

# (12) United States Patent Carpenter et al.

# (10) Patent No.: US 10,135,855 B2 (45) Date of Patent: Nov. 20, 2018

- (54) NEAR-REAL-TIME EXPORT OF CYBER-SECURITY RISK INFORMATION
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- (\*) Notice: Subject to any disclaimer, the term of this patent is extended or adjusted under 35 U.S.C. 154(b) by 199 days.
- (21) Appl. No.: 15/001,073
- (22) Filed: Jan. 19, 2016
- (65) Prior Publication Data
   US 2017/0208086 A1 Jul. 20, 2017
- (51) Int. Cl. *G06F 21/00* (2013.01) *H04L 29/06* (2006.01)
- (58) Field of Classification Search
  - None

See application file for complete search history.

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#### (57) **ABSTRACT**

This disclosure provides an apparatus and method for nearreal-time export of cyber-security risk information, including but not limited to in industrial control systems and other systems. A method includes monitoring, by a risk manager system, a plurality of connected devices that are vulnerable to cyber-security risks. The method includes detecting a cyber-security risk to one or more of the devices being monitored. The method includes identifying an external system to be notified of the detected cyber-security risk. The method includes sending cyber-security risk data to the external system according to the detected cyber-security risk and at least one filtering option.

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20 Claims, 4 Drawing Sheets



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# U.S. Patent Nov. 20, 2018 Sheet 1 of 4 US 10,135,855 B2



FIG. 1









FIG. 3

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	Delete
k Tolerance	Ð
	Ð

dpoint Security	Network Security	Patches
ove Risk Tolerance	None	Above Risk
ne	All	None
De	None	None



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# IG. 5

		2000 - 20		
out ~ 502		<b>Port</b> 514 6514	slog recipient	
Enable syslog output	Syslog recipients	<b>Target Server IP</b> 192.168.10.115 192.168.10.120	Add a new syslog re	

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#### **NEAR-REAL-TIME EXPORT OF CYBER-SECURITY RISK INFORMATION**

#### TECHNICAL FIELD

This disclosure relates generally to network security. More specifically, this disclosure relates to an apparatus and method for near-real-time export of cyber-security risk information.

#### BACKGROUND

Processing facilities are often managed using industrial process control and automation systems. Conventional control and automation systems routinely include a variety of <sup>15</sup> networked devices, such as servers, workstations, switches, routers, firewalls, safety systems, proprietary real-time controllers, and industrial field devices. Often times, this equipment comes from a number of different vendors. In industrial environments, cyber-security is of increasing concern, and unaddressed security vulnerabilities in any of these components could be exploited by attackers to disrupt operations or cause unsafe conditions in an industrial facility.

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nents that facilitate production or processing of at least one product or other material. For instance, the system 100 is used here to facilitate control over components in one or multiple plants 101*a*-101*n*. Each plant 101*a*-101*n* represents one or more processing facilities (or one or more portions thereof), such as one or more manufacturing facilities for producing at least one product or other material. In general, each plant 101*a*-101*n* may implement one or more processes and can individually or collectively be referred to as a 10 process system. A process system generally represents any system or portion thereof configured to process one or more products or other materials in some manner.

In FIG. 1, the system 100 is implemented using the

#### SUMMARY

This disclosure provides an apparatus and method for near-real-time export of cyber-security risk information, including but not limited to in industrial control systems and 30other systems. A method includes monitoring, by a risk manager system, a plurality of connected devices that are vulnerable to cyber-security risks. The method includes detecting a cyber-security risk to one or more of the devices being monitored. The method includes identifying an exter-<sup>35</sup> nal system to be notified of the detected cyber-security risk. The method includes sending cyber-security risk data to the external system according to the detected cyber-security risk and at least one filtering option.

Purdue model of process control. In the Purdue model, "Level 0" may include one or more sensors 102a and one or more actuators 102b. The sensors 102a and actuators 102b represent components in a process system that may perform any of a wide variety of functions. For example, the sensors 102*a* could measure a wide variety of characteristics in the process system, such as temperature, pressure, or flow rate. Also, the actuators 102b could alter a wide variety of characteristics in the process system. The sensors 102a and actuators 102b could represent any other or additional 25 components in any suitable process system. Each of the sensors 102*a* includes any suitable structure for measuring one or more characteristics in a process system. Each of the actuators 102b includes any suitable structure for operating on or affecting one or more conditions in a process system. At least one network 104 is coupled to the sensors 102a and actuators 102b. The network 104 facilitates interaction with the sensors 102*a* and actuators 102*b*. For example, the network 104 could transport measurement data from the sensors 102a and provide control signals to the actuators 102b. The network 104 could represent any suitable network

Other technical features may be readily apparent to one skilled in the art from the following figures, descriptions, and claims.

#### BRIEF DESCRIPTION OF THE DRAWINGS

For a more complete understanding of this disclosure, reference is now made to the following description, taken in conjunction with the accompanying drawings, in which:

FIG. 1 illustrates an example industrial process control and automation system according to this disclosure;

FIG. 2 illustrates a flowchart of a process in accordance with disclosed embodiments; and

FIGS. 3-5 illustrate example user interfaces that can be used as part of disclosed embodiments.

#### DETAILED DESCRIPTION

or combination of networks. As particular examples, the network **104** could represent an Ethernet network, an electrical signal network (such as a HART or FOUNDATION) FIELDBUS network), a pneumatic control signal network, or any other or additional type(s) of network(s).

In the Purdue model, "Level 1" may include one or more controllers 106, which are coupled to the network 104. Among other things, each controller 106 may use the measurements from one or more sensors 102*a* to control the 45 operation of one or more actuators 102b. For example, a controller 106 could receive measurement data from one or more sensors 102*a* and use the measurement data to generate control signals for one or more actuators 102b. Each controller 106 includes any suitable structure for interacting 50 with one or more sensors 102a and controlling one or more actuators 102b. Each controller 106 could, for example, represent a proportional-integral-derivative (PID) controller or a multivariable controller, such as a Robust Multivariable Predictive Control Technology (RMPCT) controller or other 55 type of controller implementing model predictive control (MPC) or other advanced predictive control (APC). As a particular example, each controller 106 could represent a computing device running a real-time operating system. Two networks 108 are coupled to the controllers 106. The such as by transporting data to and from the controllers 106. The networks 108 could represent any suitable networks or combination of networks. As a particular example, the networks 108 could represent a redundant pair of Ethernet networks, such as a FAULT TOLERANT ETHERNET (FTE) network from HONEYWELL INTERNATIONAL INC.

The figures, discussed below, and the various embodiments used to describe the principles of the present invention in this patent document are by way of illustration only 60 networks 108 facilitate interaction with the controllers 106, and should not be construed in any way to limit the scope of the invention. Those skilled in the art will understand that the principles of the invention may be implemented in any type of suitably arranged device or system. FIG. 1 illustrates an example industrial process control 65 and automation system 100 according to this disclosure. As shown in FIG. 1, the system 100 includes various compo-

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At least one switch/firewall **110** couples the networks **108** to two networks **112**. The switch/firewall **110** may transport traffic from one network to another. The switch/firewall **110** may also block traffic on one network from reaching another network. The switch/firewall **110** includes any suitable 5 structure for providing communication between networks, such as a HONEYWELL CONTROL FIREWALL (CF9) device. The networks **112** could represent any suitable networks, such as an FTE network.

In the Purdue model, "Level 2" may include one or more 10 machine-level controllers 114 coupled to the networks 112. The machine-level controllers 114 perform various functions to support the operation and control of the controllers 106, sensors 102a, and actuators 102b, which could be associated with a particular piece of industrial equipment 15 (such as a boiler or other machine). For example, the machine-level controllers 114 could log information collected or generated by the controllers 106, such as measurement data from the sensors 102a or control signals for the actuators 102b. The machine-level controllers 114 could 20 also execute applications that control the operation of the controllers 106, thereby controlling the operation of the actuators 102b. In addition, the machine-level controllers 114 could provide secure access to the controllers 106. Each of the machine-level controllers **114** includes any suitable 25 structure for providing access to, control of, or operations related to a machine or other individual piece of equipment. Each of the machine-level controllers 114 could, for example, represent a server computing device running a MICROSOFT WINDOWS operating system. Although not 30 shown, different machine-level controllers 114 could be used to control different pieces of equipment in a process system (where each piece of equipment is associated with one or more controllers 106, sensors 102a, and actuators 102b). One or more operator stations 116 are coupled to the networks **112**. The operator stations **116** represent computing or communication devices providing user access to the machine-level controllers 114, which could then provide user access to the controllers 106 (and possibly the sensors 40) 102a and actuators 102b). As particular examples, the operator stations **116** could allow users to review the operational history of the sensors 102a and actuators 102b using information collected by the controllers 106 and/or the machinelevel controllers 114. The operator stations 116 could also 45 allow the users to adjust the operation of the sensors 102a, actuators 102b, controllers 106, or machine-level controllers 114. In addition, the operator stations 116 could receive and display warnings, alerts, or other messages or displays generated by the controllers 106 or the machine-level con- 50 trollers **114**. Each of the operator stations **116** includes any suitable structure for supporting user access and control of one or more components in the system 100. Each of the operator stations 116 could, for example, represent a computing device running a MICROSOFT WINDOWS operat- 55 ing system.

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process. The unit-level controllers 122 perform various functions to support the operation and control of components in the lower levels. For example, the unit-level controllers **122** could log information collected or generated by the components in the lower levels, execute applications that control the components in the lower levels, and provide secure access to the components in the lower levels. Each of the unit-level controllers 122 includes any suitable structure for providing access to, control of, or operations related to one or more machines or other pieces of equipment in a process unit. Each of the unit-level controllers 122 could, for example, represent a server computing device running a MICROSOFT WINDOWS operating system. Although not shown, different unit-level controllers 122 could be used to control different units in a process system (where each unit is associated with one or more machine-level controllers 114, controllers 106, sensors 102a, and actuators 102b). Access to the unit-level controllers 122 may be provided by one or more operator stations **124**. Each of the operator stations 124 includes any suitable structure for supporting user access and control of one or more components in the system 100. Each of the operator stations 124 could, for example, represent a computing device running a MICRO-SOFT WINDOWS operating system. At least one router/firewall **126** couples the networks **120** to two networks **128**. The router/firewall **126** includes any suitable structure for providing communication between networks, such as a secure router or combination router/ firewall. The networks 128 could represent any suitable networks, such as an FTE network. In the Purdue model, "Level 4" may include one or more plant-level controllers 130 coupled to the networks 128. Each plant-level controller **130** is typically associated with one of the plants 101*a*-101*n*, which may include one or more 35 process units that implement the same, similar, or different processes. The plant-level controllers 130 perform various functions to support the operation and control of components in the lower levels. As particular examples, the plantlevel controller 130 could execute one or more manufacturing execution system (MES) applications, scheduling applications, or other or additional plant or process control applications. Each of the plant-level controllers **130** includes any suitable structure for providing access to, control of, or operations related to one or more process units in a process plant. Each of the plant-level controllers 130 could, for example, represent a server computing device running a MICROSOFT WINDOWS operating system. Access to the plant-level controllers 130 may be provided by one or more operator stations 132. Each of the operator stations 132 includes any suitable structure for supporting user access and control of one or more components in the system 100. Each of the operator stations 132 could, for example, represent a computing device running a MICRO-SOFT WINDOWS operating system. At least one router/firewall 134 couples the networks 128 to one or more networks 136. The router/firewall 134 includes any suitable structure for providing communication between networks, such as a secure router or combination router/firewall. The network 136 could represent any suitable network, such as an enterprise-wide Ethernet or other network or all or a portion of a larger network (such as the Internet). In the Purdue model, "Level 5" may include one or more enterprise-level controllers 138 coupled to the network 136. Each enterprise-level controller 138 is typically able to perform planning operations for multiple plants 101*a*-101*n* and to control various aspects of the plants 101*a*-101*n*. The

At least one router/firewall 118 couples the networks 112

to two networks **120**. The router/firewall **118** includes any suitable structure for providing communication between networks, such as a secure router or combination router/ 60 firewall. The networks **120** could represent any suitable networks, such as an FTE network.

In the Purdue model, "Level 3" may include one or more unit-level controllers **122** coupled to the networks **120**. Each unit-level controller **122** is typically associated with a unit in 65 a process system, which represents a collection of different machines operating together to implement at least part of a

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enterprise-level controllers 138 can also perform various functions to support the operation and control of components in the plants 101*a*-101*n*. As particular examples, the enterprise-level controller 138 could execute one or more order processing applications, enterprise resource planning (ERP) applications, advanced planning and scheduling (APS) applications, or any other or additional enterprise control applications. Each of the enterprise-level controllers 138 includes any suitable structure for providing access to, control of, or operations related to the control of one or more plants. Each of the enterprise-level controllers 138 could, for example, represent a server computing device running a MICROSOFT WINDOWS operating system. In this document, the term "enterprise" refers to an organization having one or more plants or other processing facilities to be managed. Note that if a single plant 101*a* is to be managed, the functionality of the enterprise-level controller **138** could be incorporated into the plant-level controller 130. Access to the enterprise-level controllers 138 may be 20 provided by one or more operator stations 140. Each of the operator stations 140 includes any suitable structure for supporting user access and control of one or more components in the system 100. Each of the operator stations 140 could, for example, represent a computing device running a 25 MICROSOFT WINDOWS operating system. Various levels of the Purdue model can include other components, such as one or more databases. The database(s) associated with each level could store any suitable information associated with that level or one or more other levels of 30 the system 100. For example, a historian 141 can be coupled to the network 136. The historian 141 could represent a component that stores various information about the system **100**. The historian **141** could, for instance, store information used during production scheduling and optimization. The 35 historian 141 represents any suitable structure for storing and facilitating retrieval of information. Although shown as a single centralized component coupled to the network 136, the historian **141** could be located elsewhere in the system **100**, or multiple historians could be distributed in different 40 locations in the system 100. In particular embodiments, the various controllers and operator stations in FIG. 1 may represent computing devices. For example, each of the controllers 106, 114, 122, 130, 138 could include one or more processing devices 142 45 and one or more memories 144 for storing instructions and data used, generated, or collected by the processing device(s) 142. Each of the controllers 106, 114, 122, 130, 138 could also include at least one network interface 146, such as one or more Ethernet interfaces or wireless trans- 50 ceivers. Also, each of the operator stations 116, 124, 132, 140 could include one or more processing devices 148 and one or more memories 150 for storing instructions and data used, generated, or collected by the processing device(s) **148**. Each of the operator stations **116**, **124**, **132**, **140** could 55 also include at least one network interface 152, such as one or more Ethernet interfaces or wireless transceivers. As noted above, cyber-security is of increasing concern with respect to industrial process control and automation systems. Unaddressed security vulnerabilities in any of the 60 components in the system 100 could be exploited by attackers to disrupt operations or cause unsafe conditions in an industrial facility. In industrial environments, cyber security is of increasing concern, and it is difficult to quickly determine the potential sources of risk to the whole system. 65 Modern control systems contain a mix of Windows servers and workstations, switches, routers, firewalls, safety sys-

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tems, proprietary real-time controllers and field devices, any of which can be implemented by one or another of the components in system 100.

Often these systems are a mixture of equipment from different vendors. Sometimes the plant operators do not have a complete understanding or inventory of all the equipment running in their site. Unaddressed security vulnerabilities in any of these components could be exploited by attackers to disrupt production or cause unsafe conditions in the plant. In such environments, it can be difficult to quickly determine the potential sources of risk to the whole system.

Any monitoring system will necessarily have some performance impact on the monitored system, no matter how small. It will also have some requirements or prerequisites that must be met in order to monitor that system. The highest priorities for a control system are safety and production, so it is critical that any monitoring of that system does not jeopardize either of these aspects. This is true whether an agent is installed on the end devices for monitoring or whether "agentless" protocols are used for monitoring (which take advantage of hooks and APIs already present in the end devices). A monitoring system then should be able to verify these requirements and ensure that it will not have an adverse impact on system safety or production prior to starting its monitoring. This can be accomplished (among other ways) using a risk manager 154. Among other things, the risk manager 154 supports a technique for monitoring a system such as an industrial control system and checking for proper deployment of the devices and components of that system. In this example, the risk manager 154 includes one or more processing devices 156; one or more memories 158 for storing instructions and data used, generated, or collected by the processing device(s) 156; and at least one network interface **160**. Each processing device **156** could represent a microprocessor, microcontroller, digital signal process, field programmable gate array, application specific integrated circuit, or discrete logic. Each memory **158** could represent a volatile or non-volatile storage and retrieval device, such as a random access memory or Flash memory. Each network interface **160** could represent an Ethernet interface, wireless transceiver, or other device facilitating external communication. The functionality of the risk manager **154** could be implemented using any suitable hardware or a combination of hardware and software/firmware instructions. In some embodiments, the risk manager 154 includes, or is communication with, a database 155. The database 155 denotes any suitable structure facilitating storage and retrieval of information. Disclosed embodiments enable the efficient and near-realtime export of cyber-security data from a system such as risk manager 154 to an external system 170. In this example, the external system 170 includes one or more processing devices 176; one or more memories 178 for storing instructions and data used, generated, or collected by the processing device(s) 176; and at least one network interface 172. Each processing device 176 could represent a microprocessor, microcontroller, digital signal process, field programmable gate array, application specific integrated circuit, or discrete logic. Each memory **178** could represent a volatile or non-volatile storage and retrieval device, such as a random access memory or Flash memory. Each network interface 172 could represent an Ethernet interface, wireless transceiver, or other device facilitating external communication. The functionality of the external system 170 could be implemented using any suitable hardware or a combination of hardware and software/firmware instructions. The exter-

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nal system 170 can be, for example, a stand-alone data processing system, a mobile device, an external server or enterprise system, or otherwise. The exemplary structure of the external system 170 described above is not intended to limit the structure or function of the devices that could be 5 used to implement the external system 170.

Although FIG. 1 illustrates one example of an industrial process control and automation system 100, various changes may be made to FIG. 1. For example, a control and automation system could include any number of sensors, actuators, controllers, servers, operator stations, networks, risk managers, and other components. Also, the makeup and arrangement of the system 100 in FIG. 1 is for illustration only. Components could be added, omitted, combined, or placed in any other suitable configuration according to particular needs. Further, particular functions have been described as being performed by particular components of the system 100. This is for illustration only. In general, control and automation systems are highly configurable and 20 can be configured in any suitable manner according to particular needs. In addition, FIG. 1 illustrates an example environment in which the functions of the risk manager 154 can be used. This functionality can be used in any other suitable device or system. In some risk manager implementations, the user installing and configuring the risk manager would be responsible for verifying that each end device is ready for monitoring. In many cases, a user will simply attempt to monitor the end device and hope there are no adverse effects. The attempt to 30 monitor the device may also fail, leaving the user to contact technical support or try independently troubleshooting.

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whenever a pertinent piece of information is detected by the system, the data export is initiated.

Various embodiments include such novel features as the option to receive filtered or unfiltered streams of data, options to filter data based on the source of the risk, the severity, the affected device(s), or the zone(s) the device belongs to, and, in addition to source and severity filters, filtering data according to configured risk appetite and risk tolerance levels. Various embodiments can include options 10 to specify the output format. Possible output formats include, but are not limited to, e-mail messages, syslog messages, and mobile application notifications. Various embodiments can also include options to configure the

Disclosed embodiments provide systems and methods for notifying external systems and users of cyber-security vulnerabilities. Disclosed embodiments can understand the 35 below) that sends data to an external system, which can be

system output according to the options discussed above as 15 well as according to the recipients of each data stream.

Risk appetite and risk tolerance levels are described in detail in U.S. patent application Ser. No. 14/871,136, incorporated by reference herein. In some embodiments, how risk matters to an organization is determined through the use of two threshold values: risk appetite and risk tolerance. These thresholds dictate when an organization is capable of absorbing risk and when action needs to be taken. For example, if below an organization's risk appetite, a risk is acceptable. If above the risk appetite, the risk should be 25 addressed. The risk tolerance is a higher threshold that determines when a risk has become dangerously high; action should still be taken but now with increased urgency. In processes described herein, the detected risks can be evaluated and compared to the risk tolerance and risk appetite thresholds to determine whether a user should be notified.

FIG. 2 illustrates a flowchart of a method 200 in accordance with disclosed embodiments, as can be performed, for example, by risk manager 154 or another device or controller (referred to as the "system" or "risk manager system"

potential vulnerabilities in all these systems, prioritize the vulnerabilities based on the risk to the system, and guide the user to mitigate the vulnerabilities.

A risk manager system can monitor these aspects of cyber security risk as the first step, but only provides information 40 that a user must receive and act on. In a simple embodiment of a risk management system, a user must remember to check it to see the cyber security risk status of their system. If a user does not do so frequently, risks and vulnerabilities in the system will go unnoticed and uncorrected.

Even when a user is diligent in checking the system status, a typical user only has access to a risk manager system during their working hours. Important changes in the system that happened after hours or during the weekend might not been seen until the next day or week. Even in applications 50 like process control, where the facility may have operators on-site 24 hours a day, those operators monitor the process itself, and typically do not monitor the status of the actual control system or its cyber-security risks. In such cases, it can be important for the risk manager system to communi- 55 cate cyber-security risk information to an external system. In other systems, such as those incorporated by reference below, a user generally must log into the risk manager system to see cyber security risk information about the system. The only way to access this information remotely is 60 through a secured, authorized tunnel (remote desktop, virtual network computing, etc.). Even then, the user still needs to log into the system and review the information presented to make decisions. Disclosed embodiments provide ways of getting that 65 information out of the risk manager system without the need for a user to first log in and access the system. Instead,

implemented as any data processing system or mobile device capable of receiving and processing the data as described.

The system monitors a plurality of connected devices that are vulnerable to cyber-security risks (205). These devices can be any computing devices, such as any of the components of FIG. 1 or those described below.

The system detects a cyber-security risk to one or more of the devices being monitored (210).

The system identifies an external system to be notified of 45 the detected cyber-security risk (215).

The system determines whether to send filtered or unfiltered data to the external system (220). This can be determined according to a user-selectable filtering option, in some cases, and the system can receive such a selection of the filtering option to receive filtered or unfiltered streams of data at the external system as described below.

Unfiltered data streams are particularly useful to feed risk information to an external system such as a Security Information and Event Management (SIEM) system. Some users already have SIEMs running in their corporate network, but they are not qualified for data collection in an industrial control system. This allows the SIEM to collect information that would otherwise be inaccessible. The unfiltered data streams can also be used to generate reports or to create a historical record of the risk information in the system. Filtered data streams are particularly useful when sending information directly to a user's external system, whether a desktop-type data processing system, a laptop data processing system, a mobile device, or otherwise. The volume of data in the unfiltered stream can be too excessive for a user to try to parse manually. By providing filters on the data, the

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external system and user are presented with a manageable set of information without losing notifications of critical changes in the system.

The system receives filtering options for the external systems (225). This step can be performed at a different time, such as when configuring the risk manager system. Selecting configuration options (e.g., selecting recipients or any of the other options described herein) can be treated as a privileged, administrator action. Because of the sensitive nature of the cyber security risk information monitored, <sup>10</sup> allowing unprivileged users to configure this output could expose critical system vulnerability and threat information to unauthorized and malicious users. The filtering options can include, but are not limited to, 15 such aspects as the source of the cyber-security risk, the risk severity, the device(s) affected by the identified cybersecurity risk, the affected zone(s) (or other groupings) of the affected devices, and others. The filtering options can include only sending data when crossing a defined threshold, 20 such as going above the configured risk appetite and risk tolerance levels. The filtering options can include options to specify the output format. Possible output formats include, but are not limited to, e-mail messages, syslog messages and mobile 25 application notifications. The at least one filtering option can specify the output format of the cyber-security risk data as described in more detail below.

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FIG. 4 illustrates a user interface 400 that may be presented to a user, for example, by the risk manager 154 or another system, for configuring email notifications as part of processes described herein. This example includes an email recipient area 402 for listing the email addresses of the users that should receive the cyber-security risk data by email. This example includes configurations for which notifications to be sent to each user based on the device 404 (e.g., the "backup" system) and the risk severity level 406 (e.g., when the cyber-security risk is above a risk appetite). In other cases, simple "check boxes" could be used to select which devices or risks are sent to which users.

As described above, disclosed embodiments can send cyber-security risk data to the external system according to the detected cyber-security risk and any filtering options, including as filtered data in a format such as electronic mail. The following is an example of a notification template that can be used in such embodiments:

The filtering options can include options to configure the system output according to the recipient user or recipient 30 external system of the data.

The system sends cyber-security risk data to the external system according to the detected cyber-security risk and at least one filtering option (230). In the case that the external system is an external server (e.g., connecting to an SMPT 35 server in another network for e-mail notifications), the system can use a one-way trust and only authorize outbound communication through that pathway. This allows the notifications to be sent without exposing the system to additional threats. The system can define a consistent output format for 40 each filtering option to allow third parties to parse and understand the information as it is delivered. The steps in the processes described herein, unless specifically described otherwise, may be performed concurrently, sequentially, or repeatedly, may be omitted, or may be 45 performed in a different order. The processes described above can be performed in near-real-time, such that the cyber-security risk data is send to the external system at substantially the same time that the cyber-security risk is identified, regardless of the time of day or other factors. Processes as described herein provide a distinct technical advantage over current systems in which notifications, warnings, and alerts are visual only, and are only viewable to an operator or other user that is currently using the system itself. Disclosed embodiments provide means of actively 55 informing users of these notifications.

categorylist[0] = "Risk\_Manager"; entity[0] = new NotificationDetails (); entity[0].Name = "SampleNotification"; entity[0].Priority = "{Priority}"; entity[0].Subject = "[Risk Manager] {ManagedEntity} - {RiskItem} - {TransitionReason}"; entity[0].Message = @"{ManagedEntity} - {RiskItem} - {TransitionReason}; entity[0].Owner = "RiskManager"; entity[0].CreationTime = DateTime.UtcNow; entity[0].Categories = categorylist; Zone: {Zone} Device: {ManagedEntity} Risk Area: {RiskArea} Risk Item Name: {RiskItem} Risk Item Value: {RiskValue} Notification Reason: {TransitionReason} Timestamp: {OccurrenceDateTime}";

In some implementations, the cyber-security risk data can be sent to the external system as electronic mail (email) notifications.

In other implementations, the cyber-security risk data can be sent to the external system as short message service (SMS) notifications or as other text messaging notifications. In other implementations, the cyber-security risk data can be sent to the external system in accordance with the OPC interoperability standard, such as to any OPC based Alarm Management system.

In other implementations, the cyber-security risk data can be sent to the external system as a System Center notification. Such implementations can use System Center or some other inherent Windows service to notify users on other Windows systems.

The cyber-security risk data notifications preferably include as much data as possible, under the assumption that 50 the recipient may not have easy access to the console to get more detail. This can include all detail currently available in an analysis view (including any "detail" pane) and a notification ID, so that a specific notification can be easily found via search from the risk manager console. The notification ID should allow an easy link to the appropriately filtered analysis view.

The system can optionally also automatically assign a

FIG. 3 illustrates a user interface 300 that may be pre- 60 sented to a user, for example, by the risk manager 154 or another system, for configuring email notifications as part of processes described herein. This example includes a selection **302** for enabling email notifications. This example also includes an email recipient area 304 for listing the email 65 addresses of the users that should receive the cyber-security risk data by email.

workflow based on notification. In such cases, the system can allow a workflow task to be auto-generated for every notification. Such a workflow can include configuration of a default user to whom tasks are assigned and can include configuration of when to assign tasks. This could occur at varying levels of risk severity, including notifications, warnings, or alerts.

Disclosed embodiments can use a syslog service for exporting the cyber-security risk data as disclosed herein. As known to those of skill in the art, syslog is a widely used standard for message logging. It permits separation of the

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software that generates messages, the system that stores them, and the software that reports and analyzes them.

FIG. 5 illustrates a user interface 500 that may be presented to a user, for example by the risk manager 154 or another system, for configuring syslog service notifications <sup>5</sup> as part of processes described herein. This example includes an enable syslog output option 502 for selecting the option to enable a syslog output. This example includes a recipient area 504 that identifies the IP addresses and ports for the systems that will receive the syslog output. <sup>10</sup>

In one exemplary implementation, the system can use a syslog system service running with a system service account with limited access to data thru a representational state transfer (REST) endpoint communicating to the WEBAPI. 15 This system service can subscribe to a REST endpoint specifically used for the syslog service and the security status information that is needed to build the security status object. The WEBAPI can cache the security status information from different source to create a security status object  $_{20}$ that is sent to the syslog service anytime a new update is sent thru the WEBAPI. The information is formatted and sent out as a syslog message, for example. through a UPD on port **514**. The format of the output can vary according to imple- 25 mentation. For example, in some cases, the system can use a comma separated values (CSV) format. Such a format is a simple output using a fixed field order. In such cases, if a value is not available for a given field, a blank entry should be used to preserve field order (e.g., ", , , . . ."). In the  $^{30}$ example of FIG. 5, a CSV output format 506 can be used for specific servers according to the filtering options. Other implementations can use Key-Value Pairs (KVP) formatting, in which each output field is represented as the field and its value. A sample output of such a format could include: {"field1":"value1", "field2":"value2", . . . }. In the example of FIG. 5, a KVP output format 508 can be used for specific servers according to the filtering options.

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Following is an example of a syslog service output that could be generated in accordance with disclosed embodiments, particularly in unfiltered-output embodiments: ' / / / /CSV/ / / / / / / / / / / / / / / / / AM,CurrentDeviceRisk-0,DeviceIPAddress-192.168.88.141, DeviceName-CQT-ESV-51B.CSD. COM, Friendly Risk Item Name-AWL Not Installed, InternalRiskItemName-AWL-Present,ISOClassification-Vulnerability,RawRiskValue-0.3,RiskAreaName-End-Security, RiskClassification-Error, point SecurityStatusDetails-{"AWL Installed":" None"}, Timestamp-7/10/2015 11:38:23 AM,ZoneName-

Default Zone

RMHOST,7/10/2015 11:38:23 AM,CurrentDeviceRisk-0, DeviceIPAddress-192.168.88.141, DeviceName-CQT-ESV-51B.CSD.COM, FriendlyRiskItemName-CPU usage exceeded threshold,InternalRiskItemName-AT-High-CPU-Usage, ISOClassification-Threat, RawRisk-Value-0,RiskAreaName-Endpoint Security,RiskClassification-,SecurityStatusDetails-{"CPU Usage":" 42.97007%"},Timestamp-7/10/2015 11:38:23 AM,ZoneName-Default Zone RMHOST,7/10/2015 11:38:24 AM,CurrentDeviceRisk-0, DeviceIPAddress-192.168.88.141, DeviceName-CQT-ESV-51B.CSD.COM, FriendlyRiskItemName-Malware Protection Not Enabled, InternalRiskItemName-MAL-No-Protection-Enabled, ISOClassification-Vulnerability,RawRiskValue-0,RiskAreaName-Security, RiskClassification-, Endpoint SecurityStatusDetails-{"AV Status":" N/A","AWL Status":" N/A"},Timestamp-7/10/2015 11:38:24 AM,ZoneName-Default Zone RMHOST,7/10/2015 11:38:24 AM,CurrentDeviceRisk-0, DeviceIPAddress-192.168.88.141, DeviceName-CQT-ESV-51B.CSD.COM, FriendlyRiskItemName-Malware Protection Not Installed, InternalRiskItemName-MAL-No-Protection-Installed, ISOClassification-Vulnerability,RawRiskValue-0,RiskAreaName-Endpoint Security, RiskClassification-, SecurityStatusDetails-{"AV Installed":" McAfee", "AWL Installed": None"}, Timestamp-7/10/2015 11:38:24 AM,ZoneName-Default Zone RMHOST,7/10/2015 11:38:24 AM,CurrentDeviceRisk-0, DeviceIPAddress-192.168.88.141, DeviceName-CQT-ESV-51B.CSD.COM, FriendlyRiskItemName-Backup software not installed, InternalRiskItemName-BU-Not-Installed, ISOC lassification-Vulnerability, RawRisk-Value-0.6, RiskAreaName-Backup, RiskClassification-Error, SecurityStatusDetails-{"Backup Software":" Not Installed"},Timestamp-7/10/2015 11:38:24 AM,Zone-Name-Default Zone RMHOST,7/10/2015 11:38:24 AM,CurrentDeviceRisk-0, DeviceIPAddress-192.168.88.141, DeviceName-CQT-ESV-51B.CSD.COM, FriendlyRiskItemName-Memory usage exceeded threshold, InternalRiskItemName-AT-High-Memory-Usage, ISOClassification-Threat, Raw-RiskValue-0,RiskAreaName-Endpoint Security,Risk-Classification-,SecurityStatusDetails-{"Memory Usage": 86.79%"},Timestamp-7/10/2015 11:38:24 AM,ZoneName-Default Zone RMHOST,7/10/2015 11:38:24 AM,CurrentDeviceRisk-0, DeviceIPAddress-192.168.88.141, DeviceName-CQT-ESV-51B.CSD.COM, FriendlyRiskItemName-AV Not Installed, InternalRiskItemName-AV-Present, ISOClassification-Vulnerability, RawRiskValue-0, RiskAreaName-Endpoint Security, RiskClassification-, SecurityS-

The table below shows an example of output fields that  $_{40}$  can be used in some embodiments:

Parameter	Security Status Record	Sample Value	
Device Name	Yes	STBC-ESC174.IS- CSE-STAB.Local	
Device IP Address	Yes	164.145.98.174	
Zone	Yes	Default Zone	
Risk Item Name (Internal Name)	Yes	AWL-File- Execution-Blocked	
Risk Item Name (Friendly Name)	Yes	File Execution Blocked by AWL	
Risk Área	Yes	Endpoint Security	
Risk Classification (Alert, Error, Warning)	Yes	Alert	
Raw Risk Value Current Device Risk	Yes	100% 100%	
ISO Classification (Vulnerability, Threat)	Yes	Threat	
Timestamp	Yes	2015-06- 03T10:28:18.157	
Security Status Details	Yes	This is a blob of the data that can be expanded in the analysis view	
Reason for the notification	Yes	Added, updated, deleted	
Security status Row ID	Yes	Int	
Risk Factor	Yes	Float raw risk value	

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tatusDetails-{"Antivirus Installed":" McAfee", "Antivirus Version": 5600.1067"}, Timestamp-7/10/ 2015 11:38:24 AM,ZoneName-Default Zone RMHOST,7/10/2015 11:38:24 AM,CurrentDeviceRisk-0, DeviceIPAddress-192.168.88.141, DeviceName-CQT- 5 ESV-51B.CSD.COM, FriendlyRiskItemName-Malware Protection Not Installed, InternalRiskItemName-MAL-No-Protection-Installed, ISOClassification-Vulnerability, RawRiskValue-0, RiskAreaName-10 Security, RiskClassification-, Endpoint SecurityStatusDetails-{"AV Installed":" McAfee", "AWL Installed": None"}, Timestamp-7/10/2015 11:38:24 AM, ZoneName-Default Zone RMHOST,7/10/2015 11:38:24 AM,CurrentDeviceRisk-0, 15 DeviceIPAddress-192.168.88.141, DeviceName-CQT-ESV-51B.CSD.COM, FriendlyRiskItemName-DAT Out of Date, InternalRiskItemName-AV-Definition-File-Date, ISOClassification-Vulnerability, RawRisk-Value-0.75, RiskAreaName-Endpoint Security, Risk- 20 Classification-Error, SecurityStatusDetails-{"DAT Age":" about 10 months, 19 days ago","DAT Date":" 2014-08-25"},Timestamp-7/10/2015 11:38:24 AM, ZoneName-Default Zone RMHOST,7/10/2015 11:38:25 AM,CurrentDeviceRisk-0, 25 DeviceIPAddress-192.168.88.141, DeviceName-CQT-ESV-51B.CSD.COM, FriendlyRiskItemName-Win-Auditing Disabled (Special Logon), dows InternalRiskItemName-WS-Auditing-Special-Logon, ISOClassification-Vulnerability, RawRiskValue-0, 30 RiskAreaName-Endpoint Security, RiskClassification-, SecurityStatusDetails-{"Current Auditing Policy":" Success\_and\_Failure", "Expected Auditing Policy": " \"Success and Failure\" or \"Failure\""},Timestamp-7/ 10/201511:38:25 AM,ZoneName-Default Zone 35

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\"Success and Failure\" or \"Failure\""},Timestamp-7/ 10/2015 11:38:25 AM,ZoneName-Default Zone RMHOST,7/10/2015 11:38:25 AM,CurrentDeviceRisk-0, DeviceIPAddress-192.168.88.141, DeviceName-CQT-ESV-51B.CSD.COM, FriendlyRiskItemName-Win-Auditing Disabled (Logoff), dows InternalRiskItemName-WS-Auditing-Logoff, ISOClassification-Vulnerability,RawRiskValue-0, RiskAreaName-Endpoint Security, RiskClassification-, SecurityStatusDetails-{"Current Auditing Policy":" Success\_and\_Failure", "Expected Auditing Policy": " \"Success and Failure\" or \"Failure\""},Timestamp-7/ 10/2015 11:38:25 AM,ZoneName-Default Zone /////// {{Hostname: RMHOST, TimeStamp: 7/10/2015 11:41:23 AM"DeviceName": "CQT-ESV-51B.CSD.COM", "DeviceIPAddress": "192.168.88.141", "ZoneName": "Default Zone", "InternalRiskItemName": "MAL-No-Protection-Enabled", "FriendlyRiskItemName": "Malware Protection Not Enabled", "RiskAreaName": "Endpoint Security", "RiskClassification": "", "RawRiskValue": 0.0, "CurrentDeviceRisk": 0.0, "ISOClassification": "Vulnerability", "2015-07-10T11:41:23.8968739-07: "Timestamp": 00", "SecurityStatusDetails": "{\"AV Status\":\" N/A\",  $\mathcal{V} AWL Status ": \ N/A "}$ }} {Hostname: RMHOST, TimeStamp: 7/10/2015 11:41:23 AM"DeviceName": "CQT-ESV-51B.CSD.COM", "DeviceIPAddress": "192.168.88.141", "ZoneName": "Default Zone", "InternalRiskItemName": "BU-Not-Installed", "FriendlyRiskItemName": "Backup software not installed", "RiskAreaName": "Backup", "RiskClassification": "Error", "RawRiskValue": 0.6, "CurrentDeviceRisk": 0.0, "ISOClassification": "Vulnerability", "2015-07-10T11:41:23.9437503-07: "Timestamp": 00", "SecurityStatusDetails": "{\"Backup Software\":\" Not Installed\"}" {{Hostname: RMHOST, TimeStamp: 7/10/2015 11:41:23 AM"DeviceName": "CQT-ESV-51B.CSD.COM", "DeviceIPAddress": "192.168.88.141",

RMHOST,7/10/2015 11:38:25 AM,CurrentDeviceRisk-0, DeviceIPAddress-192.168.88.141,DeviceName-CQT-ESV-51B.CSD.COM,FriendlyRiskItemName-Windows Auditing Disabled (Account Lockout), InternalRiskItemName-WS-Auditing-Account-Lockout,ISOClassification-Vulnerability, RawRiskValue-0,RiskAreaName-Endpoint Security, RiskClassification-,SecurityStatusDetails-{"Current Auditing Policy": Success\_and\_Failure", "Expected Auditing Policy": \Success and Failure\" or \"Fail- 45 ure\""},Timestamp-7/10/2015 11:38:25 AM,Zone-Name-Default Zone

RMHOST,7/10/2015 11:38:25 AM,CurrentDeviceRisk-0, DeviceIPAddress-192.168.88.141, DeviceName-CQT-ESV-51B.CSD.COM, FriendlyRiskItemName-Win-50 Auditing Disabled dows (Logon), InternalRiskItemName-WS-Auditing-Logon, ISOClassification-Vulnerability, RawRiskValue-0, RiskAreaName-Endpoint Security, RiskClassification-, SecurityStatusDetails-{"Current Auditing Policy":" 55 Success\_and\_Failure","Expected Auditing Policy":" \"Success and Failure\" or \"Failure\""},Timestamp-7/ 10/2015 11:38:25 AM,ZoneName-Default Zone RMHOST,7/10/2015 11:38:25 AM,CurrentDeviceRisk-0, DeviceIPAddress-192.168.88.141, DeviceName-CQT- 60 ESV-51B.CSD.COM, FriendlyRiskItemName-Win-Auditing Disabled (Other Events), dows InternalRiskItemName-WS-Auditing-Other-Events, ISOClassification-Vulnerability, RawRiskValue-0, RiskAreaName-Endpoint Security, RiskClassification-, 65 SecurityStatusDetails-{"Current Auditing Policy":" Success\_and\_Failure","Expected Auditing Policy":"

"ZoneName": "Default Zone",
"InternalRiskItemName": "AT-High-CPU-Usage",
"FriendlyRiskItemName": "CPU usage exceeded threshold",
"RiskAreaName": "Endpoint Security",
"RiskClassification": "",
"RawRiskValue": 0.0,
"CurrentDeviceRisk": 0.0,
"ISOClassification": "Threat",
"Timestamp": "2015-07-10T11:41:23.9750011-07: 00",

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```
"SecurityStatusDetails": "{\"CPU Usage\":
    \"42.97007%\"}"
}
{{Hostname: RMHOST, TimeStamp: 7/10/2015 11:41:23
    AM}{ 5
    "DeviceName": "CQT-ESV-51B.CSD.COM",
    "DeviceIPAddress": "192.168.88.141",
    "ZoneName": "Default Zone",
    "InternalRiskItemName": "MAL-No-Protection-In-
    stalled",
    "FriendlyRiskItemName": "Malware Protection Not
    Installed",
    "RiskAreaName": "Endpoint Security",
```

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"CurrentDeviceRisk": 0.0, "ISOClassification": "Threat", "2015-07-10T11:41:24.0531288-07: "Timestamp": 00", "{\"Memory "SecurityStatusDetails": Usage\": \"86.79%\"}" { {Hostname: RMHOST, TimeStamp: 7/10/2015 11:41:24 AM"DeviceName": "CQT-ESV-51B.CSD.COM", "DeviceIPAddress": "192.168.88.141", "ZoneName": "Default Zone", "InternalRiskItemName": "AV-Definition-File-Date", "FriendlyRiskItemName": "DAT Out of Date", "RiskAreaName": "Endpoint Security", "RiskClassification": "Error", "RawRiskValue": 0.75, "CurrentDeviceRisk": 0.0, "ISOClassification": "Vulnerability", "Timestamp": "2015-07-10T11:41:24.068754-07:00", "SecurityStatusDetails": "{\"DAT Age\":" about 10 months, 19 days ago\",\"DAT Date\":" 2014-08-25\"}" }} {{Hostname: RMHOST, TimeStamp: 7/10/2015 11:41:24 AM"DeviceName": "CQT-ESV-51B.CSD.COM", "DeviceIPAddress": "192.168.88.141", "ZoneName": "Default Zone", "InternalRiskItemName": "MAL-No-Protection-Installed", "FriendlyRiskItemName": "Malware Protection Not Installed", "RiskAreaName": "Endpoint Security", "RiskClassification": "", "RawRiskValue": 0.0, "CurrentDeviceRisk": 0.0, "ISOClassification": "Vulnerability", "Timestamp": "2015-07-10T11:41:24.1000053-07: 00", "SecurityStatusDetails": "{\"AV Installed\":" McAfee\",\"AWL Installed\":" None\"}" {{Hostname: RMHOST, TimeStamp: 7/10/2015 11:41:25 AM"DeviceName": "CQT-ESV-51B.CSD.COM", "DeviceIPAddress": "192.168.88.141", "ZoneName": "Default Zone", "InternalRiskItemName": "WS-Auditing-Logon", "FriendlyRiskItemName": "Windows Auditing Disabled (Logon)", "RiskAreaName": "Endpoint Security", "RiskClassification": "", "RawRiskValue": 0.0, "CurrentDeviceRisk": 0.0, "ISOClassification": "Vulnerability",

"RiskClassification": "": "RawRiskValue": 0.0, 15 "CurrentDeviceRisk": 0.0, "ISOClassification": "Vulnerability", "Timestamp": "2015-07-10T11:41:23.9906246-07: 00", "SecurityStatusDetails": "{\"AV Installed\":\"McA- 20 fee\",\"AWL Installed\":\" None\"}" }} {{Hostname: RMHOST, TimeStamp: 7/10/2015 11:41:24 AM"DeviceName": "CQT-ESV-51B.CSD.COM", 25 "DeviceIPAddress": "192.168.88.141", "ZoneName": "Default Zone", "InternalRiskItemName": "AWL-Present", "FriendlyRiskItemName": "AWL Not Installed", "RiskAreaName": "Endpoint Security", 30 "RiskClassification": "Error", "RawRiskValue": 0.3, "CurrentDeviceRisk": 0.0, "ISOClassification": "Vulnerability", "2015-07-10T11:41:24.0218781-07: 35 "Timestamp": 00". "{\"AWL Installed\":" "SecurityStatusDetails": None\"}" {{Hostname: RMHOST, TimeStamp: 7/10/2015 11:41:24 40 AM"DeviceName": "CQT-ESV-51B.CSD.COM", "DeviceIPAddress": "192.168.88.141", "ZoneName": "Default Zone", "InternalRiskItemName": "AV-Present", 45 "FriendlyRiskItemName": "AV Not Installed", "RiskAreaName": "Endpoint Security", "RiskClassification": "", "RawRiskValue": 0.0, "CurrentDeviceRisk": 0.0, 50 "ISOClassification": "Vulnerability", "Timestamp": "2015-07-10T11:41:24.0375032-07: 00", "SecurityStatusDetails": "{\"Antivirus Installed\":" McAfee\",\"Antivirus Version\":\"5600.1067\"}" 55

{{Hostname: RMHOST, TimeStamp: 7/10/2015 11:41:24 "Timestamp": "2015-07-10T11:41:25.6781729-07: AM00", "SecurityStatusDetails": "{"Current Auditing Policy": "DeviceName": "CQT-ESV-51B.CSD.COM", "DeviceIPAddress": "192.168.88.141", \"Success\_and\_Failure\",\"Expected Auditing 60 Policy\": \"\\\"Success and Failure\\\" or \\\"Fail-"ZoneName": "Default Zone", "InternalRiskItemName": "AT-High-Memory-Usage", ure\\\\" \"}" "FriendlyRiskItemName": "Memory usage exceeded threshold", "RiskAreaName": "Endpoint Security", {{Hostname: RMHOST, TimeStamp: 7/10/2015 11:41:25 65 "RiskClassification": "", AM"RawRiskValue": 0.0, "DeviceName": "CQT-ESV-51B.CSD.COM",

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\"}"

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"DeviceIPAddress": "192.168.88.141", "ZoneName": "Default Zone", "InternalRiskItemName": "WS-Auditing-Account-Lockout", "FriendlyRiskItemName": "Windows Auditing Dis- 5 abled (Account Lockout)", "RiskAreaName": "Endpoint Security", "RiskClassification": "", "RawRiskValue": 0.0, "CurrentDeviceRisk": 0.0, "ISOClassification": "Vulnerability", "2015-07-10T11:41:25.6937959-07: "Timestamp": 00",

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"WS-Auditing-Other-"InternalRiskItemName": Events", "FriendlyRiskItemName": "Windows Auditing Disabled (Other Events)", "RiskAreaName": "Endpoint Security", "RiskClassification": ", "RawRiskValue": 0.0, "CurrentDeviceRisk": 0.0, "ISOClassification": "Vulnerability", "Timestamp": "2015-07-10T11:41:25.7562979-07: 00", "SecurityStatusDetails": "{\"Current Auditing

- "SecurityStatusDetails": "{\"Current Auditing Policy\":\"Success\_and\_Failure\",\"Expected Audit- 15 ing Policy\":\"\\\"Success and Failure\\\" or \\\"Failure\\\"
- {{Hostname: RMHOST, TimeStamp: 7/10/2015 11:41:25 20 AM
  - "DeviceName": "CQT-ESV-51B.CSD.COM",
  - "DeviceIPAddress": "192.168.88.141",
  - "ZoneName": "Default Zone",
  - "WS-Auditing-Special- 25 "InternalRiskItemName": Logon",
  - "FriendlyRiskItemName": "Windows Auditing Disabled (Special Logon)",
  - "RiskAreaName": "Endpoint Security",
  - "RiskClassification": "",
  - "RawRiskValue": 0.0,

- "CurrentDeviceRisk": 0.0,
- "ISOClassification": "Vulnerability",
- "2015-07-10T11:41:25.7250488-07: "Timestamp": 00",

- Policy\":\"Success\_and\_Failure\",\"Expected Auditing Policy\":\"\\\ "Success and Failure\\\" or \\\"Failure\\\\"
- Note that the risk manager 154 and/or the other processes, devices, and techniques described herein could use or operate in conjunction with any combination or all of various features described in the following previously-filed patent applications (all of which are hereby incorporated by reference):
- U.S. patent application Ser. No. 14/482,888 entitled "DYNAMIC QUANTIFICATION OF CYBER-SE-CURITY RISKS IN A CONTROL SYSTEM";
- U.S. Provisional Patent Application No. 62/036,920 entitled "ANALYZING CYBER-SECURITY RISKS IN AN INDUSTRIAL CONTROL ENVIRONMENT"; U.S. Provisional Patent Application No. 62/113,075 entitled "RULES ENGINE FOR CONVERTING SYS-CHARACTERISTICS TEM-RELATED AND EVENTS INTO CYBER-SECURITY RISK ASSESS-

"SecurityStatusDetails": "{"Current Auditing Policy": "Success\_and\_Failure\",\"Expected Auditing Policy\":\"\\\"Success and Failure\\\" or \\\"Failure\\\"

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{{Hostname: RMHOST, TimeStamp: 7/10/2015 11:41:25 AM

"DeviceName": "CQT-ESV-51B.CSD.COM", "DeviceIPAddress": "192.168.88.141",

"ZoneName": "Default Zone",

"InternalRiskItemName": "WS-Auditing-Logoff", "FriendlyRiskItemName": "Windows Auditing Disabled (Logoff)",

"RiskAreaName": "Endpoint Security", "RiskClassification": "",

"RawRiskValue": 0.0,

"CurrentDeviceRisk": 0.0,

"ISOClassification": "Vulnerability",

"Timestamp": "2015-07-10T11:41:25.7406782-07: 55 00",

"SecurityStatusDetails": "{\"Current Auditing Policy\":\"Success\_and\_Failure\",\"Expected Auditing Policy\":\"\\\"Success and Failure\\\" or \\\"Failure\\\\" {{Hostname: RMHOST, TimeStamp: 7/10/2015 11:41:25 AM"DeviceName": "CQT-ESV-51B.CSD.COM", "DeviceIPAddress": "192.168.88.141",

MENT VALUES" and corresponding non-provisional U.S. patent application Ser. No. 14/871,695;

U.S. Provisional Patent Application No. 62/113,221 entitled "NOTIFICATION SUBSYSTEM FOR GEN-ERATING CONSOLIDATED, FILTERED, AND RELEVANT SECURITY RISK-BASED NOTIFICA-TIONS" and corresponding non-provisional U.S. patent application Ser. No. 14/871,521;

U.S. Provisional Patent Application No. 62/113,100 entitled "TECHNIQUE FOR USING INFRASTRUC-TURE MONITORING SOFTWARE TO COLLECT CYBER-SECURITY RISK DATA" and corresponding non-provisional U.S. patent application Ser. No. 14/871,855;

U.S. Provisional Patent Application No. 62/113,186 entitled "INFRASTRUCTURE MONITORING TOOL FOR COLLECTING INDUSTRIAL PROCESS CON-TROL AND AUTOMATION SYSTEM RISK DATA" and corresponding non-provisional U.S. patent application Ser. No. 14/871,732;

U.S. Provisional Patent Application No. 62/113,165 entitled "PATCH MONITORING AND ANALYSIS"

"ZoneName": "Default Zone",

and corresponding non-provisional U.S. patent application Ser. No. 14/871,921; U.S. Provisional Patent Application No. 62/113,152 60 entitled "APPARATUS AND METHOD FOR AUTO-MATIC HANDLING OF CYBER-SECURITY RISK EVENTS" and corresponding non-provisional U.S. patent application Ser. No. 14/871,503; U.S. Provisional Patent Application No. 62/114,928 65 entitled "APPARATUS AND METHOD FOR DYNAMIC CUSTOMIZATION OF CYBER-SECU-

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RITY RISK ITEM RULES" and corresponding nonprovisional U.S. patent application Ser. No. 14/871, 605;

- U.S. Provisional Patent Application No. 62/114,865 entitled "APPARATUS AND METHOD FOR PRO- 5 VIDING POSSIBLE CAUSES, RECOMMENDED ACTIONS, AND POTENTIAL IMPACTS RELATED TO IDENTIFIED CYBER-SECURITY RISK ITEMS" and corresponding non-provisional U.S. patent application Ser. No. 14/871,814; and 10
- U.S. Provisional Patent Application No. 62/114,937 entitled "APPARATUS AND METHOD FOR TYING CYBER-SECURITY RISK ANALYSIS TO COM-

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example embodiments does not define or constrain this disclosure. Other changes, substitutions, and alterations are also possible without departing from the spirit and scope of this disclosure, as defined by the following claims.

#### What is claimed is:

1. A method for exporting cyber-security risk information in an industrial control system, comprising:

monitoring, by a risk manager system, a plurality of connected devices in the industrial control system that are vulnerable to cyber-security risks;
detecting, by the risk manager system, a cyber-security risk to one or more devices of the plurality of connected

MON RISK METHODOLOGIES AND RISK LEV-ELS" and corresponding non-provisional U.S. patent 15 application Ser. No. 14/871,136; and

U.S. Provisional Patent Application No. 62/116,245 entitled "RISK MANAGEMENT IN AN AIR-GAPPED ENVIRONMENT" and corresponding nonprovisional U.S. patent application Ser. No. 14/871, 20 547.

In some embodiments, various functions described in this patent document are implemented or supported by a computer program that is formed from computer readable program code and that is embodied in a computer readable 25 medium. The phrase "computer readable program code" includes any type of computer code, including source code, object code, and executable code. The phrase "computer readable medium" includes any type of medium capable of being accessed by a computer, such as read only memory 30 (ROM), random access memory (RAM), a hard disk drive, a compact disc (CD), a digital video disc (DVD), or any other type of memory. A "non-transitory" computer readable medium excludes wired, wireless, optical, or other communication links that transport transitory electrical or other 35 signals. A non-transitory computer readable medium includes media where data can be permanently stored and media where data can be stored and later overwritten, such as a rewritable optical disc or an erasable memory device. It may be advantageous to set forth definitions of certain 40 pairs format. words and phrases used throughout this patent document. The terms "application" and "program" refer to one or more computer programs, software components, sets of instructions, procedures, functions, objects, classes, instances, related data, or a portion thereof adapted for implementation 45 in a suitable computer code (including source code, object) code, or executable code). The term "communicate," as well as derivatives thereof, encompasses both direct and indirect communication. The terms "include" and "comprise," as well as derivatives thereof, mean inclusion without limita- 50 tion. The term "or" is inclusive, meaning and/or. The phrase "associated with," as well as derivatives thereof, may mean to include, be included within, interconnect with, contain, be contained within, connect to or with, couple to or with, be communicable with, cooperate with, interleave, juxtapose, 55 be proximate to, be bound to or with, have, have a property of, have a relationship to or with, or the like. The phrase "at least one of," when used with a list of items, means that different combinations of one or more of the listed items may be used, and only one item in the list may be needed. 60 For example, "at least one of: A, B, and C" includes any of the following combinations: A, B, C, A and B, A and C, B and C, and A and B and C.

devices being monitored;

- identifying, by the risk manager system, an external system to be notified of the cyber-security risk;
- determining whether to send one or more filtered data streams or one or more unfiltered data streams to the external system based on a user selection option, the user selection option based on whether the external system is (i) a first system configured to process the one or more unfiltered data streams and not qualified for data collection in the industrial control system, or (ii) a second system configured to display the one or more filtered data streams to a user;
- receiving at least one filtering option for the one or more filtered data streams or the one or more unfiltered data streams; and
- sending cyber-security risk data, by the risk manager system, to the external system according to the cybersecurity risk and the at least one filtering option.

2. The method of claim 1, wherein the at least one filtering option specifies an output format of the cyber-security risk data.

3. The method of claim 2, wherein the output format is

one of an email message, a syslog service output, and a text messaging notification.

4. The method of claim 2, wherein the output format is one of a comma-separated values format and a key-value pairs format.

**5**. The method of claim **1**, wherein the at least one filtering option specifies at least one of a source of the cyber-security risk, a severity of the cyber-security risk, the one or more devices affected by the cyber-security risk, or an affected zone of the one or more devices affected by the cyber-security risk.

6. The method of claim 1, wherein the at least one filtering option specifies only sending data when the detected cybersecurity risk crosses above a defined threshold.

7. The method of claim 1, wherein identifying the external system to be notified of the cyber-security risk comprises identifying at least one of an IP address and a port of the external system.

8. A risk manager system for exporting cyber-security risk
information in an industrial control system, comprising:
at least one processing device;

While this disclosure has described certain embodiments and generally associated methods, alterations and permuta- 65 tions of these embodiments and methods will be apparent to those skilled in the art. Accordingly, the above description of at least one network interface; and at least one memory containing instructions, wherein the at least one processing device is configured, when executing the instructions, to: monitor a plurality of connected devices, in the industrial control system, that are vulnerable to cybersecurity risks;

detect a cyber-security risk to one or more devices of the plurality of connected devices being monitored; identify an external system to be notified of the cybersecurity risk;

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determine whether to send one or more filtered data streams or one or more unfiltered data streams to the external system based on a user selection option, the user selection option based on whether the external system is (i) a first system configured to process the 5 one or more unfiltered data streams and not qualified for data collection in the industrial control system, or (ii) a second system configured to display the one or more filtered data streams to a user;

- receive at least one filtering option for the one or more 10 filtered data streams or the one or more unfiltered data streams; and
- send cyber-security risk data to the external system

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detect a cyber-security risk to one or more devices of the plurality of connected devices being monitored;

identify an external system to be notified of the cybersecurity risk;

determine whether to send one or more filtered data streams or one or more unfiltered data streams to the external system based on a user selection option, the user selection option based on whether the external system is (i) a first system configured to process the one or more unfiltered data streams and not qualified for data collection in the industrial control system, or (ii) a second system configured to display the one or more filtered data streams to a user;

according to the cyber-security risk and the at least one filtering option.

9. The risk manager system of claim 8, wherein the at least one filtering option specifies an output format of the cybersecurity risk data.

**10**. The risk manager system of claim **9**, wherein the output format is one of an email message, a syslog service 20 output, or a text messaging notification.

11. The risk manager system of claim 9, wherein the output format is one of a comma-separated values format and a key-value pairs format.

12. The risk manager system of claim 8, wherein the at 25 least one filtering option specifies at least one of a source of the cyber-security risk, a severity of the cyber-security risk, the one or more devices affected by the cyber-security risk, or an affected zone of the one or more devices affected by the cyber-security risk. 30

13. The risk manager system of claim 8, wherein the at least one filtering option specifies only sending data when the detected cyber-security risk crosses above a defined threshold.

14. The risk manager system of claim 8, wherein the at 35 more devices affected by the cyber-security risk.

receive at least one filtering option for the one or more filtered data streams or the one or more unfiltered data streams; and

send cyber-security risk data to the external system according to the cyber-security risk and the at least one filtering option.

16. The non-transitory machine-readable medium of claim 15, wherein the at least one filtering option specifies an output format of the cyber-security risk data.

17. The non-transitory machine-readable medium of claim 16, wherein the output format is at least one of an email message, a syslog service output, a text messaging notification, a comma-separated values format, or a key-value pairs format.

30 18. The non-transitory machine-readable medium of claim 15, wherein the at least one filtering option specifies at least one of a source of the cyber-security risk, a severity of the cyber-security risk, the one or more devices affected by the cyber-security risk, or an affected zone of the one or more devices affected by the cyber-security risk, or an affected zone of the one or more devices affected by the cyber-security risk.

least one processing device is configured to identify the external system to be notified of the cyber-security risk by identifying at least one of an IP address and a port of the external system.

**15**. A non-transitory machine-readable medium encoded 40 with executable instructions for exporting cyber-security risk information in an industrial control system that, when executed, cause one or more processors of a risk manager system to:

monitor a plurality of connected devices, in the industrial 45 control system, that are vulnerable to cyber-security risks;

**19**. The non-transitory machine-readable medium of claim **15**, wherein the at least one filtering option specifies only sending data when the detected cyber-security risk crosses above a defined threshold.

**20**. The non-transitory machine-readable medium of claim **15**, wherein the executable instructions when executed cause the one or more processors to identify the external system to be notified of the cyber-security risk by identifying at least one of an IP address and a port of the external system.

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