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(54) **ENGINEERED SUBSTRATE STRUCTURES FOR POWER AND RF APPLICATIONS**

(71) Applicant: **QROMIS, Inc.**, Santa Clara, CA (US)

(72) Inventors: **Vladimir Odnoblyudov**, Danville, CA (US); **Cem Basceri**, Los Gatos, CA (US); **Shari Farrens**, Boise, ID (US)

(73) Assignee: **QROMIS, INC.**, Santa Clara, CA (US)

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C30B 33/08 (2006.01)
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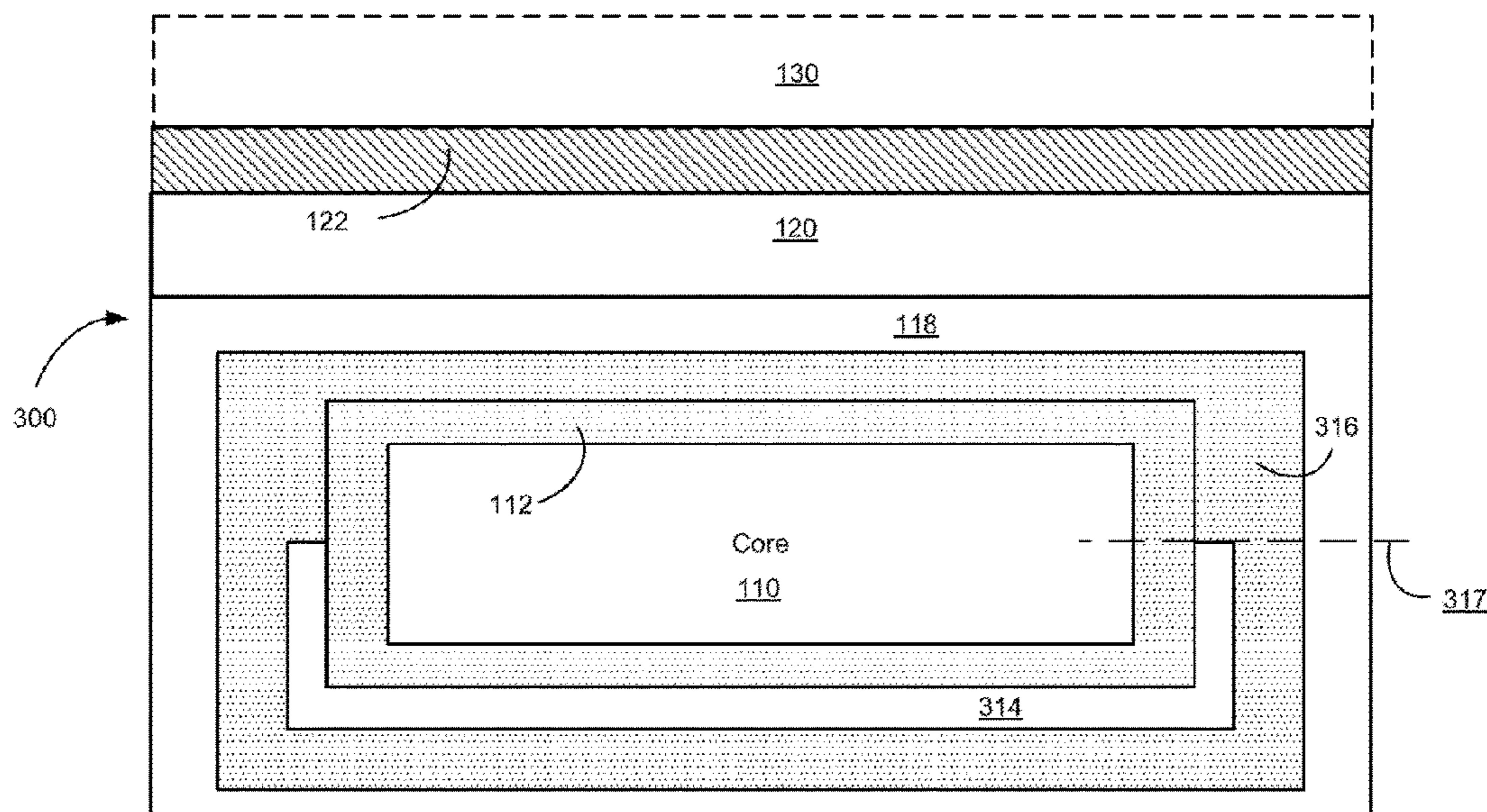
Primary Examiner — Think T Nguyen

(74) *Attorney, Agent, or Firm* — Kilpatrick Townsend & Stockton LLP

(57) **ABSTRACT**

A method of manufacturing a substrate includes forming a support structure by providing a polycrystalline ceramic core, encapsulating the polycrystalline ceramic core in a first adhesion shell, encapsulating the first adhesion shell in a conductive shell, encapsulating the conductive shell in a second adhesion shell, and encapsulating the second adhesion shell in a barrier shell. The method also includes joining a bonding layer to the support structure, joining a substantially single crystalline silicon layer to the bonding layer, forming an epitaxial silicon layer by epitaxial growth on the substantially single crystalline silicon layer, and forming one or more epitaxial III-V layers by epitaxial growth on the epitaxial silicon layer.

20 Claims, 10 Drawing Sheets



- Related U.S. Application Data**
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H01L 21/8252 (2006.01)
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H01L 29/20 (2006.01)
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C30B 33/06 (2006.01)
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 See application file for complete search history.

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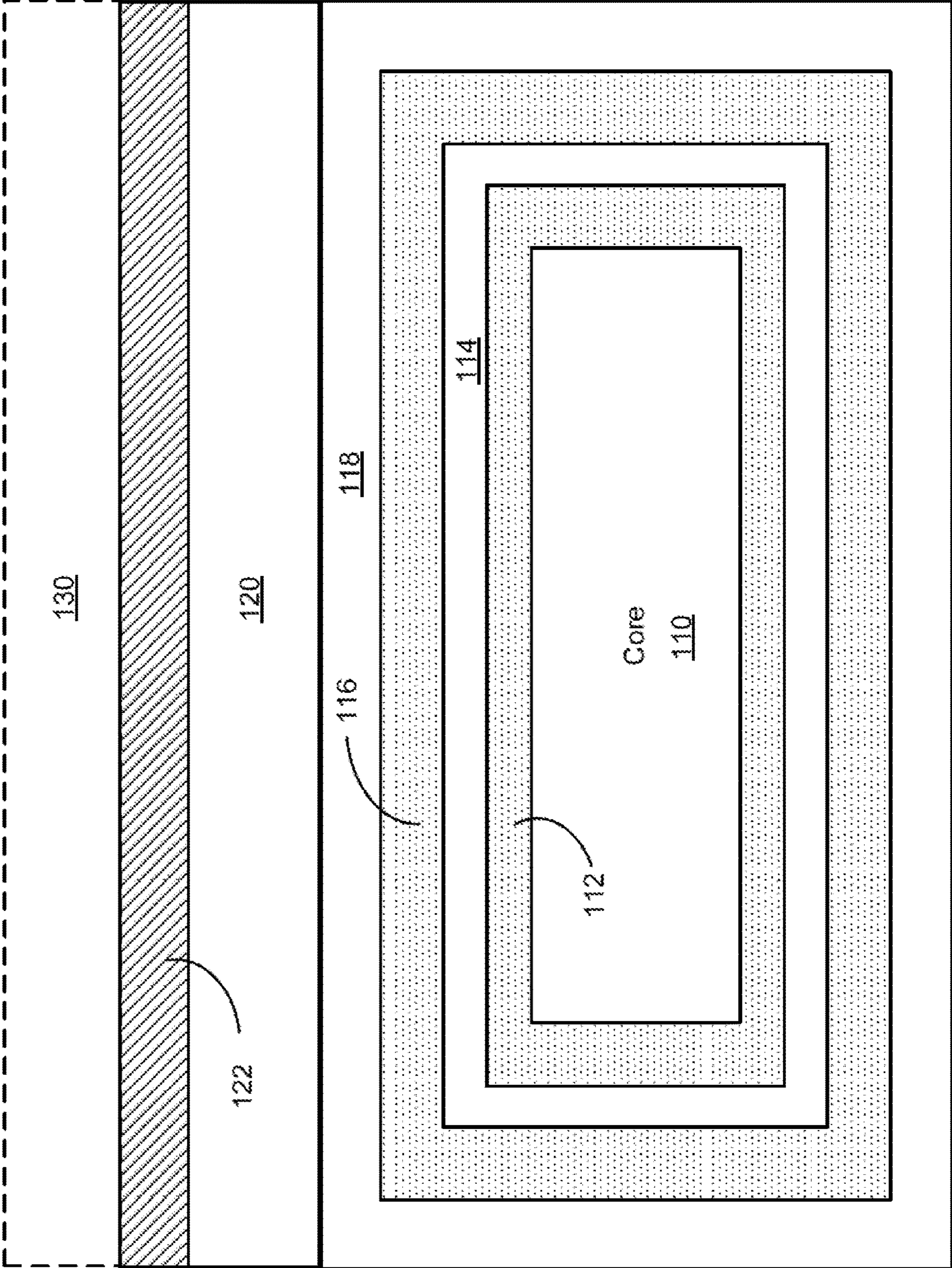


FIG. 1

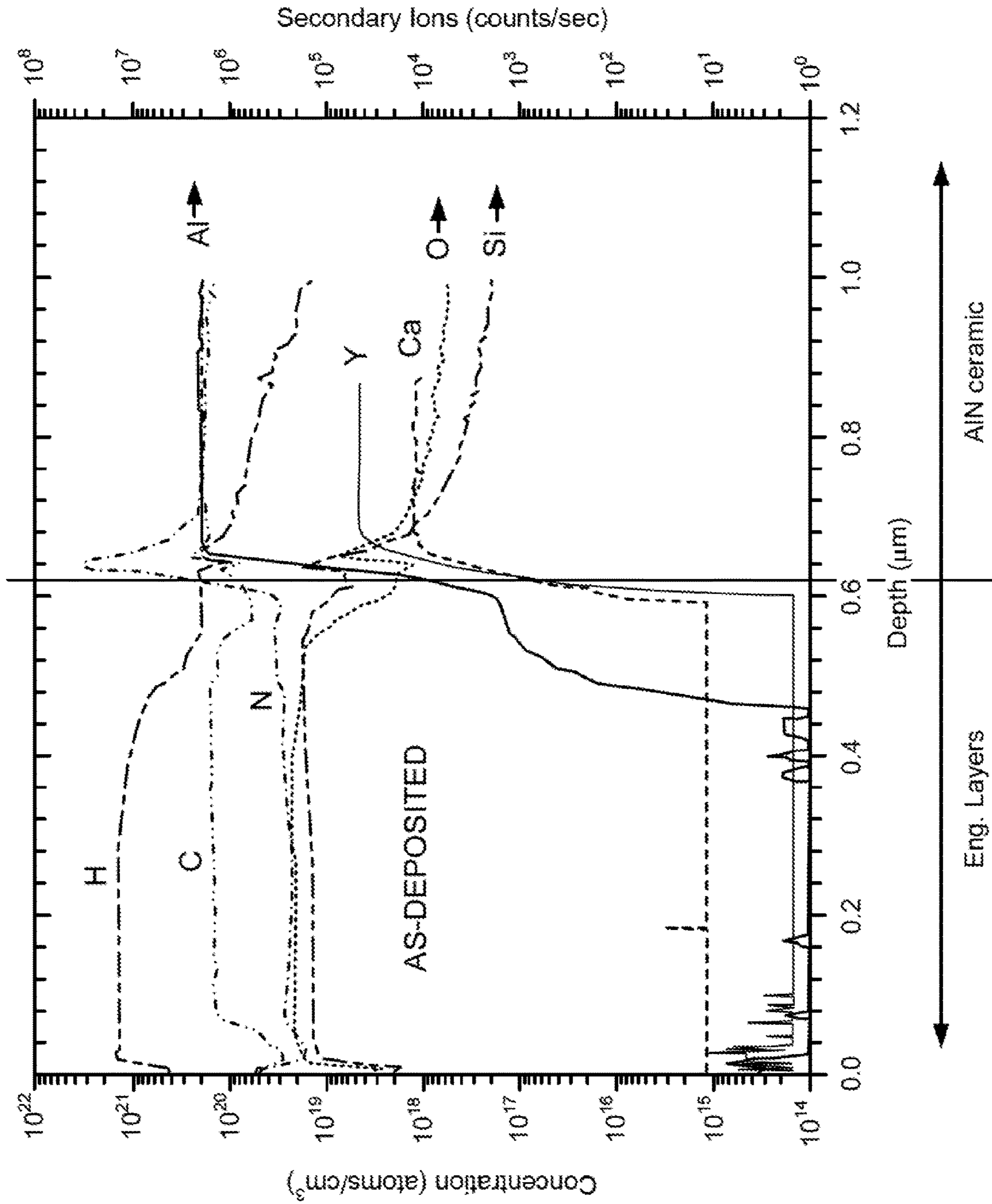


FIG. 2A

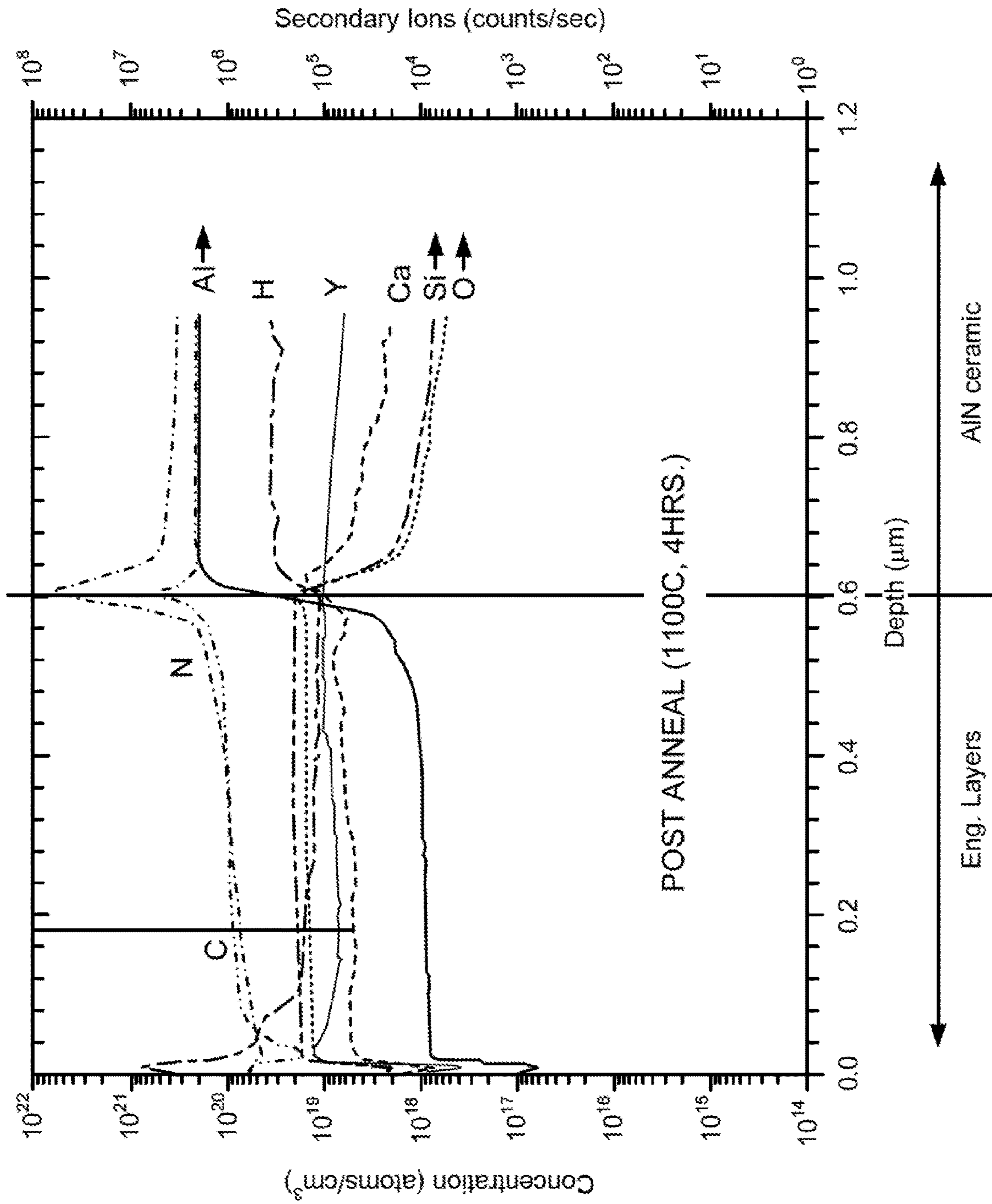


FIG. 2B

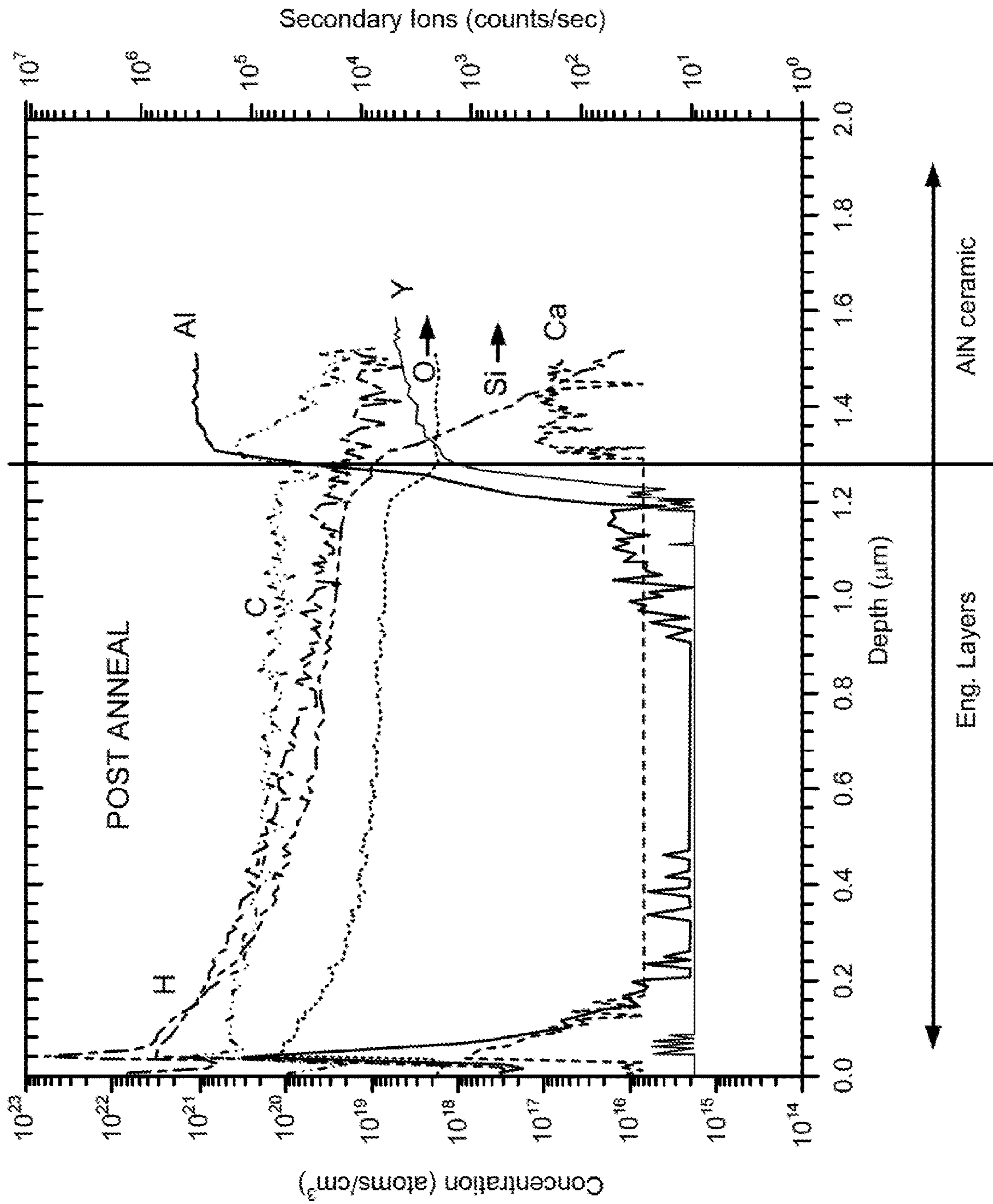


FIG. 2C

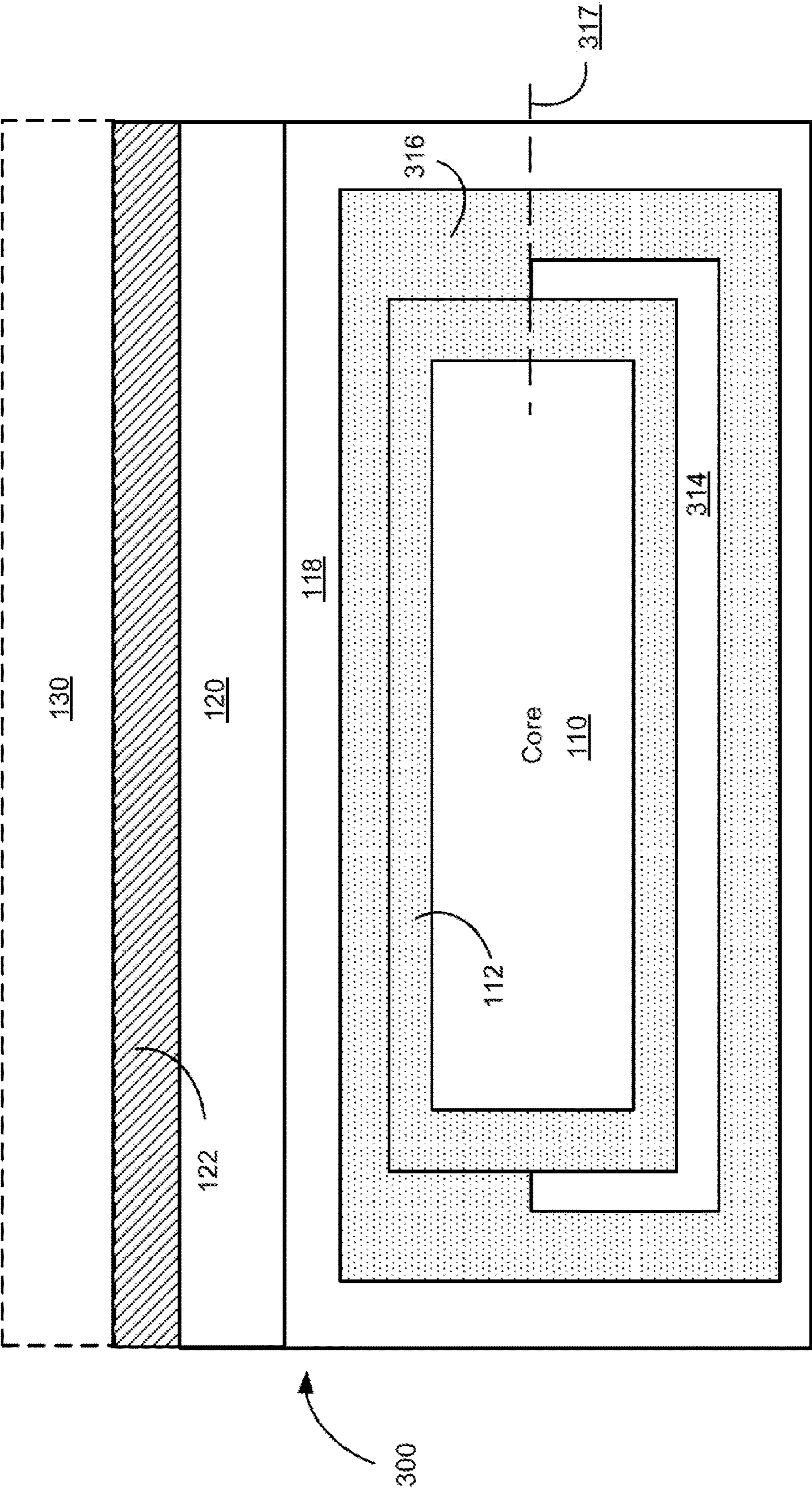


FIG. 3

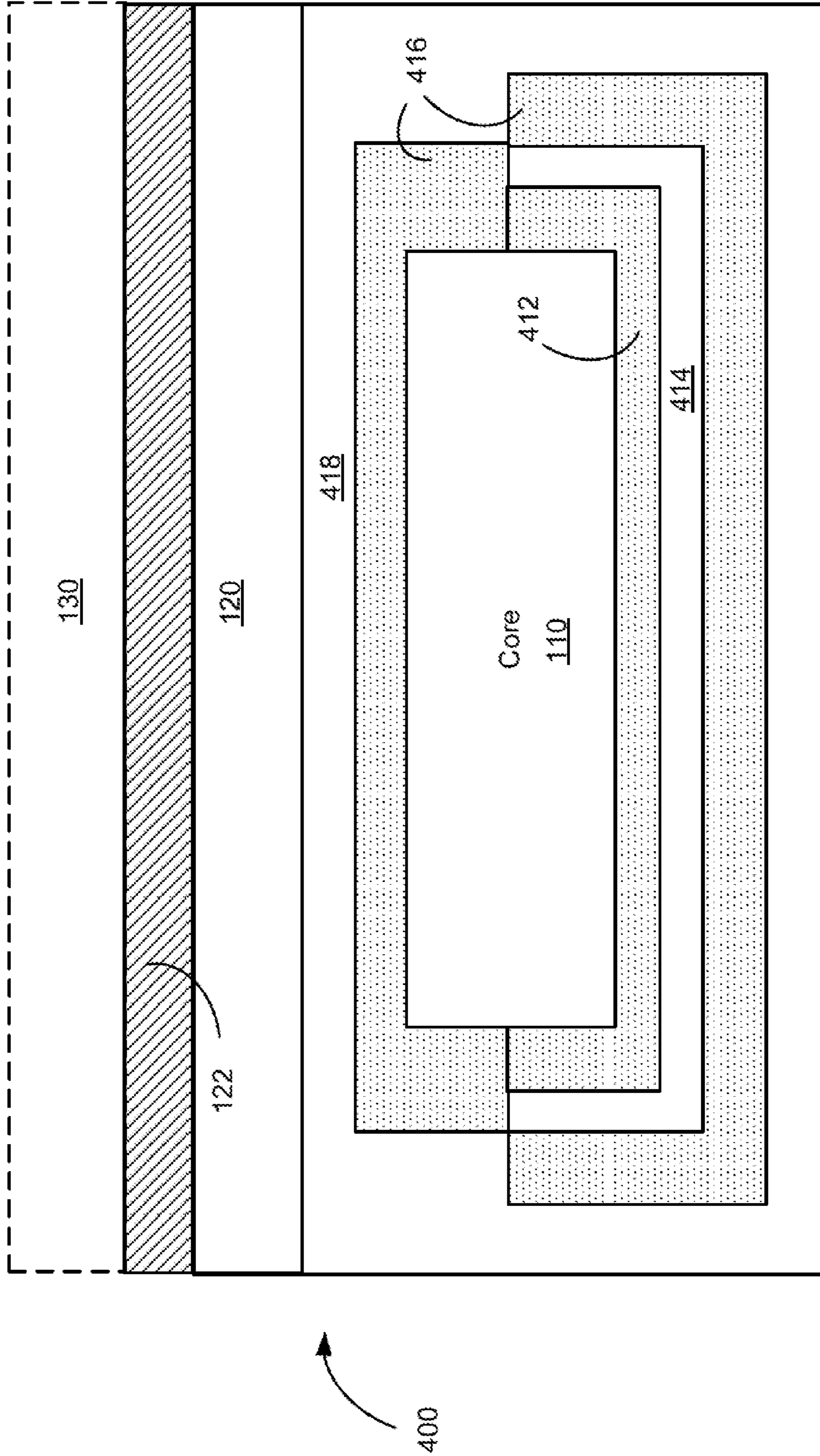


FIG. 4

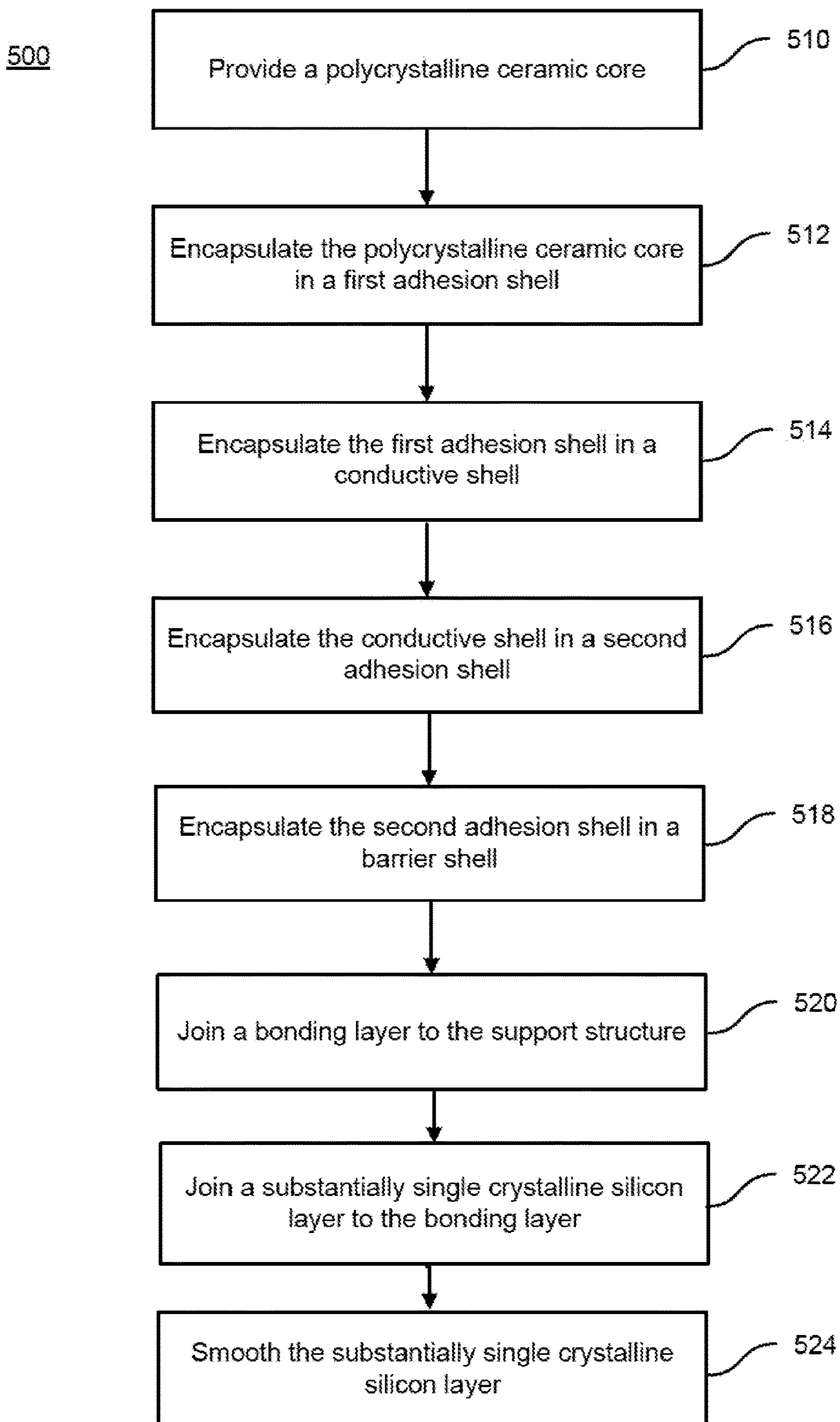


FIG. 5

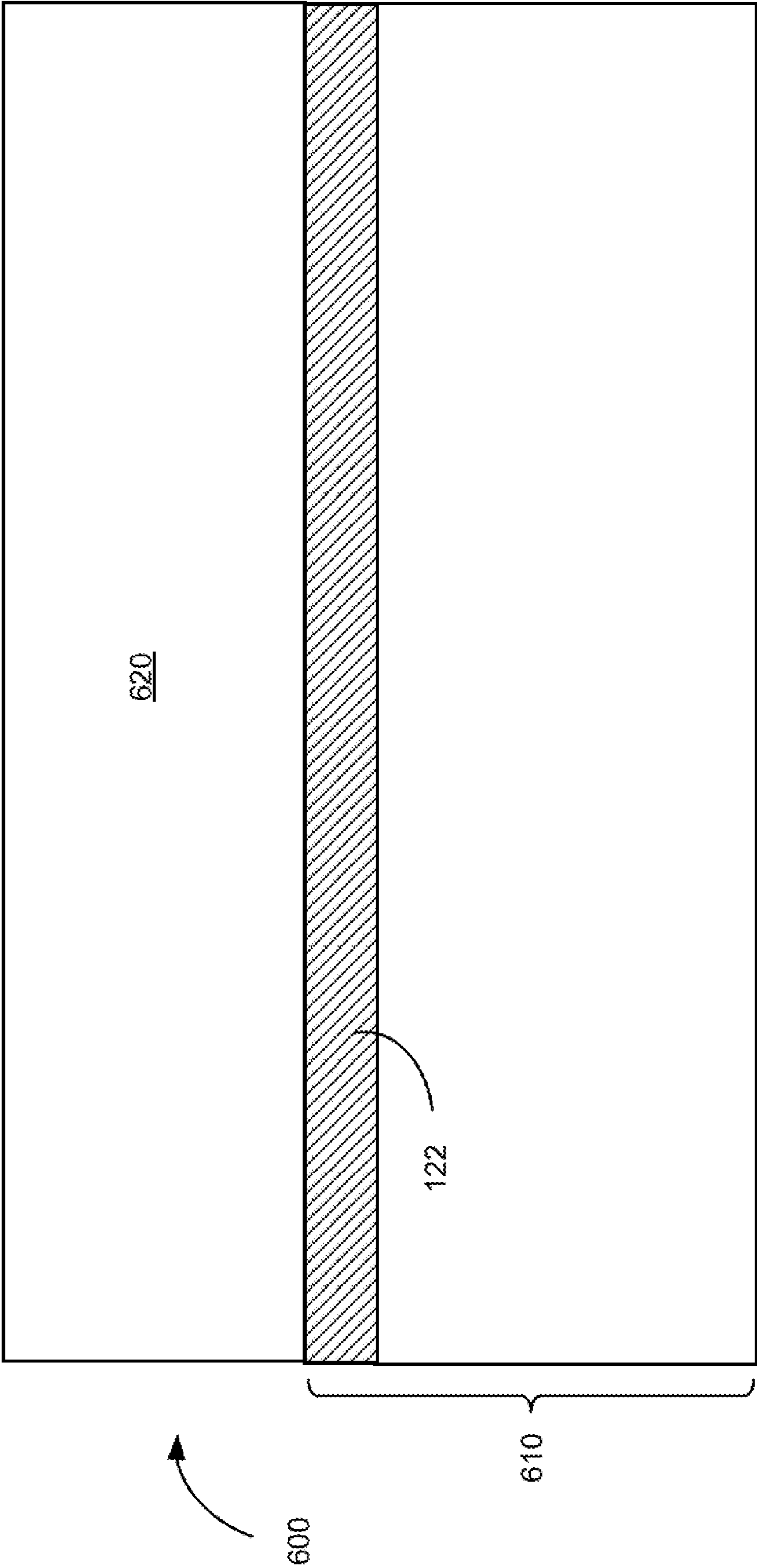


FIG. 6

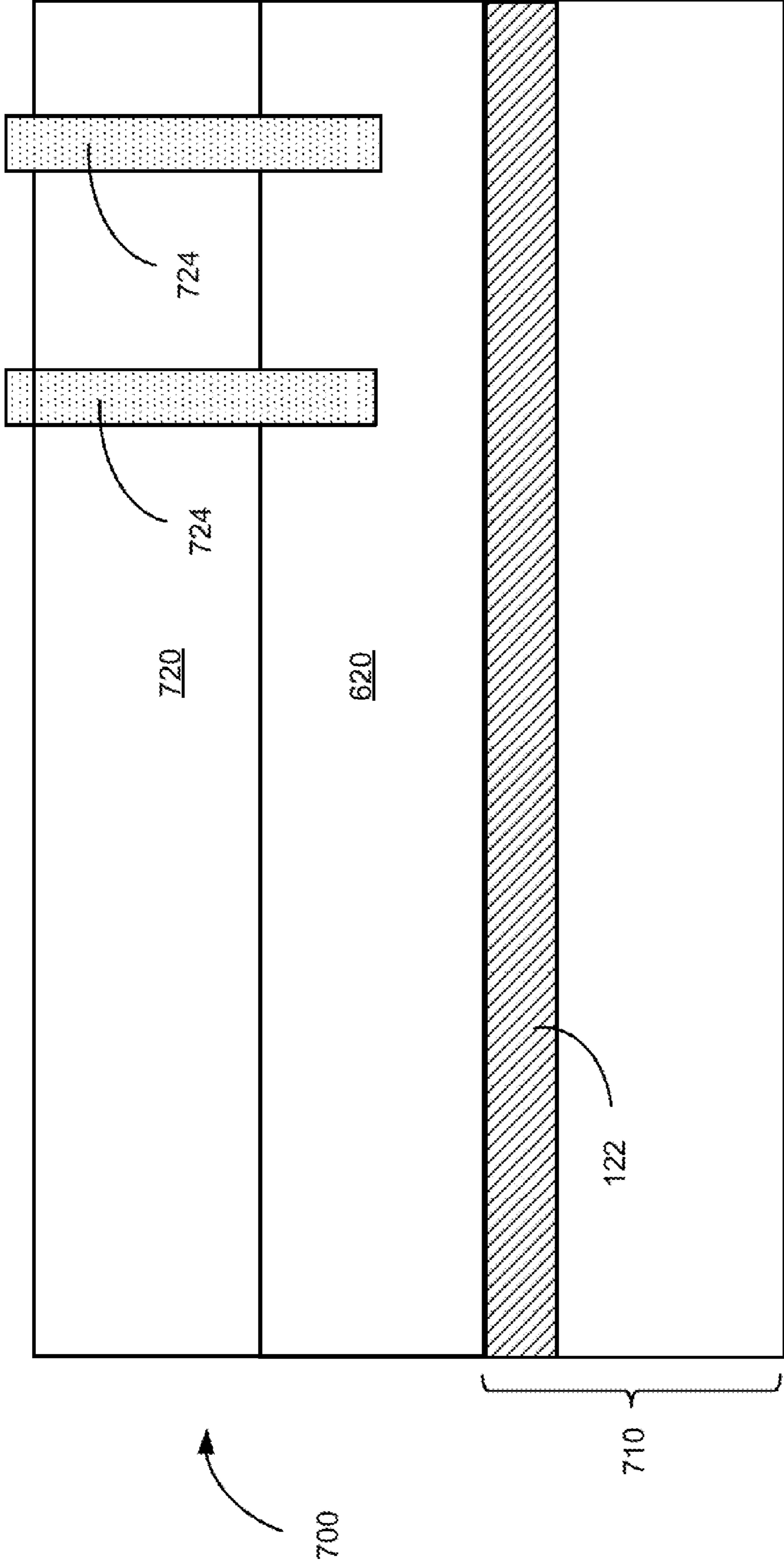


FIG. 7

800

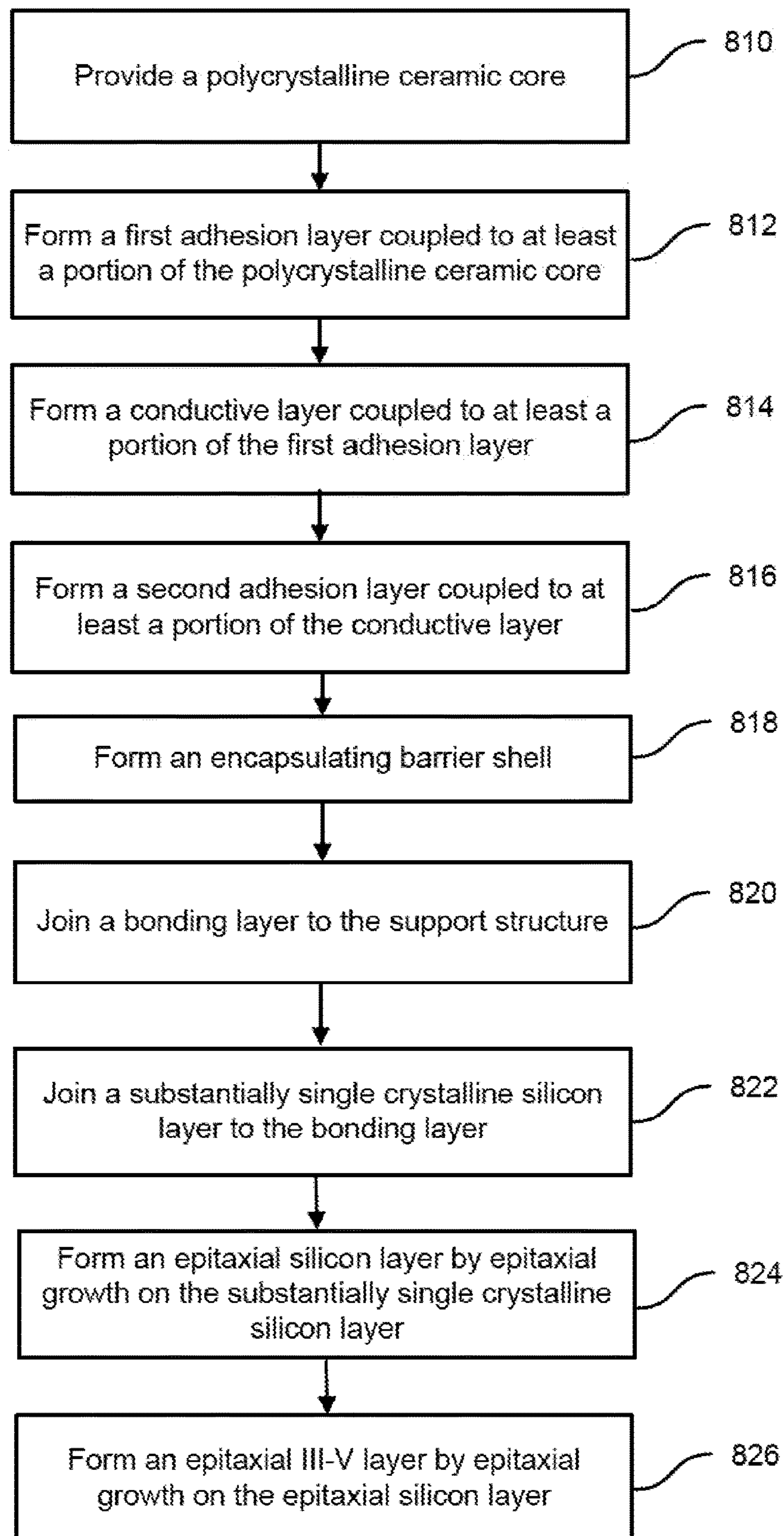


FIG. 8

ENGINEERED SUBSTRATE STRUCTURES FOR POWER AND RF APPLICATIONS

CROSS-REFERENCES TO RELATED APPLICATIONS

This application is a continuation application of U.S. patent application Ser. No. 16/287,782, filed Feb. 27, 2019 entitled, "METHODS OF MANUFACTURING ENGINEERED SUBSTRATE STRUCTURES FOR POWER AND RF APPLICATIONS," which is a divisional application of U.S. patent application Ser. No. 15/621,335 filed on Jun. 13, 2017, now U.S. Pat. No. 10,297,445, entitled "ENGINEERED SUBSTRATE STRUCTURE FOR POWER AND RF APPLICATIONS," which claims benefit of U.S. Provisional Patent Application No. 62/350,084, filed on Jun. 14, 2016, entitled "ENGINEERED SUBSTRATE STRUCTURE FOR POWER AND RF APPLICATIONS," the disclosures of which are hereby incorporated by reference in their entireties for all purposes.

BACKGROUND OF THE INVENTION

Light-emitting diode (LED) structures are typically epitaxially grown on sapphire substrates. Many products currently use LED devices, including lighting, computer monitors, and other display devices.

The growth of gallium nitride based LED structures on a sapphire substrate is a heteroepitaxial growth process since the substrate and the epitaxial layers are composed of different materials. Due to the heteroepitaxial growth process, the epitaxially grown material can exhibit a variety of adverse effects, including reduced uniformity and reductions in metrics associated with the electronic/optical properties of the epitaxial layers. Accordingly, there is a need in the art for improved methods and systems related to epitaxial growth processes and substrate structures.

SUMMARY OF THE INVENTION

The present invention relates generally to engineered substrate structures. More specifically, the present invention relates to methods and systems suitable for use in epitaxial growth processes. Merely by way of example, the invention has been applied to a method and system for providing a substrate structure suitable for epitaxial growth that is characterized by a coefficient of thermal expansion (CTE) that is substantially matched to epitaxial layers grown thereon. The methods and techniques can be applied to a variety of semiconductor processing operations.

According to an embodiment of the present invention, a substrate is provided. The substrate includes a support structure comprising: a polycrystalline ceramic core; a first adhesion layer coupled to the polycrystalline ceramic core; a conductive layer coupled to the first adhesion layer; a second adhesion layer coupled to the conductive layer; and a barrier layer coupled to the second adhesion layer. The substrate also includes a silicon oxide layer coupled to the support structure, a substantially single crystalline silicon layer coupled to the silicon oxide layer, and an epitaxial III-V layer coupled to the substantially single crystalline silicon layer.

According to another embodiment of the present invention, a method of manufacturing a substrate is provided. The method includes forming a support structure by: providing a polycrystalline ceramic core; encapsulating the polycrystalline ceramic core in a first adhesion shell; encapsulating the

first adhesion shell in a conductive shell; encapsulating the conductive shell in a second adhesion shell; and encapsulating the second adhesion shell in a barrier shell. The method also includes joining a bonding layer to the support structure, joining a substantially single crystalline silicon layer to the bonding layer, forming an epitaxial silicon layer by epitaxial growth on the substantially single crystalline silicon layer, and forming an epitaxial III-V layer by epitaxial growth on the epitaxial silicon layer.

According to a specific embodiment of the present invention, an engineered substrate structure is provided. The engineered substrate structure includes a support structure, a bonding layer coupled to the support structure, a substantially single crystalline silicon layer coupled to the bonding layer, and an epitaxial single crystal silicon layer coupled to the substantially single crystalline silicon layer. The support structure includes a polycrystalline ceramic core, a first adhesion layer coupled to the polycrystalline ceramic core, a conductive layer coupled to the first adhesion layer, a second adhesion layer coupled to the conductive layer, and a barrier shell coupled to the second adhesion layer.

Numerous benefits are achieved by way of the present invention over conventional techniques. For example, embodiments of the present invention provide an engineered substrate structure that is CTE matched to gallium nitride based epitaxial layers suitable for use in optical, electronic, and optoelectronic applications. Encapsulating layers utilized as components of the engineered substrate structure block diffusion of impurities present in central portions of the substrate from reaching the semiconductor processing environment in which the engineered substrate is utilized. The key properties associated with the substrate material, including the coefficient of thermal expansion, lattice mismatch, thermal stability, and shape control are engineered independently for an improved (e.g., an optimized) match with gallium nitride-based epitaxial and device layers, as well as with different device architectures and performance targets. Because substrate materials layers are integrated together in the conventional semiconductor fabrication processes, process integration is simplified. These and other embodiments of the invention along with many of its advantages and features are described in more detail in conjunction with the text below and attached figures.

BRIEF DESCRIPTION OF THE DRAWINGS

FIG. 1 is a simplified schematic diagram illustrating an engineered substrate structure according to an embodiment of the present invention.

FIG. 2A is a SIMS profile illustrating species concentration as a function of depth for an engineered structure according to an embodiment of the present invention.

FIG. 2B is a SIMS profile illustrating species concentration as a function of depth for an engineered structure after anneal according to an embodiment of the present invention.

FIG. 2C is a SIMS profile illustrating species concentration as a function of depth for an engineered structure with a silicon nitride layer after anneal according to an embodiment of the present invention.

FIG. 3 is a simplified schematic diagram illustrating an engineered substrate structure according to another embodiment of the present invention.

FIG. 4 is a simplified schematic diagram illustrating an engineered substrate structure according to yet another embodiment of the present invention.

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FIG. 5 is a simplified flowchart illustrating a method of fabricating an engineered substrate according to an embodiment of the present invention.

FIG. 6 is a simplified schematic diagram illustrating an epitaxial/engineered substrate structure for RF and power applications according to an embodiment of the present invention.

FIG. 7 is a simplified schematic diagram illustrating a III-V epitaxial layer on an engineered substrate structure according to an embodiment of the present invention.

FIG. 8 is a simplified flowchart illustrating a method of fabricating an engineered substrate according to another embodiment of the present invention.

DETAILED DESCRIPTION OF SPECIFIC EMBODIMENTS

Embodiments of the present invention relate to engineered substrate structures. More specifically, the present invention relates to methods and systems suitable for use in epitaxial growth processes. Merely by way of example, the invention has been applied to a method and system for providing a substrate structure suitable for epitaxial growth that is characterized by a coefficient of thermal expansion (CTE) that is substantially matched to epitaxial layers grown thereon. The methods and techniques can be applied to a variety of semiconductor processing operations.

FIG. 1 is a simplified schematic diagram illustrating an engineered substrate structure according to an embodiment of the present invention. The engineered substrate **100** illustrated in FIG. 1 is suitable for a variety of electronic and optical applications. The engineered substrate includes a core **110** that can have a coefficient of thermal expansion (CTE) that is substantially matched to the CTE of the epitaxial material that will be grown on the engineered substrate **100**. Epitaxial material **130** is illustrated as optional because it is not required as an element of the engineered substrate, but will typically be grown on the engineered substrate.

For applications including the growth of gallium nitride (GaN)-based materials (epitaxial layers including GaN-based layers), the core **110** can be a polycrystalline ceramic material, for example, polycrystalline aluminum nitride (AlN), which can include a binding material such as yttrium oxide. Other materials can be utilized in the core **110**, including polycrystalline gallium nitride (GaN), polycrystalline aluminum gallium nitride (AlGaN), polycrystalline silicon carbide (SiC), polycrystalline zinc oxide (ZnO), polycrystalline gallium trioxide (Ga₂O₃), and the like.

The thickness of the core can be on the order of 100 to 1,500 μm, for example, 725 μm. The core **110** is encapsulated in a first adhesion layer **112** that can be referred to as a shell or an encapsulating shell. In an embodiment, the first adhesion layer **112** comprises a tetraethyl orthosilicate (TEOS) layer on the order of 1,000 Å in thickness. In other embodiments, the thickness of the first adhesion layer varies, for example, from 100 Å to 2,000 Å. Although TEOS is utilized for adhesion layers in some embodiments, other materials that provide for adhesion between later deposited layers and underlying layers or materials (e.g., ceramics, in particular, polycrystalline ceramics) can be utilized according to an embodiment of the present invention. For example, SiO₂ or other silicon oxides (Si_xO_y) adhere well to ceramic materials and provide a suitable surface for subsequent deposition, for example, of conductive materials. The first adhesion layer **112** completely surrounds the core **110** in some embodiments to form a fully encapsulated core and

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can be formed using an LPCVD process. The first adhesion layer **112** provides a surface on which subsequent layers adhere to form elements of the engineered substrate structure.

In addition to the use of LPCVD processes, furnace-based processes, and the like to form the encapsulating first adhesion layer, other semiconductor processes can be utilized according to embodiments of the present invention, including CVD processes or similar deposition processes.

As an example, a deposition process that coats a portion of the core can be utilized, the core can be flipped over, and the deposition process could be repeated to coat additional portions of the core. Thus, although LPCVD techniques are utilized in some embodiments to provide a fully encapsulated structure, other film formation techniques can be utilized depending on the particular application.

A conductive layer **114** is formed surrounding the adhesion layer **112**. In an embodiment, the conductive layer **114** is a shell of polysilicon (i.e., polycrystalline silicon) that is formed surrounding the first adhesion layer **112** since polysilicon can exhibit poor adhesion to ceramic materials. In embodiments in which the conductive layer is polysilicon, the thickness of the polysilicon layer can be on the order of 500-5,000 Å, for example, 2,500 Å. In some embodiments, the polysilicon layer can be formed as a shell to completely surround the first adhesion layer **112** (e.g., a TEOS layer), thereby forming a fully encapsulated first adhesion layer, and can be formed using an LPCVD process. In other embodiments, as discussed below, the conductive material can be formed on a portion of the adhesion layer, for example, a lower half of the substrate structure. In some embodiments, conductive material can be formed as a fully encapsulating layer and subsequently removed on one side of the substrate structure.

In an embodiment, the conductive layer **114** can be a polysilicon layer doped to provide a highly conductive material, for example, doped with boron to provide a p-type polysilicon layer. In some embodiments, the doping with boron is at a level of $1 \times 10^{19} \text{ cm}^{-3}$ to $1 \times 10^{20} \text{ cm}^{-3}$ to provide for high conductivity. Other dopants at different dopant concentrations (e.g., phosphorus, arsenic, bismuth, or the like at dopant concentrations ranging from $1 \times 10^{16} \text{ cm}^{-3}$ to $5 \times 10^{18} \text{ cm}^{-3}$) can be utilized to provide either n-type or p-type semiconductor materials suitable for use in the conductive layer. One of ordinary skill in the art would recognize many variations, modifications, and alternatives.

The presence of the conductive layer **114** is useful during electrostatic chucking of the engineered substrate to semiconductor processing tools, for example tools with electrostatic chucks (ESC). The conductive layer **114** enables rapid dechucking after processing in the semiconductor processing tools. Thus, embodiments of the present invention provide substrate structures that can be processed in manners utilized with conventional silicon wafers. One of ordinary skill in the art would recognize many variations, modifications, and alternatives.

A second adhesion layer **116** (e.g., a TEOS layer on the order of 1,000 Å in thickness) **116** is formed surrounding the conductive layer **114**. The second adhesion layer **116** completely surrounds the conductive layer **114** in some embodiments to form a fully encapsulated structure and can be formed using an LPCVD process, a CVD process, or any other suitable deposition process, including the deposition of a spin-on dielectric.

A barrier layer **118**, for example, a silicon nitride layer, is formed surrounding the second adhesion layer **116**. In an embodiment, the barrier layer **118** is a silicon nitride layer

118 that is on the order of 2,000 Å to 5,000 Å in thickness. The barrier layer **118** completely surrounds the second adhesion layer **116** in some embodiments to form a fully encapsulated structure and can be formed using an LPCVD process. In addition to silicon nitride layers, amorphous materials including SiCN, SiON, AlN, SiC, and the like can be utilized as barrier layers. In some implementations, the barrier layer **118** comprises a number of sub-layers that are built up to form the barrier layer. Thus, the term barrier layer is not intended to denote a single layer or a single material, but to encompass one or more materials layered in a composite manner. One of ordinary skill in the art would recognize many variations, modifications, and alternatives.

In some embodiments, the barrier layer **118**, e.g., a silicon nitride layer, prevents diffusion and/or outgassing of elements present in the core **110**, for example, yttrium oxide (i.e., yttria), oxygen, metallic impurities, other trace elements, and the like into the environment of the semiconductor processing chambers in which the engineered substrate could be present, for example, during a high temperature (e.g., 1,000° C.) epitaxial growth process. Utilizing the encapsulating layers described herein, ceramic materials, including polycrystalline AlN that are designed for non-clean room environments, can be utilized in semiconductor process flows and clean room environments.

FIG. 2A is a secondary ion mass spectroscopy (SIMS) profile illustrating species concentration as a function of depth for an engineered structure according to an embodiment of the present invention. The engineered structure did not include barrier layer **118**. Referring to FIG. 2A, several species present in the ceramic core (e.g., yttrium, calcium, and aluminum) drop to negligible concentrations in the engineered layers **120/122**. The concentrations of calcium, yttrium, and aluminum drop by three, four, and six orders of magnitude, respectively.

FIG. 2B is a SIMS profile illustrating species concentration as a function of depth for an engineered structure without a barrier layer after anneal according to an embodiment of the present invention. As discussed above, during semiconductor processing operations, the engineered substrate structures provided by embodiments of the present invention can be exposed to high temperatures (~1,100° C.) for several hours, for example, during epitaxial growth of GaN-based layers.

For the profile illustrated in FIG. 2B, the engineered substrate structure was annealed at 1,100° C. for a period of four hours. As shown by FIG. 2B, calcium, yttrium, and aluminum, originally present in low concentrations in the as deposited sample, have diffused into the engineered layers, reaching concentrations similar to other elements.

FIG. 2C is a SIMS profile illustrating species concentration as a function of depth for an engineered structure with a barrier layer after anneal according to an embodiment of the present invention. The integration of the diffusion barrier layer **118** (e.g., a silicon nitride layer) into the engineered substrate structure prevents the diffusion of calcium, yttrium, and aluminum into the engineered layers during the annealing process that occurred when the diffusion barrier layer was not present. As illustrated in FIG. 2C, calcium, yttrium, and aluminum present in the ceramic core remain at low concentrations in the engineered layers post-anneal. Thus, the use of the barrier layer **118** (e.g., a silicon nitride layer) prevents these elements from diffusing through the diffusion barrier and thereby prevents their release into the environment surrounding the engineered substrate. Similarly, any other impurities contained within the bulk ceramic material would be contained by the barrier layer.

Typically, ceramic materials utilized to form the core **110** are fired at temperatures in the range of 1,800° C. It would be expected that this process would drive out a significant amount of impurities present in the ceramic materials. These impurities can include yttrium, which results from the use of yttria as sintering agent, calcium, and other elements and compounds. Subsequently, during epitaxial growth processes, which are conducted at much lower temperatures in the range of 800° C. to 1,100° C., it would be expected that the subsequent diffusion of these impurities would be insignificant. However, contrary to conventional expectations, the inventors have determined that even during epitaxial growth processes at temperatures much less than the firing temperature of the ceramic materials, significant diffusion of elements through the layers of the engineered substrate can occur. Thus, embodiments of the present invention integrate a barrier layer **118** (e.g., a silicon nitride layer) to prevent out-diffusion of the background elements from the polycrystalline ceramic material (e.g., AlN) into the engineered layers **120/122** and epitaxial layers such as optional GaN layer **130**. The silicon nitride layer **118** encapsulating the underlying layers and material provides the desired barrier layer functionality.

As illustrated in FIG. 2B, elements originally present in the core **110**, including yttrium diffuse into and through the first TEOS layer **112**, the polysilicon layer **114**, and the second TEOS layer **116**. However, the presence of the silicon nitride layer **118** prevents these elements from diffusing through the silicon nitride layer and thereby prevents their release into the environment surrounding the engineered substrate, as illustrated in FIG. 2C.

Referring once again to FIG. 1, a bonding layer **120** (e.g., a silicon oxide layer) is deposited on a portion of the barrier layer **118**, for example, the top surface of the barrier layer, and subsequently used during the bonding of a substantially single crystal silicon layer **122**. The bonding layer **120** can be approximately 1.5 μm in thickness in some embodiments.

The substantially single crystalline layer **122** is suitable for use as a growth layer during an epitaxial growth process for the formation of epitaxial material **130**. In some embodiments, the epitaxial material **130** includes a GaN layer 2 μm to 10 μm in thickness, which can be utilized as one of a plurality of layers utilized in optoelectronic devices, RF devices, power devices, and the like. In an embodiment, the substantially single crystalline layer **122** includes a substantially single crystalline silicon layer that is attached to the silicon oxide layer **118** using a layer transfer process.

FIG. 3 is a simplified schematic diagram illustrating an engineered substrate structure according to an embodiment of the present invention. The engineered substrate **300** illustrated in FIG. 3 is suitable for a variety of electronic and optical applications. The engineered substrate includes a core **110** that can have a coefficient of thermal expansion (CTE) that is substantially matched to the CTE of the epitaxial material **130** that will be grown on the engineered substrate **300**. Epitaxial material **130** is illustrated as optional because it is not required as an element of the engineered substrate structure, but will typically be grown on the engineered substrate structure.

For applications including the growth of gallium nitride (GaN)-based materials (epitaxial layers including GaN-based layers), the core **110** can be a polycrystalline ceramic material, for example, polycrystalline aluminum nitride (AlN). The thickness of the core can be on the order of 100 to 1,500 μm, for example, 725 μm. The core **110** is encapsulated in a first adhesion layer **112** that can be referred to as a shell or an encapsulating shell. In this implementation,

the first adhesion layer **112** completely encapsulates the core, but this is not required by the present invention, as discussed in additional detail with respect to FIG. **4**.

In an embodiment, the first adhesion layer **112** comprises a tetraethyl orthosilicate (TEOS) layer on the order of 1,000 Å in thickness. In other embodiments, the thickness of the first adhesion layer varies, for example, from 100 Å to 2,000 Å. Although TEOS is utilized for adhesion layers in some embodiments, other materials that provide for adhesion between later deposited layers and underlying layers or materials can be utilized according to an embodiment of the present invention. For example, SiO₂, SiON, and the like adhere well to ceramic materials and provide a suitable surface for subsequent deposition, for example, of conductive materials. The first adhesion layer **112** completely surrounds the core **110** in some embodiments to form a fully encapsulated core and can be formed using an LPCVD process. The adhesion layer provides a surface on which subsequent layers adhere to form elements of the engineered substrate structure.

In addition to the use of LPCVD processes, furnace-based processes, and the like to form the encapsulating adhesion layer, other semiconductor processes can be utilized according to embodiments of the present invention. As an example, a deposition process, for example, CVD, PECVD, or the like, that coats a portion of the core can be utilized, the core can be flipped over, and the deposition process could be repeated to coat additional portions of the core.

A conductive layer **314** is formed on at least a portion of the first adhesion layer **112**. In an embodiment, the conductive layer **314** includes polysilicon (i.e., polycrystalline silicon) that is formed by a deposition process on a lower portion (e.g., the lower half or backside) of the core/adhesion layer structure. In embodiments in which the conductive layer is polysilicon, the thickness of the polysilicon layer can be on the order of a few thousand angstroms, for example, 3,000 Å. In some embodiments, the polysilicon layer can be formed using an LPCVD process.

In an embodiment, the conductive layer **314** can be a polysilicon layer doped to provide a highly conductive material, for example, the conductive layer **314** can be doped with boron to provide a p-type polysilicon layer. In some embodiments, the doping with boron is at a level ranging from about $1 \times 10^{19} \text{ cm}^{-3}$ to $1 \times 10^{20} \text{ cm}^{-3}$ to provide for high conductivity. The presence of the conductive layer is useful during electrostatic chucking of the engineered substrate to semiconductor processing tools, for example tools with electrostatic chucks (ESC). The conductive layer **314** enables rapid dechucking after processing. Thus, embodiments of the present invention provide substrate structures that can be processed in manners utilized with conventional silicon wafers. One of ordinary skill in the art would recognize many variations, modifications, and alternatives.

A second adhesion layer **316** (e.g., a second TEOS layer) is formed surrounding the conductive layer **314** (e.g., a polysilicon layer). The second adhesion layer **316** is on the order of 1,000 Å in thickness. The second adhesion layer **316** can completely surround the conductive layer **314** as well as the first adhesion layer **112** in some embodiments to form a fully encapsulated structure and can be formed using an LPCVD process. In other embodiments, second adhesion layer **316** only partially surrounds conductive layer **314**, for example, terminating at the position illustrated by plane **317**, which may be aligned with the top surface of conductive layer **314**. In this example, the top surface of conductive layer **314** will be in contact with a portion of barrier layer

118. One of ordinary skill in the art would recognize many variations, modifications, and alternatives.

A barrier layer **118** (e.g., a silicon nitride layer) is formed surrounding the second adhesion layer **316**. The barrier layer **118** is on the order of 4,000 Å to 5,000 Å in thickness in some embodiments. In some embodiments, the barrier layer **118** completely surrounds the second adhesion layer **316** to form a fully encapsulated structure and can be formed using an LPCVD process.

In some embodiments, the use of a silicon nitride barrier layer prevents diffusion and/or outgassing of elements present in the core **110**, for example, yttrium oxide (i.e., yttria), oxygen, metallic impurities, other trace elements and the like into the environment of the semiconductor processing chambers in which the engineered substrate could be present, for example, during a high temperature (e.g., 1,000° C.) epitaxial growth process. Utilizing the encapsulating layers described herein, ceramic materials, including polycrystalline AlN that are designed for non-clean room environments can be utilized in semiconductor process flows and clean room environments.

FIG. **4** is a simplified schematic diagram illustrating an engineered substrate structure according to another embodiment of the present invention. In the embodiment illustrated in FIG. **4**, a first adhesion layer **412** is formed on at least a portion of the core **110**, but does not encapsulate the core **110**. In this implementation, the first adhesion layer **412** is formed on a lower surface of the core **110** (the backside of the core **110**) in order to enhance the adhesion of a subsequently formed conductive layer **414** as described more fully below. Although adhesion layer **412** is only illustrated on the lower surface of the core **110** in FIG. **4**, it will be appreciated that deposition of adhesion layer material on other portions of the core will not adversely impact the performance of the engineered substrates structure and such material can be present in various embodiments. One of ordinary skill in the art would recognize many variations, modifications, and alternatives.

The conductive layer **414** does not encapsulate the first adhesion layer **412** and the core **110**, but is substantially aligned with the first adhesion layer **412**. Although the conductive layer **414** is illustrated as extending along the bottom or backside and up a portion of the sides of the first adhesion layer **412**, extension along the vertical side is not required by the present invention. Thus, embodiments can utilize deposition on one side of the substrate structure, masking of one side of the substrate structure, or the like. The conductive layer **414** can be formed on a portion of one side, for example, the bottom/backside, of the first adhesion layer **412**. The conductive **414** layer provides for electrical conduction on one side of the engineered substrate structure, which can be advantageous in RF and high power applications. The conductive layer can include doped poly silicon as discussed in relation to the conductive layer **114** in FIG. **1**.

A portion of the core **110**, portions of the first adhesion layer **412**, and the conductive layer **414** are covered with a second adhesion layer **416** in order to enhance the adhesion of the barrier layer **418** to the underlying materials. The barrier layer **418** forms an encapsulating structure to prevent diffusion from underlying layers as discussed above.

In addition to semiconductor-based conductive layers, in other embodiments, the conductive layer **414** is a metallic layer, for example, 500 Å of titanium, or the like.

Referring once again to FIG. **4**, depending on the implementation, one or more layers may be removed. For example, layers **412** and **414** can be removed, only leaving a single adhesion shell **416** and the barrier layer **418**. In

another embodiment, only layer **414** can be removed. In this embodiment, layer **412** may also balance the stress and the wafer bow induced by layer **120**, deposited on top of layer **418**. The construction of a substrate structure with insulating layers on the top side of core **110** (e.g., with only insulating layer between core **110** and layer **120**) will provide benefits for power/RF applications, where a highly insulating substrate is desirable.

In another embodiment, the barrier layer **418** may directly encapsulate core **110**, followed by the conductive layer **414** and subsequent adhesion layer **416**. In this embodiment, layer **120** may be directly deposited onto the adhesion layer **416** from the top side. In yet another embodiment, the adhesion layer **416** may be deposited on the core **110**, followed by a barrier layer **418**, and then followed by a conductive layer **414**, and another adhesion layer **412**.

Although some embodiments have been discussed in terms of a layer, the term layer should be understood such that a layer can include a number of sub-layers that are built up to form the layer of interest. Thus, the term layer is not intended to denote a single layer consisting of a single material, but to encompass one or more materials layered in a composite manner to form the desired structure. One of ordinary skill in the art would recognize many variations, modifications, and alternatives.

FIG. **5** is a simplified flowchart illustrating a method of fabricating an engineered substrate according to an embodiment of the present invention. The method can be utilized to manufacture a substrate that is CTE matched to one or more of the epitaxial layers grown on the substrate. The method **500** includes forming a support structure by providing a polycrystalline ceramic core (**510**), encapsulating the polycrystalline ceramic core in a first adhesion layer forming a shell (**512**) (e.g., a tetraethyl orthosilicate (TEOS) shell), and encapsulating the first adhesion layer in a conductive shell (**514**) (e.g., a polysilicon shell). The first adhesion layer can be formed as a single layer of TEOS. The conductive shell can be formed as a single layer of polysilicon.

The method also includes encapsulating the conductive shell in a second adhesion layer (**516**) (e.g., a second TEOS shell) and encapsulating the second adhesion layer in a barrier layer shell (**518**). The second adhesion layer can be formed as a single layer of TEOS. The barrier layer shell can be formed as a single layer of silicon nitride.

Once the support structure is formed by processes **510-518**, the method further includes joining a bonding layer (e.g., a silicon oxide layer) to the support structure (**520**) and joining a substantially single crystalline layer, for example, a substantially single crystalline silicon layer, to the silicon oxide layer (**522**). Other substantially single crystalline layers can be used according to embodiments of the present invention, including SiC, sapphire, GaN, AlN, SiGe, Ge, Diamond, Ga₂O₃, ZnO, and the like. The joining of the bonding layer can include deposition of a bonding material followed by planarization processes as described herein. In an embodiment as described below, joining the substantially single crystalline layer (e.g., a substantially single crystalline silicon layer) to the bonding layer utilizes a layer transfer process in which the layer is a single crystal silicon layer that is transferred from a silicon wafer.

Referring to FIG. **1**, the bonding layer **120** can be formed by a deposition of a thick (e.g., 4 μm thick) oxide layer followed by a chemical mechanical polishing (CMP) process to thin the oxide to approximately 1.5 μm in thickness. The thick initial oxide serves to fill voids and surface features present on the support structure that may be present after fabrication of the polycrystalline core and continue to

be present as the encapsulating layers illustrated in FIG. **1** are formed. The CMP process provides a substantially planar surface free of voids, particles, or other features, which can then be used during a wafer transfer process to bond the substantially single crystalline layer **122** (e.g., a substantially single crystalline silicon layer) to the bonding layer **120**. It will be appreciated that the bonding layer **120** does not have to be characterized by an atomically flat surface, but should provide a substantially planar surface that will support bonding of the substantially single crystalline layer (e.g., a substantially single crystalline silicon layer) with the desired reliability.

A layer transfer process can be used to join the substantially single crystalline silicon layer **122** to the bonding layer **120**. In some embodiments, a silicon wafer (e.g., a silicon (111) wafer) is implanted to form a cleave plane. After wafer bonding, the silicon substrate can be removed along with the portion of the single crystal silicon layer below the cleave plane, resulting in the exfoliated single crystal silicon layer **122** illustrated in FIG. **1**. The thickness of the substantially single crystal layer **122** can be varied to meet the specifications of various applications. Moreover, the crystal orientation of the substantially single crystal layer **122** can be varied to meet the specifications of the application. Additionally, the doping levels and profile in the substantially single crystal layer **122** can be varied to meet the specifications of the particular application.

The method illustrated in FIG. **5** may also include smoothing the substantially single crystal layer (**524**). In some embodiments, the thickness and the surface roughness of the substantially single crystal layer **122** can be modified for high quality epitaxial growth. Different device applications may have slightly different specifications regarding the thickness and surface smoothness of the substantially single crystal layer **122**. The cleave process delaminates the substantially single crystal layer **122** from a bulk single crystal silicon wafer at a peak of an implanted ion profile. After cleaving, the substantially single crystal layer **122** can be adjusted or modified in several aspects before it is utilized as a growth surface for epitaxial growth of other materials, such as gallium nitride.

First, the transferred substantially single crystal layer **122** may contain a small amount of residual hydrogen concentration and may have some crystal damage from the implant. Therefore, it may be beneficial to remove a thin portion of the transferred substantially single crystal layer **122** where the crystal lattice is damaged. In some embodiments, the depth of the implant may be adjusted to be greater than the desired final thickness of substantially single crystal layer **122**. The additional thickness allows for the removal of the thin portion of the transferred substantially single crystal layer that is damaged, leaving behind the undamaged portion of the desired final thickness.

Second, it may be desirable to adjust the total thickness of the substantially single crystal layer **122**. In general, it may be desirable to have the substantially single crystal layer **122** thick enough to provide a high quality lattice template for the subsequent growth of one or more epitaxial layers but thin enough to be highly compliant. The substantially single crystal layer **122** may be said to be “compliant” when the substantially single crystal layer **122** is relatively thin such that its physical properties are less constrained and able to mimic those of the materials surrounding it with less propensity to generate crystalline defects. The compliance of the substantially single crystal layer **122** may be inversely related to the thickness of the substantially single crystal layer **122**. A higher compliance can result in lower defect

densities in the epitaxial layers grown on the template and enable thicker epitaxial layer growth. In some embodiments, the thickness of the substantially single crystal layer **122** may be increased by epitaxial growth of silicon on the exfoliated silicon layer.

Third, it may be beneficial to improve the smoothness of the substantially single crystal layer **122**. The smoothness of the layer may be related to the total hydrogen dose, the presence of any co-implanted species, and the annealing conditions used to form the hydrogen-based cleave plane. The initial roughness resulting from the layer transfer (i.e., the cleave step) may be mitigated by thermal oxidation and oxide strip, as discussed below.

In some embodiments, the removal of the damaged layer and adjusting the final thickness of the substantially single crystal layer **122** may be achieved through thermal oxidation of a top portion of the exfoliated silicon layer, followed by an oxide layer strip with hydrogen fluoride (HF) acid. For example, an exfoliated silicon layer having an initial thickness of 0.5 μm may be thermally oxidized to create a silicon dioxide layer that is about 420 nm thick. After removal of the grown thermal oxide, the remaining silicon thickness in the transferred layer may be about 53 nm. During thermal oxidation, implanted hydrogen may migrate toward the surface. Thus, the subsequent oxide layer strip may remove some damage. Also, thermal oxidation is typically performed at a temperature of 1000° C. or higher. The elevated temperature can may also repair lattice damage.

The silicon oxide layer formed on the top portion of the substantially single crystal layer during thermal oxidation can be stripped using HF acid etching. The etching selectivity between silicon oxide and silicon ($\text{SiO}_2\text{:Si}$) by HF acid may be adjusted by adjusting the temperature and concentration of the HF solution and the stoichiometry and density of the silicon oxide. Etch selectivity refers to the etch rate of one material relative to another. The selectivity of the HF solution can range from about 10:1 to about 100:1 for ($\text{SiO}_2\text{:Si}$). A high etch selectivity may reduce the surface roughness by a similar factor from the initial surface roughness. However, the surface roughness of the resultant substantially single crystal layer **122** may still be larger than desired. For example, a bulk Si (111) surface may have a root-mean-square (RMS) surface roughness of less than 0.1 nm as determined by a 2 μm ×2 μm atomic force microscope (AFM) scan before additional processing. In some embodiments, the desired surface roughness for epitaxial growth of gallium nitride materials on Si (111) may be, for example, less than 1 nm, less than 0.5 nm, or less than 0.2 nm, on a 30 μm ×30 μm AFM scan area.

If the surface roughness of the substantially single crystal layer **122** after thermal oxidation and oxide layer strip exceeds the desired surface roughness, additional surface smoothing may be performed. There are several methods of smoothing a silicon surface. These methods may include hydrogen annealing, laser trimming, plasma smoothing, and touch polish (e.g., chemical mechanical polishing or CMP). These methods may involve preferential attack of high aspect ratio surface peaks. Hence, high aspect ratio features on the surface may be removed more quickly than low aspect ratio features, thus resulting in a smoother surface.

It should be appreciated that the specific steps illustrated in FIG. 5 provide a particular method of fabricating an engineered substrate according to an embodiment of the present invention. Other sequences of steps may also be performed according to alternative embodiments. For example, alternative embodiments of the present invention may perform the steps outlined above in a different order.

Moreover, the individual steps illustrated in FIG. 5 may include multiple sub-steps that may be performed in various sequences as appropriate to the individual step. Furthermore, additional steps may be added or removed depending on the particular applications. One of ordinary skill in the art would recognize many variations, modifications, and alternatives.

FIG. 6 is a simplified schematic diagram illustrating an epitaxial/engineered substrate structure for RF and power applications according to an embodiment of the present invention. In some LED applications, the engineered substrate structure provides a growth substrate that enables the growth of high quality GaN layers and the engineered substrate structure is subsequently removed. However, for RF and power device applications, the engineered substrate structure forms portions of the finished device and as a result, the electrical, thermal, and other properties of the engineered substrate structure or elements of the engineered substrate structure are important to the particular application.

Referring to FIG. 1, the single crystal silicon layer **122** is typically an exfoliated layer split from a silicon donor wafer using an implant and exfoliation technique. Typical implants are hydrogen and boron. For power and RF device applications, the electrical properties of the layers and materials in the engineered substrate structure are of importance. For example, some device architectures utilize highly insulating silicon layers with resistance greater than 10^3 Ohm-cm to reduce or eliminate leakage through the substrate and interface layers. Other applications utilized designs that include a conductive silicon layer of a predetermined thickness (e.g., 1 μm) in order to connect the source of the device to other elements. Thus, in these applications, control of the dimensions and properties of the single crystal silicon layer is desirable. In design in which implant and exfoliation techniques are used during layer transfer, residual implant atoms, for example, hydrogen or boron, are present in the silicon layer, thereby altering the electrical properties. Additionally, it can be difficult to control the thickness, conductivity, and other properties of thin silicon layers, using, for example, adjustments in the implant dose, which can impact conductivity as well as the full width at half max (FWHM) of the implant profile, surface roughness, and cleave plane position accuracy, and implant depth, which can impact layer thickness.

According to embodiments of the present invention, silicon epitaxy on an engineered substrate structure is utilized to achieve desired properties for the single crystal silicon layer as appropriate to particular device designs.

Referring to FIG. 6, the epitaxial/engineered substrate structure **600** includes an engineered substrate structure **610** and a silicon epitaxial layer **620** formed thereon. The engineered substrate structure **610** can be similar to the engineered substrate structures illustrated in FIGS. 1, 3, and 4. Typically, the substantially single crystalline silicon layer **122** is on the order of 0.5 μm after layer transfer. Surface conditioning processes can be utilized to reduce the thickness of the single crystal silicon layer **122** to about 0.3 μm in some processes. In order to increase the thickness of the single crystal silicon layer to about 1 μm for use in making reliable Ohmic contacts, for example, an epitaxial process is used to grow epitaxial single crystal silicon layer **620** on the substantially single crystalline silicon layer **122** formed by the layer transfer process. A variety of epitaxial growth processes can be used to grow epitaxial single crystal silicon layer **620**, including CVD, ALD, MBE, or the like. The

thickness of the epitaxial single crystal silicon layer **620** can range from about 0.1 μm to about 20 μm , for example between 0.1 μm and 10 μm .

FIG. 7 is a simplified schematic diagram illustrating a III-V epitaxial layer on an engineered substrate structure according to an embodiment of the present invention. The structure illustrated in FIG. 7 can be referred to as a double epitaxial structure as described below. As illustrated in FIG. 7, an engineered substrate structure **710** including an epitaxial single crystal silicon layer **620** has a III-V epitaxial layer **720** formed thereon. In an embodiment, the III-V epitaxial layer comprises gallium nitride (GaN).

The desired thickness of the III-V epitaxial layer **720** can vary substantially, depending on the desired functionality. In some embodiments, the thicknesses of the III-V epitaxial layer **720** can vary between 0.5 μm and 100 μm , for example, thicknesses greater than 5 μm . Resulting breakdown voltages of a device fabricated on the III-V epitaxial layer **720** can vary depending on the thickness of the III-V epitaxial layer **720**. Some embodiments provide for breakdown voltages of at least 100 V, 300 V, 600 V, 1.2 kV, 1.7 kV, 3.3 kV, 5.5 kV, 13 kV, or 20 kV.

In order to provide for electrical conductivity between portions of the III-V epitaxial layer **720**, which can include multiple sub-layers, a set of vias **724** are formed passing, in this example, from a top surface of the III-V epitaxial layer **720**, into the epitaxial single crystal silicon layer **620**. The vias **724** may be lined with an insulating layer (not shown) so that they are insulated from the III-V epitaxial layer **720**. As an example, these vias could be used to connect an electrode of a diode or a transistor to the underlying silicon layer by providing an Ohmic contact through the vias, thereby relaxing charge build up in the device.

If the III-V epitaxial layer were grown on the single crystal silicon layer **122**, it would be difficult to make such an Ohmic contact through the vias since terminating the via etch in the single crystal silicon layer **122** would be difficult: for example, etching through 5 μm of GaN and terminating the etch in a 0.3 μm silicon layer reliably across an entire wafer. Utilizing embodiments of the present invention, it is possible to provide single crystal silicon layers multiple microns in thickness, which is difficult using implant and exfoliation processes since achieving large implant depth requires high implant energy. In turn, the thick silicon layers enable applications such as the illustrated vias that enable a wide variety of device designs.

In addition to increasing the thickness of the silicon "layer" by epitaxially growing the single crystal silicon layer **620** on the single crystal silicon layer **122**, other adjustments can be made to the original properties of the single crystal silicon layer **122**, including modifications of the conductivity, crystallinity, and the like. For example, if a silicon layer on the order of 10 μm is desired before additional epitaxial growth of III-V layers or other materials, such a thick layer can be grown according to embodiments of the present invention.

Because the implant process can impact the properties of the single crystal silicon layer **122**, for example, residual boron/hydrogen atoms can influence the electrical properties of the silicon, embodiments of the present invention remove a portion of the single crystal silicon layer **122** prior to epitaxial growth of single crystal silicon layer **620**. For example, the single crystal silicon layer **122** can be thinned to form a layer 0.1 μm in thickness or less, removing most or all of the residual boron/hydrogen atoms. Subsequent growth of single crystal silicon layer **620** is then used to provide a single crystal material with electrical and/or other

properties substantially independent of the corresponding properties of the layer formed using layer transfer processes.

In addition to increasing the thickness of the single crystal silicon material coupled to the engineered substrate structure, the electrical properties including the conductivity of the epitaxial single crystal silicon layer **620** can be different from that of the single crystal silicon layer **122**. Doping of the epitaxial single crystal silicon layer **620** during growth can produce p-type silicon by doping with boron and n-type silicon by doping with phosphorus. Undoped silicon can be grown to provide high resistivity silicon used in devices that have insulating regions. Insulating layers can be of use in RF devices, in particular.

The lattice constant of the epitaxial single crystal silicon layer **620** can be adjusted during growth to vary from the lattice constant of the single crystal silicon layer **122** to produce strained epitaxial material. In addition to silicon, other elements can be grown epitaxially to provide layers, including strained layers, that include silicon germanium, or the like. For instance, buffer layers can be grown on the single crystal silicon layer **122**, on the epitaxial single crystal silicon layer **620**, or between layers to enhance subsequent epitaxial growth. These buffer layers could include strained III-V layers, silicon germanium strained layers, and the like. Additionally, the buffer layers and other epitaxial layers can be graded in mole fraction, dopants, polarity, or the like. One of ordinary skill in the art would recognize many variations, modifications, and alternatives.

In some embodiments, strain present in the single crystal silicon layer **122** or the epitaxial single crystal silicon layer **620** may be relaxed during growth of subsequent epitaxial layers, including III-V epitaxial layers.

FIG. 8 is a simplified flowchart illustrating a method of fabricating an engineered substrate according to another embodiment of the present invention. The method includes forming a support structure by providing a polycrystalline ceramic core (**810**), forming a first adhesion layer coupled to at least a portion of the polycrystalline ceramic core (**812**). The first adhesion layer can include a tetraethyl orthosilicate (TEOS) layer. The method also includes forming a conductive layer coupled to the first adhesion layer (**814**). The conductive layer can be a polysilicon layer. The first adhesion layer can be formed as a single layer of TEOS. The conductive layer can be formed as a single layer of polysilicon.

The method also includes forming a second adhesion layer coupled to at least a portion of the conductive layer (**816**), and forming a barrier shell (**818**). The second adhesion layer can be formed as a single layer of TEOS. The barrier shell can be formed as a single layer of silicon nitride or a series of sub-layers forming the barrier shell.

Once the support structure is formed by processes **810-818**, the method further includes joining a bonding layer (e.g., a silicon oxide layer) to the support structure (**820**) and joining a substantially single crystalline silicon layer or a substantially single crystal layer to the silicon oxide layer (**822**). The joining of the bonding layer can include deposition of a bonding material followed by planarization processes as described herein.

A layer transfer process can be used to join the substantially single crystalline silicon layer **122** to the bonding layer **120**. In some embodiments, a silicon wafer (e.g., a silicon (111) wafer) is implanted to form a cleave plane. After wafer bonding, the silicon substrate can be removed along with the portion of the single crystal silicon layer below the cleave plane, resulting in the exfoliated single crystal silicon layer **122** illustrated in FIG. 1. The thickness of the substantially

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single crystalline silicon layer **122** can be varied to meet the specifications of various applications. Moreover, the crystal orientation of the substantially single crystal layer **122** can be varied to meet the specifications of the application. Additionally, the doping levels and profile in the substantially single crystal layer **122** can be varied to meet the specifications of the particular application. In some embodiments, the substantially single crystalline silicon layer **122** can be smoothed, as described above.

The method illustrated in FIG. **8** may also include forming an epitaxial silicon layer by epitaxial growth on the substantially single crystalline silicon layer (**824**), and forming an epitaxial III-V layer by epitaxial growth on the epitaxial silicon layer (**826**). In some embodiments, the epitaxial III-V layer may comprise gallium nitride (GaN).

It should be appreciated that the specific steps illustrated in FIG. **8** provide a particular method of fabricating an engineered substrate according to another embodiment of the present invention. Other sequences of steps may also be performed according to alternative embodiments. For example, alternative embodiments of the present invention may perform the steps outlined above in a different order. Moreover, the individual steps illustrated in FIG. **8** may include multiple sub-steps that may be performed in various sequences as appropriate to the individual step. Furthermore, additional steps may be added or removed depending on the particular applications. One of ordinary skill in the art would recognize many variations, modifications, and alternatives.

It is also understood that the examples and embodiments described herein are for illustrative purposes only and that various modifications or changes in light thereof will be suggested to persons skilled in the art and are to be included within the spirit and purview of this application and scope of the appended claims.

What is claimed is:

1. An engineered substrate structure comprising:
 - a support structure comprising:
 - a polycrystalline ceramic core;
 - a first adhesion layer coupled to the polycrystalline ceramic core;
 - a conductive layer coupled to the first adhesion layer;
 - a second adhesion layer coupled to the conductive layer; and
 - a barrier layer coupled to the second adhesion layer;
 - a bonding layer coupled to the support structure;
 - a substantially single crystalline gallium oxide layer coupled to the bonding layer.
2. The engineered substrate structure of claim 1 wherein the polycrystalline ceramic core comprises aluminum nitride.
3. The engineered substrate structure of claim 2 wherein:
 - the first adhesion layer comprises a first tetraethyl orthosilicate (TEOS) layer encapsulating the polycrystalline ceramic core;
 - the conductive layer comprises a polysilicon layer encapsulating the first TEOS layer;
 - the second adhesion layer comprises a second TEOS layer encapsulating the polysilicon layer;
 - the barrier layer comprises a silicon nitride layer encapsulating the second TEOS layer; and
 - the bonding layer comprises silicon oxide.
4. The engineered substrate structure of claim 1 wherein the substantially single crystalline gallium oxide layer comprises an exfoliated single crystal gallium oxide layer.
5. The engineered substrate structure of claim 4 further comprising:

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an epitaxial gallium oxide layer coupled to the substantially single crystalline gallium oxide layer.

6. The engineered substrate structure of claim 1 wherein the substantially single crystalline gallium oxide layer comprises an exfoliated single crystal gallium oxide layer and an epitaxial gallium oxide layer grown on the exfoliated single crystal gallium oxide layer.

7. The engineered substrate structure of claim 6 further comprising:

- an epitaxial layer coupled to the substantially single crystalline gallium oxide layer.

8. The engineered substrate structure of claim 7 wherein the epitaxial layer comprises an epitaxial III-V layer.

9. The engineered substrate structure of claim 8 wherein the epitaxial III-V layer comprises an epitaxial gallium nitride layer and/or an epitaxial aluminum gallium nitride layer.

10. The engineered substrate structure of claim 7 further comprising a plurality of vias passing from the epitaxial layer to the epitaxial gallium oxide layer.

11. The engineered substrate structure of claim 7 further comprising one or more buffer layers disposed between the substantially single crystalline gallium oxide layer and the epitaxial layer.

12. An substrate comprising:

- a support structure comprising:

- a polycrystalline ceramic core;

- a first adhesion layer coupled to the polycrystalline ceramic core;

- a conductive layer coupled to the first adhesion layer;

- a second adhesion layer coupled to the conductive layer; and

- a barrier layer coupled to the second adhesion layer;

- a bonding layer coupled to the support structure;

- a substantially single crystalline gallium oxide layer coupled to the bonding layer; and

- an epitaxial layer coupled to the substantially single crystalline gallium oxide layer.

13. The substrate of claim 12 wherein the polycrystalline ceramic core comprises aluminum nitride.

14. The substrate of claim 13 wherein the bonding layer comprises silicon oxide.

15. The substrate of claim 12 wherein the epitaxial layer comprises an epitaxial III-V layer.

16. The substrate of claim 15 wherein the epitaxial III-V layer comprises an epitaxial gallium nitride layer.

17. The substrate of claim 12 wherein the epitaxial layer has a thickness of about 5 μm or greater.

18. The substrate of claim 12 wherein the substantially single crystalline gallium oxide layer comprises an exfoliated gallium oxide layer.

19. The substrate of claim 12 wherein the substantially single crystalline gallium oxide layer comprises an exfoliated gallium oxide layer and an epitaxial gallium oxide layer on the exfoliated gallium oxide layer.

20. The substrate of claim 12 wherein:

- the polycrystalline ceramic core comprises polycrystalline gallium nitride;

- the first adhesion layer comprises tetraethyl orthosilicate (TEOS);

- the conductive layer comprises polysilicon;

- the second adhesion layer comprises TEOS;

- the barrier layer comprises silicon nitride; and

- the bonding layer comprise silicon oxide.

UNITED STATES PATENT AND TRADEMARK OFFICE
CERTIFICATE OF CORRECTION

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APPLICATION NO. : 16/837817
DATED : May 18, 2021
INVENTOR(S) : Vladimir Odnoblyudov et al.

Page 1 of 1

It is certified that error appears in the above-identified patent and that said Letters Patent is hereby corrected as shown below:

In the Claims

In Claim 1, Column 15, Line 45, replace “a bonding layer coupled to the support structure;” with -- a bonding layer coupled to the support structure; and --.

Signed and Sealed this
First Day of February, 2022



Drew Hirshfeld
*Performing the Functions and Duties of the
Under Secretary of Commerce for Intellectual Property and
Director of the United States Patent and Trademark Office*