

#### US011064462B2

### (12) United States Patent

Islam et al.

# (54) METHOD AND APPARATUS FOR USER EQUIPMENT DIRECTED RADIO RESOURCE CONTROL IN A UMTS NETWORK

(71) Applicant: BlackBerry Limited, Waterloo (CA)

(72) Inventors: Muhammad Khaledul Islam, Kanata

(CA); Jeffrey William Wirtanen,

Kanata (CA)

(73) Assignee: BlackBerry Limited, Waterloo (CA)

(\*) Notice: Subject to any disclaimer, the term of this

patent is extended or adjusted under 35

U.S.C. 154(b) by 0 days.

(21) Appl. No.: 15/600,458

(22) Filed: May 19, 2017

(65) Prior Publication Data

US 2017/0290085 A1 Oct. 5, 2017

#### Related U.S. Application Data

- (60) Division of application No. 14/219,333, filed on Mar. 19, 2014, now Pat. No. 9,661,611, which is a continuation of application No. 12/844,302, filed on Jul. 27, 2010, now Pat. No. 8,682,372, which is a (Continued)
- (51) Int. Cl.

  H04M 7/10 (2006.01)

  H04W 72/04 (2009.01)

  H04W 52/02 (2009.01)

  H04W 76/27 (2018.01)

  H04W 76/28 (2018.01)

  H04W 84/04 (2009.01)

  H04W 88/02 (2009.01)

(52) **U.S. Cl.** 

CPC ..... *H04W 72/04* (2013.01); *H04W 52/0251* (2013.01); *H04W 76/27* (2018.02); *H04W* 

### (10) Patent No.: US 11,064,462 B2

(45) **Date of Patent:** Jul. 13, 2021

76/28 (2018.02); H04W 84/042 (2013.01); H04W 88/02 (2013.01); Y02D 30/70 (2020.08)

(58) Field of Classification Search

CPC ..... H04W 76/28; H04W 76/27; H04W 16/10; H04W 52/0219; H04W 74/00; H04W

76/38; H04W 80/06; H04W 84/042

See application file for complete search history.

#### (56) References Cited

#### U.S. PATENT DOCUMENTS

5,487,071 A 1/1996 Nordstrand 5,812,636 A 9/1998 Tseng et al. 6,064,340 A 5/2000 Croft et al. (Continued)

#### FOREIGN PATENT DOCUMENTS

AU 2007202206 12/2007 CA 2781497 5/2011 (Continued)

#### OTHER PUBLICATIONS

Final Office Action issued in U.S. Appl. No. 14/171,320 dated Jun. 18, 2018, 24 pages.

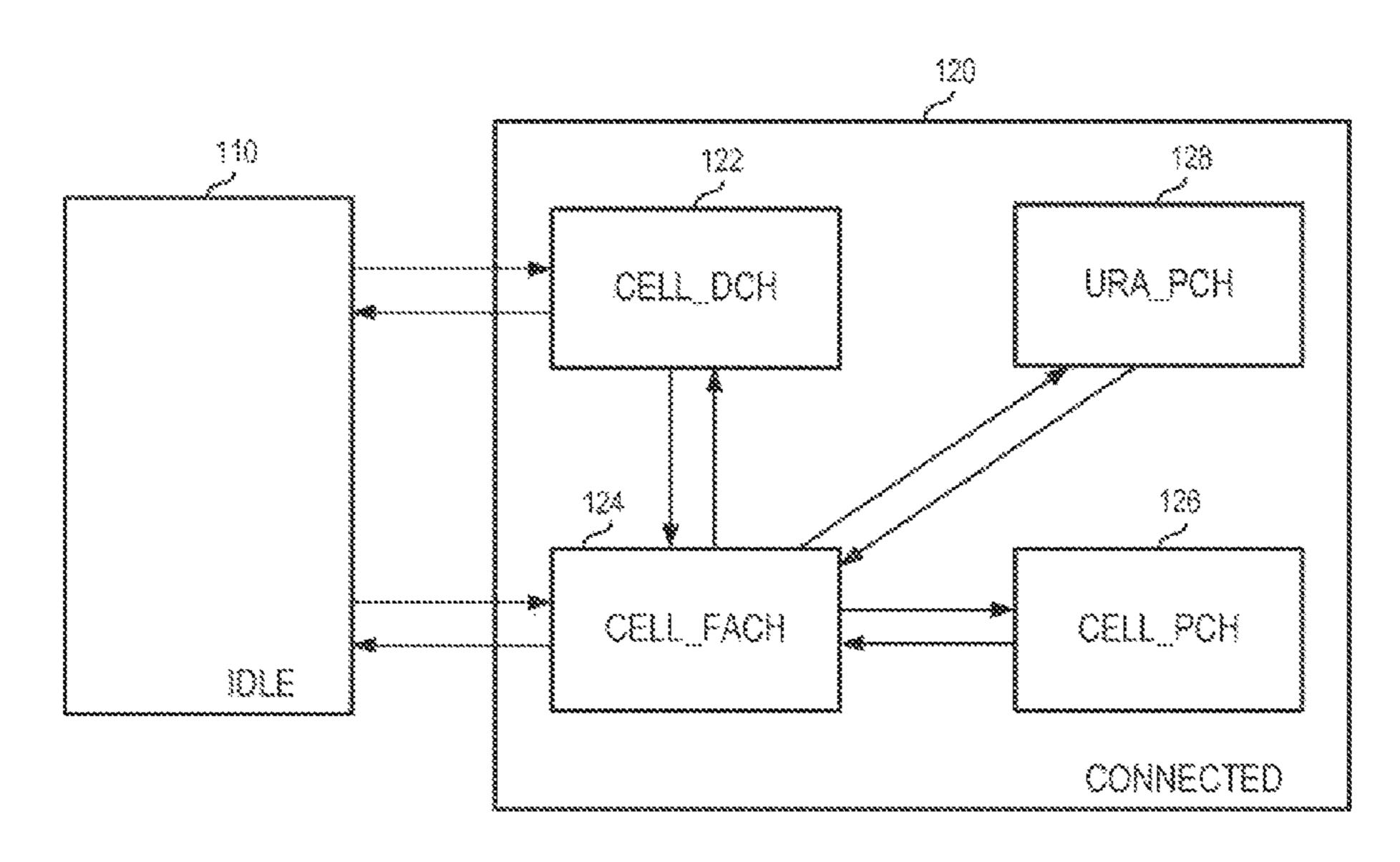
(Continued)

Primary Examiner — Tu X Nguyen (74) Attorney, Agent, or Firm — Fish & Richardson P.C.

#### (57) ABSTRACT

A method and apparatus for improved battery performance of user equipment in a wireless network having multiple radio resource control (RRC) states, the method comprising the steps of: monitoring, at the user equipment, application data exchange; determining when no application on the user equipment is expected to exchange data; and initiating, from the user equipment, a transition to a less battery demanding radio resource control state or mode.

#### 12 Claims, 8 Drawing Sheets



## US 11,064,462 B2 Page 2

Related U.S. Application Data						2003/0021274	A1		Siikaniemi
continuation of application No. 11/302,263, filed on						2003/0031159 2003/0134662		2/2003 7/2003	Sayeedi et al. Shah
			at. No. 7,949,377.		2003/0151652			Bergenlid et al.	
		,				2003/0157927			Yi et al.
(56)	References Cited					2003/0211846 2004/0044771			Nagpal et al. Allred et al.
	U.S. PATENT DOCUMENTS				2004/0062262			Venteicher et al.	
		0.5.	IAILIVI	DOCUMENTS		2004/0120253			Forssell et al.
	6,181,683			Chevillat et al.		2004/0156338 2004/0179490		8/2004 9/2004	Pasanen Jang
	6,223,044 6,229,989		4/2001 5/2001			2004/0192312	A1	9/2004	Li et al.
	6,243,579		6/2001			2004/0203778			Kuo et al.
	6,275,712	B1	8/2001	Gray et al.		2004/0203971 2004/0216144		10/2004 10/2004	
	6,345,185 6,377,790		2/2002 4/2002	Yoon et al.		2004/0224669	A1	11/2004	Pedlar
	6,593,850		7/2003			2004/0224688 2005/0009527		1/2004	Fischer Sharma H04W 28/06
	6,654,360		11/2003			2003/0009327	Al	1/2003	455/445
	6,657,984 6,661,777		12/2003	Semper Blanc et al.		2005/0026597	A1	2/2005	Kim et al.
	6,668,175		12/2003			2005/0032555			Jami et al.
	6,748,246		6/2004			2005/0036464	A1 *	2/2003	Rajkotia H04W 68/00 370/336
	6,845,236 6,847,610		1/2005 1/2005			2005/0068965	<b>A</b> 1	3/2005	Lin et al.
	6,961,570			Kuo et al.		2005/0101351	A1*	5/2005	Lee H04L 12/189
	7,054,268	B1	5/2006	Parantainen et al.		2005/0105696	A 1	5/2005	455/558 Pamedon
	7,130,668			Chang et al.		2005/0103090			Ramsden Virtanen et al.
	7,155,261 7,164,673		12/2006 1/2007			2005/0141541			Cuny H04L 65/4061
	7,280,506	B2		Lin et al.		2005/01/2056	A 1	C/2005	370/437
	7,313,408		12/2007			2005/0143056 2005/0153700			Iyer et al. Farnsworth et al.
	7,353,120 7,437,172		4/2008 10/2008	Enta Chen et al.		2005/0185613			Kowalski et al.
	7,480,267			Funnell et al.		2005/0192021			Lee et al.
	7,493,108			Beming et al.		2005/0232176 2005/0237935			Van Lieshout et al. Chae et al.
	7,539,160 7,609,673			Virtanen et al. Bergenlid et al.		2005/0237933			Guethaus
	7,623,869			Lee et al.					Markovic et al.
	7,672,673		3/2010			2005/0265294	Al*	12/2005	Hu H04B 7/264
	7,720,482 7,761,097			Chaudry et al. Chaudry et al.		2005/0266846	<b>A</b> 1	12/2005	370/335 Kim
	7,894,375			Chaudry et al.		2005/0272422		12/2005	
	7,949,377		5/2011	Islam et al.		2005/0281269		12/2005	
	7,969,924 7,974,630			Young et al. Haumont		2005/0286461 2006/0009202			Zhang et al. Gallagher et al.
	8,014,772			Beming et al.		2006/0025122			Harris et al.
	8,208,950		6/2012	Islam et al.		2006/0034204		2/2006	
	8,223,697 8,243,683			Dwyer et al. Young et al.		2006/0036741 2006/0040645			Kiss et al. Grilli et al.
	8,265,034			Islam et al.		2006/0089137			Howell
	8,270,932			Kim et al.		2006/0094478			Kim et al.
	8,305,924			Dwyer et al. Dwyer et al.		2006/0106865 2006/0109846			Beming Lioy et al.
	8,483,140		7/2013	- ·		2006/0111110			Schwarz et al.
	8,644,829			Islam et al.		2006/0176167			Dohrmann
	8,682,372 8,885,607			Islam et al. Young et al.		2006/0182022 2006/0203738		8/2006 9/2006	
	9,019,877			Young et al.		2006/0223537	A1	10/2006	Kojima
	9,026,153			Islam et al.		2006/0223564			Rosen et al.
	9,037,167 9,049,657			Islam et al. Boley et al.		2006/0240823 2006/0293067			Jiao et al. Leung et al.
	9,119,208			Doney et al.  Dwyer et al.		2007/0072635			Zhao et al.
	9,363,792			Choi et al.		2007/0121540			Sharp et al.
1	9,661,611			Islam et al. Islam et al.		2007/0133479 2007/0135080			Montojo et al. Islam et al.
	10,582,562			Islam et al.		2007/0270140		11/2007	Islam et al.
	1/0018342			Vialen et al.		2008/0018993			Sulzbach Gallagher et al
	1/0026546 1/0034254		10/2001	Schieder et al. Ranta		2008/0039086 2008/0039087			Gallagher et al. Gallagher et al.
	1/0034234			Hautamaki et al.		2008/0049662	A1	2/2008	Islam et al.
	2/0022455			Salokannel et al.		2008/0123658			Hyytia et al.
	2/0064140 2/0077105		5/2002 6/2002	Numminen Chang		2008/0126554 2008/0198800			Sakai et al. Zhang et al.
	2/00/7103			Lee et al.		2008/0212542	A1	9/2008	Kung et al.
	2/0141331			Mate et al.		2008/0232310		9/2008	
	2/0159444 2/0168984			Vialen et al. Wallentin		2008/0253312 2008/0304510		10/2008 12/2008	
	3/0003895			Wallentin et al.		2008/0310313			Maheshwari et al.
200	3/0014145	<b>A</b> 1	1/2003	Reiss et al.		2009/0016278	A1	1/2009	Wakabayashi

## US 11,064,462 B2 Page 3

(56)	Referen	ces Cited	EP	2019512	12/2007
U.S	S. PATENT	DOCUMENTS	EP EP	1892895 1981224	2/2008 10/2008
			EP	2028909	2/2009
2009/0028084 A1 2009/0088134 A1		Ping Ishii et al.	EP EP	2061192 2244499	5/2009 10/2010
2009/0088134 A1 2009/0093262 A1		Gao et al.	EP	2271168	1/2010
2009/0119564 A1		Sagfors et al.	EP	2505034	3/2012
2009/0124249 A1 2009/0129339 A1		Young et al. Young et al.	EP	2592895	5/2013
2009/0149189 A1	6/2009	Sammour et al.	EP EP	2654369 2667679	10/2013 11/2013
2009/0161571 A1		Terry et al.	HK	1105132	12/2011
2009/0221277 A1 2009/0225709 A1		Fomin et al. Wager et al.	JP	09-055764	2/1997
2009/0245203 A1	10/2009	Pani et al.	JP JP	H0955764 11313370	2/1997 11/1999
2009/0253422 A1 2009/0318199 A1		Barreto et al.	JP	H11331947	11/1999
2010/0046533 A1		Kuramoto et al.	JP	2000174820	6/2000
2010/0061361 A1 2010/0075679 A1			JP JP	2000261372 2001074820	9/2000 3/2001
2010/00/30/9 A1 2010/0107172 A1		Tenny et al. Calinescu et al.	JP	2001071020	10/2001
2010/0118752 A1		Suzuki et al.	JP	2002061372	2/2002
2010/0142457 A1 2010/0208696 A1		Chun et al. Lee et al.	JP JP	2003037874 2004032391	2/2003 1/2004
2010/0226325 A1		Chun et al.	JP	2005175831	6/2005
2010/0265896 A1		Park et al.	JP	2005525760	8/2005
2010/0323703 A1 2011/0038347 A1		Pirskanen Patil et al.	JP JP	2006510244 2006518125	3/2006 8/2006
2011/0122818 A1		Dwyer et al.	JP	2000316123	6/2007
2011/0124294 A1 2011/0159895 A1		Dwyer et al. Arzelier et al.	JP	2007214711	8/2007
2011/0133033 A1		Dwyer et al.	JP ID	2007312393 2008509627	11/2007 3/2008
2011/0207465 A1		Dwyer et al.	JP JP	2008309027	3/2008 1/2009
2011/0249575 A1 2011/0267959 A1		Dwyer et al. Yi et al.	JP	2009508372	2/2009
2012/0008585 A1	1/2012	Kwon et al.	JP ID	2009534980	9/2009
2012/0014325 A1 2012/0014326 A1		Dwyer et al. Dwyer et al.	JP JP	2011504020 2011504021	1/2011 1/2011
2012/0014320 A1		Dwyer et al.	JP	2011504022	1/2011
2012/0281561 A1		Shukla et al.	JP v D	2012257314	12/2012
2012/0307703 A1 2012/0320811 A1		Young et al. Islam et al.	KR KR	20070092441 101116549	9/2007 2/2012
2013/0021919 A1	1/2013	Islam et al.	TW	476205	2/2002
2013/0188543 A1 2013/0308578 A1		Dwyer et al. Dwyer et al.	WO	2000062435	10/2000
2013/0316720 A1		Dwyer et al.	WO WO	2000062449 2001052574	10/2000 7/2001
2013/0336258 A1		Young et al.	WO	2002033853	4/2002
2014/0016681 A1 2014/0194131 A1		Muruganathan et al. Islam et al.	WO	03105519	12/2003
2014/0206369 A1	7/2014	Islam et al.	WO WO	2004032391 2004056142	4/2004 7/2004
2017/0013672 A1 2019/0208568 A1		Islam et al. Dwyer et al.	WO	2004071117	8/2004
2020/0128611 A1		Islam et al.	WO	2004079542	9/2004
2020/0178245 A1	6/2020	Islam et al.	WO WO	2005013515 2005029813	2/2005 3/2005
FORE	IGN PATE	NT DOCUMENTS	WO	2005050917	6/2005
roke	MONTALE.	NI DOCOMENTS	WO	2005064962	7/2005
	661592	10/2013	WO WO	2005012010 2005120104	12/2005 12/2005
	328756 736124	12/2001 2/2006	WO	2005120104	1/2006
	934802	3/2007	WO	2006016784	2/2006
	005659 080102	7/2007 11/2007	WO WO	2006029054 2007023366	3/2006 3/2007
	114988	1/2007	WO	2007023300	3/2007
	351041	1/2009	WO	2007025138	3/2007
	409606 426278	4/2009 5/2009	WO WO	2007052098 2007052753	5/2007 5/2007
CN 101	453742	6/2009	WO	2007032733	6/2007
	619071 695069	3/2014 1/1996	WO	2007097670	8/2007
	006695	6/2000	WO WO	2007123351 2007125462	11/2007 11/2007
EP 1	453286	1/2004	WO	2007125462 2008076991	6/2008
	511337 560381	3/2005 8/2005	WO	2008108143	9/2008
EP 1	596616	11/2005	WO	2008137421	11/2008 5/2000
	608113 708008	12/2005	WO WO	2009062302 2009062303	5/2009 5/2009
	798998 807944	6/2007 7/2007	WO	2009088858	7/2009
EP 1	858209	11/2007	WO	2009104086	8/2009

#### **References Cited** (56)

#### FOREIGN PATENT DOCUMENTS

WO 1/2010 2010006204 WO 2011079379 7/2011

#### OTHER PUBLICATIONS

3GPP 25.331 v5.18.0, "Radio Resource Control (RRC) Protocol Specification," Sep. 2006, pp. 12-13.

3GPP TS 23.203 v8.1.1 Release 8, Technical Specification Group Services and System Aspects, Policy and Charging Control Architecture, Mar. 2008, 87 pages.

3GPP TS 23.203 v8.7.0, Technical Specification Group Services and System Aspects, Policy and Charging Control Architecture, Sep. 2009, 114 pages.

3GPP TS 23.401 v8.0.0 Release 8, Technical Specification Group Services and System Aspects, General Packet Radio Service (GPRS) Enhancements for Evolved Universal Terrestrial Radio Access Network (E-UTRAN) Access, Dec. 2007, 167 pages.

3GPP TS 23.401 v8.7.0 Release 8, Technical Specification Group Services and System Aspects, General Packet Radio Service (GPRS) Enhancements for Evolved Universal Terrestrial Radio Access Network (E-UTRAN) Access, Sep. 2009, 234 pages.

3GPP TS 25.331 v10.5.0 Release 10, Universal Mobile Telecommunications System (UMTS), Radio Resource Control (RRC), Protocol Specification, ETSI TS 125.331, Nov. 2011, Sections 8.1.14.1 (p. 152), 8.1.14.2 (pp. 152-154), 8.2.2.2 (pp. 166-168), 8.2.2.3 (pp. 168-185), and 8.3.1.7 (pp. 235-239).

3GPP TS 25.331 v5.16.0, Mar. 2006, 1045 pages.

3GPP TS 25.331 v6.8.0, "Radio Resource Control (RCC) Protocol Specification for the UE-UTRAN Radio Interface," Dec. 2005, 1,174 pages.

3GPP TS 25.331 v7.0.0, "Radio Resource Control (RRC) Protocol Specification v7.0.0," Mar. 2006, 1,249 pages.

3GPP TS 25.331 v8.8.0 Release 8, Universal Mobile Telecommunications System (UMTS), Radio Resource Control (RRC), Protocol Specification, Oct. 2009, Section 8.1.14 (pp. 141-143), and Sections 13.1 and 13.2 (pp. 1430-1432).

3GPP TSG RAN 25.331 v8.8.0, "Radio Resource Control (RRC), Protocol Specification Release 8," Sep. 2009, 1904 pages.

3GPP TSG RAN 25.304 v7.1.0, "User Equipment (UE) procedures in idle mode and procedures for cell reselection in connected mode (Release 7)," Dec. 2006, 38 pages.

3GPP TSG RAN 25.331 v9.0.0, "Radio Resource Control (RRC), Protocol Specification Release 9," Sep. 2009, Section 8.1.14 (pp. 142-144), and Sections 13.1 and 13.2 (pp. 1437-1439).

3GPP TSG RAN 36.300 v8.9.0, Evolved Universal Terrestrial Radio Access (E-UTRA), and Evolved Universal Terrestrial Radio Access Network (E-UTRAN), Overall Description, Stage 2, Release 8, Jun. 2009, 159 pages.

3GPP TSG RAN 36.304 v8.6.0, Evolved Universal Terrestrial Radio Access (E-UTRA), User Equipment (UE) Procedures in Idle Mode, (Release 8), Jun. 2009, 30 pages.

3GPP TSG RAN 36.321 v8.7.0, Evolved Universal Terrestrial Radio Access (E-UTRA) Medium Access Control (MAC) Protocol Specification (Release 8), Sep. 2009, 47 pages.

3GPP TSG RAN 36.331 v8.6.0, Evolved Universal Terrestrial Radio Access (E-UTRA) Radio Resource Control (RRC), Protocol

Specification, (Release 8), Jun. 2009, 207 pages. 3GPP TSG RAN WG2 Meeting #60 R2-074848, UE "Fast Dor-

mancy" Behavior, Jeju, South Korea, Nov. 5-9, 2007, 9 pages. 3GPP TSG RAN WG2 Meeting #60 R2-075251, UE "Fast Dormancy" Behavior, Jeju, South Korea, Nov. 5-9, 2007, 12 pages.

3GPP TSG RAN WG2 Meeting #62bis R2-083626, "Fast dormancy alternatives," Warsaw, Poland, Jun. 30 -Jul. 4, 2008, 11 pages.

3GPP TSG RAN WG2 Meeting #63 R2-084647, "Fast Dormancy: A way forward," Jeju, South Korea, Aug. 18-22, 2008, 14 pages. 3GPP TSG RAN WG2 Meeting #63bis R2-085584, "Introduction of Signaling Connection Release Indication," Prague, Czech Republic,

Sep. 29-Oct. 3, 2008, 5 pages.

3GPP TSG RAN WG2 Meeting #63bis R2-085921, "LTE CP Session Report," Prague, Czech Republic, Sep. 29-Oct. 3, 2008, 38 pages.

3GPP TSG RAN WG2 Meeting #67bis R2-094437, Shenzhen, People's Republic of China, Aug. 24-28, 2009, 57 pages.

3GPP TSG RAN WG2 Meeting #67bis R2-096027, "Application of Fast Dormancy," Miyazaki, Japan, Oct. 12-16, 2009, 4 pages.

3GPP TSG RAN WG2 Meeting #68 R2-096624, Jeju, South Korea, Nov. 9-13, 2009, 8 pages.

3GPP TSG RAN WG2 Meeting #68 R2-097173, Jeju, South Korea, Nov. 9-13, 2009, 5 pages.

3GPP TSG RAN WG2 Meeting #68 R2-097174, Jeju, South Korea, Nov. 9-13, 2009, 8 pages.

3GPP TSG RAN WG2 Meeting #69 R2-101710, San Francisco, California, Feb. 22-26, 2010, 6 pages.

3GPP TSG RAN WG2 Meeting #69 R2-101726, San Francisco, California, Feb. 22-26, 2010, 6 pages.

3GPP TSG RAN2 Meeting #63bis R2-085134, "Fast Dormancy: A way Forward," Prague, Czech Republic, 2008, 13 pages.

3GPP TSG RAN2 Meeting #64 R2-086557, Prague, Czech Republic, Nov. 10-14, 2008, 14 pages.

3GPP TSG RAN2 Meeting #67 R2-094792, Shenzhen, China, Aug. 24-28, 2009, 10 pages.

3GPP TSG RAN2 Meeting #69 R2-101440, San Francisco, California, Feb. 22-26, 2010, 18 pages.

3GPP TSG RAN2 Meeting #69 R2-101441, San Francisco, California, Feb. 22-26, 2010, 19 pages.

3GPP2 C.S0005-D v1.0, "Upper Layer (Layer 3) Signaling Standard for cdma2000 Spread Spectrum Systems," Release D, Feb. 2004, 2246 pages.

3GPP2 C.S0005-D v2.0, "Upper Layer (Layer 3) Signaling Standard for cdma2000 Spread Spectrum Systems," Release D, Sep. 6, 2005, 2367 pages.

3GPP2 C.S0005-0 v3.0, "Upper Layer (Layer 3) Signaling Standard for cdma2000 Spread Spectrum Systems," Release 0, Jun. 15, 2000, 1168 pages.

3GPP2 C.S0017-0 v5.0, "Data Service Options for Spread Spectrum Systems," Feb. 17, 2003, 70 pages.

3GPP2 C.S0017-001-A v1.0, "Data Service Options for Spread Spectrum Systems: Introduction and Service Guide," Jun. 11, 2004, 22 pages.

3GPP2 C.S0017-010-A v2.0, "Data Service Options for Spread Spectrum Systems: Radio Link Protocol Type 3," Sep. 2005, 56 pages.

3GPP2 C.S0017-012-A v1.0, "Data Service Options for Spread Spectrum Systems: Service Options 33 and 66," Jun. 11, 2004, 70 pages.

3GPP2 C.S0017-012-A v2.0, "Data Service Options for Spread Spectrum Systems: Service Options 33 and 66," May 2006, 70 pages.

Digital Cellular Telecommunications System (Phase 2+), ETSI Standards European Telecommunications Standards Institute, Sophia-Antipo, Fr, vol. 3-SA2, No. V6110, Dec. 2005 (Dec. 2005), XP014032437, 214 pages.

SDO Review Comment Form, SP-3-4617.12-UGR (TIA-707-B.12) C.P0017.12-A, 5 pages.

Extended European Search Report and Written Opinion issued in European Application No. 06119590.5, dated Mar. 20, 2007, 11 pages.

European Search Report and Written Opinion issued in European Application No. 05112183.8, dated May 3, 2007, 7 pages.

Communication Pursuant to Article 94(3) EPC issued in European Application No. 06119590.5, dated Oct. 25, 2007, 7 pages.

Extended European Search Report and Written Opinion issued in European Application No. 07121138.7, dated May 16, 2008, 10 pages.

Communication Pursuant to Article 94(3) EPC issued in European Application No. 06118909.8, dated Jul. 30, 2009, 3 pages.

Extended European Search Report and Written Opinion issued in European Application No. 09180936.8, dated May 20, 2010, 9 pages.

Extended European Search Report and Written Opinion issued in European Application No. 10170815.4, dated Nov. 2, 2010, 5 pages.

#### OTHER PUBLICATIONS

European Search Report and Written Opinion issued in European Application No. 10183886.0, dated Nov. 15, 2010, 3 pages.

Extended European Search Report and Written Opinion issued in European Application No. 10184515.4, dated Nov. 19, 2010, 7 pages.

Extended European Search Report and Written Opinion issued in European Application No. 08849315.0, dated Jan. 18, 2011, 8 pages.

Extended European Search Report and Written Opinion issued in European Application No. 08849731.8, dated Jan. 18, 2011, 7 pages.

European Summons to Attend Oral Proceedings in European Application No. 08154976.8, dated Jan. 28, 2011, 7 pages.

European Search Report and Written Opinion issued in European Application No. 10174218.7, dated Jun. 21, 2011, 7 pages.

Extended European Search Report in European Application No. 11160318.9, dated Jun. 24, 2011, 3 pages.

Extended European Search Report in European Application No. 11177172.1, dated Nov. 22, 2012, 6 pages.

Extended European Search Report in European Application No. 11177171.3, dated Nov. 29, 2012, 7 pages.

Extended European Search Report in European Application No. 13152938.0, dated Apr. 12, 2013, 10 pages.

Extended European Search Report in European Application No. 13152942.2, dated Apr. 12, 2013, 10 pages.

Extended European Search Report in European Application No. 13159334.5, dated Apr. 16, 2013, 10 pages.

International Written Opinion in International Application No. PCT/CA2007/001497, dated Dec. 12, 2007, 12 pages.

International Search Report in International Application No. PCT/CA2007/001497, dated Dec. 18, 2008, 9 pages.

International Search Report and Written Opinion in International Application No. PCT/CA2008/002002, dated Jan. 15, 2009, 10 pages.

International Search Report and Written Opinion in International Application No. PCT/CA2008/002001, dated Feb. 2, 2009, 10 pages.

International Search Report and Written Opinion in International Application No. PCT/CA2008/002000, dated Feb. 13, 2009, 10 pages.

International Search Report in International Application No. PCT/US2009/063912, dated Feb. 17, 2010, 9 pages.

Partial International Search Report in International Application No. PCT/US2009/063912, dated Feb. 17, 2010, 9 pages.

International Search Report and Written Opinion in International Application No. PCT/US2009/063912, dated Apr. 13, 2010, 22 pages.

International Search Report in International Application No. PCT/US2009/063912, dated May 3, 2010, 6 pages.

International Written Opinion in International Application No. PCT/US2009/063912, dated May 3, 2010, 22 pages.

International Search Report and Written Opinion in International Application No. PCT/EP2010/064860, dated Jan. 28, 2011, 12 pages.

International Search Report and Written Opinion in International Application No. PCT/EP2010/064859, dated Feb. 9, 2011, 17 pages.

International Search Report and Written Opinion in International Application No. PCT/EP2010/068064, dated Feb. 11, 2011, 16 pages.

International Search Report and Written Opinion in International Application No. PCT/EP2010/068065, dated Feb. 11, 2011, 17 pages.

International Search Report and Written Opinion in International Application No. PCT/EP2010/068063, dated Mar. 25, 2011, 15 pages.

International Search Report and Written Opinion in International Application No. PCT/CA2010/002031, dated Apr. 12, 2011, 8 pages.

International Search Report and Written Opinion in International Application No. PCT/US2012/064499, dated Jan. 21, 2013, 11 pages.

3GPP ETSI TS 123.034 v3.3.0, Digital Cellular Telecommunications System (Phase 2+) (GSM), Universal Mobile Telecommunications System (UMTS), High Speed Circuit Switched Data (HSCSD)—Stage 2, Dec. 2000, 21 pages.

3GPP R2-096625, "Clarification on the UE State after Fast Dormancy Request," Jeju, Korea, Nov. 2009, 5 pages.

3GPP2 specification A.S0013-0v1.0; "Interoperability Specification (IOS) for CDMA 2000 Access Network Interfaces—Part 3 Features"; Revision 0 (3G IOSv4.2); (SDO Ballot Version); Nov. 16, 2001; 281 pages.

3GPP TS 25.331 v6.7.0, Sep. 2005, pp. 41, 84-89, 114 (9 pages). 3GPP TS 25.331 v8.7.0 R.8, Universal Mobile Telecommunications System (UMTS), Radio Resource Control (RRC), Protocol specification, Jul. 2009, Sections 6.3, 8.1.14.2, 8.3.1.2, 13.2, and p. 1423; 5 pages total; <a href="http://www.3gpp.org/ftp/Specs/archive/25\_series/25.331/25331-870.zip">http://www.3gpp.org/ftp/Specs/archive/25\_series/25.331/25331-870.zip</a>.

3GPP TSG RAN WG2 25.331 v3.1.0 Meeting #11, R2-000660, "Proposed Enhancements to RRC Connection Re-Establishment Procedure," Mar. 3, 2000, 10 pages.

3GPP TSG RAN WG2 25.331 Meeting #8, R2-99e50, "Proposed CR 008 to 25.331 on a Parameter for RRC Connection Re-Establishment," Oct. 5, 1999, 7 pages.

3GPP TSG RAN WG2 Meeting #17 R2-002255, Sophia Antipolis, France, Nov. 13-17, 2000, 20 pages.

3GPP TSG RAN WG2 Meeting #46bis R2-051493, Stored Configurations in UTRAN—Principles and Mechanism, May 8-13, 2005, 8 pages.

3GPP TSG RAN WG2 Meeting #59 R2-073707, "DRX for NRT and RT Services," Aug. 20-24, 2007, 1 page.

3GPP TSG RAN WG2 Meeting #63bis R2-085726, Prague, Czech Republic, Sep. 29-Oct. 3, 2008, 7 pages.

3GPP TSG RAN WG2 R2-002259, (Radio layer 2 and Radio layer 3), "Handling of 'out of service' area," Sophia Antipolis, France, Nov. 13-17, 2000, 3 pages.

3GPPTSG RAN2 Meeting #68 R2-096818, "Clarification on Enhanced SCRI Approach for Fast Dormancy," Jeju, Korea, Nov. 9-13, 2009, 10 pages.

3GPP TSG SA WG3 Security Meeting #11 S3-000131, Mainz, Germany, Feb. 22-24, 2000, 10 pages.

3GPP2 R2-002479, "Revised: Use of 'new' BA\_RANGE for improved RPLMN selection," Nov. 17, 2000, 12 pages.

Implementation aspects of the pan-European digital mobile radio system, by Vary, CompEuro '89., 'VLSI and Computer Peripherals. VLSI and Microelectronic Applications in Intelligent Peripherals and their Interconnection Networks', Proceedings, May 8-12, 1989; 6 pages.

Talukdar et al., IEEE Xplore Digital Library, "Radio Resource Control Protocol Configuration for Optimum Web Browsing," published in Vehicular Technology Conference, 2002, Proceedings VTC 2002-Fall, IEEE 56th, vol. 3, 3 pages.

3GPP TS 125.311 Version 3.21.0, "Universal Mobile Telecommunications System (UMTS); Radio Resource Control (RRC) protocol specification," 1999 Release; 881 pages; <a href="http://www.etsi.org/deliver/etsi\_ts/125300\_125399/125331/03.21.00\_60/ts\_125331v032100p.pdf">http://www.etsi.org/deliver/etsi\_ts/125300\_125399/125331/03.21.00\_60/ts\_125331v032100p.pdf</a>.

3GPP TDoc R2-061804, Introduction of REL-7 access stratum release indicator, 3GPP TSG-RAN WG2 Meeting #53, Shanghai, China, May 8-12, 2006; <a href="http://www.3gpp.org/ftp/tsg\_ran/WG2\_RL2/TSGR2\_53/Documents/R2-061804.zip">http://www.3gpp.org/ftp/tsg\_ran/WG2\_RL2/TSGR2\_53/Documents/R2-061804.zip</a>.

"Order Dismissing Action Without Prejudice," *Blackberry Ltd.* v. *Blu Products, Inc.*, United States District Court Southern District of Florida, Case No. 16-23535-CIV-MORENO, Aug. 14, 2017; 1 page. 3GPP TS 25.309 v6.6.0, "Universal Mobile Telecommunications System (UMTS); FDD enhanced uplink; Overall description; Stage 2 (3GPP TS 25.309 version 6.6.0 Release 6)," Technical Specification, Mar. 2006; 35 pages.

Nokia of America Corporation v. Blackberry Ltd., "Nokia of America Corporation's Petition for Inter Partes Review," Case [[Unassigned]], U.S. Pat. No. 8,243,683, dated Feb. 14, 2018, 80 pages.

#### OTHER PUBLICATIONS

Nokia of America Corporation v. Blackberry Ltd., "Nokia of America Corporation's Petition for Inter Partes Review," Case [[Unassigned]], U.S. Pat. No. 8,644,829, dated Feb. 14, 2018, 63 pages.

Nokia Solutions and Networks Oy v. Blackberry Ltd., "Nullity Action," European Patent in Suit EP2210433 (DE case No. 60 2008 041 192.3), dated Mar. 9, 2018, 104 pages.

Blackberry Limited v. Nokia Solutions and Networks GmbH & Co. KG, Document No. D23/21-18, dated Mar. 12, 2018, 91 pages.

Korhonen, "Introduction to 3G Mobile Communications: Second Edition", Artech House, Feb. 28, 2003, 61 pages.

Lempiainen and Manninen, "UMTS Radio Networks and Planning, Optimization and QOS Management for Practical Engineering Tasks," Kluwer Academic Publishers, Springer 2003 edition, Jan. 31, 2004, 20 pages.

Rahnema, "UMTS Network Planning, Optimization, and Inter-Operation With GSM," IEEE Press, IEEE Communications Society, John Wiley & Sons (Asia) Pte Ltd., Nov. 28, 2007, 22 pages.

3rd Generation Partnership Project Support Team, "Draft 1 minutes of the 60th TSG-RAN WG2 meeting," TSG-RAN WG2 meeting #60bis, Jan. 14-18, 2008, 3GPP, published Nov. 13, 2007, 171 pages.

Office Action issued in Canadian Application No. 2,781,558 dated Apr. 8, 2016.

Office Action issued in Canadian Application No. 2,781,630 dated Apr. 8, 2016.

Office Action issued in Canadian Application No. 2912685 dated Jan. 18, 2017.

Office Action issued in Canadian Application No. 2781558 dated Jan. 31, 2017.

Office Action issued in Canadian Application No. 2781630 dated Jan. 31, 2017.

Office Action issued in Canadian Application No. 2781630 dated Jan. 10, 2018, 3 pages.

Office Action issued in Canadian Application No. 2781558 dated Jan. 10, 2018, 4 pages.

Office Action issued in Chinese Application No. 201280004597.6 dated Apr. 21, 2016.

Office Action issued in Chinese Application No. 201310329228.0 dated Jul. 28, 2016.

Office Action issued in Chinese Application No. 201280004597.6 dated Oct. 21, 2016.

Office Action issued in Chinese Application No. 201310421418.5 dated Nov. 2, 2016.

Office Action issued in Chinese Application No. 201310329228.0 dated Dec. 30, 2016.

Office Action issued in Chinese Application No. 201310421418.5 dated Feb. 17, 2017.

Office Action issued in Chinese Application No. 201280004597.6 dated Mar. 17, 2017.

Office Action issued in Chinese Application No. 201410455421.3 dated Apr. 1, 2017.

Reexamination Notification issued in Chinese Application No. 201280004597.6 dated Dec. 26, 2017; 7 pages.

Reexamination Notification issued in Chinese Application No. 201280004597.6 dated Mar. 29, 2018, 8 pages.

European Search Report and Written Opinion issued in European Application No. 06118909.8, dated Nov. 24, 2006, 8 pages.

European Search Report and Written Opinion issued in European Application No. 08154976.8, dated Sep. 3, 2008, 7 pages.

Extended European Search Report in European Application No. 13177318.6, dated Oct. 27, 2014, 11 pages.

Partial European Search Report in European Application No. 13177318. 6, dated Jun. 13, 2014, 5 pages.

Extended European Search Report in European Application No. 13181054.1, dated Jul. 16, 2014, 8 pages.

Extended European Search Report in European Application No. 08154976.8, dated Sep. 3, 2008, 7 pages.

Communication Pursuant to Article 94(3) EPC issued in European Application No. 10174218.7 dated May 19, 2016.

Communication Pursuant to Article 94(3) EPC issued in European Application No. 10798525.1, dated Jul. 14, 2016.

Communication Pursuant to Article 94(3) EPC issued in European Application No. 10768896.2 dated Oct. 13, 2016.

Communication Pursuant to Article 94(3) EPC issued in European Application No. 11177172.1 dated Oct. 31, 2016.

Communication Pursuant to Article 94(3) EPC issued in European Application No. 11177171.3 dated Nov. 2, 2016.

Summons to Attend Oral Proceedings issued in European Application No. 12795946.8 dated Jan. 4, 2017.

Communication Pursuant to Article 94(3) EPC issued in European Application No. 13181054.1 dated Jan. 19, 2017.

Communication Pursuant to Article 94(3) EPC issued in European Application No. 13177318.6 dated Feb. 2, 2017.

Communication Pursuant to Article 94(3) EPC issued in European Application No. 11177172.1 dated Jul. 24, 2017; 3 pages.

Communication No. 1117/172.1 dated 3df. 24, 2017, 3 pages.

Communication Pursuant to Article 94(3) EPC issued in European Application No. 1117/171.3 dated Aug. 8, 2017; 4 pages.

Communication Pursuant to Article 94(3) EPC issued in European Application No. 13181054.1 dated Sep. 27, 2017; 7 pages.

Communication Pursuant to Article 94(3) EPC issued in European Application No. 10771051.9 dated Dec. 12, 2017; 8 pages.

Communication Pursuant to Article 94(3) EPC issued in European Application No. 13177318.6 dated Dec. 18, 2017; 5 pages.

Communication Pursuant to Article 94(3) EPC issued in European

Application No. 10798525.1 dated Dec. 19, 2017; 5 pages. Office Action issued in Indian Application No. 2949/CHENP/2010, dated Jul. 19, 2016.

Office Action issued in Indian Application No. 2950/CHENP/2010, dated Aug. 4, 2016.

Office Action issued in Indian Application No. 2997/CHENP/2011 dated Jun. 27, 2017; 8 pages.

Office Action issued in Indian Application No. 2947/CHENP/2010 dated Jul. 19, 2017; 6 pages.

Office Action issued in Indian Application No. 5355/CHENP/2012 dated May 22, 2018, 6 pages.

International Search Report and Written Opinion in International Application No. PCT/CA2007/001497, dated Dec. 12, 2007, 12 pages.

International Preliminary Report on Patentability in International Application No. PCT/CA2007/001497, dated Dec. 18, 2008, 9 pages.

International Search Report and Written Opinion issued in International Application No. PCT/CA2007/001497 dated Dec. 12, 2007, 6 pages.

International Search Report and Written Opinion in International Application No. PCT/CA2008/002001, dated Jan. 28, 2009, 6 pages.

International Preliminary Report on Patentability in International Application No. PCT/CA2008/002001, dated Dec. 3, 2009, 8 pages. International Preliminary Report on Patentability in International Application No. PCT/CA2008/002000, dated Aug. 4, 2009, 5 pages. International Preliminary Report on Patentability in International Application No. PCT/CA2008/002000, dated Nov. 12, 2009, 4 pages.

International Communication in International Application No. PCT/US2009/063912, dated Feb.17, 2010, 9 pages.

International Search Report and Written Opinion in International Application No. PCT/US2009/063912, dated Feb. 17, 2010, 9 pages.

International Search Report and Written Opinion in International Application No. PCT/US2009/063912, dated May 3, 2010, 22 pages.

International Preliminary Report on Patentability in International Application No. PCT/CA2008/002002, dated May 18, 2010, 5 pages.

International Preliminary Report on Patentability in International Application No. PCT/US2009/063912, dated May 10, 2011, 17 pages.

International Preliminary Report on Patentability in International Application No. PCT/CA2010/002031, dated Mar. 9, 2012, 8 pages.

#### OTHER PUBLICATIONS

International Preliminary Report on Patentability in International Application No. PCT/EP2010/068063, dated May 30, 2012, 9 pages.

International Preliminary Report on Patentability in International Application No. PCT/EP2010/064859, dated Jun. 7, 2012, 11 pages. International Preliminary Report on Patentability in International Application No. PCT/EP2010/064860, dated Jun. 7, 2012, 9 pages. International Preliminary Report on Patentability in International Application No. PCT/EP2010/068063, dated Jun. 7, 2012, 10 pages. International Preliminary Report on Patentability in International Application No. PCT/EP2010/068064, dated Aug. 14, 2012, 10 pages.

International Preliminary Report on Patentability in International Application No. PCT/US2012/064499, dated May 22, 2014, 8 pages.

Office Action issued in U.S. Appl. No. 13/244,761 dated Jul. 13, 2017; 12 pages.

Advisory Action issued in U.S. Appl. No. 13/244,761 dated Sep. 6, 2017; 4 pages.

Office Action issued in U.S. Appl. No. 13/244,761 dated Oct. 25, 2017; 11 pages.

Office Action issued in U.S. Appl. No. 14/171,320 dated Dec. 19, 2017; 26 pages.

Office Action issued in U.S. Appl. No. 15/669,254 dated Apr. 5, 2018, 23 pages.

Notice of Allowance issued in U.S. Appl. No. 13/244,761 dated May 17, 2018, 8 pages.

Office Action issued in Indian Application No. 5356/CHENP/2012 dated Jun. 14, 2018, 6 pages.

Notice of Allowance issued in U.S. Appl. No. 13/244,761 dated Aug. 31, 2018, 12 pages.

Non-Final Office Action issued in U.S. Appl. No. 15/272,646 dated Sep. 6, 2018, 13 pages.

Advisory Action issued in U.S. Appl. No. 14/171,320 dated Oct. 4, 2018, 3 pages.

Nokia of America Corporation v. Blackberry Limited, "Patent owner's preliminary response to petition for interpartes review of U.S. Pat. No. 8,243,683," Case No. IP2018-00650, U.S. Pat. No. 8,243,683 on Jul. 18, 2018, 58 pages.

Haverinen et al., "Energy Consumption of Always-On Application in WCDMA Networks," 2007 IEEE 65th Vehicular Technology Conference—VTC 2007-Spring, Apr. 22-25, 2007, 5 pages.

Nokia of America Corporation v Blackberry Limited, "Patent Owner's Preliminary Response to Petition for Inter Partes Review of U.S. Pat. No. 8,644,829," Case No. IPR2018-00652, U.S. Pat. No. 8,644,829, IPR dated Jul. 10, 2018, 51 pages.

Nokia of America Corporation v Blackberry Limited, "Decision: Denying Institution of Inter Partes Review," Case No. IPR2018-00652, U.S. Pat. No. 8,644,829B2, entered: Oct. 1, 2018, 20 pages. Nokia of America Corporation v Blackberry Limited, "Decision: Denying Institution of Inter Partes Review," Case No. IPR2018-00650, U.S. Pat. No. 8,243,683 B2, entered: Oct. 15, 2018, 12 pages.

3GPP TSG-RAN2 Meeting #29 Kyeongju, Korea, May 13-17, 2002, R2-021351, 3 pages.

Communication Pursuant to Article 94(3) EPC issued in European Application No. 13177318.6 dated Oct. 25, 2018, 5 pages.

Notice of Allowance issued in U.S. Appl. No. 15/669,254 dated Oct. 25, 2018, 21 pages.

Hearing Notice in Reference of Application No. 2949/CHENP/2010 on Jan. 7, 2019, 2 pages.

Non-Final Office Action issued in U.S. Appl. No. 15/669,254 dated Dec. 19, 2018, 17 pages.

Notice of Allowance issued in U.S. Appl. No. 13/244,761 dated Dec. 19, 2018, 8 pages.

Non-Final Office Action issued in U.S. Appl. No. 14/171,320 dated Dec. 17, 2018, 7 pages.

3GPP TS 25.331 V8.0.0, 3rd Generation Partnership Project; Technical Specification Group Radio Access Network; Radio Resource Control (RRC), Protocol Specification (Release 8), Sep. 2007, 1,459 pages.

Communication Pursuant to Article 94(3) EPC issued in European Application No. 10768896.2 dated Jan. 3, 2019, 11 pages.

Communication pursuant to Article 94(3) EPC issued in European Application No. 10768896.2 dated May 21, 2019, 5 pages.

Final Office Action issued in U.S. Appl. No. 15/272,646 dated Mar. 22, 2019, 32 pages.

Final Office Action issued in U.S. Appl. No. 15/669,254 dated Apr. 1, 2019, 32 pages.

IEEE 802.16e-2005 and IEEE Std 802.16-2004/Cor1-2005, ""IEEE Standard for Local and Metropolitan Area Networks; Part 16: Air Interface for Fixed and Mobile Broadband Wireless Access Systems; Amendment 2: Physical and Medium Access Control Layers for Combined Fixed and Mobile Operation in Licensed Bands; Corrigendum 1"" IEEE Computer Society and IEEE Microwave Theory Techniques Society, Feb. 28, 2006, 864 pages.

IEEE Standards 802.16 "IEEE Standard for Local and Metropolitan Area Network; Part 16: Air Interface for Fixed Broadband Wireless Access Systems" IEEE Computer Society and IEEE Microwave Theory Techniques Society, Oct. 1, 2004, 892 pages.

Notice of Allowance issued in U.S. Appl. No. 13/244,761 dated Apr. 18, 2019, 24 pages.

Notice of Allowance issued in U.S. Appl. No. 14/171,320 dated May 14, 2019, 33 pages.

Notice of Allowance issued in U.S. Appl. No. 15/272,646 dated Jun. 14, 2019, 19 pages.

Office Action issued in Brazilian Application No. PI060657-9 dated Jan. 21, 2019, 4 pages.

Pre-Examination Report issued in Brazilian Application No. PI0705715-6 dated May 28, 2019, 6pages (with partial translation). Summons to Attend Oral Proceedings issued in European Application No. 10771051.9 on Apr. 3, 2019, 13 pages.

Communication Pursuant to Article 94(3) EFC issued in application No. 13177318.6, dated Jul. 1, 2019, 6 pages.

Office action issued in Chinese application No. 201610815582.8 dated Sep. 2, 2019, 15 pages.

Non-final office action issued in U.S. Appl. No. 15/669,254 dated Aug. 27, 2019, 21 pages.

Notice of allowance issued in U.S. Appl. No. 16/296,144, dated Aug. 30, 2019, 10 pages.

Notice of allowance issued in U.S. Appl. No. 15/272,646 dated Oct. 11, 2019, 10 pages.

Advisory Action issued in U.S. Appl. No. 15/669,254 dated Jun. 19, 2019, 3 pages.

Notice of allowance issued in U.S. Appl. No. 14/171,320 dated Nov. 1, 2019, 31 pages.

Office Action issued in Canadian Application No. 2781558 dated Sep. 5, 2019, 4 pages.

Notice of allowance issued in U.S. Appl. No. 13/244,761, dated Sep. 19, 2019, 20 pages.

Final office action issued in U.S. Appl. No. 15/669,254 dated Dec. 20, 2019, 25 pages.

Advisory Action issued in U.S. Appl. No. 15/669,254 dated Feb. 25, 2020, 3 pages.

Office Action issued in Brazilian Application No. 112012012351-3 dated Jan. 17, 2020, 4 pages (With Partial English Translation).

Office Action issued in Indian Application No. 5356/CHENP/2012 dated Mar. 4, 2020, 2 pages (With English Translation).

3GPP TSG RAN 25.413 V4.6.0 (Release 4), Technical Specification Group Radio Access Network, UTARAN lu nterface RANAP signalling, Sep. 2009, 222 pages.

Huawei, "Introduction of UE Requested State Transition: Preferred RRC State Request", 3GPP TSG RAN WG2 Meeting #62 R2-082560, Kansas City, USA May 5-9, 2008, 13 pages.

Extended European Search Report issued in European Application No. 21053833.7 dated Jun. 16, 2020, 17 pages.

Notice of allowance issued in U.S. Appl. No. 16/296,144 dated Mar. 23, 2020, 9 pages.

Non-final Office Action issued in U.S. Appl. No. 15/669,254 dated May 7, 2020, 29 pages.

#### OTHER PUBLICATIONS

Office Action issued in Brazilian Application No. 112012012356-4 dated Feb. 19, 2020 4 pages (With Partial English Translation). Office Action issued in Brazilian Application No. PI0606057-9 dated May 5, 2020, 4 pages.

Office action issued in Chinese application No. 201610815582.8 dated Mar. 27, 2020, 21 pages (With English Translation). Extended European Search Report issued in European Application No. 20153833.7 dated Jun. 16, 2020, 17 pages.

Final Office Action issued in U.S. Appl. No. 15/669,254 dated Oct. 8, 2020, 31 pages.

Office Action issued in Chinese Application No. 201610815582.8 dated Oct. 13, 2020, 7 pages (With English Translation). Office Action issued in Brazilian Application No. 112012012362-9 dated Nov. 3, 2020, 5 pages (With Partial English Translation).

Formal Preliminary Examination issued in Brazilian Application No. 112012012362-9 dated Aug. 4, 2020, 2 pages (With English Translation).

Hearing Notice issued in Indian Application No. 5357/CHENP/2012 dated Jul. 17, 2020, 3 pages (With English Translation). Hearing Notice issued in Indian Application No. 5355/CHENP/2012 dated Sep. 7, 2020, 3 pages (With English Translation). Notice of Allowance issued in U.S. Appl. No. 16/296,144 dated Jul. 6, 2020, 8 pages.

Office Action issued in Canadian Application No. 2781558 dated Jun. 15, 2020, 4 pages.

Non-Final Office Action issued in U.S. Appl. No. 16/660,668 dated Feb. 26, 2021, 93 pages.

Advisory Action issued in U.S. Appl. No. 15/669,254 dated Dec. 11, 2020, 4 pages.

Non-Final Office Action issued in U.S. Appl. No. 15/669,254 dated Jan. 14, 2021, 36 pages.

\* cited by examiner

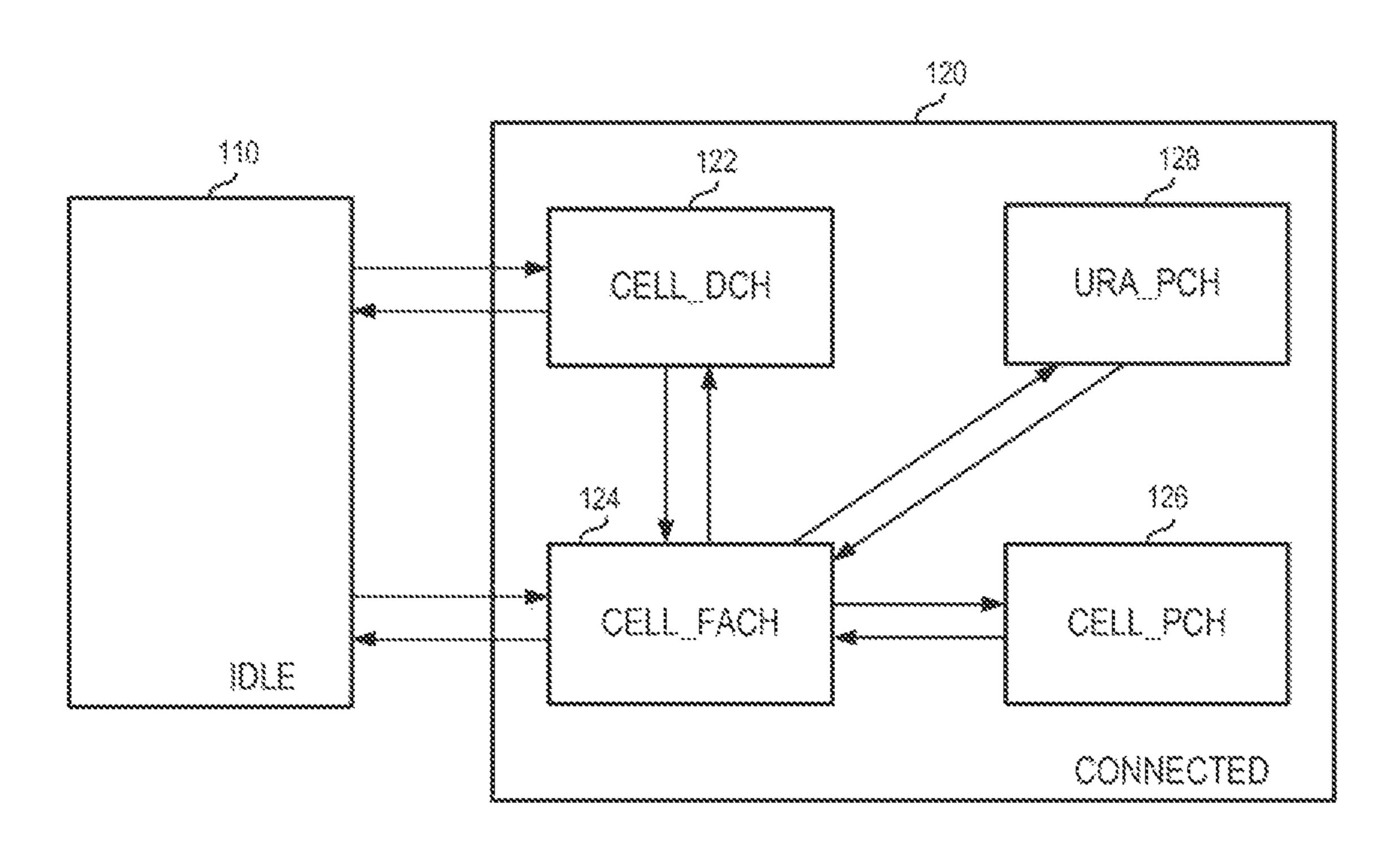
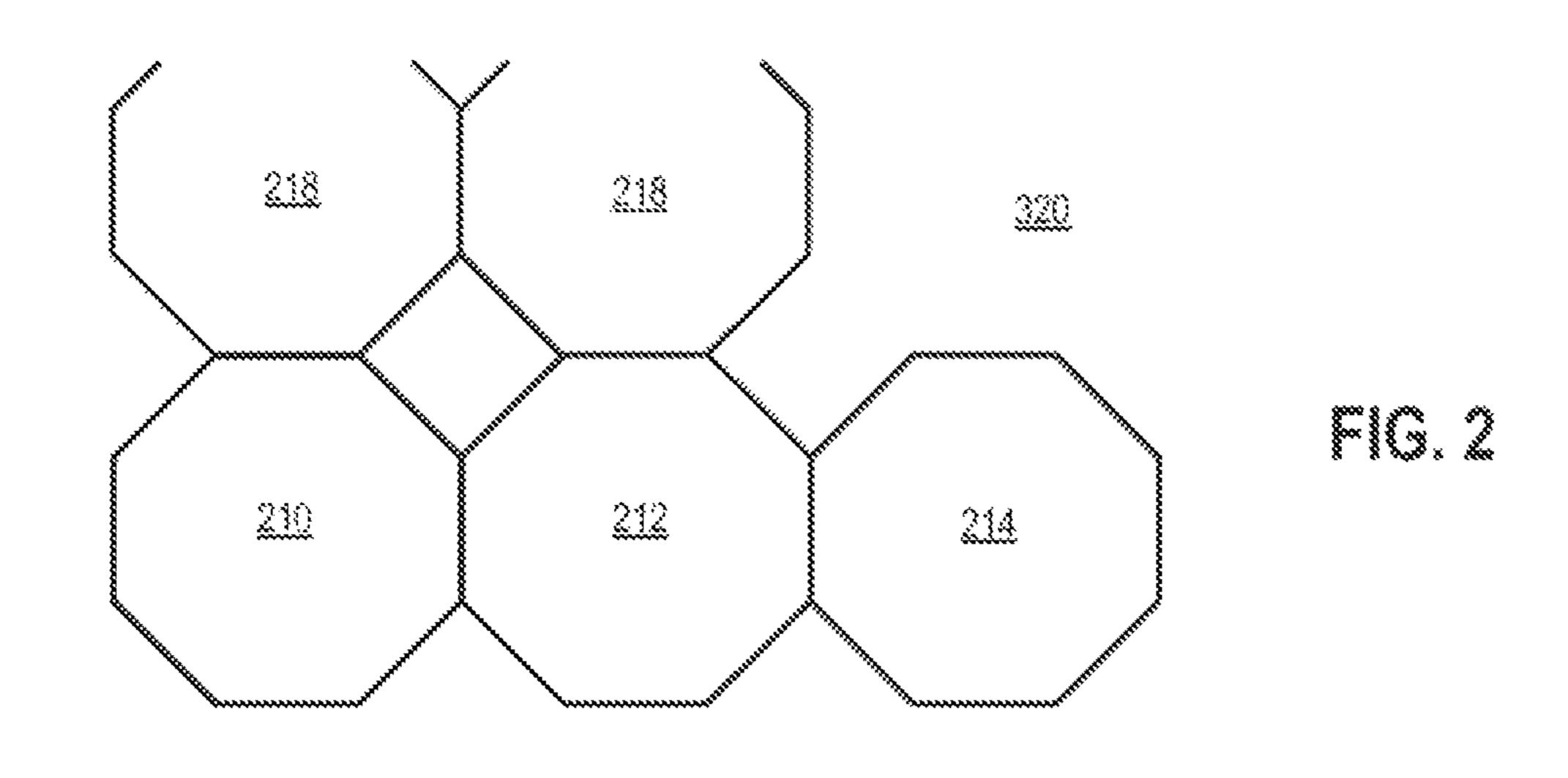
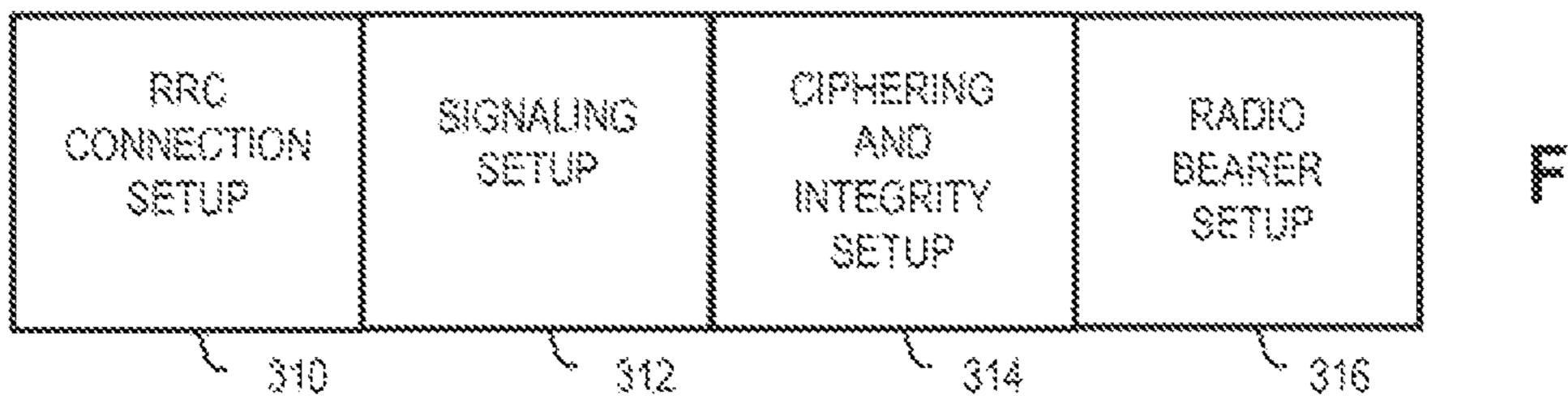
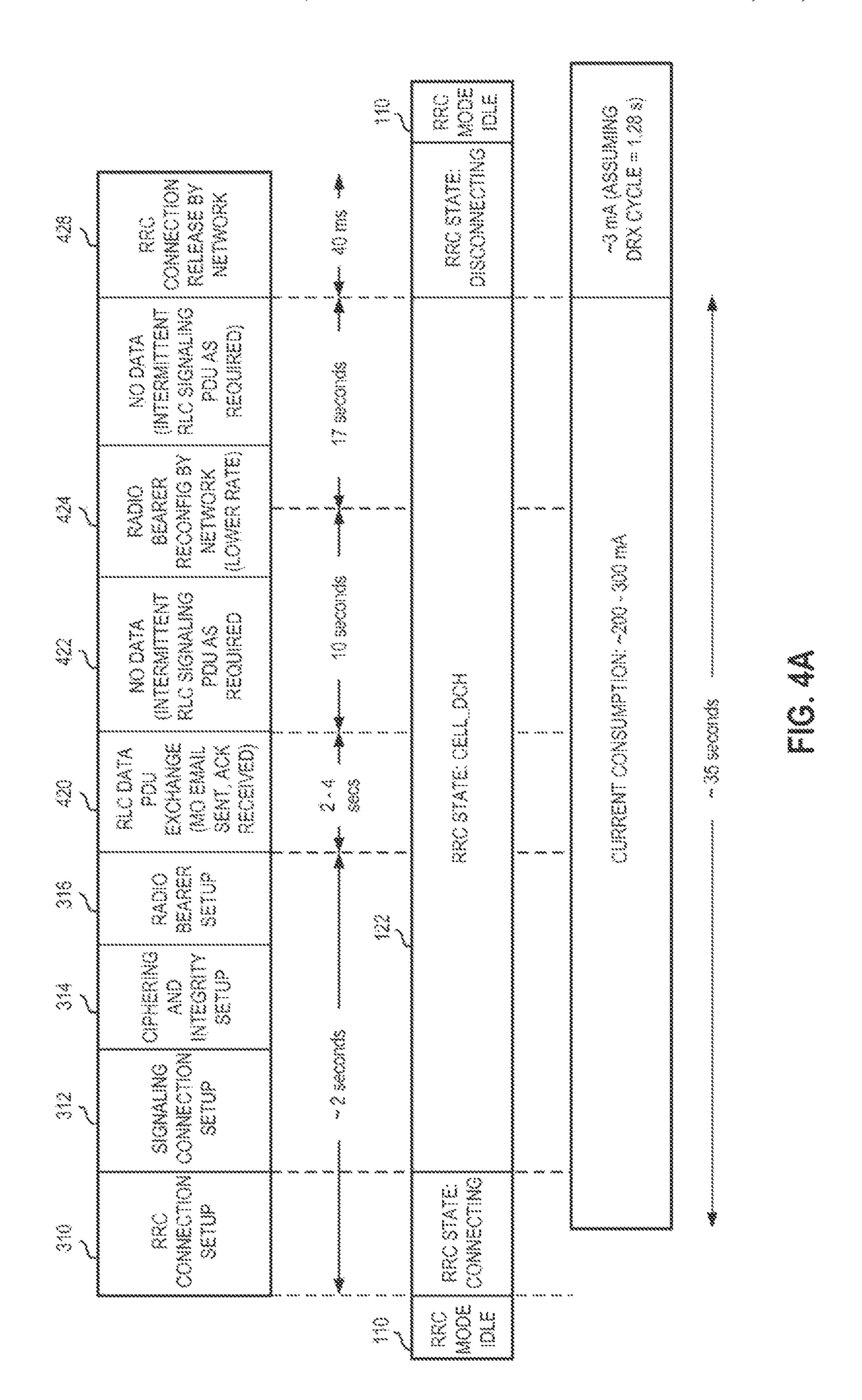


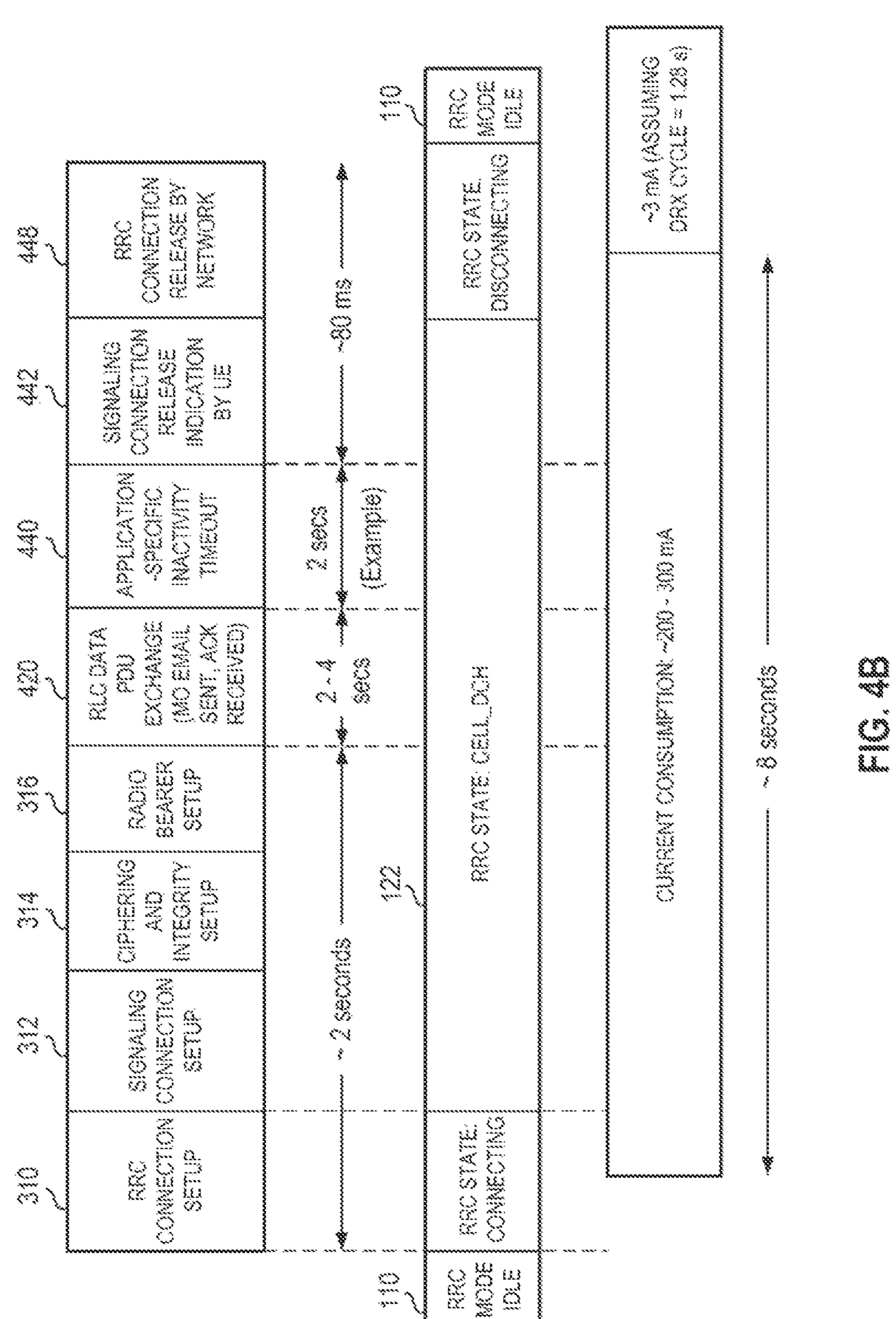
FIG. 1

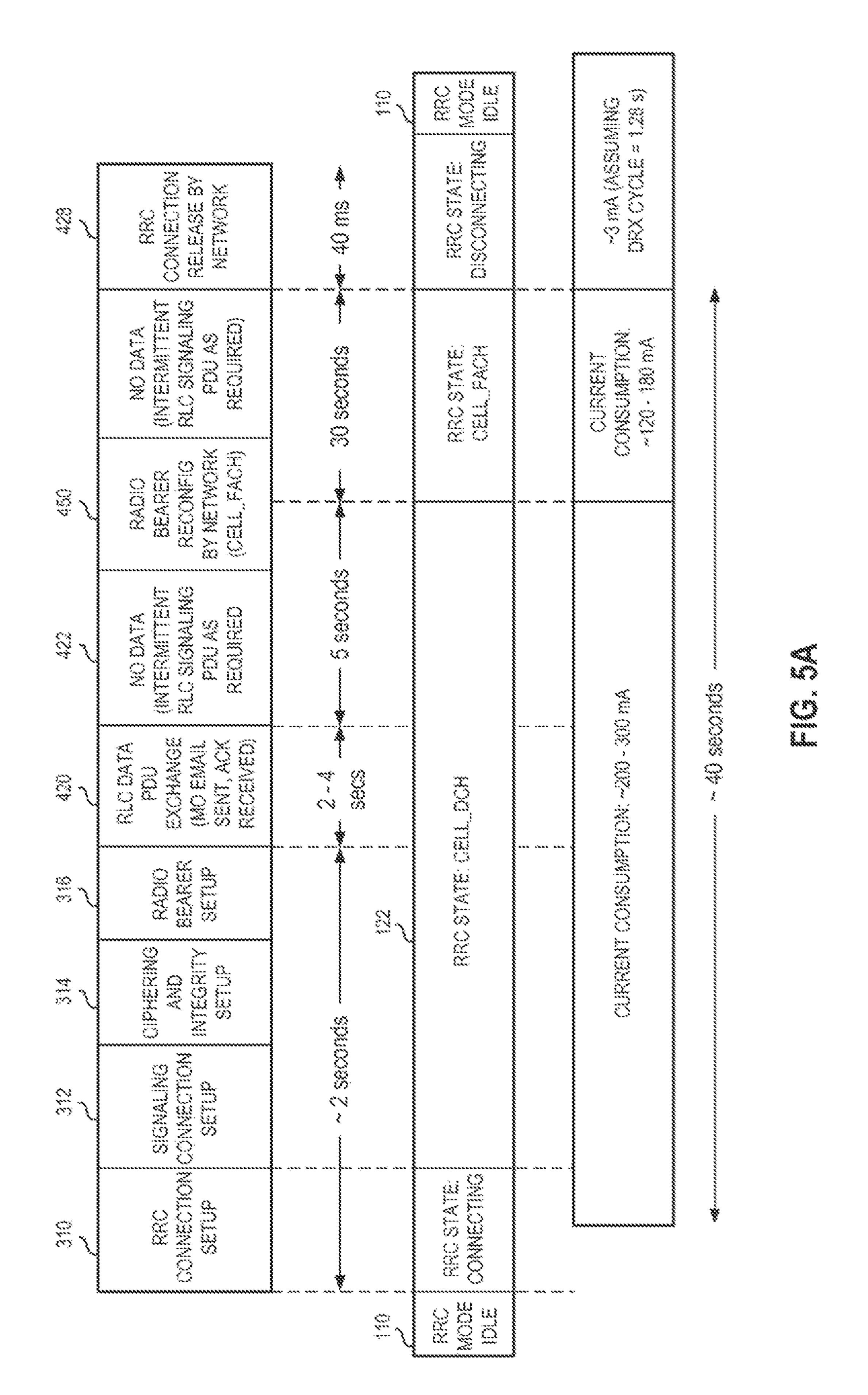


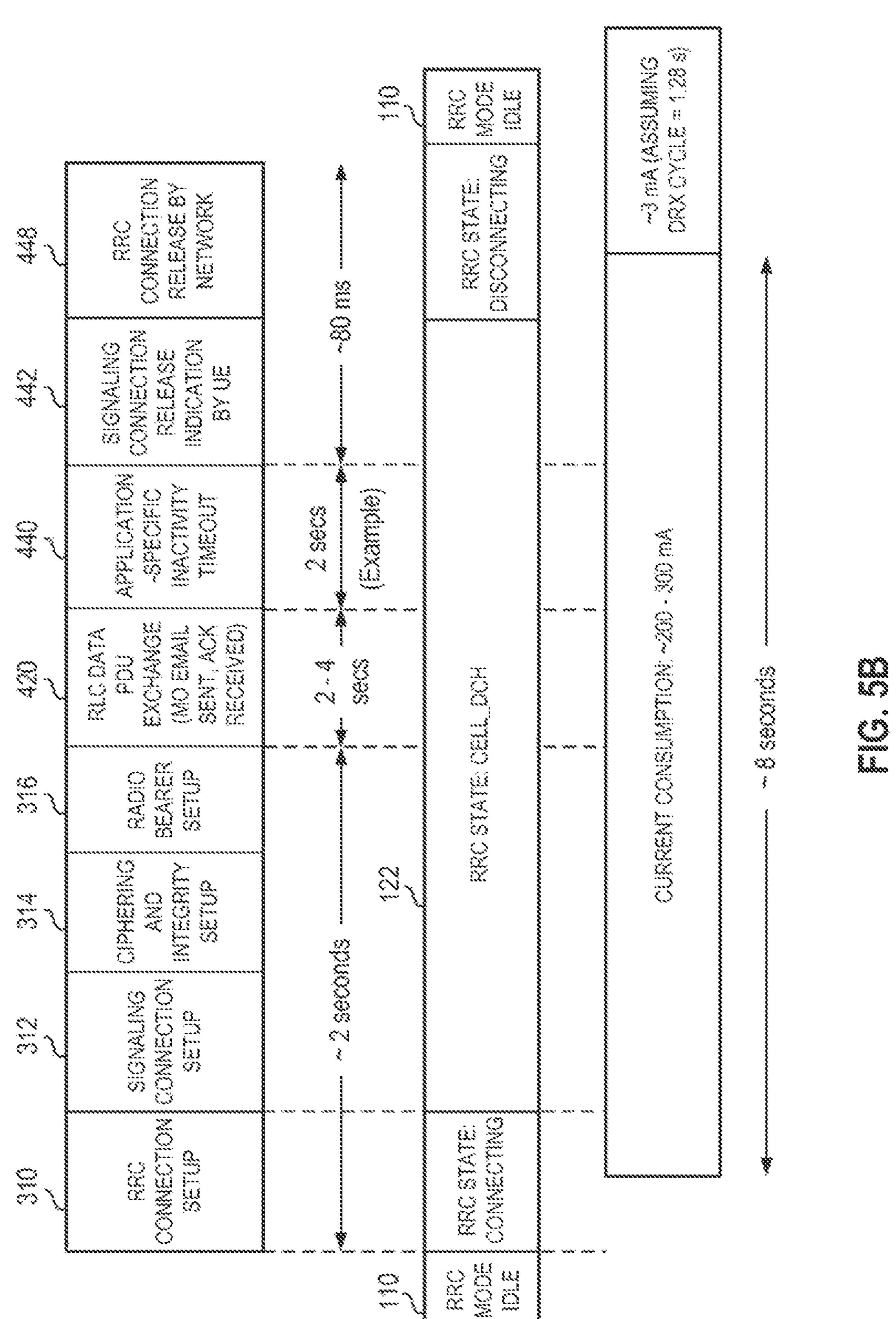


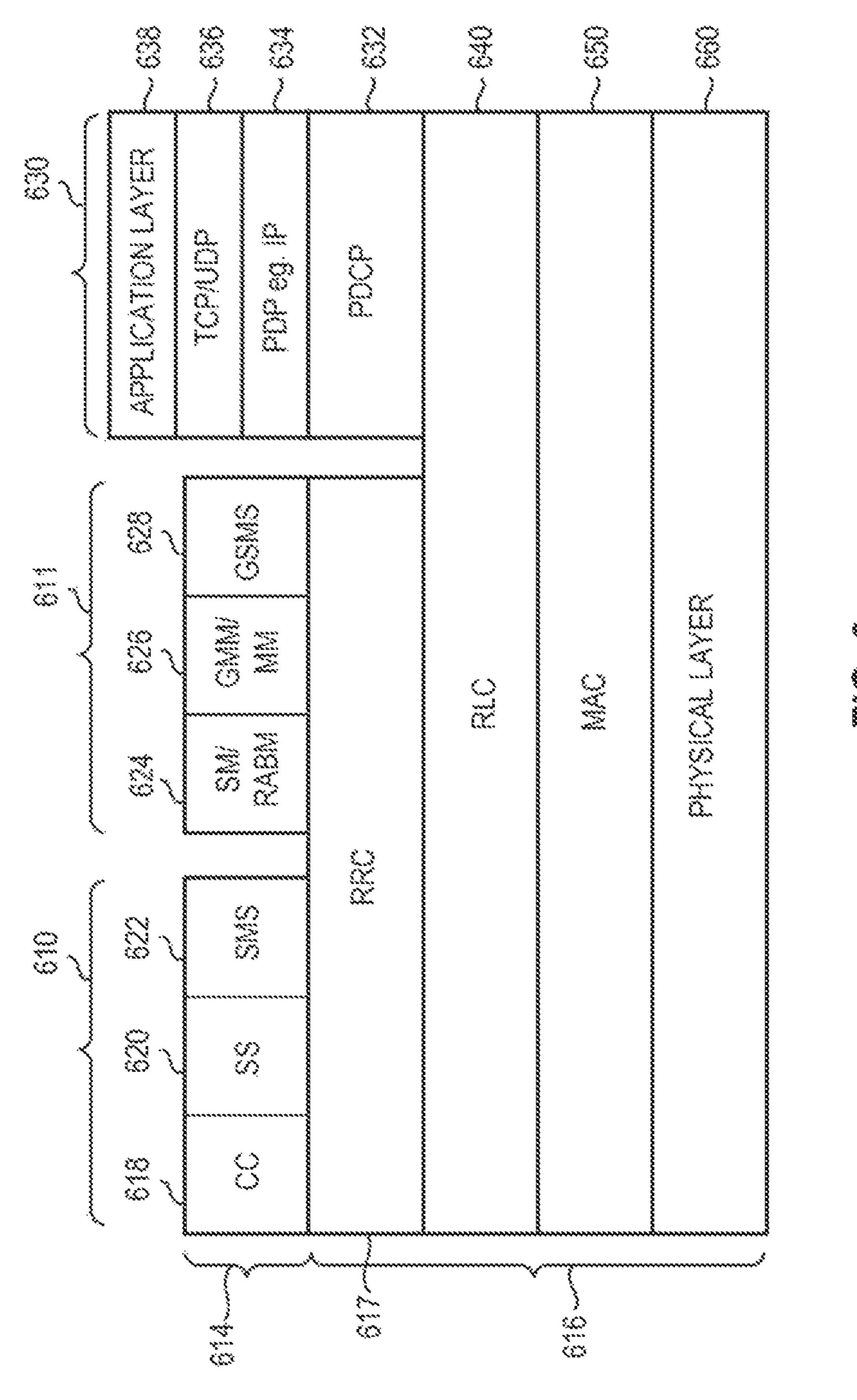
FIC. 3

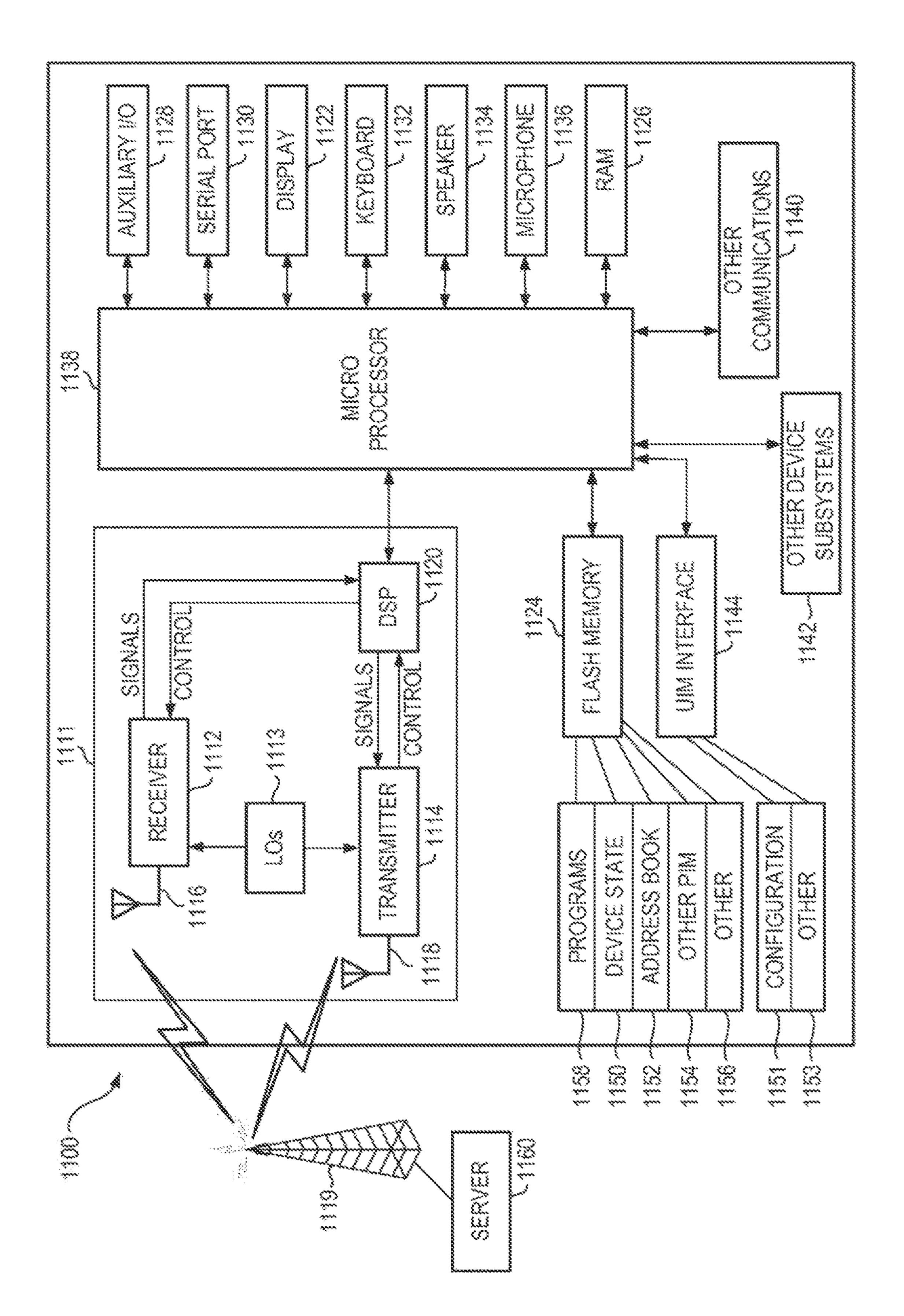


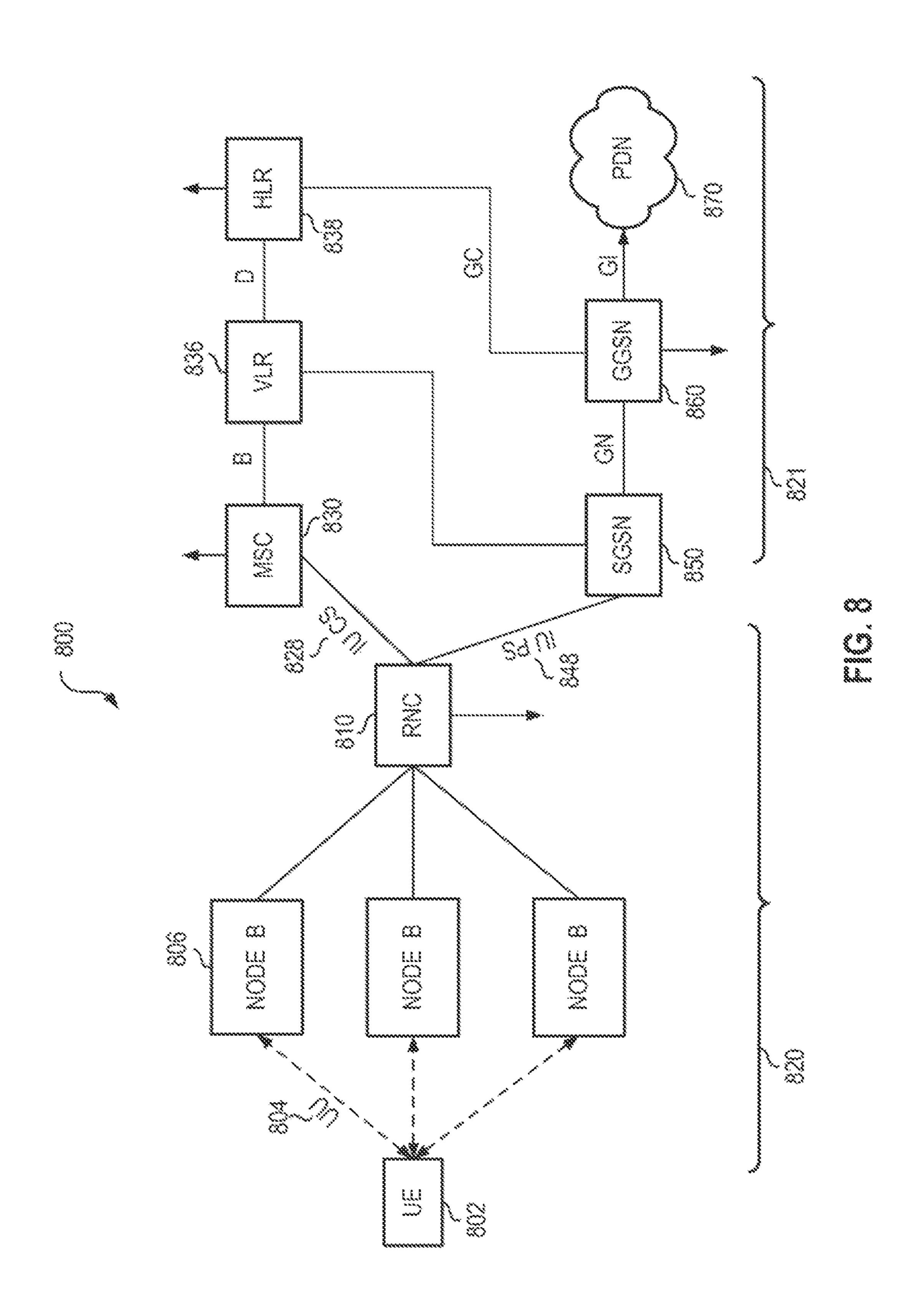












#### METHOD AND APPARATUS FOR USER EQUIPMENT DIRECTED RADIO RESOURCE CONTROL IN A UMTS NETWORK

#### FIELD OF THE APPLICATION

The present application relates to radio resource control between User Equipment (UE) and Universal Terrestrial Radio Access Network (UTRAN), and in particular to the transitioning between modes and states in a UMTS network. 10

#### **BACKGROUND**

A Universal Mobile Telecommunication System (UMTS) is a broadband, packet based system for the transmission of 15 text, digitized voice, video and multi-media. It is a highly subscribed to standard for third generation and is generally based on Wideband Coded Division Multiple Access (W-CDMA).

In a UMTS network, a Radio Resource Control (RRC) 20 part of the protocol stack is responsible for the assignment, configuration and release of radio resources between the UE and the UTRAN. This RRC protocol is described in detail in the 3GPP TS 25.331 specifications. Two basic modes that the UE can be in are defined as "idle mode" and "UTRA 25 connected mode". UTRA stands for UMTS Terrestrial Radio Access. In idle mode, the UE is required to request a RRC connection whenever it wants to send any user data or in response to a page whenever the UTRAN or the Serving GPRS Support Node (SGSN) pages it to receive data from 30 an external data network such as a push server. Idle and Connected mode behaviors are described in details in 3GPP specifications TS 25.304 and TS 25.331.

When in a UTRA RRC connected mode, the device can be in one of four states. These are:

CELL-DCH: A dedicated channel is allocated to the UE in uplink and downlink in this state to exchange data. The UE must perform actions as outlined in 3GPP 25.331.

CELL\_FACH: no dedicated channel is allocated to the user equipment in this state. Instead, common channels are used to exchange a small amount of bursty data. The UE must perform actions as outlined in 3GPP 25.331 which includes the cell selection process as defined in 3GPP TS 25.304.

CELL\_PCH: the UE uses Discontinuous Reception (DRX) to monitor broadcast messages and pages via a Paging Indicator Channel (PICH). No uplink activity is possible. The UE must perform actions as outlined in 3GPP 25.331 which includes the cell selection process as defined in 3GPP TS 25.304. The UE must perform the CELL UPDATE procedure after cell reselection.

URA\_PCH: the UE uses Discontinuous Reception (DRX) to monitor broadcast messages and pages via a Paging Indicator Channel (PICH). No uplink activity is possible. The UE must perform actions as outlined in 3GPP 25.331 including the cell selection process as defined in 3GPP TS 25.304. This state is similar to CELL\_PCH, except that URA UPDATE procedure is only triggered via UTRAN Registration Area (URA) 60 reselection.

The transition from an idle to the connected mode and vise-versa is controlled by the UTRAN. When an idle mode UE requests an RRC connection, the network decides whether to move the UE to the CELL\_DCH or 65 CELL\_FACH state. When the UE is in an RRC connected mode, again it is the network that decides when to release the

2

RRC connection. The network may also move the UE from one RRC state to another prior to releasing the connection. The state transitions are typically triggered by data activity or inactivity between the UE and network. Since the network may not know when the UE has completed data exchange, it typically keeps the RRC connection for some time in anticipation of more data to/from the UE. This is typically done to reduce the latency of call set-up and radio bearer setup. The RRC connection release message can only be sent by the UTRAN. This message releases the signal link connection and all radio bearers between the UE and the UTRAN.

The problem with the above is that even if an application on the UE has completed it data transaction and is not expecting to any further data exchange, it still waits for the network to move it to the correct state. The network may not be even aware of the fact that the application on the UE has completed its data exchange. For example, an application on the UE may use its own acknowledgement-based protocol to exchange data with its application server which is connected to the UMTS core network. Examples are applications that run over UDP/IP implementing their own guaranteed delivery. In such a case, the UE knows whether the application server has sent or received all the data packets or not and is in a better position to determine if any further data exchange is to take place and hence decide when to terminate the RRC connection. Since the UTRAN controls when the RRC connected state is changed to a different, less battery intensive state or into an idle mode, and the fact that UTRAN is not aware of the status of data delivery between the UE and external server, the UE is forced to stay in a higher data rate and intensive battery state than the required state or mode, thereby draining battery life and wasting network resources.

#### BRIEF DESCRIPTION OF THE DRAWINGS

The present application will be better understood with reference to the drawings in which:

FIG. 1 is a block diagram showing RRC states and transitions;

FIG. 2 is a schematic of a UMTS network showing various UMTS cells and a URA;

FIG. 3 is a block diagram showing the various stages in an RRC connection setup;

FIG. 4A is a block diagram of an exemplary transition between a CELL\_DCH connected mode state and an idle mode initiated by the UTRAN according to current method;

FIG. 4B is a block diagram showing an exemplary transition between a CELL\_DCH state connected mode transition to an idle mode according to the present method and apparatus;

FIG. **5**A is a block diagram of an exemplary transition between a CELL\_DCH inactivity to a CELL\_FACH inactivity to an idle mode initiated by the UTRAN according to current method;

FIG. **5**B is a block diagram of an exemplary transition between CELL\_DCH inactivity and an idle mode according to the present method;

FIG. 6 is a block diagram of a UMTS protocol stack;

FIG. 7 is an exemplary UE that can be used in association with the present method; and

FIG. 8 is an exemplary network for use in association with the present method and apparatus.

#### DETAILED DESCRIPTION

The present system and method overcome the deficiencies of the prior art by providing for the transitioning from an

RRC connected mode to a more battery efficient state or mode. In particular, the present method and apparatus provide for transitioning based on either the UE initiating termination of a signaling connection for a specified core network domain or indicating to the UTRAN that a transi- 5 tion should occur from one connected state to another.

In particular, if an application on the UE determines that it is done with the exchange of data, it can send a "done" indication to the "RRC connection manager" component of UE software. The RRC connection manager keeps track of 10 all existing applications (including those providing a service over one or multiple protocols), associated Packet Data Protocol (PDP) contexts, associated packet switched (PS) radio bearers and associated circuit switched (CS) radio UE and PDN (Public Data Network) running across a UMTS core network. One or multiple applications (e.g. an e-mail application and a browser application) on the UE may be associated with one PDP context. In some cases, one application on the UE is associated with one primary PDP 20 context and multiple applications may be tied with secondary PDP contexts. The RRC Connection Manager receives "done" indications from different applications on the UE that are simultaneously active. For example, user may receive an e-mail from a push server while browsing the 25 web. After the e-mail application has sent an acknowledgment, it may indicate that it has completed its data transaction, however, the browser application may not send such indication. Based on a composite status of such indications from active applications, UE software can decide how long 30 it should wait before it can initiate a signaling connection release of the core network packet service domain. A delay in this case can be introduced to ensure that the application is truly finished with data exchange and does not require an RRC connection. The delay can be dynamic based on traffic 35 history and/or application profiles. Whenever the RRC connection manager determines that with some probability that no application is expected to exchange any data, it can send a signaling connection release indication procedure for the appropriate domain (e.g. PS domain). Alternatively it can 40 send a request for state transition within connected mode to the UTRAN.

The above decision may also take into account whether network supports URA\_PCH state and the transition behaviour to this state.

The UE initiated transition to idle mode can happen from any state of the RRC connected mode and ends up having the network release the RRC connection and moving to idle mode. The UE being in idle mode, as will be appreciated by those skilled in the art, is much less battery intensive than the 50 UE being in a connected state.

The present application therefore provides a method for improved battery performance of user equipment in a wireless network having multiple radio resource control (RRC) states, comprising the steps of: monitoring, at the user 55 equipment, application data exchange; determining when no application on the user equipment is expected to exchange data; and initiating, from the user equipment, a transition to a less battery demanding radio resource control state or mode.

The present application further provides user equipment adapted for reduces battery consumption in a UMTS network, the user equipment having a radio subsystem including a radio adapted to communicate with the UMTS network; a radio processor having a digital signal processor and 65 adapted to interact with said radio subsystem; memory; a user interface; a processor adapted to run user applications

and interact with the memory, the radio and the user interface and adapted to run applications, the user equipment characterized by having means for: monitoring, at the user equipment, application data exchange; determining when no application on the user equipment is expected to exchange data; and initiating, from the user equipment, a transition to a less battery demanding radio resource control state or mode.

Reference is now made to FIG. 1. FIG. 1 is a block diagram showing the various modes and states for the radio resource control portion of a protocol stack in a UMTS network. In particular, the RRC can be either in an RRC idle state 110 or an RRC connected state 120.

As will be appreciated by those skilled in the art, a UMTS bearers. A PDP Context is a logical association between a 15 network consists of two land-based network segments. These are the Core Network (CN) and the Universal Terrestrial Radio-Access Network (UTRAN) (as illustrated in FIG. 8). The Core Network is responsible for the switching and routing of data calls and data connections to the external networks while the UTRAN handles all radio related functionalities.

> In idle mode 110, the UE must request an RRC connection to set up the radio resource whenever data needs to be exchanged between the UE and the network. This can be as a result of either an application on the UE requiring a connection to send data, or as a result of the UE monitoring a paging channel to indicate whether the UTRAN or SGSN has paged the UE to receive data from an external data network such as a push server. In addition, UE also requests RRC connection whenever it needs to send Mobility Management signaling message such as Location Area Update.

> Once the UE has sent a request to the UTRAN to establish a radio connection, the UTRAN chooses a state for the RRC connection to be in. Specifically, the RRC connected mode **120** includes four separate states. These are CELL\_DCH state 122, CELL\_FACH state 124, CELL\_PCH state 126 and URA PCH state 128.

> From idle mode 110 the RRC connected state can either go to the Cell Dedicated Channel (CELL\_DCH) state 122 or the Cell Forward Access Channel (CELL\_FACH) state 124.

In CELL\_DCH state 122, a dedicated channel is allocated to the UE for both uplink and downlink to exchange data. This state, since it has a dedicated physical channel allocated to the UE, typically requires the most battery power from the 45 UE.

Alternatively, the UTRAN can move from idle mode 110 to a CELL\_FACH state 124. In a CELL\_FACH state no dedicated channel is allocated to the UE. Instead, common channels are used to send signaling in a small amount of bursty data. However, the UE still has to continuously monitor the FACH, and therefore it consumes battery power.

Within the RRC connected mode 120, the RRC state can be changed at the discretion of the UTRAN. Specifically, if data inactivity is detected for a specific amount of time or data throughput below a certain threshold is detected, the UTRAN may move the RRC state from CELL\_DCH state **122** to the CELL\_FACH state **124**, CELL\_PCH state **126** or URA\_PCH state 128. Similarly, if the payload is detected to be above a certain threshold then the RRC state can be 60 moved from CELL\_FACH 124 to CELL\_DCH 122.

From CELL\_FACH state 124, if data inactivity is detected for predetermined time in some networks, the UTRAN can move the RRC state from CELL\_FACH state 124 to a paging channel (PCH) state. This can be either the CELL\_PCH state **126** or URA\_PCH state **128**.

From CELL\_PCH state 126 or URA\_PCH state 128 the UE must move to CELL\_FACH state **124** in order to initiate

an update procedure to request a dedicated channel. This is the only state transition that the UE controls.

CELL\_PCH state 126 and URA\_PCH state 128 use a discontinuous reception cycle (DRX) to monitor broadcast messages and pages by a Paging Indicator Channel (PICH). 5 No uplink activity is possible.

The difference between CELL\_PCH state 126 and URA\_PCH state 128 is that the URA\_PCH state only triggers a URA Update procedure if the UEs current UTRAN registration area (URA) is not among the list of 10 URA identities present in the current cell. Specifically, reference is made to FIG. 2. FIG. 2 shows an illustration of various UMTS cells 210, 212 and 214. All of these cells require a cell update procedure if reselected to a CELL\_PCH state. However, in a UTRAN registration area, each will be 15 within the same UTRAN registration area 220, and thus a URA update procedure is not triggered when moving between 210, 212 and 214 when in a URA\_PCH mode.

As seen in FIG. 2, other cells 218 are outside the URA **220**, and can be part of a separate URA or no URA.

As will be appreciated by those skilled in the art, from a battery life perspective the idle state provides the lowest battery usage compared with the states above. Specifically, because the UE is required to monitor the paging channel only at intervals, the radio does not need to continuously be 25 on, but will instead wake up periodically. The trade-off for this is the latency to send data. However, if this latency is not too great, the advantages of being in the idle mode and saving battery power outweigh the disadvantages of the connection latency.

Reference is again made to FIG. 1. Various UMTS infrastructure vendors move between states 122, 124, 126 and 128 based on various criteria. Exemplary infrastructures are outlined below.

between an idle mode and a Cell\_DCH state directly. In the Cell\_DCH state, if two seconds of inactivity are detected, the RRC state changes to a Cell\_FACH state **124**. If in Cell\_FACH state **124**, ten seconds of inactivity are detected then the RRC state changes to PCH state **126**. Forty five 40 minutes of inactivity in Cell\_PCH states 126 will result in the RRC state moving back to idle mode 110.

In a second exemplary infrastructure, RRC transition can occur between an idle mode 110 and connected mode 120 depending on a payload threshold. In the second infrastruc- 45 ture, if the payload is below a certain threshold then the UTRAN moves the RRC state to CELL\_FACH state 124. Conversely, if the data is above a certain payload threshold then the UTRAN moves the RRC state a CELL\_DCH state 122. In the second infrastructure, if two minutes of inactivity 50 are detected in CELL\_DCH state 122, the UTRAN moves the RRC state to CELL\_FACH state **124**. After five minutes of inactivity in the CELL-FACH state 124, the UTRAN moves the RRC stage to CELL\_PCH state 126. In CELL\_PCH state 126, two hours of inactivity are required 55 packet-switched applications. According to section 8.1.14.1 before moving back to idle mode 110.

In a third exemplary infrastructure, movement between idle mode and connected mode 120 is always to CELL\_DCH state 122. After five seconds of inactivity in CELL\_DCH state **122** the UTRAN moves the RRC state to 60 CELL\_FACH state **124**. Thirty seconds of inactivity in CELL\_FACH state **124** results in the movement back to idle mode 110.

In a fourth exemplary infrastructure the RRC transitions from an idle mode to a connected mode directly into a 65 CELL\_DCH state 122. In the fourth exemplary infrastructure, CELL\_DCH state 122 includes two sub-states. The first

includes a sub-state which has a high data rate and a second sub-state includes a lower data rate, but still within the CELL\_DCH state. In the fourth exemplary infrastructure, the RRC transitions from idle mode 110 directly into the high data rate CELL\_DCH sub-state. After 10 seconds of inactivity the RRC state transitions to a low data rate CELL\_DCH state. Seventeen seconds of inactivity from the low data CELL\_DCH state 122 result in the RRC state changing it to idle mode 110.

The above four exemplary infrastructure shows how various UMTS infrastructure vendors are implementing the states. As will be appreciated by those skilled in the art, in each case, if the time spent on exchanging actual data (such as an email) is significantly short compared to the time that is required to stay in the CELL\_DCH or the CELL\_FACH states, this causes unnecessary current drain which makes user experience in newer generation networks such as UMTS worse than in prior generation networks such as GPRS.

Further, although the CELL\_PCH state is more optimal than the CELL\_FACH state from a battery life perspective, the DRX cycle in a CELL\_PCH state is typically set to a lower value than the idle mode 110. As a result, the UE is required to wake up more frequently in the CELL\_PCH state than in an idle mode.

The URA\_PCH state with a DRX cycle similar to that of the idle state is likely the optimal trade up between battery life and latency for connection. However, URA\_PCH is currently not supported in the UTRAN. It is therefore desirable to quickly transition to the idle mode as quickly as possible after an application is finished with the data exchange from a battery life perspective.

Reference is now made to FIG. 3. When transitioning from an idle mode to a connected mode various signaling In a first exemplary infrastructure, the RRC moves 35 and data connections need to be made. Referring to FIG. 3, the first item needing to be performed is an RRC connection set-up. As indicated above, this RRC connection setup can only be torn down by the UTRAN.

> Once RRC connection setup 310 is accomplished, a signaling connection setup 312 is started.

> Once signaling setup 312 is finished, a ciphering and integrity setup **314** is started. Upon completion of this, a radio bearer setup 316 is accomplished. At this point, data can be exchanged between the UE and UTRAN.

> Tearing down a connection is similarly accomplished in the reverse order, in general. The radio bearer setup 316 is taken down and then the RRC connection setup **310** is taken down. At this point, the RRC moves into idle mode 110 as illustrated in FIG. 1.

> Although the current 3GPP specification does not allow the UE to release the RRC connection or indicate its preference for RRC state, the UE can still indicate termination of a signaling connection for a specified core network domain such as the Packet Switched (PS) domain used by of 3GPP TS 25.331;

The signaling connection release indication procedure is used by the UE to indicate to the UTRAN that one of its signaling connection has been released. This procedure may in turn initiate the RRC connection release procedure.

Thus staying within the current 3GPP specifications, signaling connection release may be initiated upon the tearing down of the signaling connection setup 312. It is within the ability of the UE to tear down signaling connection setup 312, and this in turn according to the specification "may" initiate the RRC connection release.

As will be appreciated by those skilled in the art, if signaling connection setup 312 is torn down, the UTRAN will also need to clean up deciphering and integrity setup 312 radio bearer setup 316 after the signaling connection setup 312 has been torn down.

If signaling connections setup **312** is torn down, the RRC connection setup is typically brought down by the network for current vendor infrastructures.

Using the above, if the UE determines that it is done with the exchange of data, for example if a "RRC connection manager" component of the UE software is provided with an indication that the exchange of data is complete, then the RRC connection manager may determine whether or not to tear down the signaling connection setup 312. For example, 15 step 420. an email application on the device sends an indication that it has received an acknowledgement from the push email server that the email was indeed received by the push server. The RRC manager can keep track of all existing applications, associated PDP contexts, associated PS radio bearers 20 and associated circuit switched (CS) radio bearers. A delay in this case can be introduced to ensure that the application is truly finished with data exchange and no longer requires an RRC connection even after it has sent the "done" indication. This delay is equivalent to inactivity timeout asso- 25 ciated with the application. Each application can have its own inactivity timeout. For example, an email application can have an inactivity timeout of five seconds, whereas an active browser application can have a timeout of sixty seconds. Based on a composite status of all such indications from active applications, the UE software decides how long it should wait before it can initiate a signaling connection release of the appropriate core network (e.g. PS Domain).

The inactivity timeout can be made dynamic based on a traffic pattern history and/or application profile.

Whenever the RRC connection manager determines with some probability that no application is expected the exchange of data, it can send a signaling connection release indication procedure for the appropriate domain.

The above UE initiated transition to idle mode can happen 40 in any stage of the RRC connected mode 120 as illustrated in FIG. 1 and ends up having the network release the RRC connection and moving to a idle mode 110 as illustrated in FIG. 1. This is also applicable when the UE is performing any packet data services during a voice call. In this case only 45 the PS domain is released, but the CS domain remains connected.

As will be appreciated by those skilled in the art, in some cases it may be more desirable to be in the connected mode state URA\_PCH than in idle mode. For example, if the 50 latency for connection to the CELL\_DCH or the CELL\_FACH connected mode states is required to be lower, it is preferable to be in a connected mode PCH state. There are two ways of accomplishing this. First is by changing the 3GPP specifications to allow for the UE to request the 55 UTRAN move it to a specific state, in this case the URA PCH state 128.

Alternatively, the RRC connection manager may take into account other factors such as what state the RRC connection is currently in. If, for example, the RRC connection is in the 60 URA\_PCH state it may decide that it is unnecessary to move to idle mode 110 and thus no Signaling connection release procedure is initiated.

Reference is made to FIG. 4. FIG. 4A shows a current UMTS implementation according to the infrastructure 65 "four" example above. As illustrated in FIG. 4, time is across the horizontal axes.

8

The UE starts in RRC idle state 110 and based on local data needing to be transmitted or a page received from the UTRAN, starts to establish an RRC connection.

As illustrated in FIG. 4A, RRC connection setup 310 occurs first, and the RRC state is a connecting state 410 during this time.

Next, signaling connections setup 312, ciphering an integrity setup 314, and radio bearer setup 316 occurs. The RRC state is CELL\_DCH state 122 during this. As illustrated in FIG. 4A, the time for moving from RRC idle to the time that the radio bearer is setup is approximately two seconds in this example.

Data is next exchanged. In the example FIG. 4A this is achieved in about two to four seconds and is illustrated by step 420.

After data is exchanged in step 420, no data is being exchanged except for intermittent RLC signaling PDU as required and thus the radio bearer is reconfigured by the network to move into a lower data rate DCH state after approximately ten seconds. This is illustrated in steps 422 and 424.

In the lower data rate DCH state nothing is received for seventeen seconds, at which point the RRC connection is released by the network in step 428.

Once the RRC connection is initiated in step 428, the RRC state proceeds to a disconnecting state 430 for approximately forty milliseconds, after which the UE is in a RRC idle state 110.

Also illustrated in FIG. 4A, the UE current consumption is illustrated for the period in which the RRC is in CELL\_DCH state 122. As seen, the current consumption is approximately 200 to 300 milliamps for the entire duration of the CELL\_DCH state. During disconnect and idle, about 3 milliamps are utilized, assuming a DRX cycle of 1.28 seconds. However, the 35 seconds of current consumption at 200 to 300 milliamps is draining on the battery.

Reference is now made to FIG. 4B. FIG. 4B utilizes the same exemplary infrastructure "four" from above, only now implementing the signalling connection release

As illustrated in FIG. 4B, the same setup steps 310, 312, 314 and 316 occur and this takes the same amount of time when moving between RRC idle state 110 and RRC CELL\_DCH state 122.

Further, the RRC data PDU exchange for the exemplary email of FIG. 4A is also done at FIG. 4B and this takes approximately two to four seconds.

The UE in the example of FIG. 4B has an application specific inactivity timeout, which in the example of FIG. 4B is two seconds and is illustrated by step 440. After the RRC connection manager has determined that there is inactivity for the specific amount of time, the UE releases the signaling connection setup in step 442 and the RRC connection is released by the network in step 428.

As illustrated in FIG. 4B, the current consumption during the CELL\_DCH step 122 is still about 200 to 300 milliamps. However, the connection time is only about eight seconds. As will appreciated by those skilled in the art, the considerably shorter amount of time that the mobile stays in the cell DCH state 122 results in significant battery savings for an always on UE device.

Reference is now made to FIG. 5. FIG. 5 shows a second example using the infrastructure indicated above as Infrastructure "three". As with FIGS. 4A and 4B, a connection setup occurs which takes approximately two seconds. This requires the RRC connection setup 310, the signaling connection setup 312, the ciphering and integrity setup 314 and the radio bearer setup 316.

During this setup, the UE moves from RRC idle mode 110 to a CELL\_DCH state 122 with a RRC state connecting step 410 in between.

As with FIG. **4**A, in FIG. **5**A RLC data PDU exchange occurs, and in the example of FIG. **5**A takes two to four 5 seconds.

According to the infrastructure three, RLC signaling PDU exchange receives no data and thus is idle for period of five seconds in step 422, except for intermittent RLC signaling PDU as required, at which point the radio bearer reconfigures the network to move into a CELL\_FACH state 124 from CELL\_DCH state 122. This is done in step 450.

In the CELL\_FACH state 124, the RLC signaling PDU exchange finds that there is no data except for intermittent RLC signaling PDU as required for a predetermined amount of time, in this case thirty seconds, at which point a RRC application larger than 15 applicatio

As seen in FIG. **5**A, this moves the RRC state to idle mode **110**.

As further seen in FIG. **5**A, the current consumption 20 during the DCH mode is between 200 and 300 milliamps. When moving into CELL\_FACH state **124** the current consumption lowers to approximately 120 to 180 milliamps. After the RRC connector is released and the RRC moves into idle mode **110** the power consumption is approximately 25 3 milliamps.

The UTRA RRC Connected Mode state being CELL\_DCH state 122 or CELL\_FACH state 124 lasts for approximately forty seconds in the example of FIG. 5A.

Reference is now made to FIG. 5B. FIG. 5B illustrates the 30 same infrastructure "three" as FIG. 5A with the same connection time of about two seconds to get the RRC connection setup 310, signaling connection setup 312, ciphering integrity setup 314 and radio bearer setup 316. Further, RLC data PDU exchange 420 take approximately 35 two to four seconds.

As with FIG. 4B, a UE application detects a specific inactivity timeout in step 440, at which point the Signalling connection release indication procedure is initiated by the UE and as a consequence the RRC connection is released by 40 the network in step 448.

As can be seen further in FIG. **5**B, the RRC starts in a idle mode **110**, moves to a CELL\_DCH state **122** without proceeding into the CELL\_FACH state.

As will be seen further in FIG. **5**B, current consumption 45 is approximately 200 to 300 milliamps in the time that the RRC stage is in CELL\_DCH state **122** which according to the example of FIG. **5** is approximate eight seconds.

Therefore, a comparison between FIGS. 4A and 4B, and FIGS. 5A and 5B shows that a significant amount of current 50 consumption is eliminated, thereby extending the battery life of the UE significantly. As will be appreciated by those skilled in the art, the above can further be used in the context of current 3GPP specs.

Reference is now made to FIG. 6. FIG. 6 illustrates a 55 protocol stack for a UMTS network.

As seen in FIG. 6, the UMTS includes a CS control plane 610, PS control plane 611, and PS user plane 630

Within these three planes, a non-access stratum (NAS) portion 614 and an access stratum portion 616 exist.

NAS portion **614** in CS control plane **610** includes a call control (CC) **618**, supplementary services (SS) **620**, and short message service (SMS) **622**.

NAS portion **614** in PS control plane **611** includes both mobility management (MM) and GPRS mobility manage- 65 ment (GMM) **626**. It further includes SM/RABM **624** and GSMS **628**.

**10** 

CC 618 provides for call management signaling for circuit switched services. The session management portion of SM/RABM 624 provides for PDP context activation, deactivation and modification. SM/RABM 624 also provides for quality of service negotiation.

The main function of the RABM portion of the SM/RABM **624** is to connect a PDP context to a Radio Access Bearer. Thus SM/RABM **624** is responsible for the setup, modification and release of radio bearers.

CS control plane 610 and PS control plane 611, in the access stratum 616 sit on radio resource control (RRC) 617.

NAS portion 614 in PS user plane 630 includes an application layer 638, TCP/UDP layer 636, and PDP layer 634. PDP layer 634 can, for example, include internet protocol (IP).

Access Stratum 616, in PS user plane 630 includes packet data convergence protocol (PDCP) 632. PDCP 632 is designed to make the WCDMA protocol suitable to carry TCP/IP protocol between UE and RNC (as seen in FIG. 8), and is optionally for IP traffic stream protocol header compression and decompression.

The UMTS Radio Link Control (RLC) **640** and Medium Access Control (MAC) layers **650** form the data link sublayers of the UMTS radio interface and reside on the RNC node and the User Equipment.

The Layer 1 (L1) UMTS layer (physical layer 650) is below the RLC/MAC layers 640 and 650. This layer is the physical layer for communications.

While the above can be implemented on a variety of mobile devices, an example of one mobile device is outlined below with respect to FIG. 7. Reference is now made to FIG. 7

UE 1100 is preferably a two-way wireless communication device having at least voice and data communication capabilities. UE 1100 preferably has the capability to communicate with other computer systems on the Internet. Depending on the exact functionality provided, the wireless device may be referred to as a data messaging device, a two-way pager, a wireless e-mail device, a cellular telephone with data messaging capabilities, a wireless Internet appliance, or a data communication device, as examples.

Where UE 1100 is enabled for two-way communication, it will incorporate a communication subsystem 1111, including both a receiver 1112 and a transmitter 1114, as well as associated components such as one or more, preferably embedded or internal, antenna elements 1116 and 1118, local oscillators (LOs) 1113, and a processing module such as a digital signal processor (DSP) 1120. As will be apparent to those skilled in the field of communications, the particular design of the communication subsystem 1111 will be dependent upon the communication network in which the device is intended to operate. For example, UE 1100 may include a communication subsystem 1111 designed to operate within the GPRS network or UMTS network.

Network access requirements will also vary depending upon the type of network 1119. For example, In UMTS and GPRS networks, network access is associated with a subscriber or user of UE 1100. For example, a GPRS mobile device therefore requires a subscriber identity module (SIM) card in order to operate on a GPRS network. In UMTS a USIM or SIM module is required. In CDMA a RUIM card or module is required. These will be referred to as a UIM interface herein. Without a valid UIM interface, a mobile device may not be fully functional. Local or non-network communication functions, as well as legally required functions (if any) such as emergency calling, may be available, but mobile device 1100 will be unable to carry out any other

functions involving communications over the network 1100. The UIM interface 1144 is normally similar to a card-slot into which a card can be inserted and ejected like a diskette or PCMCIA card. The UIM card can have approximately 64K of memory and hold many key configuration 1151, and other information 1153 such as identification, and subscriber related information.

When required network registration or activation procedures have been completed, UE 1100 may send and receive communication signals over the network 1119. Signals 10 received by antenna 1116 through communication network 1119 are input to receiver 1112, which may perform such common receiver functions as signal amplification, frequency down conversion, filtering, channel selection and the like, and in the example system shown in FIG. 7, analog to 15 digital (A/D) conversion. A/D conversion of a received signal allows more complex communication functions such as demodulation and decoding to be performed in the DSP 1120. In a similar manner, signals to be transmitted are processed, including modulation and encoding for example, 20 by DSP 1120 and input to transmitter 1114 for digital to analog conversion, frequency up conversion, filtering, amplification and transmission over the communication network 1119 via antenna 1118. DSP 1120 not only processes communication signals, but also provides for receiver and 25 transmitter control. For example, the gains applied to communication signals in receiver 1112 and transmitter 1114 may be adaptively controlled through automatic gain control algorithms implemented in DSP 1120.

Network 1119 may further communicate with multiple 30 systems, including a server 1160 and other elements (not shown). For example, network 1119 may communicate with both an enterprise system and a web client system in order to accommodate various clients with various service levels.

UE 1100 preferably includes a microprocessor 1138 35 which controls the overall operation of the device. Communication functions, including at least data communications, are performed through communication subsystem 1111. Microprocessor 1138 also interacts with further device subsystems such as the display 1122, flash memory 1124, 40 random access memory (RAM) 1126, auxiliary input/output (I/O) subsystems 1128, serial port 1130, keyboard 1132, speaker 1134, microphone 1136, a short-range communications subsystem 1140 and any other device subsystems generally designated as 1142.

Some of the subsystems shown in FIG. 7 perform communication-related functions, whereas other subsystems may provide "resident" or on-device functions. Notably, some subsystems, such as keyboard 1132 and display 1122, for example, may be used for both communication-related 50 functions, such as entering a text message for transmission over a communication network, and device-resident functions such as a calculator or task list.

Operating system software used by the microprocessor 1138 is preferably stored in a persistent store such as flash 55 memory 1124, which may instead be a read-only memory (ROM) or similar storage element (not shown). Those skilled in the art will appreciate that the operating system, specific device applications, or parts thereof, may be temporarily loaded into a volatile memory such as RAM 1126. 60 Received communication signals may also be stored in RAM 1126. Further, a unique identifier is also preferably stored in read-only memory.

As shown, flash memory 1124 can be segregated into different areas for both computer programs 1158 and pro- 65 gram data storage 1150, 1152, 1154 and 1156. These different storage types indicate that each program can allocate a

12

portion of flash memory 1124 for their own data storage requirements. Microprocessor 1138, in addition to its operating system functions, preferably enables execution of software applications on the mobile device. A predetermined set of applications that control basic operations, including at least data and voice communication applications for example, will normally be installed on UE 1100 during manufacturing. A preferred software application may be a personal information manager (PIM) application having the ability to organize and manage data items relating to the user of the mobile device such as, but not limited to, e-mail, calendar events, voice mails, appointments, and task items. Naturally, one or more memory stores would be available on the mobile device to facilitate storage of PIM data items. Such PIM application would preferably have the ability to send and receive data items, via the wireless network 1119. In a preferred embodiment, the PIM data items are seamlessly integrated, synchronized and updated, via the wireless network 1119, with the mobile device user's corresponding data items stored or associated with a host computer system. Further applications may also be loaded onto the mobile device 1100 through the network 1119, an auxiliary I/O subsystem 1128, serial port 1130, short-range communications subsystem 1140 or any other suitable subsystem 1142, and installed by a user in the RAM 1126 or preferably a non-volatile store (not shown) for execution by the microprocessor 1138. Such flexibility in application installation increases the functionality of the device and may provide enhanced on-device functions, communication-related functions, or both. For example, secure communication applications may enable electronic commerce functions and other such financial transactions to be performed using the UE 1100. These applications will however, according to the above, in many cases need to be approved by a carrier.

In a data communication mode, a received signal such as a text message or web page download will be processed by the communication subsystem 1111 and input to the microprocessor 1138, which preferably further processes the received signal for output to the display 1122, or alternatively to an auxiliary I/O device 1128. A user of UE 1100 may also compose data items such as email messages for example, using the keyboard 1132, which is preferably a complete alphanumeric keyboard or telephone-type keypad, in conjunction with the display 1122 and possibly an auxiliary I/O device 1128. Such composed items may then be transmitted over a communication network through the communication subsystem 1111.

For voice communications, overall operation of UE 1100 is similar, except that received signals would preferably be output to a speaker 1134 and signals for transmission would be generated by a microphone 1136. Alternative voice or audio I/O subsystems, such as a voice message recording subsystem, may also be implemented on UE 1100. Although voice or audio signal output is preferably accomplished primarily through the speaker 1134, display 1122 may also be used to provide an indication of the identity of a calling party, the duration of a voice call, or other voice call related information for example.

Serial port 1130 in FIG. 7 would normally be implemented in a personal digital assistant (PDA)-type mobile device for which synchronization with a user's desktop computer (not shown) may be desirable. Such a port 1130 would enable a user to set preferences through an external device or software application and would extend the capabilities of mobile device 1100 by providing for information or software downloads to UE 1100 other than through a wireless communication network. The alternate download

path may for example be used to load an encryption key onto the device through a direct and thus reliable and trusted connection to thereby enable secure device communication.

Alternatively, serial port 1130 could be used for other communications, and could include as a universal serial bus 5 (USB) port. An interface is associated with serial port 1130.

Other communications subsystems 1140, such as a shortrange communications subsystem, is a further optional component which may provide for communication between UE 1100 and different systems or devices, which need not 10 necessarily be similar devices. For example, the subsystem 1140 may include an infrared device and associated circuits and components or a Bluetooth<sup>TM</sup> communication module to provide for communication with similarly enabled systems and devices.

Reference is now made to FIG. 8. FIG. 8 is a block diagram of a communication system 800 which, includes a UE **802** which communicates through a wireless communication network.

UE **802** communicates wirelessly with one of multiple 20 Node Bs 806. Each Node B 806 is responsible for air interface processing and some radio resource management functions. Node B **806** provides functionality similar to a Base Transceiver Station in a GSM/GPRS networks.

The wireless link shown in communication system **800** of 25 FIG. 8 represents one or more different channels, typically different radio frequency (RF) channels, and associated protocols used between the wireless network and UE **802**. A Uu air interface **804** is used between UE **802** and Node B **806**.

An RF channel is a limited resource that must be conserved, typically due to limits in overall bandwidth and a limited battery power of UE 802. Those skilled in art will appreciate that a wireless network in actual practice may expanse of network coverage. All pertinent components may be connected by multiple switches and routers (not shown), controlled by multiple network controllers.

Each Node B 806 communicates with a radio network controller (RNC) 810. The RNC 810 is responsible for 40 control of the radio resources in its area. One RNC 810 control multiple Node Bs **806**.

The RNC 810 in UMTS networks provides functions equivalent to the Base Station Controller (BSC) functions in GSM/GPRS networks. However, an RNC 810 includes 45 more intelligence including, for example, autonomous handovers management without involving MSCs and SGSNs.

The interface used between Node B 806 and RNC 810 is an lub interface **808**. An NBAP (Node B application part) signaling protocol is primarily used, as defined in 3GPP TS 50 25.433 V3.11.0 (2002-09) and 3GPP TS 25.433 V5.7.0 (2004-01).

Universal Terrestrial Radio Access Network (UTRAN) 820 comprises the RNC 810, Node B 806 and the Uu air interface 804.

Circuit switched traffic is routed to Mobile Switching Centre (MSC) 830. MSC 830 is the computer that places the calls, and takes and receives data from the subscriber or from PSTN (not shown).

Traffic between RNC 810 and MSC 830 uses the Iu-CS 60 interface 828. Iu-CS interface 828 is the circuit-switched connection for carrying (typically) voice traffic and signaling between UTRAN 820 and the core voice network. The main signaling protocol used is RANAP (Radio Access Network Application Part). The RANAP protocol is used in 65 UMTS signaling between the Core Network **821**, which can be a MSC 830 or SSGN 850 (defined in more detail below)

14

and UTRAN 820. RANAP protocol is defined in 3GPP TS 25.413 V3.11.1 (2002-09) and TS 25.413 V5.7.0 (2004-01).

For all UEs **802** registered with a network operator, permanent data (such as UE 102 user's profile) as well as temporary data (such as UE's 802 current location) are stored in a home location registry (HLR) 838. In case of a voice call to UE **802**, HLR **838** is queried to determine the current location of UE 802. A Visitor Location Register (VLR) 836 of MSC 830 is responsible for a group of location areas and stores the data of those mobile stations that are currently in its area of responsibility. This includes parts of the permanent mobile station data that have been transmitted from HLR **838** to the VLR **836** for faster access. However, the VLR 836 of MSC 830 may also assign and 15 store local data, such as temporary identifications. UE **802** is also authenticated on system access by HLR 838.

Packet data is routed through Service GPRS Support Node (SGSN) 850. SGSN 850 is the gateway between the RNC and the core network in a GPRS/UMTS network and is responsible for the delivery of data packets from and to the UEs within its geographical service area. Iu-PS interface **848** is used between the RNC 810 and SGSN 850, and is the packet-switched connection for carrying (typically) data traffic and signaling between the UTRAN 820 and the core data network. The main signaling protocol used is RANAP (described above).

The SSGN **850** communicates with the Gateway GPRS Support Node (GGSN) 860. GGSN 860 is the interface between the UMTS/GPRS network and other networks such as the Internet or private networks. GGSN 860 is connected to a public data network PDN 870 over a Gi interface.

Those skilled in art will appreciate that wireless network may be connected to other systems, possibly including other networks, not explicitly shown in FIG. 8. A network will include hundreds of cells depending upon desired overall 35 normally be transmitting at very least some sort of paging and system information on an ongoing basis, even if there is no actual packet data exchanged. Although the network consists of many parts, these parts all work together to result in certain behaviours at the wireless link.

> The embodiments described herein are examples of structures, systems or methods having elements corresponding to elements of the techniques of this application. This written description may enable those skilled in the art to make and use embodiments having alternative elements that likewise correspond to the elements of the techniques of this application. The intended scope of the techniques of this application thus includes other structures, systems or methods that do not differ from the techniques of this application as described herein, and further includes other structures, systems or methods with insubstantial differences from the techniques of this application as described herein.

The invention claimed is:

1. A method performed by a node of a wireless network, the method comprising:

receiving, at the node of the wireless network, a message comprising a connection release indication from a user equipment (UE) while the UE is in a first radio resource control (RRC) connected state or mode that is associated with a first discontinuous reception (DRX) cycle, the connection release indication indicating that the UE expects no further data; and

in response to receiving the connection release indication indicating that the UE expects no further data, initiating, by the node of the wireless network and based on the message, a transition of the UE from the first RRC connected state or mode to a second RRC connected state or mode, wherein the second RRC connected state

or mode is more battery efficient than the first RRC connected state or mode, and the second RRC connected state or mode is associated with a second DRX cycle that is longer than the first DRX cycle.

- 2. The method of claim 1, wherein the message is an RRC <sup>5</sup> signaling connection release indication (SCM) message.
- 3. The method of claim 1, wherein the wireless network is a Universal Mobile Telecommunications System (UMTS) network or a UMTS Terrestrial Radio Access Network (UTRAN).
- 4. The method of claim 3, wherein the node is a NodeB or a radio network controller (RNC).
  - **5**. A node of a wireless network, the node comprising: a processor; and
  - a memory storing instructions which, when executed by the processor, cause the node to perform operations of: receiving, at the node of the wireless network, a message comprising a connection release indication from a user equipment (UE) while the UE is in a first radio resource control (RRC) connected state or mode that is associated with a first discontinuous reception (DRX) cycle, the connection release indication indicating that the UE expects no further data; and
  - in response to receiving the connection release indication indicating that the UE expects no further data, initiating, by the node of the wireless network and based on the message, a transition of the UE from the first RRC connected state or mode to a second RRC connected state or mode, wherein the second RRC connected state or mode is more battery efficient than the first RRC connected state or mode, and the second RRC connected state or mode is associated with a second DRX cycle that is longer than the first DRX cycle.
- 6. The node of claim 5, wherein the message is an RRC signaling connection release indication (SCM) message.

**16** 

- 7. The node of claim 5, wherein the wireless network is a Universal Mobile Telecommunications System (UMTS) network or a UMTS Terrestrial Radio Access Network (UTRAN).
- **8**. The node of claim 7, wherein the node is a NodeB or a radio network controller (RNC).
- 9. A non-transitory computer readable medium storing instructions to cause a processor to perform operations comprising:
  - receiving, at a node of a wireless network, a message comprising a connection release indication from a user equipment (UE) while the UE is in a first radio resource control (RRC) connected state or mode that is associated with a first discontinuous reception (DRX) cycle, the connection release indication indicating that the UE expects no further data; and
  - in response to receiving the connection release indication indicating that the UE expects no further data, initiating, by the node of the wireless network and based on the message, a transition of the UE from the first RRC connected state or mode to a second RRC connected state or mode, wherein the second RRC connected state or mode is more battery efficient than the first RRC connected state or mode, and the second RRC connected state or mode is associated with a second DRX cycle that is longer than the first DRX cycle.
- 10. The computer readable medium of claim 9, wherein the message is an RRC signaling connection release indication (SCM) message.
- 11. The computer readable medium of claim 9, wherein the wireless network is a Universal Mobile Telecommunications System (UMTS) network or a UMTS Terrestrial Radio Access Network (UTRAN).
- 12. The computer readable medium of claim 11, wherein the node is a NodeB or a radio network controller (RNC).

\* \* \* \* \*