent 376 as delivering various condenser water flows and associated temperatures and by modelling the Zone Agents 378, 380, 384, 386 as satisfying their zone thermal load conditions under various conditions or by modelling the chilled water plant equipment as being able to support such condenser water flows and associated temperatures.

c. Sample Process

The process through which the Condenser Water System
Coordinator 16 makes predictions related to the overall
condenser water system energy consumption levels or condenser water system flows and associated temperatures is
similar to the process that the Chilled Water System Coordinator 16 is implementing when making predictions related
to the overall chilled water system energy consumption
levels or chilled water system flows and associated temperatures.

3. Condenser Water Plant Agent

a. Purpose

The Condenser Water Plant Agent 376 is an independent software agent that monitors and controls all sensors 398, 400, 402, 404 and actuators 406, 408, 410, 412 associated with the condenser water plant. The condenser water plant is comprised of cooling towers and condenser water pumps.

Further, the Condenser Water Plant Agent 376 is responsible for the following:

Prediction of the energy use of the entire condenser water plant.

Communication with the Condenser Water System Coordinator **16**. It sends overall condenser water plant energy use predictions and receives commands from the Condenser Water System Coordinator **16**.

b. Internal Structure

Referring to FIG. 23, the internal structure of the Condenser Water Plant Agent 376 and its related environment is shown. The environment for the Condenser Water Plant Agent 376 is comprised of the sensors and actuators that are used to monitor and control the condenser water plant. The agent is comprised of five modules, each with its own dedicated algorithms and controls logic.

The Data Filtering module 414 is responsible for separating the data received from sensors 398, 400, 402, 404 and actuators 406, 408, 410, 412. For example, the energy consumption levels of cooling tower fans or condenser water pumps (measured by their related Variable Frequency Drives (VFD)), actual condenser water flow (measured by the associated now meter), or various temperatures (e.g. condenser water leaving temperature, condenser water return temperature, etc.) will be sent to the System Feedback module 416, while data from other various sensors (e.g. alarms, temperatures etc.) will be sent to the System Analysis and Control module 418. The Data Filtering module 414

57

may also send to the System Analysis and Control module **418** the same data that was sent to the System Feedback module **416**.

The System Feedback module **416** is responsible for the following:

Collection of information from the various sensors 398, 400, 402, 404 and actuators 406, 408, 410, 412. The type of information that the System Feedback module 416 may collect is described in the Data Filtering module 414.

Analysis of predictions related to various temperatures (e.g. condenser water leaving temperature, condenser water return temperature, etc.). The data received from the various sensors and actuators will be compared against the predictions made by the Machine Learning 15 module 420. Any large discrepancies between the predicted and measured values could mean that the previous commands could indicate that the difference in the error or accuracy of the predictions made by the Machine Learning module **420** and the actual outcome might have exceeded critical limits, which in turn has affected the overall energy and energy performance of the condenser water plant. The System Feedback module 416 will then send the measured values to the Machine Learning module 420 such that the Machine 25 Learning module 420 can update its internal learning algorithms.

Collection of information from the Condenser Water System Coordinator 16. The information may represent feedback regarding the predictions that the Condenser 30 Water Plant Agent 376 has made. For example, the condenser water plant might deliver a certain flow of condenser water to the Zones or to the chilled water plant in the same time its internal flow meters are confirming that the measured condenser water flow is 35 equal to or close to what was predicted. However, some Zones or the chilled water plant, as measured by their associated flow meters, might not receive sufficient condenser water. This could be indication that the water flow meters are defective or that they may need recalibration.

The Machine Learning module **420** is responsible for the following:

Collection of information from the System Feedback module **416**. The type of information that this module 45 will collect is described under the System Feedback module **416**.

Collection of information from the System Analysis and Control module **418**. This information may represent data from the various sensors and actuators, such as 50 condenser water flows and associated temperatures.

Making predictions, using machine learning algorithms, based on the data received from the System Analysis and Control module **418** or from the System Feedback module **416**.

Sending the predictions to the System Analysis and Control module **418** and to the Scenario Generator module **422**.

For each component (e.g. cooling tower, condenser water pump etc.) of the condenser water plant, the Machine 60 Learning module **420** will use various machine learning algorithms to predict its performance (e.g. Btu/hr, leaving water temperature etc.), water flow, water pressure drops, and energy consumption (e.g. kWh).

For example, a cooling tower has fixed properties (e.g. 65 width, height, fan horsepower etc.). As such, a machine learning algorithm may be trained, via the use of manufac-

58

turer's rated cooling tower performance data at various conditions (e.g. various entering wet bulb air temperatures, entering condenser water temperatures and flow), to predict what the leaving condenser water temperature, or associated condenser water pressure drop may be at other conditions not included in the training data set.

Similarly, using pump laws and manufacturer's rated pump performance data as a training set, a machine learning algorithm may be trained to predict what the condenser water pump energy consumption may be at various system conditions (e.g. various water flows and various associated pump head). Once released into the real environment, the Machine Learning module 420, via the feedback received from the System Feedback module 416, may update its internal machine learning algorithms and related predictions (e.g. pump motor energy consumption, pump head setpoints etc.) to account for system effects and for the measurement errors of the various sensors.

Using an approach similar to the above, the Machine Learning module 420 may also use each individual component prediction as a data set and/or training set to make predictions for the energy consumption of the entire condenser water plant. For example, the Machine Learning module 420 may build a training set comprised of the plant condenser water flow, plant entering condenser water temperature, plant leaving condenser water temperature, pump head and associated pump motor energy consumption. Once the training set is of useful size, the Machine Learning module 420 may use it to predict pump motor energy consumption on new data that is not a part of the training set.

The Machine Learning module **420** will use the training sets described above to operate the condenser water plant as efficiently as possible. The Machine Learning module **420** may predict the entering water temperatures, leaving water temperatures, condenser water flow, quantity of towers, and quantity and speed of condenser water pumps that are required to operate to satisfy condenser water load requirements based on the predictions received from the Condenser Water System Coordinator **16**.

The System Analysis and Control module **418** is responsible for the following:

Monitoring and control of all sensors and actuators related to the condenser water plant.

Collection of information from the Data Filtering module 414. The type of information that this module will collect is described under the Data Filtering module 414.

Analyzing the data from each sensor and actuator. For example, the System Analysis and Control module 418 may determine that a cooling tower fan is no longer capable of running (e.g. the motor has burned out). As such, the System Analysis and Control module 418 may need to update its valid scenarios (e.g. how many cooling tower fans need to operate and at what speed) to deliver the required condenser water plant temperature and flow to the Zones and to the chilled water plant.

Sending the valid system scenarios to the Machine Learning module **420** for predictions.

Receiving the predictions from the Machine Learning module **420** and sending commands to the various actuators. The commands that the System Analysis and Control module **418** may send to the actuators could be valve position, cooling tower fan speed, or condenser water pump speed.

Analyzing the scenarios proposed by the Scenario Generator module 422. For each scenario received, the

System Analysis and Control module **418** will analyze the status of the current sensors and actuators and will determine which scenario is valid. For example, one of the scenarios that the Scenario Generator module **422** may generate will require the condenser water plant to 5 deliver 2,000 GPM of condenser water at 65° F. to the Zones and to the chilled water plant. The System Analysis and Control module **418** may determine that, due to a cooling tower fan failure or condenser water pump failure, such scenario is no longer valid (due to 10 limited condenser water plant capacity) and that the Machine Learning module **420** will not make a prediction on the referenced scenario.

The Scenario Generator module 422 is responsible for continuously looking for ways/scenarios to improve the 15 overall energy performance of the condenser water plant. For example, the Scenario Generator module **422** may create a series of scenarios that will then be sent to the System Analysis and Control module **418** to analyze and validate. Once the scenarios are validated, they may be sent to the 20 Machine Learning module **420** to make predictions on. The predictions made by the Machine Learning module 420 will then be sent back to the Scenario Generator module **422** for analysis. After analyzing the predictions, the Scenario Generator module **422** may decide to send such predictions to 25 the Condenser Water System Coordinator 16, which may send them to SMITHGROUP-AI 10, which in turn may direct the Condenser Water System Coordinator 16 to implement one of the scenarios created by the Scenario Generator module 16.

The Scenario Generator module **422** may create scenarios by varying the cooling tower air flows, water flows and related temperatures. Each such scenario will have an impact on the energy performance of the motors serving the tower fans or the condenser water pumps.

The algorithms, processes, methods, logic, or strategies disclosed may be deliverable to and/or implemented by a processing device, controller, or computer, which may include any existing programmable electronic control unit or dedicated electronic control unit. The supervisors, coordi- 40 nators, and agents contemplated herein may be implemented across several processors as shown in FIG. 1 or a single processor, etc. Similarly, the algorithms, processes, methods, logic, or strategies may be stored as data and instructions executable by a controller or computer in many forms 45 including, but not limited to, information permanently stored on various types of articles of manufacture that may include persistent non-writable storage media such as ROM devices, as well as information alterably stored on writeable storage media such as floppy disks, magnetic tapes, CDs, 50 RAM devices, and other magnetic and optical media. The algorithms, processes, methods, logic, or strategies may also be implemented in a software executable object. Alternatively, they may be embodied in whole or in part using suitable hardware components, such as Application Specific 55 Integrated Circuits (ASICs), Field-Programmable Gate Arrays (FPGAs), state machines, controllers or other hardware components or devices, or a combination of hardware, software and firmware components.

The words used in the specification are words of descrip- 60 tion rather than limitation, and it is understood that various changes may be made without departing from the spirit and scope of the disclosure and claims. As previously described, the features of various embodiments may be combined to form further embodiments that may not be explicitly 65 described or illustrated. While various embodiments may have been described as providing advantages or being

60

preferred over other embodiments or prior art implementations with respect to one or more desired characteristics, those of ordinary skill in the art recognize that one or more features or characteristics may be compromised to achieve desired overall system attributes, which depend on the specific application and implementation. These attributes include, but are not limited to cost, strength, durability, life cycle cost, marketability, appearance, packaging, size, serviceability, weight, manufacturability, ease of assembly, etc. As such, embodiments described as less desirable than other embodiments or prior art implementations with respect to one or more characteristics are not outside the scope of the disclosure and may be desirable for particular applications.

What is claimed:

1. A hierarchical resource management system for a building that has a plurality of zones each with a corresponding resource arranged to alter an environment of the zone, the system comprising:

one or more processors programmed to implement

- a coordinator configured to, responsive to receipt of operating scenarios from each of a plurality of agents, filter the operating scenarios to remove the operating scenarios that violate internal laws of the agents to form an aggregate validated set of operating scenarios, and
- a supervisor configured to, responsive to receipt of target conditions for the zones and the aggregate validated set of operating scenarios from the coordinator,
 - select a combination of the operating scenarios from the aggregate validated set of operating scenarios that achieves the target conditions and minimizes overall energy consumption by the resources such that some of the operating scenarios of the combination do not minimize energy consumption of the resources corresponding to the some of the operating scenarios, and
 - direct the coordinator to command operation of the resources according to the combination of the operating scenarios.
- 2. The system of claim 1, wherein the one or more processor are further programmed to implement the plurality of agents, each of the agents being configured to

monitor sensed values describing conditions of one of the zones,

- generate the operating scenarios based on the sensed values for the resource corresponding to the one of the zones, each of the operating scenarios describing an array of set point values for the resource and corresponding to an energy consumption of the resource, and operate the resource according to a commanded one of the operating scenarios.
- 3. The system of claim 2, wherein the agents are further configured to execute machine learning algorithms to generate the operating scenarios.
- 4. The system of claim 1, wherein the supervisor is further configured to execute machine learning algorithms to select the combination of the operating scenarios from the aggregate validated set of operating conditions.
- 5. The system of claim 1, wherein the agents are further configured to report the internal laws to the coordinator.
- 6. The system of claim 1, wherein one of the zones is a thermal zone and the resource corresponding to the thermal zone is a heating ventilating and air conditioning unit.

- 7. The system of claim 1, wherein one of the zones is a lighting zone.
- 8. The system of claim 1, wherein one of the zones is an electrical switched receptacle zone.

* * * *